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Interactive comment

# Interactive comment on "Response of near-inertial energy to a supercritical tropical cyclone and jet stream in the South China Sea: modeling study" by Hiu Suet Kung and Jianping Gan

## Hiu Suet Kung and Jianping Gan

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The near-inertial motion associated with typhoons' passage is an important topic in the South China Sea. Most of previous research in this region are based on in-situ data. This manuscript provides a modelling study on the spatial and temporal distribution of near-inertial energy. It found an interesting modulation of the near-inertial energy by a jet stream in the South China Sea, with strong (weak) activities at places of positive (negative) vorticity (A1 and A2), and with large values at places even \_400 km away from the cyclone track (C1 and C2). The investigation of energy budget provides valuable insights into functions of different terms (pressure work, viscous effect, and





nonlinear terms) at different stages (forcing and relaxation) in different layers (upper 30, 30-200, below 200). This work merits publishing, however, some questions as follow should be considered.

Response: We appreciate the detailed reading and helpful comments from the reviewer, which are now integrated into the revised manuscript.

Specific comments:

1. The 6-hourly wind from CCMP is used to calculate the wind stress. Since the cyclone induces a wind stress changing rapidly with time, an interpolation from such a long time interval is unreliable and probably underestimates the KEin (Jing, Wu and Ma, 2015, JAOT). A time interval less than 1 hour may be necessary. Furthermore, the wind speed of a typhoon is probably underestimated in the CCMP data. Most of previous research reconstruct the cyclone wind from analytic expressions, such as Holland (1980).

Response: We agree that higher-frequency wind forcing and proper temporal and spatial interpolation methods may be required. Besides the availability of higher-frequency wind forcing data, it is not clear how numerical disadvantage of using realistic higherfrequency forcing may affect on resolving the TC-induced NIOs in numerical simulation. In addition, this study is a 'direct simulation" of TC induced NIOs in the South China Sea and analytic expressions TC may not be suitable. We have mentioned this in the revised paper (3.1).

2. The model employed has been well validated and used in several previous research, however, the process of a typhoon response is of short time scale, baroclinic, and intermittent. A validation with ADCP current data at some places is critical.

Response: The rigorous model validation of circulation and physics have been conducted by Gan et al. (2016a, b), which provides a level confidence for the ocean circulation (e.g. jet stream) and dynamics hub for this study. OSD

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The full-scale model-observation comparison is built on both availability of field measurement and advanced theoretical study. We compared the TC induced surface cooling from SST data (Fig. 3), and the rotary energy spectra from ADCP data (Fig. 4) in section 3.1. TC-induced surface cooling is reasonable in both intensity and the spatial coverage. Rotary spectra shows that the model can capture the inertial signal and simulate the low frequency current with reasonable intensity. In addition, we found that the correlation coefficients of near-inertial band-passed velocity between ADCP and model simulation at Wenchang station were 0.62 and 0.57 for east-west (u) and north-south (v) component, respectively, which indicated that the model captured reasonably well the NIOs under the influence of the background circulation of the SCS.

There existed inevitably the model-observation discrepancies, such as differences of velocity magnitude ( $\sim$ 0.06 m s-1) at near-inertial band and rotary spectra at the higher frequency (Fig. 4). These discrepancies could have been caused by many reasons, such as the lack of mesoscale and sub-mesoscale processes in the atmospheric forcing field, the linear interpolation process of the atmospheric forcing (Jing et al., 2015), and not resolving the oceanic subscale processes by the current model resolution. However, these discrepancies will not undermine the discussion about the process and mechanism of near-inertial energy response to the TC and jet stream in this study.

Some of the above information are now integrated into the revised ms..

3. The much weaker KEin at A2 is considered to be due to the positive vorticity induced by the jet. Information on the horizontal and vertical scale of the background vorticity may be necessary. And usually the wind is the first order factor of near-inertial intensity. A comparison of wind time series between A1 and A2 makes sense.

Response: We include the vertical profile of the low-passed (3-day) vorticity at A1 and A2 in the revised Figure 10, which represents the vertical scale of the background vorticity. It shows clearly that the vertical scale of the KEni propagation is closely related to the vertical scale of vorticity.

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4. The depth of 30 m is used as a boundary between upper and mid-depth layers. There is no clarification why 30 m is chosen, not 50 m or other values.

Response: We choose the 30 m as the maximum of domain-averaged N2 (buoyancy frequency) over the forced region located close to 30 m as Figure R1.

Technical comments.

1. Figure 1. The value of isobaths should be noted since you mention it in the text (Line 240).

Response: We revised Figure 1 accordingly.

2. Figure 5. The way to display different magnitudes is not good. Think about a better way. Response: Figure 5 intends to show both spatial and temporal information of rotary current vectors.

3. Line 221 When the mid-layer and upper layer are firstly mentioned, the exact depth range should be noted. The word 'upper' seems to represent a range much larger than 30 m. Maybe 'surface' or 'top' is a bit more appreciate.

Response: We modified wording accordingly.

4. Lines 273 and 315: Equations are not clearly seen.

Response: We modified the equation accordingly.

5. Line 393: 'AJEin' may be corrected to 'AKEin'.

Response: We modified wording accordingly.

Please also note the supplement to this comment: https://www.ocean-sci-discuss.net/os-2020-21/os-2020-21-AC1-supplement.pdf OSD

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Figure 1 (a) Track of Typhoon Neoguri (2008) from JTWC; blue square represents Wenchang where there were ADCP observations; (b) translation speed ( $U_{s}$ , unit: m s<sup>-1</sup>) and the 1<sup>st</sup> baroclinic wave speed ( $C_{t}$ , unit: m s<sup>-1</sup>) along the TC track; (c) clockwise ( $A_{cw}$ ) and counter-clockwise ( $A_{ccw}$ ) rotary current amplitude (m s<sup>-1</sup>) from current measurement at Wenchang. TS: tropical storm, STS: strong tropical storm. Interactive comment

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Fig. 1.

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at locations A1 (red) and A2 (blue).



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Fig. 2.

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Figure R1. Vertical profile of domain averaged (forced region) buoyancy frequency N2  $(s^2)$  averaged from Apr. 10 to May 10.

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Fig. 3.

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# Interactive comment on "Response of near-inertial energy to a supercritical tropical cyclone and jet stream in the South China Sea: modeling study" by Hiu Suet Kung and Jianping Gan

## Hiu Suet Kung and Jianping Gan

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In this study, near-inertial oscillations (NIOs) generated by a tropical cyclone (TC) and modulated by a background jet stream are simulated. The proposed work is meaningful, and the results are encouraging. However, a few points need to be clarified:

Response: We appreciate the detailed reading and helpful comments from the reviewer, which are now integrated into the revised manuscript.

Comments:

1. Line 61: the transport of KEni is modulated by the velocity and vorticity of a jet





stream. This jet stream plays an important role in this study and more descriptions and explanations on the stream is necessary. One reference (Gan and Qu, 2008) has been cited by the author on Line 61, but no further description on the jet. Under what condition will the jet stream exist? Is the intensity/velocity of the stream changes a lot? The jet stream is lumped with the NIO generated by the TC, and this interaction is nonlinear. The intensity/velocity of the stream will significantly affect the interaction. Is the stream located in its typical location with its typical velocity during the typhoon season? If a reader wants to know how much KEni will be generated and how the NIO propagates, which is the main topic of the present study, he would want to know whether the scenario used in this study is applicable.

