

## Referee 1

Dear Referee 1,

I am very grateful to you for the valuable and useful comments and remarks! Please, find below the answers to the comments and remarks.

5 Kind regards, Vera on behalf of the co-authors

Section 2.2: It would be useful to include a figure of the meshes to a) see the exact location of open boundary and b) details of the mesh resolution in crucial areas (e.g. Lister Deep, shallow areas). As the grid plays an important role in such studies, the authors should elaborate on the criteria used to choose the mesh resolution

10 Thank you for the comment! Please, find an answer below.

The minimum grid cell size (2-14 meters) was chosen based on available bathymetry data resolution. In case of the curvilinear grid the cell sizes are determinate by the square of the subarea. The whole domain was divided into 6 subareas (Fig. 1a). The smallest among them are those, which are covered the Königshaven area and Lister zone. The division of the domain into subareas was dictated by the

15 bathymetry information and area of particular interests - Königshaven, Lister Deep and ets. Figure 1 shows the subdivisions, grid quality and final nodal areas for the curvilinear grid.

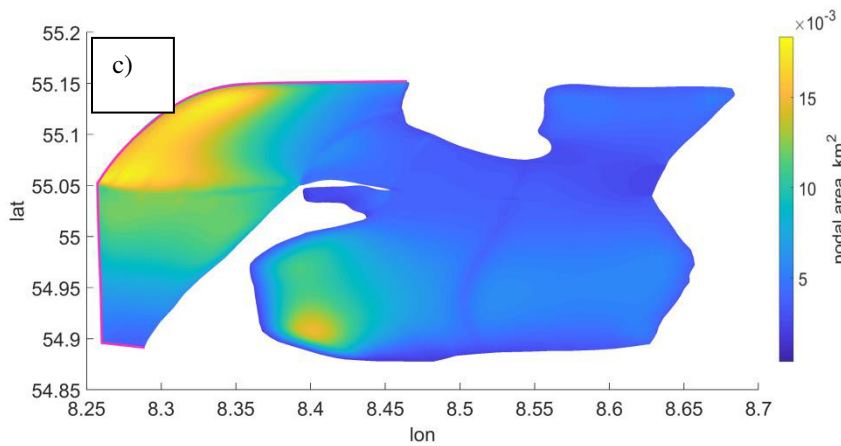
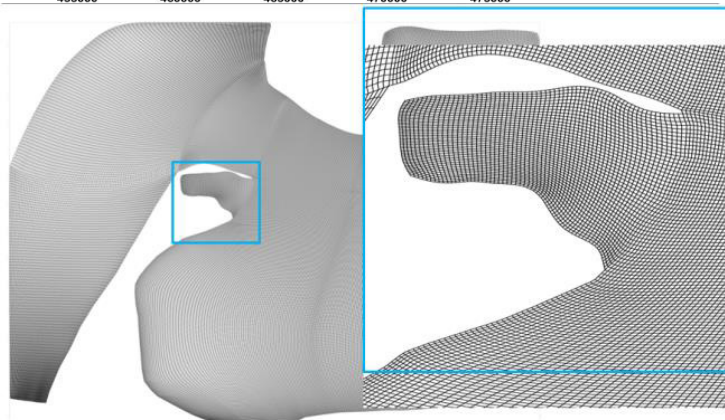
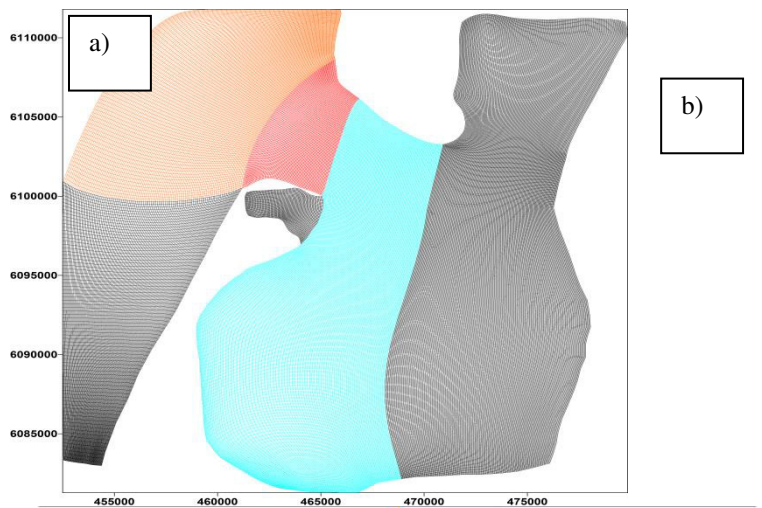
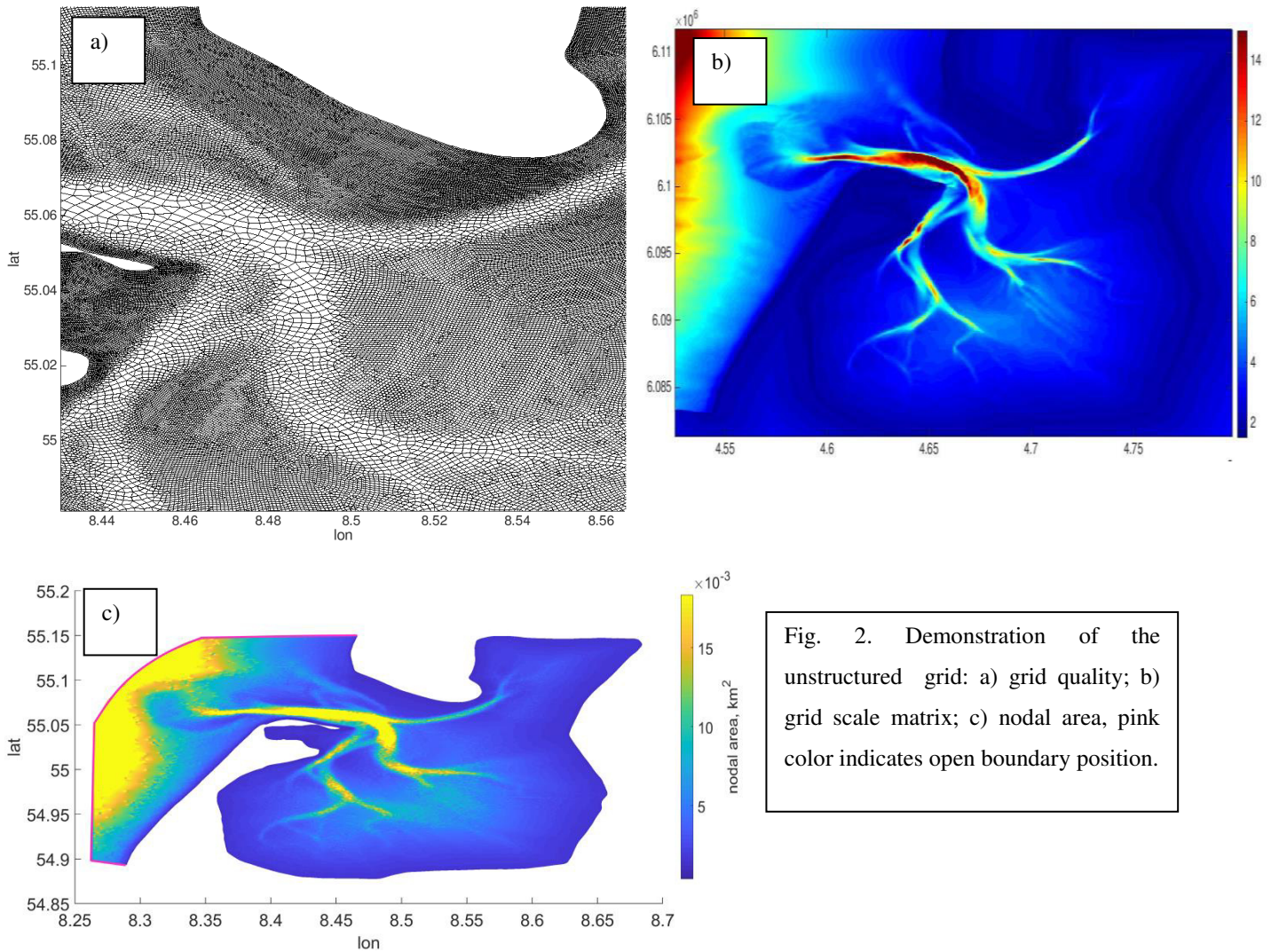


Fig. 1. Demonstration of the curvilinear grid:  
 a) subdivision into subareas; b) grid quality;  
 c) nodal area, pink color indicates open  
 boundary position.



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In case of the mixed mesh (unstructured grid) we were more flexible in choosing of the grid sizes. The matrix of element sizes was constructed based on the information about the bathymetry, the bathymetry gradient and the zones of particular interest (Lister Deep; main draining channels). Figure 2 shows the grid quality and nodal area.

Both grids have nearly the same open boundary position.

The additional figures have been added to Appendix, the additional notes have been added to the text of the manuscript.

35 line 103: Which turbulence closure model are you using? E.g. k-epsilon, or one of the Generic Length Scale models that GOTM provides?

The k-epsilon closure was used. The information has been added to the text.

40 Section 2.3: The reference to the NEMO model is inaccurate. I presume that in this study the European north-west shelf model is used and it should be cited appropriately. Why do you compute tidal harmonics from the shelf model results? This potentially introduces an error source; it would be better to use the elevation time series itself as it includes atmospheric effects. Open data: Are the bathymetric and ADCP data sets introduced in this manuscript publicly available?

Yes, the European north-west shelf model results were used, the ocean part of which is based on NEMO. We have completed the reference by citation of the web-source with full description of the setup: [http://marine.copernicus.eu/services-portfolio/access-to-products/?option=com\\_csw&view=details&product\\_id=NORTHWESTSHELF\\_ANALYSIS\\_FORECAST\\_PHY\\_004\\_013](http://marine.copernicus.eu/services-portfolio/access-to-products/?option=com_csw&view=details&product_id=NORTHWESTSHELF_ANALYSIS_FORECAST_PHY_004_013)

We did not take the elevation directly, because in this study we did not include the wind forcing. We took for the analysis the elevation time series for the whole year, therefore we hope that the analysis mistake is sufficiently small.

50 The ADCP data used in this study are available in PANGAEA: <https://doi.pangaea.de/10.1594/PANGAEA.894070>

The bathymetry data will be made publicly available this year or in the beginning of the next year and also will be available in PANGAEA database.

The additional information has been added to the text.

55 Section 2: The model configuration should be elaborated. What were the calibration and analysis period(s)? What were the initial conditions? Was there a spin-up period? It appears that open boundary conditions were calibrated with a 2D model using bottom drag parametrization (lines 224-226), while subsequent results were carried out with a 3D model. For clarity, please define these configurations.

All results demonstrated in the manuscript are obtained based on barotropic simulation with tidal forcing only. To find optimal tidal solution at the open boundary we performed series of 2D experiments, mainly, because the intercomparison with observations was performed based on depth-averaged velocities. After that we moved to multi-layer task. The optimal roughness height was set to 0.001 m for the TPX09 solution; this value agreed with one estimated from observations in a similar region (Werner et al., 2003) and, in terms of the mean, with the Cd equaled to 0.0025 for the 2D-65 scenario (we made series of sensitivity experiments to find this value). Table 1 presents the comparison of the RMSD and Correlation coefficient with the available ADCP data for 2D and 3D cases on 1st grid with Cd=0.0025 and r=0.001 m. Table 1 shows that 2D and 3D cases show similar results in terms of intercomparison with observations.

<b>N of obs.</b>	<b>Date in May</b>		<b>TPX09, curvilinear grid, 3D case, <math>z_0=0.001</math></b>	<b>TPX09, curvilinear grid, 2D case, <math>C_d=0.0025</math></b>
<b>655</b>	<b>22</b>	<b>RMSD</b>	0.28	0.28
		<b>C.C. u, v</b>	0.87, 0.86	0.87, 0.85
<b>637</b>	<b>23</b>	<b>RMSD</b>	0.31	0.31
		<b>C.C. u, v</b>	0.82, 0.82	0.82 0.82
<b>618</b>	<b>24</b>	<b>RMSD</b>	0.31	0.31
		<b>C.C. u, v</b>	0.84, 0.7	0.84, 0.66

764	29	RMSD	0.29	0.3
		C.C. u, v	0.89, 0.89	0.89, 0.87
1259	30	RMSD	0.25	0.26
		C.C. u, v	0.97, 0.78	0.97, 0.76

70 The spin-up period for all simulations was three months with a criteria of the stabilization of the total energy behavior. Due to the fact that paper considers only the tidal dynamics for the analysis we took last two full tidal cycles - 59 days. We simulated the tidal dynamics in 2018, which is expressed in Doodsen correction of the prescribed amplitudes and phases, therefore we were able to compare velocities second to second.

75 The additional setup details have been added to the manuscript.

Harmonic analysis: The observations of velocity and water elevation observations do include atmospheric effects which are absent in the tidal models. What were the atmospheric conditions during the calibration and validation periods? Can you estimate their impact on the error metrics? Are the tides in this small-scale system really stationary so that harmonic analysis is well defined?

85 Table 1. Summary of the five cruises on board of Research Vessel Mya II, profiling three main transects: Inner Tidal Inlet (ITI), Main Tidal Channels (MTC) and Outer Tidal Inlet (OTI). Main Tidal Channels (MTC) show the initial, turning and ending points, since it covers three sections in one transect. The Wind Roses characterize the wind conditions during cruise times, with the legend colors representing the wind velocity in m/s and the circles representing the frequency percentage of the direction from where the wind blows.

Transect Name	Date	Lon / Lat (°) (Start – End)	Tidal Period	Duration (h)	Wind conditions (Wind Rose)
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ITI	22/05/2018	8.464	/	neap	6:28	
		55.047				
		8.489	/			
		55.062				
MTC	23/05/2018	8.461	/	neap+1 day	6:19	
		55.039				
		8.474	/			
	24/05/2018	55.035	/	neap+2 days	5:56	
		8.505	/			
		55.056				
ITI	29/05/2018	8.464	/	spring	7:11	
		55.047				
		8.489	/			
		55.062				
OTI	30/05/2018	8.418	/	spring+1 day	12:24	
		55.059				
		8.428	/			
		55.071				



The main wind direction during the cruises was around the 90° (East) sextant, with the most frequent intensities in the range of 5 to 10 m/s. During 24<sup>th</sup> of May the wind was blowing strongly from the east nearly during the whole cruise. Exceptions were the cruise on May 23 concerning the direction, when were observed winds from the NNW (330°), and the cruise on May 29 with mostly frequent intensity ranging between 10 to 15 m/s.

Table 2 in the manuscript shows that for all solutions except FES, the correlation coefficients are higher during spring tides as well as in the deepest part of the domain despite the quite strong winds often ranging from 10 to 15 m/s 29th and 30th of May. The largest velocity errors for all solutions occur when tidal velocities are small as well as during the tidal state change, so we can guess that at these moments wind, wave and baroclinicity effect become much more pronounced. On May 23 and May 24, the measurements were performed on nearly the same side; but the May 24 correlation coefficient for the 'v' component is relatively small. In this case we can really say that the reason is permanent wind from the east. However in this zone, tides seem to be explained more than 80 % (or 90 % or more in case of a spring tide) of the dynamics in case of absent storm (more than 20 m/s) and blowing continuously in one direction winds.

We believe that the wind forcing will add approximately the same contribution to error for all boundary conditions used based on very high correlation coefficients.

The FFT analysis of the modeling results was done after the stabilization of the total energy behavior. The elevation signal from TG was analyzed based on the whole year time-series. Due to the fact that the frequencies induced by wind are very high, we believe that this is high quality analysis. However, of course, some errors are there, which are hard to correct.

The 'wind' table has been added to the Appendix.

110 **line 195: Is Cd constant in space? If so, is that a realistic configuration for the bight?**  
**Tide gauge comparisons: It would be useful to have example time series comparing the observations and the model to give a better idea of the model's performance. A**

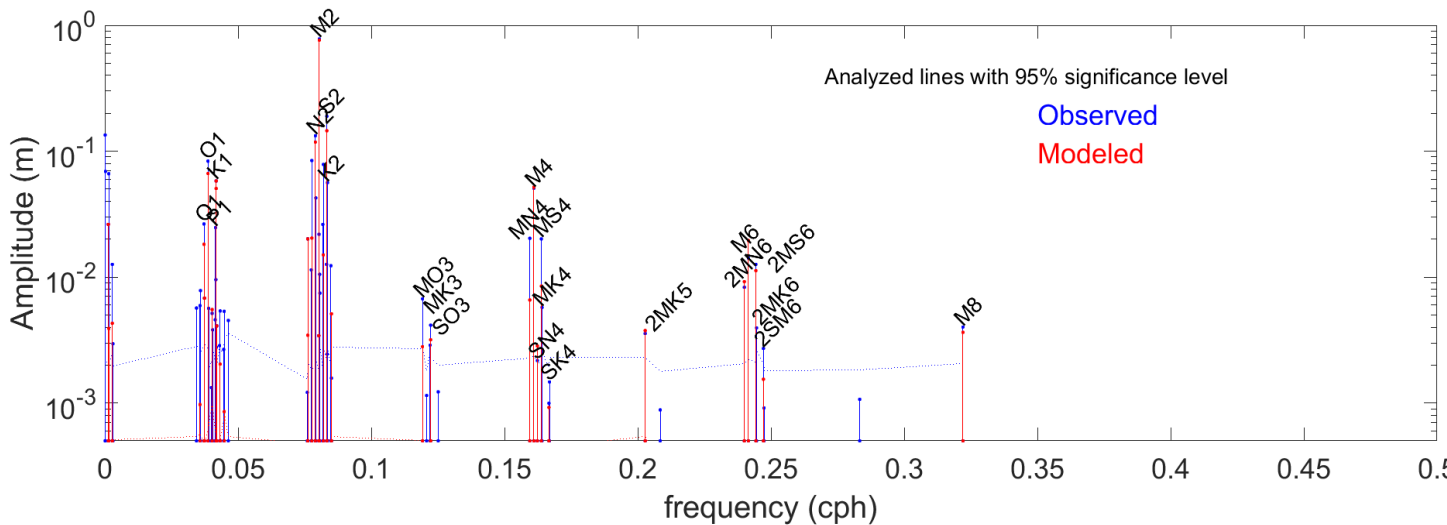


Taylor diagram could also be used.

