

1 **The riddle of eastern tropical Pacific ocean oxygen levels : the role of the supply by**
2 **intermediate depth waters.**

3
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6

7 **Abstract**

8 It is well known that Intermediate Water Masses (IWM) are sinking in high latitudes and ventilate
9 the lower thermocline (500–1500 m depth). We here highlight how the IWM oxygen content and
10 the IWM pathway along the Equatorial Intermediate Current System (EICS) towards the eastern
11 tropical Pacific ocean are essential for the supply of oxygen to the lower thermocline and the
12 Oxygen Minimum Zones (OMZs). To this end, we assess here a heterogeneous subset of ocean
13 models characterized by a horizontal resolution ranging from 0.1° to 2.8°. Subtropical oxygen
14 levels in the lower thermocline, i.e., IWM are statistically correlated with tropical oxygen levels and
15 OMZs. Sensitivity simulations suggest that the oxygen biases of the subtropical IWM oxygen levels
16 contribute to oxygen biases of the tropical thermocline : an increase of the IWM oxygen by 60
17 mmol.m^{-3} results in a 10 mmol.m^{-3} increase in the tropical ocean in a timescale of 50 years. In the
18 equatorial regions, the IWM recirculates into the Equatorial Intermediate Current System (EICS).
19 By comparing tracer and particle release simulations, we show that a developed EICS increases
20 eastern tropical ventilation by 30 %. Typical climate models lack in representing crucial aspects of
21 this supply: biases in IWM properties are prominent across climate models and the EICS is
22 basically absent in models with typical resolutions of $\sim 1^\circ$. We emphasize that these biases need to
23 be reduced in global climate models to allow reliable projections of OMZs in a changing climate.
24

25 **Observed Oxygen Minimum Zones (OMZs) in the tropical Pacific ocean are located above**
26 **intermediate depth waters (IDW). Typical climate models do not represent correctly IDW properties**
27 **and are characterized by a too deep reaching OMZ. We test here the role of the IDW on the**
28 **misrepresentation of oxygen levels in a heterogeneous subset of ocean models characterized by a**
29 **horizontal resolution ranging from 0.1° to 2.8°. First, we show that forcing the extra tropical**
30 **boundaries (30°S/N) to observed oxygen values results in a significant increase of oxygen levels in**
31 **the intermediate eastern tropical region. Second, the equatorial intermediate current system (EICS)**
32 **is a key feature connecting the western and eastern part of the basin. Typical climate models lack**
33 **in representing crucial aspects of this supply at intermediate depth, as the EICS is basically absent**
34 **in models characterized by a resolution lower than 0.25°. These two aspects add up to a “cascade**
35 **of biases”, that hampers the correct representation of oxygen levels at intermediate depth in the**
36 **eastern tropical Pacific Ocean and potentially future OMZs projections.**
37

38 **1. Introduction**

39 Oxygen levels in the ocean are characterized by high values in the high latitudes and the
40 subtropical gyres, while concentrations decrease to close to zero in the tropical oceans in the
41 Oxygen Minimum Zones (OMZs). While OMZs are natural features, climate change is potentially
42 responsible for their expansion (Breitburg et al., 2018), leading to a reshaping of the ecosystems
43 and a potential loss of biodiversity.

44

45 Modelling oxygen levels is particularly challenging because of the complexity of the interactions
46 between biological respiration and physical transport (e.g Deutsch et al., 2014, Ito et al., 2013;
47 Duteil et al., 2014a,b, 2018, Oschlies et al., 2017). Climate models tend to overestimate the
48 volume of the OMZs (Cabre et al., 2015) and do not agree on the intensity and even sign of
49 oxygen future evolution (Oschlies et al., 2017). In order to perform robust projections there is a
50 need to better understand the processes at play that are responsible for the supply of oxygen to
51 the OMZ. We focus here on the Pacific ocean, where large OMZs are located in a depth range
52 from 100 to 900 m (Karstensen et al., 2008; Paulmier and Ruiz-Pino. 2009). Previous modelling
53 studies have shown that the tropical OMZ extension is at least partly controlled by connections with
54 the subtropical ocean (Duteil et al., 2014). In addition, the role of the equatorial undercurrent
55 (Shigemitsu et al., 2017; Duteil et al., 2018; Busecke et al., 2019), of the secondary Southern
56 Subsurface Countercurrent (Montes et al, 2014), of the interior eddy activity (Frenger et al., 2018),
57 have been previously highlighted. These studies focus on the mechanisms at play in the upper
58 oxygen levels (upper 500 m meter). The oxygen content below the core of the OMZ however plays
59 a significant role in setting the upper oxygen levels by diffusive (Duteil and Oschlies, 2009) or
60 vertical advective (Duteil, 2019) processes. Here, we focus specifically on the mechanisms
61 supplying oxygen toward the eastern tropical pacific ocean at intermediate depth (500 – 1500 m),
62 below the OMZ core.

63

64 The water masses occupying this intermediate depth layer (500 – 1500 m) (Emery, 2003) subduct
65 at high latitudes (Karstensen et al., 2008). Oxygen solubility increases with lower temperatures,
66 thus waters formed in the Southern Ocean and in the North Pacific are characterized by high
67 oxygen values. In particular, the Antarctic Intermediate Water (AAIW) (Molinelli, 1981) ventilates
68 large areas of the lower thermocline of the Pacific Ocean (Sloyan and Rintoul., 2001) and is
69 characterized by oxygen values larger than 300 mmol.m^{-3} at subduction time (Russel and Dickson,
70 2003). The oxygenated core of the AAIW in the tropical Pacific is located at about 500-1200 m
71 depth at 40°S (Russell and Dickson, 2003) and with this at a depth directly below the depth of the
72 OMZs in the eastern Pacific; the Pacific AAIW mixes down to 2000 m depth with the oxygen poor
73 Pacific Deep Water (PDW) as determined by the OMP (Optimum Multiparameter) analysis (Pardo
74 et al., 2012; Carrasco et al., 2017). The oxygen rich ($> 200 \text{ mmol.m}^{-3}$ at 40°S) AAIW spreads from

75 its formation side in the Southern Ocean to the subtropical regions. The northern part of the Pacific
76 basin is characterized by the North Pacific Intermediate Water (NPIW) (Talley, 1993) confined to
77 the northern Pacific conversely to the AAIW, which spreads far northward as its signature reaches
78 15°N (Qu and Lindstrom., 2004). AAIW, NPIW and the upper part of the PDW are oxygenated
79 water masses occupying the lower thermocline between 500 and 1500 m depth. **In this study we**
80 **do not specifically focus on the individual water masses, but rather on the water occupying the**
81 **intermediate water depth (500 – 1500 m) (Emery, 2003) of the subtropical and tropical ocean. We**
82 **will refer to the waters in this depth range as intermediate depth waters (IDW).**

83
84 In the subtropics, the **IDW** (particularly the AAIW) circulates into the intermediate flow of the South
85 Equatorial Current and the New Guinea Coastal Undercurrent (Qu and Lindstrom, 2004) where it
86 retroflects in the zonal equatorial flows of the Southern Intermediate Countercurrent (SICC) and
87 Northern Equatorial Intermediate Current (NEIC) within about $\pm 2^\circ$ off the equator (Zenk et al.,
88 2005; Kawabe et al., 2010) (Fig 1). These currents are part of the Equatorial Intermediate Current
89 System (EICS) constituted by a complex system of narrow jets extending below 500 m in the lower
90 thermocline (Firing, 1987; Ascani et al., 2010; Marin et al. 2010; Cravatte et al., 2012, 2017;
91 Menesguen et al., 2019). While the existence of this complex jet system has been shown to exist in
92 particular using argo floats displacements (Cravatte et al., 2017) the spatial structure and variability
93 of the jets are still largely unknown. In addition, there is little knowledge about their role in
94 transporting properties such as oxygen.

95
96 The simulation of the supply of oxygen to the eastern tropical Pacific below the OMZ core is a
97 difficult task as it depends on the realistic simulation of the **IDW** properties (in particular the
98 oxygen content) and the **IDW** pathway (through the EICS). It is known that current climate models,
99 in particular CMIP5 (Coupled Model Intercomparison Project phase 5) models, have deficiencies in
100 correctly representing the **IDW**, and in particular the AAIW. They generally display too shallow and
101 thin **IDW**, with a limited equatorward extension compared to observations (Sloyan and
102 Kamenskovich, 2007; Sallee et al., 2013; Meijers, 2014; Cabre et al., 2015; Zhu et al., 2018 for the
103 south Atlantic ocean). Discrepancies in the simulated properties of **IDW** compared to observations
104 are due to a combination of a range of errors in the climate models, including in the simulation of
105 wind and buoyancy forcing, an inadequate representation of subgrid-scale mixing processes in the
106 Southern Ocean, and midlatitude diapycnal mixing parameterizations (Sloyan and Kamenskovich,
107 2007; Zhu et al., 2018). In addition, the EICS is mostly lacking in coarse resolution models (Dietze
108 and Loeptien, 2013; Getzlaff and Dietze, 2013). Higher resolution (0.25° , $1/12^\circ$) configurations
109 partly resolve the EICS but with smaller current speeds than observed (Eden and Dengler, 2008;
110 Ascani et al., 2015). The mechanisms forcing the EICS are complex and still under debate (see the
111 review by Menesguen et al., 2019).

112

113 In this study we focus on the impact of IDW (and of the deficiencies in the representation of their
114 properties and transport) on the oxygen content in the eastern tropical Pacific in a set of model
115 simulations. Section 2 gives an overview of all models that we used as well as of the sensitivity
116 simulations. Next, we assess to which extent the IDW modulate (or drive) the oxygen levels in the
117 eastern tropical (20°S – 20°N; 160°W-coast) Pacific ocean in this set of models. The role of the
118 IDW depends i) on the oxygen content of the IDW in the lower thermocline of the subtropical
119 regions (section 3) and ii) on the zonal recirculation of the oxygen by the EICS toward the eastern
120 part of the basin (section 4). We conclude in section 5.

121

122 **2. Analyzed models and experiments**

123 2.1 Mean state

124 We analyze the mean state of the oxygen fields, OMZ, EICS of the following model experiments
125 (see Table 1), which previously have been used in recent studies focusing on the understanding of
126 the tropical oxygen levels mean state or variability :

127 - the NEMO (Nucleus for European Modelling of the Ocean) model (Madec et al., 2017) with a
128 resolution of 2°, refined meridionally to 0.5° in the equatorial region (NEMO2 configuration). The
129 circulation model is coupled to a simple NPZD (Nutrient Phytoplankton Zooplankton Detritus)
130 biogeochemical model that comprises 6 compartments (e.g used in Duteil et al., 2018; Duteil,
131 2019). The simulation has been forced by climatological forcings based on the Coordinated
132 Reference Experiments (CORE) v2 reanalysis (Normal Year Forcing) (Large and Yeager, 2009)
133 and integrated for 1000 years.

134 - the UVIC (University of Victoria) model (e.g used in Getzlaff et al., 2016; Oschlies et al., 2017), an
135 earth System Model (ESM) that has a horizontal resolution of 1.8° latitude x 3.6° longitude. The
136 experiment has been integrated for 10000 years. The biogeochemical model is a NPZD-type
137 model of intermediate complexity that describes the full carbon cycle (see Keller et al., 2012 for a
138 detailed description). This model is forced by monthly climatological NCAR/NCEP wind stress
139 fields.

140 - the GFDL (Geophysical Fluid Dynamics Laboratory) CM2-0 suite (Delworth et al., 2012; Griffies
141 et al., 2015, Dufour et al, 2015): the suite is based on the GFDL global climate model and includes
142 a fully coupled atmosphere with a resolution of approximately 50 km. It consists of three
143 configurations that differ in their ocean horizontal resolutions: GFDL1 with a nominal 1° resolution,
144 GFDL025 with a nominal 0.25° and GFDL01 with a nominal 0.1° resolution (e.g used in Frenger et
145 al., 2018 and Busecke et al., 2019 for studies on ocean oxygen). At simulation year 48, the
146 simplified ocean biogeochemistry model miniBLING is coupled to the models, with three prognostic
147 tracers, phosphate, dissolved inorganic carbon and oxygen (Galbraith et al., 2015). Due to the high
148 resolution of GFDL01, the integration time is limited. We here analyze simulation years 186 to 190.

149 All the models (NEMO2, UVIC, GFDL suite) are forced using preindustrial atmospheric pCO₂
150 concentrations.

151 Differences in model resolution but also in atmosphere forcings or spinup duration strongly impact
152 oxygen distribution (see Annex A). However, the heterogeneity of the configurations that we
153 analyze permits to determine whether the simulated oxygen distributions display systematic biases
154 / similar patterns.

