

We thank the two reviewers for the careful reading of the manuscript and the constructive comments. Please find below

- 1, point-by-point replies for Reviewer #1
- 2, point-by-point replies for Reviewer #2
- 3, response figures (RF)
- 4, change-tracking manuscript

**Reviewer #1 Comment 1:**

*Previous studies suggested that remote forcing from the equator significantly modulated the intraseasonal current and eddy kinetic energy (EKE) in the BoB by the coastal Kelvin waves and reflected/free Rossby waves. Strong Intraseasonal Variability of Currents and large EKE can be found near 5N in the eastern Indian Ocean (e.g., Chen et al. 2017 JPO, 2018 JGR). Obvious salinity anomalies can also be observed here in your Fig. 10.*

*In Fig.10, you chose several sub-regions. How to choose these sub-regions? To clearly demonstrate your points of remote forcing modulating the salinity by waves, I suggest to choose a sub-region near 5N in the eastern Indian Ocean. Furthermore, it would be helpful to compare the differences of these sub-regions, not just in correlation coefficient (Fig. 11).*

**Reply 1:**

Yes, a significant intraseasonal signal can also be observed in the southeast of Sri Lanka in our simulation. However, the choice of sub-regions is based on the general circulation pattern in the Bay of Bengal. Essentially, the purpose of these sub-regions is to better describe the propagation of coastal Kelvin waves and westward moving Rossby waves, and to discuss the different contributions of advection and diffusion processes during the wave propagation.

We agree that a sub-region near 5N could help to give us a more comprehensive view, especially with respect to Rossby waves. However, a sub-region at 5N is very close to the southern lateral open boundary, and as shown in Fig. 5d, the U-velocity is overestimated due to open boundary effects, which may result in unrealistic results especially with respect to the salinity advection. Meanwhile, we think the current 5 sub-regions selected, are sufficient for the purpose of our study.

As requested, we add a new figure (RF. 1, insert after Fig. 10) showing the vertical salinity profiles in ASO and NDJ, as well as the vertical displacement equivalent to a 0.6 psu salinity anomaly. A new paragraph explaining this new figure is added (line 248-257).

**Reviewer #1 Comment 2:**

*I am surprised that the amplitude of correlation coefficient for the BoB (Fig. 9) is comparable with that for the sub-regions (Fig. 11). Which region was chosen when calculating the correlation coefficient for the BoB.*

**Reply 2:**

The region of the BoB is indicated in the Fig. 1, but we admit that this figure is confusing because the BoB is marked on the map from the MPI-ESM-MR. We add a new subplot to Fig. 1 presenting the model bathymetry and our research domain (RF. 2). The exact area, which was used to calculate all BoB-related parameters is also marked in this new Fig. 1b, see line 82-85.

**Reviewer #1 Comment 3:**

*Could you clearly demonstrate the lagged period for each sub-region? It's not easy to identify this information in Fig. 11.*

**Reply 3:**

We replace Fig. 11 by the RF. 3. The new added purple line give the depth-averaged correlation from 50 m to 150 m. The lagged period for each of the sub-regions is also indicated. [Of cause, we mention this in line 263-264.](#)

**Reviewer #1 Comment 4:**

*Lines 164-173. Evidences are needed here.*

**Reply 4:**

[We agree. We rephrase this part making our line of thinking more clear \(line 180-190\).](#)

**Reviewer #1 Comment 5.1:**

*“The distribution of composited SSTA presents a dipole mode in the tropical Indian Ocean, which indicates the MPI-ESM-MR can reproduce IOD events.” It would be better to show more evidences here.*

**Reply 5.1:**

[We cite Webster et al., 1999, Deser et al., 2010. These two papers show similar pIOD SSTa pattern. We also rephrase this part \(line 90-94\).](#)

**Reviewer #1 Comment 5.2:**

*The salinity of HAMSOM is obviously lower than that of the other datasets (Fig. 2). Dose this affect the results in this study?*

**Reply 5.2:**

We think this doesn't affect our results very strongly. The reason is that the coastal Kelvin waves and the associated Rossby waves appear as eigen-modes of the stratified ocean. Therefore the characteristics of these waves are mainly determined by the vertical density gradient. And since salinity gradient simulated by HAMSOM are consistent with other third-party datasets, as shown in the model validation section 2.3, we are confident that our final results are reasonable.

**Reviewer #1 Comment 5.3:**

*The salinity in the subsurface layer is less correlated with each other (Fig. 3b). Are we confident enough in the results?*

**Reply 5.3:**

We calculated the correlation metrics (RF. 4) for lines shown in Fig. 3b. From this metrics, we are confident that the seasonal change of the subsurface salinity simulated by HAMSOM is reasonable, which also provides confidence in our final results. [We mention the averaged correlation coefficients \(EN4: 0.63; GECCO2: 0.42; RG\\_Clim: 0.60; MPI-ESM-MR: 0.73; HAMSOM: 0.68\) of each datasets in line 130-133.](#)

**Reviewer #1 Comment 5.4:**

*Why is the RC-CLIM not used in Fig. 2? Why choose EN4 as the “standard” in Fig. 6? How to obtain the conclusion “Overall, the standard deviations of HAMSOM and other data sets are in close agreement” according to Fig. 6?*

**Reply 5.4:**

The RG\_Clim is an Argo-based dataset, which extends from 2004 to present. The reason why we didn't use RG\_Clim in Fig.2 is that the mean state of RG\_Clim from 2004 to 2016 presents a different climate state compared to the climate state from 1971 to 2000, especially in light of an increasing global warming.

When plotting a Taylor diagram, the ideal situation would be to have a reference dataset based entirely on observations. Unfortunately, there is no such a dataset available. However, the EN4 dataset shown in Fig. 6 is based on an objective analysis using a global quality control, while other three datasets are model-based. Hence, the EN4 dataset is obviously the best choice, when looking for a reference state.

With regard to the last question, we think the results shown in Fig. 6b are acceptable. To make use of the advantage that HAMSOM results have a high resolution, we employed the HAMSOM grid when calculating the Taylor-diagram-related parameters. Hence, for other datasets, except for HAMSOM, a linear interpolation to the HAMSOM grid was necessary, before performing the Taylor calculation. In particular, for the subsurface salinity, the HAMSOM results are supposed to show more mesoscale features due to HAMSOM's high resolution. In contrast, for the surface salinity simulated by HAMSOM, the spatial patterns are largely determined by the low resolution atmospheric forcing fields. This explains why, compared to the EN4 data, for the sub-surface (Fig. 6b) HAMSOM results show a large standard deviation, whereas for the surface (Fig. 6a) both data sets are in close agreement. We thank the reviewer for pointing out that the explanation of Fig. 6 was not clear enough. [We modify the discussion of Fig. 6 along the lines of the explanation given above \(line 140-152\).](#)

**Reviewer #1 Comment 5.5:**

*Could you give more explanation of the grey lines in Fig. 6?*

**Reply 5.5:**

Yes. The grey lines indicate the axis of the centered Root-Mean-Square (RMS) difference, which is often used to quantify differences between two fields. [We modify the explanation of Fig. 6 \(line 150-152\).](#)

**Reviewer #2 Comment 1:**

*The paper should also start presenting the bathymetry of the regions under discussion.*

**Reply 1:**

We use the RF. 2 to replace Fig. 1. The new Figure 1b shows the model bathymetry, used in our simulation. The region of the BoB, which we defined for all BoB-related calculations, and the sponge layer are also marked in this new Figure 1b. [The sponge layer helps to stabilize the model. We discuss this latter issue in line82-85.](#)

**Reviewer #2 Comment 2:**

*If you discuss salinity anomaly in the Bay of Bengal then you should also present the typical vertical salinity (and temperature) stratification in the region. The salinity anomalies discussed seem to be very, very small compared with vertical gradients in the region. What would be the equivalent vertical displacement yielding the same salinity anomalies?*

**Reply 2:**

Thanks for this very constructive comment, which improves our manuscript significantly. [We add the RF. 1 showing the vertical salinity stratification in the seasons ASO and NDJ for entire BoB and for the five sub-regions, together with a new paragraph explaining this new figure \(line 248-257\).](#) Dashed lines indicate the equivalent vertical displacement yielding a 0.6 psu salinity anomaly. Obviously, the vertical salinity gradient close to the surface is relatively large, especially in the northern bay, due to the fact that heavy precipitation and strong river discharge freshens the surface water. This freshening is a local monsoon dominated seasonal feature, which mainly affects the surface and upper ocean. However, at a depth of 100 m, as this new figure indicates, a 0.6 psu salinity anomaly (suggested in Fig.10 & 12) is not negligible. In sub-regions which are significantly affected by coastal Kelvin waves and associated Rossby waves, such as SAS, EBB, and NBB, the equivalent vertical displacement of a positive 0.6 psu anomaly reaches about 20-50 m. By this means, this new figure also gives a clear indication that the wave, which we investigate in our study have a significant influence on the structure of the water column.

**Reviewer #2 Comment 3:**

*The DMI has a western and an eastern SST signal. What is the influence of the western SST signal on your results? Why didn't you only use the eastern SST anomaly for your correlation analysis? I presume you'd even get a better correlation then. Please test this.*

**Reply 3:**

We tested this as proposed; the main results are shown in RF. 5.

As one can see in the RF. 5, the correlation is not getting better, when we only use the southeastern SSTa (SETIO). This is in agreement with our physical understanding that the gradient between the two anomalies is responsible for the strength of the Kelvin wave signal.

**Reviewer #2 Comment 4:**

*It is no surprise at all to see that coastal Kelvin waves and Rossby waves propagate through the oceans. I could think of 1000s of similar studies, just focussing on different regions. To come to the point. Why makes the salinity anomalies in the Bay of Bengal significant? Do these waves play any role in the biology of the regions? Do they create any other climate feedback mechanism? If so, please try to convince the reader of the great significance of your work.*

**Reply 4:**

In our current manuscript (the pre-print version), we tried to stress the importance of our work. we already discussed the importance of subsurface salinity variability with respect to the barrier layer,

the stratification evolution, currents, eddies, the near-surface state, and air-sea energy transfer. Apparently, our current discussions need to be more convincing. [We discuss the unique topographic configuration of the BoB, which is more susceptible to signals from the equator through Kelvin waves and Rossby waves than any other ocean region \(line 356-359\).](#)

There is no biological module involved in our simulation, so we are not able to discuss biological processes on the basis of our HAMSOM result. However, as the IOD-related subsurface salinity anomalies and their equivalent vertical displacement suggest, these waves are expected to play an important role for the biology of the BoB. [We discuss this issue in section 5 to describe the importance of our work from the biological perspective \(line 382-388\).](#)

The subsurface salinity is of great importance for determining the ocean barrier layer and ocean mixed layer depth. As our results show, the BoB subsurface salinity is significantly modulated by the IOD through the Kelvin and Rossby waves. Therefore, it is expected that these waves also affect the air-sea exchange processes in the BoB, which in turn also influence the remote ocean feedback to the atmosphere. [We also discuss this aspect in the section 5 \(line 388-390\).](#)

**Reviewer #2 Comment 5:**

*So far, only a few pIOD events have been recorded. Does this limited number of events have any implications on the statistics presented in the paper?*

**Reply 5:**

Statistically, the size of the pIOD or nIOD sample, which is five, and the size of the climatology sample, which is thirty, are sufficient to test the hypothesis that these two populations have equal means using the Welch's t-test. Furthermore, as one can see from Figs. 10 and 12, the patterns of statistically significant anomalies are consistent with the propagation features of coastal Kelvin waves and associated Rossby waves. So, in this study, the limited number of events does not affect our conclusions. [We provide more information about this issue and the Welch's t-test \(line 236-239\).](#)

**Reviewer #2 Comment 6:**

*Why does HAMSOM create such strong NDJ current components of V at 80 degE and U at 5 degN? These are the at the boundaries. Is there any problem with the boundary conditions?*

**Reply 6:**

Actually, as nearly all regional models, also in HAMSOM we experienced problems at the open boundaries. Therefore we implemented a sponge layer along the southern open boundary, which was used to damp disturbances arising from inconsistencies within the prescribed boundary condition extracted from the MPI-EMS-MR. Therefore, we have excluded the sponge layer area from our analysis. Since the HAMSOM model results show a reasonable agreement with observed and already known features of the BOB on the large scale, we assume that these problems along the open boundary are not able to significantly affect our region of interest.

