Modeling of discharges from Baltic Sea shipping

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Abstract. This paper describes the new developments of the Ship Traffic Emission Assessment Model (STEAM) which 20 enable modelling of pollutant discharges to water from ships. These include nutrients from black/grey water discharges as well as from food waste. Further, also the modelling of contaminants in ballast, black, grey and scrubber water, bilge discharges and stern tube oil leaks are described, as well as releases of contaminants from antifouling paints. Each of the discharges are regulated by different sections of IMO MARPOL convention and emission patterns of different pollution releases vary significantly. The discharge patterns and total amounts for year 2012 in the Baltic Sea area are reported and

25 open loop SOx scrubbing effluent was found to be the second largest pollutant stream by volume. The scrubber discharges have increased significantly in recent years and their environmental impacts need to be investigated in detail.

1. Introduction

Ship operations produce waste streams related to propulsion and engine operations, as well as crew and passenger activities

30 (Fig 1). The waste streams related to propulsion and engine operations include bilge water from the machinery spaces, stern tube oil from lubrication of the propeller shaft, scrubber wash water from Exhaust Gas Cleaning Systems (EGCS) for reduction of emissions of sulphur oxides into the atmosphere, ballast water from maintaining ship stability, biocides used in antifouling paints to prevent hull growth, cooling water and tank cleaning residuals. Waste streams related to humans on board include food waste, black water (sewage), and water from galleys and showers (grey water), as well as other solid

- 35 waste. Operational emissions and discharges from ships are regulated through international conventions, primarily the IMO MARPOL with its six annexes, the Ballast Water Management Convention(IMO, 2004) and the Antifouling Systems Convention(IMO, 2001). These conventions regulate one "subsystem" at the time, such as bilge water production or scrubber wash water, but to assess the total impact from shipping on the marine environment it is essential to address the entire load of different stressors originating from different subsystem waste streams along with an assessment of load of
- 40 species reaching the marine environment through atmospheric deposition of the shipping air pollutants. In addition to the regulation of different subsystems, some sea areas are acknowledged as *Special Areas* in which, for recognized technical reasons in relation to its oceanographical and ecological condition and to their ship traffic, special rules are applied to prevent sea and air pollution. In general, the scientifically published data on the waste streams from ships is scarce, both in terms of production rates and constituents; most available data come from different types of reports (e.g. from classification
- 45 societies(ABS, 2018; Furstenberg et al., 2009), national authorities(IMO, 2008a) and intergovernmental organisations(HELCOM, 2014b, 2018a)), which calls for a thorough discussion on data quality. In this paper available data on bilge water, stern tube oil, scrubber water, ballast water, antifouling paints, food waste, black water, and grey water are collected and used to assess the input of different stressors from ships to the marine environment.

1.1. Discharge inventories

- 50 In order to proceed from assessment of discharges from individual ship to assessment of the total discharge from shipping in a geographical area, it is necessary to combine the discharge factors to the activity patterns. This has previously been done for emissions to the atmosphere and underwater noise using Automatic Identification System (AIS) with vessel technical details of the Baltic Sea fleet using the Ship Traffic Emission Assessment Model (STEAM3; Jalkanen et al., 2009, 2012, 2018; Johansson et al., 2013, 2017) and using alternative methods for various discharges in other sea areas(Ivče et al., 2020;
- 55 Seebens et al., 2013). The use of observed vessel traffic through AIS allows a realistic description of vessel movements and facilitates studies of vessel specific emissions which are modelled according to individual vessel features and operation. Previously, atmospheric emissions and underwater noise have been included in STEAM, but the current work extends the model capabilities to include discharges to water.

The international conventions that regulate the waste streams into water are also important for ship emission modelling 60 work, which also needs to follow the requirements of the conventions in order to reflect the real-world shipping as closely as possible. However, a strict application of international conventions in modelling work assumes that everyone follows the conventions, which may not always be the case (Beecken et al., 2014, 2015; Burgard and Bria, 2016; HELCOM, 2018b; Kattner et al., 2015). In contrast to air emissions, discharges do not necessarily occur at the same locations where they are generated because their release to the sea are governed by several rules and regulations. Further, some discharges are 65 completely random and occur whenever various holding tanks onboard are full, which makes modelling work challenging.

Figure 1: Waste streams from ships and the constituents in terms of stressors on the marine environment. These releases are regulated through several international conventions, like the IMO MARPOL, Antifouling (AFS) and Ballast Water Management 70 **Conventions (BWMC). Releases of excess energy (noise, heat, light) to the sea are not currently regulated.**

Figure 1 contains an overview of various pollutant streams from ships as well as the regulatory references which are used to mitigate the environmental impacts of shipping. Various annexes of IMO MARPOL convention deal with air and water pollution, but separate conventions exist for antifouling paints and ballast water. There are also unregulated environmental 75 pressures. These include e.g. various energy releases (noise, light, heat). Many of the existing regulations have been significantly tightened during the last decade when the shipping contribution to pollution has been quantified in detail. In the following sections, an overview of the contribution of various discharge streams is presented and relevant regulatory texts are introduced.

1.2. Bilge water

80 Bilge water accumulates in the lowest part of the ship. It has been referred to as "the mixture of water, oily fluids, lubricants and grease, cleaning fluids and other wastes that accumulate in the lowest part of a vessel from a variety of sources including engines (and other parts of the propulsion system), piping, and other mechanical and operational sources found throughout the machinery spaces of a vessel", (Albert and Danesi, 2011). The compounds in bilge water that are of primary concern are diesel fuel, glycol-based coolants, and engine-, transmission-, hydraulic oils. However, trace amounts of almost everything

85 used onboard ships can be found in bilge water (Stamper and Montgomery, 2008). On board treatment of bilge water is focused on the oil content, where the maximum allowed concentration to discharge according to MARPOL Annex I, is equal to 15ppm. The release of bilge water to the sea is generally allowed everywhere if the IMO criterion is met, but some exceptions exist, like the Antarctic and coastal areas of Finland where any oily release to the sea is forbidden.

90 **1.3. Stern tube oil**

Another source of oil pollution from ships is the stern tube oil. The propeller shaft connects the main engine and the propeller through the stern tube which goes through the ship hull. Stern tube contains bearings, sealing and lubrication system. Although there are water-lubricated propeller shafts on the market, the most commonly used (~90% of the market,

- 95 Sengottuvel et al., 2017) lubrication is still oil-based and usually contain large number of additives (Habereder, Moore and Lang, 2009) and seal-improving agents like teflon and bentonite. Modern large propellers can weigh over 100 tonnes and push the propeller shaft downwards, leading to imperfect sealing and lubricant leakage, especially if propellers or shafts experience any damage from e.g. metal fatigue, tangled fishing nets or ice. In December 2013, Environmentally Acceptable Lubricants (EALs) became mandatory for large ships sailing near the American coastline, but compelling regulation of this 100 kind is not currently applied to ships sailing the Baltic Sea. The main component of EALs is a biodegradable base, which is
	- often vegetable oil, synthetic ester or polyalkylene glycol (US EPA, 2011a).

1.4. Scrubber wash water

Oil residues may also be present in scrubber wash water. Scrubbers are a type of EGCS used as an alternative to low sulphur 105 fuel to reduce ships' emissions of sulphur oxides (SO_X) to the atmosphere, according to MARPOL Annex VI. Emissions of (SO_X) , nitrogen oxides (NO_X) , volatile organic compounds (VOC) and particulate matter (PM) into the atmosphere from shipping are substantial on local, regional and global scale, and are associated with large societal costs in terms of affected human health as well as environmental impact (Barregard et al., 2019; Brandt et al., 2013; Corbett et al., 2007; Endres et al., 2018; Hassellöv et al., 2013; Jonson et al., 2015; Liu et al., 2016; Sofiev et al., 2018). Since the late 1990's cost-benefit 110 analyses of SO_X emissions from shipping, has motivated establishment of SO_X Emission Control Areas and in January 2020 new stricter global limits came to effect ((IMO, 2016)). The regulations limit the maximum allowed fuel sulphur content (0.1% in SECA, 0.5% elsewhere). As an alternative to meet the stricter regulations, ships can install EGCS (often referred to as wet SOx scrubbers) that cleans the exhausts with a fine spray of seawater that is discharged back into the sea (open loop

scrubber) or a spray of recirculated freshwater with added base (closed loop scrubber). Also wet hybrid scrubber systems

- 115 exists, which can alternate between open loop and closed loop mode. There are also some experimental setups of dry scrubbers, which use a granulated substrate to adsorb sulphur oxides. Wet scrubber installations are efficient in respect to the removal of SO_X from the exhausts, but also to some extent wash out other substances, like NO_X , PM, PAH:s and metals (Turner et al., 2017; Winnes et al., 2020; Ytreberg et al., 2019)). The long term risks for the marine environment following large scale use of wet scrubbers are today not well understood (Koski et al., 2017), yet there are an increasing number of
- 120 reports arguing that scrubbers are moving an environmental impact from the atmosphere to the marine environment.

