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**Diapycnal mixing across the photic zone of the
NE-Atlantic**

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44 **Abstract.** Variable physical conditions such as vertical turbulent exchange, internal wave and
45 mesoscale eddy action, affect the availability of light and nutrients for phytoplankton
46 (unicellular algae) growth. It is hypothesized that changes in ocean temperature may affect
47 ocean vertical density stratification, which may hamper vertical exchange. In order to quantify
48 variations in physical conditions in the Northeast Atlantic Ocean, we sampled a latitudinal
49 transect along $17\pm 5^\circ\text{W}$ between 30 and 63°N in summer. A shipborne Conductivity-
50 Temperature-Depth CTD-instrumented package was used with a custom-made modification of
51 the pump-inlet to minimize detrimental effects of ship motions on its data. Thorpe-scale
52 analysis was used to establish turbulence values for the upper 500 m near the surface from 3 to
53 6 profiles obtained in a short CTD-yoyo, 3 to 5 h after local sunrise. From south to north,
54 temperature decreased together with stratification while turbulence values weakly increased or
55 remained constant. Vertical turbulent nutrient fluxes did not vary significantly with
56 stratification and latitude. This apparent lack of correspondence between turbulent mixing and
57 temperature is likely due to internal waves breaking (increased stratification can support more
58 internal waves), acting as a potential feed-back mechanism. As this feed-back mechanism
59 mediates potential physical environment changes in temperature, global surface ocean warming
60 may not affect the vertical nutrient fluxes to a large degree. We urge modelers to test this
61 deduction as it could imply that the future summer phytoplankton productivity in stratified
62 oligotrophic waters would experience little alterations in nutrient input from deeper waters.

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64

65 **1 Introduction**

66 The physical environment is important for ocean life, including variations therein. For
67 example, the sun stores heat in the ocean with a stable vertical density stratification as result.
68 Generally, stratification hampers vertical turbulent exchange because of the required work
69 against (reduced) gravity before turbulence can take effect. It thus hampers a supply of nutrients
70 via a turbulent flux from deeper waters to the photic zone. However, stratification supports
71 internal waves, which (i) may move near-floating particles like phytoplankton (unicellular
72 algae) up- and down towards and away from the surface, and (ii) may induce enhanced
73 turbulence via vertical current differences (shear) resulting in internal waves breaking (Denman
74 and Gargett, 1983). Such changes in the physical environment are expected to affect the
75 availability of phytoplankton growth factors such as light and nutrients.

76 Climate models predict that global warming will reduce vertical mixing in the oceans (e.g.,
77 Sarmiento et al., 2004). Mathematical models on system stability suggest that reduced mixing
78 may generate chaotic behaviour in phytoplankton production, thereby enhancing variability in
79 carbon export into the ocean interior (Huisman et al., 2006). However, none of these models
80 include potential feed-back systems like internal wave action or mesoscale eddy activity. From
81 observations in the relatively shallow North Sea it is known that the strong seasonal temperature
82 stratification is marginally stable, as it supports internal waves and shear to such extent that
83 sufficient nutrients are replenished from below to sustain the late-summer phytoplankton bloom
84 in the euphotic zone that became depleted of nutrients after the spring bloom (van Haren et al.,
85 1999). This challenges the current paradigm in climate models.

86 In this paper, the objective is to resolve the effect of vertical stratification and turbulent
87 mixing on nutrient supply to the photic zone of the open ocean. For this purpose, upper-500-
88 m-ocean shipborne Conductivity-Temperature-Depth CTD-observations were made in
89 association with those on dissolved inorganic nutrients during a survey along a transect in the
90 NE-Atlantic Ocean from mid-(30°) to high--(63°) latitudes in summer. Throughout the survey,
91 meteorological and sea-state conditions were favourable for adequate sampling and wind

92 speeds varied little between 5 and 10 m s⁻¹, independent of locations. All CTD-observations
93 were made far from lateral, continental boundaries and at least 1000 m vertically away from
94 bottom topography (i.e. far from internal-tide sources). The NE-Atlantic is characterized by
95 abundant (sub-)mesoscale eddies especially in the upper ocean (Charria et al., 2017) that
96 influence local plankton communities (Hernández- Hernández et al., 2020). The area also
97 shows continuous abundant internal wave activity away from topographic sources and sinks,
98 with the semidiurnal tide as a main source from below and atmospherically induced inertial
99 motions from above (e.g., van Haren, 2005; 2007). However, the sampled upper 500-m zone
100 transect is not known to demonstrate outstanding internal wave source variations. Previous
101 observations (van Haren, 2005) and Hibiya et al. (2007) have shown that a diurnal critical
102 latitude enhancement of near-inertial internal waves due to subharmonic instability only occurs
103 sharply between 25 and 30°N. The present observations are all made poleward of this range.
104 Likewise, the Henyey et al. (1986) model on latitudinal variation of internal wave energy and
105 turbulent mixing (Gregg et al., 2003) predicts changes by a factor of maximum 1.8 between
106 30° and 63°, but this value is relatively small compared with errors, typically a factor of 2 to 3,
107 in turbulence dissipation rate observations. Likewise, from the equal summertime
108 meteorological conditions little variation is expected in the generation of upper ocean near-
109 inertial internal waves. Naturally, other processes like interaction between internal waves and
110 mesoscale phenomena may be important locally, but these are expected to occur in a similar
111 fashion across the sampled ocean far away from boundaries.

112 The present research complements research based on photic zone (upper 100 m)
113 observations obtained along the same transect using a slowly descending turbulence
114 microstructure profiler next to CTD-sampling eight years earlier (Jurado et al., 2012). Their
115 data demonstrated a negligibly weak increase in turbulence values with significant decreases in
116 stratification going north. However, no nutrient data were presented and no turbulent nutrient
117 fluxes could be computed. In another summertime study (Mojica et al., 2016), macro-nutrient
118 concentrations indicated oligotrophic conditions along the same latitudinal transect but the

119 vertical gradients for the upper 200 m showed an increase from south to north. The present
120 observations go deeper to 500 m, also across the non-seasonal more permanent stratification.
121 Moreover, coinciding measurements were made of the distributions of macro-nutrients and
122 dissolved iron. This allows vertical turbulent nutrient fluxes to be computed. It leads to a
123 hypothesis concerning a physical feed-back mechanism that may control changes in
124 stratification.

125

126 **2 Materials and Methods**

127 Between 22 July and 16 August 2017, observations were made from the R/V Pelagia in the
128 Northeast Atlantic Ocean at stations along a transect from Iceland, starting around 60°N, to the
129 Canary Islands, ending at 30°N, (Fig. 1). The transect was more or less in meridional direction,
130 with stations along 17±5°W, all in the same time zone (UTC-1 h = local time LT). Full water-
131 depth Rosette bottle water sampling was performed at most stations.

132 Samples for dissolved inorganic macro-nutrients were filtered through 0.2 µm Acrodisc
133 filter and stored frozen in a HDPE pony-vial (nitrate, nitrite and phosphate) or at 4°C (silicate)
134 until analysis. Nutrients were analysed under temperature controlled conditions using a
135 QuAAtro Gas Segmented Continuous Flow Analyser. All measurements were calibrated with
136 standards diluted in low nutrient seawater in the salinity range of the stations to ensure that
137 analysis remained within the same ionic strength. Phosphate (PO₄), nitrate plus nitrite (NO_x),
138 were measured according to Murphy and Riley (1962) and Grasshoff et al. (1983), respectively.
139 Silicate was analysed using the procedure of Strickland and Parsons (1968).

140 Absolute and relative precision were regularly determined for reasonably high
141 concentrations in an in-house standard. For phosphate, the standard deviation was 0.028 µM
142 (N = 30) for a concentration of 0.9 µM; Hence the relative precision was 3.1%. For nitrate, the
143 values were 0.14 µM (N = 30) for a concentration of 14.0 µM, so that the relative precision was
144 1.0%. For silicate, the values were 0.09 µM (N = 15) for a concentration of 21.0 µM, so that

145 the relative precision was 0.4%. The detection limits were 0.007, 0.012 and 0.008 μM , for
146 phosphate, nitrate and silicate, respectively.

147 For dissolved iron samples, the ultraclean “Pristine” sampling system for trace metals was
148 used (Rijkenberg et al., 2015). All bottles used for storage of reagents and samples were cleaned
149 according to an intensive three step cleaning protocol described by Middag et al. (2009).
150 Dissolved iron concentrations were measured shipboard using a Flow Injection—
151 Chemiluminescence method with preconcentration on iminodiaceticacid (IDA) resin as
152 described by De Baar et al. (2008) and modified by Klunder et al. (2011). In order to validate
153 the accuracy of the system, standard reference seawater (SAFe) was measured regularly in
154 triplicate (Johnson et al. 1997).