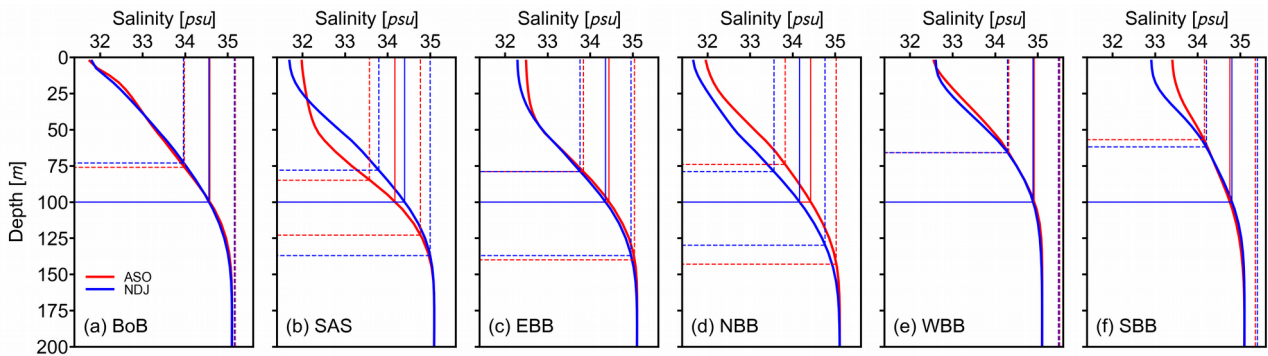
**Reviewer #2 Comment 7:**

*If the model domain extends to the equator, please do also show the salinity distributions extending to the equator.*

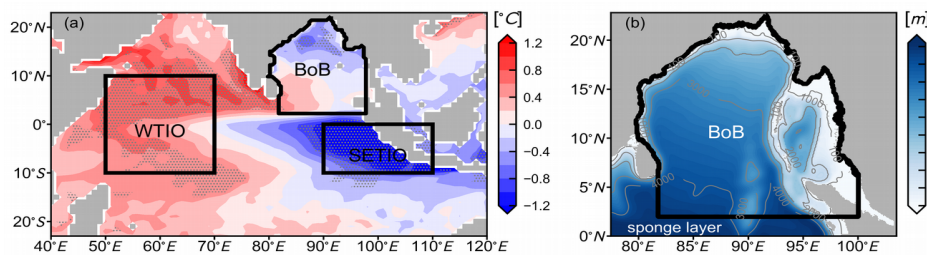
**Reply 7:**

Yes, the model domain extends to the equator. But as the new Fig. 1b (RF. 2b) indicates, we defined a sponge layer along the open lateral boundaries. Therefore, we decided to only show the results of the BoB domain indicated in Fig. 1b. [We explain this issue in line 82-85.](#)

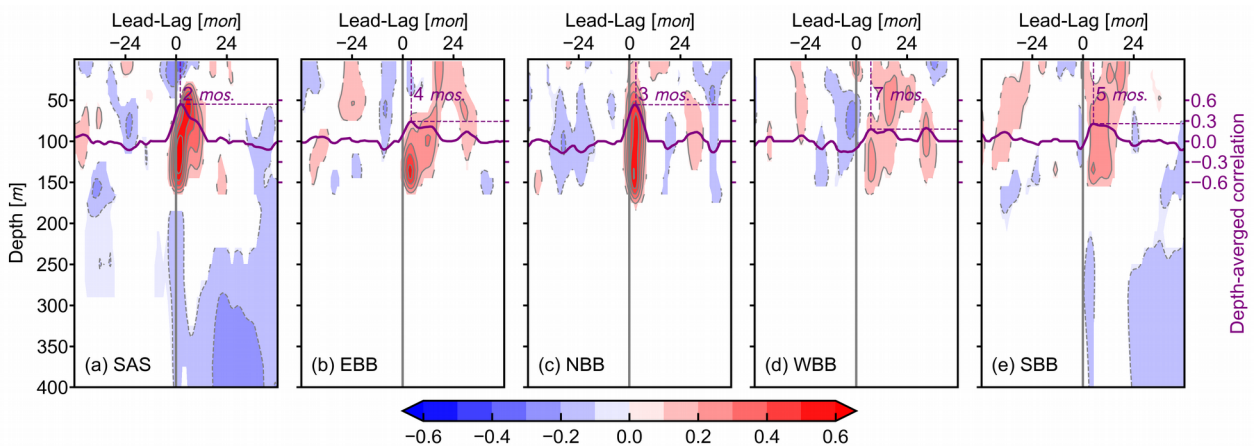
## Response Figures



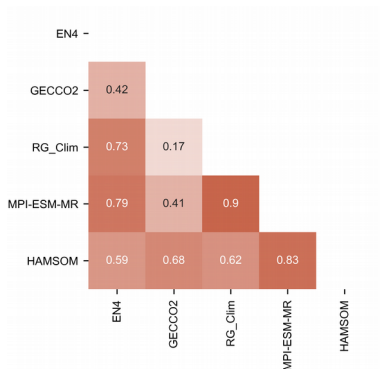
RF. 1: Domain-averaged climatological vertical salinity profiles of the BoB (a) and subareas (b, c, d, e, f) during ASO (red thick line) and NDJ (blue thick line). Solid thin lines with corresponding colors indicate the salinity at 100 m depth. Dashed thin lines with corresponding colors indicate the equivalent vertical displacement, yielding a 0.6 psu salinity anomaly.



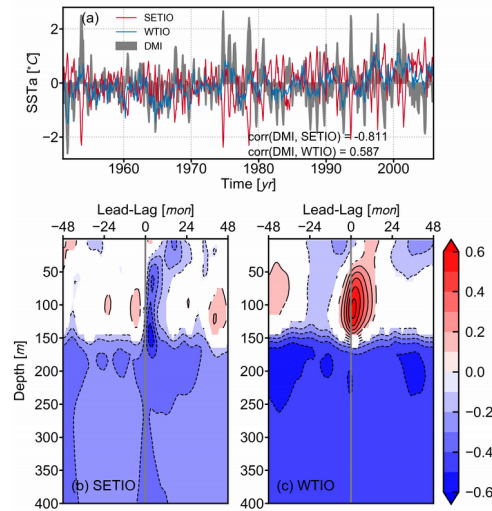
RF. 2: Composite of sea surface temperature anomalies during ASO of pIOD years from MPI-ESM-MR (a). The contour intervals are 0.2 C. Anomalies significant at the 95% confidence level by a two-tailed Welch's t-test are hatched with grey dots. Western tropical Indian Ocean (WTIO) and southeastern tropical Indian Ocean (SETIO), the two areas related to the dipole mode index (DMI), are marked with black boxes. The bathymetry used in the downscaling simulation (b). Our research domain, the Bay of Bengal (BoB), is marked with black borders in (a) and (b).



RF. 3: Lead-lag Pearson correlation coefficient between the DMI and the salinity anomaly of subareas SAS (a), EBB (b), NBB (c), WBB (d), and SBB (e), respectively, at different depths, from HAMSON. The contour intervals are 0.1. Analysis period is from 1960 to 2005. Only significant correlation coefficients with p-value < 0.05 are shaded. Purple solid lines indicate the depth-averaged correlation over 50-150 m depth range. Purple dashed lines indicate the highest correlation and the corresponding lag.



RF. 4: Pearson correlation for monthly climatology of subsurface salinity shown in Fig 3b.



RF. 5: Time series of DMI, SSTA in SETIO, and SSTA in WTIO (a). Lead-lag Pearson correlation between the SSTA of SETIO and salinity anomalies of the BoB at different depths from HAMSOM (b). Lead-lag Pearson correlation between the SSTA of WTIO and salinity anomalies of the BoB at different depths from HAMSOM (c).

# Correlation between subsurface salinity anomalies in the Bay of Bengal and the Indian Ocean Dipole and governing mechanisms

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**Abstract.** Lead-lag correlations between the subsurface temperature/salinity anomalies in the Bay of Bengal (BoB) and the Indian Ocean Dipole (IOD) are revealed in model results, ocean synthesis, and observations. Mechanisms for such correlations are further investigated using the Hamburg Shelf Ocean Model (HAMSOM), mainly on the salinity variability. It is found that the subsurface salinity anomaly of the BoB positively correlates to the IOD with a lag of three months on average, while the subsurface temperature anomaly negatively correlates. The model results suggest the remote forcing from the equatorial Indian Ocean dominates the interannual subsurface salinity variability in the BoB. The coastal Kelvin waves carry signals of positive (negative) salinity anomalies from the eastern equatorial Indian Ocean and propagate counterclockwise along the coasts of the BoB during positive (negative) IOD events. Subsequently westward Rossby waves propagate these signals to the basin at a relatively slow speed, which causes a considerable delay of the subsurface salinity anomalies in the correlation. By analyzing the salinity budget of the BoB, it is found that the diffusion dominates the salinity changes near the surface, while the advection dominates the subsurface; the vertical advection of salinity contributes positively to this correlation, while the horizontal advection contributes negatively. These results suggest that the IOD plays a crucial role in the interannual subsurface salinity variability in the BoB.

## 1 Introduction

The Bay of Bengal (BoB) is a monsoon-controlled tropical ocean located in the northeast of the Indian Ocean. The robust monsoon significantly influences the ocean circulation, vertical water exchange, and water characteristics in the BoB (Shetye et al., 1991, 1996; Vecchi and Harrison, 2002; Li et al., 2017). During the summer monsoon, the Southwest Monsoon Current brings saltier Arabian Sea water into the BoB, whereas the Northeast Monsoon Current brings fresher water from the BoB to the Arabian Sea during the winter monsoon (Vinayachandran et al., 1999; Jensen, 2001; Sanchez-Franks et al., 2019). Water from the Arabian Sea also enters the BoB as a subsurface flow during the northeast monsoon, which is proved by observations and model works (Wijesekera et al., 2015; Gordon et al., 2016). The salinity exchanges between the BoB and the equatorial Indian Ocean also show a seasonality associated with the monsoon (Jensen et al., 2016; Trott et al., 2019). In addition to the local monsoon, remote forcing from the equator also affects the ocean circulation and thermocline in the BoB, by which equatorial signals pass through the Andaman Sea (Potemra et al., 1991; Yu et al., 1991; McCreary et al., 1993, 1996;



25 Girishkumar et al., 2013). The Andaman and Nicobar Islands, as well as the eastern border of the Andaman Sea, significantly alter the circulation in the BoB (Chatterjee et al., 2017). A recent numerical study suggests that the equatorial forcing plays a dominant role in interannual variations of sea surface height and thermocline in the BoB during Indian Ocean Dipole (IOD) and El Nino/Southern Oscillation (ENSO) events, especially for their spatial pattern (Pramanik et al., 2019).

The IOD is an east-west dipole mode that dominates the interannual sea surface temperature (SST) variability in the tropical  
30 Indian Ocean (Saji et al., 1999; Webster et al., 1999; Schott et al., 2009; Deser et al., 2010), and it is physical entity independent of the ENSO (Ashok et al., 2003; Fischer et al., 2005). The spatiotemporal coupling among ocean dynamics, SST, winds, rain-fall revealed by the IOD have inspired many studies regarding the relationship and processes between the IOD and variations of surface/subsurface temperature/salinity in the tropical Indian Ocean (Rao et al., 2002; Shinoda et al., 2004; Thompson et al., 2006; Grunseich et al., 2011; Du et al., 2012; Zhang et al., 2013; Sayantani and Gnanaseelan, 2015; Kido and Tozuka, 2017;  
35 Kido et al., 2019a). The research on both sea level and annual mean subsurface temperature anomalies revealed a see-saw of the thermocline that related to the IOD (Saji et al., 1999). During the positive IOD (pIOD) phase, westerly winds weaken, allowing cold water to rise in the eastern equatorial Indian Ocean and warm water to move toward to the west, therefore lifts the equatorial thermocline in the east; during the negative IOD (nIOD) phase, vice versa, and lifts the equatorial thermocline in the west.

40 Previous studies have discussed the impact of IOD on the subsurface dynamics in the equatorial Indian Ocean. However, mechanisms and quantitative understandings for the impact of IOD on the subsurface dynamics in the BoB have not been established yet, especially for the impact on subsurface salinity. Subsurface salinity is of great importance for determining the ocean barrier layer and mixed layer depth (Lukas and Lindstrom, 1991; Montégut et al., 2007; Li et al., 2018; Kido et al., 2019b). Understanding the variations and dynamics of the subsurface salinity is helpful to understand the evolution of  
45 stratification and upper ocean properties, to further understand the response of the ocean to the atmosphere and its role on climate. Nevertheless, it is not clear how the subsurface salinity in the BoB varies and whether it is affected by the IOD. The questions addressed here are; is there an identifiable correlation between the subsurface salinity in the BoB and the surface temperature in the tropical Indian Ocean on the interannual scale, how are these two variabilities related, how does the subsurface salinity in the BoB respond to the IOD.

