### **Observation System Simulation Experiments in the Atlantic** 1

### Ocean for enhanced surface ocean $pCO_2$ reconstructions. 2

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11 Abstract. To derive an optimal observation system for surface ocean  $pCO_2$  in the Atlantic Ocean and the Atlantic 12 sector of the Southern Ocean eleven Observation System Simulation Experiments (OSSEs) were completed. Each 13 OSSE is a Feed-Forward Neural Network (FFNN) that is based on a different data distribution and provides ocean 14 surface  $pCO_2$  for the period 2008-2010 with a 5 day time interval. Based on the geographical and time positions 15 from three observational platforms, volunteering observing ships (VOS), Argo floats and OceanSITES moorings, 16 pseudo-observations were constructed using the outputs from an online-coupled physical-biogeochemical global 17 ocean model with 0.25° nominal resolution. The aim of this work was to find an optimal spatial distribution of 18 observations to supplement the widely used Surface Ocean CO<sub>2</sub> Atlas (SOCAT) and to improve the accuracy of 19 ocean surface  $pCO_2$  reconstructions. OSSEs showed that the additional data from mooring stations and an 20 improved coverage of the Southern Hemisphere with biogeochemical ARGO floats corresponding to least 25% of 21 the density of active floats (2008-2010) (OSSE 10) would significantly improve the  $pCO_2$  reconstruction and 22 reduce the bias of derived estimates of sea-air CO<sub>2</sub> fluxes by 74% compared to ocean model outputs.  $\overline{23}$ 

### 24 1 Introduction

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26 The ocean is a major sink of anthropogenic CO<sub>2</sub> (Ciais et al., 2013; Friedlingstein et al., 2020). For the period 27 2010-2019 the ocean uptake was  $2.5 \pm 0.6$  GtC/yr with a strong intensification (from 1.9 to 3.1 GtC/yr) along with 28 increasing of CO<sub>2</sub> emissions (Friedlingstein et al., 2020). The ocean carbon sink estimate is derived from Global 29 Ocean Biogeochemical Models (Hauck et al., 2020) and data-based reconstructions of surface ocean partial 30 pressures of carbon dioxide (pCO<sub>2</sub>). The data-based reconstructions rely on the interpolation of surface ocean 31  $pCO_2$  - derived from measurements of surface ocean  $CO_2$  fugacity - by a variety of methods (e.g. Watson et al., 32 2020; Gregor et al., 2019; Denvil-Sommer et al., 2019; Bittig et al., 2018; Landschützer et al., 2013, 2016; 33 34 35 36 37 38 39 Rödenbeck et al., 2014, 2015; Fav et al., 2014; Zeng et al., 2014; Nakaoka et al., 2013; Schuster et al., 2013; Takahashi et al., 2002, 2009). These methods provide converging estimates of the global ocean carbon sink and its variability at seasonal and interannual time scales (Rödenbeck et al., 2015; Denvil-Sommer et al., 2019). They are, however, sensitive to the observation coverage in space and time which contributes to inconsistent results over regions with sparse data (Denvil-Sommer et al., 2019; Rödenbeck et al., 2015) and to persistent uncertainties at global scale (Gregor et al., 2019; Hauck et al., 2020).

40 The majority of observations contributing to the Surface Ocean CO<sub>2</sub> Atlas (SOCAT) (Bakker et al., 2016) are still 41 obtained by underway sampling systems on board of volunteering observing ships. The data density is not 42 43 homogenous, with Southern latitudes being less well sampled in space and also in time (Monteiro et al., 2010). Sparse data coverage and the lack of observations covering the full seasonal cycle challenge mapping methods 44 45 and result in noisy reconstructions of surface ocean  $pCO_2$  and disagreements between different models (Denvil-

- Sommer et al., 2019, Rödenbeck et al., 2015). The ship-based sampling effort is progressively complemented by
- 46 autonomous observing platforms, such as biogeochemical ARGO floats equipped with pH sensors. The expansion
- 47 48 of the observing system to autonomous platforms is of particular relevance in regions that are undersampled either
- because of the presence of fewer regular shipping lines (e.g., South Atlantic) or because adverse weather conditions

49 prevent a year around sampling (e.g., Southern Ocean). The benefits of combining ship-based measurements of 50  $pCO_2$  and data from biogeochemical ARGO floats was recently demonstrated for the assessment of Southern 51 Ocean CO<sub>2</sub> fluxes (Bushinsky et al., 2019). Majkut et al. (2014) and Kamenkovich et al. (2017) reported on 52 observing system simulations with autonomous biogeochemical profiling floats in the Southern Ocean that 53 improve estimates of carbon dioxide uptake and biogeochemical variables. While Majkut et al. (2014) used a 54 coarse-resolution model and fixed floats, Kamenkovich et al. (2017) extended this work to a more realistic case 55 with moving floats and high-resolution numerical simulations. Based on a coupled climate carbon model and 56 observations, Lenton et al. (2009) proposed sampling strategies to obtain large-scale integrated CO<sub>2</sub> fluxes in the 57 North Pacific and North Atlantic. They show that regular sampling of ocean surface  $pCO_2$  with a 3-month time 58 59 step and every 6° in latitude and 10° in longitude is sufficient to capture more than 80% of total CO<sub>2</sub> flux variability.

60 This study extended the scope to the Atlantic basin, including the Atlantic sector of the Southern Ocean. It explored 61 design options for a future augmented Atlantic scale observing system which would optimally combine data 62 streams from various platforms and contribute to reduce the bias in reconstructed surface ocean  $pCO_2$  fields and 63 sea-air CO<sub>2</sub> fluxes. A series of Observation System Simulation Experiments (OSSEs) were carried out in a perfect 64 model framework using output from an online-coupled physical-biogeochemical global ocean model at 1/4° 65 nominal resolution. Since all fields used by the FFNN are produced by the same model run and thus internally 66 consistent, the comparison between reconstructed and modelled  $pCO_2$  distributions allows to assess the theoretical 67 skill for each experiment. Starting from measurements extracted from the SOCAT database, the goal was to 68 identify how and where the new data from biogeochemical ARGO floats can improve surface ocean  $pCO_2$ 69 70 reconstructions and how to optimally integrate them with other existing platforms. Pseudo-observations were obtained by sub-sampling model output at sites of real-word observations. Surface ocean pCO<sub>2</sub> was reconstructed 71 72 73 74 from these pseudo-observations at basin scale by applying a non-linear feed forward neural network (FFNN) (Bishop, 1995; Rumelhart et al., 1986). The choice of the FFNN for our experiments was motivated by its overall performance reported in Denvil-Sommer et al. (2019). The architecture of the FFNN method was adapted to the current problem and differs from the one presented in Denvil-Sommer et al. (2019). 75

76 The remainder of the article is structured into Section 2 presenting the model output, the observing systems and 77 observations as well as the design experiments, and the description of the statistical model. Results are presented 78 and discussed in Section 3. Section 4 is dedicated to the conclusion and the presentation of perspectives. 79

## 2 Data and methods

81 82 Here we present the ensemble of observing systems that either already perform measurements to estimate  $pCO_2$ 83 or have the possibility to be equipped with new sensors to provide biogeochemical measurements (Williams et al., 84 2017). These datasets provide information on geographical, as well as time positions and hence on the distribution 85 of  $pCO_2$  measurements. In this section we also describe the ocean model output and how we use it in the OSSEs. 86 As mentioned in the introduction the data from the model co-localized with real positions of observing-systems 87 are called *pseudo-observations*.

- 89 2.1 Data
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## 91 a) Observing systems

92 Three observing systems were selected for the study: (1) volunteering observing ships providing in situ <u>9</u>3 measurements of surface ocean CO<sub>2</sub> fugacity (fCO<sub>2</sub>), (2) moorings (OceanSITES), and (3) profilers (Argo). These 94 observations form the dataset of geographical and time positions for our experiments. Surface ocean measurements 95 of fCO<sub>2</sub> from multiple platforms are converted to pCO<sub>2</sub> and compiled in the SOCAT database (Bakker et al., 2016). 96 Moorings are not routinely equipped with sensors of CO<sub>2</sub> fugacity. We used their geographical positions to identify 97 possible locations for additional measurements. Biogeochemical ARGO floats are increasingly equipped with pH 98 sensors allowing computing  $pCO_2$  from pH and SST-based alkalinity. For the design experiments, we considered 99 distributions of physical ARGO floats (2008-2011) from Gasparin et al. (2019) and supposed that they were 100 equipped with  $pCO_2$  sensors.

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102 (1) SOCAT database v5 (Bakker et al., 2016; (https://www.socat.info/index.php/data-access/)): the database
 103 provides a good coverage of the Northern Hemisphere. Data for the period 2001-2010 were used, representing
 104 ~60% of data in SOCAT database (Fig.1a). The use of data for the period 2001-2010 allows us to capture

105 interannual variability from a long historical record of SOCAT data and to explore how SOCAT data can be

enhanced by other observational platforms. It also provides more data for the training of the Neural Network.While the data from 2001 to 2010 are used in training, the reconstruction focuses only on the years 2008 to 2010.

108 We used the synthesis files SOCATv5, these are the raw data from which the gridded SOCAT product is derived.

- 109 There are 24 moorings in SOCATv5 that provided  $CO_2$  fugacity measurements between 2001 and 2010. These
- 110 moorings were excluded from OceanSITES data (see below).