155 The mean states of the oxygen distributions are discussed below in section 3.1 “IDW Oxygen
156 levels in models”.

157

158 2.2 Sensitivity simulations

159 In order to disentangle the different processes at play we perform two different sets of sensitivity
160 simulations using the eNEMO model engine. NEMO allows to test effects of increasing the ocean
161 resolution and to integrate the model over a relatively long time span. All sensitivity experiments
162 are integrated for 60 years (1948 to 2007) using the CORE (Coordinated Ocean-Ice Reference
163 Experiments) v2 interannual (Large and Yeager, 2009) forcings. This time scale permits the
164 recirculation from the interior subtropical regions to the tropical area (as suggested in the model
165 study by SenGupta and England, 2007).

166

167 2.2.1 Forcing of oxygen to observed values in the subtropical regions

168 In the first set of experiments the focus is on the role of the lower thermocline oxygen content for
169 the ventilation of the eastern equatorial Pacific. We use NEMO2, the oceanic component of the
170 IPSL-CM5A (Mignot et al., 2013), that is part of CMIP5. NEMO2 shows mid-latitudes oxygen
171 biases consistent with CMIP5 models. We compare three experiments :

172 - NEMO2-REF: the experiment is integrated from 1948 to 2007 starting from the spinup state
173 described in 2.1.

174 - NEMO2-30S30N: the oxygen boundaries are forced to observed oxygen concentrations (WOA) at
175 the boundaries 30°N and 30°S: the mid-latitude oxygen levels in the IDW are therefore correctly
176 represented.

177 - NEMO2-30S30N1500M: same as NEMO2-30S30N; in addition oxygen is forced to observed
178 concentrations at the depth interface of 1500m, mimicking a correct oxygen state of the deeper
179 water masses (lower part of the AAIW, upper part of the PDW)

180

181 With the above three experiments we focus on the transport of IDW oxygen levels to the tropical
182 ocean and the OMZs. The respiration rate (oxygen consumption) is identical in NEMO2-REF,
183 NEMO2-30S30N and NEMO2-30S30N1500M in order to avoid compensating effects between

184 supply and respiration that depend on biogeochemical parameterizations (e.g Duteil et al., 2012).
185 We aim to avoid such compensating effects to ease interpretation and be able to focus on the role
186 of the physical transport. The sensitivity of tropical IDW oxygen to subtropical and deep oxygen
187 levels is discussed in section 3.2

188

189 2.2.2 Conservative Tracer Release in oxygenated waters

190 In a second set of experiments, we assessed the effect of a resolution increase on the transport of
191 a conservative tracer. To do this, we used a 0.5° (NEMO05) and a higher resolution 0.1°
192 (NEMO01) configuration of the NEMO model engine (Table 1) to examine the transport of
193 oxygenated IDW from the subtropical regions into the oxygen deficient tropics. NEMO01 is a
194 configuration based on NEMO05 and where a 0.1° two-ways nest has been embedded in the
195 whole Pacific Ocean, from 49°S to 31°N (Czeschel et al, 2011). In these experiments, we initialized
196 the regions with climatological (WOA) oxygen levels greater than 150 mmol.m⁻³ with a value of 1
197 (and 0 when oxygen was lower than 150 mmol.m⁻³). In the model simulations, the tracer is subject
198 to the same physical processes as other physical and biogeochemical tracers, i.e. advection and
199 diffusion but it does not have any sources and sinks. The experiments have been integrated for 60
200 years (1948 – 2007) using realistic atmospheric forcing (COREv2). NEMO05 and NEMO01 display
201 a similar upper ocean circulation (Fig 5) but NEMO05 does not simulate a developed EICS in
202 contrast to NEMO01.

203

204 In order to complement the tracer experiment we performed Lagrangian particle releases.
205 Lagrangian particles allow to trace the pathways of water parcels due to the resolved currents, and
206 to track the origin and fate of water parcels. They are not affected by subgrid scale diffusive and
207 advective processes. The particles are advected offline with 5 days mean of the NEMO05 and
208 NEMO01 currents. The NEMO01 circulation fields have been interpolated to the NEMO05 grid in
209 order to allow a comparison of the large scale advective patterns between NEMO01 and
210 NEMO05. We used the ARIANE tool (Blanke and Raynaud, 1997). A first particle release has been
211 performed in the eastern tropical OMZ at 100°W in the tropical region between 5°S - 5°N, a second
212 release has been performed in the western part of the basin at 160°E. The particles have been
213 released in the lower thermocline at 1000 m and integrated backward in time from 2007 to 1948 in
214 order to determine their pathways and their location of origin. We released 120 particles every 5
215 days during the last year of the experiment, for a total of 8760 particles. The transport by the EICS
216 is discussed in section 4.2 (tracers levels and Lagrangian pathways).

217

218 **3. Intermediate water properties and oxygen content**

219 3.1. IDW Oxygen levels in models

220 The **IDW** subducted in mid/high latitudes are highly oxygenated waters. As part of the deficient
221 representation of **IDW**, the subducted “oxygen tongue” (oxygen values up to 240 mmol.m⁻³) is not
222 reproduced in most of the models part of CMIP5 (Fig 8 from Cabre et al., 2015, Fig 4 from Takano
223 et al., 2018) and in the models analyzed here (Fig 2a), with an underestimation of about 20-60
224 mmol.m⁻³ (NEMO2, GFDL1, GFDL025, GFDL01). UVIC, a coarse resolution model, shows
225 oxygenated waters in the lower thermocline at mid latitudes (30°S-50°S); the oxygenation however
226 likely arises due to a too large vertical diffusion from the mixed layer rather than by an accurate
227 representation of the water masses.

228
229 GFDL01, even though still biased low, presents larger oxygen values than the coarser resolution
230 models GFDL1, GFDL025 and NEMO2. A possible explanation is a better representation of the
231 water masses and in particular the AAIW in eddy-resolving models (Lackhar et al., 2009).

232
233 The **IDW** oxygen maximum is apparent at 30°S throughout the lower thermocline (600 – 1000 m) in
234 observations (Fig 2b), consistent with the circulation of **IDW** with the gyre from the mid/high latitude
235 formation regions towards the northwest in subtropical latitudes, and followed by a deflection of the
236 waters in the tropics towards the eastern basin. This oxygen peak is missing in all the models
237 analyzed here.

238
239 Consistent with the low oxygen bias of models at subtropical latitudes (Fig 2b), models also feature
240 a bias in the tropical ocean (20°S-20°N) by 20 – 50 mmol.m⁻³ (Fig 2a, Fig 2c) at intermediate
241 depths in the eastern part of the basin (similarly to CMIP5 models, as shown by Cabre et al.,
242 2015). The basin zonal average of the mean oxygen level in the lower thermocline layer (500 -
243 1500m) at 30°S and in the eastern part of the basin (average 20°S – 20°N, 160°W-coast; 500-1500
244 m) are positively correlated (Pearson correlation coefficient R=0.73) (Fig 2d, Annex A), **suggesting**
245 **that the oxygen levels in the tropical pacific ocean are partly controlled by extra-tropical oxygen**
246 **concentrations at intermediate depths and the associated water masses.**

247
248 The models presenting the poorest oxygenated water at 30°S display the largest volume of OMZs
249 (GFDL025 and GFDL1), though the negative correlation (Pearson correlation coefficient R=-0.52)
250 is less pronounced between the volume of the OMZs and the mean oxygen levels in the layer 500 -
251 1500 m at 30°S (Fig 2e). Reasons for this weaker correlation are due to the OMZs being a result of
252 several processes next to oxygen supply by **IDW**, e.g, vertical mixing with other water masses
253 (Duteil et al., 2011), isopycnal mixing in the upper thermocline (Gnanadesikan et al., 2013; Bahl et
254 al., 2019), supply by the upper thermocline circulation (Shigemitsu et al., 2017; Busecke et al.,
255 2019). A correlation, even weak, suggests a major role of the **IDW** in regulating the OMZ volume.

256

257 In order to better understand the role of IDW entering the subtropical domain from higher latitudes
258 for the oxygen levels in the eastern tropical Pacific Ocean, we perform sensitivity experiments (see
259 2.2.1) in the following.

260

261 3.2 Sensitivity of tropical IDW oxygen to subtropical and deep oxygen levels

262 3.2.1 Oxygen levels in the lower thermocline

263 The difference of the experiments NEMO2-30S30N – NEMO2-REF (average 1997-2007) (Fig 3c,d)
264 allows to quantify the effect of model biases of IDW at mid latitudes (30°N/30°S) on tropical oxygen
265 levels. As we restore oxygen to observed levels at 30°S/°N (see 2.2.1), the difference between
266 both experiments shows a large anomaly in oxygen levels at 30°S (more than 50 mmol.m⁻³) at
267 lower thermocline level (500 – 1500 m) corresponding to the missing deep oxygen maximum,
268 located in the IDW. The northern negative anomaly results from a deficient representation of the
269 north Pacific OMZ, i.e., modeled oxygen is too high for NPIW. The northern low and southern high
270 anomalies spread towards the tropics at intermediate depth. A fraction of the positive oxygen
271 anomaly recirculates at upper thermocline level due to a combination of upwelling and zonal
272 advection by the tropical current system (for instance the EUC at thermocline level is a major
273 supplier of oxygen as shown in observations by Stramma et al., 2010 and in ocean models by
274 Duteil et al., 2014, Busecke et al., 2019).

275

276 The difference NEMO2-30S30N1500M – NEMO2-30S30N (Fig 3e,f) shows a deep positive
277 anomaly in oxygen, as oxygen levels are lower than in observations by 30-40 mmol.m⁻³ in the
278 eastern tropical regions. This anomaly is partially transported into the IDW (500 - 1500 m). It shows
279 that a proper representation of the deep oxygen levels (> 1500 m) is important for a realistic
280 representation of the lower thermocline and OMZs. Causes of the oxygen bias of the deeper water
281 masses are beyond the scope of this study but may be associated with regional (tropical) issues,
282 such as an improper parameterization of respiration (e.g a too deep remineralisation) (Kriest et al.,
283 2010), or a misrepresentation of deeper water masses.

284

285 3.2.2 Oxygen budget and processes

286 To assess the processes that drive the oxygen content of the (sub)tropical lower thermocline, we
287 analyzed the oxygen budget in NEMO2-REF and NEMO2-30S30N, NEMO30S30N1500M. The
288 budget is computed as an average between 500 and 1500m and shown in Fig 3g and Fig.4.

289

290 The oxygen budget is :

$$291 \frac{\delta O_2}{\delta t} = Adv_x + Adv_y + Adv_z + Diff_{Dia} + Diff_{Iso} + SMS$$

292 where Adv_x, Adv_y, Adv_z , are respectively the zonal, meridional and vertical advection terms, $Diff_{dia}$
293 and $Diff_{iso}$ are the diapycnal and isopycnal diffusion terms. SMS (Source Minus Sink) is the
294 biogeochemical component (i.e below the euphotic zone this is only respiration)

295

296 In NEMO2-REF, the physical oxygen supply is balanced by the respiration. The oxygen supply in
297 the model is divided into advection, i.e., oxygen transport associated with volume transport, and
298 isopycnal diffusion, i.e., subgrid scale mixing processes that homogenize oxygen gradients (Fig
299 4a). Diapycnal diffusion is comparatively small and can be neglected.

300

301 The supply of oxygen from the high latitudes toward the tropical interior ocean is constituted by
302 several processes acting concomitantly : isopycnal diffusion transfers oxygen from the oxygen-rich
303 gyres to the poor oxygenated regions (see Fig 1). The lower branches of the subtropical gyres
304 transport the oxygen from the eastern to the western part of the basin. Downwelling from the
305 oxygen-rich mixed layer supplies the interior of the subtropical gyres. At the equator, the EICS
306 transport westward oxygen-poor water originating in the eastern side of the basin (Fig 4a). The
307 meridional advection term transports oxygen originating from the subtropics in the tropical regions,
308 which is upwelled.

309

310 Forcing oxygen levels in NEMO2-30S30N at 30°S and 30°N creates an imbalance between
311 respiration (which remains identical in NEMO2-REF and NEMO2-30S30N) and supply. This
312 imbalance is most apparent in the tropics by an increase (south) or decrease (north) of isopycnal
313 diffusion (Fig 3g, Fig 4b. Changes in the advective terms are found along the equator: as the
314 vertical gradient of oxygen decreases (the intermediate ocean being more oxygenated), the vertical
315 supply from the upper ocean decreases in the south (increases in the north) subtropical gyre and
316 decreases at the equator (Fig 4b). The meridional oxygen gradient between the southern
317 subtropical gyre and the equator strengthens, and so does the meridional transport from the
318 subtropics to the equator, partly by the western boundary currents. The changes in zonal transport
319 are comparatively small.