50 To answer the above questions, the subsurface salinity variability in the BoB and its relation with the IOD and the corresponding mechanisms are investigated in this paper. Unless otherwise specified, anomalies used in this paper are residuals subtracting monthly climatology from monthly data. The rest of this paper is organized as follows. In section 2, we introduce four data sets and a regional ocean model used in this study, and the model validation is also presented in this section. In section 3, we examine the correlation between the subsurface temperature/salinity anomaly of the BoB and the IOD through analyzing  
55 the four independent data sets and the model results. Connecting mechanisms and contributions of advection and diffusion are discussed in section 4. Section 5 gives the summary and discussion.

**Table 1.** Summary of Data Sets

Data set	Grid [°]	Period	Type
EN4	1 × 1	1951-2005	global quality controlled monthly objective analyses
GECCO2	1 × 1	1951-2005	ocean synthesis
MPI-ESM-MR	0.4 × 0.4	1951-2005	free run under historical condition
RC_Clim	1 × 1	2004-2018	Argo-based data

## 2 Data and model

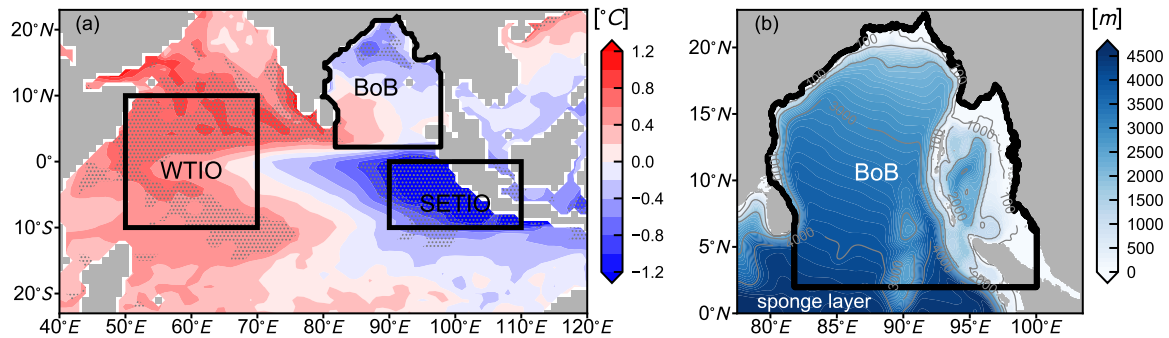
### 2.1 Data sets

In order to examine the potential correlation between the surface temperature pattern in the tropical Indian Ocean and the subsurface salinity variability in the BoB on the interannual scale, four independent data sets (Table 1) are used in this study. The first is the global quality controlled monthly ocean temperature and salinity objective analyses of version 4.2.1 of the Met Office Hadley Centre 'EN' series (Good et al., 2013), named as EN4. The second is an ocean synthesis, which is the German contribution of the Estimating the Circulation and Climate of the Ocean project GECCO2 (Köhl, 2015). The third is the free run of mixed resolution of MPI-ESM (Jungclaus et al., 2013) under historical condition, named as MPI-ESM-MR. The fourth is the Roemmich-Gilson Argo Climatology (Roemmich and Gilson, 2009), named as RG\_Clim, which offers a basic description of the modern upper ocean based entirely on Argo data. Due to the limitation of the data period, monthly anomalies of RG\_Clim are defined on its monthly climatology from 2004 to 2016. Monthly anomalies of the other three data sets are defined on their monthly climatology from 1971 to 2000.

### 2.2 Model setting

For the purpose of discussing the relevant processes and mechanisms, a regional ocean model is performed. The Hamburg Shelf Ocean Model (HAMSOM) we applied in this study is a three-dimensional baroclinic primitive equation model based upon a semi-implicit numerical scheme (Backhaus, 1985; Pohlmann, 1996, 2006). In contrast to explicit shelf sea models, the semi-implicit scheme proposed is faster and allows the simulation of the shelf and the deep ocean regions together without being limited by stability considerations for the free surface (Backhaus, 1985). The underlying primitive equations are defined in  $z$ -coordinates and Arakawa C-grid under the hydrostatic and Boussinesq assumption. For temperature and salinity, the second order Lax-Wendroff scheme is applied for advection, the horizontal eddy viscosity is defined according to Smagorinsky diffusivity (Smagorinsky, 1963), and vertical viscosity is calculated using the Kochergin scheme (Pohlmann, 1996, 2006).

In principle, we perform a dynamic downscaling simulation on the model domain using HAMSOM with the external forcing derived from MPI-ESM-MR historical scenario. The model domain (Figure 1b) covers the Bay of Bengal and the Andaman Sea, ranging zonally from  $77.4^{\circ}E$  to  $103.5^{\circ}E$  and meridionally from  $0$  to  $22.83^{\circ}N$ , with bathymetry derived from SRTM30\_PLUS (Becker et al., 2009). The horizontal model resolution is set to  $5' \times 5'$ . A total of 58 model layers are specified in vertical, of



**Figure 1.** Composite of sea surface temperature anomalies during ASO of pIOD years from MPI-ESM-MR (a). The contour intervals are  $0.2^{\circ}C$ . Anomalies significant at the 95% confidence level by a two-tailed Welch's t-test are hatched with grey dots. Western tropical Indian Ocean (WTIO) and southeastern tropical Indian Ocean (SETIO), the two areas related to the dipole mode index (DMI), are marked with black boxes. The bathymetry used in the downscaling simulation (b). Our research domain, the Bay of Bengal (BoB), is marked with black borders in (a) and (b).

which there are 26 layers over upper 200 meters and 33 layers over upper 400 meters. To stabilize the inner domain, a sponge layer is implemented along the lateral open boundaries to damp disturbances arising from inconsistencies within the prescribed boundary condition extracted from the MPI-ESM-MR. Therefore, only HAMSOM simulation results within the BoB region  
 85 (marked in Figure 1b) are analyzed.

Figure 1a offers an overview of our research domain and the tropical Indian Ocean, as well as showing a composite of sea surface temperature anomalies (SSTa) during August-October (ASO, for ease of presentation, the months in the following are simplified to initials) of pIOD years from MPI-ESM-MR. The pIOD years (1974, 1978, 1993, 1997, 2000) are identified by the normalized dipole mode index (DMI) calculated from the data set itself, in the places with peaks above  
 90 two and located around September (see Figure 7d). The This distribution of composited SSTa presents (Figure 1a) shows a significant dipole mode in the tropical Indian Ocean, which indicates the MPI-ESM-MR can is in good agreement with previous studies (Webster et al., 1999; Deser et al., 2010). The corresponding DMI time series (Figure 7d) exhibit reasonable interannual variation characteristics. This indicates that the global model used in this downscaling study can realistically re-  
 reproduce IOD events.

95 Sea level height, temperature, and salinity at lateral boundaries are monthly prescribed and derived from the oceanic part MPI-OM of MPI-ESM-MR. Atmospheric forcing, such as air temperature, cloud cover, precipitation, specific humidity, air pressure, wind stress, and wind speed at the open boundary, are six-hourly prescribed and derived from the atmospheric part ECHAM6 of MPI-ESM-MR. Under the consideration of a large amount of freshwater input through river discharge to our research domain, the river discharge is also prescribed six-hourly derived from ECHAM6. We applied a bias correction  
 100 for forcing parameters on the climatological scale in order to bring the climatology of our simulation closer to the reality, because they are extracted from a purely free run. Principally, the monthly climatology of the external forcing was corrected by

reference data by this bias correction procedure. The reference data for atmospheric forcing except air pressure are extracted from ERA5 (Hersbach et al., 2020). The air pressure kept unchanged since it only affects sea surface height due to the inverse barometer effect in HAMSOM, and its seasonal pattern matches well with the local monsoon system. The reference data for sea temperature and salinity are derived from the World Ocean Atlas 2018. The amplitude of river discharge was corrected by WaterGAP (Döll et al., 2003), and the location where the river discharge enters the ocean was also corrected. Although we applied the bias correction, the interannual signals from MPI-ESM-MR have not been changed, so the IOD signal input to our regional model is consistent with MPI-ESM-MR. Nevertheless, it is noteworthy that HAMSOM is a regional uncoupled ocean model, so no response of the atmosphere to the ocean is considered. Therefore, our model result must be treated as a pure response of the ocean to external signals rather than a two-way air-sea coupling simulation.

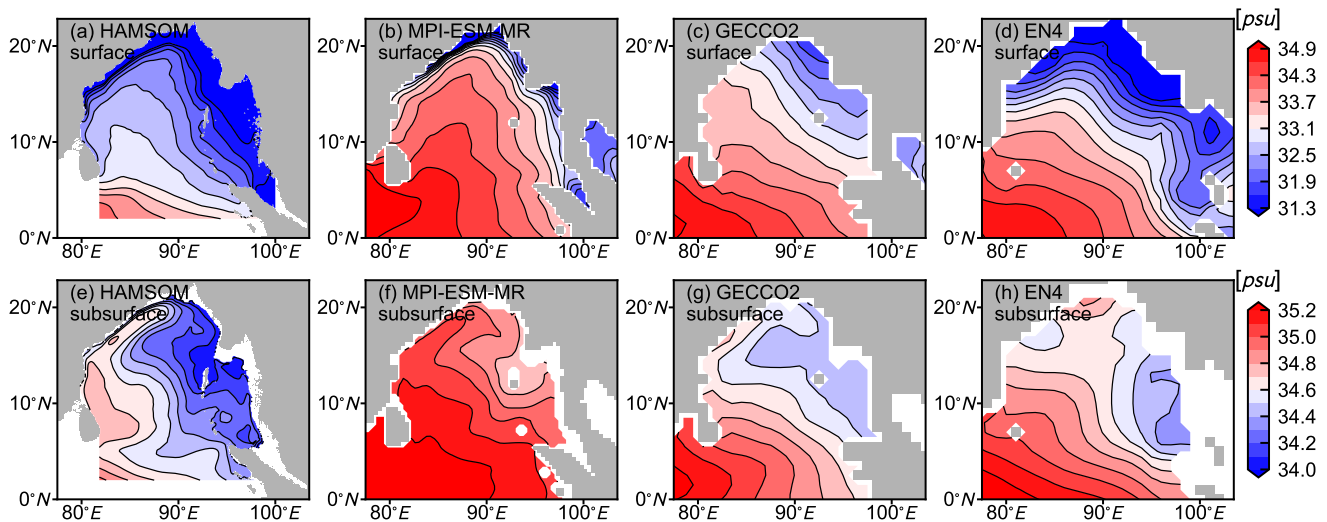
The simulation runs from 1951 to 2005 with 3 minutes time step and daily average output. In addition to typical output like temperature, salinity, and velocity, six terms concerning salinity change rate related to the contribution of advection and diffusion at U, V, W directions are conducted separately. Terms estimated from monthly outputs may differ significantly from those directly outputted by an online calculation, especially when high-frequency changes occur (Hasson et al., 2013; Köhler et al., 2018). This so-called 'online analysis' avoids the problem of large residual when directly using monthly data and allows us to precisely close the salinity budget and track relevant exchange processes of salinity.

### 2.3 Model Validation

Before investigating the interannual variability and detailed mechanisms of subsurface salinity in the BoB, the HAMSOM result is validated on the climatological scale by comparing it with other data sets. Figure 2 shows their spatial pattern of climatological surface and subsurface salinity. River discharge and distribution affect this spatial pattern at the surface, as well as the saline water from the western boundary. Reasons for the subsurface salinity pattern are complicated, ocean circulation and upwelling/downwelling systems may be involved. Both in the surface and in the subsurface, the climatological salinity from HAMSOM presents a gradient from southwest to northeast, which is more consistent with both individual data sets GECCO2 and EN4 than from MPI-ESM-MR. Hence it can be concluded that the bias correction we applied here improves our modeling by offering a more realistic climatological background.

