

RESPONSES TO REVIEWERS

Reviewer 1

Comment: This manuscript documents the formation of wintertime haline stratification in the Gulf of Finland due to freshwater transport and discusses its implications for early plankton bloom dynamics. The authors combined water column temperature, salinity and fluorescence data from two along-Gulf transects in winters 2011–2012 and 2014, cross Gulf measurements of surface T-S collected with a Ferrybox system and 10 years of GETM-modelled mixed-layer depths. Altogether this is a powerful dataset that allowed to a thorough documentation and description of an interesting phenomenon, which has implications for (usually disregarded) winter primary production in the area. Overall, my view on the manuscript is quite positive and I would be happy to see it published. Still there are several formal issues that need to be addressed before publication. I also feel that the description of some aspects of the dynamics of the system could be described in more depth. I develop this further below but, for example, the general seasonal wind patterns in the area, and how they relate to expected advection patterns are poorly discussed in my view. The authors have nice model simulations and a set of references to better describe the advection dynamics of the system in response to changing winds. I would suggest to develop this aspect a bit more. I feel that if the authors could condensate this information together with their own conclusions in a schematic figure that would help a lot and make the manuscript more shiny and visual.

Reply: Thank you for your review and helpful comments! We have addressed all of the points you have highlighted. We have added new schematic figure (12).

Action: As we explain below, the seasonal wind pattern and its role is now dealt in the paper. We added thematic figure (last one), which explains the UML distributions in the case of westerly wind and easterly wind dominance. We have addressed all the detailed comments below.

Comment: Line 179. Be careful with the positioning of parenthesis for references.

Reply: We fixed it.

Action: Done

Comment: Wind pattern. The wind pattern (Fig. 6) is strikingly similar for the three years shown here, with strong westerly winds until January and weaker more variable winds after that. Is this the typical seasonal pattern in the region? I think this is a very important point for your message that is not very well developed in the manuscript. You focus more on interannual variation and the links to NAO, but what are the expected seasonal variations of wind forcing during the studied period. Is this transition from strong westerlies to weak variable winds over winter a persistent pattern? Then this is very important for the onset of wintertime stratification. Could you develop this a bit more please?

Reply: Yes, we agree. This is a very good point you made. Thank you! Yes, it is part of the annual cycle of wind. The cycle is not as persistent as it looks from the three selected years, but it exists. There is a period from October/November to January when there are more westerly storms and after that, when atmospheric high pressure systems sustain, it calms down. The timing and magnitude vary from year to year though.

Action: We have added a new figure showing the annual cycle of along gulf wind stress, we have added sentences about it to the 3.2 (results), discussion, conclusions, and abstract.

Comment: Figure 7 and lines 212-227 I like Figure 7, it is quite illustrative, but the only really new information displayed in this figure is the mixed-layer depth. Consequently, some of the information provided in lines 212-227 becomes somewhat repetitive. As the paper has a long number of display items I would suggest to show the MLD already in Figure 6.

Reply: Yes, we agree with the comment.

Action: We removed the text in 212-227 and added MLD to the figures 5 and 6. We made three minor changes in the previous two sections, just to mention the upper mixed layer depth there. Otherwise, we think the section was repetitive, as you noted.

Comment: Figure 8. Could you highlight in the caption the location of the starting point of the transects ($x = 0$ km)?

Reply: Yes, that is a good idea.

Action: We added, “the starting point of the transect ($x = 0$ km) is in the Bay of Tallinn at 59.500° N and 24.752° E.”

Comment: Line 237 “Spreading from the east to west”. This information is not really contained in the Figure. In my view it is a bit confusing to include it in the middle of this sentence which is, otherwise, a pure description of the information that is being displayed.

Reply: Yes, we agree.

Action: We removed “Spreading from the east to west”, but added next sentence to explain the freshwater origin: “Since the main sources of freshwater are in the east, water must have flown westward along the northern coast.”

Comment: Line 245 Which year are you talking about? Also I am curious about the fact that the onset of haline and, more importantly, thermal stratification seems to have taken place early than in the previous years. Is this related to variability in wind forcing?

Reply: We talked about 2014 and 2016. We added an explaining sentence. The earlier onset in 2016 is related to the wind forcing. One can see it in figure 4c. The westerlies eased off earlier in 2016 than two other years. We added a sentence about it to the manuscript.

Action: we changed “The onset of haline stratification occurred slightly earlier in 2016 due to wind forcing – the westerlies had eased off by the end of December 2015 (Fig. 3c).”

Comment: Figure 11 The x-labels are placed in a strange way in this figure. Do the ticks correspond to the 1st of January of each year? Why is the label to the right of the tick? The color scale for MLD in panel b) is reversed with respect to Figure 10. This confused me.

Reply: We agree and fixed the issues.

Action: We solved the problem with ticks and we put the color scale the same way as in the previous figure.

Comment: Lines 280-294. I think this part is very interesting but needs to be improved. From Figure 11 it is a bit hard to compare the timing of stratification on-set in the different years. I would try to rethink this figure a bit and find a better way to make your point. Also the winter NAO index is an important element here. I would add this information to the figure somehow.

Reply: Thank you for this recommendation.

Action: We added another subplot, where one can see detailed time-series of UML in different years. We also added the Dec-Feb mean NAO index to the second subplot.

Comment: Line 305. In my view “vertical movements of the pycnocline” due to upwelling, internal waves, etc, are transient and have a mostly reversible effect on buoyancy fluxes unless part of their energy is irreversibly lost to turbulent mixing. I would avoid mentioning them or explain better what you mean.

Reply: We agree.

Action: We removed “vertical movements of the pycnocline”.

Comment: Lines 326-328. “The western border of the phenomenon is around 23°E, i.e. at the entrance area to the gulf between Hiiumaa Island and the Finnish coast. Vertical mixing dominates over lateral buoyancy fluxes, and shallow stratification is not a common feature in the Baltic Proper.” I find this quite sharp boundary intriguing. Could you add some reference for this or develop a bit more this subject? Why is this change in regime, is the Baltic proper much more wind exposed so that haline stratification is completely eroded? Or is it that some dynamical process precludes the advection of freshwater out of the Gulf?

Reply: Yes, this can be mentioned here. We think the feature can occur in the Gulf of Finland because of the two factors: the high riverine input and elongated shape. The phenomenon vanishes in the area, where the extension of the gulf (at the entrance of the gulf) gets wider. Likewise, it is simply far from the main freshwater sources.

Action: We added the following text to the manuscript: “The absence of the phenomenon in the Baltic Proper can be explained by the long distance from rivers, due to its larger size and topography. Riverine input per unit area in the Gulf of Finland is 7–8 times larger than in the Baltic Proper (Leppäranta and Myrberg, 2009). As the wintertime stratification phenomenon vanishes at the wider entrance area to the Gulf of Finland, it is likely that the elongated, narrow shape of the gulf accounts contributes to the formation of stratification as well as high freshwater input.”

Comment: Lines 343–345. This sentence needs a reference.

Reply: We agree.

Action: We added Smetacek and Passow (1990) and Fennel (1990).

Comment: Figure 12. I don’t like this figure very much. There is very few data available for interpolation. Why don’t you use a scatter plot of biomass (with a color/size code) superimposed to a salinity contour plot? This would maybe make your point stronger.

Reply: We agree, this figure can be better designed.

Action: We have changed the figure according to your recommendation.

Comment: Color scale. In the contour figures you use a highly non linear colormap which strengthens low values a lot. I feel that sometimes the use of such a colorscale can be misleading, as it attracts the attention of the reader to this very low values, and sometimes this is not the most relevant aspect. I would suggest that the authors re-think a bit this choice for certain figures.

Reply: We agree.

Action: We have changed the color scales of figs. 5, 6, 11, 12 (according to the first submission numbering).

Reviewer 2.

Comment: In fairness to the authors, the editorial staff should not have requested reviews for a manuscript in such a rough state. I think it could warrant publication at some point, but as it is, the manuscript is not even ready for submission. Overall, the manuscript reports observational and model results but it fails to put these results into context and it fails to provide any motivation for the study. The science appears to be sound, but it's not clear why it was done. The manuscript requires extensive editing for grammar and style. There are too many minor grammatical errors for me to keep track of. Tell the reader early on why your work matters and how it fits into a larger context. I am sure it is important, but as it is written now, the manuscript fails to convey that importance. I recommend reading Mensh and Kording (2017) Ten simple rules for structuring papers. C1 -PLOS Computational Biology 10.1371/journal.pcbi.1005619

Reply: Thank you for your comments and recommendation.

Action: We wrote about the motivation at lines 28-36 and 75-83. To provide for a reader the importance of the paper in a larger context, we added a section to the beginning of the manuscript. The results chapter was shortened to keep it more condensed, introductory sentences were added to each section, to make it easier to read. We arranged extensive editing for grammar and style.

Comment: Given that the manuscript lacks a clear motivation, it is difficult to evaluate it using the journals review criteria, which are listed here:

https://www.oceanscience.net/peer_review/review_criteria.html. The authors may also wish to use these criteria when revising their manuscript.

Reply: We have added a section to the very beginning of the introduction to indicate what is the overall motivation of the study. Details about motivation (why stratification is important for the physics, biogeochemistry and biology) were given at lines 28-36 (previous submission).

Action: We added a section to the beginning of the section. We checked the criteria before revising the manuscript.

Comment: + 'Phenomena' is typically the plural form of phenomenon (<https://www.merriamwebster.com/dictionary/phenomenon>) So the title should read ': : : phenomena and their consequences : : : ' or 'Winter stratification and its consequences'

Reply: Thank you for the note.

Action: We changed it to "phenomenon".

Comment: + Introduction- the first paragraph of the introduction should state the main goal or problem that the manuscript aims to address. As it is written now, the first paragraph is full of many details about the seasonal cycle of stratification in the Baltic Sea but we are left guessing as to the importance of these details. Please tell the reader the main point or what particular issue your manuscript addresses in the first few sentences and then move on to specific information that the reader needs to understand what has been done, and what is new.

Reply: Yes, this paragraph can be added in the beginning.

Action: We added: "Upper layer stratification is an important characteristic in the dynamics of the pelagic ecosystem. However, to our knowledge, the formation of wintertime haline stratification in the upper layer of the whole Gulf of Finland has not been investigated; the present study focuses

on the formation of wintertime haline stratification caused by freshwater inflow and wind forced circulation, and the observed haline stratification explains early phytoplankton dynamics.“

Comment: + The motivation for this manuscript is not stated until line 75 - “Details about the formation of the haline stratification in the larger areas of the Baltic Sea during wintertime is mainly unknown.” This is the new topic that you address. Please make that clear in the first paragraph and then tell us about what is known. When it’s the other way around, we’re left wondering why you are telling us all this information and where it is going.

Reply: Yes, it can be mentioned earlier.

Action: Please see our previous response.

Comment: + The OSTIA product is not technically remote sensing data. It is gap-filled remote sensing data that also uses in situ observations. The most recent citation for OSTIA is Good et al. (2020) The Current Configuration of the OSTIA System for Operational Production of Foundation Sea Surface Temperature and Ice Concentration Analyses. Remote Sensing. 12:720 doi:10.3390/rs12040720

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Reply: Thank you for the information.

Action: We removed “remote sensing” from the text in several places and added the reference.

Comment: + Regarding the OSTIA data- from the text it’s not clear if you used daily OSTIA fields or mean SST for the entire period from 2010-2019. Can you please clarify?

Reply: We use daily OSTIA fields. We clarified this in the data and methods part.

