

Dear Dr. Hoppema,

Thank you very much for handling our manuscript and for taking the time to read it. Following the comments of the editor and reviewers, we have revised the manuscript. Below, we would like to describe how we have revised our manuscript point by point. Line numbers below refer to the revised, tracked-changes version manuscript. The comments of the editor and reviewers are indicated in black text and our responses are indicated in **red text**.

Reply to the editor's comment:

L41-42 „CO2F is anomalously downward during El Niño, and vice-versa during La Niña”

I am not sure what you mean here. Is the flux direction different or is the flux not as high as previously? Please change wording to make this clear.

CO2F anomaly is downward during El Niño. In order to clarify it, we have rewritten the sentence as “CO2F anomaly is downward during El Niño, and vice-versa during La Niña.” (Lines 53–54 in the revised manuscript)

L42 typo: vice-versa

Corrected. (Line 54 in the revised manuscript)

L47 Please add some more words on what the Paris Agreement is, i.e. what conference etc. At the moment most people are familiar with the Paris Agreement, but this might be different in a few years from now.

In the revised manuscript, to describe the framework of the Paris Agreement, we have added the following sentence in Line 59 in the revised manuscript: “The Paris Agreement is an agreement within the United Nations Framework Convention on Climate Change (UNFCCC, 2015) providing the framework of measures from 2021 to 2030 to act against climate change.”

Data availability: Please check if data providers wish particular acknowledgements for data use.

We have checked the web site of the data providers. We realized that we were not describing the availability of the SOM-FFN dataset, so that we have added that to the "Data

availability" section. In addition, following the request by the data provider, we have cited Landschützer et al. (2017) in Line 165 in the revised manuscript.

L343-346 Please update the reference to the final article in Geosci. Model Dev.

We have updated the reference.

L376-377 Any update of this submission from 2019?

Kawamiya et al. was recently accepted and we have updated the reference.

L393 CO2 (subscript)

Corrected.

Table 3 title: The first sentence is hard to understand. Please make clear, for example by splitting into two sentences.

We agree that the first sentence of the caption of Table 3 in the second manuscript was too long and difficult to understand. In the revised manuscript, we have rewritten it as follows: "The wind feedback and the vertical velocity feedback in NEW-assim, NEW, OLD, and OLD-assim. The wind feedback is computed as the monthly 10 m zonal wind anomalies in the Niño4 region regressed onto the monthly NINO3-SST anomalies, and the vertical velocity feedback the monthly vertical velocity anomalies at the depth of the 20 °C isotherm in the Niño3 region regressed onto the monthly NINO3-SST anomalies. The wind feedback is also evaluated from the observation dataset."

Reply to referee #1:

Thank you very much for invaluable comments on our manuscript. According to the comment, we have revised the manuscript as follows. We hope that the revised manuscript is now suitable for publication in the journal.

Reply to comments:

(Referee #1) “I am basically satisfied with the response of the authors, and would like to recommend it for the publication in OS. Nevertheless, there is an expression that should be verified before its publication. In the abstract, the sentences including “seasonal-decadal timescales” probably need to be modified. As I understand, most of the analyses are based on the one-year running mean filtered data, in which the seasonal signs have been removed. I have not seen any discussion of results on the seasonal timescale.”

As the referee #1 pointed out, we do not discuss variations in seasonal timescale in this study. We have changed the word “seasonal” to “interannual” in the revised manuscript. (Lines 15 and 80)

Reply to referee #3:

Thank you very much for invaluable comments on our manuscript. According to the comments, we have revised the manuscript as follows. We hope that the revised manuscript will be more suitable for publication in the journal.

Reply to comments:

(Referee #3) “The revised manuscript is largely improved. The results and figures are well organised and presented in the revised manuscript. I would suggest for publication after clarifying the points below.

Thank you for your comments.

Abstract line 12: “observed historical” -> “observed”

Corrected.

Abstract line 20-24: the sentence is unclear and needs to be rephrased.

The sentence in Lines 20–24 in the second manuscript was too long and the points we wanted to make were not clear. In the revised manuscript, we have rewritten it as follows: “On the other hand, in the historical simulations where the observational data were assimilated into the other ESM with more realistic ENSO representation, the correction term associated with the assimilation procedure was kept small enough so as not to disturb an anomalous

advection-diffusion balance for the equatorial ocean temperature. Consequently, spurious vertical transport of DIC and resultant positively-correlated SST and air–sea CO₂ flux variations did not occur.” (Line 20–24 in the revised manuscript)

The 2 sentences in line 16 and line 20 in abstract are repetitive, i.e., “The simulated CO₂ flux anomalies were upward (downward) during El Nino (La Nina) periods. ” and “The simulated CO₂ flux anomalies were upward (downward) during El Nino (La Nina) periods.” Suggest to leave one and specify the region: “The simulated CO₂ flux anomalies were upward (downward) during El Nino (La Nina) periods in the equatorial Pacific.”

Thank you for your suggestion. We have removed the sentence “The simulated CO₂ flux anomalies were upward (downward) during El Nino (La Nina) periods in the equatorial Pacific” in Line 20 in the second manuscript, and added the phrase “in the equatorial Pacific” in Line 16 in the revised manuscript.

Lines 98-101, “The analysis increment is calculated from $\Delta X_a = X_a(t) - X(0)$, where $X_a(t)$ is the analysis and $X(0)$ is the model first guess at $t = 0$; this term is held constant during the analysis interval. For $X_a(t)$ and $X(0)$, we used anomalies from monthly mean climatology during 1961–2000 in observations and models, respectively.” This method description is unclear. Does the IAU method always use the difference between analysis and model first guess at the initial step, i.e., $t=0$? How long is the analysis interval? Are the anomalies relative to climatology of model or observations?

