



- 1 Geophysical and biogeochemical observations using BGC Argo floats in the western North
- 2 Pacific during late winter and early spring. Part 1: Restratification processes of the surface
- 3 mixed layer
- 4
- 5 Ryuichiro Inoue<sup>1</sup>, Chiho Sukigara<sup>2</sup>, Stuart Bishop<sup>3</sup>, Eitarou Oka<sup>4</sup>, Takeyoshi Nagai<sup>5</sup>
- 6 *Correspondence to:* Ryuichiro Inoue (rinoue@jamstec.go.jp)
- 7 <sup>1</sup> Japan Agency for Marine-Earth Science and Technology, Yokosuka, Kanagawa 237-0061,
- 8 Japan.
- 9 <sup>2</sup> Tokyo University of Marine Science and Technology, Minato, Tokyo, 108-8477, Japan
- 10 <sup>3</sup> North Carolina State University, Raleigh, North Carolina, 27695, USA
- 11 <sup>4</sup> University of Tokyo, Kashiwa, Chiba, 277-0882, Japan
- 12
- 13
- 14



15 **Abstract.** To understand oceanic restratification in the subtropical northwestern Pacific and its  
16 influence on biogeochemical (BGC) processes, we examined post-storm restratification events  
17 observed from February to April 2018 by BGC-Argo floats, the BGC data from which were  
18 stoichiometrically analyzed by Sukigara et al. (2021; this issue). We found that during these  
19 events, restratification of the mixed layer (ML) was driven by geostrophic adjustment or ML  
20 eddy formation related to surface cooling during February to March. At the end of March, high  
21 surface chlorophyll *a* concentrations were observed within submesoscale eddies and at the edge  
22 of a mesoscale cyclonic feature observed from satellite data. Our results indicate that primary  
23 production in the subtropical northwestern Pacific is enhanced by the combined effects of  
24 mesoscale upwelling, storm-driven formation of a deep ML, subsequent formation of ML  
25 eddies, weak cooling, and the length of intervals between storms.

26

27

28

29

30



31 **1. Introduction**

32 In the subtropical northwestern Pacific Ocean, the effects of large wintertime air–sea  
33 buoyancy fluxes and wind forcing result in formation of a deep mixed layer (ML). The mixed  
34 water is eventually subducted into the ocean interior to become North Pacific Subtropical mode  
35 water (NPSTMW), which is an important storage reservoir for anthropogenic carbon (e.g. Oka  
36 et al., 2018 and 2019). The northwestern Pacific Ocean also plays an important role in carbon  
37 fixation through net community production (e.g., Riser and Johnson, 2008). Fassbender et al.  
38 (2017) used data from the Kuroshio Extension observatory buoy site (Cronin et al., 2013) in the  
39 region where the NPSTMW forms to quantitatively estimate the export of organic and inorganic  
40 carbon from the surface to the ocean interior. They demonstrated that the amount of nutrients  
41 available for phytoplankton is controlled by the balance between the maximum ML depth in  
42 winter, the speed of shallowing of the ML in spring, and light conditions in the euphotic zone.

43 The shallowing of the ML in spring is caused by surface warming due to solar radiation and  
44 one-dimensional air–sea heat exchange, although Mahadevan et al. (2012) showed that rapid  
45 restratification in ocean frontal regions is related to the formation of ML eddies, whose strength  
46 depends on mesoscale horizontal density gradients. Fox-Kemper et al. (2008) showed that  
47 horizontal density differences within the ML generates ML eddies that work to rapidly restratify  
48 surface waters. However, it takes more than several days for the ML to reach baroclinic



49 instability, so it is possible that the initial geostrophic adjustment is important (Tandon and  
50 Garrett, 1995) if the intervals between storms are shorter than the time scale of baroclinic  
51 instability. Tandon and Garrett (1995) also pointed out that symmetric instability (e.g., Stone,  
52 1970) might be important during the initial geostrophic adjustment, which has also been  
53 demonstrated by numerical simulations (Taylor and Ferrari, 2009). Furthermore, weakly  
54 stratified waters near eddy edges create density fronts, which can enhance vertical mixing  
55 through slantwise convection caused by symmetric instability forced by down-front winds and  
56 submesoscale (~10 km) cooling (Taylor and Ferrari, 2010).

57 Because it is difficult to obtain data of high temporal and spatial resolution in the  
58 northwestern Pacific during severe winter weather, the abovementioned stratification and de-  
59 stratification processes have rarely been observed, so their effects on biogeochemical (BGC)  
60 cycling are not well understood (Mahadevan, 2016).

61 To better understand the influence of submesoscale physical processes on BGC processes in  
62 the subtropical northwestern Pacific, we conducted BGC and microstructure turbulence  
63 measurements during two cruises in January and April 2018. To fill the observational gap  
64 between the two cruises, we deployed two BGC floats (NAVIS, Sea-Bird Scientific, Bellevue,  
65 USA), one Electro-magnetic-APEX float (Teledyne Webb Research, MA, USA), and one  
66 SeaGlider (Kongsberg Maritime, Kongsberg, Norway) during the first cruise (on 28 January



67 2018) and compared the data acquired with them with high-resolution satellite data. Our aim  
68 was to use these data to clarify the physical oceanographic conditions during post-storm  
69 restratification events in the subtropical northwestern Pacific.

70

## 71 **2. Data and methods**

### 72 **2.1. Biogeochemical floats**

73 On 28 January 2018, during R/V *Shinsei Maru* cruise KS-18-1 (January 18-30, 2018), two  
74 NAVIS BGC floats (World Meteorological Organization nos. 2903329 and 2903330) were  
75 launched at 33.25°N, 142.50°E in the Kuroshio recirculation gyre, south of the Kuroshio  
76 Extension (Fig. 1; see also Sukigara et al. (2021; this issue). Until 13 March, the floats moved  
77 southwestward separated by <20 km. They then turned northward in the region between the –  
78 4000 and –3000 m isobaths, maintaining a separation thereafter of about 25 km. On 10 April  
79 they reached the Kuroshio Extension and were swept northeastward until April 20, 2018 when  
80 those were recovered in R/V *Shinsei Maru* cruise KS-18-4 (April 20-May 1, 2018).

81 Each float was equipped with an SBE41CP conductivity–temperature–depth (CTD) sensor  
82 (Sea-Bird Electronics, Inc., Bellevue, USA) and BGC sensors. In this study, we used the CTD  
83 data from the floats; the BGC data have been discussed by Sukigara et al. (2021; this issue).



84 After descending from a parking depth of 1000 dbar to a depth of 2000 dbar, the floats  
85 measured CTD data (vertical sampling interval of 2 dbar) during their subsequent ascent to the  
86 sea surface. Each float reached the sea surface at about midnight and remained there for about  
87 15 min to transmit data via the IRIDIUM satellite-based wireless communication system before  
88 returning to the parking depth. The same procedure was repeated daily during the two cruises.

89

## 90 **2.2. Other data sets**

91 We used daily mean global eddy-resolving ocean reanalysis data (1/12° horizontal resolution,  
92 Global\_ReAnalysis\_phy\_001\_030; provided by the Copernicus Marine Environment  
93 Monitoring Service; <http://marine.copernicus.eu>) covering the float observation period.

94 We used 1/4° × 1/4° daily gridded sea surface height anomaly (SSHA) from the Archiving,  
95 Validation, and Interpretation of Satellite Oceanographic (AVISO) dataset (Ducet et al., 2000)  
96 and chlorophyll-a from Moderate Resolution Imaging Spectroradiometer-Aqua (MODIS)  
97 satellite data. level 2 LAC (Local Area Coverage) data  
98 (<https://oceancolor.gsfc.nasa.gov/cgi/browse.pl>) on 15 March  
99 (A2018074031000.L2\_LAC\_OC.nc), 25 March (A2018084034500.L2\_LAC\_OC.nc) and 29  
100 March (A2018088032000.L2\_LAC\_OC.nc).

