



# 1 The inference of internal solitary waves in the northern South China Sea from

# 2 data acquired by underwater gliders

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11 Abstract. Internal solitary waves (ISWs) are typical large-amplitude nonlinear waves occurring in stratified oceans.

12 The *in situ* observations of ISWs are needed to improve the regimes of nonlinear internal wave theories. There is

13 violent mixing of water mass in the horizontal and vertical directions during the propagation of ISWs, which generally

14 lasts for a short period at a fixed position. However, an underwater glider, with the features of low-speed and sawtooth

15 motion, cannot obtain a complete thermohaline stratification before and after the ISWs arrival. Those thermohaline

16 data collected *in situ* by gliders, which vary synchronously at spatial-temporal scales, raise challenges for identifying

17 the ISWs. Four Petrel-II gliders are deployed in the active region of ISWs in the South China Sea. This paper estimates

18 vertical water velocity from glider flight data and kinematic model, analyzes the sensitivity of parameters in the glider

19 kinematic model, and adopts a standard nonlinear search method to calibrate the parameters insensitive to the vertical

20 velocity. The depth-keeping experiment is performed to verify the effectiveness of the optimized results. The standard

21 deviation of vertical water velocity in the eastern Dongsha Atoll is revealed, and its distribution indirectly reflects

22 that the strength of vertical water activity increases gradually at the same latitude along the east-west direction. Using

23 observations of vertical water velocity fluctuations and isothermal surface vertical displacements, single- and

24 multiple-wave packets can be identified. The availability of this method is tested by comparison with a MODIS image.

25 Such an analysis provides a basis for the application of glider in the observation of ISWs.

26 Keyword: glider, vertical water mass velocity, single-wave packet, multiple-wave packet

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### 28 **1. Introduction**

29 Internal solitary waves (ISWs) are ubiquitous features in the ocean, and they can propagate over thousands of 30 kilometers from the generation site with unusually strong currents, which may promote the exchange of nutrients and 31 biological production, and threaten the safety of ocean engineering or platforms (Cai et al., 2012; Simmons et al., 32 2011; Shroyer et al., 2010). To well understand the ISWs, several theories have been proposed (Cai et al., 2014). The 33 in situ observation of ISWs contributes to the development of these theories. Major observational methods include 1) 34 remote sensing, which owns the characteristic of large-scale space (Zhao et al., 2004; Jackson, 2007), but with limited 35 capability in sensing the sea surface; 2) moorings, which can obtain high-resolution thermohaline and current 36 structures (Ramp et al., 2004; Alford et al., 2012), whereas conduct observation at a fixed position; 3) research vessels, 37 which can conduct the well-designed and targeted survey in combination with field data (Farmer et al., 2011; Liang





38 et al., 2019), only being time-consuming and costly.

39 In the previous decades, the autonomous platforms (such as float and glider) played a significant role in the 40 observation of some essential ocean variables. As an important member, gliders have been widely used in the 41 oceanographic measurements (Whitt et al., 2020; Rudnick, 2016; Testor et al., 2019). The glider is driven by a 42 variable buoyancy engine to sink and rise alternately between the surface and a depth of 1000m or more. With the 43 aid of wings, the glider flies along a sawtooth trajectory with the glide speed of 0.25m/s or horizontal distance of 44 ~20km/day. The underwater attitude of glider is adjusted by shifting or rotating the eccentric battery pack (or rudder) 45 under the navigation of electronic compass. Upon surfacing, the glider performs positioning via GPS, communicates 46 with shore-based control center and transmits part of observational data via satellite. The low-power consumption 47 and low-speed cruising enable glider to conduct long endurance missions, which can last several months or up to a 48 year at temporal scales and span several hundreds or even thousands of kilometers on spatial scales.