During the analysis interval, the difference between analysis and model first guess at the initial step, i.e., $t=0$, is used. We have rewritten the phrase in Lines 99–100 in the second manuscript as “this term is kept unchanged during the analysis interval from $t = 0$ to $t = \tau$.” (Lines 119–120 in the revised manuscript). The analysis time interval τ in this study is 1 day (Line 117 in the revised manuscript). For $X^a(0)$, we used observed anomalies with respect to observed monthly mean climatology during 1961–2000. For $X(0)$, simulated anomalies in NEW-assim (OLD-assim) with respect to monthly mean climatology in NEW (OLD) were used. We have rewritten the sentence in Line 100–101 in the second manuscript as follows: “For $X^a(0)$, we used observed anomalies with respect to observed monthly mean climatology during 1961–2000. For $X(0)$, simulated anomalies in NEW-assim (OLD-assim) with respect to monthly mean climatology in NEW (OLD) were used.” (Lines 120–122 in the revised manuscript)

The figures are largely improved, the new presentation of different component contribution to $\Delta p\text{CO}_2$ in Fig.2 is compact and informative. I have a question to the calculation as stated in Lines 163-164: "...we estimated ΔX by averaging monthly mean X anomalies regressed on the NINO3-SST anomalies over the entire Niño3 region,..." Why does ΔX involve regression to NINO3-SST anomalies? This part is not stated in equation 2.

In order to estimate $p\text{CO}_2$ variations due to ENSO-related X variations, we calculated monthly mean X anomalies regressed on the NINO3-SST anomalies ($X = T, S, \text{DIC}, \text{or Alk}$). To clarify this, we have rewritten Lines 162–165 in the second manuscript as follows: " $\partial p\text{CO}_2 / \partial X$ in $C(X)$ term in Eq. (3) ($X=T, S, \text{DIC}, \text{or Alk}$) was estimated based on the climatological annual mean T, S, DIC, and Alk at the sea surface within the Niño3 region in each experiment. ΔX in $C(X)$ ($\Delta p\text{CO}_2$ on the left-hand side of Eq. (3)) is the variation of X ($p\text{CO}_2$) associated with ENSO and was calculated by averaging monthly mean X ($p\text{CO}_2$) anomalies regressed on NINO3-SST anomalies over the entire Niño3 region. Note that the NINO3-SST anomalies are standardized by standard deviation." (Lines 192–196 in the revised manuscript)

As the regression of temperature increment to NINO3-SST shown in Fig. 5 a-b is not linearly additive to the regression of temperature in Fig. 3 to get the temperature regression of the assimilation run. I am curious how the equatorial ocean temperature in assimilation runs regressed to NINO3-SST look like. Maybe the authors could add a figure of results from the assimilation runs as Fig. 3 in supplementary.

Thank you for your suggestion. We have added Figure S3 in supplementary, which shows the anomalies of the equatorial ocean temperature regressed onto NINO3-SST anomalies for assimilation runs. The variation of water temperature in OLD-assim is slightly weaker than the observations.

Importance of El Niño reproducibility for reconstructing historical CO₂ flux variations in the equatorial Pacific

Michio Watanabe¹, Hiroaki Tatebe¹, Hiroshi Koyama¹, Tomohiro Hajima¹, Masahiro Watanabe², and
5 Michio Kawamiya¹

¹Research Institute for Global Change, Japan Agency for Marine-Earth Science and Technology (JAMSTEC), 3173-25, Showa-machi, Kanazawa-ku, Yokohama, Kanagawa, 236-0001, Japan.

²Atmosphere and Ocean Research Institute, the University of Tokyo, 5-1-5, Kashiwanoha, Kashiwa, Chiba, 277-8564, Japan.

Correspondence to: Michio Watanabe (michiow@jamstec.go.jp)

10 **Abstract.** Based on a set of climate simulations utilizing two kinds of Earth System Models (ESMs) to which observed ocean hydrographic data are assimilated with an exactly same data assimilation procedure, we have clarified that successful simulation of observed, air-sea CO₂ flux variations in the equatorial Pacific is tightly linked with the reproducibility of physical air-sea coupled processes. When an ESM with weaker amplitude of ENSO (El Niño Southern Oscillations) than observations was used for historical simulations with the ocean data assimilation, observed equatorial anticorrelated
15 relationship between the sea surface temperature (SST) and air-sea CO₂ flux on interannual-to-decadal timescales cannot be represented. The simulated CO₂ flux anomalies were upward (downward) during El Niño (La Niña) periods, in the equatorial Pacific. The reason is that nonnegligible correction term on the governing equation of ocean temperature, which was added through the ocean data assimilation procedure, caused anomalously spurious equatorial upwelling (downwelling) during El Niño (La Niña) periods, which brought more (less) subsurface layer water rich in dissolved inorganic carbon (DIC) to the surface layer. On the other hand, in the historical simulations where the observational data were assimilated into the other ESM with more realistic ENSO representation, the correction term associated with the assimilation procedure was kept small enough so as not to disturb an anomalous advection-diffusion balance for the equatorial ocean temperature. Consequently, spurious vertical transport of DIC and resultant positively-correlated SST and air-sea CO₂ flux variations did not occur. Thus, the reproducibility of the tropical air-sea CO₂ flux variability with data assimilation can be significantly attributed to
20 the reproducibility of ENSO in an ESM. Our results suggest that, when using data assimilation to initialize ESMs for carbon cycle predictions, the reproducibility of the internal climate variations in the model itself is of great importance.

1 Introduction

Since the industrial revolution, vast quantities of greenhouse gases (e.g., CO₂) have been released into the atmosphere through human activities such as fossil fuel use and land use change. Increased atmospheric CO₂ concentration
30 leads to global warming, while both the oceanic and the terrestrial ecosystems absorb atmospheric CO₂ and are considered to

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work to relax the progress of the global warming (Sabine et al., 2004; Doney et al., 2009a, 2014; Le Quéré et al., 2009, 2010, 45 2016). Observation-based studies have reached consensus that significant interannual variability of the air–sea CO₂ flux (hereafter, CO₂F) exists in some specific regions such as the equatorial Pacific and high latitudes of both hemispheres (e.g., Park et al., 2010; Valsala and Maksyutov, 2010; Landschützer et al., 2014; Rödenbeck et al., 2014), and the variation of CO₂F associated with El Niño–Southern Oscillation (ENSO) in the equatorial Pacific has been highlighted in many previous observation-based and simulation-based studies (Keeling and Revelle, 1985; Feely et al., 1997, 1999; Jones et al., 2001; 50 Obata and Kitamura, 2003; McKinley et al., 2004; Patra et al., 2005). When El Niño event occurs in the equatorial Pacific, dissolved inorganic carbon (DIC) concentration in the surface layer decreases due to lesser supply of the cold DIC-rich subsurface water to the surface layer than normal years because of weaker equatorial upwelling associated with weaker trade winds (Le Borgne et al., 2002; Feely et al., 2004; Doney et al., 2009a, 2009b). Correspondingly, CO₂F anomaly is downward during El Niño, and vice-versa during La Niña. Le Borgne et al. (2002) estimated that upwelling of DIC-rich 55 subsurface water accounts for up to 70% of CO₂F variation in the equatorial Pacific, while the other 30% is attributable to the variation of wind speed and biological processes. Accordingly, to estimate and predict variations of CO₂ uptake by the global ocean on timescales of several years, it would be informative to consider first the variations in the equatorial Pacific associated with ENSO.