101 We also used the ERA5 hourly reanalysis data from the European Centre for Medium-Range



102 Weather Forecasts (<https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5>) for  
103 surface heat fluxes and wind stresses during the observation period.

104

### 105 **2.3. Heat budget of the upper ocean**

106 Sukigara et al. (2021) showed that the atomic carbon to nitrogen (CN) ratios during four  
107 storm events observed by the BGC floats differed considerably (Table 1). Although the CN  
108 ratio immediately after a storm event does not necessarily correspond to the Redfield ratio  
109 (Redfield 1958), during two of those storms, the observed CN ratios did not match the Redfield  
110 ratio at all. The goal of the research we present here was to determine whether those differences  
111 of CN ratio were caused by physical oceanographic conditions. We therefore calculated the  
112 temporal changes of heat content and examined the one-dimensional heat budget of the upper  
113 ocean. If this heat balance does not hold, we speculate that other factors such as float  
114 movements and horizontal advection might be important.

115 Because the ML south of the Kuroshio Extension reaches a depth of 300 dbar, and to avoid  
116 estimating entrainment heat fluxes associated with its deepening, for both float profiles we  
117 calculated the heat content between the shallowest observed depth and 400 dbar. By assuming a  
118 negligibly small vertical heat flux across 400 dbar, the daily heat content change should be  
119 equal to the heat flux at the sea surface, expressed as



120 
$$\rho C_p \Delta \int_{-400}^0 \theta dz \sim \int_0^{1day} F_t|_{z=0} dt. \quad (1)$$

121 Here,  $\Delta$  is the difference between the two daily profiles and  $F_t|_{z=0}$  is the surface heat flux.

122 We considered three types of surface heat flux: the air–sea heat flux and heat fluxes due to

123 Ekman transport and ML eddy formation.

124 To consider the air–sea heat flux we interpolated hourly float positions between daily

125 positions and then interpolated the ERA5 hourly reanalysis data to those positions. The

126 interpolated hourly heat fluxes were integrated over 24 h and compared with the daily change of

127 heat content.

128 To compare the daily heat content change with the heat flux due to Ekman transport ( $F_{EK}$ ,

129 Thomas and Lee, 2005) we used the following equation:

130 
$$F_{EK} = -\frac{(\boldsymbol{\tau} \times \hat{k}) \cdot \nabla_h b}{\rho_0 f} \frac{C_p \rho_0}{\alpha g} = -\frac{\tau_y \partial b / \partial x - \tau_x \partial b / \partial y}{f} \frac{C_p}{\alpha g}, \quad (2)$$

131 where  $\boldsymbol{\tau} = (\tau_x, \tau_y)$  is wind stress from the interpolated ERA 5 hourly reanalysis data,  $\hat{k}$  is a

132 unit vector,  $f$  is the Coriolis frequency,  $C_p$  is the specific heat of sea water,  $\alpha$  is the thermal

133 expansion coefficient of sea water,  $g$  is gravitational acceleration, and  $\nabla_h b$  is the horizontal

134 gradient of buoyancy. Here, we used the reanalysis data provided by the Copernicus Marine

135 Environment Monitoring Service to estimate  $\nabla_h b$  at the sea surface by center differentiation on

136 each model grid and interpolated it to float positions at their surfacing times. Note that the

137 reanalysis data cannot resolve the submesoscale differences related to the spatial separation of



138 the two floats. We also used the differences of the ML densities obtained by the two floats to  
139 calculate  $\nabla_h b$  and compared the resultant values with the reanalysis data. In this approach, we  
140 used the wind stress normal to the line drawn between two floats' positions to calculate  $F_{EK}$ .

141 The heat flux due to ML eddy formation ( $F_{ME}$ , Fox-Kemper et al., 2008) is

142 
$$F_{ME} = 0.06 \frac{|\nabla_h b|^2 H^2}{f} \frac{c_p \rho_0}{\alpha g} > 0, \quad (3)$$

143 where  $H$  is the average of the ML depths obtained from the two floats. ML depth was defined  
144 as the depth at which the potential density became  $0.03 \text{ kg m}^{-3}$  heavier than the average potential  
145 density above 10 dbar.

146 Note that the  $F_{EK}$  and  $F_{ME}$  values we determined represent upper bounds, because the time  
147 scale of those processes is longer than that of the initial geostrophic adjustments (less than a few  
148 days) (e.g., Thomas and Ferrari, 2008; Fox-Kemper et al., 2008).

149

### 150 **3. Results**

#### 151 **3.1. Atmospheric conditions during the period of the two BGC floats observations**

152 In early February, a week or so of strong winds was followed by a few calm days. From the  
153 middle of February, the calm intervals between periods of strong wind were mostly longer than  
154 a few days (Fig. 2a). Hourly net sea-surface heat fluxes showed diurnal cycles (24-h averages



155 approaching zero), and cooling during storm events weakened approaching April (Fig. 2b).

156 Sukigara et al. (2021; this issue) discuss the stoichiometry during restratification events

157 following four storms (Table 1 and Fig. 2), which are also the focus of this study.

158

### 159 **3.2. Float observations**

160 The two BGC floats deployed in the Kuroshio recirculation gyre on 28 January 2018

161 indicated that the ML was deep (Fig. 3) during the period when the floats initially circled and

162 began to move westward (Fig. 1). Isothermal heaving was apparent below the ML at various

163 times. We examined the physical oceanographic conditions during a period of heaving between

164 11 and 18 March, which corresponds to the period of the third post-storm restratification event

165 (Case 3 in Table 1). The deepest ML was observed between 18 and 25 March (which

166 corresponds to Case 4). In April, the ML rose to a shallower level in response to atmospheric

167 conditions and the positions of the two floats which approached to the Kuroshio Extension.

168

### 169 **3.3. Changes of heat content**



170 In this section, we focus on the four storm events recognized by Sukigara et al. (2021; this  
171 issue), all of which occurred between January and March (Table 1). The average temperature of  
172 the water column from the surface to the 400 dbar level (Fig. 4a) increased until 10 March,  
173 decreased suddenly around 11 March, and then started to increase again. Because surface heat  
174 fluxes measured in February and March showed cooling of the ocean surface, we suggest that  
175 the observed increases were likely caused either by lateral advection of warm water, or by  
176 lateral movement of the floats.

177 The largest values of  $F_{EK}$  and  $F_{ME}$  were of the same magnitude as the surface heat fluxes,  
178 except on 22 February (Fig. 4b). Comparison of the values of  $\nabla_h b$  from the ocean reanalysis  
179 data with those determined from the float observations showed that  $F_{EK}$  from the reanalysis  
180 data tended to be larger. In contrast, the  $F_{ME}$  values determined from the float observations  
181 were larger than those from the reanalysis data. These differences indicate that  $\nabla_h b$  may be  
182 underestimated in the reanalysis data, possibly because of differences in the horizontal  
183 resolution of the reanalysis data and float observations, and that the inner product of wind stress  
184 and  $\nabla_h b$  estimated from float observations in (2) is also underestimated because winds normal  
185 to the line drawn between two floats' positions do not match the largest Ekman transport. The  
186 daily change of heat content is 10 times (or even more) the time-integrated net heat flux, which  
187 supports the importance of changes due to horizontal temperature gradients, even though there



188 are large uncertainties in estimating  $F_{EK}$  and  $F_{ME}$ . Our results suggest that of the post-storm  
189 events identified, only Case 4 is close to a one-dimensional exchange of heat budget in the  
190 upper 400 dbar of the water column.

191 Next, we examine the details of each of the post-storm restratification events shown in Table  
192 1.

193

### 194 **3.3.1. Case 1 (6–9 February, after the storm of 5 February)**

195 After deployment on 28 January, the circling BGC floats drifted westward (Fig. 5a). After the  
196 storm on 5 February, deepening and cooling of the ML continued until 7 February (Fig. 5b-c);  
197 then, during 8–9 February, it shoaled and warmed. During 8–11 February, a warm front within  
198 the ML was identified near the western end of a SeaGlider transect across the float trajectory  
199 (Figs. 5a and 6). We therefore concluded that the warmer water encountered by the floats as  
200 they drifted westward after deployment represented a different water mass, which was  
201 substantiated by the low CN ratio of water sampled after the storm (Table 1).