320

321 In the experiment NEMO2-30S30N1500, in complement to the isopycnal propagation of the
322 subtropical anomaly, the deep (> 1500 m) oxygen anomaly is upwelled in the eastern equatorial
323 (500 – 1500 m) part of the basin (see Fig 3g). The transport due to advective terms strongly
324 increases, mostly due to an increase in vertical advection. This is consistent with the analysis by
325 Duteil (2019) who showed that vertical advection is the dominant process to supply oxygen from
326 the lower to the upper thermocline in the equatorial eastern Pacific Ocean in a similar NEMO2
327 configuration.

328

329 This simple set of experiments already shows that in climate models oxygen in the lower
330 thermocline (500 – 1500 m) tropical ocean are partially controlled by properties of IDW that enter
331 the tropics from higher latitudes. This presumably also applies to other (biogeochemical) tracers.
332 IDW oxygen propagates equatorward mostly by small scale isopycnal processes and the western
333 boundary currents. Further, upwelling in the tropics from deeper ocean layers (Pacific Deep Water,
334 partially mixed with the lower IDW) play an important role. We will examine more closely in the
335 following the representation and the role of the EICS in supplying oxygen toward the eastern
336 Pacific Ocean.

337

338 **4. Equatorial intermediate current system and oxygen transport**

339 4.1 Structure of the currents in the upper 2000 m in observations and models

340 The current structure of the models analyzed in this study (see section 2.1, Table 1) is shown in
341 Fig 5. In the mixed layer, the broad westward drifting South and North Equatorial Currents (SEC,
342 NEC) characterize the equatorial side of subtropical gyres. In the thermocline, the eastward flowing
343 equatorial undercurrent (EUC), flanked by the westward flowing south and north counter currents
344 are present in all models. This upper current structure is well reproduced (i.e the spatial structure
345 and intensity are consistent with observations) across the different models (see 2.1 “Model
346 analyzed”) compared to observations. Previous studies already discussed the upper thermocline
347 current structure in the GFDL models suite (Busecke et al., 2019), NEMO2 and NEMO05 (e.g
348 Izumo, 2005, Lübbecke et al., 2008), UVIC (Loeptien and Dietze, 2013); the upper thermocline will
349 not be further discussed in this study.

350

351 At intermediate depth, in the observations, a relatively strong (about 0.1 ms^{-1}) westward flowing
352 Equatorial Intermediate Current (EIC) is present below the EUC at about 400-600 m depth (Marin
353 et al., 2010). A complex structure of narrow and vertically alternating jets every 200 m, so-called
354 Equatorial Deep Jets (EDJ), extends below the EIC till 2000 m (Firing, 1987; Cravatte et al., 2012).
355 Laterally to the EIC, in the upper thermocline, the Low Latitude Subsurface Countercurrents
356 (LLSC) are observed. They include the North and South Subsurface Counter Currents (NSCC and
357 SSCC), located around $5^{\circ}\text{N}/5^{\circ}\text{S}$, and a series of jets between $5^{\circ}\text{N}/\text{S}$ and $15^{\circ}\text{N}/\text{S}$ (in particular the
358 Tsuchiya jets in the southern hemisphere, described by Rowe et al., 2000). Below the LLSCs, the
359 Low Latitude Intermediate Currents (LLICs) include a series of westward and eastward zonal jets
360 (500–1500-m depth range) alternating meridionally from 3°S to 3°N ; the North and South
361 Intermediate Countercurrents (NICC and SICC) flow eastward at 1.5° – 2° on both flanks of the
362 lower EIC. The North and South Equatorial Intermediate Currents (NEIC and SEIC) flow westward
363 at about 3° (Firing, 1987). A detailed schematic view of the tropical intermediate circulation is
364 shown in a recent review by Menesguen et al. (2019) and in Fig 1.

365

366 In coarse resolution models, the intermediate current system is not developed and sluggish (even
367 missing in UVIC and GFDL1). NEMO2 and NEMO05 display a “primitive” EICS as the LLCs are
368 not represented. High resolution models (GFDL025, GFDL01, NEMO01) display a more realistic
369 picture, even if the mean velocity is still weaker than in observations (smaller than $5 \text{ cm}\cdot\text{s}^{-1}$), where
370 it reaches more than 10 cm^{-1} at 1000 m (Ascani et al., 2010; Cravatte et al., 2017). An interesting
371 feature is that the jets are broader and faster in NEMO01 than in GFDL01. Possible causes
372 include a different wind forcing, mixing strength or topographic features as all these processes play
373 a role in forcing the intermediate jets (see the review by Menesguen et al., 2019). The intermediate
374 currents are less coherent vertically in NEMO01 than in GFDL01, due to their large temporal
375 variability in NEMO01. A strong seasonal and interannual variability of the EICS has been
376 observed that displays varying amplitudes and somewhat positions of the main currents/jets
377 (Firing, 1998; Gouriou et al., 2006; Cravatte et al., 2017). A clear observational picture of the EICS
378 variability is however not yet available. Outside the tropics (in particular south of 15°S), the interior
379 velocity pattern is similar in coarse and high resolution models, suggesting a similar equatorward
380 current transport at intermediate depth in the subtropics, in for instance NEMO05 and NEMO01.

381

382 4.2 Transport by the EICS

383 4.2.1 Tracer spreading towards the eastern tropical Pacific

384 We released a conservative tracer in the subtropical domain in well oxygenated waters (see 2.2.2)
385 in a coarse (NEMO05) and a high resolution configuration (NEMO01). The tracer does not have
386 sources or sinks and is advected and mixed as any other model tracer and allows to assess the
387 transport pathway of tracer (such as oxygen) from oxygenated waters into the oxygen deficient
388 eastern tropical Pacific.

389

390 The importance of the ventilation by the oxygen rich waters, and in particular the **IDW**, is illustrated
391 by the tropical tracer concentration after 50 years (Fig 6a) of integration (mean 2002-2007).
392 Concentrations decrease from the release location to the northern part of the basin, where the
393 lowest values (below 0.1) are located in NEMO05 and NEMO01. The 0.1 isoline is however
394 located close to the equator in NEMO05 while it is found around 7°N in NEMO01. This feature is
395 associated with a pronounced tongue of high tracer concentration (> 0.2) between 5°N and 5°S in
396 NEMO01. Such a tongue is absent in NEMO05. The enhanced tracer concentration in the equatorial
397 region suggests a stronger zonal equatorial ventilation in NEMO01.

398

399 The preferential pathways of transport are highlighted by the determination of the transit time it
400 takes for the tracer to spread from the oxygen rich regions to the tropical regions. We define a
401 threshold called $t_{10\%}$ when the tracer reaches a concentration of 0.1 (Fig 6b) (similar to the
402 approach of SenGupta and England, 2007). $t_{10\%}$ highlights a faster ventilation of the equatorial

403 regions in NEMO01 compared to NEMO05, as $t_{10\%}$ displays a maximum value of 10 (western
404 part) to 30 years (eastern part) between 5°N/5°S in NEMO01 compared to 30 years to more than
405 50 years in NEMO05. The southern “shadow zone” is well individualized in NEMO01 compared to
406 NEMO05 as the oxygen levels are high in the equator in NEMO01, suggesting a strong transport
407 by the EICS. The value of $t_{10\%}$ increases linearly at intermediate depth at 100°W in NEMO05 from
408 20°S to the equator, suggesting a slow isopycnal propagation (consistent with the experiments
409 performed using NEMO2 in part 3.2). Conversely, the tracer accumulation is faster in the equatorial
410 regions than in the mid-latitudes in NEMO01, suggesting a large role of advective transport, which
411 is faster than the transport by diffusive processes.

412

413 4.2.2 Equatorial lower thermocline water mass origin

414 Lagrangian particles (see 2.2.3) allow us to understand the origin of the waters in the lower
415 thermocline. They also allow us to disentangle the transport of the resolved currents of the EICS
416 (advection) from subgrid scale mixing processes, i.e. to assess the processes responsible for the
417 equatorial ventilation. Two releases R1 and R2 have been performed in the eastern and western
418 part of the basin in order to assess the equatorial circulation in NEMO05 and NEMO01. A depth
419 horizon of 1000 m has been chosen as it is a depth where the equatorial intermediate current
420 system is relatively well developed in high resolution models and basically absent in coarse models
421 (see Fig 5). Our results are not sensitive to the choice of another depth horizon in the range of 500
422 - 1500 m

423

424 The release R1 (100°W, 5°N-5°S, 1000 m depth) is located in the larger intermediate eastern
425 tropical pacific (IETP) ocean region (160°W – coast / 10°N-10°S / 200 – 2000 m). The particles
426 originate close to the region of release (IETP) in 60 % of the cases in NEMO05 and 50 % of the
427 cases in NEMO01, at a time scale of 50 years (Fig 7a and 8b). In NEMO05, after 50 years, the
428 particles originating outside the IETP come either from the upper (0 – 200 m) ocean (5 %), deep
429 (> 2000 m) ocean (1%), higher (> 10°) latitudes (23 %), western (west of 160°W) part of the basin
430 (21 %) (Fig 8d). The largest difference between NEMO05 and NEMO01 is the much larger amount
431 of particles originating from the deep ocean in NEMO01 (8 % in NEMO01), suggesting the
432 presence of vertical recirculation cells at intermediate depths. Despite the stronger EICS in
433 NEMO01, the amount of particles originating from the western part of the basin is nearly identical
434 in NEMO01 and NEMO05 after 50 years of integration. The advection processes are however
435 faster in NEMO01, in particular the zonal advection. The relative difference between NEMO05 and
436 NEMO01 is particularly strong 15 years after the release (approximately corresponding to the $t_{10\%}$
437 at 1000 m at the equator in NEMO01), as already 10 % of the particles originate outside the IETP,
438 in regions where the oxygen levels are high, in NEMO01 while this fraction is close to 0 in
439 NEMO05.

440

441 The second release R2 (160°E, 5°N-5°S, 1000 m depth) is located in the intermediate western
442 tropical pacific (IWTP) ocean region (160°W – coast / 10°N-10°S / 200 – 2000 m) (Fig 7b). After 50
443 years, all the particles originate outside of the IWTP in NEMO01 (Fig 8c) (50 % originate in the
444 eastern basin, 23 % in the deep ocean, 24 % outside the equatorial band, 3 % in the upper 200 m)
445 (Fig 8e) while only 70 % of the particles originate outside the IWTP in NEMO05 (39 % in the
446 eastern basin, 27 % outside the equatorial band, 2 % in the deep ocean and 2 % in the upper
447 ocean).

448

449 The Lagrangian experiments show a generally stronger ventilation at intermediate depth in
450 NEMO01 due to the EICS, which reinforces the connections between western / eastern part of the
451 basin and the thermocline / deep ocean.

452

453 4.3 Model resolution and oxygen levels

454 Our analyses above permit to better understand the distribution of the oxygen levels at the equator
455 in a suite of models characterized by an increasing resolution, such as the GFDL model suite. We
456 decided to focus on the GFDL model suite here as they only differ by their resolution (same
457 biogeochemical model). The striking difference between GFDL01 and GFDL025 / GFDL1 are the
458 high oxygen levels in the eastern part of the ocean below 1000 m in GFDL01 compared to
459 GFDL025/GFDL1 (Fig 2). The oxygen levels are also more homogeneous zonally in GFDL01, with
460 a weaker east/west gradient, consistent with the tracer experiment that we performed in 4.2. The
461 oxygen distribution fits with the mean kinetic energy of the intermediate currents below 1000 m (Fig
462 9a), especially in the eastern part of the basin (Fig 9b). Resolving the EICS results in a similar
463 distribution as what Getzlaff and Dietze (2013) (GD13) achieved with a simple parameterization of
464 the EICS (Fig 9a). To compensate for the “missing” EICS in coarse resolution models, they
465 enhanced anisotropically the lateral diffusivity in the equatorial region. The oxygen levels from UVIC
466 GD13 are shown in blue contours on top of the UVIC oxygen distribution in Fig 9. Implementing
467 this approach tends to homogenize oxygen levels zonally, with an increase of the mean levels by
468 30-50 mmol.m⁻³ in the eastern basin and a decrease of oxygen concentrations in the western
469 basin.

470

471 A possibly not intuitive feature is that the oxygen levels are relatively similar in GFDL025 and
472 GFDL1, while the current system is relatively similar in GFDL025 and GFDL01 (see Fig 5 and Fig
473 9). An explanation lies in the relatively small net balance between large fluxes of respiration and
474 oxygen supply (Duteil et al., 2014). If the supply is slightly higher compared to the consumption by
475 respiration, it will lead to an increase of oxygen concentration. If it is slightly lower, the oxygen
476 levels will decrease. A small difference in supply (e.g slightly weaker currents) may therefore lead

477 to a large difference in oxygen levels when integrated over decades. For this reason, the impact of
478 the EICS is more visible below 1000 m as the respiration decreases following a power law with
479 depth (Martin et al., 1987) and is therefore easier to offset even by a moderate oxygen supply.