111 (2) Argo profilers: We used the network of Argo (Gould et al., 2004; Argo 2000) distributions provided by 112 Mercator Ocean (details can be found in Gasparin et al., 2019) for the period 2008-2010. This network provides a 113 synthetic homogeneous distribution of 1 profiler per 3°x3° grid box per 10 days, amounting to 310-360 114 measurements per day (Fig.1b) based on real trajectories of Argo floats. This synthetic Argo distribution was built 115 based on the time, date and location of Argo profiles during the 2009-2011 period (Gasparin et al., 2019). To 116 provide a homogeneous coverage Gasparin et al. (2019) removed some float trajectories in well-sampled regions, 117 for example the Gulf Stream, or added floats in the low-sampled Tropical and South Atlantic regions. The target 118 for BioGeoChemical Argo (1/4 of ARGO coverage) (Bittig et al., 2018) was derived from this distribution. It is 119 worth noting that Argo floats provide measurements every 10 days. Floats dive to a depth of 2000 m and then rise 120 to the surface by measuring vertical profiles of ocean variables. In this study we use a 5-day time step (see below 121 section b)) which can be a limitation to apply our results to real observations as it does not represent an average 122 value over 5 days. We paid more attention to the spatial distribution, and we believe that with Argo measurements 123 recorded over a longer period our results can be applied to one-month time steps. In this case, 3 monthly 124 measurements can be representative of a monthly mean.

(3) OceanSITES: This dataset combines observations from open ocean Eulerian time series stations providing
 data since 1999 (Fig.1c). We used all available locations of moorings (except moorings included in SOCATv5)
 and added this information to the period of reconstruction 2008-2010 (http://www.oceansites.org/). It provided
 318 additional positions to our data set.

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For this study, the same set of predictors was used as in Denvil-Sommer et al. (2019) for training the Machine Learning (ML) algorithm: sea surface salinity (SSS), sea surface temperature (SST), sea surface height (SSH), mixed layer depth (MLD), chlorophyll *a* concentration (Chl *a*) and atmospheric CO<sub>2</sub> ( $pCO_{2, atm}$ ). These variables are known to represent the main physical, chemical and biological drivers of surface ocean  $pCO_2$  (Takahashi et

134 al., 2009; Landschützer et al., 2013).

## 135 b) Model output and pseudo-observations

136 Here we used the numerical output from an online-coupled physical-biogeochemical global ocean model, the 137 NEMO/PISCES model, at 5-day resolution. This configuration of the Nucleus for European Modelling of the 138 Ocean (NEMO) framework was implemented on a global tripolar grid. It coupled the ocean general circulation 139 model OPA9 (Madec et al., 1998), the sea ice code LIM2 (Fichefet & Maqueda, 1997), and the biogeochemical 140 model PISCESv1 (Aumont and Bopp, 2006). Information on the simulation is given in Gehlen et al. (2020) and 141 Terhaar et al. (2019), including the evaluation of the modelled mean state and the seasonal cycle of sea surface 142 temperature and air-sea fluxes of CO<sub>2</sub> (Gehlen et al., 2020). The geographical and time positions identified from 143 the data mentioned before were used to create pseudo-observations by sub-sampling NEMO/PISCES model output 144 at sites of real-word observations. Thus, the positions of SOCAT, Argo floats and mooring stations were chosen 145 over 5 days centred on the NEMO/PISCES date and sub-sampled on the model grid. The model grid coordinate 146 closest to the real geographical position was chosen, if several measurements were co-localized at the same grid 147 coordinate and same time step it is counted as one measurement. No Argo floats were added to grid cells if there 148 was already a measurement identified in the SOCAT database. All predictors and target  $pCO_2$  were taken from 149 model output at corresponding coordinates. These outputs served as the reference for validation and evaluation of 150 our experiments and for assessing the ML method's accuracy. The simulation covers the period 1958 to 2010, the

151 last 3 years were retained for the design study.

## 152 **2.2 Observational System Simulation Experiences**

153 Table 1 summarizes experiments designed for different combinations of observing platforms.

154 The first test is based on individual sampling data extracted from the SOCAT database. As mentioned before these 155 data provide a good coverage of the Northern Hemisphere. The lesser coverage in the Southern Hemisphere results

156 in a larger dispersion of methods based on these observations only (Denvil-Sommer et al., 2019; Rödenbeck et al.,

157 2015). This has motivated experiments with additional data from Argo profilers limited to the Southern

Hemisphere. An experiment based on the full physical ARGO network was included to evaluate the method for a

159 high spatial and temporal coverage (an optimal, yet unrealistic case).

We have tested combinations of SOCAT data and (1) total Argo data, (2) Argo only in the Southern Hemisphere, and (3) 25% or (4) 10% of the initial (total) Argo distribution. Finally, these experiments were repeated with additional mooring data. It is worth noting (Table 1) that OSSE 4 is closest to the target of the BGC-Argo program with a BGC-Argo density corresponding to 25% of the existing Argo distribution. However, we decided to choose OSSE 3 as a benchmark against which to evaluate individual experiments. This experiment has a high data density

and provides additional information on a potential future BGC-Argo network.

## 167 **2.3 Method**

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168 We used a Feed-Forward Neural Network (FFNN) based on Denvil-Sommer et al. (2019) to reconstruct surface 169 ocean  $pCO_2$  over the Atlantic Ocean. Compared to the previous study we skipped the first step consisting of the 170 reconstruction of the  $pCO_2$  climatology. The reconstruction covered January 2008 to December 2010 with a 5-day 171 frequency and at the spatial resolution of the tripolar ORCA025 model grid (nominal 1/4° resolution). The 172 approach consisted in a method that reconstructs the non-linear relationships between the target  $pCO_2$  and 173 predictors responsible for  $pCO_2$  variability: 174  $pCO_{2n} =$ 

# $pCO_{2,n} = f(SSS_n, SST_n, SSH_n, Chl_n, MLD_n, pCO_{2,atm,n}, (1)$ $SSS_{anom,n}, SST_{anom,n}, SSH_{anom,n}, Chl_{anom,n}, MLD_{anom,n}, pCO_{2,atm,anom,n} lat_n, long_{1,n}, long_{2,n})$

As previously (Denvil-Sommer et al., 2019), we use Keras, a high-level neural network Python library ("Keras: the Python Deep Learning library", Chollet, 2015; https://keras.io) to construct and train the FFNN models. We first identified an optimal configuration (number and size of hidden layers, the activation functions etc.) of the FFNN model. Based on our earlier work (Denvil-Sommer et al., 2019), a hyperbolic tangent was chosen as an activation function for neurons in hidden layers, and a linear function was chosen for the output layer. As an optimization algorithm, the mini-batch gradient descent or "RMSprop" was used (adaptive learning rates for each weight, Chollet, 2015; Hinton et al., 2012).

185 The numbers of hidden layers and parameters/weights depend on the number of data used for training. In this 186 work, the FFNN was applied separately for each month (one model for January, one model for February, etc.). A 187 sub-set of 50% of data was used for training. 25% participated in the evaluation of the model during the training 188 algorithm and 25% were used to validate the model after training. These data were chosen regularly in time and 189 space: each third grid point was kept for evaluation, each forth for validation. Tables S1 presents the numbers of 190 training data for each month and each OSSE. To adjust the number of FFNN parameters/weights we followed the 191 empirical rule that suggests limiting the number of parameters to the number of training data points divided by 10 192 to avoid overfitting (Amari et al., 1997). The FFNNs for all OSSEs except OSSE 2 have four layers (two hidden 193 layers) with 1116 parameters in total. The input layer has 15 input nodes and 20 output nodes that represent the 194 input for the first hidden layer. The first hidden layer has 25 output nodes and the second hidden layer -10 output 195 nodes. The OSSE 2 which is based on Argo data for the period 2008-2010, has significantly less data for training 196 and thus, the FFNN for the OSSE 2 is different: 3 layers (one hidden layer with 20 input and 10 output nodes) with 197 541 total parameters.

All data have to be normalized before their use in the FFNN as exemplified for SSS:

$$SSS_n = \frac{SSS - \overline{SSS}}{STD(SSS)} \quad (2)$$

200 *SSS* is the total mean of variable SSS, *STD(SSS)* is standard deviation of SSS.

201 Normalization is required to rank all predictors in the same scale and to avoid the possible influence of one 202 predictor with strong variability (Kallache et al., 2011).

Following Denvil-Sommer et al. (2019) we normalized the geographical positions (lat, long) in the following way:

$$lat_n = sin(lat * \pi/180)$$

$$long_{n,1} = sin(long * \pi/180)$$

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$$long_{n,2} = cos(long * \pi/180).$$

A K-fold cross-validation was used to evaluate and validate the FFNN architecture. The cross-validation is based on K=4 different subsamples where 25 % of independent data are chosen for validation. In each of the 4 cases the 209 25% of data are different and there is no overlap. Thereby, each run has 4 outputs. Different architectures of the

210 FFNN were tested and the final one was chosen based on skill assessed by the root-mean-square difference 211 (RMSD), the  $r^2$  and the bias of 4 outputs for each architecture. To ensure a good accuracy of the method and check 212 that there is no overfitting, we compared the RMSD,  $r^2$  and bias estimated from the validation dataset with those 213 estimated from the training dataset. Denvil-Sommer et al. (2019) provide a detailed description of the model

214 including the accuracy of the ML method and its ability to correctly reproduce the  $pCO_2$  variability.

### 215 **2.4 Diagnostics**

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216 The comparison between OSSEs is done per biome, following Rödenbeck et al. (2015) (Fig. 2, Table 2). Biome 217 8, North Atlantic Ice, has been omitted due to poor data coverage in all OSSEs. It is expected that reconstructions 218 over this region will yield large biases susceptible to interfere with the interpretation of results from individual 219 OSSEs. 220

221 In order to simplify the comparison, we used Taylor and Target Diagrams with standard deviation, biases, 222 correlation and normalized RMSD (uRMSD) of the mean of 4 FFNN outputs for each OSSE. Here uRMSD is 223 estimated as:

$$uRMSD = \sqrt{mean(\{ [pCO_{2 OSSE} - \overline{pCO_{2 OSSE}}] - [pCO_{2 NEMO} - \overline{pCO_{2 NEMO}}] \}^2)}$$
(3)

226 For each OSSE and each output of the k-fold cross-validation, we estimated a time mean difference between its 227  $pCO_2$  and NEMO  $pCO_2$  at each grid point:

 $\operatorname{Diff}_{j,i} = \operatorname{meant}(pCO_2 \operatorname{osse}_{j,i} - pCO_2 \operatorname{NEMO}) = \frac{1}{T} \sum_{t=1}^{T} (pCO_2 \operatorname{osse}_{j,i,t} - pCO_2 \operatorname{NEMO}_t),$ 

where mean<sub>T</sub> is a time mean over the period, T is a number of time steps, j is an index of the OSSE and i is an 229 230 231 index of output, from 1 to 4.