Noteworthy that the seasonality is one of the most crucial characteristics in the research region. For the overall monthly climatology of salinity, HAMSOM results also show a reliable seasonal variability (Figure 3). At the surface, the significant seasonal salinity variability is supposed to be the consequence of freshwater flux variability caused by the monsoon. All five data sets show a consistent seasonality of the surface, which indicates the domination of monsoon in this region. The monthly climatological salinity of these data sets differs more for the subsurface than for the surface. The averaged Pearson correlation between each line shown in Figure 3b is 0.63, 0.42, ~~the~~ -0.60, 0.73, and 0.68 for EN4, GECCO2, RG Clim, MPI-ESM-MR, and HAMSOM, respectively. The lack of subsurface observations and more complex subsurface thermodynamics and hydrodynamics can be the reasons for these differences.

The upper ocean circulation is also validated in two sections (Figure 4 and Figure 5). In general, circulations from HAMSOM is in well agreement with those from MPI-ESM-MR and GECCO2. The direction of upper ocean currents is reversed in MJJ



**Figure 2.** Spatial pattern of climatological surface (a, b, c, d) and subsurface (100 m; e, f, g, h) salinity from HAMSOM, MPI-ESM-MR, GECCO2, and EN4, respectively. The contour intervals are 0.3 *psu* for surface, but 0.1 *psu* for subsurface.

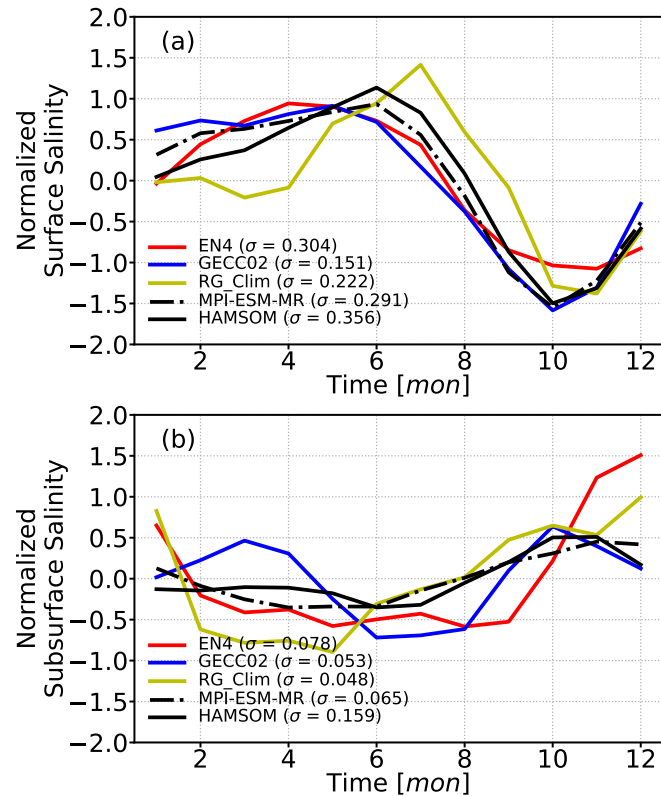
and NDJ, which indicates that the monsoon dominates the upper ocean flow field in the BoB. Given the higher model resolution and more accurate terrain, HAMSOM is expected to perform better in coastal areas. The western boundary current simulated by HAMSOM, also known as the East Indian Current, is stronger than that given by GECCO2 (Figure 4), which should be attributed to the higher resolution.

140 Figure 6 shows the Taylor diagram (Taylor, 2001) of the surface and subsurface salinity from HAMSOM and other data sets. In this Taylor diagram, the standard deviation reflects both the temporal variability and the spatial variability. The surface salinity standard deviation of HAMSOM is consistent with the observation-based EN4, indicating the realistic extent of HAMSOM in simulating realistically the amplitude of variations. Even though HAMSOM has a finer grid than EN4, the sea surface feature simulated by HAMSOM is largely determined by the coarser atmospheric forcing due to our simulation strategy, so a good agreement of surface salinity variability between HAMSOM and the reference data set is expected.

145 observations are sparse in the BoB, so the standard deviation of HAMSOM is larger than for EN4 may not represent the reality. Overall, the standard deviations of HAMSOM and other data sets are in close agreement. Considering that the high horizontal resolution of HAMSOM allows to resolve more mesoscale features, while the low resolution of the other data sets does not, the relatively large standard deviation of HAMSOM subsurface salinity is acceptable since it shows more spatial variabilities.

150 The RMS difference is often used to quantify differences of two fields. Compared to GECCO2 and MPI-ESM-MR, HAMSOM shows a relatively large difference to the reference data set EN4, the higher resolution of HAMSOM discussed above is expected to be the reason. However, HAMSOM simulated salinity variabilities are in a reasonable range.

The above validation indicates that HAMSOM model can reproduce reasonable climatological fields and is reliable to be used as a numerical approach to study the physical processes and their specific contributions for the BoB. The interannual



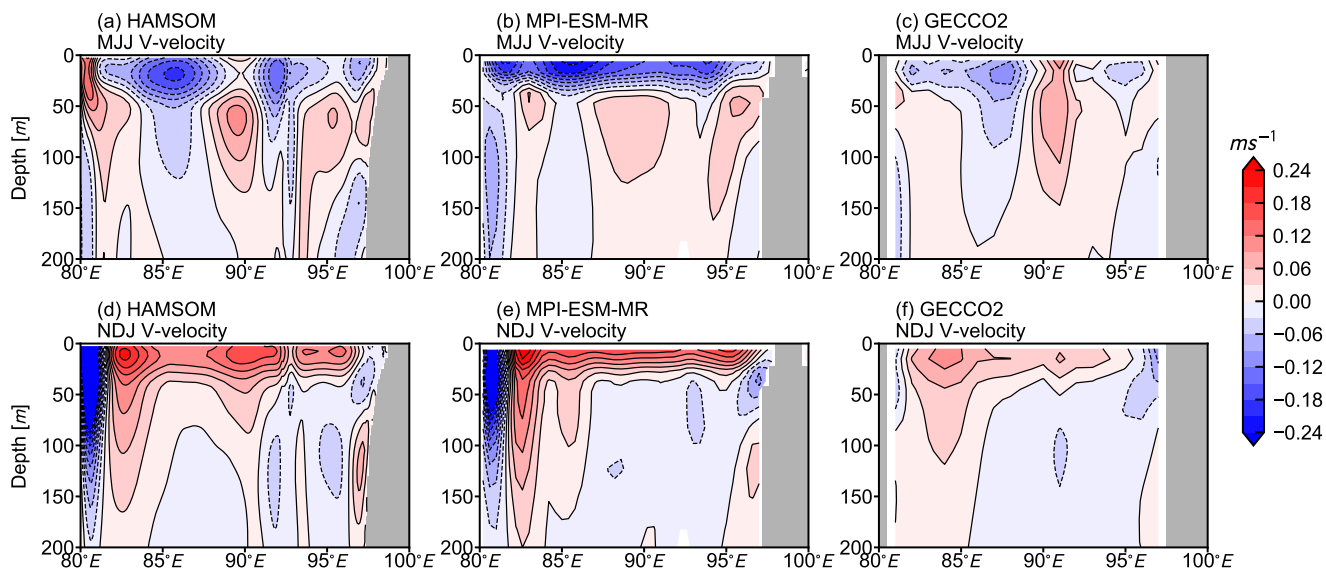
**Figure 3.** Normalized monthly climatology of surface (a) and subsurface (b) salinity of the BoB from EN4, GECCO2, RG\_Clim, MPI-ESM-MR, and HAMSOM, respectively. The standard deviation  $\sigma$  corresponding to each data set is labelled.

155 variations simulated by HAMSOM are combinations of external signals from MPI-ESM-MR and internal variabilities produced by HAMSOM itself. Hence, it can be concluded that it is reasonable to discuss the interannual variability and corresponding physical processes simulated by HAMSOM in the following sections.

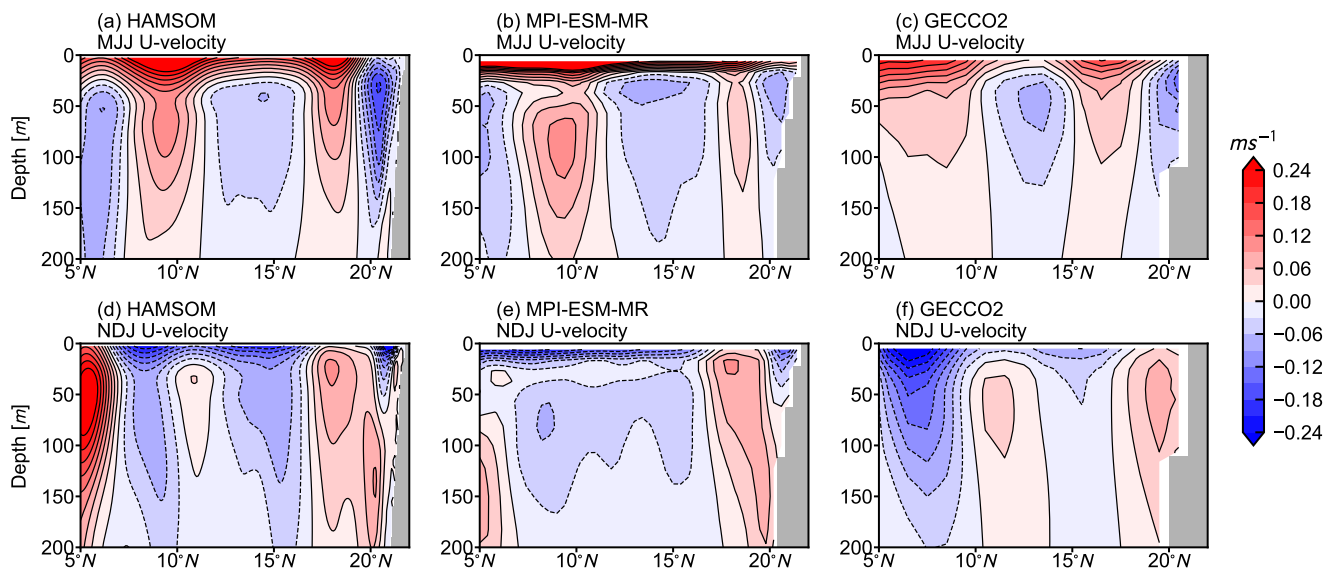
### 3 Lead-lag correlation

Four individual data sets and the downscaling model results are used in this section to examine if there is a statistically significant relationship between the subsurface temperature/salinity anomalies of the BoB and the SSTa of the tropical Indian Ocean on the interannual scale, specifically, the IOD. The DMI describes the difference in SSTa between the western tropical Indian Ocean (WTIO) and the southeastern tropical Indian Ocean (SETIO, see Figure 1). It has a strong correlation with the principal component of EOF2 in the tropical Indian Ocean and is considered to be a reliable representation of the IOD (Saji et al., 1999). The time series of DMI can indicate different phases of the IOD, so in this study, DMI also covers the meaning of IOD variability.

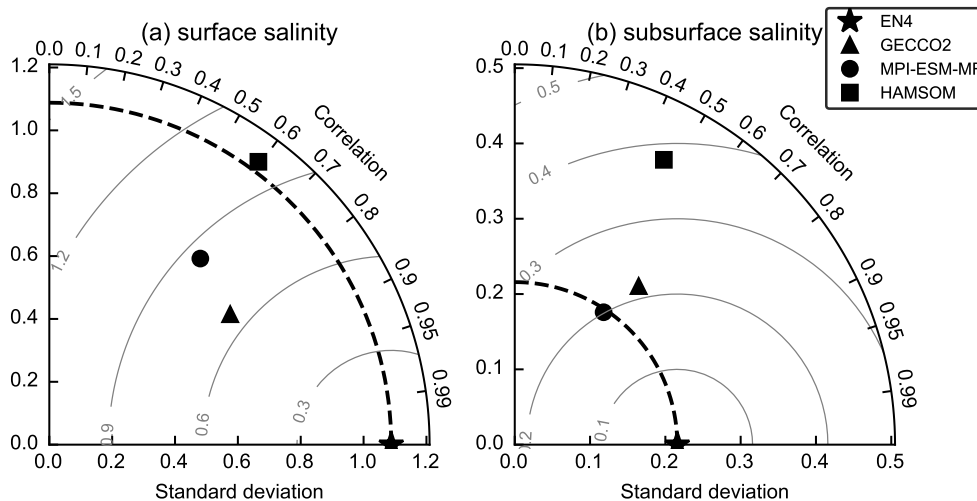
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**Figure 4.** Depth-longitude section of climatological V-velocity (averaged over  $10^{\circ}N$  to  $12^{\circ}N$ ) during MJJ (a, b, c) and NDJ (d, e, f) from HAMMOM, MPI-ESM-MR, and GECCO2, respectively. The contour intervals are  $0.03 \text{ ms}^{-1}$ .