1.5. Ballast water

Ballast water onboard ships is used to ensure vessel stability, a proper immersion of the propeller and optimal vessel trim. Very large quantities of ballast water can be transferred between different marine regions by dry and liquid cargo ships, 125 which take in ballast water upon cargo discharge and empty their ballast tanks when cargo is loaded to the ship. Ballast water can also be exchanged during vessel transit to reduce the risk of introducing alien species with the vessel ballast from one area to another. Since shipping is present in all sea areas non-indigenous species (NIS) are transferred between ports regardless of differences in environmental conditions. Spreading of non-indigenous species is ranked as one of the worst threats to the marine environment by the IMO, yet it took 13 years to get the Ballast Water Management Convention (IMO, 130 2004) in place. The BWMC entered into force in September 2017 and requires ships to use approved ballast water treatment

systems that neutralizes the organisms in the ballast water tanks, ensuring that viable harmful NIS are not transferred between ports with the ballast water. The ballast water treatment systems use either physical measures such as ultrasound or UV-light, or addition of chemicals to disinfect the water. The disinfection may also cause formation of unintentionally produced by-products such as bromate(Werschkun et al., 2012).

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1.6. Antifouling paints

Ballast water and vessel hull are two main vectors for introducing NIS by ships. Submerged structures offer substrate for various sessile organisms such as algae, hydroids, barnacles and mussels that attach and grow on the surfaces, thereby increasing the roughness of the hull surface. Such increased roughness in turn increases drag and significantly affects the

- 140 fuel consumption (Schultz, 2007) and may also affect the manoeuvring capability of a ship. To reduce this fuel penalty, secure manoeuvring capability and prevent spreading of NIS, the hull is coated with antifouling coatings that contain and release toxic compounds (biocides). Modern coatings use several techniques of self-polishing polymers, contact leaching systems and controlled depletion polymers. The antifouling substance that currently dominates the market is copper oxide (Blossom, 2018). However, other substances are also used and in some coatings they are used as so-called booster biocides
- 145 together with copper oxide (Yebra et al., 2004). Until 2007 it was allowed to use the toxic organometallic compound Tri-

butyl-tin (TBT) on ships, but following the adoption of the Antifouling Systems Convention, it was banned in 2008 (Champ, 2003). The first alarm reports regarding large scale negative effects from TBT came from the seafood industry as French oyster farmers lost their harvests (Alzieu, 1991; Alzieu et al., 1986). TBT is especially harmful for molluscs, inducing pseudo-hermaphroditism and reproduction failure, and crustaceans where TBT accumulates in fatty tissues.

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1.7. Food waste, black- and grey water

Discharge of food waste may cause an increased biological or chemical oxygen demand as the organic matter is degraded in the marine environment. It may also contribute to eutrophication through its nutrient content. According to IMO MARPOL Annex V(IMO, 2013), food waste can be released to the sea if the ship is outside 12 nautical mile distance from shore, or if

- 155 the waste is comminuted and passes through 25 mm mesh and is released outside 3 nautical miles from shore. In designated Special Areas, such as the Baltic Sea, all food waste must be comminuted or ground before discharge, also outside 12 nautical mile range from the shore. Similarly, untreated sewage, or black water, produced on ships is only allowed to be discharged outside 12 nautical miles from the nearest land. Treated (disinfected) black water can be discharged outside 3 nautical miles, when the ship is en route at a speed of 4 knots or greater. Inefficient wastewater treatment may lead to 160 bacterial and viral contamination of fisheries and public health risk.(Copeland, 2008)
- In the Baltic Sea, currently the only special designated area for sewage, all discharge of sewage from passenger ships will require on board treatment and disinfection prior to discharge. The regulations apply to new built passenger ships as from 2019 and will apply to all passenger ships as from 2021. For direct passages between St. Petersburg (Russia) and the North Sea there is an extension until 1 June 2023. Grey water consists of drainage from dishwater, showers, laundry, baths and
- 165 washbasins and is not yet regulated through the IMO. However, sometimes black and grey water waste streams are mixed in the same tank and then the regulations for black water apply. Release of garbage, which contributes to plastic pollution of the seas, is prohibited, but not included in the current work, yet.

1.8. Aim

170 The aims of this study are to **a)** expand the existing STEAM ship emission model to include a description of environmental stressors of shipping discharges to the marine environment. This makes it possible to **b)** construct inventories for ship discharges using the Baltic Sea as a case study area (Figure 2).

175 **Figure 2 The Baltic Sea and location of its sub basins. The blue line shows the border of territorial waters (12 nautical miles and the purple lines indicate the Exclusive Economic Zones. Black thick lines show the boundaries between different areas used to define antifouling classes in Table 3. Green colour indicate existing (dark green) and proposed (light green) protected areas. Image from HELCOM, Baltic Sea protected areas map, 2008.**

- 180 Currently, scattered studies exist for various discharges(Ivče et al., 2020; Seebens et al., 2013), but data which could be used to assess the environmental impact of shipping are scarce. The methodology introduced in this paper includes description of several key pollutant streams from ships. The long-term goal of this work is to **c)** facilitate consecutive studies concerning air and water pollution, contaminants and nutrients, from ships to understand the contribution of ships in relation to other environmental stressors. Some of the recent work is already available for air and noise emissions (Jalkanen et al., 2018;
- 185 Karasalo et al., 2017; Karl et al., 2019a; Raudsepp et al., 2019; Wilewska-bien et al., 2019), and regular annual reporting of discharges has been started together with the Baltic Sea countries. These efforts aim to **d)** provide scientific background for future regulation or significant change in the existing conventions concerning shipping in the Baltic Sea region.

2. Material and methods

- 190 Total discharges of water pollutants from ships in the Baltic Sea area for the year 2012 were modelled using the Ship Traffic Emission Assessment Model (STEAM3; (Jalkanen et al., 2009, 2012, 2018; Johansson et al., 2013, 2017)). In the cases of bilge, stern tube oils, scrubber-, ballast-, black- and grey water, the discharge or leakage volume was predicted by STEAM. In order to obtain the mass of a specific pollutant from these waste streams, a multiplication with pollutant water concentration is required. These can be obtained from laboratory analyses of water samples from ships, the values used in
- 195 this work are given in Supplementary material. Modelling the volumetric release of water from various sources facilitate the inclusion of many pollutants when used in conjunction with pollutant concentration data from water analysis. A literature review (see the Supplementary material) was conducted to characterize the concentrations of chemicals and nutrients in the different ship waste streams. The literature review included both scientific reports and reports from various other sources, e.g. EPA reports and IMO documents. When a specific compound was reported as "not detected" in the reports, 50% of the
- 200 limit of detection (LOD) or 50% the limit of quantification (LOQ) was used as the default value as recommended by the US EPA (US EPA, 2000). In the case where neither LOD nor LOQ were reported, a value of zero was used for not detected compound.

2.1. STEAM v3.2

- 205 STEAM3 software predicts instantaneous vessel power consumption based on water resistance calculations and reflects the variability of ship operation based on information reported in AIS. With a detailed vessel description, it is possible to produce estimates of instantaneous power, fuel consumption and emissions. In contrast to emissions of atmospheric pollutants, the water discharges may not directly depend on vessel operation speed, but on other features like number of people carried onboard, wet surface area of ships' hull and cargo capacity. For this purpose, IHS (IHS_Global, 2016) 210 SeaWeb vessel database was used to extract significant data to support discharge modelling of water pollutants. Vessel activity recorded by the AIS network of the Baltic sea countries was used in this work. The data consisted of position reports from year 2012 (275 million records) which describe vessel location every 5-6 minutes. The data were obtained from the
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It should be noted that the outputs of STEAM v3.2 describe water volumes for ballast, bilge, grey, black and scrubber wash water and further multiplication with pollutant concentrations in these discharge waters is needed to generate inventories which, for example, describe the copper content of scrubber wash water released to the sea. The variability of pollutant concentrations in the discharge waters may be significant, which will inevitably be reflected in the final data. Inclusion of 220 hundreds of chemical species in various discharges was not feasible in STEAM, which necessitated the modelling of water

Baltic Marine Environment Protection Committee (HELCOM) AIS data archive. The changes listed in this paper bring

STEAM to v3.2 which facilitates discharge modelling using the methodology described in this paper.

volumes instead of reporting directly pollutant mass flux. However, we acknowledge this and produce water volume data to facilitate further use in water research. Calculation examples and a review of existing pollutant concentration in various discharge streams are provided in Supplementary material.

225 **2.2. Bilge water**

Bilge water is a chemical mixture, consisting of oil residues, cleaning agents and condensed water from machinery spaces. Estimating the volume of bilge water produced is challenging as it is not solely related to vessel activity but may depend on random occurrences of crew activities and machinery incidents, which cannot be predicted reliably. It should be noted that also stationary vessel produces bilge water in our approach, because leaks from pipes and condensation of water on metal

230 surfaces may also occur regardless of vessel movement. The IMO MARPOL Annex I regulates when and where bilge water can be released to the sea and it is challenging to describe this in emission models. In this work, each vessel has a simulated bilge tank and bilge water accumulated in this tank with the daily rate defined by (1a).

$$
b_{pas} = 0.1313p + 373.4\tag{1a}
$$

$$
b_{other} = 0.0247p + 154.4\tag{1b}
$$

235 The daily bilge water production [litres*day⁻¹] is calculated as a function of p, the installed main engine power. The equation for *bpas* is used for passenger ships (RoPax, passenger ships and cruisers) and *bother* is used for all other vessel categories. According to DNV (Furstenberg et al., 2009), 75% of the produced bilge water is discharged overboard and the remaining share (25%) is left in port reception facilities. In the current study, this is taken into consideration by multiplying the estimated bilge water production of each ship with 0.75.