155 At 19 out of 32 stations a yoyo consisting of 3 to 6 casts, totaling 72 casts, of electronic
156 CTD profiles was done to monitor the temperature-salinity variability and to establish turbulent
157 mixing values from 5 to 500 m below the ocean surface. The yoyo casts were made
158 consecutively and took between 1 and 2 hours per station. They were mostly obtained in the
159 morning: at ten stations between 6 and 8 LT, at eight stations between 8 and 10 LT, and at one
160 station in the afternoon, around noon. As the observations were made in summer, the latitudinal
161 difference in sunrise was 1.5 h between the northernmost (earlier sunrise) and southernmost
162 stations. This difference is taken into account and sampling times are referenced to time after
163 local sunrise. It is assumed that the stations sampled just after sunrise more or less reflect the
164 upper ocean conditions of (late-) nighttime cooling convection so that vertical near-
165 homogeneity was at a maximum, and near-surface stratification at a minimum, while the late
166 morning and afternoon stations reflected daytime stratifying near-surface conditions due to the
167 stabilizing solar insolation.

168

169 **2.1 Instrumentation and modification**

170 A calibrated SeaBird 911plus CTD was used. The CTD data were sampled at a rate of 24
171 Hz, whilst lowering the instrumental package at a speed of 1 m s^{-1} . The data were processed
172 using the standard procedures incorporated in the SBE-software, including corrections for cell

173 thermal mass (Lueck, 1990) using the parameter setting of Mensah et al. (2009) and sensor
174 time-alignment. All other analyses were performed using Conservative Temperature (Θ),
175 absolute salinity SA and density anomalies σ_θ referenced to the surface using the Gibbs
176 SeaWater-software (IOC, SCOR, IAPSO, 2010).

177 Observations were made with the CTD upright rather than horizontal in a lead-weighted
178 frame without water samplers to minimize artificial turbulent overturning. Variable speeds of
179 the flow passing the temperature and conductivity sensors will cause artificial temperature and
180 thus apparent turbulent overturning, noticeable in near-homogeneous waters such as found near
181 the surface during nighttime convection. To eliminate variable flow speeds, a custom-made
182 assembly with pump in- and outlet tubes and tube-ends of exactly the same diameter was
183 mounted to the CTD as described in van Haren and Laan (2016). This reduces frictional
184 temperature effects of typically ± 0.5 mK due to fluctuations in pump speed of ± 0.5 m s⁻¹ when
185 standard SBE-tubing is used (Appendix A1). The effective removal of the artificial temperature
186 effects using the custom-made assembly is demonstrated in Fig. 2, in which surface wave action
187 via ship motion is visible in the CTD-pressure record, but not in its temperature variations
188 record. For example, at station 32 the CTD was lowered in moderate sea state conditions with
189 surface waves of maximum 2 m crest-trough. The surface waves are recorded by pressure
190 variations as a result of ship motions (Fig. 2a). In the upper 40 m near the surface, the waters
191 were near-homogeneous, with temperature variations well within ± 0.5 mK (Fig. 2b). The ΔT -
192 variations did not vary with the surface wave periodicity of about 10 s. No correlation is found
193 between data in Fig. 2b and Fig. 2a. This effective removal of ship motion in CTD-temperature
194 data is confirmed for the entire 500 m depth-range in average spectral information (Fig. 2c-e).
195 In the power spectra, the pressure gradient $dp/dt \sim$ CTD-velocity shows a clear peak around 0.1
196 cps, short for cycles per s, which correspond to a period of 10 s. Such a peak is absent in both
197 spectra of temperature T and density anomaly referenced to the surface σ_θ . The correlation
198 between dp/dt and T is not significantly different from zero (Fig. 2d,e). With conventional
199 tubing and tube-ends, the surface wave variations would show in such ΔT -graph (van Haren

200 and Laan, 2016). Without the effects of ship motions, considerably less corrections need to be
201 applied for turbulence calculations (see below).

202

203 **2.2 Ocean turbulence calculation**

204 Turbulence is quantified using the analysis method by Thorpe (1977) on density (ρ)
205 inversions of less dense water below a layer of denser water in a vertical (z) profile. Such
206 inversions are interpreted as turbulent overturns of mechanical energy mixing. Vertical
207 turbulent kinetic energy dissipation rate (ϵ) is a measure of the amount of kinetic energy put in
208 a system for turbulent mixing. It is proportional to turbulent diapycnal flux (of density) $K_z dp/dz$.
209 In practice it is determined by calculating overturning scales with magnitude $|d|$, just like
210 turbulent eddy diffusivity (K_z). The vertical density stratification is indicated by dp/dz . The
211 turbulent overturning scales are obtained after reordering the potential density profile $\sigma_\theta(z)$,
212 which may contain inversions, into a stable monotonic profile $\sigma_\theta(z_s)$ without inversions
213 (Thorpe, 1977). After comparing raw and reordered profiles, displacements $d = \min(|z -$
214 $z_s|) \cdot \text{sgn}(z - z_s)$ are calculated that generate the stable profile. Then,

$$215 \quad \epsilon = 0.64d^2N^3 \quad [\text{m}^2\text{s}^{-3}], \quad (1)$$

216 where $N = \{-g/\rho(dp/dz + g\rho/c_s^2)\}^{1/2}$ (e.g., Gill, 1982) denotes the buoyancy frequency (\sim square-
217 root of stratification as is clear from the equation) computed from the reordered profile. Here,
218 g is the acceleration of gravity and c_s the speed of sound reflecting pressure-compressibility
219 effects. N is computed over a typical vertical length-scale of $\Delta z = 100$ m, which more or less
220 represents the scale of large internal waves that are supported by the density stratification. The
221 numerical constant of 0.64 in (1) follows from empirically relating the overturning scale
222 magnitude with the Ozmidov scale L_O of largest possible turbulent overturn in a stratified flow:
223 $(L_O/|d|)_{\text{rms}} = 0.8$ (Dillon, 1982), a mean coefficient value from many realizations. Using $K_z =$
224 $\Gamma\epsilon N^{-2}$ and a mean mixing efficiency coefficient of $\Gamma = 0.2$ for the conversion of kinetic into
225 potential energy for ocean observations that are suitably averaged over all relevant turbulent
226 overturning scales of the mix of shear-, current differences, and convective, buoyancy driven,

227 turbulent overturning in large Reynolds number flow conditions (e.g., Osborn, 1980; Oakey,
228 1982; Ferron et al., 1998; Gregg et al., 2018), we find,

$$229 \quad K_z = 0.128d^2N \quad [m^2s^{-1}]. \quad (2)$$

230 This parametrization is also valid for the upper ocean, as has been shown extensively by Oakey
231 (1982) and recently confirmed by Gregg et al. (2019). The inference is that the upper ocean
232 may be weakly stratified at times, but stratification and turbulence vary considerably with time
233 and space. Sufficient averaging collapses coefficients to the mean values given above. This is
234 confirmed in recent numerical modeling by Portwood et al. (2019).

235 As K_z is a mechanical turbulence coefficient it is not property-dependent like a molecular
236 diffusion coefficient that is about 100-fold different for temperature compared to salinity. K_z is
237 thus the same for all turbulent transport calculations no matter what gradient of what property.
238 For example, the vertical turbulent flux of dissolved iron is computed as $K_z d(DFe)/dz$.

239 According to Thorpe (1977), results from (1) and (2) are only useful after averaging over
240 the size of a turbulent overturn instead of using single displacements. Here, root-mean-square-
241 displacement values d_{rms} are not determined over individual overturns, as in Dillon (1982), but
242 over 7 m vertical intervals (equivalent to about 200 raw data samples) that just exceed average
243 L_o . This avoids the complex distinction of smaller overturns in larger ones and allows the use
244 of a single length scale of averaging. As a criterion for determining overturns we only used
245 those data of which the absolute value of difference with the local reordered value exceeds a
246 threshold of $7 \times 10^{-5} \text{ kg m}^{-3}$, which corresponds to applying a threshold of $1.4 \times 10^{-3} \text{ kg m}^{-3}$ to raw
247 data variations (e.g., Galbraith and Kelley, 1996; Stansfield et al., 2001; Gargett and Garner,
248 2008). Vertically averaged turbulence values, short for averaged ϵ - and K_z -values from (1) and
249 (2), can be calculated to within an error of a factor of two to three, approximately. As will be
250 demonstrated below, this is considerably less spread in values than the natural turbulence values
251 variability over typically four orders of magnitude at a given position and depth in the ocean
252 (e.g., Gregg, 1989).

253

254

255 **3 Results**

256 **3.1 Physical parameters**

257 An early morning vertical profile of density anomaly in the upper 500 m at a northern
258 station (Fig. 3a) is characterized by a near-homogeneous layer of about 15 to 40 m, which is
259 above a layer of relatively strong stratification and a smooth moderate stratification deeper
260 below. In the near-homogeneous upper layer, in this example $z > -30$ m, relatively large
261 turbulent overturn displacements can be found of $d = \pm 20$ m (Fig. 3b): so called large density
262 inversions. For $-200 < z < -30$ m, large turbulent overturns are few and far between. Turbulence
263 dissipation rate (Fig. 3c) and eddy diffusivity (Fig. 3d) are characterized by relatively small
264 displacement sizes of less than 5 m. For $z < -200$ m, displacement values weakly increase with
265 depth, together with stratification ($\sim N^2$; Fig. 3e). Between $-30 < z < 0$ m, turbulence dissipation
266 rate values between $< 10^{-11}$ and $> 10^{-8} \text{ m}^2 \text{ s}^{-3}$ are similar to those found by others, using
267 microstructure profilers (e.g., Oakey, 1982; Gregg, 1989), lowered acoustic Doppler current
268 profiler or CTD-Thorpe scale analysis (e.g., Ferron et al., 1998; Walter et al., 2005; Kunze et
269 al., 2006). Here, eddy diffusivities are found between $< 10^{-5}$ and $3 \times 10^{-3} \text{ m}^2 \text{ s}^{-1}$ and these values
270 compare with previous near-surface results (Denman and Gargett, 1983). The relatively small
271 $|d| < 5$ m displacements (Fig. 3b) are genuine turbulent overturns, and they resemble ‘Rankine
272 vortices’, a common model of cyclones (van Haren and Gostiaux, 2014), as may be best visible
273 in this example in the large turbulent overturn near the surface. The occasional erratic
274 appearance in individual profiles, sometimes still visible in the ten-profile means, reflects
275 smaller overturns in larger ones.

