1 Using feature-based verification methods to explore the spatial and

temporal characteristics of the 2019 Chlorophyll-a bloom season in a

model of the European North-West Shelf

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9 Abstract.

Two feature-based verification methods, used for atmospheric model applications, have been applied to compare pre-operational analyses of Chlorophyll-a (Chl-a) concentrations from the Met Office Atlantic 11 12 Margin Model at 7 km resolution (AMM7v11) for the North West European Shelf Seas with a gridded satellite-derived Chl-a concentrations product from the Copernicus Marine Environment Monitoring 13 Service (CMEMS) catalogue. Chl-a bloom objects were identified using a range of thresholds for the 14 2019 bloom season (March 1 to 31 July). These bloom objects were analysed as purely spatial features 15 and as space-time objects, enabling the ability to define the onset, duration and demise of distinct bloom 16 episodes. Overall, the AMM7v11 analyses were found to be similar to the satellite product. The 17 AMM7v11 analyses were not always able to represent coastal objects given the coastline definition in a 18 ~7 km model and sub-grid scale processes. By contrast the AMM7v11 analyses produces more bloom 19 objects in deeper Atlantic waters, which are not detected by the satellite product. Concentrations in the 20 AMM7v11 analyses are somewhat higher overall. This bias manifests itself in the size of the 21 AMM7v11 bloom objects, which tend to be larger than the bloom objects identified in the satellite 22 23 product. Based on this analysis the onset of the bloom season is delayed by 26 days in the AMM7v11, but the season also persists for another month beyond the diagnosed end. Overall, the season was 24 25 diagnosed to be 119 days long, based on the AMM7v11 space-time objects, and 117 days from the satellite product. Geographically the AMM7v11 and satellite product objects do overlap at times, but 26 further analysis shows they do not necessarily exist in that location at the same time.

1 Introduction

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The advancements in atmospheric numerical weather prediction (NWP) such as the improvements in 29 model resolution began to expose the relative weaknesses in so-called traditional verification scores 30 (such as the root-mean-squared-error for example), which rely on the precise matching in space and 31 time of the forecast to a suitable observation. These metrics and measures no longer provided adequate 32 information to quantify forecast performance (e.g. Mass et al. 2002). One key characteristic of high-33 resolution forecasts is the apparent detail they provide, but this detail may not be in the right place at the 34 35 right time, a phenomenon referred to as the "double penalty effect" (Rossa et al., 2008). Essentially it means that at any given time the error is counted twice because the forecast occurred where it was not 36 37 observed, and it did not occur where it was observed. This realisation created the need within the atmospheric community for creating more informative yet robust verification methods. As a result, a 38 multitude of so-called "spatial" verification methods were developed, which essentially provide a 39 number of ways for accounting for the characteristics of high-resolution forecasts. 40

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In 2007 a spatial verification method inter-comparison (Gilleland et al., 2009, 2010) was established with the aim of providing a better collective understanding of what each of the new methods was designed for, and categorising what type of forecast errors each could quantify. A decade later Dorninger et al. (2018) revisited this inter-comparison, adding a fifth category so that all spatial methods fall into one of the following groupings: neighbourhood, scale separation, feature-based, distance metrics or field deformation.

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The use of spatial verification methods has therefore become commonplace for atmospheric NWP (see Dorninger et al. (2018) and references within). Neighbourhood-based methods in particular have become popular due to the relative ease of computation and intuitive interpretation. Recently one such neighbourhood spatial method was demonstrated as an effective approach for exploring the benefit of higher resolution ocean forecasts (Crocker et al., 2020). Another class of methods focus on how well particular features of interest are being forecast. Forecasting specific features of interest is one of the main reasons for increasing horizontal resolution. Feature-based verification methods, such as the

Method for Object-based Diagnostic Evaluation (MODE, Davis et al., 2006) and the time domain version MODE-TD (Clark et al., 2014) enable an assessment of such features, focusing on the physical attributes of the features (identified using a threshold) and how they behave at a given point in time, and evolve over time. These methods require a gridded truth to compare to. Whilst the initial inter-comparison project was based on analysing precipitation forecasts, over recent years their use has extended to other variables, provided gridded data sets exist that can be used to compare against (e.g. Crocker & Mittermaier (2013) considered cloud masks and Mittermaier et al., (2016) considered more continuous fields in a global NWP model such as upper-level jet cores, surface lows and high pressure cells using model analyses. Mittermaier & Bullock (2013) detailed the first study to use MODE-TD prototype tools to analyse the evolution of cloud breaks over the UK using satellite-derived cloud analyses.

In the ocean, several processes have strong visual signatures that can be detected by satellite sensors. For example, mesoscale eddies can be detected from sea surface temperature or sea level anomaly (e.g. (Chelton et al., 2011, Morrow and Le Traon, 2012, Hausmann and Czaja, 2012). Phytoplankton blooms are seasonal events which see rapid phytoplankton growth as a result of changing ocean mixing, temperature and light conditions (Sverdrup, 1953, Winder and Cloern, 2010, Chiswell, 2011)). Blooms represent an important contribution to the oceanic primary production that is a key process for the oceanic carbon cycle (Falkowski et al., 1998). Their spatial extent and intensity in the upper ocean make them visible from space with ocean colour sensors (Gordon et al., 1983, Behrenfeld et al., 2005). Biogeochemical models coupled to physical models of the ocean provide simulations for the various parameters that characterise the evolution of a spring bloom. In particular, Chlorophyll-a (Chl-a) concentrations provide an index of phytoplankton biomass. Chl-a concentration can also be estimated from spaceborne ocean colour sensors (Antoine et al., 1996).

Validation of marine biogeochemical models has traditionally relied on simple statistical comparisons with observation products, often limited to visual inspections (Stow et al., 2009; Hipsey et al., 2020). In response to this, various papers have outlined and advocated using a hierarchy of statistical techniques

(Allen et al., 2007a, 2007b; Stow et al., 2009; Hipsey et al., 2020), multivariate approaches (Allen and 84 Somerfield, 2009), and novel diagrams (Jolliff et al., 2009). Many of these rely on matching to 85 observations in space and time, but some studies have started applying feature-based verification 86 methods. Emergent properties have been assessed in terms of geographical provinces (Vichi et al., 87 2011), phenological indices (Anugerahanti et al., 2018), and ecosystem functions (De Mora et al., 88 2016). In a previous application of spatial verification methods developed for NWP, Saux Picart et al., 89 90 2012) used a wavelet-based method to compare Chl-a concentrations from a model of the European 91 North West Shelf to an ocean colour product.