Further, the maximum absolute value from 4 outputs, maxValuei, was estimated for each OSSE: 232

$$maxValue_j = max_i(abs(Diff_{j,i}))$$

(4)

233 where max<sub>i</sub> is a maximum value on i, the index of output, for each fixed j, the OSSE index. The index i of the 234 maximum absolute value of FFNN outputs is called imax.

235 The final mean difference meanD<sub>j</sub> was estimated as: 236

 $meanD_i = sign(Diff_{j,i max}) * maxValue_j,$ 

237 where sign(x) is a function that returns the sign of a value x, -1 or 1. 238

The STD of the mean difference Diff<sub>j,i</sub> is estimated for each OSSE as: 239  $STD_i = std(Diff_{i,i}),$ (5)

241 242 The time series of the mean value from 4 FFNN outputs for pCO<sub>2</sub> were provided per biome, with the maximum 243 and minimum values from these 4 outputs indicated by shading. The time series of CO<sub>2</sub> sea-air flux are shown in 244 the same way as the ones for  $pCO_2$ . The sea-air CO<sub>2</sub> flux,  $fgCO_2$ , was calculated after Rödenbeck et al. (2015): 245

$$fgCO_2 = k\rho L(pCO_2 - pCO_{2,atm}), \quad (6$$

246  $\rho$  is seawater density and L is the temperature-dependent solubility (Weiss, 1974). k is the piston velocity estimated 247 as (Wanninkhof, 1992):

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$$k = \Gamma u^2 (Sc^{CO_2}/Sc^{ref})^{-0.5}.$$

249 The global scaling factor  $\Gamma$  was estimated following Rödenbeck et al. (2014) with the global mean CO<sub>2</sub> piston 250 velocity equaling 16.5 cm h-1. Sc corresponds to the Schmidt number estimated according to Wanninkhof (1992). 251 The wind speed was computed from 6-hourly NCEP wind speed data (Kalnay et al., 1996). To simplify the

252 interpretation of results, the NEMO/PISCES CO2 air-sea flux was also calculated by using formula (6) and NCEP 253 wind speed.

#### 254 3 Results

255 Figure 3 shows the Taylor Diagram (correlation coefficient between reconstructed pCO<sub>2</sub> and model output, and 256 Standard Deviation of reconstructed fields) of 11 OSSEs in the region of 8 biomes (pink) and in each of these 257 biomes separately (color code corresponds to Fig. 2). The target diagrams per biomes for each OSSE are presented 258 on Figure 4. Over regions well-covered with observations (biomes 9, 10, 11) results of different OSSEs lie close 259 to each other. The OSSE 1 (marker symbol "+"; Fig. 3a) that is based only on SOCAT data has a lower correlation 260 coefficient over the whole region (0.67, pink) and per biomes (Fig. 3a). Over regions with poor observational 261 coverage the results from OSSE 1 lie at a distance from results of all other OSSEs. OSSE 1 also shows the largest 262 normalized RMS differences (uRMSD) (Fig. 4), as exemplified for biome 17 with uRMSD of 17.33  $\mu$ atm, STD 263 of 21.11 µatm (compared to 24.03 µatm estimated from NEMO/PISCES data) and bias of -11.63 µatm (all values 264 in the Fig. 3 and 4 are presented in Tables 3 and 4). The OSSE 2 (based on all Argo data, "O") and OSSE 3 265 (combination of Argo and SOCAT data, "X") provide comparable results (Fig. 3b and c). OSSE 3 tends to have 266 smaller uRMSD and bias and to lie closer to the STD values from the NEMO/PISCES model (Fig. 4). OSSE 3 is 267 based on the maximum of pseudo-observations for training and represents most likely an unrealistic endmember. 268 However, as mentioned before, OSSE 3 is used as the benchmark to find other OSSEs with similar results and 269 more feasible data coverage. 270

271 OSSE 4 (square) and OSSE 5 (rhombus) are based on OSSE 3, the only difference being the number of Argo data: 272 OSSE 3, 100%; OSSE 4, 25% and OSSE 5, 10%. The results of OSSEs 4 and 5 are similar to those obtained for 273 OSSE 3. The largest difference is observed over biome 17 (Fig. 3, Fig. 4i): correlation coefficients are 0.85 (OSSE 274 3), 0.77 (OSSE 4), 0.75 (OSSE 5); biases are -0.66  $\mu$ atm, -2.25  $\mu$ atm, -4.02  $\mu$ atm; uRMSDs are 10.18  $\mu$ atm, 11.75 275  $\mu$ atm, 11.8  $\mu$ atm (Tables 3, 4).

277 OSSEs 6 (triangle), 7 (inverted triangle), 8 (pentahedron) were trained on SOCAT data complemented with Argo 278 data in the Southern Hemisphere. In general, the skill scores are lower compared to OSSE 3, especially for OSSE 279 8 (10% of Argo data in the Southern Hemisphere) where results approach those of OSSE 1 (Fig. 3). Large 280 differences are obtained for biomes 12 and 17 (Fig. 3, Fig. 4e and i): in biome 12/17, correlation coefficients for 281 OSSE 6, 7, 8 are 0.64/0.86, 0.54/0.8, 0.52/0.66, respectively, compared to 0.79/0.85 for OSSE 3; uRMSDs are 282  $11.46/10.01 \ \mu atm$ ,  $13.3/11.03 \ \mu atm$ ,  $13.87/15.16 \ \mu atm$  compared to  $8/10.18 \ \mu atm$  for OSSE 3; biases are 3.82/-283 0.18 µatm, 3.77/-1.8 µatm, 2. 7/-4.12 µatm compared to -0.14/-0.66 µatm for OSSE 3 (Tables 3, 4). Over biome 284 12 all OSSEs show STD values lower than the one computed for NEMO/PISCES model output (Table 3). This 285 could result from the STD of the mean output being slightly lower than the individual STDs for 4 OSSE FFNN 286 outputs (not shown). However, individual STDs also underestimate the NEMO/PISCES STD which might suggest 287 that the ensemble of predictors does not properly represent the variability over the Equatorial Atlantic. 288

289 Reconstruction skill scores are improved by the addition of data from mooring stations to OSSEs 6, 7, and 8 in 290 OSSEs 9 (hexagon), 10 (star) and 11 (triangle centroid) (Fig. 3 and 4, Tables 3 and 4). Over the ensemble of 8 291 biomes the decrease in the number of Argo data goes along with a general decrease of correlation coefficients, 292 0.88 (OSSE 9), 0.85 (OSSE 10), 0.83 (OSSE 11), and an increase of uRMSDs, 8.37 µatm (OSSE 9), 8.71 µatm 293 (OSSE 10), 9.16 µatm (OSSE 11) (Fig. 3, 4a, Tables 3 and 4). Statistics are slightly worse for OSSE 11 compared 294 to OSSEs 9 and 10, which have comparable results. While OSSE 10 shows a smaller correlation coefficient over 295 the whole region compared to OSSE 9, its STD (24.89 µatm) lies closer to the NEMO/PISCES STD (25.34 µatm) 296 and it has a smaller bias (-0.39  $\mu$ atm). Similar results are found over other biomes: in biome 12, OSSEs 9 and 10 297 have correlation coefficients close to each other (0.68 and 0.63, respectively) and larger than for OSSEs 6, 7 and 298 8, while for OSSE 11 it is 0.58. The STDs are almost equal (OSSE 9, 12.98  $\mu$ atm and OSSE 10, 12.9  $\mu$ atm) and 299 uRMSDs have a small difference compared to the one computed for OSSE 3 (8  $\mu$ atm) (Tables 3, 4). Thus, the 300 remainder of the discussion will focus on OSSE 10 in comparison to OSSEs 1 and 3. OSSE 10 provides comparable 301 results to OSSE 9 and is in good agreement with OSSE 3 while using less data for training. Figures 3 and 4 are 302 summarized in Figure S1 of the Supplementary Material. 303

304 Figures 5a, b and c present the differences between reconstructed pCO<sub>2</sub> distributions (Fig.5 a - OSSE 1; b - OSSE 305 3; c - OSSE 10) and NEMO/PISCES output. The maximum in absolute value from 4 outputs for each OSSE FFNN 306 is shown (Eq. 4). There is a large improvement in the Southern Hemisphere for OSSEs 3 (Fig. 5b) and 10 (Fig. 307 5c) compared to OSSE 1 (Fig. 5a): the difference varies mostly between -3 and 3  $\mu$ atm for OSSEs 3 and 10, and 308 between -15 and 15 µatm for OSSE 1 (Fig. 5). However, the average values of the mean over biomes are not 309 always better for OSSE 3 (Table 5): in biome 13, OSSE 1 shows a small positive difference of 0.11  $\mu$ atm, while 310 for OSSE 3 negative difference of -0.32  $\mu$ atm is computed, exceeding 0.11  $\mu$ atm in its absolute value. This is due 311 to error compensation by averaging, the reduction of the positive difference in the middle of biome 13 in OSSE 3 312 increases the impact of negative small differences in this region. Error compensation also contributes to positive 313 biases computed for OSSEs 6-11 for biome 12 (Table 4). Additional data from Argo floats correct the negative 314 bias in the southern part of the biome close to the African coast (Fig. 5c). Thus, the strong positive bias in the 315 northern part becomes dominant and results in a total positive bias. A large improvement is obtained in biomes 16 316 and 17: from -8.04  $\mu$ atm for OSSE 1 to -1.89  $\mu$ atm and -1.91  $\mu$ atm for OSSEs 3 and 10 in biome 16, and from -317 14.9  $\mu$ atm for OSSE 1 to -2.05  $\mu$ atm and -1.55  $\mu$ atm for OSSEs 3 and 10 in biome 17 (Table 5). Over the whole 318 region, 70°W-30°E 80°S-80°N, OSSE 1 has a mean difference of -6.57  $\mu$ atm, it is -1.7  $\mu$ atm and -2.34  $\mu$ atm for 319 OSSEs 3 and 10. The difference between OSSEs 3 and 10 results from the Labrador Sea and Baffin Bay: OSSE 10 has fewer data in this region compared to the OSSE 3. However, there is an improvement in OSSE 10 compared
to OSSE 1 and 3 in the Greenland Sea (Fig. 5). It results from the addition of mooring data in the Greenland Sea
region (Fig. 1c).