276 A mid-morning profile at a southern station shows different characteristics (Fig. 4),
277 although 500 m vertically averaged turbulence values are similar to within 10% of those of the
278 northern station. This 10% variation is well within the error bounds of about a factor of two. At
279 this southern station, the near-surface layer is stably stratifying (Fig. 4a) and displays few
280 overturning displacements (Fig. 4b), while the interior demonstrates rarer but occasional
281 intense turbulent overturning (at $z = -160$ m in Fig. 4), presumably due to internal wave

282 breaking. At greater depths, stratification ($\sim N^2$; Fig. 4e) weakly decreases, together with ϵ (Fig.
283 4c) and K_z (Fig. 4d).

284 Latitudinal overviews are given in Fig. 5 for: Average values over the upper $z > -15$ m,
285 which covers the diurnal mainly convective turbulent mixing range from the surface, average
286 values between $-100 < z < -25$ m, which covers the seasonal strong stratification, and average
287 values between $-500 < z < -100$ m, which covers the more permanent moderate stratification.
288 Noting that all panels have a vertical axis representing a logarithmic scale, variations over
289 nearly four orders of magnitude in turbulence dissipation rate (Fig. 5a) and eddy diffusivity
290 (Fig. 5b) are observed between casts at the same station. This variation in magnitude is typically
291 found in near-surface open-ocean turbulence microstructure profiles (e.g., Oakey, 1982). Still,
292 considerable variability over about two orders of magnitude is observed between the averages
293 from the different stations. This variation in station- and vertical averages far exceeds the
294 instrumental error bounds of a factor of two (0.3 on a log-scale), and thus reveals local
295 variability. The turbulence processes occur ‘intermittently’.

296 The observed variability over two orders of magnitude between yoyo-casts at a single
297 station may be due to active convective overturning during early morning in the near-
298 homogeneous upper layer, or due to internal wave breaking and sub-mesoscale variability
299 deeper down. Despite the large variability at stations, trends are visible between stations in the
300 upper 100 m over the 32° latitudinal range going poleward: Buoyancy frequency (\sim square root
301 of stratification) steadily decreases significantly (p -value < 0.05) given the spread of values at
302 given stations, while turbulence values vary insignificantly with latitude as they remain the
303 same or weakly increase by about half an order of magnitude (about a factor of 3). At a given
304 depth range, turbulence dissipation rate roughly follow a log-normal distribution with standard
305 deviations well exceeding half an order of magnitude. The comparison of latitudinal variations
306 with the (log-normal) distribution are declared insignificant with $p > 0.05$ when the mean values
307 are found within 2 standard deviations (see Appendix A2). This is not only performed for
308 turbulence dissipation rate, but also for other quantities. The trends suggest only marginally
309 larger turbulence going poleward, which is possibly due to larger cooling from above and larger

310 internal wave breaking deeper down. It is noted that the results are somewhat biased by the
311 sampling scheme, which changed from 3 to 4 h after sunrise sampling at high latitudes to 4 to
312 5 h after sunrise sampling at lower latitudes, see the sampling hours after local sunrise in (Fig.
313 5d). Its effect is difficult to quantify, but should not show up in turbulence values from deeper
314 down ($-500 < z < -100$ m).

315 Between $-500 < z < -100$ m, no clear significant trend with latitude is visible in the
316 turbulence values (Fig. 5a,b), although $[K_z]$ weakly increases with increasing latitude at all
317 levels between $-500 < z < 0$ m, while buoyancy frequency significantly decreases (Fig. 5c). The
318 data from well-stratified waters deeper down thus show the same latitudinal trend as the
319 observations from the near-surface layers. Our turbulence values from CTD-data also confirm
320 previous results by Jurado et al. (2012) who made microstructure profiler observations from the
321 upper $z > -100$ m along the same transect. Their results showed turbulence values remain
322 unchanged over 30° latitude or increase by at most one order of magnitude, depending on depth
323 level. Their ‘mixed’ layer ($z > \sim -25$ m) turbulence values are similar to our $z > -15$ m values
324 and half to one order of magnitude larger than the present deeper observations. The slight
325 discrepancy in values averaged over $z > -25$ m may point at either i) a low bias due to a too
326 strict criterion of accepting density variations for reordering applied here, or ii) a high bias of
327 the ~ 10 -m largest overturns having similar velocity scales (of about 0.05 m s^{-1}) as their 0.1 m
328 s^{-1} slowly descending SCAMP microstructure profiler. At greater depths, $-500 < z < -100$ m, it
329 is seen in the present observations that the spread in turbulence values over four orders of
330 magnitude at a particular station is also large. This spread in values suggests that dominant
331 turbulence processes show similar intermittency in weakly (at high-latitudes $N \approx 10^{-2.5} \text{ s}^{-1}$) and
332 moderately (at mid-latitudes $N \approx 10^{-2.2} \text{ s}^{-1}$) stratified waters, respectively, for given resolution
333 of the instrumentation.

334 Mean values of N are larger by half an order of magnitude in the seasonal pycnocline than
335 those near the surface and in the more permanent stratification below (Fig. 5). Such local
336 vertical variations in N have the same range of variation as observed horizontally across
337 latitudes $[30, 63]^\circ$ per depth level.

338

339 **3.2 Nutrient distributions and fluxes**

340 Vertical profiles of macro-nutrients generally resemble those of density anomaly in the
341 upper $z > -500$ m (Fig. 6). In the south, low macro-nutrient values are generally distributed over
342 a somewhat larger near-surface mixed layer. The mixed layer depth, defined as the depth at
343 which the temperature difference with respect to the surface was 0.5°C (Jurado et al., 2012),
344 varies between about 20 and 30 m on the southern end of the transect and weakly becomes
345 shallower with latitude (Fig. 7a). This weak trend may be expected from the summertime wind
346 conditions that also barely vary with latitude (Fig. 7b,c). In contrast, the euphotic zone, defined
347 as the depth of the 0.1% irradiance penetration level (Mojica et al., 2015), demonstrates a clear
348 latitudinal trend decreasing from about 150 to 50 m (Fig. 7a). For $z < -100$ m below the seasonal
349 stratification, vertical gradients of macro-nutrients are large (Fig. 6b-d). Macro-nutrient values
350 become more or less independent of latitude at depths below $z < -500$ m. Dissolved iron profiles
351 differ from macro-nutrient profiles, notably in the upper layer near the surface (Fig. 6a). At
352 some southern stations, dissolved iron and to a lesser extent also phosphate, have relatively
353 high concentrations closest to the surface. These near-surface concentration increases suggest
354 atmospheric sources, most likely Saharan dust deposition (e.g., Rijkenberg et al., 2012).

355 As a function of latitude in the near-surface ‘mixed’ layer (Fig. 8), the vertical turbulent
356 fluxes of dissolved iron and phosphate (representing the macro-nutrients, for graphical reasons,
357 see the similarity in profiles in Fig.6b-d) are found constant or insignificantly ($p > 0.05$)
358 increasing (Fig. 8d). Here, the mean eddy diffusivity values for the near-surface layer as
359 presented in Fig. 5 are used for computing the fluxes. It is noted that in this layer turbulent
360 overturning (Figs 3b, 4b) is larger and nutrients are mainly depleted (Fig. 6), except when
361 replenished from atmospheric sources. Hereby, lateral diffusion is not considered important.
362 More interestingly, the vertical turbulent fluxes of nutrients across the seasonal pycnocline (Fig.
363 9) are found ambiguous or statistically independently varying with latitude (Fig. 9d). Likewise,
364 the vertical turbulent fluxes of dissolved iron and phosphate are marginally constant with
365 latitude across the more permanent stratification (Fig. 10). Nitrate fluxes show the same

366 latitudinal trend, with values around 10^{-6} mmol m⁻² s⁻¹. Overall, the vertical turbulent nutrient
367 fluxes across the seasonal and more permanent stratification resemble those of the physical
368 vertical turbulent mass flux, which is equivalent to the distribution of turbulence dissipation
369 rate and which is latitude-invariant (Fig. 5a).