202

### 203 **3.3.2. Case 2 (18–23 February, after the storm of 17 February)**



204 By 18 February the circling floats had drifted eastward and returned to the vicinity of their  
205 deployment (Fig. 7a), where the observed water temperature was lower compared to that in the  
206 west. The potential temperature in the ML above the 200 dbar level changed little but showed  
207 some evidence of restratification (Fig. 7b-c). Changes of heat content were mainly below the  
208 200 dbar level; we therefore consider that below the 200 dbar level the floats were within the  
209 same water mass of the ML, which may explain why the estimated CN ratio (Table 1) was  
210 relatively close to the Redfield ratio. The restratification process within the ML is further  
211 discussed in the section 4.2.

212

### 213 **3.3.3. Case 3 (9–15 March, after the storm of 7 March)**

214 While the floats moved westward roughly along the sea surface height anomaly (SSHA)  
215 contour during this period (Fig. 8a), a cyclonic feature moved northward through the area and  
216 the floats observed a doming isotherm (Fig. 3) below the ML (Fig. 8c). The doming isotherm  
217 was accompanied by salinity intrusions (Fig. 8b), which disappeared when the floats left the  
218 doming structure. This mesoscale doming and the accompanying salinity intrusions are  
219 indicative of the importance of lateral processes, which may explain the large difference  
220 between the two CN ratios estimated during this period (Table 1).



221

222 **3.3.4. Case 4 (22–27/28 March, after the storm of 21 March)**

223 The floats moved northward during 22–27/28 March and the cyclonic structure retreated (Fig.  
224 9a–b). We observed the deepest ML (~320 dbar) during this period, and potential temperature in  
225 the water column above it was relatively uniform and was gradually stratified during this period  
226 (Fig. 9c–d). Case 4 showed the largest increments of particulate organic carbon (POC) among  
227 the four events (Table 1). The increased POC might be explained by post-storm formation of  
228 submesoscale cyclonic eddies in the ML (Fig. 10), which trapped nutrients and phytoplankton  
229 near the surface during the calmer and warmer weather at the end of March (as discussed in  
230 section 3.1). Note that March 28 was chosen as the end of Case 4 for the float no. 2903330,  
231 which corresponded to the maximum concentration of chlorophyll *a* after the storm (Sukigara et  
232 al., 2021), and we speculate that the difference of dates is related to the patchiness of high  
233 chlorophyll *a* distribution seen in Figure 10c.

234 In the next section, we further explore the effects of mesoscale features during Cases 3 and 4  
235 that could have enhanced submesoscale activities and the vertical transport of nutrients below  
236 the ML.

237



238 **4. Discussion**

239 **4.1. Effects of mesoscale features**

240 It is known that moving cyclonic eddies promote local upwelling (McGillicuddy et al., 1998).  
241 In winter, enhanced entrainment of nitrate during deepening of the ML can be expected if there  
242 is a cyclonic eddy below the deep ML. To investigate vertical motion due to such mesoscale  
243 phenomena, we calculated the  $\mathbf{Q}$  vector normalized by the horizontal buoyancy gradient  $Q \cdot$   
244  $\nabla_h b / |\nabla_h b|$  and its negative horizontal divergence  $-\nabla_h \cdot Q(\sim w)$ .

245 If we assume a quasi-geostrophic balance,  $Q \cdot \nabla_h b / |\nabla_h b|$  and  $-\nabla_h \cdot Q(\sim w)$  correspond to  
246 changes of the horizontal gradient of buoyancy with time and vertical velocity, respectively.  
247 The  $\mathbf{Q}$  vector (e.g. Hoskins et al., 1978) is defined as

248 
$$\mathbf{Q} = (Q_1, Q_2) = \left( -\frac{\partial u}{\partial x} \frac{\partial b}{\partial x} - \frac{\partial v}{\partial x} \frac{\partial b}{\partial y}, -\frac{\partial u}{\partial y} \frac{\partial b}{\partial x} - \frac{\partial v}{\partial y} \frac{\partial b}{\partial y} \right). \quad (4)$$

249 We calculated the above variables at 400 m depth by using ocean reanalysis data (described in  
250 Section 2.2) that do not provide vertical velocity fields. We examined those variables in March,  
251 when both cyclonic features and high surface concentrations of chlorophyll  $a$  (e.g., Fig. 10c)  
252 were observed (during Cases 3 and 4).



253 The  $Q$  vector at 380 m depth (below the ML) indicated that the density field there was  
254 squeezed in early March when upwelling was observed at the edge of cyclonic features (Fig.  
255 11a and d). From the middle of March, the density field became relaxed and the distribution of  
256 upwelling became patchy (Fig. 11b and e). By 21 March, the mesoscale changes of the density  
257 field and upwelling had slowed (Fig. 11c and f). We speculate that the patchy upwelling  
258 associated with mesoscale fluctuations of the density field in early and middle March increased  
259 local nutrient concentrations below the ML. Then, during sporadic storms toward the end of  
260 March, nutrients were entrained in the deepening ML and restratification due to ML eddies  
261 created high surface concentrations of chlorophyll  $a$ .

262 In the next section, we discuss restratification processes during Cases 2 and 4, when the heat  
263 budget indicated that the effects of horizontal advection and float movement on heat contents  
264 were small.

265

#### 266 **4.2. Potential vorticity budget**

267 Based on the potential vorticity (PV) budget, the rate of change of stratification in the ML  
268 can be scaled by ML eddy formation, surface Ekman buoyancy flux, surface buoyancy flux, and  
269 geostrophic adjustment according to the relationships



270 
$$\frac{\partial N_{ME}^2}{\partial t} \sim 0.06 (\nabla_h b)^2 / f, \frac{\partial N_{EK}^2}{\partial t} \sim -\frac{(\tau \times \hat{k}) \cdot \nabla_h b}{\rho_0 f H^2}, \frac{\partial N_{BUO}^2}{\partial t} \sim -\frac{B_0}{H^2},$$
 and

271 
$$\frac{\partial N_{GEO}^2}{\partial t} \sim (2\pi)^{-1} (\nabla_h b)^2 / f, \quad (5)$$

272 respectively (e.g., Tandon and Garrett, 1995; Wenegrat et al., 2018). Here,  $B_0$  is surface  
273 buoyancy flux, which is approximated as  $B_0 \approx -\alpha g F_T / C_p \rho_0$  (positive for cooling). For  
274  $\partial N_{GEO}^2 / \partial t$ , the inertial period was used as the time scale. We set  $H$  to 200 dbar for Cases 2 and  
275 4 (Figs. 7 and 9) to exclude the effects of changes in the main thermocline. During Cases 2 and  
276 4 (Fig. 12), when the two floats observed a similar water mass, we suggested that stratification  
277 in the ML was caused by geostrophic adjustment and/or ML eddy formation related to  
278 wintertime cooling and smaller Ekman transports after the storms. We speculate that the  
279 formation of ML eddies was important for Case 4 because the intervals between storms were  
280 longer in late winter and the satellite data (Fig. 10) showed submesoscale cyclonic eddies at that  
281 time.

282

## 283 **5. Conclusions**

284 This paper focuses on four post-storm restratification events that were observed by two  
285 autonomous BGC floats during February to April 2018 in the subtropical northwestern Pacific.



286 The BGC data acquired were stoichiometrically analyzed by Sukigara et al. (2021; this issue).

287 From February to April, periods of strong wind became shorter and calm intervals between

288 storms increased. To exclude the effects of water advection and float movement, we calculated

289 temporal changes of heat content and the one-dimensional heat budget. During the events when

290 the two floats observed relatively uniform water in the ML, daily average surface heat fluxes we

291 calculated were indicative of cooling during January to March and suggest that ML

292 restratification was driven by geostrophic adjustment or the formation of ML eddies.