480

481 The experiments discussed in 4.2 were not coupled with biogeochemical cycles for computational
482 cost reasons. In order to assess the robustness of our findings (EICS plays a large role in setting
483 tropical oxygen levels), we next analyze equatorial oxygen in a set of climate models similar to
484 CMIP models. To this end we use the GFDL model suite, characterized by a resolution increase
485 (GFDL1, GFDL025 and GFDL01 - see Table 1).

486

487 The striking difference between GFDL01 and GFDL025 / GFDL1 are the high oxygen levels in the
488 eastern part of the ocean below 1000 m in GFDL01 compared to GFDL025/GFDL1 (Fig 2). The
489 oxygen levels show weaker zonal gradient in GFDL01, consistent with the tracer experiment that
490 we performed in 4.2. and a more ventilated intermediate equatorial ocean. High values of mean
491 kinetic energy are associated with higher oxygen values (Fig 9). This is particularly clear in
492 GFDL01 at around 1500 m depth, where strong values of MKE are present and form the “bottom”
493 of the low oxygen volume (oxygen lower than 50 mmol.m⁻³). Conversely GFDL025 and GFDL1 do
494 not present high MKE values below 1000 m in the eastern part of the basin; the low oxygen volume
495 extends till depths greater than 2000 m. It suggests that intermediate currents participate in the
496 ventilation of the eastern tropical ocean and thus in limiting the vertical extension of the OMZ.

497

498 Oxygen levels do not increase linearly with the currents strength, i.e while currents strength
499 increase in GFDL1, GFDL025 and GFDL01, oxygen levels are relatively similar in GFDL1 and
500 GFDL025 (see Fig 5 and Fig 9). The relatively small net balance between large fluxes of
501 respiration and oxygen supply (Duteil et al., 2014) may be responsible for this behavior. If the
502 supply is slightly higher compared to the consumption by respiration, it will lead to an increase of
503 oxygen concentration. If it is slightly lower, the oxygen levels will decrease. A small difference in
504 supply (e.g slightly weaker currents) may therefore lead to a large difference in oxygen levels when
505 integrated over decades. For this reason, the impact of the EICS is more visible below 1000 m as
506 the respiration decreases following a power-law with depth (Martin et al., 1987) and is therefore
507 easier to offset even by a moderate oxygen supply.

508

509 Resolving explicitly the EICS results in a similar oxygen distribution to what Getzlaff and Dietze
510 (2013) (GD13) achieved with a simple EICS parameterization (Fig 9a): to compensate for the
511 “missing” EICS in UVIC, a coarse resolution model, they enhanced anisotropically the lateral
512 diffusivity in the equatorial region. The oxygen levels from UVIC GD13 are shown in blue contours
513 on top of the UVIC oxygen distribution (black) in Fig 9. Implementing this approach tends to

514 homogenize oxygen levels zonally, with an increase of the mean levels by 30-50 mmol.m⁻³ in the
515 eastern basin and a decrease of oxygen concentrations in the western basin. While this approach
516 may be useful to better represent the oxygen mean state, it however does not take in account the
517 potential variability and future evolution of the EICS.

518

519 **5. Summary and conclusions**

520 Intermediate Depth Waters (IDW) are subducted in the Southern Ocean and transported
521 equatorward to the tropics by isopycnal processes (Sloyan and Kamenskovich, 2007; Sallee et al.,
522 2013; Meijers, 2014). At lower latitudes they recirculate into the lower thermocline of the tropical
523 regions at 500 - 1500 m and into the EICS (Zenk et al., 2005; Marin et al., 2010; Cravatte et al.,
524 2012; 2017; Ascani et al., 2015; Menesguen et al., 2019) (see schema Fig 1). We show here that
525 the representation of this ventilation pathway is important to take into account when assessing
526 tropical oxygen levels and the extent of the OMZ in coupled biogeochemical circulation or climate
527 models. Particularly, we highlight two critical, yet typical, biases that hamper the correct
528 representation of the tropical oxygen levels.

529

530 5.1 Subducted IDW properties and tropical oxygen

531 First, the current generation of climate models, such as the CMIP5 models, show large deficiencies
532 in simulating IDW. Along with an unrealistic representation of IDW volume and properties when the
533 waters enter the subtropics, the models also lack the observed prominent oxygen maximum
534 associated with IDW. Restoring oxygen levels to observed concentrations at 30°S/30°N and at
535 1500 m depth in a coarse resolution model, comparable to CMIP5 climate models in terms of
536 resolution and oxygen bias, shows a significant impact on the lower thermocline (500 – 1500 m)
537 oxygen levels: a positive anomaly of 60 mmol.m⁻³ at midlatitudes translates into an oxygen
538 increase by 10 mmol.m⁻³ in tropical regions after 50 years of integration.

539

540 The equatorward transport of the anomaly in the subtropics is mostly due to isopycnal subgrid
541 scale mixing processes as shown by the NEMO2 budget analysis. It suggests that mesoscale
542 activity plays a major role in transporting IDW equatorward. In addition subsurface eddies may
543 transport oxygen westward from the eastern Pacific ocean toward the mid-Pacific ocean region
544 (Frenger et al., 2018, see their Fig 2).

545

546 5.2 IMW transport and Equatorial Intermediate Current System

547 Second, the Equatorial Intermediate Current System (EICS) is not represented in coarse
548 resolution models and only poorly represented in high resolution ocean circulation models (0.25°
549 and 0.1°), as its strength remains too weak by a factor of two (consistent with previous studies, e.g
550 Ascani et al., 2015). The EICS transports the IDW that occupies the lower thermocline (500 – 1500

551 m depth) and the recirculation of the IDW in the tropical ocean, as suggested by the observational
552 study of Zenk et al. (2005), and shown in our study.

553

554 We investigated the impact of the EICS on the oxygen supply with tracer release experiments: the
555 concentration of a conservative tracer that originates from the subtropical ocean, is, after 50 years,
556 30 % higher in the eastern equatorial (5°N-5°S) Pacific in an ocean model with 0.1° resolution,
557 compared to an ocean model with 0.5 ° resolution. As the oxygen gradient along the equator is
558 similar to the gradient of the conservative tracer, we assume a similar enhancement of oxygen
559 supply by 30 % in the eastern equatorial Pacific at the same time scale. This means, if we account
560 for oxygen consumption due to respiration (about 1 mmol.m⁻³.yr⁻¹ between 5°N-5°S, see section
561 3.2), that the better resolved EICS in the higher resolution ocean leads roughly to higher
562 intermediate oxygen levels of 15 - 30 mmol.m⁻³ compared to the lower resolution ocean experiment
563 in a timescale of 50 years. Consistently, the 0.1°-ocean GFDL01 model displays oxygen
564 concentrations larger by about 30 mmol.m⁻³ in the eastern equatorial lower thermocline (500-1500
565 m) compared to the 1°-ocean GFDL1 configuration (with higher subtropical oxygen concentrations
566 of IWM of 15 mmol.m⁻³ in GFDL01 at 30°S)

567

568 We would like to highlight two potential implications of our finding of the important role of the EICS
569 for the Pacific eastern tropical oxygen supply: i) First, we have shown that the intermediate current
570 system EICS is important for the connection between the western and eastern Pacific Ocean at a
571 decadal / multidecadal time scale. This suggests that the EICS modulates the mean state and the
572 variability of the tropical oxygen in the lower thermocline, and subsequently the whole water
573 column by upwelling of deep waters. ii) Second, we have found an enhancement of the
574 connections between the equatorial deep ocean (> 2000 m) and the lower thermocline if the
575 resolution of a model is enhanced. This result is consistent with the studies of Brandt et al. (2011,
576 2012), who suggested, based on observational data and on an idealized model, that Equatorial
577 Deep Jets as part of the EICS (see Fig 1b) propagate their energy upward and impact the upper
578 ocean properties of the ocean, including their oxygen content. Taken this into account, we
579 hypothesize that the Pacific Deep Water has a larger role than previously thought in modulating the
580 intermediate and upper ocean properties.

581

582 A pragmatic approach to account for the missing EICS is to increase diffusion anisotropically, with
583 increased zonal mixing in the tropics (Getzlaff and Dietze, 2013). This parameterization mimics a
584 more vigorous EICS and improves the simulated shape of the OMZ in climate models. However,
585 the prominent bias of IDW in climate models, and therefore of the water masses entering the EICS
586 is not accounted for with this parameterization. Furthermore such a parameterization improves the
587 mean state but does not reproduce the variability of the EICS.

588

589 5.3 Implication for biogeochemical cycles

590 The **IDW** are an important important supplier of oxygen to the tropical oceans, but also of nutrients
591 (Palter et al., 2010) as well as anthropogenic carbon (e.g Kathiwala et al., 2012), which
592 accumulates in mode and intermediate waters of the Southern Ocean (Sabine et al., 2004;
593 Resplandy et al., 2013). The mechanisms that we discussed here may therefore play a role in
594 ocean carbon climate feedbacks on time scales of decades to a century.

595

596 This study shows that there is a need to look with greater care into IDW properties to understand
597 the tropical oxygen distribution in models, in particular in CMIP class models. As shown by
598 Kwiatkowski et al. (2020), CMIP6 models (typical horizontal resolution of 1°) do not agree on the
599 future change in tropical oxygen levels (mean 100 – 600m, their Fig 2). This may partly originate in
600 a misrepresentation of the properties of the IDW in the different models and the strength of the
601 connection between western and eastern Pacific Ocean. Simple analyses, similar to our Fig 2
602 (oxygen levels at 30°S and oxygen levels in the eastern tropical Pacific) and Fig 9 (Mean Kinetic
603 Energy at intermediate depth) may give some insight into the mechanisms at play. In addition,
604 analyses of experiments performed in the context of the High Resolution Model Intercomparison
605 Project (resolution greater than 0.25°) (Haarsma et al., 2016), part of CMIP6, will give a more
606 complete insight on whether a significant Equatorial Intermediate Current System develops at
607 higher resolution. While HighResMIP are not coupled with a biogeochemical module, velocity fields
608 are available at a monthly resolution, which allows to perform “offline” tracer or Lagrangian particle
609 experiments.

610

611 Finally, this study suggests that changes of the properties of the **IDW** may contribute to the still
612 partly unexplained deoxygenation of 5 mmol.m⁻³ / decade occurring in the lower thermocline of the
613 equatorial eastern Pacific Ocean (Schmidtko et al., 2017; Oschlies et al., 2018). In addition to an
614 oxygen decrease in tropical regions, Schmidtko et al. (2017) showed a decrease of oxygen levels
615 by 2-5 mmol.m⁻³ in the regions of formations of AAIW. Based on repeated cruise observations,
616 Panassa et al. (2018) highlighted an increase of the apparent oxygen utilization in the core of the
617 AAIW, together with a 5 % increase in nutrient concentrations from 1990 to 2014. The transport of
618 this modified AAIW, poorer in oxygen and richer in nutrients, toward the low latitudes both by small
619 scale processes (section 3) and at the equator by the EICS (section 4), may explain a significant
620 part of the occurring deoxygenation in the equatorial ocean. In addition to changes in the AAIW
621 properties, little is known about the variability and long term trend of the strength of the EICS, an
622 oceanic “bridge” between the western and the eastern part of the basin. After our first steps toward
623 assessing the role of extratropical oxygen characteristics and the zonal transport of waters at
624 intermediate depths for tropical oxygen concentration, a possible way forward to further assess this

625 cascade of biases could be to perform idealized model experiments in high resolution
626 configurations, aiming to assess both the effect of the observed change in the AAIW properties and
627 of a potential change of EICS strength on oxygen levels.

628

629 **Data and code availability**

630 The code for the Nucleus for European Modeling of the Ocean (NEMO) is available at:
631 <https://www.nemo-ocean.eu/>. The code for the University of Victoria (UVIC) model is available
632 at [:http://terra.seos.uvic.ca/model/](http://terra.seos.uvic.ca/model/). The Lagrangian particles ARIANE code is available at
633 <http://stockage.univ-brest.fr/~grima/Ariane/>. The Coordinated Ocean-ice Reference Experiments
634 (COREv2) dataset is available at: <https://data1.gfdl.noaa.gov/nomads/forms/core/COREv2.html>.
635 The experiments data is available on request.

636

637 **Authors contributions**

638 OD conceived the study, performed the NEMO model and ARIANE experiments and analyzed the
639 data. IF preprocessed and helped to analyze the GFDL data. JG preprocessed and helped to
640 analyze the UVIC data. All authors discussed the results and wrote the manuscript.

