# Numerical modelling of the tides in the Caspian Sea

Igor Medvedev<sup>1, 2</sup>, Evgueni Kulikov<sup>1</sup>, Isaac Fine<sup>3</sup>

<sup>1</sup>Shirhov Institute of Oceanology, Russian Academy of Sciences, Moscow, Russia <sup>2</sup>Fedorov Institute of Applied Geophysics, Moscow, Russia

<sup>3</sup>Institute of Ocean Sciences, Sidney, B.C., Canada
 *Correspondence to*: Igor Medvedev (patamates@gmail.com)

**Abstract.** The Caspian Sea is the largest enclosed basin on the Earth and a unique subject for analysis of tidal dynamics. The Caspian Sea has independent tides only, which are generated directly by tide-generating forces. Using the Princeton Ocean Model (POM), we examine the

- 10 spatial and temporal features of tidal dynamics in the Caspian Sea in detail. We present tidal charts for amplitudes and phase lags of the major tidal harmonics, form factor, tidal range and speed of tidal currents. Semidiurnal tides in the Caspian Sea are determined by a Taylor amphidromic system with counterclockwise rotation. The largest M<sub>2</sub> amplitude is 6 cm and is located in Turkmen Bay. For the diurnal constituents, the Absheron Peninsula splits this system into two
- 15 separate amphidromes with counterclockwise rotation to the north and to the south of it. The maximum K<sub>1</sub> amplitudes (up to 0.7–0.8 cm) are located in: 1) the southeastern part of the Caspian Sea, 2) the Türkmenbaşy Gulf, 3) Mangyshlak Bay, and 4) Kizlyar Bay. The semidiurnal tides prevail over diurnal tides in the Caspian Sea. The maximum tidal range has been observed in Turkmen Bay, up to 21 cm. The highest speed of the total tidal currents is observed in the straits
- 20 to the north and south of Ogurja Ada, up to 22 cm/s and 19 cm/s, respectively. Numerical experiments with tidal simulations were made using different mean sea levels of the Caspian Sea (within a range of 5 m). The results indicate that the spatial features of the tides are strongly sensitive to changes of the mean sea level.

# **1** Introduction

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Tides, one of the major drivers of ocean water motion, are formed under the influence of tide-generating forces of the Moon and the Sun and the rotation of the Earth. Tides can be represented as the sum of two types of oscillations: (1) the co-oscillating tide caused by the tidal influx from an adjacent basin, and (2) the independent tide, which is generated directly by the tide-generating forces (Defant, 1961). Co-oscillating tides dominate in marginal seas, generated by

30 tidal waves penetrating from the adjoining ocean or seas. In isolated inland seas (e.g., the Black Sea and the Baltic Sea), independent tides strongly prevail as tidal waves from adjacent basins cannot significantly penetrate the sea (Medvedev et al., 2013; Medvedev et al., 2016; Medvedev, 2018). The Caspian Sea is a unique subject for the analysis of independent tide formation as it is the largest enclosed basin on Earth.

Tides in the Caspian Sea have been studied for a long time, though not on a regular basis. Malinovsky (1926) showed that the semidiurnal tides dominate in the Caspian Sea and the spring

- 5 tidal range was 7.7 cm based on an analysis of 30-day hourly records at three tide gauges. German (1970) performed a spectral analysis of three-month observational series at eight tide gauges and distinguished the diurnal and semidiurnal constituents through different generation mechanisms: semidiurnal tides had a gravitational origin while diurnal tides were formed by sea-breezes. Kosarev and Tsyganov (1972) found the maximum tidal range 12 cm at Ogurja Ada. Spidchenko
- 10 (1973) estimated the amplitudes and phase lags at seven sites. Analyzing annual series of hourly observations at six tide gauges, Levyant et al. (1994) hypothesized that a semidiurnal tidal wave is represented by a counterclockwise amphidromic system (like a Kelvin wave) with a center in the Absheron Threshold's area.
- Medvedev et al. (2017) estimated the amplitudes and phase lags of major tidal constituents
  for different parts of the Caspian Sea based on analysis of long-term hourly data from 12 tide gauges. A maximum tidal range of 21 cm was found at Aladga (eastern part of the Southern Caspian). Medvedev et al. (2017) also performed a high-resolution spectral analysis and determined that the diurnal sea level oscillations in the Middle Caspian have a gravitational origin, while those in the Southern Caspian are mainly caused by radiational effects: the amplitude of the diurnal radiational constituent S<sub>1</sub> is much higher than those of the gravitational constituents O<sub>1</sub>, P<sub>1</sub>, and K<sub>1</sub>. In the Northern Caspian, there are no gravitational tides and only weak radiational tides

are observed. A semidiurnal tide is predominant in the Middle Caspian and in the Southern Caspian.