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For this paper, both MODE and MODE-TD (or MTD for short) were applied to the latest preoperational analysis (at the time) of the Met Office Atlantic Margin Model (AMM7) at 7 km resolution (O'Dea et al., 2012; Edwards et al., 2012; O'Dea et al., 2017; King et al., 2018) for the European North West Shelf (NWS), in order to evaluate the spatio-temporal evolution of the bloom season in both model and observation fields. A traditional verification of the system (e.g. using root-mean-squarederror and similar metrics) is out of scope of this study and will be presented in a separate publication. Traditional verification of a previous version, prior to the introduction of ocean colour data assimilation, was presented by Edwards et al. (2012), who used various metrics and Taylor diagrams (Taylor, 2001) to compare model analyses to satellite and in-situ observations. Ford et al. (2017) presented further validation, to understand the skill of the model at representing phytoplankton community structure in the North Sea. A similar version of the system used in this study, including ocean colour data assimilation, was assessed in Skákala et al. (2018), who validated both analysis and forecast skill using traditional methods. The assimilation improved analysis and forecast skill compared with the freerunning model, but when assessed against satellite ocean colour the forecasts were not found to beat persistence. On the NWS the spring bloom usually begins between February and April, varying across the domain and interannually (Siegel et al., 2002; Smyth et al., 2014), and lasts until summer. Without data assimilation the spring bloom in the model typically occurs later than in observations (Skákala et al., 2018, 2020), a bias which is largely corrected by assimilating ocean colour data.

- In Section 2 the data sets used in the verification process are introduced. Section 3 describes MODE and
- 113 MTD. Section 4 contains a selection of results, and their interpretation. Conclusions and
- 114 recommendations follow in Section 5.

115 2 Data sets for the 2019 Chl-a bloom

- 116 As stated in Section 1, feature-based methods such as MODE and MTD require the fields to be
- 117 compared to be on the same grid.

2.1 Satellite-derived gridded ocean colour products

- 119 A cloud-free gridded (space-time interpolated, L4) daily product delivered through the Copernicus
- 120 Marine Environment Monitoring Service (CMEMS, Le Traon et al., 2019) catalogue provides Chl-a
- concentration at ~1 km resolution over the Atlantic (46°W–13°E, 20°N–66°N). The L4 Chl-a product is
- derived from merging of data from multiple satellite-borne sensors: MODIS-Aqua, VIIRSN and OLCI-
- 123 S3A. The reprocessed (REP) products available nearly 6 months after the measurements
- 124 (OCEANCOLOUR ATL CHL L4 REP OBSERVATIONS 009 091) are used here as it is the best-
- 125 quality gridded product available for comparison. The satellite derived chlorophyll concentration
- estimate is an integrated value over optical depth.
- Errors in satellite-derived Chl-a can be more than 100% of the observed value (e.g. Moore et al., 2009).
- The errors in the L4 Chl-a values are often at their largest near the coast, especially near river outflows.
- 130 However, in the rest of the domain, smaller values of Chl-a mean that even large percentage
- observation errors result in errors typically smaller than the difference between model and observations.
- 132 As will be shown, the models at 7 km resolution cannot resolve the coasts in the same way as is seen in
- the satellite product as some of the coastal Chl-a dynamics are sub-grid scale for a 7 km resolution
- model.

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For this study the ~1 km resolution L4 satellite product was interpolated onto the AMM7 grid using standard two-dimensional horizontal cubic interpolation. This coarsening process retained some of the larger concentrations present in the L4 product.

2.2 Model description

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- Operational modelling of the NWS is performed using the Forecast Ocean Assimilation Model (FOAM) 140 141 system. This consists of the NEMO (Nucleus for European Modelling of the Ocean) hydrodynamic model (Madec et al., 2016; O'Dea et al., 2017), the NEMOVAR data assimilation scheme (Waters et al., 142 143 2015; King et al., 2018), and for the NWS region the European Regional Seas Ecosystem Model (ERSEM), which provides forecasts for the lower trophic levels of the marine food web (Butenschön et 144 145 al., 2016). The version of FOAM used in this study is AMM7v11, using the ~7 km horizontal resolution domain stretching from 40 °N, 20 °W to 65 °N, 13 °E. Operational forecasts of ocean physics 146 and biogeochemistry for the NWS are delivered through CMEMS, for a summary of the principles 147 underlying the service see e.g. Le Traon et al. (2019). 148
- AMM7v11 uses the CO6 configuration of NEMO, which is configured for the shallow water of the 150 shelf sea and is a development of the CO5 configuration described by O'Dea et al. (2017). The ERSEM 151 version used is v19.04, coupled to NEMO using the Framework for Aquatic Biogeochemical Models 152 (FABM, Bruggeman and Bolding, 2014). The NEMOVAR version is v6.0, with a 3D-Var method used 153 to assimilate satellite and in situ sea surface temperature (SST) observations, in situ temperature and 154 salinity profiles, and altimetry data into NEMO (King et al., 2018), and chlorophyll derived from 155 satellite ocean colour into ERSEM (Skákala et al., 2018). The introduction of ocean colour assimilation 156 in AMM7v11 is a major development for the biogeochemistry over previous versions of the system 157 (Edwards et al., 2012). The satellite ocean colour observations assimilated are from a daily L3 multi-158 sensor composite product based on MODIS and VIIRS with resolutions of 1 km for the Atlantic (for 159 further information see OCEANCOLOUR_ATL_CHL_L3_NRT_OBSERVATIONS_009_036 on the 160 CMEMS catalogue). 161

In this study daily mean Chl-a concentrations for the period of 1 March-31 July 2019 from AMM7v11 were used to illustrate the verification methodology. AMM7v11 entered operational use in December 2020, and the data used here came from a pre-operational run of the system. Note only the analysis of AMM7v11 (i.e. no corresponding forecasts) was available at the time of the assessment, and the results presented in this paper show how close the data assimilation draws the model to the observed state.

2.3 Visual inspection of data sets

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Ideally, Chl-*a* concentration from the model should be integrated over optical depth to be equivalent to the satellite derived value defined in Section 2.1 (Dutkiewicz et al., 2018). However, this is currently a non-trivial exercise, and cannot be accurately calculated from offline outputs. Therefore, the commonly accepted practice is to use the model surface Chl-*a* (Lorenzen, 1970, (Shutler et al., 2011). Here it is assumed that the difference between surface and optical depth-integrated Chl-*a* is likely to be small in comparison with the actual model errors.