The Paris Agreement is an agreement within the United Nations Framework Convention on Climate Change (UNFCCC, 2015) providing the framework of measures from 2021 to 2030 to act against climate change. The goal of the Paris Agreement is to restrict the rise of the global mean surface air temperature to well below 2 °C relative to the preindustrial level. If greenhouse gas emissions continue to increase at their current rate, Earth’s surface will warm by 1.5 °C within ~20 years relative to the preindustrial state as reported in the fifth assessment report of the Intergovernmental Panel on Climate Change (IPCC, 2013). In this context, comprehensive understanding of the changes in the carbon cycle over 60 previous years is essential for accurate predictions of the global carbon cycle, including natural variations, which will assist in evaluation of future CO₂ emission reductions (Kawamiya et al., 2020).

For future climate predictions, data assimilation procedures are incorporated into climate models in order to synchronize simulated climatic states in the model with observations, that is, initialization of climate models. By incorporating data assimilation procedures into Earth System Models (ESMs), it will be possible to reproduce and predict 70 variations in biogeochemical properties (Brasseur et al., 2009; Tommasi et al., 2017a, 2017b; Park et al., 2018). This includes an assessment of the predictability of CO₂F on decadal timescale for the global ocean (Li et al., 2016, 2019).

Focusing on CO₂F fluctuations associated with ENSO in the equatorial Pacific, Dong et al. (2016) analyzed the results of the Earth system models (ESMs) that participated in the Coupled Model Intercomparison Project (CMIP) Phase 5 (CMIP5; Taylor et al., 2012), which contributed to the Fifth Assessment Report (AR5) of the Intergovernmental Panel on 75 Climate Change (IPCC, 2013). They showed that only some ESMs could reproduce the observed anticorrelated relationship between SST and CO₂F. This suggests that our understanding of ENSO and associated global carbon cycle variations are

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80 still insufficient. For reliable prediction of future CO₂ uptake on ~~interannual-to-~~decadal timescales, it is necessary to understand physical air–sea coupled process and associated carbon cycle variations in the equatorial Pacific.

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In this study, utilizing two kinds of ESMs to which observed ocean hydrographic data are assimilated, we attempted to identify the key processes to reproduce the observed historical air–sea CO₂ flux variations in the equatorial Pacific. The remainder of this paper is organized as follows. Sect. 2 provides a brief description of the models used in this study, and the derived results are presented in Sect. 3. Finally, a short discussion and a summary are presented in Sect. 4.

2 Methods

2.1 Model Description

90 In this study, we have conducted four experiments, NEW-assim, NEW, OLD-assim, and OLD. In NEW-assim and NEW, we used the MIROC-ES2L (Hajima et al., 2020) and in OLD-assim and OLD, we used the MIROC-ESM (Watanabe, S. et al., 2011). The former is newly developed for CMIP Phase 6 (CMIP6; Eyring et al., 2016), while the latter is an official model of CMIP5. The physical core model of MIROC-ES2L is MIROC5.2, which is a minor update of MIROC5 (Watanabe, M. et al. 2010; Tatebe et al. 2018), while that of MIROC-ESM is MIROC3m (K₋₁ model developers, 2004). The horizontal resolution of the atmospheric component of MIROC-ES2L (MIROC-ESM) has T42 spectral truncation (i.e., approximately 300 km) with 40 (80) vertical levels up to 3 hPa (0.003 hPa). The oceanic component of MIROC-ES2L has a horizontal tripolar coordinate system. In the spherical coordinate portion south of 63°N, the longitudinal grid spacing is 1°, while the meridional grid spacing varies from approximately 0.5° near the equator to 1° in mid-latitude regions. There are 62 vertical levels in a hybrid σ – z coordinate system, the lowermost of which is located at the depth of 6300 m. The oceanic component of MIROC-ESM has a horizontal bipolar coordinate system: the longitudinal grid spacing of the oceanic component is approximately 1.4°, while the latitudinal grid intervals vary gradually from 0.5° at the equator to 1.7° near both poles. There are 44 vertical levels in a hybrid σ – z coordinate system, the lowermost of which is located at the depth of 5300 m. The resolutions in MIROC-ES2L are higher than in MIROC-ESM. In particular, 31 (21) of the 62 (44) vertical layers in MIROC-ES2L (MIROC-ESM) are within the upper 500 m of depth. The increased number of vertical layers in MIROC-ES2L has
105 been adopted in order to better represent the equatorial thermocline.

In NEW-assim and OLD-assim, we used the ESMs that incorporated the same simple scheme for ocean data assimilation, which comprised an incremental analysis update (IAU; Bloom et al., 1996; Huang et al., 2002). This technique is relatively simple compared to more elaborate ones such as ensemble Kalman filter and four-dimensional variational method, but is widely used for decadal climate predictions (e.g., Mochizuki et al., 2010; Tatebe et al., 2012). Positive aspects
110 of IAU is relatively low computational cost, which enables decadal-to-centennial scale integration and a variety of parameter sensitivity experiments. In the IAU, during the analysis interval from $t = 0$ to $t = \tau$, the governing equation including a

correction term for temperature and salinity (X) is written as follows:

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$$\frac{dX}{dt} = \text{adv.} + \text{diff.} + F + \frac{\alpha}{\tau} \Delta X^a, \quad (1)$$

where adv. is the advection term, diff. is the diffusion term, F is the surface flux term, and the final term on the right-hand side is the correction term with α as a constant, and ΔX^a as the analysis increment. We employed the values of $\tau = 1$ day and $\alpha = 0.025$ and the IAU was applied at depths between the sea surface and 3000 m (Tatebe et al., 2012). The analysis increment is calculated from $\Delta X^a = X^a(t) - X(0)$, where $X^a(t)$ is the analysis and $X(0)$ is the model first guess at $t = 0$; this term is kept unchanged during the analysis interval from $t = 0$ to $t = \tau$. For $X^a(0)$, we used observed anomalies with respect to observed monthly mean climatology during 1961–2000. For $X(0)$, simulated anomalies in NEW-assim (OLD-assim) with respect to monthly mean climatology in NEW (OLD) were used. Such a scheme often called ‘anomaly assimilation’ or ‘anomaly initialization’ is also used in many previous studies (e.g., Smith et al., 2007; Keenlyside et al., 2008; Pohlmann et al., 2009; Li et al., 2016, 2019; Sospedra-Alfonso and Boer, 2020). The monthly objective analysis of ocean temperature and salinity (Ishii and Kimoto, 2009) are assimilated into the model as X^a , with linear interpolation to daily data. Because observed DIC concentration are sparse in space and time, only ocean hydrographic data are used for data assimilation in the present study. Also, any atmospheric observations/reanalysis are not applied.