An analysis of tide gauge data allows for the examination of specific tidal features at 25 different sites, but not for the estimation of the spatial structure of tides in the deep-water areas of the Caspian Sea. Therefore, in order to capture these spatial structures we adapted the numerical Princeton Ocean Model (POM) to the Caspian Sea in Medvedev et al. (2019). The developed POM reproduces the tides and meteorological sea level variability with periods ranging from several hours to a month. In present we use this model to describe in detail the spatial and temporal 20 naculiarities of tidel dynamics of the whole the Caspian Sea

30 peculiarities of tidal dynamics of the whole the Caspian Sea.

### 2 Data and methods

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#### 2.1 Numerical model description

In this study we used a 2D version of the Princeton Ocean Model (POM) (Mellor, 2004). The forcing term in the two-dimensional shallow water equations was specified through the gradients of tidal potential over the Caspian Sea:

$$\bar{F}_T = -(1+k-h)\nabla\bar{\Omega},\tag{1}$$

where k and h are the Love numbers and  $\overline{\Omega}$  is the tidal potential. Love numbers k and h relate the body Earth tide (and associated perturbations) to the potential. We used frequency-dependent values of h and k calculated by Wahr (1981) (Table 1). The tidal potential was calculated for spherical harmonics via formulas provided by Munk and Cartwright (1966) and included all the

10 spherical harmonics via formulas provided by Munk and Cartwright (1966) and included all the main tidal components (> 80), including major diurnal, semi-diurnal, shallow water and long-period constituents. Additionally, our numerical model includes the ocean tidal loading potential obtained from FES2014 (Finite Element Solution tidal model) produced by NOVELTIS, LEGOS and CLS Space Oceanography Division and distributed by AVISO, with support from CNES

15 (http://www.aviso.altimetry.fr/).

Table 1. Love numbers and the elasticity factors for major tidal constituents (Wahr, 1981;Kantha and Clayson, 2000).

Constituent	Frequency (cpd)	h	k	
long-p	0.606	0.299		
$Q_1$	0.8932	0.604	0.298	
$O_1$	0.9295	0.603	0.298	
$P_1$	0.9973	0.581	0.287	
$\mathbf{K}_1$	1.0027	0.520	0.256	
$\mathbf{J}_1$	1.0390	0.611	0.302	
semidi	0.609	0.302		
shall	0.609	0.302		

The energy dissipation of the generated flows is provided by the vertical turbulent viscosity. The frictional force in the momentum equations is determined by the speed of the bottom flow and the friction coefficient:

$$\left(\tau_{bx}, \tau_{by}\right) = \left(C_b u_b | \bar{\mathbf{u}}_b |, C_b v_b | \bar{\mathbf{u}}_b |\right), \tag{2}$$

where  $\bar{\mathbf{u}}_b = (u_b, v_b)$  is the flow velocity above the bottom boundary layer (which is assumed to be equal to the barotropic velocity  $\bar{\mathbf{u}}_b$  for the 2D model),  $C_b$  is the bottom friction coefficient which

25 has the following form:

$$C_b = \max\left[\frac{\kappa^2}{(\ln\{0.5H/z_0\})}, 0.0025\right],\tag{3}$$

where  $\kappa = 0.4$  is the von Kármán constant,  $z_0$  is the bed roughness length. A minimum value for the bottom friction coefficient,  $C_b = 0.0025$ , was applied in order to avoid a vanishing bottom drag in very deep waters.

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The numerical simulations were performed on a grid of 507 by 659 nodes with a step of 1' in latitude and longitude, created from GEBCO bathymetry data of the Caspian Sea with a resolution of 30 arcseconds. For the Caspian Sea GEBCO used the gridded data set provided by Hall (2002). This dataset based on over 280 000 bathymetric soundings and points digitized from bathymetric contours, taken from 107 Russian navigational charts. In section 3.1, a mean sea level (MSL) of the Caspian of -28 m with respect to the Baltic Height System (BHS, relative to the zero of the Kronstadt gauge) was adopted in the numerical modelling. In the numerical experiments in section 3.2, the MSL of the Caspian was varied from -25 to -30 m with respect to the BHS. The boundary conditions for the tidal model are zero flow normal to the coast (at the 2 m depth contour).



