

1 **Contribution of a constellation of two Wide-Swath Altimetry**  
2 **Missions to Global Ocean Analysis and Forecasting**

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10 Observing System Simulation Experiment

11

12 **Abstract**

13

14 Swath altimetry is likely to revolutionize our ability to monitor and forecast ocean dynamics. To meet the  
15 requirements of the EU Copernicus Marine Service, a constellation of two wide-swath altimeters is envisioned for  
16 the long-term (post-2030) evolution of the Copernicus Sentinel 3 topography mission. A series of Observing  
17 System Simulation Experiments (OSSEs) is carried out to quantify the expected performances. The OSSEs use a  
18 state-of-the-art high resolution (1/12°) global ocean data assimilation system similar to the one used operationally  
19 by the Copernicus Marine Service. Flying a constellation of two wide-swath altimeters will provide a major  
20 improvement of our capabilities to monitor and forecast the oceans. Compared to the present situation with 3 nadir  
21 altimeters flying simultaneously, the Sea Surface Height (SSH) analysis and 7-day forecast error will be globally  
22 reduced by about 50%. With two wide-swath altimeters, the quality of SSH 7-day forecasts is equivalent to the  
23 quality of SSH analysis errors from three nadir altimeters. Our understanding of ocean currents is also greatly  
24 improved (30% improvements at the surface and 50% at 300 m depth). The resolution capabilities will be  
25 drastically improved and will be closer to 100 km wavelength compared to about 250 km today. Flying a  
26 constellation of two wide-swath altimeters thus looks to be a very promising solution for the long-term evolution  
27 of the Sentinel 3 constellation and the Copernicus Marine Service.

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## 2 1. Introduction

3 The Copernicus Marine Service is one of the six pillar services of the European Union Copernicus programme (Le  
4 Traon et al., 2019). It provides regular and systematic reference information on the physical and biogeochemical  
5 ocean and sea-ice state for the global ocean and the European regional seas. After seven years of operation, the  
6 Copernicus Marine Service is recognized internationally as one of the most advanced service capabilities in ocean  
7 monitoring and forecasting, and has [involved](#) more than thirty thousand expert services and users worldwide (Le  
8 Traon et al., 2021).

9

10 The Copernicus Marine Service is highly dependent on the timely availability of comprehensive satellite and in-  
11 situ observations (Le Traon et al., 2019). Satellite altimetry plays a prominent role thanks to global, real time, all-  
12 weather sea level measurements, which provide a strong constraint for inferring 4D ocean circulation through data  
13 assimilation (see a review in Le Traon et al., 2017). Copernicus Marine Service modelling and data assimilation  
14 systems depend substantially on the status of the altimeter constellation (e.g., Hamon et al., 2019). Four altimeters  
15 at least are required. The main limitation of classical altimetry is the 1D nature of altimeter measurements, which  
16 provide sea level measurements only at the sub-satellite point (i.e., nadir point), thus creating large unobserved  
17 gaps in the cross-track direction (Chelton et al., 2001). These authors also [point out](#) that the distance between  
18 altimetry satellite tracks and the revisit time decrease with the inverse of the number of satellites, so there is a  
19 strong diminishing return associated with classical altimetry. As a result, only wavelengths longer than 200 km  
20 are well represented.

21

22 Wide-swath altimetry that will be demonstrated with the Surface Water Ocean Topography (SWOT) mission  
23 (Morrow et al., 2019) addresses these limitations. Through a series of Observing System Simulation Experiments  
24 (OSSEs), Benkiran et al. (2021) and Tchonang et al. (2021) demonstrated that SWOT data could be readily  
25 assimilated in a global high resolution ( $1/12^\circ$ ) analysis and forecasting system with a positive impact everywhere  
26 and very good performances. The main limitation of SWOT is, however, related to its long-time repeat period. In  
27 the longer run, flying a constellation of two wide-swath altimeters would thus be highly beneficial to further  
28 improve performance, in particular, for smaller space and shorter time scales.

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30 The impact of a constellation of two wide-swath altimetry missions has been analysed as part of two studies carried  
31 out by Mercator Ocean International (MOi) for the European Space Agency (ESA). This was done in close  
32 collaboration with the French Space Agency (CNES), which led a 2.5 year (2018-2020) phase A study called  
33 WiSA (Wide-Swath Altimetry). WiSA aimed to define an innovative concept of altimetry system to provide new  
34 measurements for both oceanography and hydrology on an operational basis (CNES, 2020). The targeted  
35 programmatic framework is the Sentinel-3 Topography mission (post 2030), the follow-up to Sentinel-3, which  
36 will address the expected evolution of the Copernicus Space Component. The first ESA study carried out by  
37 Bonaduce et al. (2018) in the North East Atlantic regional model showed that a constellation of three nadir and  
38 two wide-swath altimeters could reduce ocean analysis errors by up to 50% compared to three nadir altimeters

1 operating alone. The second ESA study (this paper) extends this work to the global ocean using the wide-swath  
2 altimeter system characteristics analysed as part of the WiSA phase A. The study also uses the latest version of  
3 the Copernicus Marine Service global 1/12° modelling and data assimilation system and the OSSE design used  
4 for SWOT OSSEs presented in Benkiran et al. (2021) and Tchonang et al. (2021).

5

6 Results for this global study are presented and discussed in this paper, which is organized as follows. The WiSA  
7 concept is presented in section 2. Section 3 details the OSSE methodology. Results are discussed in Section 4 and  
8 Section 5 provides the main conclusions and recommendations of the study.

## 9 **2. The WiSA concept**

10 The WiSA concept was developed in a Phase A study carried out by CNES and the industry as a tentative follow-  
11 up to the SWOT mission. The goal of the WiSA concept was to leverage the main improvement of SWOT's swath  
12 altimeter (i.e., 2D images of sea surface topography and near-nadir radar backscatter, lower noise floor than nadir  
13 altimeters for the same ground pixel surface) with significant changes to better address the needs of operational  
14 oceanography and hydrology, while making the satellite simpler, smaller and more affordable than the SWOT  
15 precursor mission.

16

17 Arguably the main weakness of SWOT is temporal sampling: with a single satellite and a 120-km swath, it is  
18 simply impossible to resolve the time scales of the small-scale features that will be observed by SWOT. At least  
19 two (resp. three) wide-swath altimeters are needed to ensure that 68% (resp. 80%) of 50 km features in the global  
20 ocean can be observed with a mean revisit time of 5 days or less. Moreover, Lamy and Albuys (2014) explain  
21 how the SWOT orbit was a trade-off between multiple constraints for this research mission: technical constraints  
22 from the instrument, optimization for the aliasing of tides, sampling optimized for a single satellite. In contrast,  
23 the so-called WISA #A orbit was selected by CNES using the methodology of Dibarboue et al. (2017) to  
24 maximize the sampling for 1 to 3 swath altimeter satellites (or swath/nadir hybrid constellations). This sun-  
25 synchronous orbit has an altitude of approximately 750 km (14+7/17 revolutions per day) and the altimeter swath  
26 covers latitudes up to 82°. With an exact repeat of 17 days (i.e., good enough for tidal aliasing despite being sun-  
27 synchronous), the orbit also has so-called sub-cycles of 2 and 5 days, both of which maximize the distribution of  
28 observations in space and time for wind/wave applications and small to medium mesoscale applications.  
29 Moreover, the optimal space/time sampling for two satellites is achieved when the first and second swath altimeters  
30 are on the same orbit plane, separated by a 180° angle on the orbit circle. This property, discussed by CNES (2020),  
31 is important for technical and practical considerations (e.g., ground station visibility, compatibility with other  
32 sensors with a wider swath).

33

34 The second difference between SWOT and WiSA is their respective noise levels. SWOT tries to achieve an  
35 unprecedented spectral noise floor of 2 cm<sup>2</sup>/cycle/km (i.e., of the order of 1.36 cm RMS for 2 km x 2 km pixels)  
36 in order to resolve wavelengths as small as 15 km (i.e., a feature diameter of 8.5 km). Because the goal of WiSA  
37 is to resolve wavelengths of only 50 km, CNES and Thales Alenias Space selected a simpler technical design (i.e.,  
38 cheaper and more robust) for the interferometer baseline. The resulting noise is of the order of 2.7 cm RMS for 2

1 km x 2 km products. Note that because the high-frequency noise is random, it can be averaged out in pixels of  
2 varying size. In other words, it is possible to select different resolution-versus-precision trade-offs from the same  
3 spectral noise floor (e.g. sub-centimetric precision for a 5 km product or 5 cm precision for a 1 km product). More  
4 importantly, while larger than SWOT, the noise floor of the WiSA concept is more than sufficient to ensure that  
5 all scales up to 50 km are well observed, even in relatively high wave conditions (wave height modulates the noise  
6 floor of all altimeters according to a linear relationship). Using the methodology of Vergara et al. (2019) and  
7 spectral slopes and wave climatologies obtained from Jason altimeters, CNES (2020) reports that WiSA has a  
8 mean observability (i.e., wavelength where the signal to noise ratio is 1) of 37 km or better, over more than 80%  
9 of the global ocean.

10

11 The other components of the WISA error budget (e.g. wet troposphere, roll, etc) combine the requirement of  
12 SWOT (Esteban Fernandez et al., 2014) for the small scales and the accuracy of nadir altimeters for the large  
13 scales. In essence, the WISA concept ensures that the end-to-end error budget is less than 10% of the SLA along-  
14 track power spectral density up to 1000 km, with a RMS less than 2.5 cm, including large scale errors (e.g. precise  
15 orbit determination biases, ionosphere residual...) In practice, the SWOT simulator (Gaultier, 2016) is a good  
16 approximation of the error budget for OSSE studies. The simulator also captures the complex 2D nature of  
17 correlated error sources of WISA which are similar to SWOT.

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25 correlated error sources of WISA which are similar to SWOT.

26

### 27 **3. OSSE approach**

#### 28 **3.1 Ocean Model**

29 The MOi global ocean forecasting system (see Lellouche et al., 2018), which delivers forecast products for the  
30 Copernicus Marine Service, is used in this study. As described in the literature (Errico et al., 2013), OSSEs use  
31 two different models or model configurations. In our study, we use the same NEMO 1/12° resolution model  
32 (Nucleus for European Modelling of the Ocean, Madec, 2016) but with different configurations and forcings. The  
33 first uses a free NEMO3.6 simulation (Nature Run hereafter referred to as NR) to represent the real ocean and  
34 simulate all the synthetic observations for the study. The second model is used to assimilate synthetic observations  
35 from the NR in a so-called Free Run (FR). The FR uses the NEMO3.1 model with a different and less energetic  
36 configuration. Table 1 lists all these differences. A detailed description and validation of the NR is presented in  
37 Benkiran et al. (2021).



### 1 3.2 Simulation of observations and noise

2 All simulated observations were extracted from the NR simulation and these observations were collected over a  
3 period of 15 months (from October 1, 2014 to December 31, 2015), which includes the period covered by the  
4 OSSEs. Sea Surface Height (SSH) data were simulated along 3 nadirs and 2 wide-swath altimeters (S1 and S2).

5

6 The 3 nadir altimeters correspond to Jason-3 (or Sentinel-A6 [already is in](#) the same orbit) (J3) and the nadirs of  
7 each of the two Wide-Swath Altimeters S1 and S2. The along-track nadir altimeter data were extracted from NR  
8 at the 1 Hz frequency corresponding to a spatial resolution of 6-7 km from hourly mean fields of the NR. A random  
9 noise of 3 cm was added to along-track data to take into account altimeter measurement noise (i.e., close to the 1  
10 Hz error budget of the nadir altimeter of the SWOT satellite). For the two swath altimeters, the WiSA #A orbit  
11 (S1) selected by CNES (Dibarboure et al., 2017) was used together with a second (S2) on the same orbit plane,  
12 separated by a 180° angle on the orbit circle. All SSH data were simulated from the NR using the Jet Propulsion  
13 Laboratory's (JPL) SWOT Simulator (Gaultier et al., 2016). The simulator constructs a regular grid based on the  
14 baseline orbit parameters of the satellite. The simulator models the most significant errors that are expected to  
15 affect the data, i.e., the KaRIn (Ka-band Radar Interferometer) noise, roll errors, phase errors, baseline dilation  
16 errors, wet troposphere and timing errors. In this study, we only used the estimated WiSA KaRIn noise derived  
17 for a significant wave height (SWH) of 2 m. [Figure 1](#) shows the standard deviation of the KaRIn random error  
18 considering across-swath resolutions of 1 km (solid line) and 6 km (dashed line) as a function of the cross-track  
19 distance in km.

20 [Figure 2A](#) shows the SSH from the NR at a given central date of our 7-day assimilation cycle (analysis window)  
21 over the Kuroshio region. Data coverage along the tracks of the three nadir altimeters over the 7-day analysis  
22 window is shown on [Figure 2B](#) while [Figure 2C](#) shows the coverage of the combination of two Wide-Swath  
23 Altimeters. It can be observed that with two Wide-Swath Altimeters the ocean is almost covered by the  
24 measurements over a 7-day time period.

25 To make OSSEs close to the MOi global analysis and forecasting system, other assimilated data were simulated:  
26 satellite sea surface temperature, [insitu](#) temperature and salinity data (Argo) and the ice concentration data (see  
27 details in Benkiran et al., 2021).

### 28 3.3 Data Assimilation

29 An updated version of the data assimilation scheme developed at MOi, called SAM2 (*Système d'Assimilation*  
30 *Mercator V2*) and described by Lellouche et al. (2018), was used. SAM is a reduced-order local Kalman filter for  
31 which the analysis subspace is constructed using a band-passed times series of model states from free simulation.  
32 Several improvements and adaptations of this system were made for this study. In particular, a four-dimensional  
33 (4D) version of the assimilation scheme is used, in which the analysis uses a 4D subspace and produces daily  
34 [models](#) correction of SSH, temperature, salinity and [velocity](#) field. All these updates and their impacts on the  
35 system performance are described in Benkiran et al. (2021).