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This bilge tank continuously releases bilge water to the sea in areas where this is allowed by IMO MARPOL Annex I or national legislation (Finnish Marine Environment Protection Act 29.12.2009/1672). In reality, bilge tank is emptied more or less at random intervals, but realistic description of a random release is difficult to include, and we have chosen to implement a continuous bilge water release in this work. Based on a report by DNV (Furstenberg et al., 2009) and a study by 245 Magnusson et al. (2018) for 30 vessels in total, several attempts were made to correlate daily bilge water production of different kinds of vessels to build year, vessel type, size and installed main engine power. The highest score was obtained with a simple linear model as a function of main engine power defined separately for two vessel categories since passenger vessels produce clearly more bilge water than other vessel types.

- 250 Currently, STEAM produces bilge water volumes as output and does not indicate the amount of oil or other contaminants released to the sea, because there are significant variations in pollutant concentrations of bilge water samples. In order to generate an inventory for oily releases, water volumes need to be multiplied with measured bilge water pollutant concentrations. Bilge water can contain, besides oil residues, metals and other organic compounds. A literature search found three scientific articles (McLaughlin et al., 2014; Penny and Suominen-Yeh, 2008; Tiselius and Magnusson, 2017) and a US
- 255 EPA report (Albert and Danesi, 2011) where bilge water onboard ships has been sampled and analysed for oil residues, metals and other organic compounds.

2.3. Stern tube oil

Oil leaking from ships' stern tubes was modelled as volumes of oil released per day from different ship types (Table 1). This 260 value was different for cargo and passenger vessels, but scant experimental evidence of actual oil release through stern tube sealing exists. Continuous oil release is assumed as a function of time, regardless of vessel activity. Although, the current analyses, based on Etkin (2010), are more sophisticated than the leakage of over 80 million litres estimated by Canada (IMO, 2008b), more experimental work is needed to determine accurate stern tube oil emission levels for ships. The approach in this study was limited because no consideration was taken to the number of stern tubes in each vessel, age of the vessel, type 265 of sealing or the lubricant used, but instead the values from Etkin (2010) were used. A global STEAM run for 2019 leads to stern tube oil leakage of 76.6 million litres (in 2019) from the IMO registered fleet, which is similar to 80 million tonnes

reported earlier(IMO, 2008b).

Ship type	Discharge rate		
	(litres/day)		
RoPax Ship	6		
Container/Ro-Ro Cargo Ship	4		
Passenger Cruise Ship	2		
Passenger Ferry	2		
Cargo Ship	6		
Refrigerated Cargo Ship	4		
Container Ship	5		
Chemical Tanker	4		
Crude Oil Tanker	4		
Oil Products Tanker	3		

Table 1: Leakage rates of stern tube oil for different ship types. The data was gathered from Etkin (2010).

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2.4. Scrubbers

In 2019, there were over 640 ships worldwide operating, and over 2000 vessels equipped, with open, closed or hybrid EGCS. With the STEAM3 model, EGCS wash water volumes for each individual ship equipped with a scrubber can be calculated. The type of equipment installed (open, closed, hybrid system) and installation date can be used as input to the model. The 275 total load of e.g. metals emitted is dependent on the type of scrubber system used, the engine power and wash water volume. The wash water analysis data for both open loop and closed loop systems is limited. Characterization data (concentrations of contaminants and nutrients) of scrubber wash water during open- and closed loop operations was obtained from six reports (Hufnagl et al., 2005; IMO, 2018b, 2019; Kjølholt et al., 2012; US EPA, 2011b) and one scientific article (Turner et al., 2017).

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2.4.1. Scrubber operation mode

For the hybrid scrubber case, it is not known if the ship operates on open or closed mode unless the vessel travels in an area where wash water release from open loop scrubbing is not allowed (inland waters or ports in countries like Belgium, France and Germany). However, since the water consumption will increase dramatically in low alkaline waters, we assume that the 285 open-loop operation will be insignificant in the Gulf of Bothnia. In the Baltic Proper we assume the hybrids scrubber operating with open loop mode and switch to closed mode occurs upon entering the Bothnian Bay, where water alkalinity is low because of river runoff (Beldowski et al., 2010). Different scrubber operating modes produce very different effluent flows per power unit. Scrubber effluent releases were determined by STEAM based on instantaneous power needed to propel the vessel at speed indicated by AIS.

290 Some countries, like Germany, do not allow the use of open loop scrubber in their ports. This feature has been included in the modelling by allowing the use of closed loop scrubber but use of low sulphur fuel is required from vessels equipped with open loop scrubbers when operating in German waters.

2.4.2. Scrubber utilization and discharge rates

295 In (Johansson et al 2017) it has been described how the instantaneous fuel sulphur content (S_{Fuel}) has been modelled based on the local regulations and vessel technical limitations. Our key assumption in the modelling of S_{Fuel} is that the ship owners

aim to minimize costs while complying with regulations. For the case of scrubbers, this means that the utilization level of scrubbers must depend on both the S_{Fuel} used onboard and the maximum allowed S_{Allowed}. As an example, in an area for which 1.5% S_{Fuel} is required one should not assume the same level of utilization for the scrubber than in an area in which 300 0.1% is required for compliance. To take this into account in the model we define scrubber utilization level, given by (Eq 2)

(2)

$$
ScrubberUtil = Min\left(\frac{S_{Fuel} - S_{Allowed}}{S_{Global}}, S_{Fuel}\right); S_{Fuel} \le S_{Global}
$$

In (2), the global average (S_{Global}) of 2.7 percent fuel sulphur content was assumed. For open-loop scrubbers the discharge 305 rate has been set to equal $45m^3$ per MWh and $0.3m^3$ per MWh for closed loop respectively (Lloyds Register, 2012; Wärtsilä Corp., 2017). In the model we compute the instantaneous discharges based on the current engine power and the scrubber discharge rate, multiplied with the scrubber utilization rate. It should be noted that the use of scrubbers also affects the engine power predictions of STEAM3. The additional power required by scrubber pumps is modelled and vessel propulsion fuel consumption is increased by up to two percent (ABS, 2018), as a function of the utilization rate.

310 **2.5. Ballast water**

According to David & Gollasch (David, 2015), cargo ships carry ballast water amounts which correspond to 26-60 percent of their deadweight (DWT). Largest amounts can be found in bulk cargo carriers and tankers. The most reported values for the ballast water capacities range between 30-40 percent of DWT. However, modern cargo ships may visit several ports and unload only part of their cargo in any port and ballast water may be taken in or discharged during each visit. It is unlikely

315 that all of the ballast water is loaded or unloaded during each of the port calls and this in particular makes the modelling of ballast water discharges challenging. For ballast water capacities for ships we have used ship type-dependent fractions as a function of vessel DWT. These fractions have been presented in Table 2.

Table 2: Estimated volume of ballast water carried by different types of vessels, indicated with a fraction of vessel Deadweight.

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Ballast water management systems (BWMS) are used to kill or remove organisms within ballast water. Biocides are used during the process, but also other chemicals associated with the system either intentionally or resulting from the treatment of ballast water can be discharged to the marine environment. Before the BWMS can be put out on the market they must pass an environmental risk assessment where the discharge of biocides and other chemicals to the marine environment are 325 assessed. The risk assessment and authorization are handled by the Marine Environmental Protection Committee (MEPC), a branch of IMO. Analytical data of biocides and other chemicals present in treated ballast water from 40 different BWMS applications were reviewed based on measurement reports submitted to the IMO by member states during 2006-2015. This data can be found in Supplementary material.

The modelling of ballast water discharges is challenging, and a number of safety measures are used to avoid situations where

330 the discharge is modelled but does not occur in reality. Instead of aiming for accurate timing for ballast water intake and discharges with realistic release rates, the focus on the modelling is to obtain realistic amounts and geographical distribution for discharges. First, STEAM3.2 uses a global mapping of port areas (National Geospatial Intelligence Agency, 2014) which define the areas where ballast water discharge is allowed to occur in the model. To further avoid the modelling of ballast water discharge that does not occur in reality, the modelled discharge is allowed to happen for vessels that are berthing, and

335 the berthing duration must exceed two hours. Any vessel that has not been traveling in cruising mode between port visits is unable to discharge ballast water in the model. Considering these mentioned modelling rules affecting the ballast water discharges, the actual timing and rate of discharge cannot be estimated without additional information. Due to this we model an almost instantaneous (approx. one hour) discharge for ballast water when all the above-mentioned conditions are met. This is of course, not physically realistic but will prevent a partial, incomplete discharge to occur in the modelling.

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2.6. Modelling of antifouling paint release

The modelling approach for biocides released from antifouling paints combined AIS data, vessel characteristics and release rates of biocides from antifouling paints. Ship wet hull surface area is calculated with STEAM3.2 as a part of the Hollenbach resistance calculations (Hollenbach, 1998). This allows for calculation of a ship's underwater surface area (in m^2) based on 345 vessel physical dimensions and hull shape. It also facilitates further development because the method applied is linked to hull wet surface area, but the model can also estimate the friction terms involved in the hull-water interface as a function of vessel speed. Once the surface area is determined for a specific vessel, release rates for the mass of substances per area and time $(g^*m^{-2*}s^{-1})$ are applied. Release rates are based on a compiled data set of 184 antifouling paint products (Gutierrez, 2015; New Zealand EPA, 2012; Ytreberg et al., 2010) and calculated in this work using the Mass-Balance Calculation 350 method (ISO 2010). The following biocides were used: copper (inorganic species that release Cu^{2+}), zinc oxide (released as Zn^{2+}) and the co-biocides copper pyrithione, zinc pyrithione, 4,5-Dichloro-2-octyl-3-isothiazolone (DCOIT) and Zineb.