324 Figures 5d, e and f present the standard deviations (STD) of differences for all 4 outputs for each OSSE FFNN 325 (Fig.5 d – OSSE 1; e – OSSE 3; f – OSSE 10) (Eq. 5). Over most of the Atlantic Ocean STD varies between 0 and 326 327 10 µatm for OSSEs 3 and 10. In each case there is a strong STD along the coasts and in the Labrador Sea, as well as the Baffin Bay. In general, the mean value of STD tends to decrease (Table 5) from OSSE 1 to OSSEs 3 and 328 10. In the Southern Hemisphere STD reaches up to 30  $\mu$ atm (Figures 5d, e and f)) when only SOCAT data are 329 used in the FFNN algorithm (OSSE 1). It is significantly reduced in response to the addition of float data in OSSEs 330 3 and 10 with also less spatial variability. The results for other OSSEs are added to the Supplementary material 331 (Table S2, Fig. S2, S3). 332

333 Figure 6 shows the correlation between the mean value of 4 OSSEs outputs and NEMO/PISCES pCO<sub>2</sub> (a - OSSE 334 1, b - OSSE 3, c - OSSE 10). The additional data from Argo floats and mooring stations increase the correlation 335 coefficient from 0.68 in the case of OSSE 1 (SOCAT data only) to 0.86 and 0.85 in the case of OSSEs 3 and 10 336 (Table 6). A higher correlation was also obtained for these two OSSEs compared to OSSE 1 over the region 337 covering the Greenland Sea, the Norwegian Sea and Barents Sea (mostly biome 9). In the Southern Hemisphere 338 the correlation with NEMO/PISCES pCO<sub>2</sub> is also larger when Argo data are included, especially in biomes 16 and 339 17: 0.7 and 0.57 for OSSE 1, 0.83 and 0.85 for OSSE 3, as well as 0.78 and 0.89 for OSSE 10 (Table 6). However, 340 there is a low correlation along the African coasts which is in agreement with our previous results for mean 341 difference and STD (Fig. 5). It reflects the predominantly open ocean data used for this exercise. A well-342 pronounced decrease in correlation is observed for biome 15 (Subtropical seasonally stratified Southern Ocean). 343 Such a decrease can result from the spatial distribution of data or from the predictor data set. We will discuss it 344 further in the next section. The results for other OSSEs are presented in the Supplementary material (Table S3, 345 Fig. S4). 346

347 In Figure 7, time series of pCO<sub>2</sub> for OSSEs 1, 3 and 10 are compared to corresponding NEMO/PISCES model 348 output. For each OSSE, the mean  $pCO_2$  from 4 FFNN outputs is shown, as well as the mean bias (OSSE -349 NEMO/PISCES). Figure 7a and b presents the  $pCO_2$  time series over the period of reconstruction 2008-2010 for 350 OSSE 1, 3, 10 compared to NEMO/PISCES pCO2 used as reference (black) over all biomes. For OSSE 1 (SOCAT 351 data only) a large difference and an underestimation of reconstructed  $pCO_2$  (blue) compared to NEMO/PISCES 352  $pCO_2$  (black) are found: the maximum error is up to -10  $\mu$ atm (Fig. 7b). To the contrary, OSSEs 3 and 10 show a 353 good agreement with NEMO/PISCES model output. Averages of  $pCO_2$  over the 8 biomes are 372.18  $\mu$ atm for 354 OSSE 3, 372.26 µatm for OSSE 10 and 368.39 µatm for OSSE 1, compared to 372.65 µatm for NEMO/PISCES 355 (Table 7). The experiment corresponding to the BGC-Argo distribution target over the entire Atlantic basin, OSSE 356 4 (Fig. S8, S9), has a basin-wide average  $pCO_2$  equal to 371.8  $\mu$ atm (Table 7). This corresponds to a larger 357 difference with NEMO/PISCES (-0.84 µatm) compared to OSSEs 3 and 10. 358

359 Panels (c) to (h) of Figure 7 illustrate time series of reconstructed  $pCO_2$  for biomes with varying data coverage. 360 Biome 11, the Subtropical permanently stratified North Atlantic, (Figure 7c and d) is well covered by data. All 361 three OSSEs yield  $pCO_2$  reconstructions that are in good accordance with the NEMO/PISCES reference. The 362 amplitude and the phasing of the seasonal cycle are well reproduced. The bias varies within a range of  $\pm -5 \mu$  atm 363 for OSSEs 3 and 10. A predominantly negative bias is found for OSSE 1 with values as high as -10  $\mu$ atm. The 364 pCO2 averaged over biome 11 for OSSE 10 is close to NEMO/PISCES with, respectively 389.39 µatm and 390.11 365  $\mu$ atm (Table 7). OSSE 1 yields a biome-averaged pCO<sub>2</sub> equal to 387.11  $\mu$ atm, while it is 389.39  $\mu$ atm for the OSSE 366 3. 367

368 Biome 13, the Subtropical permanently stratified South Atlantic, (Figure 7e and f) corresponds to a region with a 369 low data coverage. This region has a dynamic similar to biome 11 in the Northern Hemisphere, however the data 370 coverage in biome 13 represents only 15% of data coverage in biome 11 (Fig. S5). We observe a large difference 371 between pCO<sub>2</sub> reconstructed by OSSE 1 (blue) and NEMO/PISCES (black). While the phasing of the reconstructed 372 seasonal cycle is satisfying, it is noisy with a systematic overestimation in spring by up to 18  $\mu$ atm (Table 7). 373 However, the total averaged  $pCO_2$  over biome 13 for OSSE 1 is close to the one of NEMO/PISCES: 391.66  $\mu$ atm, 374 respectively 389.54  $\mu$ atm. The preceding suggests that while the variability of the predictors (mainly SST) is 375 sufficient to constrain at first order the biome-average  $pCO_2$  and the phasing of the seasonal cycle, an improved 376 coverage by *in situ* observations is needed for a smooth reconstruction of the seasonal cycle and its amplitude. 377 Reconstructions are largely improved by the addition of data from Argo floats (OSSE 3) and moorings (OSSE 10). 378 Biases mostly range between -3 and 3  $\mu$ atm for these OSSEs.

- 380 The Southern Ocean Ice biome (biome 17) is characterized by a sparse data coverage and a bias towards the ice-
- 381 free season. The results for biome 17 are presented in Figure 7g and h. OSSE 1 underestimates the  $pCO_2$  in this
- region over the full seasonal cycle. The maximum difference is obtained in September-October, which also corresponds to the months with the lowest number of available observations (Fig. S5). The biome-wide average is
- 383 corresponds to the months with the lowest number of available observations (Fig. S5). The biome-wide average is 384  $351.44 \,\mu$ atm, -11.63  $\mu$ atm below the NEMO/PISCES reference. The reconstruction is much improved for OSSEs
- 385 3 and 10, both for the phasing and amplitude of the seasonal cycle, as well as for the biome-wide averages. The
- $\frac{1}{386}$  latter are  $\frac{362.42}{\mu}$  atm and  $\frac{362.87}{\mu}$  atm, respectively for OSSE 3 and OSSE 10, compared to  $\frac{363.08}{\mu}$  atm

387 computed for NEMO/PISCES (Table 7).

