#### Rotation of floating particles in submesoscale cyclonic and anticyclonic eddies: a model study for the southeastern Baltic Sea

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#### Abstract

We hypothesized that the overwhelming dominance of cyclonic spirals on satellite images of the sea surface could be caused by some sort of differences between rotary characteristics of the submesoscale cyclonic and anticyclonic eddies. This hypothesis was tested by means of numerical experiments with synthetic floating Lagrangian particles embedded offline in a regional circulation model of the southeastern Baltic Sea with very high horizontal resolution (0.125 nautical mile grid). The numerical experiments showed that the cyclonic spirals can be

formed both from a horizontally uniform initial distribution of floating particles and from the initially lined up particles during the advection time of the order of 1 day. Statistical processing of the trajectories of the synthetic floating particles allowed to conclude that the submesoscale cyclonic eddies differ from the anticyclonic eddies in three ways favouring the formation of spirals in tracer field: the former can be characterized by (a) a considerably higher angular velocity, (b) a more pronounced differential rotation and (c) a negative helicity.

Keywords: submesoscale eddies; cyclonic spirals; Baltic Sea; numerical modelling; satellite imagery.

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#### **1** Introduction

Spiral structures that can be treated as signatures of submesoscale eddies are a common feature on the synthetic aperture radar (SAR), infrared, and optical satellite images of the sea

surface (e.g. Munk et al., 2000; Laanemets et al., 2011; Karimova et al., 2012; Ginzburg et al.,

- 35 2017). The spirals are broadly distributed in the World Ocean, 10–25 km in size and overwhelmingly cyclonic (Munk et al., 2000). Walter Munk (Munk, 2001) has summarized a formation mechanism of the spirals as follows: "Under light winds favorable to visualization, linear surface features with high surfactant density and low surface roughness are of common occurrence. We have proposed that frontal formations concentrate the ambient shear and
- 40 prevailing surfactants. Horizontal shear instabilities ensue when the shear becomes comparable to the Coriolis frequency. The resulting vortices wind the linear features into spirals.". Horizontal shear instabilities were shown to favour cyclonic shear and cyclonic spirals for different reasons (Munk et al., 2000).
- The submesoscale flows are the upper ocean layer flows with horizontal length scale of the order of 0.1–10 km that are characterized by the Rossby number (the ratio of relative vertical vorticity to the Coriolis frequency) and the Richardson number (the ratio of the squared buoyancy frequency to the squared vertical shear) of the order of unity, as well as by a conspicuous asymmetry of the relative vertical vorticity distribution with a tail of enhanced positive (cyclonic) vorticity values (Thomas et al., 2008; McWilliams, 2016). Submesoscale
- 50 processes play an important role in turbulence and mixing of the upper ocean layer (Fox-Kemper et al., 2008, 2011; Thomas et al., 2008; McWilliams, 2016). While horizontal shear or barotropic instability is one possible mechanism for generating submesoscale eddies (Munk's hypothesis), more recent studies have shown that the mixed-layer baroclinic instabilities (Haine and Marshall, 1998) are a more plausible explanation for the observed submesoscale vortices (e.g., Eldevik and Dysthe, 2002; Boccaletti et al., 2007; Dewar et al.,

2015; Molemaker et al., 2015; Buckingham et al., 2017). Submesoscale structures and the associated instabilities were simulated using highresolution circulation models in various areas of the World Ocean such as the California

- Current system (Capet et.al., 2008; Dewar et al., 2015; Molemaker at al., 2015), the Gulf Stream (Gula et al., 2016), the Gulf of Mexico (Barkan et al., 2017). Similarly, highresolution circulation models with the horizontal grid of less than 0.6 km were implemented also to study submesoscale dynamics in the Baltic Sea (Vankevich et al., 2016; Väli et al., 2017, 2018; Vortmeyer-Kley et al., 2019; Zhurbas et al., 2019; Onken et al., 2019).
- Idealized, submesoscale-permitting model experiments (Brannigan, 2016; Brannigan et al., 2017) have shown that long spiral-like filaments in a surface pattern of a tracer field can be linked to the alternation of upwelling/downwelling cells with transverse wave length of the order of 1 km in the mixed layer of a differentially rotating eddy caused by the submesoscale

instabilities, namely the symmetric instability (e.g., Thomas et al., 2013). The submesoscale upwelling can bring nutrients from the thermocline to the mixed layer thereby increasing the

- biological productivity (Brannigan, 2016). An interplay between mesoscale dispersion and submesoscale clustering of flotsam was studied by field observations of a large number of surface drifters deployed within a test area in the Gulf of Mexico (D'Asaro et al., 2018). More than half of the surface drifter array covering ~20 × 20 km<sup>2</sup> aggregated into a 60 × 60 m<sup>2</sup> region within a week, a factor of more than 10<sup>5</sup> decrease in area, before slowly dispersing.
  The convergence occurred at submesoscale density fronts with vertical cyclonic vorticity ζ
  - exceeding the planetary vorticity  $f: \zeta/f > 1$ . Lining up of uniformly spaced synthetic floating particles at submesoscale density fronts with high cyclonic vorticity was simulated using a submesoscale-permitting model in the Gulf of Finland (Väli et al., 2018). Aggregation of
- submesoscale-permitting model in the Gulf of Finland (Väli et al., 2018). Aggregation of simulated floating particles at the edges of anticyclonic eddies as applied to biomass
  redistribution was explored in (Samuelson et al., 2012). An attempt to quantify the associated systematic changes to the density of particles in terms of so-called finite-time compressibility was made in (Kalda et al., 2014).

Spirals in the southeastern Baltic Sea were repeatedly observed in infrared (e.g. Zhurbas et al., 2004; Ginzburg et al., 2017), SAR (Karimova et al., 2012), and optical (e.g. Karimova et

- 85 al., 2012; Ginzburg et al., 2017) images. The most illustrative optical images have been encountered in summer when the spirals become visualized by the cyanobacteria blooms. Submesoscale processes can redistribute cyanobacteria mass to form both the spiral-like patches of enhanced concentration and the cyanobacteria free sites in the surface layer. Such redistribution has a positive impact on the ecosystem, since the existence of the cyanobacteria
- 90 free sites allows large grazers to persist, which can be an important mechanism for a successful re-establishment of the biodiversity after periods of cyanobacterial blooms (Reichwaldt, 2013). An example of a prominent cyclonic spiral located at a distance of 60 km north-northwest from the Cape Taran visible on Landsat-8 optical image due to cyanobacteria blooms is presented in Fig. 1. Note that the cyclonic spiral actually is a constituent of a vortex
- 95 pair consisting of coupled cyclonic and anticyclonic eddies, the latter located at about 30 km to the south of the former. However, the anticyclonic eddy does not form a prominent spiral like the cyclonic eddy.



Fig. 1. Landsat-8 true colour image of the southeastern Baltic Sea with a prominent cyclonic spiral located at a distance of about 60 km to the north-northwest from the Cape Taran. The image was downloaded from https://eos.com/landviewer (last access: 24 June 2018), © Copyright 2019, EOS DATA ANALYTICS, Inc © OpenStreetMap contributors.

To our mind the common occurrence of spirals on satellite images of the sea surface hints that the winding of the linear features of a tracer concentration in the course of development

- 105 of the horizontal shear instabilities and/or the mixed-layer baroclinic instabilities is not the only way to generate the spirals. Rather one may expect, based on modelling results (Väli et al., 2018), that the spirals can also be generated by the advection of a floating tracer in a velocity field inherent to mature, relatively long-living submesoscale eddies referred by McWilliams (2016) as submesoscale coherent vortices, and the initial tracer distribution is not
- 110 necessarily characterized by the linear surface features. If it holds, then for the predominance of cyclonic spirals over the anticyclonic spirals, some properties of the rotary motion of floating particles, such as angular velocity, differential rotation and helicity, should be different for cyclonic and anticyclonic eddies. The objective of this work is to understand the dominance of observed cyclonic spirals by assessing differences between rotary motion of
- 115 floating particles around the centre of submesoscale cyclonic and anticyclonic eddies using high resolution modelling of the Baltic Sea.

#### 2 Material and methods

#### 2.1 Model setup

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The General Estuarine Transport Model (GETM) (Burchard and Bolding, 2002) was applied to simulate the meso- and submesoscale variability of temperature, salinity, currents, and overall dynamics in the southeastern Baltic Sea. GETM is a primitive equation, 3dimensional, free surface, hydrostatic model with the embedded vertically adaptive coordinate scheme (Hofmeister et al., 2010; Gräwe et al., 2015). The vertical mixing is parametrized by 125 two equation k-ɛ turbulence model coupled with an algebraic second-moment closure (Canuto

et al., 2001; Burchard and Bolding, 2001). The implementation of the turbulence model is performed via General Ocean Turbulence Model (GOTM) (Umlauf and Burchard, 2005).

The horizontal grid of the high-resolution nested model with uniform step of 0.125 nautical miles (approximately 232 m) all over the computational domain, which covers the central 130 Baltic Sea along with the Gulf of Finland and Gulf of Riga (Fig. 2), was applied while in the vertical direction 60 adaptive layers were used, and the cell thickness in the surface layer within the study area (the Gulf of Gdańsk and the southeast Baltic Proper) did not exceed 1.8 m. The digital topography of the Baltic Sea with the resolution of 500 m (approximately 0.25 nautical miles) was obtained from the Baltic Sea Bathymetry Database (http://data.bshc.pro/) 135 and interpolated bilinearly to approximately 250 m resolution.

The model simulation run was performed from 1 April to 9 October 2015. The model domain had the western open boundary in the Arkona Basin and the northern open boundary at the entrance to the Bothnian Sea. For the open boundary conditions the one-way nesting approach was used and the results from the coarse resolution model were utilized at the boundaries. Sea-level fluctuations with 1-hourly resolution and temperature, salinity and current velocity profiles with 3-hourly resolution were interpolated using the nearest neighbour method in space to the higher resolution grid. In addition, the profiles were extended to the bottom of the high resolution model. The coarse resolution model covers the

entire Baltic Sea with an open boundary in the Kattegat and has the horizontal resolution of

145 0.5 nautical miles (926 m) over the whole model domain. The coarse resolution model run started from 1 April 2010 with initial thermohaline conditions taken from the Baltic Sea reanalysis for the 1989-2015 by the Copernicus Marine service. More detailed information on the coarse resolution model is available in Zhurbas et al. (2018).

The atmospheric forcing (the wind stress and surface heat flux components) is calculated 150 from the wind, solar radiation, air temperature, total cloudiness and relative humidity data generated by HIRLAM (High Resolution Limited Area Model) maintained operationally by the Estonian Weather Service with the spatial resolution of 11 km and temporal resolution of 1 hour (Männik and Merilain, 2007). The wind velocity components at the 10 m level along with other HIRLAM meteorological parameters are interpolated to the model grid.