Response: The jet stream was resulting from the (summer) monsoon-driven strong coastal current over narrow shelf topography off Vietnam and it persisted as a distinct circulation feature in the SCS during the summer. The northward flowing coastal current separated from the coast and overshoots northeastward into the SCS basin as it encountered the coastal promontory in the central Vietnam. We now add this information in section 3.1 to provide background for the jet stream shown in Fig. 2

2. Line 98: how the TC forcing is exerted on the sea surface is not clearly explained. CCMP provides the wind speed at 10 m high, can it represent the drag direction on the sea surface?

Response: In ROMS, surface wind stress is calculated from wind speed at 10 m high by using bulk algorithm based on Monin–Obukhov similarity theory, described in Fairall et al. (2003). We have mentioned this in the 2nd paragraph of section 2.2 Ocean Model.

3. Line 99: Fairall et al. (2003) is not listed in the References.

Response: Reference has been added in the list according to the comment.

4. Line 114 : The author emphasizes the model is well-validated, but these validations

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are in a general sense. Is there any evidence that NIOs can be properly simulated by this model? Some validations should be presented in the paper. For example, the near inertia component of the model can be compared with that of the ADCP data measured by the station shown in Fig. 1.

Response: The rigorous model validation of circulation and physics have been conducted by Gan et al. (2016a, b), which provides a level confidence for the ocean circulation (e.g. jet stream) and dynamics hub for this study.

The full-scale model-observation comparison is built on both availability of field measurement and advanced theoretical study. We compared the TC induced surface cooling from SST data (Fig. 3), and the rotary energy spectra from ADCP data (Fig. 4) in section 3.1. TC-induced surface cooling is reasonable in both intensity and the spatial coverage. Rotary spectra shows that the model can capture the inertial signal and simulate the low frequency current with reasonable intensity. In addition, we found that the correlation coefficients of near-inertial band-passed velocity between ADCP and model simulation at Wenchang station were 0.62 and 0.57 for east-west (u) and north-south (v) component, respectively, which indicated that the model captured reasonably well the NIOs under the influence of the background circulation of the SCS.

There existed inevitably the model-observation discrepancies, such as differences of velocity magnitude ( $\sim$ 0.06 m s-1) at near-inertial band and rotary spectra at the higher frequency (Fig. 4). These discrepancies could have been caused by many reasons, such as the lack of mesoscale and sub-mesoscale processes in the atmospheric forcing field, the linear interpolation process of the atmospheric forcing (Jing et al., 2015), and not resolving the oceanic subscale processes by the current model resolution. However, these discrepancies will not undermine the discussion about the process and mechanism of near-inertial energy response to the TC and jet stream in this study.

Some of the above information are now integrated into the revised ms..

5. Line 273: eq. (3) is out of nowhere. Please provide references for eq. (3).

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Response: citation of Eq. (3) has been added in the reference list.

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Response of near-inertial energy to a supercritical tropical cyclone and jet stream in the South China Sea: modeling study

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#### 1 ABSTRACT

2 We used a well-validated three-dimensional ocean model to investigate the process of energetic 3 response of near-inertial oscillations (NIOs) to a tropical cyclone (TC) and strong background jet 4 stream in the South China Sea (SCS). We found that the NIO and near-inertial kinetic energy 5 (KEni) varied distinctly during different stages of the TC forcing, and the horizontal and vertical 6 transport of KEni was largely modulated by the velocity and vorticity of the jet stream. The KEni 7 reached its peak value within ~one-half the inertial period after the initial TC forcing stage in the 8 upper layer, decayed quickly by one-half in the next two days, and further decreased in a slower 9 rate during the relaxation stage of the TC forcing. Analyses of the KEni balance indicate that the 10 weakened KEni in the upper layer during the forcing stage was mainly attributed to the downward 11 KEni transport due to pressure work through the vertical displacement of isopycnal surfaces, while 12 upward KEni advection from depths also contributed to the weakening in the TC-induced 13 upwelling region. In contrast, during the relaxation stage as TC moved away, the effect of vertical 14 advection on KEni reduction was negligible and the KEni was chiefly removed by the outward 15 propagation of inertial-gravity waves, horizontal advection and viscous dissipation. Both the 16 outward wave propagation and horizontal advection by the jet stream provided the KEni source in 17 the far-field. During both stages, the negative geostrophic vorticity south of the jet stream 18 facilitated the vertical propagation of inertial-gravity waves.

19

#### 20 **1 Introduction**

Near-inertial oscillations (NIOs), whose frequencies are close to the local inertial frequency contain around half of the observed internal wave kinetic energy in the ocean (Simmons and Alford, 2012). NIOs also greatly affect the kinetic energy budget in the deeper ocean as they propagate downward from the surface and enhance the mixing by increasing vertical shear (Gill, 1984; Gregg et al., 1986; Ferrari and Wunsch, 2009; Alford et al., 2016).

26 Tropical cyclones (TCs), with the rapid change of wind stress, provide an important 27 generation mechanism for the NIOs. Observational studies related to a single storm or tropical 28 cyclone (Price, 1981; Shay and Elsberry, 1987; D'Asaro et al., 1995) showed that the NIOs related 29 to TCs can be a factor 2-3 larger than the background NIOs and last for more than 5 inertial periods 30 (IPs). Using a hurricane-ocean coupled model, Liu et al. (2008) estimated that the energy input of 31 tropical cyclones into the near-inertial currents was about 0.03 TW, about 10% of the total wind-32 induced near-inertial energy (Watanabe and Hibiya, 2002; Alford, 2003). The input of wind energy 33 to the near-inertial band is also controlled by the translation speed of the TC ( $U_h$ ) (Geisler, 1970; 34 Price, 1981). In fact, the NIOs are largely variable during the forcing and relaxation stage of the 35 TC forcing related to the intensity and translation speed of the TC. The variation is determined not 36 only by the different magnitude of input of wind energy, but also by the different dynamic 37 conditions that regulate the near-inertial kinetic energy (KEni) transport during these stages. The 38 variable response of NIOs during different stage of TC forcing is critical for understanding the 39 process and physics of NIOs.

Once generated, the characteristics of NIOs, in terms of the decay time scale, propagation
 direction, and propagation speed are influenced by various mechanisms. In linear wave theory, the
 β-effect leads to an equatorward propagation of the NIOs and reduces its decay time scale because

3

43 of the vertical propagation into deeper ocean (Gill, 1984; D'Asaro, 1989; Garrett, 2001). 44 Background flow fields also impose large influence on the evolution of NIOs. The influence of 45 background vorticity on NIOs has been observed by Weller (1982) and Kunze and Sanford (1984), 46 and analytical proofs by Kunze (1985), Young and Ben Jelloul (1997), and Danioux et al. (2015). 47 Numerical study using a primitive equation model with a turbulent mesoscale eddy field and 48 uniform wind forcing gave a similar conclusion (Danioux et al., 2008). Non-linear interactions 49 also provide a mechanism for increasing the vertical wave number, thus for larger vertical shear 50 and the dissipation and reducing the decay time scale (Davies and Xing, 2002; Zedler, 2009). In 51 addition to modifying the characteristics of the inertial-gravity waves, nonlinear advection related 52 to the front can transport NIOs away from the storm track and to higher latitudes (Zhai et al., 2004). 53 Recent observations and numerical studies in Gulf Stream, Kuroshio, and Japan Sea revealed the 54 role of vertical circulation on the generation and radiation of near inertial energy (Whitt and 55 Thomas, 2013; Nagai et al., 2015; Rocha et al., 2018; Thomas, 2019).