Both of NEW and OLD are the exactly same as the historical simulations designated by CMIP6 and CMIP5 protocols, respectively, with three ensemble members for each which are bifurcated from arbitrary years of the corresponding preindustrial control simulations. The ocean data assimilation experiments, NEW-assim and OLD-assim, are bifurcated from NEW and OLD at the year 1946, respectively, and they are integrated up to the year 2005. Note that the data assimilation experiments are driven with the same external forcings as in the historical simulations. In the later sections, the model results in 1961–2005 are analyzed.

135 2.2 Estimating pCO₂ change at the sea surface

CO₂F depends on the difference in CO₂ partial pressure between the sea and the air, i.e.:

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$$\text{CO}_2\text{F} = K(\text{pCO}_2 - \text{pCO}_2^{\text{air}})(1 - \gamma), \quad (2)$$

where pCO₂ (pCO₂^{air}) is the CO₂ partial pressure in the sea (air), γ is the fraction of sea ice, and $K = k\alpha$ is the CO₂ gas transfer coefficient, where k represents the CO₂ gas transfer velocity (Wanninkhof, 1992, 2014) and α represents the solubility of CO₂ in seawater (Weiss, 1974). The CO₂ gas transfer velocity k is a function of wind speed and the Schmidt number (Wanninkhof, 1992). This study investigated the reproducibility of the anticorrelated relationship between CO₂F and SST and therefore the direction of the flux is important. As K does not affect the direction and the flux variation due to ENSO has larger amplitude in terms of pCO₂ than pCO₂^{air} (Dong et al., 2017), the direction of flux is governed by the variation in pCO₂. Consequently, we evaluated the pCO₂ change at the sea surface in the equatorial Pacific.

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上へ移動 [1]: In addition, the IAU was applied at depths between the sea surface and 3000 m, with the values of $\tau = 1$ day and $\alpha = 0.025$ (Tatebe et al., 2012). The monthly objective analysis of ocean

155 Seawater pCO₂ values depend on temperature (T), salinity (S), DIC concentration, and total alkalinity (Alk);
therefore, the change of pCO₂ can be expanded as follows:

$$\Delta p\text{CO}_2 = C(T) + C(S) + C(\text{DIC}) + C(\text{Alk}) + \text{Res.}, \quad (3)$$

160 where $C(X) = (\partial p\text{CO}_2 / \partial X) \Delta X$ ($X=T, S, \text{DIC}, \text{Alk}$) is the pCO₂ change due to the change in X ($X=T, S, \text{DIC}, \text{Alk}$), and Res.,
which includes second-order terms (Takahashi et al., 1993), was estimated so that the left-hand side and right-hand sides in
160 Eq. (3) are equal in this study. In Sect. 3, we evaluate the CO₂F and pCO₂ variations in the equatorial Pacific in NEW-assim,
NEW, OLD-assim, and OLD, and we calculate each term in Eq. (3) for each experiment.

2.3 Observation and reanalysis dataset

To assess CO₂F, ocean temperature, and wind speed of the model output, we used observation or reanalysis
165 datasets. As the CO₂F dataset, we used the SOM-FFN (Landschützer et al., 2016, 2017, 2018). It is an estimate based on the
ocean surface CO₂ observation data collection, SOCATv3 (Bakker et al., 2016), and provides monthly data since 1982. It
shows significant interannual variation of CO₂F in some specific regions such as the equatorial Pacific and high latitudes of
both hemispheres (Figure S1). In Sect. 3, we focus on the CO₂F in the Niño3 region (5°S–5°N, 150°W–90°W) which shows
notable variation of CO₂F in the equatorial Pacific. This region is also the maximum variability region for SST (Gill, 1980).
170 As the SST dataset, the observational COBE-SST2 (Ishii et al., 2005; Hirahara et al., 2014) was used. The JRA_55 reanalysis
(Kobayashi et al., 2015) was used for wind speed dataset.

3 Results

175 3.1 CO₂ flux and pCO₂ anomalies in Niño3 region

Horizontal maps for correlation coefficients between simulated and observed CO₂F are shown in Figure 1. The
model output data were ensemble mean and linearly interpolated into the SOM-FFN grid. Note that the data were not
detrended, and one-year running mean filter is applied to monthly CO₂F anomalies in 1982–2005 before calculating the
correlation coefficients in accordance with the period for which the SOM-FFN dataset is available. CO₂F in NEW-assim
180 shows a positive correlation with SOM-FFN in the equatorial Pacific region (Figure 1a) where significant interannual
variations of CO₂F are found (Figure S1). On the other hand, CO₂F in OLD-assim (Figure 1c) is negatively correlated in the
equatorial Pacific. The timeseries in the Niño3 region of both one-year running mean SST (hereafter, NINO3-SST) and
CO₂F (hereafter, NINO3-CO₂F) anomalies simulated with NEW-assim (OLD-assim) are shown in Figure 1b (Figure 1d).
Here, the data were detrended and monthly anomalies were calculated with respect to the 1971–2000 monthly mean
185 climatology. The correlation coefficients between NINO3-SST and NINO3-CO₂F anomalies in NEW-assim, OLD-assim,

and observation are -0.50 , 0.44 , and -0.75 , respectively (Table 1). The results in NEW-assim are consistent with the observations, while those in OLD-assim are not. The correlation coefficients between NINO3-SST and NINO3-CO2F anomalies in NEW and OLD are -0.85 and -0.67 , respectively (Table 1 and Figure S2). Note that OLD could capture the observed anticorrelated relationship between NINO3-SST and NINO3-CO2F anomalies, but OLD-assim could not reproduce this relationship.