Figure 1. The bathymetry of the Caspian Sea according to the GEBCO database. The MSL is -28 m with respect to BHS. Black points are tide gauges used for validation of the numerical model. Other designations: TI is the Tyuleny Island, TA is the Tyuleniy Archipelago, *MB* is Mangyshlak Bay, *KB*1 is Kizlyar Bay, *KB*2 is Kazakh Bay, AP is the Absheron Peninsula, *AT* is the Absheron Threshold, CI is the Chilov (Zhiloy) Island, *TG* is Türkmenbaşy Gulf, and *TB* is Turkmen Bay.

### 2.2 Tidal model validation

In Medvedev et al. (2019), the model was validated by hourly sea level observations at eight tidal gauges in the Caspian Sea (Fig. 1). In Medvedev et al. (2019), several experiments with different values of the bed roughness length were performed. The best tide reproduction accuracy at the eight sites was obtained at  $z_0$ = 0.01 m, which is used in (3) to determine the bottom friction coefficient  $C_b$ . The comparing of the amplitudes (*H*) and phase lags (*G*) of tidal components calculated from the results of numerical modeling and based on observations is presented in Fig. 2. The error in the calculations of the amplitude of harmonic M<sub>2</sub> at Baku, Svinoi Island, Fort Shevchenko, Bektash, and Ogurchinsky Island did not exceed 0.1–0.2 cm. This error for Kara-

Bogaz-Gol and Krasnovodsk was 0.3–0.4 cm. The phase lag error for six tide gauges varied from 0° to 6°, for Ogurchinsky Island was 36°, and for Krasnovodsk was 26°. The amplitude error of harmonic K<sub>1</sub> at seven tide gauges was 0.1–0.2 cm, and for Baku was 0.4 cm. The phase lag errors varied from 1° to 50°. All presented results for phase lags in the tidal analysis are relative to Greenwich Mean Time (GMT).





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Figure 2. The comparison of (a) amplitudes and (b) phase lags of harmonics  $M_2$  (red) and  $K_1$  (blue) estimated by results of the numerical modelling ( $H_{model}$  and  $G_{model}$ ) and tide gauge observations ( $H_{obs}$  and  $G_{obs}$ ).

### 20 3 Results

## 3.1 Numerical modelling of tides

A numerical model with a MSL of -28 m with respect to the BHS was used in order to reproduce the tides in 1978. For this year we had the maximum number of tide gauge's data. Amplitudes and phase lags of major tidal constituents were calculated using classical harmonic

analysis (Pugh and Woodworth, 2014). In this section, we examine the spatial pattern of diurnal and semi-diurnal tides taking the major constituents  $K_1$  and  $M_2$  as examples.

The diurnal tidal pattern includes a complicated amphidromic system in the Middle Caspian (Fig. 3a). The Absheron Peninsula splits this system into two separate amphidromes to 5 the north and south of it. Both amphidromic systems feature a counterclockwise rotation. Near the Absheron Peninsula, the K<sub>1</sub> amplitude is less than 0.15 cm. The maximum K<sub>1</sub> amplitudes (up to 0.7–0.8 cm) are located in: 1) the southeastern part of the Caspian Sea, 2) Türkmenbaşy Gulf, 3) Mangyshlak Bay, and 4) Kizlyar Bay. Another amphidrome, with counterclockwise rotation, is formed in the Northern Caspian. Medvedev et al. (2019) showed that the results of numerical 10 modelling are not really reliable in the Northern Caspian due to the very shallow depths in this area with about 20% of this part of the Caspian being less than 1 m deep (Baydin and Kosarev, 1986) Other diurnal tidal constituents have a spatial distribution similar to that of K<sub>1</sub>. The amplitudes of these constituents are up to 0.5 cm for  $O_1$ , and up to 0.25 cm for  $P_1$ . The amplitude of the other diurnal tidal constituents in the Caspian Sea does not exceed 0.1 cm.

15 Semidiurnal tides in the Caspian Sea are determined by a Taylor amphidromic system with counterclockwise rotation. This system is the result of the superposition of two Kelvin waves propagating in opposite directions (Fig. 3b). The amphidromic point of this system is located 80 km east of the Absheron Peninsula. The minimum M<sub>2</sub> amplitudes are located in: 1) east of the Absheron Peninsula, 2) western and 3) eastern parts of the Northern Caspian. Maximum M<sub>2</sub> 20 amplitudes are found in: 1) western part of the Southern Caspian, up to 2.4 cm; 2) Kazakh Bay, up

to 3.2 cm; 3) Mangyshlak Bay, up to 3.2 cm; and 4) the Türkmenbaşy Gulf, 3.9 cm. The largest M<sub>2</sub> amplitude is 6 cm and is located in Turkmen Bay. Other semidiurnal tidal constituents have a similar spatial distribution to M<sub>2</sub>. The S<sub>2</sub> amplitude in Turkmen Bay is 2.6 cm, N<sub>2</sub> is 1.1 cm, and  $K_2$  is 0.7 cm. The amplitudes and phase lags of the major tidal constituents at selected towns around the Caspian Sea are presented in Table 2.

