

1 Responses of estuarine circulation to the morphological evolution in a
2 convergent, microtidal estuary

3 Rui Zhang^a, Bo Hong^b, Lei Zhu^{a,c,d}, Wenping Gong^{a,c*}, Heng Zhang^{a,c,d}

4 a- School of Marine Sciences, SunYat-sen University, Guangzhou, China, 510275

5 b- School of Civil and Transportation Engineering, South China University of
6 Technology, Wushan RD., Tianhe District, Guangzhou 510641, China

7 c- Southern Marine Science and Engineering Guangdong Laboratory (Zhuhai), Zhuhai
8 519000, China

9 d- Pearl River Estuary Marine Ecosystem Research Station, Ministry of Education,
10 Zhuhai, 519082, China

11
12 **Abstract:**

13 The Huangmaohai Estuary (HE) is a funnel-shaped microtidal estuary in the west
14 of the Pearl River Delta (PRD) in southern China. Since China's reform and opening up
15 in 1978, extensive human activities have occurred and greatly changed the estuary's
16 topography, and modified its hydrodynamics. In this study, we examined the
17 morphological evolution by analyzing remote sensing data with ArcGIS tools and
18 studied the responses of hydrodynamics to the changes in topography from 1977 to
19 2010 by using the Delft3d model. We took the changes in estuarine circulation during
20 neap tides in dry seasons as an example. The results show that human reclamation
21 caused a narrowing of the estuary, and channel dredging deepened the estuary. These
22 human activities changed both the longitudinal and lateral estuarine circulations. The
23 longitudinal circulation was observed to increase with the deepening and narrowing of
24 the estuary. The lateral circulation experienced changes in both the magnitude and
25 pattern. The momentum balance analysis shows that when the depth and width changed
26 simultaneously, the longitudinal estuarine circulation was modulated by both the

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** Corresponding author, E-mail: gongwp@mail.sysu.edu.cn

27 channel deepening and width reduction, in which the friction, pressure gradient force,
28 and advection terms were altered. The analysis of the longitudinal vortex dynamics
29 indicates that the changes in the vertical shear of the longitudinal flow, lateral salinity
30 gradient, and vertical mixing were responsible for the change in the lateral circulation.
31 The changes in water depth are the dominant factor affecting lateral circulation intensity.
32 This study has implications for sediment transport and morphological evolution in
33 estuaries heavily impacted by human interventions.

34

35 **Keywords:** Estuarine circulation, Morphological evolution, Huangmaohai Estuary

36

37 **1. Introduction**

38

39 Estuarine circulation, the tidally averaged flow in estuaries including both the
40 longitudinal and lateral circulations, is the main driving force for the transport of
41 sediment, pollutants, and other materials, and also one of the primary factors affecting
42 the ecological environment of estuaries (Kjerfve et al., 1981). Estuarine circulation is
43 influenced by many factors (Geyer and Maccready, 2014), such as sea-level
44 fluctuations (Wilson and Filadelfo, 1986), river discharge, tides (Pritchard, 1952), and
45 winds (Scully et al., 2005; Waterhouse et al., 2013; Geyer and Maccready, 2014; Salles
46 et al., 2015; Chen et al., 2020a). Topography in an estuary has a significant effect on
47 the pattern and intensity of the estuarine circulation (Fischer, 1976; Dyer, 1977).
48 Human activities may change the estuarine topography, leading to changes in the
49 estuarine circulation and associated material transport. Therefore, a study of the
50 estuarine circulation and its response to human activities is essential for integrated
51 management of the development of estuarine resources, and the maintenance of the
52 estuary's ecological health.

53 Channel deepening by dredging and sand mining is a common practice in the
54 development and maintenance of navigable channels in estuaries. Generally speaking,
55 channel deepening can increase the longitudinal estuarine circulation by decreasing the

56 bottom friction and increasing the baroclinic forcing which is proportional to the water
57 depth (Amin, 1983; Chernetsky et al., 2010; Winterwerp, 2011). On the other hand, the
58 increase in water depth can also increase the salt intrusion and decrease the horizontal
59 density gradient, thus reducing the baroclinic force. Channel deepening also affects the
60 estuarine circulation in other ways, such as increasing the Stokes transport and the
61 associated compensating return flow (Amin, 1983), altering the nonlinear tidal
62 rectification (Li and O'Donnell, 1997), and tidal asymmetry in mixing between flood
63 and ebb tides (tidal straining) (Simpson, 1990). Therefore, the effect of channel
64 deepening is an intricate balance between these reinforcing and/or competing effects.
65 Chant et al. (2018) demonstrated that a relatively small (15%) increase in water depth
66 can result in a double exchange flow. They attributed this increase to the increase in
67 horizontal salinity gradient and/or a reduction in vertical mixing, but they did not give
68 a clear distinction about how these two effects work together and which is dominant.

69 Change in estuary width is another aspect of topographic change in estuaries and
70 is mainly caused by reclamation and utilization of salt marshes, construction of coastal
71 protection structures along the estuarine banks. Change in estuary width generates a
72 change in the estuarine convergence, and therefore a change in the estuarine circulation.
73 Burchard et al. (2014) concluded that an increase in the estuarine convergence results
74 in an enhancement or reduction of the longitudinal estuarine circulation as increased
75 estuarine convergence can reduce or even reverse the straining-induced circulation,
76 though the advection-induced circulation is increased. Changes in estuarine width can
77 also modify the lateral circulation and feedback to the generation of the longitudinal
78 estuarine circulation through the change in lateral advection (Lacy et al., 2003; Lerczak
79 and Rockwell Geyer, 2004; Scully et al., 2009; Burchard et al., 2010; Burchard et al.,
80 2014). Lerczak and Rockwell Geyer (2004) suggested that lateral effects on the
81 longitudinal estuarine circulation would be stronger in narrower estuaries given a
82 constant lateral salinity gradient. Schulz et al. (2015) investigated the impact of the
83 depth-to-width ratio of the estuarine cross-section on the longitudinal estuarine
84 circulation and found that the longitudinal estuarine circulation exhibits a distinct

85 maximum in medium-wide channels. They diagnosed the mechanisms for such a
86 phenomenon and attributed it to the sensitivities of the straining- and advection-induced
87 circulations on the changes in depth-to-width ratio.

88 As revealed by Lerczak and Geyer (2004) and other researchers (Chen et al.,
89 2020b), lateral processes play important roles in the generation of the longitudinal
90 estuarine circulation. In estuaries, the pattern and intensity of lateral circulation are
91 controlled by three processes (Li et al., 2014): vertical shear of the longitudinal current
92 affecting the tilting of planetary vorticity, lateral salinity gradient (baroclinicity), and
93 diffusion. The longitudinal estuarine circulation can affect the lateral circulation
94 through all the mentioned three factors. Therefore, the interaction between the
95 longitudinal and lateral processes is fully nonlinear and quite complex. Though these
96 interactions have been discussed in detail (Scully et al., 2009; Li et al., 2017), several
97 questions remain open: How does the longitudinal estuarine circulation affect the
98 intensity and vortex structure of the lateral circulation? Does a decreased/increased
99 lateral circulation necessarily lead to a weakened/strengthened longitudinal circulation?
100 These questions become complicated in an estuary where both width and depth vary.
101 Previous studies showed that the narrowing and deepening of the Yangtze River
102 Estuary resulted in an enhanced longitudinal estuarine circulation (Zhu, 2018), which
103 changed from transversely sheared to vertically sheared. The estuarine stratification
104 was also found to be strengthened, along with an increase in the intensity of lateral
105 circulation. Zhu et al. (2015) investigated the influences of channel deepening and
106 widening on the tidal and nontidal circulations of Tampa Bay, USA, and found that the
107 nontidal circulation was strengthened by these human interventions. However, how
108 does the estuarine circulation respond to both narrowing and deepening/shallowing of
109 the estuary? What happens when the narrowing rate is much larger or smaller than the
110 deepening rate in an estuary? Here the narrowing rate is the ratio of the difference of
111 cross-section widths between two consecutive years divided by the width in the earlier
112 year. Similarly, the deepening rate is the ratio of the difference of water depth in the
113 cross-section between the two consecutive years divided by the earlier year's depth.

114 Here we try to address the above questions by studying the changes in the estuarine
115 circulation from 1977 to 2010 in the Huangmaohai Estuary (HE), a microtidal estuary
116 in the southwest of the Pearl River Delta (PRD), which experienced different stages of
117 topographic changes under human activities: narrowing and deepening (1977-1994, and
118 2003-2010), and narrowing and shallowing (1994-2003). Thus, it provided a good
119 opportunity to study the effect of human activities induced morphological evolution on
120 the estuarine circulation.

121 In this study, we used a state-of-the-art three-dimensional baroclinic model (Delft
122 3d) to simulate the changes in hydrodynamics in the HE in different years and examined
123 the changes in intensities of the longitudinal and lateral estuarine circulations, followed
124 by an analysis of the mechanisms for these changes by conducting diagnostic analyses
125 of the momentum balance. The structure of the rest of the paper is as follows. Section
126 2 introduces the study area and numeral model. Section 3 presents the results of
127 morphological evolution and changes in the estuarine circulation. Then, the
128 mechanisms for the changes in estuarine circulation are investigated using the
129 momentum and vortex balance equations in Section 4. Finally, the conclusions are
130 presented in Section 5.

131

132 **2. Study area and methodology**

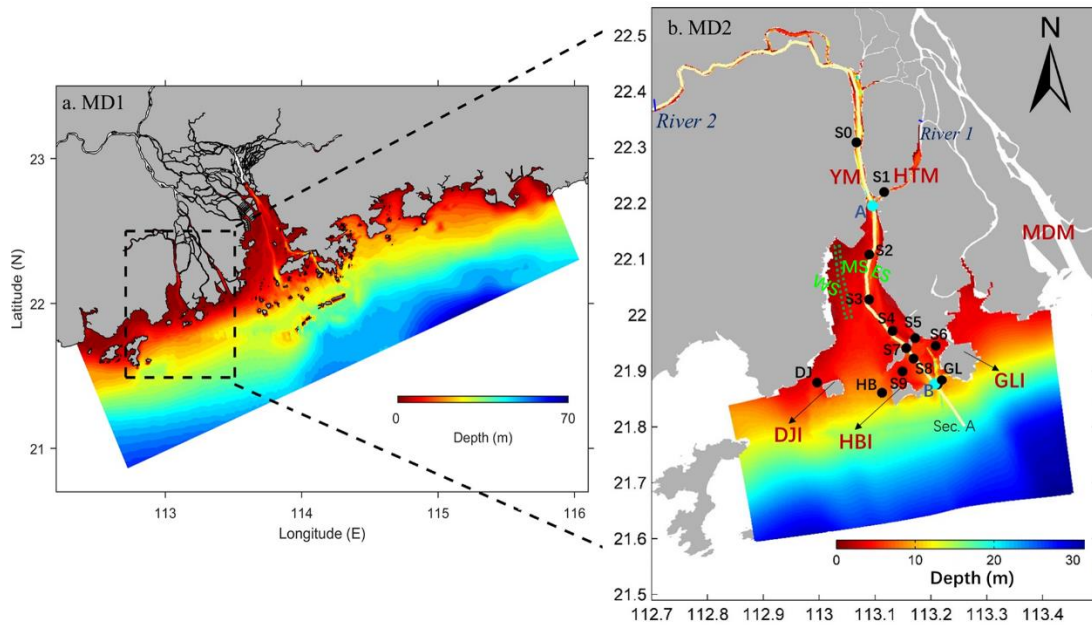
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134 **2.1 Study area**

135

136 The HE is located in the west of the PRD in southern China and exhibits a
137 distinctly convergent geometry, with a latitude ranging from 21°50' to 22°13' N and
138 a longitude ranging from 113°00' to 113°51' E (Fig. 1). The estuary is composed of a
139 bay (Huangmao Bay) and a tidal river. The bay is trumpet-shaped with an area of 409
140 km². It has a complex bathymetry comprising of two channels and three shoals, namely
141 the West Channel and East Channel, the West Shoal, Middle Shoal, and East Shoal. In

142 recent decades, the West Channel is observed to shrink and almost disappear now (Jia
 143 et al., 2012). The width of the bay is 30 km at the estuary mouth and decreases to 1.8
 144 km at the head. The mean water depth of the bay is 4.5 m (Gong et al., 2014). The bay
 145 is connected to the upstream river catchment by two constrictions (Yamen and
 146 Hutiaomen Outlets). Several islands, namely Dajin Island, Hebao Island, and Gaolan
 147 Island, are scattered at the estuary's mouth (shown in Fig. 1b).



