

# Counter-rotating eddy pair in the Luzon Strait

Sun Ruili<sup>1,2\*</sup>, Li Peiliang<sup>1,3</sup>, GuYanzhen<sup>3</sup>, Zhai Fangguo<sup>4</sup>, Yan Yunwei<sup>2</sup>, Li Bo<sup>5</sup>

<sup>1</sup>Hainan Institute of Zhejiang University, Sanya, Hainan, China

<sup>2</sup>State Key Laboratory of Satellite Ocean Environment Dynamics, Second Institute of Oceanography, Ministry of Natural Resources, Hangzhou, China

<sup>3</sup>Ocean college, Zhejiang University, Zhoushan, China

<sup>4</sup>College of Oceanic and Atmospheric Sciences, Ocean University of China, Qingdao, China

<sup>5</sup>State Key Laboratory of Tropical Oceanography, South China Sea Institute of Oceanology, Chinese Academy of Sciences, Guangzhou, China

Corresponding author: Sun Ruili, sunruili2007@126.com

## Abstract:

Based on satellite remote-sensing observation data and Hybrid Coordinate Ocean Model (HYCOM) re-analysis data, we studied the counter-rotating eddy pair in the Luzon Strait (LS). Statistical analysis reveals that when an anti-cyclonic mesoscale eddy (AE) (cyclonic mesoscale eddy [CE]) in the Northwest Pacific (NWP) gradually approaches the east side of the LS, a CE (an AE) gradually forms on the west side of the LS, and it is defined as the AE (CE) mode of the counter-rotating eddy pair in the LS. The counter-rotating eddy pair exhibits obvious seasonal variation: the AE mode mainly occurs in the summer half of the year, while the CE mode mainly occurs in the winter half of the year. The mean durations of the AE and CE modes are both approximately 70 d. Based on vorticity budget equation and energy analysis, the dynamic mechanism of counter-rotating eddy-pair occurrence is determined to be as follows: the AE (CE)

23 on the east side of the LS causes a positive (negative) vorticity anomaly through horizontal  
24 velocity shear on the west side of the LS, and the positive (negative) vorticity anomaly is  
25 transported westward by the zonal advection of the vorticity, finally leading to the formation of the  
26 CE (AE) on the west side of the LS.

27 **Keywords:** counter-rotating eddy pair; Luzon Strait; vorticity budget equation; barotropic  
28 instability

## 29 1 Introduction

30 The Luzon Strait (LS), located between the island of Taiwan and Luzon Island, is an important  
31 gap for particle and energy exchange between the South China Sea (SCS) and Northwest Pacific  
32 (NWP). The topography around the LS is very complicated. The LS is composed of three straits  
33 from north to south: Bashi Strait, Balintang Strait, and Babuyan Strait. The Batanes Islands and  
34 Babuyan Islands are located in these straits (Figure 1). This complex topography leads to the  
35 generation and aggregation of a large number of mesoscale eddies, which then play an important  
36 role in the dynamic ocean process around the LS (Liu *et al.*, 2012; Lu and Liu, 2013; Sun *et al.*,  
37 2016a; Sun *et al.*, 2020).

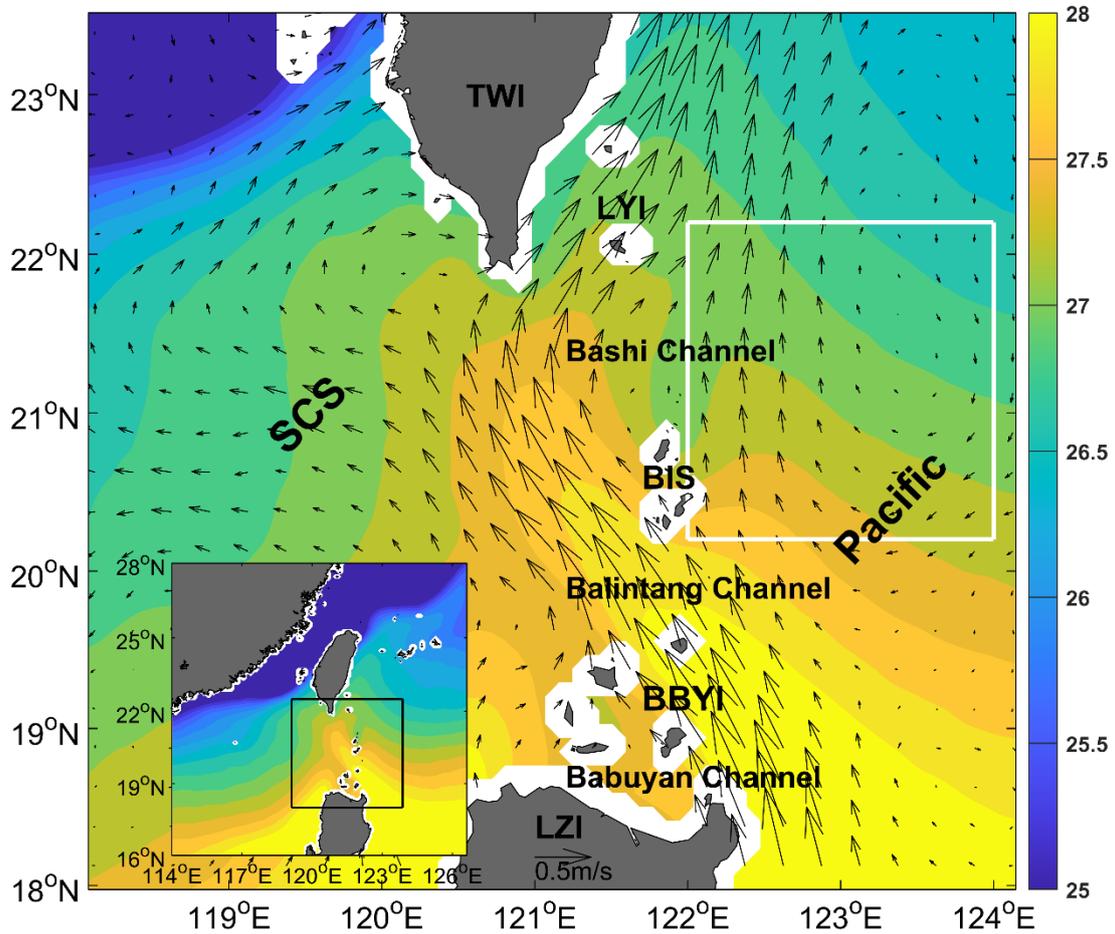
38 Mesoscale eddies interaction is an important focus of previous studies on mesoscale eddies in  
39 the LS. Jing and Li (2003) used satellite remote-sensing observation data to discover a cyclonic  
40 mesoscale cold eddy around Lanyu Island to the northeast of the LS. They pointed out that the  
41 formation of the Lanyu cold eddy was the result of the joint action of the meandering Kuroshio  
42 overshooting and conservation of the potential vorticity. Sun *et al.* (2016b) believed that the  
43 formation of the Lanyu cold eddy was a process of eddies-eddies interaction. They used satellite  
44 observation data and composition analysis to study the Lanyu cold-eddy phenomenon, and pointed

45 out that the combined action of the Kuroshio loop (cyclonic circulation) and an AE from the NWP  
46 led to the formation of the Lanyu cold eddy. Based on satellite observation data, *in situ*  
47 observation data and numerical modelling data, Zhang *et al.* (2017) studied mesoscale  
48 eddies-eddies interaction to the northwest of the LS. They analyzed the energy budget of the  
49 Kuroshio invading the SCS, and determined that the northern branch of the anti-cyclonic  
50 circulation caused by the Kuroshio loop had a large horizontal shear stress and thus led to the  
51 formation of a CE southwest of Taiwan Island through the barotropic instability.

52 Previous studies showed that mesoscale eddies-eddies interaction can cause particle and  
53 energy exchange and often occurs in the vicinity of the LS (Sun *et al.*, 2016b; Zhang *et al.*, 2017;  
54 Sun *et al.*, 2018). Since the LS is an important gap for particle and energy exchange between the  
55 SCS and NWP, a logical question is whether this phenomenon of mesoscale eddies-eddies  
56 interaction can occur on the east and west sides of the LS and whether it plays an important role in  
57 the particle and energy exchange between the SCS and NWP. To explore this issue, we compare  
58 sea-surface height anomaly (SSHA) distributions in the SCS when a CE occurs and when an AE  
59 occurs on the east side of the LS (Figure 2). The specific process is described in detail in Section  
60 3.1. Figure 2 shows that when an AE (a CE) occurs on the east side of the LS, a CE (an AE) forms  
61 on the west side of the LS, which was observed in the *in situ* observation data (Huang *et al.*, 2019).  
62 This is referred to in this article as the counter-rotating eddy-pair phenomenon.

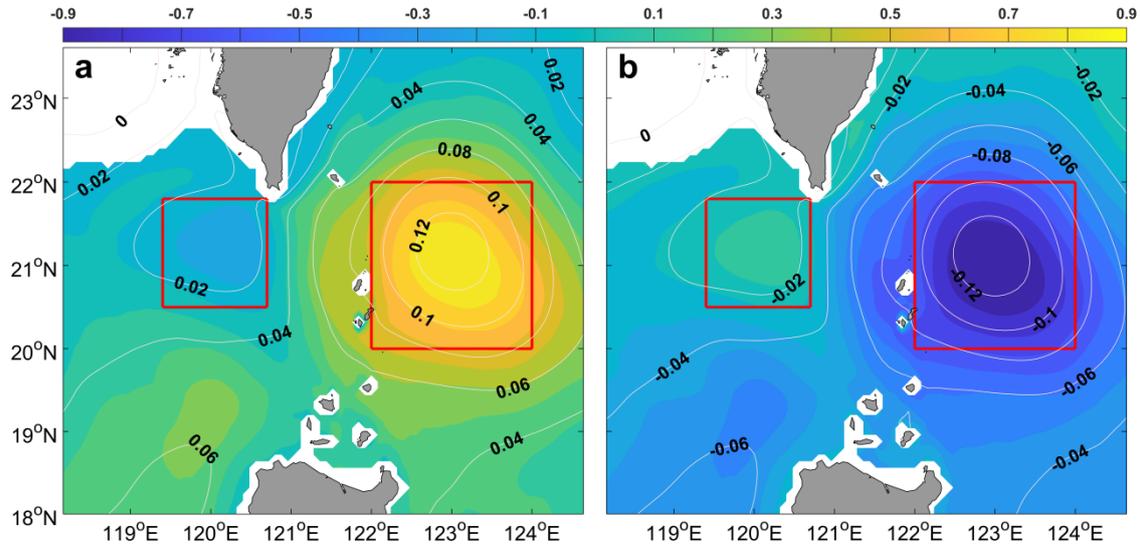
63 To our knowledge, this is the first time a counter-rotating eddy-pair phenomenon in the LS  
64 has been proposed, which creates a new form of particle and energy exchange between the SCS  
65 and the NWP. The present study will supplement and perfect the theory of particle and energy  
66 exchange between the SCS and NWP. We give the statistical characteristics and dynamic

67 mechanism of this phenomenon herein. The rest of this paper is organized as follows. Section 2  
 68 briefly introduces the data and methods, Section 3 presents the research results, and Section 4  
 69 provides a discussion and conclusion.