49 The observation of internal waves or tides conducted by gliders has been reported in several studies (Rudnick 50 et al., 2013; Boettger et al., 2015; Johnston and Rudnick, 2015; Johnston et al., 2015; Todd, 2017; Hall et al., 2017; 51 Ma et al., 2018; Hall et al., 2019). As a type of nonlinear internal waves, ISWs are very active in the South China Sea 52 (SCS), which exist with amplitudes up to 100m and phase speeds of 0.7~2.9m/s (Cai et al., 2012). The depression 53 wave and elevation wave have different structures and cause contrasting vertical fluctuations of seawater along the 54 direction of wave propagation. The ISWs observed in the SCS could be classified into two categories: a single-wave 55 packet, which contains a single ISW with/without an oscillating tail, and a multiple-wave packet composed of a group 56 of rank-ordered ISWs. According to the in situ observation, a conventional solution to identify and classify the waves 57 is to analyze the displacement of isopycnals and isotherms or the fluctuation of currents (Ramp et al., 2004; Ramp et 58 al., 2010; Huang et al., 2016). However, the motion characteristics of glider determine that it cannot achieve the 59 fixed-station observation as moorings to obtain complete thermohaline stratification at different depths before and 60 after ISWs arrival. It is difficulty in identifying and analyzing IWSs using glider observations which mix temporal 61 and spatial scales simultaneously. Therefore, it is questionable to analyze the vertical thermohaline fluctuations to 62 identify ISWs and classify the types of ISWs with the glider.

63 ISWs in the process of propagation induce strong currents simultaneously, involving horizontal and vertical 64 water velocities. Given the extra costs on the current sensors, the horizontal water velocity measured by gliders is not 65 discussed here. The observation of vertical water velocity by the near-neutral glider has been proven feasible in 66 practice (Merckelbach et al., 2010). The vertical velocity of water mass itself is the difference between the depth-rate 67 measured by pressure transducer from CTD and the glider's velocity through still water, while the latter can be 68 estimated from the kinematic model. With the vertical water velocities derived from the glider, the passage of internal 69 waves can be confirmed, and the intensity of internal waves in the survey area can be mapped (Rudnick et al., 2013; 70 Todd, 2017). Therefore, the vertical water velocity, combined with high-resolution hydrographic data may be a 71 solution to reflect the feature of ISWs, which is presented and verified in this work.

Here, we describe the hydrographic data and glider flight data collected in the northern South China Sea during August 2017. The paper is organized as follows. Section 2 outlines the survey conducted by four gliders. The estimation of vertical water velocities obtained by gliders is introduced in Section 3, and then the approach for identifying and classifying the ISWs is described and then validated by a satellite image (Section 4). Finally, the





76 summary and discussion are presented in Section 5.

#### 77 **2. Glider observation**

78 Four Petrel-II gliders (No. Glider-05/06/08/10), as shown in Fig. 1(a), developed by Tianjin University were 79 prepared for observation of ISWs in the northern South China Sea. According to the statistical analysis of ISWs in 80 the spatial occurrence from synthetic aperture radar (SAR), the ISWs in the northern South China Sea are mainly distributed in the region of Luzon Strait, Dongsha Atoll and eastern Hainan Island (Huang et al., 2008). Most ISWs 81 82 in the northern South China Sea are generated within Luzon Strait, and propagate westward (Simmons et al., 2011). 83 Those waves present relatively sparse distribution in the region at over 3000m depth than the vicinity of Dongsha 84 Atoll. The Petrel-II glider is designed for applications to 1500m depth and perform best for profiles deeper than 600m. 85 However, in the northwest Dongsha Atoll, the depth of water is less than 500m, and the complicated topography may endanger the glider. The ISWs are concentrated within a longitudinal band from 117°E to 119°E and a latitudinal 86 87 band from 19°N to 22°N. The gliders were deployed in this region where the water depth is over 1000m and run with 88 approximately parallel trajectories in order to cover this area as wide as possible. 89 Besides, the occurrence frequencies of ISWs also fluctuate significantly from month to month (Zheng et al.,

- 90 2007). The ISWs occur more frequently from April to August. According to marine meteorological conditions, 4
- 91 Petrel-II gliders conducted ISWs observation cooperatively in August 2017. Those gliders were deployed in the
- 92 northeast of Dongsha Atoll and then proceeded southwestward and back. The trajectories are shown in Fig. 1(b).