293 At the end of March, high surface concentrations of chlorophyll *a* were observed within

294 submesoscale eddies and at the edge of a mesoscale cyclonic feature identified from satellite

295 data. From the  $Q$ -vector distribution and PV balance, we speculate that upwellings caused by an

296 enhanced mesoscale cyclonic feature in late March caused local increases of nutrient

297 concentrations below the ML. Then, nutrients were entrained in the deepening ML during

298 sporadic storms and restratification related to the formation of eddies in the ML led to high

299 surface concentrations of chlorophyll *a*. Therefore, we conclude that primary production in the

300 subtropical northwestern Pacific was enhanced by the combined effects of mesoscale upwelling,

301 formation of a deep ML due to storm activity, subsequent formation of ML eddies, weak daily

302 average cooling (increasing sunlight), and longer intervals between storms.

303



304 **Acknowledgements**

305 We are indebted to the captain and crew of R/V Shinsei Maru for their seamanship. We thank  
306 H. Hosoda, M. Hirano and K. Sato at JAMSTEC and T. Iino at Marine Works Japan Ltd.  
307 (MWJ) for their helps for operation and calibration of BGC Argo floats, and K. Katayama, S.  
308 Oshitani, and H. Tamada at MWJ for their onboard analysis and deck work. We thank A.  
309 Fassbender at NOAA Pacific Marine Environment Laboratory and Y. Takeshita at Monterey  
310 Bay Aquarium Research Institute for their onboard analysis and discussions. We also thank D.  
311 Hasegawa and T. Okunishi at Tohoku National Fisheries Research Institute for operating the  
312 SeaGlider. This experiment was supported by Grant in Aid for Scientific Research on  
313 Innovative Areas (Ministry of Education, Culture, Sports, Science and Technology [MEXT]  
314 KAKENHI JP15H05818 and JP15K21710). The SeaGlider survey was partly supported by  
315 Japan Society of Promotion of Science through Grants-in-Aid for Scientific Research in  
316 Innovative Areas 2205. TN was supported by Grant in Aid for Scientific Research (MEXT  
317 KAKENHI 16H01590, 18H04914, 19H01965 and 20K20634).

318

319 **References**



- 320 Cronin, M. F., Bond, N. A., Farrar, J. T., Ichikawa, H., Jayne, S. R., Kawai, Y., Konda, M., Qiu,  
321 B., Rainville, L., and Tomita, H.: Formation and erosion of the seasonal thermocline in the  
322 Kuroshio Extension recirculation gyre, *Deep Sea Res., Part II*, 85, 62–74,  
323 doi:10.1016/j.dsr2.2012.07.018, 2013.
- 324 Ducet, N., Le Traon, P. Y., and Reverdin, G.: Global high-resolution mapping of ocean  
325 circulation from the combination of T/P and ERS-1/2, *J. Geophys. Res.*, 105, 19477-19498,  
326 2000.
- 327 Fassbender, A. J., Sabine, C. L., Cronin, M. F., and Sutton, A. J.: Mixed-layer carbon cycling at  
328 the Kuroshio Extension Observatory, *Global Biogeochem. Cycles*, 31, 272– 288,  
329 doi:10.1002/2016GB005547, 2017.
- 330 Fox-Kemper, B., Ferrari, R., and Hallberg, R.: Parameterization of Mixed Layer Eddies. Part I:  
331 Theory and Diagnosis, *J. of Phys. Oceanogr.*, 38(6), 1145-1165, 2008.
- 332 Hoskins, B. J., Draghici, I., Davies, H. C.: A new look at the  $\omega$ -equation, *Quart. J. R. Met. Soc.*,  
333 104, 31–38, 1978.
- 334 Mahadevan, A.: The Impact of Submesoscale Physics on Primary Productivity of Plankton, *Ann*  
335 *Rev Mar Sci*. 2016 8:1, 161-184, 2016.



- 336 Mahadevan, A., D'Asaro, E., Lee, C., Perry, MJ.: Eddy-driven stratification initiates North  
337 Atlantic spring phytoplankton blooms, *Science*, Jul 6;337(6090):54-8. doi:  
338 10.1126/science.1218740. PMID: 22767922, 2012.
- 339 McGillicuddy, D. J., Robinson, A. R., Siegel, D. A., Jannasch, H. W., Johnson, R., Dickey, T.  
340 D., McNeil, J., Michaels, A. F., and Knap, A. H.: Influence of mesoscale eddies on new  
341 production in the Sargasso Sea, *Nature*, 394, 263–266, 1998.
- 342 Oka, E., Ishii, M., Nakano, T., Suga, T., Kouketsu, S., Miyamoto, M., Nakano, H., Qiu, B.,  
343 Sugimoto, S., and Takatani, Y.: Fifty years of the 137E repeat hydrographic section in the  
344 western North Pacific Ocean, *J. Oceanogr.*, 74, 115-145, 2018
- 345 Oka, E., Yamada, K., Sasano, D., Enyo, K., Nakano, T., and Ishii, M.: Remotely forced decadal  
346 physical and biogeochemical variability of North Pacific Subtropical Mode Water over the last  
347 40 years, *Geophys. Res. Letters*, 46, 1555-1561, 2019
- 348 Redfield, A. C.: The Biological Control of Chemical Factors in the Environment, *American*  
349 *Scientist*, 46, 3, 205–21, 1958
- 350 Riser, S. and Johnson, K.: Net production of oxygen in the subtropical ocean, *Nature*, 451, 323–  
351 325, <https://doi.org/10.1038/nature06441>, 2008



- 352 Stone, P. (1970). On non-geostrophic baroclinic stability: Part II. *J. Atmos. Sci.*, 27, 721–726.
- 353 Wenegrat, J.O., L. N. Thomas, J. Gula, and J. C. McWilliams (2018). Effects of the  
354 submesoscale on the potential vorticity budget of ocean mode waters. *J. Phys. Oceanogr.* 48, 9,  
355 2141-2165.
- 356 Tandon, A. and C. Garrett (1995). Geostrophic Adjustment and Restratification of a Mixed  
357 Layer with Horizontal Gradients above a Stratified Layer, *Journal of Physical Oceanography*,  
358 25(10), 2229-2241. doi: 10.1175/JPO-D-17-0219.1.
- 359 Taylor, J. and R. Ferrari (2009). The role of secondary shear instabilities in the equilibration of  
360 symmetric instability. *J. Fluid Mech.*, 622, 103–113, doi:10.1017/S0022112008005272.
- 361 Taylor, J. and R. Ferrari (2010). Buoyancy and wind-driven convection at mixed-layer density  
362 fronts. *J. Phys. Oceanogr.*, 40, 1222–1242, doi:10.1175/2010JPO4365.1.
- 363 Thomas, L. N. (2005). Destruction of potential vorticity by winds. *J. Phys. Oceanogr.*, 35,  
364 2457–2466, doi:10.1175/JPO2830.1.
- 365 Thomas, L. N. and C. M. Lee (2005). Intensification of Ocean Fronts by Down-Front Winds, *J.*  
366 *Phys. Oceanogr.*, 35, 1086-1102.
- 367 Thomas, L. N. and R. Ferrari (2008). Friction, frontogenesis, and the stratification of the surface  
368 mixed layer, *J. Phys. Oceanogr.*, 38, 2501-2518.
- 369 Wenegrat, J. O., L. N. Thomas, J. Gula, and J. C. McWilliams (2018). Effects of the