56 The South China Sea (SCS) is a region with frequent tropical cyclone occurrence,  $\sim 10.3$ 57 each year (Wang et al., 2007). Observations (Sun et al., 2011a, b; Xu et al., 2013) and numerical 58 studies (Chu et al., 2000) indicated that these TC events are sources for near-inertial energy bursts. 59 Additionally, the SCS circulation contains abundant energetic flow (e.g. Qu, 2000; Gan et al., 60 2006; Gan et al., 2016a) and mesoscale features, such as a strong coastal jet off the Vietnamese 61 coast (e.g. Gan and Qu, 2008) and eddies (e.g. Chen et al., 2012). The distribution and evolution 62 of the TC-induced NIOs are susceptible to the influence of these background currents. (Sun et al., 63 2011a; Sun et al., 2011b). However, the estimate of the total contribution of a TC to the KEni in 64 the SCS is difficult to obtain from observations or linear wave theory due to sparse spatial 65 observations and the non-homogenous nature of SCS circulation.

66 In this study, we apply a well-validated numerical model with specific China Sea configurations to examine the response of KEni to a large TC and background stream jet over the 67 sloping topography in the SCS. A description of Typhoon Neoguri and the details of the numerical 68 69 model implementation are given in Section 2. In Section 3, the general characteristic response of 70 the near-inertial current and the energy fluxes during the TC forced stage and their later relaxation 71 stage as TC moved away from the concerned region are presented. Following Section 3, the KEni 72 equation is used to identify the dynamic processes of the vertical viscous dissipation, pressure 73 work, and nonlinearity during different phases of the NIOs.

## 74 **2** Typhoon Neoguri (2008) and the Ocean Model

#### 75 **2.1 Typhoon Neoguri (2008)**

76 Typhoon Neoguri formed east of the Philippines and entered the SCS on April 15. It first moved west-northwest with an average translation speed of 5.8 m s<sup>-1</sup> before it slowed down to 1.6 m s<sup>-1</sup> 77 78 on April 16, based on the Joint Typhoon Warning Center (JTWC) best track data, (Fig. 1). On April 17, Neoguri sped up to 3.8 m s<sup>-1</sup>, turned more northward, and developed into a typhoon with 79 a maximum wind speed 51 m s<sup>-1</sup> and a MSLP (minimum sea level pressure) at 948 hPa, at 1800 80 81 UTC on April 17 near the Xisha Islands. The Neoguri was a supercritical typhoon travelling with 82 a translation speed greater than the first baroclinic wave speed. After skirting Hainan Island on 83 April 18, Neoguri moved northward, weakened to a tropical storm, and further dissipated as it 84 moved farther inland. The NIO burst induced by Neoguri was shown by the clockwise (Acw) and 85 counter-clockwise (A<sub>ccw</sub>) rotary current amplitudes (m s<sup>-1</sup>), from a current meter mooring at 86 Wenchang station, to the east off Hainan Island (Fig. 1c).

#### 87 2.2 Ocean Model

88 We use the China Sea Multi-scale Ocean Modeling System (CMOMS) (Gan et al., 2016a; Gan et 89 al., 2016b) in this study. CMOMS is based on the Regional Ocean Modeling System (ROMS) 90 (Shchepetkin and McWilliams, 2005), and the model domain covers the northwest Pacific Ocean 91 (NPO) and the entire China Seas (Bohai, Yellow Sea, East China Sea, and SCS) from 92 approximately 0.95°N, 99°E in the southwest corner to the northeast corner of the Sea of Japan. 93 The horizontal size of this grid array decreased gradually from  $\sim 10$  km in the southern part to  $\sim 7$ 94 km in the northern part of the domain. Vertically, we adopted a 30-level stretched generalized 95 terrain-following coordinate (s).

96 The model was forced with 6-hourly actual wind speeds of typhoon Neoguri obtained from 97 the Cross-Calibrated Multi-Platform (CCMP) dataset, with a horizontal resolution of 0.25° (Atlas 98 et al. (2011), ftp://podaac-ftp.jpl.nasa.gov/allData/ccmp/L3.0/flk). Wind stress is calculated based 99 on the bulk formulation by Fairall et al. (2003). The daily mean air temperature, atmospheric 100 pressure, rainfall/evaporation, radiation, and other meteorological variables from April 15 to April 101 18, 2008 from the NCEP/NCAR Reanalysis 1 were used to derive the atmospheric heat and fresh 102 fluxes. External forcing of depth-integrated velocities (U, V), depth-dependent velocities (u, v), 103 temperature, T, and salinity, S, at the lateral boundaries were obtained from the Ocean General 104 Circulation Model for the Earth Simulator (OFES) (Sasaki et al., 2008). Open boundary conditions 105 from Gan and Allen (2005) were applied at the open boundaries.

The model was spun up from January 1, 2005 with winter initial fields (temperature and salinity) obtained from the last three-year mean fields of a 25-year run that is initialized with the World Ocean Atlas 2005 (WOA05, Locarnini et al., 2006, Antonov et al., 2006) data, and forced by wind stress derived from climatological (averaged from 1988 to 2013) monthly Reanalysis of 10 m Blended Sea Winds released by the National Oceanic and Atmospheric Administration
(https://www.ncdc.noaa.gov/oa/rsad/air-sea/seawinds.htm). The dynamic configuration and
numerical implementation of the CMOMS system are described in detail in Gan et al. (2016a,
2016b).

114 We have thoroughly validated the CMOMS by comparing simulated results with those 115 obtained from various measurements and findings in previous studies. In particular, we have 116 validated the extrinsic forcing of time-dependent, three-dimensional current system in the tropical 117 NPO, transports through the straits around the periphery of the SCS, and corresponding intrinsic 118 responses of circulation, hydrography and water masses in the SCS (Gan et al., 2016a). We have 119 also validated the circulation of CMOMS by providing a consistent physics between the intrinsic 120 responses of the circulation and extrinsic forcing of flow exchange with adjacent oceans (Gan et 121 al., 2016b). The model is also validated with available ARGO temperature profiles (not shown), 122 observed sea surface temperature (SST) and currents from a time-series current meter mooring 123 during Neoguri, as described below.

Three-dimensional, hourly-mean dynamic, and thermodynamic variables from April 10 to May 10, 2008 were used to examine the near-inertial oscillations in this study. Because the inertial period (IP) in the SCS is larger than 32 hours (near 22°N), the error induced by the hourly model output is <3%.