370

371 **4 Discussion**

372 Practically, the upright positioning CTD while using an adaptation consisting of a custom-
373 made equal-surface inlet worked well to minimize ship-motion effects on variable flow-
374 imposed temperature variations. This improved calculated turbulence values from CTD-
375 observations in general and in near-homogeneous layers in particular. The indirect comparison
376 with previous microstructure profiler observations along the same transect (Jurado et al., 2012)
377 confirms the same trends, although occasionally turbulence values were lower (to one order of
378 magnitude in the present study). This difference in values may be due to the time lapse of 8
379 years between the observations, but more likely it is due to inaccuracies in one or both methods.
380 It is noted that any ocean turbulence observations cannot be made better than to within a factor
381 of two (Oakey, pers. comm.). In that respect, the standard CTD with the here presented
382 adaptation is a cheaper solution than additional microstructure profiler observations. Although
383 the general understanding, mainly amongst modellers, is that the Thorpe length method
384 overestimates diffusivity (e.g., Scotti, 2015; Mater and Venayagamoorthy, 2015), this view is
385 not shared amongst ocean observers (e.g., Gregg et al., 2018). In the large parameter space of
386 the high Reynolds number environment of the ocean, turbulence properties vary constantly,
387 with an interminglement of convection and shear-induced turbulence at various levels. Given
388 sufficient averaging, and adequate mean value parametrization, the Thorpe length method is
389 not observed to overestimate diffusivity. This property of adequate and sufficient averaging
390 yields similar mean parameter values in recent modelling results estimating a mixing coefficient
391 near the classical bound of 0.2 in stationary flows for a wide range of conditions (Portwood et
392 al., 2019). It is noted that diffusivity always requires knowledge of stratification to obtain a

393 turbulent flux, and it is better to consider turbulence dissipation rate for intercomparison
394 purposes. Nevertheless, future research may perform a more extensive comparison between
395 Thorpe scale analysis data and deeper microstructure profiler data.

396 While our turbulence values are roughly similar to those of others transecting the NE-
397 Atlantic over the entire water depth (Walter et al., 2005; Kunze et al., 2006), the focus in the
398 present paper is on the upper 500 m because of its importance for upper-ocean marine biology.
399 Our study demonstrates a significant decrease of stratification with increasing latitude and
400 decreasing temperature that, however, does not lead to significant variation in turbulence values
401 and vertical turbulent fluxes. Our direct estimates of the turbulent flux of nitrate into the
402 euphotic zone are one to two orders of magnitude less than the rate of nitrate uptake within it
403 for the same period. The turbulent flux of nitrate values are of the same order of magnitude as
404 reported by others (Cyr et al., 2015 and references therein). In particular, the Martin et al. (2010)
405 study in the Northeast Atlantic Ocean (at 49°N, 16°W) reported similar vertical nutrient fluxes
406 during summer, which provides confidence in the methods used. The same authors reported
407 that the vertical nitrate flux into the euphotic zone was much lower than the rate of nitrate
408 uptake at the time. To determine these nitrate uptake rates, they spiked water samples with a
409 minimum of 0.5 μM nitrate, representing $\sim 10\%$ of the ambient nitrate concentration. In our study
410 area, the ambient nitrate concentrations in the euphotic zone were much lower (see also Mojica
411 et al., 2015), implying a higher relative importance of nitrate input to the overall uptake demand.
412 Still, primary productivity in the oligotrophic euphotic zone, as well as in the high latitude
413 Atlantic, is mainly fueled by recycling (e.g., Gaul et al., 1999; Achterberg et al., 2020) and the
414 supply of new nutrients by turbulent fluxes, however small, provides a welcome addition.
415 Besides nutrient input resulting from vertical turbulent fluxes, there is a role for latitudinal
416 differences through the supply of nutrients by deep mixing events, and depending on the
417 location, also potential upwelling and lateral transport events.

418 We suggest that internal waves may drive the feed-back mechanism, participating in the
419 subtle balance between destabilizing shear and stable (re)stratification. Molecular diffusivity of
420 heat is about $10^{-7} \text{ m}^2 \text{ s}^{-1}$ in seawater, and nearly always smaller than turbulent diffusivity in the

421 ocean. The average values of K_z during our study were typically 100 to 1000 times larger than
422 molecular diffusivity, which implies turbulent diapycnal mixing drives vertical fluxes despite
423 the relatively slow turbulence compared to surface wave breaking. Depending on the gradient
424 of a substance like nutrients or matter, the relatively slow turbulence may not necessarily
425 provide weak fluxes $K_z d(\text{substance})/dz$ into the photic zone. In the central North Sea, a
426 relatively low mean value of $K_z = 2 \times 10^{-5} \text{ m}^2 \text{ s}^{-1}$ comparable to values over the seasonal
427 pycnocline here, was found sufficient to supply nutrients across the strong summer pycnocline
428 to sustain the entire late-summer phytoplankton bloom in near-surface waters and to warm up
429 the near-bottom waters by some 3°C over the period of seasonal stratification (van Haren et al.,
430 1999). There, the turbulent exchange was driven by a combination of tidal currents modified
431 by the stratification, shear by inertial motions driven by the Coriolis force (inertial shear) and
432 internal wave breaking. Such drivers are also known to occur in the open ocean, although to
433 unknown extent.

434 The here observed (lack of) latitudinal trends of ε , K_z and N yield more or less the same
435 information as the vertical trends in these parameters at all stations. In the vertical for $z < -200$
436 m, turbulence values of ε and K_z weakly vary with stratification. This is perhaps unexpected
437 and contrary to the common belief of stratification hampering vertical turbulent exchange of
438 matter including nutrients. It is less surprising when considering that increasing stratification is
439 able to support larger shear. Known sources of destabilizing shear include near-inertial internal
440 waves of which the vertical length-scale is relatively small compared to other internal waves,
441 including internal tides (LeBlond and Mysak, 1978).

442 The dominance of inertial shear over shear by internal tidal motions (internal tide shear),
443 together with larger energy in the internal tidal waves, has been observed in the open-ocean,
444 e.g. in the Irminger Sea around 60°N (van Haren, 2007). The frequent atmospheric disturbances
445 in that area generate inertial motions and dominant inertial shear. Internal tides have larger
446 amplitudes but due to much larger length scales they generate weaker shear, than inertial
447 motions. Small-scale internal waves near the buoyancy frequency are abundant and may break
448 sparsely in the ocean interior outside regions of topographic influence. However, larger

449 destabilizing shear requires larger stable stratification to attain a subtle balance of ‘constant’
450 marginal stability (van Haren et al., 1999). Not only storms, but other geostrophic adjustments,
451 such as frontal collapse, may generate inertial wave shear also at low latitudes (Alford and
452 Gregg, 2001), so that overall latitudinal dependence may be negligible. If shear-induced
453 turbulence in the upper ocean is dominant it may thus be latitudinally independent (shallow
454 observations by Jurado et al., 2012; deeper observations in present study). There are no
455 indications that the overall open ocean internal wave field and (sub)mesoscale activities are
456 energetically much different across the mid-latitudes. If internal tide sources would have
457 dominated our observations, clear differences in turbulence dissipation rates would have been
458 found at our station near 48 °N (near the Porcupine Bank), for example, compared with those
459 at other stations. Summarizing, our study infers that vertical nutrient fluxes did not vary
460 significantly with latitude and stratification. This suggests that predicted changes in the physical
461 environment due to global ocean warming have little effect on vertical turbulent exchange.
462 Supposing that enhanced warming leads to more stable stratification, more internal waves can
463 be supported (LeBlond and Mysak, 1978), which upon breaking can maintain the extent of
464 vertical turbulent exchange and thereby, for example, vertical nutrient fluxes. We thus
465 hypothesize that, from a physical environment perspective, in stratified oligotrophic waters the
466 nutrient input from deeper waters and corresponding summer phytoplankton productivity and
467 growth are not expected to change (much) with future global warming. We invite future
468 observations and numerical modelling to further investigate this suggestion and associated
469 feed-back mechanisms such as internal wave breaking.

470

471 *Competing interests.* The authors declare that they have no conflict of interest.

472

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474 contributions to the sea-operations. J. van Heerwaarden and R. Bakker made the CTD-
475 modification.

476

477

478 Modification of CTD pump-tubing to minimize RAM-effects

479 The unique pump system on SeaBird Electronics (SBE) CTDs, foremost on their high-
480 precision full ocean depth shipborne and cable-lowered SBE911, minimizes the effects of flow
481 variations (and inversions) past its T-C sensors (SeaBird, 2012). This reduction in flow
482 variation is important, because the T-sensor has a slower response than the C-sensor. As data
483 from the latter are highly temperature dependent, besides being pressure dependent, the precise
484 matching of all three sensors is crucial for establishing proper salinity and density
485 measurements, especially across rapid changes in any of the parameters. As flow past the T-
486 sensor causes higher measurement values due to friction at the sensor tip, flow-fluctuations are
487 to be avoided as they create artificial T-variations of about 1 mK s m^{-1} (Larson and Pedersen,
488 1996).