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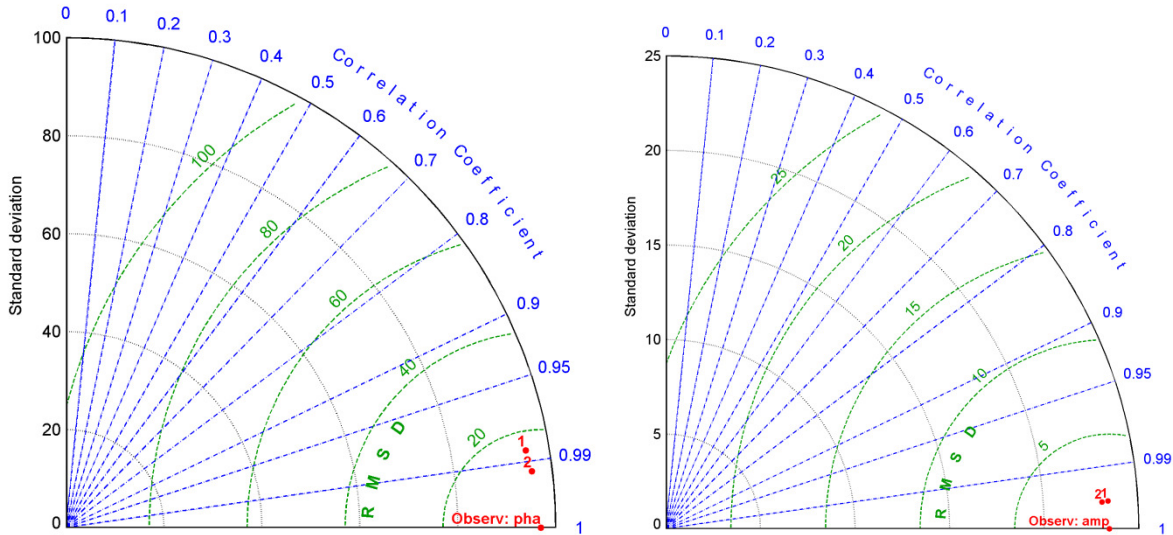
Yes, we took Cd as a constant for the region due to the fact that the seabed habitat map is not ready yet for the area, there are nearly no observations in the shallow part of the region and considered area is relatively small. Therefore we think that such a decision is justified.

The reconstructed tidal elevation from observations based on FFT and elevation based on model runs  
120 visually are nearly identical. The intercomparison example of the observed and modeled amplitudes at the gauging station + Taylor diagrams for amplitude and phase are given below. The diagrams were added to supplementary materials.



125

Fig. Demonstration of the FFT analysis results at List TG.



Taylor diagrams based on observed and modelled data at 3 gauging stations, '1' and '2' indicate simulation results on curvilinear and unstructured grids respectively: a) for amplitude; b) for phase.

130

line 219: Do you use a sponge layer? If so, please describe it in Section 2.  
Thank you for the comment.

To avoid errors due to the inconsistency between the character of equations and the specified open boundary conditions (prescription of tidal elevation only), a 3-km sponge layer has been introduced. It gradually turns off the advection of momentum and viscosity in the vicinity of the open boundary (Androsov et al., 1995). The appropriate information is added to the manuscript.

135

Androsov, A.A., Klevanny, K.A., Salusti, E.S., Voltzinger, N.E.: Open boundary conditions for horizontal 2-D curvilinear-grid long-wave dynamics of a strait, *Advances in Water Resources*, 18, 267–276, 10.1016/0309-1708(95)00017-D, 1995.

140

Baroclinicity: The tidal dynamics, e.g. tidal ellipses, are probably affected by density and stratification effects which in the present study is neglected. Can you argue that baroclinic effects are negligible in this system?

The water column in the domain of interests is generally well mixed, weak strain induced periodic stratification only occurs at the end of the flood in some subareas (Villarreal et al., 2005; Simpson et al., 1990; Purkiani et al., 2015). So we think that for the **depth-averaged** ellipse maps we can omit baroclinic effect. The presence of the weak density gradient in the system has an influence to the local

circulation, especially in the Lister deep zone. But there the tidal residual circulation is large and would  
150 dominant the dynamics. We think that our results are valid in case of baroclinic effects are turned on,  
however further consideration of the SPM budget would be not possible without considering  
baroclinicity (e.g., Burchard et al., 2008).

Burchard, H., Flöser, G., Staneva, J. V., Riethmüller, R. and Badewien, T.: Impact of density gradients on net sediment  
155 transport into the Wadden Sea, *J. Phys. Oceanogr.*, 38, 566 – 587, <https://doi.org/10.1175/2007JPO3796.1>, 2008.  
Purkiani, K., Becherer, J., Flöser, G., Gräwe, U., Mohrholz, V., Schuttelaars, H. M. and Burchard, H.: Numerical analysis of  
stratification and destratification processes in a tidally energetic inlet with an ebb tidal delta, *J. Geophys. Res-Oceans*, 120,  
225– 243, <https://doi.org/10.1002/2014JC010325>, 2015.  
Simpson, J.H., Brown, J., Matthews, J. et al. *Estuaries* (1990) 13: 125. <https://doi.org/10.2307/1351581>.  
160 Villarreal, M.R., K. Bolding, Burchard, H., and E. Demirov, 2005. Coupling of the GOTM turbulence module to some three-  
dimensional ocean models, *Marine Turbulence: Theories, Observations and Models*, Baumert, H. Z., J. H. Simpson, and J.  
Sündermann, Eds., Cambridge University Press, Cambridge, 225–237.

165

Section 5.2: Larger dissipation in the unstructured grid could also be due to better  
resolved intertidal dynamics that are inherently dissipative. Presumably also the bathymetric  
features are quite different in these two grids.

170 Thank you for the comments. We agree that better resolved intertidal dynamics can be an additional  
factor of the larger dissipation. This part of the dissipation can be largely traced in the behavior of the  
bottom friction and energy change adds of the balance. The conclusion about larger dissipation on the  
unstructured grid is made based on analysis of the energy imbalance. We should stress that the  
unstructured grid is more dissipative numerically anyway with our type of discretization, this is well  
175 proofed result (e.g. Danilov and Androsov, 2015; Androsov et al., 2019).

The additional comments have been added to the text.

Danilov, S. and Androsov, A.: Cell-vertex discretization of shallow water equations on mixed unstructured meshes, *Ocean  
Dynam.*, 65, 33 – 47, <https://doi.org/10.1007/s10236-014-0790-x>, 2015.  
180 Androsov, A., Fofonova, V., Kuznetsov, I., Danilov, S., Rakowsky, N., Harig, S., Brix, H., and Wiltshire, K. H.: FESOM-C  
v.2: coastal dynamics on hybrid unstructured meshes, *Geosci. Model Dev.*, 12, 1009 – 1028, <https://doi.org/10.5194/gmd-12-1009-2019>.

The authors conclude that the model results "converge" to a realistic solution (line 81,

185 478, abstract), based on the presented simulations with two different grids. The authors  
also conclude that the curvilinear grid has lower dissipation making it thus better suited  
for baroclinic studies. I find these conclusions somewhat premature: Only two different  
grids were used, which at the same time had different element types (triangles and  
quads), resolution and topology (unstructured and curvilinear), as well as (I presume)  
190 bathymetry. As such, it is really quite hard to infer what grid properties cause the  
observed change in model performance. The grid sensitivity study should be extended  
to better address the effects.

We made a study of convergence of the solution on meshes of different configurations (quadrilateral,  
195 triangular and mixed) for the studied region in the work of Androsov et al., 2019. The study is based on  
coarser meshes and bathymetry data and with only M2 forcing. However, it provides a comparative  
analysis of energy characteristics as well as a histogram of errors of dynamical characteristics on  
meshes of different configurations. As was mentioned above it is proofed fact that the quadrilateral  
(curvilinear) has lower numerical dissipation with our desritization. We agree that this a premature to  
200 talk about which grid will better in case of baroclinic study, it is actually should be checked in further  
work. We have decided to write additional small article dedicated to the reproduction of the nonlinear  
effects on the grids of different structure. The bathymetry matrix was the same for both grids. We have  
added the reference to the manuscript and additional notes.

205 Androsov, A., Fofonova, V., Kuznetsov, I., Danilov, S., Rakowsky, N., Harig, S., Brix, H., and Wiltshire, K. H.: FESOM-C  
v.2: coastal dynamics on hybrid unstructured meshes, Geosci. Model Dev., 12, 1009 – 1028, <https://doi.org/10.5194/gmd-12-1009-2019>.

#### Technical corrections

210 line 123:  $1/30$  \*degree\*

line 182: this paragraph is duplicate of the previous one.

Figure 3 a: The ratio being thus defined it would be more appropriate to call it "weight  
of linearity" instead. The unit (m) is wrong.

Table 3: Add units. What do "RMSD", "amp(cm)", "ph(\_)" stand for?

215 Figure 9: Add units.

Thank you a lot! Done.

## Referee 2

220 The article is a detailed study of the nonlinear dynamics of the tide in the shallow bay of the North Sea, which is characterized by a significant area of the intertidal zone. As a research tool, a relatively new FESOM-c model is used, which approximates the governing equations by the finite volume method and is able to work on hybrid unstructured computational grids. New data on the bathymetry of the bay and tidal currents are also presented. The authors of the study set a rather difficult goal, analyzing the results of observations and modeling for the summary tide instead of effects of nonlinearity for the single  
225 harmonic tide. In the latter case, it would be easier to estimate the contribution of the main mechanisms of nonlinearity (shallow depth, advection, and quadratic friction) on the structure of tidal asymmetries. Nevertheless, the results presented in the article are of real interest, since they provide a serious basis for analyzing the features of sediment dynamics and the formation of a variable bottom relief. I would recommend this paper to be published after a little revision. I hope the comments below help improve  
230 the manuscript.

Dear Referee 2,

235 Thank you so much for the very valuable comments! Please, find the answers below. We agree, that further study should be dedicated to the analysis of the separate mechanisms of nonlinearity in the domain based on grids with different structure.

Kind regards, Vera on behalf of the co-authors

240 **Specific comments:**

Line 100: Are only 10 sigma vertical layers enough for 3D simulations? In other words, is the numerical solution dependent on the number of vertical layers?

245 Thank you for the question. The water column in the domain of interests is generally well mixed, weak strain induced periodic stratification only occurs at the end of the flood in some subareas (Villarreal et

al., 2005; Simpson et al., 1990; Purkiani et al., 2015). Based on available observations we can conclude that the vertical structure of the velocities and turbulent characteristics are relatively simple (Burchard et al., 2008, Purkiani et al., 2015)

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As soon as we have concentrated our attention mainly on the depth-averaged dynamics and near-bottom dynamics (our vertical layers are crowded near the bottom) and the area of consideration is relatively shallow, we have agreed on 10 levels.

255 Burchard, H., Flüser, G., Staneva, J. V., Riethmüller, R. and Badewien, T.: Impact of density gradients on net sediment transport into the Wadden Sea, *J. Phys. Oceanogr.*, 38, 566 – 587, <https://doi.org/10.1175/2007JPO3796.1>, 2008.

Purkiani, K., Becherer, J., Flüser, G., Gräwe, U., Mohrholz, V., Schuttelaars, H. M. and Burchard, H.: Numerical analysis of stratification and destratification processes in a tidally energetic inlet with an ebb tidal delta, *J. Geophys. Res-Oceans*, 120, 225– 243, <https://doi.org/10.1002/2014JC010325>, 2015.

260 Simpson, J.H., Brown, J., Matthews, J. et al. *Estuaries* (1990) 13: 125. <https://doi.org/10.2307/1351581>.

Villarreal, M.R., K. Bolding, Burchard, H., and E. Demirov, 2005. *Coupling of the GOTM turbulence module to some three-dimensional ocean models*, *Marine Turbulence: Theories, Observations and Models*, Baumert, H. Z., J. H. Simpson, and J. Sündermann, Eds., Cambridge University Press, Cambridge, 225–237.

265 **Section 2.3 (Open boundary conditions) Please specify the period of model calculations (dates).**

The spin-up period for all simulations was three months with a criteria of the stabilization of the energy behavior. Due to the fact that paper considers only the tidal dynamics for the analysis we took last two  
270 full tidal periods - 59 days. We simulated the tidal dynamics in 2018, which is expressed in Doodsen correction of the prescribed amplitudes and phases, therefore we were able to compare observed and modeled velocities second to second for end of May 2018.

The additional setup details have been added to the manuscript.

275 **Line 165 (Data. 3.2 Tide gauge (TG) data): VidaTG station is located in the intertidal zone, and so during low tide ( when the seabed is exposed) the continuous (quasi harmonic) time series is greatly distorted. (see for example, <https://www.emodnetphysics.eu/map/platinfo/piroosplot.aspx?platformid=9015&60days=true>). In fact, this is time series with data gaps. Nevertheless, the authors used classical harmonic analysis**  
280 **for this station in the validation of the model. Did authors take into account the**

peculiarity of the tide in this station when analyzing the results? (see also the comment below on Section 4.5.2).

285 Thank you for the question. VidaTG station is situated in the intertidal zone, however itself is situated in the quite deep channel, therefore there is no distortion of the time series (Fig. 1).

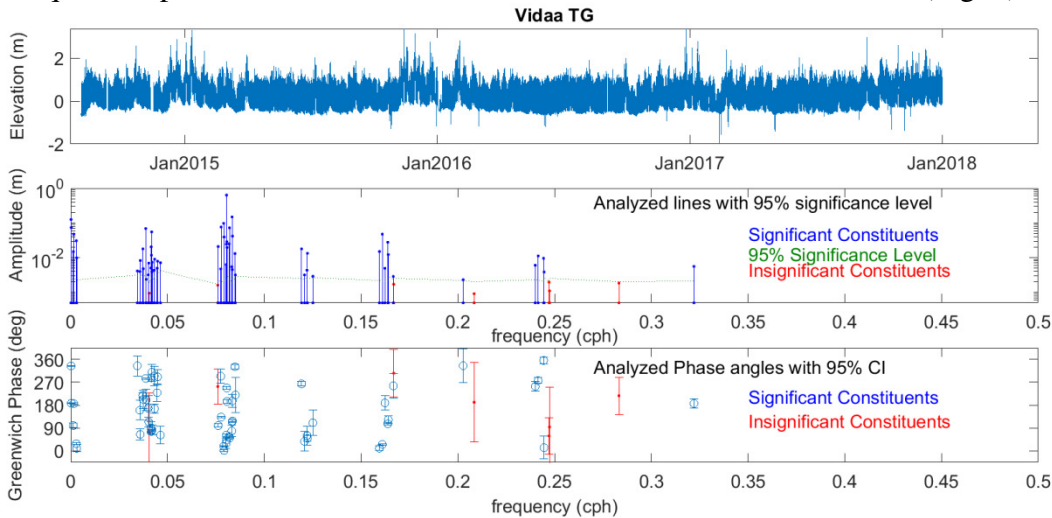


Figure 1. The elevation time series at the Vidaa TG and results of the classical harmonic analysis.

290 Table 2. The results of the inter comparison show rather large RMSD values (compared with the velocity values themselves). Apparently, this is due to the neglect of wind induced fluctuations in the simulation. The question arises: Is inter comparison in Table\_2 appropriate?

295 Thank you for the question. We think that the wind forcing will add approximately the same contribution to the error for all boundary conditions used based on very high correlation coefficients. In considered zone tides seem to be explained more than 80 % (or 90 % or more in case of a spring tide) of the dynamics in case of absent storm (more than 20 m/s) and blowing continuously in one direction winds. On this basis, we give this comparison in Table 2, the purpose of which is to select those  
300 boundary conditions that have the smallest absolute error compared to the observational data.

Line 330: It seems to me that the use of the term “seiche” is not entirely appropriate in



this context, since we are dealing with forced fluctuations. It would be more correct to speak about oscillations as near standing wave.

305

We replaced the term “seiche” with a more general term – “standing wave”.

Line 350: It is desirable to immediately emphasize that the results of Fig. 8 relate to the sea level (not currents).

310 Thank you for the comments. We have put additional notes to the figure caption.

Line 359: Frankly, I do not see indication on degenerate amphidromic point in external area. Yes, there is a slight closeness of phase contours lines (caused by proximity to the strait and refraction of the tidal wave), but there is no decrease in the tide amplitude as it is usually in nodal (amphidromic) zone. The effect of the capture of a Poincare wave is interesting, but requires explanation or reference.

315

Thank you. Absolutely correct remark, this picture does not clearly show the presence of amphidromic point, because we do not see a concentric decrease in the level. We have excluded this conclusion from the text.

320

Line 365-370: It is interesting how the authors distinguish between the different duration of the ebb and tide caused by the nonlinearity from the effect of the sum of harmonics of various periods. The last effect is called the Diurnal Inequality of tide, and it is not connected with non-linearity.

325

Thank you very much for the very useful remarks! The features represented in Fig. 9 (level panel) show the mean pattern for the full tidal cycle (29.5 days). However, we should definitely pointed out that the reason of the variations in Fig. 9 (right panel) is not only non-linear effects, but also Diurnal Inequality of tide. The additional comments have been added to the text.

330

Line 405-410: To explain the effect of the dominance of tide velocities over ebb ones, it is not necessary to use phase speed inequality (SQRT (gh)). There is a simple explanation for this effect: the bottom friction dampens the flow more efficiently in smaller depth (during low ebb).

335

We agree to the comments. However, in this zone, the depth is relatively large and residual circulation is smallest compared to the surrounding area.

The mentioned effect induced by bottom friction can be traced in the zone 2, where we have an extensive intertidal zone and maximum amplitudes of the semidiurnal tides compared to other zones, here the flood dominance can be explained by the major role of non-linear friction effects and non-linearity in changes of the water-layer thickness.

Section 4.5.2 (Line 412). Again about the accuracy of harmonic analysis in the intertidal zone. Indeed, in this zone, at low tide, the bottom is exposed, and this means that data gaps appear in the model time series. In this case, the classical harmonic analysis can give inaccurate results for the amplitudes and phases of the waves. It seems that it would be more logical to exclude intertidal zones from the analysis of results (at least in terms of the results of harmonic analysis). How was this fact taken into account? Otherwise it's necessary apply special procedures to avoid mistakes.

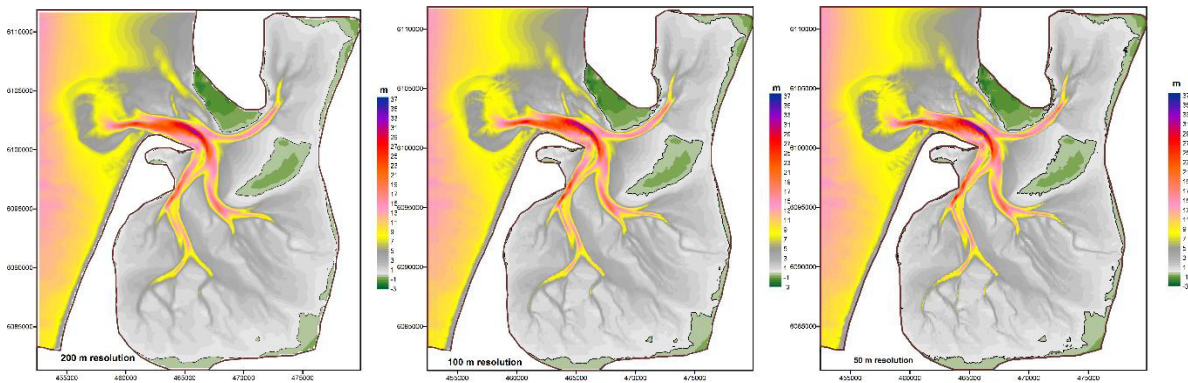
Thank you a lot for the comment. We agree with the remark. We have decided to consider intertidal zone, because it occupies at about 40 % of the whole considered area. We performed our simulations with time step of about 1 s, we did not do any averaging and used output every couple of seconds to perform the analysis. Therefore, analyzing last two full tidal cycles ( $29.5 \times 2$  days), we had large time-series. Also we have performed sensitivity test (modifying the analyzed period), which showed that the results of the analysis are justified.

360 Section 6 (Summary). Unfortunately, the question of the sensitivity of the numerical  
simulation to the accuracy of bathymetric data remained outside the discussion. In  
particular, how important is the effect of new bathymetric data in the strait on simulation  
results. A comparison of the solutions with the old and new bathymetry would answer  
this question, and perhaps provide a serious justification for the need for new good  
bathymetry for the whole area.