Action: It reads now “OSTIA (Donlon et al., 2012; Good et al., 2020) daily mean sea surface temperature (SST) data for the period 2010–2019 were obtained from the Copernicus Marine Environment Monitoring Service.“

Comment: + Section 1.2- why use nautical miles? The journal requires the use of metric units. https://www.ocean-science.net/for_authors/manuscript_preparation.html

Reply: Metric units for the GETM run are and were also written in the text. We used nautical miles as those are also commonly used in the modelling community – the original grid is planned in nautical miles (either 1 n.m. or 2 n.m., in our case 0.5 n.m.) and it makes sense to indicate this. See e.g. <https://os.copernicus.org/articles/15/1691/2019/> or <https://os.copernicus.org/articles/15/1399/2019/>

Action: We added metric units also for the COPERNICUS reanalysis product.

Comment: + What are your open boundary conditions? Relaxation? How is riverine input treated in the model? How did you spin up the model?

Reply: Thank you for the remark, we have made adjustments in the model description. The boundary conditions for different parameters differ in the model. Observed sea surface height is set to the boundary with Flather (1994) radiation scheme, while the temperature and salinity are relaxed to climatological profiles (Janssen et al. 1999) along the open boundary. There is also a sponge layer with 3-points for the latter.

Riverine water has a constant salinity 0.5 g/kg due to numerical reasons. We are pretty sure that the model can handle also lower values, but at least in the used experiment, it was 0.5. As the

temperatures for different rivers are not known/hard to prescribe, the model uses target cell value. Riverine water enters as a change in the sea surface height – the volume of entered water within one model iteration divided by target cell area will give the additional change in the SSH.

We use re-analysis product from Copernicus Marine Service as the initial temperature and salinity. By definition, it is supposed to be the best available possibility to get 3D field of T/S as it “interpolates” observations using the state-of-the-art method. In reality, one can always argue whether it is the “best” way. Nevertheless, we assume that the re-analysis product has all correct salinities and temperatures for different basins and is already baroclinically balanced. Our simulations start from the motionless state but as the Baltic Sea is shallow and wind-driven circulation prevails, the model will quickly adjust to forcing. Lips et al (2016) showed that the volume-averaged kinetic energy reaches correct values within 5-days. In summary, we do not think further spin-up is necessary for the simulations.

Action: We have considerably modified the section 1.2.

Comment: + Results- since the response of the water column was very similar in each wind-driven event, perhaps describe the general behavior first - strong winds, well-mixed water column, low chl-a.

Reply: We considered this but realized that it is better if this comes after we have described the observations. Strong westerlies- well mixed water column relation is given in the introduction and the possibility of the formation of the shallow halocline is also mentioned there.

Action: No particulate action here, but we think with other changes (complementing introduction, and introducing each section in the results chapter) help a reader to follow the results.

Comment: + Line 219 - “Since freshwater originates from the east: :” Is this statement supported by data or is it speculation? If it’s speculation, please move speculative arguments to the Discussion.

Reply: We expected here a reader noted from the introduction that the riverine water enters the gulf mainly from the eastern part of the gulf. Anyhow according to the other reviewer comment we realized this section is repeating the previous section, so we decide to remove it.

Action: We removed this section.

Comment: + Instead of describing what was observed in each dataset, use all the data to describe the stratification phenomena of interest. You are using widely accepted methods so there is no need to justify their use. Communicate your point clearly and succinctly

Reply: We describe the phenomenon in the order of subtopics. In the first two sections, we describe the stratification phenomenon along the gulf, its vertical structure and impact on Chl *a*. Next, we describe the surface characteristics (measured by the ferrybox two times a day), which give us a more detailed understanding of the temporal developments of haline stratification formation. In 3.2 we make statistics of the process based on the model and historical CTD data to put our results to a broader context. We added introducing sentences before sections and renamed chapter 3.1. to make it easier

Action: We renamed the 3.1 title and added introducing sentences to each section to make it easier to follow for a reader. Likewise, we have shortened 3.1 considerably. We also removed figure 7 after comment from another reviewer.

Comment: + Line 295 - this also appears to be speculative and should be moved to the discussion

Reply: We change the first sentence of this section to make it less speculative. There is a strong correlation between ice coverage and the NAO index in winter according to literature. But we agree, since the section includes references to previous studies, it rather belongs to the discussion.

Action: We moved the section to the discussion.

Comment: + Figure 2 is not necessary. Simply state the r^2 , p value, and n in the manuscript

Reply: We agree.

Action: We removed the figure and added a sentence about it to the manuscript.

Comment: + Figure 5 contains too many subplots. It's cluttered and difficult to take in

Reply: We agree, this figure needed improvement.

Action: We remade figure 5. We hope it looks better now.

Comment: + Figure 9 also contains too many subplots. Perhaps create an animation?

Reply: We agree, this figure needed improvement. We believe it is better to keep it in figure format though.

Action: We modified the figure. The axis labels were removed (except in one plot) and the plots are now more zoomed in to the area of interest. We believe after these changes the figure is much easier to read.

Manuscript with track changes

Winter stratification phenomena and its consequences in the Gulf of Finland, Baltic Sea

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Abstract. Stratification plays an essential role in the marine system, with a shallow mixed layer being one of the preconditions for the enhanced primary production in the ocean. In the Baltic Sea, the general understanding is that the upper mixed layer (UML) is well deeper below than the euphotic zone in the Baltic Sea during winter. In this work study, we demonstrate that the wintertime UML stratification is a common phenomenon in the UML in the Gulf of Finland. Shallow haline stratification forms at the depth comparable to the euphotic zone depth forms in late January–early February. Stratification is invoked by a positive buoyancy flux created by the westward advection of riverine water along the northern coast of the gulf after the relaxation of westerly winds. Fresher water and haline stratification appeared occurs approximately one month later in the southern part of the gulf. The onset of restratification is likely associated with the annual cycle of westerly winds, which ease off in late January–early February. Winter restratification The phenomenon can occur in the whole gulf and in the absence of also without ice. Thus, it the winter restratification is a regular seasonal feature in the area. The interannual annual variations in the wintertime UML correspond with variations in can be related to the North Atlantic Oscillation. Chlorophyll *a* Chl *a* concentration and phytoplankton biomass in winter can be comparable to mid-summer. The limiting factor for phytoplankton bloom in winter is likely insufficient solar radiation.

1.

2.1. Introduction

The upper layer stratification is an important characteristic in the dynamics of the pelagic ecosystem. However, to our knowledge, the dedicated study dealing with the formation of the wintertime haline stratification in the upper layer of the whole Gulf of Finland to our knowledge is missing in the literature has not been investigated. The present study focuses on the formation of wintertime haline stratification caused by freshwater the inflow of freshwater and wind forced circulation in the Gulf of Finland, and the observed haline stratification explained early phytoplankton dynamics.

The Baltic Sea is a shallow and brackish, has sea with limited water exchange with the North Sea and is characterized by strong seasonality and gradients of oceanographic parameters (e.g. Leppäranta and Myrberg, 2009). The upper mixed layer (UML), with a typical depth of 10–20 m, forms in spring and is separated from the rest of the water column by a seasonal thermocline. The mixed layer warms up to 15–24 °C (e.g. Stramska and Białogrodzka, 2015; Tronin, 2017) and thermal stratification strengthens until August. The

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thermocline is eroded by thermal convection, wind stirring, and current shear induced mixing, and the mixed layer deepens down to the sea bottom or the halocline at 40–80 m depth in autumn–winter (e.g. Lass et al., 2003; Liblik and Lips, 2017; Väli et al., 2013). This annual stratification cycle ~~in stratification~~ has substantial implications for ~~on~~ physical, biogeochemical and biological processes in the sea. ~~First C₂~~ characteristics of the pycnocline (e.g. strength) determine vertical fluxes between the surface and sub-thermocline layer. Moreover, the vertical structure of currents is strongly linked to pycnoclines (Suhhova et al., 2018). The annual cycle in stratification, together with solar radiation, mainly determines ~~the~~ seasonality in primary production and nutrient consumption. Vertical mixing from the deeper layers, and low production in winter, allows ~~the nutrients to accumulate~~ ion of nutrients in the upper layer (e.g. Lilover and Stips, 2008; Nehring and Matthäus, 1991). ~~The~~ In spring, when the water column becomes stable in spring and, the mixed layer ~~depth~~ is shallower than the euphotic zone, ~~so that, and the spring bloom is triggered when~~ solar radiation is sufficiently strong ~~enough, spring bloom is evoked~~ (Fleming and Kaitala, 2006; Jaanus et al., 2006; Lips et al., 2014; Wasmund et al., 1998).

Stratification in the northeastern Baltic Sea is particularly strong and variable. The largest river in the Baltic Sea catchment area, the Neva, discharges in to the eastern end of the Gulf of Finland with a m- ~~Mean river can~~ runoff ~~of to the gulf is~~ $3700 \text{ m}^3 \text{ s}^{-1}$ (Johansson, 2018). Since ~~the~~ river discharge is concentrated in to the east, and the gulf is connected to the Baltic Proper in the west, there is a mean longitudinal salinity gradient in the upper layer from virtually 0 g kg^{-1} ~~in at~~ the easternmost end to 6 g kg^{-1} in the west ~~exists in the upper layer of the gulf~~ (Alenius et al., 1998). Also, mean salinity in the upper layer is lower on the northern coast than the southern ~~Due~~ to the mean cyclonic circulation in the upper layer and prevailing westward current along the northern coast (Palmen, 1930; Rasmus et al., 2015; Stipa, 2004), mean salinity in the upper layer is lower along the northern coast of the gulf compared to the southern coast (e.g. Kikas and Lips, 2016). Free water ~~Gulf has a free water~~ exchange between the gulf and with the Baltic Proper means that there is a ~~Thus,~~ quasi-permanent halocline and saltier deep layer ~~also exist~~ in the gulf. This lateral and vertical structure can be strongly modified by wind forcing: w- ~~Westerly winds~~ drive accumulation of ~~e-~~ saltier upper layer water, deepen the UML ~~upper mixed layer depth in the gulf~~ (Liblik and Lips, 2017) and cause weakening of the halocline (Elken et al., 2003). This process can lead to the complete mixing of the water column in the gulf in the winter (Elken et al., 2014; Liblik et al., 2013; Lips et al., 2017). In contrast, e- ~~Easterly winds, in turn,~~ encourage westward transport of riverine water and strengthen haline stratification in the whole water column (Liblik and Lips, 2017), and upwelling and downwelling events along the southern and northern coasts (Kikas and Lips, 2016; Lehmann et al., 2012; Lips et al., 2009).