489 However, while the pump itself is one thing, its tubing needs careful mounting as well, with
490 in- and outlet at the same depth level (Sea-Bird, 2012). This is to prevent ram pressure $P = \rho U^2$,
491 for density ρ and flow speed U . Unfortunately, the SBE-manual shows tubing of different
492 diameter, for in- and outlet. Different diameter tubing leads to velocity fluctuations of $\pm 0.5 \text{ m}$
493 s^{-1} past the T-sensor, as was concluded from a simple experiment by van Haren and Laan
494 (2016). The flow speed variations induce temperature variations of $\pm 0.5 \text{ mK}$ and are mainly
495 detectable in weakly stratified waters such as in the deep ocean, but also near the surface as
496 observed in the present data. Using tubes of the same diameter opening remedied most of the
497 effect, but only if the surface of the tube-opening is perpendicular to the main CT-motion as in
498 a vertically mounted CTD. If it is parallel to the main motion as in a horizontally mounted CTD,
499 the effect was found to be adverse. The make-shift onboard experiment in van Haren and Laan
500 (2016) has now been cast into a better design (Fig. A1), of which the first results are presented
501 in this paper.

502

503 PDFs of vertically averaged dissipation rate in comparison with latitudinal trends

504 Ocean turbulence dissipation rate generally tends to a nearly log-normal distribution (e.g.,
505 Pearson and Fox-Kemper, 2018), so that the probability density function (PDF) of the logarithm
506 of ε -values is normally distributed and can be described by the first two moments, the mean
507 and its standard deviation. It is seen in Fig. A2a that the overall distribution of all present data
508 indeed approaches lognormality, despite the relatively large length-scale used in the
509 computations (cf., Yamazaki and Lueck, 1990). When the data are split in the three depth levels
510 as in Fig. 5a, it is seen that ε in the upper $z > -15$ m layer is not log-normally distributed due to
511 a few outlying high values confirming an ocean state dominated by a few turbulence bursts
512 (Moum and Rippeth, 2009), whereas ε in the deeper more stratified layers is nearly log-
513 normally distributed.

514 When we compare the mean and standard deviations of the distributions with the extreme
515 values of the latitudinal trends as computed for Fig. 5a it is seen that for none of the three depth
516 levels the extreme values are found outside one standard deviation from the mean value. In fact,
517 for deeper stratified waters the extreme values of the trends are found very close to the mean
518 value. It is concluded that the mean dissipation rate does not show a significant trend with
519 latitude, at all depth levels. The same exercise yields extreme buoyancy frequency values lying
520 outside one standard deviation from the mean values for well-stratified waters, from which we
521 conclude that stratification significantly decreases with latitude. This is inferable from Fig. 5c
522 by investigating the spread of mean values around the trend line.

523

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655

656 **Figure 1.** Bathymetry map of the Northeast Atlantic Ocean based on the 9.1 ETOPO-1 version
657 of satellite altimetry-derived data by Smith and Sandwell (1997). The numbered circles
658 indicate the CTD stations. Depth contours are at 2500 and 5000 m.

659

660 **Figure 2.** Test of effective removal of ship motions in CTD-data after pump in- and outlet
661 modification. Nearly raw 24 Hz sampled downcast data obtained from northern station 32
662 (cast 9). Short example time series for the 20-m depth range [10, 30] m. (a) Detrended
663 pressure (blue) and its (negative signed) first time derivative $-dp/dt$, 2-dbar-smoothed
664 (purple). (b) Detrended temperature. (c) Moderately smoothed (~ 30 degrees of freedom;
665 dof) spectra of data from the 5 to 500 m depth range. (d) Moderately smoothed (40 dof)
666 coherence between dp/dt and T from c., with dashed line indicating the 95% significance
667 level. (e) Corresponding phase difference.

668

669 **Figure 3.** Upper 500 m of turbulence characteristics computed from downcast density anomaly
670 data applying a threshold of $7 \times 10^{-5} \text{ kg m}^{-3}$. Northern station 29, cast 2. (a) Unordered, ‘raw’
671 profile of density anomaly referenced to the surface. (b) Overturn displacements following
672 reordering of the profiles in a. Slopes $\frac{1}{2}$ (solid lines) and 1 (dashed lines) are indicated. (c)
673 Logarithm of dissipation rate computed from the profiles in a., averaged over 7 m intervals.
674 (d) As c., but for eddy diffusivity. (e) Logarithm of buoyancy frequency computed after
675 reordering the profiles of a.

676

677 **Figure 4.** As Fig. 3, but for a southern station. Upper 500 m of turbulence characteristics
678 computed from downcast density anomaly data applying a threshold of $7 \times 10^{-5} \text{ kg m}^{-3}$.
679 Southern station 3, cast 4. (a) Unordered, ‘raw’ profile of density anomaly referenced to
680 the surface. (b) Overturn displacements following reordering of the profiles in a. Slopes $\frac{1}{2}$
681 (solid lines) and 1 (dashed lines) are indicated. (c) Logarithm of dissipation rate computed
682 from the profiles in a., averaged over 7 m intervals. (d) As c., but for eddy diffusivity. (e)
683 Logarithm of buoyancy frequency computed after reordering the profiles of a.

684

685 **Figure 5.** Summer 2017 latitudinal transect along $17\pm 5^\circ\text{W}$ of turbulence values for upper 15 m
686 averages (green) and averages between $-100 < z < -25$ m (blue, seasonal pycnocline) and -
687 $500 < z < -100$ m (black, more permanent pycnocline) from short yoyos of 3 to 6 CTD-
688 casts. Values are given per cast (o) and station average (heavy circle with x; the size
689 corresponds with \pm the standard error for turbulence parameters). (a) Logarithm of
690 dissipation rate. (b) Logarithm of diffusivity. (c) Logarithm of buoyancy frequency (the
691 small symbols have the size of \pm the standard error). (d) Hour of sampling after sunrise.

692

693 **Figure 6.** Upper 500 m profiles for stations at three latitudes. (a) Density anomaly referenced
694 to the surface, including profiles from Fig. 3a and 4a. (b) Nitrate plus nitrite. (c) Phosphate.
695 (d) Silicate. (e) Dissolved iron.

696

697 **Figure 7.** Latitudinal transect of near-surface layers and wind conditions measured at stations
698 during the observational survey. (a) Mixed layer depth (x) and euphotic zone (o). (b) Wind
699 speed. (c) Wind direction.

700

701 **Figure 8.** Latitudinal transect of near-surface nutrient concentrations. (a) Dissolved iron
702 measured at depths indicated. (b) Nitrate plus nitrite (red) and phosphate (blue, scale times
703 10) measured at depths indicated in a. (c) Logarithm of vertical gradients of values
704 dissolved iron in a. (o-red) and phosphate in b. (x-blue). (d). Vertical turbulent fluxes of
705 concentration gradients in c. using average surface K_z from Fig. 5b, valid for depth average
706 (here, ~ 17 m) of depths in a.

707

708 **Figure 9.** As Fig. 8, but for $-100 < z < -25$ m, with fluxes for ~ 62 m in d.

709

710 **Figure 10.** As Fig. 8, but for -600 (few nutrients sampled at 500) $< z < -100$ m, with fluxes for
711 ~ 350 m in d.

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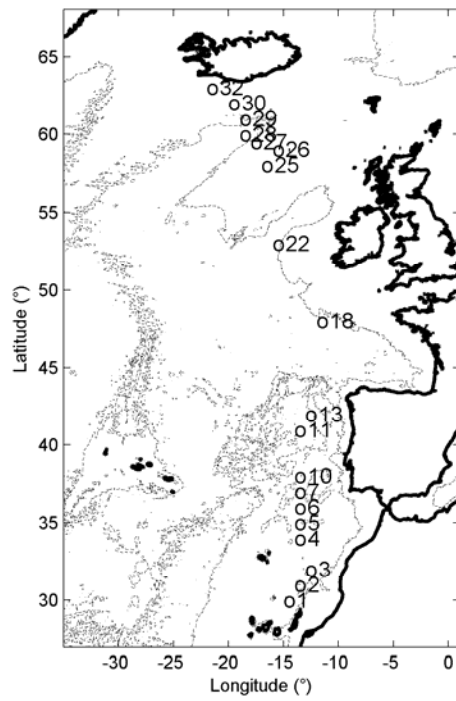
713 **Fig. A1.** SBE911 CTD-pump in- and outlet modification following the findings in van Haren
714 and Laan (2016). (a) The T- and C-sensors clamped together with a structure holding in-
715 and outlet pump-tubing of exactly the same diameter, separated at 0.3 m distance in the
716 horizontal plane. (b) The modification of a. mounted in the CTD-frame.

717

718 **Fig. A2.** Probability Density Functions of logarithm of vertically averaged dissipation rate in
719 comparison with latitudinal trend extreme values. (a) Distribution as a function of latitude
720 for all data. (b) As a, but for the upper 15 m averages only. The mean value is given by the
721 vertical purple line, with the horizontal line indicating +/- 1 standard deviation. The vertical
722 light-blue lines indicate the best-fit value of the trend for 30° and 63°N. (c) As b, but for
723 averages between $-100 < z < -25$ m. (d) As c, but for averages between $-500 < z < -100$ m.