### 1 3.4 Experimental set-up

2 Starting from the satellite altimetry simulated data obtained from the NR run, three global OSSEs were carried out  
3 using a different NEMO configuration but the same spatial resolution of  $1/12^\circ$  ( $\sim 7$  km). OSSE0 is the Free Run  
4 (FR) of the ocean model used to assess the performance of the other experiments. OSSE1 corresponds to nadir  
5 (3N) altimetry data assimilation. ~~OSSE2 (not presented here) is similar to OSSE1 except that it assimilated Sea~~  
6 ~~Surface Height (SSH) from two Wide Swath Altimeter (2S) datasets instead of nadir altimeter data.~~ Finally,  
7 OSSE3 (3N+2S) assimilated all observation types (combining two swaths and three nadirs). OSSE1, 2 and 3 also  
8 assimilate Sea Surface Temperature (SST), Ice Concentration (IC), and Temperature and Salinity (T/S) profile  
9 data.

10

11 Our simulations start from a free model state on October 1, 2014. A three-month simulation (until the end of  
12 December 2014) was carried out with assimilation of SSH along the Nadirs (3N) together with SST, IC and T/S  
13 data. This allows us to avoid the spin-up period in our experiments. All the experiments shown here start from the  
14 same state on January 1, 2015.

## 15 4. Results

16 Results of the impact of assimilation of the SSH from the three nadir altimeters and from the two wide-swath  
17 altimeters combined with the three nadir altimeters are detailed in this section. These results are obtained by  
18 comparing each experiment with our real ocean (NR) data over a period of 10 months (March 1<sup>st</sup> to December 30<sup>th</sup>  
19 2015). Results are presented below: impact on SSH analyses and forecasts, impact on the different time and space  
20 scales, spectral and coherence analyses in a series of selected rectangular areas (boxes) and impact on velocity,  
21 temperature and salinity.

### 22 4.1 Impact on sea-level analyses and forecasts

23 The SSH variance in the NR computed over one year (2015) is shown in [Figure 3](#). The SSH variance shows a high  
24 variability in the more energetic regions such as the Gulf Stream (GS), Kuroshio (KS), Antarctic Circumpolar  
25 Current (ACC), Brazil-Malvinas confluence (BM) and Agulhas (AG). The SSH variance in the NR compares very  
26 favourably with real altimeter observations (as detailed in Benkiran et al., 2021).

27

28 The temporal evolution of SSH [error](#) variance over the global ocean for each experiment is compared in [Figure](#)  
29 [4](#). This variance decreases over a few weeks (6 weeks) to reach a stable state for the analyses (continuous  
30 lines) and the forecasts (dotted lines). The assimilation of the swath altimeter data reduces analysis errors from  
31  $15.6 \text{ cm}^2$  (black line) to  $10.1 \text{ cm}^2$  (red line), a reduction of 54%. The gain is of about 46% for the forecasts (dotted  
32 lines). These are major improvements. In particular, with two swath altimeters, 7-day SSH forecasts are as good  
33 as SSH analyses derived from three nadir altimeters.

34

35 To analyse the results further, the relative variance  $VAR^*$  (in terms of percentage), which represents the [error](#)  
36 [variance \(VarError\) relative to the FR error variance](#). The expression of this relative variance is as follows:

1

2

$$VAR^* = 100 * \frac{VarError(OSSE_i)}{VarError(FR)} \quad (1)$$

3

Where VarError represents the variance error for each experiment ( $OSSE_i$ ). This variance of the error (VarError) is calculated by comparing each OSSE with the NR.

5

6

Global maps of SSH analysis error variance (VarError) for these experiments are presented in [Figure 5](#).

7

The Free Run (FR) has a fairly large variance ([Figure 5A](#)) especially over western boundary currents:

8

Antarctic Circumpolar Current (ACC), Indian Ocean (IND), Brazil-Malvinas confluence (BM) and Agulhas

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current (AG). The assimilation of SSH data from the 3 nadir altimeters (3N) in addition to the [SST](#) data and salinity

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and temperature profiles significantly reduced this error ([Figure 5B](#)) over the global ocean. The

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assimilation of SSH from the swath altimeter in addition ([Figure 5C](#)) greatly reduced this error over the global

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ocean. A fairly significant improvement can be observed in specific areas (boxes in [Figure 5B](#)) such as the Gulf

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Stream, the Antarctic Circumpolar Current and the Kuroshio Current. [Figure 6](#), which represents the difference in

14

error between the 3N assimilation and the 3N+2S assimilation, shows the contribution of the assimilation of the

15

combination of the Wide-Swath Altimeters and the [nadir](#) altimeters compared to the [nadir](#) altimeters. This

16

improvement (error reduction) is visible on almost 80% of the ocean points. The results of the impact of the Wide-

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Swath Altimeter data on SSH in [the global ocean](#) are summarized in [Table 2](#). Adding the swath altimeters improves

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the analyses and forecasts by about 50%. ([54% for analysis, 45% for forecast](#)) With the assimilation of wide-

19

swath altimeters, the [error relative to the FR error variance](#) ( $VAR^*$  - Columns 3 and 4 in [Table 2](#)) is only [13.7](#) and

20

[23.1](#)% for analyses and forecasts respectively.

21

22

23

To better quantify the impact of swath data in the global system, errors are characterized for specific time and

24

space scales. [Figure 7](#) compares the error variance of the different OSSEs for wavelengths smaller than 200 km.

25

The assimilation of nadir altimeters ([Figure 7B](#)) already considerably reduces these errors compared to the FR.

26

The addition of the swath altimeter assimilation ([Figure 7C](#)) brought a major improvement for the regions where

27

large errors remained (small scales) with the assimilation of nadir data. This improvement is prominent in the Gulf

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Stream, Kuroshio and ACC.

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30

[Figure 8](#) similarly compares these errors for periods of less than 20 days. The aim is to analyse the impact of Wide-

31

Swath Altimeter data on fast signals. Much of the error is corrected by assimilating the swath data in addition to

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the nadir data ([Figure 8C](#)) compared to the nadir data alone ([Figure 8B](#)). This improvement is visible throughout

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the global ocean. This shows that there is better control of the high frequency signals by assimilating swath

34

altimeter data as opposed to nadir data.

35

36

[Figure 9](#) summarizes the main results of these analyses. The three panels of [Figure 9](#) represent the mean error

37

variance as a function of latitude for the total error, the error for wavelengths < 200 km and the error for periods

38

< 20 days. The swath altimeter data assimilation reduces the error at each latitude (red curves on the panels). This

39

improvement is more pronounced at mid and high latitudes than at low latitudes. The impact on the western

1 boundary currents and ACC currents is more evident at the mesoscale (< 200 km) than at frequencies below 20  
2 days.

### 3 4.2 Spectral analysis and coherence

4 Wavenumber Power Spectral Density (PSD) and spatial and temporal coherence for each OSSE are discussed in  
5 this section. Spectra and coherence on boxes covering 10° in latitude by 20° in longitude at different latitudes  
6 (boxes in [Figure 3](#)) are computed. Spectra were also computed on the same box (North Atlantic Drift: 19°W, 10°W;  
7 46°N, 55°N, Box D, [Figure 3](#)) as that presented by Bonaduce et al. ([2018](#)), using a regional model (IBI: Iberian-  
8 Biscay-Irish region) to make comparisons.

9  
10 [Figure 10](#) shows the power spectra of the SSH error in a variance preserving form (Thomson and Emery, 2014) in  
11 the different boxes. The assimilation of nadir altimeter data (black curves) reduces the error at different scales  
12 compared to the FR (orange curves) except in region A (low-latitude, [Figure 10A](#)) where the assimilation of nadir  
13 data introduces noise in the 50-200 km wavelength band. This is mainly due to the weak signal in these regions  
14 and the limited space/time sampling of the nadir altimeter constellation at these wavelengths. The assimilation has  
15 difficulty extrapolating the small-scale structures between the tracks (see discussion in Section 3.4.1 of Lellouche  
16 et al., 2018). The contribution of the swath altimeter data contributes to a clear reduction in the wavelength error  
17 spectra between 50 and 100 km depending on the latitude.

18  
19 The reduction of the error at the different wavelengths ( $ER_{spec}$ ) is defined as the percentage of the error with respect  
20 to FR (OSSE0). In all these areas (boxes) the assimilation of nadir altimeters (black curves) reduces the  $ER_{spec}$   
21 error by more than 65% between 200 and 600 km. A major contribution is observed with swath altimeter data, as  
22 error reduction exceeds 90% between 200 and 600 km over these regions (red curves,  $ER_{spec} > 90\%$ ).

23  
24 Spectral coherence analysis (temporal and spatial) is also performed to highlight the impact of assimilating swath  
25 data at different scales with respect to the NR. Coherence is defined as the correlation between two signals as a  
26 function of wavelengths (Ubelmann et al., 2015; Ponte and Klein, 2013; Klein et al., 2004). This coherence  
27 between the NR and different OSSEs is defined as follows:

28  
29

$$30 \quad C_{spec} = \frac{Cr_s(NR, OSSE_j)}{S(NR) S(OSSE_j)} \quad (1)$$

31

32 Where  $Cr_s$  and  $S$  represent the cross-spectral density and spectral density, respectively of the signals  $j$  refers  $j$  -  
33 the experiment. The impact of the swath data is clear over all these regions (different latitudes) from 50 km of  
34 wavelength (red curves on the [Figure 11](#)). The wavelengths and periods with a coherence of 0.5 (dotted line on  
35 the figures), which are usually taken as an estimation of the effective resolution (e.g., Ubelmann et al., 2015;  
36 Tchonang et al., 2021), show that wide-swath altimeter data (red lines) will provide much improved insight into  
37 mesoscale ocean dynamics as compared with nadir altimeter data (black lines). In box D ([Figure 11D](#)), which  
38 represents the North Atlantic Drift (the same box as that presented in Bonaduce et al., 2018), the wide-swath

1 altimeter gain in the effective resolution is in the region of 60% (105 km instead of 165 km for nadir altimeters).  
2 At low latitudes (box A, [Figure 11A](#)), there is an improvement of around 80% thanks to wide-swath altimeter data.  
3 This improvement is also observed at high latitudes ([Figure 11B](#) and [Figure 11C](#)) but it is less pronounced.

4  
5 [Figure 12](#) shows the time coherence for the four selected regions. The calculation of this coherence was based on  
6 filtered SSH fields of scales greater than 500 km to avoid the impact of large-scale and high frequency signals on  
7 the results. At different latitudes (regions shown), wide-swath altimeter data improved the temporal coherence  
8 with the NR compared to the nadir data. The effective time resolution in regions A and B ([Figure 12A](#) and [Figure](#)  
9 [12B](#)) is 20 days for wide-swath altimeters instead of 40 days for nadir altimeters (half the time). At mid and high  
10 latitudes ([Figure 12C](#): Gulf Stream and [Figure 12D](#): North Atlantic Drift), there is a strong improvement, with a  
11 time resolution of 25 days for wide-swath altimeters whereas with the nadir altimeter data the consistency reaches  
12 50% around 50days.

### 15 **4.3 Impact on temperature, salinity and zonal velocities**

16 [Figure 13](#) shows the variance of the temperature and salinity error (NR-OSSEs) as a function of depth for the  
17 global ocean. The temperature error profile shows a maximum at about 100 m depth, which represents the  
18 thermocline. This error is significantly reduced by assimilating the nadir altimeter data (black profile) compared  
19 to the free model (FR, orange profile). The assimilation of the Wide-Swath Altimeter data does not degrade this  
20 score and we even have a slight improvement between 100 m and 750 m depth. For salinity ([Figure 13B](#)), the  
21 improvement is less clear, but no degradation is observed at any depth.

22  
23 [Figure 14](#) similarly shows the average error variance both of the zonal (U) and meridional (V) velocity as a function  
24 of depth for the global ocean for each of the experiments. The assimilation of nadir altimeter data (black profiles)  
25 brings a significant reduction of this error with respect to the FR (orange profiles) between the surface and 1000  
26 m depth on both U and V. There is a clear reduction over the whole depth with the wide-swath altimeter data  
27 assimilation (red profiles) on both velocity components. Similarly, [Figure 15](#) compares the evolution of the  
28 velocity error variance as a function of time over the year 2015 at the surface and at 300 m depth. On the surface  
29 (top panels), there is a constant improvement (red curves) on both components (U and V). On the other hand, at  
30 300 m depth, there is a reduction that sets in after one month and remains constant over the year. [Table 3](#)  
31 summarizes the statistics on the horizontal velocities from [Figure 15](#). Overall, there is an error reduction of more  
32 than 30% for the surface currents and more than 50% for the currents at 300 m with Wide-Swath Altimeter data.  
33 Wide-swath altimeter (2D) data allow a much better constraint of the ocean dynamics compared to the assimilation  
34 of nadir data.

## 35 **5. Summary and conclusions**

36 The SWOT mission to be launched at the end of 2022 will demonstrate the potential of swath altimetry, which is  
37 likely to revolutionize our ability to monitor and forecast ocean dynamics from mesoscale to submesoscale. SWOT

1 will considerably improve on the capabilities of the present constellation of nadir altimeters (Benkiran et al., 2021;  
2 Tchonang et al., 2021) but its time sampling (21 days) will be a limitation. A constellation of two Wide-Swath  
3 Altimeters will provide, however, much better space/time sampling and should allow us to observe 68% of the  
4 ocean every 50 km and 5 days (CNES, 2020). Such a configuration is envisioned by ESA for the long-term  
5 evolution (post-2030) of the Copernicus Sentinel 3 topography mission to meet the requirements expressed by the  
6 Copernicus Marine Service and its applications (CMEMS, 2017). To quantify the expected performances, a series  
7 of OSSEs have been carried out in this study using a state-of-the-art high resolution ( $1/12^\circ$ ) global ocean data  
8 assimilation system.

9

10 Results suggest the high potential of such a configuration and should provide a major improvement of our  
11 capabilities to monitor and forecast the oceans. Compared to the present situation with 3 nadir altimeters flying  
12 simultaneously (Sentinel 6 and the two Sentinel 3), the SSH analysis and 7-day forecast error will be globally  
13 reduced by almost 50%. Improvements will be much larger in mid and high latitude regions and less in  
14 tropical/equatorial regions. Surface and deep velocity fields will also be greatly improved. Surface current forecast  
15 errors should be equivalent to today's surface current analysis errors or alternatively will be improved (error  
16 variance reduction) by 30% at the surface and 50% for 300 m depths.