**Figure 5.** Depth-latitude section of climatological U-velocity (averaged over  $88^{\circ}E$  to  $90^{\circ}E$ ) during MJJ (a, b, c) and NDJ (d, e, f) from HAMMOM, MPI-ESM-MR, and GECCO2, respectively. The contour intervals are  $0.03 \text{ ms}^{-1}$ .

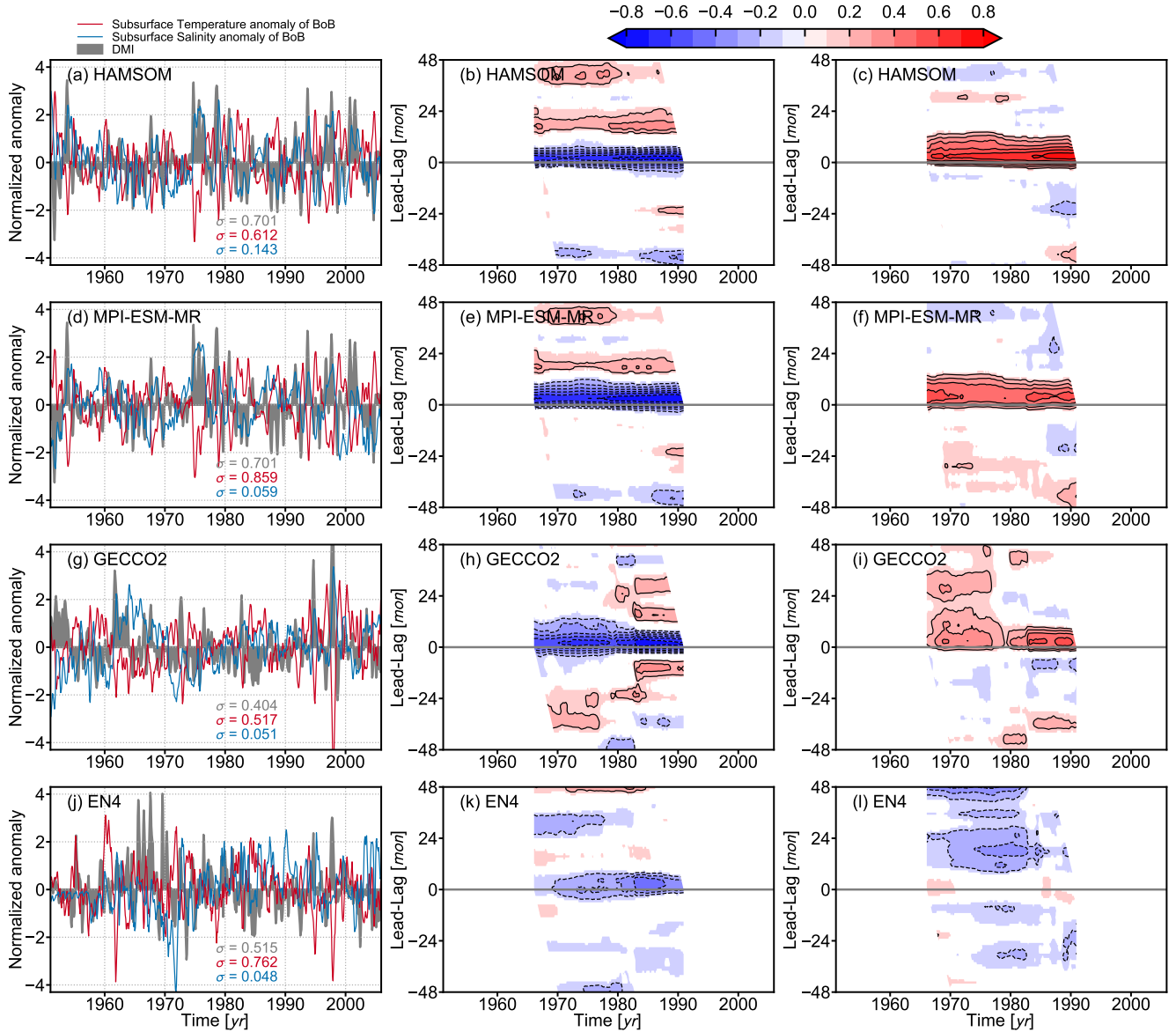


**Figure 6.** The Taylor diagram of (a) the surface salinity and (b) the subsurface salinity from 1971 to 2000 for different data sets. The observation-based EN4 (pentagon) is chosen as the reference data set. Grey lines indicate the centered RMS difference from the reference data set.

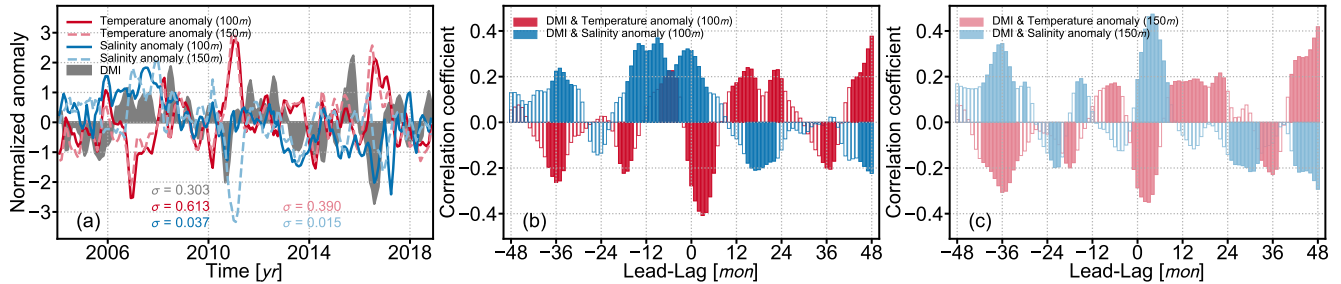
In order to focus on interannual variations, a 3-month running mean is applied on the monthly time series of DMI and the domain averaged subsurface temperature and salinity anomalies of the BoB. Normalized time series from HAMSOM, MPI-ESM-MR, GECCO2, and EN4 are shown in the first column of Figure 7. Subsurface is defined at a depth of 100 m, where wind-induced mixing is negligible, while upwelling/downwelling still plays a role. The DMI time series of HAMSOM is extracted from MPI-ESM-MR. DMI extracted from different data set have similar interannual variation characteristics, but they do not precisely match. EN4 and GECCO2 both well capture some typical pIOD events like in the year 1994 and 1997. While the free run of MPI-ESM-MR shows a reasonable amplitude and interannual variations and does not exactly repeat the positive event in 1994, which has to be expected, since in this historical run only the statistical features have to be consistent. Lead-lag running Pearson correlation coefficients with a [windows-window](#) of 30 years between the DMI and the domain averaged subsurface temperature/salinity anomaly of the BoB ([as indicated in Figure 1b](#)) are calculated. The value of the Pearson correlation determines the extent of linearity between two variables. All these four data sets show that the subsurface temperature anomaly of the BoB negatively correlates to the DMI with a notable lag of about three months on average. Results from HAMSOM, MPI-EMS-MR, and GECCO2 also show a similar but positive correlation between the subsurface salinity anomaly of the BoB and the DMI, but results from EN4 do not.

At the same time, by comparing the correlation magnitudes obtained from different data sets, it is noticeable that the correlation is stronger when the data set shows a lower degree of freedom. [The term "degree of freedom" is used here to describe the inherent complexity of a data set, and this complexity is mainly determined by the number of processes involved to create the specific characteristics of the respective data set.](#) For example, HAMSOM has [less-complexity-a lower degree of freedom](#) than MPI-EMS-MR because it is a regional ocean model [not-including-does not include](#) the ocean-atmosphere feedback processes.





**Figure 7.** Normalized 3-month running mean of DMI, temperature anomaly and salinity anomaly at subsurface (100 m) of the BoB from HAMSOM (a), MPI-ESM-MR (d), GECCO2 (g), and EN4 (j), respectively, are shown in the first column. The standard deviation  $\sigma$  is labelled with the corresponding color. Lead-lag running Pearson correlation coefficient with a [windows-window](#) of 30 years between the DMI and the subsurface temperature (salinity) anomaly from each data set is shown in the second (third) column, respectively. The contour intervals are 0.1. Only significant correlation coefficients with  $p$ -value  $< 0.05$  are shaded.



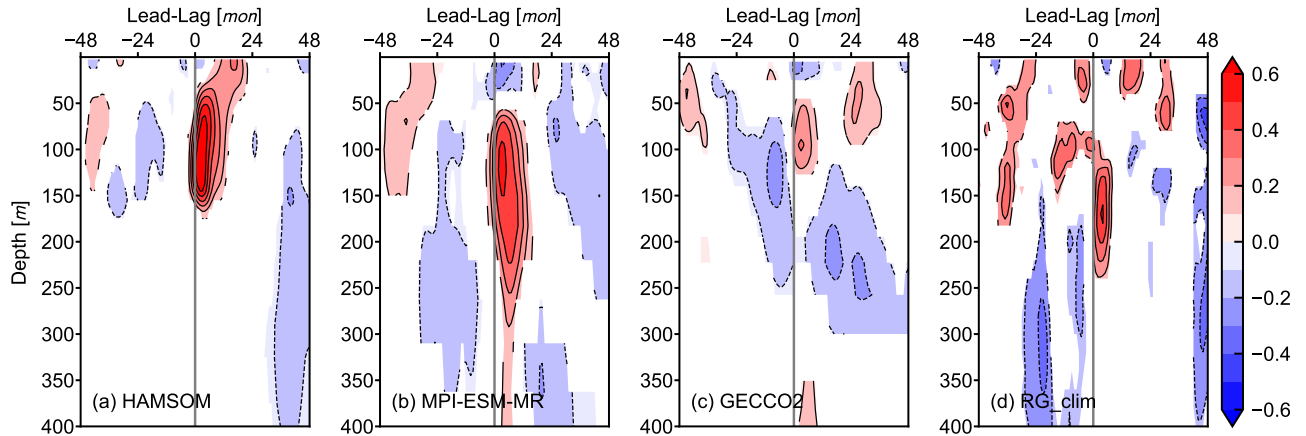
**Figure 8.** Normalized 3-month running mean of DMI, temperature anomaly and salinity anomaly at subsurface of the BoB from Argo based RG\_Clim are shown in (a). The standard deviation  $\sigma$  is labelled with the corresponding color. Their respective lead-lag relations described by Pearson correlation coefficient between the DMI and subsurface anomalies are shown in (b, of 100 m) and (c, of 150 m). Only significant correlation coefficients with  $p$  – value  $< 0.05$  are shaded.

185 GECCO2 has a higher degree of freedom because assimilation processes are included. EN4 ~~shows~~ is supposed to have the  
highest degree of freedom of these four data sets because it is based on observations. ~~However, the~~ Hence, the difference in  
correlation magnitudes between them can be explained by the difference in their respective degrees of freedom. Therefore, it  
can be reasonably inferred that this correlation does also exist in the real ocean, but at the same time, there are many different  
and mostly less important processes involved in reality that obscure the correlation. In this sense, our HAMSOM simulation is  
190 more suitable to investigate the major physical processes behind the presented correlation.

The lack of observations and the objective analysis method used in EN4 limits its capability to reproduce the interannual  
subsurface salinity variability in the BoB. There is a weight index from 0 to 1 in EN4 which states the total weighting given  
to the observation increments when forming this analyses, and the mean weight of subsurface temperature and salinity for the  
BoB is 0.57 and 0.22, respectively, which points out the lack of salinity observations in the BoB. For example, there are almost  
195 no observations from 1951 to 1956 for subsurface salinity of the BoB, so the subsurface salinity anomaly shows artificial  
oscillations during this period (Figure 7j).

The situation of lack of subsurface salinity observations in the BoB has improved since 2000, especially with the devel-  
opment of Argo. We present related time series and their lead-lag relation calculated from RG\_Clim in Figure 8. These time  
series support the correlations described by the other four data sets, and the subsurface salinity anomaly positively correlates  
200 with the DMI. The DMI leads the subsurface temperature anomaly, which can be seen for 100 m and 150 m depth. Such a  
leading relationship corresponding to the subsurface salinity anomaly suggested by model-related results does not show for  
100 m, but a broad, positive correlation with a peak value close to 0.4 is clear. Moreover, at 150 m depth, the DMI leads the  
salinity anomaly for four months with a peak correlation of over 0.4. Although the time length of RG\_Clim is not as long as  
the other data sets, this Argo-based data clearly shows that the subsurface salinity anomaly of the BoB is correlated to the zonal  
205 gradient of SSTa in the tropical Indian Ocean.