70  
 71 Figure 1. **Climate state of** spatial distribution of RSS SST (°C; shading) and CMEMS geostrophic  
 72 current (m/s; vectors) **from 2003 to 2020**. SCS, South China Sea; BIS, Batanes Islands; TWI,  
 73 Taiwan Island; LZI, Luzon Island; BBYI, Babuyan Islands; LYI, Lanyu Island. White box borders  
 74 20.2–22.2°N, 122–124°E. Extent of the main map is shown as black-bordered box in inset.

75



76

77 Figure 2. Spatial distribution of counter-rotating eddy pair in the LS. Spatial patterns of AE (a) and

78 CE (b) modes. Panel a (b) corresponds to average state when an AE (a CE) occurred in area

79 marked by red box on east side of LS from 1993 to 2020. Contours represent SSHA (units of m).

80 Colors represent sea temperature anomaly (units of °C) at a depth of 300 m. Interval of SSHA is

81 0.03 m. Red boxes on west and east sides of LS border mark 20.5–21.8°N, 119.4–120.7°E and 20–

82 22°N, and 122–124°E, respectively. Figure is similar to Figure 3 of Sun *et al.* (2018), and is based

83 on HYCOM data.

## 84 2 Data and methods

### 85 2.1 Data

86 Satellite remote-sensing SSHA, geostrophic current, and geostrophic current anomaly data

87 were provided by the Copernicus Marine Environment Monitoring Service (CMEMS). The dataset

88 was generated by the processing system including data from all altimeter missions: Sentinel-3A/B,

89 Jason-3, HY-2A, Cryosat-2, OSTM/Jason-2, Jason-1, Topex/Poseidon, Envisat, GFO, and

90 ERS-1/2. The dataset provides global coverage data from January 1, 1993 to August 2, 2021, with

91 a spatial resolution of 0.25°×0.25° and temporal sampling frequency of 1 d. It also provides one

92 near-real-time component and one delayed-time component. The delayed-time component has  
93 been inter-calibrated and provides a homogeneous and highly accurate, long time series of all  
94 altimeter data (Pujol and Françoise, 2019), and is chosen for use in this paper.

95 Model data are obtained from the Hybrid Coordinate Ocean Model (HYCOM) model output  
96 by the U.S. Naval Research Laboratory. The dataset is based on ocean prediction system output,  
97 and the product with the longest time span from 2 October 1992 to 31 December 2012 was chosen  
98 from all HYCOM data-assimilation products provided by the HYCOM organization. The dataset  
99 is based on ocean prediction system output with a spatial resolution of  $0.08^\circ \times 0.08^\circ$  and 40  
100 standard z levels between  $80.48^\circ\text{S}$  and  $80.48^\circ\text{N}$ . It provides temperature, salinity, sea-surface  
101 height, zonal flow, and meridional flow (Wallcraft *et al.*, 2003).

102 The wind dataset was provided by the National Centers for Environmental Information  
103 (NCEI). The dataset merges multiple satellite observations with *in situ* instrument and related  
104 individual products, provides 6-h, daily, and monthly wind and climate data with a spatial  
105 resolution of  $0.25^\circ \times 0.25^\circ$ , and contains globally gridded ocean surface vector winds and wind  
106 stresses (Zhang *et al.*, 2006).

107 Sea surface temperature (SST) data are from remote-sensing systems (RSSs). The dataset  
108 merges the near-coastal capability and high spatial resolution of infrared SST data with  
109 through-cloud capabilities of microwave SST data, and has applied atmospheric corrections. It  
110 provides daily data with a spatial resolution of  $9\text{ km} \times 9\text{ km}$  from July 1, 2002 to the present.

## 111 2.2 Methods

### 112 2.2.1 Eddy energetic and hydrodynamic instability formula

113 The formation mechanisms of mesoscale eddies in the ocean are commonly attributed to

114 baroclinic and barotropic instabilities (Pedlosky, 1987). The barotropic conversion (BT) and  
 115 baroclinic conversion (BC) are manifestations of the baroclinic and barotropic instabilities,  
 116 respectively, and they are the major eddy energy sources around the LS (Yang *et al.*, 2013; Zhang  
 117 *et al.*, 2013, 2015, 2017). In addition, the wind-stress work (WW) can also contribute to the  
 118 formation of eddies (Ivchenko, 1997; Sun *et al.*, 2015). The BT, BC, and WW can be expressed as  
 119 follows (Ivchenko, 1997; Oey, 2008):

$$120 \quad BT = - \int \left( \overline{u' \frac{\partial \bar{u}}{\partial x}} + \overline{v' \frac{\partial \bar{v}}{\partial y}} + \overline{u' v' \frac{\partial \bar{u}}{\partial y}} + \overline{u' v' \frac{\partial \bar{v}}{\partial x}} \right) dz, \quad (1)$$

$$121 \quad BC = - \int \frac{g^2}{\rho_0^2 N^2} \left( \overline{u' \rho' \frac{\partial \bar{\rho}}{\partial x}} + \overline{v' \rho' \frac{\partial \bar{\rho}}{\partial y}} \right) dz, \quad (2)$$

$$122 \quad WW = \frac{1}{\rho} \left( \overline{u' \tau' \frac{\partial \bar{u}}{\partial x}} + \overline{v' \tau' \frac{\partial \bar{v}}{\partial y}} \right), \quad (3)$$

123 **Where**  $t$  is time;  $u, v$ , and  $w$  are the zonal velocity, meridional velocity, and vertical velocity,  
 124 respectively, and their positive directions are east, north, and up, respectively.  $g$  is the  
 125 acceleration due to gravity;  $N$  is the buoyancy frequency;  $\rho$  is the density of sea water;  $\rho_0 =$   
 126  $1030 \text{ kg} \cdot \text{m}^{-3}$  is the mean sea-water density;  $p$  is the sea pressure; and  $\tau_x$  and  $\tau_y$  are the zonal  
 127 and meridional components of the wind stress, respectively.  $x, y$ , and  $z$  are the conventional  
 128 east-west, north-south, and up-down Cartesian coordinates, respectively. The depth integrals for  
 129 BT and BC are from 400 m to the sea surface. The overbar denotes a time average over 70 d, the  
 130 primes denote deviations from the average value of 35 d before and after this day, and the other  
 131 symbols and notations are standard. From Figures 6 and 8, it can be seen that the counter-rotating  
 132 eddy-pair phenomenon occurs, develops, and disappears from  $t = -36$  to  $t = 36$ , i.e., approximately  
 133 70 d. Therefore, the period is chosen to be 70 d. We have made several attempts to set the period  
 134 between 65 and 80 d, and they will not affect our basic conclusion. BT and BC were calculated  
 135 from HYCOM data. CMEMS surface-current velocity data and NCDC wind data were used to

136 calculate WW.

### 137 2.2.2 Vorticity budget equation

138 To examine the influence of the vorticity change, we applied the vorticity budget equation

139 (Muller,1995; Kuo and Tseng, 2021):

$$140 \quad \frac{\partial \zeta}{\partial t} = -u \frac{\partial \zeta}{\partial x} - v \frac{\partial \zeta}{\partial y} - (\zeta + f) \nabla \cdot \vec{u} - v \frac{\partial f}{\partial y} + \frac{1}{\rho^2} \left( \frac{\partial \rho}{\partial x} \frac{\partial P}{\partial y} - \frac{\partial \rho}{\partial y} \frac{\partial P}{\partial x} \right) - v \frac{\partial^2 \zeta}{\partial z^2}, \quad (4)$$

141 Where  $\zeta = \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y}$  is the relative vorticity,  $t$  is time,  $f$  is the Coriolis parameter,  $P$  is the

142 sea-water pressure, and  $\nu = 1.004 \times 10^{-6}$  is the kinematic viscosity coefficient.

143  $x, y, z, u, v$ , and  $\rho$  in formula (4) are as defined in formulas (1)-(3). The items on the right-hand

144 side of the equation are, from left to right, the zonal advection, meridional advection, stretching,

145 beta, baroclinic, and diffusion terms.

### 146 2.2.3 Definition of modes and intensity index of counter-rotating eddy-pair phenomenon

147 When an AE (a CE) in the NWP gradually approaches the northern LS, a CE (an AE)

148 gradually forms on the west side of the LS, and we define it as an AE (a CE) mode of the

149 counter-rotating eddy-pair phenomenon, as shown in Figures 2a, 3a, and 6 (Figures 2b, 3b, and 8).

150 To reflect the intensity of counter-rotating eddy-pair phenomenon, we must construct an

151 intensity index. As this phenomenon mainly involves the difference of SSHA between the east and

152 west sides of the LS, the index is defined as the time series of the SSHA in the east red box of

153 Figure 2 (expressed as  $SSHA_{east}$ ) minus that in the west red box of Figure 2 (expressed as  $SSHA_{west}$ ),

154 which is shown in Figure 3a and can be expressed as  $Index = SSHA_{east} - SSHA_{west}$ .