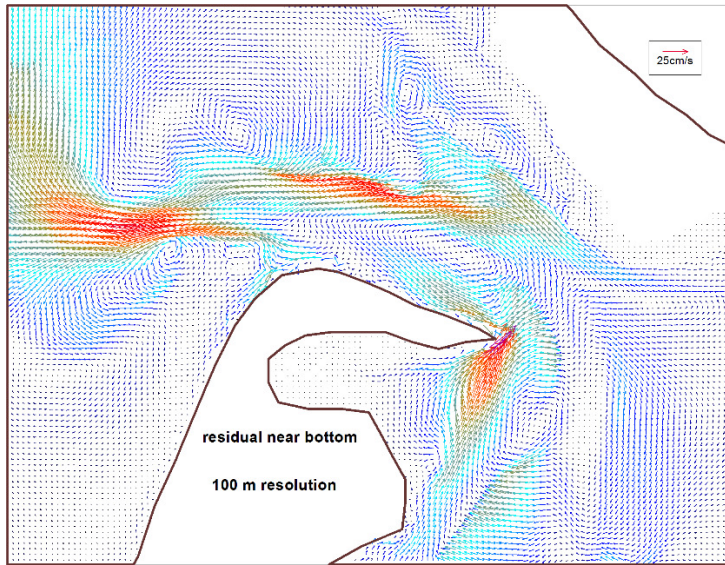
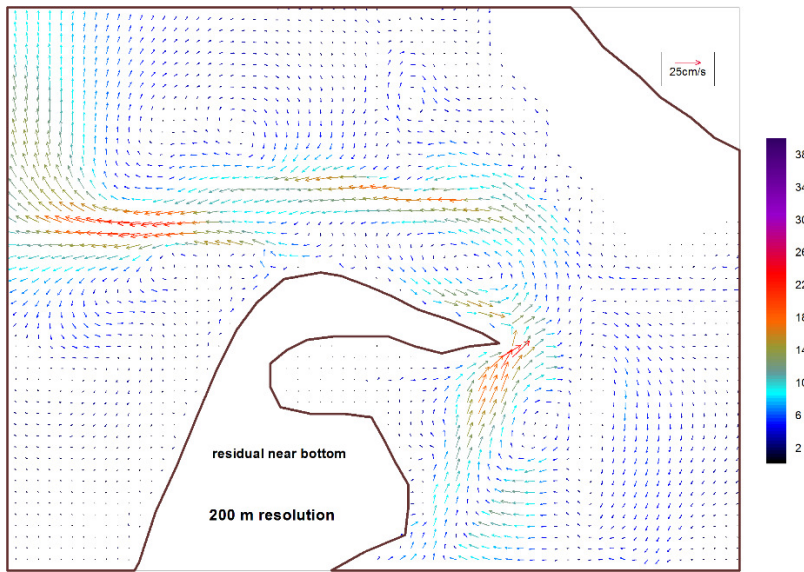
365

Important note. Thanks. At a preliminary stage, comparison analysis of results of the computation for  
three bathymetric databases is carried out: 200 m (H. Burchard, personal communication), 100 m and  
with a resolution of 50 m (L. Sander, personal communication). The meshes used in this analysis have a  
rectangular structure and the spatial resolution corresponding to the bathymetry info. Note, that 200 m  
370 bathymetry is largely smoothed compared to 50 m bathymetry product. Results were analyzed on fields  
of residual circulation in a tidal cycle of M2 wave. The residual circulation based on high quality  
bathymetry data considerably differs from one based on a coarser bathymetry (figures below). Vortex  
structures have some space shift, a considerable intensification on detailed bathymetry in comparison  
with smoothed one (figures below).

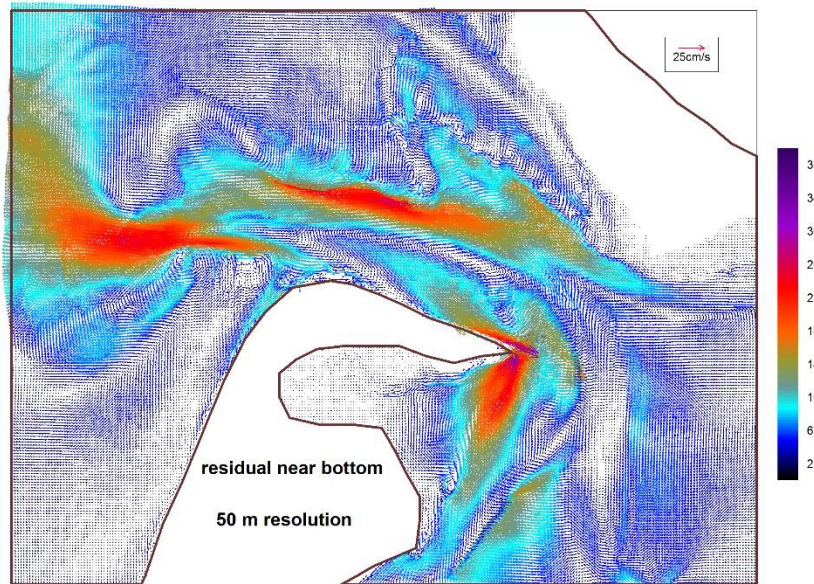
375



Bathymetry. Left – 200 m resolution; middle – 100 m; right – 50 m.



380



385 Residual circulation near bottom. M2 wave. Upper panel – 200 m bathymetry resolution; middle panel –  
 100 m resolution; bottom panel – 50 m.

Technical corrections:

390 Lines 175 and 182: Two paragraphs contain the same information.

Line 245: 29.5 days is rather a lunar (synodic) month than a tidal cycle

Line 335: Direction of rotation (not orientation) of the tidal ellipse is determined by the sign of the ellipticity. (Orientation is rather the inclination of its main axis of the ellipse).

Line 372: Replace “(level panel)” by “(left panel)”

395 Figure 5. Please explain what the following sentence means: "The length of vectors on the maps is scaled based on the square root method."

Figure 8 .: “The dark blue color indicates zone, where topography features are above sea level.” ..... above the highest sea level ???

Thank you a lot! Done

400



### Referee 3

Dear Referee 3,

Thank you for the comments. Please, find the answers below.

405

Kind regards, Vera on behalf of the co-authors

This is a high resolution hydrodynamic modelling study of a region of the Wadden Sea. A new bathymetric product for the region has been incorporated as model input, allowing for higher resolution simulations to be conducted – but what are the implications of this to the wider field? Overall, I felt that although the methods were sound, the paper lacked novelty and read as more of a ‘site characterisation’ study than a piece of novel research. My main comment is that the authors could do more to convince the readers that this is an interesting region to study, and to describe more clearly what this paper adds to the field of knowledge on the area/ implications for future modelling studies. Further still, there are numerous ambiguous and subjective statements made. Because of the lack of explicit motivation for the importance/relevance of this work, I found the lengthy results section difficult to follow. On this basis, I would recommend that the manuscript be returned to the authors for a major (editorial) revision, to include the re-working of many of the figures. My apologies that it has taken me a long time to return this review.

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#### **Importance/relevance**

The tidal residual circulation and asymmetric tidal cycles largely define the transport and accumulation of sediment and the distribution of bedforms in the bight. Largely due to gap in understanding of the asymmetric tidal cycles phenomena, in the literature you can find different values of suspended matter fluxes and the sediment budget in/of the bight (e.g., Boldreel et al., 2010; Burchard et al., 2008; Hayes, 1980; Nortier, 2004; Postma, 1967). Even the estimate of the relatively simple value as the mean water volume entering the basin during flood and leaving during ebb in a mean sense varies from 4 to  $6.3 \cdot 10^8$  m<sup>3</sup> (Bolaños-Sanchez et al., 2005; Gätje and Reise, 1998; Gräwe et al. 2016; Lumborg and Windelin, 2003; Nortier, 2004; Pejrup et al., 1997; Purkiani et al. 2015).

425

## Novelty, major points

### 430 scientific

- Four zones with fundamentally different asymmetry structures were indicated during tidal asymmetry analysis.
- Tidal asymmetry maps were firstly introduced and constructed.
- Tidal ellipses, energy fluxes and residual circulation maps were constructed and analyzed.

435 Based on this analysis you can largely judge about the velocity behavior, water parcel trajectories and bedform peculiarities in the area.

### methodical

- For the first time the bathymetry product with such a high resolution was used for the area.
- The two new grids with different structure were constructed and convergence of the results were shown.
- The best tidal solution at the open boundary for the area was identified.

Comment to the hand-written remark:

- Spaces must be included between number and unit (e.g. 1 %, 1 m) according to the journal rules.
- Please, consider that the quality of the pictures in the manuscript is not the best to reduce the size of the pdf.
- The manuscript was proofread by the native speaker except the Discussion Section.

450 Bolaños-Sanchez, R., Riethmüller, R., Gayer, G. and Amos C. L.: Sediment transport in a tidal lagoon subject to varying winds evaluated with a coupled current-wave model, *J. Coastal Res.*, 21, e11 – e26, <https://doi.org/10.2112/03-0048.1>, 2005.

Boldreel, L. O., Kuijpers, A., Madsen, E. B., Hass, H. C., Lindhorst, S., Rasmussen, R., Nielsen, M.G., Bartholdy, J. and Pedersen, J. B. T.: Postglacial sedimentary regime around northern Sylt, South-eastern North Sea, based on shallow seismic profiles. *Bull. Geol. Soc. Den.*, 58, 15 – 27, 2010.

Burchard, H., Flöser, G., Staneva, J. V., Riethmüller, R. and Badewien, T.: Impact of density gradients on net sediment transport into the Wadden Sea, *J. Phys. Oceanogr.*, 38, 566 – 587, <https://doi.org/10.1175/2007JPO3796.1>, 2008.

455 Gätje, C. and Reise, K. (Eds.): *Ökosystem Wattenmeer: Austausch-, Transport- und Stoffumwandlungsprozesse*, Springer, Berlin, Heidelberg, Germany, <https://doi.org/10.1007/978-3-642-58751-1>, 1998.

Hayes, M. O.: General morphology and sediment patterns in tidal inlets, *Sediment. Geol.*, 26, 139 – 156, [https://doi.org/10.1016/0037-0738\(80\)90009-3](https://doi.org/10.1016/0037-0738(80)90009-3), 1980.

460 Gräwe, U., Flöser, G., Gerkema, T., Duran-Matute, M., Badewien, T. H., Schulz, E. and Burchard, H.: A numerical model for the entire Wadden Sea: Skill assessment and analysis of hydrodynamics, *J. Geophys. Res-Oceans*, 121, 5231 – 5251, <https://doi.org/10.1002/2016JC011655>, 2016.

Nortier, R. J.: Morphodynamics of the Lister Tief tidal basin. M. S. thesis, TU Delft, Netherlands, pp. 76, available at: <http://resolver.tudelft.nl/uuid:89da7250-9acb-429d-bb71-d7dbc60e7755>, 2004.



Pejrup, M., Larsen, M. and Edelvang, K.: A fine-grained sediment budget for the Sylt-Rømø tidal basin, *Helgolander Meeresun.*, 51, 253 – 268, <https://doi.org/10.1007/BF02908714>, 1997.

465 Postma, H.: Sediment transport and sedimentation in the estuarine environment, in: *Estuaries*, edited by: Lauff, G. H., American Association for the Advancement of Science, Washington, DC, 158 – 179, 1967.

Purkiani, K., Becherer, J., Flöser, G., Gräwe, U., Mohrholz, V., Schuttelaars, H. M. and Burchard, H.: Numerical analysis of stratification and destratification processes in a tidally energetic inlet with an ebb tidal delta, *J. Geophys. Res-Oceans*, 120, 225– 243, <https://doi.org/10.1002/2014JC010325>, 2015.

470 Lumborg, U. and Windelin, A.: Hydrography and cohesive sediment modelling: Application to the Rømø Dyb tidal area, *J. Marine Syst.*, 38, 287 – 303, [https://doi.org/10.1016/S0924-7963\(02\)00247-6](https://doi.org/10.1016/S0924-7963(02)00247-6), 2003.

I have included some general comments below. I have made many hand-written notes on the manuscript that should point to where I saw the above issues manifested (please see the attached scanned document). I stopped correcting the language on page 8, but ambiguous terms remain which need to be edited out. What are “patchy dynamics”? What are velocities which are “nearly always larger”? Please quantify, expand, elaborate, justify and support your statements.

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Thank you for the comments. We have included the corrections to the manuscript based on your notes.

#### General comments

480 1. The abstract could do with opening with a broader, overarching introduction of the topic, rather than launching into what is done here.

The abstract was modified.

2. The language used was subjective in places (e.g. “ the quite good results”) – please take care to make all statements objective.

485 We made the corrections. We left some 'subjective' sentences, where we have directly link to the numbers (such as a reference to the tables).

3. Can you avoid referring to the grids as first and second grids (which is confusing), but instead refer to them as ‘curvilinear’ and ‘unstructured’ (or similar, as appropriate).

Done

490 4. line 194 – what were these additional experiments? It’s fine not to show them, but what were they and how did you get to the conclusion that you draw?

Thank you for the comment, now we can add a reference, where these experiments are described. It has been done.

5. Line 200 – same or worse results? Please elaborate.

495 Here, the major point that we were not able to improve the correlation coefficient and RMSD varying further bottom friction coefficient. The changes in the text have been done.

6. Line 224-225, please can we have a range of depth layer thicknesses and explain how these were parameterized, e.g. terrain-following?

500 The 10-sigma (terrain-following) vertical layers were prescribed. The distribution of the sigma layers satisfies the parabolic function. The minimum possible thickness of the vertical layer is ~1 cm.

$\sigma(k) = -[(k-1)/(k_b-1)]^2$ ,  $k_b$  is a total amount of sigma layers,  $k$  is current layer ( $k=1..k_b$ ),  $\sigma=-1$  at the bottom,  $\sigma=0$  at the surface.

7. Line 237 – “ the same probably holds”. This is ambiguous, and does not fill me with confidence in the rest of your interpretations, please can you clarify, and back this statement up?

505 We agree that this statement, probably, needs a detailed interpretation, so we have decided to remove it.

8. Please revise the figure colour scales! Please improve upon the multi-coloured ones, as a matter of priority. Figures 3, 6, 8 and 9, in particular, are impossible to interpret. 9. Figure 4, pink and red difficult to differentiate, please consider dashed lines or something, so that these are meaningful even in black and white. 10. The vector plots cannot be interpreted, these need to be made clearer. Fewer arrows perhaps? Please reconsider how these data are presented.

510 We changed the figures based on your comments and hand-written notes.

11. The discussion is short and lacking depth and detail. What have you found, what are the implications, and for whom? Please revise and improve.

The discussion of the manuscript was modified.

515

# 520 **Non-linear aspects of the tidal dynamics in the Sylt-Rømø Bight, south-eastern North Sea**

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**Abstract.** This study is dedicated to the tidal dynamics in the Sylt-Rømø bight with a focus on the non-linear processes. The FESOM-C model was used as the numerical tool, which works with triangular, rectangular or mixed grids and is equipped with a wetting/drying option. As the model's success at resolving currents largely depends on the quality of the bathymetric data, we have created a new bathymetric map for an area based on recent studies of Lister Deep, Lister Ley, and the Højer and Rømø Deep areas. This new bathymetric product made it feasible to work with high resolution grids (up to 2 m in the wetting/drying zone). As a result, we were able to study the tidal energy transformation and the role of higher harmonics in the domain in detail. For the first time, the tidal ellipses, maximum tidally-induced velocities, energy fluxes and residual circulation maps were constructed and analysed for the entire bight. Additionally, tidal asymmetry maps were introduced and constructed. The full analysis was performed on two grids with different structures and showed a convergence of the results as well as fulfillment of the energy balance. A great deal of attention has been paid to the selection of open boundary conditions, model validation against tide gauges, and recent in-situ current data. The tidal residual circulation and asymmetric tidal cycles largely define the circulation pattern, transport and accumulation of sediment and the distribution of bedforms in the bight, therefore the results presented in the article are necessary and useful benchmarks for further studies in the area, including baroclinic and sediment dynamics investigations.

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## **1 Introduction**

The Sylt-Rømø Bight (SRB) is one of the largest tidal catchments in the Wadden Sea, which stretches from the Dutch island of Texel to Skallingen, a peninsula in Denmark. The SRB is characterized by the barrier islands Sylt (Germany) and Rømø (Denmark), which are separated by a tidal inlet called Lister Deep. Two artificial causeways, the Hindenburg Damm (1927) and the Rømøvej (1948), connect the islands Sylt and Rømø to the mainland and create a semi-enclosed back barrier environment. Water exchange with the North Sea takes place through the 2.8 km-wide Lister Deep. The main channels draining the tidal back barrier environment are called Lister Ley, Højer Deep and Rømø Deep (Fig. 1).

545

550 The bight is characterized by large intertidal areas occupying about 40 % of the entire bight. The domain of interest has an average water depth of ~4 m and a maximum water depth of ~37 m in Lister Deep (Fig. 1). The tidal range in the area is ~1.8 m (e.g., Pejrup et al., 1997) and the water column is generally well mixed (e.g., Villarreal et al., 2005). The annual mean freshwater discharge into the bay is only ~7 m<sup>3</sup>/s (e.g., Purkiani et al., 2015).

The tides play a major role in the local bight dynamics. Estimates of maximum horizontal tidal velocities in Lister Deep vary from 1.2 to 2 m/s. Estimates of the mean water volume entering the basin during flood and leaving during ebb (the tidal prism) vary from 4 to 6.3 × 10<sup>8</sup> m<sup>3</sup> (Bolaños-Sanchez et al., 2005; Gätje and Reise, 1998; Gräwe et al. 2016; Lumborg and Windelin, 2003; Nortier, 2004; Pejrup et al., 1997; Purkiani et al. 2015). The residual circulation of the M2 wave, the dominant tidal constituent in the area, is presented in Burchard et al. (2008) and Ruiz-Villarreal et al. (2005) (wherein the grid resolution is 200 m); it shows maximum values up to 0.3 m/s in the area of Lister Deep, Lister Ley and Højer Deep edges (the zones of large bathymetric gradients). There are two large vortex structures located at the entrance of the Königshafen embayment and directly north of the island of Sylt in the western Lister Deep (Fig. 1). They have clockwise and anticlockwise directions of rotation, respectively.

There is a pronounced asymmetry in the tidal water level and current velocities behaviour, caused by complex morphological features and by the general shallowness of the area (e.g., Austen 1994; Becherer et al., 2011; Lumborg and Windelin, 2003; Nortier, 2004; Ruiz-Villarreal et al., 2005). It is known that the Lister Deep can be characterized as an ebb-dominated area, i.e. the velocities during ebb are larger compared to flood velocities in the mean and maximum senses (e.g., Hayes, 1980; Oost et al., 2017; Fig. 1). The analysis of bedforms based on seismic profiles revealed that the area around Lister Deep is represented by a complex spatial pattern of the flood- and ebb-dominated subaqueous dunes (Boldreel et al., 2010).