148
 149 Fig. 1. The study area (Huangmaohai estuary) and observation stations. Major topographic
 150 features and domains of the nested modeling system over (a) the PRD and (b) the HE and its
 151 adjacent waters. YM = Yamen; HTM = Hutiaomen; MDM = Modaoemen; DJI = Dajin Island;
 152 GLI = Gaolan Island; HBI = Hebao Island. The black dots (S0–S9, DJ, HB, and GL) in the
 153 MD2 domain are stations of field deployments in March 2010. The solid lines represent the
 154 along-channel transect (Section A (AB)), which lies in the East Channel. The green dotted lines
 155 represent the West Channel in 1977. Three shoals are shown in (b): West Shoal (WS), Middle
 156 Shoal (MS), and East Shoal (ES).

157
 158 The HE has a subtropical monsoon climate, with the precipitation in the wet season
 159 (from May to September) being high. Approximately 80% of the river discharge occurs
 160 during the wet season, with an average discharge of 200.23 m³/s. The tides in the HE
 161 are mixed semidiurnal with dominant semi-diurnal constituents and smaller diurnal
 162 constituents. The tidal range is approximately 1.5 m at the mouth and experiences an
 163 initial increase from the mouth towards the head owing to a strong convergence of the

164 bay width. Further landward in the tidal river beyond the bay head, the tidal range
165 decreases by the overwhelming bottom friction (Gong et al., 2012). The tidal current
166 velocity ranges from 0.5 m/s to 1.5 m/s (Huang, 2011), and is higher in deep channels
167 than on shallow shoals. The tidal currents are generally rectilinear in deep channels but
168 become more rotary in shallow shoals.

169 Since the 1980s, human activities have been intense in the HE. A hydroelectric
170 power project upstream of the estuary, channel dredging, sand mining, and construction
171 of Gaolan Island levees have led to great changes in the HE's topography. Also, the HE
172 has rich tidal flat resources and endured frequent reclamation activities. From 1965 to
173 2003, a total of 142.29 km² tidal flat was reclaimed, with an average reclamation rate
174 of 3.74 km²/a, and the reclamation rate continuously but gradually increased during that
175 period. After 2003, the reclamation rate slowed down. In terms of channel dredging,
176 the Yamen Waterway Project was conducted in 1997 to deepen the channel between
177 S0 and S3 in Fig. 1b (Luo, 2010). In April 2005, the Yamen Channel regulation project
178 was implemented to alleviate the serious siltation in the channel, with the channel being
179 dredged to a depth of about 6 m.

180 In the following, we chose 1977, 1994, 2003, and 2010 as the representative years
181 to study the typical scenarios of bathymetric changes in the HE.

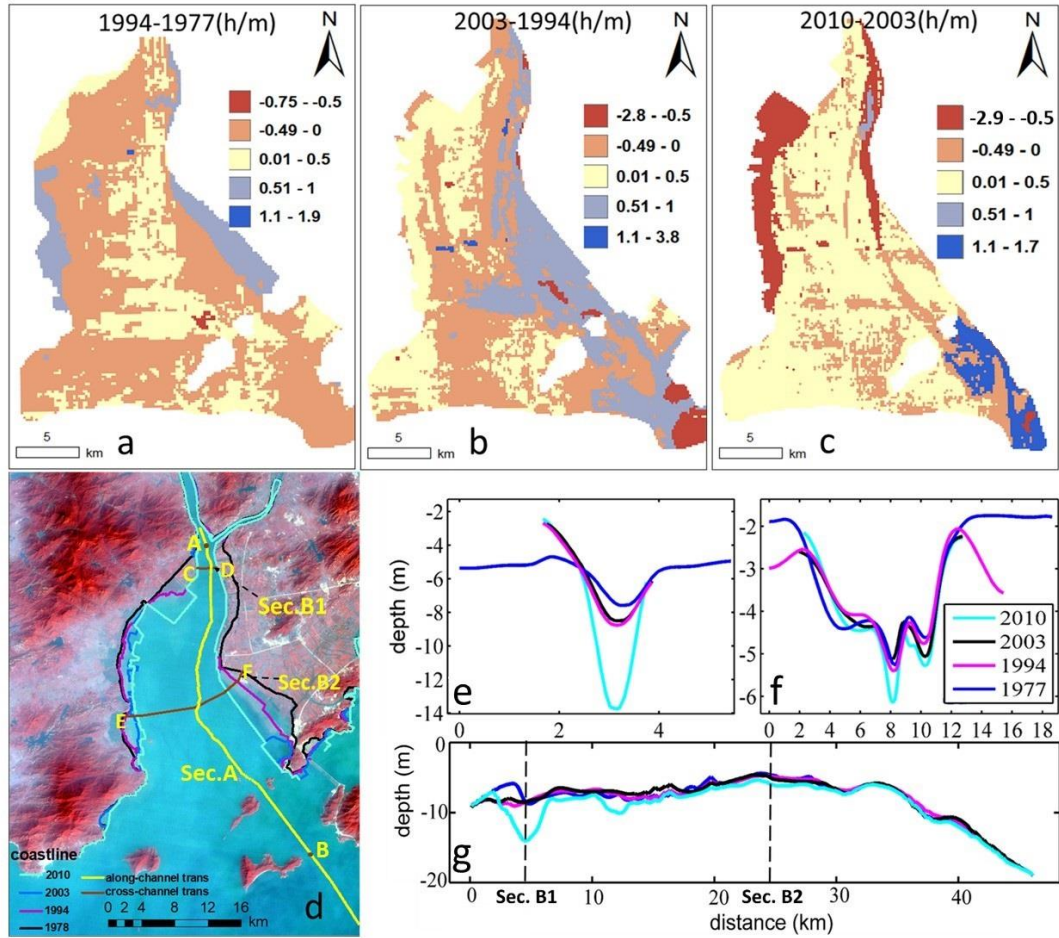
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183 **2.2 Remote sensing and topographic data**

184

185 Remote sensing data were used for coastline extraction and included Landsat
186 Multi-Spectral Scanner (MSS) data, Landsat Thematic Mapper (TM) data, and Landsat
187 Operational Land Imager (OLI) data. A total of 66 images (Table 1) were downloaded
188 from <http://www.gscloud.cn/>. These data were firstly processed by geometric (with
189 errors less than 0.5 pixels (Ai et al., 2019)) and atmospheric corrections by the ENVI
190 5.3 software. The topography data inside the HE were derived from nautical charts
191 (1977, 1994, 2003, and 2010), published by the Navigation Safety Guarantee Bureau.
192 The filling and excavation toolbox of ArcGIS was used to calculate the difference

193 between the volumes in two consecutive periods by superimposing the corresponding
 194 Digital Elevation Models (DEM). We thus obtained the average siltation rates of the
 195 study area over different years (Figs. 2a-c).



196
 197 Fig. 2. (a-c) Water depth difference between two consecutive years ((a)1994-1977; (b)2003-
 198 1994; (c)2010-2003), where the positive value indicates “deepening” and the negative one
 199 indicates “siltation”, (d) Shorelines of 1977-2010 and locations of two cross-sections (AB: Sec.
 200 A; CD: Sec. B1; EF: Sec. B2); (e, f, and g) The bathymetric evolutions at Sections B1, B2, and
 201 A in 1977, 1994, 2003, and 2010.

202

203

204 Table 1. Data of remote sensing images

Time	Satellite	Image sensor	Resolution/m	Path/Row	Memory space
1973,1978	Landsat3	MSS	78		
1986-2011	Landsat5	TM	30	122/45	142G
2012	Landsat7	ETM	30		
2013-2018	Landsat8	OLR	30		

2.3 Numerical model setting up and validation

The numerical model Delft3d, a fully three-dimensional hydrodynamic water quality model (Lesser et al., 2004), was used to simulate the hydrodynamics in the HE. Its algorithm can guarantee the conservation of mass, momentum, and energy. The model grid consisted of a nesting grid system, with the MD1 (parent model, Fig. 1a) covering the whole PRD, and the MD2 (child model) covering the HE. For the MD2 model, a curvilinear orthogonal grid of 269*620 was established, with the horizontal resolution ranging from 85 m in the channel to 324 m at the ocean boundary. Vertically, the grid was discretized into 10 layers of σ coordinate. The model system used here is the same as the one in Chen et al. (2020a). Briefly, the open boundary conditions of the MD1 model included atmospheric forcing at the water surface, river discharge at the upstream boundary, tidal and non-tidal water elevations and currents, a constant salinity of 34 psu at the open ocean boundary. The results from the MD1 were interpolated to provide ocean boundary conditions for the MD2 model.

As mentioned above, the hydrodynamics in the HE experiences distinct seasonal variation. The estuarine circulation during the wet season has been extensively studied before (Chen et al., 2020a; Chen et al., 2020b). Here we choose the dry season to investigate the changes in the estuarine circulation caused by topographic changes in different years. We conducted a series of numerical experiments using the bathymetry data in 1977, 1994, 2003, and 2010. The simulation time was chosen to be from 00:00 on March 1 to 23:00 on March 31 in the dry season, when observation data were available in 2010. Field measurements were carried out at 14 mooring stations on March 17th 17:00 to 18th 22:00, 2010. The measured variables included vertical profiles of current, temperature, and salinity. In all the four scenarios, two upstream boundaries were specified (Fig. 1b): at River 2 by specifying real-time water level data from the MD1 model from 00:00 on March 1, 2010, to 23:00 on March 31, 2010, with a time interval of 1 hour; At River 1 by specifying a constant river discharge of $100 \text{ m}^3 \text{ s}^{-1}$. The choice of this constant value was based on previous simulation experiences

234 (Chen et al., 2020a; Chen et al., 2020b). The salinities at the river inflow boundaries
 235 were set to be 0 psu. The only changing condition of the four scenarios was the
 236 topography (Table 2), so the effect of topographic change can be distinguished. The
 237 measured data from 14 stations in 2010 were used to validate the model.

238

239 Table 2. Coastline, bathymetries, salinity, flow, and tidal boundary in the four model scenarios.

Scenario	Coastline	Bathymetrie s	The salinity of the open sea	Flow	Tidal boundary
1977/03	1977	1977	2010/03	2010/03	2010/03
1994/03	1994	1994	2010/03	2010/03	2010/03
2003/03	2003	2003	2010/03	2010/03	2010/03
2010/03	2010	2010	2010/03	2010/03	2010/03

240

241 In this study, the Willmott skill score (SK) was used to evaluate whether the model
 242 result is consistent with the observed data (Willmott, 1981). The SK is defined as:

$$243 \quad SK = 1 - \frac{\sum_{i=1}^n (O_i - M_i)^2}{\sum_{i=1}^n [|M_i - \bar{O}| + |O_i - \bar{O}|]^2}, \quad (1)$$

244 where n is the number of the observed data, M and O are model simulation results
 245 and observations, respectively, and \bar{O} is the average value of the observation data. SK
 246 is used to measure the consistency between the model results and the observations, with
 247 a value between 0 and 1. The larger the value is, the more consistent the simulation
 248 results are with the observed data.

249 Firstly, the water level of the MD2 model was validated. The SKs of the four
 250 observed stations are all above 0.86, indicating that the water level simulation is
 251 reasonable. Secondly, the modeled current directions showed good performance except
 252 for the surface layer at Stations DJ and S0, almost all the SKs are greater than 0.7 (Table
 253 3). The simulation of the current speed is worse than that of the current direction, but
 254 the SKs at most stations are above 0.6, showing a good performance. Lastly, the trends
 255 of observed and simulated salinities are consistent, and almost all the SKs of salinity
 256 validation are above 0.5, especially in S1-S3, showing a good performance of the
 257 salinity simulation.