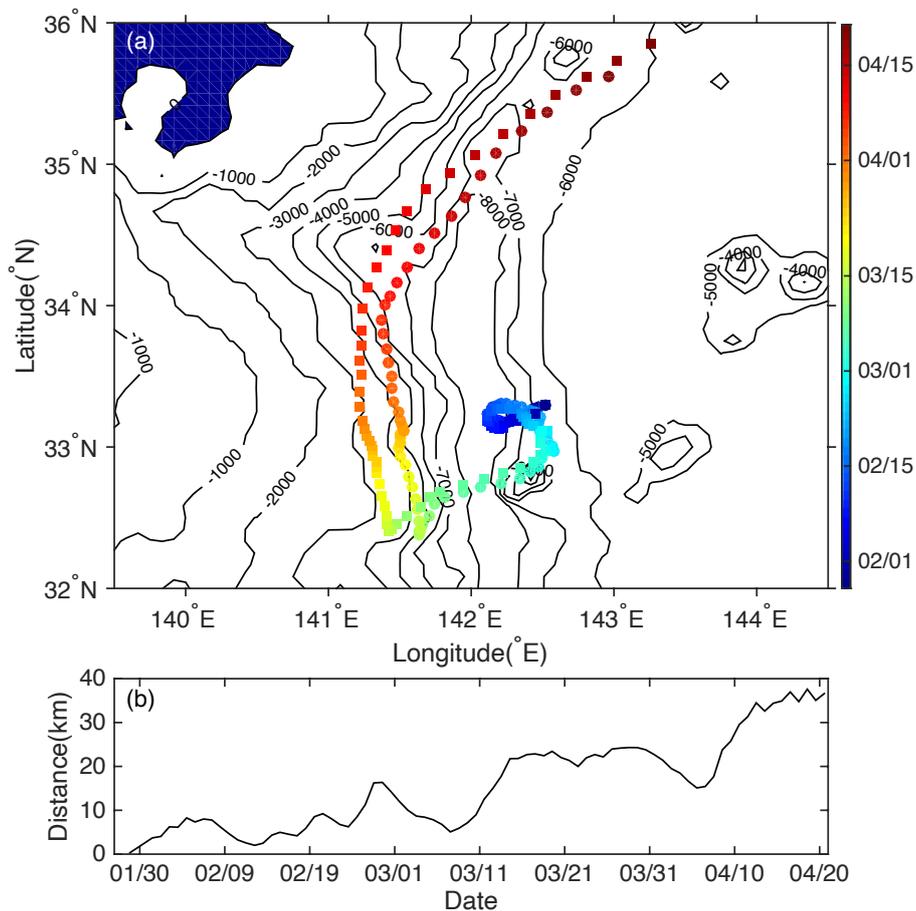


370 submesoscale on the potential vorticity budget of ocean mode waters. *J. Phys. Oceanogr.* 48, 9,

371 2141-2165.

372

373



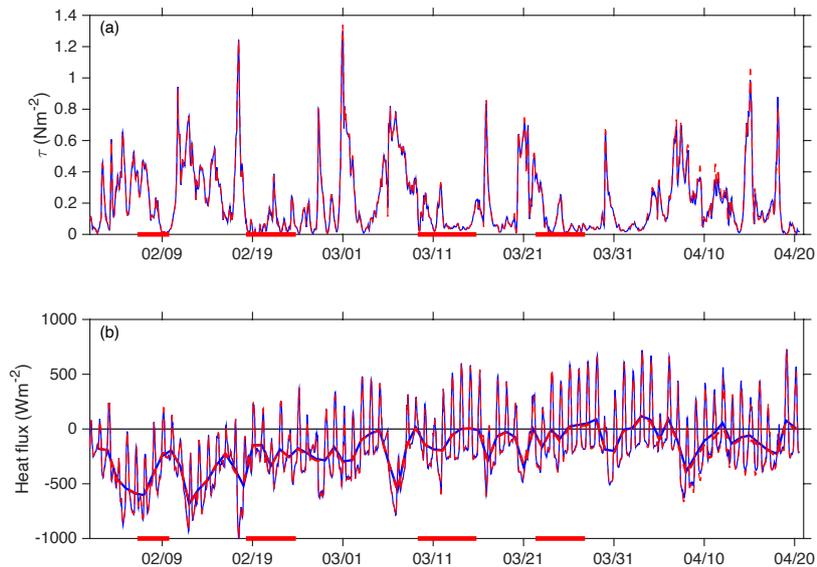
374

375 Figure 1. (a) Trajectories of the two BGC-Argo floats used in this study. Circles and squares

376 indicate the positions of float nos. 2903329 and 2903330, respectively. (b) Temporal changes of

377 the distance between the two floats.

378



379

380 Figure 2. (a) Hourly wind stress and (b) heat flux at float positions. Hourly float positions were

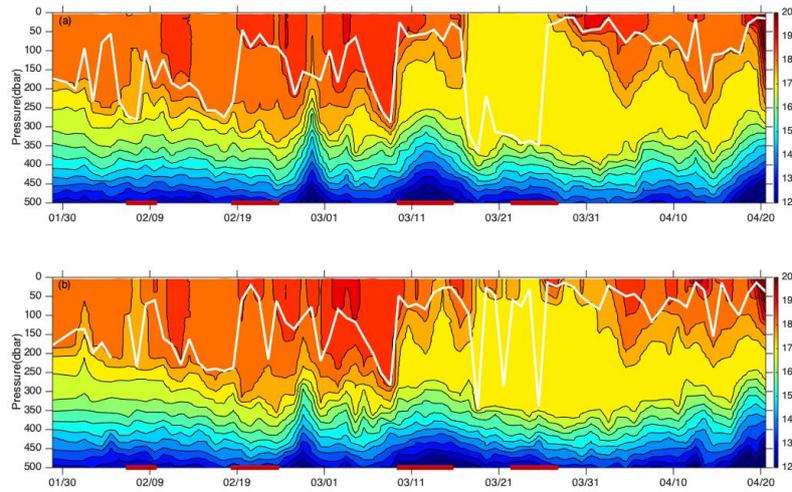
381 linearly interpolated between daily positions. Blue solid and red dashed lines indicate data for

382 float nos. 2903329 and 2903330, respectively. Thick red and blue lines in (b) show 24-h running

383 averages. The thick red lines at the bottom of each plot mark the durations of the post-storm

384 restratification events shown in Table 1. Negative values in panel (b) represent surface cooling.

385



386

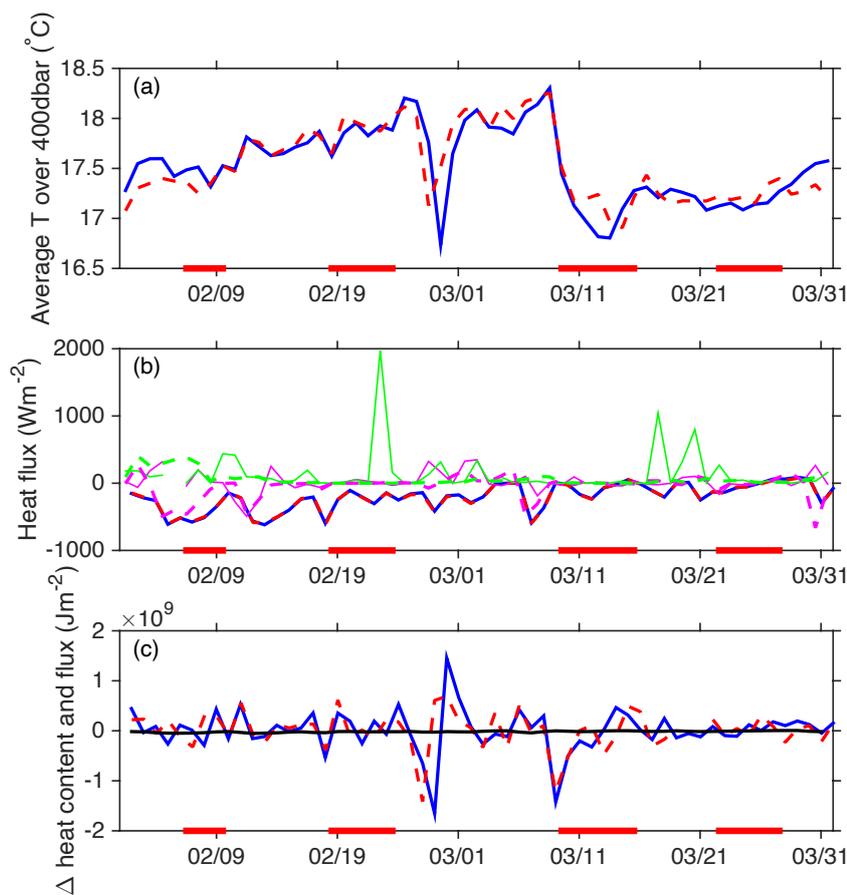
387 Figure 3. Time series of vertical potential temperature profiles observed by BGC-Argo float nos.