#### 128 **3 Model result**

#### 129 **3.1 Characteristic response to the TC**

The evolution of the response to the TC in the ocean with existence of a coastal jet in the SCS is presented according to different stages of the TC forcing. During the pre-storm stage (PS), before Neoguri entered the SCS on April 14, the wind stress was relatively weak (<0.1 Pa). A</p>

prominent jet current separated from the Vietnamese coast flowing northeastward near 16°N (Fig. 2a), and characterized the circulation in the western part of the SCS. The jet stream was resulting from the (summer) monsoon-driven strong coastal current over narrow shelf topography off Vietnam and it persisted as a distinct circulation feature in the SCS during summer. The northward flowing coastal current separated from the coast and overshoots northeastward into the SCS basin as it encountered the coastal promontory in the central Vietnam (Gan and Qu, 2008).

139 The jet formed negative (positive) geostrophic vorticity ( $\zeta_{g}$ ) to the south (north), with the 140 minimum (maximum) Rossby number ( $\zeta_g/f$ ) <-0.2 (>0.2) near 15.8°N (16.8°N). During the forced 141 stage (FS, Fig. 2b) between April 15 and April 19, the SCS was under the direct influence of 142 Neoguri, the wind forcing became significantly stronger (>0.1 Pa), the KE near the surface (10 m) intensified significantly (>500 J m<sup>-3</sup>) to the east of the TC, and the coastal jet was suppressed by 143 144 southward flow. Meanwhile, a strong local divergence and upwelling formed in the surface and 145 generated a strong cooling ( $\sim 1.5^{\circ}$ C) belt along the TC path that lasted for more than a week. The 146 cooling zone radiated hundreds of kilometer away from the core of the TC. These features were 147 well captured by the TC-induced temperature difference between April 19 and 14 from both 148 simulated (Fig. 3a) and observed SST (Fig. 3b) (http://podaac.jpl.nasa.gov/dataset/JPL-L4UHfnd-149 GLOB-MUR). After the end of the FS on April 20 (Fig. 2c), the jet returned to its pre-storm 150 intensity and shifted slightly northward (Fig. 2c) when the TC center approached the coast (Fig. 151 1a). Afterwards, during the relaxation stage (RS) after April 20 (Fig. 2d), the wind forcing from 152 the TC decreased to < 0.05 Pa.

153 Rotary spectra shows that the near-inertial response of surface currents to the TC occurred 154 near the local inertial frequency (f = 0.028 cph) at station Wenchang (112°E, 19.6°N) during the model simulation period (April 10 – May 5) (Fig. 4). The clockwise rotary spectra is calculated
by:

157 
$$S_{cw} = 1/8(P_{uu} + P_{vv} - 2Q_{uv}), \tag{1}$$

158 where  $P_{uu}$ ,  $P_{vv}$  and  $Q_{uv}$  are auto- and quadrature-spectra, respectively (Gonella, 1972). This 159 simulated result is highly consistent with the observations in the lower frequency band. We found 160 that the correlation coefficients of near-inertial band-passed velocity between ADCP and model 161 simulation at Wenchang station were 0.62 and 0.57 for east-west (u) and north-south (v) 162 component, respectively, which indicated that the model captured reasonably well the NIOs under 163 the influence of the background circulation of the SCS. There existed inevitably the modelobservation discrepancies, such as differences of velocity magnitude (~0.06 m s<sup>-1</sup>) at near-inertial 164 165 band and rotary spectra at the higher frequency (Fig. 4). The discrepancies could have been caused 166 by many reasons, such as the lack of mesoscale and sub-mesoscale processes in the atmospheric 167 forcing field, the linear interpolation process of the atmospheric forcing (Jing et al., 2015), and not 168 resolving the oceanic subscale processes by the current model resolution. However, these 169 discrepancies will not undermine the discussion about the process and mechanism of near-inertial 170 energy response to the TC and jet stream in this study.

#### 171 **3.2.** Near-inertial response in the upper ocean

We adopted the complex demodulation method successfully used in previous NIO studies (Gonella, 1972; Brink, 1989; Qi et al., 1995) to extract the inertial current signal. The simulated horizontal currents ( $\vec{u}_h$ ) were analyzed for inertial currents ( $\vec{u}_i$ ). The inertial currents contain clockwise (*cw*) and counter-clockwise (*ccw*) rotating components:

176 
$$u_i + iv_i = A_{cw}e^{-i(\phi_{cw} + ft)} + A_{ccw}e^{i(\phi_{ccw} + ft)},$$
 (2)

177 where  $u_i$  and  $v_i$  are the eastward and northward inertial currents at 10 m in the mixed layer, *A* and 178  $\phi$  are the amplitude and phase of the rotary currents, respectively. Subscripts represent the 179 clockwise (*cw*) and counter-clockwise (*ccw*) rotating direction, and *f* is the local Coriolis 180 coefficient. To obtain the amplitude and phase, we performed harmonic analysis daily with each 181 segment over one inertial period (IP). Then the rotary amplitude and phase were calculated 182 following previous studies (Mooers, 1973, Qi et al., 1995, Jordi and Wang, 2008).

183 The time evolution of the daily rotary currents during the FS and RS in the surface layer 184 varied spatially and was related to the intensity and translation speed of the TC. On April 15 during 185 PS, Neoguri affected mainly the region south of  $13^{\circ}$ N, with a relatively fast translation speed ( $U_h$ > 3C<sub>1</sub>, Fig. 1b) and weaker intensity ( $V_{max}$ ~35 m s<sup>-1</sup>). In most areas, *cw* rotary currents were strong 186  $(A_{cw} > 0.1 \text{ m s}^{-1})$  yet decayed quickly after 3 days (<2 IP) (Fig. 5a), while the magnitudes of *ccw* 187 188 currents were very small (Fig. 5b). After April 18, Neoguri moved into the region between 14°N 189 and 18°N, where it intensified more than 40% but moved slower with  $U_h \sim 2C_I$ . Both the cw and 190 *ccw* currents possessed larger intensities than in the southern region. The induced *cw* currents 191 displayed an obvious rightward bias, where the enhanced inertial currents extended to ~350 km to 192 the right of the track and to  $\sim <150$  km to the left of the track. This extension of horizontal scale 193 was related to the region with wind stress  $|\tau|$  > 0.25 Pa in Neoguri.

The maxima of the *ccw* component were located to the left of the TC's path where the wind vector (Fig. 6) rotated in the same direction as the ocean currents presented in Fig. 5. The connection between the right (left) bias of the *cw* (*ccw*) currents with the rotation direction of the wind vector is in agreement with the explanation of Price (1981). 2-3 IPs (>6 days) after the direct forcing, the *cw* currents remained significant (>0.2 m s<sup>-1</sup>) in an area extending from 110°E to 116°E. In contrast, the *ccw* components, which rotated in the direction of the Earth's rotation, dissipated quickly after the wind forcing stopped within ~1 day. This short duration of the forced
inertial motion is in agreement with previous studies (Jordi and Wang, 2008).