93

94 Figure 1(a) A Petrel-II glider at the surface for communication. (b) The trajectories of 4 gliders deployed in the active region of internal 95 solitary waves, whose starting positions are presented by pentagrams.

96During this survey, four gliders yielded 647 profiles and traveled 1907.7km cumulatively (Table 1). In most97profiles, gliders dived into 900~1000m deep, except in a few profiles they did shallow dives to 600m, considering98the steep terrain near the Dongsha Atoll. For the purpose of obtaining high-resolution data, each glider was set to run

99 with a vertical velocity of 0.1~0.15m/s by adjusting the net buoyancy and pitch angle, and thus, it took 2~5 hours for

100 the gliders to perform a sawtooth profile with 2~5 km horizontal distance. Each glider is equipped with a Seabird





- 101 GPCTD, sampling at 1Hz to record the thermohaline fluctuation with a high resolution when the ISWs pass.
- 102 Therefore, the high sampling frequency and low gliding velocity enable the maximum thermohaline resolution to be
- 103 0.15m in vertical and 2.5km in spatial scales.

Table 1 Summary of the cooperative observation by several gliders in August, 2017					
No.	Deployment	recovery	profiles	distance (km)	
Glider-05	08.04	08.18	146	397.7	
Glider-06	08.04	08.28	195	627.6	
Glider-08	08.05	08.21	121	310.5	
Glider-10	08.04	08.29	185	571.9	
Total	/	/	647	1907.7	

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104

# 106 **3 Estimation of vertical water velocities**

107 The glider's sawtooth movement in longitudinal plane can be described by the kinematic model (Leonard and 108 Graver, 2001). Affected by the vertical variations of seawater physical properties and pressure hull deformation, the 109 net driven force of glider is gradually varied with depth, which causes the glider to perform non-uniform speed 110 movement during the phase of diving or climbing. However, the acceleration of glider is far below its velocity in 111 these phases, and acceleration values have a negligible influence on the velocity on a small-time scale. Hence, except 112 buoyancy changes at the beginning and turning point of profiles at the maximum depth, the stable underwater motion 113 can be deemed as quasi-steady flight, and the theoretical or still-water vertical velocities  $(w_g)$  of glider can be 114 estimated by the kinematic equations, which has been derived in the Ma et al.(2018). The actual vertical velocitiy 115  $(w_p)$  calculated from pressure sensor is the sum of the vertical water velocity  $(w_c)$  and theoretical velocity  $(w_g)$ 116 (Merckelbach et al., 2010). Consequently, wc can be yielded as 117

$$W_c = W_p - W_g$$

(1)

118 The core of estimating  $w_c$  based on the glider lies in the kinematic model. Most of parameters (such as mass, 119 pitch angle, etc.) in the model are measured through the sensors or tools, but part of parameters, including 120 hydrodynamics coefficients ( $C_{D0}$ ,  $C_D$ ,  $C_{L0}$ ,  $C_L$ ), coefficient of compressibility ( $\gamma$ ), thermal expansion coefficient ( $\varepsilon$ ), and glider volume at atmospheric pressure  $(V_g)$  are indirectly estimated by the empirical formulas or computer 121 122 simulations. In addition, gliders work underwater for several days or even months. Therefore, the parameter variations 123 in the model arising from uncertainties have a remarkable effect on the vertical water velocities. For example, 124 biofouling can increase the drag force of glider. The model coefficients can be identified and calibrated by minimizing 125 cost function (Merckelbach et al., 2010; Frajka-Williams et al., 2011; Merckelbach et al., 2019).

Considering the complex calculation and coupled nonlinear coefficients in the model, influence of parameter variations on the vertical water velocities remains to be determined. A local sensitivity analysis is adopted to explore the effect of parameter uncertainty on the results, and most obvious factors are optimized by applying a standard nonlinear search method (Merckelbach et al., 2010).