17

18 The resolution capabilities will be drastically improved and will be closer to 100 km wavelength as opposed to  
19 today where they are above 250 km (on average). On average, on the four boxes presented (representative of  
20 different latitudes), there is a 60% improvement of the resolved structures with the two wide-swath altimeters. In  
21 terms of time scales resolved, improvements will be larger than expected for time periods around 20 days (50% of  
22 coherence, improvements of 60%).

23

24 Flying a constellation of two wide-swath altimeters thus looks to be a very promising solution for the long-term  
25 evolution of the Sentinel 3 constellation and the Copernicus Marine Service.

26

27 Follow up studies should consider the full error spectrum taking into account, in particular, correlated long  
28 wavelength errors inherent to altimeter wide swath techniques (e.g. roll errors). This will require first to better  
29 specify these errors given the instrument and platform designs and to assess the impact of techniques that will be  
30 used to reduce them. As demonstrated by a series of studies carried out for the preparation of the SWOT mission  
31 (e.g. Dibarboure and Ubelmann, 2014), techniques such as swath / swath and swath / nadir cross over minimization  
32 will allow reducing a large part of these errors. We thus plan to carry out more advanced OSSEs that take into  
33 account the full error spectrum of wide swath altimeters, the reduction of these errors through cross-calibration  
34 techniques and the assimilation of corrected data and their residual (correlated) errors in advanced data assimilation  
35 schemes.

1 **Acknowledgments:** The study was funded by ESA and was also carried out as part of a partnership agreement  
2 between Mercator Ocean International and CNES. All participants in the WiSA study are thanked for their high  
3 quality work.

4

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	<u>Nature Run (NR)</u>	<u>Free Run (FR)</u>
<u>Nemo version</u>	<u>NEMO3.6</u>	<u>NEMO3.1</u>
<u>vertical levels</u>	<u>75</u>	<u>50</u>
<u>Forcing flux</u>	<u>ERA-Interim reanalysis (3h for dynamic, 24h for flux) (Dee et al., 2011)</u>	<u>ECMWF IFS-operational analysis (3h for all variables)</u>
<u>Bulk formulae</u>	<u>IFS implemented in Aerobulk package (Brodeau et al. 2017)</u>	<u>NCAR (Large and Yeager, 2009)</u>
<u>Ocean stress computation</u>	<u>Absolute wind</u>	<u>50% of ocean velocity are taken into account (Bidlot, 2012)</u>
<u>Atmospheric pressure</u>	<u>Apply though Inverse barometer force.</u>	<u>No</u>
<u>Free surface formulation</u>	<u>Explicit barotropic and baroclinic modes solved by a split-explicit method (Shchepetkin et al., 2005)</u>	<u>Filtered free surface (Roulet and Madec, 2000).</u>
<u>Sea level</u>	<u>variable volume (Adcroft and Campin, 2004)</u>	<u>fixed ocean volumes</u>
<u>horizontal momentum advection</u>	<u>UBS scheme (Shchepetkin et al., 2008) without explicit diffusion</u>	<u>centered advection scheme with an explicit biharmonic diffusion (<math>-1.5 \cdot 10^{-9} \text{m}^3 \cdot \text{s}^{-3}</math>)</u>
<u>Vertical mixing</u>	<u>k-epsilon (Rodi, 1987)</u>	<u>TKE (Blanke and Delécluse 1993)</u>

1 Table 1: Differences in model parameterization between (NatRun Run) NR and (Assimilated model) AR. (Benkiran et al.,  
2 2021)

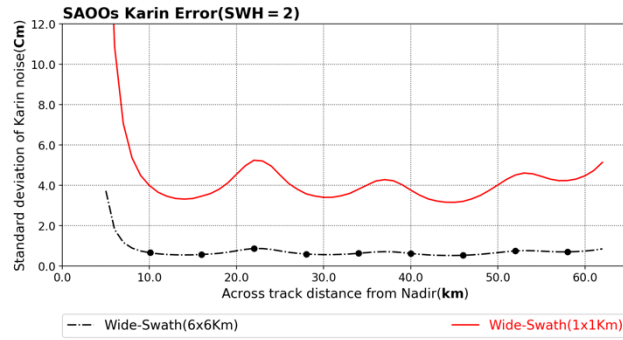
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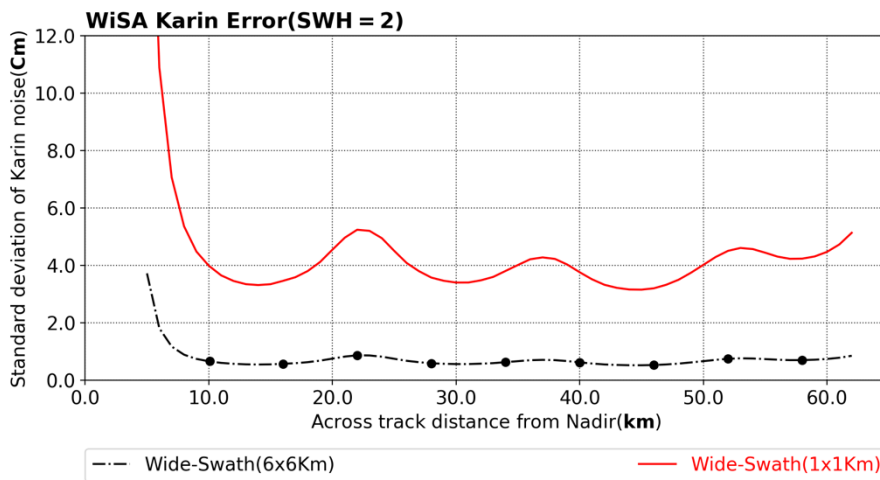
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1 **Figures**

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5 **Figure 1:** The curves displayed show the wide-swath instrumental error with SWH=2m (wave height), considering  
6 across-swath horizontal resolution of 1 km (solid red line) and 6 km (dash black line).

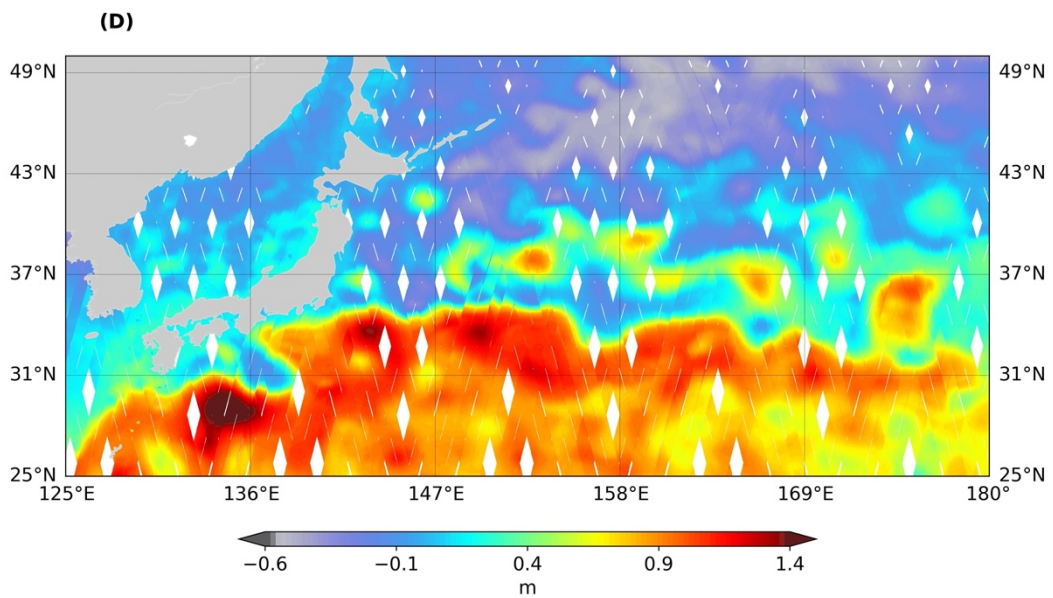
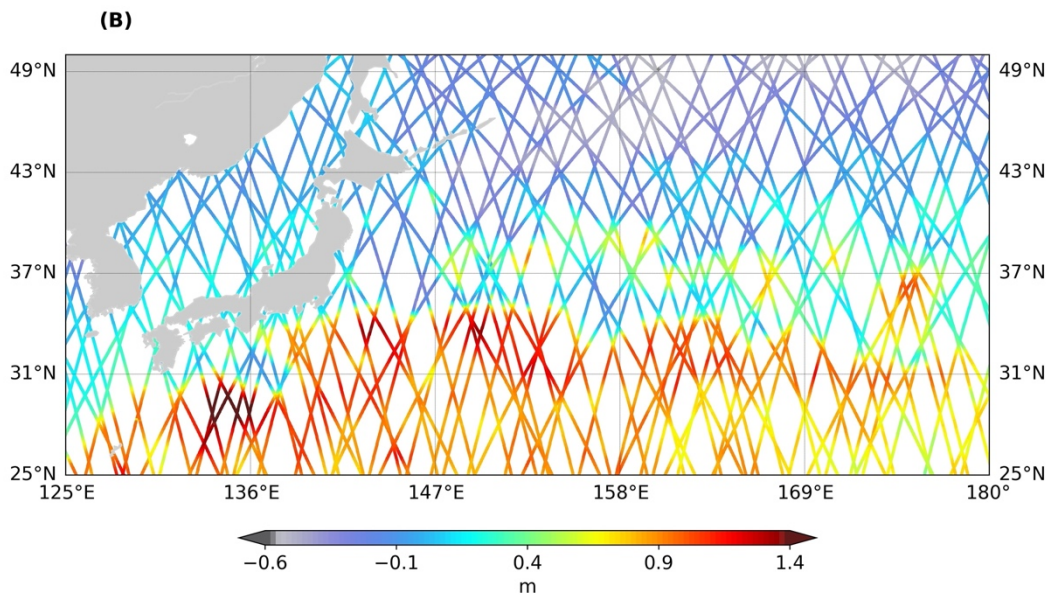
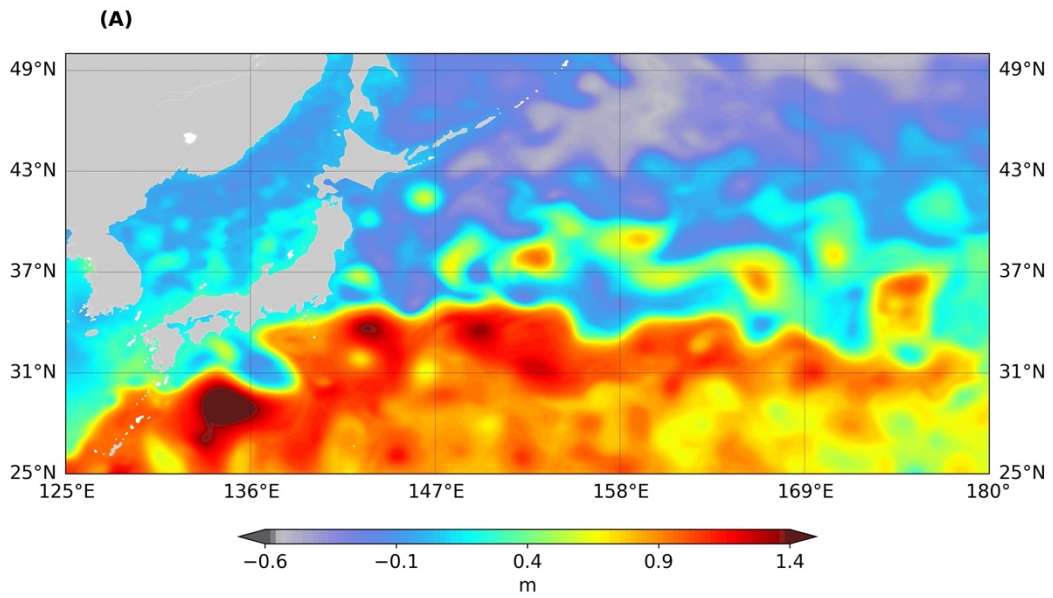
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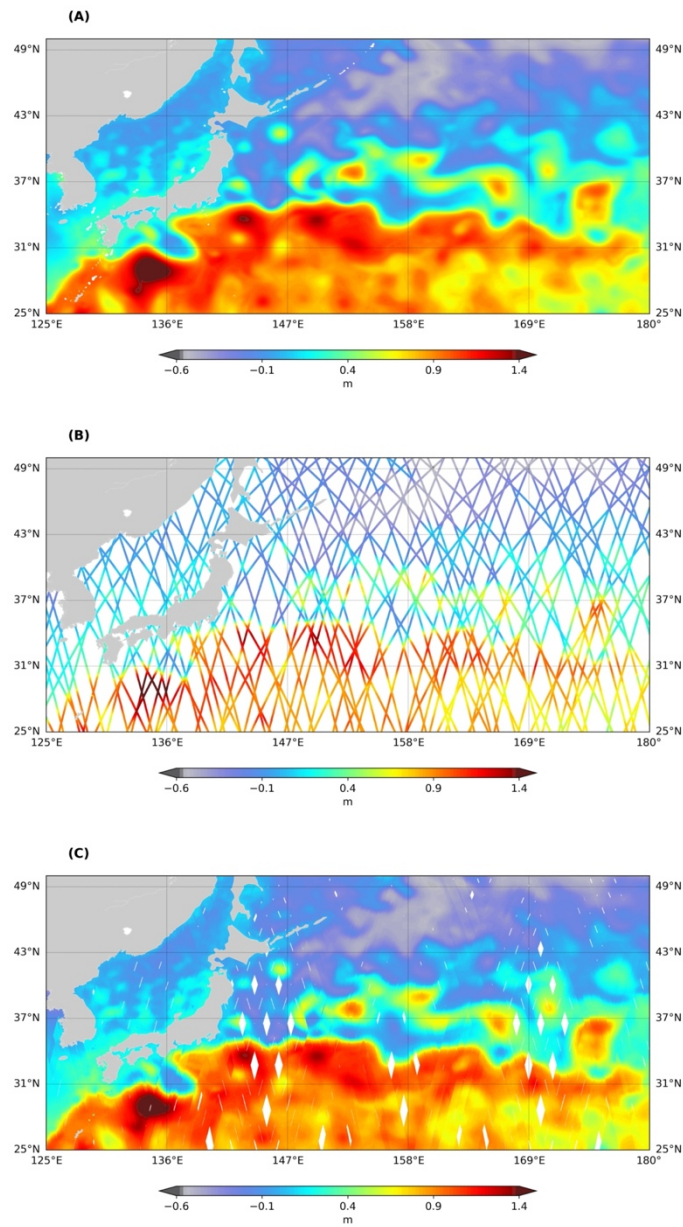
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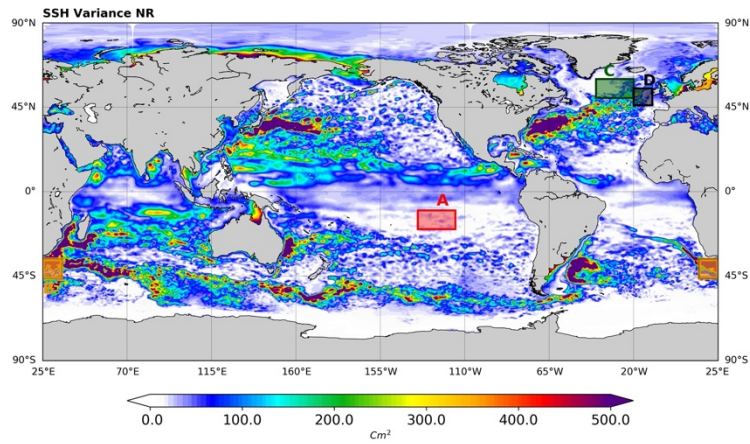