202 Besides the intensity and duration, we also looked at the frequency shift ( $\delta\omega = \omega - f$ ) and the 203 horizontal scale of the NIOs. The frequency shift from the local inertial frequency was estimated 204 from the temporal evolution of the phase of the back rotary current:  $\delta \omega = -\partial \phi / \partial t$ . In the FS, the 205 maximum frequency shift occurred near the jet (112°E to 115°E, 15°N to 16°N), where  $\delta\omega\approx 0.08f$  $(\Delta \phi \approx \pi/4, \Delta t = 3 \text{ days}, f = 4 \times 10^{-5} \text{ s}^{-1} \text{ at } 16^{\circ} \text{N})$ . The horizontal scale was estimated from the spatial 206 variation of the rotary current by calculating the horizontal wave number in the meridional 207 direction as  $k_y = \partial \phi / \partial y$ . The largest wave number  $k_y \approx 3.1 \times 10^{-5}$  rad m<sup>-1</sup> was also found near the 208 209 jet.

### 210 **3.3 Characteristic near-inertial energy**

#### 211 Response in the upper layer

212 We focused on the area between 110-115°E and 13-19°N (box in Fig. 5a), defined as the 213 forced region, where the strongest NIO was produced during FS of Neoguri. We calculated the wind-induced near-inertial energy flux (or the wind work) using  $\vec{\tau}_i \cdot \vec{u}_i$ , where  $\vec{\tau}_i$  is the band-passed 214 near-inertial wind stress and  $\vec{u}_i$  is the near-inertial current at the surface (Silverthorne and Toole, 215 2009). A 4<sup>th</sup> order elliptic band-pass filter (Morozov and Velarde, 2008) was applied to obtain 216 217 near-inertial motion with a band ranging from 0.8f to 1.2f, where f is the local Coriolis coefficient. The time series of domain-averaged  $\vec{\tau}_i \cdot \vec{u}_i$  over the forced region reveals that significant energy 218 input took place during the FS, with the peak value about  $68 \times 10^{-3}$  W m<sup>-2</sup> on April 17 (Fig. 7). 219 220 Under this large wind energy input, the area-averaged depth-integrated KEni (or AKEni hereafter) 221 in the upper layer (0-30 m) increased significantly from its pre-storm value to a maximum  $\sim 1500$ J m<sup>-2</sup> during the FS, with an increase rate of about  $16 \times 10^{-3}$  W m<sup>-2</sup> (Fig. 7a). Despite the continuous 222

positive wind energy flux, the *AKEni* in the upper layer plateaued, indicating that a large amount of the wind energy was either propagating out the forced region or was lost to the lower layers due to entrainment. The detailed mechanisms are discussed in the following sections. After the peak of FS, the wind work decreased significantly with small negative value around the end of the FS. The *AKEni* decreased to one half of its peak value within 2 days (decrease rate was about  $7 \times 10^{-3}$ W m<sup>-2</sup>). After that, the wind work was almost negligible, and the decrease rate of *AKEni* became smaller (~ $0.8 \times 10^{-3}$  W m<sup>-2</sup>).

#### 230 *Response at depths*

231 During the FS, the AKEni in the upper 200 m constituted ~90% of the total AKEni in the 232 whole water column, while the AKEni between 30-200 m alone accounted for ~30-50% (Fig. 7b). 233 The KENI in this mid-layer had a temporal evolution different from that in the near surface layer. 234 It reached its maximum on April 20, around one and a half days later, and was more than 80% of 235 the peak value of the AKEni in the upper layer (~1000 J m<sup>-2</sup>). Compared to the upper layer AKEni, the the mid-layer AKEni during FS increased slightly more slowly (~  $4 \times 10^{-3}$  W m<sup>-2</sup>) while from 236 April 20 to May 5 during RS it decreased much more slowly (~0.61×10<sup>-3</sup> W m<sup>-2</sup>), and the AKEni 237 238 became greater than that in the upper layer at the end of FS. The AKEni below 200 m was much smaller, but increased continuously from April 17 to 29, with a rate of about  $0.62 \times 10^{-3}$  W m<sup>-2</sup>, 239 240 which was comparable to the AKEni rate of decrease in the 30-200 m layer. The AKEni in this 241 deep layer became greater than that in the upper layer after April 25 and that in the layer 30-200 242 m after April 29; the deep layer reached its maximum value >10 days later.

Spatially, two relatively large KEni patches below upper layer were located to north and south of ~16°N (Fig. 8a). Their horizontal scales, influenced by near-inertial waves, were much smaller compared with those in the upper layer. The region with relatively large KEni in the layer

246 between 30-200 m located near the jet currents, with stronger value during FS than during RS (Fig. 247 8 a,b). These results suggest that the KEni in this layer might have been determined by both vertical 248 propagation of the near-inertial gravity wave and horizontal advection of KEni of the background 249 current. Similar horizontal distribution also occurred below 200 m (Fig. 8 c,d). In contrast to the 250 layer above, the relatively large value during RS on April 30 indicated a downward propagation 251 of KEni into the deeper layer. Around the saddle zone west of the Xisha Islands, a relatively large 252 KEni below 200 m aligned with the 1000 m isobath, and might reflect a topographic effect on the 253 near-inertial wave.

### 254 **3.4 Vertical propagation of near-inertial energy**

255 It is clear that the distribution of the KEni was mainly controlled by the propagation of 256 near-inertial wave energy both horizontally and vertically as well as by the background jet stream. 257 In order to understand the KEni distribution in the deeper water inside the forced region and in the 258 far field, we selected four different locations, marked as A1, A2, C1, and C2 in Fig. 8 (a-d), for 259 the analysis of the KEni evolution during the FS and RS. Among them, A1 (113°E, 15.7°N) and 260 A2 (112.5°E, 16.9°N) are on the right side of the TC track, inside the forced region, and situated 261 about 200 km apart from each other at the northern (A2) and southern (A1) sides of the jet, 262 respectively. C1 (114.9°E, 16.9°N) and C2 (114.9°E, 18°N) are the corresponding stations in the 263 far field where relatively strong KEni intensification occurred.

#### 264 Forced region (stations A1 and A2)

265 South of the jet stream at station A1

The time series of the band-passed inertial velocity  $u_i$  as a function of depth shows that there was an upward phase propagation, in which  $u_i$ , in the layers below 100 m was leading the upper 50 m (Fig. 9a). Accompanying this phase propagation was a downward propagation of surface KEni, which was represented by the lowering of the  $u_i$  maxima as a typical Poincaré wave (Kundu and Cohen, 2008). There were two phases of vertical energy propagation: 1) during FS, there was a rapid extension of the large  $u_i$  maxima to below 100 m from April 17 to 20, and 2) during RS, the center of the large  $u_i$  value descended from ~100 m to 280 m from April 25 to May 5. The vertical propagation velocity,  $C_{gz}$ , estimated from this downward transport, was ~17 m day<sup>-1</sup>.

274 During the first phase, the KEni in the top 30 m and in the 30-200 m layer shared a similar 275 rate of increase on April 17, indicating that the enhancement of KEni at 30-200 m was related to 276 the entrainment between the upper and deep layer (Fig. 9c). While the KEni in the upper 30 m 277 decreased quickly from April 18, it kept increasing at depths from 30-200 m, suggesting that other 278 contributing mechanisms existed besides the entrainment. The KEni below 200 m also experienced 279 notable intensification, with a smaller increasing rate than that found in the 30-200 m layer (Fig. 280 9c). Because the viscous effect is small in the deeper water, this enhancement of the KEni was 281 most likely associated with the propagation of an inertial-gravity wave.