258 Table 3. Skill scores by comparison of modeled results with observations.

Stations	Current direction			Current speed			Salinity		
	Sur	Mid	Bot	Sur	Mid	Bot	Sur	Mid	Bot
S0	0.18	0.96	0.96	0.77	0.88	0.86	0.32	0.35	0.35
S1	0.94	0.99	0.99	0.65	0.66	0.61	0.94	0.94	0.90
S2	0.78	0.79	0.71	0.83	0.84	0.84	0.84	0.85	0.85
S3	0.87	0.98	0.95	0.34	0.38	0.39	0.92	0.79	0.77
S4	0.84	0.94	0.94	0.53	0.55	0.53	0.77	0.64	0.54
S5	0.86	0.92	0.93	0.66	0.71	0.72	0.37	0.25	0.26
S6	0.79	0.90	0.88	0.68	0.75	0.74	0.15	0.20	0.25
S7	0.82	0.85	0.96	0.74	0.79	0.83	0.86	0.66	0.56
S8	0.84	0.89	0.89	0.59	0.62	0.66	0.82	0.77	0.72
S9	0.80	0.74	0.77	0.54	0.46	0.41	0.59	0.50	0.52
DJ	0.61	0.77	0.77	0.38	0.47	0.51	0.66	0.47	0.37
GL	0.89	0.91	0.93	0.50	0.51	0.49	0.37	0.43	0.41
HB	0.71	0.89	0.89	0.60	0.56	0.56	0.57	0.54	0.53

259

260 As a whole, the simulation of surface currents is worse than that in other layers,
 261 since winds and waves were not included in our MD2 model simulations, in which the
 262 surface flow is more susceptible to these forcings. The specified river flow at River 2
 263 was constant, which may deviate from the real-time data (not available), leading to a
 264 poor salinity reproduction at upstream stations. In short, the water level and current are
 265 well-validated. The simulation of salinity is generally good, except for some deviations
 266 at upstream stations. It shows that the model can reasonably simulate the hydrodynamic
 267 processes in the area, and can be used for the following hydrodynamics study in the HE.

268

269 3. Results

270

271 3.1 Morphological evolution

272

273 Morphological changes between 1977, 1994, 2003, and 2010 are shown in Figs.
 274 2a-c. Figure 2a shows that most areas in the HE experienced siltation from 1977 to
 275 1994, but the East Channel was deepened by about 0-0.5 m. In the middle of the bay,
 276 the nearshore areas were under erosion, and the erosion thickness at the eastern shore

277 was twice that at the western shore. In other areas, the siltation thickness was between
278 0 and 0.5 m. From 1994 to 2003, erosion occurred in the West Shoal, East Channel,
279 East Shoal, and Middle Shoal. Siltation of 0.01-0.5 m happened in the rest of the area,
280 which accounted for most of the HE, so the HE became shallower in 2003. In 2003,
281 siltation in the East Channel was serious and the water depth there became only 2m (Li,
282 2019). From 2003 to 2010, the West Shoal became significantly shallower with a
283 siltation thickness of about 0.5-1m. The East Shoal almost disappeared, and its relict
284 area endured siltation of 1.1-1.7 m, which was mainly due to the construction of coastal
285 protection works. Strong erosion occurred in other areas, especially in the upper bay
286 with a deepening of more than 4m, and the overall water depth of the HE became greater
287 in 2010.

288 Overall, the water depth of the HE changed considerably from 1977 to 2010. It
289 first experienced erosion, then underwent siltation, and followed by erosion again.

290 Figure 2d shows the changes of coastlines for the four representative years. To
291 calculate the rate of geometry convergence, the DSAS tool (Version 5.0) in Arcmap
292 10.3 was used to calculate the end-point rates for cross-shore transects. A more detailed
293 procedure is in Zhang et al. (2019). We chose one longitudinal section along the channel
294 in the estuary and two cross-sections (in Fig. 2d) along the channel for analysis. The
295 longitudinal section (Sec. A) extends from the bay head (point A in Fig. 1b) to the
296 estuary mouth (point B in Fig. 1b), spanning a distance of 50 km. Sec. B1 is located at
297 about 4 km downstream from the bayhead, where the water depth changes sharply in
298 the lateral (or longitudinal) direction (see Fig. 2e). Sec. B2 is approximately 24km
299 downstream from the bayhead and near the null point in the middle of the estuary (see
300 Fig. 2f), and the width of the estuary varied dramatically here (see Fig. 2e). At Sec. A,
301 the water depth near the point of Sec. B1 endured a great change in 2010 due to channel
302 dredging (Fig. 2g). In other periods, the water depth along its course endured gradual
303 deepening. At Sec. B1, the bathymetric change is featured by an increase in water depth
304 and negligible change in width over time. At Sec. B2, both the water depth and width
305 experienced changes from 1977 to 2010, with the depth increased and width decreased

306 (Fig. 2f). The above three sections clearly depict the topographic changes of the estuary
307 in different years.

308

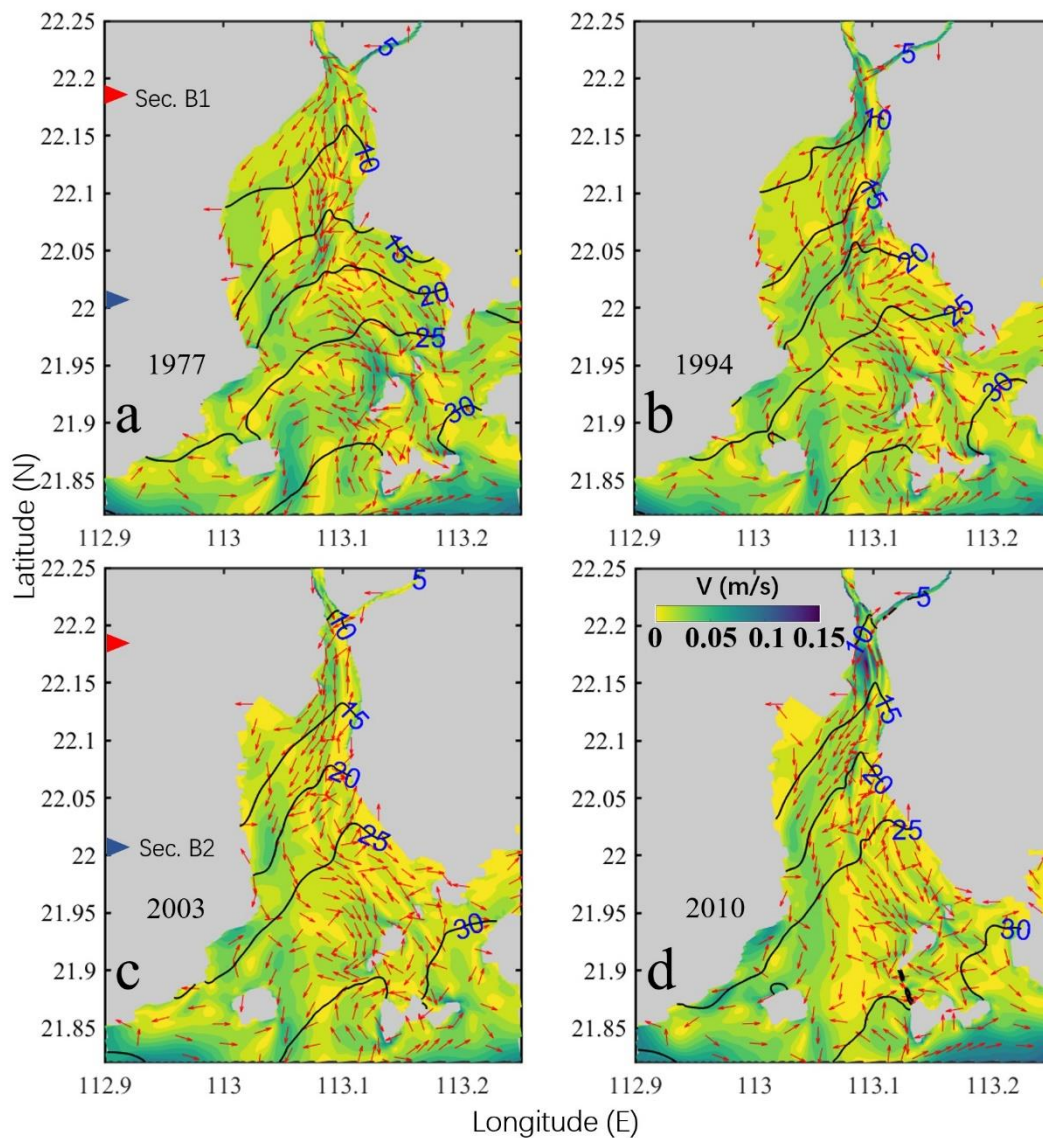
309 **3.2 Changes in the vertically averaged flow and salinity**

310

311 Here we present the changes in the tidally and vertically averaged flow and salinity
312 during neap tides in Fig. 3. In 1977 (Fig. 3a), the current speed was generally small,
313 except at the inter-island sections and in the channel. The vertically averaged flow was
314 seaward in the upper bay and the right part of the lower bay (looking landward). It
315 became landward at the left part of the lower bay. In 1994 (Fig. 3b), the current speed
316 was increased in the channel, particularly near Sec.B1. The overall flow pattern was
317 almost similar to that in 1977. In 2003 (Fig. 3c), the flow pattern still kept unchanged
318 when compared to that in previous years. The current speed was decreased relative to
319 that in 1994. In 2010 (Fig. 3d), the seaward flow became more dominant in the upper
320 bay, and more biased southwestward. The seaward flow in the channel was greater than
321 in 2003. The 10 psu isohaline kept moving upstream over time, and reached beyond the
322 bayhead and entered into the tidal river of the estuary in 2010.

323 Overall, we observed that the tidally and vertically averaged flow during neap tides
324 experienced an increase-decrease-increase by the topographic changes, whereas the
325 saltwater consistently intruded more landward.

326 As a supplement, we present the horizontal distributions of tidally averaged
327 surface and bottom circulation and salinity during neap tides for different years in the
328 appendix (Figs. A. 1 and 2). Over the study period, the enhancement of salt intrusion
329 was stronger for the bottom layer and weaker for the surface layer, whereas the increase
330 in residual flow was stronger in the surface layer and weaker in the bottom layer.



331

332 Fig. 3. Patterns of the vertical-averaged horizontal circulation during neap tide in 1977(a1),
 333 1994(a2), 2003(a3), and 2004(a4). The magnitude of the current is represented by the color
 334 shading, while the current direction is shown by the arrows. The salinity is depicted by the
 335 contour lines. The red and blue triangles depict the positions of two cross-sections (Sec.B1 and
 336 Sec.B2).

337

338 3.3 Changes in the estuarine circulation

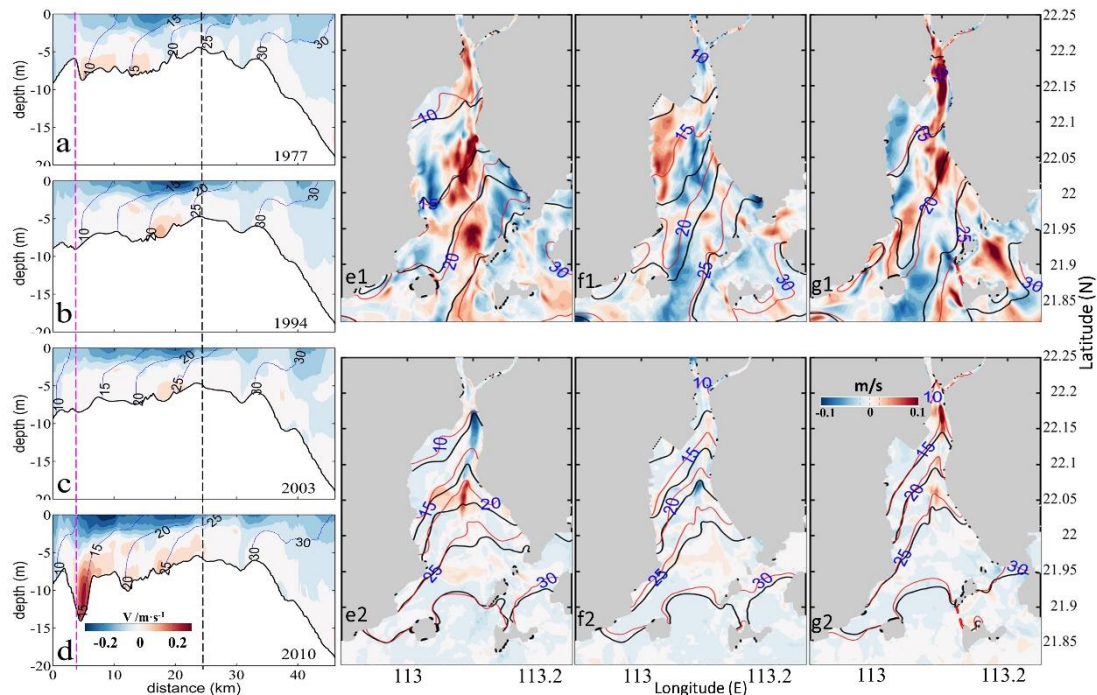
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340 Figures 4 a-d show that the upper part of the estuary (upstream of the null point)
 341 was highly stratified, and the lower part of the estuary (downstream of the null point)
 342 was well mixed. The classical exchange flow structure was more distinct upstream of

343 the null point. Over time, the surface seaward flow became stronger and more
344 concentrated with the narrowing of the estuary, particularly in 2010. It extended more
345 downstream to near the estuary mouth with the narrowing of the estuary, as evidenced
346 by the extent of the seaward flow of 0.2 m/s. Concomitantly, the bottom landward flow
347 was strengthened and concentrated with the increase in depth. It should be noted that
348 the greatly enhanced estuarine circulation between 3 to 8 km in 2010 (Fig. 4d) could be
349 induced by the intratidal fluctuation of the halocline in response to the large topography
350 change there (Geyer and Nepf, 1996; Chen et al., 2012; Wang et al., 2015) .