Gliders work in the time-varying marine environment, so the data acquired in the stable upward motion, where the roll is zero and the pitch is constant, are input into the glider model, and the sensitivity of each indirectly estimated parameter which could influence the theoretical vertical velocity is quantificationally presented with single factor





- 133 variance analysis. A different boundary condition of each variable is set in numeric computation. During sensitivity
- 134 analysis of structural parameters, the glider volume  $V_g$  fluctuates  $\pm 10$ mL around the original value, whereas
- 135 coefficient of compressibility ( $\gamma$ ) or thermal expansion coefficient ( $\epsilon$ ) varies ±10% around the original value. The
- 136 effects of those parameters on mean theoretical vertical velocity are calculated separately, and the results are shown
- 137 in Fig. 2(a).



## 138

139 Figure 2 The sensitivity analysis of structural parameters ( $V_g, \gamma \varepsilon$ ). (a) The influence of parameter variation on the mean vertical velocity 140 of model. (b) The sensitivity of parameters in the mean vertical velocity of model. 141 The results of Fig. 2(a) reveal that the mean theoretical vertical velocity  $w_g$  increases approximately linearly 142 with glider volume  $V_{g}$ . As shown in Eq. (5) (Ma et al., 2018), the driven force in the climbing phase augments with 143  $V_{g_2}$  and that results in an increased vertical velocity when the other parameters are constant. By contrast, the mean  $w_g$ 144 reduces gradually as the increasing coefficient of compressibility (y) or thermal expansion coefficient ( $\varepsilon$ ), which is 145 caused by the reduction of buoyancy force. The sensitivity of those structural parameters to mean  $w_g$  is referred in 146 Fig. 2(b), and the sensitivity of  $V_{g}$ ,  $\gamma$  and  $\varepsilon$  to the mean vertical velocity is 0.0274~0.079 cm  $\cdot$  s<sup>-1</sup>/ml, -0.032~-0.033 cm 147 •s<sup>-1</sup>/ $\Delta$  and -0.004 cm•s<sup>-1</sup>/ $\Delta$  ( $\Delta$  denotes a variation rate of 1%), respectively. The sensitivity of  $\varepsilon$  is lower than others. 148 Thereby, the compressibility coefficient ( $\gamma$ ) and glider volume ( $V_g$ ) have a greater influence on the theoretical vertical 149 velocities. 150

Similarly, the sensitivity of hydrodynamic coefficients ( $C_{D0}$ ,  $C_L$ ,  $C_L$ ) is analyzed. As is clear in Fig. 3(a), the mean  $w_g$  reduces gradually as the increase of  $C_{D0}$  or  $C_L$ , while the mean  $w_g$  changes little along with the increasing  $C_D$  or  $C_{L0}$ . The sensitivity of mean  $w_g$  to those hydrodynamic coefficients is given in Fig. 3(b). The sensitivity of  $C_{D0}$ and  $C_L$  is -0.11~-0.07 cm<sup>•</sup>s<sup>-1</sup>/ $\Delta$  and -0.068~-0.047 cm<sup>•</sup>s<sup>-1</sup>/ $\Delta$ , respectively, while the sensitivity of  $C_D$  or  $C_{L0}$  is close

154 to zero.









Figure 3 The sensitivity analysis of hydrodynamic coefficients ( $C_{D0}$ ,  $C_D$ ,  $C_{L0}$  and  $C_L$ ). (a)The influence of coefficient variations on the mean vertical velocity of model. (b) The sensitivity of coefficients to the mean vertical velocity of model.

According to the sensitivity analysis results, structural parameters  $(V_g, \gamma)$  and hydrodynamic coefficients  $(C_{D0}, C_L)$  own a high sensitivity to the theoretical vertical velocities  $(w_g)$ , while others cause an influence on  $w_g$  with the order of  $O(10^{-3})$ , which can be ignored. Therefore, those high-sensitivity factors are ameliorated by minimizing the cost function *F*, thus to reduce the error of estimated vertical water velocities (Merckelbach et al., 2010).