During the second phase, the KEni in the 30-200 m layer decreased significantly at station A1, indicating the existence of either downward or horizontal energy transport. From the linearized inertial-gravity wave equation under the influence of background vorticity,  $C_{gz}$  can be obtained by (Morozov and Velarde, 2008),

286 
$$C_{gz} = \frac{W^2 - f_{eff}^2}{Wm},$$
 (3)

where  $\omega \approx 1.08f$  is the frequency with maximum  $S_{cw}$  at 200 m (Fig. 9e);  $f_{eff}=f+\zeta_g/2$  is the effective Coriolis coefficient; and  $\zeta_g/f=-0.1$  at A1. *m* is the vertical wave number that we chose to be the first baroclinic mode under a two-layer approximation based on the stratification (blue line in Fig. 10a). From Eq. (3),  $C_{gz}$  was about 19.1 m day<sup>-1</sup>, which was in the same range as the modeled  $C_{gz}$ . Consistent with the case of  $(\omega_0-f_{eff})/f_{eff}<0.1$  in Kunze (1985), the background vorticity in our case accounted for more than 90% of the modification of the magnitude of the wave dispersion property.
Meanwhile, the KEni in the layer below 200 m did not increase notably, suggesting that other
mechanisms besides vertical propagation of the near-inertial gravity wave might have been
important in the evolution of KEni in water deeper than 200 m.

296 North of the jet stream at station A2

297 At location A2, strong  $u_i$  was mainly trapped in the water above 100 m, and below 100 m 298  $u_i < 10 \text{ cm s}^{-1}$ . It returned to its pre-storm magnitude after 5 IPs (Fig. 9b). This constrain of vertical 299 propagation is likely associated with the vertical scale of the strong positive background vorticity 300 (Fig. 10b). The KEni was generally smaller than that at A1, and relatively large energy was found only in the ML (Fig. 9d).  $C_{gz}$  at A2, estimated from Eq. (3), was 2.1 m day<sup>-1</sup> ( $f_{eff} = 1.08f$ ,  $\omega \approx 1.1f$ , 301 302  $f=4.2\times10^{-5}$ s<sup>-1</sup>, and  $m=2\pi/30$  m), which was about one tenth of that at A1. This is consistent with 303 the lack of a distinct pattern of vertical propagation of NIOs at this station, as shown in the band-304 passed  $u_i$  (Fig. 9b), and the presence (absence) of a near-inertial peak of  $S_{cw}$  at 10 m (200 m) (Fig. 305 9f).

306 Far field region (stations C1 and C2)

307 C1 and C2 are located ~400 km to the right of the forced region. During the FS,  $u_i$  (Fig. 11a,b) and 308 KEni (Fig. 11c,d) in the upper layer were smaller than those at those stations in the forced region 309 due to the weaker TC influence. Only a small downward propagation was discerned during the FS 310 (Fig. 11a,b). However, notable intensification of the KEni occurred in the layers below the upper 311 layer after April 23. At C1, the  $S_{cw}$  at 10 m had a small red shift, while the  $S_{cw}$  at 200 and 500 m 312 displayed blue shifts with peaks near 1.07 *f* (Fig. 11e). The difference between  $S_{cw}$  in the upper 313 layer and in the layers below implies another source of KEni other than local inertial-gravity wave 314 vertical propagation.

At C2, downward energy propagation appeared after April 23, reaching 100 m from the surface within 7 days, giving  $C_{gz}$  =14.3 m day<sup>-1</sup> (Fig. 11b). Unlike C1, the intensification was mainly in the 30-200 m layer. The  $S_{cw}$  at both 10 m and 200 m had a broad energy band near the local *f* (Fig. 12f). Because  $\zeta_g/f = -0.11$  and  $f_{eff} = 1.06f$ ,  $C_{gz}$  estimated from Eq. (3) had an upward propagation ( $C_{gz} = -5.4$  m day<sup>-1</sup>), which cannot explain the downward propagation here. The linearized wave theory, with the consideration of Doppler drift due to background currents, does not seem to be valid in this location. We will discuss this issue in the next section.

#### 322 **4 KEni Budget**

We utilized the KEni equation to provide a further analysis of the source of KEni in the water column. Because the horizontal component of near-inertial kinetic energy is significantly larger than the vertical component (Hebert and Moum, 1994), we used the horizontal component to represent the KEni. The KEni budget can be obtained from the horizontal momentum equation. Following Silverthorne and Toole (2009), the energy equation becomes:

$$328 \qquad \underbrace{\frac{\partial KENI}{\partial t}}_{RATE} = \underbrace{-\vec{u}_i \cdot \langle \nabla_h p \rangle}_{PRES} \underbrace{-\rho_0 \vec{u}_i \cdot \langle \vec{u}_h \cdot \nabla_h \vec{u}_h \rangle}_{NLh} \underbrace{-\rho_0 \vec{u}_i \cdot \langle w \frac{\partial \vec{u}_h}{\partial z} \rangle}_{NL\nu} \underbrace{-\rho_0 \vec{u}_i \cdot \langle \frac{\partial (u_h - v_h)}{\partial z} \rangle}_{VVISC}$$
(4)

where AKEni is the area-averaged depth-integrated near-inertial energy;  $\vec{u}_i$  and  $\vec{u}_h$  are the near 329 330 inertial velocity vector and horizontal velocity, respectively; p is pressure;  $\rho_0$  is the reference density;  $\nabla_h$  is the horizontal gradient operator; w is the vertical velocity; v is the viscosity 331 332 coefficient; and the angle bracket represents band-passed filtering on the near-inertial band. The 333 *PRES* term on the right side of equation represents the pressure work on the *AKEni*, which is 334 associated with the inertial-gravity wave propagation.  $NL_h$  and  $NL_v$  represent the horizontal and 335 vertical divergence of energy flux that include the effects of 1) the advection of AKEni due to 336 background currents and 2) the straining of the wave field due to the background shear currents. 237 Zhai et al. (2004) found that the geostrophic advection of *AKEni* contributed most of the *NL<sub>h</sub>* and 338 was the main mechanism for transporting the NIOs in the absence of baroclinic dispersion of 339 inertial-gravity waves. It was also found to be more important than the dispersive processes along 340 the Gulf Stream or shelf-break jet. *VVISC* is the vertical viscous effect. As before, we integrate the 341 KEni vertically in three layers: the upper layer (0-30 m), the subsurface layer (30-200 m), and the 342 deep layer (>200 m). In the following sections, the *AKEni* budget is considered in entire forced 343 region (Fig. 5) as well as at the specific stations along the jet stream.

#### **4.1. Mean balance**

345 Figure 12 shows the time series of the *AKEni* budget over the entire forced region defined in Fig. 346 5. The time-averaged horizontal distributions of each term are presented in Fig. 13. During the FS, 347 the increase of AKEni in the upper layer was mainly attributed to the wind energy input because the *VVISC* term was one order larger than the other terms, with a maximum of  $30 \times 10^{-3}$  W m<sup>-2</sup> on 348 April 17 (Fig. 12a). The time-integrated VVISC during the FS was  $2.15 \times 10^3$  J m<sup>-2</sup> (Table 1). 349 350 Stronger VVISC in the upper 30 m occurred in the region between 14°N and 18°N (Fig. 13a) along 351 the TC track with a rightward bias, similar to the distribution of current intensity (Fig. 5c). Like 352 the wind work during the FS (Fig. 7a), VVISC became negative after April 19, indicating the AKEni 353 removal by negative wind work. The influence of VVISC extended to the 30-200 m layer, and provided a positive energy flux ( $\sim 1 \times 10^3$  J m<sup>-2</sup>) in this layer (Figs. 12b, 13b). The effect of VVISC 354 355 in the deep layer was negligible (Fig. 12c).