- 388 Results for all OSSEs and for all biomes are included in the Supplementary material (Table S4, Fig. S6 S11).
- 389 390 Figure 8 shows the sea-air CO<sub>2</sub> flux time series (negative, uptake of CO<sub>2</sub> by the ocean). Over all biomes and in the 391 region 70°W-30°E 80°S-80°N, OSSEs 3 (red) and 10 (green) show a good agreement with NEMO/PISCES fgCO2: 392 the differences vary around zero and mostly do not exceed  $\pm 0.3$  Pg/yr (Fig. 8b, d, f and h). The total averaged 393 fgCO<sub>2</sub> for OSSE 3 and 10 are -0.74 Pg/yr compared to -0.7 Pg/yr in NEMO/PISCES, while for OSSE 1 it equals 394 -0.99 Pg/yr (Table 8). The mean value over biome 11 is slightly better for OSSE 10 than for OSSE 3 compared to 395 NEMO/PISCES: -0.06 Pg/yr (OSSE 10), -0.07 (OSSEs 3) and -0.03 Pg/yr for NEMO/PISCES. The OSSE 1 (blue) 396 shows again a large difference, it overestimates the ocean sink computed by the NEMO/PISCES model mostly 397 during the whole period (Fig. 8b). In the well data-covered biome 11, OSSE 1 also has a tendency to overestimate 398 the sea-air CO<sub>2</sub> flux (Fig. 8d): the total averaged fgCO<sub>2</sub> is -0.18 Pg/yr for OSSE 1 while it is -0.03 Pg/yr in the 399 model. While the phasing and amplitude of the seasonal cycle of sea-air fluxes of CO<sub>2</sub> are well reproduced over 400 biome 13 by OSSEs 3 and 10, the fgCO<sub>2</sub> reconstructed by OSSE 1 is noisy with differences with respect to the 401 model reference of up 1 Pg/yr (Fig. 8e). The maximum differences between OSSE 1 and NEMO/PISCES are 402 systematically found in January and June, the months with the lowest number of available observations for training 403 (Fig. S5). The biome-wide mean sea-air flux of CO<sub>2</sub> is close to zero in NEMO/PISCES: -0.004 Pg/yr. This slight 404 uptake of  $CO_2$  by the ocean in the model reference is not reproduced by the OSSEs which yield a source over 405 biome 13, albeit of variable strength: 0.19 Pg/yr for OSSE 1, 0.05 Pg/yr for OSSE 3 and 0.08 Pg/yr for OSSE 10. 406 Over the Southern Ocean biome 17 (Fig.8g and h) OSSE 1 (blue) overestimates fgCO<sub>2</sub> by -0.65 g/yr (Table 8). 407 OSSE 10 (green) reproduces the local maxima and minima of the fgCO<sub>2</sub> time series slightly better than OSSE 3, 408 with average differences equaling -0.03 Pg/yr and -0.06 Pg/yr, respectively. Results for all OSSEs and for all 409 biomes can be found in the Supplementary material (Table S5, Fig. S12 - S17). 410
- 411 The relationship between the average number of Argo floats (5-day period) and the error in fgCO<sub>2</sub> estimates (Table 412 8, Table S5) is shown in Figure 9 for all biomes (a), biome 11 (b), biome 13 (c) and biome 17 (d). Figure 9a 413 illustrates how the increase of the number of floats usually yields a reduction in the error of  $fgCO_2$  estimates. 414 Considering the whole region, OSSE 10 provides the best results with less Argo floats (-0.04 PgC/yr and 48 Argo 415 floats). At the biome-scale, the addition of floats does, however, not systematically reduce the error. This holds 416 for biome 11 (Fig. 9b), which is well-covered by observations, but also for biome 13 with a much sparser data-417 coverage (Fig. 9d). For biome 11, OSSE 10 has the best trade-off between error reduction and number of floats. 418 The largest error (0.22 PgC/yr) is obtained for OSSE 2 (only Argo data). It suggests that the period chosen for this 419 study is too short to adequately capture the seasonal variability. This hypothesis is supported by the fact that while 420 OSSE 3 and OSSE 2 share the same number of Argo data, OSSE 3 is further constrained by SOCAT data that 421 cover the period 2001-2010. These additional data from SOCAT introduce the information needed for the 422 reconstruction of the seasonal cycle. For biome 13 (Fig. 9c), the combination of SOCAT data and Argo float data 423 improves estimates of fgCO<sub>2</sub>. The errors in OSSE 10 are comparable to OSSE 3 (benchmark), 0.08 PgC/yr (OSSE 424 10) and 0.06 PgC/yr (OSSE 3). The error is even lower for OSSE 11 (0.04 PgC/yr), the experiment with the 425 smallest number of Argo floats (19), than for OSSE 3. Unfortunately, results provided by OSSE 11 are less good 426 over the remainder of the biomes. The tendency for a decrease of  $fgCO_2$  error with an increase of the number of 427 Argo floats is confirmed for biome 17 (Fig. 9d). The additional data from mooring stations (OSSE 9, 10 and 11) 428 improve in particular OSSEs with smaller numbers of floats. An error of -0.03 PgC/yr is computed for OSSE 10 429 (49 floats) over biome 17. The results for other biomes can be found in the Supplementary material (Fig. S18).

## 430 4 Summary and Conclusion

The aim of this work was to identify an optimal observational network of  $pCO_2$  over the Atlantic Ocean. The analysis was based on results obtained with a Feed-Forward Neural Network model trained on the SOCAT database. The SOCAT database has sparse coverage in the Southern Hemisphere. The approach consisted in adding the position of mooring data and Argo trajectories in the Atlantic Ocean to find an optimal distribution and combination of data to reconstruct  $pCO_2$  with a good accuracy. The advantage of the SOCAT database is the long time period covered by its records, which allows to reconstruct the interannual variability with a good accuray. However, its data coverage is biased towards the North Atlantic, which leads to larger reconstruction errors over 438 the South Atlantic by the Neural Network. As a long-term perspective, the inclusion of data from Argo floats will 439 contribute to a more homogenous data distribution and provide better spatial coverage. The Argo floats and 440 moorings used here do not currently provide  $pCO_2$  measurements, hence only their positions were used to build 441 OSSEs. A series of experiments were performed using outputs from the NEMO/PISCES model. The model 442 simulations were sub-sampled at co-localized sites of observing systems for all predictors (SSS, SST, SSH, CHL, 443 MLD,  $pCO_{2, atm}$ ) used in the FFNN and the target ( $pCO_2$ ) to create pseudo-observations with a 5-day time step.

444 These experiments should be useful for the planning of future deployments of BGC-Argo floats (Biogeochemical-

Argo Planning Group, 2016) and moorings equipped with the sensors to measure  $pCO_2$  or  $CO_2$  fugacity. 446

447 The results suggest that the addition of data from Argo floats could significantly improve the accuracy of FFNN-448 based ocean  $pCO_2$  reconstructions over the Atlantic Ocean and the Atlantic sector of the Southern Ocean compared 449 to the case when only SOCAT data are used (OSSE 1). However, even with an improved coverage over the open 450 ocean, additional observations are required in coastal regions and shelf seas which are not accessible to floats, as 451 well as in regions with a strong seasonal variability of pCO<sub>2</sub> and all predictors. This is exemplified by OSSE 2, 452 the experiment based on all Argo data, which yields high RMSDs in biome 9, the Subpolar seasonally stratified 453 North Atlantic (Fig. 3, Fig.4b, Table 4). The RMSD of 17.1  $\mu$ atm reflects the poor coverage of this region by Argo 454 floats (Fig. 1b), in particular the Greenland Sea and the North Sea, with a large part of the latter not suitable for 455 the deployment of floats. The combination of SOCAT data and Argo floats (OSSE 3) improves the reconstruction 456 with a RMSD reduced to 9.59 µatm (Fig. 4b, Table 4).

457 The reduction of the number of Argo data used in our experiments slightly decreases the accuracy (Fig. 3 and 4, 458 Tables 3 and 4). A lower number of Argo data corresponds, however, to a more realistic distribution of instruments 459 and to the target of the global BGC-Argo network. The results are still comparable to OSSE 3. The best 460 compromise between the statistics yielded by the comparison between reconstructed  $pCO_2$  and NEMO/PISCES 461 outputs, as well as the feasibility of a future observation network is found for OSSE 10. In this experiment SOCAT 462 data are combined with simulated mooring data and 25% of the initial distribution of Argo floats placed only in 463 the Southern Hemisphere (around 49 floats with a 5-day sampling period). The use of only SOCAT data results in 464 a correlation coefficient of 0.67 compared to NEMO/PISCES output and a standard deviation of 26.08 µatm (25.34 465 µatm for NEMO/PISCES) over the region of study. While the successful OSSE 10 has a correlation coefficient of 466 0.85 and a standard deviation of 24.89  $\mu$ atm. These results are close to the unrealistic benchmark case with total 467 Argo float distribution over 2008-2010: 0.87 and 23.79 $\mu$ atm. The total pCO<sub>2</sub> over the whole region is also close 468 to NEMO/PISCES,  $\sim$ 370  $\mu$ atm and  $\sim$ 371  $\mu$ atm, respectively. The air-sea flux fgCO<sub>2</sub> is -0.83 Pg/yr (OSSE 10) and 469 -0.76 Pg/yr (NEMO). The bias in sea-air CO<sub>2</sub> fluxes compared to NEMO/PISCES is reduced by 74% in OSSE 10 470 compared to OSSE 1 (fgCO<sub>2</sub> is -1.03 Pg/yr).

The OSSE 10 network could be further improved by instrumenting the Baffin Bay, the Labrador Sea, the Norwegian Sea, as well as regions along the coast of Africa (10°N to 20°S), all regions with pronounced biases in all OSSEs, with moorings or gliders as well as sail-drones and sail buoys along the shelf break and on the continental shelf.

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The inclusion of errors from *in situ* measurements is one of the next steps of this work. The real measurements contain instrumental and representation errors. The inclusion of errors in pseudo-observations will help to estimate the impact of observations on the reliability of OSSEs presented in this work. It will include the errors for predictor values (SSS, SST, SSH, CHL, MLD,  $pCO_{2, atm}$ ) that are measured directly or derived from remote sensing (e.g., SST, chlorophyll, SSH), as well as the errors related to the computation of  $pCO_2$  from pH and alkalinity. The new FFNN runs could provide important information on the effect of biases from observational datasets and identify predictors or targets that have large errors and that must be corrected. The consistent introduction of error estimates

483 for each predictor will provide this information.

## 484 Author contribution:

ADS, MG, MV contributed to the development of the methodology and designed the experiments, and ADS
 carried them out. ADS developed the model code and performed the simulations. ADS prepared the paper with
 contributions from all coauthors.