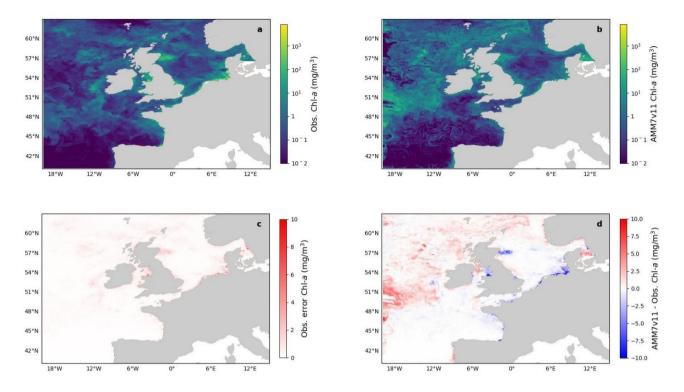


Figure 1 (a) Daily mean L4 multi-sensor observations regridded on the 7 km resolution model grid and (b) AMM7v11 Chl-a for 1 June 2019. (c) Error estimates on the multi-sensor L4 Chl-a and (d) difference between AMM7v11 and the L4 product.

Figure 1 shows the L4 ocean colour product (a) and AMM7v11 analysis (b) for 1 June 2019 on the top row, using the same plotting ranges. The second row shows the difference field that is provided with the L4 ocean colour product (c), and the AMM7v11 minus L4 difference field (d). The mean error (bias) is generally positive with the AMM7v11 analysis containing higher Chl-*a* concentrations, especially in the deeper North Atlantic waters. The exceptions are along the coast where the AMM7v11 analysis is deficient, but it should be noted that these are also the zones where some of the largest satellite retrieval errors occur and where a 7-km resolution model, with a coarse representation of the coast, does not fully represent complex coastal and estuarine processes.

3 Method for Object-based Diagnostic Evaluation (MODE) and MODE Time-Domain (MTD)

3.1. Description of the methods

This section provides a brief description of the Method for Object-Based Diagnostic Evaluation (MODE), first described in Davis et al. (2006) and its extension MODE Time-Domain (MTD).

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MODE and MTD can be used on any temporal sequence of two gridded data sets which contain features that are of interest to a user (whoever that user may be, model developer or more applied). By extracting only the feature(s) of interest, the method allows one to mimic what humans do, but in an objective way. Once identified the features can then be mathematically analysed over many days or seasons to compute aggregate statistics of behaviour. MODE can be used in a very generalised way. The key requirements are to 1) have gridded fields to compare and 2) be able to set a threshold for identifying features of interest.

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In this instance the comparison will involve the AMM7v11 model data assimilation analysis and the gridded L4 satellite product. MODE identifies the features (called objects), as areas for which a specified threshold is exceeded, here it is a Chl-a concentration. Consider Figure 2 which shows a number of objects that have been identified after a threshold has been applied to two fields (blue and orange). The identified objects in the two fields are of different sizes and shapes and do not overlap in space, though they are not far apart. Object characteristics or attributes such as the area and massweighted centroid are computed for each single object. Simple (also known as single) objects can be merged (to form clusters) within one field (illustrated here for the orange field). This may be useful to do if it is clear that there are many small objects close together which should really be treated as one. Furthermore, objects in one field can be *matched* to objects in the other field. To find the best match an interest score is computed for each possible pairing. The components used for computing the interest score can be tuned to meet specific user needs. In (a) it is based on the area ratio, intersection-area ratio, minimum boundary distance and centroid difference. Furthermore, the components can be weighted according to relative importance. Given a scenario where there are 2 identified objects in the blue field and 3 in the orange field (b) shows the interest score for each possible pairing in this hypothetical example. Only the pairing with the highest score is analysed further, and only if it exceeds the set threshold for defining an acceptable match. The default value for this is 0.7. Once these matches are completed summary statistics describing the objects (both matched and unmatched) and matched object pairs are produced. These statistics can be used to identify similarities and differences between the objects identified in two different data sets, which can provide diagnostic insights on the relative strengths and weaknesses of one compared to the other.

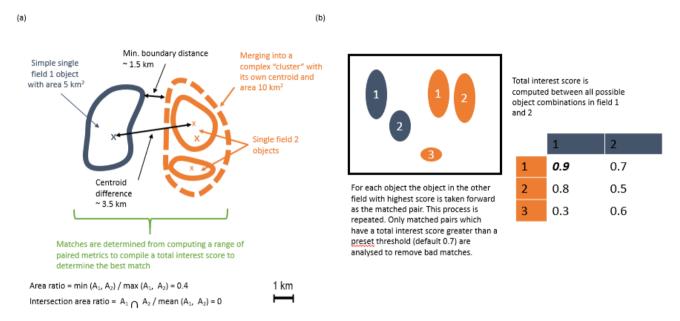


Figure 2 Schematic illustrating some of the key components of identifying objects using MODE. (a) Defining some of the terminology and key components for computing matched pairs. (b) Example of how the best matched pair is identified.

The important steps for applying MODE can be summarised as follows (which are described in detail in Davis et al. 2006):

- 1) Both forecast and observation (or analysis) need to be on the same grid. Typically, this means interpolating the observations to the model grid to avoid the model being expected to resolve features which are sub-grid scale.
- 2) Depending on how noisy the fields are they should be smoothed. It is worth remembering that the numerical discretisation implies that any model's true resolution (i.e. the scales which the model is resolving) is between 2 and 4 times the horizontal grid (mesh) resolution. The number of objects identified will vary inversely with the smoothing radius.

236 3) Define a threshold which captures the feature of interest and apply it to both the smoothed forecast and observed fields to identify simple objects as shown in Figure 2.

- 4) Any smoothing is only for object identification purposes. The original intensity information within the object boundaries is analysed.
- 5) Lastly, the object matching is accomplished using a fuzzy logic engine (low level artificial intelligence), which is expressed as the so-called "interest" score as shown in Figure 2(b). The higher the score the stronger the match. All objects are compared in both fields and interest scores are computed for all combinations. A threshold is set on the interest score value (typically 0.7) to denote which are the best matches, and on the unique pairing with the highest score is kept for analysis purposes. Some objects will remain unmatched (either because there is none or because there are no interest values above the set threshold to provide a credible match) and these can be analysed separately.
- MODE is highly configurable. To gain an optimal combination of configurable parameters for each application requires extensive sensitivity testing to gain sufficient understanding of the behaviour of the data sets to be examined, and to achieve, on average, heuristically the right outcome. Initial tuning requires user input to check whether the method is replicating what a human would do.
 - 1) The sensitivity to threshold and smoothing radius should be explored. The threshold and variability in the fields can affect the number of objects which are identified. The process of exploring the relationship between threshold and smoothness helps to identify what would heuristically be considered a reasonable number of objects.
 - 2) The sensitivity to the merging option must also be investigated. In this instance the merging option had very little impact.
 - 3) The behaviour of the matching can also be configured, with a number of options ranging from the simple to the more complicated, which added computational expense. There may be very little difference in outcomes, but it is worth checking. Here the *merge_both* option was used but it was not strictly necessary as there was little difference between the available options.