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Figure 3. Tidal maps of co-amplitudes (cm) (shaded) and co-phase lags (degrees, GMT) (solid lines) for (a)  $K_1$  and (b)  $M_2$ .

Station	Country	<b>M</b> <sub>2</sub>		$S_2$		<b>K</b> <sub>1</sub>		<b>O</b> 1		F	<i>R</i> ,
		H, cm	<i>G</i> , °	H, cm	<i>G</i> , °	H, cm	<i>G</i> , °	H, cm	<i>G</i> , °	1'	cm
Bandar-e Anzali	Iran	2.12	354	0.92	354	0.24	112	0.18	102	0.14	7.6
Rudsar	Iran	1.83	353	0.77	353	0.26	149	0.18	139	0.17	6.6
Tonekabon	Iran	1.64	352	0.68	352	0.33	160	0.22	153	0.23	6.0
Chalus	Iran	1.43	351	0.59	351	0.41	171	0.27	166	0.33	5.4
Babolsar	Iran	1.07	357	0.41	359	0.56	187	0.37	184	0.62	4.7
Bandar	Iran	1 10	260	0.42	10	0.79	107	0.52	104	0.96	57
Torkaman		1.10	300	0.42	10	0.78	197	0.55	194	0.80	5.7
Hazar	Turkmenistan	1.90	88	0.74	98	0.54	215	0.34	213	0.33	7.0
Türkmenbaşy	Turkmenistan	2.27	129	0.85	140	0.60	234	0.38	230	0.31	8.2
Garabogaz	Turkmenistan	2.43	167	0.99	169	0.40	253	0.27	248	0.19	8.6
Aktau	Kazakhstan	2.30	186	0.89	187	0.29	303	0.18	295	0.15	7.9
Fort	Vogolahoton	2 47	210	0.02	210	0.56	276	0.20	217	0.25	00
Shevchenko	Kazaknstan	2.47	210	0.92	210	0.30	320	0.50	517	0.23	0.9
Lagan	Russia	1.16	48	0.40	67	0.77	75	0.44	69	0.78	5.8
Makhachkala	Russia	1.22	228	0.48	244	0.53	25	0.36	23	0.52	4.9
Kaspiysk	Russia	1.22	229	0.48	244	0.52	24	0.36	23	0.51	4.9
Izberbash	Russia	1.23	227	0.48	241	0.51	23	0.35	23	0.50	4.9
Derbent	Russia	1.27	223	0.49	235	0.48	25	0.33	26	0.46	5.0
Sumqayit	Azerbaijan	1.80	231	0.74	239	0.25	28	0.17	28	0.17	6.3
Baku	Azerbaijan	2.18	6	0.96	8	0.05	358	0.03	356	0.02	7.6
Gobustan	Azerbaijan	2.32	7	1.03	8	0.10	30	0.07	18	0.05	8.2
Neftçala	Azerbaijan	2.24	2	1.00	3	0.13	71	0.12	68	0.08	8.0
Lankaran	Azerbaijan	2.40	1	1.06	2	0.21	71	0.18	67	0.11	8.5
Astara	Azerbaijan	2.29	359	1.00	359	0.21	79	0.18	74	0.12	8.2

Table 2. Amplitudes (H) and Greenwich phase lags (G) of major tidal constituents, form factor (F), and maximum tidal range (R) at main cities in the Caspian Sea.

## 5 **3.2** Form factor, tidal range, and role of tidal oscillations in the sea level variability

The results of our analysis indicate that semidiurnal tides prevail over diurnal tides in the Caspian Sea. We estimated the form factor as determined by the amplitude ratio of the major diurnal and semidiurnal constituents (Pugh and Woodworth, 2014):

$$F = \frac{H_{K_1} + H_{O_1}}{H_{M_2} + H_{S_2}} \quad . \tag{4}$$

10 Tides have a semidiurnal form in the eastern part of the Middle Caspian (F < 0.25), in the western part of the Southern Caspian (F < 0.25), and in Turkmen Bay ( $F \sim 0.14$ ) (Fig. 4a). In general, a mixed mainly semidiurnal tide (0.25 < F < 1.5) is observed in other areas of the Caspian Sea. Only in the western and eastern parts of the Northern Caspian and at the semidiurnal amphidromic point (80 km east of the Absheron Peninsula) the tide has a mixed mainly diurnal form (F > 1.5).