- Given the variable running depth and unstable motion in the phase of pumping oil, the data obtained at depths
- 163 shallower than 600 m are utilized for the minimization process. Those optimized parameters as a function of dive
- 164 number are shown in Fig. 4.



165

166 Figure 4 Optimized parameters versus time. (a) drag coefficient. (b) lift coefficient. (c) glider volume. (d) compressibility coefficient.





167 The optimization results show that the drag coefficient  $C_{D0}$  increases with working time. The biofouling by 168 barnacles and film-like sludge is the main reason that causes drag increase. Those attachments are clearly visible on 169 the recovered glider (Fig. 5). However, the lift coefficient  $C_{L0}$  has no significant difference relative to  $C_{D0}$ . The 170 buoyancy change generates driving force for glider's sawtooth motion. When the glider dives into the target depth, 171 the hydraulic oil are pumped into the external bladder from the inner tank inside the pressure hull. Under the influence 172 of repeated cold-heat cycles, the air bubbles dissolved in the oil are separated, and occupy a small proportion of the 173 inner tank volume, which gradually increase with the number of profiles. Petrel-II glider adjusts its net buoyancy 174 according to the detected volume change of the inner tank. The air bubbles lead to the fluctuation of glider volume 175  $V_{\rm gs}$  and still exist in the inner tank when we maintain the glider after recovery. Those air bubbles may influence the 176 compressibility  $\gamma$  of glider.



177

178 Figure 5 The biofouling on the glider hull. The surface of glider is attached by the barnacles and film-like sludge.

179 The structural parameters can be validated through the depth-keeping experiment. The glider's buoyancy should

180 be equivalent to its gravity to keep the glider in the constant depth. Hence, this motion can be realized by setting

181 appropriate buoyancy. The depth-keeping experiment and simulations with original and corrected structural

182 parameters are shown in Fig. 6. The experiment lasted for about half an hour, and the glider held depth at 848±1m.

- 183 Substituting the original and corrected parameters  $V_g$  and  $\gamma$  separately into the buoyancy model yields the depth
- 184 simulations, and the error of depth decreases from 24m to 12m when corrected parameters are adopted.



185

186 Figure 6 Comparison between simulation and depth-keeping experiment. The error is reduced by half with the adoption of corrected

187 parameters





188 The glider vertical velocity  $w_p$  relative to water velocity is obtained by the time rate of change of pressure 189 measured by the CTD, but those signals contain noises, or even glitches. Due to the excellent time-frequency

- 190 characteristic, the wavelet transform is applied to restrain those noises. Based on the vertical velocity estimation
- 191 method and optimized parameters, the water and glider vertical velocities are achieved, as shown in Fig. 7.



192

Figure 7 Vertical velocity, pitch, shift, depth versus time. Given the unsteady motion during the eccentric battery pack shifting or rotating,
 and variable buoyancy engine working, the velocities in those moment are excluded.

195 The tendency of theoretical velocity  $(w_g)$  is coincident with that of vertical velocity  $(w_p)$  in Fig. 7. The error of 196 the estimation of vertical water velocity inferred from glider data is mainly due to the mixed sampled noise and the 197 inaccuracy of parameters in the model. It is difficult to compare the estimated results with the vertical velocities 198 measured independently in the field. A robust approach to estimate the vertical water velocities is proposed in the 199 reference (Merckelbach et al., 2010). The mean vertical water velocities for 3-day periods are 2.22±0.41mm/s after 200 parameter optimization, and the fluctuation of mean values in the adjacent bins of 50m is below 0.1mm/s, showing 201 that values share well continuity in the vertical direction. Over the same time periods, the offset of vertical water velocity between dives and climbs is -2.9±1mm/s. Therefore, the inaccuracy of vertical water velocity estimated from 202 203 glider data is nearly 4mm/s.

## **4 Identifying internal solitary waves**

Four gliders conducted the observation cooperatively in the mission, moved southwestward and then traveled backward. The vertical water velocities are calculated with the method described in Section 3. We analyze the vertical water velocities within the common depth from 50m to 500m during the steady gliding motion. Therefore, the spatial distribution of the standard deviation (*std*) of  $w_c$  during each dive in this region from 4 August to 16 August is mapped out as shown in Fig. 8. The pink chain-dotted lines denote the observing time at intervals of 1 day.