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Fig. 2. Map of the high resolution model domain (filled colours) with the open boundary locations (black lines). Coarse resolution model domain (blank contours + filled colours) has an open boundary close to the Gothenburg station.

The freshwater input from 54 largest Baltic Sea rivers together with their inter-annual variability is taken into account in the coarse resolution model. The original dataset consists of daily climatological values of discharge for each river, but inter-annual variability is added by adjusting the freshwater input to different basins of the sea to match the values reported annually by HELCOM (Johansson, 2018).

The initial thermohaline field was obtained from the coarse resolution model for 1 April 2015 and interpolated using the nearest neighbour method to the high-resolution model grid.

In addition, as the adaptive vertical coordinates were used in both setups, the T/S profiles from coarse resolution were linearly interpolated to fixed 10 m vertical resolution before interpolation to the high resolution. The prognostic model runs were started from motionless state and zero sea surface elevation. The spin-up time of the southern Baltic Sea model under

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the atmospheric forcing was expected to be within 10 days (Krauss and Brügge, 1991; Lips et al., 2016), while the model output for comparison with the respective satellite imagery was obtained after 45 days of simulation.

# 2.2 Application of synthetic floating particles approach to extract rotary characteristics of submesoscale cyclones/anticyclones

In order to characterize the submesoscale eddies, we estimated eddy radius *R*, the dependence of angular velocity of rotation ω(r) on radial distance from the eddy centre r, angular velocity in the eddy centre ω<sub>0</sub> ≡ ω(0), differential rotation parameter *Dif* = [ω(0) - ω(R)]/ω(0) and helicity parameter *Hel*, which will be defined later. The approach to calculate ω(r) and other parameters is illustrated in Fig. 3, where a pseudo-trajectory of a synthetic floating particle deployed within a modelled submesoscale eddy is presented. The pseudo-trajectory was calculated using a frozen velocity field, i.e. we took the modelled surface velocities for a given instant and kept the velocity field stationary during the whole advection period.



185 Fig. 3. An example of pseudo-trajectory of a synthetic floating particle deployed in a submesoscale eddy. The pseudo-trajectory was calculated using a surface velocity field in the southeastern Baltic Sea simulated for the time moment 15 May 2015, 12:00 (the frozen field

approach). The particle was released in the periphery of the submesoscale cyclonic eddy c1 (see Fig. 4).

190 If  $t_1$  and  $t_2$  are the start and end time of a full particle loop (see Fig. 3), respectively, then current values of  $\omega$  and *r* can be calculated as

$$\omega = 2\pi/(t_2 - t_1), r = l/(2\pi), \tag{1}$$

where l is the length of the pseudo-trajectory loop corresponding to the time interval  $[t_1, t_2]$ .

Note that a plain linear relation between the vertical vorticity  $\zeta$  and the frequency of rotation in the axisymmetric eddy,  $\zeta = 2\omega$ , is valid only for the solid-body rotation when  $\omega(r) = const$ , while for the differential rotation a more complicated formula is applied

$$\zeta = \frac{1}{r} \left[ \frac{\partial}{\partial r} \left( r V_{\varphi} \right) \right] = \frac{1}{r} \left[ \frac{\partial}{\partial r} \left( r^2 \omega \right) \right] = 2\omega + r \frac{\partial \omega}{\partial r}, \quad (2)$$

where  $V_{\varphi}$  is the transversal component of velocity.

The helicity parameter can be introduced as

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$$Hel = \frac{\delta r}{r} = \frac{r_2 - r_1}{0.5(r_1 + r_2)},$$
 (3)

where  $\delta r$  is the change of *r*, either positive or negative, for two consecutive loops with radii  $r_1$ and  $r_2$ , respectively (see Fig. 3). In the case of the axisymmetric eddy the helicity parameter Eq. (3) can be rewritten as  $Hel = 2\pi V_r/V_{\varphi}$ , where  $V_r$  is the radial component of velocity, and in the case of no differential rotation/divergence in the axisymmetric eddy it can be expressed through the ratio of divergence  $D = 2V_r/r = const$  and vorticity  $\zeta = 2V_{\varphi}/r = const$  as  $Hel = 2\pi D/\zeta$ . In view of continuity the vertical velocity W, which is responsible for upwelling/downwelling in the eddy, is determined near the surface by horizontal divergence D and depth z as W = zD. Deploying synthetic floating particles at different distance from the eddy centre and applying approach Eq. (1)–(3), one can build functions  $\omega(r)$  and Hel(r).

- 210 The modelled velocities were bilinearly interpolated to the current position of the particle within the grid cell. Therefore, if the initial position of the particle was taken close enough to the exact centre of the eddy, the radius of the loop r would be sufficiently small, e.g. smaller than the grid cell size dx, dy = 232 m. The frequency of particle's rotary motion at  $r \approx 0.5 dx \approx 100$  m was taken for  $\omega(0)$ . If a particle is deployed at a large enough distance from
- 215 the eddy centre, the pseudo-trajectory will inevitably cease to be looped, and the largest *r* calculated from a still loop-shaped trajectory is taken for eddy radius *R*. Once  $\omega(r)$ , Hel(r) and *R* are calculated, one can assess differential rotation *Dif*, mean helicity parameter  $\langle Hel \rangle$  as well as angular velocity in the eddy centre  $\omega_0$  as

$$Dif = \frac{\omega(0) - \omega(R)}{\omega(0)}, \langle Hel \rangle = \frac{1}{R} \int_0^R Hel(r) dr, \, \omega_0 = \omega(0).$$
(4)

According to Eq. (4) the differential rotation parameter was introduced as a relative change of the frequency of rotation between the eddy centre and the periphery. Instead of ω<sub>0</sub> we used normalized frequency of rotation in the eddy centre Ω<sub>0</sub> = 2ω<sub>0</sub>/f, where f is the Coriolis frequency. Note that *Hel(r)* is, in principle, an alternating function which proves the necessity of its averaging to get the bulk value (*Hel*). The positive/negative value of (*Hel*) manifests the divergence/convergence of currents and the related upwelling/downwelling in the surface layer of the eddy.

Large value of Dif and  $\omega_0$  and the negative value of Hel(r) favour the formation of spirals in the tracer field from linear features. Indeed, if Dif = 0 (solid-body rotation) the linear feature within the eddy will remain linear but rotated by some angle relative to the 230 initial position (i.e. no spiral pattern is formed), whereas a positive  $\langle Hel \rangle$  will result in sweeping the particles out from the eddy core, thus making the spiral less visible. And the large value of  $\omega_0$  will accelerate the formation of the spiral in the tracer field, provided that Dif is large enough and  $\langle Hel \rangle$  is negative (or sufficiently small positive). Since the spirals are known to be overwhelmingly cyclonic, one may expect that Dif and  $\omega_0$  will be larger and 225 (Hal) will be ameller for the submesseed equalorie addies relative to those for the

235  $\langle Hel \rangle$  will be smaller for the submesoscale cyclonic eddies relative to those for the anticyclonic eddies.

Apart from the above defined rotary characteristics of submesoscale eddies calculated from frozen velocity field, we utilized some numerical experiments with the deployment of synthetic floating particles in the modelled non-stationary (not frozen) velocity field, namely,

240 when the particles were uniformly seeded on the sea surface, and when the particles were seeded on a line passed through the centre of a cyclonic or anticyclonic eddy.

The trajectories of synthetic floating particles were calculated using simulated current velocity in the uppermost model cell with 10 min temporal resolution by means of numerical integration of plain equations of the Lagrangian particle advection with a Runge-Kutta scheme of higher order of accuracy (Väli et al., 2018).

#### **3 Results**

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# 3.1 Modelled submesoscale fields of surface velocity and temperature in comparison with satellite imagery

Modelled snapshots of surface layer temperature, salinity and currents with submesoscale resolution in the southeastern Baltic Sea for 15 May, 8 June and 3 July 2015 are shown in Figs. 4–6, respectively. These snapshots were chosen just because they corresponded to three

days in the beginning of the modelling period for which there were satellite images available
(one of the images is presented in Fig. 1). The snapshots demonstrate a quite dense packing of
the sea surface with submesoscale eddies. Similar dense packing of the sea surface with
submesoscale eddies was observed in Envisat ASAR WSM images of the southeastern Baltic
Sea (Karimova et al., 2012). Looking at the snapshots of the surface layer currents (panels (c)
in Figs. 4–6), one cannot see any predominance of the number of cyclones over the number of
anticyclones or vice versa. However, the surface layer temperature and salinity snapshots
(panels (a) and (b), respectively), clearly demonstrate a large number of spiral structures
linked with the submesoscale cyclonic eddies, while the submesoscale anticyclones, as a rule,
do not manifest themselves by well-defined spirals.

Despite the salinity is believed to be a more conservative tracer than temperature, the spirals in the temperature field seem more pronounced to those in the salinity field (cf. Figs. 4–6, (a) and (b)). Probably, the reason lies in the fact that the mixed layer under the conditions of the seasonal thermocline is characterized by small but noticeable vertical temperature gradients and vanishingly small vertical salinity gradients. Following Branningan (2016), it can be assumed that the spirals in the surface temperature field are associated with the alternation of upwelling/downwelling cells with transverse wave length of the order of 1 km in the mixed layer of a differentially rotating eddy, caused by submesoscale instabilities.

- 270 Some of the simulated submesoscale eddies shown in Figs. 4–6 were chosen for further calculations of their rotary characteristics by means of the approach described in Chapter 2.2. In total, the calculations were performed for 18 anticyclonic and 18 cyclonic eddies marked in Figs. 4–6, panels (c) as a1–a18 and c1–c18, respectively. The eddies were chosen by hand as the most prominent vortices seen in Figs. 4–6. The number of vortices to be processed (18
- 275 cyclones and 18 anticyclones) was selected as a compromise between the requirement to provide statistically significant results and the time spent on obtaining a suitable sample of eddies. Note that the procedure for calculating the rotary characteristics of the eddy described in Chapter 2.2 was not fully automated and, therefore, was quite time-consuming. The results are presented in Chapter 3.4.



Fig. 4. Modelled fields of the surface layer parameters in the southeastern Baltic Sea on 15 May 2015, 12:00: temperature (a), salinity (b), current velocity (c), and spatial distribution of uniformly released synthetic floating Lagrangian particles (d) after 1 day of advection. The red labels in panel (c) point at cyclonic (c1, c2, etc.) and anticyclonic (a1, a2, etc.) eddies used to calculate rotary characteristics in Chapter 3.4 (see Table 1).



Fig. 5. The same as in Fig. 4 but for 8 June 2015, 12:00.



Fig. 6. The same as in Figs. 4 and 5 but for 3 July 2015, 12:00.