Lead-lag Pearson correlation coefficients between the DMI and the salinity anomalies of the BoB at different depths are  
shown in Figure 9. Three aspects shown by these data sets are noteworthy. First, the most significant positive correlation



**Figure 9.** Lead-lag Pearson correlation coefficient between the DMI and salinity anomalies of the BoB at different depths from HAMSOM (a), MPI-ESM-MR (b), GECCO2 (c), and RG\_Clim (d), respectively. The contour intervals are 0.1. Analysis period is from 1960 to 2005 for (a), from 1951 to 2005 for (b) and (c), and from 2004 to 2018 for (d), respectively. Only significant correlation coefficients with  $p$ -value < 0.05 are shaded.

appears below 50  $m$ , and it is possible to be as deep as 250  $m$ . Second, the DMI is leading a few months. Third, no obvious positive correlation is validated for the sea surface. These results suggest that the subsurface salinity anomalies of the BoB are indeed related to the IOD with a considerable delay. On average, their correlation reaches its maximum at a three-month delay. The local intense wind-induced mixing and other surface factors that are not closely related to the IOD are the reasons for the upper 50  $m$  of the BoB does not reflect this correlation.

By analyzing time series and their Pearson correlation coefficients, a time-delayed of about three months and positive correlation between the subsurface salinity anomaly of the entire BoB and the IOD represented by the DMI is revealed by observations, ocean synthesis, and modeling. A similar but negative correlation is also revealed between the subsurface temperature anomaly of the BoB and the IOD. The correlation between them differs in different data sets and becomes smaller when the data set has a higher degree of freedom; this is because some other variations may obscure the correlation we are focusing on. However, this correlation can still be detected in the observational data, and it is very significant in the model-related data.

#### 4 Mechanisms

In the above analysis, we have determined and discussed the lead-lag correlation between the domain averaged subsurface salinity anomaly of the BoB and the IOD. In this section, we mainly study how are these two variabilities connected by analyzing the HAMSOM result. Besides the connecting mechanisms, related physical processes of BoB's responses to IOD events are also a subject of this section. Several reasons may result in changes in salinity anomalies of the BoB, for example, the salinity redistribution within the BoB or the salinity exchange between the BoB and its surroundings. Whatever the reason

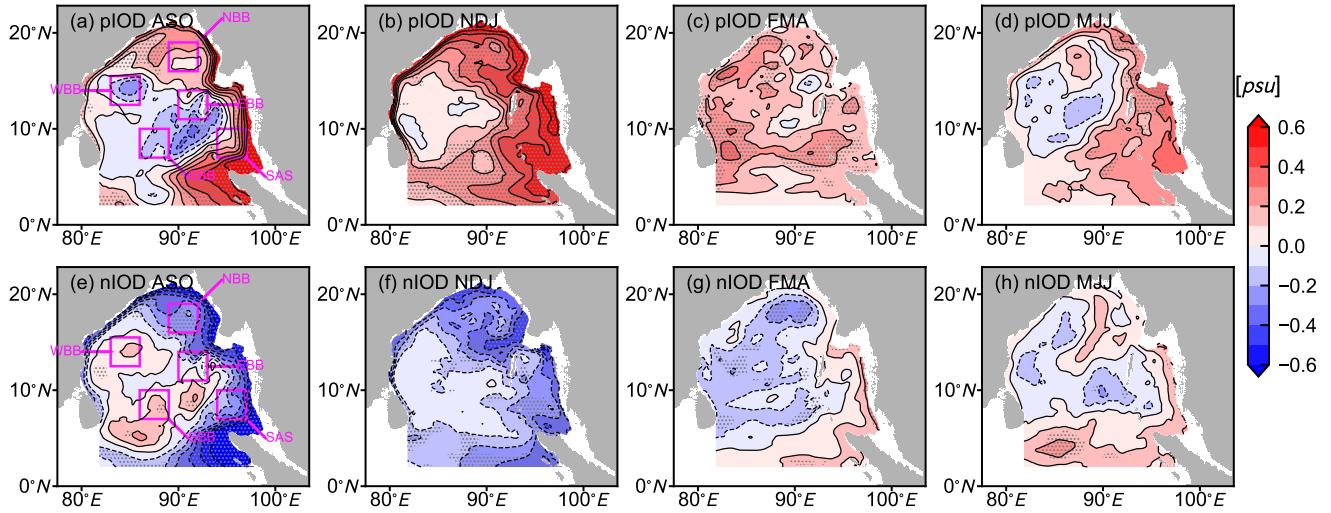
225 is, it will eventually be reflected in the salinity advection and diffusion. In this manner, an online analysis of the salinity budget is used in this section.

#### 4.1 Connecting mechanism

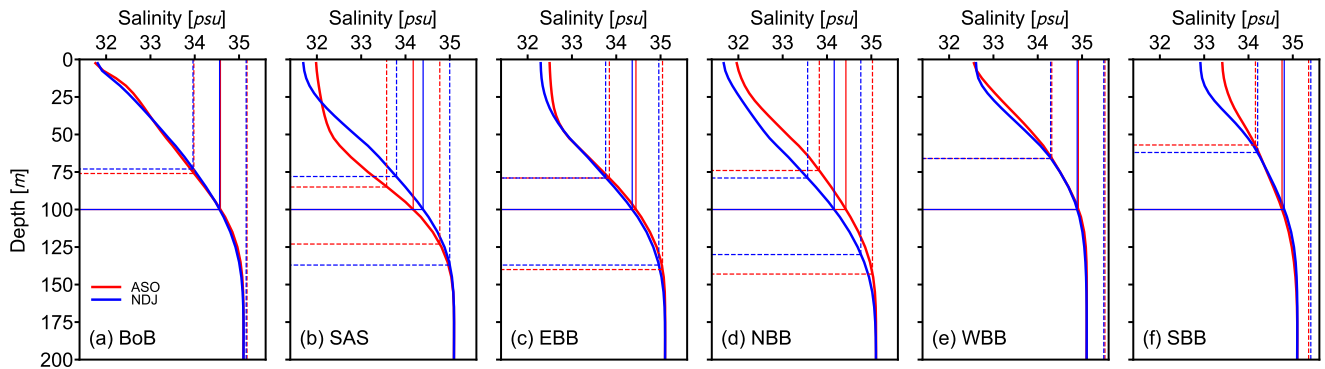
To figure out the general feature of the response of the subsurface salinity in the BoB to the IOD, we construct composites of subsurface salinity anomalies during ASO, NDJ, FMA, and MJJ, of pIOD and nIOD years, respectively (Figure 10). The nIOD years (1979, 1988, 1992, 1998, 2004) are defined similarly to pIOD years, but with valleys below minus two normalized anomaly (see Figure 7d). The pattern of subsurface salinity anomalies is opposite during pIOD and nIOD events in ASO, as well as in NDJ when IOD events end with the DMI is returning to 0. This opposite feature is getting weaker over time, which can be seen in FMA and MJJ. When a pIOD or nIOD event happened in the tropical Indian Ocean, areas near the BoB coasts first show large and statistically significant anomalies (Figure 10a, e). Next, these large and statistically significant anomalies show in most areas of the eastern basin but are limited to the western boundary areas (Figure 10b, f). This developing process is consistent with the characteristics of the coastal Kelvin wave and westward Rossby waves. The Welch's t-test we employed is specifically designed to work for small samples. Of course, more IOD events would strength the power of the test. However, from our results, the five IOD events are sufficient to show the significant differences between IOD years and climatological conditions, and also the statistical propagation characteristics of these IOD-related waves.

240 We speculate the propagation process is as follows. First, the subsurface disturbance signals in the eastern equatorial Indian Ocean related to the IOD propagate counterclockwise along the BoB coasts in the form of coastal Kelvin waves. The estimated wave phase speed is about  $2.65 \text{ m s}^{-1}$ , so it takes approximately two weeks to propagate from the equator to the northern BoB (Moore and McCreary, 1990; Cheng et al., 2013). These coastal Kelvin waves travel fast explaining why the related significant anomalies first shown-up near the coasts. Subsequently, these signals are reflected at the eastern boundary and propagate westward into the interior of the basin, since the phase speed of Rossby waves is predominantly moving westward. This also explains, why the signal near the western boundary seems to be trapped there. From the significant area of influence in NDJ, these Rossby waves travel slower, which accounts for the domain averaged subsurface salinity anomaly lags the DMI.

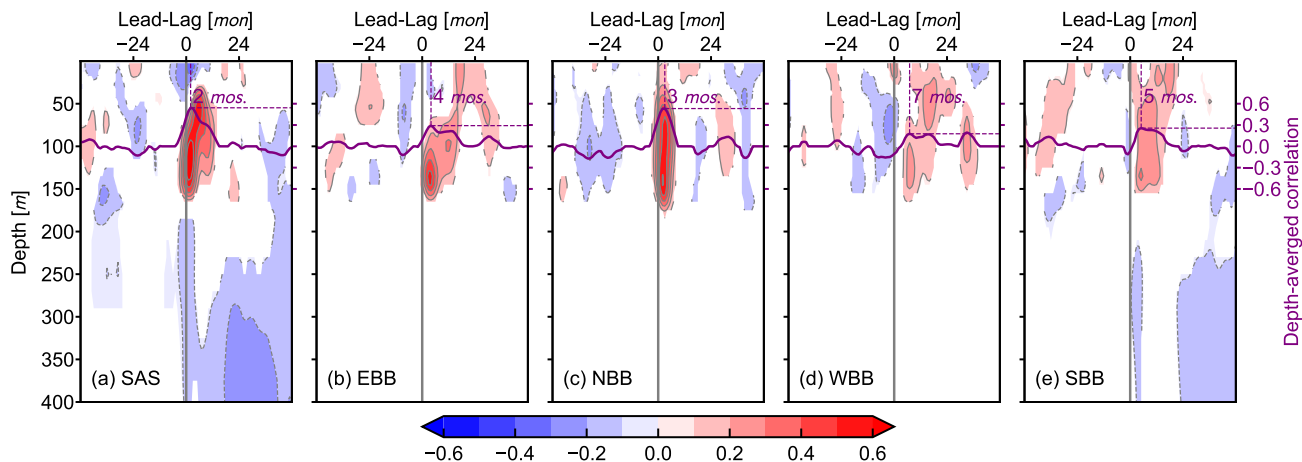
250 Five subareas are selected in order to further investigate the response of different areas to the IOD (Figure 10a, e). Figure 11 shows the typical salinity profiles and the equivalent vertical displacement, yielding a  $0.6 \text{ psu}$  salinity anomaly in the BoB and in the five subareas. The salinity profiles of these subareas demonstrate the distribution of salinity stratification and their seasonal changes in our research domain. This distribution is consistent with our model validation shown in Figure 2. Due to the influence of river discharge, as well as the intrusion of saline water from the open lateral boundary, the salinity stratification shows a weakening gradient from northeast to southwest. As shown in Figure 10, the averaged subsurface salinity anomaly for extreme IOD events can reach approximately  $0.6 \text{ psu}$  in areas close to the coast. As indicated in Figure 11, a  $0.6 \text{ psu}$  salinity anomaly is equivalent to about 20-50 m vertical displacement. Apparently, these displacements are already significant for vertical water motions, and would become even larger for some extreme IOD events, since the results shown here are representative for the mean state.



**Figure 10.** Composite of subsurface (100 m) salinity anomalies during ASO (a, e), NDJ (b, f), FMA (c, g), and MJJ (d, h), of pIOD and nIOD years, respectively, from HAMSOM. The contour intervals are 0.1 *psu*. Anomalies significant at the 95% confidence level by a two-tailed Welch's t-test are hatched with grey dots. Selected subareas are marked with magenta boxes in (a) and (e).



**Figure 11.** Domain-averaged climatological vertical salinity profiles of the BoB (a) and subareas (b, c, d, e, f) during ASO (red thick line) and NDJ (blue thick line). Solid thin lines with corresponding colors indicate the salinity at 100 m depth. Dashed thin lines with corresponding colors indicate the equivalent vertical displacement, yielding a 0.6 *psu* salinity anomaly.