Different countries have their own regulations for antifouling paint use, varying release rates were applied for the ships depending on which geographical area the ships were operating in according to four classes *International*, *Kattegat*, *Baltic Proper* and *Gulf of Bothnia* (Figure 2). For the class *International*, applied to all ships that also operate outside Baltic Sea 355 and *Kattegat*, all 184 antifouling paints were used to derive average release rates of biocides. The class *Kattegat* is applied to all ships operating in both Kattegat and the Baltic Sea and release rates for antifouling paints approved for the Swedish west coast, Finnish, Polish and German market were used. For the class *Baltic Proper*, applied to ships operating in both Baltic Proper and Gulf of Bothnia, antifouling paints approved for the Swedish Baltic Sea, Finnish, Polish and German market were included. For the class *Gulf of Bothnia*, applied for ships operating in Gulf of Finland only, coatings approved for the 360 Swedish Baltic Sea and Finnish market were used.

Not all vessels use biocidal eroding or self-polishing antifouling paints, because the paint can wear off as a result of abrasion against sea ice. Vessel hulls can be kept smooth with periodic cleaning using divers and brushes. We assume that all ships operating outside the Baltic Sea area carry antifouling paints, since there are no national regulations for international routes,

and the fouling pressure in fully marine waters is more severe compared to the brackish waters in the Baltic Sea. The same 365 assumption is made for ships operating outside the Baltic and Kattegat region (Figure 2).

It has been estimated that the percentage of ships carrying antifouling paints in the Baltic Proper is 50% (Ambrosson, 2008) and in the Bothnian Bay it is 20% (Koivisto, 2003). Hence, for antifouling paint class *Baltic Proper* and the *Gulf of Bothnia* an application factor of 50% and 20%, respectively, were used. Not all paints contain all six contaminants. Hence a second application factor, which estimates the fraction of antifouling paints containing a specific biocide or booster biocide, is

370 needed. The inorganic copper compounds and ZnO have an application factor of 100% estimating all antifouling paints to contain inorganic copper and ZnO. The booster biocides have an application factor of 0.2, assuming only 20% of all paints to contain one of the four different booster biocides. This implies that 20% of the coatings do not contain any booster biocide.

In the present work, we do not know if a specific vessel is using a biocidal coating or a biocide free icebreaker coating. Therefore, we multiplied the regional-specific application factors with the regional-specific leaching rate to derive a generic

375 leaching rate for the region-specific vessels. As a consequence of the low application factor for ships operating in the Bothnian Bay only (20% of the vessels are assumed to be coated with antifouling paints), the generic average leaching rate of copper is significantly lower in the this region (3.1 μ g/cm²/d) as compared to the International region (24.5 μ g/cm²/d), where 100 % of the vessels are assumed to be coated with antifouling paint (Table 3).

380 **Table 3: Antifouling paint leaching rates by sea area. All values given in µg/cm2/day. Note that ZnO and Cu(I)Oxide are reported as mass of Zn and Cu, which is in contrast to CuPyr, DCOIT and Zineb where the total mass or organometallic molecule is considered.**

Region	$Cu(I)$ oxide	Cu pyrithione	Zn oxide	Zn pyrithione	DCOIT	Zineb
International	24.5	0.238	4.400	0.425	0.148	0.441
Kattegatt	15.507	0.202	4.633	0.484	0.154	0.383
Baltic Proper	7.507	0.101	2.317	0.242	0.077	0.192
Gulf of Bothnia	3.119	0.021	1.360	N/A	N/A	0.061

A more detailed review of daily release rates for various antifouling compounds can be found in Supplementary material.

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In STEAM3, the chosen approach requires two analysis rounds over the AIS data. In the first round, areas of operation are defined for each ship. During the second calculation round, the highest leaching rate of all the vessel operational areas is applied. The release rate will be multiplied with hull wet area and time to generate a map which describes the paint residue releases. The temporal variation of antifouling release is retained, and the maps can be used as input for ecosystem 390 modelling.

2.7. Food waste, black- and grey water

To assess the waste streams caused by people onboard, i.e. the amount of food waste and volumes of black and grey water and produced onboard ships, naturally depends on the number of persons onboard, which also includes the crew size.

395 STEAM3 model uses the IHS database of vessel characteristics and the passenger capacity is one of the available information fields. For most vessels this field has not been specified. In such cases the number of passengers and crew size needs to be estimated.

2.7.1. Passenger and crew capacity estimation

400 Maximum passenger capacity values for more than 500 ships was collected using Significant Ships ((RINA, 2010)) journals. This publication series contains a comprehensive view on ship construction each year, including also the size of the crew onboard. Based on the collected data statistical models were assessed to predict the listed passenger capacities and crew size as a function of basic vessel properties. In particular, the vessel length overall (LOA) was seen to correlate strongly with the passenger capacity. The calculation methodology for passenger capacity of different types of passenger vessels is presented 405 in Table 4.

Table 4: Estimation rules used for maximum passenger capacity for different vessel categories. The function shows the computation used for passenger capacity based on L (Length overall). The 'minimum' asserts the minimum value for the estimation in case the number of cabins has been specified for the vessel.

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The size of crew onboard is estimated to be $L/10 +1$, however, for RoPax and cruisers the large number of passengers introduce additional work force on the vessel; based on the vessel data we increase the crew size by 0.2 times the passenger capacity.

415 **2.7.2. Passenger count on board**

For the modelling of waste discharges such as food waste and black water the maximum passenger capacity estimate needs to be converted into passenger count estimate. There are significant differences in passenger capacity utilisation between seasons and only part of the total capacity may be in use. HELCOM reports passenger capacity utilisation of 90% for cruise ships (HELCOM, 2014a). Typically, summer and end-of-the-year holidays define peak seasons of the number of passengers 420 carried on regular routes. This applies especially to RoPax ferries traveling in the Baltic Sea area. Average capacity

utilisation was estimated by number of passengers carried by each route for two major shipping companies which concentrate on the passenger traffic in the Baltic Sea area. The routes selected were Helsinki-Tallinn, Helsinki Stockholm, Turku-Stockholm, Stockholm-Tallinn and Stockholm-Riga. For these routes and companies operating the vessels, quarterly reported passenger counts were available for year 2014.

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Vessels used in each route were identified and the number of ship crossings were determined from AIS data. This analysis revealed that, on an annual average, passenger capacity utilisation rate of regular traffic is about 50 percent for the vessels included in the analysis. During summer season and Christmas capacity utilisation is close to 100 percent, but significantly less than that outside the peak seasons. Due to the small sample size for passenger counts throughout the season, the 430 modelling of passenger counts is based on a static 50% estimate for the capacity utilization.

2.7.3. Food waste, Black and Grey Water volumes and nutrient content

The generation of grey water and black water per person and per day by different ship types was obtained from DNV (Furstenberg et al., 2009) and is presented in Table 5.

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*Furstenberg et al, 2009

Concentrations of nitrogen and phosphorus in grey water were provided by leading providers of marine equipment (Ylimaki

440 J/Evac, personal communication, 2 December 2015; Wien AS/Scanship, personal communication, 2 March 2016) (Table 6). The concentration of nitrogen and phosphorus in the black water could not be used directly since it was referring to the vacuum toilets. Therefore, a fixed emission factor of 16 g N and 1.6 g P per person and day was used for black water (average estimates from the providers of marine equipment and previous work of Huhta et al., 2007). This fixed emission factor is comparable to land-based estimates of 12.5 g N and 1.4 g P per person and day found in the literature (Jönsson et 445 al., 2005).

Effluent	P, g/(person*day), average	N, g/(person*day), average
Sewage, all ships	1.6	16
Grey water, all ships	1.9	4.4
Food waste, cruise ships	2.66	8.7
Food waste, all other ships	0.5	1.7
Total nutrients, cruise ships	6.1	29.1
Total nutrients, other ships	4.0	22.1

Table 6: Emission factors for nutrients used in the modelling

In addition to the nutrients listed in Table 6, Black and Grey Water discharges may also contain various contaminants, which 450 are released from ships to the sea. The estimated quantities of various contaminants in Black Water were based on the onboard sampling and laboratory testing of cruise and passenger ships wastewater effluent in Alaska (ADEC, 2000, 2004, 2005, 2006, 2007, 2008, 2011a, 2011b, 2013; US EPA, 2008) and from passenger ships in the Baltic Sea (Madjidian and Rantanen, 2011).

455 **2.7.4. Discharge of Food waste, Black and Grey water**

Today, the Baltic Sea is the only designated special area under IMO MARPOL Annex IV with respect to black water discharge. In this area, passenger ships must treat their sewage prior to discharge to the sea or the sewage must be left in port reception facilities. The regulations apply to new built passenger ships as from 2019 and will apply to all passenger ships as from 2021. For direct passages between St. Petersburg (Russia) and the North Sea there is an extension until 1st of June 460 2023. In the modelling of black water for this paper, which is conducted for the year 2012, the rules did not apply and hence a worst-case scenario was used where all the generated sewage onboard ships were discharged to the Baltic Sea. The MARPOL regulation in Annex IV does however state that no untreated sewage can be discharged when ships operates at a distance of less than 12 nautical miles from the nearest land. As many ships also mix the grey water and sewage prior to

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discharge, the assumption in the modelling presented in this paper is that neither grey water nor sewage are discharged if the

465 ship is closer than 12 nautical miles from the nearest land. The same assumption was used for food waste, which according to MARPOL Annex V must be grinded and can only be discharged when the ship is further than 12 nautical miles from the nearest land.