351 We also present the changes in the surface and bottom current horizontally. Figs.
352 4e1-g1 show that when the estuary deepened (1977-1994 and 2003-2010), the surface
353 current velocity increased in the channel, and when the estuary shoaled (1994-2003),
354 the surface current velocity in the channel decreased. The changes in the bottom current
355 showed a similar trend (Figs. 4e2-g2), except at the upper part of the channel from 1977
356 to 1994, in which the width was considerably decreased.

357 Along with the change in the longitudinal estuarine circulation, the salt intrusion
358 at Sec. A did not change significantly from 1977 to 1994, but increased from 2003 on,
359 particularly in 2010, when the isohaline of 15 psu reached Sec.B1, whose salinities
360 were less than 12 psu in previous years (Figs. 4a-d). The salt intrusions at the surface
361 and bottom gradually increased with the estuary narrowing (Figs. 4e1-g2).



362

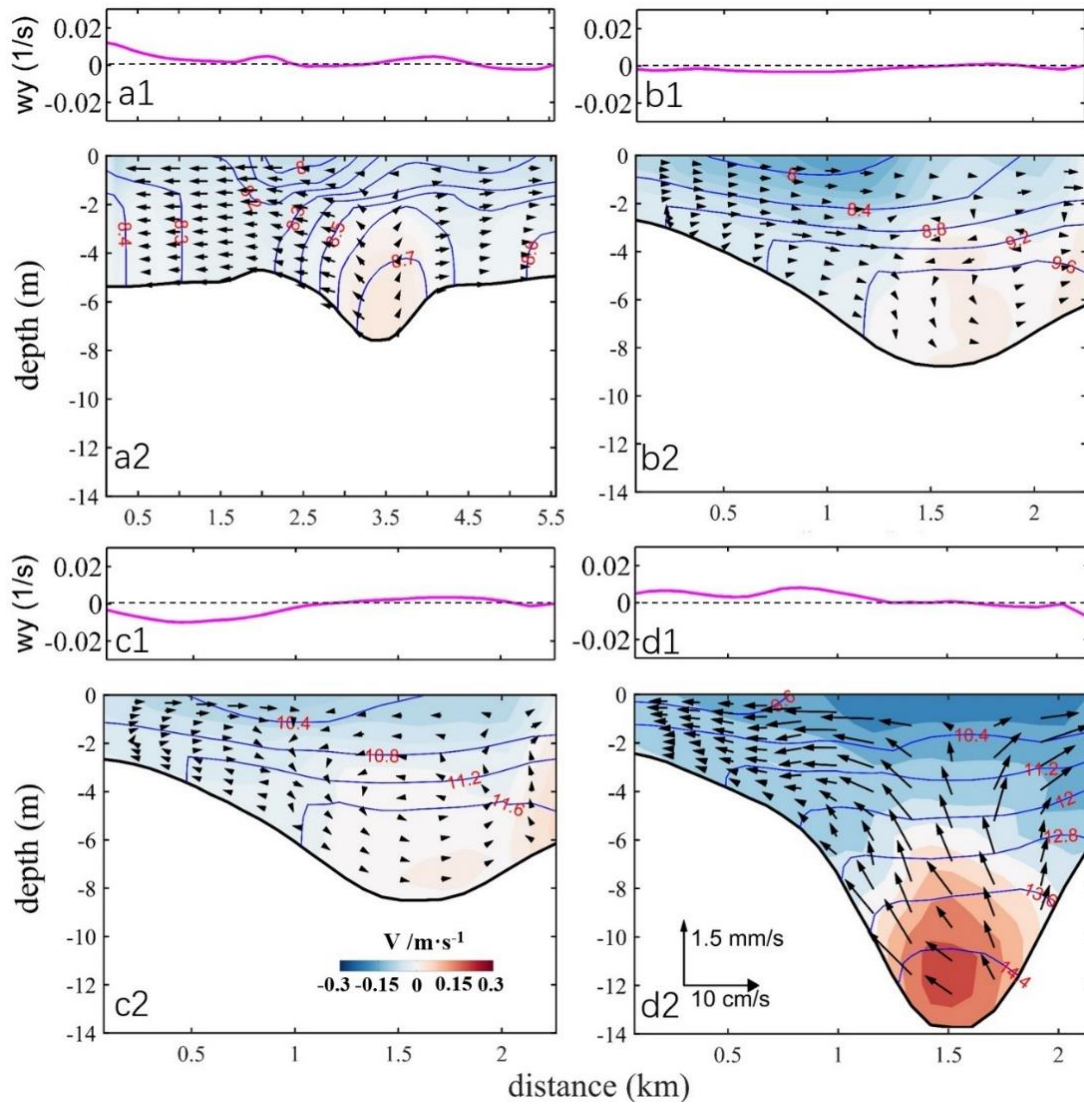
363 Fig. 4. The patterns of the estuarine circulation during the neap tide in March 1977(a), 1994(b),
 364 2003(c), and 2010(d). The thin lines are the isolines of salinity in a-d. The pink and black dotted
 365 lines represent the locations of Secs. B1 and B2, respectively. The starting point of the X-axis
 366 is Point A in Fig. 1b. Surface current differences from 1977 to 1994(e1), from 1994 to 2003(f1),
 367 and from 2003 to 2010(g1); Bottom current differences from 1977 to 1994(e2), from 1994 to
 368 2003(f2), and from 2003 to 2010(g2). The red and black lines represent the isolines of salinity
 369 in the later year and the earlier year.

370

371 To analyze the changes of lateral circulation in the estuary, we show the structure
 372 and intensity of the lateral circulation at the two cross-sections (Figs. 5 and 6).

373 At Sec. B1 (Fig. 5), with the increase of water depth, the salinity difference
 374 between the surface and bottom increased, along with an increase in the bottom salinity.
 375 For the lateral circulation, there was no distinct gyre structure in 1977. In 1994, the
 376 lateral flow was dominated by an eastward flow. In 2003, a clockwise vortex was
 377 developed over the West Shoal (0.5-1 km). Meanwhile, an anticlockwise circulation
 378 with smaller vortex intensity was developed in the region of 1-2km from the western
 379 shore. Another clockwise circulation was developed over the East Shoal. When the
 380 estuary became deepened in 2010, the distribution of the lateral circulation was similar

381 to that in 1977, but the vortex intensity increased significantly to about 2-4 times that
 382 of 1977.

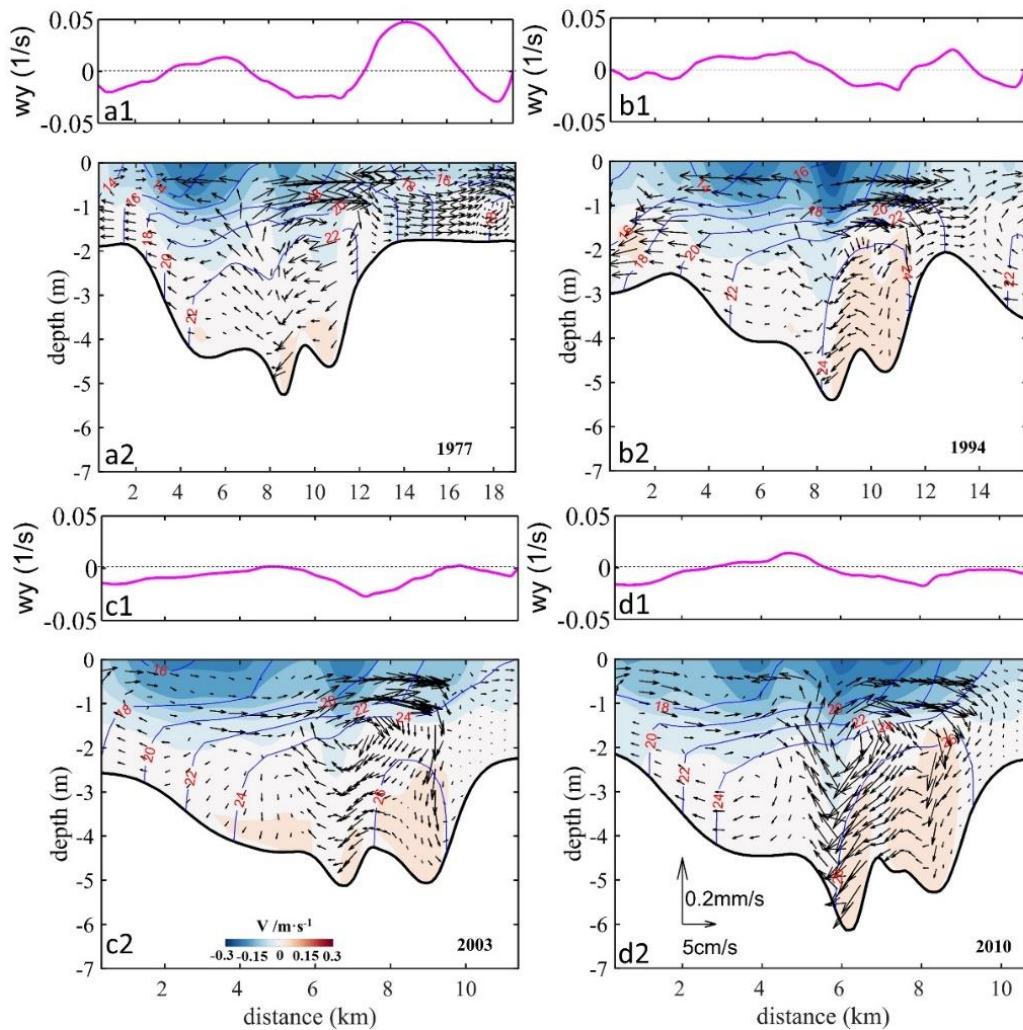


383
 384 Fig. 5. The lateral circulation and isohalines (blue lines) at Sec. B1 in 1977(a2), 1994(b2),
 385 2003(c2), and 2010(d2). The starting point of the X-axis is Point C in Fig. 2d. w_y is the
 386 longitudinal vorticity at Sec. B1 in 1977(a1), 1994(a2), 2003(a3), and 2010(a4).

387

388 Figure 6 shows the changes in lateral circulation at Sec. B2. With the decrease of
 389 estuary width, the salinity increased in the cross-section over the years. There
 390 developed a clockwise circulation at the right of the deep channel in 1977 and 1994.
 391 This clockwise vortex was seen to move westward from 2003 on. The spatial extent of
 392 the clockwise circulation in the deep channel increased significantly over time.
 393 Clockwise vortices developed over the East Shore from 1977 to 2010, but their intensity

394 became weaker since 2003. In 1977 and 1994, the distance between the deep channel
 395 and the East Shore was greater than 2 km which was sufficient for accommodating
 396 clockwise vortices. From 2003 on, the accommodation space at the East Shore became
 397 limited and restricted the full development of the clockwise vortex. Over the West
 398 Shoal, the lateral circulation pattern showed an anticlockwise circulation in 1977 and
 399 1994. However, since 2003, the lateral circulation over the West Shoal began to develop
 400 a two-cell pattern, with an anticlockwise gyre at the surface and a clockwise one near
 401 the bottom. The clockwise cell developed well in 2010.