Note that the modelled snapshots of surface layer temperature and currents presented in Fig. 6 correspond to the date 3 July 2015, for which we have a true colour image of the southeastern Baltic Sea from Landsat-8 (Fig. 1). A vortex pair seen in the satellite image at the distance of 30–60 km northwest from the Cape Taran can be also identified in the simulated temperature and current fields of the surface layer; it is labelled as c14 and a13 in Fig. 6c. Moreover, to the south from the vortex pair c14–a13 in the Gulf of Gdańsk, both the model and the satellite image display 2–3 cyclonic eddies (cf. Figs. 1 and 6). The fact that a vortex pair of almost the same size and orientation was modelled in almost the same place and at the same time as the observed vortex pair can be considered as a validation of the model.

#### 3.2 Numerical experiments with spatially uniform release of 300 synthetic floating particles

Patterns formed on the sea surface by synthetic floating Lagrangian particles were shown to be a powerful tool to visualize the mesoscale/submesoscale structures (Väli et al., 2018). Examples of such patterns are also presented in Figs. 4–6, panels (d). The particles were seeded uniformly (i.e. one particle in the centre of the every grid bin, the total number of particles was approx. 1 million) within the model domain a day before the date specified in Figs. 4–6 and carried by the simulated nonstationary currents during 1 day (i.e. τ = 1 day, where τ is the advection time). Soon after the release of synthetic floating particles, the horizontally uniform distribution of particles was transformed into a pattern that resembles the corresponding maps of oceanographic tracers such as temperature and/or salinity in the surface layer. Note, that within just one day of advection the uniformly distributed particles clustered predominantly into cyclonic spirals corresponding to submesoscale eddies.

# 3.3 Numerical experiments with linearly aligned release of synthetic floating particles in submesoscale cyclones/anticyclones

Keeping in mind that according to Munk et al. (2000) the spirals can be formed from linear
surface features winded by vortices, numerical experiments were performed with synthetic floating particles initially clustered in zonally aligned features intersecting the centres of the submesoscale cyclones marked as c13–c18, and anticyclones marked as a13–a16 and a18 in Fig. 6. Figure 7 shows the evolution of a linear feature of a large number of synthetic floating particles in 1 and 2 days of advection in the simulated velocity field. Note that the anticyclone a17 was omitted because this eddy occurred to be too young: it could not be clearly identified two days before 3 July 2015 to seed synthetic particles on a line passed through its centre. It is clearly seen from Fig. 7 that the spirals were formed only from the linear features embedded into the submesoscale cyclonic eddies, while the linear features in the anticyclonic eddies transformed to some curves of irregular shape.

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Fig. 7. Patterns formed in 03 July 2015 from zonally elongated linear features passing through the centres of the simulated submesoscale cyclonic (black curves) and anticyclonic (red curves) eddies after one (left) and two (right) days of advection. The linear features included a large number (2000) of synthetic floating particles deployed a day (left) and two days (right) before 03 July 2015, 12:00.

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### 3.4 Numerical experiments with the release of synthetic floating particles in a frozen velocity field to extract rotary characteristics of submesoscale cyclones/anticyclones

- 335 Applying the approach described in Chapter 2.2 rotary characteristics R,  $\Omega_0 = 2\omega_0/f$ , *Dif* and *(Hel)* were calculated for 18 anticyclonic eddies and 18 cyclonic eddies (marked as a1–a18 and c1–c18, respectively, in Figs. 4–6, panels (c)). The rotary characteristics of individual eddies along with the mean values, standard deviations and 95% confidence intervals calculated for the anticyclones and cyclones separately are presented in
- Table 1 (see Appendix). For clarity, the scatter plots of *R*, *Dif* and  $\langle Hel \rangle$  versus  $\Omega_0$  are shown in Fig. 8.



Fig. 8. Scatter plots of helicity (a) and differential rotation (b) parameters and radius (c) of a submesoscale eddy versus the normalized frequency of rotation  $\Omega_0 = 2\omega_0/f$  in the eddy centre. Horizontal and vertical lines are the mean values (solid) and 95% confidence limits (dotted) of the parameters calculated separately for the anticyclonic ( $\Omega_0 < 0$ , red lines/symbols) and cyclonic ( $\Omega_0 > 0$ , black lines/symbols) eddies based on the Student t-distribution.

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The statistics of the submesoscale eddy size R is almost the same for anticyclones and 0 cyclones with the mean values of 7.22 km and 7.03 km, respectively. In contrast to the eddy size R, the rotary characteristics of submesoscale cyclones, such as  $\Omega_0$ , *Dif* and  $\langle Hel \rangle$ , differ considerably from respective values of the anticyclones. Namely, the mean value of  $\Omega_0$  is 1.65 for cyclones and -0.57 for anticyclones, i.e. the absolute frequency of rotation in the centre of cyclonic eddy is on average three times larger than in the anticyclone. It is also important that the cyclonic eddies are characterized by much more pronounced differential rotation (the mean value of *Dif* is 6.73 in the cyclones versus 2.38 in the anticyclones). Lastly, there is a substantial difference in the helicity: the rotary motion of a particle around the centre of the submesoscale cyclonic eddy is accompanied on the average by a shift towards the eddy centre (the mean value of *{Hel}* is negative (-0.06)), while in an anticyclone a particle moves on the average away from the centre (the mean value of *{Hel}* is positive (0.57)). It is worth noting that the 95% confidence intervals for the mean values of *Dif* and *{Hel}* of the cyclonic eddies do not overlap those of the anticyclonic eddies.

Finally, Fig. 9 presents the plots of normalized frequency of rotation  $\omega/\omega_0$  versus radial distance from the eddy centre r/R of the modelled submesoscale cyclonic (a) and anticyclonic (b) eddies. The ensemble mean curve of  $\omega/\omega_0 = F(r/R)$  for cyclones displays much larger drop of the rotation frequency away from the eddy centre (i.e. the more pronounced differential rotation) and the positive curvature (second derivative F'' is positive). On the contrary, the ensemble mean curve of  $\omega/\omega_0 = F(r/R)$  for anticyclones displays much smaller drop of the rotation frequency away from the eddy centre (i.e. the less pronounced differential rotation) and the negative curvature (second derivative F'' is negative).



Fig. 9. Normalized dependence of angular velocity of rotation  $\omega/\omega_0$  on radial distance from the eddy centre r/R in the simulated submesoscale eddies: cyclones c1–c18 (a) and anticyclones a1–a18 (b) (thin dashed curves). The bold solid and bold dotted curves are the ensemble means and the 95% confidence intervals, respectively. The black/red curves correspond to the cyclonic/anticyclonic eddies.

#### 4 Discussion and Conclusions

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As stated in the Introduction, this work is aimed to investigate the differences between rotary characteristics of submesoscale cyclonic and anticyclonic eddies which, in our opinion, would explain the overwhelming dominance of cyclonic spirals on the satellite images of the sea surface recorded in SAR, infrared and optical ranges. In this study we used numerical experiments with floating Lagrangian particles embedded offline in a regional circulation model of the southeastern Baltic Sea with very high horizontal resolution (0.125 nautical mile grid).

- 385 The numerical experiments showed that the cyclonic spirals can be formed both from a horizontally uniform initial distribution of floating particles and from the initially lined up particle clusters during the advection time of the order of 1 day. While the formation of the predominantly cyclonic spirals in the tracer field from the linear features in the course of development of horizontal shear instabilities and the mixed-layer baroclinic instabilities is a
- 390 well-known effect which was thoroughly discussed by Munk et al. (2000) and Eldevik and Dysthe (2002), a quick regrouping of the floating particles from horizontally uniform distribution to cyclonic spirals in the course of advection in the submesoscale velocity field is a surprising phenomenon which was first mentioned by Väli et al. (2018).

We addressed several rotary characteristics of submesoscale eddies which could be 395 potentially responsible for the predominant formation of cyclonic spirals in the tracer field such as

- normalized frequency of rotation in the eddy centre  $\Omega_0 = 2\omega_0/f$  (the higher the frequency, the faster the spiral can be formed);
- differential rotation parameter  $Dif = [\omega(0) \omega(R)]/\omega(0)$  (the spirals cannot be formed from linear features at the solid-body rotation when Dif = 0);
  - helicity parameter (*Hel*) defined in Chapter 2.2 (if (*Hel*) < 0 the particles shift towards the eddy centre which makes the spiral more visible, and, on the contrary, if (*Hel*) > 0 the particles shift away from the eddy centre which makes the spiral less visible).
- 405 To calculate  $\Omega_0$ , *Dif*,  $\langle Hel \rangle$  and eddy radius *R* the approach described in Chapter 2.2 was applied to the pseudo-trajectories of synthetic floating particles in a frozen velocity field (i.e. the velocity field simulated by the circulation model for a given instant was kept stationary for the entire period of advection). As a result, we obtained estimates of  $\Omega_0$ , *Dif*,  $\langle Hel \rangle$  and *R* for

18 cyclonic and 18 anticyclonic submesoscale eddies simulated in the southeastern Baltic Sea

410 in May–July 2015.

The ensemble mean value of eddy radius R was 7.22 and 7.03 km for the anticyclones and cyclones, respectively, with strong overlap of the 95% confidence intervals. Therefore, one may conclude that the submesoscale cyclonic eddies are indistinguishable by size from the submesoscale anticyclonic eddies.

415 In contrast to R, the ensemble mean values of  $\Omega_0$ , Dif and  $\langle Hel \rangle$  occurred to be substantially different for the cyclonic and anticyclonic eddies and the difference of all three rotary characteristics indicated the predominant formation of cyclonic spirals in the tracer field. Indeed, the ensemble mean values of  $\Omega_0$ , *Dif* and *(Hel)* were 1.65 vs. -0.57, 6.73 vs. 2.38 and -0.06 vs. 0.57 for cyclones and anticyclones, respectively, and the 95% confidence intervals did not overlap (see Table 1 and Fig. 8). Therefore, on the average the submesoscale 420 cyclonic eddies, in comparison to the anticyclonic ones, rotate three times faster, have three times larger difference of the frequency of rotation between the eddy centre and the periphery, as well as display the tendency of shifting floating particles towards the eddy centre ( $\langle Hel \rangle <$ 0). Note that the negative/positive value of the helicity parameter  $\langle Hel \rangle$  in the 425 cyclonic/anticyclonic eddies is in accordance with the negative correlation between relative vorticity and vertical velocity in the submesoscales reported by Väli et al. (2017) (i.e. submesoscale cyclonic/anticyclonic eddies are characterized mostly by downwelling/upwelling).