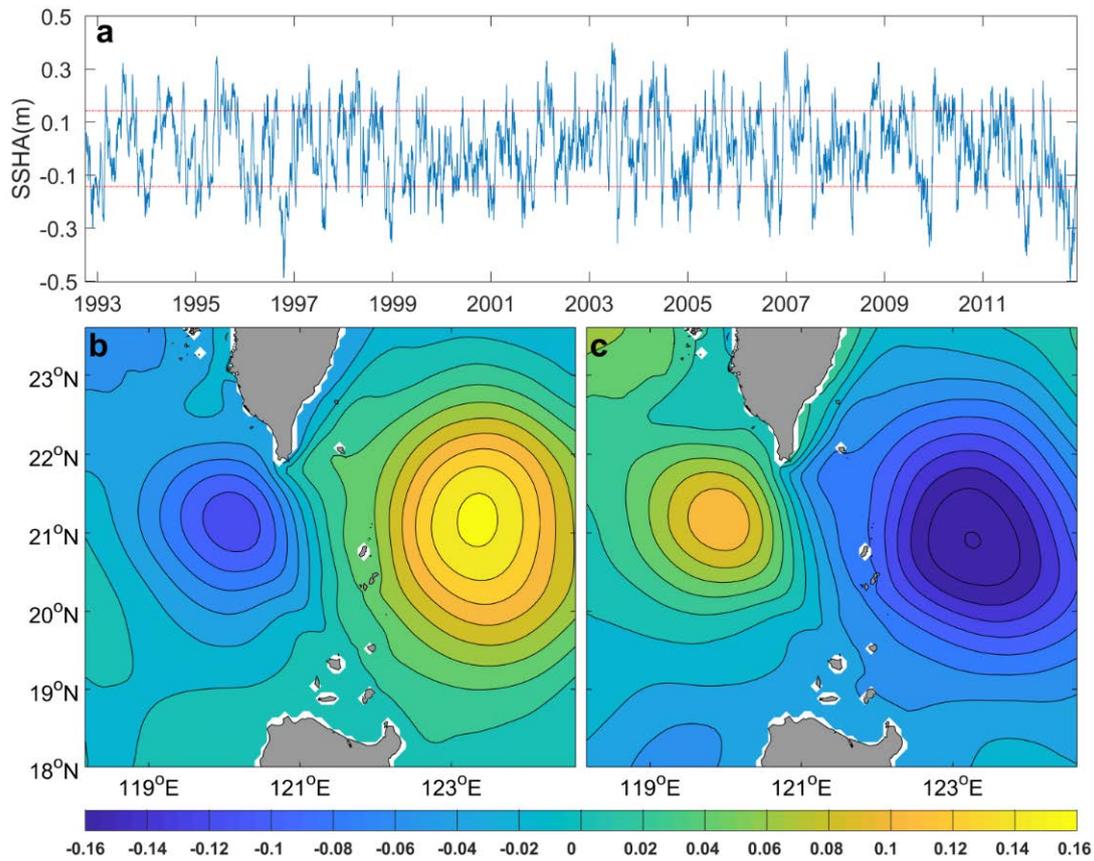
## 155 3 Results

### 156 3.1 Identification of counter-rotating eddy pair in LS

157 Based on cluster analysis, which is the same as the clustering method used by Sun *et al.*

158 (2018), the SSHA and sea-temperature anomaly (STA) are determined based on the days when an  
159 AE and a CE exist on the east side of the LS (shown in the white box in Figure 1), respectively.  
160 Figure 2a shows that the SSHA in the red box on the east side of the LS increases from the outside  
161 to inside, which means that there is an AE. Owing to geostrophic balance and mass conservation,  
162 the AE causes convergence of sea water, leading to down-welling in its center, subsequently  
163 leading to an increase in the temperature in the deep ocean. This is verified by the fact that the  
164 STA in the red box on the east side of the LS gradually increases from outside to inside and the  
165 value is highest in the center. In addition, the SSHA in the red box on the west side of the LS  
166 decreases from outside to inside and the STA is negative, indicating the presence of a weak CE.  
167 According to the definition of modes of the counter-rotating eddy pair given in Section 2.2.3, the  
168 SSHA pattern in Figure 2a can be identified as an AE mode of the counter-rotating eddy pair.

169 Figure 2b is similar to Figure 2a, but for a CE and an AE on the east and west sides of the LS,  
170 respectively, its SSHA pattern can be identified as an AE mode of the counter-rotating eddy pair.  
171 According to the intensity index defined in Section 2.2.3, the SSHA is constructed based on the  
172 days when the positive and negative intensity index values are more than one standard deviation  
173 from the mean, as shown in Figures 3b and 3c. Figure 3b (3c) shows that an AE (a CE) on the east  
174 side of the LS corresponds well to a CE (an AE) on the west side of the LS, and can well reflect  
175 the AE (CE) mode of a counter-rotating eddy pair in the LS. It also shows that we can well  
176 identify this phenomenon according to the intensity index, and, furthermore, that the positive and  
177 negative intensity indexes correspond to AE and CE modes, respectively, of this phenomenon.



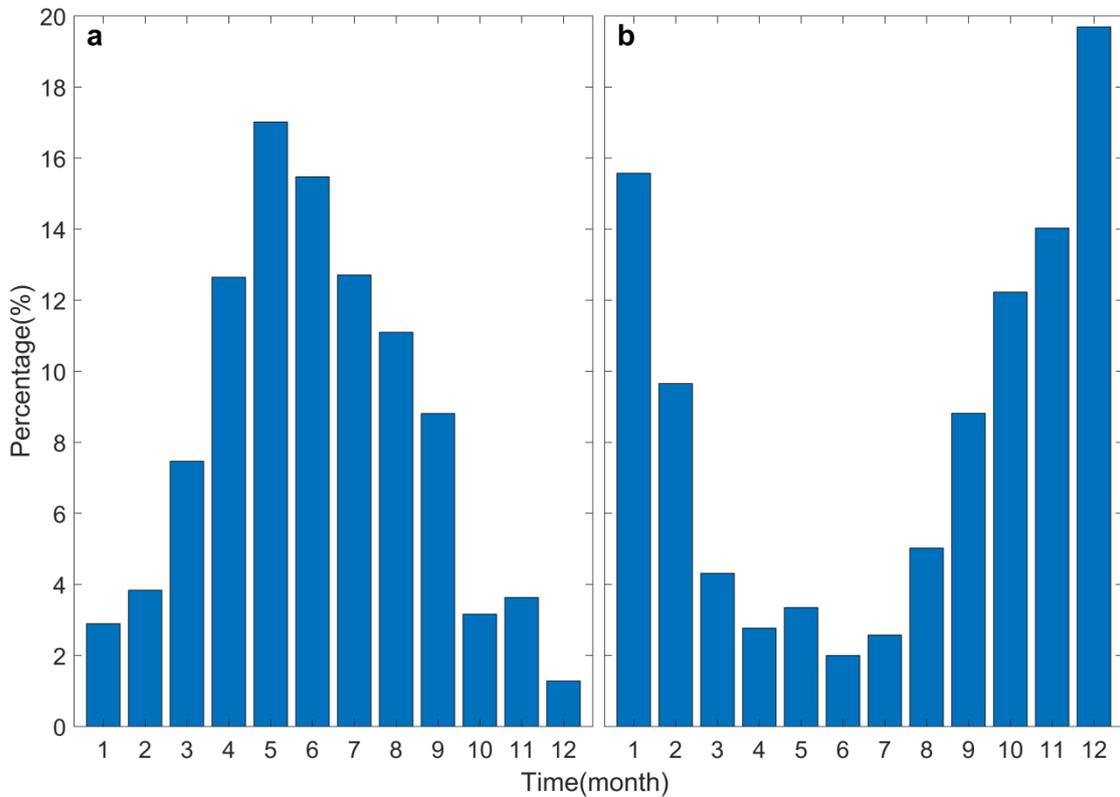
178

179 Figure 3. Time series of intensity index of counter-rotating eddy pair in LS (a). Red dotted line  
 180 above (below) represents the sum (difference) of one time the standard deviation and the average  
 181 value of the time series. Composition of SSHA for positive (b) and negative (c) intensity-index  
 182 days. SSHA interval is 0.02 m. Figure based on HYCOM data.

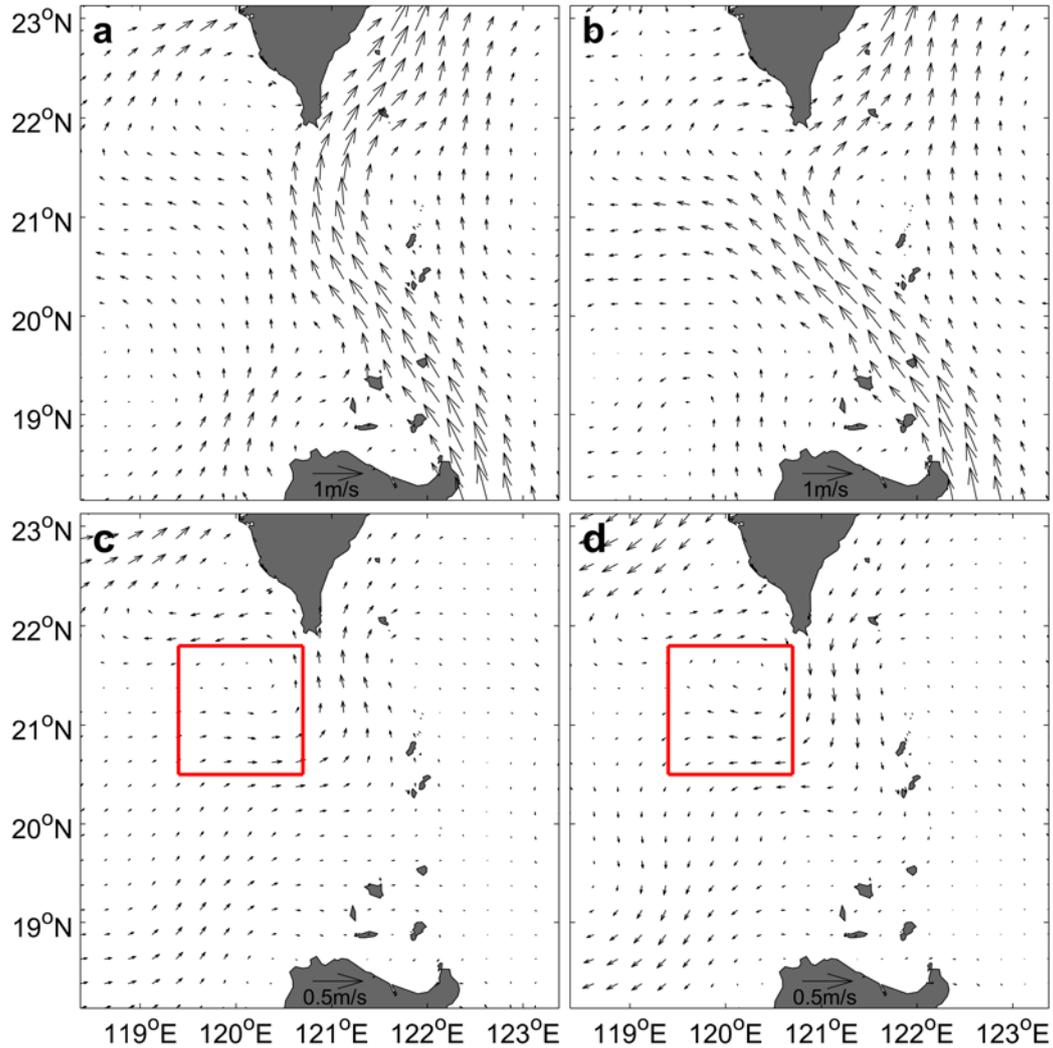
### 183 3.2 Seasonal variation of counter-rotating eddy pair in LS