641

642 **Competing interest**

643 The authors declare that they have no conflict of interest.

644

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657

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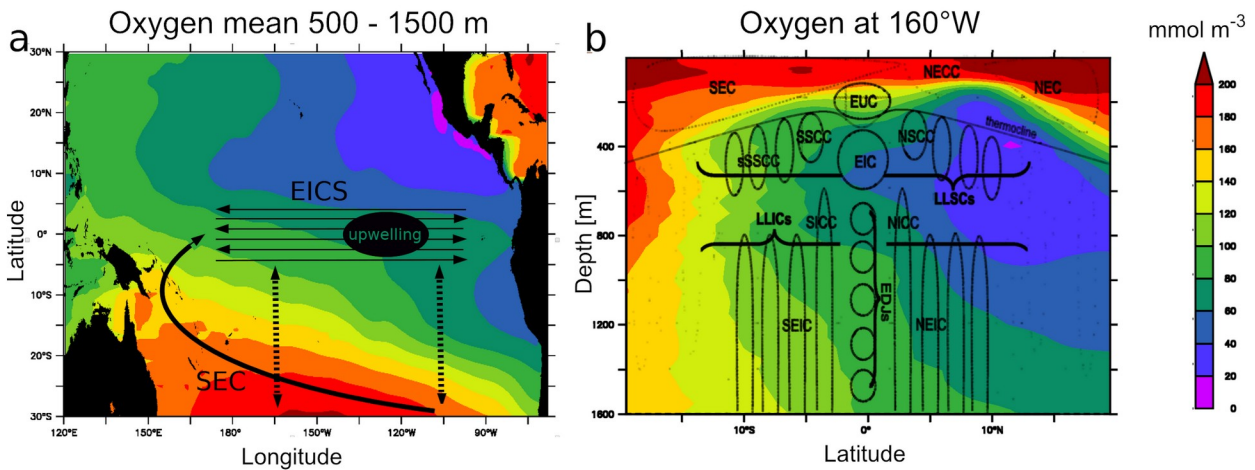
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Figures and Table



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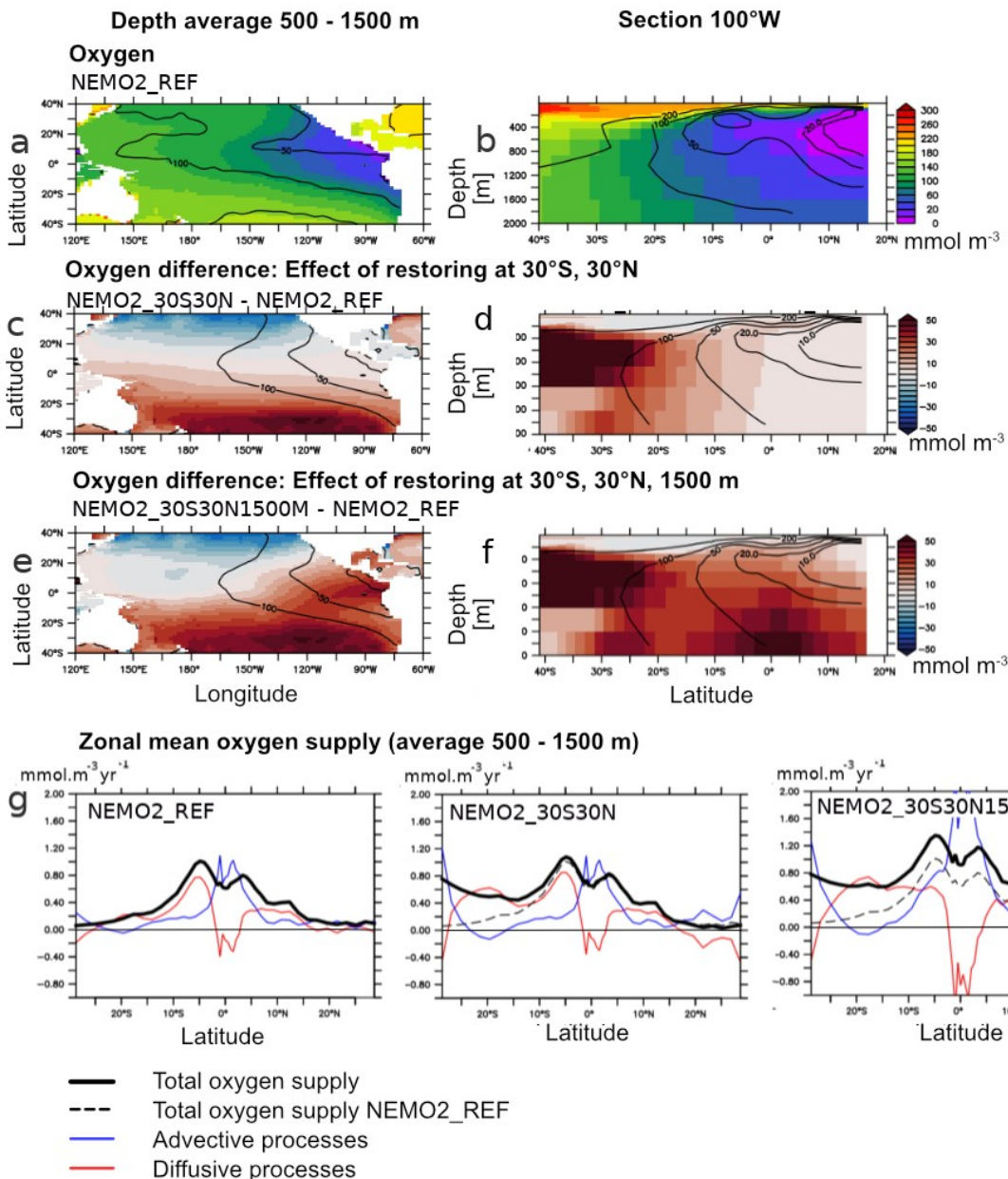
895 Figure 1 : a- schema summarizing the intermediate water masses (IWM) pathway from the
 896 subtropics into the equatorial regions. EICS : Equatorial Intermediate Current System. SEC : South
 897 Equatorial Current. Dashed line : isopycnal diffusive processes. Observed (World Ocean Atlas)
 898 oxygen levels ($\text{mmol}\cdot\text{m}^{-3}$) in the lower thermocline (mean 500-1500m) are represented in color. b -
 899 schema (adapted from Menesguen et al., 2019) illustrating the complexity of the EICS, extending
 900 below the thermocline till more than 2000 m depth (see section 4.1 for a detailed description).
 901 Observed (World Ocean Atlas) oxygen levels at 160°W are represented in color. SEC : South
 902 Equatorial Current. N/SEC : North/South Equatorial Current. NECC: North Equatorial Counter
 903 Current. EUC : Equatorial Undercurrent. EIC : Equatorial Intermediate Current. N/SSCC : North /

904 South Subsurface Counter Current. LLSC : Low Latitude Subsurface Currents. LLIC : Low
 905 Latitudes Intermediate Currents. N/SEIC : North / South Equatorial Intermediate Current. N/SICC :
 906 North / South Intermediate Current. EDJ : Equatorial Deep Jets.

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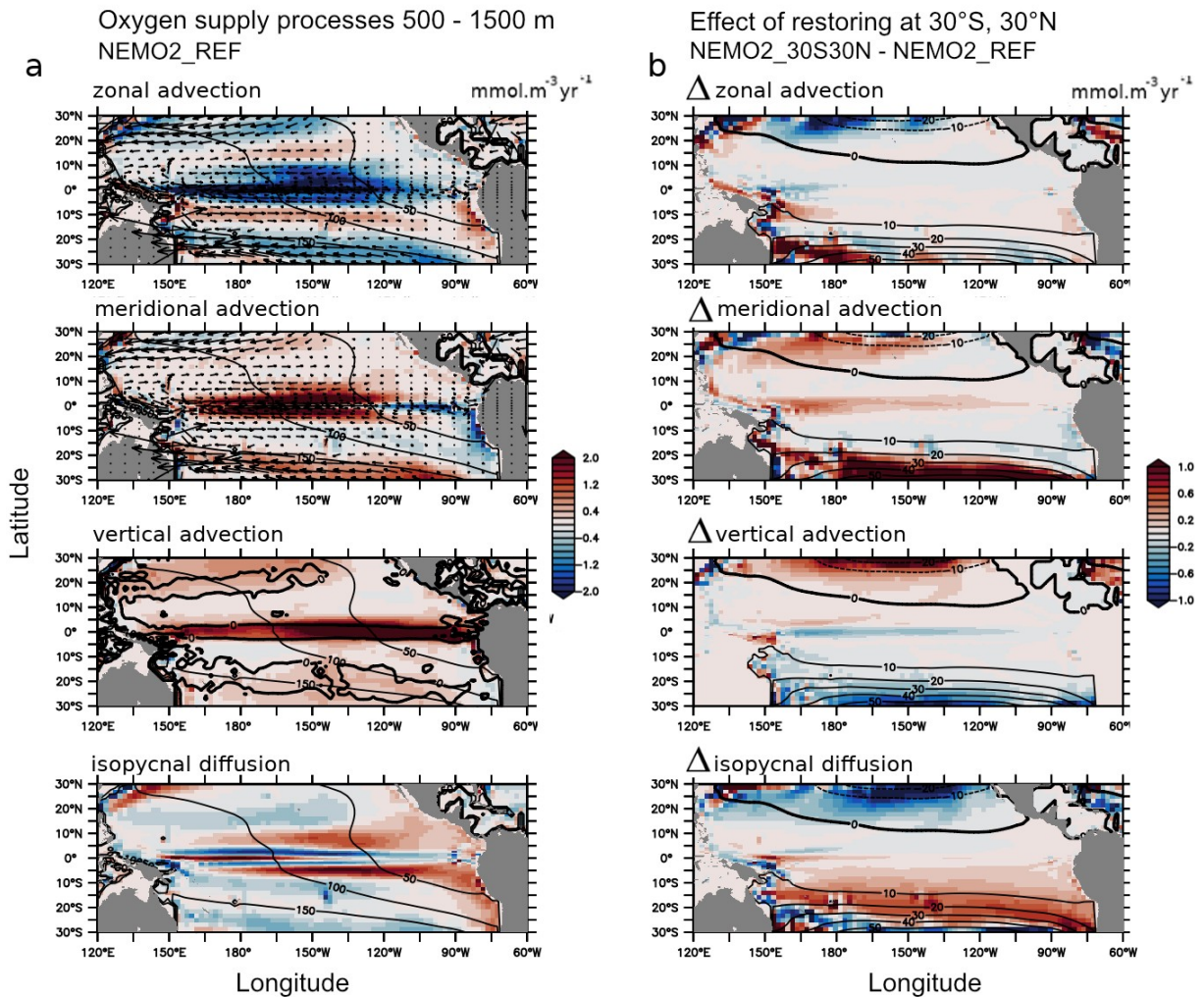
914 Figure 2 : a- oxygen levels (mmol.m^{-3}) in observations (World Ocean Atlas - WOA) (mean 500 –
 915 1500 m) and models (UVIC, NEMO2, GFDL1, GFDL025, GFDL01). Contours correspond to WOA
 916 values. b: average “30°S” (120°E-65°W, 30°S) c : average “tropics” (160°W-coast, 20°N-20°S). d:
 917 average “30°S” vs “tropics”. e: average “30°S” vs volume of tropical suboxic ocean (oxygen lower
 918 than 20 mmol.m^{-3}) regions ($1e15\text{m}^3$). b-e : UVIC : black, NEMO2 : cyan, GFDL1 : red, GFDL025,
 919 green; GFDL01 : blue, WOA: bold line (b,c) and star (d,e).

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921 Figure 3 : a,b: Oxygen (mmol.m^{-3}) in the experiments NEMO2_REF (color) and World Ocean Atlas
 922 (contour) (a- average 500-1500 m, b- 100°W). c,d: Oxygen (mmol.m^{-3}) difference (c- average 500 –
 923 1500m, d- 100°W) between the experiments NEMO2_30S30N minus NEMO2_REF. e,f : Oxygen
 924 (mmol.m^{-3}) difference (e- average 500-1500m, f- 100°W) between the experiments
 925 NEMO2_30S30N1500M minus NEMO2_REF. g- basin zonal average (average 500 - 1500 m) of
 926 the oxygen total supply (bold) ($\text{mmol.m}^{-3}.\text{year}^{-1}$), advective processes (blue) and isopycnal diffusion
 927 (red) in NEMO2_REF, NEMO2_30S30N, NEMO2_30S30N1500M. The dashed line is the oxygen
 928 total supply in NEMO2_REF.