In STEAM3.2, waste accumulates into a virtual tank at a constant rate which is a function of people onboard, regardless of 470 ship operation. When a vessel travels at slow speed or it travels near the coastline, no release is allowed, and the water goes to a modelled tank instead. According to IMO MARPOL Annex IV, the speed limit for discharging sewage is 4 knots. Still, the speed limit of 5 knots was chosen since this is the lowest speed in Cruise mode in our AIS data setup, which was used as a trigger for these discharges. When the IMO requirements for release are met, tank is drained continuously to the sea at a rate that is significantly larger than the generation rate (50 times the generation rate). IMO has defined (IMO, 2006) the 475 maximum rate for the discharges. However, the discharges are characterized as infrequent events rather than continuous streams. Due to this the produced temporal and spatial distributions for food waste, grey- and black water can be crude

3. RESULTS AND DISCUSSION

approximations.

480 To visualize the output of the STEAM3.2, the gridded datasets, maps of the annual volumes from different ship waste streams discharged into the Baltic Sea were produced. The maps are complemented by statistics on the monthly variation of discharge volumes, the shares of the total volumes discharged from the respective ship categories and the volumes discharged to the different basins in the Baltic Sea (sections 3.2-3.7). The total mass of pollutants discharged to the water depends on the volume of discharge and the pollutant concentrations in the waste streams. Generating dedicated inventories 485 for hundreds of contaminants is not feasible, but the approach to produce waste stream discharge volumes as output in STEAM3.2 (Table 7) enables easier assessment of additional contaminants, as new or updated data on pollutant concentrations become available.

Table 7: Volume discharges from STEAM for Baltic Sea shipping in 2012 (in tonnes), Cu concentration in discharge samples 490 **(micrograms per liter) and calculated total discharged mass of Cu (in tonnes) into the Baltic Sea.**

* Converted from 3 050 000 litres using density of 0.915 kg/l (ExxonMobil_Marine, 2003)

There are large variations in the literature data of the waste streams; both with respect to volumes and concentrations. For 495 example a large variation can occur in generated bilge water volumes and composition, even in samples of the same vessel (Magnusson et al., 2017) and similarly large variations of e.g. metal content in scrubber wash water are reported (Turner et al., 2017). Clearly, ballast water discharges are the largest by volume (Table 7), however, in ballast water the concentration of many contaminants is significantly lower than e.g. in EGCS effluent. There are also large differences in temporal variation of discharges, especially concerning those which are strongly connected to passenger traffic and number of people 500 carried onboard. This particularly concerns the black and grey water discharges as well as food waste.

Some contaminants are present in several discharge streams, for example copper (in Table 7). The different waste streams have different shares of the total copper discharged from shipping the Baltic Sea. Here, it should be noted that the spatial 505 distribution of the discharges can vary significantly. For example, ballast water discharges are concentrated near the port areas whereas the scrubber effluent discharges occur mostly along the ship lanes. The spatial features of each of the discharges are described in more detail in the following sections and a detailed breakdown of various discharges for different ship types is provided in the Appendix A and Supplementary material.

510 **3.1. Bilge Water**

According to the IMO, discharges of bilge water are allowed if the oil content of the discharge is below 15 ppm. However, national environmental legislation in Finland prohibits the release of oily waters within 4 nautical miles from the coastline. This feature is included in the discharge modelling (Figure 3).

Figure 3 Distribution of the annual bilge water releases for year 2012 from the Baltic Sea shipping. Discharge volumes are indicated per map grid area of 5 km² . Note, that release of bilge water is prohibited within 4 nm from the Finnish coastline. Top right: Share of bilge water released in various sub-basins of the Baltic Sea (INL=Inland areas, RIG=Gulf of Riga, BOT=Bothnian Bay and Bothnian Sea, FIN=Gulf of Finland, KAT=Kattegat, BSP=Baltic Sea Proper). Lower bar chart depicts the monthly 520 **variation of bilge water discharges. Upper pie chart summarizes the contribution of various ship types to bilge water releases and the lower pie chart the flag state shares of bilge water releases. Map background Landsat-8 image courtesy of the U.S. Geological Survey.**

The total volume of bilge water during year 2012 was 288 000 tonnes, of which 36% was from the RoPax class of vessels. 525 According to 56 analyses of bilge water identified in literature (Albert and Danesi, 2011; McLaughlin et al., 2014; Penny and Suominen-Yeh, 2008; Tiselius and Magnusson, 2017)(see Appendix Table A1), the average effluent oil concentration was 3.2 ppm (95% CI [0.5, 6.1]), which implies that 0.9 tonnes of oil (95% CI [0.2, 2.0]) is being discharged annually to the Baltic Sea via bilge water. Apart from oil, bilge water also contains various organic compounds and metals, and the average concentrations of 16 different PAHs, 6 other organic compounds, 16 different metals and 3 detergents are presented in the

530 Appendix Table A1.

In reality, bilge tank is emptied more or less at random intervals, but realistic description of a random release is difficult to include, and we have chosen to implement a continuous bilge water release in this work. In principle, this will make the geographical distribution of bilge water release more diffuse than reality. It may also underestimate temporary local peaks in bilge water contaminant concentrations in the Baltic Sea. This approach does not cover the illegal discharges of untreated

535 oily water, which were detected by aerial surveillance of the HELCOM member states (HELCOM, 2018a).

3.2. Stern tube oil

The annual discharge of stern tube oil to the Baltic Sea was calculated as 2800 tonnes (Table 7), which occurs during the normal operation of vessels. It should be noted that significant uncertainties may be involved. Etkin (2010) pointed out that 540 aging may deteriorate the stern tube sealing, which would necessitate the inclusion of vessel or sealant age as a relevant modelling parameter. Nonetheless, the load of oil to the Baltic Sea is more than 3 orders of magnitude higher than what was calculated to be discharged from bilge water (0.9 tonnes). Excluding the accidental releases of oil to the sea, similar conclusion was made for the Mediterranean Sea in the EU Joint Research Centre study (Pavlakis et al., 2001). The approach taken in our study may be refined in the future, for example considering the age, number of stern tubes, type of sealing and

545 lubricant used which are not currently considered. Figure 4 indicates the geographical distribution of predicted stern tube oil release in the Baltic Sea area during 2012.

Figure 4 Distribution of the annual stern tube oil releases for year 2012 from the Baltic Sea shipping. Discharge volumes are indicated in litres per map grid area of 5 km² 550 **. Top right: Share of stern tube oil released in various sub-basins of the Baltic Sea (INL=Inland areas, RIG=Gulf of Riga, BOT=Bothnian Bay and Bothnian Sea, FIN=Gulf of Finland, KAT=Kattegat, BSP=Baltic Sea Proper). Lower bar chart depicts the monthly variation of stern tube oil discharges. Upper pie chart summarizes the contribution of various ship types to stern tube oil releases and the lower pie chart the flag state shares of stern tube oil releases. Map background Landsat-8 image courtesy of the U.S. Geological Survey.**

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The geographical distribution of the stern tube oil is similar to the vessels' activity pattern. Time integration of activity will highlight areas, like ports, where ships spend significant amount of time. There exists several alternatives to stern tube oil, such as water lubrication (IMO, 2008b), environmentally acceptable lubricants or airguard sealing systems to eliminate the discharge of oil to the marine environment, but as long as mineral oil lubricants are allowed to be used it will continue to be 560 a source of oil pollution to the Baltic Sea. Most of the stern tube oil leaks occur in Baltic Sea proper and there is a maximum during the summer months. Dry cargo ships are the largest source, over three times larger than the contribution from any other ship type.

3.3. Scrubber effluent

Introduction of scrubbers on ships has attracted a lot of attention among the ship owners as an economic way of coping to the 565 strict sulphur rules of the SECAs. Vessels equipped with EGCS equipment were rare until the introduction of strict 0.1% Sulphur limit in 2015. In 2012, there were only five vessels with EGCS installed: three with open loop and two with closed loop systems. In 2012, these five vessels were responsible for 1.5 million cubic metres of wash water discharge, of which >99% came from open loop systems (Figure 5 and Figure 6). A large increase of discharged water from EGCS is anticipated at a global level in 2020 when the new global sulphur cap of 0.5% is in force. Already in the Baltic Sea area, scrubber

570 discharges have increased significantly; the 2018 estimates of scrubber discharges were estimated as 77 million tonnes from open loop and 0.1 million tonnes from the closed loop systems, installed in 99 vessels (Jalkanen and Johansson, 2019).