The tidal residual circulation and asymmetric tidal cycles largely define the transport and accumulation of sediment and the distribution of bedforms in the bight (e.g., Boldreel et al., 2010; Burchard et al., 2008; Hayes, 1980; Nortier, 2004; Postma, 1967). Note that the tide entering the Wadden Sea leaves ~3.5 × 10<sup>6</sup> t yr<sup>-1</sup> of suspended matter there according to Postma (1981). The most intensively studied dynamic is in the area of Lister Deep (a ‘bottleneck’ area); it is studied more than the other subareas as it can shed light on the sediment as well as water and salt budgets of the whole bight (e.g., Kappenberg et al., 1996; Nortier, 2004; Bolaños-Sanchez et al., 2005; Becherer 2011; Purkiani et al. 2016; Gräwe et al. 2016; Lumborg and Windelin, 2003; Lumborg and Pejrup, 2005; Burchard et al., 2008; Purkiani et al., 2015; Villarreal et al., 2005). However, the listed studies offer quite different estimates of suspended matter fluxes and the sediment budget. In our opinion, one of the reasons for such disagreement is a gap in understanding of the role of the tide. In a back-barrier environment like this, higher tidal harmonics take on a relatively large role in the dynamics. For example, Stanev et al. (2015) demonstrated that a higher harmonic (M4) in the German Bight causes strong tidal asymmetry. Though continuous observational data can give answers about tidal water level and velocity behaviour at the current position, it is nearly impossible to extrapolate this information to a larger area due to strong non-linear processes. The dynamic impact of higher harmonics is not always reproduced by the numerical model due to both coarse grid resolution and numerical limitations. Among these, the missing

wetting/drying option plays a major role (e.g., Stanev et al., 2016). It is also clear that, in a region such as the SRB, the quality of the bathymetry and the resolution of its features are the key points for realistic model calculations of the hydrodynamics and sediment transport. To our knowledge, the best **spatial resolution** among the available numerical simulations of the area is 100 m (Purkiani et al., 2015). This study investigates tidally induced dynamics in the SRB based on the FESOM-C coastal numerical solution (Androsov et al., 2019) with a focus on the non-linear dynamics. The FESOM-C model works with triangular, rectangular and mixed meshes and is equipped with a wetting/drying option. This allowed us to resolve the dynamics in the intertidal zone carefully. There have been **several studies** of the Lister Deep, the Lister Ley, and the Højer and Rømø Deeps in recent years (Mielck et al., 2012, Boldreel et al., 2010). Based on these, we have created a new bathymetric map for the area. For this new bathymetric product, it made sense to work with high resolution grids (up to 2 m in the wetting/drying zone). We have studied the evolution of the tidal energy in the domain in detail by providing residual circulation and tidal ellipse maps, defining the role of higher harmonics in the dynamics and by suggested and realized tidal-wave asymmetry analysis. We have not only concentrated on M2 wave-induced dynamics but have also prescribed the elevation generated by the sum of M2, S2, N2, K2, K1, O1, P1, Q1 and M4 harmonics at the open boundary. The main motivation is that M2 accounts for only 60 % of the tidal potential energy entering the domain based on available tidal atlases (e.g., [TPXO 9](#)). A great deal of attention has been paid to the choice of open boundary conditions, model verification against available tide gauges as well as against recent ADCP data, and behavior of the solution on different grids. The last item is important because, if we are sure the results converge, then further increasing the resolution will not lead to substantially different results. **We also showed the intertidal zone and updated the tidal prism value. The results of the paper gives a necessary base for the analysis of the velocity behavior, water parcel trajectories and bedform peculiarities in the area.**

The manuscript is organized as follows. The ‘Model Setup’ section contains information about the numerical ocean solution, the grids, the open boundary conditions and the bathymetric data we used. The next section contains information about the observational data we used to verify the simulations. The ‘Results’ section contains model validation results and detailed information about tidally induced barotropic dynamics in the area, with a focus on the nonlinear dynamics. The ‘Discussion’ section contains information about possible sediment dynamics outputs based on our results, and about grid performance. **The last sections summarize the manuscript and provide the supplemental data.**

## **2 Model setup**

### **610 2.1 Coastal numerical solution**

FESOM-C is a coastal branch of the global Finite volume Sea Ice Ocean Model (FESOM2, Danilov et al, 2017). FESOM-C has cell-vertex finite volume discretization and works on any configurations of triangular, quadrangular or hybrid meshes (Androsov et al., 2019; Danilov and Androsov, 2015). It has split barotropic and baroclinic modes and a terrain-following vertical coordinate; and it is equipped with 3rd-order upwind horizontal advection schemes, implicit 3rd-order vertical

615 advection schemes, implicit vertical viscosity, biharmonic horizontal viscosity augmented to the Smagorinsky viscosity, and the General Ocean Turbulence Model (GOTM, Umlauf and Burchard, 2005) for the vertical mixing. The wetting and drying of intertidal flats have been included because this is a crucial point for the reconstruction of the non-linear dynamics in the shallow zone.

All results except inter-comparison of the different tidal solutions are obtained based on multi-layer barotropic simulations. In all simulations only tidal forcing was turned on. The 10-sigma (terrain-following) vertical layers were prescribed. The distribution of the sigma layers satisfies the parabolic function with the crowded of the layers near the surface and near the bottom. The minimum possible thickness of the vertical layer is ~1 cm. The k-epsilon turbulence closure was applied. The choice of the bottom friction coefficient and roughness height for the depth-averaged and multi-layer simulations correspondently is described in the Section 4.1 (Results. Model validation). To avoid errors due to the inconsistency between the character of equations and the specified open boundary conditions (prescription of the tidal elevation only), a 3-km sponge layer has been introduced (Androsov et al., 1995). It gradually turned off the advection of momentum and viscosity in the vicinity of the open boundary.

The spin up period duration was determined by the total energy stationary case and was about 3 months. For the analysis we considered two last lunar (synodic) months (59 days). We simulated the tidal dynamics in 2018, which is expressed in Doodsen correction of the prescribed amplitudes and phases at the open boundary, therefore we were able to compare simulated and observed velocities second to second.

Unless otherwise indicated, the figures visualize depth-averaged behavior.

## 2.2 Grids

We have created two grids for the area of interest; all simulations were performed on these two grids. One grid is curvilinear and the other is unstructured and contains mostly arbitrary quads with a few triangles.

The curvilinear grid contains 119305 nodes; the resolution varies from 14 to 261 m. The finest resolution is in Lister Deep near the southwestern boundary and in the eastern area of the internal part of the domain. The curvilinear grid was generated by the elliptic method (Thompson, 1982).

The unstructured grid contains 208345 nodes (10398 triangles; 201141 quads); its resolution varies from 2 m in the wetting/drying zones to 304 m in the deepest area of the external (seaward) part of the considered domain (Fig. 1). The size of grid cells is determined by the information about the bathymetry, the bathymetry gradient and the zones of particular interest (Lister Deep; main draining channels). The unstructured grid was built using the mesh generation software package of the Surface Water Modeling System (SMS version 12.3, AQUAVEO).

Both grids have nearly the same open boundary position. The nodal areas at both grids are presented in the Appendix (Fig. A1)

### 2.3 Open boundary conditions

We relied on four sources for the open boundary conditions of the tidal elevation: [TPXO](#) 8.1 and 9 (Egbert et al., 2002); the output of [NEMO](#) (Nucleus for European Modelling of the Ocean, Gurvan et al., 2017) simulations for the North-West European Shelf ([Copernicus Marine database](#)); and [FES2014](#) (Finite Element Solution 2014, Carrere et al., 2016).

TPXO 8.1 and 9 are fully-global models of ocean tides which best-fit, in a least-squares sense, the Laplace Tidal Equations and altimetry data. They provide information about 13 harmonic constituents (or 15 with TPXO 9). TPXO 8.1 and 9 atlases are combinations of the  $1/6^\circ$  base global solution and the  $1/30^\circ$  resolution local solutions for all coastal areas including our domain of interest. Each subsequent model in TPXO is based on updated bathymetry and assimilates more data than previous versions.

The European north-west shelf model results include information about hourly instantaneous sea level. The sources are full baroclinic simulations based on version 3.6 of NEMO with data assimilation (vertical profiles of temperature, salinity, and satellite sea-level anomaly). The model is forced by lateral boundary conditions from the UK Met Office North Atlantic ocean forecast model and, at the Baltic boundary, by the CMEMS Baltic forecast product. Information about the tidal constituents we obtained by performing an FFT (Fast Fourier Transform) analysis of the elevation signal at our open boundary based on data for one year (2017).

FES2014 is a global finite-element hydrodynamic solution with assimilated altimeter data and a grid resolution of  $1/16^\circ \times 1/16^\circ$ . FES2014 is the latest version of the FES (Finite Element Solution) tide model; it was developed from 2014 to 2016 and provides a solution for the 34 main constituents.

### 2.4 Bathymetric data

Bathymetric data for a given area were generated based on two sources: the AufMod database (Valerius et al. 2013), with a 50 m resolution for the whole area; and newly obtained data for the inlet and the main tidal channels, with a grid resolution of  $10 \times 10$  m. The high-resolution part was not modified; the transition zone between coarse and fine bathymetric data was smoothed by various convolution filters.

The new bathymetric data in Lister Deep tidal inlet and the main tidal channels was obtained during winter of 2017-2018 using the hull-mounted ELAC SeaBeam 1180 multibeam system on board the RV “Mya II” of the Alfred Wegener Institute. The data were collected at sub-meter resolution and resampled to a gapless  $10 \times 10$  m grid for the purpose of this study. The multibeam survey was intended to cover areas with water depths of mainly  $> 5$  m in the tidal inlet and the main tidal channels. Data on the depth of areas of shallow water ( $< 5$  m) or that were otherwise inaccessible to the vessel were obtained from the AufMod database (Valerius et al. 2013) and used with a spatial resolution of  $50 \times 50$  m.



### 3 Observational data for model validation

#### 3.1 In-situ currents and wind data

The observed velocities represented the base for validation of the model and testing of the different tidal open boundary conditions. The data set is composed of observed profiles of the water currents gathered on five cruises of the RV “Mya II” (<https://doi.pangaea.de/10.1594/PANGAEA.894070>). The transects cross Lister Deep perpendicularly in two positions: for outer part, at the narrowest and bathymetrically simplest part of the inlet and for the inner part, because the entire water volume has to pass through this transect; and at the entrance areas to the three main tidal channels (Fig. 1). The cruises were conducted between May 22 and May 30, 2018; observations were carried out for half and whole tidal cycles (Table 1). The data were collected using a Teledyne RDI WorkHorse 600 kHz ADCP (Teledyne RD Instruments, San Diego, USA) mounted in the moon pool of the RV “Mya II” (of the Alfred-Wegener-Institute) with an offset of 1.3 m related to the water surface. In addition, a differential GPS with a motion sensor worked together with the ADCP to refine and correct the velocity measurements regarding the heading, pitch and roll movements of the ship. Here we would stress that the surveys were conducted during different tidal periods (Table 1), which is crucial for high-quality verification.

Wind data were automatically measured by an anemometer mounted on the RV “MYA II” (summary of the wind conditions can be found in the Appendix, Table A1); the data were obtained from the DAVIS SHIP database for the time of the cruises. The main wind direction during the cruises was around 90° (east); the most frequent intensities were in the range of 5 to 10 m/s. On May 24 the wind was blowing strongly from the east for nearly the whole cruise. Exceptions concerning wind direction were the cruise on May 23, when winds were from the NNW (330°), and the cruise on May 29, when the most frequent intensity ranged between 10 and 15 m/s.

#### 3.2 Tide gauge (TG) data

The tide gauge data for the area are represented by three stations: List TG (8.441°E; 55.017°N), Vidå TG (8.667°E; 54.967°N) and Havneby TG (8.565°E; 55.087°N) (Fig. 1). All data were downloaded from the EMODnet database (the European Marine Observation Data Network Seabed Habitats project ([www.emodnet-seabedhabitats.eu](http://www.emodnet-seabedhabitats.eu))), which is funded by the European Commission’s Directorate-General for Maritime Affairs and Fisheries (DG MARE) and provided by the Waterways and Shipping Office in Tönning, the Danish Meteorological Institute and the Danish Coastal Authority. For the spectral analysis, we used data with a 10-minute resolution covering the time period from the middle of 2014 through the end of 2017. The time of the observations is the UTC (+0) zone.

## 4 Results

### 4.1. Model validation

Model validation was organized into the following two steps: (1) selection of the best open boundary conditions using ADCP data and (2) validation of the best open boundary solution against existing tide gauge data, in particular using List TG, Vidå

TG and Havneby TG data. For step (1), the measurements were done during different tidal periods (spring, neap, ebb, and flood) in the area of Lister Deep and the main inlets (Fig. 1), which are characterized by the largest depths (up to 35 meters) in the domain and by the highest as well as by complex tidally induced velocities. We performed the frequency analysis using the MATLAB-package T-TIDE (Pawlowicz et al., 2002) and identified the errors in amplitude and phase for the main tidal constituents (with maximum amplitudes), including higher harmonics.

Table 2 represents the Root Mean Square Deviation (RMSD) and correlation coefficients of the observed velocities (ADCP data) and the modelled velocities obtained for each open boundary solution. The comparison is based on depth-averaged velocities since the measurements were performed in the deep part of the domain and our task here was to check the performance of the different tidal forcing. In Table 2, results for all the open boundary solutions we used are shown only for the first grid since the difference between the results on different grids is  $< 0.01$  for correlation coefficients and  $< 0.01$  m for the RMSD. However, we would note that the unstructured grid provides slightly better results despite its somewhat coarser resolution (the unstructured grid has a larger number of cells, mainly due to detailed representation of the wetting/drying zone). Based on additional experiments (Kuznetsov et al., 2019), we think the reason is that the unstructured grid reflects the bathymetric gradients.

We used different bottom-friction coefficients ( $C_d$ ) for the different tidal solutions. However, for each run  $C_d$  was spatially uniform as soon as the seabed habitat map is not available yet for the considered area. At the beginning, we took the  $C_d$  coefficient as equal to 0.0025 for all simulations. The NEMO solution got much worse results in this case than presented in Table 2 in terms of the RMSD and correlation coefficient (RMSD is larger on average by 15%, correlation coefficient is less on average by 5 % and 6 % for u and v component respectively). Further analysis showed that the predicted velocities for the NEMO open boundary solution are too large during some tidal phases. We therefore decided to vary the  $C_d$  in a range from 0.002 to 0.004, taking into account the largely sandy bed in the domain, to reach the best agreement with observations (e.g., Werner et al., 2003). Finally, for the TPXO solutions, we used a  $C_d$  equal to 0.0025; and for the NEMO solution, a  $C_d$  equal to 0.0035. Note that smaller or larger coefficients did not improve results in terms of RMSD and the correlation coefficient.

Table 2 shows that the TPXO 9 solution fits the ADCP data best; second best are the TPXO 8.1 and NEMO solutions; and then the FES 2014 solution follows. For all solutions except FES, the correlation coefficients are higher during spring tides as well as in the deepest part of the domain; this is true in particular for the measurements performed on May 29 and May 30 and despite the quite strong winds often ranging from 10 to 15 m/s (Table 1, Fig. 1). On May 23 and May 24, the measurements were performed on nearly the same side; but the May 24 correlation coefficient for the 'v' component is relatively small. This can probably be explained by the permanent wind from the east. We can conclude that tides in that zone explain, on average, more than 80 % (or 90 % or more in case of a spring tide) of the dynamics in case of absent storm (more than 20 m/s) and blowing continuously in one direction winds. Likewise we conclude that it would be impossible to judge open-boundary-condition quality using information from only one cruise. Figure 2 represents observed and modelled depth-averaged velocities on May 29 (a spring tide). Figure 2 clearly illustrates how the different solutions can align closely

740 at one moment and then begin to deviate greatly at another. Furthermore, the largest velocity errors for all solutions occur when tidal velocities are small as well as during the tidal state change (see e.g., in Fig. 2, the time from 7 a.m. to 8 a.m. or from 1 p.m. to 2 p.m.). This is quite logical, because other effects such as baroclinicity, wind impact, and their non-linear interactions then become more pronounced. However, TPXO 9 shows the best agreement with observations during slack tide and provides the smallest RMSD among suggested solutions for all observation days (Fig. 2).

745 Despite the quite good results of TPXO 8.1 (Table 2), the unstructured grid yields a number of vortex structures near the open boundary that cannot be removed by the sponge layer, which dumps advection and diffusion near the open boundary. It is known that grids that employ an arbitrary normal at the open boundary are subject to the quality of the open boundary signal (Danilov and Androsov, 2015). For TPXO 8.1, the behaviour of the phase is not realistic near the solid boundary: the phase goes through zero simultaneously near the western and eastern solid boundaries.

750 Once we determined the best open boundary conditions solution—TPXO 9, based on ADCP data—we moved on to the second verification stage. For this, we switched to a 3D-simulation with 10 vertical layers, which are crowding near the sea bed. The optimal roughness height was 0.001 m; this value agreed with one estimated from observations in a similar region (Werner et al., 2003) and, in terms of the mean, with a Cd equal to 0.0025 for the 2D-scenario. Table 3 presents the results of the frequency analysis of the observational data from the List, Havneby and Vidå TGs as well as from the model using

755 TPXO 9 open boundary conditions (Taylor diagrams can be found in the Appendix, Fig. B1). Here we present results from both of the grids under consideration. The observed phase values are quite often situated between the values given by the solution on the different grids. This is because we used nearest-to-the-observational-point grid nodes to perform the analysis, and did not interpolate the values to the particular coordinates. Either solution (per grid) performed well; but each slightly underestimated the amplitudes for major diurnal and semidiurnal constituents (except for the M2 amplitude at the Vidå TG).

760 Note that the M2 tidal wave and its subharmonics are shown to vary in amplitude and phase on seasonal, annual, and secular time-scales (Müller, 2012, 2014; Woodworth et al., 2007; Gräwe et al., 2014). The annual variations of the M2 amplitude are between 6 and 11 % of the annual mean amplitude for the shallow water stations; the phase can vary by 2 to 6° persistently over the last century (Gräwe et al., 2014). The summer amplitudes seem to be larger than the winter amplitudes; this can be explained by changes in thermal stratification (Müller, 2012, 2014; Gräwe et al., 2014). Our simulations obtain “winter”

765 amplitudes, while the observational data provide a mean annual amplitude. The M4 constituent also has a noticeable phase error of ~30° at all stations. But due to large bathymetric uncertainties in the area of the stations, such an inaccuracy is hard to correct (here, the resolution of the bathymetry we used is 50 m). Note that at the Vidå TG, the unstructured grid shows significantly better agreement than the curvilinear grid. This is not surprising since the unstructured grid has much better resolution there.

770 **4.2 Wetting/drying, maximum tidally induced velocities and tidal prism**

Figure 3a shows the probability, in the **unstructured grid** case, of each node being wet with tidal forcing alone. This figure is based on simulation results for two **lunar (synodic) months** (29.5 days  $\times$  2) after the model reaches a periodic regime. The **unstructured grid** more accurately represents the wetting/drying zone; it can be traced in smoother forms and in smaller-scale detail than in the **curvilinear grid** (not shown).

775 An extensive intertidal subarea situated in the western and southern parts of the considered domain as well as in the in the Königshafen embayment can be seen; and the Jordsand creates a secondary bight with the Rømø Deep main channel (Fig. 1, Fig. 3a).

Figure 3b shows the maximum velocities **at each grid point within a lunar (synodic) month**. Thus, Fig. 3b shows the highest possible tidally induced velocities in the domain. Figure 3b exhibits, as expected, a correlation with the depth (Fig. 1); but  
 780 there are many peculiarities, which emphasize the large role of non-linear processes in the domain. The maximum velocities can be found at the opening of Lister Deep and near the edge of Sylt during spring ebb and are  $\sim 1.98$  m/s. The tidal prism for the bight varies from  $3.3$  to  $6.5 \times 10^8$  m, with a mean value of  $4.8 \times 10^8$  m; the tidal prism for the whole area varies from  $5$  to  $10 \times 10^8$  m, with a mean value of  $7.5 \times 10^8$  m.