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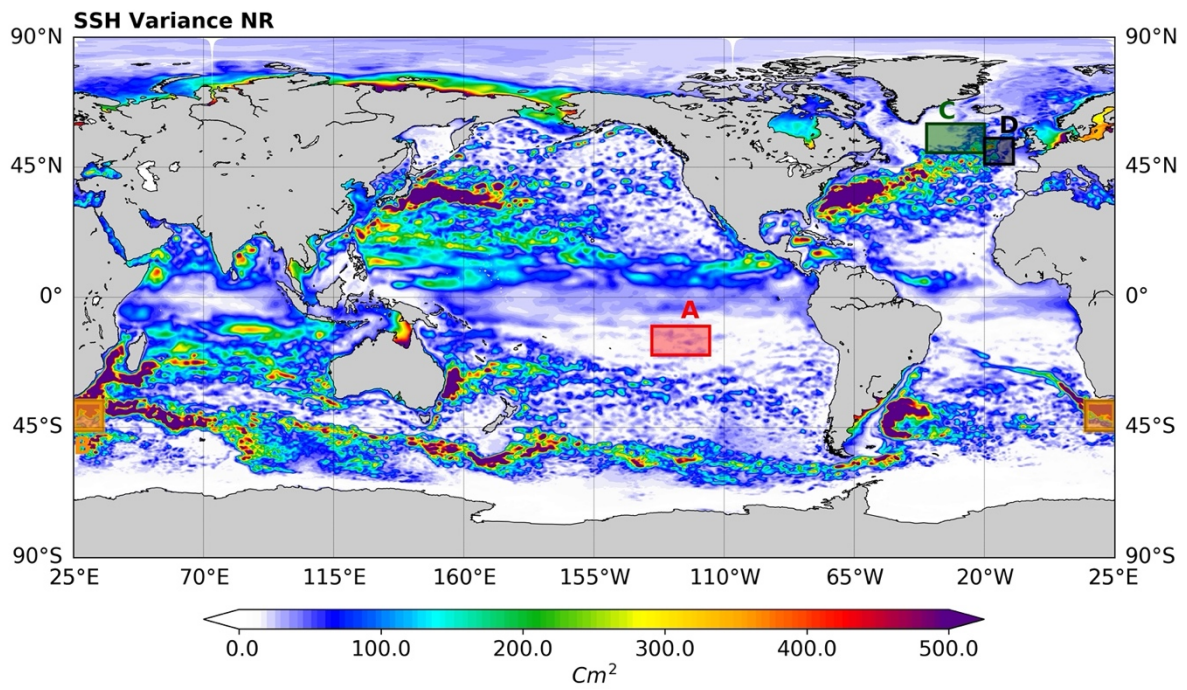
2 **Figure 2:** (A) SSH from Truth Run (NR) on 4th January 2015, (B) simulated along-track data from Jason3, nadirs of S1 and

3 S2 for seven-day assimilation cycle, and (C) simulated S1+S2 data (01/01/2015-08/01/2015).





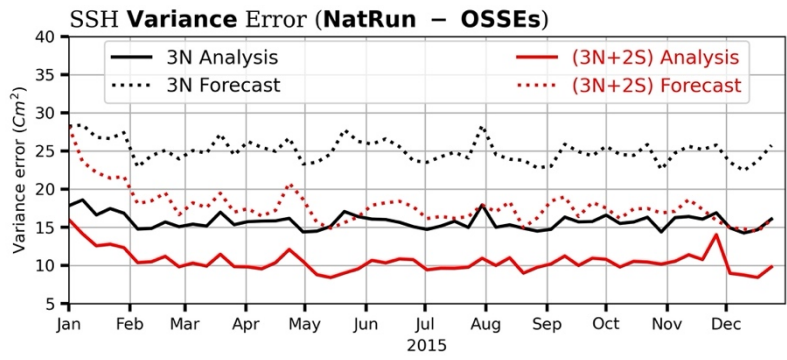
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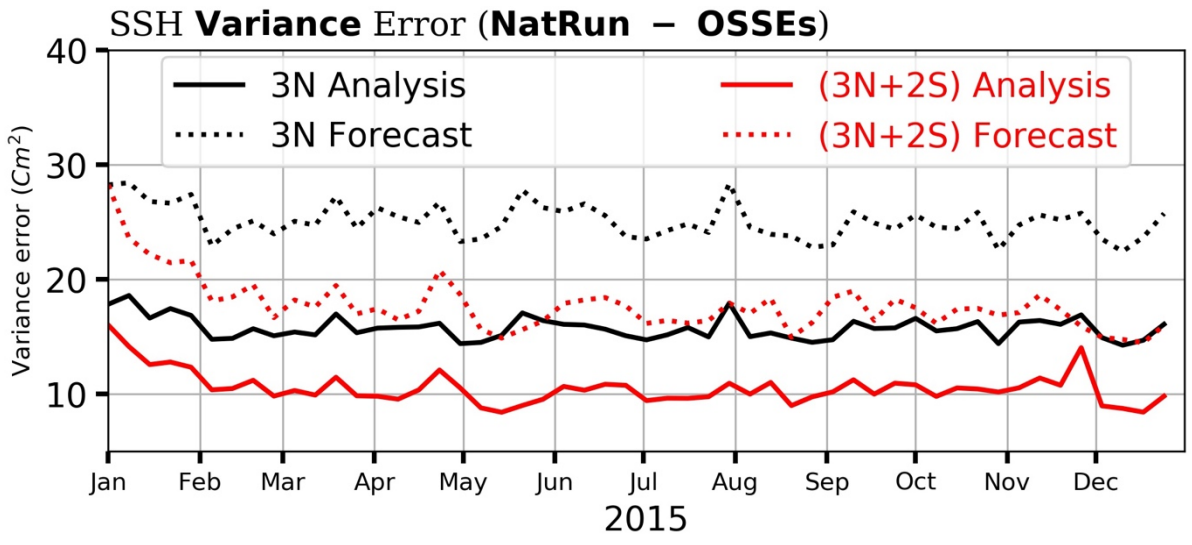
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3 **Figure 3:** SSH variance (in  $\text{cm}^2$ ) in the NR over the period from February to December 2015. The boxes denote the rectangular  
 4 sub-regions for which wavenumber spectra and coherence analyses were performed.

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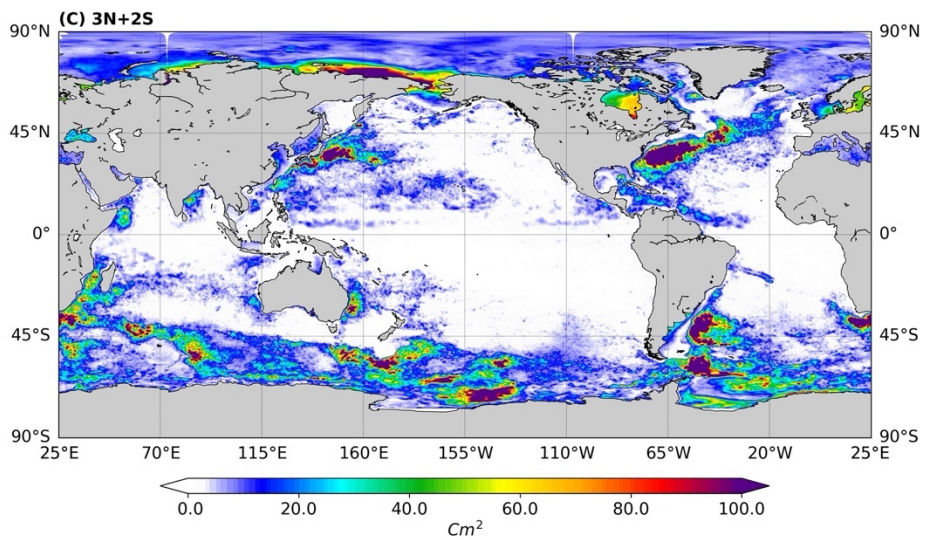
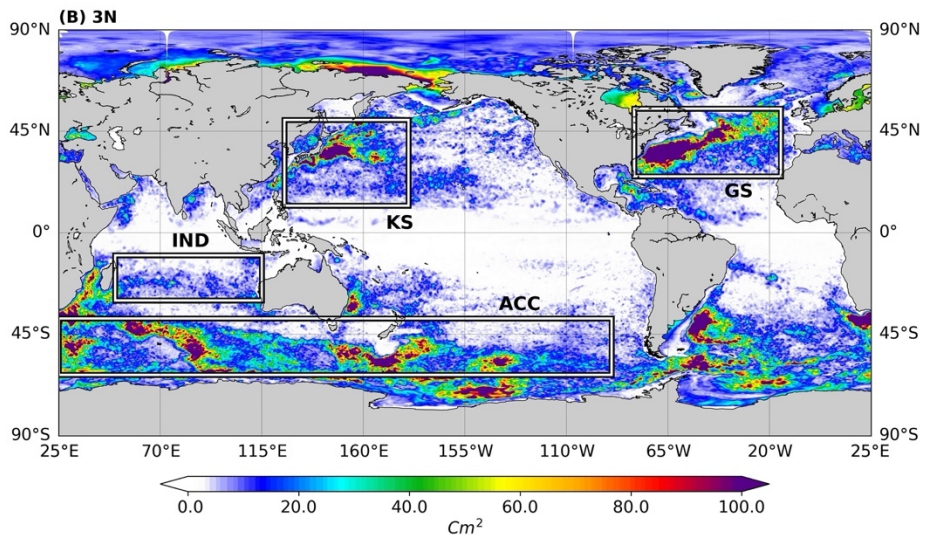
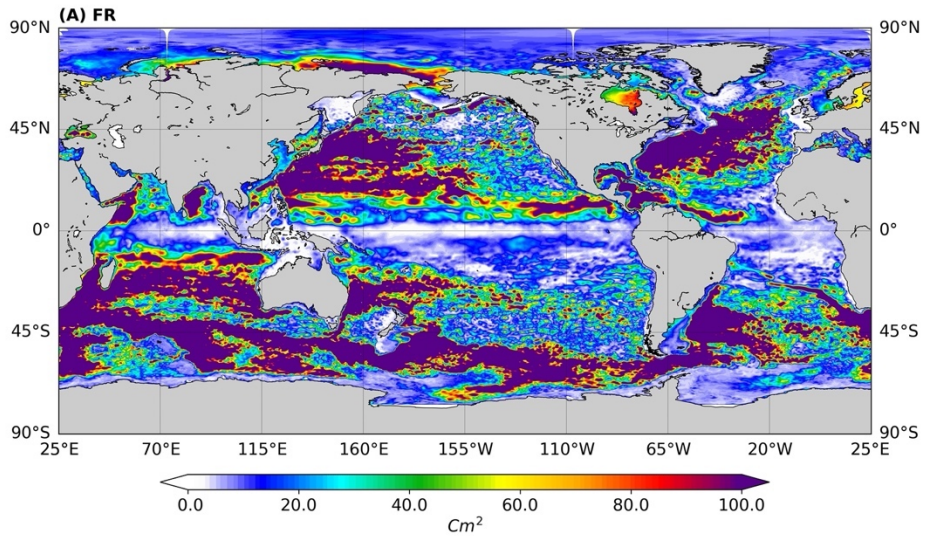
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3 **Figure 4:** The temporal evolution of the SSH error variance in global ocean analysis and forecast over 2015. Results obtained  
 4 by comparing the SSH ocean analysis (solid lines) and forecast (dash lines) with the SSH from the NR. Experiments with  
 5 assimilation of 3 nadirs (3N): black lines and with assimilation of 3 nadirs and 2 Swath altimeter (3N+2S): red lines.