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Based on the results of the numerical modelling of diurnal, semidiurnal and shallow tidal constituents the 18.6-year tidal time series have been predicted at each grid node. The tidal range was calculated as the maximum range of tidal sea level oscillations during one lunar day (~25 hours). The tidal co-range picture features a pattern similar to the M<sub>2</sub> amplitude distribution (Fig. 4b). The maximum tidal ranges have been observed in 1) Kazakh Bay, up to 12 cm; 2) Mangyshlak Bay, up to 12 cm; 3) Türkmenbaşy Gulf, 13 cm; and 4) Turkmen Bay, up to 21 cm. The form factor and tidal range at main cities in the Caspian Sea are included in Table 2. Fort Shevchenko features the largest tidal range among other cities in Table 2. The maximum tidal range has been observed in Turkmen Bay (21 cm), but there are no major cities in this area.



Figure 4. (a) Form factor and (b) the maximal tidal range in the Caspian Sea.

In Medvedev et al. (2017) we estimated the role of tidal oscillations in the sea level variability in the Caspian Sea. We calculated the relative contribution of tides (gravitational and radiational) to the total sea level variance in the frequency band from 0.5 to 6 cpd for eleven tide gauges. The maximum contribution is observed at Bektash (27%). At Aladga, which has the tidal range of 21 cm, the tidal contribution to the sea level variance was 22.5%. The least relative tidal

role was on the western coast: 7.6% at Makhachkala and 11.7% at Baku.

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In the current research, we estimated the contribution of gravitational tides to the sea level variance based on the numerical modelling results. We made two numerical experiments: 1) with the tidal input; 2) with meteorological forcing produced by the fields of wind and air pressure variations over the Caspian Sea for 1979 from NCEP/CFSR reanalysis (Saha et al., 2010). We calculated the variance of tidal sea level variability (excluding long-period constituents) and the variance of the meteorological sea level variations in the first frequency band from 0.1 to 6 cpd and the second frequency band from 0.5 to 6 cpd. Then we estimated the relative contribution (in percent) of tides to the total sea level variance in the Caspian Sea.

15 The maximun contribution of tides to the total sea level variance has been located in the east part of the Middle Caspian: up to 29% for the first frequency band and up to 53% for the second frequency band. In the western part of the Southern Caspian and in Turkmen Bay the tidal contribution of total variance for the second frequency band from 0.5 to 6 cpd is up to 40%. The minimum contribution has been observed in the Northern Caspian, where strong storm surges 20 occur; and near the Absheron Peninsula, where the amphidromic points of the diurnal and

semidiurnal tides are located.



Figure 5. The relative contribution (in percent) of tides to the total sea level variance in the Caspian Sea in (a) the first frequency band from 0.1 to 6 cpd and (b) the second frequency band from 0.5 to 6 cpd.

## 5 **3.3 Tidal currents**

Tidal dynamics are characterized not only by sea level oscillations but also by periodic currents. The spatial structure of the amplitudes of the semi-major axis of tidal currents (Fig. 6) differs from the pattern of the tidal sea level amplitude distribution. The largest  $M_2$  current speeds (semi-major axis) are found in: 1) Mangyshlak Bay near the Tyuleniy Archipelago, up to 6.5 cm/s;

10 2) Absheron Strait which separates the Absheron Peninsula from the Chilov Island, up to 7.5 cm/s; and 3) in the straits to the north and south of Ogurja Ada (the Ogurchinsky Island), up to 12.5 cm/s

and 11.7 cm/s, respectively. The  $M_2$  ellipse parameters (semi-major and semi-minor axes amplitudes, the direction of maximum current speed, phase lags) change depending on local topographic features. For the highest speeds, the rotation of the ellipse occurs in a clockwise direction. In straits and in shallow waters (for example, in Turkmen Bay), the semi-minor axis