Figure 5 Annual discharge of effluent from open loop scrubbers during 2012 (left) and 2018 (right). Both images describe the 575 discharge in units of cubic metres per map grid cell of 5 km². A significant increase in open loop scrubber effluent release was **predicted based on the activity of scrubber-enabled vessels. Map background Landsat-8 image courtesy of the U.S. Geological Survey.**

580 **Figure 6 Discharge of scrubber effluent from closed loop systems during 2012 (left) and 2018 (right). The values for discharges are reported in units of cubic metres for each map grid cell of 5 km² . Map background Landsat-8 image courtesy of the U.S. Geological Survey.**

This is already about 25% of the ballast water discharge of 314 million tonnes in 2018 (Jalkanen and Johansson, 2019). 585 Discharge from open loop systems have raised concerns of water quality and have led to regional restrictions for operating open loop scrubbers. For example, German ports have prohibited the release of scrubber water and vessels should switch to low sulphur fuel instead. Similar bans have been introduced in other parts of the world (e.g. China, Singapore, Malaysia), whereas some others (Japan, South Africa) have specifically allowed scrubber discharges from open loop systems.

Regional rules for the Baltic Sea open loop scrubbing are included for German waters, which are visible in Figure 5. In these 590 areas, vessels equipped with hybrid scrubbers are operated in closed loop mode and ships with open loop systems switch to low sulphur fuels. It should also be noted that open loop scrubbing becomes more difficult in the northern part of the Baltic

Sea, because of the decreasing alkalinity of brackish water. Currently, low alkalinity of the seawater is only considered in the

scrubber effluent modelling for the Baltic Sea, but with proper oceanographic datasets this feature could also be implemented globally. The literature review conducted in this paper found 56 measurements of scrubber wash water when

- 595 the scrubber was operating in open-loop mode and 14 measurements in closed-loop mode (Hufnagl et al., 2005; IMO, 2018a; Kjølholt et al., 2012; Turner et al., 2017; US EPA, 2011b)(Appendix Tables A2 and A3). The data indicated higher concentration of most contaminants in wash water from closed-loop systems. For example, copper concentration was on average 295 μ g/L (95% CI [128, 462] as compared to 43.0 μ g/L (95% CI [26.8, 59.2] in wash water in open-loop mode (Table 7). However, assuming a discharge rate of 45 cubic metres per megawatt-hour for open-loop wash water and 0.3
- 600 cubic metres per megawatt-hour, the load of copper per MWh is significantly higher when the ship operated in open loop mode (1.9 g/MWh) as compared to closed-loop mode (0.06 g/MWh). Figure 7 illustrates the development of scrubber effluent discharge from ships during the time period 2006-2018 (Jalkanen and Johansson, 2019). It should be noted that the scrubber discharge volumes have been increased by almost two orders of magnitude, which makes them currently the second largest volumetric discharge from ships.
	- Scrubber wash water releases, 2008-2018, Baltic Sea 80 0.25 70 release, Closed loop [million m³] Wash water release, Open loop [million m³] 0.20 60 50 0.15 Open loop 40 0.10 -Closed loop 30 Wash water 20 0.05 10 $\overline{0}$ 0.00 2012 2014 2015 2016 2017 2018 2006 2008 2009 2010 2013 2007 2011 Year

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Figure 7: Scrubber effluent discharge from the Baltic Sea fleet during 2006-2018. Image taken from HELCOM discharge report (Maritime19/13-4.INF).

610 In December 2019, over 2000 vessels were included in the list of scrubber installations (IHS_Global, 2016). If a significant part of this group of vessels operates in enclosed areas, it may create problems for some marine species (Magnusson et al., 2018b). The rapid adoption of scrubbers were predicted after the IMO decision on global ship sulphur fuel reduction starting in Jan 1st 2020 (Faber et al., 2016).

615 *3.4. Ballast Water*

The total annual load of ballast water to the Baltic Sea was modelled as 394 million tons in 2012. Tankers discharge the largest volume of ballast water (146 million tons) followed by dry Cargo ships (103 million tons). Discharge pattern of ballast water is very different from other discharges (Figure 8), because ballast water operations occur mostly during cargo operations, which indicates significant discharge volumes near port areas. Water is taken in as ballast during cargo discharge

620 to maintain vessel stability and proper immersion of the propeller, and to be discharged when cargo is loaded to the vessel.

Figure 8 Distribution of the annual ballast water releases for year 2012 from the Baltic Sea shipping. Discharge volumes are indicated in tonnes per map grid area of 40 km² . Note the change of map resolution to highlight ballast water discharge locations 625 **on this map. Top right: Share of ballast water released in various sub-basins of the Baltic Sea (INL=Inland areas, RIG=Gulf of Riga, BOT=Bothnian Bay and Bothnian Sea, FIN=Gulf of Finland, KAT=Kattegat, BSP=Baltic Sea Proper). Lower bar chart depicts the monthly variation of ballast water discharges. Upper pie chart summarizes the contribution of various ship types to ballast water releases and the lower pie chart the flag state shares of ballast water releases. Map background Landsat-8 image courtesy of the U.S. Geological Survey.**

In this work, a description of ballast water discharge volume and pattern were identified, to facilitate future work on alien invasive species. However, this would require identification of the location where ships have filled their ballast tanks, which was not included in this work. Regardless, our results can be used to assess the risk of alien species transfer if suitable water

- 635 analysis is available. Previous estimates of 250 million tonnes of ballast water discharge have been reported earlier, based on earlier traffic estimates during year 2011 (HELCOM, 2014b), whereas our modelling estimate is 1.6 times that volume. There can be several contributing factors to this discrepancy. Currently, our discharge estimate has not established the link to cargo flow recorded at ports by customs authorities but is rather based on vessel capacity instead. Further, the modelling assumes a complete discharge of all ballast water during port visits, which will lead to an estimate which is larger than in
- 640 reality. Vessels may discharge part of their ballast water if only part of the cargo is left on port. Our current estimates of ballast water discharge may thus lead to a slightly higher risk of introducing alien species to the Baltic Sea area than in reality.

To minimize the risk of spreading alien species via ballast water emissions, IMO adopted in 2004 the Ballast Water Management Convention (BWMC), which entered into force in September 2017 and requires ships to manage their ballast

- 645 water in such manner that aquatic organisms are removed or killed prior discharge. The installation of the Ballast Water Management Systems (BWMS) depends on when the ship is scheduled for IOPPC (International Oil Pollution Prevention Certificate) renewal, but all ships must at the latest have an approved BWMC installed by 2024. The BWMS usually relies on filtration followed by UV radiation, biocidal treatment, deoxygenation and electro chlorination (King et al., 2012). Given that 394 million tonnes of ballast water is being discharged into the Baltic Sea annually (in 2012), the requirement to treat
- 650 ballast water is a new waste stream of contaminants to the Baltic Sea. In this work, the concentration of contaminants in 40 different BWMS were compiled and is presented in Supplementary information with references to original data. The spatial distribution of ballast water discharge indicates that these operations occur in ports. In reality, ballast water can be exchanged also during transit and should be done in quantities which are three times the required volumes to ensure proper tank flushing (IMO, 2004). However, the BWMC requires that water exchange is to be done in locations where the
- 655 distance to the nearest land is at least 200 nautical miles and the depth of the sea is more than 200 metres. These requirements are impossible to meet in the Baltic Sea area and current HELCOM recommendation is to conduct ballast operations in ports.

3.5. Antifouling Paint releases

660 Over 280 tons of Cu is being released to the Baltic Sea from ships coated with antifouling paints annually (Table 8). According to HELCOM, the waterborne input of copper is 890 tons annually and comprises both natural and anthropogenic sources (antifouling paints excluded) (HELCOM, 2011). Monitoring data in Swedish coastal waters have shown 20 out of 36 665

Table 8: Emission totals for antifouling paints in the Baltic Sea area during 2012. These numbers contain the contribution from the commercial fleet.

Compound	Release(kilograms)	Molecular mass (g/mol)	CAS number
$Cu (inorganic)$ *	281 000	53.546*	$1317-39-1$ (CuO)
Cu pyrithione	575	315.86	154592-20-8
Zn (inorganic) $*$	55 600	65.38*	$1314 - 13 - 2$ (ZnO)
Zinc pyrithione	1 0 9 0	317.7	13463-41-7
DCOIT	371	282.2	64359-81-5
ZINEB	1070	275.8	12122-67-7

* Inorganic CuO and ZnO are reported as mass of Cu and Zn, not their oxides. For CuPyr, DCOIT and Zineb molecular masses are used in mass reporting.

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Another large source of copper to the Baltic Sea is antifouling contribution from leisure boats, which are not reported in this work, but were recently reported elsewhere (Johansson et al., 2020). The wet surface area of the Baltic Sea commercial ship fleet is about 44 million square metres while the corresponding area for boats is about 7 million square metres. Although, the wet surface area of a small boat is significantly smaller than that of a ship, there exist about sixty times more boats than ships

675 in the Baltic Sea area. Small boats are primarily used during summer and are taken out of the water and stored for winter months, whereas the ship fleet operates throughout the year. Further, the activity patterns of small boats and commercially operated ships are different; small boats tend to move close to the shoreline whereas big ships follow the shipping lanes (Figure 9).