184 We counted the temporal distribution of the positive and negative intensity index values in a  
 185 statistical sense. Figure 4a (4b) shows that most of the AE (CE) mode of the instances of the  
 186 counter-rotating eddy pair occur in the summer (winter) half of the year. The first two months with  
 187 the highest incidences of the AE (CE) mode are May and June (December and January), and their  
 188 occurrence rates are 17.01% and 15.47% (19.69% and 15.57%), respectively. We constructed the  
 189 geostrophic current in May and June (Figure 5a) and in December and January (Figure 5b). The  
 190 patterns of the Kuroshio Current in Figures 5a and 5b exhibit as the “Leap” and “Loop” patterns

191 of the Kuroshio in the LS, which illustrates that the Leap and Loop patterns of the Kuroshio  
 192 contribute to the occurrence of the AE and CE modes, respectively, of the counter-rotating eddy  
 193 pair. Figure 5c (5d) shows that the geostrophic current anomaly in the northern LS is northward  
 194 (southward). It produces positive (negative) vorticity through horizontal velocity shear on the west  
 195 side of the LS, and then contributes to the formation of a CE (an AE) on the west side of the LS.  
 196 We discuss the dynamic mechanism of the counter-rotating eddy-pair phenomenon in detail in  
 197 Section 3.3.



198  
 199 Figure 4. Seasonal distribution of occurrence rate for positive (a) and negative (b) intensity  
 200 indexes.



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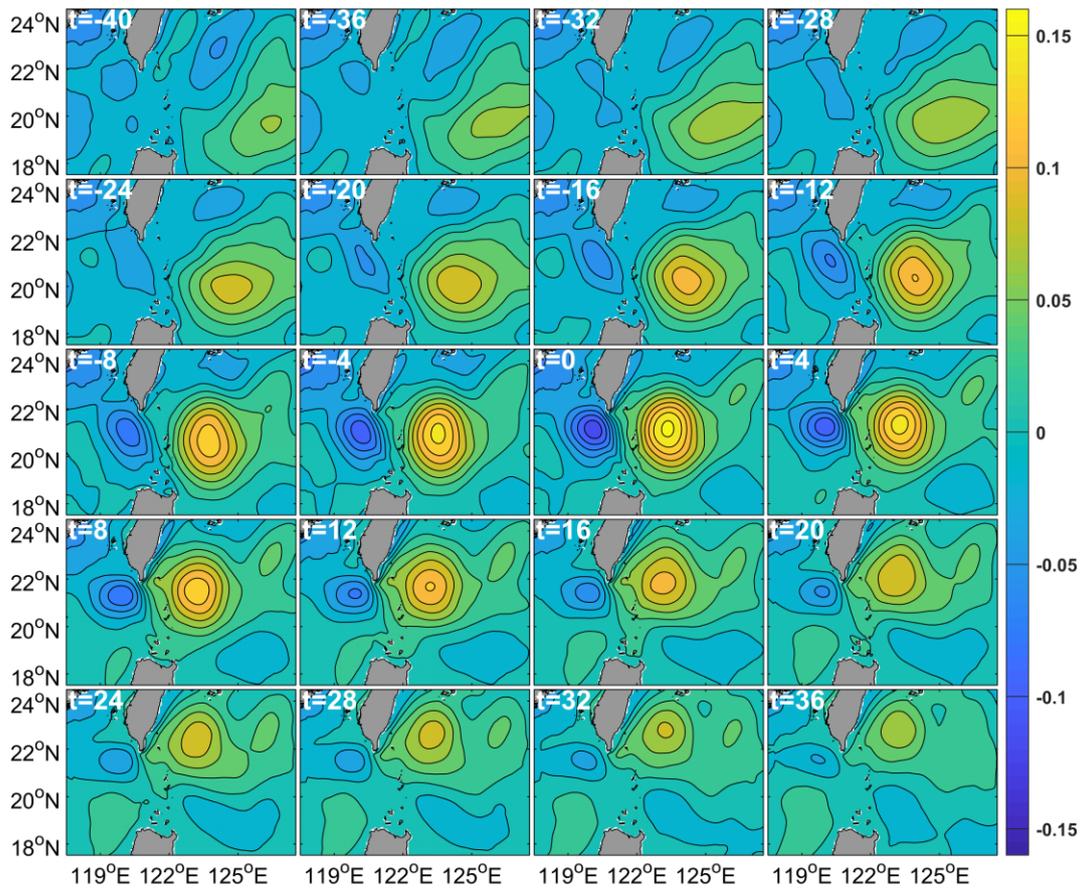
202 Figure 5. Climatic distributions of geostrophic current in May and June (a) and in December and  
 203 January (b), and of geostrophic current anomaly in May and June (c) and in December and  
 204 January (d). Red boxes in c and d outline 20.5–21.8°N, 119.4–120.7°E, which represent the  
 205 position of mesoscale eddies on west side of LS. Figure based on CMEMS data.

### 206 3.3 Evolution of counter-rotating eddy pair in LS

207 Figure 6 shows the spatial evolution of the AE mode of the counter-rotating eddy pair in the  
 208 LS. It shows that at the beginning, for example, at  $t = -24$ , there is a weak AE far from the east  
 209 side of the LS, but no CE on the west side of the LS. From  $t = -20$  to  $t = 0$ , as the AE in the NWP  
 210 approaches the northern LS, a CE gradually forms on the west side of the LS. At  $t = 0$ , the AE

211 mode reaches its maximum. Then, from  $t = 4$  to  $t = 36$ , as the AE in the NWP gradually **moves**  
212 away from the northern LS, the CE on the west side of the LS gradually **weakens** until it finally  
213 **dies** out.

214 The growth and weakening of a mesoscale eddy must be accompanied by a change in its  
215 relative vorticity. Figure 7a shows that as the AE on the east side of the LS **approaches** and then  
216 **moves** away from the northern LS, its relative vorticity initially **decreases** and then **increases**,  
217 while the relative vorticity of the corresponding CE on the west side of the LS initially **increases**  
218 and then **decreases**. The maximum negative (positive) value of the time series of the AE (CE) on  
219 the east (west) side of the LS **reaches**  $-4.2 \times 10^{-6} \text{ s}^{-1}$  ( $3.6 \times 10^{-6} \text{ s}^{-1}$ ). These time series **have** good  
220 correspondence and their correlation coefficient **is**  $-0.97$  at the 95% confidence level. Therefore,  
221 the temporal variations in the relative vorticity in Figure 7a verify the evolution of the AE mode of  
222 the counter-rotating eddy pair in the LS.



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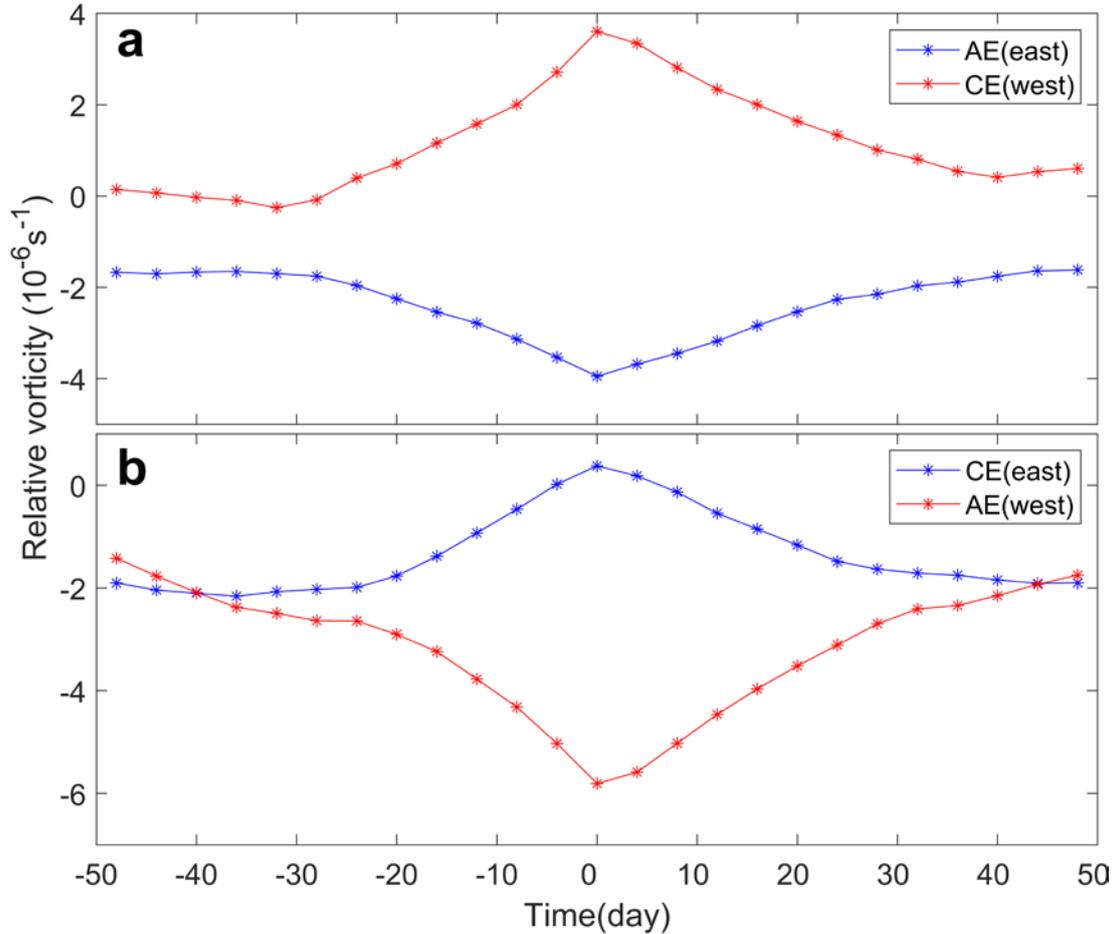
224 Figure 6. Evolution of AE mode of counter-rotating eddy pair in LS based on HYCOM data.