The northeastern part of the Baltic Sea is ice-covered every winter (e.g. Uotila et al., 2015), although ice extent has high interannual variability ~~the extent of the ice coverage has high inter-annual variability~~. The brackish nature ~~water~~ of the Baltic Sea water means that the ~~has~~ maximum density temperature T_{md} ($2.2\text{--}3.3 \text{ }^\circ\text{C}$) is higher than the freezing temperature (from -0.4 to $-0.1 \text{ }^\circ\text{C}$), unlike ~~in~~ most of the world ocean. Thus, when the temperature of the surface layer is below T_{md} , warming of the surface layer below T_{md} increases water density and causes convection and vertical mixing, while c- ~~Cooling at $< T_{\text{md}}$~~ stabilizes the water column. Water ~~The~~ temperature typically passes-exceeds the T_{md} in the northern and eastern parts of the Baltic Sea during the cooling period ~~winter~~ (Karlson et al., 2016; Liblik et al., 2013), but while it is not always the case in the offshore areas in the southern Baltic Sea (e.g. Stepanova et al., 2015). Lateral haline buoyancy flux can compensate the thermal convection and stabilization of the shallow upper layer in spring and can occur at temperatures already below T_{md}

(Eilola, 1997; Eilola and Stigebrandt, 1998; Stipa et al., 1999). One reason for the latter is the relatively low thermal expansion at temperatures around T_{md} , i.e. ~~the impact of~~ temperature ~~impact~~ on density is relatively small compared to ~~the impact of~~ salinity. Thus, ~~the~~ onset of the seasonal pycnocline is not necessarily initiated by ~~the~~ thermal buoyancy but could be related to ~~the~~ haline buoyancy ~~instead~~. Temperature below T_{md} in the cold intermediate layer after establishment of the seasonal pycnocline provides d~~straightforward-irect~~ evidence of the ~~latter-is-the~~ temperature below T_{md} in the cold intermediate layer after the establishment of the seasonal pycnocline (Chubarenko et al., 2017; Eilola, 1997; Liblik and Lips, 2017). Haline stratification creates favourable conditions for spring phytoplankton bloom (Kahru and Nömmann, 1990; Lips et al., 2014); w~~Without~~ haline stratification, warming would cause mixing until T_{md} is reached. ~~The haline stratification creates favourable conditions for spring phytoplankton bloom (Kahru and Nömmann, 1990; Lips et al., 2014).~~

Haline stratification under ice has been observed, in a number of locations including ~~in~~ the vicinity of River Siikajoki mouth in ~~the~~ Bothnian Bay (Granskog et al., 2005), at Tvärminne in the northwestern Gulf of Finland (Merkouriadi and Leppäranta, 2015) and ~~the~~ Himmerfjärden bay in the western Baltic Proper (Kari et al., 2018). Ice coverage prevents wind mixing so that and therefore even relatively small-low river runoff can form a plume of fresher water plume and stratification that, which can reach 10–20 km from the river mouth. A number of Mentioned-above studies (Granskog et al., 2005; Kari et al., 2018; Merkouriadi and Leppäranta, 2015) have dealt-investigatedwith winter and early spring haline stratification ~~topics~~ locally in nearshore regions and near relatively small freshwater sources.

The Gulf of Finland has favorable preconditions for the haline stratification in the upper layer in winter. The g-Gulf receives large amounts of fresh water, and it is at least partly covered by ice during winters. The present workstudy hypothesizes that haline stratification occurs at a depth comparable to the euphotic zone depth-occurs in the Gulf of Finland, and -potentially in the northeastern Baltic Proper, during wintertime. This It means that the general understanding that the water column is mixed down to the halocline in the open Baltic Sea in winter (Leppäranta and Myrberg, 2009) might not be valid in the northeastern Baltic Sea. To testify this hypothesis, we analyzed data from research vessel measurement campaigns, the research vessel gathered and autonomously acquired Ferrybox data and historical sources, along with and across the Gulf of Finland. Likewise, model simulatmodel simulation ion data, were examined.

Details about the formation of the haline stratification in the larger areas of the Baltic Sea during wintertime is mainly unknown. The Gulf of Finland has favourable preconditions for that process in winter. Gulf receives large amounts of freshwater, and it is at least partly covered by ice during winters. The present work hypothesizes that haline stratification depth-comparable to the euphotic zone depth-occurs in the Gulf of Finland and potentially in the northeastern Baltic Proper during wintertime. It means that the general understanding that the water column is mixed down to the halocline in the open Baltic Sea in winter (Leppäranta and Myrberg, 2009) might not be valid in the northeastern Baltic Sea. To testify this hypothesis, we analyzed the research vessel gathered and autonomously-acquired data along and across the Gulf of Finland. Likewise, model simulation data were examined.

115 2. Data and methods

In-situ and remote sensing data

120 ~~We arranged t~~Two measurement campaigns ~~we arranged~~ in winters 2011/12 and 2013/14 ~~aboard RV Salme~~
~~to investigate estuarine circulation reversals in the Gulf of Finland (Fig. 1); six along the gulf surveys were~~
~~conducted in each winter, with full details of survey and data processing in to investigate estuarine circulation~~
~~reversals in the Gulf of Finland (Liblik et al. (-2013) and (-2017). Details of the along the gulf surveys~~
~~and data processing are well described in the referenced papers. Six surveys onboard the RV Salme were was~~
~~conducted in both winters. In the present study, is work, we present utilized~~ temperature, ~~and~~ salinity ~~and~~
125 chlorophyll *a* (Chl *a*) data from ~~the cruises in 2011 (on 21 December 2011), 2012 (24–25 January, 7–8 February,~~
~~29 February, 15–16 March) and 2012 and 2014 (9–10 January, 3–4 February, and 4–5 March). 2014. The V~~vertical
profiles of temperature, salinity, and chlorophyll *a* (Chl *a*) fluorescence were recorded using an Ocean Seven
320plus CTD probe (Idronaut S.r.l.) equipped with a Seapoint Chl *a* fluorometer. ~~The~~ ~~The quality of the~~ salinity
data was calibrated against ~~the~~ water sample analyses using a high-precision salinometer 8410A Portasal
130 (Guildline). The mean difference and standard deviation of salinity measured by CTD and salinometer was \pm
 0.022 ± 0.014 g kg⁻¹ in 2011/2012 and $\pm 0.009 \pm 0.009$ in 2013/2014. Thus, after removal of ~~the~~ offsets, the accuracy
of salinity data was 0.02 g kg⁻¹. Temperature sensors were calibrated before and after surveys in the Idronaut
factory and the differences with the calibration device were smaller than ~~the~~ initial accuracy (0.001 °C) of the
Ocean Seven 320plus temperature sensor.

135 ~~Chlorophyll a (Chl a) fluorescence data was compared and calibrated against water samples in~~
~~selected cruises (Fig. 2). The linear regression between Chl a fluorescence sensor values and Chl a acquired from~~
~~water samples wasere:~~ $Chl\ a = FI \times 1.42$ ($r^2 = 0.90$, $n = 33$), where FI is the Chl *a* fluorescence recorded by the
Seapoint Chl *a* fluorometer. The Chl *a* concentration in the water samples was determined using Whatman GF/F
140 glass fibre filters following extraction at room temperature in the dark with 96% ethanol for 24 h. The Chl *a* content
from the extract was measured spectrophotometrically (Thermo Helios g) in the laboratory (HELCOM, 1988).
Phytoplankton biomass was determined from ~~the~~ water samples ~~from a selection of stations in in~~ winter 2014, ~~and~~
~~the samples were not collected in all stations~~ (Fig. 1). ~~The~~ ~~S~~sub-samples (100 ml) were preserved and analyzed
145 following according to the HELCOM recommendations and EVS-EN 15972:2011 standard. ~~P~~The phytoplankton
carbon (C) content was calculated using ~~the~~ C: biovolume factors ~~method of aeeording to~~ Menden-Deuer and
Lessard (2000) and ~~for for~~ photosynthetic naked ciliate Mesodinium rubrum ~~a~~ according to ~~the method of~~ Putt and
Stoecker (1989).

150 ~~The~~ ~~W~~wind data were ~~measured recorded~~ at Tallinnamadal ~~and~~ Kalbåadagrund ~~I~~Lighthouse (Fig. 1) at
~~the heights~~ of 36 m ~~and~~ 32 m above the sea level and ~~recorded with 1-h and 3-h intervals, respectively. A height~~
~~correction coefficient of 0.91 (neutral atmospheric stratification) was applied to convert~~ The wind speed
~~measurements was multiplied by a height correction coefficient of 0.91 (neutral atmospheric stratification) to~~
~~reduce the recorded wind speed to that of the height of to 10 m height equivalent (Launiainen and Saarinen, 1984).~~
Wind measurements from ~~d~~ at the Tallinnamadal for in-winters 2011/12, 2013/14 and 2015/16 were used in the
~~analyses with oceanographic data analysis. The Kalbåadagrund dataset from for the period Kalbåadagrund 1981–~~
~~2015 was used to deillustrate scribe~~ the annual cycle of the along-gulf component of wind stress.

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155 ~~Tallinn–Helsinki~~ Ferrybox measurements of temperature and salinity ~~between Tallinn–Helsinki from for~~
January–March 2012, 2014 and 2016 ~~were also used in the study, are presented in the paper. The Details of the~~
~~information about the~~ Ferrybox system and data processing ~~methods~~ are given in ~~detail in~~ Kikas and Lips (2016).
160 ~~A~~The analyses have shown that ~~a~~ a-correction of 0.08 g kg⁻¹ (the value has been stable over the years) must be
added to the recorded salinity (Kikas and Lips, 2016). ~~After removal the offset t~~The standard deviation of the
difference ~~in between~~ salinity measured by Ferrybox and ~~a high precision~~ Portasal salinometer was 0.01 g kg⁻¹
~~after bias correction~~. The accuracy of ~~the Ferrybox~~ temperature sensor ~~of the Ferrybox~~ is 0.04 °C (Kikas and Lips,
2016).

Historical data collected by the Department of Marine Systems at Tallinn University of Technology and
the ICES HELCOM dataset (<https://ocean.ices.dk/helcom/>) were used to ~~describe-determine past~~the stratification
165 conditions ~~in the past~~. Quality assurance and ~~data processing of this data~~ were in accordance with the HELCOM
Monitoring Manual (Anon, 2017).

~~Remotely sensed~~ OSTIA (Donlon et al., 2012; Good et al., 2020) ~~daily~~ mean sea surface temperature
(SST) data for the period 2010–2019 were obtained from the Copernicus ~~M~~marine ~~E~~environment ~~M~~monitoring
170 ~~S~~service ~~products~~. ~~Mean~~ The mean difference ~~between of the remotely sensed~~ OSTIA SST product and in-situ
measurements is 0.01–0.03 °C and ~~the~~ standard deviation ~~is~~ -0.4–0.5 °C (Worsfold et al., n.d.). ~~The~~ Daily mean
SST along the thalweg in the Gulf of Finland (Thalweg GoF in Fig. 1) and in the Gotland Deep (box in Fig. 1)
~~was~~ were calculated to determine if and when ~~the~~ SST ~~was declined above or~~ below ~~and rose above~~ T_{md}. Salinities
~~of~~ 6 g kg⁻¹ and 7 g kg⁻¹ were used in ~~the~~ T_{md} estimation for the Gulf of Finland and Gotland Deep, respectively.

~~Time series of the large-scale North Atlantic Oscillation (NAO) index was used to explain the interannual~~
175 ~~variability of wintertime upper layer stratification in the Gulf of Finland. Long-term observations of the sea level~~
~~pressure differences between Reykjavik (Iceland) and Gibraltar (Spain) constitute the NAO index, which is~~
~~available from the Climatic Research Unit, University of East Anglia (Jones et~~
~~al., 1997; https://crudata.uea.ac.uk/cru/data/nao/nao.dat).~~

Density is given as a potential density anomaly (σ_θ) to ~~a~~ reference pressure of 0 dbar (Association for the
180 Physical Sciences of the Sea, 2010). The ~~upper mixed layer (UML)~~ depth was defined as the minimum depth
where $\rho_z \geq \rho_3 + 0.15 \text{ kg m}^{-3}$ was satisfied. The density at 3 m depth is ρ_3 , and ρ_z is the density at ~~a certain~~ depth z .

Modelling

185 The ~~study used the~~ General Estuarine Transport Model (GETM, ~~(Burchard and Bolding (2002)) was~~
~~applied has been used in the present study~~ to obtain UML parameters in the Gulf of Finland and ~~the~~ Eastern Baltic
Sea. GETM is a primitive equation 3-dimensional, ~~a~~-free surface hydrostatic model with ~~a the embedded~~ built-in
vertically adaptive coordinate scheme (Hofmeister et al., 2010). ~~The latter has been shown to significantly reduce~~
~~the numerical mixing in the simulations (Gräwe et al., 2015)~~ (Gräwe et al., 2015).