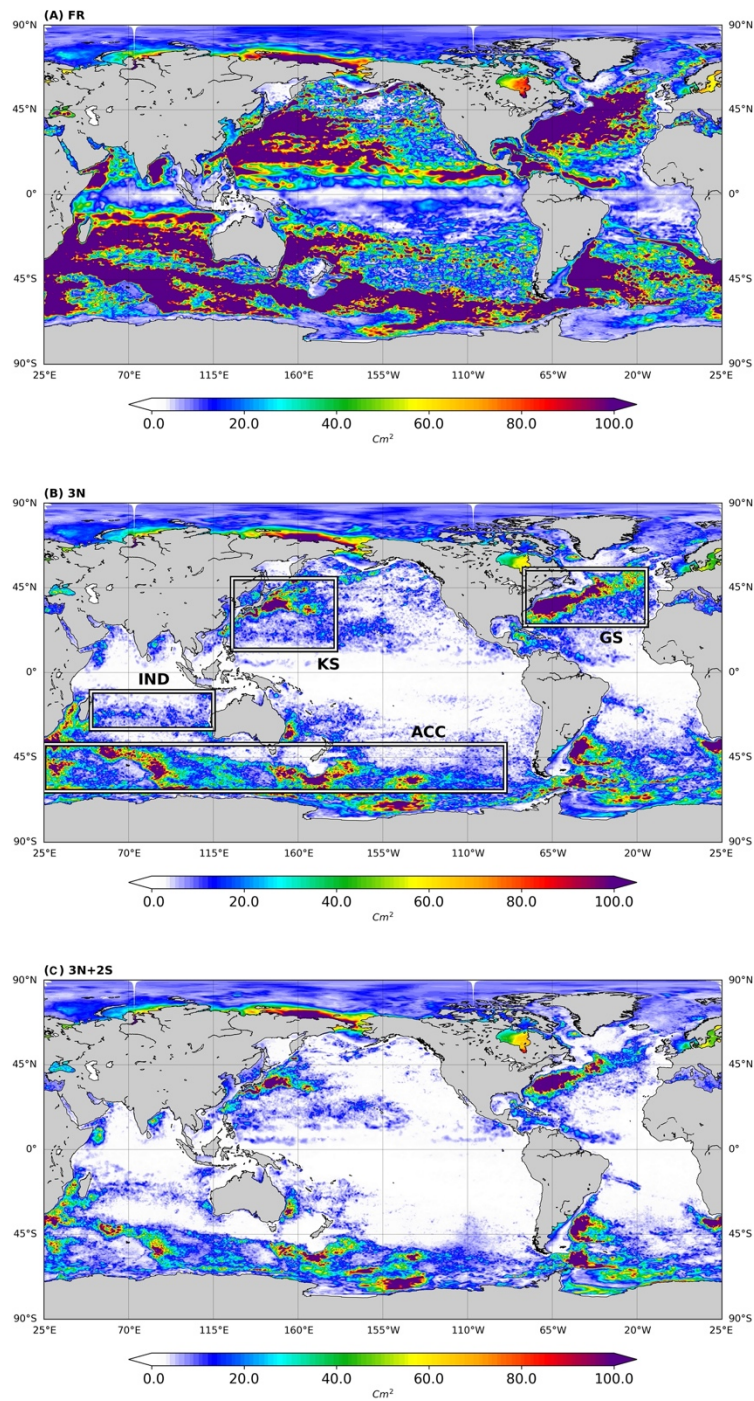
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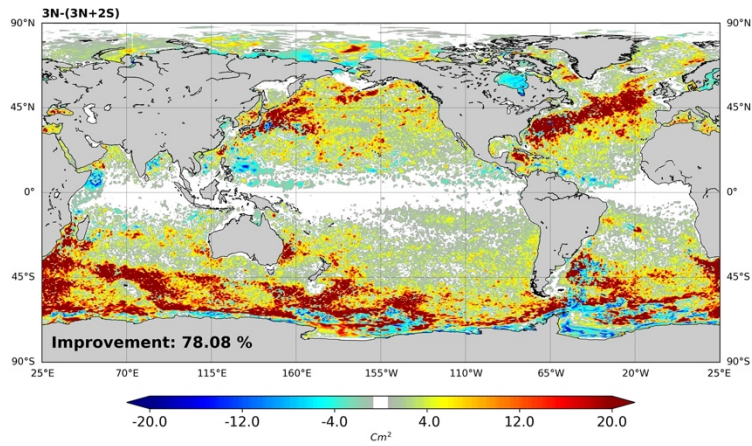




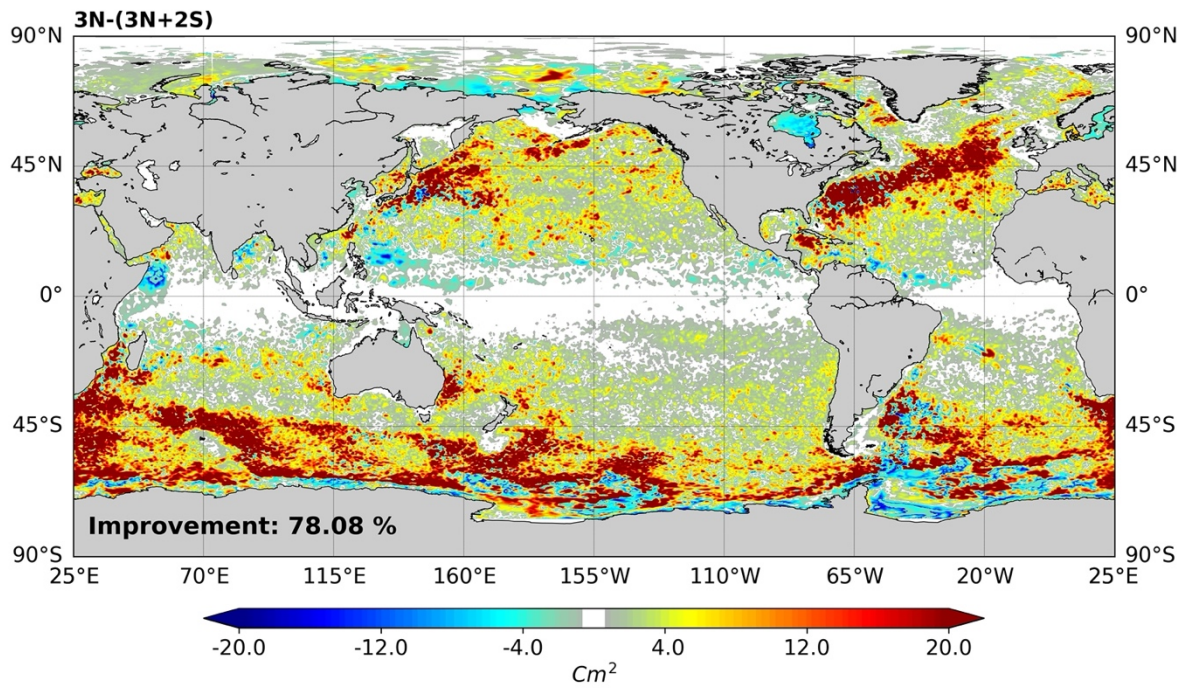


1 **Figure 5:** Global Maps of SSH analysis error (NR – Model Analysis) variance (in  $\text{cm}^2$ , 2015). (A) Free Run (FR); (B) With 3  
 2 nadirs (3N); (C): Assimilation of 3 nadir and 2 Wide-Swath (3N+2S). In panel (B), GS: Gulf Stream, ACC: the Antarctic  
 3 Circumpolar Current, KS: Kuroshio Current and IND: South Indian Ocean.

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3 **Figure 6:** Difference between analysis error variance of assimilation with 3 nadirs (3N) and assimilation of 3 nadirs and 2  
 4 Wide-Swath Altimeter (3N+2S).

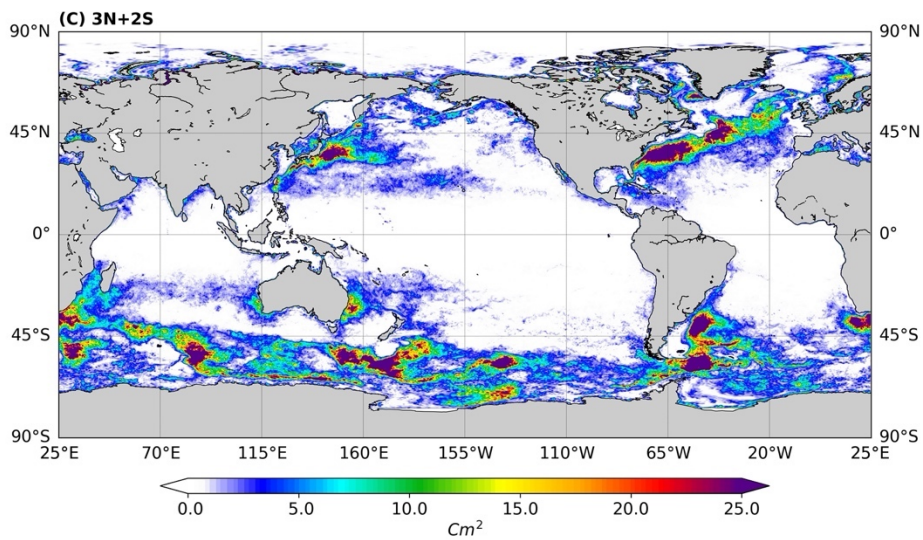
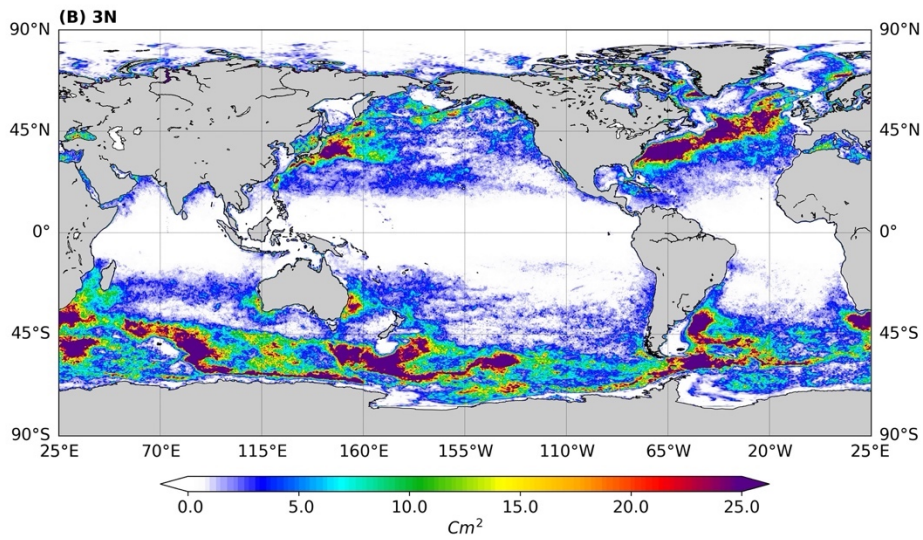
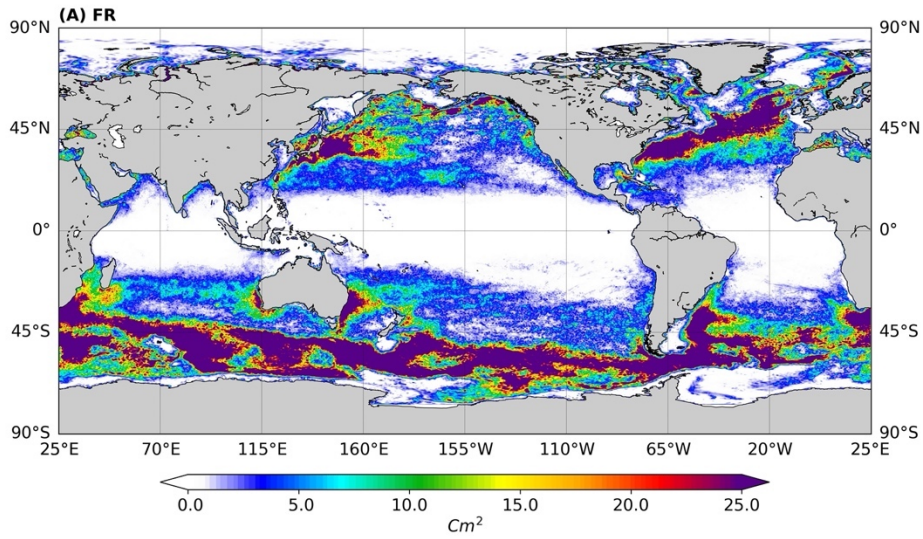
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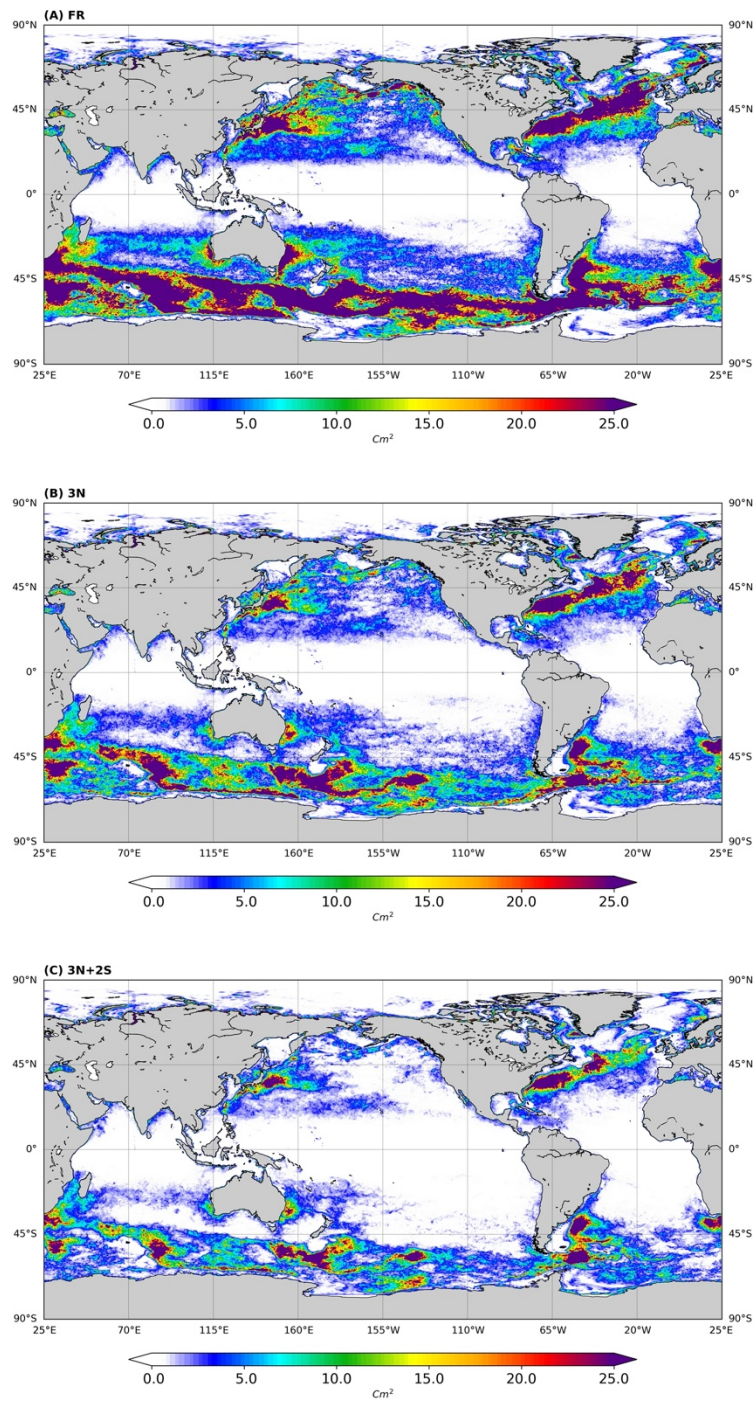
	VarError (cm <sup>2</sup> )		VAR* (%)	
	Analysis	Forecast	Analysis	Forecast
OSSE1 (3N)	15.6	24.8	21.2	<u>33.7</u>
OSSE3 (3N+2S)	10.1	17.0	<u>13.7</u>	<u>23.1</u>
Gain	54%	46%	<u>54%</u>	<u>45%</u>