225 Contours and shading both represent SSHA (units of m). SSHA interval is 0.02 m. t value in the

226 top left-hand corner of each panel denotes the days before (negative value) or after (positive value)

227 AE mode of counter-rotating eddy pair reached the maximum (t=0). t = 0 corresponds to the time

228 of Figure 3b.



229

230 Figure 7. Distribution of relative vorticity in area bordered by red boxes in Figure 2. Panel a,  
 231 relative vorticity of AE mode over time. Blue (red) line represents time series of relative vorticity  
 232 of AE (CE) on east (west) side of LS, which corresponds to Figure 6. Panel b, relative vorticity of  
 233 CE mode over time. Blue (red) line represents time series of relative vorticity of CE (AE) on east  
 234 (west) side of LS, which corresponds to Figure 8. Figure based on HYCOM data.

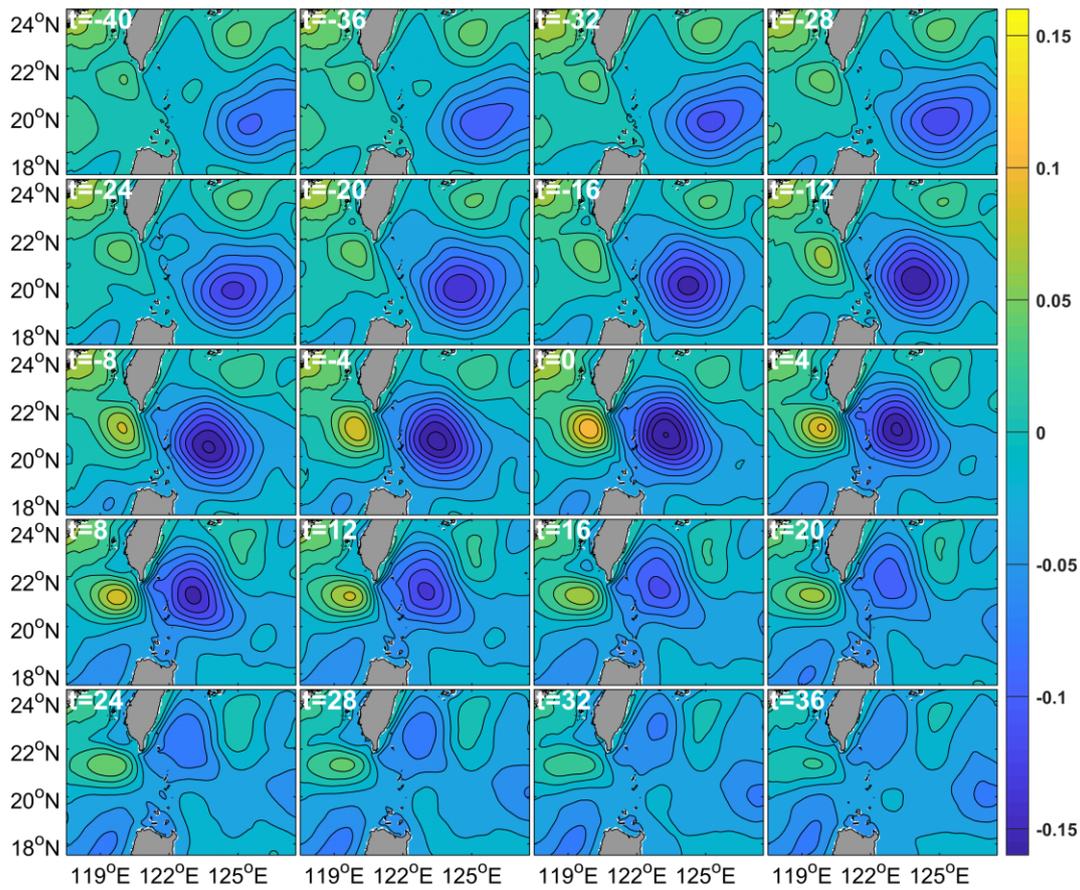
235 Figure 8 plots the CE mode of the counter-rotating eddy pair in the LS. It shows that at the  
 236 beginning, for example, at  $t = -32$ , there is a weak CE far from the east side of the LS, but no AE  
 237 on the west side of the LS. From  $t = -28$  to  $t = 0$ , as the CE in NWP approaches the northern LS,  
 238 an AE gradually forms on the west side of the LS. At  $t = 0$ , the CE mode of the evolution of the  
 239 counter-rotating eddy pair reaches the maximum. Then, from  $t = 4$  to  $t = 36$ , as the CE in the NWP

240 gradually **moves** away from the northern LS, the AE in the west side of the LS gradually **weakens**  
241 until it finally **dies** out. Figure 7b plots the CE mode and shows that as the CE on the east side of  
242 the LS **approaches** and **moves** away from the northern LS, its relative vorticity initially **increases**  
243 and then **decreases**, while the relative vorticity of the corresponding AE on the west side of the LS  
244 initially **decreases** and then **increases**. The maximum positive (negative) value of the time series of  
245 the CE (AE) on the east (west) side of the LS can reach  $0.48 \times 10^{-6} \text{ s}^{-1}$  ( $-6.7 \times 10^{-6} \text{ s}^{-1}$ ). These time  
246 series **have** good correspondence and their correlation coefficient **is**  $-0.96$  at the 95% confidence  
247 level. Therefore, the temporal variations in the relative vorticity in Figure 7b verify the evolution  
248 of the AE mode of the counter-rotating eddy pair in the LS. The evolution of the AE and CE  
249 modes of the counter-rotating eddy pair in the LS is also reflected by the satellite observations  
250 (Figures 9 and 10).

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255 Figure 8 Evolution of CE mode of counter-rotating eddy pair in LS based on HYCOM data.

256 Contours and shading both represent SSHA (units of m). SSHA interval is 0.02 m. t in the top

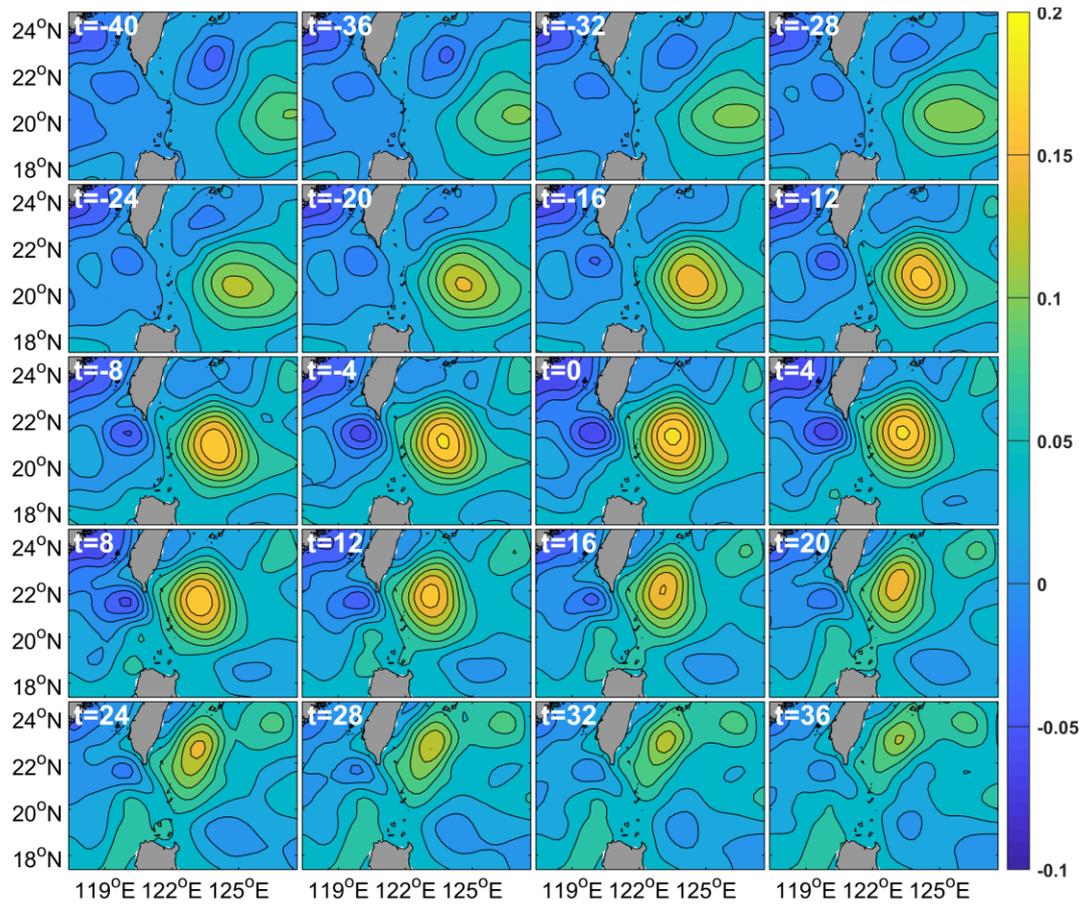
257 left-hand corner of each panel denotes the days before (negative value) or after (positive value) the

258 CE mode of the counter-rotating eddy pair reached the maximum (t=0). t = 0 corresponds to time

259 of Figure 3b.