190 ~~The~~ V-vertical mixing in the ~~In~~ GETM ~~the vertical mixing parameters are~~ is calculated using a General
Ocean Turbulence Model (GOTM, ~~(Umlauf and Burchard (2005))~~). ~~For In this model, the current study, the the~~

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eddy diffusivity and eddy viscosity parameters ~~are were~~ found ~~by a using it h a~~ two-equation k-ε model coupled with an algebraic second-moment closure (Burchard et al., 2001; Canuto et al., 2001).

195 ~~The A~~ horizontal resolution of the GETM grid spacing of 0.5 nautical miles (approximately 926 m) was established for the ~~for the~~ setup domain of the whole Baltic Sea (Fig. 1) ~~is 0.5 nautical miles (approximately 926 m), over the whole Baltic Sea (Fig. 1); there are with 60 vertically~~ adaptive layers in the vertical direction. Parameters controlling the vertical resolution of the model during the simulations ~~are were~~ taken from ~~chosen the same as in~~ Hofmeister et al. (2010) and Gräwe et al. (2015). The digital topography of the Baltic Sea was taken from ~~the~~ Baltic Sea Bathymetry Database (<http://data.bshc.pro/>, last accessed ~~xxxxx~~ 1 April 2020), and adapted for ~~the~~ Gulf of Finland based on ~~the~~ additional data for the Gulf of Finland from ~~by~~ Andrejev et al. (2010). ~~The~~ Atmospheric forcings surface boundary conditions (the wind stress and surface heat flux components) ~~were~~ calculated using bulk formulae from the wind, solar radiation, air temperature, total cloudiness and relative humidity data generated by ~~the~~ operational forecast model HIRLAM (High-Resolution Limited Area Model). ~~HIRLAM is version maintained used and maintained by the Estonian Weather Service and has with the a~~ spatial resolution of 11 km and ~~the a~~ daily forecast interval of 1 h ~~with for a~~ total forecast length of 54 h (Männik and Merilain, 2007). ~~The~~ wind velocity components at the 10 m level along with other HIRLAM ~~All the~~ meteorological parameters were interpolated to the model grids. ~~The M~~ model simulation runs ~~were was~~ performed from 1 April 2010 to 31 December 2019.

210 ~~The~~ model domain has an open boundary in the Danish straits ~~Open boundary conditions are were~~ used in the Danish Straits. ~~The~~ inflow- and outflow from the model is barotropically controlled using ~~the~~ sea surface height measurements from ~~the~~ Gothenburg station and, more specifically, (Flather, (1994) ~~Flather (1994)~~ radiation. In terms of ~~As for the~~ temperature and salinity, the model is relaxed towards climatological profiles along the open boundary using sponge layer factors according to ~~the method of (Martinsen and Engedahl, (1987) Martinsen and Engedahl (1987).~~ For the boundary conditions, the sea surface height measurements from the Gothenburg station and the climatological temperature and salinity profiles along the open boundary were utilized. ~~The~~ simulation used freshwater input from ~~the~~ 54 largest Baltic Sea rivers, together with their interannual variability ~~as~~ reported in ~~the~~ HELCOM (Johansson, 2018) ~~was is used in the model simulation.~~ The riverine input ~~is is~~ treated as a rise in the sea surface height and ~~each all the rivers have a~~ prescribed constant salinity of 0.5 g/kg that is diluted in the corresponding grid cell. ~~The R~~ river water temperature is assumed to be the same as ~~that in~~ the target cell.

220 The initial thermohaline field was ~~generated by~~ taken from the Copernicus ~~OPERNICUS~~ reanalysis of the Baltic Sea for the period 1989–2014. ~~As T~~ the product provides ~~d the a~~ horizontal resolution of 3 nautical miles (approximately 5.56 km), and ~~the a~~ vertical resolution from 5 m at the surface up to 50 m in the near-bottom layers, ~~it was interpolated to the target grid. The M~~ model simulations started from a motionless state, ~~that is i.e.~~ with initial sea surface height and current velocities set to zero. Previous studies (e.g. (Lips et al., 2016) ~~Krauss and Brügge, 1991; Lips et al., 2016)~~ have shown that wind-driven circulation in the Baltic Sea adjusts to forcing within 5 days.

230 ~~Model For the model~~ validation used available Ferrybox data (2011–2016) along the ~~transect from F~~ Tallinn to Helsinki ~~transect~~ (see Fig. 1 for location) ~~were used~~. The model captures the observed variability of ~~the~~ temperature and salinity reasonably well (Fig. 3). Standard deviations of ~~the~~ simulated temperature and salinity for the overall (1 November-11, 2011–1 June-06, 2016) and wintertime (December ~~to~~ –March 2011–2016) periods

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are close to observations. The standard deviation of simulated salinity is smaller than the observed for in-winter 2016 (January–March) and larger for in-2012, while for in-2014 it is close to the observed observations. The simulated variability of the temperature is captured well—the standard deviations from the simulations are at least 0.8 of the observed for all the time periods, although the model slightly overestimated the temperature variability for the winter of 2012.

For salinity, the overall correlation coefficient for salinity is 0.62, while it is over 0.74 both for both the whole wintertime period and single years as well. There is a higher correlation for temperature (as expected); overall correlation, which includes as the seasonal variability, is 0.99, and while for the wintertime it is 0.95. Very high correlation (>0.94) for the temperature is also shown for single individual winters.

The Root mean squared differences between model and observed values are slightly larger for the salinity but do not exceed the observed variability. In general, the model captures the wintertime changes in the surface layers of the Gulf of Finland well. More details about the model setup and validation in the Baltic Proper are given in (Zhurbas et al., 2018).

3. Results

3.1. The onset of stratification and its link to wind forcing, hydrography and Chlorophyll *a* patterns

To demonstrate the link between wind forcing, the onset of stratification and increase in Chl *a*, we analyzed temperature, salinity, density, and Chl *a* distributions along the gulf together with wind data in for winters 2011/12 and 2013/14. Prior to the survey of 21 December 2011, there was a

Strong westerly wind with a maximum along-gulf wind stress was of 1.3 N m^{-2} , prevailed before the survey on 21 December 2011 (Fig. 34a). The Cumulative wind stress increased by $6 \text{ N m}^{-02} \text{ d}$ from 1 November to 21 December, resulting in a $6 \text{ N m}^{-3} \text{ d}$. As a result, warm ($>5 \text{ C}^\circ$, Fig. 45a), relatively salty ($>6.3 \text{ g kg}^{-1}$, Fig. 45b) and well-mixed water column was observed in the gulf (Fig. 45c). Very low Chl *a* concentrations were very low, around below 1 mg m^{-3} , was seen in the section (Fig. 45d). Prior to the survey on 24–25 January 2012, weaker easterly winds had prevailed since mid-January before the survey on 24–25 January 2012 (Fig. 34a). Lower temperature ($3\text{--}4 \text{ C}^\circ$, Fig. 45e) in the upper 20 m coincided with slightly fresher water on 24–25 January 2012 (Fig. 45f). A salinity minimum (down to 5.8 g kg^{-1}) caused stratification in the upper layer (Fig. 45g) at a distance of 80–110 km in the section; this location was also characterized by, and slightly higher Chl *a* concentration (up to 1.5 mg m^{-3}) was seen there (Fig. 45h). Variable and relatively weak winds prevailed in late January and early February (Fig. 34a). On 7–8 February 2012, the temperature of the upper layer was below T_{md} (2.7 C°) in the upper layer on 7–8 February (Fig. 45i). The cold water in the upper layer coincided with lower salinity was low ($4.8\text{--}6.0 \text{ g kg}^{-1}$, Fig. 45j) and there was a remarkable stratification and shallow UML was observed (Fig. 45k). Higher Chl *a* concentration, occasionally $>2 \text{ mg m}^{-3}$, was seen in the fresher and colder water along the section (Fig. 45l). Lateral Chl *a* shape extent was closely linked to the salinity (density) structure, with higher Chl *a* concentration associated with was connected to the lower salinity and vice versa.

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270 ~~lower Chl *a* concentration to the higher salinities.~~ Westerly winds prevailed ~~in the during the~~ period before the next
survey at the end of February (Fig. 34a), ~~resulting in .This resulted in~~ well-mixed conditions and relatively high
salinity (6.0–6.7 g kg⁻¹) in the western part of the section on 29 February (Fig. 45m–n). Lower salinity, stronger
stratification and slightly higher Chl *a* in the upper layer were observed in the central part of the section (Fig. 45n–
p). The ~~c~~Eastern part of the section was not visited ~~on 29 February~~ due to ice conditions ~~on 29 February~~. In the
275 middle of March (15–16 March) the water temperature was still well below T_{md}, and strong haline stratification
was observed along the whole transect (Fig. 45r–t). ~~Chl *a* c~~Concentrations ~~in the upper layer were within the of~~
~~Chl *a* within the range of 2–4 mg m⁻³ were found in the upper layer~~ (Fig. 45u).

Similar ~~developments trends in in the~~ wind forcing and spatiotemporal patterns ~~in of~~ temperature, salinity,
density and Chl *a* were observed in winter 2013/14. Strong westerly winds dominated until early January 2014,
280 ~~with an increase in the~~ cumulative wind stress ~~of 10 N m⁻² d from increased since~~ 1 November 2013 ~~by 10 N m⁻²~~
~~d~~ (Fig. 34b). ~~The 9–10 January 2014 survey shows a w~~ Well-mixed water column and low Chl *a* ~~were observed on~~
~~9–10 January 2014~~ (56a–d). Fresher and colder water, but only slightly higher Chl *a*, was found in the upper layer
on 3–4 February (Fig. 56e–h). ~~By 4–5 March, the area of Spreading of~~ fresher water ~~had expanded~~ (salinity <6 g
kg⁻¹) and the ~~stronger stratification, shallow upper mixed layer UML extended over~~ were observed in most of the
285 section ~~on 4–5 March~~ (Fig. 56j, k). ~~The cold and fresher upper layer showed h~~ Higher Chl *a* ~~was found in the cold~~
~~and fresher upper layer~~, especially in the eastern part of the section (Fig. 56i, j, l).

To illustrate wintertime re-stratification phenomena and formation of the shallow upper mixed layer along
the gulf, we show longitudinal upper mixed layer depth, surface layer salinity, the density difference between 40
m depth and the surface layer, and surface layer Chl *a* in winters 2011/12 and 2013/2014. Very thick mixed layer
290 (70–90 m), high surface salinity (6.3–6.5 g kg⁻¹), small along gulf gradient of the surface salinity, small density
difference (<0.1 kg m⁻³) and low Chl *a* concentration was observed on 21 December 2011 (Fig. 7). Similar
characteristics were observed in most of the section on 24 January 2012. An exception was the region at a distance
from 80 to 110 km, where surface salinity within the range 5.8–6.3 g kg⁻¹ was observed. Interestingly, saltier water
was found further in the east again. Since freshwater originates from the east, the fresher water must have been
295 first flown to west along the northern coast and later advected to the central part of the gulf likely as a filament.
Upper mixed layer depth < 20 m, density difference up to 0.5 g kg⁻¹ and Chl *a* concentration up to 1.5 mg m⁻³
were observed in this area. Mixed layer depth < 20 m and density difference > 0.5 g kg m⁻³ occurred in most of the
sections on 7–8 February, 29 February and 15–16 March 2012. Similar developments were seen in winter 2013/14.
Thick mixed layer, high salinity, small density difference and low Chl *a* were observed on 9–10 January 2014.
300 Occasionally lower salinity, smaller upper mixed layer thickness, stronger stratification and elevated Chl *a*
concentration were found on 3–4 February, and well-developed stratification and Chl *a* concentration mostly in
the range 2–3.5 mg m⁻³ registered on 4–5 March 2014.