The physical intuition for faster spinning of cyclonic eddies vs anticyclonic eddies can be gained from conservation of potential vorticity in a fluid parcel (e.g., Väli et al. (2017):  $(\zeta + f)\rho_z = const$ , where  $\rho_z$  is the vertical gradient of density. If the parcel undergoes ultimate vertical stretching  $(\rho_z/\rho_z(0) \rightarrow 0)$ , where  $\rho_z(0)$  is the initial value of  $\rho_z$ ) given that it does not spin initially ( $\zeta(0) = 0$ ), it will acquire unlimited cyclonic rotation:  $\Omega = \zeta/f = \rho_z(0)/\rho_z - 1 \rightarrow \infty$ . On the contrary, if the parcel undergoes ultimate vertical squeezing  $(\rho_z/\rho_z(0) \rightarrow \infty)$ , it will acquire anticyclonic rotation limited from above:  $\Omega \rightarrow -1 + 0$ . The above considerations make it clear why in Fig. 8 in all cyclonic eddies  $\Omega_0 > 1$ , while in all anticyclonic eddies except one the rotation speed is within  $-1 < \Omega_0 < 0$ . As to the positive/negative value of helicity in anticyclonic/cyclonic eddy, it can be intuitively understood taking into account that the related upwelling/downwelling implies potential energy loss and, therefore, relaxation of the eddy. The frequency of rotation of submesoscale eddies was found to decrease with the radial distance (i.e., the rotation is differential rather than solid-body). However, a certain similarity of solid-body rotation is still inherent in the submesoscale anticyclones, where the difference in the frequency of rotation between the eddy centre and periphery is relatively small, and the second derivative of frequency with respect to radial distance is negative (see Fig. 9b). In contrast to the submesoscale anticyclones, in the submesoscale cyclones, where the difference in the frequency of rotation between the centre and the periphery is much larger, and the second derivative of frequency with respect to radial distance is positive, one cannot see even a hint of the solid-body rotation (cf. Fig. 9, a and b).

- We realize that a scenario presented in Chapter 3.3 where the spiral in the tracer field is formed from synthetic floating particles seeded on a line passed through the centre of a mature submesoscale cyclonic or anticyclonic eddy is barely realistic because one can hardly imagine a natural phenomenon capable to provide such kind of seeding. However, the two other scenarios, i.e. when the spirals come from advection of uniformly seeded floating particles into velocity field of a mature eddy (see Chapter 3.2) and from reshaping of a linear tracer feature aligned to the density front in the course of development of a kind of frontal instability (the Munk's hypothesis), seem quite realistic. In our opinion, depending on the specific conditions of the ocean environment, either the first or second of two realistic scenarios may prevail.
- 460 Statistical processing of the trajectories of the synthetic floating particles allowed to conclude that the submesoscale cyclonic eddies differ from the anticyclonic eddies in three ways favouring the formation of spirals in the tracer field: the former can be characterized by (a) a considerably higher angular velocity, (b) a more pronounced differential rotation and (c) a negative helicity. The differences in rotary characteristics of submesoscale cyclonic and anticyclonic eddies were statistically assessed from a limited model output for early summer 2015 in the southeast Baltic Sea, and we could not exclude seasonal and interannual variability of the studied parameters as well as some dependences on the eddy age and lifespan. These issues could be the subject for future research.

### 5 Appendix

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Table 1. Rotary characteristics of submesoscale cyclonic and anticyclonic eddies.

Eddy ID	R, km	$\Omega_0 = 2\omega_0/f$	(Hel)	Dif
al	16.22	-0.24	0.72	1.86
a2	5.26	-0.48	0.36	2.11
a3	7.72	-0.40	0.35	3.45
a4	6.63	-0.45	0.07	1.86
a5	6.42	-0.34	1.14	3.02
a6	5.71	-0.49	1.08	2.21
a7	4.82	-0.46	1.00	1.67
a8	1.36	-1.56	-0.04	1.59
a9	11.03	-0.56	-0.03	4.18
a10	7.18	-0.47	-0.07	1.99
a11	11.62	-0.53	1.48	3.46
a12	4.33	-0.54	0.35	1.71
a13	11.32	-0.41	0.86	2.30
a14	6.71	-0.84	1.00	3.20
a15	5.35	-0.96	0.66	2.70
a16	10.14	-0.40	0.72	3.41
a17	3.41	-0.36	-0.04	-0.71
a18	4.68	-0.77	0.61	2.77
a1-a18: mean	7.22	-0.57	0.57	2.38
standard deviation	3.60	0.31	0.48	1.08
95% conf. interval	[5.43, 9.01]	[-0.72, -0.42]	[0.33, 0.81]	[1.84, 2.92]
c1	4.67	1.67	-0.42	2.95
c2	6.07	3.66	0.00	8.19
c3	2.69	2.59	0.25	2.79
c4	4.02	1.01	0.09	4.33
c5	7.92	1.09	0.08	5.68
сб	8.51	0.96	-0.15	6.72
c7	4.34	1.62	0.20	3.36
c8	6.67	1.41	-0.13	13.25
c9	14.59	1.60	0.07	11.31
c10	5.28	2.48	0.31	7.08
c11	2.97	1.33	-0.21	3.61
c12	11.72	1.58	-0.10	10.20
c13	7.90	1.30	-0.06	9.84
c14	6.86	1.43	0.20	3.60
c15	9.04	1.60	0.18	5.16
c16	4.96	1.85	-0.56	4.58
c17	3.82	1.30	-0.38	3.27
c18	7.27	1.17	-0.46	6.37
c1–c18: mean	7.03	1.65	-0.06	6.73
standard deviation	3.26	0.67	0.26	3.31
95% conf. interval	[5.40, 8.66]	[1.32, 1.98]	[-0.19, 0.07]	[5.08, 8.39]

#### Code/Data availability

475 No code/data are supplemented to this manuscript.

#### Author contribution

Victor Zhurbas designed and performed numerical experiments with floating Lagrangian particles, as well as prepared the manuscript with contributions from all co-authors. Germo Väli performed submesoscale circulation modelling. Natalia Kuzmina provided physical interpretation of the numerical experiments with floating Lagrangian particles.

**Competing interests** 

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The authors declare that they have no conflict of interest.

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#### Rotation of floating particles in submesoscale cyclonic and anticyclonic eddies: a model study for the southeastern Baltic Sea

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#### Abstract

We hypothesized It was assumed that the overwhelming dominance of cyclonic spirals on15satellite images of the sea surface could be caused by some sort of differences between rotary<br/>characteristics of the submesoscale cyclonic and anticyclonic eddies. This hypothesis was<br/>tested by means of numerical experiments with synthetic floating Lagrangian particles<br/>embedded offline in a regional circulation model of the southeastern Baltic Sea with very high<br/>horizontal resolution (0.125 nautical mile grid). The numerical experiments showed that the

20 cyclonic spirals can be formed both from a horizontally uniform initial distribution of floating particles and from the initially lined up particles during the advection time of the order of 1 day. Statistical processing of the trajectories of the synthetic floating particles allowed to conclude that the submesoscale cyclonic eddies differ from the anticyclonic eddies in three ways favouring the formation of the spirals in tracer field: the former can be characterized by
 25 (a) a considerably higher angular velocity, and (b) a more pronounced differential rotation and (c) as well as by a negative helicity.

Keywords: submesoscale eddies; cyclonic spirals; Baltic Sea; numerical modelling; satellite imagery.

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#### **1** Introduction

Spiral structures that can be treated as signatures of submesoscale eddies are a common feature on the synthetic aperture radar (SAR), infrared, and optical satellite images of the sea

surface (e.g. Munk et al., 2000; Laanemets et al., 2011; Karimova et al., 2012; Ginzburg et al.,

- 35 2017). The spirals are broadly distributed in the World Ocean, 10–25 km in size and overwhelmingly cyclonic (Munk et al., 2000). Walter Munk (Munk, 2001) has summarized a formation mechanism of the spirals as follows: "Under light winds favorable to visualization, linear surface features with high surfactant density and low surface roughness are of common occurrence. We have proposed that frontal formations concentrate the ambient shear and
- 40 prevailing surfactants. Horizontal shear instabilities ensue when the shear becomes comparable to the Coriolis frequency. The resulting vortices wind the linear features into spirals.". Horizontal shear instabilities were shown to favour cyclonic shear and cyclonic spirals for different reasons (Munk et al., 2000).
- Note that tThe submesoscale flows are the upper ocean layer flows with horizontal length
  scale of the order of 0.1–10 km that are characterized by the Rossby number (the ratio of relative vertical vorticity to the Coriolis frequency) and the Richardson number (the ratio of the squared buoyancy frequency to the squared vertical shear) of the order of unity, as well as by a conspicuous asymmetry of the relative vertical vorticity distribution with a tail of enhanced positive (cyclonic) vorticity values (Thomas et al., 2008; McWilliams, 2016).
  Submesoscale processes play an important role in turbulence and mixing of the upper ocean layer (Fox-Kemper et al., 2008, 2011; Thomas et al., 2008; McWilliams, 2016). While horizontal shear or barotropic instability is one possible mechanism for generating submesoscale eddies (Munk's hypothesis), more recent studies have shown that the mixed-
- layer baroclinic instabilities (Haine and Marshall, 1998) are a more plausible explanation for
  the observed submesoscale vortices (e.g., Eldevik and Dysthe, 2002; Boccaletti et al., 2007;
  Dewar et al., 2015; Molemaker et al., 2015; Buckingham et al., 2017).

Submesoscale structures and the associated instabilities were simulated using highresolution circulation models in various areas of the World Ocean such as the California Current system (Capet et.al., 2008; Dewar et al., 2015; Molemaker at al., 2015), the Gulf

- Stream (Gula et al., 2016), the Gulf of Mexico (Barkan et al., 2017). Similarly, high-resolution circulation models with the horizontal grid of less than 0.6 km were implemented also to study submesoscale dynamics in the Baltic Sea (Vankevich et al., 2016; Väli et al., 2017, 2018; Vortmeyer-Kley et al., 2019; Zhurbas et al., 2019; Onken et al., 2019).
  - Idealized, submesoscale-permitting model experiments (Brannigan, 2016; Brannigan et al.,
  - 2017) have shown that long spiral-like filaments in a surface pattern of a tracer field can be linked to the alternation of upwelling/downwelling cells with transverse wave length of the order of 1 km in the mixed layer of a differentially rotating eddy caused by the submesoscale

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instabilities, namely the symmetric instability (e.g., Thomas et al., 2013). The submesoscale upwelling can bring nutrients from the thermocline to the mixed layer thereby increasing the

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biological productivity (Brannigan, 2016). An interplay between mesoscale dispersion and submesoscale clustering of flotsam was studied by field observations of a large number of surface drifters deployed within a test area in the Gulf of Mexico (D'Asaro et al., 2018). More than half of the surface drifter array covering  $\sim 20 \times 20 \text{ km}^2$  aggregated into a  $60 \times 60 \text{ m}^2$ region within a week, a factor of more than  $10^5$  decrease in area, before slowly dispersing. 75 The convergence occurred at submesoscale density fronts with vertical cyclonic vorticity  $\zeta$ exceeding the planetary vorticity  $f: \zeta/f > 1$ . Lining up of uniformly spaced synthetic floating particles at submesoscale density fronts with high cyclonic vorticity was simulated using a submesoscale-permitting model in the Gulf of Finland (Väli et al., 2018). Aggregation of simulated floating particles at the edges of anticyclonic eddies as applied to biomass 80 redistribution was explored in (Samuelson et al., 2012). An attempt to quantify the associated systematic changes to the density of particles in terms of so-called finite-time compressibility was made in (Kalda et al., 2014).