260

261



262

263 Figure 9 Evolution of AE mode of counter-rotating eddy pair in LS based on CMEMS data.

264 Contours and shading both represent SSHA (units of m). SSHA interval is 0.02 m.  $t$  in the top

265 left-hand corner of each panel denotes days before (negative value) or after (positive value) the

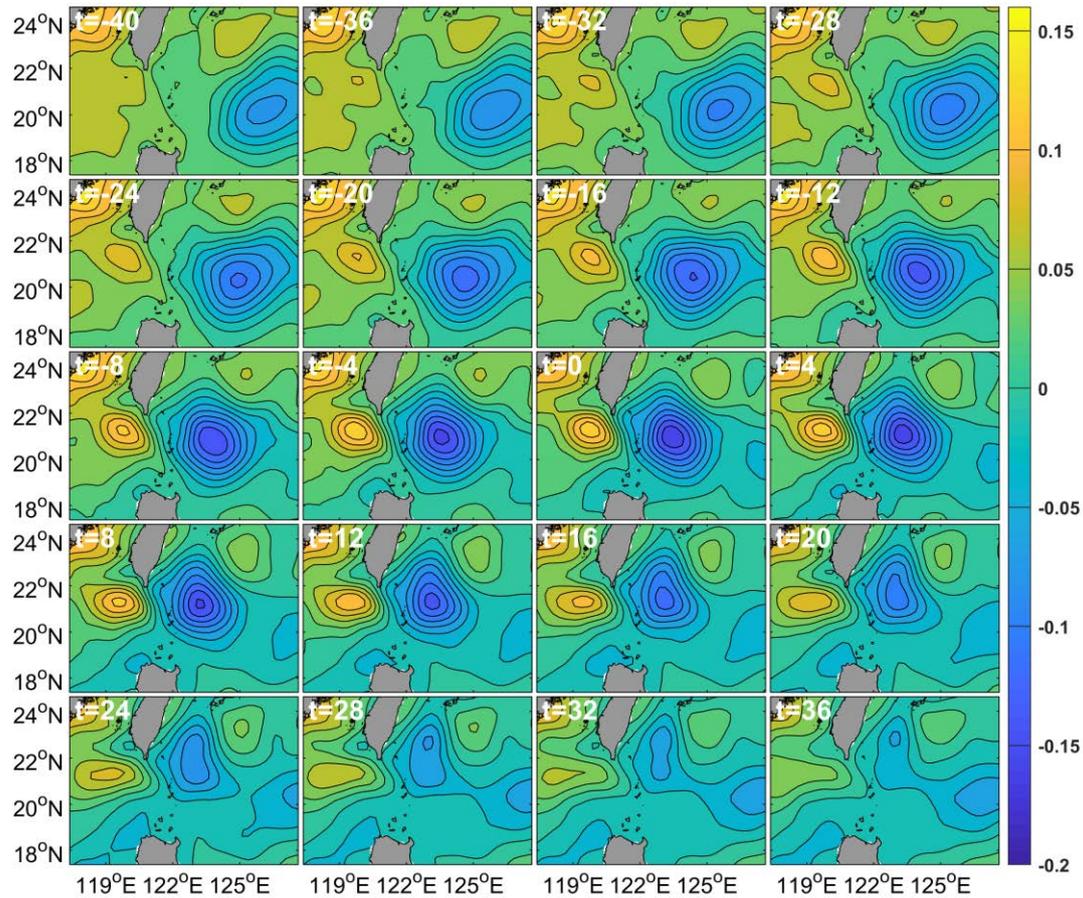
266 AE mode of counter-rotating eddy pair reached the maximum ( $t=0$ ).  $t = 0$  corresponds to time of

267 Figure 3b.

268

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270



271

272 Figure 10 Evolution of CE mode of counter-rotating eddy pair in LS based on CMEMS data.

273 Contours and shading both represent SSHA (units of m). SSHA interval is 0.02 m.  $t$  in the top

274 left-hand corner of each panel denotes days before (negative value) or after (positive value) the

275 CE mode of counter-rotating eddy pair reached the maximum ( $t=0$ ).  $t = 0$  corresponds to time of

276 Figure 3b.

### 277 3.4 Formation mechanism of counter-rotating eddy pair in LS

278 Zhang *et al.* (2017) reported that CEs mainly formed due to the barotropic instability caused

279 by horizontal velocity shear of the Kuroshio Loop current southwest of Taiwan Island. Huang *et al.*

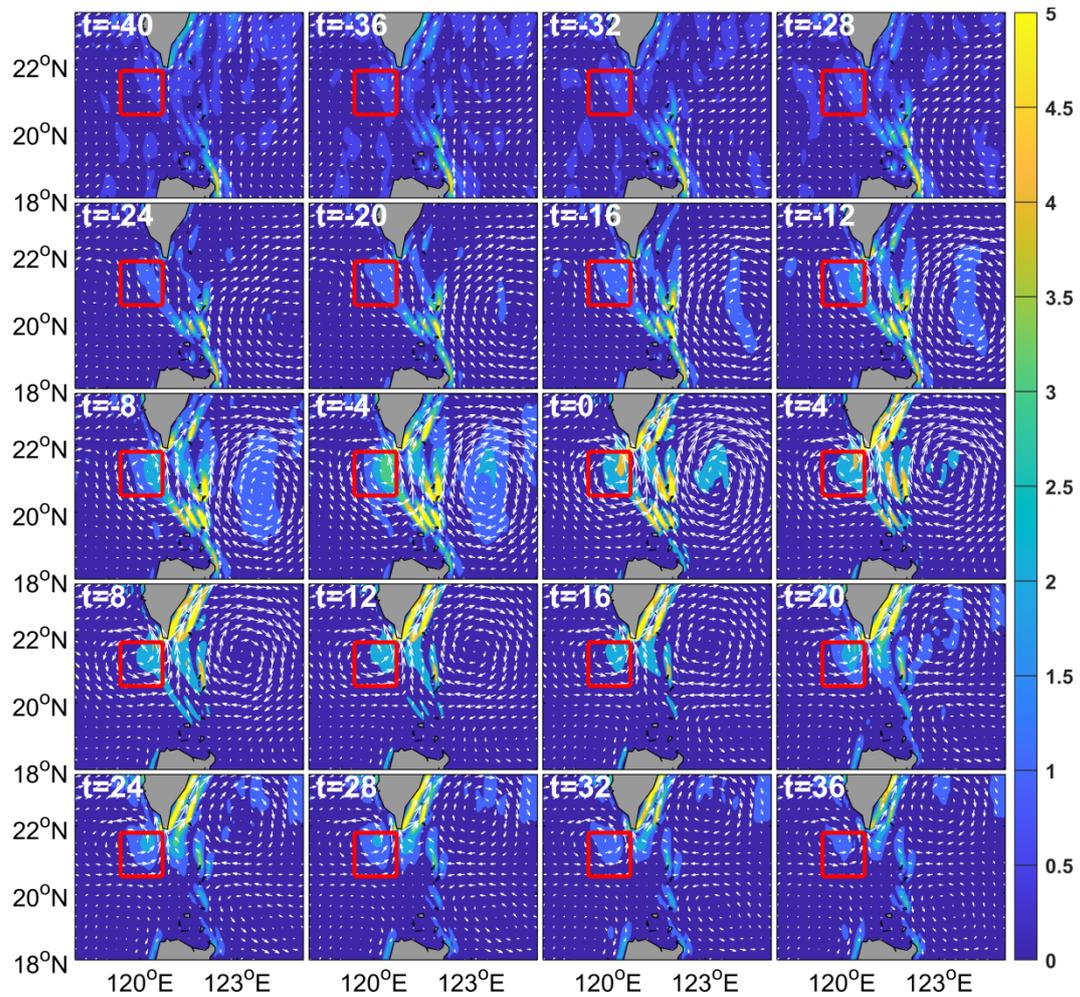
280 (2019) discovered that an AE from the NWP caused a CE to form on the west side of the LS via

281 horizontal velocity shear. In addition, Figures 3b and 3c show that the dense contour of the SSHA

282 means that there **are** strong current anomalies and thus strong horizontal velocity shear at the

283 junction of the AE and CE. Therefore, we investigated the role of horizontal velocity shear in the  
284 formation of a counter-rotating eddy in the LS.

285 Because meridional horizontal velocity shear is weak, we only show the zonal velocity shear.  
286 Figure 11 shows that from  $t = -40$  to  $t = 0$ , as the AE on the east side of the NWP gradually  
287 approaches the northern LS, the absolute value of the zonal horizontal velocity shear ( $\frac{\partial v}{\partial x}$ )  
288 gradually increases, and a CE gradually forms and strengthens on the west side of the LS. From  $t$   
289  $= 0$  to  $t = 36$ , as the AE gradually moves away from the northern LS, the absolute value of the  
290 zonal horizontal velocity shear gradually decreases, and the CE on the west side of the LS  
291 gradually weakens. Figure 12 plots the CE mode of the counter-rotating eddy pair in the LS and  
292 shows a similar corresponding evolution process. This demonstrates that there is good  
293 correspondence between the zonal horizontal velocity shear and evolution process of the  
294 counter-rotating eddy pair.



295

296 Figure 11 Evolution process of absolute value of zonal horizontal velocity shear ( $\frac{\partial v}{\partial x}$ ) for AE

297 mode of counter-rotating eddy pair in LS based on HYCOM data. Shading represents zonal