Note also that a minimum size (area) is set for object identification. This is often a somewhat pragmatic choice. If the size is set too small, too many objects are identified, which end up being merged. If too large, very few objects are identified. Here a minimum area of 10 grid squares (~70 km²) was used for an object to be included in the analysis. For this study the default settings were used for matching and computing the interest score (as provided in the default configuration file (see example configuration files in https://github.com/dtcenter/MET/tree/main_v8.1/met/scripts/config). The default threshold of 0.7 for the interest score was also used to identify acceptable matches.

Identical to MODE, identifying time-space objects in MTD uses smoothing and thresholding. Applying a threshold yields a binary field where grid points exceeding the defined threshold are set to one. At this stage each region of non-zero grid points in space and time is considered a separate object, and the grid points within each object are assigned a unique object identifier. For MTD the search for contiguous grid points not only means examining adjacent grid points in space, but also the grid points in the same or similar location at adjacent times to define a space-time object. The same fuzzy logic-based algorithms used for merging and matching in MODE apply to MTD as well. Similarly, to MODE a minimum volume must be set. Here a volume threshold of 1000 grid squares was imposed for space-time object identification to be included in the analysis. This represents the accumulated number of grid squares associated with an object over consecutive time slices. Otherwise, the default settings were used for object matching. For MTD a lower interest score of 0.5 was used for matching objects. Finally, it is worth noting that the MODE and MTD tools, though similar, are completely independent of each other, and were set up differently here. MODE is ideal for understanding the identified features in individual daily fields in some detail. MTD, it was felt, would be best used to look at larger scales. Here it was set up to capture the most significant (in size) and long-lasting blooms.

3.2 Defining Chl-a concentration thresholds and other choices on tuneable parameters

Chl-a can vary over several orders of magnitude. Often log₁₀ thresholds are used to match the fact that Chl-a follows a lognormal distribution (e.g. Campbell 1995). Defining thresholds can be difficult: on the one hand there is the desire to capture events of interest, so the thresholds should not be too low,

whereas on the other hand if the thresholds are too high no events are captured and there is nothing to analyse. From a regional perspective the values of interest are typically in the range of 3–5 mg m⁻³ (Schalles, 2006), though higher values are present. For this study, to set of equally spaced logarithmic thresholds, ranging between 0.2 and 1.4 log₁₀mg m⁻³ were applied to the Chl-*a* fields, corresponding to Chl-*a* concentrations between 1.62 and 25 mg m⁻³. Doing this removed the need to transform the data. In the paper the primary focus is on the 2.5 mg m⁻³ threshold, though some results for the 4 and 6.3 mg m⁻³ are also presented.

In addition to the interpolation of the L4 ocean colour product onto the AMM7 grid, it is important to ensure that MODE and MTD use optimal settings for the fields under study. Results are sensitive to characteristics of the fields (how smooth or noisy). Right at the start the emphasis was on finding the right combination of Chl-*a* concentration threshold and smoothing, balancing the need for identifying objects with keeping the number of objects manageable. The guiding principles in identifying the right combination were to ensure that the daily object count remained less than 30. Furthermore, the smoothing applied needs to be reduced with increasing concentration thresholds because objects become smaller and are less frequent. This is to ensure that too much smoothing does not remove more intense objects from the analysis. However, pushing the concentration threshold too high may also be too detrimental; identified objects may be spurious and too few objects will mean meaningful statistics cannot be compiled. AMM7v11 analyses are on a ~7 km grid.

For the lowest thresholds including 2.5 and 4.0 mg m⁻³ a smoothing radius of 5 grid squares (~35 km) was applied to both L4 and AMM7v11 fields, but for higher thresholds (e.g. 6.3 mg m⁻³) the smoothing radius was reduced to 3 grid squares, to prevent the higher peak concentrations, which are often small in spatial extent, from being lost due to the smoothing. Thresholds above 6.3 mg m⁻³ yielded too few objects to be analysed with any rigour. The smoothing was particularly necessary for the L4 product which, because of its native 1 km resolution is able to resolve very small (noisy) objects typically found near the coast and which a 7 km resolution model cannot resolve. For the MTD analysis, objects in the

L4 ocean colour product and the AMM7v11 analyses were defined using a Chl-a concentration threshold of 2.5 mg m⁻³.

4. Results

4.1 Chl-a distributions

It is important to understand the nature of the underlying L4 and AMM7v11 Chl-*a* distributions and any differences between them. This can be done by creating cumulative distribution functions (CDF) of the log₁₀ L4 and AMM7v11 Chl-*a* concentrations, by taking all grid points in the domain and all dates in the study period. These are plotted in Figure 3, showing that there is an offset between the distributions, the AMM7v11 analysis having more low concentrations, though the distributions appear to be converging in the upper tail.

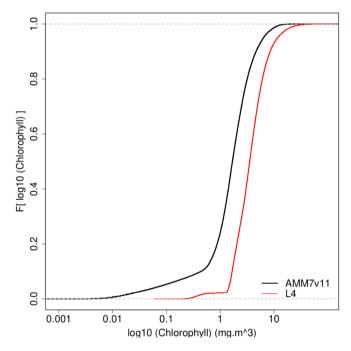


Figure 3 Empirical cumulative distribution functions of the log10 Chl-a concentration for the L4 ocean colour product and AMM7v11 analyses for the 2019 bloom season.

Exploring this further the AMM7v11 and L4 Chl-a concentration CDFs can be derived for each individual day, rather than for the season as a whole. From these the centile where the L4 product is less

than equal to 2.5 mg m⁻³ can be compared to the corresponding AMM7v11 centile value. The daily matched centile Chl-a values provide an estimate of the daily bias. This is plotted in Figure 4 as a time series for the 2019 bloom season. It shows that the daily AMM7v11 corresponding centile values are mainly in the range of ~1.5—4.5 mg m⁻³, averaging out to 2.9 mg m⁻³ over the season, which suggests a modest difference overall. The larger day-to-day variations show some cyclical patterns. There are notable peaks at the end of May and the beginning of July. An inspection of the fields (not shown) suggests that at these times the AMM7v11 appears to have higher Chl-a concentrations over large portions of the domain compared to the L4 product.

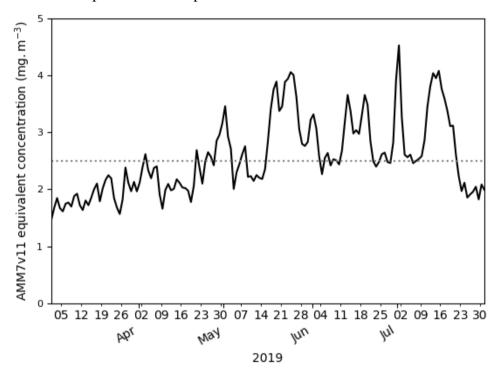


Figure 4 The day-to-day AMM7v11 centile Chl-*a* value corresponding to the L4 product centile representing 2.5 mg m⁻³ derived from the L4 daily CDFs. The mean AMM7v11 Chl-*a* equivalent centile value for the 2019 season is 2.9 mg m⁻³.