388 (a) 2903329 and (b) 2903330. The white line indicates the depth of the ML. The thick red lines

389 at the bottom of each panel mark the durations of the post-storm restratification events shown in

390 Table 1.

391



392

393 Figure 4. Time series for both floats of (a) average potential temperature above the 400 dbar level

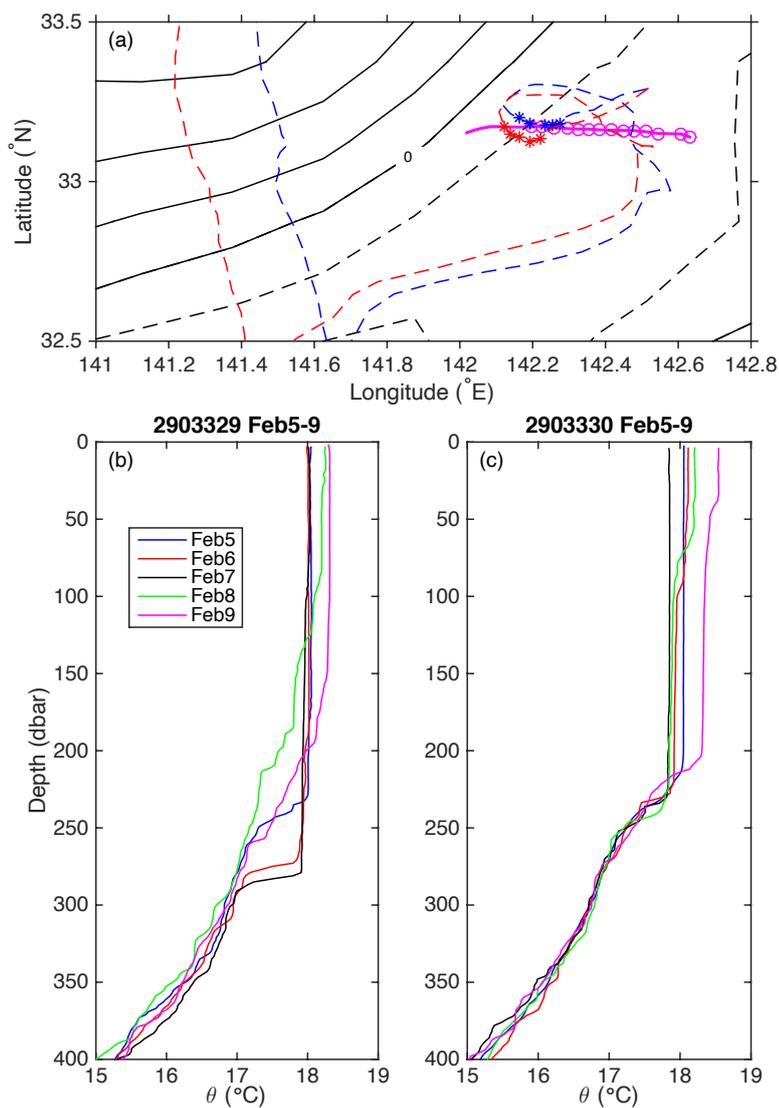
394 (b) surface heat flux, and (c) changes of heat content and cumulative heat flux (thick black line).

395 In all panels, the blue and red dashed lines represent data of float nos. 2903329 and 2903330,

396 respectively. Red thick lines on the bottom of each panel indicate the storm events (Table 1). In

397 panel (b), the green and magenta lines show  $F_{ME}$  and  $F_{EK}$ , respectively, from float data (thin

398 lines) and the modeled values (thick dashed lines).



399

400 Figure 5. (a) SSHA contours on 7 February and BGC float trajectories (blue and red dashed lines

401 indicate float nos. 2903329 and 2903330, respectively). Solid and dashed lines are positive and

402 negative, respectively. Contour interval is 0.05 m. Blue and red asterisks are daily float positions



403 between 5 and 9 February. The magenta line shows the trajectory of the SeaGlider between 7 and  
404 10 February, and the magenta circles are observation positions between 7 and 9 February. (b) and  
405 (c) Vertical profiles from 5 to 9 February of potential temperature from float nos. 2903329 and  
406 2903330.

407

408

409

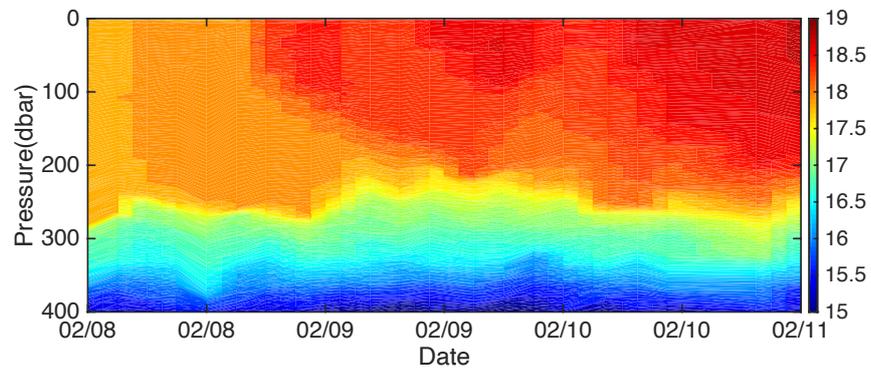
410

411

412

413

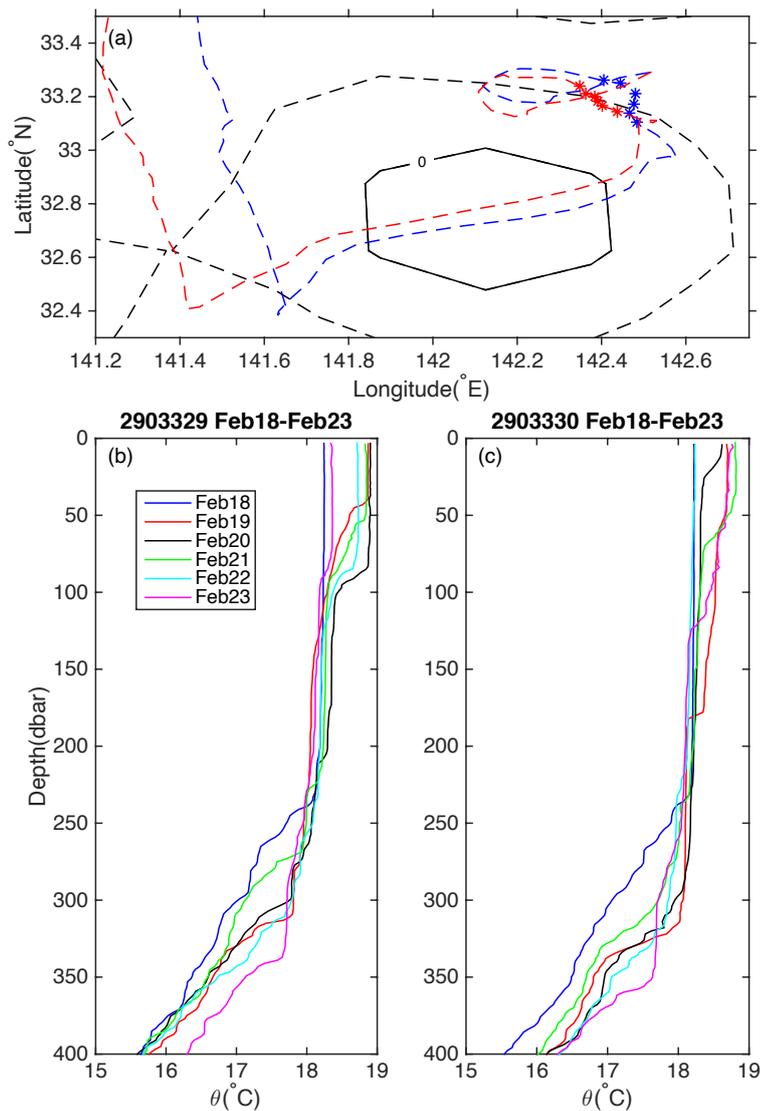
414



415

416 Figure 6. Time series of the vertical profile of potential temperature from SeaGlider data. The

417 fixed time (at the start of profiling) is used for generating the figure.