- 5 approaches zero and the tidal currents are nearly rectilinear. The spatial pattern of the S<sub>2</sub> tidal currents in the Caspian Sea has the same structure as M<sub>2</sub>: the amplification areas and the ellipse parameters remain; only the S<sub>2</sub> semi-major axis is half of that of M<sub>2</sub>. Since M<sub>2</sub> and S<sub>2</sub> have the largest current speeds in the Caspian Sea, the spatial pattern of the maximum total tidal currents, calculated from time series computed for 18.6 years, repeats the pattern of M<sub>2</sub>, too. The maximum
- 10 total tidal current speed in the Caspian Sea exceeds the M<sub>2</sub> speed on average by a factor of 1.8. The highest speed of the total tidal currents is observed mainly in the following straits: 1) Mangyshlak Bay near the Tyuleniy Archipelago, up to 11.5 cm/s; 2) Absheron Strait which separates the Absheron Peninsula from the Chilov Island, up to 13 cm/s; and 3) in the straits to the north and south of Ogurja Ada, up to 22 cm/s and 19 cm/s, respectively.

# Speed (cm/s) 0 0.25 0.5 1 2 3 4 5 6 8 10



Figure 6. Semi-major axis speed magnitudes (cm/s) for M<sub>2</sub> tidal currents. Blue ellipses indicate clockwise circulation, red ellipses counterclockwise circulation. Other designations: CI is the Chilov (Zhiloy) Island, *TG* is Türkmenbaşy Gulf, *TB* is Turkmen Bay.

# 3.4 Numerical experiments with varying MSL

The interannual MSL variability is one of the main features of the hydrological regime of the Caspian Sea (Bolgov et al., 2007). MSL variations lead to changes in the area and volume of the sea and result in changes in the frequency-selective properties of both the entire Caspian Sea and its individual parts (Fig. 7). The mean depth of the Northern Caspian is about 5–6 m. As a result, changes of the Caspian MSL by 2–3 m (as observed, e.g., between 1974 and 1994) lead to significant changes in the hydrodynamics of the Northern Caspian as well as in coastal waters of the Middle and Southern Caspian. Due to long-term MSL changes, the spatial characteristics of natural oscillations of the basin (seiches) and the tidal pattern should also change.

10 In the present study, we made numerical experiments with tidal simulations using different MSL of the Caspian Sea: from -25 m to -30 m with respect to the BHS. This corresponds to the natural range of MSL changes of the Caspian Sea under climatic conditions typical for the Sub-Atlantic climatic interval of the Holocene epoch (Bolgov et al., 2007). The results of these experiments allow to identify the changes in tidal patterns of the Caspian Sea throughout the 19th–

15 20th centuries. The numerical results reveal that MSL changes in the course of those centuries led to a significant restructuring of the spatial structure of natural sea level oscillations of the whole sea and its individual parts (Middle and Southern Caspian).



Figure 7. Changes of the mean sea level (MSL) of the Caspian Sea at Makhachkala (blue line) and Baku (red line) and the bathymetry of the sea with the MSL -25, -27, and -29 m with respect to the BHS. Orange areas fall dry as a result of the assumed MSL changes below -25 m.

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The spatial structure of the semidiurnal and diurnal tides is modified with the MSL changes of the Caspian Sea (Fig. 8). The  $M_2$  amphidromic point shifts eastward by about 10 km with a decrease in the MSL from -25 to -29 m, it leads to a general displacement of the area with amplitudes of 1.5–2 cm also to the east. As a result, the  $M_2$  amplitude decreases by 0.2–0.3 cm (up

to 10–20% of amplitude) along almost the entire eastern shore of the Middle Caspian. In the Southern Caspian, the tidal amphidrome also shifted to the east and the amplitudes increase along the western coast. An area of amplification of semidiurnal tides with amplitudes up to 6.5 cm is formed in Mangyshlak Bay (Northern Caspian) with the MSL of -25 m. When the MSL drops to

-28 m, the amplitude in this bay decreases to 3.2 cm. An area of large amplitudes is again formed with a maximum of 5.5 cm in Mangyshlak Bay (near the Tyuleniy Archipelago) with the MSL of -30 m.

The most interesting and complex modification of the tidal pattern occurs on the east coast of the sea. In the Türkmenbaşy Gulf, the amplitude decreases from 4.4 cm with a MSL of -25 m to 3.1 for a MSL of -29 m. The reverse picture is observed in Turkmen Bay: the amplitude increases from 3.5 cm to 6.5 cm. Turkmen Bay is a shallow semi-enclosed bay, with the Ogurja Ada Island situated on its western border. This island is a narrow sandy spit approximately 42 km long and 1–1.5 km wide. The island's height currently does not exceed 3–5 m (Badyukova, 2015). Thus, when the MSL of the Caspian Sea is -25 m, a significant part of the island is submerged. Results of our numerical experiments show that the presence of the island creates a western boundary in Turkmen Bay. It leads to a change in frequency properties of the bay and as a consequence in an increase in the amplitude of semidiurnal tides.