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Figure 8 (a) Spatial distribution of the standard deviation (std) of  $w_{c_1}$  (b) the dramatic *std* in the observation region of Glider-08, and (c) potential temperature obtained by glider-based GPCTD between 4 August and 12 August (50m~500m). Color shadings and contour lines are isothermals at 1°C intervals. Isothermal surfaces of 10, 15, 20, and 25°C are labeled.

The *std* of inferred vertical water velocities during each glider dive exhibits a significant variation in the coverage area of observation, and can be denoted the turbulent mixing or the internal wave strength (Beaird et al., 2012; Todd, 2017; Evans et al., 2018). This variation indirectly reflects that the activity of vertical water increases gradually at the same latitude along the east-west direction, and such distribution coincides with fluctuation of isotherms in the 4 temperature transections measured by glider-based CTD. This phenomenon may be due to the combination of the nonlinear effects and topographic effects when the waves are propagating westward (Simmons et al., 2011).

The average *std* of all inferred vertical velocity profiles is 1.5±0.5 cm/s within that depth range. Glider-08 encounters violent vertical flow when passing the rough topography in the survey, which may be caused by the passage of ISWs on the east side of Dongsha Atoll, shown in Fig. 8(b). The standard deviations of vertical velocities present a dramatic rise up to 5.06cm/s (20°54.08'N, 117°49.23'E), 4.83cm/s (20°50.02'N, 117°46.67'E), and 4.22cm/s (20°39.48'N, 117°40.42'E), respectively, and these values are considerably larger than the average.

Waves with various structures, namely depression wave and elevation wave, can cause disparate fluctuation of thermohaline structures. The passing depression wave forces isotherms to move sharply down, while the passing elevation wave induces an opposite displacement (Fu et al., 2012). In addition to thermohaline fluctuations, another notable phenomenon induced by ISWs is the sharp horizontal water velocity, and those ISWs in the northeastern SCS propagate westward at a speed in the order of 0.72 to 1.8 m/s (Liu et al., 2004). With the aid of hydrodynamic force





generated by wings, the horizontal velocity of glider is typically about 0.2~0.4m/s. The horizontal speed gap between glider and ISW can affect the way of encountering, so generally the ISW propagates past the glider. In other words, if an ISW passes the present position of glider, the glider cannot capture this wave again whichever direction the glider travels along, even the glider travels along the direction of the wave propagation.

Generally, the magnitude of vertical water velocities induced by ISWs is larger than that of the background velocities before arrival of ISWs. The occurrence of ISWs can be reflected by the vertical water velocities estimated by the glider (Rudnick et al., 2013). This, together with characteristic of ISWs, can be used to further analyze those

238 extraordinary profiles in Fig. 8(b). The time series of vertical water velocities and depth of three continuous profiles

239 (No.46/47/48) performed by Glider 08 are shown in Fig. 9.



240

241 Figure 9 The vertical velocities derived from Glider08 data and depth as a function of time

242 As obvious from Fig. 9, the vertical water velocity derived from Profile-47 experiences a dramatic fluctuation 243 at the depth 150-450m in the diving phase. As the depth increases, the vertical currents present large downward 244 velocities, which then convert into upward velocities in less than 40 min, and the peak magnitudes of upwelling and 245 downwelling are about 0.13 m/s and 0.14 m/s, respectively. The strong upwelling forces the glider to change its 246 predefined movement direction from downward to upward at 22:20 UTC. After that, the vertical currents present a 247 relatively gradual change, and in the adjacent profiles, no significant perturbations appear in the vertical dimension. 248 Taking the chronological change of vertical waver velocities into consideration, this rapid phenomenon occurring 249 during the diving process of Profile-47 is consistent with the passage of the abrupt ISW. Only a complete peak-totrough vertical velocity oscillation over such a period of time suggests that the wave is likely a single-wave ISW. 250

The glider can synchronously acquire thermohaline structure with the payload CTD, and the thermal stratification is shown in Fig. 10. The passage of ISWs captured by Profile-47 induced sunken displacements of isothermals, and this phenomenon coincides with characteristics of a depression ISW.