**4.2 Energy balance**

785 The analysis of the energy budget and energy flux distribution provides an important insight into the evolution of energy in the modelled region. The energy balance for the vertically averaged equations for the barotropic case has the following form (see, e.g., Androsov et al., 2002):

$$\frac{\partial \bar{E}}{\partial t} + \nabla \cdot \left[ \rho H \left( g\xi + \frac{1}{2} |\bar{\mathbf{v}}|^2 \right) \bar{\mathbf{v}} \right] = -\rho C_d |\bar{\mathbf{v}}|^3 + \rho \bar{\mathbf{v}} \cdot (\nabla \cdot (KH \nabla \bar{\mathbf{v}})), \quad (1)$$

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where  $\bar{E} = \frac{1}{2} \rho (H |\bar{\mathbf{v}}|^2 + g\xi^2)$  is the total energy per unit area,  $\bar{\mathbf{v}} = \int_{-h}^{\xi} \mathbf{v} dz$  is the vertically integrated fluid velocity,  $\mathbf{v} = (u, v)$ ,  $\xi$  is the sea surface elevation,  $h$  is the water depth,  $H = h + \xi$  is the full water depth,  $\rho$  is the water density,  $C_d$  is the bottom drag coefficient,  $K$  is the horizontal eddy viscosity coefficient,  $g$  is the acceleration due to gravity and  $\nabla = \left( \frac{\partial}{\partial x}, \frac{\partial}{\partial y} \right)$  is the nabla operator. Note that we did not introduce horizontal viscosity into our equations; therefore  $K$  equals 0 in

795 our simulations, and further this part of the balance will be omitted. After integration of eq. (1) over the region  $\Omega$  with boundary  $\partial\Omega = \partial\Omega_1 + \partial\Omega_2$ , where  $\partial\Omega_1$  is the solid part of the boundary and  $\partial\Omega_2$  is the open boundary, taking into account the Gauss formula for divergence and the condition of zero velocities at  $\partial\Omega_1$ , we obtained the following mean energy balance equation for our depth-averaged solution:

$$\int_{\Omega} \frac{\partial E}{\partial t} dx dy = - \int_{\partial\Omega_2} \left[ \rho H \left( g\xi + \frac{1}{2} |\bar{\mathbf{v}}|^2 \right) \bar{\mathbf{v}} \cdot \mathbf{n} \right] ds - \int_{\Omega} \rho C_d |\bar{\mathbf{v}}|^3 dx dy, \quad (2)$$

800 where  $\mathbf{n}$  is the outward normal to  $\partial\Omega_2$ .

The first term on the right side of (2) is the total flux of energy across the open boundary, and the second term is the rate of energy dissipation due to the bottom friction. Figure 4 shows the energy balance for the whole area based on unstructured grid for the summary tide for one M2 period (the energy balances for the whole area at both grids are visually identical). Once we have different diurnal and semidiurnal constituents in the system, the energy balance every M2 period will not be the same. However, Fig. 4 demonstrates the overall picture. The total energy in the area varies between  $7 \times 10^{11}$  to  $3.5 \times 10^{12}$  J on both grids (in the case of TPXO 8.1 conditions, the total energy in case of unstructured grid had different variation limits). For comparison, the full tidal energy in the modelled area is almost equivalent to the full energy of the barotropic tidal dynamics in the Strait of Messina (Androsov et al., 2002), and one order of magnitude less than in Bab el Mandeb Strait (Voltzinger and Androsov, 2008). The potential energy is one order of magnitude larger than the kinetic energy. The shares of the total energy based on the open boundary information are distributed in the following way: M2 brings 58 %, S2 - 13.5 %, N2 - 10 %, K2 - 3.5 %, K1 - 4 %, O1 - 5.5 %, P1 - 1 %, and the Q1 and M4 components bring 1.5 % and 3 % correspondingly. The fluxes through the open boundary can remove up to  $1.5 \times 10^8$  J per second. Bottom friction takes up a significant amount of energy, on average  $6.4 \times 10^7$  J per second, due to the shallowness of the bight (Fig. 4). The imbalance is two orders of magnitude smaller than the energy change. The unstructured grid is a bit more dissipative; its imbalance is 10 % larger than that on the curvilinear grid. This is for several reasons: the unstructured grid in particular contains triangles, arbitrary quads and, in some places, large gradients in grid cell size, which causes additional noise (Danilov and Androsov, 2015). We would point out that it is hard to estimate the numerical dissipation rate precisely. The current imbalance consists not only of numerical dissipation but also of uncertainties in the energy balance calculation once we no longer accounted for the role of the explicit time-difference scheme with an Adams–Bashforth extrapolation and the effects of velocity filtration. Our additional runs showed that the impact of these procedures has the same magnitude as a calculated imbalance. We think that, on the curvilinear grid, the “real” imbalance due to numerical viscosity is close to zero, at least one magnitude smaller than the presented one.

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The maximum change in system energy and maximum fluxes through the open boundaries take place during ebb tide; the ebb phase duration is, on average, 0.85 of the flood phase. These general conclusions mask very patchy dynamics in the area, which are considered in detail in the next sections.

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Figure 5 demonstrates the energy fluxes in the area. The tidal energy flux, represented by the sum of the potential and kinetic energy fluxes, is estimated using the following definition (Crawford, 1984; Kowalik and Proshutinsky, 1993):

$$(E_{\lambda}, E_{\theta}) = \frac{1}{T} \int_0^T \rho H \left( g\xi + \frac{1}{2} |\bar{\mathbf{v}}|^2 \right) \bar{\mathbf{v}} dt, \quad (3)$$

where  $E_\lambda$ ,  $E_\theta$  are the zonal and meridional components of the tidal energy flux vector, and  $T$  is the full tidal period (sinodical  
830 month - 29.5 days). Figure 5a, b, and c show that the potential energy fluxes in the area are one order of magnitude larger  
than the kinetic energy fluxes. Therefore, total energy fluxes are largely defined by potential energy fluxes (Fig. 5b, c). It can  
be noted that the directions of the potential and kinetic energy fluxes can deviate from each other and be opposite (Fig. 5a,  
b); this is can be explained easily by the fact that the kinetic energy fluxes largely reflect dissipation of the energy, and the  
potential energy fluxes largely reflect energy distribution pathways in the domain. So, in Fig. 5b and c, it can be seen that the  
835 dynamics in the external part of the domain is determined by the Kelvin wave coming from southwest. Obviously, the bulk  
of the energy comes into the internal part of the domain through Lister Deep. The energy leaves the whole modelling domain  
mostly through the north-eastern part of the open boundary. Figure 5d demonstrates that the bulk of the energy comes to  
Königshafen from the south and there circulates through the system of gyres (the curl part of the energy fluxes is larger than  
the divergence part). Figure 5d also indicates that the energy fluxes along the coastline in this subarea are opposite in  
840 direction to those within the main channel.

### 4.3 Residual circulation

The residual circulation in the area is characterized by the large number of vortex structures with different rotation directions  
in the area of Lister Deep and the main channels (Fig. 1, 6a). Note that the residual circulation in the external part of the  
domain is defined by kinetic energy fluxes, because here the role of nonlinearity in the continuity equation is minor (the  
845 water depth compared to the tidal amplitude is relatively large). These vortexes organize a complex residual circulation  
pattern; the strongest circulation (up to 0.45 m/s) can be found in the area of Lister Deep, where different incoming and  
outgoing flows meet, and in the other areas of large bathymetric gradients. Note, that the two largest vortex structures in  
terms of the residual velocities magnitude, which show opposite rotational, were also resolved by Burchard et al. (2008) and  
Ruiz-Villarreal et al. (2005) based only on the M2 signal. Near Königshafen we can also follow the gyre system in the  
850 opposite direction, which alternates (Fig. 6b). Note that, here, the energy fluxes and residual circulation patterns have much  
in common. The bulk of the energy fluxes come from the south, and tides lose energy on the way, causing residual  
circulation shaped by the bathymetric features. For this subarea, the residual velocities are less than 0.05 m/s. The residual  
circulation in the external part of the domain shows that the bulk of water masses penetrate to the internal part of the domain  
from the western boundary and the south, moving along the coast. Water masses mainly leave the domain through the central  
855 part of the open boundary. Such a complex residual circulation (Fig. 6) signals potential difficulties in calculating sediment  
budget.

### 4.4 Tidal ellipses

The resulting movement in the SRB represents a superposition of the tidal waves reflected off the region's solid boundaries.  
As a result of this interference, a standing wave occurs, containing only one component of the velocity for the main tidal  
860 harmonics, which is to say: currents are close to reverse. The Coriolis effect does not lead to significant cross-currents.

Figures 7a and 7b show the ellipses of the wave M2 and S2 tidal currents, respectively; the character of the currents of either wave component is practically indistinguishable in the SRB except for the amplitude of the M2 velocity, which turned out to be almost four times larger than the amplitude of the S2 velocity. The direction of rotation of the velocity vector is determined by the sign of the ellipticity. A negative ellipticity value means that the tidal current vector rotates anticyclonically. The anticyclonic rotation of the depth-averaged velocities near Sylt-Rømø is inherent only in zones of a significant bathymetric gradient where maximum tidal currents are observed. This kind of rotation has to overcome the Coriolis effect, which spins in the opposite direction. At the same time, on the boundary with the North Sea (the open boundary of the modelled region), the currents have a constant cyclonic rotation, which corresponds to the cyclonic movement of the Kelvin wave along the coast in the Northern Hemisphere.

The ellipses induced by the M4 wave are shown in Fig. 7c. This picture differs from the main tidal harmonic frequencies in the presence of mixed flow zones. In deep-water channels, the currents are close to reverse during the tidal cycle; and on the shape of bathymetry the transverse velocity component appears. The amplitude of the velocity of the M4 wave reaches 25 cm/s in most bottlenecks of the modelled region and near Königshafen. Near the open boundary, the currents have a pronounced anticyclonic character, i.e., nonlinear flows overcome the Coriolis effect, spinning the flow in the opposite direction. In some areas around the shallow zones, the major axis of the M4 ellipsis is at a significant angle to the major axis of the M2 ellipsis. This can lead to secondary circulation in a rotating system.

#### 4.5 Nonlinearity structure and tidal asymmetry

The major higher harmonics in the area are  $M_4$  with 36.6 % of total energy attributed to the nonlinear harmonics in the whole considered domain,  $M_6$  - 12.43 %,  $MS_4$  - 12.27 %,  $MN_4$  - 10.95 %,  $M_8$  - 6.43 %,  $2MS_6$  - 5.6 %,  $2MN_6$  - 5.23 %,  $MO_3$  - 4.73 %,  $SN_4$  - 4.08 %,  $S_4$  - 0.92 %,  $2SM_6$  - 0.75 %. These numbers were calculated based on a weighted sum of the harmonic amplitudes. Figure 8 shows the relative role of these higher harmonics compared to the M2 signal and the tidal map for M2 wave. In particular, Fig. 8a demonstrates the ratio of the M2 amplitude to the sum of amplitudes of the listed higher harmonics. The role of nonlinear harmonics becomes significant in the interior zone of the domain, increasing with greater distance from the bottleneck and near the wetting/drying zone (Fig. 3a, Fig. 8). To quantify the role of the higher harmonics, Fig. 8b also demonstrates the tidal map for the M2 wave. The M2 wave amplitude is greatest in the southern part of the internal area. Also, we have one degenerate amphidromic point in the external part of the domain defined by capture of the Poincare wave.

The domain configuration and the foregoing results of the tidal energy transformation and evolution are signals of a pronounced tidal asymmetry in the tidal water level and in the current velocity behavior in the area. There are several reasons for the tidal asymmetry—in particular, the presence of the non-linear advection and bottom friction terms together with complex topography, resulting in a non-trivial wave interaction in the system (e.g., Friedrichs and Aubrey, 1988). The key geometric features directly impacting tidal distortion are the bathymetry relative to the tidal amplitude, the “bottleneck”



width and its variation during the tidal cycle, and the area occupied by the intertidal zone and its distance to the main tidal inlets. For data about tidal asymmetry to inform sediment dynamics analysis, we concentrate on the ebb and flood durations as well as the mean and maximum velocities relations. Ebb is defined as a period when the water level at the current location is decreasing, and flood as the period when it is increasing. Figure 9a (left panel) represents the ratio between the maximum velocities during spring ebb and flood. Figure 9b (left panel) represents the ratio of mean velocities during ebb and flood periods. Figure 9c (left panel) represents the ratio of mean ebb and flood durations. The analysis reflects near-bottom velocities; however, the pattern of ratios is nearly the same for the depth-averaged solution. We note generally that the near-bottom solution provides a more pronounced ebb or flood dominance. The features represented in Fig. 9 (left panel) show the mean pattern for a lunar (synodic) month (29.5 days). In such a domain, we can expect that the flood-ebb dominance feature is not constant but can change within a lunar (synodic) month. For some ebb-flood cycles, the picture presented in Fig 9 (left panel) can be significantly different. Therefore, we have decided to calculate how often flood or ebb dominance takes place within a lunar (synodic) month in terms of velocities and duration.

Figure 9 (right panel) comprises 57 floods and ebbs. (We considered 28.5 days, but the “first” and “last” flood and ebb of the lunar (synodic) month —29.5 days—were removed to make sure that we were considering the beginning of the flood and ebb.) Then we calculated how often the mean and maximum velocities of the ebb are larger during the following flood (Fig. 9a, b right panel). We also calculated how often the ebb duration was smaller than that of the following flood (Fig. 9c, right panel). In other words, Fig. 9a (right panel) shows for example the frequency of the maximum velocity during ebb being larger than that of the following flood; a value of 57 means that across all 57 cycles, maximum velocities during ebb are larger than during flood. We should note, that flood or ebb dominance is caused not only by non-linear effects, but also due to diurnal inequality of tide. From all these pictures, we have removed subareas which did not take part at least at one flood-ebb cycle.

Figure 9 was generated from simulations on the curvilinear grid. But an important result is that the difference between the ratios (asymmetry indicators) on either grid touches only a few details, but not a general pattern. The maximum disagreement occurred during comparison of the ratios of velocities, going up to 0.2 for ratios of mean and maximum velocities. However, we would stress that the position of the ebb/flood dominance areas are the same with only a small difference at the edge of the zones.

The patterns presented in the left and right panels show some common features, and this is to be expected. For example, if the ebb velocities are larger than the flood velocities nearly every ebb-flood cycle, then the **averaged** value of the velocity ratios will be large, and the corresponding figure in the right panel will show a high frequency of the ebb-dominance event. Figure 9 (right panel) shows that there are a lot of zones that may behave differently during different periods of a lunar (synodic) month. (The frequency is between 0 and 57.) Note also that Fig. 9a and 9b represent largely different patterns than Fig. 9c. For, example, if the mean and maximum velocities in the internal part of the domain are larger during flood, it does not mean that the flood will be shorter. There can be no mass conservation for the ebb-flood cycle for the particular area

subunit. Note that the ebb in the whole domain under consideration is typically shorter than the flood, except in the southwestern part of the internal area (Fig. 9c, left panel).

Figure 9 demonstrates that it is not correct to regard ebb or flood dominance based only on velocity characteristics or typical flood/ebb durations when these characteristics can yield opposite answers. Additionally, these characteristics are not necessarily the same within one lunar (synodic) month (29.5 days).

To explain the complexity of the presented pattern, we have split the domain under consideration into four parts designated as zones 1 through 4 (Fig. 9a, left panel).

#### 4.5.1 Zone 1

Zone 1 represents an area where we observe a progressive Kelvin wave (Fig. 5, 9). The flood-dominated (orange-colored) zone, in terms of maximum velocities (figure 9a, left panel), matches the area where we have a comparatively small residual circulation (Fig. 6). Gravity waves traverse the shallow zone nondispersively at a speed defined by  $\sqrt{gH}$  ( $g$  is acceleration due to gravity,  $H$  is the full depth); so we suggest that the crest of the tide overtakes the trough here (e.g., Dronkers, 1986; Hayes, 1980; Saloman and Allen, 1983). This is confirmed by Fig. 9a (right panel), which shows that maximum velocities in this zone are nearly always larger during flood. Figure 9b (left panel) shows that mean velocities in this subzone are nearly equal to each other in terms of the mean, with slight ebb dominance. However, also some tidal cycles exhibit larger mean flood velocities than mean ebb velocities (Fig. 9b, right panel).

#### 4.5.2 Zone 2

In zone 2, we have an extensive intertidal zone and maximum amplitudes of the semidiurnal tides compared to other zones; also, this zone lies far from the Lister Deep area. In this zone, the role of higher harmonics is greatest (Fig. 8), revealing the major role of non-linear friction effects and non-linearity in changes of the water-layer thickness: here, the ratio of tidal amplitude to depth is greatest (increasing from Lister Deep toward the southern part of the zone). This is also can be seen in Fig. 7, which shows M4 locked in a velocity phase of  $-90^\circ$  to  $90^\circ$  relative to M2. As a result, water level changes propagate more slowly during ebb (Dronkers, 1986), and the time-delay between low water at the inlet to Lister Deep versus in the considered area is greater than that for high water. In every regard, the father away from Lister Deep, the more pronounced the flood dominance becomes. This means that ebb duration increases, and velocities are larger during flood.

#### 4.5.3 Zone 3

In zone 3 we have very patchy dynamics in terms of velocities during flood and ebb (there are a lot of subzones characterized by the flood dominance and ebb dominance); however, it is typical for the whole area that ebb is shorter than flood (flood duration is between 1.1 and 1.3 of ebb duration). Lister Deep can be characterized generally by higher mean and maximum velocities during ebb; but some side channels can be characterized by higher velocities during flood. As the tide turns at low water, strong currents are still flowing seaward out of the main ebb channel. As the water level rises, the flood

currents seek the path of least resistance around the margin of the delta. This creates horizontal segregation of flood and ebb currents in the tidal channels for a time. This is apparent in Fig. 10, depicting the transitional moment from ebb to flood.

960 In this zone, we emphasize subareas A and B as prime examples of the subdomains where mean currents ratio and maximum currents ratio (Fig. 9a, b) are not synchronized with the durations ratio (Fig. 9c). For example, Fig. 9a and 9b demonstrate that the velocities at B are larger during flood in terms of the mean and maximum; but flood duration is longer than ebb. This signals that the water parcels are travelling different pathways during flood and ebb.

#### 4.5.3 Zone 4

965 Zone 4 represents a small, semi-enclosed bight with a flood-dominated main channel and an ebb-dominated area around, and in the inner part of the bight in terms of velocity. However, we would stress that flood dominance, though typical, is not a constant feature. For several cycles within a lunar (synodic) month (29.5 days), the mean and maximum velocities will be larger during ebb. For the whole zone, ebb is shorter than flood for almost a lunar (synodic) month. The reason the pattern is opposite to that of zone 3 lies in the small volume of intertidal storage and large variation in the “main channel” width. In this zone, frictional drag is insignificantly greater at low water than at high water. Also, the role of M4 tide compared to M2 970 tide is greatest there (not shown); the role of the sum of all non-linear harmonics is smaller than in zone 2 (Fig. 8). For this zone, ebb and flood generally start on the sides of the main channel Rømø Deep in marginal ebb-dominated channels.