2 **Table 2:** SSH ocean analysis and forecast error statistics during the year 2015. Columns 1 and 2 represent the analysis and  
3 forecast variance of error computed from the difference between the OSSE and the NR (VarError, cm<sup>2</sup>). Columns 3 and 4 show  
4 the OSSEs error variance relative the FR error variance (Var\*, %).

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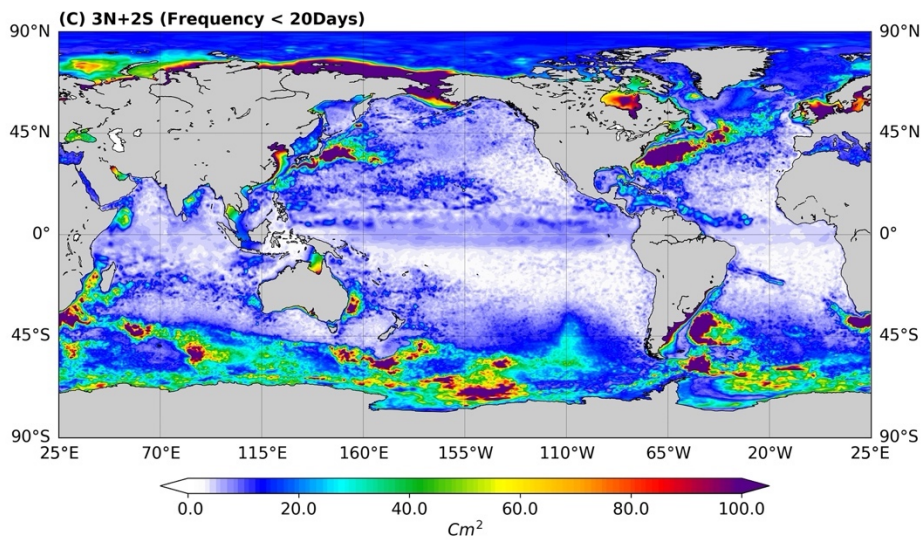
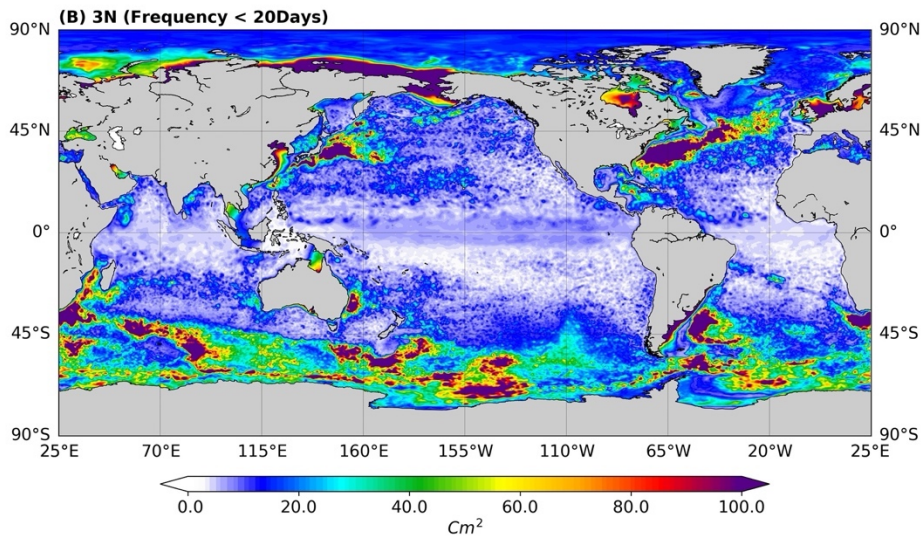
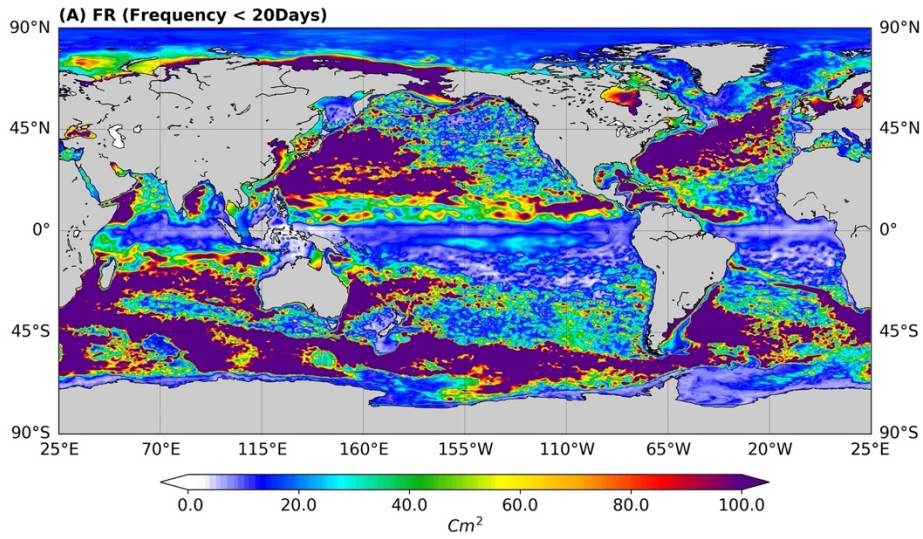


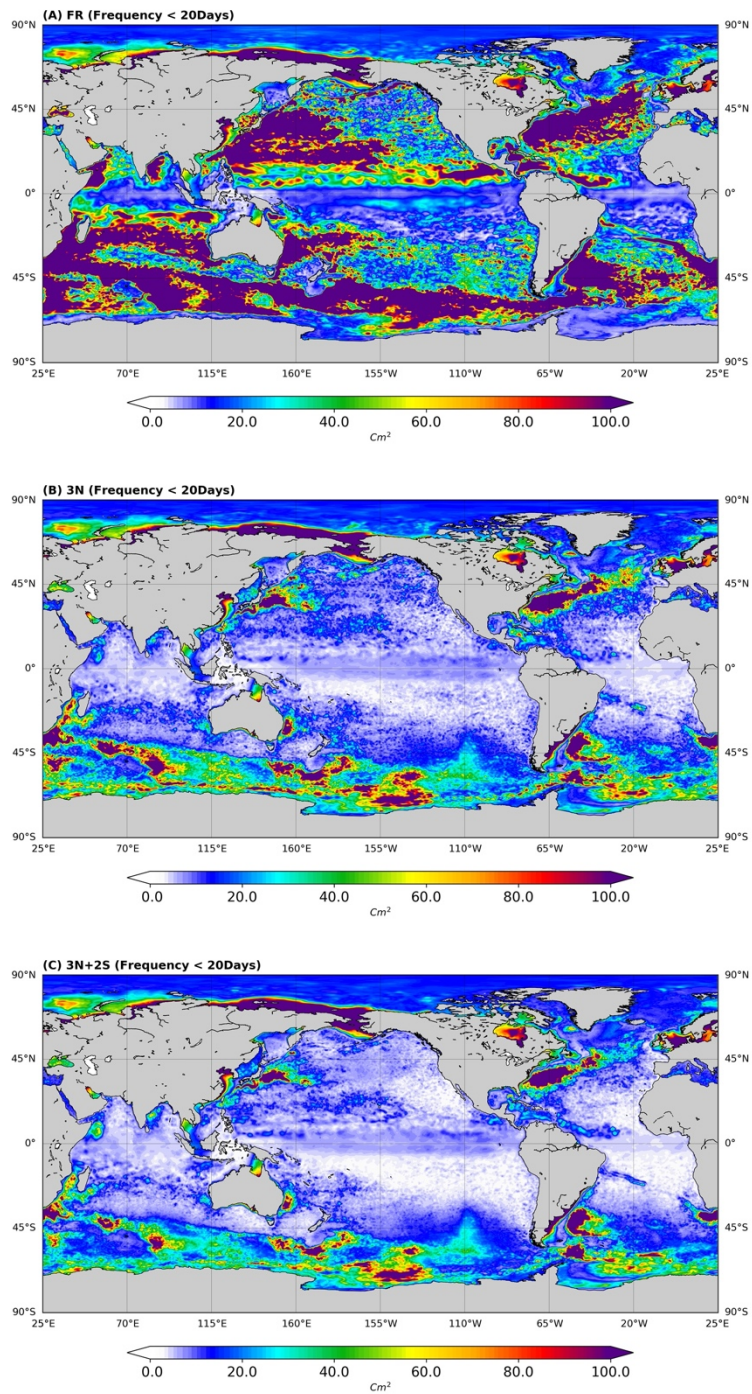




1 **Figure 7:** Global maps of SSH analysis error (NR – Model Analysis; Wavelengths < 200km) variance (in  $\text{cm}^2$ , 2015). (A) Free  
 2 Run (FR); (B) With 3 nadirs (3N); (C) with 3 Nadir and two Wide-Swath (3N+2S).



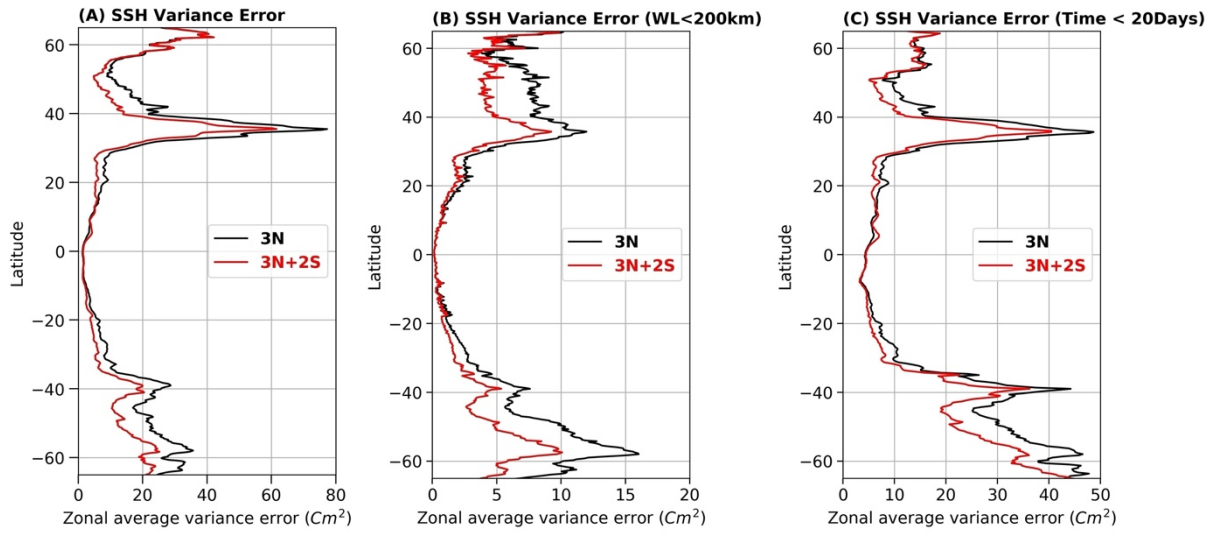




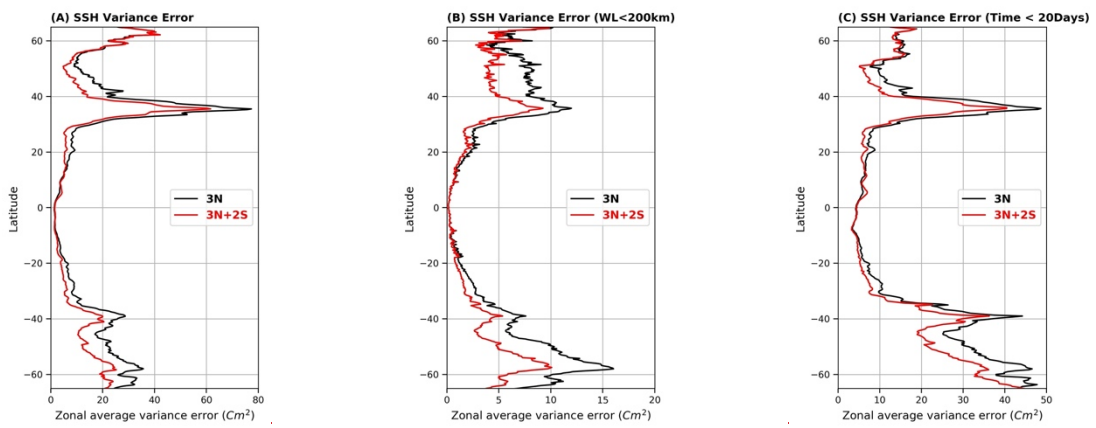
1 **Figure 8:** Global maps of SSH analysis error (NR – Model Analysis; Time scales < 20Days) variance (in  $cm^2$ , 2015). (A) Free  
 2 Run (FR); (B) With 3 nadirs (3N); (C) with 3 nadir and two Wide-Swath (3N+2S).