402
 403 Fig. 6. The distribution of lateral circulation and isohalines (blue lines) at Sec.B2 in 1977(a2),
 404 1994(b2), 2003(c2), and 2010(d2). The starting point of the X-axis is Point E in Fig. 2d. w_y
 405 is the longitudinal vorticity at Sec. B2 in 1977(a1), 1994(a2), 2003(a3), and 2010(a4).
 406

407 As a whole, over the study period, the longitudinal estuarine circulation continued
408 to increase, whereas the lateral circulation experienced varying changes at different
409 cross-sections. At the upstream cross-section (B1), when the estuary narrowed, the
410 original pattern of two-cell vortices with opposite polarity was disrupted. However, it
411 was amplified in 2010 when the water depth was increased. At the cross-section in the
412 middle of the estuary (B2), a similar two-cell pattern was developed. However, in 2003
413 and 2010, the single cell at the West Shoal was split into two cells: an anticlockwise
414 cell at the surface and a clockwise cell at the lower part.

415

416 **3.4 Relationship between the changes in the intensity of estuarine circulation and** 417 **the changes in topography**

418

419 To further quantitatively identify the influence of topographic changes on the
420 estuarine circulation, we calculated the changes in the intensity of estuarine circulations
421 in the longitudinal and lateral directions. The magnitude of estuarine circulation in the
422 longitudinal section was used to represent the intensity of the longitudinal estuarine
423 circulation (Chen and Sanford, 2009). The method was to subtract the subtidal
424 longitudinal velocity of the bottom layer from that on the surface layer. The magnitude
425 of the vorticity in the cross-sections was used to represent the intensity of the lateral
426 circulation (Becherer et al. 2015), and is expressed as:

$$427 \quad w_y = \partial w / \partial x - \partial u / \partial z \quad (2)$$

428 where, w_y is the longitudinal vorticity in the cross-sections. w and u are the
429 currents in the vertical and lateral directions, respectively. $\partial w / \partial x$ is much small and
430 can be ignored, therefore, formula (2) can be simplified as:

$$431 \quad w_y = -\partial u / \partial z \quad (3)$$

432 when w_y is positive, the lateral circulation is an anticlockwise vortex, conversely,

433 when w_y is negative, the lateral circulation is a clockwise vortex.

434 The results of the averaged intensity of estuarine circulation along Sec.A and the
435 averaged intensity of vorticity at the cross-sections are listed in Table 4.

436

437 Table 4. The changes of width and depth (the maximum depth), area (cross-section area), w-to-
 438 d, narrowing rate, deepening rate, and the intensity of circulations (w-to-d: width-to-depth ratio;
 439 narrowing rate: the ratio of the difference of cross-section widths between two years divided
 440 by the width in the earlier year; deepening rate: the ratio of the difference of water depth in the
 441 cross-section between the corresponding two years divided by the earlier depth. The positive
 442 narrowing rate indicates that the estuary is narrowed; the positive deepening rate indicates that
 443 the estuary is deepened.)

444

			time	1977/03	1994/03	2003/03	2010/03
Sec.B1		width (km)		5.56	2.25	2.26	2.14
		depth (m)		7.58	8.76	8.50	13.73
		w-to-d		734	257	266	156
		area (km ²)		0.0468	0.0213	0.0207	0.0256
		narrowing rate		\	59.50%	-0.44%	5.30%
		deepening rate		\	15.58%	-2.95%	61.47%
Sec.B2		width (km)		18.97	15.77	11.40	10.76
		depth (m)		5.25	5.40	5.12	6.13
		w-to-d		3610	2920	2230	1760
		area (km ²)		0.0849	0.303	0.0647	0.0646
		narrowing rate		\	16.87%	27.71%	5.61%
		deepening rate		\	2.86%	-5.19%	19.73%
circulation intensity	longitudinal	Sec. A		0.0274	0.0428	0.0483	0.0594
	lateral	Sec. B1		0.0111	0.0146	0.0130	0.0278
		Sec. B2		0.0493	0.0460	0.0465	0.0425

445

446 Table 4 indicates that the longitudinal estuarine circulation intensity increased with
 447 the estuary narrowing, and reached the largest in 2010, which was 0.0594 m/s.

448 The lateral circulation intensity varied in different cross-sections. For Sec.B1, it
 449 increased gradually when the estuary deepened (from 1994 to 2010). When the
 450 deepening rate reached the maximum (61.47%) in 2010, the lateral circulation intensity
 451 reached the maximum as well. The intensity of lateral circulation increased when the
 452 estuary deepened and narrowed (from 1977 to 1994, and from 2003 to 2010), but it
 453 decreased when the estuary shallowed and narrowed (from 1994 to 2003). For Sec.B2,
 454 the intensity of lateral circulation decreased when the estuary deepened and narrowed
 455 (from 1977 to 1994, and from 2003 to 2010). However, this trend was altered when the
 456 estuary entered into the “narrowing and shallowing period”, with the deepening rate

457 being -5.19%. It indicates that changes in water depth were the dominant factors
 458 affecting the lateral circulation intensity.

459 In general, the relationship between the longitudinal estuarine circulation intensity
 460 and the estuary width showed a monotonic decrease, while that between the
 461 longitudinal estuarine circulation intensity and the water depth is a monotonic increase,
 462 but the lateral circulation intensity seemed to have no simple linear relationship with
 463 the topographic change.

464

465 **4. Discussion**

466

467 **4.1 Contribution of momentum terms to the variation of the longitudinal** 468 **estuarine circulation**

469

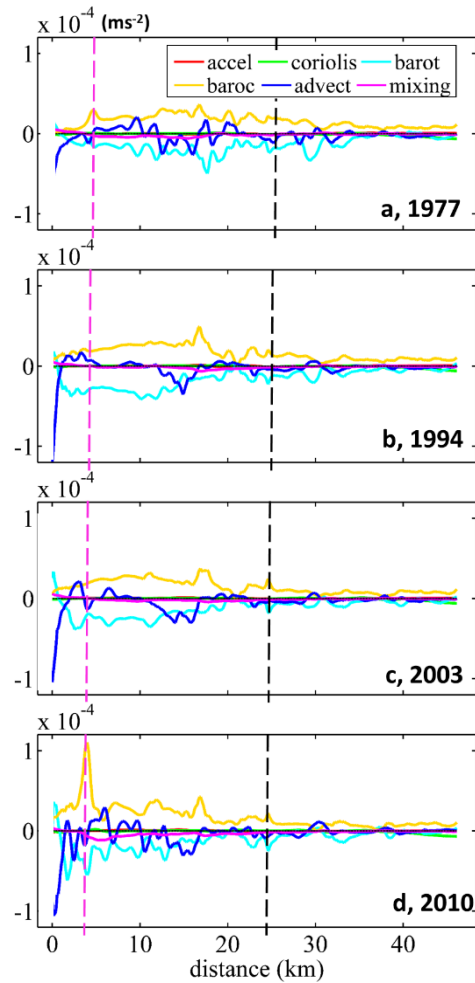
470 To explain the change in the longitudinal estuarine circulation intensity, we
 471 conducted a diagnostic study by examining the changes in terms of the momentum
 472 balance equations. We calculated each term of the momentum equation in the
 473 longitudinal direction in the tidally averaged timescale:

$$474 \quad \frac{\partial v}{\partial t} = \underbrace{f u}_{\text{coriolis}} - \underbrace{g \frac{\partial \eta}{\partial y}}_{\text{barotropic pressure}} - \underbrace{\frac{g z}{\rho_0} \frac{\partial \rho}{\partial y}}_{\text{baroclinic pressure}} - \underbrace{\left(u \frac{\partial v}{\partial x} + v \frac{\partial v}{\partial y} + w \frac{\partial v}{\partial z} \right)}_{\text{advection}} + \underbrace{\frac{\partial}{\partial z} \left(A_v \frac{\partial v}{\partial z} \right)}_{\text{vertical friction}}, \quad (4)$$

475 By comparing the changes in each term and linking them with the characteristics
 476 of morphological evolution, we effort to explain the response of the longitudinal
 477 estuarine circulation to bathymetric change in the perspective of momentum balance.
 478 Though the change in an individual momentum term in Eq. 4 can not represent the
 479 change in the longitudinal estuarine circulation as a whole, it can reflect the change in
 480 the corresponding component for the estuarine circulation (Cheng, 2013). For example,
 481 an increase or decrease in the baroclinic pressure gradient force can reflect the change
 482 in the gravitational circulation, and the change in the advection term is representative
 483 of the change in tidal rectification. In the following, we present the vertically averaged
 484 values for these different terms along the longitudinal section in different years. It
 485 should be noted that the friction term consists of a component of the tidally mean eddy

486 viscosity multiplied by the tidally mean vertical current shear, and a component of the
487 correlation between eddy viscosity and vertical current shear, which is referred to as
488 the tidal straining (Simpson et al., 1990).

489 Figure 7 shows that during the neap tide, the baroclinic pressure gradient force
490 was balanced by barotropic gradient force, friction, and advection term in each year.
491 This is different from the classic estuarine momentum balance (Pritchard, 1956) but
492 consistent with the recent understanding of estuarine physics (Geyer and MacCready,
493 2014). The Coriolis force is quite small as both the latitude of the HE and the residual
494 current are small. The high value of the baroclinic term was observed to shift upstream
495 over time. As the baroclinic term is the multiplication of the salinity gradient and water
496 depth, the changes in this term over years can be induced by the change in water depth
497 and/or the salinity gradient. It can be seen from Fig. 4 that in the north of the null point,
498 the salt intrusion gradually moved towards the bayhead with the estuary narrowing,
499 thus increasing the salinity gradient there. In the meantime, the upstream water depth
500 was increased due to channel dredging, particularly in 2010. Therefore, the increase of
501 the baroclinic force term was caused by both the increases in water depth and salinity
502 gradient. Although the barotropic term contributed a lot to the momentum balance, it
503 did not change obviously with the morphological evolution. The advection term at Sec.
504 B1 increased slightly with the estuary narrowing, especially in the deepening part of
505 the channel in 2010. The friction term at Sec. B1 was the largest in 2010, because the
506 salt intrusion increased the vertical shear of the longitudinal current there. Nevertheless,
507 the increase in friction term was much smaller than that of the baroclinic term. Chant
508 et al. (2018) attributed the increase in exchange flow to the increase in horizontal
509 salinity gradient and/or a reduction in vertical mixing by deepening, but in our case, the
510 increase in baroclinic term was dominant and the change in vertical mixing even posed
511 a reversed effect.