As the vertical direction of CO2F is determined mainly by $p\text{CO}_2$ at the sea surface (see Eq. (2)), we further estimated each term in Eq. (3) for each model output (Figure 2). $\partial p\text{CO}_2/\partial X$ in C(X) term in Eq. (3) ($X=T, S, \text{DIC}, \text{ or Alk}$) was estimated based on the climatological annual mean T, S, DIC, and Alk at the sea surface within the Niño3 region in each experiment. ΔX in C(X) ($\Delta p\text{CO}_2$ on the left-hand side of Eq. (3)) is the variation of X ($p\text{CO}_2$) associated with ENSO and was calculated by averaging monthly mean X ($p\text{CO}_2$) anomalies regressed on NINO3-SST anomalies over the entire Niño3 region. Note that the NINO3-SST anomalies are standardized by standard deviation. In the following, we describe the anomalies during El Niño periods, while the opposite applies during La Niña periods. In NEW-assim, NEW, and OLD, $p\text{CO}_2$ decreases because the effect of the decrease in $p\text{CO}_2$ with decreasing DIC concentrations is larger than that of the increase in $p\text{CO}_2$ with warming (Figure 2). In OLD-assim, however, the effect of the increase in $p\text{CO}_2$ with warming is larger than that of OLD, and the decrease in $p\text{CO}_2$ with decreasing DIC concentrations is smaller than that of OLD, resulting in an increase in $p\text{CO}_2$. As noted in Sect. 1, previous studies (Le Borgne et al., 2002; Feely et al., 2004; Doney et al., 2009a, 2009b) showed that variability in upwelling during ENSO events dominates the equatorial Pacific CO2F variations through its regulation of DIC. In the following, we discuss the temperature and vertical velocity changes associated with ENSO along the Equator.

3.2 DIC and vertical velocity changes

A cross section of the monthly ocean temperature anomalies regressed onto the standardized monthly mean NINO3-SST anomalies along the equatorial Pacific is presented in Figures 3 and S3, together with the climatological annual mean depths of the 18, 20, and 22 °C isotherms. Here, monthly temperature anomalies were calculated with respect to the 1971–2000 monthly mean climatology. The observational temperature anomalies as well as the climatological isotherms are derived from the monthly objective analysis of ocean temperature (Ishii and Kimoto, 2009). Amplitudes of the positive (negative) equatorial temperature anomalies in the upper (lower) layer of the eastern (western) equatorial Pacific in NEW are larger than in OLD and are closer to observations. The intensity of ENSO defined as the standard deviation of detrended one-year running mean NINO3-SST anomalies from 1961 to 2005 is shown in Table 2. The intensity of ENSO in NEW is estimated to be 1.17 °C (Table 2), a bit stronger than the observation (0.80 °C). On the other hand, the intensity of ENSO in OLD is 0.43 °C, which is about a half as large as that in observations. In addition, the climatological mean thermocline in NEW is tighter than in OLD and is closer to observations. The improvement in ENSO reproducibility in NEW is attributable mainly to two updates in the model configuration. The first is implementation of an updated plume model for cumulus

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225 convection with multiple cloud types where lateral entrainment rate varies vertically depending on the surrounding environment (Chikira and Sugiyama, 2010). The state-dependent lateral entrainment affects the strength of convectively-induced air–sea coupled processes in the eastern tropical Pacific, and thus the ENSO amplitude in the model. More details are described in Watanabe et al. (2010). The second is reduction of numerical diffusion by introducing highly-accurate tracer advection scheme in the ocean and by increasing vertical resolutions (Prather, 1986). The equatorial thermocline in the climatic-mean state of the tropical Pacific is more diffuse in OLD than in observation, which is partly arisen from numerical diffusion, especially in vertical advection (Tatebe and Hasumi, 2010), and this model bias is much alleviated in NEW. 230 Correspondingly, so-called thermocline mode (e.g., Imada et al., 2006) becomes more effective and ENSO amplitude becomes larger in NEW. As the ENSO amplitude in NEW is larger than in OLD, the variation of the equatorial trade wind, which causes anomalous equatorial vertical velocity, is also larger in NEW.

To assess the variations of zonal wind associated with ENSO, we estimated the 10 m zonal wind anomalies over the NINO4 region (5°S–5°N, 160°E–150°W; the dotted line boxes in Figure 1a) which are regressed onto the NINO3-SST 235 anomalies (Table 3). Niño4 region is the maximum variability region for the equatorial trade wind (Figure S4). Hereafter, the above-mentioned regression coefficient is referred to as wind feedback. The positive value of wind feedback in NEW (0.92 m s⁻¹ K⁻¹) indicates an westerly wind anomalies during El Niño, and this is consistent with that evaluated from the observational dataset, i.e., 1.02 m s⁻¹ K⁻¹. The wind feedback in OLD (0.46 m s⁻¹ K⁻¹) is about half of NEW and the observation.

240 Cross sections of the monthly upward water velocity and DIC concentration anomalies along the equator regressed onto the standardized NINO3-SST anomalies in NEW (OLD) are shown in Figure 4a and 4c (Figure 4b and 4d), respectively. By reproducing wind feedback that is consistent with the observation, the westerly wind anomalies during El Niño periods in NEW (Figure S4c) is comparable to that of the JRA-55 reanalysis (Figure S4i), leading to weakening of upward vertical velocity of approximately 5×10^{-6} m s⁻¹ (Figure 4a). This weakening of upward vertical velocity causes 245 decrease in surface DIC in the eastern equatorial Pacific during El Niño periods (Figure 4c). In OLD, the smaller wind feedback and associated smaller westerly wind anomalies than in the JRA-55 reanalysis (Figure S4g) leads to weakening of upward vertical velocity of just 10^{-6} m s⁻¹ in the equatorial Pacific (Figure 4b). Although the ENSO signal in OLD is weaker than the observation, because of decrease in upward vertical velocity from normal years, the surface DIC concentration decreases during El Niño periods (Figure 4d). This is consistent with Dong et al. (2016), showing that OLD is able to 250 qualitatively reproduce the negative correlation between SST and DIC concentration anomalies in the eastern equatorial Pacific (Figure S2b).