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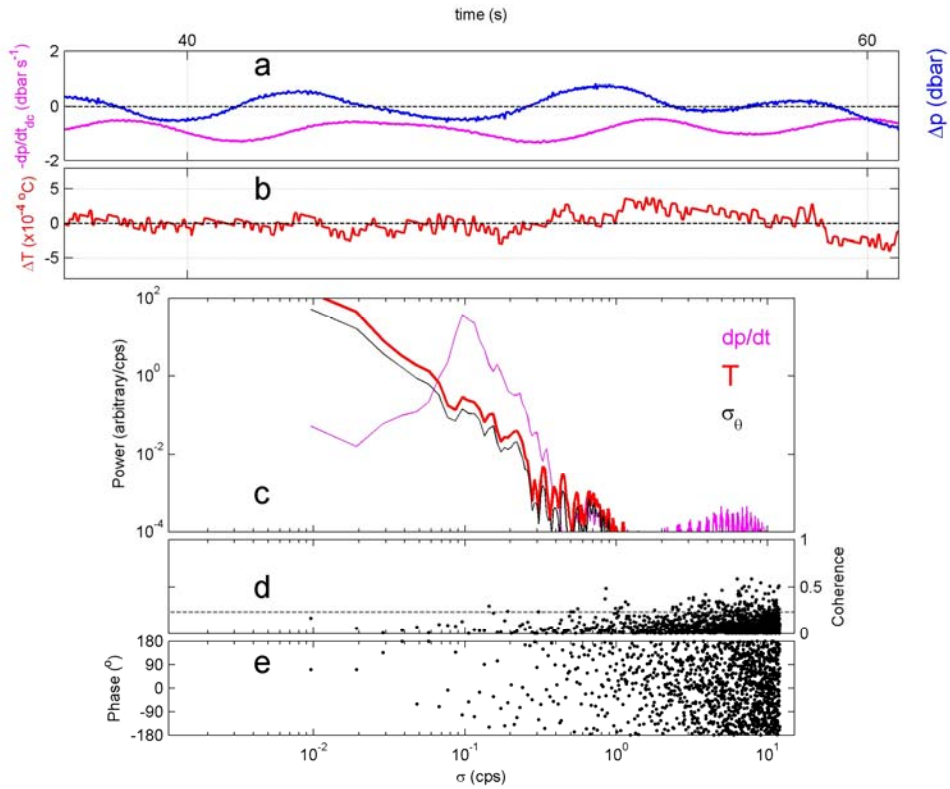
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Figure 1. Bathymetry map of the Northeast Atlantic Ocean based on the 9.1 ETOPO-1 version of satellite altimetry-derived data by Smith and Sandwell (1997). The numbered circles indicate the CTD stations. Depth contours are at 2500 and 5000 m.

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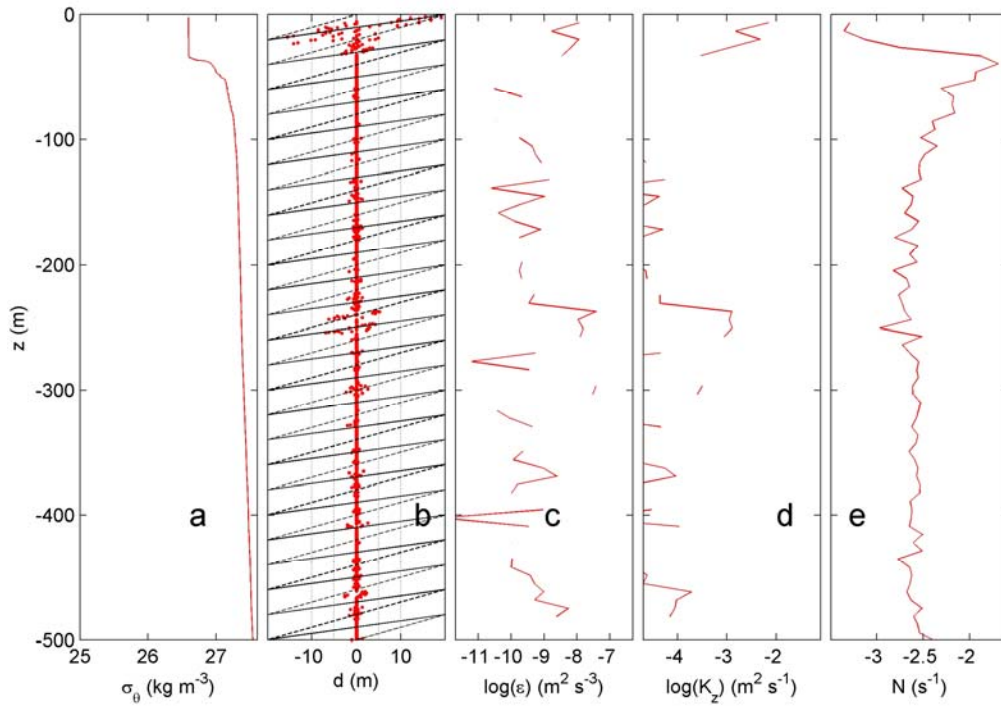
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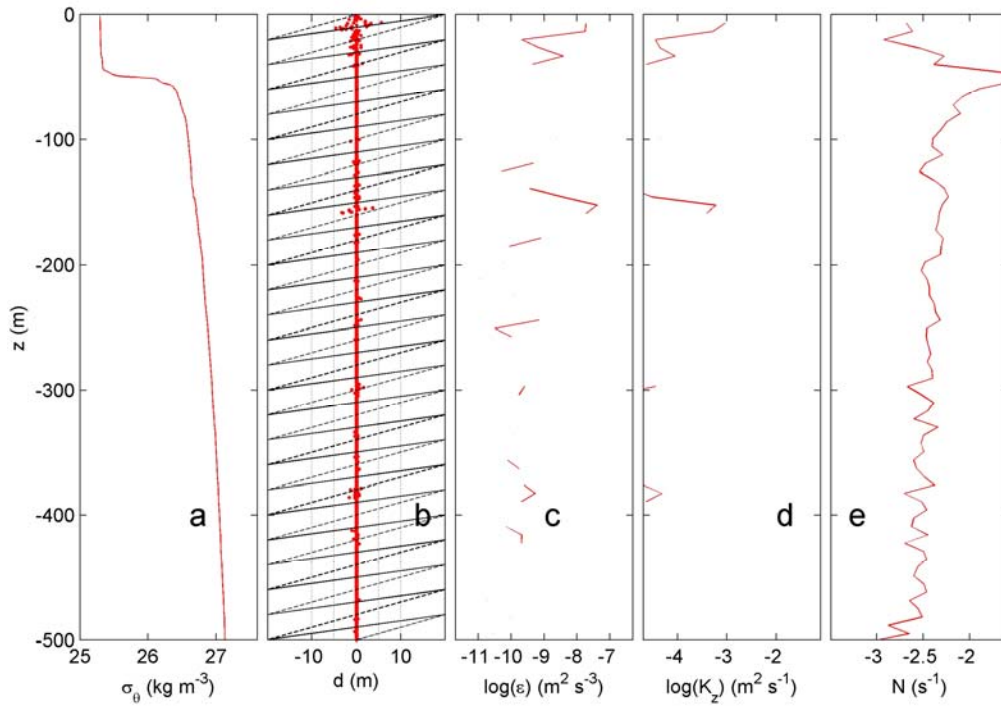
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Figure 2. Test of effective removal of ship motions in CTD-data after pump in- and outlet modification. Nearly raw 24 Hz sampled downcast data obtained from northern station 32 (cast 9). Short example time series for the 20-m depth range [10, 30] m. (a) Detrended pressure (blue) and its (negative signed) first time derivative $-dp/dt$, 2-dbar-smoothed (purple). (b) Detrended temperature. (c) Moderately smoothed (~ 30 degrees of freedom; dof) spectra of data from the 5 to 500 m depth range. (d) Moderately smoothed (40 dof) coherence between dp/dt and T from c., with dashed line indicating the 95% significance level. (e) Corresponding phase difference.



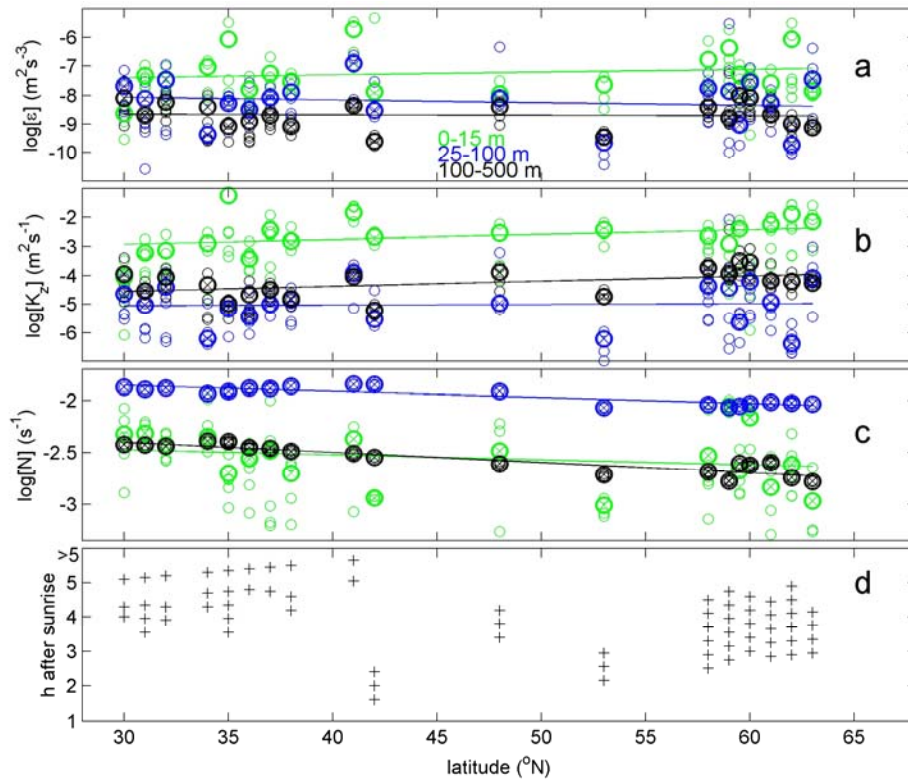
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Figure 3. Upper 500 m of turbulence characteristics computed from downcast density anomaly data applying a threshold of $7 \times 10^{-5} \text{ kg m}^{-3}$. Northern station 29, cast 2. (a) Unordered, ‘raw’ profile of density anomaly referenced to the surface. (b) Overturn displacements following reordering of the profiles in a. Slopes $\frac{1}{2}$ (solid lines) and 1 (dashed lines) are indicated. (c) Logarithm of dissipation rate computed from the profiles in a., averaged over 7 m intervals. (d) As c., but for eddy diffusivity. (e) Logarithm of buoyancy frequency computed after reordering the profiles of a.