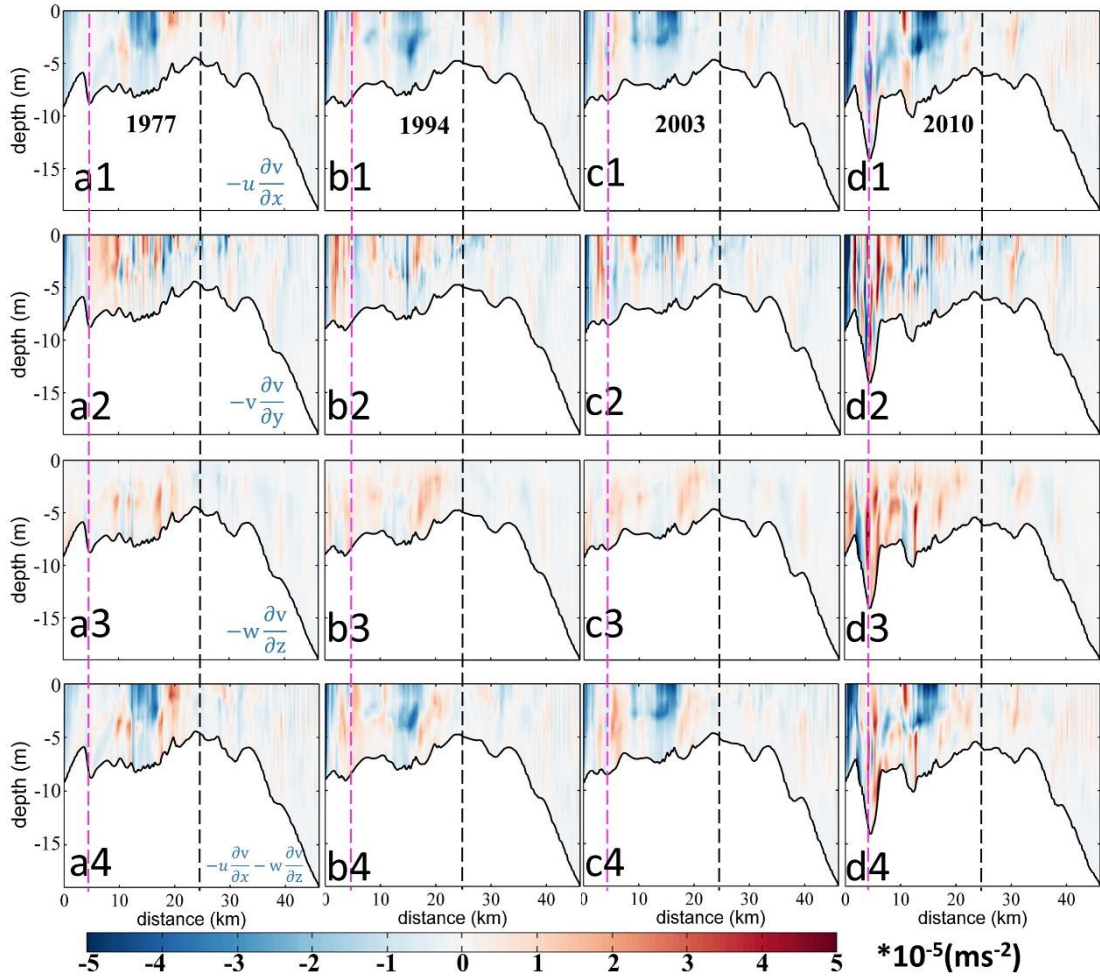
512

513 Fig. 7. Patterns of the longitudinal momentum terms during neap tide at Sec. A in 1977(a),
 514 1994(b), 2003(c), and 2010(d). The starting point of the X-axis is Point A in Fig. 1b. “accel” in
 515 legend: local acceleration term; “barot” in legend: the barotropic gradient force term; “baroc”
 516 in legend: the baroclinic gradient force.

517

518

519 To further identify the changes in different terms, the advection term was divided
 520 into lateral (X-direction), longitudinal (Y-direction), and vertical (Z-direction)
 521 advection terms (Fig. 8). It is worth noting that the sum of the advection terms in X
 522 and Z directions represents the effect of the lateral circulation.



523

524 Fig. 8. Patterns of the longitudinal momentum terms during neap tide at Sec. A. (a1-d1): The
 525 advection in the X direction, $-u \frac{\partial v}{\partial x}$. (a2-d2): The advection in the Y direction, $-v \frac{\partial v}{\partial y}$. (a3-d3):
 526 The advection in the Z direction, $-w \frac{\partial v}{\partial z}$. (a4-d4): The sum of the advection terms in X and Z
 527 directions. 1977, 1994, 2003, and 2010 cases are in the first, second, third, and fourth columns,
 528 respectively. The pink and black dotted lines represent the location of Sec.B1 and Sec.B2,
 529 respectively. The starting point of the X-axis is Point A in Fig. 1b.

530

531 From Fig. 8, in 2010, the advection terms in all directions increased significantly.
 532 Generally, the lateral and vertical advection competes against each other, and their
 533 additive effect is to generate a circulation similar to the gravitational circulation. This
 534 effect was the strongest in 2010 (Figs. 8a4-d4). The longitudinal advection increased in
 535 the upper part of the channel in 2010 (Figs. 8a2-d2), following the deepening and
 536 narrowing of the estuary. In the middle of the longitudinal section, it induced a seaward

537 flow at the surface and a landward flow at the bottom, whereas at the upper part, it
 538 generates a uniformly landward flow.

539 Overall, from 1977 to 2010, the baroclinic force, the friction, and the advection
 540 terms were seen to increase along the Sec. A. The maximum longitudinal estuarine
 541 circulation in 2010 was caused by the increase in the pressure gradient force and the
 542 advection term, especially the baroclinic pressure gradient force. We will further
 543 discuss the effects of these changes on estuarine circulation.

544

545 **4.2 Analysis of the streamwise vorticity balance for the lateral flow**

546

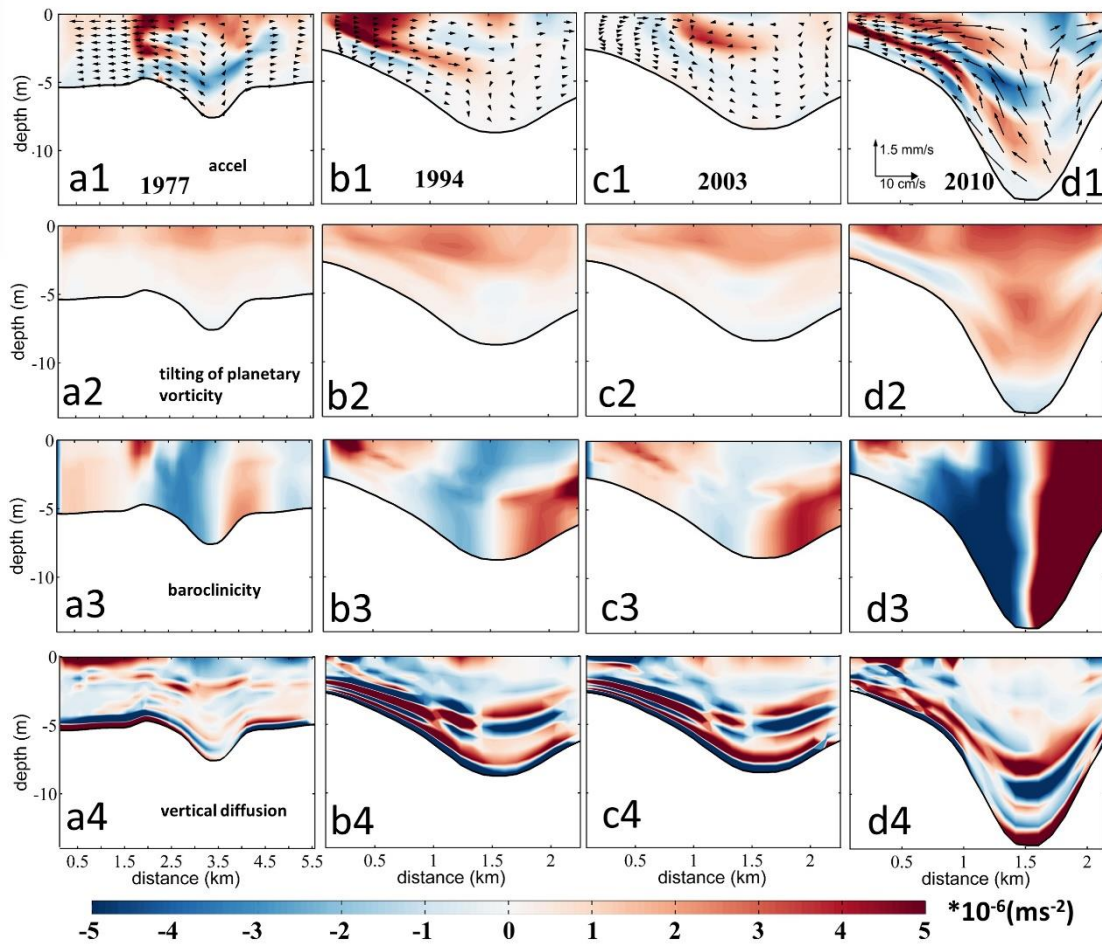
547 In order to reveal the contribution of the vertical shear of the along-channel flow,
 548 the lateral salinity gradient, and the vertical diffusion to changes in the lateral
 549 circulation, we examine the changes in terms of the streamwise vorticity transport
 550 equation (Li et al., 2014):

$$551 \quad \frac{dw_y}{dt} = \underbrace{-f \frac{\partial v}{\partial z}}_{\text{tilting of planetary vorticity}} \underbrace{-g\beta \frac{\partial S}{\partial x}}_{\text{baroclinicity}} \underbrace{+ \frac{\partial^2}{\partial z^2} (K_V w_y)}_{\text{vertical diffusion}} \underbrace{+ \frac{\partial^2}{\partial x^2} (K_H w_y)}_{\text{horizontal diffusion}}, \quad (5)$$

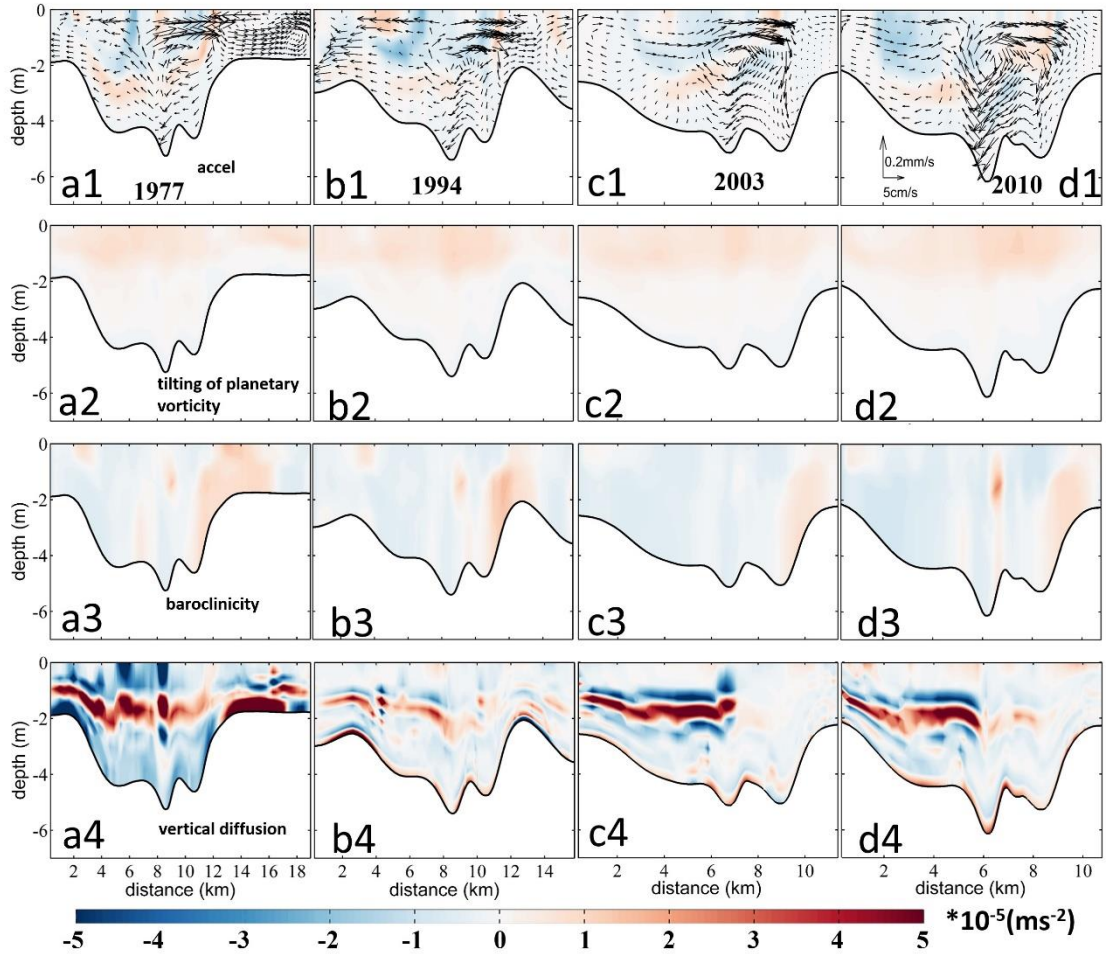
552 In the right side of Eq. 5, the first term represents the tilting of the planetary
 553 vorticity by vertical shear in the along-channel flow, the second term is the baroclinicity
 554 caused by the lateral salinity gradient, the third is the vertical diffusion, and the fourth
 555 is the horizontal diffusion, which is typically two orders of magnitude smaller than the
 556 vertical diffusion term. Therefore, we only show the first four terms in Fig. 9.