Thus, ~~we observed~~ haline stratification and elevated Chl *a* ~~concentration was observed in both winters~~
~~(2011/12 and 2013/14) from the since the~~ beginning of February, ~~in A s~~ both winters (2011/12 and 2013/14).
305 Shallow UML mixed layer depth (<20 m) was ~~not observed~~ absent after prevailing westerly winds and ~~in the ease~~
when SST sea surface temperature was >T_{md}. Stratification formed as fresher water occupied the upper layer.

To ~~describec~~ examine the temporal ~~developmentstrends in of the~~ haline stratification in more detail, Next,
we ~~next describe~~ analyzed across the gulf changes ~~of in~~ temperature and salinity using measurements acquired by

the Ferrybox system at along the Tallinn–Helsinki transect for in January–March 2012, 2014 and 2016 (Fig. 68).
310 Generally, General-temporal temporal-changes of in salinity and temperature along the transect were in these years
were quite similar for each of the study years, as was wind forcing (Fig. 34). Strong westerly winds dominated
until early or mid January-January, and a-After the relaxation of the wind forcing, fresher water appeared was
recorded in to the transect.

315 Based on According to the observations at the longitudinal sections (Figs. 45 and 56), the highest we
assume sea surface salinity at which stratification and relatively shallow UML can form was assumed as of 6 g
kg⁻¹ as the highest salinity, where stratification and relatively shallow UML upper mixed layer could form.
Similarly to the along-gulf observations (Fig. 45a, b), salty and warm water occupied the transect at the beginning
of January in 2012 (Fig. 68a, b). The northern part of the transect was covered in fFresher water (< 6 g kg⁻¹)
320 spreading from the east to west covered the northern part of the transect by the end of January, while although
salinity slightly increased in the southern part of the section at this e-same-time. Since the main sources of
freshwater are in the east, the-water must have flown westward along the northern coast. The area covered by
fresher water widened to almost the entire section by mid February. Water temperature declined below T_{md} in the
northern part in the first half of January, while in the central and southern part of the section temperature dropped
below T_{md} by the end of January. A similar spatiotemporal pattern in the sea surface salinity was observed in 2014
325 and 2016 (Fig. 68c–f). Fresher water first appeared in the northern part in the first half of January in early or mid-
January both in 2014 and 2016. The onset of haline stratification took a place occurred slightly earlier in 2016 due
to. This is associated with the wind forcing – the westerlies had eased off already by at the end of December
2015 (Fig. 3c). The segment covered by fresher water widened during January and most of the transect was
occupied by water with salinity < 6 g kg⁻¹ at the end of January 2016 and in the mid February 2014. A pulse of
330 Strong westerly wind occurred impulse occurred at the end of January–beginning of February in 2016 (Fig. 34c).
We suggest that the lighter, less saline water that originates in the from the east flowed westwards along the
northern coast to the west and was later transported to ward the southern coast in the central and western part of
the gulf. The latter is likely related to the the Ekman transport induced by the westerly winds wind impulse early
February in both years (Fig. 34). Thus, stratification related to the spreading of fresher water forms about one
335 month earlier in the northern part of the gulf than in the than in the southern part of the gulf.

3.2. The occurrence spatiotemporal patterns of the restratification-stratification phenomenon

340 Here, Next, we describe examine The the spatiotemporal pattern of the restratification-stratification
process using can be described by model simulation data and statistics of statistics of historical observations.
Monthly-mean simulated UML depth and occurrence of the UML depth < 20 m are presented in Fig. 9.

345 As noted from the in-situ observations, the haline stratification forms after the relaxation of the westerly
winds. The annual cycle of the along-gulf component of wind stress shows higher monthly mean values (>0.04 N
m⁻²) and higher variability from October–January (Fig. 7); this – It means that the strong westerly winds from
westerly directions are more frequent and storminess is higher in these months. Generally As a consequence, the
occurrence of UML depth < 20 m was infrequent and as very low and mean UML depth varied between within

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the range 40–60 m in the western and central gulf in November, December and January 2010–2019 (Fig. 89a–f). As an exception, the probability of the UML depth <20 m was 30–40% in the northern part of the eastern area in January. Winds from the west are weaker and storms are less frequent in February and March (Fig. 8). In February, the occurrence of UML depth <20 m increased to 50–60% (Fig. 98g and h), although still in the southern and western parts of the gulf, the mean UML depth was 30–40 m in February. These statistics from based on model simulation data well agree well with our observations of westward advection of fresher water from the northern coast (Fig. 68). The mean UML depth was 20 m or lower in the central part of the gulf in March, and while the UML was thicker at the gulf entrance (Fig. 8) area of the gulf in March (Fig. 89i). The occurrence of the UML depth <20 m was >60% in the central part, around 50% at the gulf entrance area of the gulf and much lower to the east in the west of from the longitude 22° E (Fig. 89j). A similar pattern is shown in revealed in the mean occurrence of the density difference between 40 m depth and the sea surface of >0.5 kg m⁻³, based on in-situ measurements for the period in 1904–2020 (Fig. 940). The occurrence was 40–75% in the central part of the gulf, 30–50% in the western part entrance of the gulf and <5% further to in the west. Thus, the wintertime upper layer stratification extends ed to 23°E in the western gulf.

Model simulation data (2010–2019) were used to describe examine the development of UML depth from October to March along the a transect from the northern Baltic Proper to the central Gulf of Finland and in in the Gotland Deep model simulation data (2010–2019) were used. The time series of m The mean UML depth for the transects in the gulf (Thalweg GoF, Fig. 1) and Gotland Deep (box, Fig. 1) show that was calculated. The maximum of the mean UML depth in the gulf mostly occurred in December, and well before SST decreased to T_{md} (Fig. 104a). The onset of restratification-stratification occurred at temperatures below T_{md} (Fig. 104ba). The temperature dropped below T_{md} later and raised rose above over T_{md} earlier in the Gotland Deep compared to the gulf. In five winters out of ten SST did not fall below T_{md} in the Gotland Deep (Fig. 104ba). However, whether the temperature was below T_{md} or not, restratification-stratification phenomena were absent from the in the upper layer did not occur in the Gotland Deep in January–March; this. It means that buoyancy, created by slight thermal stratification at <T_{md} is overshadowed by vertical mixing in the Gotland Deep. Vertical mixing also dominated in the Gulf of Finland in November–December. Still, from late January or early February, the advection of fresher water (Fig. 68) creates a shallow mixed layer (Fig. 104a–c).

Time series of simulated UML depth along the transect from the northern Baltic Proper to the central Gulf of Finland from October to March in 2010–2019 showed considerable synoptic and interannual-annual variability (Fig. 104cb). The deepest UML occurred in the gulf in winters 2011/12 and 2013/14, i.e. precisely the years, when measurements along the thalweg also showed deep UML in the gulf (Figs. 45 and 56). The estuarine circulation reversal caused by strong westerly winds gave rise to a deep UML, while the restratification-stratification occurred after the prevailing of easterly winds (Figs. 34–56). The frequency of westerly (easterly) winds over the Gulf of Finland in winter is positively (negatively) correlated to the North Atlantic Oscillation (NAO) index (Jaagus and Kull, 2011). The strong reversal event and deep UML in winter 2011/12 were accompanied by an anomalously positive NAO index (Liblik et al., 2013). The mean December to February NAO index (Jones et al., 1997) in 2011/12 was 2.18 (Jones et al., 1997). Likewise, the mean NAO index in the other three winters (2013/14, 2014/15, 2015/2016), when the mean UML depth in the gulf reached 60 m or deeper (Fig. 11a) had was mean NAO index > 2 in December–February (Fig. 10b). Winters 2010/11 and 2012/13, which stand out in the time series with early onset restratification-stratification onset already in early January, (Fig. 11a) had

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the lowest December–February averaged NAO indices during the period 2010–2019: –1.06 and 0.47, respectively (Fig. 10 a and b). Thus, large scale atmospheric forcing alters the re-stratification-stratification phenomena. Low NAO index conditions and easterly winds support re-stratification-stratification while high NAO index and westerly winds have the opposite effect.

It has to be noted that besides the frequency of easterly winds, also ice coverage tends to be larger in the case of low NAO (Jaagus, 2006). The landfast ice zone is expected to prevent vertical mixing and therefore supports lateral advection of riverine fresher water (Granskog et al., 2005). During the onset of re-stratification in late January 2012, the gulf was virtually ice-free. In both winters at the end of January, only the eastern part of the gulf and the adjacent part of the northern shore of the gulf were covered with ice. Thus, winter stratification phenomena occurred even if most of the gulf was not covered by ice.

4.2. Discussion

Positive net buoyancy flux is required for the onset of stratification in the upper layer. The processes causing accounting for the negative buoyancy fluxes are include vertical mixing caused by wind stirring, current shear and convection and vertical movements of the pycnocline. Positive buoyancy fluxes result are resulting from the advection (arrival) of the lighter water in to the sea surface or of denser water to the subsurface. Likewise, warming of the surface layer at temperatures above T_{md} or cooling below T_{md} strengthens stratification. The magnitude of positive buoyancy imparted coming from the cooling of the water below T_{md} is rather small. If we consider salinity of 6 g kg^{-1} , the density difference between waters at T_{md} ($2.8 \text{ }^\circ\text{C}$) and freezing temperature ($-0.3 \text{ }^\circ\text{C}$) is 0.07 kg m^{-3} . This is the maximum density change if the water temperature is below T_{md} and salinity is 6 g kg^{-1} . We get the same density difference if we keep temperature constant ($1 \text{ }^\circ\text{C}$) and vary salinity by 0.09 g kg^{-1} . Our data show that The changes in the sea surface salinity in winter are of the order of were approximately $1\text{--}2 \text{ g kg}^{-1}$ during winters (Fig. 68), so, Therefore, the effect of salinity change to the density and buoyancy flux was is about 10–20-fold higher than compared to the effect of temperature change in the gulf. We can conclude that fresher water advection from the east was is the primary source of buoyancy for the development of the stratification. This transport reshwater transport is controlled by wind forcing: c. Easterly winds support advection of the fresher water advection to the west while westerly winds impede it (Liblik and Lips, 2012; Pavelson et al., 1997). To exemplify the processes, two cases from the Gulf of Finland are illustrated The example of the processes prevailing in the case of strong westerly wind and the weak and variable wind in the Gulf of Finland in is displayed in Fig. 11. When westerlies dominate, Blockage of riverine water transport to the west is blocked, strong vertical mixing, is strong and the a deep-UML is deep occurs, when westerlies dominate (Fig. 11a), while easterly or weak winds are associated with westwards advection of the fresher water towards the west along the northern coast, formation of haline stratification formation and phytoplankton growth occur when easterly or weak winds prevail (Fig. 11b).

The role of the wind forcing in stratification depends on preexisting conditions. In Wone hand, westerly winds generally deepen the mixed layer depth due to transport of the surface layer water from the northern Baltic Proper to the gulf (Liblik and Lips, 2017). However, On the other hand, if the fresher water is already present along the north coast, westerly winds spread it spreads to the south by westerly winds and creates stratification

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there (Figs. 34 and 68), and as noted in summer also by Pavelson et al. (1997).