More pronounced modifications occur in the diurnal tide pattern with the MSL changes. With MSL of -25 m with respect to the BHS, there is a more noticeable separation of amphidrome near the Absheron Peninsula into two separate systems: to the northeast and south of the peninsula. The amplitude of the diurnal tide on the western coast of the Southern Caspian is 0.1–0.15 cm higher (up to 50% of amplitude) with the MSL of -29 m than for the MSL of -25 m. On the eastern coast of the Southern Caspian, the K<sub>1</sub> amplitude varies weakly with the MSL changes (by 10%). However, the K<sub>1</sub> phase lags are modified. This is caused by the influence of the Ogurja Ada at low MSL.

Strong modifications of the diurnal tidal pattern due to MSL changes occur along the transition between Northern and Middle Caspian. For a MSL of -25 m the largest amplitudes are located near the Tyuleniy Archipelago, up to 0.7–0.8 cm and in Mangyshlak Bay, 1 cm. With decreasing MSL, large amplitudes extend farther west. At a MSL of -29 m, maximum amplitudes are already reached at the west coast of Northern Caspian (near the Tyuleny Island), up to 1.1 cm.

These changes are probably caused by a strong modification of the bottom topography of the shallow Northern Caspian and, as a result, of the frequency (resonant) properties of this subbasin.

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The change in the spatial structure of the tidal range with the change in the MSL is similar to the  $M_2$  amplitude pattern. The maximum tidal range of 22 cm is found in Mangyshlak Bay for a MSL of -25 m. At this MSL the tidal range in Turkmen Bay amounts to 13 cm and in Türkmenbaşy Gulf to 15.5 cm. When the MSL decreases, the tidal range in Mangyshlak Bay

5 decreases, and on the contrary, it increases in the Turkmen Bay. With the MSL of -29 m, the tidal range in Turkmen Bay is 23 cm, whereas it is only 14 cm in Mangyshlak Bay.



Figure 8. Tidal maps for the amplitude of harmonic  $M_2$  (a, b, c),  $K_1$  (d, e, f), and tidal range (g, h, i) with different MSL of the Caspian Sea: -25 m (a, d, g), -27 m (b, e, h), -29 m (c, f, i).

The changes in tidal characteristics can be very significant at individual sites. Figure 9 shows tidal vector diagrams that display the  $M_2$  model amplitude and phase lag for different sites for different MSL of the Caspian Sea. The amplitude and phase lag changes are relatively small at Makhachkala, Baku, and Bektash. However, the  $M_2$  phase lag for Ogurja Ada changes by about 100° and the  $M_2$  amplitude doubles: from 2.5 cm at a MSL of -25 m to 5 cm at a MSL of -30 m.



Figure 9. The changes in the amplitude (cm) and phase lag (degrees) at four sites with different MSL based on numerical modelling results.

### **4** Discussion

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The results of the numerical tidal modelling in this study are in good agreement with the results of a harmonic analysis of tide gauge data of the Caspian Sea (Medvedev et al., 2017). Medvedev et al. (2017) demonstrated that a diurnal peak is absent in the sea level spectra at the western coast of the Southern Caspian (Baku, Svinoy Island), which is confirmed by the result of the numerical simulation of the K<sub>1</sub> amplitude (Fig. 3a). Diurnal tides in the Southern Caspian are radiational and are formed under the influence of sea-breeze winds (Medvedev et al., 2017).

An unexpected result was obtained for the eastern part of the Southern Caspian. With a high MSL (for example, -25 m) a significant part of the territory of the Ogurja Ada Island is below the 10 water level. As a result, it makes it easier for tidal waves to penetrate Turkmen Bay. With a low MSL (for example, -29 m), the area and length of the island increase significantly, and the island becomes an effective boundary to the west, reflecting the tidal waves which penetrate Turkmen Bay. According to Badyukova (2015), the island's height (with the MSL of -27.5 m with respect to the BHS) currently does not exceed 3–5 m. According to elevation data derived from the Shuttle 15 Radar Topography Mission (SRTM, Farr et al., 2007), the island's maximum height is also 5-8 m. We used the GEBCO database to create our numerical grid for the model, with a maximum elevation of the island of 2 m at a MSL of -28 m. Thus, in the experiments assuming a MSL of -25 m, the island was completely submerged. According to historical records in 1835, when the MSL of the Caspian Sea was -25.5 m, the central elevated part of the island was not flooded by 20 the sea (the maximum height being about 3.5 m). According to (Badyukova, 2015), that island actually represents preserved fragments of a coastal delta plain which built on transgressive coastal bars and subsequently merged into one island. A comparison of the island's coordinates in 1850 with 2013 (Badyukova, 2015) shows that the island has gradually moved eastward and has changed its geometrical configuration due to the redistribution of deposits and erosion. The greatest contribution to this process originates from eolian redistribution. According to Nikiforov