557 Figure 9 shows that the changes of baroclinicity terms caused by the water depth
 558 change dominated the changes in the lateral circulation at Sec. B1. The baroclinicity
 559 term in the deep channel was generally negative at the left side of the channel, and it
 560 increased significantly in 2010, about 2-3 times the value in 1977. The baroclinicity
 561 term with positive values occurred at the West Shoal over the study period, but the areal
 562 extent occupied by the positive values decreased gradually, with its magnitude
 563 increased obviously in 1994 when the narrowing rate was the largest. A negative
 564 baroclinicity term appeared at the bottom of the West Shoal, indicating that the changes

565 in water depth can lead to changes in the pattern and magnitude of the baroclinicity
 566 term, which was mainly caused by the changes in the salt intrusion. The tilting of the
 567 planetary vorticity term increased with the estuary narrowing, with the increase in 2010
 568 was greater, which was mostly caused by the depth change. The pattern of the vertical
 569 diffusion term changed significantly in 1977 and 1994, especially at the surface and the
 570 bottom layers of the West Shoal, indicating that it was the changes in width that altered
 571 the vertical diffusion term.



572
 573 Fig. 9. Patterns of the streamwise vorticity equation terms during neap tide at Sec. B1. (a1-d1):
 574 The local acceleration term. (a2-d2): The tilting of planetary vorticity term. (a3-d3): The
 575 baroclinicity term. (a4-d4): The vertical diffusion term. The cases in 1977, 1994, 2003, and
 576 2010 are in the first, second, third, and fourth columns, respectively. The starting point of the
 577 X-axis is Point C in Fig. 2d. For viewing purposes, the acceleration term is multiplied by 5. The
 578 block arrows in a1-d1 represent the distribution of lateral circulation.
 579



580

581 Fig. 10. Patterns of the streamwise vorticity equation terms during neap tide at Sec. B2. (a1-
 582 d1): The local acceleration term. (a2-d2): The tilting of planetary vorticity. (a3-d3): The
 583 baroclinicity term. (a4-d4): The vertical diffusion term. The cases in 1977, 1994, 2003, and
 584 2010 are in the first, second, third, and fourth columns, respectively. The starting point of the
 585 X-axis is Point E in Fig. 2d. For viewing purposes, the acceleration term is multiplied by 5. The
 586 block arrows in a1-d1 represent the distribution of lateral circulation.

587 From Fig. 10, the change in the tilting of the planetary vorticity at Sec. B2 was
 588 analogous to that at Sec. B1. The baroclinicity term did not change much, because the
 589 changes in water depth were smaller in this section. The clockwise circulation over the
 590 West shoal increased as the estuary deepened in 2010, because the baroclinicity term
 591 was larger with the increase of salt intrusion and vertical salinity gradient near Sec. B2.
 592 The vertical diffusion of the vorticity was overall negative, indicating its effect in
 593 dissipating the vorticity. The vertical diffusion term was larger than the baroclinicity
 594 term, especially in the middle water, which was inconsistent with the conclusion that
 595 the baroclinicity term is the most important one in the lateral circulation (Li et al., 2014).

596 The reason may be that in our study site, the vertical mixing was strong as the estuary
597 became shallow. However, the existence of a pycnocline greatly weakened the
598 momentum exchange between the upper and lower layers: above the pycnocline, the
599 tilting of the planetary vorticity was dominant; whereas, under the pycnocline, the
600 baroclinic term was dominant. The decrease of the estuary width changed the
601 magnitude and pattern of the vertical diffusion term, and the area with a large positive
602 value at the bottom of the East Shoal disappeared, along with the magnitude of the
603 negative value decreased greatly at the easternmost of the section. It indicates that in a
604 shallow estuary, the vertical diffusion term caused by the width change is also important.

605 In Summary, the tilting of the planetary vorticity increased with the decrease of
606 width or with the increase of water depth. The variation of estuary width was
607 responsible for the changes in the vertical diffusion term, and the changes in water depth
608 were responsible for the changes in the baroclinicity term. The increase of the
609 longitudinal estuary circulation can increase the baroclinicity term at the cross-sections
610 by increasing the salinity gradient near the cross-sections, which mainly occurred in the
611 periods of the estuary deepening. The deepening rate of Sec.B1 was the highest (61%)
612 in 2010, which led to the strongest lateral circulation in 2010. The lateral circulation
613 intensity decreased when the estuary narrowed in 2003 due to the decreased
614 baroclinicity term. In addition, the shallowing was the main reason for the pattern
615 change of the lateral circulation at Sec.B2. At Sec. B2, the narrowing rate was the
616 largest in 2003, and the adjustment of the vertical diffusion term resulted in an increased
617 lateral circulation from 1994 to 2003. The decrease of the clockwise circulation at the
618 East Shoal was mainly related to the adjustment of the vertical diffusion term to the
619 baroclinicity term.

620

621 **4.3 Comparison to theoretical results and other estuaries influenced by human** 622 **interventions**

623

624 The longitudinal estuarine circulation is generated by the river discharge, Stokes
 625 return flow, longitudinal baroclinic pressure force, tidal straining, and advection (Geyer
 626 and Maccready, 2014). The HE features a microtidal tidal regime (tidal range less than
 627 1.5 m), and the component generated by the baroclinic pressure gradient, i.e., the
 628 gravitational circulation, would be a primary part of the longitudinal estuarine
 629 circulation. The convergent geometry makes it susceptible to the residual flow induced
 630 by the longitudinal advection (Burchard et al., 2014). However, as seen above, the
 631 horizontal advection also plays a role in generating the estuarine circulation.

632 With channel deepening and width narrowing in the HE, the gravitational
 633 circulation was increased by the increased baroclinic pressure gradient force. Based on
 634 Geyer's research (2010), the gravitational circulation can be simplified to:

$$635 \quad v_g = a_1(\beta g s_0 R w_0 h_0)^{1/5} U_0^{2/5} w^{-2/5} h^{-1/5}, \quad (6)$$

636 in which w_0 and h_0 is the width and depth at the estuary mouth, respectively. It
 637 indicates that the gravitational circulation is inversely related to the water depth and
 638 width in the estuary, with a weaker dependence on the water depth. In Chant et al.
 639 (2018), the gravitational circulation is completely unrelated to the water depth in their

640 equation (2), which is $v_g \propto \left(\frac{g'R}{w}\right)^{\frac{1}{3}}$, in which the g' is the reduced gravity acceleration.

641 This seems to contradict the situations occurring in many estuaries, such as in the Coos
 642 Bay (Eidam et al., 2020), Tampa Bay (Zhu et al., 2015), Changjiang Estuary (Zhu,
 643 2018), Ems estuary (Van Maren et al., 2015), Hudson Estuary (Ralston and Geyer,
 644 2019), and Newark Bay of the Delaware estuary (Chant et al., 2018). In all these
 645 estuaries, the gravitational circulation demonstrated an increase with the deepening of
 646 the channel. It suggests that the changes in gravitational circulation vary in different
 647 parts of the estuary and the longitudinal salinity gradient may not catch up with the
 648 change in water depth in the analytical solution, proposed by Chant et al. (2018) and
 649 Ralston and Geyer (2019). In our study site, the salinity gradient at the upstream part
 650 of the longitudinal section was increased owing to an enhanced salt intrusion where

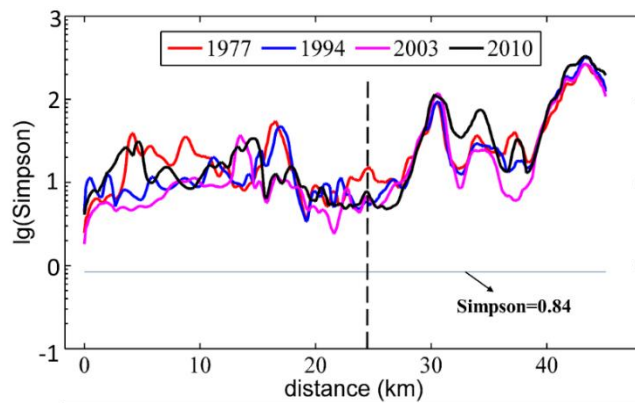
651 water depth increased, which led to an increased gravitational circulation in the
 652 upstream of the HE (Fig. 4).

653 The tidal straining-induced estuarine circulation is another important component of
 654 longitudinal estuarine circulation. The straining-induced circulation is the covariance
 655 of the eddy viscosity and the vertical shear of the longitudinal flow (ESCO) in a tidal
 656 cycle and is included in the term of internal friction. Cheng et al. (2010) have indicated
 657 that ESCO-induced flow dominates the gravitational circulation in periodically
 658 stratified estuaries with strong tides, having the same structure as the gravitational
 659 circulation. It has the same order of magnitude in weakly stratified estuaries with
 660 moderate tides, and is less important in highly stratified estuaries with weak tides, even
 661 with a reversed structure with the gravitational circulation. As indicated by Becherer et
 662 al. (2015), the strength of the straining-induced circulation is dependent on the Simpson
 663 number (or the horizontal Richardson number). The Simpson number is expressed as:

$$664 \quad S_i = g\beta \frac{ds}{dy} \frac{h^2}{u_*^2}, \quad (7)$$

665 in which u_* is the bottom friction velocity, represented by $u_* = \sqrt{C_d} U_t$, where C_d is
 666 the bottom friction coefficient and U_t is the tidal velocity amplitude.

667 When S_i is larger than 0.84, the water column is in a persistent stratified situation,
 668 and the straining-induced circulation becomes weaker. We calculated the S_i along the
 669 longitudinal section in different years and depict them in Fig. 11.



670
 671 Fig. 11. Distribution of the Simpson number in different years along the longitudinal section.
 672 The Y-axis represents the logarithmic of the S_i . The black dotted line represents the location
 673 of the null point.

674 It indicates that along the longitudinal section, the S_i number was mostly above
675 the criterion of 0.84, showing that the straining-induced circulation is not significant.
676 The S_i number was the smallest in 2003 and the largest in 2010. It indicates that with
677 the narrowing and deepening of the HE, the straining-induced circulation became
678 weaker. This is consistent with Burchard et al. (2014) and Schulz et al. (2015). It
679 indicates that with the human interventions, the straining-induced circulation became
680 less important in the longitudinal estuarine circulation.

681 For the advection-induced longitudinal estuarine circulation, we noted that the
682 longitudinal and vertical advection terms were smaller than the lateral advection. Based
683 on Cheng and Valle-Levinson (2009), the lateral advection-induced longitudinal
684 circulation is proportional to the ratio of $h/(wK_m)$, where w is the width, and K_m is
685 the eddy viscosity. It shows that in a narrower and deeper estuary, the lateral advection
686 has a larger effect in influencing the longitudinal estuarine circulation. Lerczak and
687 Geyer (2004) also showed that the effect of the lateral advection on longitudinal
688 circulation is stronger for narrower estuaries. Our results show that with the narrowing
689 and deepening of the estuary, not only the lateral advection but also the longitudinal
690 advection has great influences on the longitudinal estuarine circulation.

691

692 **4.4 The possible future development of the estuarine circulation and its** 693 **implications**

694

695 The pattern of lateral circulation during the dry season in the HE experienced a
696 dramatic change from 2003 to 2010 in the West Shoal at Sec. B2, from an under-
697 developed circulation structure to a complete clockwise vortex in 2010. This transition
698 was associated with the increase in lateral salinity gradient, the increase in longitudinal
699 bottom landward flow, and a decrease of friction by the increased water depth and
700 stratification.

701 The mechanisms for the lateral circulation during the wet season have been
702 revealed by Chen et al. (2020b), who showed that it was primarily driven by the

703 barotropic process, i.e., the water elevation gradient, and thus by the intensity of the
704 ebb jet. Different from the wet season when the river discharge was higher, the lateral
705 circulation in the dry season was more affected by the baroclinic effect. We speculate
706 that with the narrowing and deepening of the estuary, the lateral circulation even in the
707 wet season will be enhanced with the ebb jet in the deep channel strengthened.