Wintertime stratification phenomena in nearshore regions, extending 10–20 km from the coast, have been reported in several locations in the Baltic Sea (Granskog et al., 2005; Kari et al., 2018; Merkouriadi and Leppäranta, 2015). However, All these studies were concerned with stratification dealt with the phenomenon under the ice, whereas in our study, on the example of the Gulf of Finland, we have shown that wintertime stratification may also occur in the basin-scale (along-gulf extent 400 km) and in the absence of without considerable ice coverage. During the onset of restratification stratification in late January 2012, the Gulf of Finland was virtually ice-free. In both winters (2011/12 and 2013/14) at the end of January, only the eastern part of the Gulf of Finland and the adjacent part of the northern shore of the gulf were ice covered with ice at the end of January; thus, winter stratification phenomenon occurred even if when most of the Gulf of Finland was not covered by ice. It should be noted that, besides along with the frequency of easterly winds, also ice coverage is larger in the case of low NAO index is also associated with increased ice coverage (Jaagus, 2006). The landfast ice zone would be expected to prevent vertical mixing and therefore supports lateral advection of riverine fresher water (Granskog et al., 2005).

The western border of the stratification phenomenon is around 23° E, i.e. at the entrance to the gulf entrance area to the gulf between Hiiumaa Island and the Finnish coast. This means vertical-vertical mixing dominates over the lateral buoyancy fluxes in the Baltic Proper, and shallow stratification is not a common feature in the Baltic Proper. The absence of the phenomenon in the Baltic Proper can be explained by the long distance from the rivers, due to its larger size and due to the topography. The riverine input per unit area in the Gulf of Finland is 7–8 times larger than in the Baltic Proper (Leppäranta and Myrberg, 2009). As one can note that the wintertime stratification phenomenon vanishes at the wider entrance area to in the area, where the extension of the Gulf of Finland, it is gets wider. Thus, likely that the elongated, and narrow shape of the gulf accounts contributes to the formation of the stratification as well as besides high freshwater input. In the northern part of the Gulf of Finland, the occurrence of the shallow (<20 m) halocline reached over 50% in the northern part of the Gulf of Finland in February, and in the southern part it reached over 50% in March of the gulf in March. The high synoptic-scale and interannual variability of the UML upper mixed layer depth can be related to the wind regime and NAO index (Janssen et al., 2004), respectively. High NAO index is associated with high wind stress, low ice cover, strong upwelling/downwelling (Janssen et al., 2004) and extreme estuarine circulation reversal events occur in the case of high NAO index (Liblik et al., 2013; Lilover et al., 2017; Lips et al., 2017; Suhhova et al., 2018). Enhanced vertical transport by upwelling/downwelling, wind stirring, and reversal events cause vertical mixing, deepening of the UML, and upward transport of nutrients from the deeper layers (Janssen et al., 2004; Lilover and Stips, 2008; Lips et al., 2017). Conversely, low NAO index instead supports the consumption of riverine nutrients in the Gulf of Finland while the vertical mixing of nutrients from the deeper layer is modest.

Gulf of Finland Gulf of Finland Gulf of Finland

We observed the Chl *a* concentration in the range 1.5–up to 3.0 and 4.5 mg m⁻³ in from February to the first half of March, respectively in 2012 and 2014, i.e. occasionally comparable with the mean values in summer in the Gulf of Finland (Kononen et al., 1998; Suikkanen et al., 2007). The higher Chl *a* concentration coincided with the a cold and fresher upper layer and stronger stratification. The distribution of phytoplankton biomass concentration (Fig. 12) generally follows Chl *a* structure (Fig. 65) in winter 2014. The observed winter biomass

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470 concentrations were much ~~smaller-lower~~ compared to summer values in the Gulf of Finland (Kononen et al., 1998, 1999). This discrepancy is probably related to the ~~fact that~~ biomass/ Chl *a* ratio ~~being is~~ higher in summer, as shown for instance in the southern Baltic (Lyngsgaard et al., 2017). The ~~main-dominantting~~ species in the phytoplankton community ~~in more elevated biomass patches in February were-was the~~ photosynthetic ciliate *Mesodinium rubrum*, which is ~~often~~ the dominant primary producer ~~also-in during~~ the post-spring bloom period (Lips and Lips, 2017). ~~In March, *M. rubrum* dominated in the western part of the study area, whereas at other stations the spring bloom dinoflagellates fwere equally abundant ormed-as equal share with the photosynthetic ciliate.~~

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475 Spring bloom ~~evokes-is instigated~~ when ~~the-phytoplankton~~ growth ~~of the-phytoplankton~~ exceeds losses in the upper layer due to grazing or vertical mixing downwards (Smetacek and Passow, 1990). Necessary conditions for ~~the~~ spring bloom are ~~a~~ stabilized upper layer ~~that is~~ thinner than ~~the depth of the~~ euphotic zone, available nutrients and strong enough solar radiation (Fennel, 1999). ~~UML~~Upper mixed layer depth was 10–20 m in most of the ~~Gulf of Finland gulf~~ in early March 2012 and 2014. Euphotic layer depth, estimated according to Luhtala and Tolvanen (2013) from our Secchi depth measurements, was 15–19 m in both winters, i.e. comparable
480 with the UML depth. Also, there were ~~enough-sufficient~~ nutrients available in the upper layer in ~~2014~~ February and March ~~2014~~ (Lips et al., 2017). We do not have a reference for the nutrients data in 2012. Thus, the limiting factor for phytoplankton growth is likely insufficient solar radiation. The mean downward shortwave radiation doubles in the area from February (40–50 Wm⁻²) to March (90–100 Wm⁻²) and quadruples in April (160–200 Wm⁻²) (Rozwadowska and Isemer, 1998; Zapadka et al., 2020). The onset of spring bloom typically occurs in April
485 in the ~~Gulf of Finland gulf~~ (Groetsch et al., 2016; Lips et al., 2014; Lips and Lips, 2017).

4.3. Conclusions

490 ~~Using in situ measurements and model simulation, w~~We have demonstrated ~~wintertime occurrence of by in-situ measurements and model simulationsnumerical modelling that~~ haline stratification at ~~the-a~~ depth comparable to ~~that of the e~~ euphotic zone ~~depth-occureds-in in~~ the Gulf of Finland ~~during wintertime~~, well before the onset of thermal stratification in spring. Stratification forms in late January–early February as a result of the ~~westwards~~ advection of riverine water ~~to-west~~ along the northern coast of the gulf. Stratification is maintained by the positive buoyancy flux created by the advection, which is stronger than the negative flux resulting from vertical mixing. ~~The advection of riverine water occurs after easing of the westerly winds; has eased-off. The-relaxation of westerly winds is a part of the annual cycle inof the local wind regime and thus the mid winter-winter restratification is a regular seasonal feature in the area.~~ Fresher water and haline stratification ~~appeared-occurred~~ approximately one month later along the southern coast of the gulf. Earlier observations of a local stratification phenomenon in the Baltic Sea in winter ~~have been registered in ice coverage conditionswere under conditions of -Ouice coverage. Our~~ observations showed ~~ed~~ that haline stratification ~~could-can~~ occur in the whole ~~G~~ulf of Finland and ~~in the absence of without~~ ice cover ~~as well.~~ Therefore, we can assume that wintertime stratification is a common phenomenon in the Gulf of Finland ~~and- its~~ western boundary is at the entrance area to the gulf, between Hiiumaa Island and the Finnish coast.

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505 Elevated Chl *a* and phytoplankton biomass ~~was~~ registered in the ~~UML mixed layer well above the~~
~~halocline in the Gulf of Finland before the spring bloom~~. The limiting factor for phytoplankton growth in winter
~~was~~ likely insufficient light radiation. The exact role of wintertime stratification in the nutrient cycle and
510 ~~phytoplankton~~ dynamics in the Gulf of Finland needs further investigations.

510 *Code availability*. Scripts to analyze the results are available upon request. Please contact TL.

Author contributions. TL led the analyses of the data and writing of the manuscript with contributions ~~of~~ from GV,
JL, M-JL and IL. TL was responsible for the measurements and GV for the modelling activities. VK was
responsible for gathering and processing of the Ferrybox data. IL arranged ~~for~~ phytoplankton biomass measurements.

515 *Competing interests*. We declare that no competing interests are present.

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for the Kalbåadagrund wind data.

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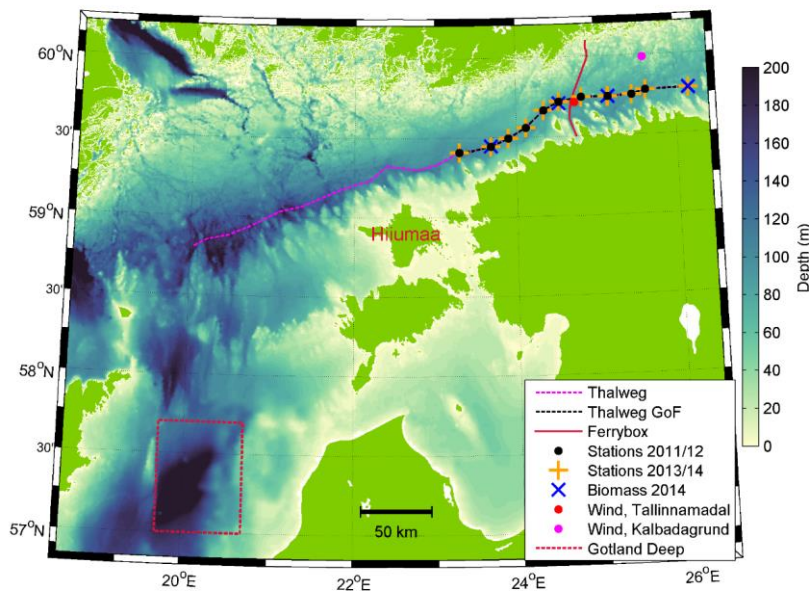


Fig. 1. Bathymetric map of the Baltic Proper and the Gulf of Finland. Thalweg from the Central Gulf of Finland, CTD stations visited in 2011/12 and 2013/14, phytoplankton biomass sampling stations, Tallinn–Helsinki Ferrybox line, Tallinnamadal and Kalbadagrund wind measurements locations and Gotland Deep area are shown.

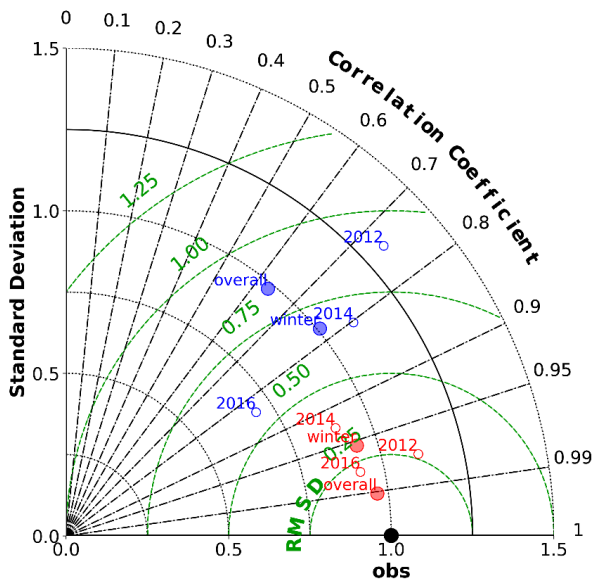


Fig. 23. Taylor diagram of simulated and measured temperature (red) and salinity (blue) along the Ferrybox transect from Tallinn to Helsinki. Overall – all the available observations from 1.11. 2011 to 1.06. 2016 (filled circles); winter – all the available observations from December to the end of March in 2011–2016 (filled circles); and winter observations from January–March in 2012, 2014 and 2016 (open circles).