Next, we examined the correction term in temperature due to the data assimilation, i.e., temperature analysis increment, the final term on the right-hand side of Eq. (1), and the variations in vertical velocity and DIC concentration. Anomalies of monthly mean temperature analysis increments, vertical velocity, and DIC concentration along the equator 255 regressed onto the standardized NINO3-SST anomalies are shown in Figure 5. The maximum absolute value of the equatorial temperature analysis increment in NEW-assim is found at 10–40 m depths in the eastern equatorial Pacific,

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shallow than the depth of the thermocline (Figure 5a). In NEW-assim, the wind feedback is $0.92 \text{ m s}^{-1} \text{ K}^{-1}$ (Table 3), which is of the same magnitude to that in NEW ($0.92 \text{ m s}^{-1} \text{ K}^{-1}$), and the surface wind anomalies still shows similar pattern to that of the NEW (Figure S4a–d). The westerly wind anomalies in NEW-assim leads to weakening of upward vertical velocity along the equator during El Niño periods (Figure 5c). To assess the variation in equatorial vertical velocity associated with ENSO, we estimated the anomalies of the vertical velocity at the depth of the 20°C isotherm (the depth of the thermocline) in the Niño3 region which are regressed onto the NINO3-SST anomalies. Hereafter, the regression coefficient is referred to as vertical velocity feedback. The vertical velocity feedback in NEW-assim is estimated to be $-4.5 \times 10^{-7} \text{ m s}^{-1} \text{ K}^{-1}$, which is not significantly different from that in NEW ($-3.9 \times 10^{-7} \text{ m s}^{-1} \text{ K}^{-1}$) (Table 3). The negative value of vertical velocity feedback in NEW-assim indicates the weakening of upward vertical velocity at the depth of the thermocline during El Niño periods in the eastern equatorial Pacific (Figure 5c). The weakening of upward vertical velocity causes lesser supply of the DIC-rich subsurface water to the surface layer, leading to the decrease in surface DIC concentration (Figure 5c). In OLD, the temperature variations associated with ENSO at the depth of the thermocline in the eastern equatorial Pacific is smaller than observed (see Figure 3b and 3c), so that the correction term forces to raise the equatorial water temperature by $0.16 \times 10^{-6} \text{ }^\circ\text{C s}^{-1}$ during El Niño periods in order to realize observed temperature variations (Figures 5b and S3b). The wind feedback in OLD-assim is $0.48 \text{ m s}^{-1} \text{ K}^{-1}$ (Table 3), which is the same as in OLD, and the map of the wind speed anomalies shows a similar pattern to that of the OLD (Figure S4e–h); however, the warming due to data assimilation procedure during El Niño periods reduces density, leading to low-pressure anomalies. This results in anomalous cyclonic circulation and convergence, and thus enhancement of upward vertical velocity at the depth of the thermocline (Figure 5d). The vertical velocity feedback in OLD-assim is $4.1 \times 10^{-7} \text{ m s}^{-1} \text{ K}^{-1}$, which has an opposite sign to OLD, $-4.9 \times 10^{-7} \text{ m s}^{-1} \text{ K}^{-1}$ (Table 3). The positive value of vertical velocity feedback indicates the enhancement of upward vertical velocity at the depth of the thermocline during El Niño periods, which is inconsistent with observations. This spurious enhancement of upward vertical velocity during El Niño periods causes the increase in the surface DIC concentration (Figure 5f), leading to positive correlation between SST and CO2F (Figure 1d), contrary to observations. We have to note here that even in the NEW-assim, the vertical velocity distribution (Figure 5c) is still different from NEW (Figure 4a) because of the temperature analysis increment. As already discussed, the intensity of ENSO in NEW is slightly stronger than observed (Table 2). In addition, the period of ENSO, which is defined as the peak of the power spectrum of one-year running mean NINO-SST, is 5.0 years in NEW, which is longer than 3.5 years of observations (see Table 2). Because the ENSO characteristics in NEW are not perfectly consistent with observations, model nature, namely responses of vertical velocity and DIC concentration in ENSO, are still distorted by the temperature analysis increment even in NEW-assim. This indicates that further model improvements are needed.

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4 Discussion and Summary

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295 In the present study, comparing the results of two ESMs to which observed ocean hydrographic data are
assimilated, we have clarified that representation of the processes in the equatorial climate system is important to reproduce
the observed anticorrelated relationship between SST and CO₂F in the equatorial Pacific. In the case where the ocean
temperature and salinity observations were assimilated into an ESM with weaker amplitude of ENSO than observations, the
correction term on the governing equation of the ocean temperature, which was introduced in the data assimilation procedure,
caused spurious upwelling (downwelling) anomalies along the equator during El Niño (La Niña) periods, leading to more
300 (less) supply of the DIC-rich subsurface water to the surface layer. Due to the resultant increase (decrease) of the surface
DIC concentration, the upward (downward) CO₂F anomalies during El Niño (La Niña) periods was induced, which was
inconsistent with observation. In the case where the ocean temperature and salinity observations were assimilated into the
other ESM with rather realistic ENSO representation, anticorrelated relationship between SST and CO₂F was reproduced.

305 Focusing on the CO₂F fluctuations associated with ENSO in the equatorial Pacific, Dong et al. (2016) analyzed
the results of the CMIP5 ESMs. They showed that only a portion of CMIP5 ESMs (including MIROC-ESM) could
reproduce the observed anticorrelated relationship between SST and CO₂F. Bellenger et al. (2014) evaluated the
reproducibility of ENSO in the CMIP5 models. They reported that most CMIP5 climate models and ESMs underestimate the
amplitude of the wind stress feedback by 20%–50%, and that only 20% of CMIP5 models have relative error within 25% of
the observed value. There are many ESMs where the ENSO characteristics and/or the SST-CO₂F relationship are
310 inconsistent with observations. Causes of this discrepancy should be addresses in future studies through, for example, multi-
model analysis, and also process-based uncertainty estimation will be further required in initialized climate and carbon
predictions as well as projections by ESMs.