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To our mind the common occurrence of spirals on satellite images of the sea surface hints that the winding of the linear features in the course of development of the horizontal shear instabilities and/or the mixed-layer baroclinic instabilities is not the only way to generate the spirals. Rather one may expect that the spirals can also be generated by the advection of a floating tracer in a velocity field inherent to mature, relatively long-living submesoscale/mesoscale eddies, and the initial tracer distribution is not necessarily characterized by the linear surface features. If it holds, then for the predominance of cyclonic spirals over the anticyclonic spirals, some properties of the rotary motion of floating particles, such as angular velocity, differential rotation and helicity, should be different for cyclonic and anticyclonic eddies. The objective of this work is to assess the differences between floating particles rotation in the submesoscale cyclonic and anticyclonic eddies, which can be responsible for overwhelmingly cyclonic spirals in the satellite images, by means of a very high resolution modelling as applied to the southeastern Baltic Sea.

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Spirals in the southeastern Baltic Sea were repeatedly observed in infrared (e.g. Zhurbas et al., 2004; Ginzburg et al., 2017), SAR (Karimova et al., 2012), and optical (e.g. Karimova et al., 2012; Ginzburg et al., 2017) images. The most illustrative Most fabulous optical images have been encountered in summer when the spirals become visualized by the cyanobacteria blooms. Submesoscale processes can redistribute cyanobacteria mass to form both the spiral-

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Such redistribution has a positive impact on the ecosystem, since the existence of the cyanobacteria free sites allows large grazers to persist, which can be an important mechanism for a successful re-establishment of the biodiversity after periods of cyanobacterial blooms

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(Reichwaldt, 2013). An example of a prominent cyclonic spiral located at a distance of 60 km north-northwest from the Cape Taran visible on Landsat-8 optical image due to cyanobacteria blooms is presented in Fig. 1. Note that the cyclonic spiral actually is a constituent of a vortex pair consisting of coupled cyclonic and anticyclonic eddies, the latter located at about 30 km to the south of the former. However, the anticyclonic eddy does not form a prominent spiral

like the cyclonic eddy. As it was mentioned above, a better visualization of the cyclonic 110 spirals is supposedly related to some differences between floating particles rotation in submesoscale cyclonic and anticyclonic eddies which will be investigated hereafter.



Fig. 1. Landsat-8 true colour image of the southeastern Baltic Sea with a prominent cyclonic spiral located at a distance of about 60 km to the north-northwest from the Cape Taran. The image was downloaded from https://eos.com/landviewer (last access: 24 June 2018), © Copyright 2019, EOS DATA ANALYTICS, Inc © OpenStreetMap contributors.

To our mind the common occurrence of spirals on satellite images of the sea surface hints that the winding of the linear features of a tracer concentration in the course of development of the horizontal shear instabilities and/or the mixed-layer baroclinic instabilities is not the only way to generate the spirals. Rather one may expect, based on modelling results (Väli et al., 2018), that the spirals can also be generated by the advection of a floating tracer in a velocity field inherent to mature, relatively long-living submesoscale eddies referred by McWilliams (2016) as submesoscale coherent vortices, and the initial tracer distribution is not

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- 125 necessarily characterized by the linear surface features. If it holds, then for the predominance of cyclonic spirals over the anticyclonic spirals, some properties of the rotary motion of floating particles, such as angular velocity, differential rotation and helicity, should be different for cyclonic and anticyclonic eddies. The objective of this work is to understand the dominance of observed cyclonic spirals by assessing differences between rotary motion of 130 floating particles around the centre of submesoscale cyclonic and anticyclonic eddies using high resolution modelling of the Baltic Sea.

#### Material and methods 2

#### 2.1 Model setup

135 The General Estuarine Transport Model (GETM) (Burchard and Bolding, 2002) was applied to simulate the meso- and submesoscale variability of temperature, salinity, currents, and overall dynamics in the southeastern Baltic Sea. GETM is a primitive equation, 3dimensional, free surface, hydrostatic model with the embedded vertically adaptive coordinate scheme (Hofmeister et al., 2010; Gräwe et al., 2015). The vertical mixing is parametrized by 140 two equation k-ɛ turbulence model coupled with an algebraic second-moment closure (Canuto et al., 2001; Burchard and Bolding, 2001). The implementation of the turbulence model is performed via General Ocean Turbulence Model (GOTM) (Umlauf and Burchard, 2005).

The horizontal grid of the high-resolution nested model with uniform step of 0.125 nautical miles (approximately 232 m) all over the computational domain, which covers the central 145 Baltic Sea along with the Gulf of Finland and Gulf of Riga (Fig. 2), was applied while in the vertical direction 60 adaptive layers were used, and the cell thickness in the surface layer within the study area (the Gulf of Gdańsk and the southeast Baltic Proper) did not exceed 1.8 m. The digital topography of the Baltic Sea with the resolution of  $\frac{0.5}{0.5}$  500 m (approximately 0.25 nautical miles) was obtained from the Baltic Sea Bathymetry Database (http://data.bshc.pro/) and interpolated bi-linearly to approximately 250 m resolution.the resolution required.

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The model simulation run was performed from 1 April to 9 October 2015. The model domain hads the western open boundary in the Arkona Basin and the northern open boundary at the entrance to the Bothnian Sea. For the open boundary conditions the one-way nesting 155 approach wasis used and the results from the coarse resolution model wereare utilized at the boundaries. Sea-level fluctuations with 1-hourly resolution and temperature, salinity and current velocity profiles with 3-hourly resolution were interpolated using the nearest neighbor method in space to the higher resolution grid. In addition, the profiles were extended to the bottom of the high resolution model. The coarse resolution model covers the entire Baltic Sea

- 160 with an open boundary in the Kattegat and has the horizontal resolution of 0.5 nautical miles.m. (926 m) over the whole model domain. The model starts from 1 April 2010 with initial thermohaline conditions taken from the Baltic Sea reanalysis for the 1989-2015 by the Copernicus Marine service. More detailed information on the coarse resolution model is available in Zhurbas et al. (2018).
- 165 The atmospheric forcing (the wind stress and surface heat flux components) is calculated from the wind, solar radiation, air temperature, total cloudiness and relative humidity data generated by HIRLAM (High Resolution Limited Area Model) maintained operationally by the Estonian Weather Service with the spatial resolution of 11 km and temporal resolution of 1 hour (Männik and Merilain, 2007). The wind velocity components at the 10 m level along
- 170 with other HIRLAM meteorological parameters are interpolated to the model grid.



Fig. 2. Map of the high resolution model domain (filled colours) with the open boundary locations (black lines). Coarse resolution model domain (blank contours + filled colours) has an open boundary close to the Gothenburg station.

- 175 The freshwater input from 54 largest Baltic Sea rivers together with their inter-annual variability is taken into account in the coarse resolution model. The original dataset consists of daily climatological values of discharge for each river, but inter-annual variability is added by adjusting the freshwater input to different basins of the sea to match the values reported annually by HELCOM (Johansson, 2018). The high-resolution model accounts only for rivers 180 that flow into the sea within the model domain.

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The initial thermohaline field was obtained from the coarse resolution model for 1 April 2015 and interpolated using the nearest neighbor method to the high-resolution model grid. In addition, as the adaptive vertical coordinates were used in both setups, the T/S profiles from coarse resolution were linearly interpolated to fixed 10 m vertical resolution before interpolation to the high resolution. The prognostic model runs were started from motionless state and zero sea surface elevation. The spin-up time of the southern Baltic Sea model under the atmospheric forcing was expected to be within 10 days (Krauss and Brügge, 1991; Lips et al., 2016), while the model output for comparison with the respective satellite imagery was obtained after 45 days of simulation.

#### 190 2.2 Application of synthetic floating particles approach to extract rotary characteristics of submesoscale cyclones/anticyclones

In order to characterize the submesoscale eddies, we estimated eddy radius R, the dependence of angular velocity of rotation  $\omega(r)$  on radial distance from the eddy centre r, angular velocity in the eddy centre  $\omega_0 \equiv \omega(0)$ , differential rotation parameter Dif = $[\omega(0) - \omega(R)]/\omega(0)$  and helicity parameter *Hel*, which will be defined later. The approach 195 to calculate  $\omega(r)$  and other parameters is illustrated in Fig. 3, where a pseudo-trajectory of a synthetic floating particle deployed within a modelled submesoscale eddy is presented. The pseudo-trajectory was calculated using a frozen velocity field, i.e. we took the modelled surface velocities for a given instant and kept the velocity field stationary during the whole 200 advection period.



Fig. 3. An example of pseudo-trajectory of a synthetic floating particle deployed in a submesoscale eddy. The pseudo-trajectory was calculated using a surface velocity field in the southeastern Baltic Sea simulated for the time moment 15 May .05.2015, 12:00 (the frozen field approach). The particle was released in the periphery of the submesoscale cyclonic eddy c1 (see Fig. 4).

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If  $t_1$  and  $t_2$  are the start and end time of a full particle loop (see Fig. 3), respectively, then current values of  $\omega$  and r can be calculated as

$$\omega = 2\pi/(t_2 - t_1), r = l/(2\pi), \tag{1}$$

210 where *l* is the length of the pseudo-trajectory loop corresponding to the time interval  $[t_1, t_2]$ . Note that a plain linear relation between the <u>vertical</u> vorticity  $\zeta$  and the frequency of rotation in the axisymmetric eddy,  $\zeta = 2\omega$ , is valid only for the solid-body rotation when  $\omega(r) = const$ , while for the differential rotation a more complicated formula is applied

$$\zeta = \frac{1}{r} \left[ \frac{\partial}{\partial r} \left( r V_{\varphi} \right) \right] = \frac{1}{r} \left[ \frac{\partial}{\partial r} \left( r^2 \omega \right) \right] = 2\omega + r \frac{\partial \omega}{\partial r}, \quad (2)$$

215 where  $V_{\varphi}$  is the transversal component of velocity.