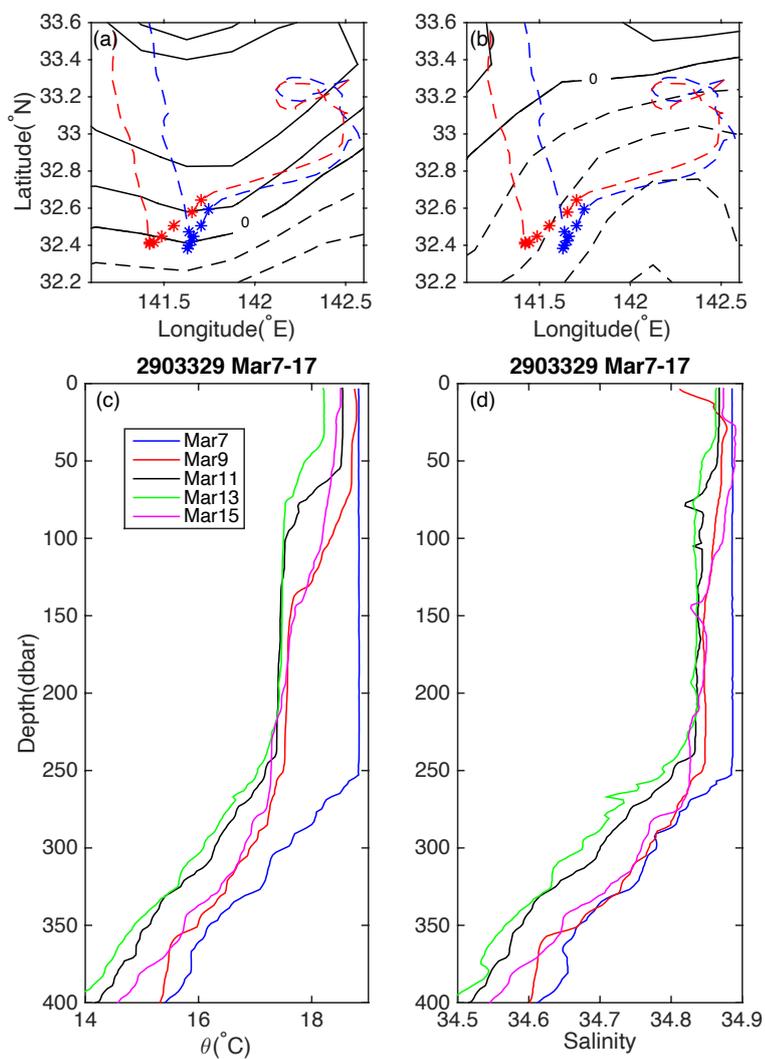


418

419 Figure 7. Same as Figure 5 but for Case 2. SSHA contours in (a) are for 20 February. Asterisks in

420 (a) are BGC float profiling positions between 18 and 23 February. Vertical profiles in (c) and (d)

421 are for 18 to 23 February.

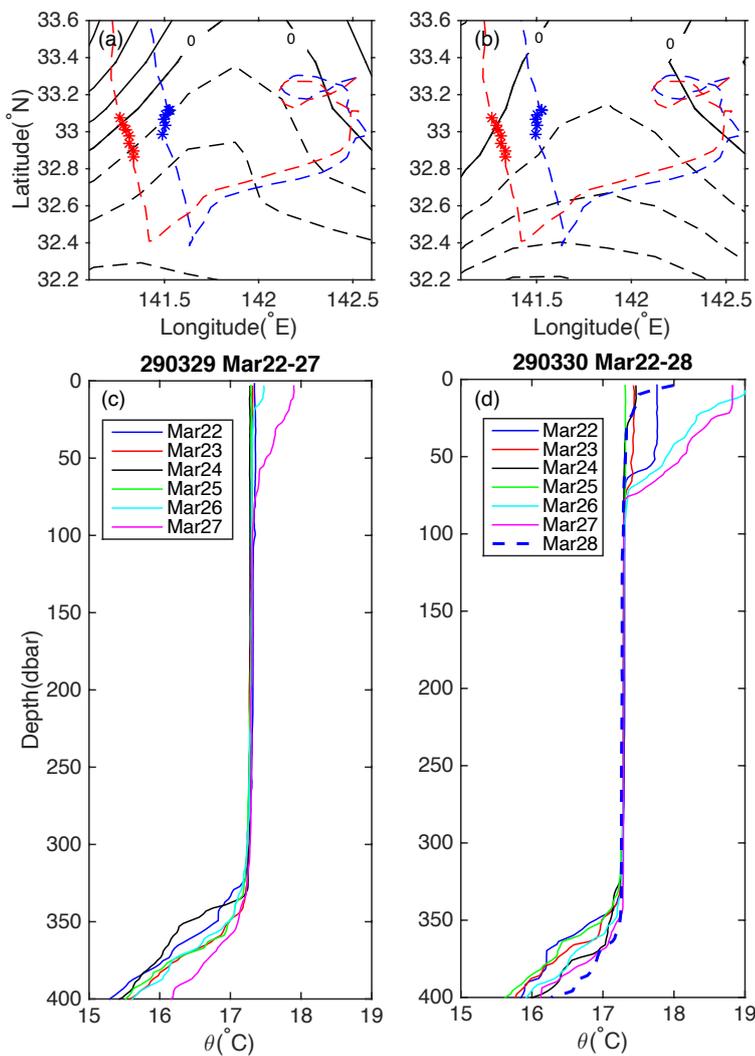


422

423 Figure 8. Same as Figure 5 but for Case 3. (a) and (b) SSHA contours for 8 and 13 March,

424 respectively. (c) and (d) Vertical profiles on alternate days from 7 to 15 March of potential

425 temperature and salinity, respectively, from BGC float no. 2903329.

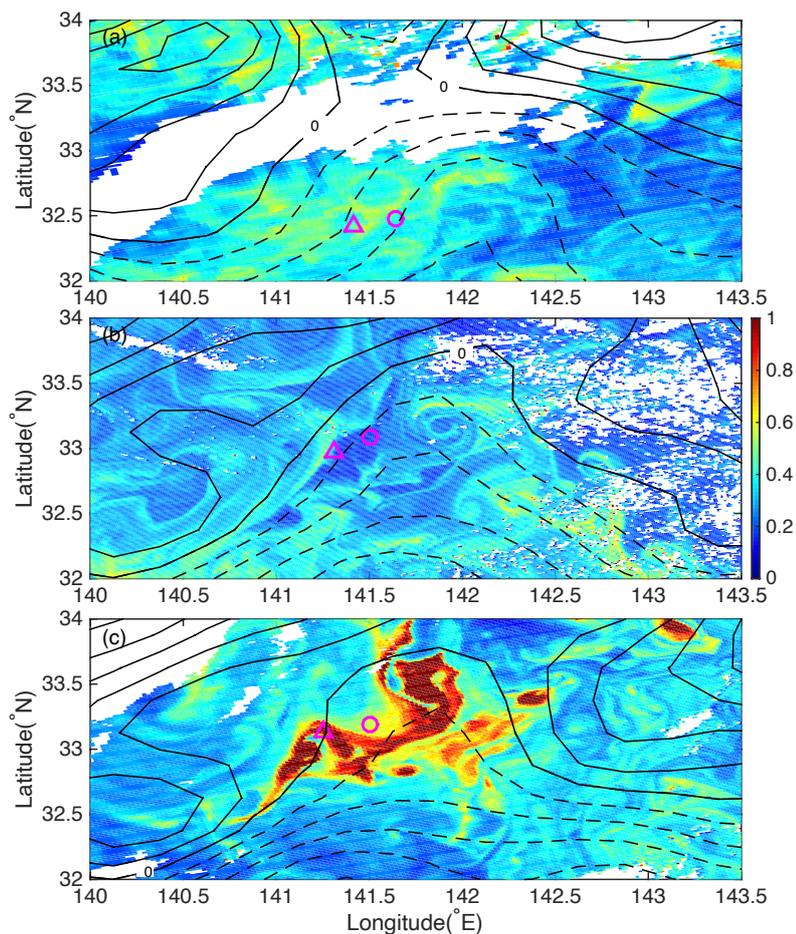


426

427 Figure 9. Same as Figure 5 but for Case 4. (a) and (b) SSHA contours for 22 and 27 March,

428 respectively. (c) and (d) Vertical profiles from 22 to 27 March of potential temperature from BGC

429 float nos. 2903329 and 290330.