In employing a threshold-based approach, generally the same threshold is applied to both data sets. In the presence of a bias this requires a little bit of thought. In extreme cases, it could mean the inability to identify objects in one of the data sets, which would then mean objects cannot be matched and paired, negating the purpose of a spatial method like MODE or MTD. Not being able to identify any objects

does provide some useful information, though arguably not enough context. The lack of objects does suggest the presence of a bias but it does not provide any sense of whether the model is producing a constant value of Chl-a for example, which would be of no use to the user, or whether it does capture regions of enhanced Chl-a, albeit with an offset which means it does not exceed the set threshold. Therefore, a more likely scenario is that a bias could partially mask relevant signals in the derived object properties, which could lead to the potential misinterpretation of results. If there is a significant risk of this occurring the bias could be addressed before features are identified to ensure that the primary purpose of using a feature-based assessment can be achieved, i.e. identifying features of interest in two sets of fields to assess their location, timing and other properties and assessing their skill. The fact that there is an intensity offset should not prevent the method from providing information about the skill of identified features. In this instance, though there is bias, it did not prevent the identification of objects in either fields to the extent where the results did not reflect the potential for the analyses to provide features which could be matched, paired and compared.

4.2 Visualising daily objects

Figure 5 shows the daily Chl-*a* concentration fields as represented in the L4 ocean colour product and the AMM7v11 analyses for 21 April 2019, which is near the peak of the bloom season. The respective fields are plotted in (a) and (b), noting that the 1 km resolution L4 product has been interpolated onto the ~7 km AMM7 grid. Applying a threshold of 6.3 mg m⁻³ to both with a smoothing radius of ~21 km (3 grid lengths) yields 8 objects in the AMM7v11 analysis (7 visible in this zoomed region) and 11 objects in the L4 product. As discussed, the bias described in Section 4.1 does not appear to prevent the identification of objects in the L4 product and the AMM7v11 analyses, and the process of finding matches is possible.

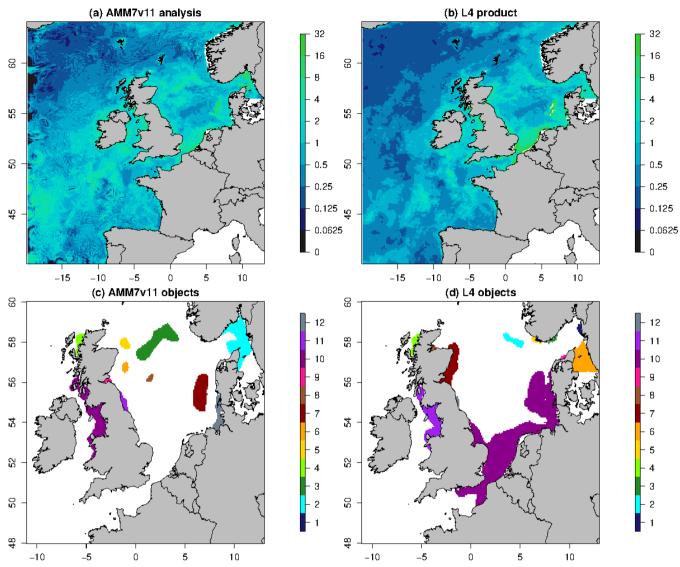


Figure 5 Daily Chl-*a* concentrations (in mg m⁻³) for 21 April 2019: (a) AMM7v11 analysis and (b) L4 ocean colour product. The MODE objects shown in (c) and (d) are identified using a threshold of 6.3 mg m⁻³ and a smoothing radius of ~21 km. The colour matches the object identification number.

4.3 Spatial characteristics

This section demonstrates the kinds of results that can be extracted from the two-dimensional MODE objects. Aspects of the marginal (AMM7v11 or L4 product only) and joint (matched/paired)

distributions can be examined. This includes object size (as a proxy for area) but also the proportion of areas that are matched or unmatched.

Firstly, how similar is the L4 ocean colour product and the AMM7v11 analysis in terms of the features of most interest, i.e. the Chl-*a* blooms? Figure 6 shows the evolution of the proportion of matched object areas (to total combined area) through the 2019 season, when using MODE to compare the L4 product and AMM7v11 analyses, to further explore the differences (and similarities) between them. A value of one would suggest that all identified areas are matched. Values less than one suggest that some objects remain unmatched. The relatively high values of matched object-to-total area during April are due to the large numbers of well-matched, physically small coastal objects in addition to the larger Chl-*a* bloom originating in the Dover Straits (not shown). There is a notable minimum at the beginning of July. Inspecting the MODE graphical output reveals this is in part due to only a few small objects being identified, and this is compounded by their complete mismatch; the L4 objects are all coastal, whilst the AMM7v11 objects are either coastal (but not in the same location as L4 objects) or in the deep waters of the North Atlantic, to the north-west of Scotland. The relatively high proportions either side of this time arise from a better correspondence in placement of the coastal objects (noting that there is a distance limit on how far objects can be apart for the matching process to have a positive contribution to the interest score).

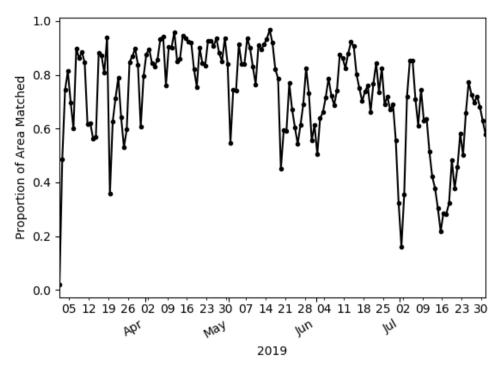


Figure 6 Proportion of total object area which is matched. Underlying matched and unmatched object areas (in units of numbers of grid squares) are taken from the MODE output. These areas are for the 2.5 mg m⁻³ concentration threshold objects.

Overall, the AMM7v11 analysis is similar, but clearly not identical, to the L4 product. Best correspondence appears to be during the first half of the bloom season. Later in the season the model's determination to produce blooms in deep North Atlantic waters is a model deficiency that the assimilation is (at this stage) unable to fix. The AMM7v11 analyses could conceivably be used as a credible source for assessing the AMM7 Chl-a forecasts in the future. The major benefit of using a model analysis is that it is at the same spatial resolution, with the same ability to resolve Chl-a bloom objects, especially along the coast (i.e. the analysis limits the uncertainty due to whether an object could be missing due to the inability of the model to resolve the feature).