The helicity parameter can be introduced as

$$Hel = \frac{\delta r}{r} = \frac{r_2 - r_1}{0.5(r_1 + r_2)},$$
(3)

where  $\delta r$  is the change of r, either positive or negative, for two consecutive loops with radii  $r_1$ and  $r_2$ , respectively the time interval  $[t_{\pm}, t_2]$  (see Fig. 3). In the case of the axisymmetric eddy 220 <u>the helicity parameter Eq. (3) If  $Hel \ll 1$  in an axisymmetric eddy, it can be</u> <u>rewrittenpresented</u> as  $Hel = 2\pi V_r / V_{\varphi}$ , where  $V_r$  is the radial component of velocity, and in the case of no differential rotation/divergence in the axisymmetric eddy it can be expressed through the ratio of divergence  $D = 2V_r/r = const$  and vorticity  $\zeta = 2V_{\varphi}/r = const$  as  $Hel = 2\pi D/\zeta$ . In view of continuity the vertical velocity W, which is responsible for 225 upwelling/downwelling in the eddy, is determined near the surface by horizontal divergence <u>*D* and depth z as W = zD</u>. Deploying synthetic floating particles at different distance from the eddy centre and applying approach Eq. (1)–(3), one can build functions  $\omega(r)$  and Hel(r). The modelled velocities were bilinearly interpolated to the current position of the particle within the grid cell. Therefore, if the initial position of the particle was taken close enough to 230 the exact centre of the eddy, the radius of the loop r would be sufficiently small, e.g. smaller than the grid cell size dx, dy = 232 m. The frequency of particle's rotary motion at  $r \approx$  $0.5dx \approx 100$  m was taken for  $\omega(0)$ . If a particle is deployed at a large enough distance from the eddy centre, the pseudo-trajectory will inevitably cease to be looped, and the largest rcalculated from a still loop-shaped trajectory is taken for eddy radius R. Once  $\omega(r)$ , Hel(r)235 and R are calculated, one can assess differential rotation Dif, mean helicity parameter  $\langle Hel \rangle$ 

$$Dif = \frac{[\omega(0) - \omega(R)]\omega(0) - \omega(R)}{\omega(0)}, \langle Hel \rangle = \frac{1}{R} \int_0^R Hel(r) dr, \, \omega_0 = \omega(0).$$
(4)

as well as angular velocity in the eddy centre  $\omega_0$  as

According to Eq. (4) the differential rotation parameter was introduced as a relative change of the frequency of rotation between the eddy centre and the periphery. Instead of  $\omega_0$  we used

- 240 normalized frequency of rotation in the eddy centre Ω<sub>0</sub> = 2ω<sub>0</sub>/f, where f is the Coriolis frequency. Note that Hel(r) is, in principle, an alternating function which proves the necessity of its averaging to get the bulk value (Hel). The positive/-(negative) value of (Hel) manifests the divergence/-(convergence) of currents and the related upwelling/-(downwelling) in the surface layer of the eddy.
- It can be easily seen that the <u>IL</u>arge value of *Dif* and  $\omega_0$  and the negative value of *Hel*(*r*) favour the formation of spirals in the tracer field from linear features. Indeed, if *Dif* = 0 (solid-body rotation) the linear feature within the eddy will remain linear but rotated by some angle relative to the initial position (i.e. no spiral pattern is formed), whereas a positive  $\langle Hel \rangle$ will result in sweeping the particles out from the eddy core, thus making the spiral less visible. And the large value of  $\omega_0$  will accelerate the formation of the spiral in the tracer field, provided that *Dif* is large enough and  $\langle Hel \rangle$  is negative (or sufficiently small positive). Since the spirals are known to be overwhelmingly cyclonic, one may expect that *Dif* and  $\omega_0$  will be

larger and  $\langle Hel \rangle$  will be smaller for the submesoscale cyclonic eddies relative to those for the anticyclonic eddies.

255 Apart from the above defined rotary characteristics of submesoscale eddies calculated from frozen velocity field, we utilized addressed some numerical experiments with the deployment of synthetic floating particles in the modelled non-stationary (not frozen) velocity field, namely, when initially the particles were uniformly seededdistributed on the sea surface, and when initially the particles were seeded on a line passed formed a linear feature (i.e. a line) passing through the centre of a cyclonic or anticyclonic eddy.

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The trajectories of synthetic floating particles were calculated using simulated current velocity in the uppermost model cell with 10 min temporal resolution by means of numerical integration of plain equations of the Lagrangian particle advection with a Runge-Kutta scheme of higher order of accuracy (Väli et al., 2018).

#### 3 Results 265

#### 3.1 Modelled submesoscale fields of surface velocity and temperature in comparison with satellite imagery

Modelled snapshots of surface layer temperature, salinity and currents with submesoscale resolution in the southeastern Baltic Sea for 15 May, 8 June and 3 July 2015 are shown in

- Figs. 4-6, respectively. These snapshots were chosen just because they corresponded to three 270 days in the beginning of the modelling period for which there were satellite images available (one of the images is presented in Fig. 1). The snapshots demonstrate a quite dense packing of the sea surface with submesoscale eddies. Similar dense packing of the sea surface with submesoscale eddies was observed in Envisat ASAR WSM images of the southeastern Baltic
- 275 Sea (Karimova et al., 2012). Looking at the snapshots of the surface layer currents (panels (cb) in Figs. 4–6), one cannot see any predominance of the number of cyclones over the number of anticyclones or vice versa. However, the surface layer temperature and salinity snapshots (panels (a) and (b), respectively in Figs. 4-6), clearly demonstrate a large number of spiral structures linked with the submesoscale cyclonic eddies, while the submesoscale 280 anticyclones, as a rule, do not manifest themselves by well-defined spirals.
- Despite the salinity is believed to be a more conservative tracer than temperature, the spirals in the temperature field seem more pronounced to those in the salinity field (cf. Figs. 4-6, (a) and (b)). Probably, the reason lies in the fact that the mixed layer under the conditions of the seasonal thermocline is characterized by small but noticeable vertical

- 285 temperature gradients and vanishingly small vertical salinity gradients. Following Branningan (2016), it can be assumed that the spirals in the surface temperature field are associated with the alternation of upwelling/downwelling cells with transverse wave length of the order of 1 km in the mixed layer of a differentially rotating eddy, caused by submesoscale instabilities.
- Some of the simulated submesoscale eddies shown in Figs. 4-6 were chosen for further 290 calculations of their rotary characteristics by means of the approach described in Chapter 2.2. In total, the calculations were performed for 18 anticyclonic and 18 cyclonic eddies marked in Figs. 4–6, panels (cb) as a1–a18 and c1–c18, respectively. The eddies were chosen by hand as the most prominent vortices seen in Figs. 4-6. The number of vortices to be processed (18 cyclones and 18 anticyclones) was selected as a compromise between the requirement to 295 provide statistically significant results and the time spent on obtaining a suitable sample of eddies. Note that the procedure for calculating the rotary characteristics of the eddy described in Chapter 2.2 was not fully automated and, therefore, was quite time-consuming. -The results are presented in Chapter 3.4.



Fig. 4. Modelled fields of the surface layer parameters in the southeastern Baltic Sea on 15
May 2015, 12:00: temperature (a), salinity (b), current velocity (cb), and spatial distribution of uniformly released synthetic floating Lagrangian particles (de) after 1 day of advection. The red labels in panel (cb) point at cyclonic (c1, c2, etc.) and anticyclonic (a1, a2, etc.) eddies used to calculate rotary characteristics in Chapter 3.4 (see Table 1).





Fig. 5. The same as in Fig. 4 but for the date of 08.06.2015 8 June 2015, 12:00.



Fig. 6. The same as in Figs. 4 and 5 but for the date of 03.07.2015 3 July 2015, 12:00.

Note that the modelled snapshots of surface layer temperature and currents presented in 310 Fig. 6 correspond to the date 03.07.2015 3 July 2015, for which we have a true colour image of the southeastern Baltic Sea from Landsat-8 (Fig. 1). A vortex pair seen in the satellite image at the distance of 30-60 km northwest from the Cape Taran can be also identified in the simulated temperature and current fields of the surface layer; it is labelled as c14 and a13 in Fig. 6cb. Moreover, to the south from the vortex pair c14–a13 in the Gulf of Gdannersk, both 315 the model and the satellite image display 2-3 cyclonic eddies (cf. Figs. 1 and 6). The fact that a vortex pair of almost the same size and orientation was modelled in almost the same place and at the same time as the observed vortex pair can be considered as a validation of the model. The possibility to identify the observed vortex pair in the simulated fields can be considered as a validation of the model.

#### 3.2 Numerical experiments with spatially uniform release of 320 synthetic floating particles

Patterns formed on the sea surface by synthetic floating Lagrangian particles were shown to be a powerful tool to visualize the mesoscale/submesoscale structures (Väli et al., 2018). Examples of such patterns are also presented in Figs. 4–6, panels (de). The particles were seeded uniformly (i.e. one particle in the centre of the every grid bin, the total number of 325 particles was approx. 1 million) within the model domain a day before the date specified in Figs. 4–6 and carried by the simulated nonstationary currents during 1 day (i.e.  $\tau = 1$  day, where  $\tau$  is the advection time). Soon after the release of synthetic floating particles, the horizontally uniform distribution of particles was transformed into a pattern that resembles the 330 corresponding maps of oceanographic tracers such as temperature and/or salinity in the surface layer. Therefore, the floating particles allow easily visualize submesoscale structures. Note, that within just one day of advection the uniformly distributed particles clustered predominantly into cyclonic spirals corresponding to submesoscale eddies.

## 3.3 Numerical experiments with linearly aligned release of synthetic

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## floating particles in submesoscale cyclones/anticyclones

Keeping in mind that according to Munk et al. (2000) the spirals can be formed from linear surface features winded by vortices, numerical experiments were performed with synthetic floating particles initially clustered in zonally aligned features intersecting the centres of the submesoscale cyclones marked as c13-c18, and anticyclones marked as a13-a16 and a18 in

340 Fig. 6. Figure 7 shows the evolution of a linear feature of a large number of synthetic floating particles in 1 and 2 days of advection in the simulated velocity field. Note that the anticyclone a17 was omitted because this eddy occurred to be too young: it could not be clearly identified two days before 3 July 2015 to seed synthetic particles on a line passed through its centre.



Fig. 7. Patterns formed in 03 July 2015 from zonally elongated linear features passing through the centres of the simulated submesoscale cyclonic (black curves) and anticyclonic (red curves) eddies after one (left) and two (right) days of advection. The linear features included a large number (2000) of synthetic floating particles deployed a day (left) and two days (right) before 03 July 2015, 12:00.

350 It is clearly seen from Fig. 7 that the spirals were formed only from the linear features embedded into the submesoscale cyclonic eddies, while the linear features in the anticyclonic eddies transformed to some curves of irregular shape.