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2 **Figure 9:** The zonal SSH averaged error variance ~~of SSH~~: (A) for full scales, (B) for scales less than 200 km and (C) for time  
 3 scales less than 20 days; assimilation of 3N (black lines) and assimilation of 3N+2S (red lines). Units are  $cm^2$

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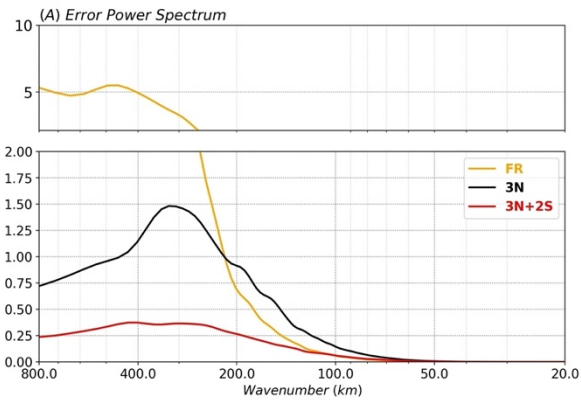
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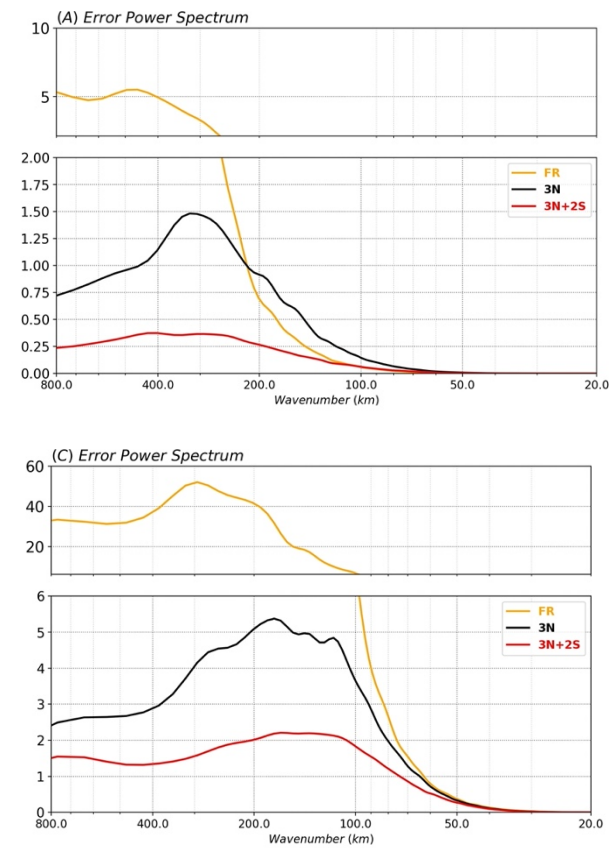
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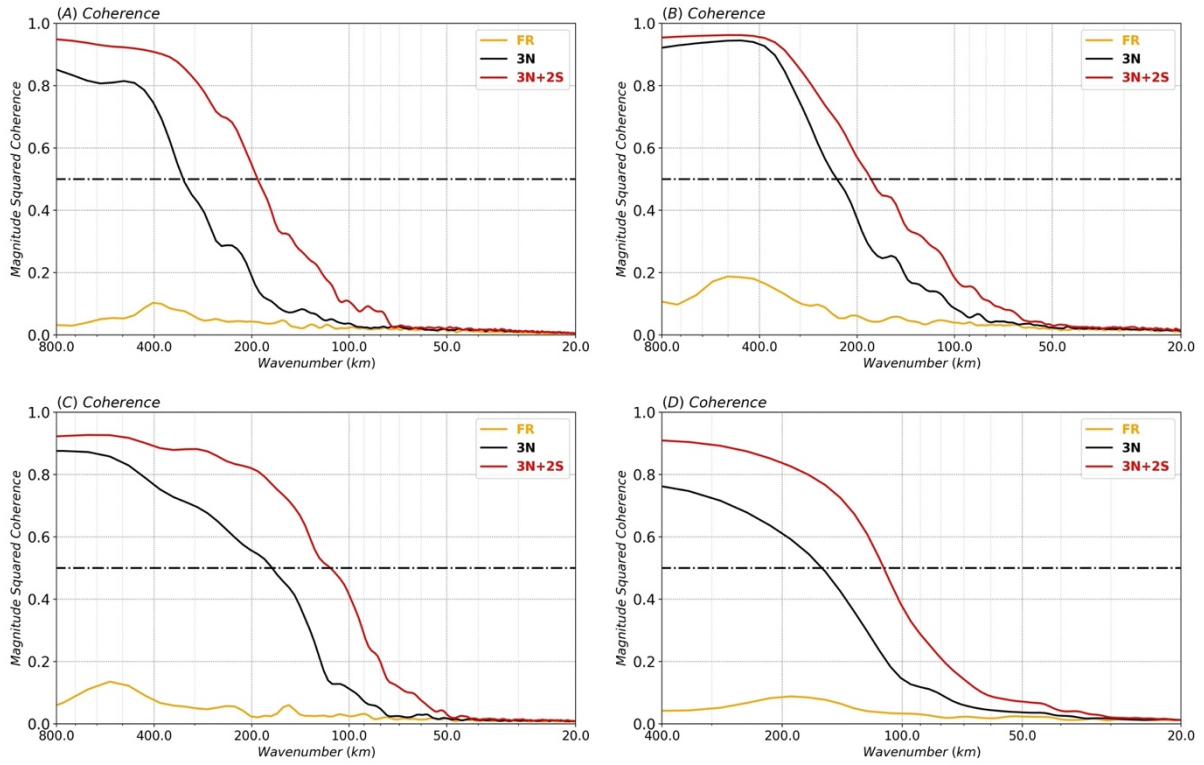
1 **Figure 10:** Power spectra SSH Error with respect to the NR; the spectra are shown in a variance preserving form ( $\text{cm}^2$ ), (A)  
2 low-latitude region (red box in [Figure 3](#)), (B) Agulhas current (orange box in [Figure 3](#)), (C) North Atlantic (high-latitude,  
3 green box in [Figure 3](#)) and (D) North Atlantic Drift current (black box in [Figure 3](#)).

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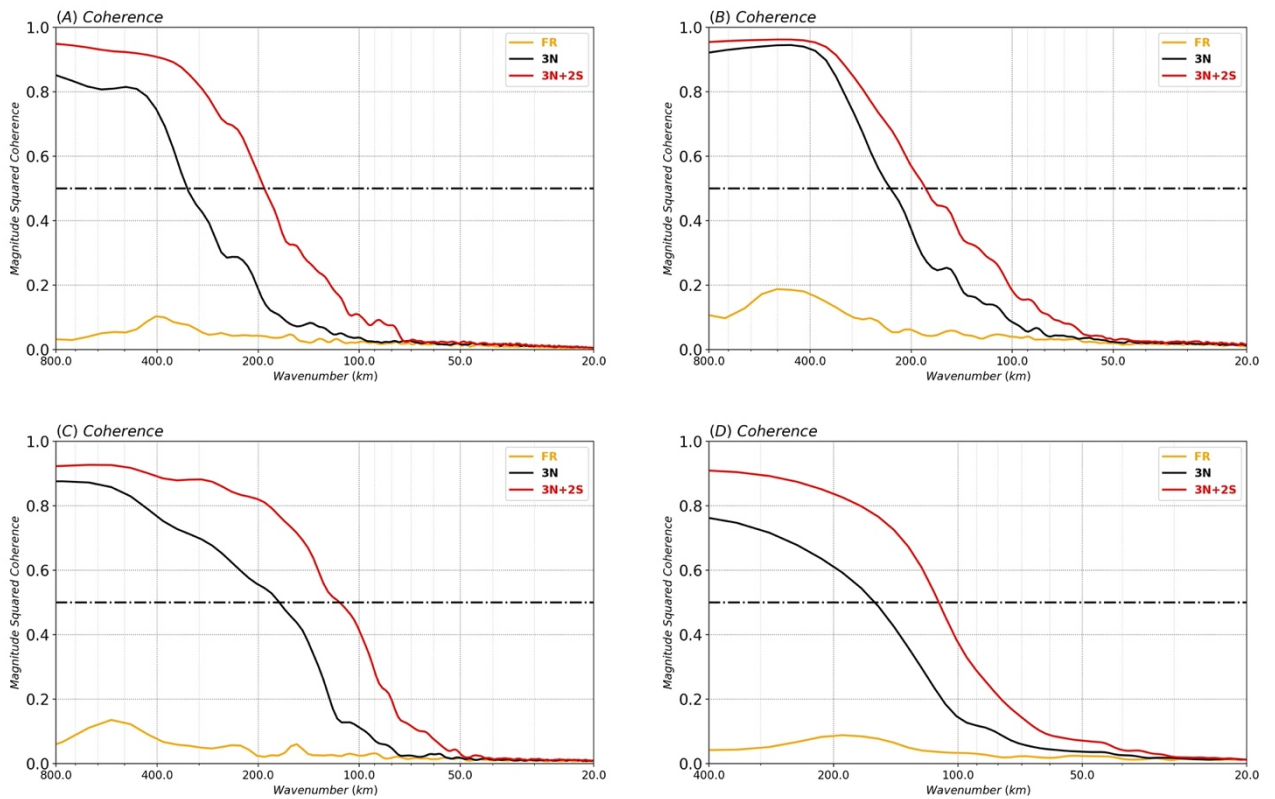
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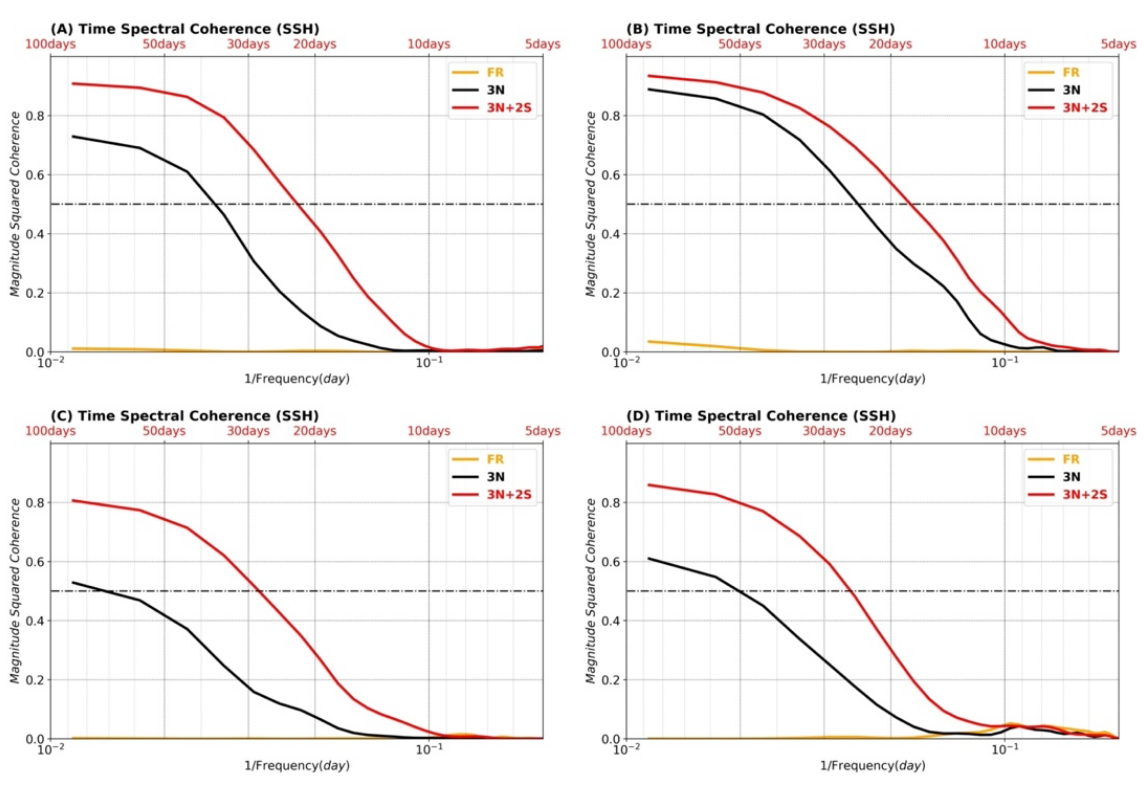


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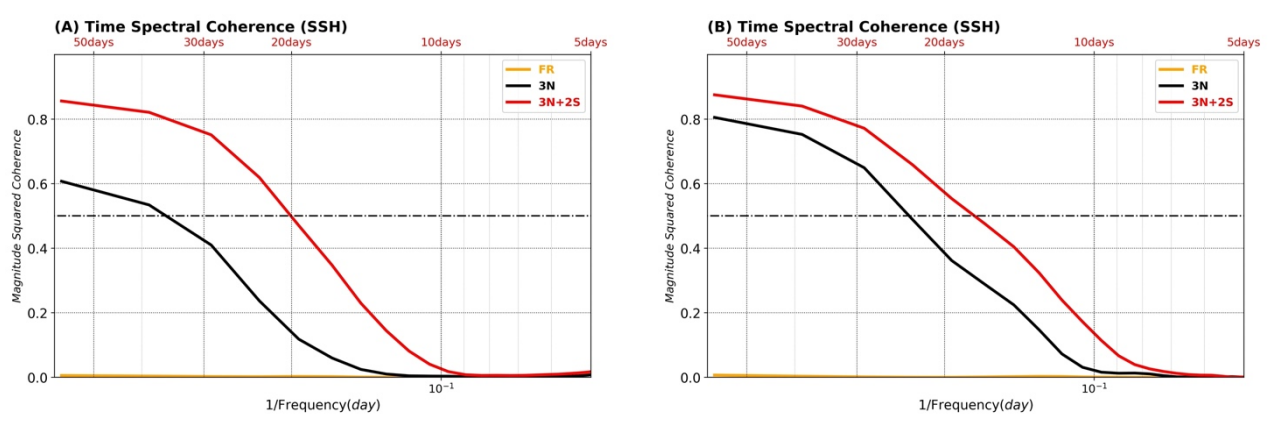