## 5 Discussion

### 5.1 Bedform peculiarities

975 The most interesting question for future study is how the given asymmetry and residual circulation pattern line up with the bedform peculiarities. Therefore the next step will be intercomparison between measurements in frame of the planned multibeam echosounder surveys and results of the current paper. The prediction of the bedform peculiarities in the area requires a coupled sediment module as well as wind and wave forcing. However, as soon as we have shown (Table 2, Fig. 2) that tides can explain a large part (more than 80 %) of the current velocities in the area of Lister Deep, some prognoses can be made at the current stage. The results suggest the presence of subaqueous dunes with stable characteristics in areas where 980 mean and maximum current velocities are permanently higher or lower during ebb/flood than during flood/ebb. With this definition (see Fig. 9), our results agree with those presented in Boldreel et al. (2010) and Mielck et al. (2012). In these studies conducted in the working area Lister Deep and adjacent to Königshafen, dunes of various sizes, escarpments and other erosional features were analyzed based on hydroacoustic data (seismics, sidescan sonar and RoxAnn seafloor classification system) to determine dune characteristics and orientations (and hence flood or ebb dominance) of the particular 985 areas. Boldreel et al. (2010) state that the flood-dominated dunes are larger than ebb-dominated dunes in the area of the bottleneck. Our study reveals that, in this zone, the ebb is generally shorter than the flood (Fig. 9c), which may explain this

observation. The map of the residual circulation (Fig. 6) suggests probable directions of subaqueous dune migration where bottom currents are strong enough.

## 5.2 Grid performance

990 Each presented grid had advantages and disadvantages. The curvilinear grid offered minimum numerical viscosity but was not very flexible when it came to choosing grid cell size as compared to an unstructured grid (see also, Fig. A1, A2; Danilov and Androsov, 2015; Androsov et al., 2019). This is especially crucial in the zones of large bathymetric gradients and in the wetting/drying zones. The unstructured grid is more dissipative and more sensitive to the quality of the open boundary solutions. The reproduction of the nonlinear effects on the grids of different structure represents additional scientific  
995 question, which should be untwisted in the future.

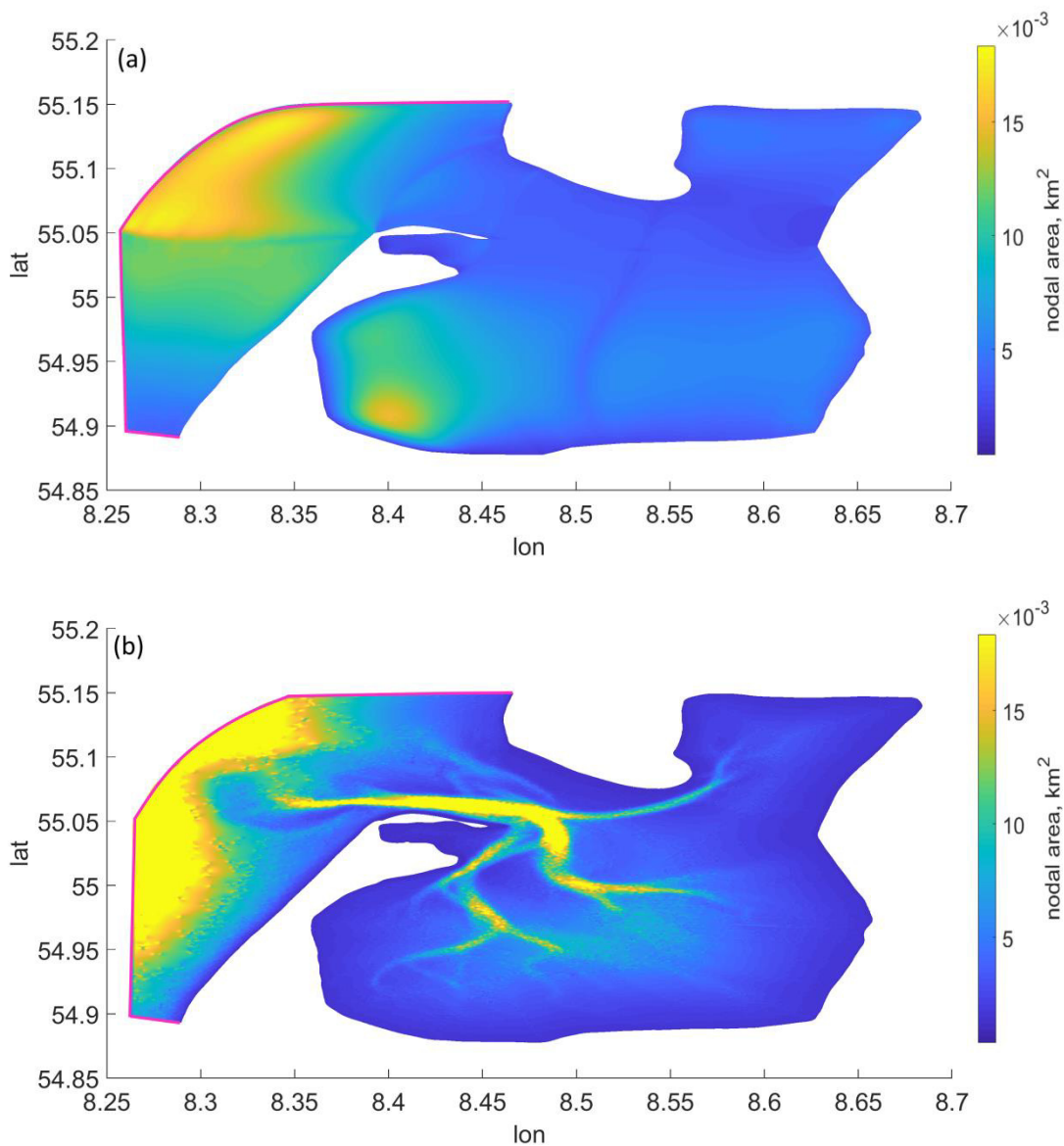
## 6 Summary

This study is dedicated to tidally-induced dynamics in the SRB, with a focus on the non-linear component. The newly obtained high-quality bathymetric data supported the use of high-resolution grids (up to 2 meters in the wetting/drying zone) and elaboration of the details of tidal energy transformation in the domain. The FESOM-C model was used as the numerical  
1000 tool. In preparation, different open boundary conditions for the summary tide (major diurnal and semidiurnal as well as M4 constituents) were tested for the best fit with observational data. The simulations with open boundary conditions from TPXO 9 showed very satisfying agreement with available observations. Based on 3D barotropic runs, the ellipses, energy fluxes, residual circulation and tidal asymmetry maps were constructed and analyzed for the whole area for the first time. Four zones with fundamentally different asymmetry structures were indicated during tidal asymmetry analysis. We also showed  
1005 that it is not correct to talk about ebb or flood dominance based only on one velocity characteristic or typical flood/ebb durations since these indicators can yield an opposite answer. Also asymmetry indicators were not constant everywhere from one ebb-flood cycle to another.

All experiments were performed on two grids with different structures and resolution details. Energy balance fulfillment was shown for both grids. The generated maps generally showed the same pattern on both grids, which allowed us to conclude  
1010 that further increasing the resolution will not lead to pronounced changes in the results. The obtained results are a necessary and useful benchmark for further studies in the area, including for work on baroclinic and sediment dynamics. It would be fruitful to pursue further research about how the obtained maps reflect bedform peculiarities in the area in order to predict bedform characteristics in the shallow zones, which are hard-to-reach places for the ship surveys.

## 7 Appendices

1015 **Appendix A**



**Figure A1.** Nodal area, pink color indicates position of the open boundary: **a) curvilinear grid; b) unstructured grid.**

**Table A1.** Summary of the five cruises on board of Research Vessel Mya II, profiling three main transects: Inner Tidal Inlet (ITI), Main Tidal Channels (MTC) and Outer Tidal Inlet (OTI). Main Tidal Channels (MTC) show the initial, turning and ending points, since it covers three sections in one transect. The Wind Roses characterize the wind conditions during cruise times, with the legend colors representing the wind velocity in m/s and the circles representing the frequency percentage of the direction from where the wind blows.

Transect Name	Date	Lon / Lat (°) (Start - End)	Tidal Period	Duration (h)	Wind conditions (Wind Rose)
ITI	22/05/2018	8.464 / 55.047 8.489 / 55.062	neap	6:28	<p>22/05/2018</p> <p>Legend [m/s]: 20-25 (dark red), 15-20 (orange), 10-15 (light green), 5-10 (blue), 0-5 (dark blue)</p> <p>Wind rose showing dominant winds from the East (90°) and East-North-East (45°) sectors.</p>
MTC	23/05/2018	8.461 / 55.039 8.474 / 55.035	neap+1 day	6:19	<p>23/05/2018</p> <p>Legend [m/s]: 20-25 (dark red), 15-20 (orange), 10-15 (light green), 5-10 (blue), 0-5 (dark blue)</p> <p>Wind rose showing dominant winds from the West-North-West (315°) and West (270°) sectors.</p>
	24/05/2018	8.505 / 55.056	neap+2 days	5:56	<p>24/05/2018</p> <p>Legend [m/s]: 20-25 (dark red), 15-20 (orange), 10-15 (light green), 5-10 (blue), 0-5 (dark blue)</p> <p>Wind rose showing dominant winds from the East (90°) and East-South-East (135°) sectors.</p>
ITI	29/05/2018	8.464 / 55.047 8.489 / 55.062	spring	7:11	<p>29/05/2018</p> <p>Legend [m/s]: 20-25 (dark red), 15-20 (orange), 10-15 (light green), 5-10 (blue), 0-5 (dark blue)</p> <p>Wind rose showing dominant winds from the East (90°) and East-North-East (45°) sectors.</p>





### **Competing interests**

The authors declare that they have no conflict of interest.

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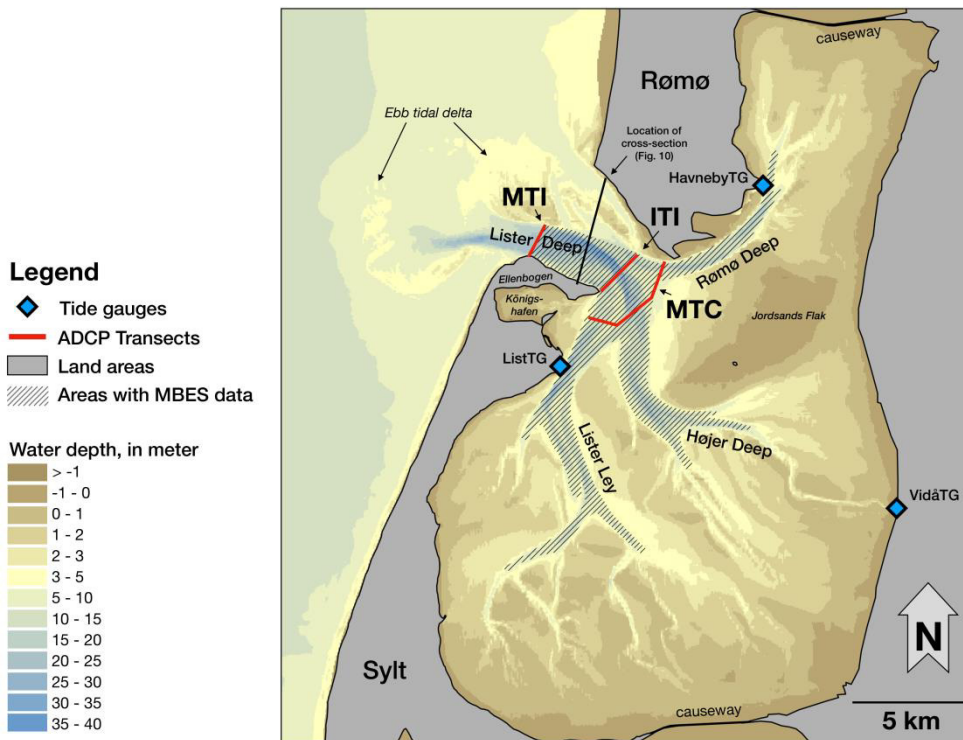
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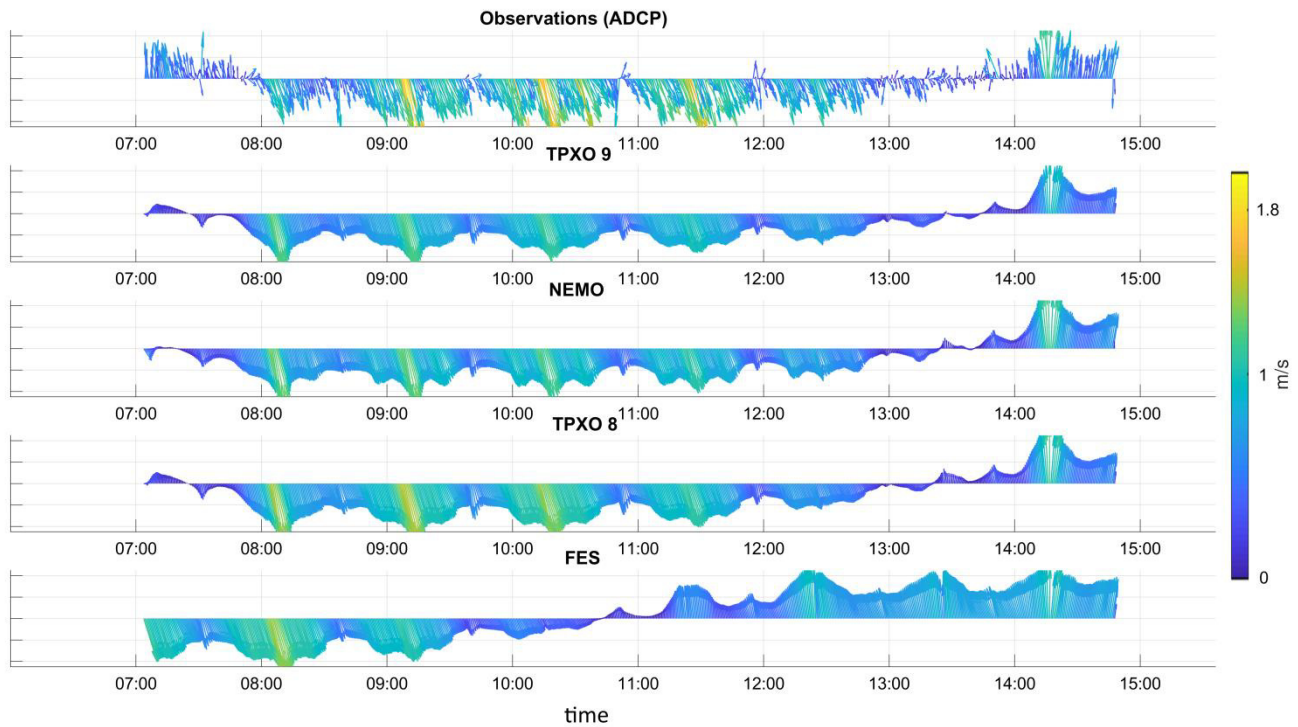
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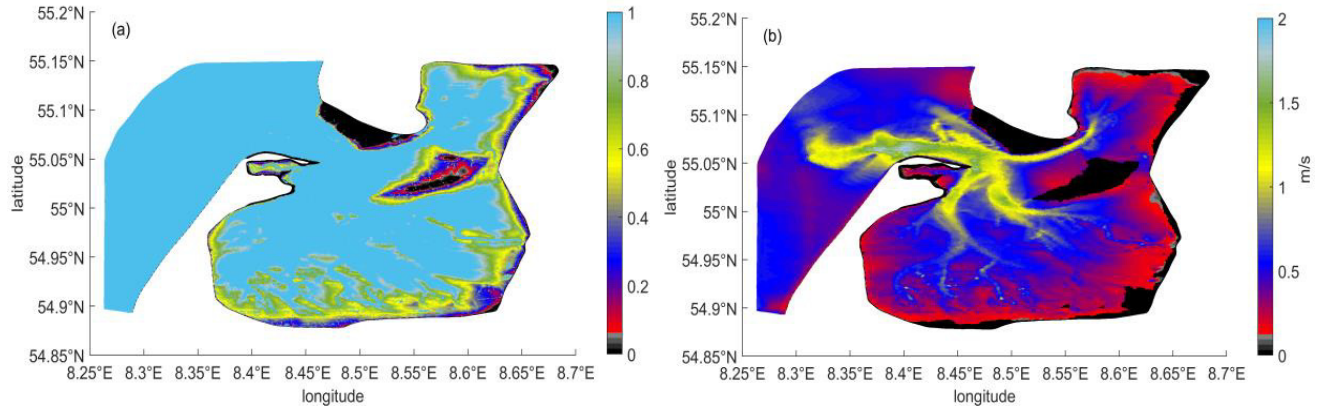
**Figure 1.** The bathymetry of the considered domain. The red lines indicate ADCP transects, the blue rhombuses show positions of the tide gauges. The hatched area represents zone with the recent multibeam echo sounder (MBES) data.



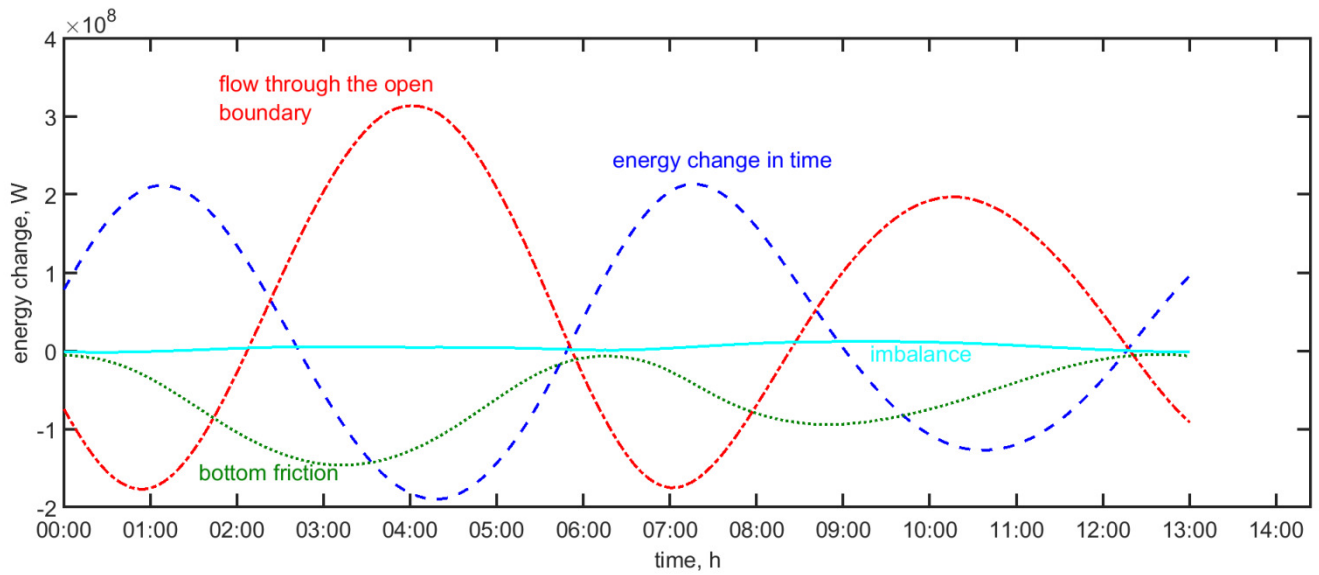
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**Figure 2.** The observed and modelled depth-averaged velocities on May 29, 2018. The arrow color indicates the current magnitude [m/s]; arrow directions indicate the current direction.