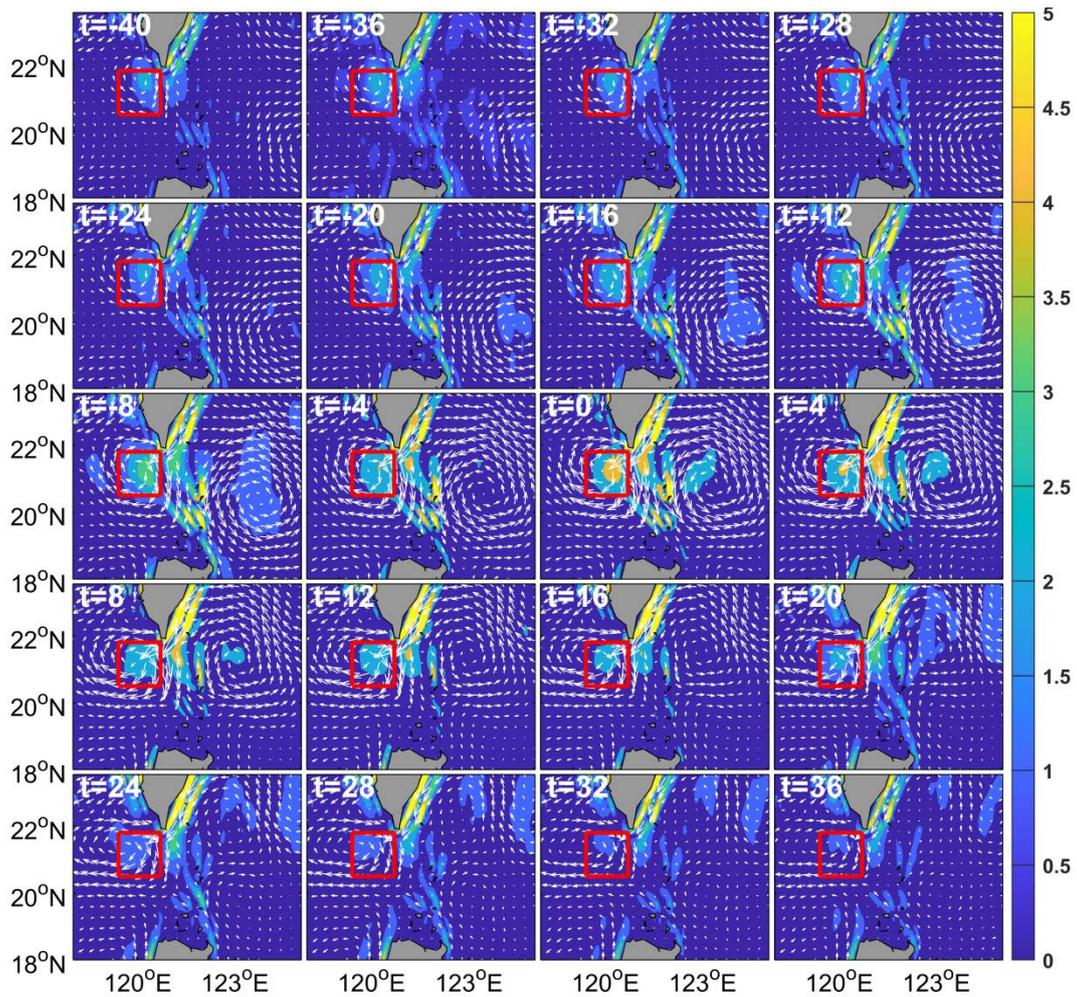
298 horizontal velocity shear (units of  $10^{-6} \text{ s}^{-2}$ ). Vector represents the current anomaly. t in the top

299 left-hand corner of each panel denotes days before (negative value) or after (positive value) the

300 AE mode of counter-rotating eddy pair reached the maximum ( $t = 0$ ).  $t = 0$  corresponds to time of

301 Figure 3b. Red boxes on west side of LS cover  $20.5\text{--}21.8^\circ\text{N}$ ,  $119.4\text{--}120.7^\circ\text{E}$  and represent

302 location of CE on west side of LS.



303

304 Figure 12 Evolution process of absolute value of zonal horizontal velocity shear ( $\frac{\partial v}{\partial x}$ ) for CE

305 mode of counter-rotating eddy pair in LS based on HYCOM data. Shading represents zonal

306 horizontal velocity shear (units of  $10^{-6} \text{ s}^{-2}$ ). Vector represents current anomaly. t in top left-hand

307 corner of each panel denotes days before (negative value) or after (positive value) the AE mode of

308 counter-rotating eddy pair reached the maximum (t = 0). Time t = 0 corresponds to time of Figure

309 3b. Red boxes on west side of LS cover 20.5-21.8°N, 119.4-120.7°E and represent the location of

310 CE on west side of LS.

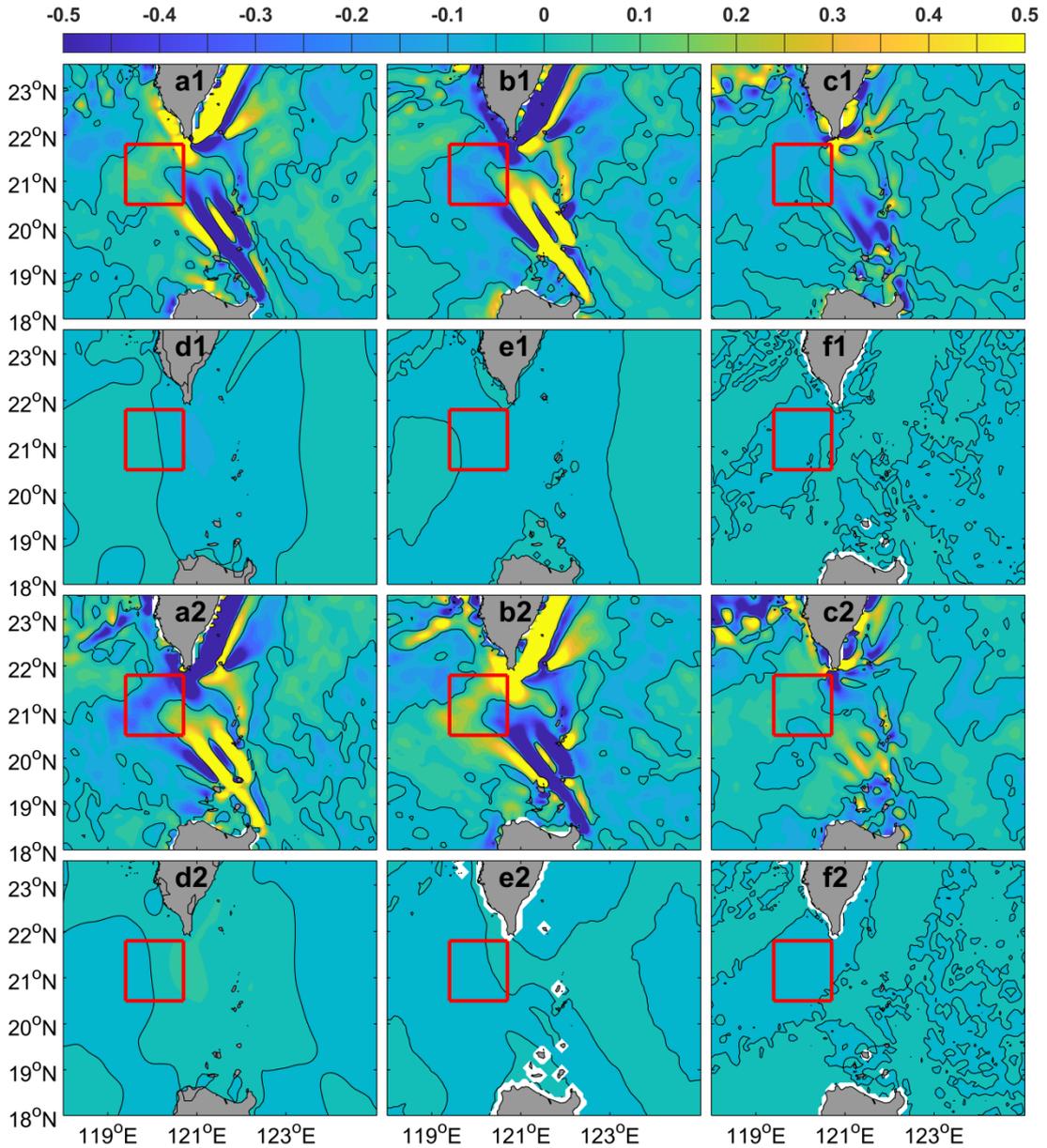
311 However, Figure 11 (12) shows that zonal horizontal velocity shear only occurs on the

312 right-hand side of the red box; that is, on the right-hand side of the CE (AE). How does the

313 horizontal velocity shear pass to the entire CE (AE)? To answer this question, we used the

314 vorticity budget equation. Figures 13a1–f1 plot the AE mode of the counter-rotating eddy pair and  
315 show the respective contributions of the zonal advection, meridional advection, stretching, beta,  
316 baroclinic, and diffusion terms of the vorticity budget equation. Compared to the stretching, beta,  
317 baroclinic, and diffusion terms, the values of the zonal advection and meridional advection term  
318 in the red box are large. However, most of the values of the meridional advection term in the red  
319 box are negative. Only positive vorticity advection can lead to formation of a CE, which suggests  
320 that the zonal advection term is the main cause of CE formation in the red box. To further test this  
321 conclusion, Figure 14a shows the correspondence between the relative vorticity anomaly and  
322 zonal advection of the vorticity in the red box in Figure 13, illustrating that there is good  
323 correspondence and their correlation coefficient is as high as 0.96 at the 95% confidence level.  
324 Therefore, we conclude that the zonal advection term plays the most important role in vorticity  
325 transport and contributes to the formation of the CE on the west side of the LS.

326 **Figures 13a2–f2 are plots the CE mode of the counter-rotating eddy pair and shows that,**  
327 compared to the stretching, beta, baroclinic, and diffusion terms, the values of the zonal advection  
328 and meridional advection terms in the red box are large. However, most of the values of the  
329 meridional advection term in the red box are positive. Only negative vorticity advection can lead  
330 to formation of an AE, which implies that the zonal advection term is the main cause of AE  
331 formation in the red box. To further test this conclusion, Figure 14b shows the correspondence  
332 between the relative vorticity anomaly and zonal advection of vorticity, illustrating that there is  
333 good correspondence and their correlation coefficient is as high as 0.84 at the 95% confidence  
334 level. Therefore, we conclude that the zonal advection term plays the most important role in  
335 vorticity transport and contributes to the formation of the AE on the west side of the LS.