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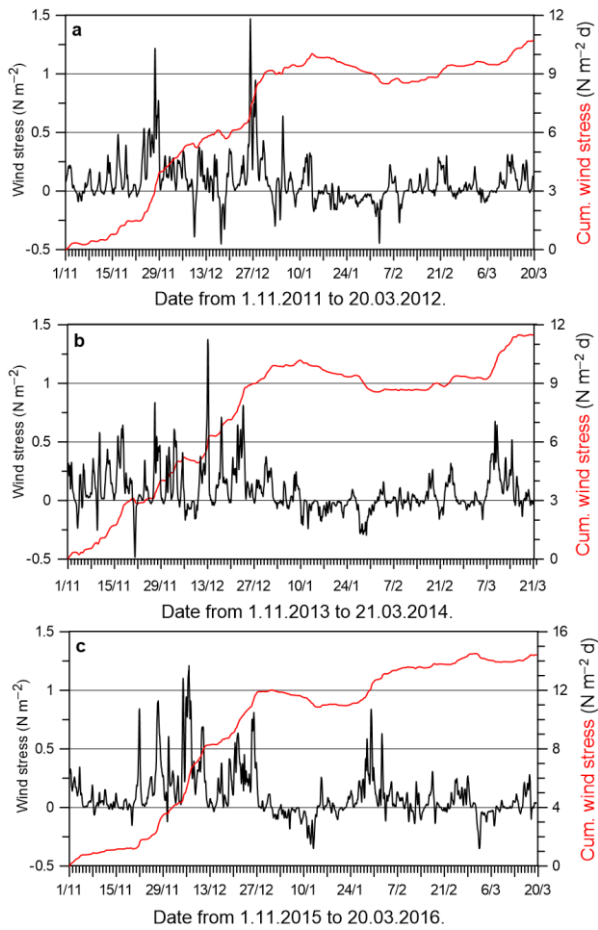
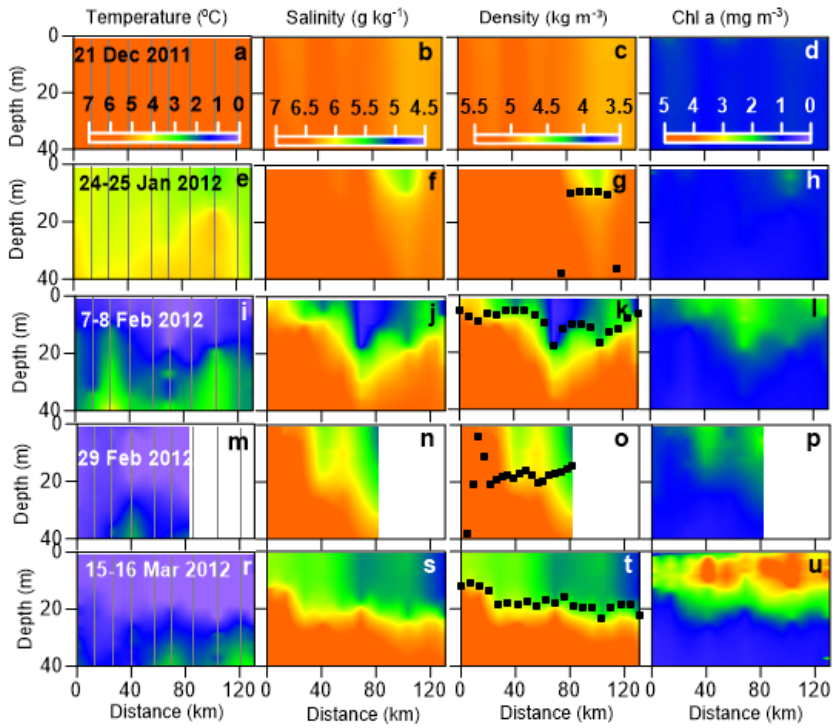


Fig. 34. Time-series of an along-gulf component of wind stress (black curve, positive eastward) and cumulative along-gulf wind stress (red curve), based on wind data measured at Tallinnamadal Lighthouse in the Gulf of Finland, from (a) 1 November 2011 to 20 March 2012; (b) (a), from 1 November 2013 to 21 March 2014; (b) and (c) from 1 November 2011 to 20 March 2016 measured at Tallinnamadal Lighthouse in the Gulf of Finland.

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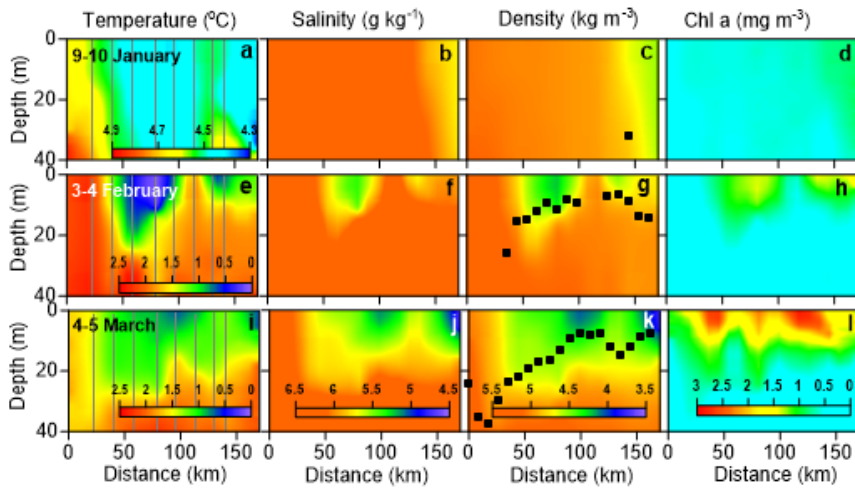


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Fig. 45. Vertical sections of temperature, salinity, density anomaly, and Chl a along a west to east profile in the Gulf of Finland (black dots in Fig. 1) in winter 2911/12, on 21 December 2011, 24–25 January 2012, 7–8 February, 29 February and 15–16 March 2012 March along the Gulf of Finland (black dots in Fig. 1) from west to east. Vertical grey vertical lines show mark the location of CTD-casts. Black dots on density anomaly panels showmark the depth of the upper mixed layer depth (m).

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Fig. 56. Vertical sections of temperature, salinity, density anomaly and Chl a along a west to east profile in the Gulf of Finland (orange crosses in Fig. 1) in winter 2013/14., on 9–10 January, 3–4 February, and 4–5 March 2014, along the Gulf of Finland (orange crosses in Fig.1) from west to east. Vertical grey lines show mark the location of CTD-casts. Black dots on density anomaly panels showmark the depth of the upper mixed layer depth.

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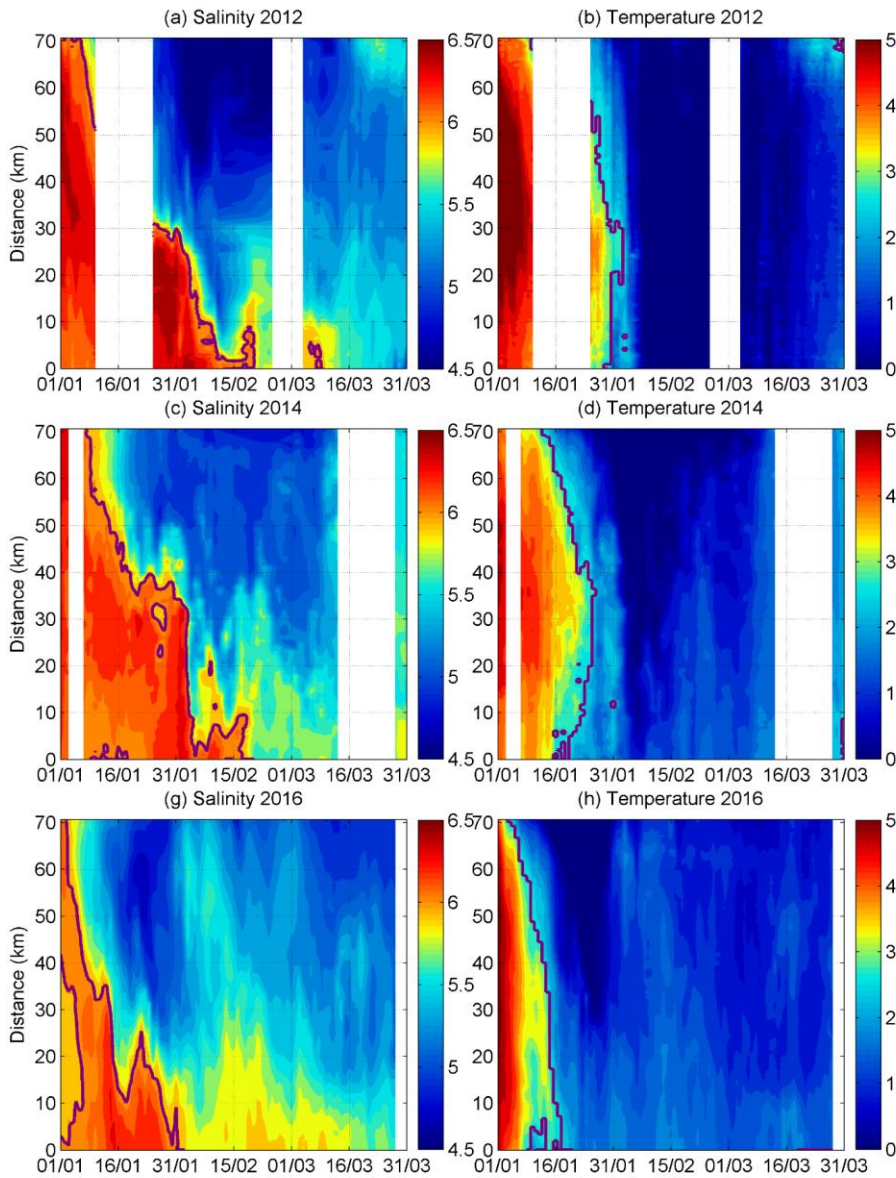


Fig. 68. Salinity (g kg^{-1}) and temperature ($^{\circ}\text{C}$) in of the upper layer along the-a transect from Tallinn to Helsinki (red line in Fig. 1) in January–March 2012, 2014 and 2016. The isohaline 6 g kg^{-1} is shown-marked on the salinity plots and the maximum density temperature T_{md} on the temperature plots. The starting point of the transect ($x = 0 \text{ km}$) is in the Bay of Tallinn at 59.500° N and 24.752° E .

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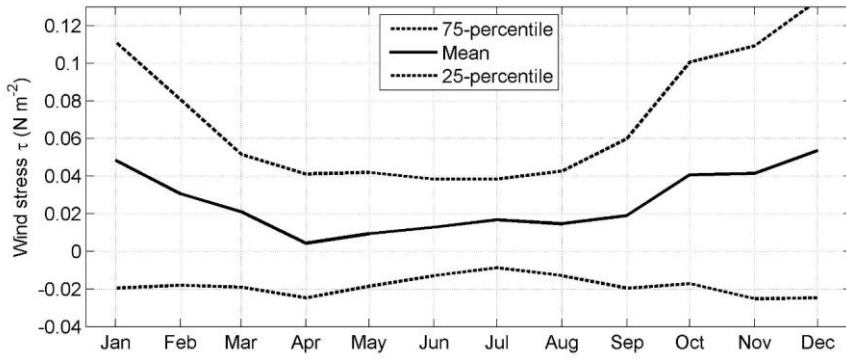


Fig. 7. Annual cycle of an along-gulf component of wind stress. Mean (solid black curve, positive eastward), 75- and 25-percentiles (dashed lines) are given based on data from 1981-2015, measured at Kalbådagrund Lighthouse, data in the Gulf of Finland in 1981-2015.