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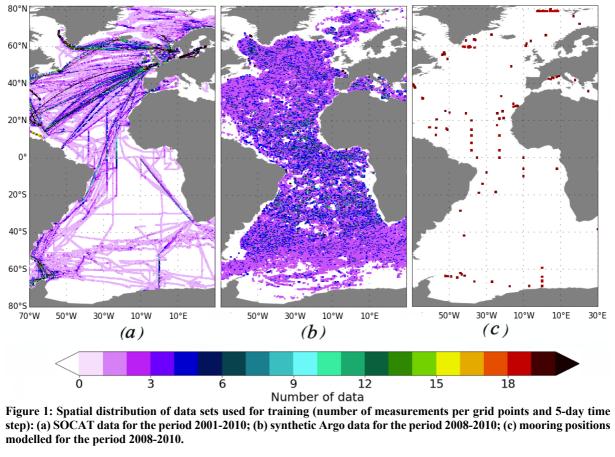
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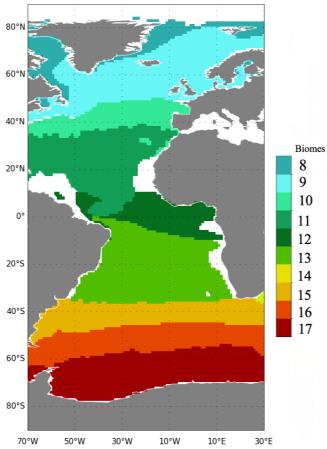
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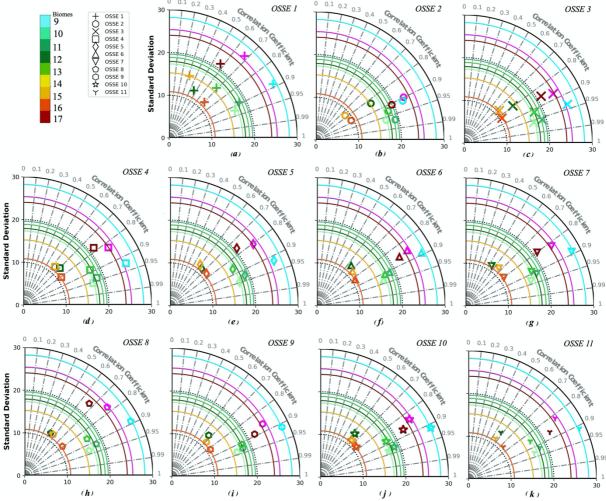
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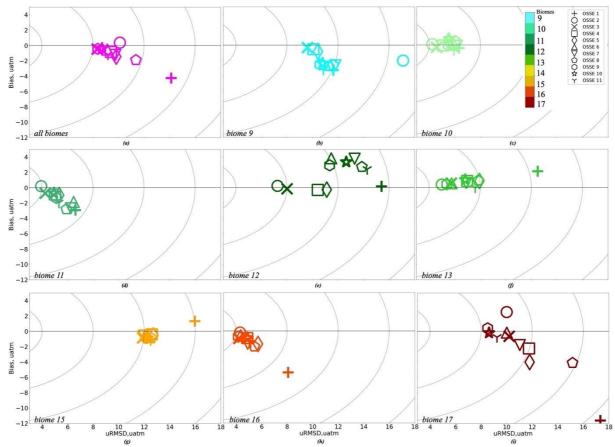




64570°W50°W30°W10°E30°E646Figure 2: Map of biomes (after Rödenbeck et al., 2015; Fay and McKinley, 2014) focused on the region [70°W-30°E]647and used for comparison between OSSEs.



648 649 650 Figure 3: Taylor Diagram of 11 OSSEs summarized in Table 2; the colour code corresponds to Fig. 2, the purple colour represents the whole of the 8 biomes: (a) - OSSE 1: SOCAT data only; (b) - OSSE 2: synthetic Argo data only; (c) -651 OSSE 3: SOCAT and synthetic Argo data; (d) - OSSE 4: SOCAT data and 25% of original synthetic Argo data; (e) -652 OSSE 5: SOCAT data and 10% of original synthetic Argo data; (f) - OSSE 6: SOCAT data and synthetic Argo data in 653 the Southern Hemisphere; (g) - OSSE 7: SOCAT data and 25% of original synthetic Argo data in the Southern 654 Hemisphere; (h) - OSSE 8: SOCAT data and 10% of original synthetic Argo data in the Southern Hemisphere; (i) -655 OSSE 9: SOCAT data, synthetic Argo data in the Southern Hemisphere and data from mooring stations; (j) - OSSE 656 657 10: SOCAT data, 25% of original synthetic Argo data in the Southern Hemisphere and data from mooring stations; (k) - OSSE 11: SOCAT data, 10% of original synthetic Argo data in the Southern Hemisphere and data from mooring 658 stations.



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Figure 4: Target Diagram per biome for 11 OSSEs, the colour code corresponds to Fig. 2, the purple colour represents the whole of the 8 biomes: (a) - all 8 biomes, (b) - biome 9 (Subpolar seasonally stratified North Atlantic), (c) - biome 10 662 (Subtropical seasonally stratified North Atlantic), (d) - biome 11 (Subtropical permanently stratified North Atlantic), 663 (e) - biome 12 (Equatorial Atlantic), (f) - biome 13 (Subtropical permanently stratified South Atlantic), (g) - biome 15 664 (Subtropical seasonally stratified Southern Ocean), (h) - biome 16 (Subpolar seasonally stratified Southern Ocean), (i) 665 - biome 17 (Southern Ocean ice). OSSE 1: SOCAT data only; OSSE 2: synthetic Argo data only; OSSE 3: SOCAT and 666 synthetic Argo data; OSSE 4: SOCAT data and 25% of original synthetic Argo data; OSSE 5: SOCAT data and 10% 667 of original synthetic Argo data; OSSE 6: SOCAT data and synthetic Argo data in the Southern Hemisphere; OSSE 7: 668 SOCAT data and 25% of original synthetic Argo data in the Southern Hemisphere; OSSE 8: SOCAT data and 10% of 669 original synthetic Argo data in the Southern Hemisphere; OSSE 9: SOCAT data, synthetic Argo data in the Southern 670 Hemisphere and data from mooring stations; OSSE 10: SOCAT data, 25% of original synthetic Argo data in the 671 Southern Hemisphere and data from mooring stations; OSSE 11: SOCAT data, 10% of original synthetic Argo data in 672 the Southern Hemisphere and data from mooring stations. OSSEs 1, 3 and 10 are in bold as we focus our detailed 673 comparison on these three OSSEs.

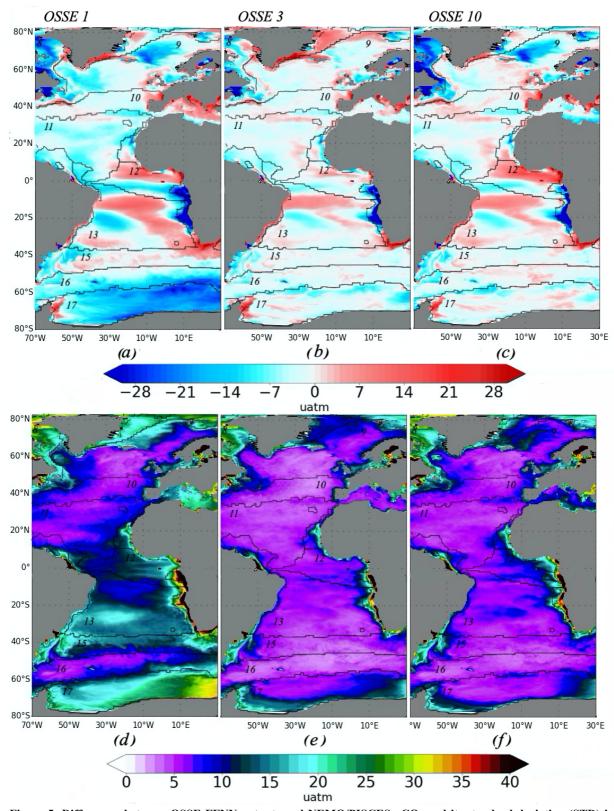


Figure 5: Differences between OSSE FFNN outputs and NEMO/PISCES  $pCO_2$  and its standard deviation (STD) in  $\mu$ atm: (a), (b), (c) - its maximum and minimum values from 4 outputs for each OSSE FFNN, Eq. (4); (g), (h) - standard deviation of differences for all 4 outputs for each OSSE FFNN, Eq. (5). (a), (d) – OSSE 1: SOCAT data only; (b), (e) – OSSE 3: SOCAT and synthetic Argo data; (c), (f) – OSSE 10: SOCAT data, 25% of original synthetic Argo data in the Southern Hemisphere and data from mooring stations. Contours and numbers on maps correspond to biomes.

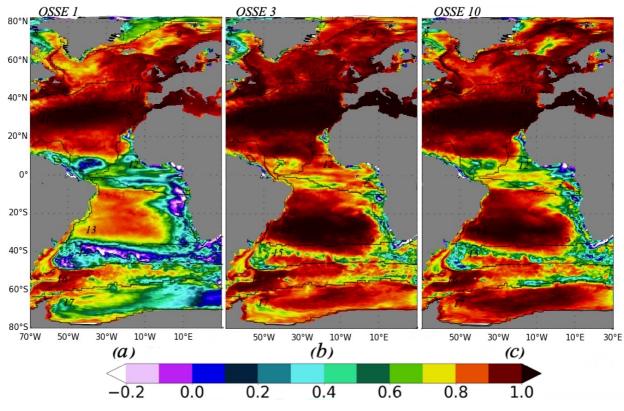




Figure 6: Correlation coefficient between OSSE FFNN outputs and NEMO/PISCES *p*CO<sub>2</sub>: (a) - OSSE 1: SOCAT data only, (b) - OSSE 3: SOCAT and synthetic Argo data, (c) - OSSE 10: SOCAT data, 25% of original synthetic Argo data in the Southern Hemisphere and data from mooring stations. Contours and numbers on maps correspond to biomes.