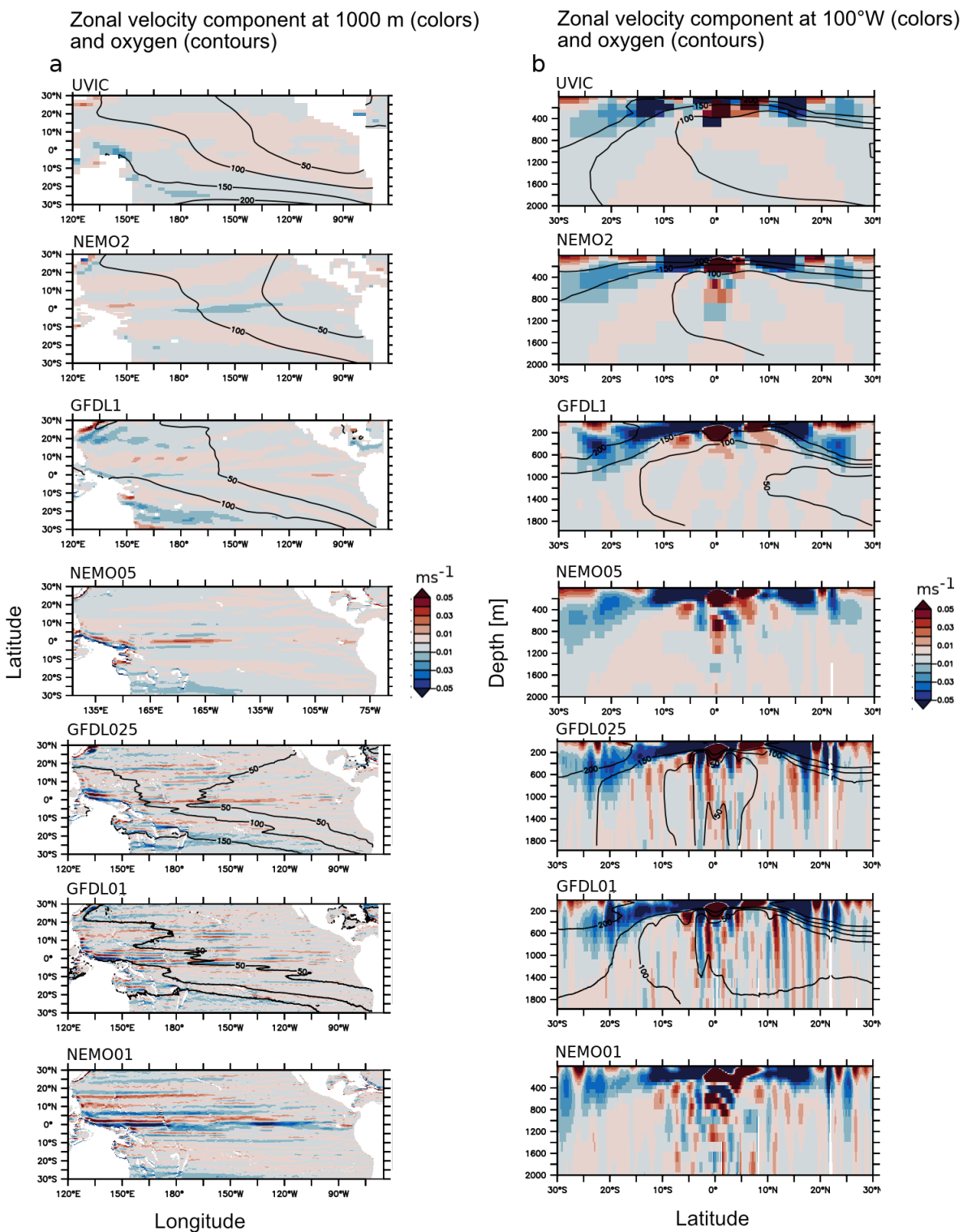
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931 Figure 4 : a- Oxygen supply processes ($\text{mmol.m}^{-3}.\text{year}^{-1}$ – average 500 - 1500m) in NEMO2_REF :
 932 zonal advection, meridional advection, vertical advection, isopycnal diffusion. The mean meridional
 933 and zonal currents are displayed as vectors (meridional, zonal advection). The mean vertical
 934 current (0 isoline) is represented as bold contour (vertical advection). Oxygen levels (mmol.m^{-3})
 935 are displayed in black contour. b- Difference in oxygen supply processes ($\text{mmol.m}^{-3}.\text{year}^{-1}$ –
 936 average 500-1500m) between NEMO2_30S30N and NEMO2_REF : zonal advection, meridional

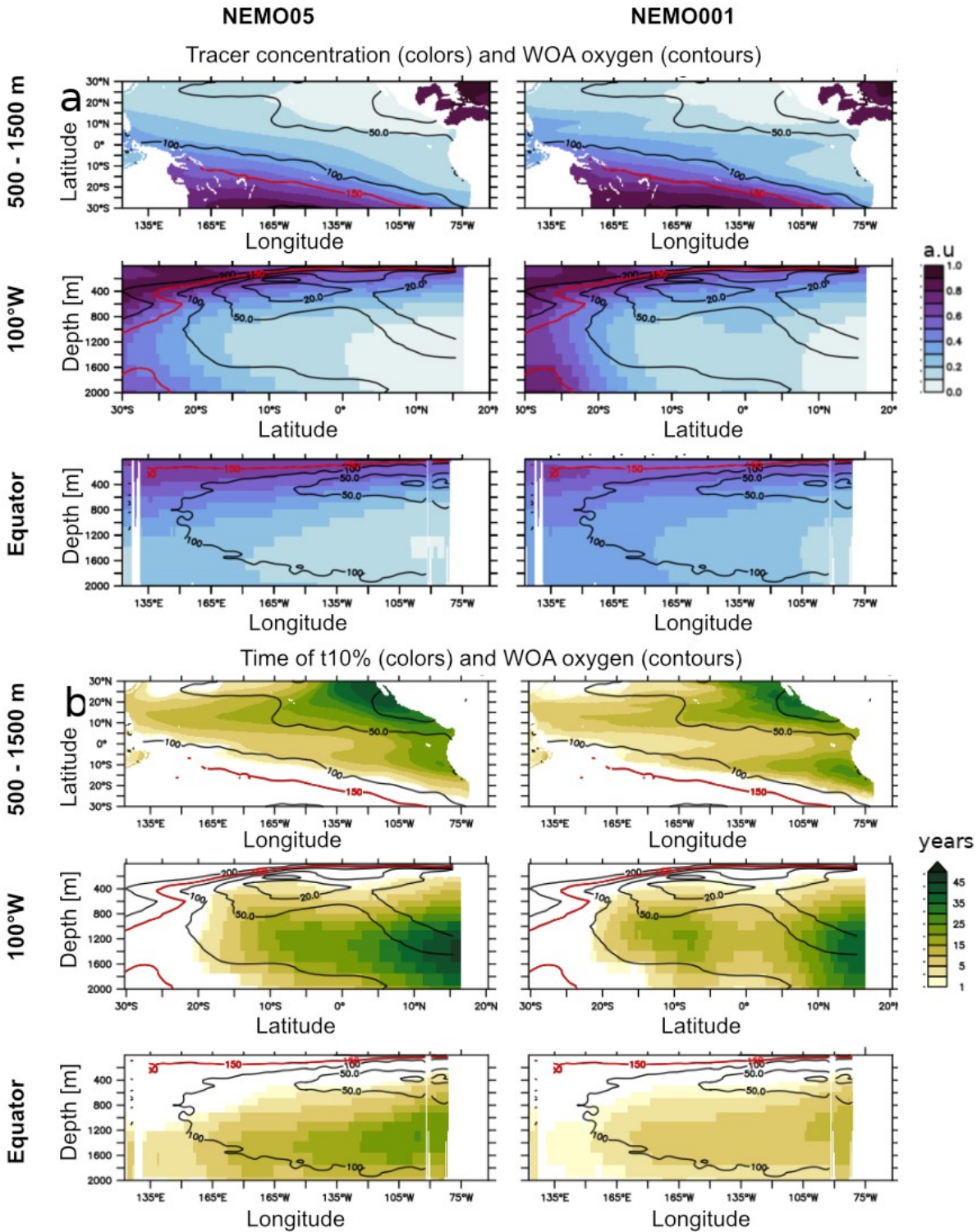
937 advection, vertical advection, isopycnal diffusion. The NEMO2_30S30N – NEMO2_REF oxygen
 938 anomaly (mmol.m^{-3}) is displayed in contour.

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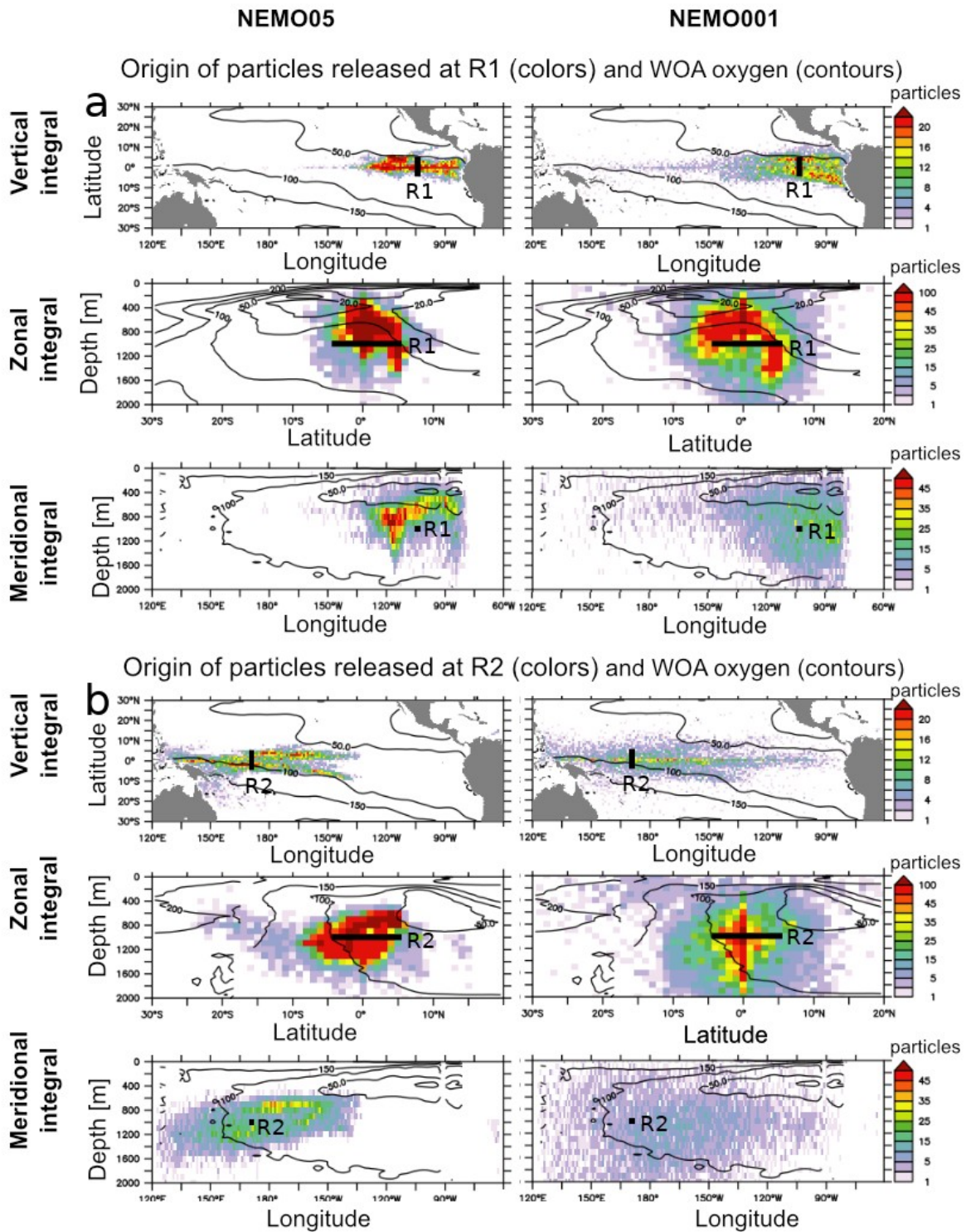
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944 Figure 5 : mean currents velocity (ms^{-1}) at a- 1000 m depth b- 100°W in UVIC, NEMO2, NEMO05,
 945 GFDL025, GFDL01, NEMO01. The mean oxygen levels (mmol.m^{-3}) (when coupled circulation-
 946 biogeochemical experiments have been performed – see Table 1) are displayed in contour.
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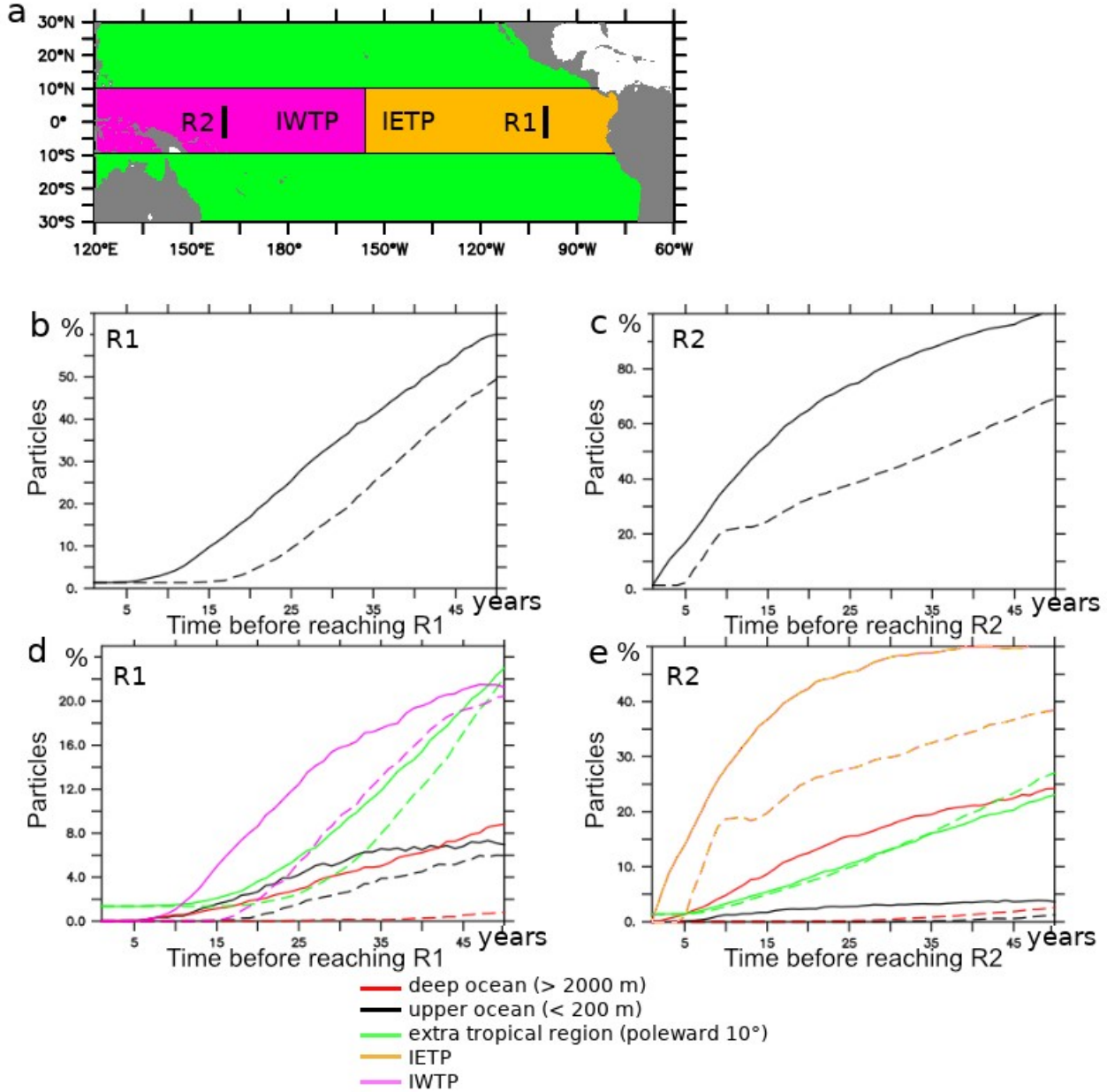
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 949 Figure 6: a : tracer concentration (arbitrary unit) after 60 years integration in NEMO05 and
 950 NEMO01: average 500-1500m, section 100°W , equatorial section. b: Time (years) at which the