Shortly (~1 day) after the large injection of KEni into the upper layer during the FS, the *PRES* became significant (Fig. 12a, Table 1) and its horizontal distribution resembled that of *VVISC* (Fig. 13a, d), suggesting that *PRES* radiated the KEni out of the forced region. It provided a negative KEni flux in the upper layer (-0.65×10<sup>3</sup> J m<sup>-2</sup>), which was largely compensated by the 360 positive flux in deeper layers (Table 1). This suggests that, during the FS, the main role of the 361 pressure work was to transport the KEni from the upper layer to the deep layers, and <15% of the 362 KEni was horizontally propagated outside the forced region.

During the RS, the *VVISC* was relatively small in the upper layer and it accounted for one third of the *AKEni* removal in the layers below (Table 1). The *PRES* became a major sink for *AKEni* in the ML ( $-0.85 \times 10^3$  J m<sup>-2</sup>) and subsurface layer ( $-0.16 \times 10^3$  J m<sup>-2</sup>), but was the major source in the water below 200 m. The *AKEni* loss due to the horizontal wave propagation outside the forced region was ~ $-0.42 \times 10^3$  J m<sup>-2</sup>, accounting for about 40% of the total loss in the whole water column.

Nonlinear advection terms had an important influence in the top 200 m but made little contribution to the *AKEni* budget in the water below 200 m (Fig. 12, Table 1). The horizontal effects of  $NL_h$  and  $NL_v$  in these layers were mainly limited to a smaller region, as compared to the *VVISC* and the *PRES;* and their relatively large values occurred near the slope and the jet (Figs. 13).

374 In the upper layer,  $NL_h$  advected the KEni from the source region;  $NL_h$  had positive and 375 negative values on the eastern and western sides of the TC track, respectively (Fig. 13g). Similar 376 features, but with much weaker amplitude, were found in the layers below (Fig. 13h,i). During the 377 FS, in the 30-200 m layer, the domain-averaged  $NL_h$  was positive (0.21×10<sup>3</sup> J m<sup>-2</sup>), indicating a 378 possible extraction of the KEni from background flows.  $NL_{\nu}$  was a strong energy sink in the upper 379 200 m ( $\sim 0.64 \times 10^3$  J m<sup>-2</sup>). The TC wind field generated a strong surface horizontal divergence and 380 upwelling around 16-17°N (Fig. 4b). As a result, the smaller KEni in the lower layer was advected 381 to the surface east of the Xiasha Islands. This lower KEni generated a negative gradient with 382 ambient water and resulted in the strong eastwards transport of KEni in the eastward jet current.

As a result, a positive  $NL_h$  center located around the area with the strongest negative  $NL_\nu$ , and a negative  $NL_h$  center lay to the west of the positive maximum of  $NL_h$ . During the RS,  $NL_h$  became negative for all layers and provided ~1/3 of the total KEni loss in the water column (-0.35×10<sup>3</sup> J m<sup>-2</sup>), while  $NL_\nu$  over the whole water column was significantly reduced.

#### 387 **4.2 Role of the jet stream**

388 We further show the distinct AKEni balance in the southern and northern sides of the jet stream. 389 During the FS, VVISC at A1 on the southern side of the jet stream was the dominant AKEni source 390 in both the upper layer and the 30-200 m layer (Fig. 14a,b), consistent with the large vertical scale 391 on the southern side of the jet due to local negative vorticity. The enhancement of near-inertial 392 currents in the upper layer and the concurrent current divergence resulted in the vertical oscillation 393 of isopycnals (pressure) below the upper layer at this station. During this pumping process, the 394 AKEni in the upper layer was partly transported downward by the PRES and partly by the  $NL_{\nu}$ . 395 During the RS, the *PRES* became the main factor in the *AKEni* budget. It changed from source to 396 sink in the 30-200 m layer, because of less downward KEni flux from the upper layer. In the deeper 397 layer, the negative *PRES* indicated that there was a near-inertial wave propagating away from this 398 location. The positive AKEni flux provided by NL<sub>h</sub> weakened the effect of the negative PRES.

During the FS at A2 on the northern side of the jet stream, *VVISC* in the upper layer (Fig. 14d) had slightly larger magnitude than that at A1. However, it greatly decreased to  $3 \times 10^{-3}$  W m<sup>-2</sup> below the ML (Fig. 14e), which suggested that the smaller vertical scale on the northern side of the jet limited the deep penetration of the wind energy in this location. Compared to A1, the  $NL_{\nu}$ was much stronger in the upper layer, and about one half of the lost energy was compensated for by the *NL*<sub>h</sub>. The *PRES* was negligible compared to that at A1 (Fig. 14d-f). During the RS, the *PRES* in the ML became a notable sink after April 21 and was accompanied by a positive *NL*<sub>h</sub> (Fig. 14d). This suggests that the strong jet increased the *AKEni* through either advection or wave propagation due to *PRES* as a result of jet-NIO interaction at this station. In the deeper layer, the *PRES* provided a positive *AKEni* flux. From the spectral analysis, the wave at 500 m had a large blue shift of >0.15f (Fig. 9f) that cannot be explained by the background vorticity alone. The wave likely originated from the northern latitude.

411 In the far field at stations C1 and C2, where there was no direct wind forcing from the TC, 412 surface forcing (VVISC) was relatively small during the FS (Fig. 14g,j). Therefore, horizontal 413 transport of energy is needed to sustain the KEni intensification at these two locations (Fig. 11c,d). 414 At C1, which was on the southern side of the jet stream (Fig. 8) and had a negative background 415 vorticity, the *PRES* was the main source of *AKEni* in both subsurface and deep layers (Fig. 14h,i). 416 The existences of the blue shift near the local inertial frequency (Fig. 11e) and of the negative 417 background vorticity suggest the presence of a southward propagating near-inertial wave towards 418 C1 from northern region. Because C2 lies near the northeastward turning point of the jet (Fig. 8), 419 the nonlinear effect became significant in the 30-200 m layer where the jet was strongest (Fig. 420 14k). After the enhancement of AKEni in the subsurface layer, the PRES further transported the 421 KEni downwards and became the major source for the increase of AKEni in the deep layer after 422 April 27 (Fig. 141). The northeast current advected the lower frequency NIO from the lower 423 latitude towards the higher latitude, C2, which explains the red shift of the NIO at this location 424 (Fig. 11f).

#### 425 **5 Summary**

TCs force the ocean to form NIOs. The response of NIOs is largely associated with the different forcing stages of the TCs and background flow. Due to spatiotemporally limited measurements, our understanding of the process and mechanism that govern the NIO response is mainly based on theories that are constrained by idealized assumptions. In this study, we utilize a
well-validated circulation model to investigate the characteristic response of KEni to a moderately
strong TC (Neoguri) with observed strong KEni and to a unique background circulation.