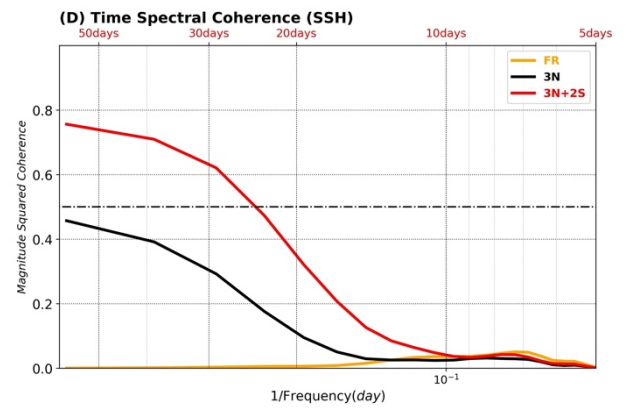
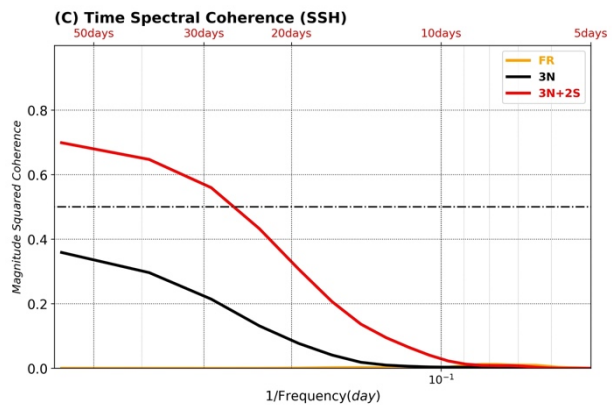
2 **Figure 11:** Wavenumber spectral coherence with respect to the NR, (A) low-latitude region (red box in [Figure 3](#)), (B) Agulhas  
 3 current (orange box in [Figure 3](#)), (C) North Atlantic (high-latitude, green box in [Figure 3](#)) and (D) North Atlantic Drift current  
 4 (black box in [Figure 3](#)).

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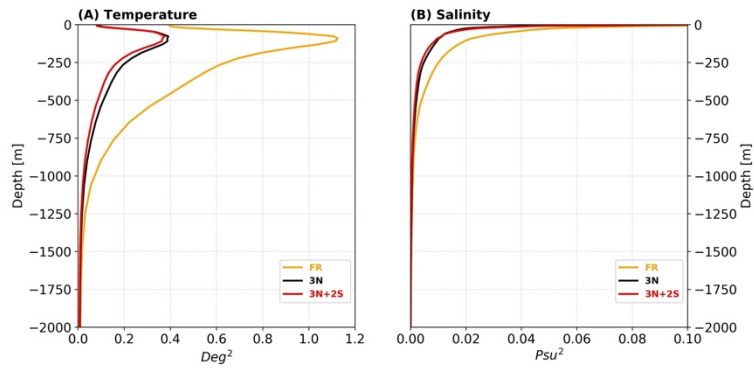




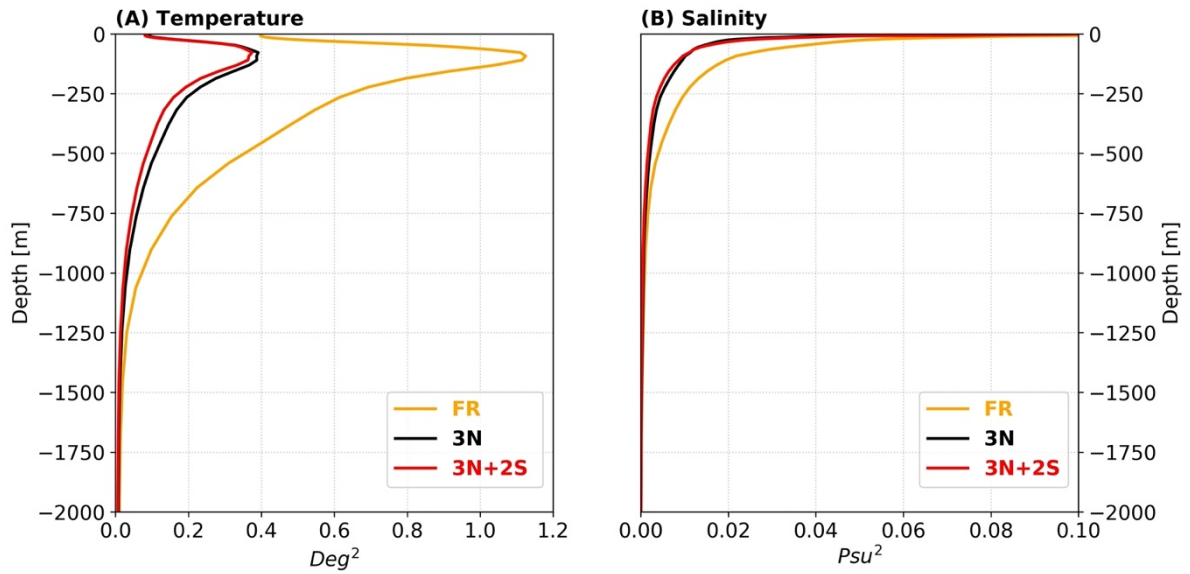
1 **Figure 12:** Time spectral coherence with respect to the NR (Wavelengths < 500km), (A) low-latitude region (red box), (B)  
 2 Agulhas current (orange box), (C) North Atlantic (high-latitude, green box) and (D) North Atlantic Drift current (black box).

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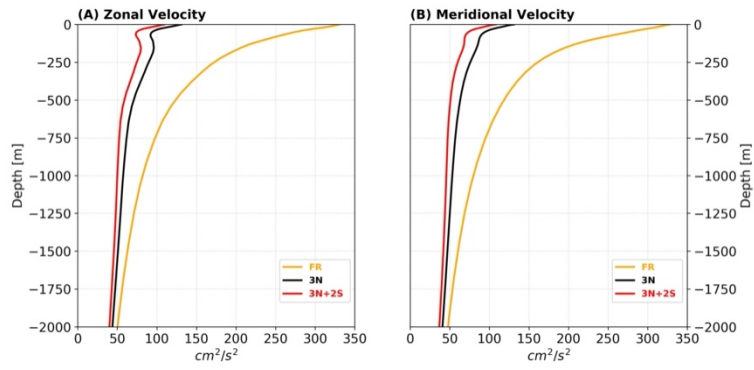
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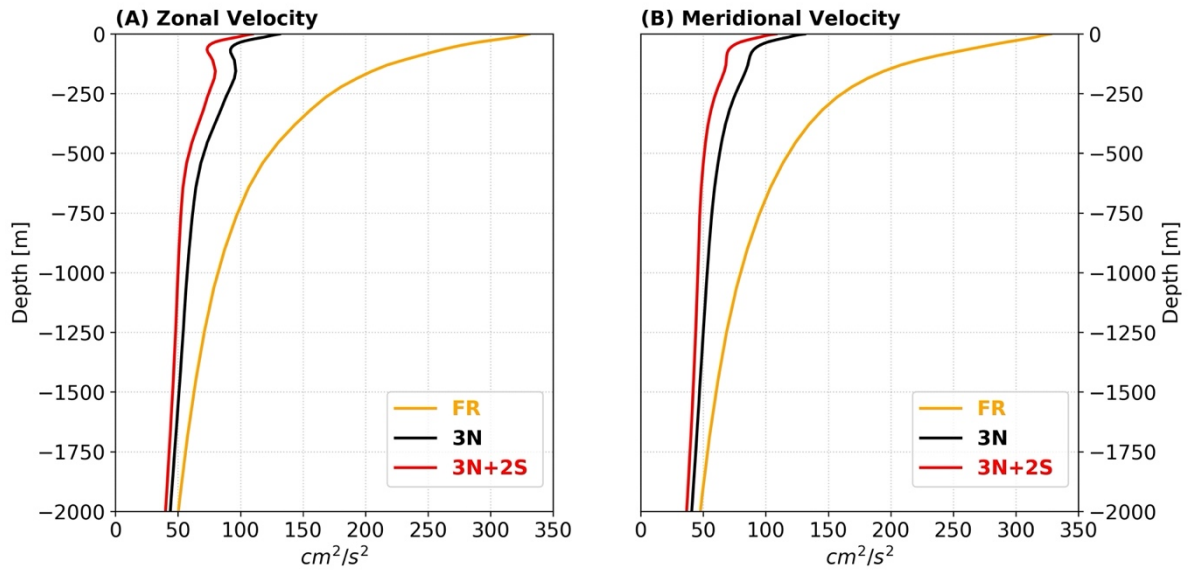
5

6 **Figure 13:** Global averaged error variance: (A) Temperature (in Deg<sup>2</sup>) and (B) Salinity (Psu<sup>2</sup>) over the period of March to  
7 December 2015. The results were obtained by comparing the zonal and meridional velocities of OSSEs with the NR; FR orange  
8 lines, 3N black lines and 3N+2S red lines.

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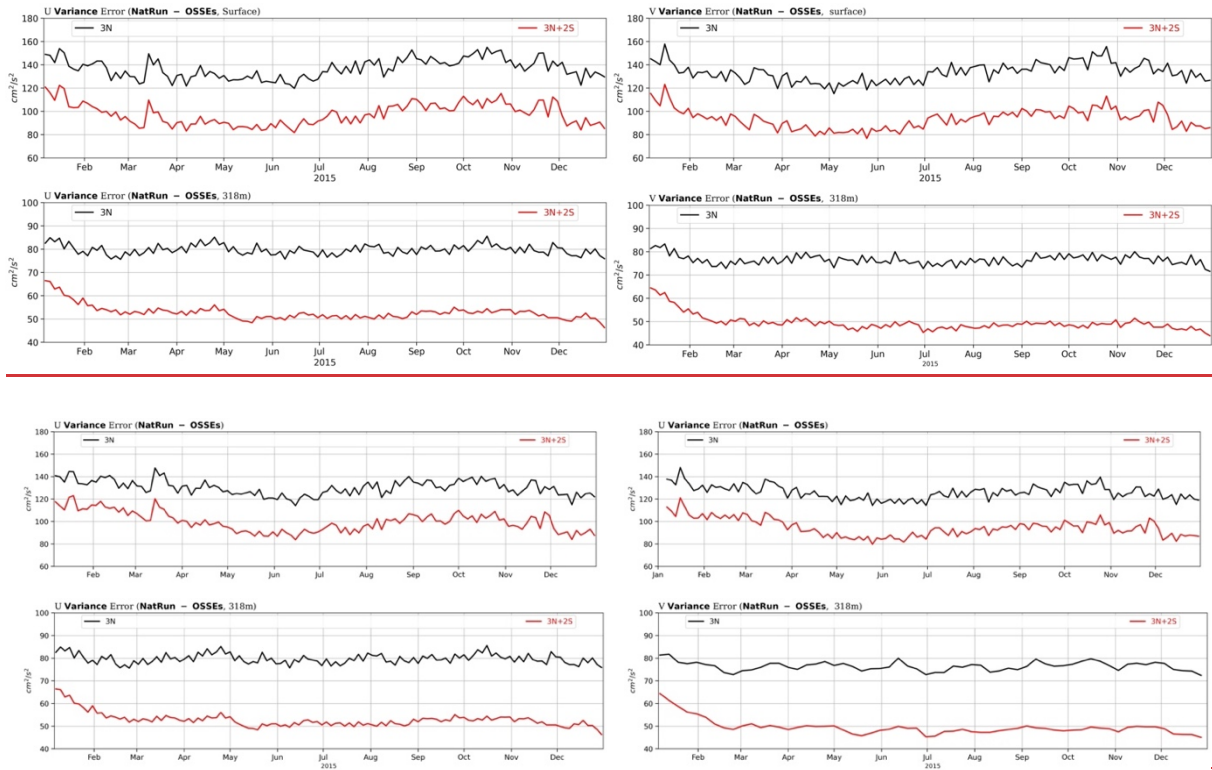
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4 **Figure 14:** Global averaged error variance (in  $\text{cm}^2/\text{s}^2$ ): (A) zonal velocity and (B) meridional velocity over the period of March  
 5 to December 2015. The results were obtained by comparing the zonal and meridional velocities of OSSEs with the NR; FR  
 6 (orange lines), OSSE1 (3N; black lines) and OSSE3 (3N+2S; red lines).

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2 **Figure 15:** Temporal evolution of zonal and meridional velocity error variance ( $\text{cm}^2/\text{s}^2$ ) for 7-day ocean analysis over the  
 3 period from 1 January to December 20, 2015. The results were obtained by comparing both components of the velocity (U, V)  
 4 to that of the NR. Black curves with assimilation of nadir altimeters (3N) and red curves with assimilation of nadirs and wide-  
 5 swaths (3N+2S). Upper panels for surface velocities and lower panels for velocities at 300 m depth. The statistics are  
 6 summarised in [Table 3](#).

7

	VarError ( $\text{cm}^2/\text{s}^2$ )			
	U <sub>Surface</sub>	V <sub>Surface</sub>	U <sub>300m</sub>	V <sub>300m</sub>
OSSE1 (3N)	130.2	125.7	79.7	76.5
OSSE3(3N+2S)	99.4	94.1	52.7	49.5
Gain	31%	33%	50%	53%

8 **Table 32:** Statistics for velocities at surface and 300 m depth