The day-to-day number of objects identified through the 2019 bloom season is shown in Figure 7, illustrating how elements of the marginal and joint distribution information provided by MODE can be

used together. Here both matched (joint) and unmatched (marginal) objects are shown. From an 415 interpretation perspective there should be fewer unmatched objects than matched ones (ideally there 416 would be no unmatched objects in either the forecast or the analysis). In Figure 7 the number of objects 417 in AMM7v11 starts off small and increases as the bloom develops. For the L4 product there are already 418 many objects identified at the start of the timeseries, leading to many unmatched L4 objects. A spike in 419 the number of matched objects seen in early April can be attributed to several coastal locations, which 420 421 appear to be spatially well-matched. In addition, a larger Chl-a bloom is seen in the Dover Straits region 422 in the L4 product and although not exactly spatially collocated, the objects are matched. There are a 423 consistently large number of unmatched objects seen in the AMM7v11 analysis and L4 ocean colour product from the end of May onwards. In the AMM7v11 analysis this appears to be due to an increase 424 in small objects identified, mainly to the west, north and east of the United Kingdom. The increase in 425 unmatched objects in the L4 ocean colour product is of a different origin, being due to an increase in 426 427 localised coastal blooms. Generally, the AMM7v11 analyses do not have the resolution to resolve these. Overall, there are 2632 AMM7v11 bloom objects identified in the season using the 2.5 mg m⁻³ 428 threshold, and 2341 L4 bloom objects, with 56% of AMM7v11 objects matched and 59% of L4 objects 429 matched. 430

The identified objects in AMM7v11 and the L4 product can also be considered spatially over the season by compositing the objects. This is done by counting the frequency with which a given grid square falls within an identified object on any given day, essentially creating a binary map. These can be added up over the entire season to produce a spatial composite object or temporal "frequency-of-occurrence" plot.



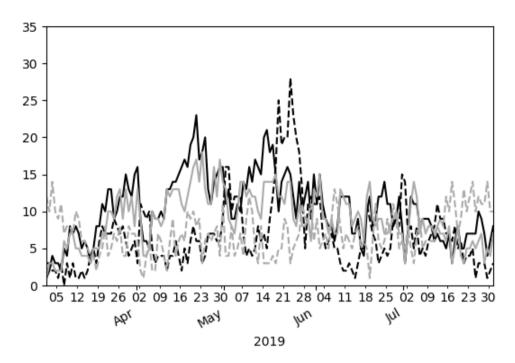


Figure 7 Time series of the number of matched and unmatched objects from MODE comparing AMM7v11 analyses (black) with L4 satellite product (grey). Objects are identified using a threshold of 2.5 mg m⁻³. Total object numbers for the season are 2341 for L4 satellite product and 2632 for AMM7v11.

Figure 8 shows this spatial composite for the 2019 bloom season for the L4 ocean colour product objects (a) and the AMM7v11 objects (b). These are the composites based on the 2.5 mg m⁻³ threshold objects. There are areas, for example in the South West Approaches, where there appears to be a good level of consistency. AMM7v11 analyses have elevated Chl-a values along the northern and western edges of the domain, for a low proportion of the time, which are not seen in the L4 product. This is likely due to the way that nutrient and phytoplankton boundary conditions are specified in AMM7v11. Overall, the low temporal frequency extent of the AMM7v11 objects is greater than for the L4 product.

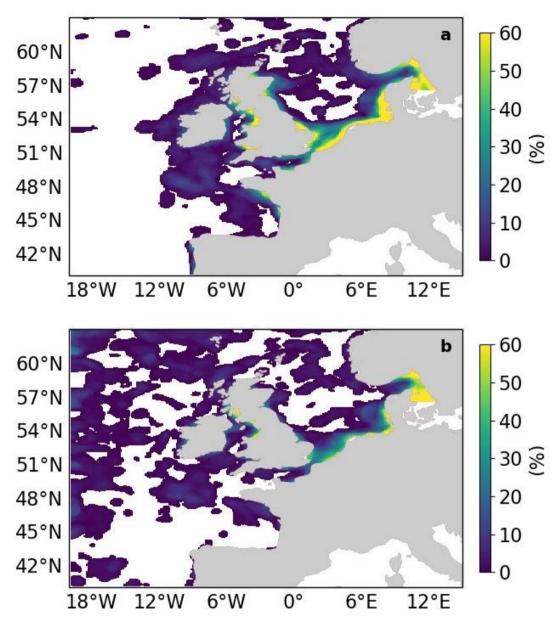


Figure 8 Object composites (the proportion of time for which an object was present at the grid box throughout the 2019 bloom season) for (a) the L4 ocean colour product objects and (b) the AMM7v11 analysis objects.

Thus far all the attributes have been based on only the AMM7v11 or L4 objects. The distribution of object properties, derived for the season from the daily comparisons, can be summarised using box-and-whisker plots. Recall that the box encompasses the inter-quartile range (IQR, 25th to 75th percentile) and

the notch and line through the box denotes the median or 50th percentile. The dashed line represents the mean, and the whiskers show ± 1.5 times the IQR. For clarity, values outside that range have been filtered out of the plots shown here. Figure 9 shows the intersection-over-area paired object attribute distribution as box-and-whisker plots for all object pairs during the 2019 bloom season, comparing the AMM7v11 analyses to L4 for three of the thresholds: 2.5 and 4.0 and 6.3 mg m⁻³. The intersection-overarea diagnostic gives a measure of how much the matched (paired) objects overlap in space. If the objects do not intersect, this metric is 0. The ratio is bounded at 1 because any area of overlap is always divided by the larger of the two object areas. The IQR for the 2.5 mg m⁻³ threshold is 0.25 with 50% of paired objects having an intersection-over-area of 0.97 or greater. However, the lower whisker spans a large range of values to as low as 0.375, suggesting that there is a proportion of object pairs with only small overlaps. There is quite a difference between the median (notch) and the mean (dashed line) for this metric, suggesting the distribution is skewed with the mean affected more by many small overlaps. For the 4.0 mg m⁻³ threshold paired objects the intersection-over-area distribution is much broader, though the difference between the mean and medians is similar. The proportion of paired objects with smaller overlaps has also increased. This should not be surprising given that the objects generally get smaller with increasing threshold such that the ability for object pairs to overlap actually decreases unless they are very closely collocated. At the 6.3 mg m⁻³ threshold the median is lower (0.93) with a similar difference from the mean, however the sample size is much smaller (only 130 paired objects over the season).