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Figure 9 Geographical distribution of the emissions of Cu(I)O from ship hull antifouling paints. The values reported by the colour scale indicate CuO releases in unit of grams per map grid cell of 5 km² . Top right bar chart indicates the share of Cu(I)O releases by sea area (INL=Inland areas, RIG=Gulf of Riga, BOT=Bothnian Sea and Bothnian Bay, FIN=Gulf of Finland, KAT=Kattegat, BSP=Baltic Proper). Lower bar chart describes the monthly variation of CuO releases. Upper pie chart illustrates the estimated 685 **share various ship types and the lower pie chart the flag state contributions to CuO releases. Map background Landsat-8 image courtesy of the U.S. Geological Survey.**

The spatial copper (CuO) release distribution from antifouling paints characterizes general shipping activity across the Baltic Sea. The emission increases gradually toward the southern Baltic Proper as shipping traffic from different ports merge into 690 main shipping lines. The annual leeching of AFP along at the shipping lines ranges from 3.2 kg km^{-2} in Northern Quark strait (separates Bothnian Bay from Bothnian Sea, see Figure 2) up to 70 kg km-2 in the Øresund Strait. There are distinctive hotspots in and near the port areas where annual AFP releases can be an order of magnitude higher than at shipping lines.

The AFP emission totals reported in this paper reflect the values obtained for the commercial fleet, only, and it has been assumed that the leaching rate of the hull paint remains constant regardless of the movement of the vessel. Strictly speaking, 695 this may underestimate the paint release rate, especially in cases where recently painted hull surface is exposed to water

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(Kojima et al., 2016). However, the leaching rates for surfaces which have been exposed to sea water stabilize over time and leaching rates of surfaces with paint layers older than one month still show some dependency on speed, but the differences are much smaller than in cases of fresh paints. Recent studies conducted in the Baltic Sea and the more saline Swedish West coast have also observed that leaching rates of copper increase with increasing salinity (Lagerström et al., 2018, 2020;

700 Ytreberg et al., 2017). Temperature is another parameter known to control the leaching of copper as well as the presence of biofilm on the coating (Valkirs et al., 2003). The approach taken in this manuscript (and STEAM model) allows future work with primarily speed, salinity and temperature dependent antifouling paint releases. Maps for other antifouling paint residues can be found in Supplementary material. It should be noted, that this work does not evaluate the use of galvanic corrosion protection, which is also a source of copper and zinc to the marine environment(Rousseau et al., 2009).

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3.6. Food Waste, Black Water and Grey Water

The spatial distribution (Figure 10) of food waste discharge is concentrated on areas outside the 12 nautical mile distance from shore. Over 90% of the nitrogen in food waste comes from passenger ships, which carry many people onboard. Most of 710 the food waste release happens during the summer, when the cruise vessel traffic activity is high. The summer period is also a maximum of the air emissions from ships, and part of the nutrients enter the Baltic Sea through air. Air emissions from

Baltic Sea ships have been discussed in Karl et al. (Karl et al., 2019a, 2019b) and are therefore not discussed here.

715 **Figure 10 Food waste nitrogen discharges from ships during 2012 in unit of grams per map grid cell area of 5 km2. According to IMO rules, no discharge is allowed closer than 12 nm distance from the coastline. Upper bar chart describes the contributions by sub-basin, lower bar chart the monthly variation. Upper pie chart depicts the ship type and lower pie chart the flag state contributions to food waste nitrogen releases. Map background Landsat-8 image courtesy of the U.S. Geological Survey.**

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In reality, many vessels pump their wastewater to port reception facilities, but there also exists a few of those which pumps everything into the sea. Our modelling approach is likely to result in overestimation of nutrient release to some regions of the sea because of this feature. However, we have reduced the overall release rate according to the fraction of ships which leave their waste in ports during harbour stays. This will spread the sewage releases throughout the whole area where discharge is

725 allowed, but it may lead to a more realistic description of discharge totals than the assumption where everything is released into the sea and nothing is left in port reception facilities (Figure 11). In reality, there exists vessel routes where all waste is left in port, but our current knowledge of vessel operations does not allow the inclusion of this feature. From 2021 onwards, all passenger vessels must leave their sewage in port reception facilities (HELCOM, 2014a), whereas for cargo ships waste release is still allowed according to the IMO regulations.

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Figure 11 Sewage nitrogen discharges from ships during 2012 in unit of kilograms per map grid cell area of 5 km² . According to IMO rules, no discharge is allowed closer than 12 nm distance from the coastline. Upper bar chart describes the contributions by sub-basin, lower bar chart the monthly variation. Upper pie chart depicts the ship type and lower pie chart the flag state 735 **contributions to sewage nitrogen releases. Map background Landsat-8 image courtesy of the U.S. Geological Survey.**

The estimated total reduced nitrogen $(M=14 \text{ g mol}^{-1})$ input to the sea from black water and food waste were 556 and 82 tonnes in 2012, respectively. From these totals, 487 and 76 tonnes were from passenger vessels. In the case of phosphorus, 740 food waste, sewage and grey water contributions were estimated as 24, 42 and 40 tonnes for the whole Baltic Sea fleet as reported earlier (Wilewska-bien et al., 2019).

From 2021 onwards, most of the passenger ship fleet operating in the Baltic Sea area will not be able to release their sewage to the sea, but there exists an extension until year 2023 for vessels operating between the North Sea and St. Petersburg, Russia. All sewage must be discharged to the port reception facilities from that date on, but this requirement only applies to

745 passenger vessels, but passenger vessels are responsible for 88% of the nitrogen in sewage and over 90% of the nitrogen in food waste releases to the sea. This decision alone will reduce the nitrogen release from 556 to 69 tons (reduced nitrogen) and increases the wastewater reception of ports by one million tonnes. According to Marpol Annex IV, Similar requirement does not apply to food waste release from ships, which would further reduce the annual nitrogen load by 76 tons. Currently, there is no viable enforcement practice in place to control whether these rules are followed.

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Figure 12: The cumulative discharges over the area of the Baltic Sea divided by the total discharges for the year 2012. Vertical blue dashed lines correspond to the areas of total load of the ballast water and scrubber water discharges. AFCu=Antifouling paint release CuO, AFzn=Antifouling paint release ZnO, SO+SC=Scrubber effluent release both from open and closed loop 755 **systems, BA=Ballast water discharge, BW=Sewage release, GW=Grey water discharge, BI=Bilge water release.**

The normalized cumulative distribution of annual discharges from different waste streams as the function of Baltic Sea surface area summarizes spatial distribution of the sources (Figure 12). Ballast water is discharged as point sources with the highest load at a single location accounting for about 4% of the total load. Total area of ballast water discharges is less than

- 760 500 km² which accounts less than 0.12% of the total area of the Baltic Sea. Scrubber wash water is discharged along main shipping lanes without significant hotspots. This is represented by slow increase of the cumulative distribution curve at small area values. Rapid increase of the cumulative load between 500 km^2 and 6300 km^2 accounts for the major shipping lane discharges. Antifouling paint contaminants, bilge, grey water and sewage discharges consist of point sources, ship lanes and low traffic area distributions covering a large part of the Baltic Sea. In the case of mixed loading, approximately 50-60% of
- 765 antifouling, bilge, black water and greywater discharge can be related to hotspots, ~25% to the major shipping lines and the remaining 10-15 % to the low traffic areas. Greywater and black water have similar spatial discharge pattern represented by overlapping curves. Similar spatial distribution holds for Cu and Zn antifoulants as all ships leach both contaminants simultaneously. Black water and greywater point sources represent large discharges when the ships have passed 12 nm restriction zone.

770 **4. Summary**

In this paper, we have reported the methodology which was used to add a new capability to the existing ship emission model STEAM; discharges of waste streams and release of antifouling paints to the marine environment. These enable new studies on discharges to the sea from ships, based on the realistic vessel activity and technical description. In contrast to the

- 775 atmospheric exhaust emissions, water discharges are released directly to the sea and contain various contaminants and nutrients. Also, atmospheric emissions occur at places where fuel combustion occurs, which is different from discharges. There exist large group of regulations which define which releases are allowed in various areas. This complicates the modelling work.
- Modelling of water pollutants from ships requires an analysis of maritime environmental law in various countries, because 780 several exceptions exist to the IMO requirements. The work reported here covers most of the annexes of IMO MARPOL convention, includes antifouling and ballast water management convention rules and enable us to extend the evaluation of environmental impact of shipping beyond atmospheric studies. Some of these exemptions concern specific areas or countries (bilge water releases), are address specific technologies (open loop scrubbers) or other regional rules (sewage release restrictions for passenger vessels). We have attempted to include these requirements in this work as much as possible, but the
- 785 current approach assumes that all ship comply with every rule which may not always be the case. For example, compliance rate to the sulphur rules is high, but not necessarily one hundred percent (European Commission, 2018; Kattner et al., 2015). Strong increase of scrubber effluent releases was to be expected after the sulphur rule change in SECAs from 2015 onwards. This will probably happen also in global scale after the global 0.5% sulphur regulation starts. Significant increase of scrubber effluent release underlines the need to conduct a thorough impact assessment of this new pollution source to marine
- 790 environment. For this purpose, ecotoxicological studies are urgently needed to get a holistic view and assess whether changes to sulphur reduction methods are necessary. Antifouling paints were identified as a major source of copper from

ships. Since the ban of TBT in hull paints, other organometallic compounds were developed to replace tin, but further work is needed to learn how big a problem high copper releases are in marine environment.

This work fills some of the gaps in knowledge of quantities of ship generated water pollutants as we have taken first steps 795 towards this direction. This paper lists discharges from the Baltic Sea ship fleet during the calendar year 2012. It also identifies several research topics which need further attention in the future in order to reduce the uncertainties involved in emission modelling. Some of these contributions will be reported in the following papers, like the small boat emission modelling, water and air dispersion studies, but others require further experimental work to reduce the uncertainties involved in the modelling work.