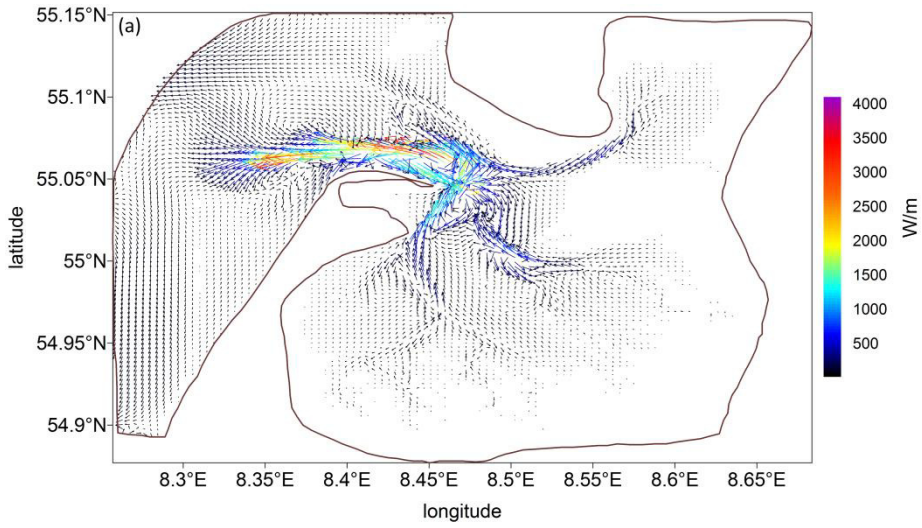
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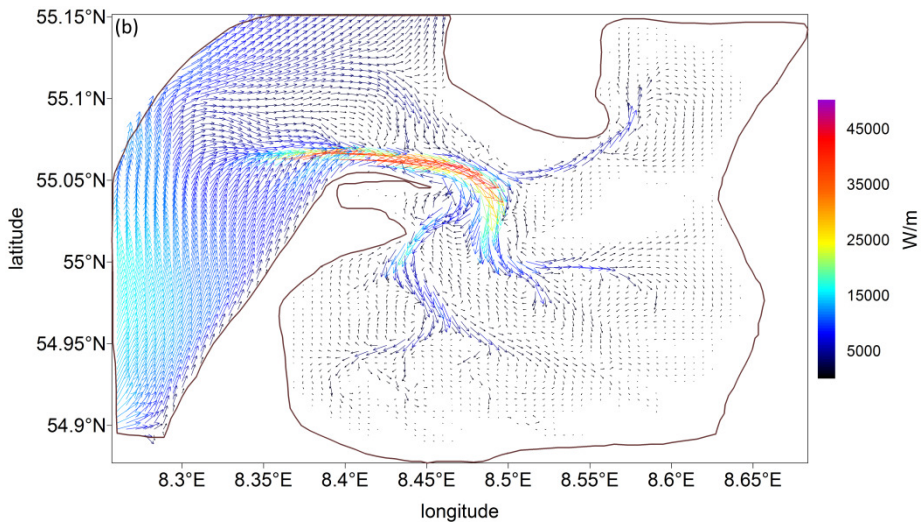
**Figure 3.** a) The probability of wetting based on simulations of the two full tidal cycles using the unstructured grid. b) The peak tidal velocities at the current position using the unstructured grid.

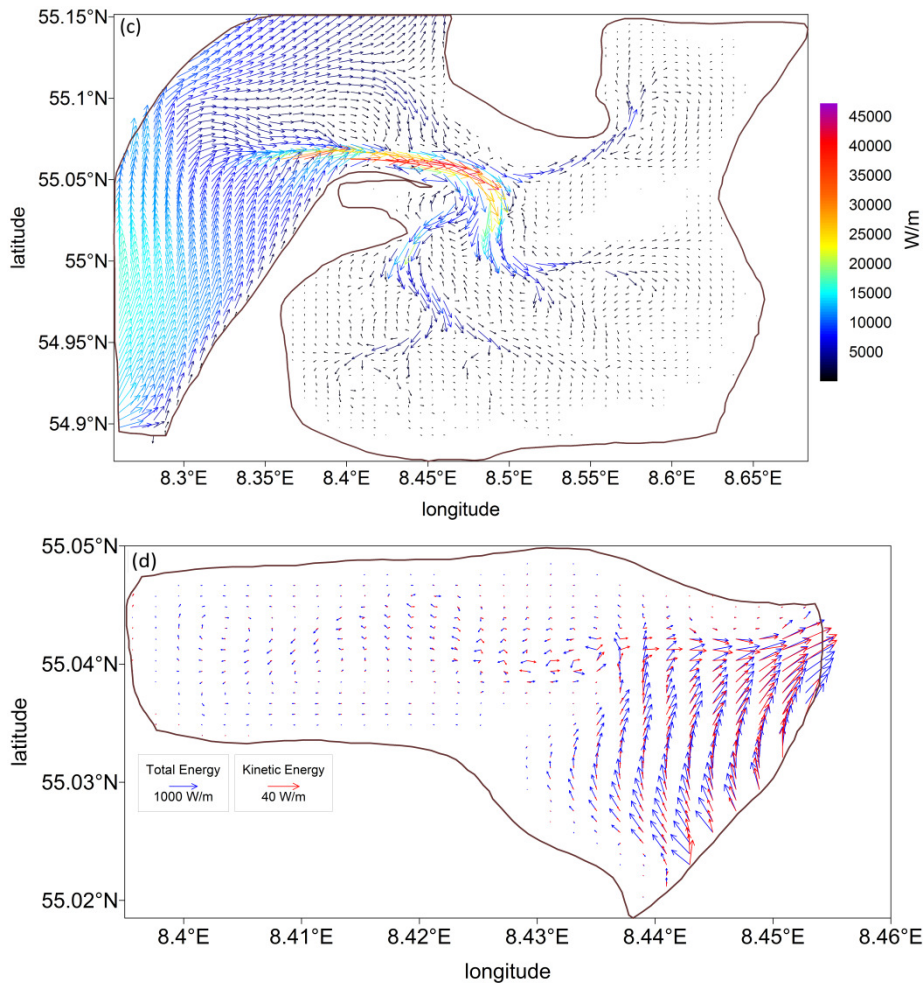


1225 **Figure 4.** The energy budget for the depth-averaged solution with open boundary conditions from the TPXO 9 database for summary tide, [W], in blue (dashed line): energy change in time, in red (dash-dotted line): flow through the open boundaries, in green (dotted line): bottom friction, in cyan (solid line): imbalance.



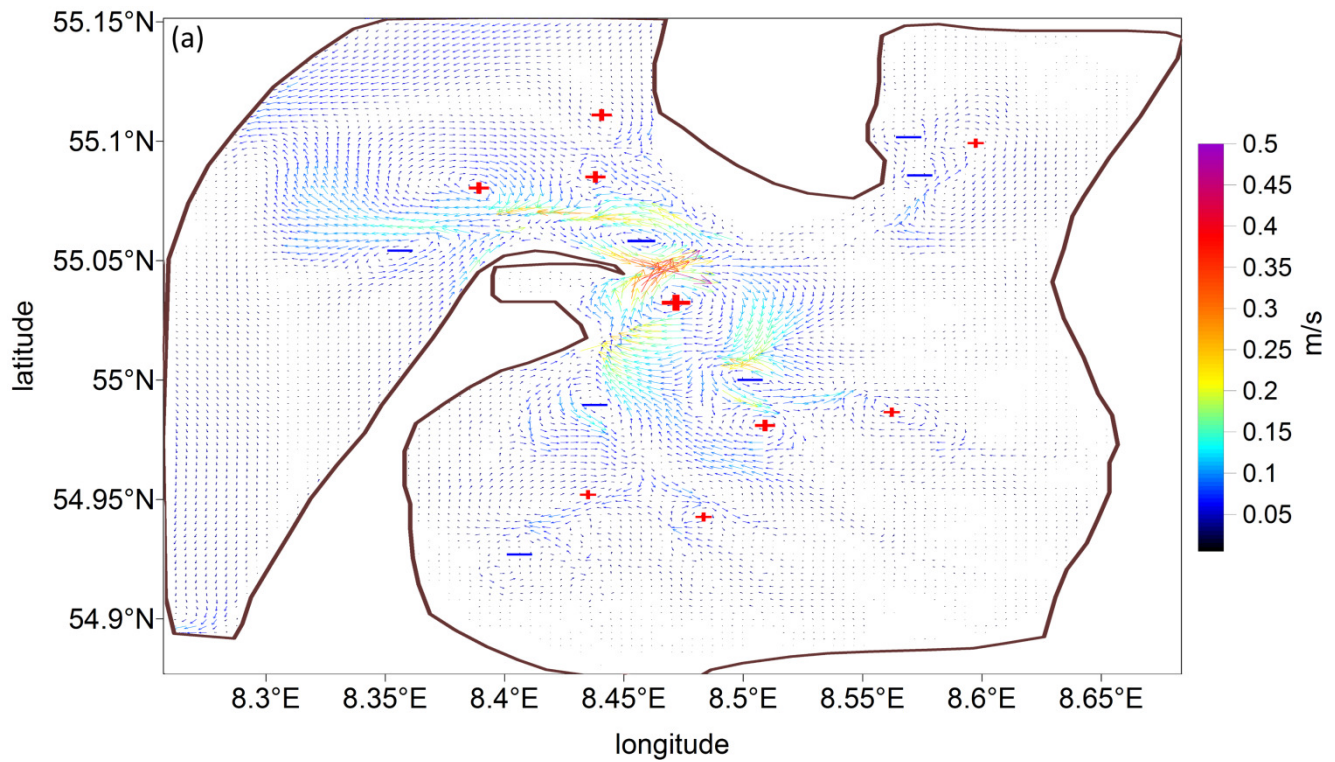
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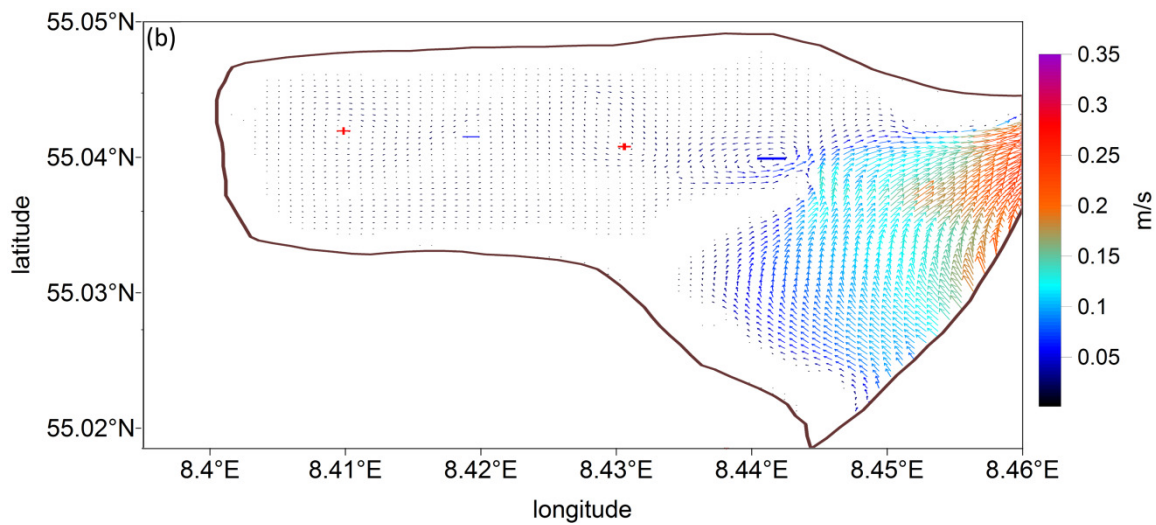


1235 **Figure 5.** The flux of tidal energy (summary tide): a) kinetic; b) potential; c) total ( $E_{\lambda}, E_{\theta}$ ); d) the total (blue arrows) and kinetic (red arrows) energy fluxes for the Königshafen subarea. The potential energy fluxes behavior is visually identical to the total energy fluxes one.





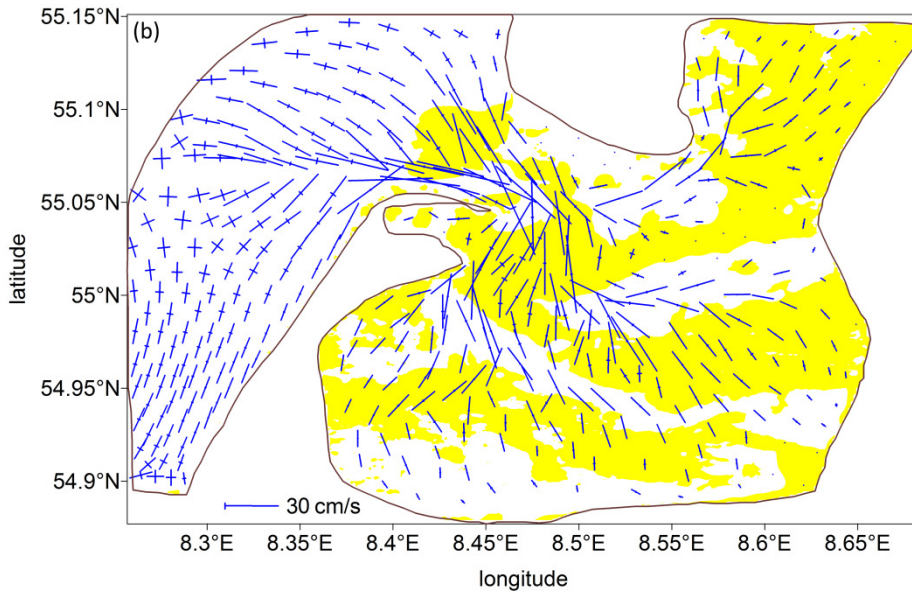
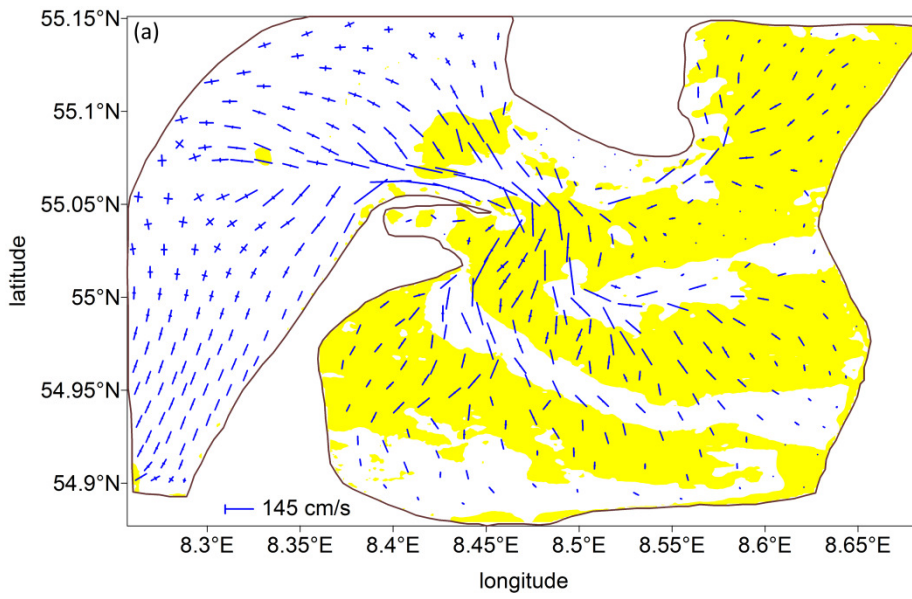
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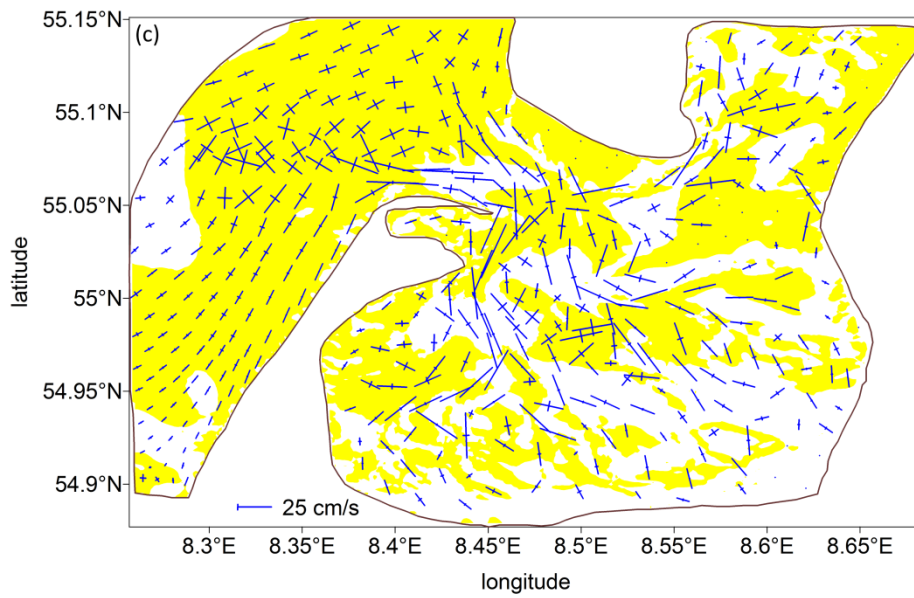


**Figure 6.** Residual circulation of the summary tide for: a) the whole area considered; b) the Königshafen embayment. The ‘+’ and ‘-’ illustrate the clockwise and counterclockwise rotation of the major gyres present in the system. The residual circulation is demonstrated on the unstructured grid.