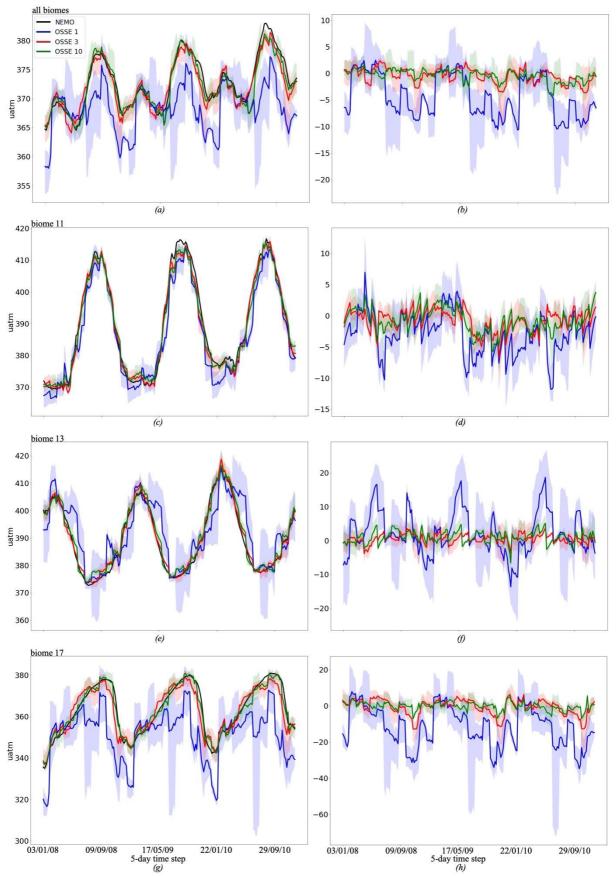
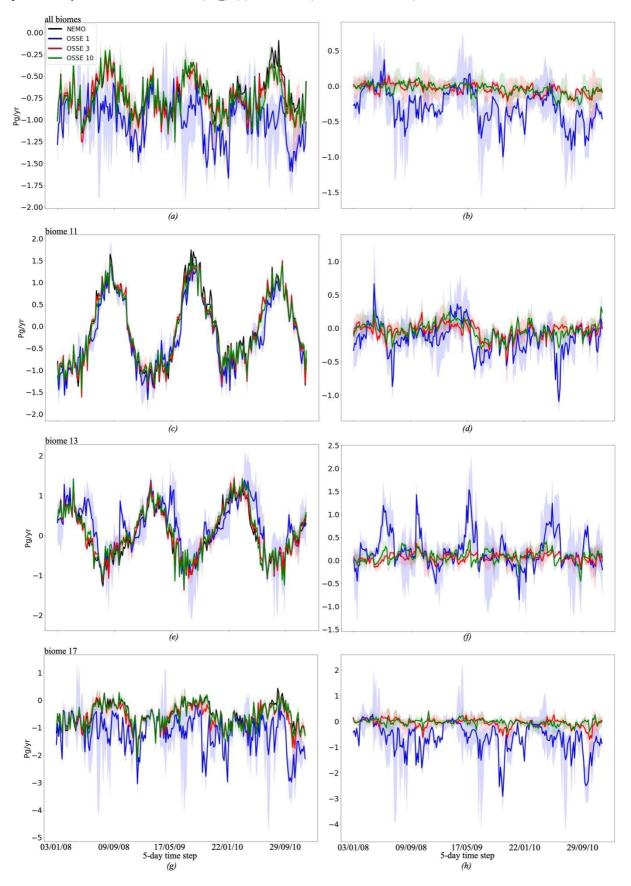
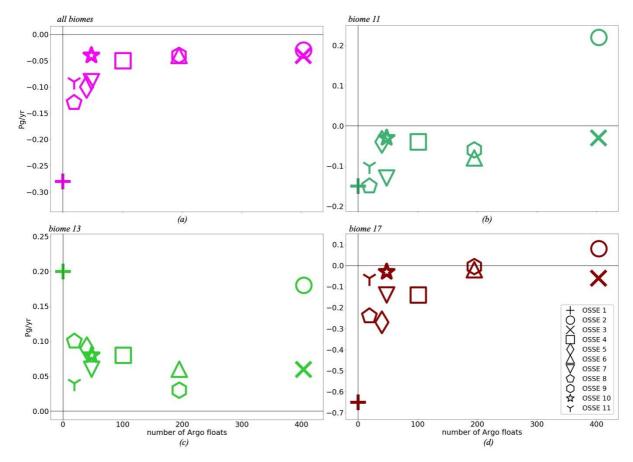


Figure 7: (a), (c), (e) - mean of 4 FFNN outputs for OSSE 1 (blue) (SOCAT data only), 3 (red) (SOCAT and synthetic Argo data), 10 (green) (SOCAT data, 25% of original synthetic Argo data in the Southern Hemisphere and data from mooring stations); shading corresponds to the maximum and minimum values from 4 FFNN outputs for each OSSE. Black curve - NEMO/PISCES *p*CO<sub>2</sub>. (b), (d), (f) - mean of differences of 4 FFNN outputs between OSSE 1 (blue), 3



695 Figure 8: (a), (c), (e) - mean of fgCO<sub>2</sub> from 4 FFNN outputs for OSSE 1 (blue) (SOCAT data only), 3 (red) (SOCAT and 696 synthetic Argo data), 10 (green) (SOCAT data, 25% of original synthetic Argo data in the Southern Hemisphere and 697 data from mooring stations); shading corresponds to the maximum and minimum values from 4 FFNN fgCO<sub>2</sub> estimates 698 for each OSSE. Black curve - NEMO/PISCES fgCO<sub>2</sub>. (b), (d), (f) - mean of differences of 4 FFNN outputs between 699 OSSE 1 (blue), 3 (red), 10 (green) fgCO<sub>2</sub> and NEMO/PISCES fgCO<sub>2</sub>; shading corresponds to the maximum and 700 minimum values of differences from 4 FFNN fgCO<sub>2</sub> for each OSSE. (a), (b) - estimates are available for all biomes 701 presented in Figure 2 except biome 8; (c), (d) - biome 11 (Subtropical permanently stratified North Atlantic); (e), (f) -702 biome 13 (Subtropical permanently stratified South Atlantic); (g), (h) - biome 17 (Southern Ocean ice).



704 Figure 9: Averaged number of Argo profiles per 5-day time step over 2008-2010 versus averaged differences between 705 each OSSE fgCO<sub>2</sub> and NEMO fgCO<sub>2</sub> (in Pg/yr), the colour code corresponds to Fig. 2, the purple colour represents the 706 whole of the 8 biomes. (a) - all biomes; (b) - biome 11 (Subtropical permanently stratified North Atlantic); (c) - biome 707 13 (Subtropical permanently stratified South Atlantic); (d) - biome 17 (Southern Ocean ice). OSSE 1: SOCAT data 708 only; OSSE 2: synthetic Argo data only; OSSE 3: SOCAT and synthetic Argo data; OSSE 4: SOCAT data and 25% of 709 original synthetic Argo data; OSSE 5: SOCAT data and 10% of original synthetic Argo data; OSSE 6: SOCAT data 710and synthetic Argo data in the Southern Hemisphere; OSSE 7: SOCAT data and 25% of original synthetic Argo data 711 in the Southern Hemisphere; OSSE 8: SOCAT data and 10% of original synthetic Argo data in the Southern 712 Hemisphere; OSSE 9: SOCAT data, synthetic Argo data in the Southern Hemisphere and data from mooring stations; 713 OSSE 10: SOCAT data, 25% of original synthetic Argo data in the Southern Hemisphere and data from mooring 714 stations; OSSE 11: SOCAT data, 10% of original synthetic Argo data in the Southern Hemisphere and data from 715 mooring stations. OSSEs 1, 3 and 10 are in bold as they represent the main OSSEs of our comparisons.

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720 Table 1: Information on Observation System Simulation Experiments.

Data	OSSE number	Period for training	averaged number of Argo floats per 5 days
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SOCAT	OSSE 1	2001-2010	0
Argo (3°x3°)	OSSE 2	2008-2010	404
SOCAT + Argo (3°x3°)	OSSE 3	2001-2010 (SOCAT) + 2008-2010 (Argo)	403
SOCAT + Argo 25% (3°x3°)	OSSE 4	2001-2010 (SOCAT) + 2008-2010 (Argo)	101
SOCAT + Argo 10% (3°x3°)	OSSE 5	2001-2010 (SOCAT) + 2008-2010 (Argo)	40
SOCAT + Argo South (3°x3°)	OSSE 6	2001-2010 (SOCAT) + 2008-2010 (Argo South)	195
SOCAT + Argo 25% South (3°x3°)	OSSE 7	2001-2010 (SOCAT) + 2008-2010 (Argo South)	48
SOCAT + Argo 10% South (3°x3°)	OSSE 8	2001-2010 (SOCAT) + 2008-2010 (Argo South)	19
SOCAT + Argo S + Moorings	OSSE 9	2001-2010 (SOCAT) + 2008-2010 (Argo South, Moorings)	195
SOCAT + Argo S 25% + Moorings	OSSE 10	2001-2010 (SOCAT) + 2008-2010 (Argo South, Moorings)	48
SOCAT + Argo S 10% + Moorings	OSSE 11	2001-2010 (SOCAT) + 2008-2010 (Argo South, Moorings)	19

## Table 2: Biomes from Fay and McKinley (2014) used for time series comparison (Fig. 2).

Number	Name
8	(Omitted) North Atlantic ice
9	Subpolar seasonally stratified North Atlantic
10	Subtropical seasonally stratified North Atlantic
11	Subtropical permanently stratified North Atlantic
12	Equatorial Atlantic
13	Subtropical permanently stratified South Atlantic
15	Subtropical seasonally stratified Southern Ocean
16	Subpolar seasonally stratified Southern Ocean
17	Southern Ocean ice

Table 3: Correlation coefficient and Standard Deviation ( $\mu$ atm) of 11 OSSEs from Table 2 estimated over 8 Atlantic Ocean biomes and at basin scale; the results are presented in Fig. 3. OSSEs 1, 3 and 10 are in bold as we focus our detailed comparison on these three OSSEs.