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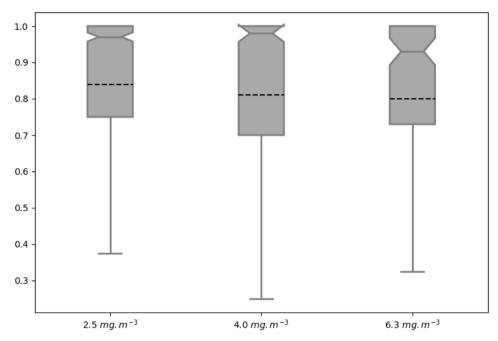


Figure 9 Box-and-whisker plots of the paired object property "intersection area" ratio computed by dividing the spatially collocated area between the paired objects by the largest of either the AMM7v11 or L4 observed object areas (to keep the ratio to be bounded by 0 and 1). Three object thresholds are shown: 2.5 mg m⁻³, 4.0 mg m⁻³ and 6.3 mg m⁻³. Smoothing radii of 5, 5 and 3 grid lengths were applied for the three thresholds respectively. The sample sizes for each threshold were 1004, 401 and 130 paired objects respectively.

4.4 Incorporating the time dimension

Having information in space and time enables one to ask, and hopefully answer questions such as: "did the model predict the bloom to start in the observed location?" or "did the model predict the onset at the right time?" and "did the model predict the peak (in terms of extent) and duration of the bloom correctly?".

MTD identifies objects in space and time. As previously described, all MTD results are based on a 2.5 mg m⁻³ threshold applied to both the L4 ocean colour products and AMM7v11 analyses. A time centroid is derived from a time series of the spatial (two-dimensional) centroids which are computed for each (daily) time slice. In addition to this, each identified MTD object has a start and end time, and a

geographical location of the time centroid, which is the average of the two-dimensional locations. The time component of the time centroid is weighted by volume.

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The temporal progression of the 2019 bloom season as defined by the MTD objects' start and end times as well as the date of their time centroids is shown in Figure 10, providing a clear view of the onset and demise of each object (bloom episode). In total there are 22 AMM7v11 and 11 L4 MTD objects. The xaxis in (a) represents elapsed time. The location of the vertical lines along the x-axis on any given date indicates the date of the time centroid whilst the duration of the space-time object can be gleaned from the y-axis based on the start and end of the vertical line which defines the time the object was in existence. Solid lines represent the L4 product objects whereas dashed lines represent the AMM7v11 objects. The colour palette is graduated from grey and blue through green, yellow, red, and purple, denoting the relative time in the season. In (a) the first Chl-a bloom object in the AMM7v11 analysis was identified on 29 March 2019 whereas in the L4 ocean colour product this was on 3 March, 26 days earlier. The first time the L4 product and AMM7v11 analyses have concurrent objects (blooms) is in late March. The L4 product also suggests that the season ends 30 June whereas the AMM7v11 analyses persists the bloom season with objects identified until 23 July. Most AMM7v11 objects are of relatively short duration, but overall, most groups of AMM7v11 objects have some temporal association with an L4 product object around the same time, though this does not mean they are geographically close to each other. This is illustrated in Figure 10(b) which provides the spatial context to (a). The colours and symbols are consistent for (a) and (b) and show that even when the MTD objects are identified at the same time they may be geographically quite far apart, or more typically there is no L4 counterpart (filled circle) to an AMM7v11 bloom object (cross). The north- and westward progression of the bloom as the season unfolds can be seen through the use of the colours, with the AMM7v11 analysis producing many more objects in deeper waters to the north and west of the domain.

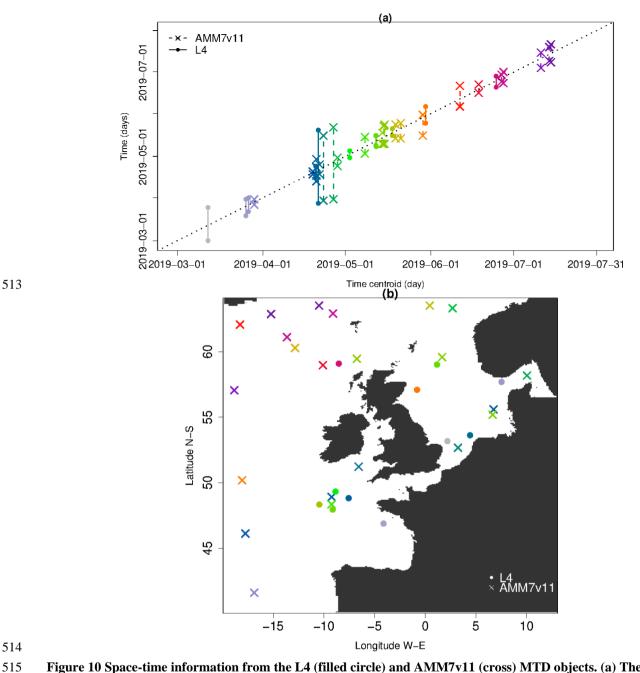


Figure 10 Space-time information from the L4 (filled circle) and AMM7v11 (cross) MTD objects. (a) The timing of each identified bloom event (time centroid) plotted on the x-axis against the duration of the bloom event, denoted by the vertical line which represents the start and end time of each space-time object. The colours provide the ability to track the relative location within the 2019 season. (b) Spatial location of the time centroid shown in (a) to indicate that even if AMM7v11 and L4 objects exist at the same time they may not be geographically close. Colours are coordinated between (a) and (b).

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In this instance it is also illuminating to consider a time series of all identified daily object areas (which are used to compute the volume of MTD objects). These are plotted in Figure 11 showing all daily L4 object areas in black filled circles, and the AMM7v11 object areas in grey crosses. The main purpose is to highlight the relative size of the L4 and AMM7v11 objects on any given day, as well as how many objects there were. Recall that these are the objects identified using a Chl-a concentration threshold of 2.5 mg m⁻³. Some of the AMM7v11 objects are considerably larger than those in L4 though in the middle part of the bloom season between mid-May and end June there is reasonable correspondence in identifying the peak in terms of extent and activity, just not necessarily at exactly the same time or location. Of course, the AMM7v11 areas may also be larger because of the difference in the distributions noted in Figure 3, one of the reasons an awareness of the presence of any biases is important when interpreting results. As seen in Figure 10(b), the area time series also illustrates the offsets in the start and end of the bloom season. Some of the objects detected in AMM7v11 beyond the end of the observed bloom season provided by L4, suggests that at least three substantial areas are still diagnosed to exceed the threshold of 2.5 mg m⁻³ into July. Taking the start of the earliest space-time object as the onset of the bloom season and the end of the last object as the end, the 2019 season is 119 days long based on the L4 product, and 117 days in the AMM7v11 analysis. Therefore, the overall length of the season as defined by the space-time objects is comparable in the AMM7v11 analysis, albeit with a substantial offset.