Data availability

315 The model outputs of MIROC-ES2L (Hajima et al., 2019) are available through the Earth System Grid Federation
(ESGF) (<https://doi.org/10.22033/ESGF/CMIP6.5602>). The model outputs of MIROC-ESM is also available at ESGF,
<https://esgf-node.llnl.gov/projects/cmip5/>. The CMIP6 forcing data is version 6.2.1, and the CMIP5 forcing data is described
at <https://pcmdi.llnl.gov/mips/cmip5/forcing.html>. [The SOM-FFN dataset is available at](https://www.ncei.noaa.gov/access/ocean-carbon-data-system/oceans/SPCO2_1982_2015_ETH_SOM_FFN.html)
https://www.ncei.noaa.gov/access/ocean-carbon-data-system/oceans/SPCO2_1982_2015_ETH_SOM_FFN.html. The JRA-
320 55 reanalysis wind dataset is available at https://jra.kishou.go.jp/JRA-55/index_en.html. The COBE-SST2 dataset is
available at <https://www.esrl.noaa.gov/psd/data/gridded/data.cobe2.html>. The postprocessing scripts used for this research
and the data used in the figures can be obtained online (<https://osf.io/mpk52>).

Author contribution

325 MiW, HT, MaW, and MK contributed to the experiment design. MiW and HK embedded the ocean data
assimilation system into the ESMs. MiW and TH performed the experimental simulations. MiW analyzed the model output
and drafted the paper. All authors discussed the results, and commented on and edited the manuscript.

Competing interests

330 The authors declare that they have no conflict of interest.

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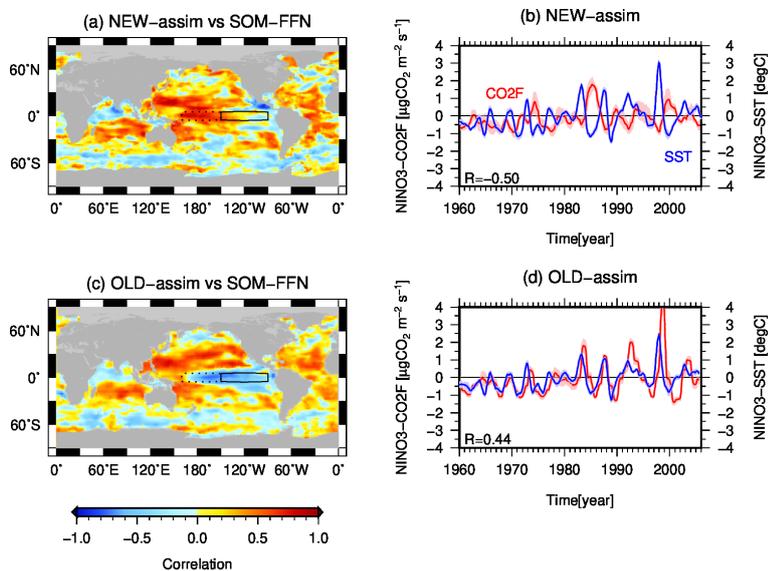
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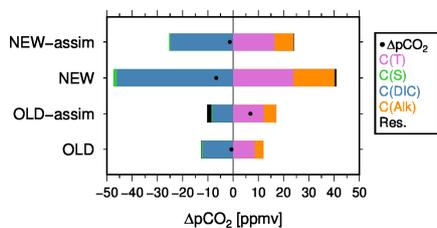
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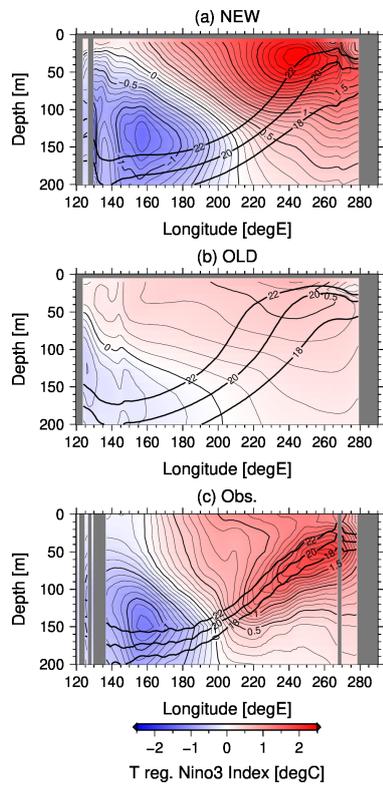
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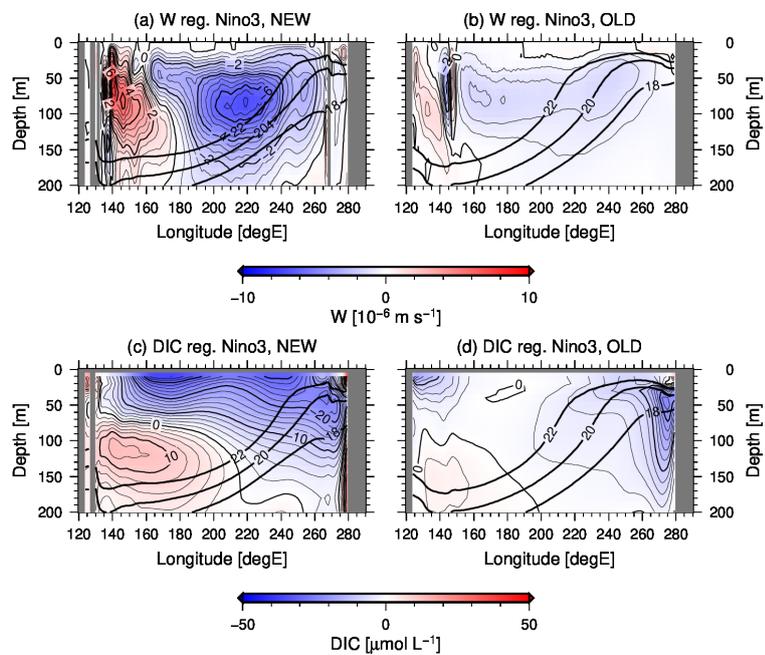
555 Figure 1. (a, c) Maps for correlation coefficient between monthly CO₂F anomalies derived from SOM-FFN and that of (a) NEW-
 560 assim and (c) OLD-assim. The analysis period is from 1982 to 2005. The solid line boxes show Niño3 region (5°S–5°N, 90°–150°W)
 and the dotted line boxes show Niño4 region (5°S–5°N, 160°E–150°W). (b, d) Timeseries of the detrended NINO3-SST (blue line)
 and NINO3-CO₂F (red line, positive upward) anomalies simulated with (b) NEW-assim and (d) OLD-assim. Values plotted are the
 one-year running mean, and shading in (b) and (d) shows the ensemble spread (1 σ). R denotes the correlation coefficients between
 the detrended ensemble mean NINO3-SST and NINO3-CO₂F anomalies, with one-year running mean filter applied.