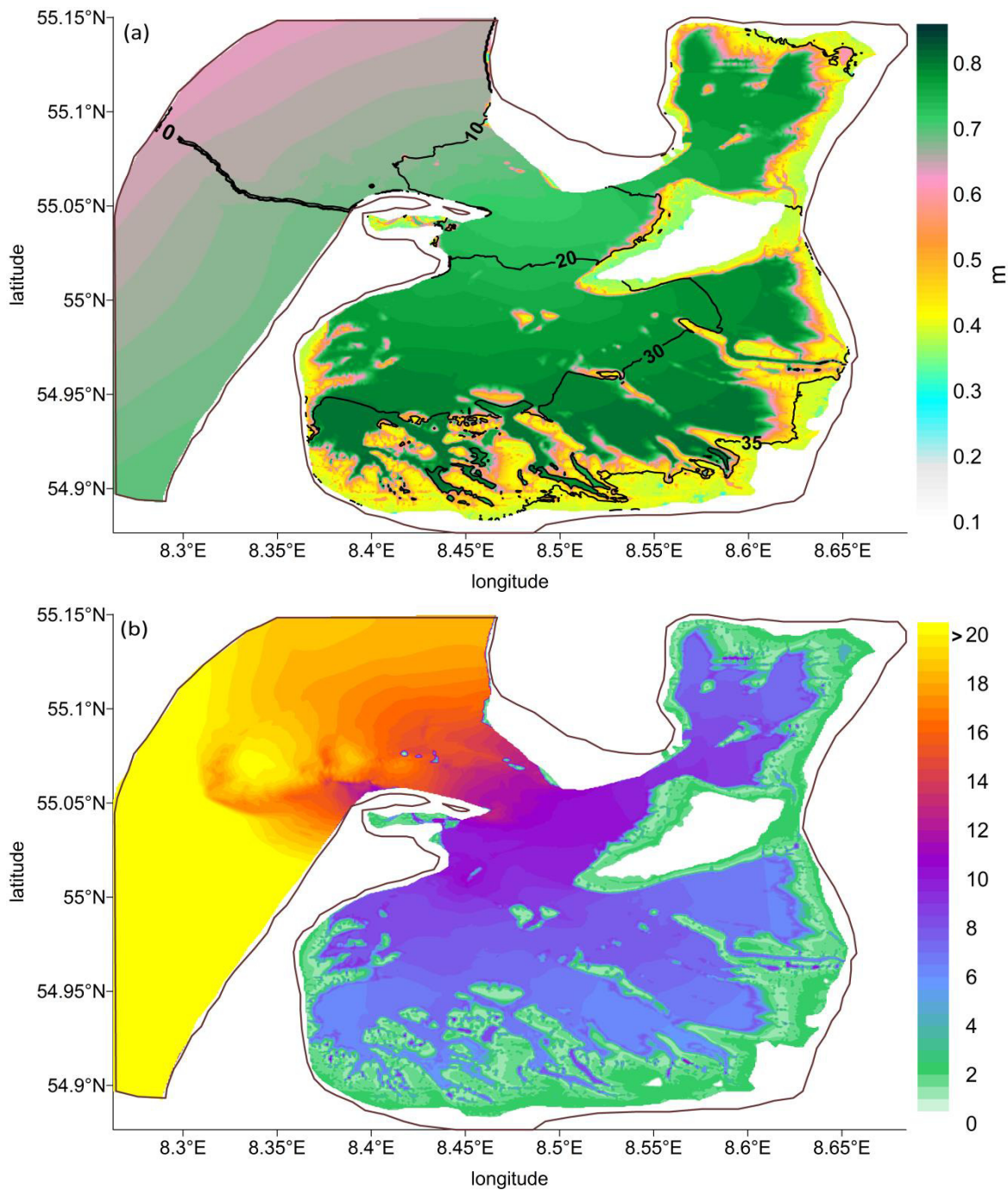
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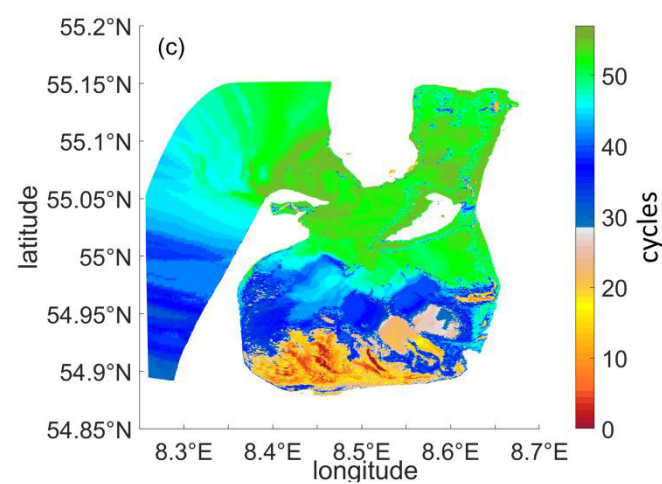
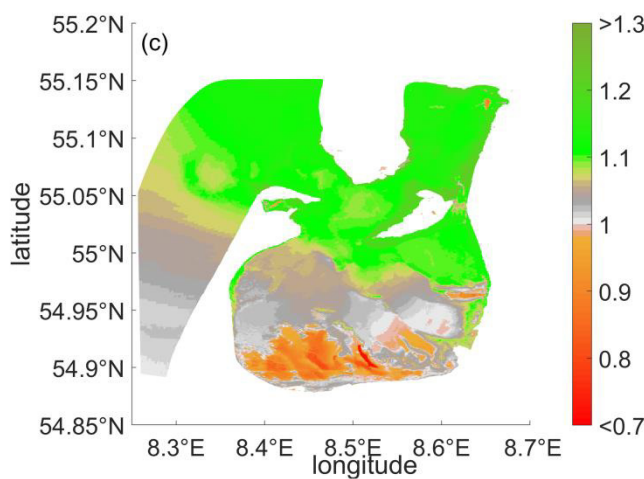
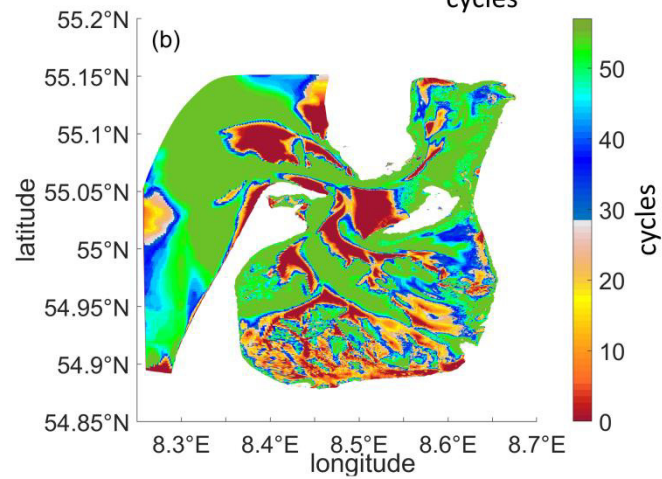
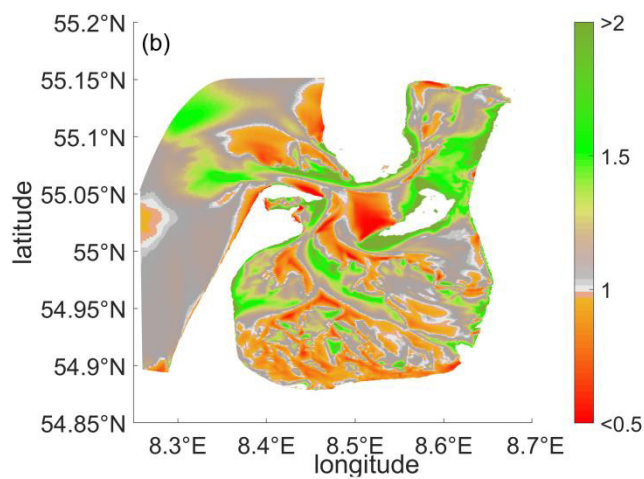
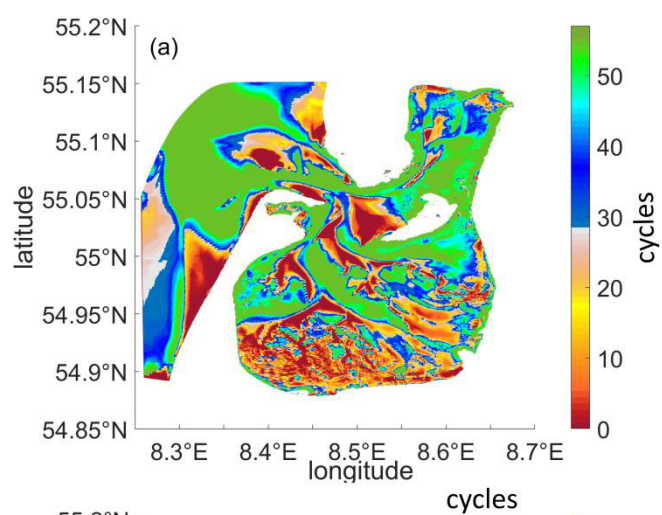
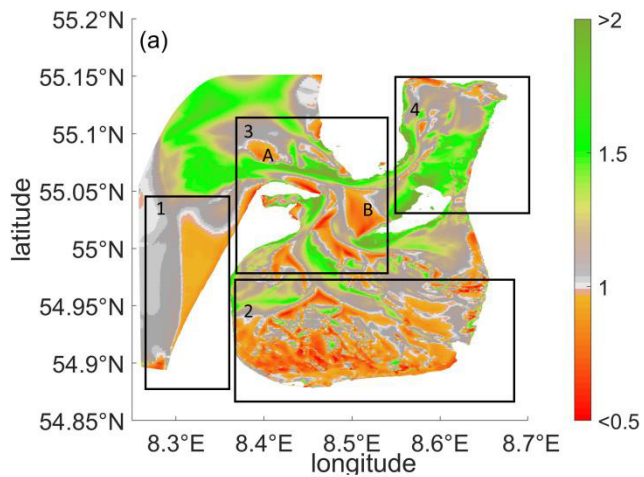


**Figure 7.** Axes of the a) M2, b) S2, c) M4 **tidal** current ellipses and zones of the minor-to-major axis ratios. Yellow zones  
 1250 denote the domain of anticyclonic rotation of the current vector.



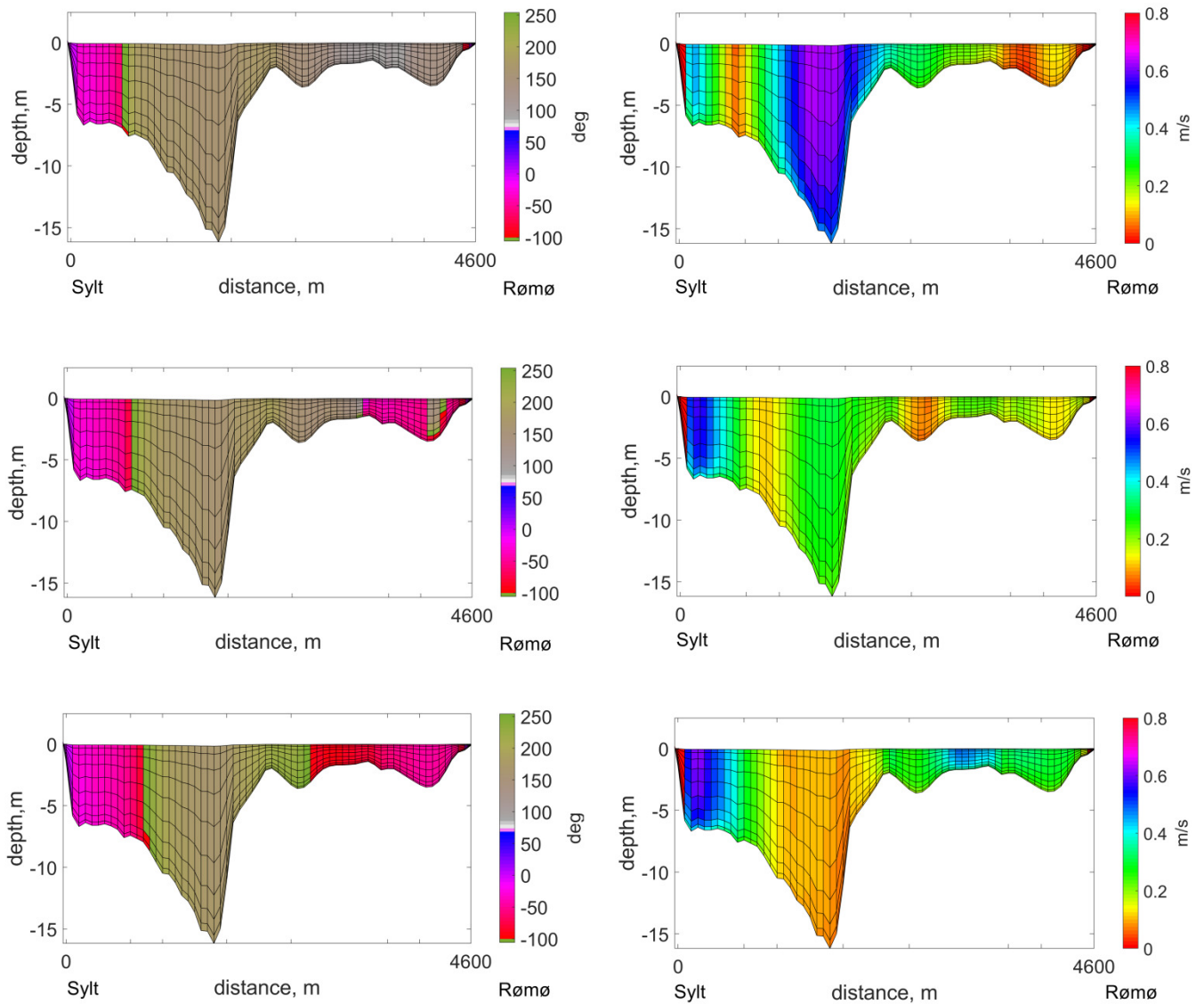
1255 **Figure 8.** a) The relative weight of the **linearity**: the ratio of M2 wave amplitude to the sum of the major nonlinear constituents. b) Tidal map for the M2 wave: phases, [°], are demonstrated via contour lines, amplitudes, [m], are via color

bar. The maps were created based on elevation data analysis. The white color indicates zone where topography features are above 0-sea level.





1260 **Figure 9.** The ebb-flood dominance asymmetry maps. Left panel: ratio of a) maximum velocities during ebb and flood; b)  
mean velocities during ebb and flood; c) durations of flood and ebb. Right panel: frequency of event within a lunar (synodic)  
1265 month (amount of the tidal cycles) a) maximum velocities during ebb are higher than during flood; b) mean velocities  
during ebb are higher than during flood; c) flood is longer than ebb. Numbers 1-4 indicate different zones under  
consideration. The A, B demonstrates an example of the subareas in which the strong flood dominance in terms of velocity  
does not mean shorter flood. Subareas which do not take part at least at one flood-ebb cycle are removed.



1270 **Figure 10.** Snap-shots of direction relative to the y-axes (counted positively counterclockwise), [°], and magnitude [m/s] of velocities within the cross-section which connects Sylt (left shore) and Rømø (right shore); the angle between y-axes and the cross-section is  $-16^\circ$ . In the left panel, the grey-green color indicates water outflow; blue-red color indicates water inflow. The snapshots capture the transition phase from ebb to flood with a 20-minute interval from one picture to another (top to bottom). The top panel is a snapshot of a moment when the external part of the domain is already at first-stage flood and the internal part of the domain is still at last-stage ebb.

1275 **Table 1.** The summary of the five cruises on board of Research Vessel “Mya II” profiling three main transects: the Inner Tidal Inlet (ITI), the Main Tidal Channels (MTC) and the Outer Tidal Inlet (OTI).

<b>Transect Name</b>	<b>Date</b>	<b>Lon / Lat (°) (Start – End)</b>	<b>Tidal Period</b>	<b>Duration (h)</b>
<b>ITI</b>	22/05/2018	8.464 / 55.047 8.489 / 55.062	neap	6:28
<b>MTC</b>	23/05/2018	8.461 / 55.039	neap+1 day	6:19
	24/05/2018	8.474 / 55.035 8.499 / 55.046 8.505 / 55.056	neap+2 days	5:56
<b>ITI</b>	29/05/2018	8.464 / 55.047 8.489 / 55.062	spring	7:11
<b>OTI</b>	30/05/2018	8.418 / 55.059 8.428 / 55.071	spring+1 day	12:24



1280 **Table 2.** The inter-comparison of the observed and simulated velocities based on different open boundary conditions in the area of Lister Deep. The table presents Root Mean Square Deviation (RMSD, m/s) and correlation coefficients (C.C.) for ‘u’ and ‘v’ velocity components. The results are given for the simulations performed on the **curvilinear grid.**

<b>N of obs.</b>	<b>Date in May</b>		<b>FES2014</b>	<b>NEMO</b>	<b>TPX08.1</b>	<b>TPX09</b>	<b>Mean vel., m/s (obs.)</b>	<b>Max vel., m/s (obs.)</b>
<b>655</b>	<b>22</b>	<b>RMSD</b>	0.34	0.34	0.3	0.28	0.64	1.43
		<b>C.C. u, v</b>	0.84, 0.83	0.83, 0.83	0.84, 0.84	0.87, 0.85		
<b>637</b>	<b>23</b>	<b>RMSD</b>	0.32	0.35	0.31	0.31	0.55	1.56
		<b>C.C. u, v</b>	0.81, 0.82	0.78, 0.78	0.81, 0.82	0.82, 0.82		
<b>618</b>	<b>24</b>	<b>RMSD</b>	0.41	0.32	0.34	0.31	0.54	1.31
		<b>C.C. u, v</b>	0.69, 0.5	0.83, 0.64	0.8, 0.62	0.84, 0.66		
<b>764</b>	<b>29</b>	<b>RMSD</b>	0.92	0.32	0.31	0.3	0.67	1.98
		<b>C.C. u, v</b>	0.09, 0.26	0.86, 0.84	0.86, 0.85	0.89, 0.87		
<b>1259</b>	<b>30</b>	<b>RMSD</b>	1	0.33	0.26	0.26	0.8	1.73
		<b>C.C. u, v</b>	0.18, 0.13	0.94, 0.76	0.97, 0.77	0.97, 0.76		

**Table 3.** Simulated and observed amplitudes, [cm], and phases, [°], of the major tidal constituents at different locations.

List TG						
	Curvilinear grid		Unstructured grid		Observations	
	Amp	Phase	Amp	Phase	Amp	Phase
<b>M2</b>	75.44	23.04	74.2	23.48	77.92	23.90
<b>S2</b>	17.12	85.01	19.34	95.83	18.92	94.55
<b>N2</b>	12.38	8.51	11.56	10.67	13.26	358.24
<b>O1</b>	6.74	277.2	6.77	276.81	8.33	269.30
<b>K1</b>	5.21	54.1	3.78	46.6	5.80	56.70
<b>Q1</b>	1.84	210.28	1.8	211.86	2.64	210.81
<b>M4</b>	4.92	188.68	3.89	181.43	5.08	214.86
<b>RMSD:</b>						
<b>amp(cm)/</b>	<b>1.4 /</b>		<b>1.9 /</b>			
<b>ph(°)</b>	<b>11.7</b>		<b>14.3</b>			
Havneby TG						
	Curvilinear grid		Unstructured grid		Observations	
	Amp	Phase	Amp	Phase	Amp	Phase
<b>M2</b>	76.23	24.21	75.26	24.91	79.83	24.78
<b>S2</b>	17.31	85.92	19.95	97.28	19.53	94.88
<b>N2</b>	12.49	9.23	11.87	11.95	13.42	359.15
<b>O1</b>	6.82	278.98	6.80	279.01	8.25	270.42
<b>K1</b>	5.26	56.12	3.80	50.54	5.90	62.26
<b>Q1</b>	1.83	211.65	1.80	213.16	2.85	211.03
<b>M4</b>	7.28	192.79	7.04	196.26	7.84	224.89

<b>RMSD:</b>						
<b>amp(cm)/</b>	1.8 /		2.1 /			
<b>ph(°)</b>	13.75		13.12			
<b>Vidå TG</b>						
	<b>Curvilinear grid</b>		<b>Unstructured grid</b>		<b>Observations</b>	
<b>M2</b>	67.74	35.94	65.71	37.21	63.41	42.35
<b>S2</b>	13.26	105.05	16.06	114.17	14.89	113.10
<b>N2</b>	9.82	29.47	8.91	31.19	9.78	18.30
<b>O1</b>	6.13	294.36	6	295.47	6.95	284.53
<b>K1</b>	4.9	73.47	3.59	71.78	5.58	79.42
<b>Q1</b>	1.19	223.36	1.25	224.92	1.78	217.68
<b>M4</b>	2.39	90.38	3.21	55.18	4.77	25.87
<b>RMSD:</b>						
<b>amp(cm)/</b>	2 /		1.5 /			
<b>ph(°)</b>	25.5		13.5			