708 In the HE, the channel underwent siltation, and sediment was carried from the
709 channels to side banks by the lateral circulation, making the estuary overall shallower
710 in 2003. In 2005, dredging of the channel increased the channel depth (Luo, 2010), and
711 increased the longitudinal estuarine circulation, though the lateral circulation decreased
712 slightly by the smaller rate of convergence. If reclamation did not occur as frequently
713 as it did in the last century, and the channel dredging continued, the estuarine circulation
714 of the estuary will in general keep increasing with the increase in water depth, and thus
715 a positive feedback exists. However, as revealed in Eq. (6) and Eq. (2) in Chant et al.
716 (2018), with the increase in salt intrusion, the longitudinal salinity gradient will
717 decrease, showing negative feedback. Moreover, Schulz et al. (2015) noted that
718 estuarine circulation exhibits a distinct maximum in medium-wide channels by
719 comparing estuarine circulation under different width-to-depth ratios. In our study, as
720 shown in Table 4, the width-to-depth ratio has been decreasing from 1977 to 2010, but
721 the estuarine circulation has been increasing. The difference would be caused by the
722 fact that in our study site, the tidal mixing is not strong enough to generate an effective
723 tidal straining-induced circulation.

724 The changes in the estuarine circulation have important implications for sediment
725 transport and morphological evolution in the HE. With the increase of longitudinal
726 estuarine circulation, the sediment trapping effect is expected to be enhanced, thus more
727 riverine sediment would be trapped inside the estuary. In the meantime, the change in
728 lateral circulation would decrease the sediment advection from the channel to the West
729 Shoal, which occurred in the wet season and was favorable for the siltation in the West
730 Shoal (Chen et al., 2020b).

731 In this study, the model used was only driven by river discharge and tides, without
732 considering the effects of winds, waves, and other upstream flows into the estuary.
733 Future work could incorporate the above factors to improve the model's accuracy.

734

735 **5. Conclusion**

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737 This study investigated the morphological evolution of the HE from 1977 to 2010
738 using ArcGIS and remote sensing. It was noted that the West Channel of the HE
739 disappeared, causing the morphological pattern to change from “two channels and
740 three shoals” gradually to “one channel and two shoals” throughout the years. Due to
741 the reclamation and development of salt marshes along the estuarine banks, the estuary
742 has been experiencing continuous narrowing. Meanwhile, channel dredging has
743 deepened the estuary over the study period. The intensity of the longitudinal estuarine
744 circulation kept increasing as the estuary width continued to decrease. The trend of the
745 lateral circulation intensity altered when the estuary shallowed (from 1994 to 2003).

746 The changes in the longitudinal estuarine circulation were dominated by the
747 changes in the baroclinic pressure gradient force and advection. As the estuary was
748 narrowing and deepening, the pressure gradient force and advection term (especially
749 the horizontal advection term) increased, which increased the longitudinal circulation.
750 The change in lateral circulation intensity was mainly caused by the change of the
751 vertical shear of the longitudinal subtidal flow, the lateral salinity gradient, and the
752 vertical dissipation term. The changes in water depth were the dominant factor
753 affecting lateral circulation intensity. The increase of water depth enhanced the
754 longitudinal circulation and the lateral circulation of the upstream cross-section in
755 2010. The changes in the estuarine circulation have great implications for the sediment
756 transport in the HE, which would be explored in the next step.

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759 **Data availability**

760 A total of 142G data of 66 images (Table 1) covering the PRD during cloudless days
761 in multiple years (from 1973 to 2018) were downloaded from <http://www.gscloud.cn/>.

762

763 **Author contributions**

764 RuiZhang: Writing - original draft, model runs and analyses. Bo Hong: Writing -
765 review. Lei Zhu: Writing - review. Wenping Gong: Writing - review & editing,
766 Conceptualization, Funding acquisition. Heng Zhang: Visualization, Funding
767 acquisition.

768

769 **Competing interests**

770 The authors declare that they have no conflict of interest.

771

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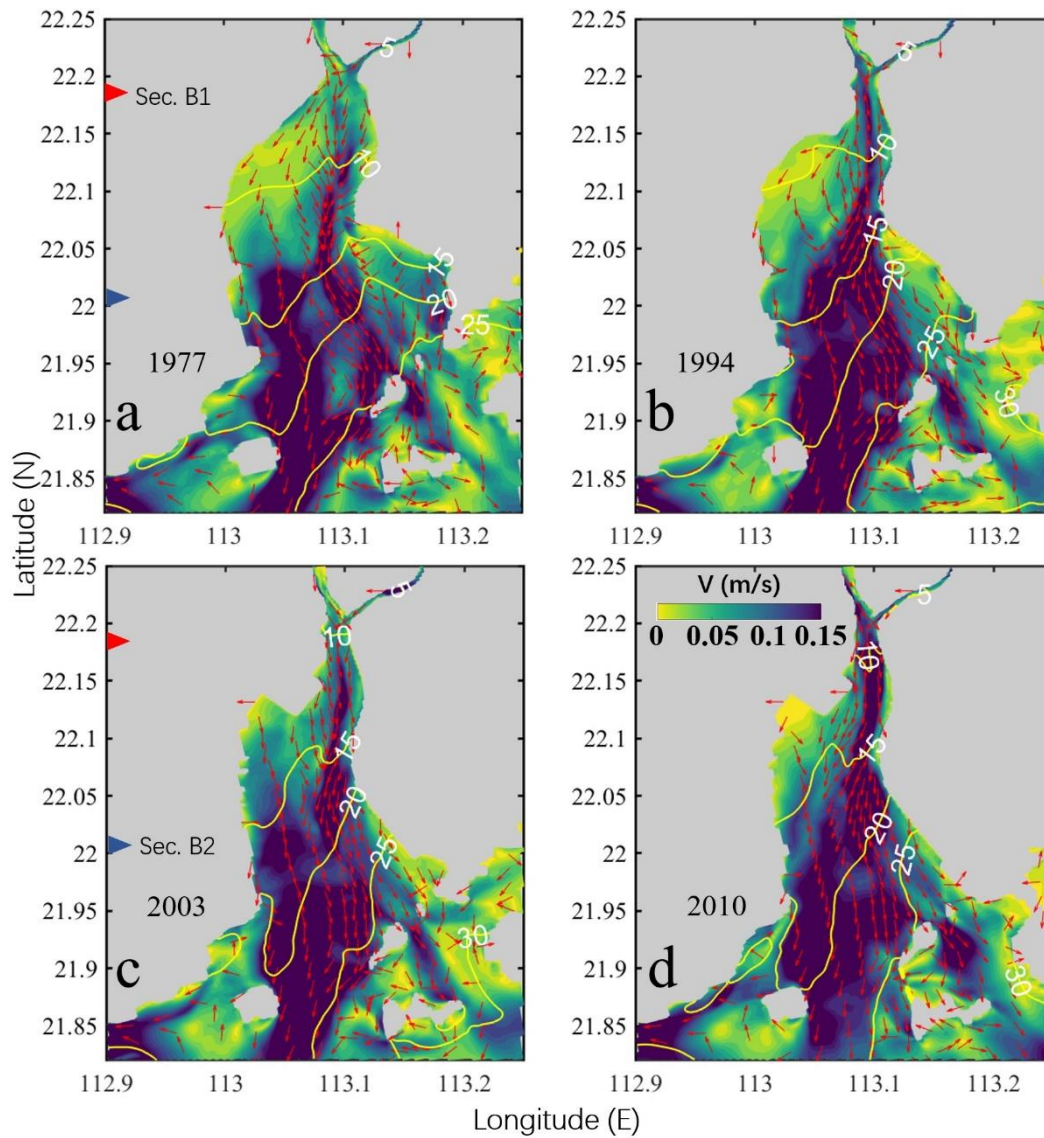
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791 **Appendix A**

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794 Fig. A. 1. Patterns of the horizontal circulation at the surface during neap tide in 1977(a1),
795 1994(a2), 2003(a3), and 2004(a4). The magnitude of the current is represented by the color
796 shading, while the current direction is shown by the arrows. The salinity is depicted by the
797 contour lines. The red and blue triangles depict the positions of two cross-sections (Sec.B1 and
798 Sec.B2).

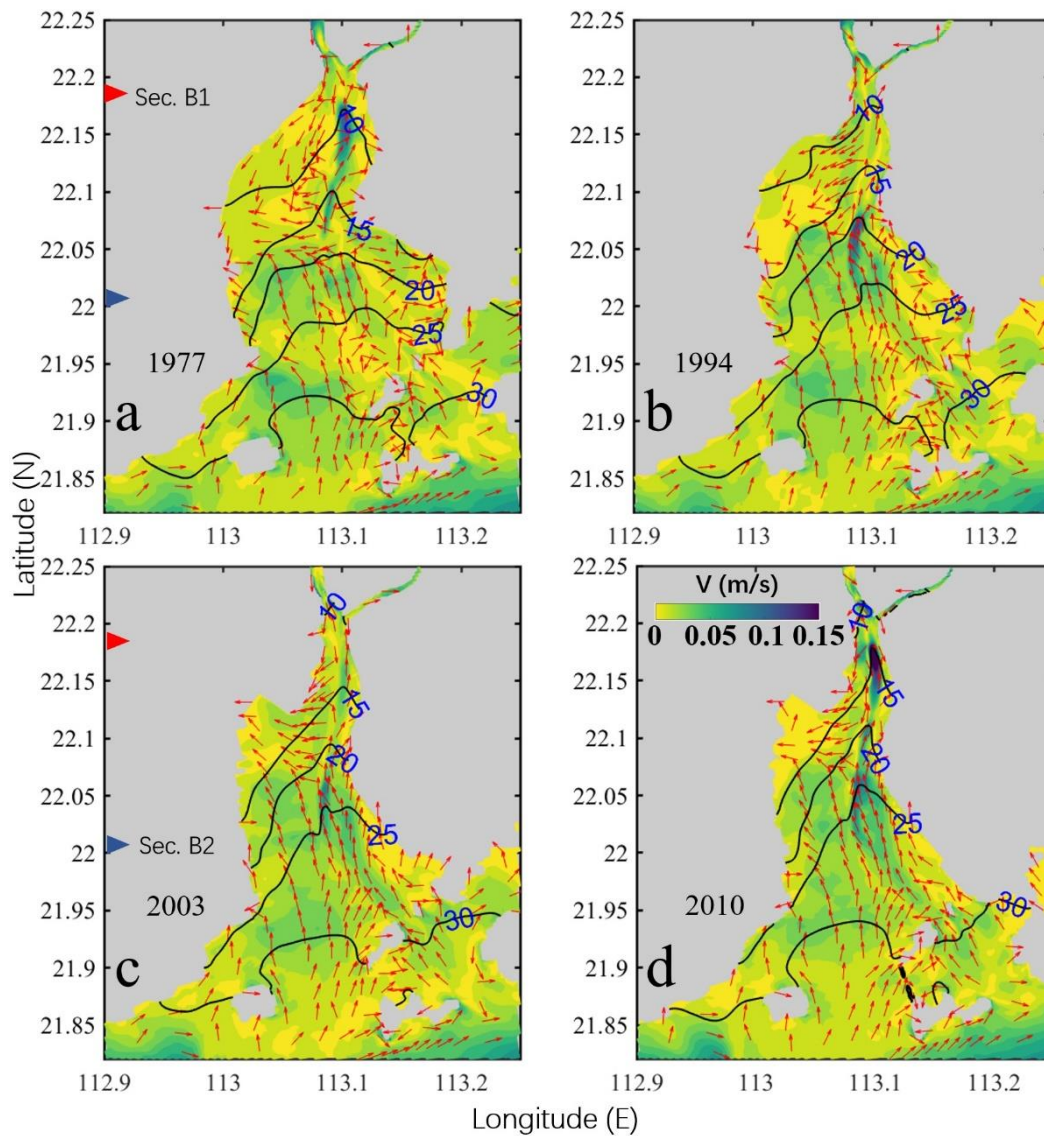
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805 Fig. A. 2. Patterns of the horizontal circulation at the bottom during neap tide in 1977(a1),
 806 1994(a2), 2003(a3), and 2004(a4). The magnitude of the current is represented by the color
 807 shading, while the current direction is shown by the arrows. The salinity is depicted by the
 808 contour lines. The red and blue triangles denote the positions of two cross-sections (Sec.B1 and
 809 Sec.B2).

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818 **References**

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