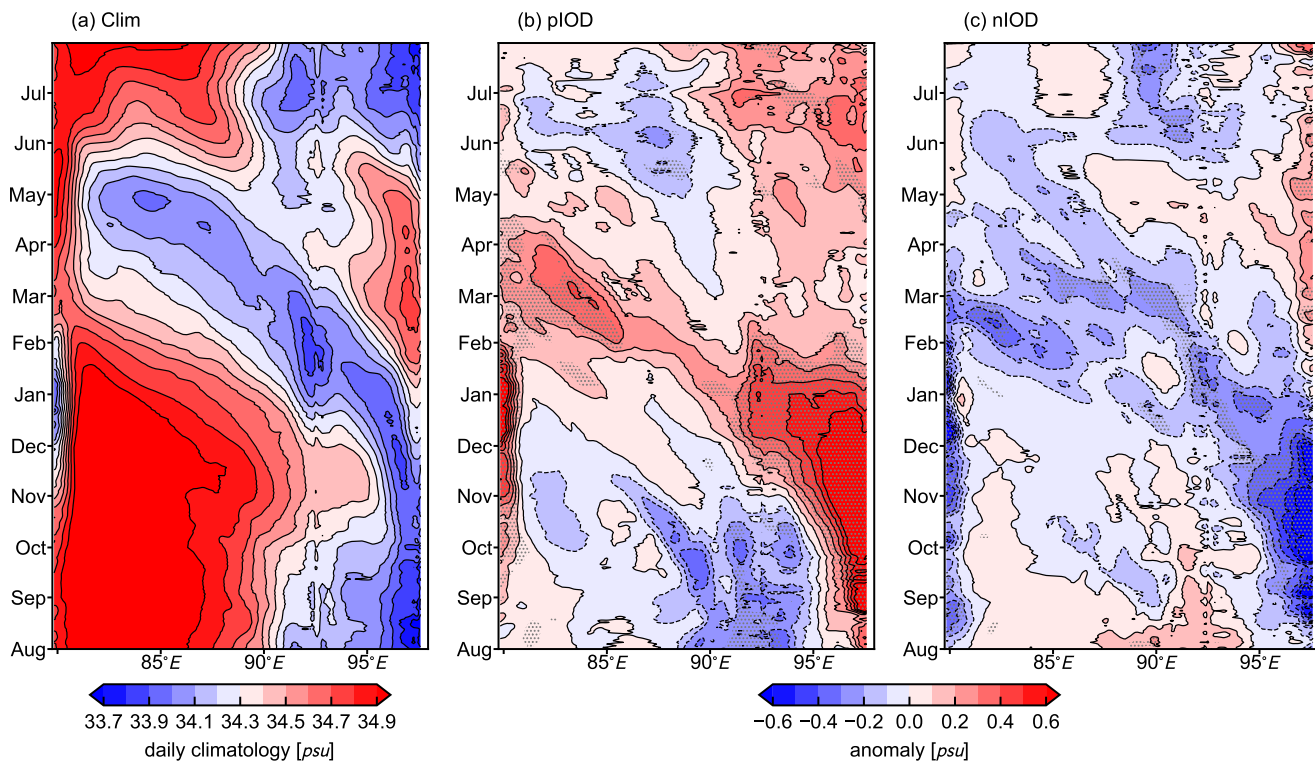


**Figure 12.** Lead-lag Pearson correlation coefficient between the DMI and the salinity anomaly of subareas SAS (a), EBB (b), NBB (c), WBB (d), and SBB (e), respectively, at different depths, from HAMSOM. The contour intervals are 0.1. Analysis period is from 1960 to 2005. Only significant correlation coefficients with  $p$ -value  $< 0.05$  are shaded. Purple solid lines indicate the depth-averaged correlation over 50-150 m depth range. Purple dashed lines indicate the highest correlation and the corresponding lag.

A similar plot as Figure 9 but for subareas is presented in Figure 12. The closer the subarea is to the eastern boundary, the stronger the Pearson correlation between the DMI and the local salinity anomaly. When the subarea is close to but not directly at the western boundary, the correlation is relatively weak, which indicates that the signal is trapped at the west boundary (Figure 12d). Results from the subarea closest to the equator also show weaker correlations (Figure 12e), while results from the subarea that is far away from the equator but closer to the eastern boundary show stronger correlations (Figure 12c), suggesting that the signal propagates along the boundary rather than directly goes north. The lags for these subareas also indicate that the subarea SAS is affected first, then NBB, and subsequently EBB. These features support our speculation that the interannual subsurface salinity variability in the BoB is connecting to the IOD through both coastal Kelvin waves and westward Rossby waves.

It is challenging to observe Rossby waves in the BoB if we only use monthly data because of the basin size. Therefore, daily data from HAMSOM is used for tracking Rossby waves. As the Hovmöller diagram of daily climatological subsurface salinity averaged over  $10^{\circ}N$  to  $12^{\circ}N$  shown in Figure 13a, a westward Rossby wave signal can be seen by the westward low-salinity water. This signal takes approximately four months to cross the basin zonally, and from this, it can be estimated that its propagation speed is about  $0.16 \text{ ms}^{-1}$ . Low-salinity water already appears at the western boundary before the westward Rossby wave had reached here. In May, even though the westward Rossby wave signal represented by the low-salinity water has reached the western boundary, the water at the western boundary is as salty as the water at the eastern boundary, demonstrating that coastal Kelvin waves travel faster and dominate the coastal zone in the BoB.

In pIOD and nIOD years, the propagation characteristics of coastal Kelvin waves and westward Rossby waves are essentially the same as the climatology, but carrying positive and negative anomalies, respectively (Figure 13b, c). These statistically



**Figure 13.** Hovmöller diagram of daily climatological subsurface (100 m) salinity (a; averaged over  $10^{\circ}N$  to  $12^{\circ}N$ ) from HAMSON. The intervals are  $0.1 \text{ psu}$ . (b and c) as in (a), but for composite of subsurface salinity anomalies for pIOD (b) and nIOD (c). The intervals are also  $0.1 \text{ psu}$ . Anomalies significant at the 95% confidence level by a two-tailed Welch's t-test are hatched with grey dots.

significant anomalies first appear at the eastern boundary, then at the western boundary, then in the basin interior, which indicates that the extreme IOD signal is propagated to the entire BoB by both coastal Kelvin waves and westward moving Rossby waves. Previous studies have demonstrated the dominant role of coastal Kelvin waves in sea level variability in the BoB, especially near the eastern and northern boundaries (Han and Webster, 2002; Cheng et al., 2013). Our analysis about  
 280 subsurface salinity anomalies suggests that the coastal Kelvin waves also dominate the western boundary when extreme IOD events occur. The positive anomalies associated with pIOD reduce the zonal gradients of subsurface salinity, while the negative anomalies associated with nIOD increase their zonal gradients and result in different baroclinic Rossby wave modes with different propagating speed.

285 We also calculated the correlation between the local wind curl and salinity in the BoB and all subareas (not shown). The results show that these two parameters are strongly correlated on the seasonal scale, but there is no significant correlation on the interannual scale, which indicates that the interannual signal is not from the wind field. Therefore, the model result suggests that the propagation process through coastal Kelvin waves and westward Rossby waves is the primary connecting mechanism of the delayed positive correlation between the subsurface salinity anomaly of the BoB and the zonal SSTa gradient in the tropical

290 Indian Ocean. The interannual variability of thermocline depth in the eastern Indian Ocean is dominated by equatorial Indian Ocean winds, which drive eastward moving equatorial Kelvin waves that are blocked at the Sumatra-Java coasts (Du et al., 2012; Chen et al., 2015). It has been shown that enhanced upwelling occurs in the eastern Indian Ocean during pIOD years (Chen et al., 2016). This enhanced upwelling signal is converted into coastal Kelvin waves, which propagate counterclockwise along the boundary of the BoB. Subsequently, this signal is reflected at the eastern boundary forming westward moving Rossby waves that keep propagating into the central basin. During nIOD events the related subsurface anomalies in the BoB are modulated in a similar way.

#### 4.2 Contributions of advection and diffusion

By outputting terms concerning salinity change rate related to the contribution of advection and diffusion, we can precisely close the salinity budget and analyze changes of advection and diffusion in the BoB in different IOD phases. The salinity budget can be written as follows:

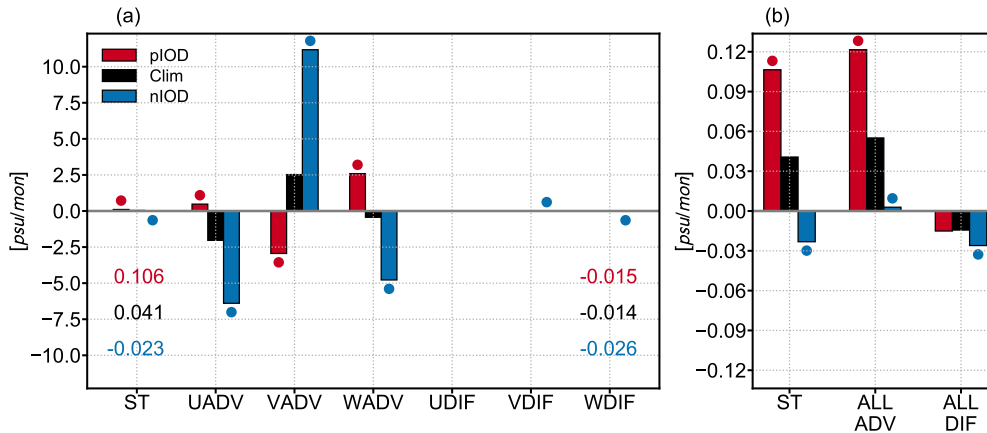
$$\frac{\partial S}{\partial t} = -u \frac{\partial S}{\partial x} - v \frac{\partial S}{\partial y} - w \frac{\partial S}{\partial z} + \frac{\partial}{\partial x} \left( \kappa_H \frac{\partial S}{\partial x} \right) + \frac{\partial}{\partial y} \left( \kappa_H \frac{\partial S}{\partial y} \right) + \frac{\partial}{\partial z} \left( \kappa_V \frac{\partial S}{\partial z} \right), \quad (1)$$

where  $S$  is salinity,  $u$ ,  $v$  and  $w$  are zonal, meridional and vertical velocity, respectively,  $\kappa_H$  and  $\kappa_V$  are horizontal and vertical diffusion coefficients, respectively. The left side represents the salinity tendency (ST), while the right side from left to right represents the salinity change rate of zonal (UADV), meridional (VADV), vertical (WADV) advection, and of zonal (UDIF), meridional (VDIF), vertical (WDIF) diffusion, respectively.

A salinity budget of the BoB at 100  $m$  during ASO is presented in Figure 14. For terms on the right side of the equation 1, at this depth, the advection term is much larger than the diffusion term, and the vertical diffusion term is larger than the horizontal diffusion term. The sum of these large advection terms becomes much smaller and about the same magnitude as the salinity tendency and the vertical diffusion (Figure 14b). All advection terms show significant differences in pIOD and nIOD events comparing to the climatology. Especially during nIOD, the salinity changes caused by advection at each direction increase, which is believed to be the result of increased zonal subsurface salinity gradients associated with nIOD. Meanwhile, it can be seen that at this depth, on the average for the entire BoB, the vertical advection contributes positively to the positive salinity tendency of pIOD and the negative salinity tendency of nIOD. In contrast, the summed horizontal advection contributes negatively.

Figure 15 shows the sum of advection terms, the sum of diffusion terms, and the final salinity tendency at different depths of the BoB and other selected subareas during ASO. The salinity tendency shows a subsurface salinity increase (decrease), indicating a positive (negative) anomaly for pIOD (nIOD) years, which in this season show an obvious response to the IOD signal (Figure 15m, n, o). The results in different regions show that the salinity tendency is dominated by diffusion near the surface, while it is dominated by advection for the subsurface. The dominant role of diffusion near the surface can be explained by the wind-induced mixing. The salinity change rate due to advection shows more obvious responses in the subsurface during both extreme IOD events, especially in the subareas SAS and EBB, and the entire BoB, suggesting that the correlation we are discussing is mainly caused by advection processes.