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Appendices: Summary tables for contaminants in various discharge streams are given in Appendices. Calculation examples are also provided for antifouling paint releases, bilge and food waste nutrient discharges.

Data and model code availability

- 805 The data described in this paper is available for further research. These consist of gridded binary data describing all generated daily discharge quantities. These datasets are available from Zenodo, DOI:10.5281/zenodo.4063643. The STEAM model and its source code are property of the Finnish Meteorological Institute and are not available. The AIS data and technical description of the world fleet used as input to STEAM are governed by contracts with third parties and cannot be shared.
- 810

Supplementary material

Additional maps, a review of water analysis results for various discharge streams and spreadsheet summary of discharge totals by sea area are presented as supplements to the manuscript.

815 **Author contributions:**

J-PJ was in charge of the manuscript writing and contributed to STEAM discharge modelling algorithm development as well as data analysis. LJ was responsible for STEAM model development and programming. LG contributed to ballast water modelling definitions. EY was responsible for antifouling modelling work and data analysis. KME, I-MH, DY, KM and MWB contributed to the bilge, black and grey water data analysis. KM, HW, JM provided expertise and data for the 820 scrubber wash water modelling. UR and IM were responsible interfacing STEAM with a water dispersion model, analysis of

geographical distribution of pollutants and background data analysis. All authors have contributed to manuscript writing.

Acknowledgements

This work started in the BONUS SHEBA project and it was supported by BONUS (Art 185), funded jointly by the EU, the 825 Academy of Finland, Estonian Research Council, Swedish Agency for Marine and Water Management, Swedish

Environmental Protection Agency and FORMAS. We are grateful to the HELCOM member states for allowing the use of HELCOM AIS data in this research. The support from EU H2020 project EMERGE is appreciated, which has has received funding from the European Union's Horizon2020 research and innovation programme under grant agreement #874990 (EMERGE project). This work reflects only the authors' view and INEA is not responsible for any use that may be made of 830 the information it contains.

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Appendix A: To obtain the mass of contaminants and nutrients, the water volumes from STEAM3.2 need to be multiplied with the results of water analysis (mass/volume). The concentration values used in this work are given in the tables below.

		Average		
	#Samples	concentration	95% CI lower	95% CI upper
	included	$(\mu g/L)$	$(\mu g/L)$	$(\mu g/L)$
Arsenic	41	7.9	$\overline{2.6}$	13.2
Barium Cadmium	3 38	68.3 $1.0\,$	-150.4 0.5	287.1 1.5
Calcium	$8\,$	394875	383980	405770
Chromium	34	14.5	9.0	20.0
Copper	47	43.0	26.8	59.2
Iron	$\mathbf{1}$	93.0		
Litium	$\,8\,$	44385	-24116	112886
Lead	44	11.8	5.1	18.6
Manganese	1	20.0		
Magnesium	$\,8\,$	1223875	1186035	1261715
Mercury	19	0.1	0.1	0.1
Nickel	42	51.8	36.6	67.0
Potassium	$\,$ 8 $\,$	377750	355511	399989
Selenium	$\overline{2}$	97.0	58.9	135.1
Strontium	$\,8\,$	3012743	-456605	6482092
Vanadium	42	179.2	123.0	235.4
Zinc	45	119.1	25.7	212.4
Naphthalene	35	3.6	2.5	4.7
Acenaphthylene	34	0.1	0.1	0.2
Acenaphthene	34	0.3	0.2	0.4
Fluorene	34	0.6	0.5	$0.8\,$
Phenanthrene	35	1.8	1.3	2.3
Anthracene	34	0.1	0.0	0.2
Fluoranthene	34	$0.2\,$	0.1	0.3
Pyrene	34	$0.4\,$	$0.2\,$	0.6
Benz(a)anthracene	34	0.1	$0.0\,$	$0.2\,$
Chrysene	34	$0.2\,$	0.1	0.3
Benzo(a)anthracene	$\mathbf{1}$	$0.0\,$		

Table A2. Concentration of contaminants and nutrients in open loop scrubber wash water. Note, that full references to sample analysis reports are given in the Supplementary material.

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Table A3. Concentration of contaminants and nutrients in closed loop scrubber wash water. Note, that full references 1100 **to sample analysis reports are given in the Supplementary material.**

	#Samples included	Average concentration $(\mu g/L)$	95% CI lower $(\mu g/L)$	95% CI upper $(\mu g/L)$
Arsenic	14	12.6	7.5	17.7
Cadmium	14	0.53	0.25	0.81
Chromium	7	2668	-2179	7514
Copper	14	295	128	462
Lead	14	11.6	4.7	18.3
Mercury	9	0.07	0.05	0.10
Nickel	14	2794	1586	4001
Vanadium	14	11945	7254	16635
Zinc	14	479	127	831
Naphthalene	8	1.46	1.86	-0.10
Acenaphthylene	7	0.03	0.02	0.01
Acenaphthene	7	0.21	0.16	0.06
Fluorene	7	0.74	0.66	0.13
Phenanthrene	8	3.16	2.82	0.80
Anthracene	7	0.04	0.04	0.00
Flouranthene	7	0.20	0.15	0.06
Pyrene	7	0.20	0.16	0.05
Benz(a)anthrancene	7	0.03	0.03	0.00
Chrysene	7	0.05	0.05	0.00
Benzo(a)anthracene	1	1.13		
Benzo(b)fluoranthene	7	0.02	0.02	0.00
Benzo(k)fluoranthene	7	0.01	0.01	0.00
Benzo(a)pyrene	8	0.03	0.07	-0.02
Dibenzo(a,h)anthracene	7	0.01	0.01	0.00

Appendix B: Calculation examples for various discharges

Calculation example for nutrients in sewage, grey water and food waste

- 1105 RoPax with 100 crew members and 1900 passenger capacity. Average utilisation of passenger capacity: 50% Daily production of Phosphorus in sewage, per person: 1.6 grams Daily production of Nitrogen in sewage, per person: 16 grams Daily production of Phosphorus in grey water, per person: 1.9 grams 1110 Daily production of Nitrogen in grey water, per person: 4.4 grams Daily production of Phosphorus in food waste, per person: 0.5 grams Daily production of Nitrogen in food waste, per person: 1.7 grams Duration of one trip: 2 h Number of trips each day: 6 1115 Daily travel time: $6 * 2 h = 12 h$ Daily production of P: 100 crew $* 24/24$ h crew presence each day $*(1.6 + 1.9 + 0.5)$ grams of P per each crew day + 1900 passengers $*$ 0.5 passenger capacity utilisation $*$ 12/24 passenger presence each day $*(1.6 + 1.9 + 0.5)$ grams of P per each crew day = $400 + 1900$ g/day = 2300 grams/day Annual production of P: 2300 g/day $*$ 365 days = 840 kg/year (and 4640 kg of N per year) 1120 **Calculation example for antifouling paint residue release** A calculation example for a vessel which operates in multiple sea areas is illustrated below. Only Cu(I)Oxide releases are included in this example: Oil tanker, length 228m, breadth 32.2m, draught 14.3m coming from outside the Baltic Sea to Primorsk, Russia. Trip length
	- 1125 800 nautical miles, speed 15 knots, trip duration 53h. Wet surface area 9921 m² (Wet surface calculated as described in Schneekluth & Bertram, "Ship Design for Efficiency and Economy, Butterworth-Heineman, 1998). Highest leaching rate of all the areas travelled is applied.

Leaching rate of Cu(I)pyrithione: 24.491 micrograms/(cm² * day), 2.834 micrograms/(m² * second) Paint application factor, international value: 1

1130 Biocide application factor, international values: 1 (Cu(I)Oxide) During the trip, antifouling releases are: Leaching rate * wet surface area * trip duration * paint application factor * biocide application factor m(Cu(I)Oxide)= 9921 m² * 53 h * 3600 sec/h *2.834 microg/(m² * sec) * 1 * 1 = 5.36 kg

1135 **Calculation examples for a bilge water release from passenger and a cargo vessel** Passenger ships:

Installed main engine power: 32580 kW

Bilge water production, 1 /day: 0.131284 1 /kW *32580 kW + 373.416 l = 4651 litres/day Oil: 4650 litres/day * 3228 micrograms/litre / 1000000 micrograms/gram= 15.012 grams/day

1140 PAH16: 4650 litres/day * 58 micrograms/litre / 1000000 micrograms/gram= 0.270 grams/day Surfactants: 4650 litres/day * 9 micrograms/litre / 1000000 micrograms/gram= 0.042 grams/day Metals: 4650 litres/day * 545 micrograms/litre / 1000000 micrograms/gram= 2.535 grams/day

Cargo ships:

- 1145 Installed main engine power: 10519 kW Bilge water production, 1 /day: 0.024696 1 /kW $*$ 10519 kW $+$ 154.4874 l = 414 litres/day Oil: 414 litres/day * 3228 micrograms/litre / 1000000 micrograms/gram= 1.337 grams/day PAH16: 414 litres/day * 58 micrograms/litre / 1000000 micrograms/gram= 0.024 grams/day Surfactants: 414 litres/day * 9 micrograms/litre / 1000000 micrograms/gram= 0.004 grams/day
- 1150 Metals: 414 litres/day * 545 micrograms/litre / 1000000 micrograms/gram= 0.226 grams/d