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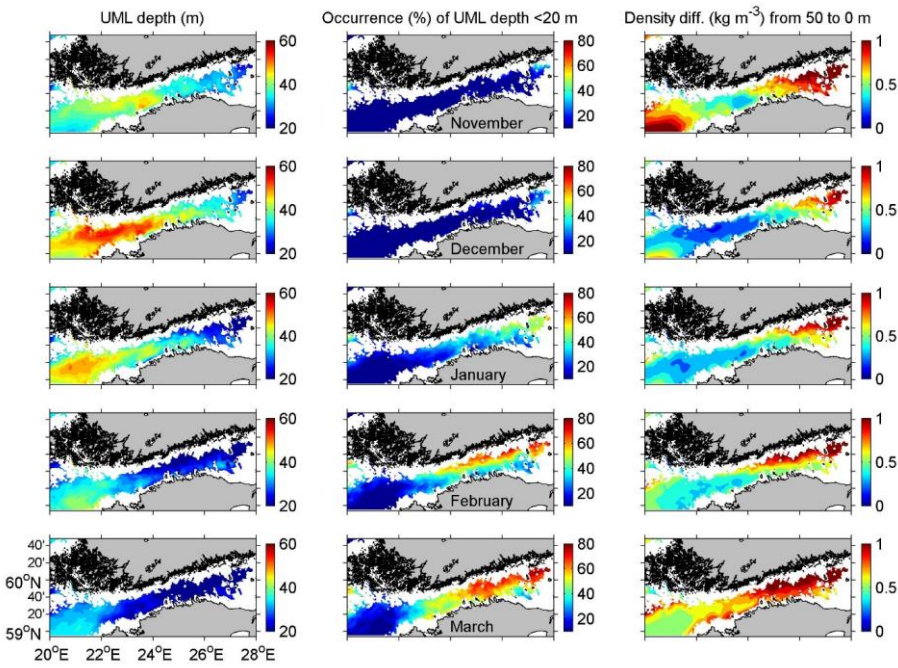


Fig. 89. Mean simulated upper mixed layer (UML) depth, and percent occurrence of the UML depth <20 m, and density difference between 50 m and 0 m depth from November (uppermost panel) to March, (lowermost panel) from 2010 to 2019.

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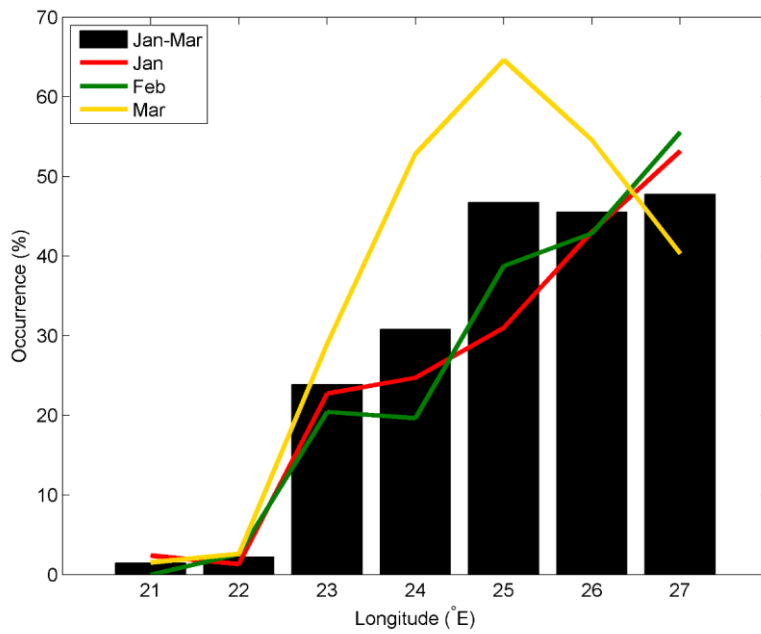


Fig. 910. The occurrence of the $>0.5 \text{ kg m}^{-3}$ density difference $>0.5 \text{ kg m}^{-3}$ between 40 m depth and the sea surface in the Gulf of Finland from January to March in 1904–2020. A total of 2560 temperature-salinity data pairs for the in the surface layer and at 40 m depth were included.

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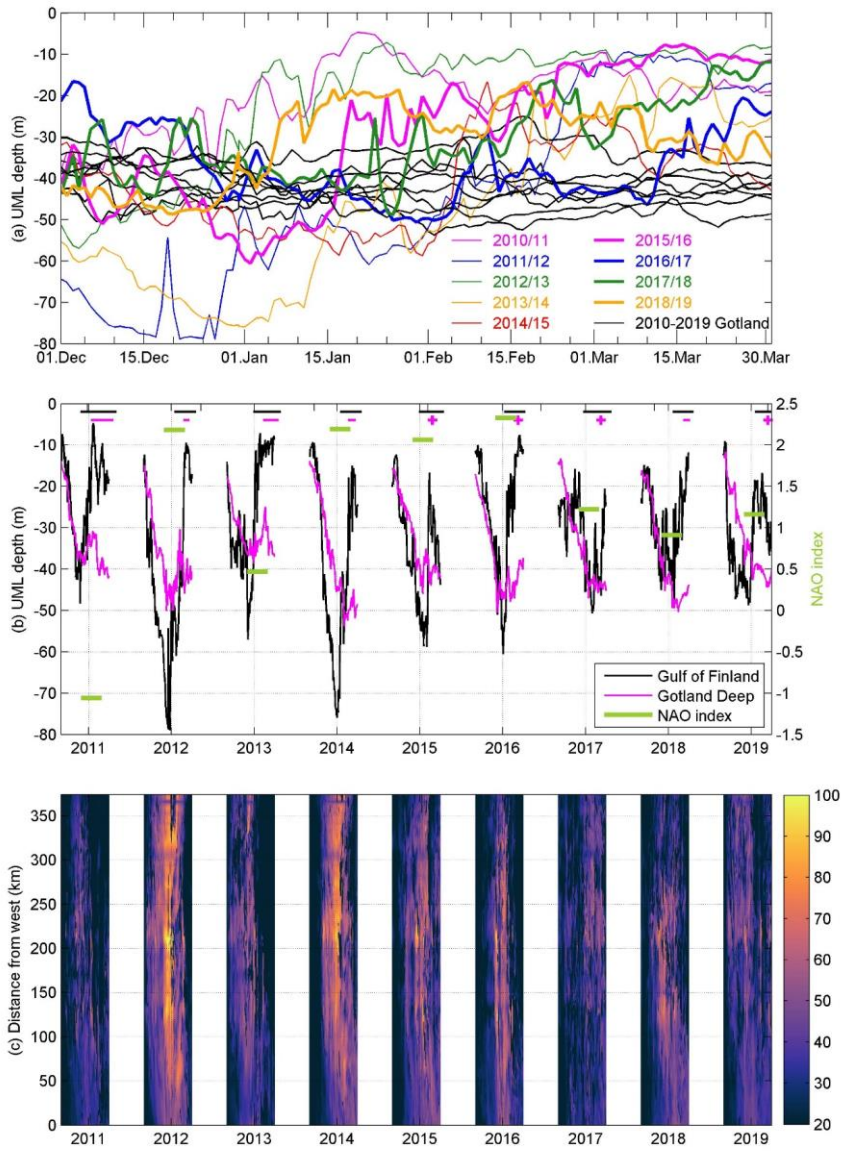


Fig. 104. (a-ba) Time series of the mean upper mixed layer depth time-series in the Gulf of Finland and Gotland Deep based on model simulation data. The areal mean in (a) the plots a is calculated for the transect in the Gulf of Finland (Thalweg GoF, Fig. 1) and the box in the Gotland Deep (Fig. 1). In with (b), green horizontal lines mark the mean December-February NAO index (from Jones et al., 1997); black and pink from autumn 2010 to spring 2019, horizontal lines mark where the OSTIA SST is below T_{md} and

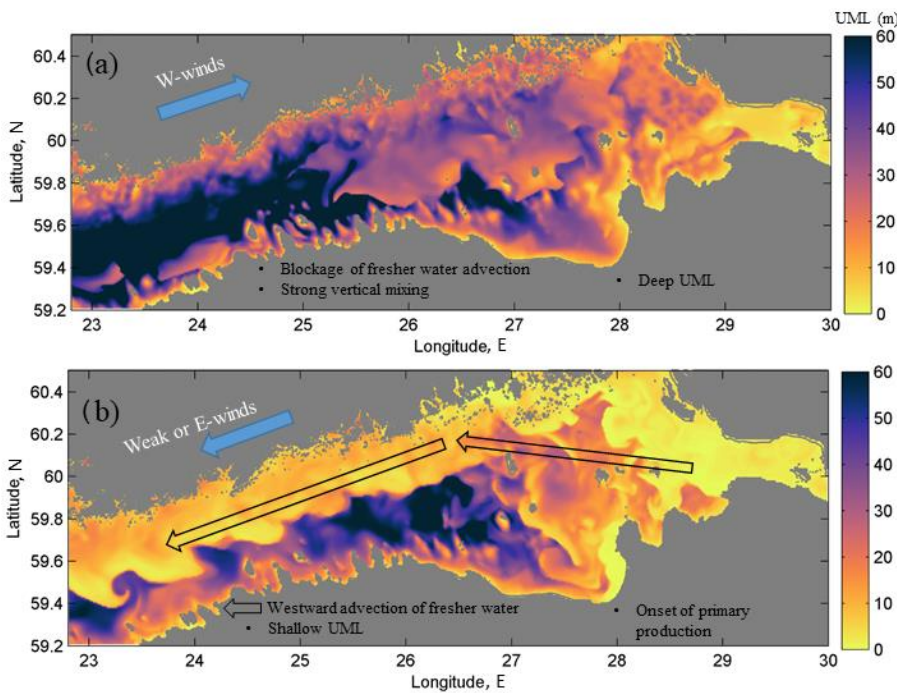
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785 pink crosses indicate where the minimum winter temperature did not fall below T_{md} in the Gotland Deep. Black lines at subplot a represent time-series in the Gotland Deep and colored lines show time-series in the Gulf of Finland in respective years, and (b) Depth of the upper mixed layer depth along the transect from the northern Baltic Proper to the Central Gulf of Finland (see Thalweg in Fig. 1) for periods from October to March, 2011 to 2019 are shown. Horizontal lines in the upper panel show the periods when remotely sensed OSTIA SST was below T_{md} , and crosses indicate the day of minimum temperature in winters when the temperature below T_{md} was not observed in the Gotland Deep. The areal mean in the plots is calculated for the transect in the Gulf of Finland (Thalweg GoF, Fig. 1) and the box in the Gotland Deep (Fig. 1).

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795 **Fig. 11. Upper mixed layer depth (m) based on model simulation data in the Gulf of Finland on (a) 29 December 2011 and (b) 8 February 2012. Strong westerly winds dominated before the 29 December survey while variable and weaker wind occurred before the 8 February survey.**

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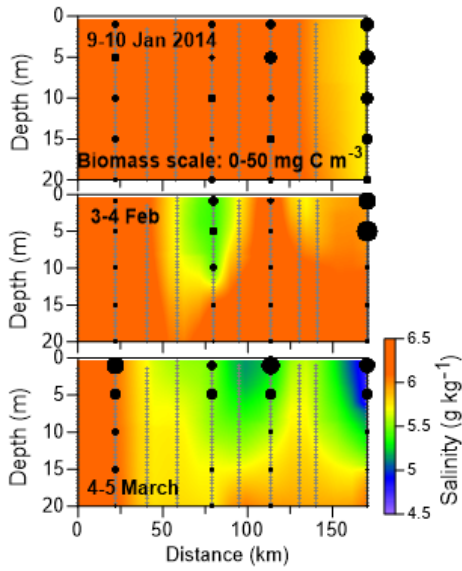


Fig. 12. Vertical distributions of salinity (color scale) and phytoplankton biomass (black dots) along the Gulf of Finland in winter 2014. Phytoplankton biomass (mg C m^{-3}) scale is shown in the upper panel. Biomass sampling The locations of biomass sampling are shown in Fig. 1 (blue crosses). Vertical gray Grey vertical lines showmark the location of CTD-casts.

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