430

431 Figure 10. SSHA contours and sea surface chlorophyll *a* concentrations ( $\text{mg m}^{-3}$ , color scale)

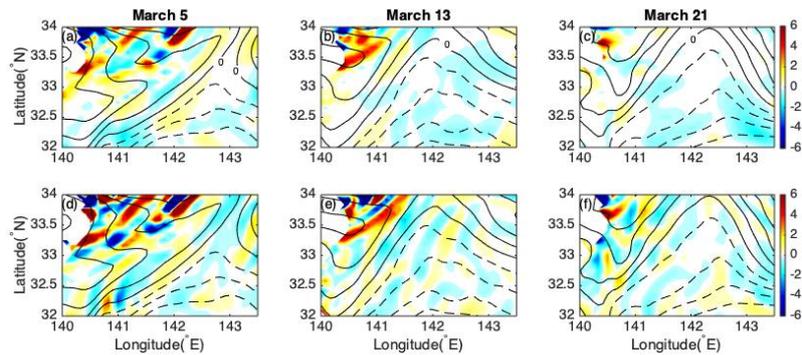
432 derived from satellite data on (a) 15 March, (b) 25 March, and (c) 29 March. Solid and dashed

433 lines are positive and negative, respectively. Contour interval is 0.05 m. The magenta circles and

434 triangles show the positions of BGC float nos. 2903329 and 2903330, respectively. Storms (see

435 Table 1 and Fig. 2) passed through this area on 7 March (before Case 3) and 21 March (before

436 Case 4).



437

438 Figure 11. Horizontal distributions of (a–c) the normalized  $Q$  vector,  $10^{13} \times Q \cdot \nabla_h b / |\nabla_h b|$ , and

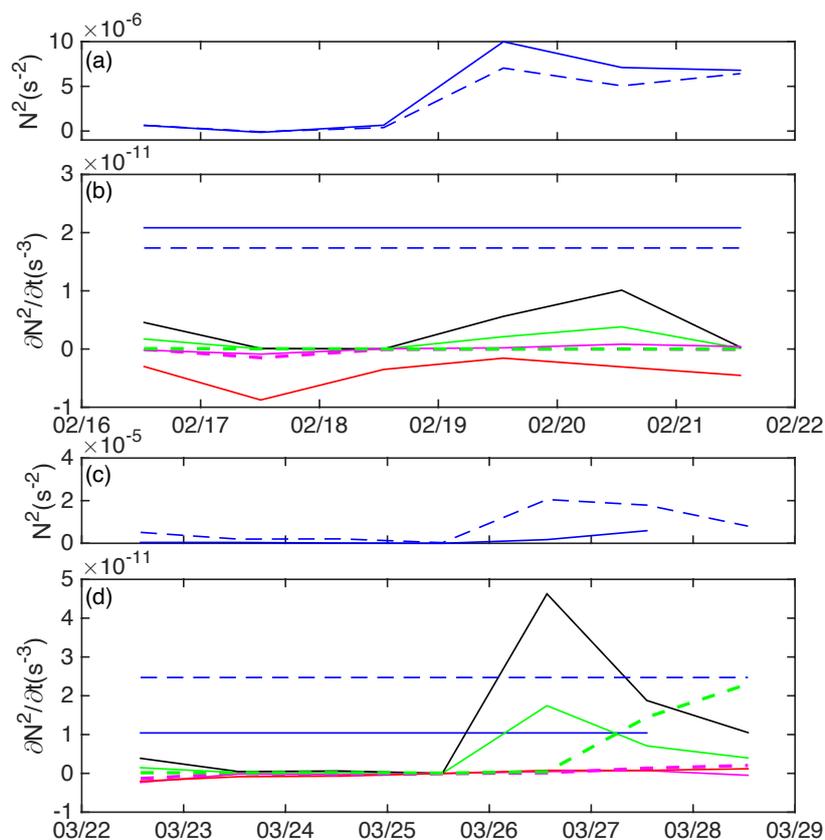
439 (d–f) the horizontal divergence of the  $Q$  vector,  $10^{17} \times -\nabla_h \cdot Q$ , at 380 m. (a) and (d) are for 5

440 March, (b) and (e) for 13 March, and (c) and (f) for 21 March. Contours show modeled SSHA

441 (difference between SSH and the time-averaged SSH for January–March). Solid and dashed

442 contours represent positive and negative values, respectively. The contour interval is 0.05 m.

443



444

445 Figure 12. Time series of (a) and (c) buoyancy frequency squared,  $N^2$ , and (b) and (d) rate of  
 446 change of buoyancy frequency squared,  $\partial N^2/\partial t$ . Solid and dashed blue lines are for BGC float  
 447 nos. 2903329 and 2903330, respectively. In (b) and (d), the blue lines were obtained by the linear  
 448 fit to  $N^2$  in (a) and (c). The solid red lines in (b) and (d) are  $\partial N_{BUO}^2/\partial t$ ; the solid black lines are  
 449  $\partial N_{GEO}^2/\partial t$  from float data; the green solid and dashed lines are  $\partial N_{ME}^2/\partial t$  from the BGC float  
 450 data and the model, respectively; and the magenta solid and dashed lines are  $\partial N_{EK}^2/\partial t$  from the  
 451 BGC float data and the model, respectively.



452 Table 1. Stoichiometric comparison of the surface layer in each post-storm restratification events

453 (case 1-4) adapted from Sukigara et al. (2021)

454

455

456

457

458

459

460

461

462

463

464

465

466

467

468

469

Table 1.

Float #	Event period Start – End (days)	POC increment [ $\mu\text{molC kg}^{-1} \text{d}^{-1}$ ]	$\text{NO}_3^-$ decrement [ $\mu\text{molN kg}^{-1} \text{d}^{-1}$ ]	CN ratio
<b>Case 1 (Storm on 5<sup>th</sup> Feb.)</b>				
2903329	6 <sup>th</sup> -9 <sup>th</sup> Feb. (3 days)	0.402	-0.264	-1.5
2903330	6 <sup>th</sup> -9 <sup>th</sup> Feb. (3 days)	0.148	-0.213	0.7
<b>Case 2 (Storm on 17<sup>th</sup> Feb.)</b>				
2903329	18 <sup>th</sup> -23 <sup>rd</sup> Feb. (5 days)	0.184	-0.028	-6.7
2903330	18 <sup>th</sup> -23 <sup>rd</sup> Feb. (5 days)	0.298	-0.057	-5.2
<b>Case 3 (Storm on 7<sup>th</sup> Mar.)</b>				
2903329	9 <sup>th</sup> -15 <sup>th</sup> Mar. (6 days)	0.310	-0.039	-8.1
2903330	9 <sup>th</sup> -15 <sup>th</sup> Mar. (6 days)	0.148	0.012	+12.7
<b>Case 4 (Storm on 21<sup>st</sup> Mar.)</b>				
2903329	22 <sup>nd</sup> -27 <sup>th</sup> Mar. (5 days)	0.785	-0.181	-4.3
2903330	22 <sup>nd</sup> -28 <sup>th</sup> Mar. (6 days)	0.613	-0.078	-7.8