### 3.4 Numerical experiments with the release of synthetic floating particles in a frozen velocity field to extract rotary characteristics of submesoscale cyclones/anticyclones

Applying the approach described in Chapter 2.2 rotary characteristics R,  $\Omega_0 = 2\omega_0/f$ , *Dif* and *(Hel)* were calculated for 18 anticyclonic eddies and 18 cyclonic eddies (marked as a1–a18 and c1–c18, respectively, in Figs. <u>43–6</u>, panels (<u>cb</u>)). The rotary characteristics of individual eddies along with the mean values, standard deviations and 95% confidence intervals calculated for the anticyclones and cyclones separately are presented in

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Table 1 (see Appendix). For clarity, the scatter plots of *R*, *Dif* and  $\langle Hel \rangle$  versus  $\Omega_0$  are shown in Fig. 8.

<del>Eddy ID</del>	R <del>, km</del>	$\Omega_0 = 2\omega_0/f$	(Hel)	Dif
<del>al</del>	<del>16.22</del>	-0.24	<del>0.72</del>	<del>1.86</del>
<del>a2</del>	<del>5.26</del>	<del>-0.48</del>	<del>0.36</del>	<del>2.11</del>
<del>83</del>	7.72	<del>-0.40</del>	<del>0.35</del>	<del>3.45</del>
<del>a4</del>	<del>6.63</del>	<del>-0.45</del>	<del>0.07</del>	<del>1.86</del>
<del>a5</del>	<del>6.42</del>	0.34	<del>1.14</del>	3.02
<del>a6</del>	<del>5.71</del>	<del>-0.49</del>	<del>1.08</del>	2.21
<del>a7</del>	4.82	<del>-0.46</del>	<del>1.00</del>	<del>1.67</del>
<del>88</del>	<del>1.36</del>	<del>-1.56</del>	<del>-0.04</del>	<u>1.59</u>
<del>a9</del>	<del>11.03</del>	<del>-0.56</del>	<del>-0.03</del>	4.18
<del>a10</del>	7.18	<del>-0.47</del>	<del>-0.07</del>	<u>1.99</u>
<del>a11</del>	<del>11.62</del>	<del>-0.53</del>	<del>1.48</del>	<del>3.46</del>
<del>a12</del>	<del>4.33</del>	<del>-0.54</del>	<del>0.35</del>	<del>1.71</del>
<del>a13</del>	<del>11.32</del>	<del>-0.41</del>	<del>0.86</del>	<del>2.30</del>
<del>a14</del>	<del>6.71</del>	-0.84	<del>1.00</del>	<del>3.20</del>
<del>a15</del>	<del>5.35</del>	<del>-0.96</del>	<del>0.66</del>	<del>2.70</del>
<del>a16</del>	<del>10.14</del>	-0.40	<del>0.72</del>	<del>3.41</del>
<del>a17</del>	<del>3.41</del>	<del>-0.36</del>	<del>-0.04</del>	<del>-0.71</del>
<del>a18</del>	<del>4.68</del>	-0.77	<del>0.61</del>	2.77
al al8: mean	7.22	-0.57	<del>0.57</del>	2.38
standard deviation	<del>3.60</del>	<del>0.31</del>	<del>0.48</del>	<del>1.08</del>
95% conf. interval	<del>[5.43, 9.01]</del>	<del>[-0.72, -0.42]</del>	<del>[0.33, 0.81]</del>	<del>[1.84, 2.92]</del>
<del>e1</del>	<del>4.67</del>	<del>1.67</del>	-0.42	<del>2.95</del>
<del>e2</del>	<del>6.07</del>	<del>3.66</del>	<del>0.00</del>	<del>8.19</del>
<del>e3</del>	2.69	2.59	<del>0.25</del>	2.79
<del>e4</del>	4.02	<del>1.01</del>	<del>0.09</del>	4.33
<del>65</del>	7.92	<del>1.09</del>	<del>0.08</del>	<del>5.68</del>
<del>c6</del>	<del>8.51</del>	<del>0.96</del>	<del>-0.15</del>	<del>6.72</del>
<del>67</del>	4.34	<del>1.62</del>	<del>0.20</del>	<del>3.36</del>
<del>c8</del>	<del>6.67</del>	<del>1.41</del>	<del>-0.13</del>	<u>13.25</u>
<del>e9</del>	<del>14.59</del>	<del>1.60</del>	<del>0.07</del>	<del>11.31</del>
<del>e10</del>	<del>5.28</del>	2.48	<del>0.31</del>	7.08
<del>e11</del>	2.97	<del>1.33</del>	<del>-0.21</del>	<del>3.61</del>
<del>e12</del>	<del>11.72</del>	<del>1.58</del>	<del>-0.10</del>	<del>10.20</del>
<del>e13</del>	7.90	<del>1.30</del>	<del>-0.06</del>	<del>9.84</del>
<del>e14</del>	<del>6.86</del>	<del>1.43</del>	<del>0.20</del>	<del>3.60</del>
<del>c15</del>	<del>9.04</del>	<del>1.60</del>	<del>0.18</del>	<del>5.16</del>
<del>e16</del>	<del>4.96</del>	<del>1.85</del>	<del>-0.56</del>	<del>4.58</del>
<del>c17</del>	3.82	<del>1.30</del>	<del>-0.38</del>	3.27
<del>c18</del>	7.27	<del>1.17</del>	<del>-0.46</del>	<del>6.37</del>
<del>c1-c18: mean</del>	7.03	<del>1.65</del>	<del>-0.06</del>	<del>6.73</del>
standard deviation	<del>3.26</del>	<del>0.67</del>	<del>0.26</del>	<del>3.31</del>
95% conf_interval	<del>[5.40, 8.66]</del>	<del>[1.32, 1.98]</del>	<del>[-0.19, 0.07]</del>	<del>[5.08, 8.39]</del>

Table 1. Rotary characteristics of submesoscale cyclonic and anticyclonic eddies.

The statistics of the submesoscale eddy size R is almost the same for anticyclones and 365 cyclones with the mean values of 7.22 km and 7.03 km, respectively. In contrast to the eddy size R, the rotary characteristics of submesoscale cyclones, such as  $\Omega_0$ , Dif and (Hel), differ considerably from respective values of the anticyclones. Namely, the ensemble-mean value of  $\Omega_0$  is 1.65 for cyclones and -0.57 for anticyclones, i.e. the absolute frequency of rotation in the centre of cyclonic eddy is on average three times larger than in the anticyclone. It is also 370 important that the cyclonic eddies are characterized by much more pronounced differential rotation (the ensemble mean value of Dif is 6.73 in the cyclones versus 2.38 in the anticyclones). Lastly, there is a substantial difference in the helicity: the rotary motion of a particle around the centre of the in the submesoscale cyclonic eddy is accompanied on the average by a shift towards the eddy centre (the ensemble mean value of  $\langle Hel \rangle$  is negative (-375 0.06)), while in an anticyclone a particle moves on the average away from the centre (the ensemble mean value of  $\langle Hel \rangle$  is positive (0.57)). It is worth noting that the 95% confidence

intervals for the ensemble mean values of *Dif* and  $\langle Hel \rangle$  of the cyclonic eddies do not overlap

those of the anticyclonic eddies.



Fig. 8. Scatter plots of helicity (a) and differential rotation (b) parameters and radius (c) of a submesoscale eddy versus the normalized frequency of rotation Ω<sub>0</sub> = 2ω<sub>0</sub>/f in the eddy
centre. Horizontal and vertical lines are the ensemble mean values (solid) and 95% confidence limits (dotted) of the parameters calculated separately for the anticyclonic (Ω<sub>0</sub> < 0, red lines/symbols) and cyclonic (Ω<sub>0</sub> > 0, black lines/symbols) eddies based on the Student tdistribution.

Finally, Fig. 9 presents the plots of normalized frequency of rotation  $\omega/\omega_0$  versus radial distance from the eddy centre r/R of the modelled submesoscale cyclonic (a) and anticyclonic (b) eddies. The ensemble mean curve of  $\omega/\omega_0 = F(r/R)$  for cyclones/anticyclones displays much larger/smaller drop of the rotation frequency away from the eddy centre (i.e. the more/less pronounced differential rotation) and the positive/negative curvature (second derivative F'' is positive/negative). On the contrary, the ensemble mean

curve of  $\omega/\omega_0 = F(r/R)$  for anticyclones displays much smaller drop of the rotation frequency away from the eddy centre (i.e. the less pronounced differential rotation) and the negative curvature (second derivative F'' is negative).



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Fig. 9. Normalized dependence of angular velocity of rotation  $\omega/\omega_0$  on the radial distance from the eddy centre r/R in the simulated submesoscale eddies: cyclones c1–c18 (a) and anticyclones a1–a18 (b) (thin dashed curves). The bold solid and bold dotted curves are the ensemble means and the 95% confidence intervals, respectively. The black/red curves correspond to the cyclonic/anticyclonic eddies.

#### 4 Discussion and Conclusions

As stated in the Introduction, this work is aimed to investigate the differences between rotary characteristics of the submesoscale cyclonic and anticyclonic eddies which, in our opinion, would explain the overwhelming dominance of cyclonic spirals on the satellite images of the sea surface recorded in SAR, infrared and optical ranges. In this study we used numerical experiments with floating Lagrangian particles embedded offline in a regional circulation model of the southeastern Baltic Sea with very high horizontal resolution (0.125 nautical mile grid).

The numerical experiments showed that the cyclonic spirals can be formed both from a 410 horizontally uniform initial distribution of floating particles and from the initially lined up particle clusters during the advection time of the order of 1 day. While the formation of the predominantly cyclonic spirals in the tracer field from the linear features in the course of development of horizontal shear instabilities and the mixed-layer baroclinic instabilities is a well-known effect which was thoroughly discussed by Munk et al. (2000) and Eldevik and

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Dysthe (2002), a quick regrouping of the floating particles from horizontally uniform distribution to cyclonic spirals in the course of advection in the submesoscale velocity field is a surprising phenomenon which was first mentioned by Väli et al. (2018).

We addressed several rotary characteristics of submesoscale eddies which could be potentially responsible for the predominant formation of cyclonic spirals in the tracer field 420 such as

- normalized frequency of rotation in the eddy centre  $\Omega_0 = 2\omega_0/f$  (the higher the \_ frequency, the faster the spiral can be formed);
- differential rotation parameter  $Dif = [\omega(0) \omega(R)]/\omega(0)$  (the spirals cannot be formed from linear features at the solid-body rotation when Dif = 0;
- helicity parameter (*Hel*) defined in Chapter 2.2 (if  $\langle Hel \rangle < 0$  ((*Hel*) > 0) the particles 425 shift towards (away from) the eddy centre which makes the spiral more (less) visible, and, on the contrary, if  $\langle Hel \rangle > 0$  the particles shift away from the eddy centre which makes the spiral less visible).