25 greatest contribution to this process originates from eolian redistribution. According to Nikiforov (1964), from one meter of the beach every hour, 5 kg of sand is carried inland with a wind speed of 4.9 km/s.

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Numerical experiments were conducted with forcing produced by synthetic wind fields in order to assess changes in natural oscillations (seiches) with a change in the MSL. The magnitude and direction of the generated wind fields was varied randomly every six hours. A spectral analysis of the simulated wind sea level variability showed that a decrease in the MSL leads to change in the period and Q-factor of natural oscillations of Türkmenbaşy Gulf and Turkmen Bay. When the MSL of the Caspian Sea decreases, the Q-factor of seiches in Türkmenbaşy Gulf with a period of about 12 hours significantly decreases. At the MSL of -29 m it does not exceed any more the spectral noise level (Fig. 10a). The Q-factor of natural oscillations of the bay with a period of about 7 hours increases.

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In Turkmen Bay a decrease in MSL from -26 m to -29 m causes the spectral peak of the main seiche mode to migrate towards lower frequencies. Thus the period of this seiche mode approaches the period of the harmonic  $M_2$  (Fig. 10b). This is due to the progressive elongation of Ogurja Island which represents the western boundary of the bay. The closeness of the period of natural oscillations (seiches) to the tidal period (12.42 h) affects the structure of the tidal oscillations. The "sensitivity" of the tides to the changes in the MSL is determined by the proximity or distance from the natural period.



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Figure 10. Sea level spectra in (a) Türkmenbaşy Gulf and (b) Turkmen Bay at different MSL of the Caspian Sea.

### **5** Conclusions

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In this study the tidal dynamics of the Caspian Sea have been investigated numerically. The numerical simulation was forced by the direct action of the tidal potential. The main objective of the study was the mapping of tidal characteristics in the Caspian Sea. For the first time, it was possible to construct detailed co-tidal maps of the tidal sea level and tidal current ellipses for the major harmonics using a numerical hydrodynamic model taking into account the data of long-term

20 sea level observations. The results of the numerical simulation indicate that maximum tidal amplitudes are located in the south-eastern part of the sea. We have shown that tidal currents can reach more than 20 cm/s in certain sea areas (for example, in straits), which is comparable to the

magnitude of permanent sea currents. It means that the role of tides in the hydrodynamics of isolated (non-tidal) seas might have been underestimated so far.

Our numerical experiments indicate that the spatial features of tides are sensitive to changes in the MSL. A modification of the tidal pattern is caused by changes in the bathymetry and geometry of the coastline of shallow areas of the sea including the Northern Caspian, which results in significant changes in the frequency response of the basin. This is also confirmed by changes in the natural oscillation (seiche) structure of the Caspian Sea.

In recent decades significant progress has been achieved in the improvement of global barotropic tide models. This progress has been supported by available satellite altimetry. Stammer et al. (2014) presented a detailed comparison of the main modern global barotropic tide models. Most of these models (FES14, EOT11a, TPXO9, GOT4.10, OSU12, DTU10, HAMTide) don't include the Caspian Sea. Only the TPXO8 includes the Caspian Sea, but the MSL of it was uncorrect, 0 m with respect to the BHS. This invalid assumption shifted the coastline, significantly increased the sea area, and as a result distorted the tide in this sea.

15 We believe that our findings on the tidal dynamics can help to better understand the diurnal and semidiurnal variability in the sea level and currents in the Caspian Sea.

### 6 Data availability

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Data and results in this article resulting from numerical simulations are available upon request from the corresponding author.

## 20 **7** Author contributions

The concept of the study was jointly developed by IM and EK. IM did the numerical simulations, analysis, visualization and manuscript writing. EK prepared the numerical grids and participated in the analyses and the interpretation of the results. IF adapted the numerical Princeton Ocean Model (POM) to the Caspian Sea and participated in the verification stage. IM prepared the

25 paper with contributions from EK and IF.

## **8** Competing interests

The authors declare that they have no conflict of interest.

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