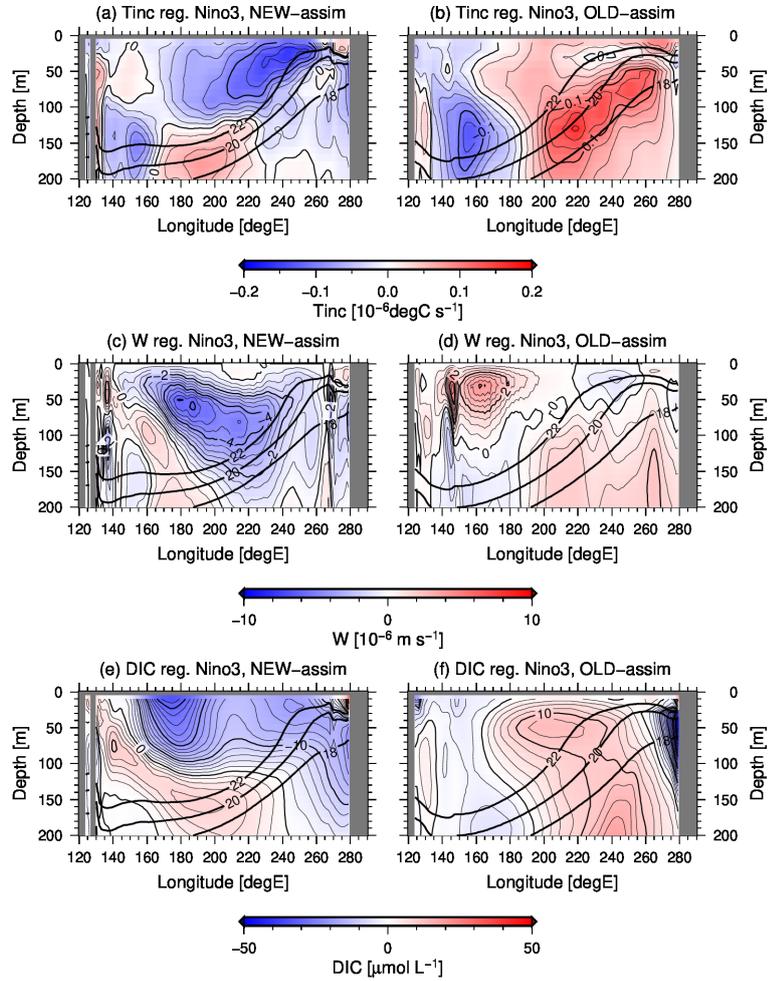
565 Figure 2. Changes in pCO₂ regressed onto standardized NINO3-SST anomalies ($\Delta p\text{CO}_2$) (dots) and its decomposition with changes
in X (X=T, S, DIC, Alk), C(X), as well as Res. (Eq. (3)) evaluated in NEW-assim, NEW, OLD-assim, and OLD. See text for details
in calculation of $\Delta p\text{CO}_2$, C(X), and Res.



[570] Figure 3. Anomalies of equatorial ocean temperature regressed onto [standardized NINO3-SST anomalies](#) for NEW (top), OLD (middle), and observations (bottom). Contour interval is 0.1 °C. Thick solid lines indicates the climatological-mean isotherms of the 18, 20, and 22 °C.



580 | Figure 4. Anomalies of equatorial vertical velocity (upper panels) and DIC (lower panels) regressed onto standardized NINO3-SST anomalies for NEW (left) and OLD (right). Contour intervals are $0.5 \times 10^{-6} \text{ m s}^{-1}$ in (a,b) and $2 \mu\text{mol L}^{-1}$ in (c,d), respectively. Thick solid lines indicates the climatological-mean isotherms of the 18, 20, and 22 °C.



585 | Figure 5. Equatorial temperature analysis increments (top panels), vertical velocity anomalies (middle panels) and DIC anomalies (bottom panels) regressed onto standardized NINO3-SST anomalies for NEW-assim (left) and OLD-assim (right). Contour intervals are $0.02 \times 10^{-6} \text{ }^{\circ}\text{C s}^{-1}$ in (a,b), $0.5 \times 10^{-6} \text{ m s}^{-1}$ in (c,d) and $2 \mu\text{mol L}^{-1}$ in (e,f), respectively. Thick solid lines indicates the climatological-mean isotherms of the 18, 20, and 22 $^{\circ}\text{C}$.

590 **Table 1. Correlation coefficients between detrended one-year running mean NINO3-SST and NINO3-CO2F anomalies in NEW-assim, NEW, OLD-assim, OLD, and observations. The correlations coefficients in NEW-assim, NEW, OLD-assim, and OLD are for the period from 1961 to 2005 (Figures 1 and S2), and that in observations are for the period from 1982 to 2005.**

	NEW-assim	NEW	OLD-assim	OLD	Observation
Corr. Coeff.	-0.50	-0.85	0.44	-0.67	-0.75

595 **Table 2. The intensity and period of ENSO in NEW, OLD, and observations calculated from the one-year running mean NINO3-SST anomalies for the period from 1961 to 2005.**

	NEW	OLD	Observation
Intensity of ENSO [°C]	1.17	0.43	0.80
Period of ENSO [yr]	5.0	4.5	3.5

600 **Table 3. The wind feedback and the vertical velocity feedback in NEW-assim, NEW, OLD, and OLD-assim. The wind feedback is computed as the monthly 10 m zonal wind anomalies in the Niño4 region regressed onto the monthly NINO3-SST anomalies, and the vertical velocity feedback the monthly vertical velocity anomalies at the depth of the 20 °C isotherm in the Niño3 region regressed onto the monthly NINO3-SST anomalies. The wind feedback is also evaluated from the observation dataset.**

	NEW-assim	NEW	OLD-assim	OLD	Observation
Wind feedback [$\text{m s}^{-1} \text{K}^{-1}$]	0.92	0.92	0.48	0.46	1.02
Vertical velocity feedback [$\text{m s}^{-1} \text{K}^{-1}$]	-4.5×10^{-7}	-3.9×10^{-7}	4.1×10^{-7}	-4.9×10^{-7}	N/A

删除: which is

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