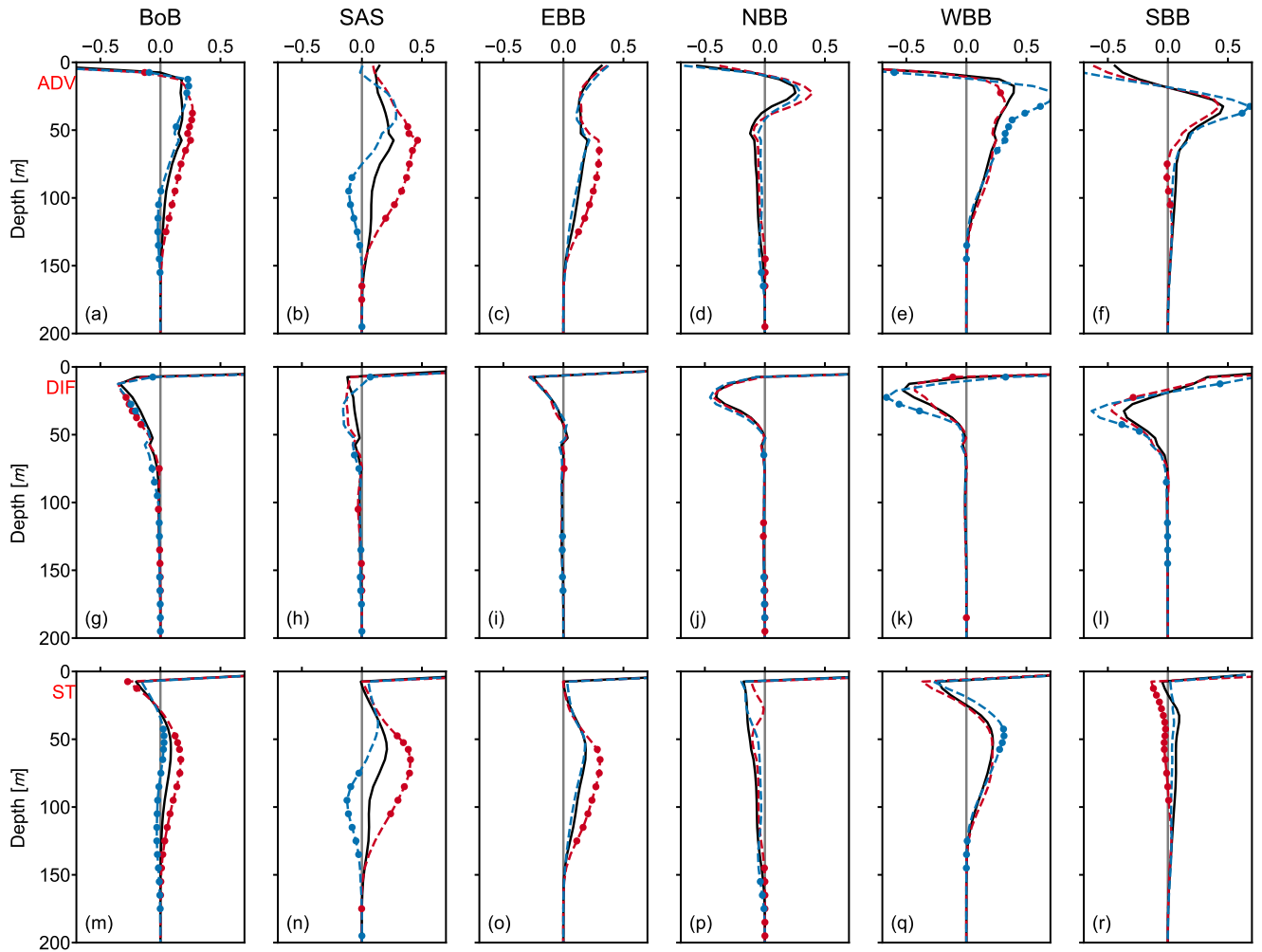
**Figure 14.** Domain-averaged subsurface (100 m) salinity tendency and related salinity change rate terms of the BoB during ASO of pIOD years, nIOD years, and climatological period, respectively, from HAMSOM (a). The values of ST and WDIF in different cases are labelled with the corresponding color. The sum of all advection terms and the sum of all diffusion terms are shown in (b). Dots with corresponding color indicate that they are significant different at the 95% confidence level by a two-tailed Welch's t-test comparing to the climatology.

As we analyzed through multiple data sets, there exists a delayed positive correlation between the subsurface salinity anomaly of the BoB and the zonal SSTa gradient in the tropical Indian Ocean represented by the DMI. Therefore, by analyzing the salinity budget of the BoB, the model results suggest that the contribution of advection plays a dominant role in this correlation. Particularly, the vertical advection contributes positively, while the horizontal advection contributes negatively to the correlation stated above.

## 5 Conclusions

In this study, we have investigated the subsurface salinity variability in the BoB on the interannual scale and its relation with the IOD through multiple data sets, and we have also investigated the corresponding mechanisms through a regional ocean model simulation. The regional downscaling model successfully reproduces the reasonable climatology of the salinity and flow field, proving its capability for investigating the physical processes in the BoB. In order to further discuss advection and diffusion contributions to salinity, we have performed an online analysis of salinity budget. This approach can precisely close the salinity budget, and hence, reflects the response of salinity in the BoB to the IOD, also with respect to the driving mechanisms in a quantitative manner.

A delayed positive correlation between the subsurface salinity anomaly of the BoB and the IOD was revealed by analyzing their Pearson correlation coefficient. This correlation is not only shown in the modeling data but also in the ocean synthesis and observations. On average, a lag of three months shows the strongest correlation. Meanwhile, the correlation is relatively weaker when the data set shows a higher degree of freedom, suggesting that some processes exist in reality, which are not well resolved by numerical simulations that may disturb the relation between the subsurface salinity variability of the BoB and the



**Figure 15.** Sum of domain-averaged advection terms (in  $psu/mon$ ) at different depths of the BoB (a) and subareas (b, c, d, e, f) during ASO. Black solid line is for the climatology; red and blue dashed line is for the composite of pIOD and nIOD years, respectively. Dots with corresponding color indicate that they are significant different at the 95% confidence level by a two-tailed Welch's t-test comparing to the climatology. The second and third row as in the first row, but for sum of domain-averaged diffusion terms and salinity tendency, respectively.

IOD on the interannual scale. From this perspective, the numerical simulation is a more suitable method for investigating the physical processes behind this correlation.

The model results suggested that the interannual subsurface salinity variability in the BoB and the IOD variability in the tropical Indian Ocean are connected by both coastal Kelvin waves and westward moving Rossby waves. First, coastal Kelvin waves carry the disturbance signal in the eastern equatorial Indian Ocean that is related to the IOD, propagating counterclockwise along the BoB coasts. subsequently, the signal reflects at the eastern boundary and propagates westward to the basin interior in the form of Rossby waves. The main reason that the domain averaged subsurface salinity anomaly lags the DMI several months is that the westward Rossby waves travel slowly. The analysis of the salinity budget revealed that the contribution of advection plays a dominant role in this correlation. Particularly, the vertical advection shows a positive contribution, while the horizontal advection shows a negative contribution.

For the eastern equatorial Indian Ocean, the weakening of Wyrтки jet and the strengthening of upwelling caused by the easterly wind anomalies during pIOD result in freshening at the surface and saltening at the subsurface (Kido and Tozuka, 2017). Large-scale wind stress anomalies play the dominant role in the salinity anomalies of this area during IOD events through modulating salinity advection mainly (Kido et al., 2019a). For the BoB, the model results suggest that the remote forcing from the equatorial Indian Ocean converted into coastal Kelvin waves and westward moving Rossby waves is the principal mechanism, which is responsible for the interannual salinity variability in the subsurface. Because of the unique topographic configuration, the BoB is more susceptible to equatorial signals than any other ocean region. Equatorial signals are carried by equatorial Kelvin waves propagating eastward to west coast of Sumatra. Here coastal Kelvin waves are generated, which in turn affect the BoB (Cheng et al., 2013). Correlation analysis shows that the subsurface salinity anomaly positively correlates with the IOD, while the subsurface temperature anomaly negatively correlates, which implies that the IOD remotely modulates the vertical advection in the BoB subsurface. The salinity budget of HAMSOM results proves that the vertical advection positively contributes to the correlation between the subsurface salinity anomaly of the BoB and the IOD. The decomposition of advective anomalies (Zhang et al., 2013; Li et al., 2016; Kido and Tozuka, 2017) will be helpful to understand the specific contribution of each specific process. For instance, it will allow separately diagnose the contribution of the anomalous vertical salinity gradient and the contribution of the anomalous vertical velocity. During the pIOD phase, intensified upwelling occurs in the eastern Indian Ocean (Nyadjro and McPhaden, 2014; Chen et al., 2016), inducing an uplift of cold, more saline water along the eastern BoB coasts. This anomaly in turn induces coastal Kelvin waves, which are reflected at topographic disturbances, inducing Rossby waves that move westward to the central basin. Through this chain of processes, the remote forcing from the equatorial Indian Ocean is able to dominate the interannual subsurface temperature/salinity variability in the BoB. The BoB is known as a region with vigorous mesoscale eddy activity (Chen et al., 2012, 2018). How these eddies affect the evolution of subsurface salinity anomalies requires future studies.

Based on this discovered correlation and related mechanisms, one application is using the DMI to predict the subsurface ocean state in the BoB. The subsurface ocean state affects the barrier layer and mixed layer depth, as well as the near-surface state and the air-sea energy transfer. However, how the subsurface parameters response to the IOD affects its local upper ocean still needs more studies. Previous studies have demonstrated the importance of forcing from the equator to the

BoB, such as sea surface height, thermocline, and circulation structure (Girishkumar et al., 2013; Chatterjee et al., 2017; Pramanik et al., 2019), especially for the mechanisms forcing the East India Coastal Current (Yu et al., 1991; McCreary et al., 1996; Shankar et al., 1996). The response of the subsurface salinity field we discussed may also affect the local flow field and mesoscale eddies. Coastal Kelvin waves and westward Rossby waves play a vital role in the process of receiving information from the equator in the BoB, and similarly, they also play their role on the interannual scale. Especially during the nIOD phase, the increase of the zonal subsurface salinity gradients makes it easier to excite high mode westward Rossby waves, suggesting the effect of IOD on the subsurface thermohaline circulation in the BoB. The biological processes are significantly affected by the salinity stratification and vertical mixing in the BoB (Prasanna Kumar et al., 2002). As our results show, the IOD significantly modulates the BoB subsurface salinity, and further potentially affects the ocean barrier layer and mixed layer depth, through the Kelvin and Rossby waves. Therefore, the correlation and the corresponding processes we discussed are expected to play an important role for the biology of the BoB. For example, the considerable IOD-related vertical displacement may transport nutrients across the halocline and then increase biological productivity, as the eddy pumping does (Prasanna Kumar et al., 2004). Furthermore, it is also expected that these waves affect the air-sea exchange processes (Liu and Alexander, 2007; Webber et al., 2010) in the BoB, which in turn influence the remote ocean feedback to the atmosphere.

In addition to these, in the future we will investigate how the relationship between the BoB subsurface parameters and the tropical Indian Ocean surface parameters will be affected by the impact of climate change. The sea surface is more susceptible to global warming, and the BoB subsurface has a notable connection to the tropical Indian Ocean surface. The sea surface warming may also affect the subsurface and even deeper through dynamic mechanisms. Therefore, how the BoB subsurface responds to climate change is the next subject we are going to study.

*Data availability.* The HAMSOM data are available at [https://cera-www.dkrz.de/WDCC/ui/cersearch/entry?acronym=DKRZ\\_LTA\\_119\\_ds00001](https://cera-www.dkrz.de/WDCC/ui/cersearch/entry?acronym=DKRZ_LTA_119_ds00001). The objective analyses EN4 data are available from this site (<https://www.metoffice.gov.uk/hadobs/en4/>). The ocean reanalysis GECCO2 data are available in the Integrated Climate Data Center (<https://icdc.cen.uni-hamburg.de/>). The data of MPI-ESM-MR historical run are available from the CMIP5 database (<https://esgf-node.llnl.gov/projects/cmip5/>). The Roemmich-Gilson Argo Climatology data are available from this site ([http://sio-argo.ucsd.edu/RG/\\_Climatology.html](http://sio-argo.ucsd.edu/RG/_Climatology.html)). The bathymetric data were obtained from the SRTM30\_PLUS ([ftp://topex.ucsd.edu/pub/srtm30\\_plus](ftp://topex.ucsd.edu/pub/srtm30_plus)). The reference data for bias correction were obtained from ERA5 (<https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5>), WOA18 (<https://www.nodc.noaa.gov/OC5/woa18/>), and WaterGAP (<http://www.watgap.de/>).

*Author contributions.* The idea and the methodology was first proposed and discussed by all authors. ZZ deployed the numerical modeling under the supervision of TP. ZZ performed the data analyse. TP and XC validated the investigation. All authors contributed to the discussion of the results and the review and editing of the manuscript.

*Competing interests.* The authors declare that they have no conflict of interest

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