336

337 Figure 13. Vorticity budget equation for (a1–f1) AE mode of counter-rotating eddy pair and

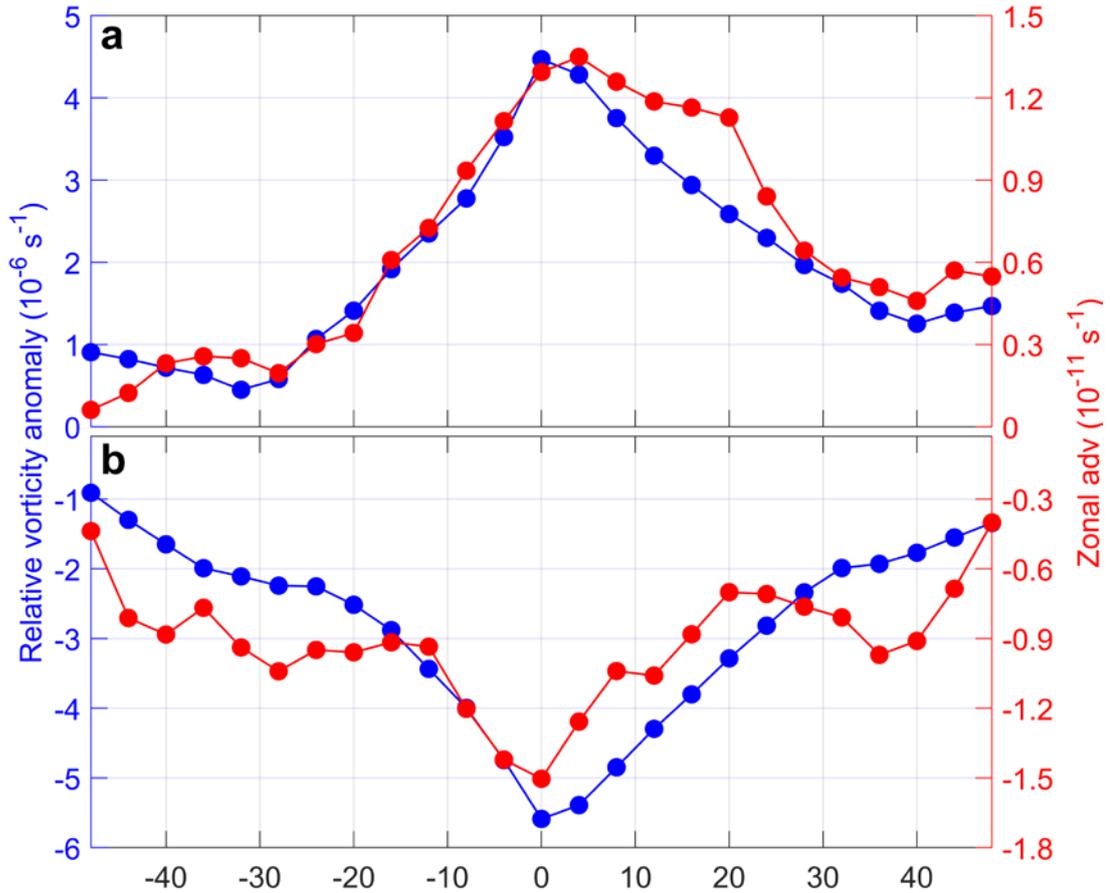
338 (a2–f2) CE mode of counter-rotating eddy pair. a1 and a2 represent the zonal advection term, b1

339 and b2 the meridional advection term, c1 and c2 the stretching term, d1 and d2 the beta term, e1

340 and e2 the baroclinic term, and f1 and f2 the diffusion term. Units are  $10^{-10} \text{ s}^{-2}$ . Red boxes on west

341 side of LS border cover  $20.5\text{--}21.8^\circ\text{N}$ ,  $119.4\text{--}120.7^\circ\text{E}$ , and represent location of CE or AE on west

342 side of LS. Black solid line represents the zero contour. Figure based on HYCOM data.

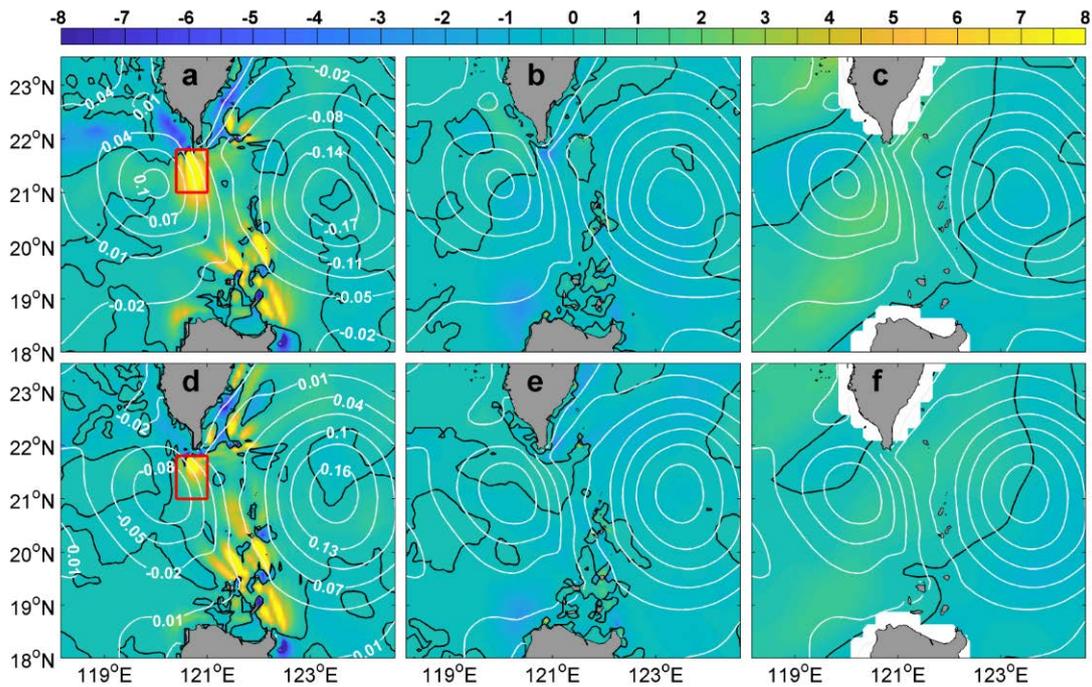


343

344 Figure 14. Distribution of relative vorticity anomaly and zonal advection of vorticity  
 345 surrounded by red boxes in Figure 13 for AE (a) and CE (b) modes of counter-rotating eddy pair  
 346 in LS.

347 We proposed above that the horizontal velocity shear caused by the mesoscale eddy on the east  
 348 side of the LS is transported westward through zonal advection, resulting in the formation of a  
 349 counter-rotating mesoscale eddy on the west side of the LS. Horizontal velocity shear will  
 350 inevitably lead to barotropic instability. Now, we verify our conclusion from the perspective of  
 351 energy. Figures 15a, 15b, and 15c show that, compared to the BC and WW values, the BT values  
 352 in the LS are large and most of the values are positive, especially in the area surrounded by the red  
 353 box in Figure 15a, which is the junction of the AE and CE. This means that the BT plays the most  
 354 important role in formation of the AE on the west side of the LS.

355 Figures 15d, 15e, and 15f show the BT, BC, and WW, respectively, corresponding to the AE  
 356 mode of the counter-rotating eddy pair in the LS. The description and dynamic mechanism of the  
 357 AE mode are similar to those of the CE mode of the counter-rotating eddy pair in the LS, so the  
 358 details will **not** be discussed here.



359 Figure 15. BT based on HYCOM data ( $10^{-5} \text{ m}^3 \text{ s}^{-3}$ ) represented by colors (a, d); BC based on  
 360 HYCOM data ( $10^{-5} \text{ m}^3 \text{ s}^{-3}$ ) represented by colors (b, e); WW based on CMEMS surface velocity  
 361 data and NCDC wind data ( $10^{-5} \text{ m}^3 \text{ s}^{-3}$ ) represented by colors (c, f). Red box borders 21°N–  
 362 21.8°N, 120.4°E–121°E. White contours represent SSHA contours of. Panels a, b, and c (d, e, and  
 363 f) plot CE (AE) mode of counter-rotating eddy pair in LS.

#### 365 4 Discussion and conclusions

366 In this study, based on satellite observation data and HYCOM re-analysis data, the  
 367 counter-rotating eddy pair in the LS **is** investigated. The phenomenon of counter-rotating eddy  
 368 pairs **is** defined as the stage when an AE (a CE) in the NWP gradually **approaches** the northern LS,  
 369 and a CE (an AE) **forms** on the west side of the LS. This phenomenon **exhibits** obvious seasonal

370 variation; that is, the AE mode mainly occurs in the summer half of the year, while the CE mode  
371 mainly occurs in the winter half of the year. The mean durations of the AE and CE modes are both  
372 approximately 70 d. The Leap and Loop patterns of the Kuroshio Current contribute to the  
373 occurrence of the AE and CE modes, respectively, of counter-rotating eddy pairs. Based on the  
374 vorticity budget equation and energy analysis, the dynamic mechanism of the occurrence of a  
375 counter-rotating eddy pair is as follows. The AE (CE) in the NWP causes a positive (negative)  
376 vorticity anomaly through horizontal velocity shear on the west side of the LS, and the positive  
377 (negative) vorticity anomaly is transported westward by the zonal advection of the vorticity,  
378 finally leading to the formation of a CE (an AE) on the west side of the LS. This conclusion is also  
379 verified by barotropic instability based on the energy analysis.

380 When we investigated the question of how the horizontal velocity shear passes to the entire  
381 CE or AE in Section 3.4, we found that the magnitudes of the meridional and zonal advection  
382 terms are roughly the same. Because the meridional advection term has the opposite effect of CE  
383 (AE) formation in the west side of the LS for the AE (CE) mode of the counter-rotating eddy pair,  
384 we confirmed that the zonal advection term plays a main role in horizontal velocity shear  
385 transportation. However, since the magnitude of the meridional advection term is very large, it  
386 may play a role in the ocean dynamic process of the LS, which deserves further study.

387 The results presented in this study are preliminary and several problems require further  
388 research. The occurrence probability of a counter-rotating eddy pair in the LS must be determined.  
389 The counter-rotating eddy pair phenomenon involves spatiotemporal variations in two mesoscale  
390 eddies on both sides of the LS, and it is difficult to provide a quantifiable definition of this  
391 phenomenon for a single event. For example, how far apart must the mesoscale eddies on the east

392 and west sides of the LS be to define them as a counter-rotating eddy pair. We preliminarily  
393 calculated that the incidence of this phenomenon is approximately 5%.

394 Another problem to solve involves threshold of the NWP mesoscale eddies entering the SCS,  
395 and what role the Kuroshio Current plays in the counter-rotating eddy-pair phenomenon in the LS.  
396 In this study, our illustration of the counter-rotating eddy pair phenomenon does not include the  
397 mean current field, which means that the influence of the Kuroshio Current is not considered.  
398 However, the role of the Kuroshio in energy transfer is still worthy of further study. Numerical  
399 simulations can be useful to address this issue. Our study provides new perspective on particle and  
400 energy exchange, and further perfects the theory of particle and energy exchange between the SCS  
401 and NWP.

402

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416

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