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255 Figure 10 Thermal structural distribution obtained by Profiles 45~65 (Glider-08)

Another interesting phenomenon occurs in profiles 61~65. As is clear in Fig. 10, it seems that a small depression wave arrived in profile-61, making the thermocline sunk to nearly 300m depth. Then the thermocline quickly recovered in the following profiles 62~65 suggesting that the wave influencing profile-61 had already passed. After that, the isothermals at water depths from 100m to 400m fluctuate dramatically again in profile-65, which may be impacted by another depression wave.

The vertical water velocities derived from those profiles of the glider are taken for further analysis. In clear contrast to relatively stable thermohaline structure in profiles-62~65, the vertical water velocities of those profiles exhibit consecutively periodic oscillations as illustrated in Fig. 11. The vertical velocities of the wave completing a cycle of peak- trough- peak oscillate in the form of a simple sinusoidal independent of time (Todd, 2017). Given that the horizontal velocity of glider is far smaller than the propagation speed of ISW, the glider cannot cross the same ISW again. Those consecutively sinusoidal oscillations of vertical water velocities inferred from Profile-63 are likely influenced by a multiple-wave packet with a train of rank-ordered ISWs.



268

269 Figure 11 Vertical velocity, depth versus time of Profiles 61~65 (Glider-08)

The ISWs cause the change of roughness on the sea surface, and alter the sun-glint reflection. These characteristics are presented with the bright or dark strips in the satellite images, which is often used to catalog the





occurrence of ISWs (Zhao et al., 2004). One true-color MODIS (Moderate Resolution Imaging Spectroradiometer) 272 273 satellite image (Fig. 12) with 250m-resolution taken on 12 August 2017 at 3:15 UTC presents a snapshot of the active 274 internal waves in the South China Sea. The image displayed in a partially enlarged frame clearly shows the existence 275 of two types of waves, a single-wave ISW (left arrow pointing) and a multiple-wave packet (right arrow pointing). 276 Coincidentally, the Glider-08 conducting the No.62 profile (Fig. 11) is located at the western edge of the multiple-277 wave packet at the same time. Since the waves propagate westward, there is a high possibility that glider-08 captures

278 the multiple-wave packet in the continuous profiles, and the probability is verified by the oscillation of vertical water

velocities inferred from glider. 279



280

281 Figure 12 A MODIS true-color image of ISWs (resolution: 250m) in the South China Sea acquired at 03:10 UTC, Aug.12, 2017. The 282 blue line in (a) is the Glider 08's trajectory. The red solid box is enlarged in (b), and the red, blue and green points denote the valid GPS 283 position of No.62, 63 and 64 profiles, respectively.

284 The thermohaline structure obtained by glider-based CTD reflects less features of ISWs than the vertical water 285 velocities inferred from glider data. This is due to the movement characteristics of glider. The sawtooth motion of 286 glider makes it unable to suspend at an appointed position for a long time, and thus the glider cannot carry out the 287 measurement of the vertical seawater properties at multiple depths synchronously, which is adopt by the sensor chains 288 in the mooring. Considering the significant speed differences between glider and ISW, it is so hard to determine 289 whether the thermohaline perturbation observed by glider-based CTD is induced by a single-wave or multiple-wave 290 packet, and even whether there exist waves within the spurious stable thermohaline stratification inferred from glider-291 based CTD data.

292

The vertical water velocities deduced by the glider provide a robust evidence that ISWs have passed by the





293 glider's current position. The opposite vertical velocities are generated on the leading and trailing edges of wave 294 along its propagation direction (Ramp et al., 2004). Ideally, the oscillation of vertical water velocities presents a 295 sinusoidal form when the glider passes successively through the leading and trailing edges of a wave, and this phenomenon occurs continuously if the glider flies through a multiple-wave ISW packet. Hence, it is more 296 297 intuitionistic and accurate to determine the existence and type of the ISW by analyzing the feature of vertical water 298 velocities inferred from glider, and this method is tested to be practicable. Further, in combination with the convex 299 or concave isotherms/isohalines obtained by glider-based CTD, the characteristic (depression or elevation) of wave 300 can be determined.