Biome OSSE	All biomes	9	10	11	12	13	15	16	17
NEMO STD	25.34	28.17	17.29	19.59	17.89	18.84	15.20	10.79	24.03
OSSE 1	0.67/	0.88/	0.92/	0.89/	0.46/	0.68/	0.31/	0.70/	0.57/
	26.08	27.44	16.67	18.42	12.48	16.11	15.28	11.76	21.11
OSSE 2	0.89/	0.91/	0.96/	0.97/	0.83/	0.92/	0.76/	0.87/	0.90/
	22.82	22.28	17.09	19.14	15.42	18.19	8.89	9.43	19.56
OSSE 3	0.87/	0.93/	0.96/	0.95/	0.79/	0.91/	0.73/	0.83/	0.85/
	23.79	25.78	17.00	19.03	14.33	17.91	11.21	10.55	21.06
OSSE4	0.82/	0.92/	0.95/	0.93/	0.70/	0.88/	0.63/	0.80/	0.77/
	23.99	25.91	17.11	18.31	12.13	17.62	11.62	10.99	21.2
OSSE 5	0.80/	0.92/	0.94/	0.92/	0.65/	0.86/	0.59/	0.75/	0.75/
	24.18	26.48	17.16	18.83	11.39	16.95	11.86	11.3	20.58
OSSE 6	0.85/	0.89/	0.93/	0.91/	0.64/	0.91/	0.72/	0.82/	0.86/
	24.72	27.40	16.66	18.73	12.34	17.51	11.56	10.84	22.41
OSSE 7	0.82/	0.89/	0.93/	0.91/	0.54/	0.88/	0.66/	0.80/	0.80/
	24.48	27.87	16.32	18.19	11.17	17.33	11.71	11.12	20.90
OSSE 8	0.77/	0.89/	0.93/	0.91/	0.52/	0.86/	0.57/	0.79/	0.66/
	25.10	27.90	16.19	18.3	11.66	16.92	11.74	11.17	22.63
OSSE 9	0.88/	0.92/	0.95/	0.94/	0.68/	0.92/	0.72/	0.84/	0.91/
	24.51	28.17	16.11	17.67	12.98	17.84	11.31	10.89	21.63
OSSE 10	0.85/	0.91/	0.94/	0.94/	0.63/	0.88/	0.65/	0.78/	0.89/
	24.89	28.28	17.10	18.41	12.90	17.36	11.35	11.01	22.25
OSSE 11	0.83/	0.91/	0.93/	0.93/	0.58/	0.86/	0.56/	0.74/	0.88/
	24.67	28.39	16.4	18.10	13.20	16.79	11.29	10.96	21.92

Table 4: Normalised RMS differences and Biases ( $\mu$ atm) of 11 OSSEs from Table 2 estimated over 8 Atlantic Ocean biomes and at basin scale; the results are presented in Fig. 4. OSSEs 1, 3 and 10 are in bold as we focus our detailed comparison on these three OSSEs.

Biome	All biomes	9	10	11	12	13	15	16	17
OSSE									
OSSE 1	14.13/	11.63/	6.32/	6.63/	15.41/	12.5/	15.97/	8.08/	17.33/
	-4.25	-3.26	-0.39	-2.93	0.17	2.12	1.32	-5.41	-11.63
OSSE 2	10.11/	17.10/	4.21/	3.94/	7.26/	4.98/	12.63/	4.31/	10.00/
	0.36	-2.02	0.09	0.19	0.22	0.38	-0.43	-0.21	2.50
OSSE 3	8.32/	9.59/	4.56/	4.24/	8.00/	5.73/	11.87/	4.20/	10.18/
	-0.46	-0.32	-0.30	-0.71	-0.14	0.57	-0.85	-0.97	-0.66
OSSE 4	9.40/	10.08/	5.08/	5.01/	10.41/	6.96/	12.59/	4.87/	11.75/

	-0.84	-0.53	-0.05	-0.88	-0.29	0.85	-0.40	-0.93	-2.25
OSSE 5	9.82/	10.43/	5.50/	5.35/	11.11/	7.93/	12.72/	5.71/	11.80/
	-1.46	-0.83	0.50	-0.98	-0.25	0.85	-0.54	-1.69	-4.02
OSSE 6	9.12/	11.40/	5.93/	6.48/	11.46/	5.75/	12.06/	4.35/	10.01/
	-0.54	-2.57	0.02	-1.86	3.82	0.53	-0.51	-0.56	-0.18
OSSE 7	9.75/	11.79/	6.16/	6.26/	13.30/	6.90/	11.97/	4.90/	11.03/
	-1.22	-2.64	-0.10	-2.68	3.77	0.58	-0.56	-1.68	-1.80
OSSE 8	11.36/	11.62/	6.02/	5.91/	13.87/	7.84/	12.55/	5.42/	15.16/
	-1.89	-2.59	0.49	-2.80	2.70	0.90	-0.89	-2.03	-4.12
OSSE 9	8.37/	10.58/	5.47/	5.13/	11.34/	5.37/	12.18/	4.16/	8.51/
	-0.44	-2.52	-0.001	-1.33	2.91	0.41	-0.88	-0.75	0.37
OSSE 10	8.71/	10.79/	5.54/	4.94/	12.64/	6.82/	12.25/	4.89/	8.61/
	-0.39	-2.35	0.79	-0.71	3.35	1.01	-0.92	-0.90	-0.21
OSSE 11	9.16/	10.85/	5.91/	5.32/	14.28/	7.59/	12.49/	5.13/	9.23/
	-1.18	-3.21	-0.68	-1.97	2.41	0.002	-1.18	-1.56	-0.77

Table 5: Differences (Eq. 4) between OSSE FFNN outputs and NEMO/PISCES pCO<sub>2</sub> and its standard deviation (STD)
 (Eq. 5) in µatm.

Biome	Region 70°W- 30°E 80°S- 80°N	All 8 biomes	9	10	11	12	13	15	16	17
OSSE 1	-6.57/	-6.57/	-4.84/	-1.46/	-4.21/	-2.03/	0.11/	-1.35/	-8.04/	-14.90/
	14.49	13.54	10.17	6.98	7.62	13.88	13.88	14.96	8.99	20.83
OSSE 3	-1.70/	-1.50/	-1.36/	-0.90/	-1.48/	-1.49/	-0.32/	-1.93/	-1.89/	-2.05/
	8.12	7.15	7.52	4.62	4.64	7.09	5.58	7.16	4.42	10.59
OSSE	-2.34/	-1.54/	-3.54/	-0.10/	-1.52/	1.93/	-0.04/	-2.15/	-1.91/	-1.55/
10	8.64	7.50	8.59	6.18	5.42	9.38	6.51	8.18	5.21	8.99

# 736 Table 6: Correlation coefficient between OSSEs and NEMO/PISCES *p*CO<sub>2</sub>.

Biome	Region 70°W- 30°E 80°S- 80°N	All 8 biomes	9	10	11	12	13	15	16	17
OSSE 1	0.68	0.67	0.88	0.92	0.89	0.46	0.68	0.31	0.70	0.57
OSSE 3	0.86	0.87	0.93	0.96	0.95	0.79	0.91	0.73	0.83	0.85
OSSE 10	0.85	0.85	0.92	0.94	0.94	0.63	0.88	0.65	0.78	0.89

738 739 740 Table 7: pCO<sub>2</sub> averaged over the region 70°W-30°E 80°S-80°N and biomes from Fig. 2 for the NEMO/PISCES model and OSSEs 1, 3 and 10, as well as the corresponding averaged differences between OSSEs and NEMO/PISCES (in µatm).

Biome	Region 70°W- 30°E 80°S- 80°N	All 8 biomes	9	10	11	12	13	15	16	17
NEMO	371.13	372.65	350.36	373.18	390.11	397.18	389.54	376.14	376.99	363.08
OSSE 1	367.09/	368.39/	347.10/	372.78/	387.17/	397.36/	391.66/	377.46/	371.58/	351.44/
	-4.04	-4.25	-3.26	-0.39	-2.93	0.17	2.12	1.32	-5.41	-11.63
OSSE 3	370.62/	372.18/	350.04/	372.88/	389.39/	397.04/	390.10/	375.29/	376.02/	362.42/
	-0.51	-0.46	-0.32	-0.30	-0.71	-0.14	0.57	-0.85	-0.97	-0.66
OSSE	370.14/	372.26/	348.01/	373.98/	389.39/	400.53/	390.55/	375.22/	376.09/	362.87/
10	-0.99	-0.39	-2.35	0.79	-0.71	3.35	1.01	-0.92	-0.90	-0.21

Table 8: *fg*CO<sub>2</sub> averaged over the region 70°W-30°E 80°S-80°N and biomes from Fig. 2 for the NEMO/PISCES model and OSSEs 1, 3, 4 and 10, as well as the corresponding averaged differences between each OSSEs and NEMO/PISCES

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(in Pg/yr).

Biome	Region 70°W- 30°E 80°S- 80°N	All 8 biomes	9	10	11	12	13	15	16	17
NEMO	-0.76	-0.70	-2.34	-1.14	-0.03	0.53	-0.004	-0.74	-0.50	-0.52
OSSE 1	-1.03/	-0.99/	-2.57/	-1.17/	-0.18/	0.42/	0.19/	-0.68/	-1.15/	-1.17/
	-0.26	-0.28	-0.23	-0.03	-0.15	-0.10	0.20	0.06	-0.64	-0.65
OSSE 3	-0.80/	-0.74/	-2.36/	-1.16/	-0.07/	0.49/	0.05/	-0.82/	-0.61/	-0.59/
	-0.04	-0.04	-0.02	-0.02	-0.03	-0.04	0.06	-0.07	-0.10	-0.06
OSSE	-0.83/	-0.74/	-2.50/	-1.09/	-0.06/	0.56/	0.08/	-0.82/	-0.60/	-0.56/
10	-0.06	-0.04	-0.15	0.04	-0.03	0.03	0.08	-0.07	-0.09	-0.03