951 released tracer reaches the concentration 0.1 (t10%) in NEMO05 and NEMO01: average 500-
 952 1500m, section 100°W, equatorial section. In all the subpanels, the WOA oxygen levels are
 953 displayed in contour. The red contour is the WOA 150 mmol.m⁻³ oxygen isoline, used to initialize
 954 the tracer level.



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 956 Figure 7 : Density (number of particles in a 1°x1°x100m depth box) distribution of the location of
 957 released Lagrangian particles (15 years backward integration starting from the final experiment

958 state) in NEMO05 and NEMO01. The release location is identified in bold and is located a- at
 959 100°W/5°N-5°S/1000 m depth (R1). b- at 160°E/5°N-5°S/1000 m depth (R2). The particles have
 960 been integrated vertically, zonally and meridionally. The observed mean oxygen levels (WOA) are
 961 displayed in contour.
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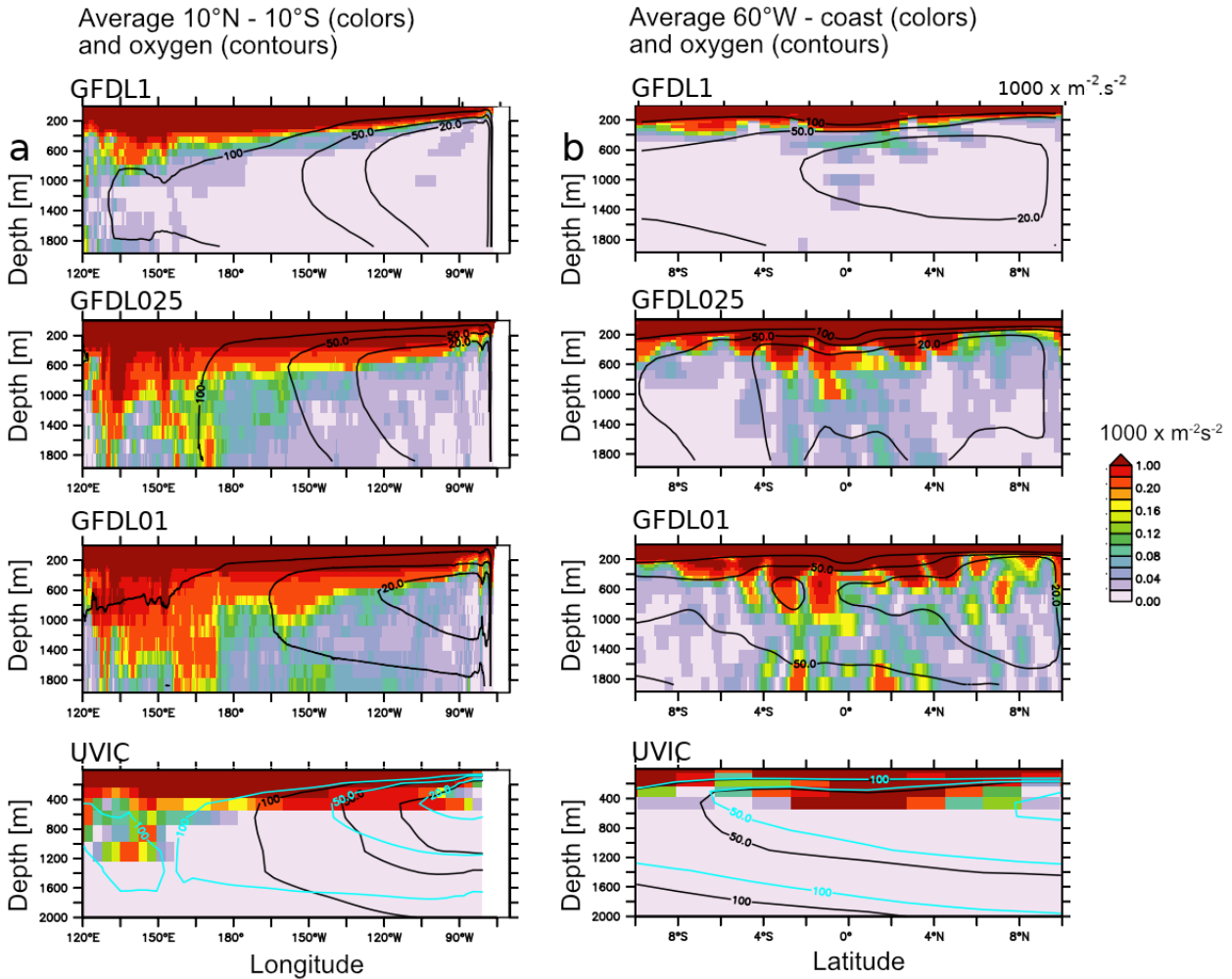
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965 Figure 8 : a- schema summarizing the releases (R1: 100°W / 5°N-5°S / 1000 m , R2: 160°E /
 966 5°N-5°S / 1000 m) location, the IETP (Intermediate Eastern Tropical Pacific), IWTP (Intermediate
 967 Western Tropical Pacific) regional extension. b. percentage of particles (release R1) originating
 968 from outside the IETP ocean region. b- percentage of particles (release R2) originating from
 969 outside the IWTP ocean region. d- percentage of particles (release R1) originating from the upper
 970 ocean (shallower than 200 m), the deeper ocean (deeper than 2000 m), subtropical regions

971 (poleward 10°), the IWTP. e- percentage of particles (release R2) originating from the upper ocean
 972 (shallower than 200 m), the deeper ocean (deeper than 2000 m), subtropical regions (poleward
 973 10°), the IETP.
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Mean kinetic energy



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 978 Figure 9 : a - Mean Kinetic Energy ($m^2.s^{-2} \times 1000$) (average 10°N-10°S) in GFDL01, GFDL025,
 979 GFDL01, UVIC, b - similar to a. but average 160°W- coast. Oxygen levels ($mmol.m^{-3}$) are displayed
 980 in black contour. The blue contour corresponds to UVIC GD13 (Getzlaff and Dietze, 2013,
 981 including an anisotropical increase of lateral diffusion at the equator)

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Table 1 :

Model	Resolution	Atmosphere	Integration (years)	BGC	Model Reference (circulation)	Model Reference (BGC)
Mean state comparison						
UVIC	2.8°	Coupled (temperature, humidity) Forced (NCEP/NCAR wind stress)	10000	UVIC-BGC	Weaver et al., 2001	Keller et al., 2012
NEMO2	2° (0.5 eq)	Forced COREv2 "normal year"	1000	NPZD-O2	Madec et al., 2015	Kriest et al., 2010 Duteil et al., 2014
GFDL1	1°	Coupled	190	BLING	Delworth et al., 2012, Griffies et al., 2015	Galbraith et al., 2015
GFDL025	0.25 °	Coupled	190	BLING		
GFDL01	0.1°	Coupled	190	BLING		
Process oriented experiments						
Model	Resolution	Atmosphere	Integration (years)	BGC	Characteristics	
NEMO2-REF -30N30S -30N30S1500M (section 2.2.1)	2° (0.5 eq)	Forced COREv2 1948-2007	60	NPZD-O2	<ul style="list-style-type: none"> - control experiment - O2 restoring to WOA at 30°N/30°S - O2 restoring to WOA at 30°N/30°S/1500m 	
NEMO05 (section 2.2.2)	0.5°	Forced COREv2 1948 - 2007	60	Tracer release	<ul style="list-style-type: none"> - Tracer initialized to 1 (O2 WOA > 150 mmol.m-3) or 0 (O2 WOA < 150 mmol-m-3) 	
NEMO01 (section 2.2.2)	0.1°	Forced COREv2 1948 – 2007	60	Tracer release		

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Annex A

The differences in oxygen levels between the “models groups” (GFDL suite, UVIC, NEMO2) are partly related to differences in the atmospheric fields employed and the integration time (see 2).

1. Wind forcing

Zonal wind mean stress typically varies by 5 to 20 % between the different wind products (Chauduri et al., 2013). To test this impact, we performed an experiment using the UVIC model using 2 different wind products (NCEP and COREv2 – Large and Yeager, 2009) (Figure A1). While the shape of the OMZ shows slight differences, the volume of the OMZ and the mean oxygen levels in the tropical regions and in the mid latitudes are similar. Consistent with the Figure 2, higher oxygen levels at 30°S lead to higher oxygen levels in the tropical ocean and to a smaller OMZ volume (Figure A2)

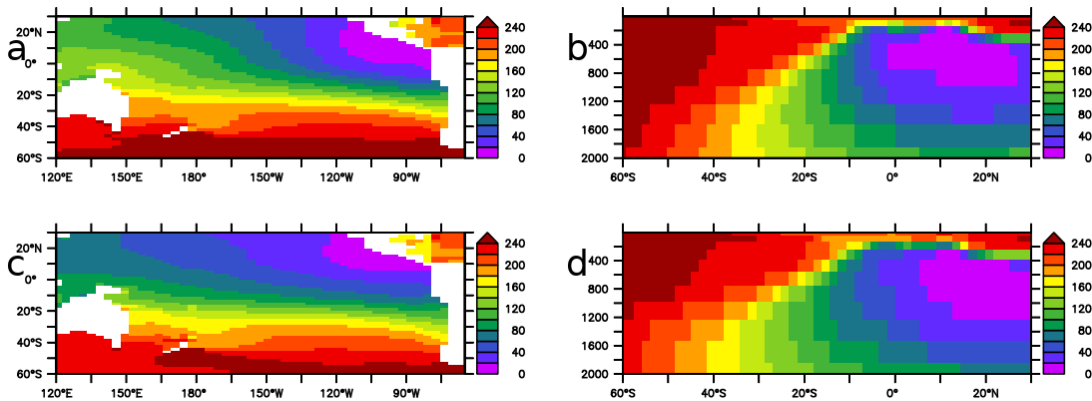


Figure A1 : Oxygen levels in UVIC (10000 years integration) a- mean 500-1500 m forcing NCEP. b- section 120°W forcing NCEP. c- mean 500-1500 m forcing COREv2, d- section 120°W forcing COREv2.