#### 301 5. Summary and discussion

302 We applied several underwater gliders to observe internal waves in the South China Sea. Without extra current 303 sensors, the vertical water velocity is derived through the combination of the quasi-steady flight model and sea-trial 304 data of glider. The accuracy of parameters in the model directly determines the credibility of the inferred velocity. 305 Therefore, the local sensitivity analysis method is applied to discuss the parameters in the model, which cannot be 306 measured exactly by the sensors or tools. The results indicate that structural parameters ( $V_g$ ,  $\gamma$ ) and hydrodynamic 307 coefficients ( $C_{D\theta}$ ,  $C_L$ ) are the main factors affecting the accuracy of the inferred vertical water velocity. Those 308 predominant parameters are calibrated by nonlinear optimization algorithm. Furthermore, the hover experiment 309 validates the effect of the optimized structural parameters, and the error of depth range is reduced by half of the 310 amount. With the same error estimation method of Slocum gliders (Merckelbach et al., 2010), the accuracy of the 311 inferred vertical water velocity is nearly 4mm/s.

The observation with 4 gliders is conducted in the SCS. The *std* of the inferred vertical water velocity during each dive characterizes the strength of vertical water activity, and exhibits a gradual increase in the same latitude along the east-west direction in the coverage area of observation. This phenomenon is coincident with the fluctuation of isotherms.

A few profiles, where the *std* of vertical water velocity is larger than the average *std*, are further analyzed. Since those gliders are deployed in the region between Dongsha Atoll and Luzon Strait, where ISWs occur with highfrequency in July and August (Zheng et al., 2007), the dramatic vertical water mass flow may be attributed to the propagation of ISWs. The glider traveling along with the sawtooth motion cannot maintain a fixed position like moorings to obtain complete thermohaline stratification at different depths before and after ISWs arrival. Therefore, the common identifying and classifying method of ISWs using the temperature structure measured by glider CTD may miss the key feature of ISWs.

Given vertical flow induced by the passage of ISWs, the vertical water velocity inferred from glider, together with the thermohaline perturbation, is utilized to identify the ISWs. The vertical velocities of the wave in a complete cycle peak- trough- peak experience an approximate sinusoidal oscillation. The horizontal speed gap between the glider flying and ISW propagation determines that the glider cannot travel across the same ISW repeatedly. Therefore, analysis of the vertical water velocity oscillation in the velocity profile with remarkable *std* and adjacent profiles helps to determine whether the encountered wave is a single- or multiple-wave ISW packet. Glider-08 captures different kinds of ISWs in the sea-trial, and the method is proven to be feasible and effective by comparison with a





330	MODIS image. Furthermore, according to the sunken isothermals, those captured waves are classified as depression
331	waves.
332	This paper investigates the activity of internal waves in the eastern area of Dongsha Atoll and proposes a method
333	of identifying the type of ISWs, which is applicable to glider observation. Although gliders may enable us to obtain
334	high-resolution observation data, there are comparable challenges to estimate the key parameters of ISWs, such as
335	propagation direction and the phase velocities. Direct velocity measurements using current meters or current profilers
336	on gliders might provide a solution to this problem. Future cooperative surveys with a fleet of gliders can be
337	performed to understand the propagation and evolution of ISWs.
338	
339	Data availability. The MODIS image is available at https://earthdata.nasa.gov/earth-observation-data. Data from field
340	experiments is available on request from wei.ma@tju.edu.cn or corresponding author.
341	
342	Author contributions. Wei Ma carried out the research and initiated the paper. All the authors collected, processed
343	and analyzed the observations and contributed to revisions and comments on the paper
344	
345	Competing interests. The authors declare that they have no conflict of interest.
346	
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