The near-inertial currents in the upper layer strengthened significantly during the TC forced stage and displayed a clear rightward bias due to stronger wind forcing and the resonance between the wind and the near-inertial currents. The distribution of near-inertial currents and the associated rotary spectra showed that the propagation patterns of NIOs varied greatly from location to location and were closely linked to the influences of the background jet.

437 We calculated the KEni balance to diagnose spatiotemporally varying responses and 438 processes of the near-inertial signals in terms of different forcing stages of the TC. Results show 439 that during the forcing period, the vertical viscous term, which represents the wind work and 440 entrainment at the base of the upper layer, was the KEni source in the upper layer. Around 0.5 IP 441 after the maximum TC forcing, the pressure work became the main KEni sink in the upper layer, 442 transporting KEni in the ML into the deeper layers through inertial pumping (upwelling). The 443 upwelling, caused by the TC-enhanced divergence, also advected smaller KEni from deeper layers 444 to weaken the KEni in the upper layer.

During the TC relaxation stage, the loss of KEni in the forced region of the whole water column were caused by the vertical viscous term, the pressure work, and horizontal advection effects (Table 1). However, these effects acted differently in different layers. The viscous effect mainly occurred inside the water column, but decreased to near zero in the upper layer after the direct impact of Neoguri. The pressure work mainly transported the KEni out of the forced region horizontally and out of the upper layer vertically. It was strongest on the southern side of the jet, where the negative background vorticity located. The horizontal nonlinear effect also contributed

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452 greatly to the KEni balance near the jet region. It acted as a major sink of KEni by horizontally 453 advecting the NIO away from the forced region. For locations away from forced region, both near-454 inertial wave propagation and horizontal advection contributed to the intensification of the KEni.

We examined the NIOs processes and underlying dynamics in response to different stage of the TC in the semi-enclosed SCS under influence of unique and strong basin-wide circulation. Unlike similar study in the SCS, this study enriches our understanding of the spatiotemporal variability of TC-induced NIOs and provides a useful physical guidance for future processoriented field experiment in the SCS as well as in other subtropical marginal seas that are frequently affected by the TC.

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# List of Table

Table 1. Time integrated KEni budget (unit:  $\times 10^3$  J m<sup>-2</sup>) during the FS and RS.

#### **List of Figures**

Figure 1 (a) Track of typhoon Neoguri (2008) from JTWC; blue triangles represent ARGO drifter locations and the red circle represents Wenchang where there were ADCP observations. The date is marked by month/day along the track. TS: tropical storm, STS: strong tropical storm, T: typhoon, ST: strong typhoon, and Super T: super typhoon. (b) translation speed ( $U_h$ , unit: m s<sup>-1</sup>) and the 1<sup>st</sup> baroclinic wave speed ( $C_1$ , unit: m s<sup>-1</sup>) along the TC track; (c) clockwise ( $A_{cw}$ , green line) and counter-clockwise ( $A_{ccw}$ , blue line) rotary current amplitude (m s<sup>-1</sup>) from current measurement at Wenchang. The *x*-axis is time marked as month/day.

Figure 2 Daily mean KE (J m<sup>-3</sup>, color contour) and current vectors (arrows) at 10 m (a) on April 14 of the pre-storm stage (PS), (b) on April 18 during the strongest wind forcing of the forced stage (FS), (c) on April 20 after the end of the FS, and (d) on April 30 during the relaxation stage (RS). The grey contours are the 200 m, 500 m, and 1000 m isobaths. The magenta line represents TC track. Yellow triangle on April 18 represents the TC location. The TC was located beyond the plotting domain during the other three days, as shown in Figure 1a. The velocity magnitudes<0.2 ms<sup>-1</sup> are not shown in the vectors.

Figure 3:  $\Delta$ SST (SSTApril 19-SSTApril14) from (a) model results and (b) GHRSST JPL MUR satellite products. The pink curve refers to the trajectory of the TC Neoguri.

Figure 4 Rotary spectra of clockwise component (upper 10 m) at Wenchang (112°E, 19.6°N) from model simulations (red) and observations (blue).

Figure 5 Time series, represented by color bar, of daily (a) clockwise and (b) counter-clockwise rotary current vectors from April 14 to 30 during different stages of the TC forcing, signifying the response of the current to the local wind rotation. For the clockwise (counter-clockwise)

component, only currents with magnitude larger than 0.2 (0.05) m s<sup>-1</sup> are shown. The black box represents the forced region.

Figure 6 Time series of 6-hourly wind stress vectors during the forced-stage (FS) from April 15-20.

Figure 7 Time series of (a) the area-averaged wind energy flux into the near-inertial band (unit:  $10^{-3}$  W m<sup>-2</sup>) and (b) depth-integrated KEni (J m<sup>-2</sup>) in the forced region for different layers. Figure 8 Daily averaged KEni (KJ m<sup>-2</sup>) of layers (a, b) 30-200 m and (c,d) below 200 m on (a, c) April 20 during FS, and (b,d) April 30 during RS. The thick red arrows show the location of the jet stream (Fig. 2), while the orange curve arrows indicate regions with relative vorticity Z > 0,

and the blue curve arrows indicate regions with Z < 0 induced by the jet. Stations A1 and A2 are on the right side of the TC track at the northern (A2) and southern (A1) sides of the jet,

respectively. Stations C1 and C2 are corresponding stations in the far field. Station B is located in the upstream of the jet stream.

Figure 9 Time series of (a, b) u<sub>i</sub> (m s<sup>-1</sup>), (c, d) KEni (J m<sup>-2</sup>), and (e, f) rotary spectra (cw component) at locations A1 (a,c,e) and A2 (b,d,f).

Figure 10 Time-averaged N<sup>2</sup> (s<sup>-2</sup>) from April 15 to May 5 at locations A1 (red) and A2 (blue). Figure 11 As in Fig. 9, except for locations C1 (a, c, e) and C2 (b, d, f).

Figure 12 Time series of area-averaged, depth-integrated KEni budget for (a) 0-30 m, (b) 30-200 m, and (c) >200 m in the forced region. Terms represent (unit:  $\times 10^{-3}$  W m<sup>-2</sup>): (a-c) vertical viscous effect (VVISC), (d-f) divergence of energy flux (PRES), (g-i) horizontal non-linear interaction (NL<sub>h</sub>), and (j-l) vertical non-linear interaction (NL<sub>v</sub>). The vertical lines separate the pre-storm stage, FS and RS during the TC forcing.

Figure 13 Horizontal distribution of time-averaged (April 15-May 5) depth-integrated KEni budget in different layers: 0-30 m (left column), 30-200 m (middle), and >200 m (right). The terms re Figure 14 Time series of KEni budget at locations: A1 (a-c), A2 (d-f), C1 (g-i), and C2 (j-l) in layers: 0-30 m (left column), 30-200 m (middle column), and >200 m (right column). presented are (unit:  $\times 10^{-3}$  W m<sup>-2</sup>): (a-c) VVISC, (d-f) PRES, (g-i) NL<sub>h</sub>, and (j-l) NL<sub>v</sub>.