To calculate  $\Omega_0$ , *Dif*,  $\langle Hel \rangle$  and eddy radius *R* the approach described in Chapter 2.2 was

430 applied to the pseudo-trajectories of synthetic floating particles in a frozen velocity field (i.e. the velocity field simulated by the circulation model for a given instant was kept stationary for the entire period of advection). As a result, we obtained estimates of  $\Omega_0$ , Dif,  $\langle Hel \rangle$  and R for 18 cyclonic and 18 anticyclonic submesoscale eddies simulated in the southeastern Baltic Sea in May–July 2015.

435 The ensemble mean value of eddy radius R was 7.22 and 7.03 km for the anticyclones and cyclones, respectively, with strong overlap of the 95% confidence intervals. Therefore, one may conclude that the submesoscale cyclonic eddies are indistinguishable by size from the submesoscale anticyclonic eddies.

In contrast to R, the ensemble mean values of  $\Omega_0$ , Dif and  $\langle Hel \rangle$  occurred to be 440 substantially different for the cyclonic and anticyclonic eddies and the difference of all three rotary characteristics indicated the predominant formation of cyclonic spirals in the tracer <u>field</u>. Indeed, the ensemble mean values of  $\Omega_0$ , *Dif* and *(Hel)* were 1.65 vs. -0.57, 6.73 vs. 2.38 and -0.06 vs. 0.57 for cyclones and anticyclones, respectively, and the 95% confidence intervals did not overlap (see Table 1 and Fig. 8). Therefore, on the average the submesoscale

445 cyclonic eddies, in comparison to the anticyclonic ones, rotate three times faster, have three

times larger difference of the frequency of rotation between the eddy centre and the periphery, as well as display the tendency of shifting floating particles towards the eddy centre ( $\langle Hel \rangle < 0$ ). Note that the negative/-(positive) value of the helicity parameter  $\langle Hel \rangle$  in the cyclonic/(anticyclonic) eddies is in accordance with the negative correlation between relative vorticity and vertical velocity in the submesoscales reported by Väli et al. (2017) (i.e. submesoscale cyclonic/-(anticyclonic) eddies are characterized mostly by downwelling/-(upwelling)).

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The physical intuition for faster spinning of cyclonic eddies vs anticyclonic eddies can be gained from conservation of potential vorticity in a fluid parcel (e.g., Väli et al. (2017):  $(\zeta + f)\rho_z = const$ , where  $\rho_z$  is the vertical gradient of density. If the parcel undergoes ultimate vertical stretching  $(\rho_z/\rho_z(0) \rightarrow 0$ , where  $\rho_z(0)$  is the initial value of  $\rho_z$ ) given that it does not spin initially  $(\zeta(0) = 0)$ , it will acquire unlimited cyclonic rotation:  $\Omega = \zeta/f = \rho_z(0)/\rho_z - 1 \rightarrow \infty$ . On the contrary, if the parcel undergoes ultimate vertical squeezing  $(\rho_z/\rho_z(0) \rightarrow \infty)$ , it will acquire anticyclonic rotation limited from above:  $\Omega \rightarrow -1 + 0$ . The above considerations make it clear why in Fig. 8 in all cyclonic eddies  $\Omega_0 > 1$ , while in all anticyclonic eddies except one the rotation speed is within  $-1 < \Omega_0 < 0$ . As to the positive/negative value of helicity in anticyclonic/cyclonic eddy, it can be intuitively understood taking into account that the related upwelling/downwelling implies potential energy loss and, therefore, relaxation of the eddy.

The frequency of rotation of submesoscale eddies was found to decrease with the radial

465 distance (i.e., the rotation is differential rather than solid-body). However, a certain similarity of solid-body rotation is still inherent in the submesoscale anticyclones, where the difference

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in the frequency of rotation between the eddy centre and periphery is relatively small, and the second derivative of frequency with respect to radial distance is negative (see Fig. 9b). In contrast to the submesoscale anticyclones, in the submesoscale cyclones, where the difference in the frequency of rotation between the centre and the periphery is much larger, and the second derivative of frequency with respect to radial distance is positive, one cannot see even a hint of the solid-body rotation (cf. Fig. 9, a and b).

We realize that a scenario presented in Chapter 3.3 where the spiral in the tracer field is formed from synthetic floating particles seeded on a line passed through the centre of a mature submesoscale cyclonic or anticyclonic eddy is barely realistic because one can hardly imagine a natural phenomenon capable to provide such kind of seeding. However, the two other scenarios, i.e. when the spirals come from advection of uniformly seeded floating particles into velocity field of a mature eddy (see Chapter 3.2) and from reshaping of a linear

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tracer feature aligned to the density front in the course of development of a kind of frontal instability (the Munk's hypothesis), seem quite realistic. In our opinion, depending on the specific conditions of the ocean environment, either the first or second of two realistic scenarios may prevail.

Statistical processing of the trajectories of the synthetic floating particles allowed to conclude that the submesoscale cyclonic eddies differ from the anticyclonic eddies in three

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ways favouring the formation of spirals in the tracer field: the former can be characterized by (a) a considerably higher angular velocity, (b) a more pronounced differential rotation and (c) a negative helicity. The differences in rotary characteristics of submesoscale cyclonic and anticyclonic eddies were statistically assessed from a limited model output for early summer 2015 in the southeast Baltic Sea, and we could not exclude seasonal and interannual variability of the studied parameters as well as some dependences on the eddy age and lifespan. These issues could be the subject for future research.

#### **5** Appendix

Table 1. Rotary characteristics of submesoscale cyclonic and anticyclonic eddies.

Eddy ID	<u>R, km</u>	$\Omega_0 = 2\omega_0/f$	(Hel)	Dif
<u>a1</u>	<u>16.22</u>	<u>-0.24</u>	0.72	<u>1.86</u>
<u>a2</u>	<u>5.26</u>	<u>-0.48</u>	<u>0.36</u>	<u>2.11</u>
<u>a3</u>	<u>7.72</u>	<u>-0.40</u>	<u>0.35</u>	<u>3.45</u>
<u>a4</u>	<u>6.63</u>	<u>-0.45</u>	<u>0.07</u>	<u>1.86</u>
<u>a5</u>	<u>6.42</u>	<u>-0.34</u>	<u>1.14</u>	<u>3.02</u>
<u>a6</u>	5.71	<u>-0.49</u>	1.08	2.21
<u>a7</u>	4.82	<u>-0.46</u>	1.00	<u>1.67</u>
<u>a8</u>	1.36	<u>-1.56</u>	-0.04	<u>1.59</u>
<u>a9</u>	<u>11.03</u>	<u>-0.56</u>	<u>-0.03</u>	4.18
<u>a10</u>	7.18	-0.47	-0.07	<u>1.99</u>
<u>a11</u>	<u>11.62</u>	<u>-0.53</u>	<u>1.48</u>	<u>3.46</u>
<u>a12</u>	4.33	<u>-0.54</u>	<u>0.35</u>	<u>1.71</u>
<u>a13</u>	<u>11.32</u>	<u>-0.41</u>	<u>0.86</u>	<u>2.30</u>
<u>a14</u>	<u>6.71</u>	<u>-0.84</u>	<u>1.00</u>	<u>3.20</u>
<u>a15</u>	<u>5.35</u>	<u>-0.96</u>	<u>0.66</u>	<u>2.70</u>
<u>a16</u>	<u>10.14</u>	<u>-0.40</u>	0.72	<u>3.41</u>
<u>a17</u>	<u>3.41</u>	<u>-0.36</u>	<u>-0.04</u>	<u>-0.71</u>
<u>a18</u>	4.68	<u>-0.77</u>	<u>0.61</u>	<u>2.77</u>
<u>a1–a18: mean</u>	<u>7.22</u>	<u>-0.57</u>	<u>0.57</u>	<u>2.38</u>
standard deviation	<u>3.60</u>	<u>0.31</u>	<u>0.48</u>	<u>1.08</u>
95% conf. interval	[5.43, 9.01]	[-0.72, -0.42]	[0.33, 0.81]	[1.84, 2.92]
<u>c1</u>	<u>4.67</u>	<u>1.67</u>	-0.42	<u>2.95</u>
<u>c2</u>	<u>6.07</u>	<u>3.66</u>	<u>0.00</u>	<u>8.19</u>
<u>c3</u>	<u>2.69</u>	<u>2.59</u>	<u>0.25</u>	<u>2.79</u>

<u>c4</u>	4.02	<u>1.01</u>	0.09	<u>4.33</u>
<u>c5</u>	<u>7.92</u>	<u>1.09</u>	0.08	<u>5.68</u>
<u>c6</u>	<u>8.51</u>	<u>0.96</u>	<u>-0.15</u>	<u>6.72</u>
<u>c7</u>	<u>4.34</u>	<u>1.62</u>	0.20	<u>3.36</u>
<u>c8</u>	<u>6.67</u>	<u>1.41</u>	<u>-0.13</u>	<u>13.25</u>
<u>c9</u>	<u>14.59</u>	<u>1.60</u>	<u>0.07</u>	<u>11.31</u>
<u>c10</u>	<u>5.28</u>	<u>2.48</u>	<u>0.31</u>	<u>7.08</u>
<u>c11</u>	<u>2.97</u>	<u>1.33</u>	<u>-0.21</u>	<u>3.61</u>
<u>c12</u>	<u>11.72</u>	<u>1.58</u>	<u>-0.10</u>	<u>10.20</u>
<u>c13</u>	<u>7.90</u>	<u>1.30</u>	<u>-0.06</u>	<u>9.84</u>
<u>c14</u>	<u>6.86</u>	<u>1.43</u>	0.20	<u>3.60</u>
<u>c15</u>	<u>9.04</u>	1.60	0.18	5.16
<u>c16</u>	<u>4.96</u>	1.85	<u>-0.56</u>	4.58
<u>c17</u>	<u>3.82</u>	<u>1.30</u>	<u>-0.38</u>	<u>3.27</u>
<u>c18</u>	<u>7.27</u>	<u>1.17</u>	<u>-0.46</u>	<u>6.37</u>
<u>c1–c18: mean</u>	<u>7.03</u>	<u>1.65</u>	<u>-0.06</u>	<u>6.73</u>
standard deviation	<u>3.26</u>	<u>0.67</u>	<u>0.26</u>	<u>3.31</u>
95% conf. interval	[5.40, 8.66]	[1.32, 1.98]	[-0.19, 0.07]	[5.08, 8.39]

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#### Code/Data availability

No code/data are supplemented to this manuscript.

#### **Author contribution**

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Victor Zhurbas designed and performed numerical experiments with floating Lagrangian particles, as well as prepared the manuscript with contributions from all co-authors. Germo Väli performed submesoscale circulation modelling. Natalia Kuzmina provided physical interpretation of the numerical experiments with floating Lagrangian particles.

#### **Competing interests**

The authors declare that they have no conflict of interest.

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