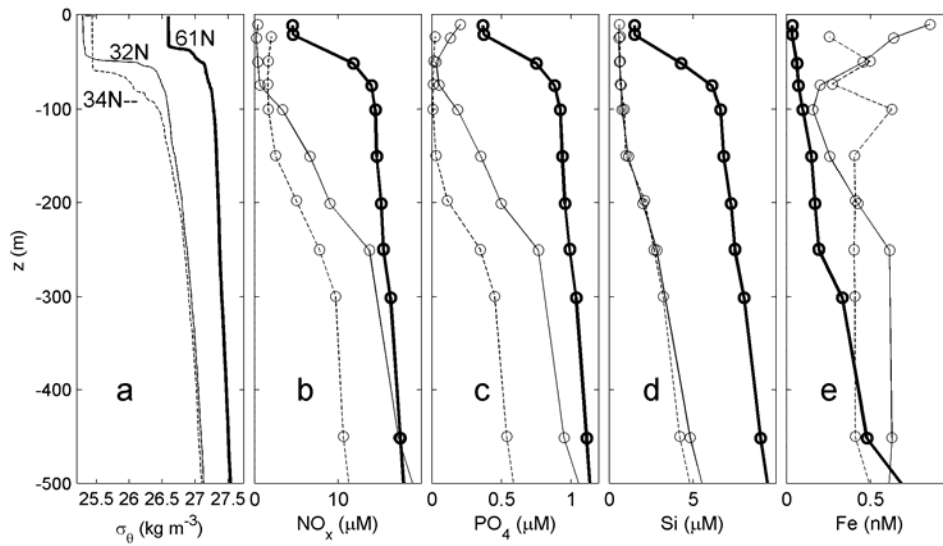
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Figure 4. As Fig. 3, but for a southern station. Upper 500 m of turbulence characteristics computed from downcast density anomaly data applying a threshold of $7 \times 10^{-5} \text{ kg m}^{-3}$. Southern station 3, cast 4. (a) Unordered, ‘raw’ profile of density anomaly referenced to the surface. (b) Overturn displacements following reordering of the profiles in a. Slopes $\frac{1}{2}$ (solid lines) and 1 (dashed lines) are indicated. (c) Logarithm of dissipation rate computed from the profiles in a., averaged over 7 m intervals. (d) As c., but for eddy diffusivity. (e) Logarithm of buoyancy frequency computed after reordering the profiles of a.



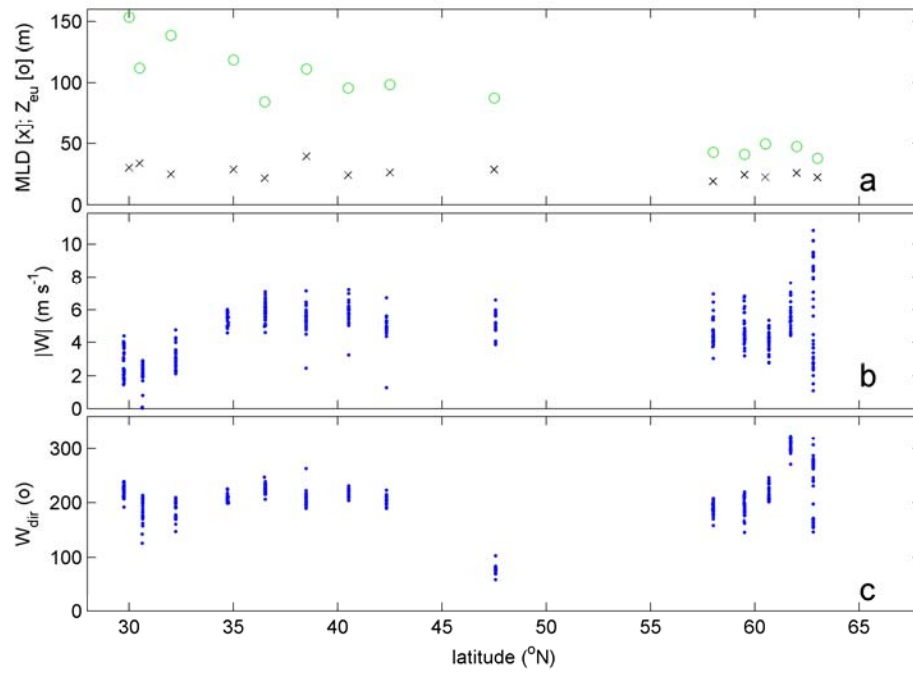
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Figure 5. Summer 2017 latitudinal transect along $17 \pm 5^\circ \text{W}$ of turbulence values for upper 15 m averages (green) and averages between $-100 < z < -25$ m (blue, seasonal pycnocline) and $-500 < z < -100$ m (black, more permanent pycnocline) from short yoyos of 3 to 6 CTD-casts. Values are given per cast (o) and station average (heavy circle with x; the size corresponds with \pm the standard error for turbulence parameters). (a) Logarithm of dissipation rate. (b) Logarithm of diffusivity. (c) Logarithm of buoyancy frequency (the small symbols have the size of \pm the standard error). (d) Hour of sampling after sunrise.



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Figure 6. Upper 500 m profiles for stations at three latitudes. (a) Density anomaly referenced to the surface, including profiles from Fig. 3a and 4a. (b) Nitrate plus nitrite. (c) Phosphate. (d) Silicate. (e) Dissolved iron.



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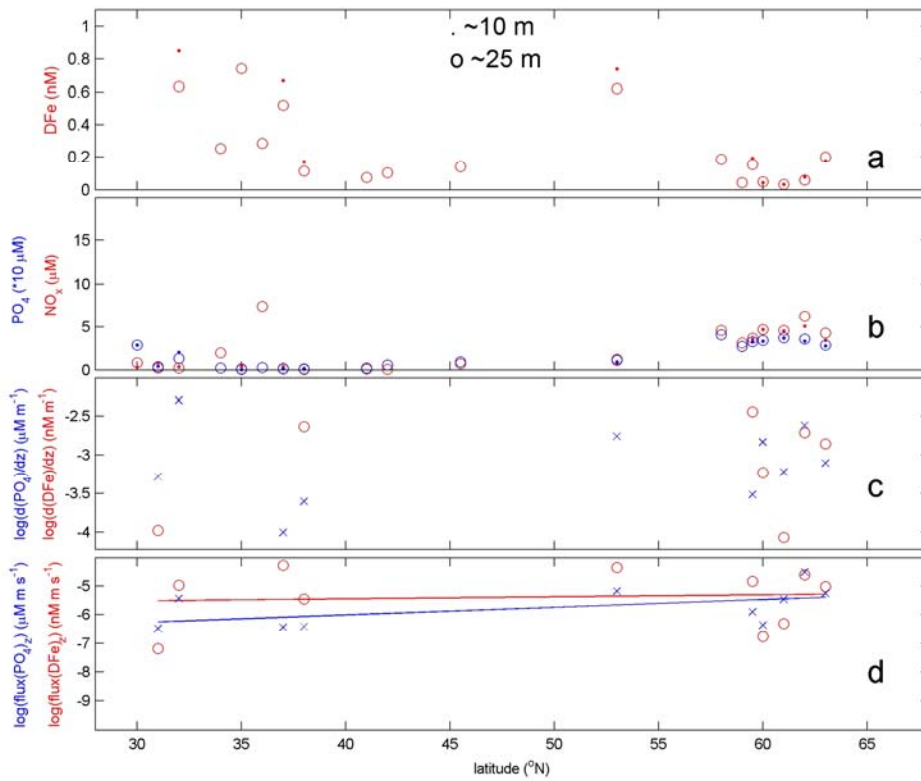
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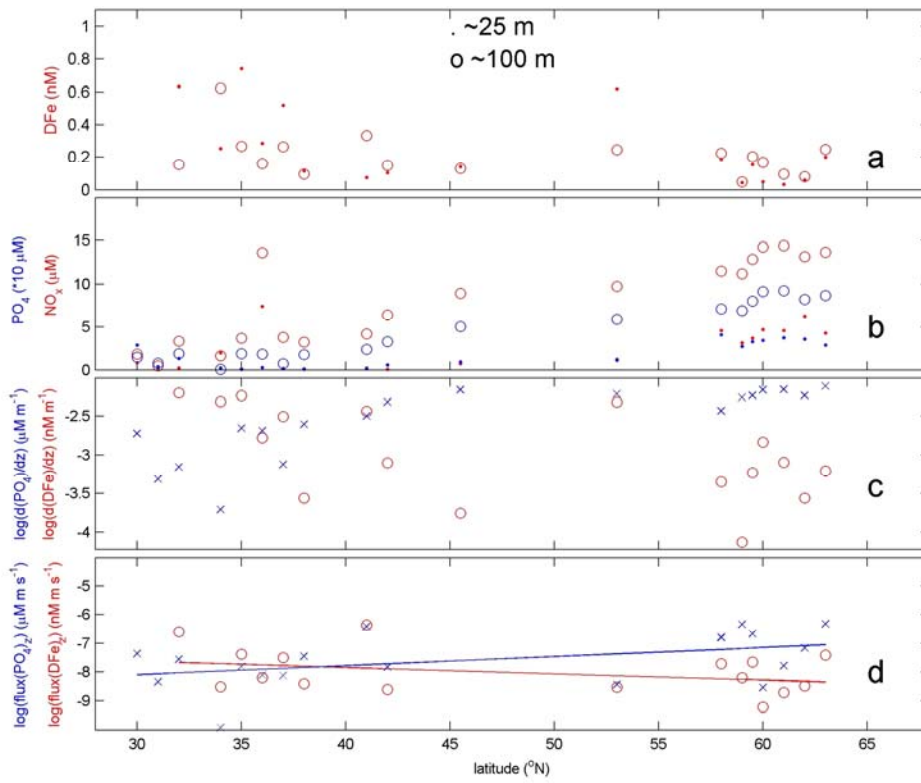
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Figure 7. Latitudinal transect of near-surface layers and wind conditions measured at stations during the observational survey. (a) Mixed layer depth (x) and euphotic zone (o). (b) Wind speed. (c) Wind direction.