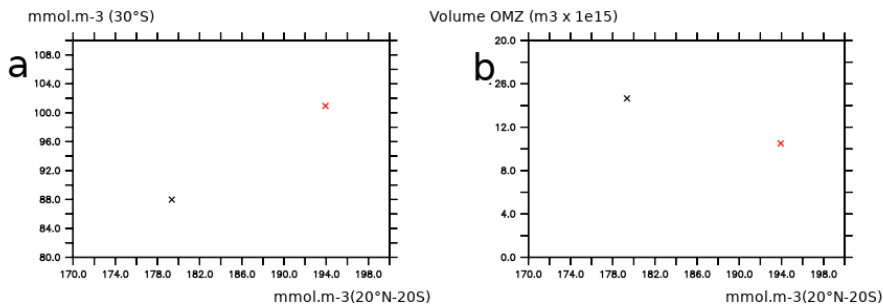


Figure A2 : a - Oxygen levels in UVIC (10000 years integration) at 30°S (zonal mean in the Pacific Ocean from surface to 2000 m depth) and in the tropical regions (20°S-20°N, averaged over the whole Pacific Ocean). b -

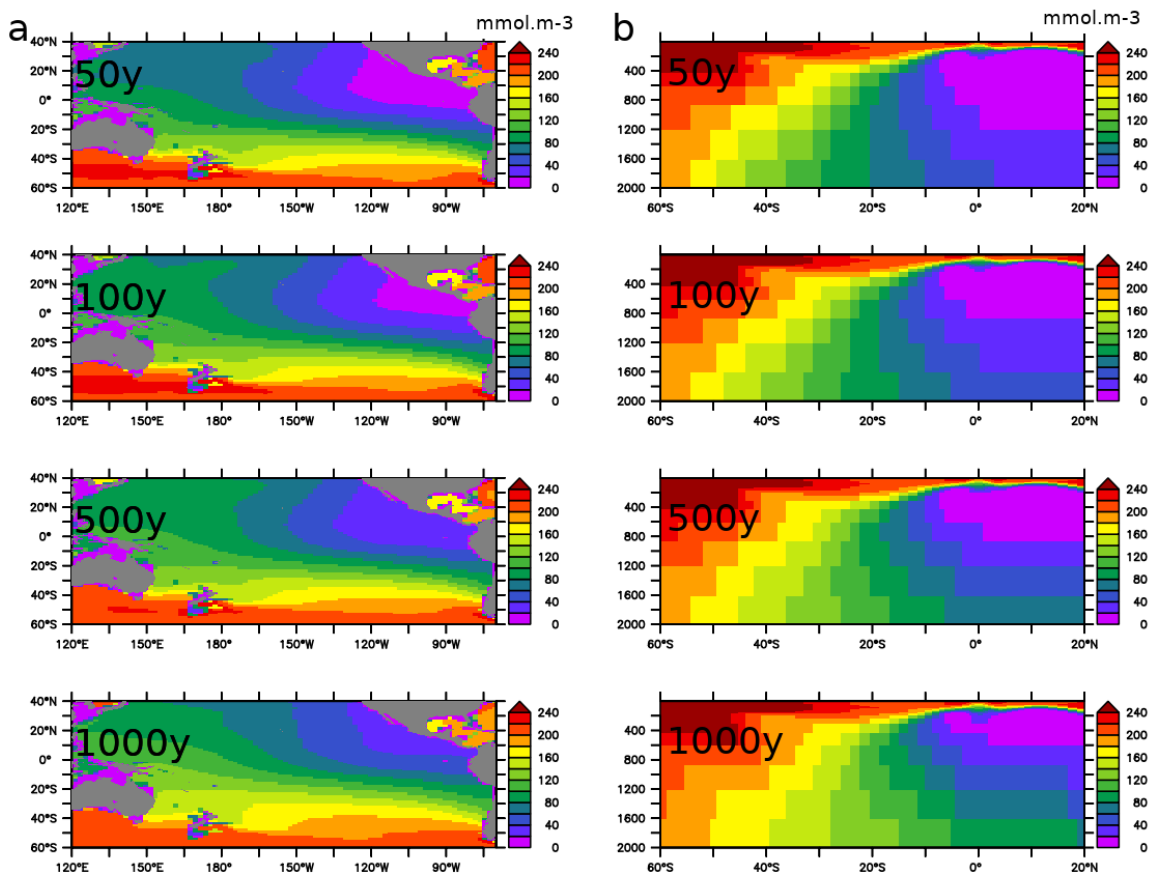
1035 Oxygen levels in UVIC (10000 years integration) at 30°S (zonal mean in the Pacific Ocean, from
 1036 surface to 2000 m depth) and volume of the OMZ in the Pacific Ocean. The configuration forced by
 1037 COREv2 is shown in black, the configuration forced by NCEP is shown in red.

1038 2. Spinup state

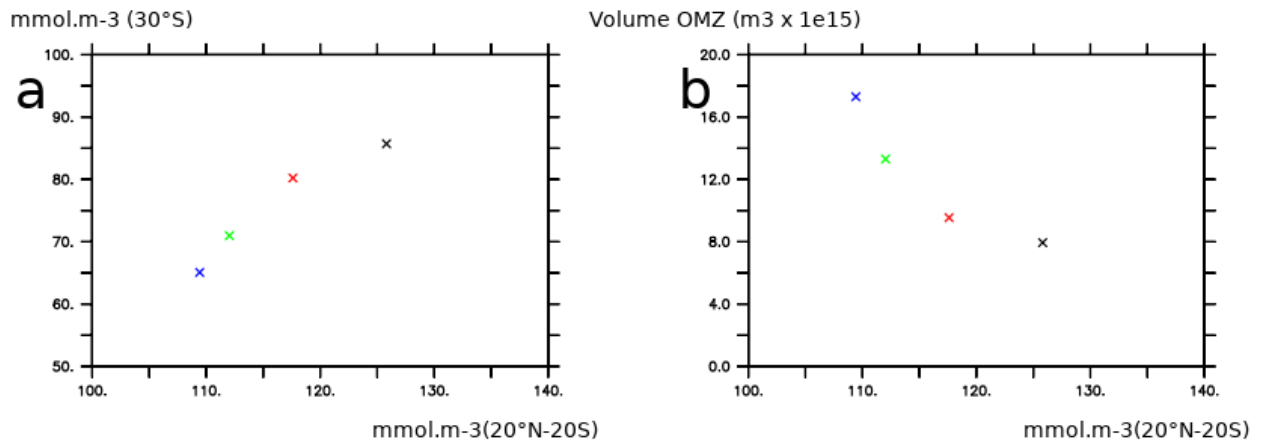
1039 In complement, the spinup state of the model also impacts the oxygen levels as the deep ocean
 1040 needs thousands of years to be in equilibrium. It may explain why UVIC (integrated for 10000
 1041 years) is characterized by much larger oxygen levels than the GFDL model suite (integrated for
 1042 190 years). As an example, the Figure A3 shows the evolution of oxygen levels during spinup in
 1043 NEMO2. Larger oxygen levels at 30°S (e.g after 1000 years of integration) are characterized by a
 1044 smaller OMZ volume (which is consistent with Fig 2) (Figure A4)

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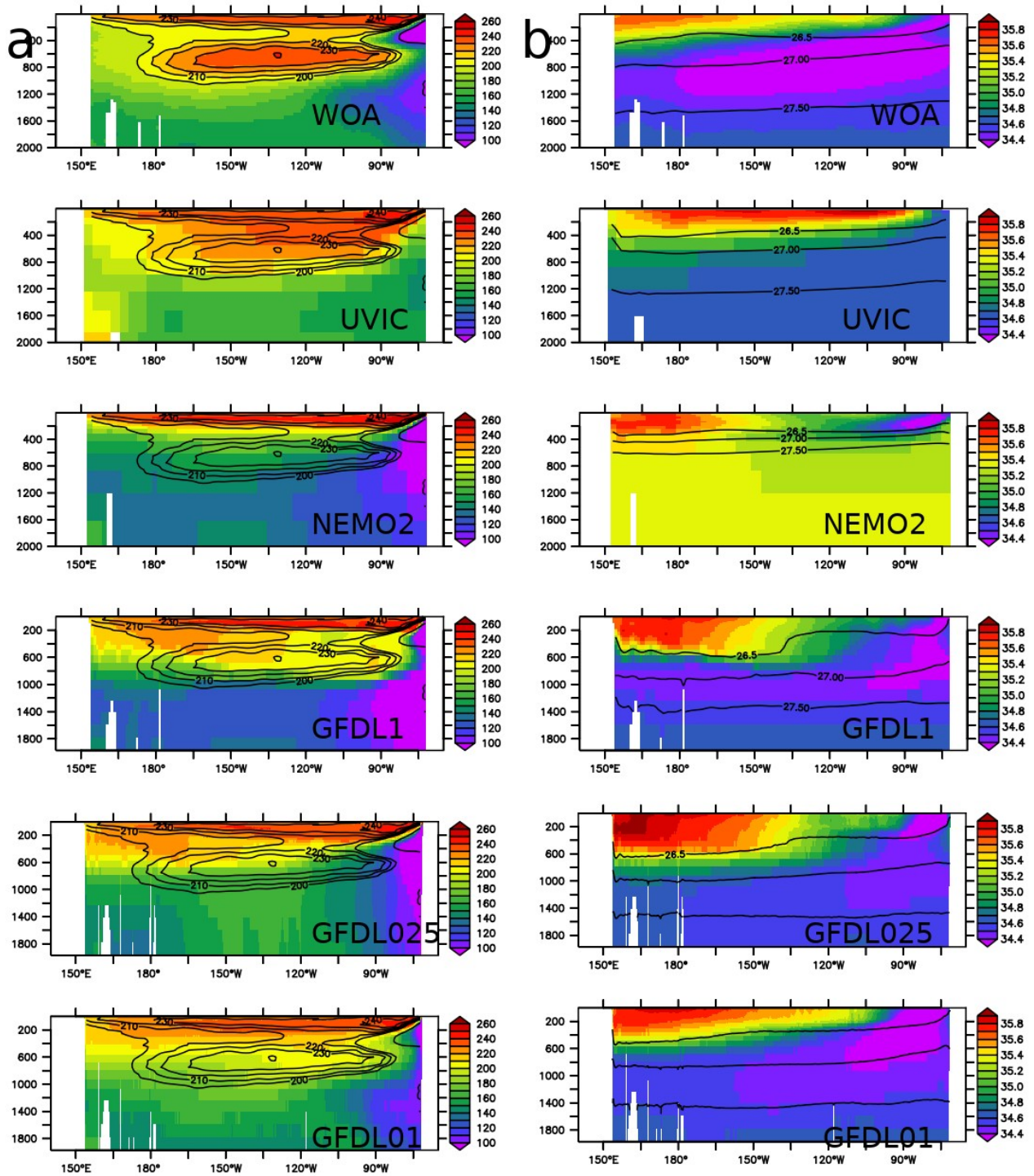


1047 Figure A3 : oxygen levels at a - intermediate depth (average 500 – 2000 m) and b - 120°W in
 1048 NEMO2 after 50, 100,500 and 1000 years integration



1050 Figure A4 : a - Oxygen levels in NEMO2 at 30°S (zonal mean in the Pacific Ocean from surface to
 1051 2000 m depth) and in the tropical regions (20°S-20°N, averaged over the whole Pacific Ocean from
 1052 surface to 2000 m depth). b - Oxygen levels in NEMO2 at 30°S (zonal mean in the Pacific Ocean
 1053 from surface to 2000 m depth) and volume of the OMZ in the Pacific Ocean. The color of the cross
 1054 depends of the integration duration (black : 50 years, red : 100 years, green : 500 years, blue 1000
 1055 years).

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1076 Figure A5 : a - oxygen levels (mmol.m-3) in observations and models at 30°S. The WOA oxygen

1077 levels are displayed in contour. b- salinity in observations and models at 30°S. The density

1078 anomaly (26.5, 27, 27.5) is displayed in contour.

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