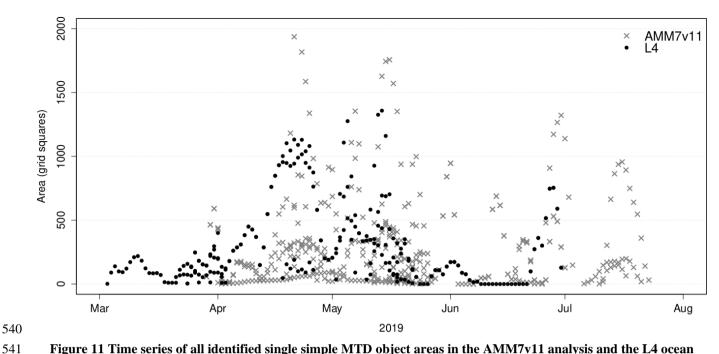


Figure 11 Time series of all identified single simple MTD object areas in the AMM7v11 analysis and the L4 ocean colour product.

With only 22 AMM7v11 and 11 L4 product MTD objects, which are temporally and geographically well dispersed, three of the L4 objects remained unmatched, leaving only 8 matched MTD objects for the 2019 bloom season with an overall interest score greater than 0.5. This represented an insufficient sample for drawing any robust statistical conclusions. Nevertheless, some inspection of the paired MTD object attributes are summarised below:

- The spatial centroid (centre of mass) differences can be extensive, but the majority are within 0 to 100 grid squares apart (i.e. up to ~700 km).
- The majority of paired objects have time centroid differences +/- 10 days.

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- Considering the volumes of the space-time objects, half the paired objects have volume ratios of less than 1, i.e. AMM7v11 objects tend to be smaller or similar in size. The other pairs have ratios as high as 4.
- Overlaps between AMM7v11 and L4 MTD objects remain small and infrequent with only one pair with a significant overlap in space and time.

5. Discussion and conclusions

MODE and MTD were used as two distinct but related feature-based diagnostic verification methods to evaluate and compare the pre-operational AMM7v11 European North West Shelf Chl-*a* concentration bloom objects to those identified in the satellite-based L4 ocean colour product. Nominally blooms were said to occur when the concentration threshold exceeded 2.5 mg m⁻³ and two higher thresholds were also considered. Sample sizes dwindle rapidly with increasing threshold. Of specific interest were the similarities and differences in respective bloom object sizes, their geographical location and collocation and timing. For the timing component the onset, duration and demise of individual bloom objects (events) could be considered. For the season all the identified space-time objects provided an estimate of the onset, duration and end of the bloom season as a whole. The season was found to be of similar length, but the onset was found to begin 26 days later in the AMM7v11 analyses than in the L4 product, and the AMM7v11 analyses persist the season for almost a month beyond the diagnosed end identified in the L4 product. Using traditional verification methods, data assimilation has been shown to considerably reduce the delay in bloom onset in the model (Skákala et al., 2020). Using feature-based verification methods, this study suggests that a substantial delay still remains.

There is a modest concentration bias in the AMM7v11 analyses compared to the L4 satellite ocean colour product. In this study we chose not to mitigate against this bias as it was not considered to impede the identification of bloom objects, which would prevent the ability of the methodology to identify matches and create paired object statistics. Any concentration bias does affect the results and this effect must be understood or at least kept in mind when interpreting results, in this case it will have contributed to the result that the AMM7v11 bloom objects are generally larger. An alternative approach would be to mitigate against the impact of the bias before using a threshold-based methodology such as MODE or MTD. A quantile mapping approach is available within the MODE tool (not yet available in MTD but should be available at some point) to remove the biases between two distributions as each temporal data set is analysed. Using this method the one threshold is fixed and the other threshold varies day-to-day (as shown in Figure 4). Another approach would be to analyse the bias for the whole season (as shown in Figure 3) and deriving an equivalent threshold from this larger data set, thus applying a

fixed threshold to all the days in the season, though there would still be two different thresholds applied to the two data sets.

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- MODE results suggest that the AMM7v11 bloom objects are larger than those in the L4 product.

 AMM7v11 produces more objects (in number) than seen in the L4 ocean colour product, yet many of
- the coastal objects seen in the L4 product are not as well resolved in AMM7v11 due to the coarseness of
- 590 the coastline in the 7 km model. The additional AMM7v11 objects are mainly found in deeper Atlantic
- 591 waters. The diagnosis of coastal blooms should improve if the model resolution were increased from
- 592 7 km to 1.5 km.

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- Other work that formed part of this study, but is not reported on here, showed that constraining the Chl-
- 595 a using assimilation of the satellite observations appears to benefit the model in terms of fewer
- 596 unmatched bloom regions. This should translate to an improvement in the forecasts generated from this
- 597 analysis compared with previous versions of the operational system and will be the subject of future
- 598 work.

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6. Code availability

- 600 Model Evaluation Tools (MET) was initially developed at the National Center for Atmospheric
- 601 Research (NCAR) through grants from the National Science Foundation (NSF), the National Oceanic
- and Atmospheric Administration (NOAA), the United States Air Force (USAF) and the United States
- 603 Department of Energy (DOE). The tool is now open source and available for download on github:
- 604 https://github.com/dtcenter/MET. For this study MET version 8.1 of the software was used. MET
- allows for a variety of input file formats but some pre-processing of the CMEMS NetCDF files was
- 606 necessary before the MODE package could be applied. This includes regridding of the observations
- onto the model grid, and addition of the forecast reference time variables to the NetCDF attributes. All
- aspects on the use of MET are provided in in the MET software documentation available online at
- 609 https://dtcenter.github.io/MET.

10 **7. Data availability**

- Data used in this paper was downloaded from the Copernicus Marine and Environment Monitoring
- 612 Service (CMEMS). The datasets used were:
- https://resources.marine.copernicus.eu/?option=com_csw&task=results?option=com_csw&view=de
- 614 <u>tails&product_id=OCEANCOLOUR_ATL_CHL_L4_NRT_OBSERVATIONS_009_037</u> (last
- 615 access: August 2019),
- https://resources.marine.copernicus.eu/?option=com_csw&view=details&product_id=NORTHWES
- TSHELF_ANALYSIS_FORECAST_BIO_004_002_b (last access: August 2019)

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- The AMM7v11 analyses were not operational at the time of this study and not yet available from the
- 620 CMEMS server.

8. Author contribution

- 622 All authors contributed to the introduction, data and methods, and conclusions. MM, RN, JM and CP
- 623 contributed to the scientific evaluation and analysis of the results. MM and RN designed and ran the
- 624 model assessments. CP supported the assessments through the provision and reformatting of the data
- 625 used. DF provided detail on the model configurations used.

9. Competing interests

The authors declare that they have no conflict of interest.

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- of a delegation agreement with the European Union.

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