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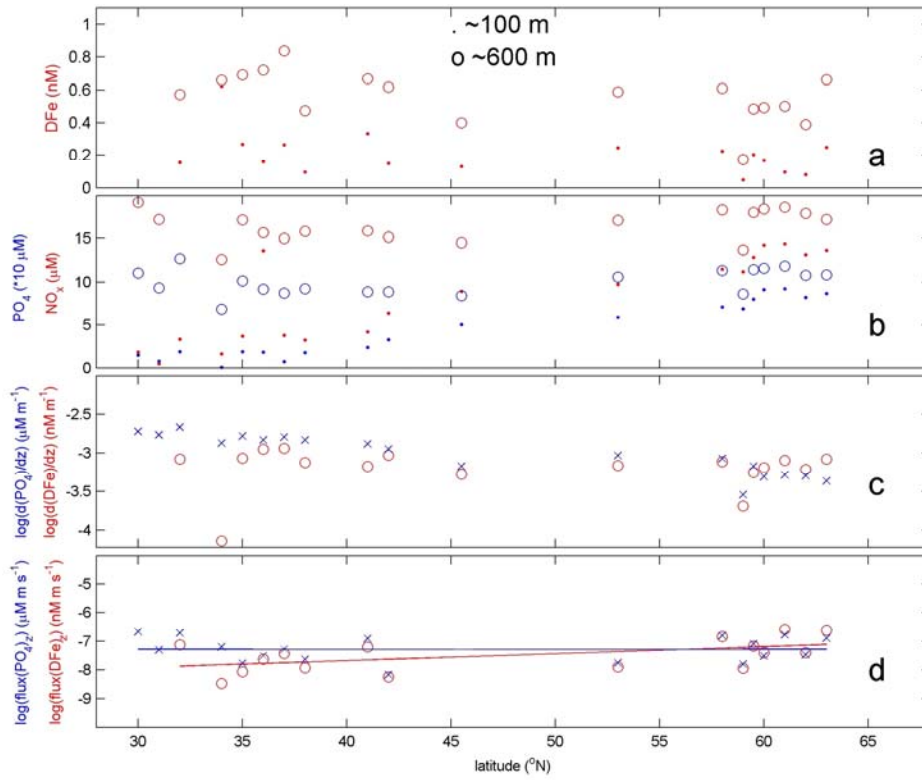
Figure 8. Latitudinal transect of near-surface nutrient concentrations. (a) Dissolved iron measured at depths indicated. (b) Nitrate plus nitrite (red) and phosphate (blue, scale times 10) measured at the depths indicated in a. (c) Logarithm of vertical gradients of values dissolved iron in a. (o-red) and phosphate in b. (x-blue). (d). Vertical turbulent fluxes of concentration gradients in c. using average surface K_z from Fig. 5b, valid for the depth average (here, ~17 m) of depths in a.



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Figure 9. As Fig. 8, but for $-100 < z < -25$ m, with fluxes for ~ 62 m in d.

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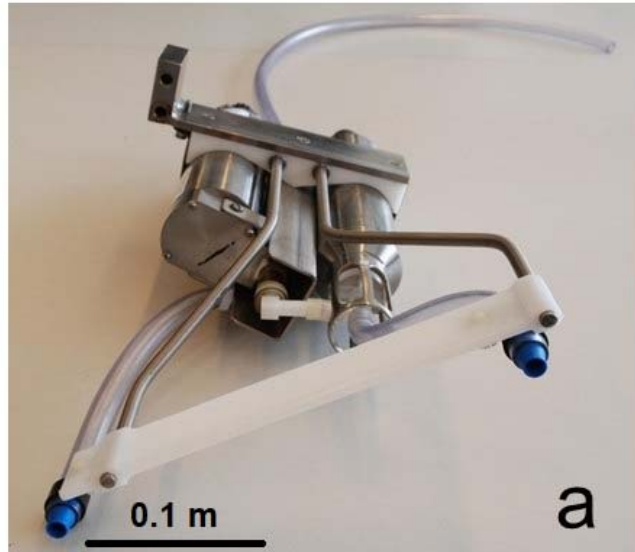
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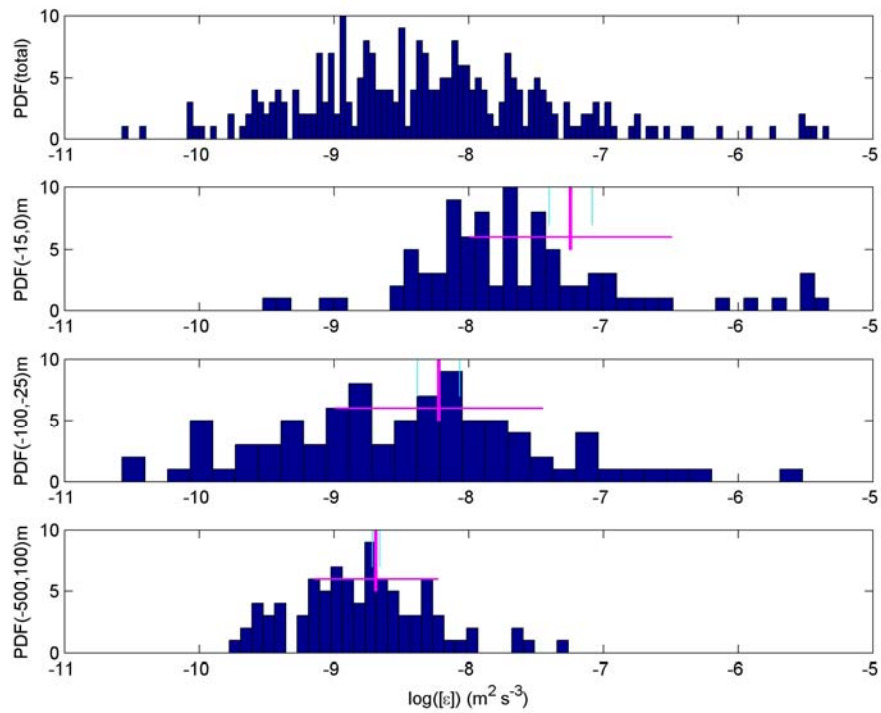
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Figure 10. As Fig. 8, but for -600 (few nutrients sampled at 500) $< z < -100$ m, with fluxes for ~ 350 m in d.



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Fig. A1. SBE911 CTD-pump in- and outlet modification following the findings in van Haren and Laan (2016). (a) The T- and C-sensors clamped together with a structure holding in- and outlet pump-tubing of exactly the same diameter, separated at 0.3 m distance in the horizontal plane. (b) The modification of a. mounted in the CTD-frame.



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Fig. A2. Probability Density Functions of logarithm of vertically averaged dissipation rate in comparison with latitudinal trend extreme values. (a) Distribution as a function of latitude for all data. (b) As a, but for the upper 15 m averages only. The mean value is given by the vertical purple line, with the horizontal line indicating ± 1 standard deviation. The vertical light-blue lines indicate the best-fit value of the trend for 30° and 63°N . (c) As b, but for averages between $-100 < z < -25$ m. (d) As c, but for averages between $-500 < z < -100$ m.