# Surface predictor of overturning circulation and heat content change in

# the subpolar North Atlantic

3

2

1

Damien. G. Desbruyères\*1; Herlé Mercier<sup>2</sup>; Guillaume Maze<sup>1</sup>; Nathalie Daniault<sup>2</sup>

5

Ifremer, University of Brest, CNRS, IRD, Laboratoire d'Océanographie Physique et
 Spatiale, IUEM, Ifremer centre de Bretagne, Plouzané, 29280, France

8

- University of Brest, CNRS, Ifremer, IRD, Laboratoire d'Océanographie Physique et
  Spatiale, IUEM, Ifremer centre de Bretagne, Plouzané, 29280, France
- 11 Corresponding author: Damien Desbruyères (damien.desbruyeres@ifremer.fr)

12

Abstract. The Atlantic Meridional Overturning Circulation (AMOC) impacts ocean and atmosphere 13 temperatures on a wide range of temporal and spatial scales. Here we use observational data sets to 14 validate model-based inferences on the usefulness of thermodynamics theory in reconstructing AMOC 15 variability at low-frequency, and further build on this reconstruction to provide prediction of the near-16 future (2019-2022) North Atlantic state. An easily-observed surface quantity – the rate of warm to cold 17 transformation of water masses at high latitudes – is found to lead the observed AMOC at 45°N by 5-6 18 years and to drive its 1993-2010 decline and its ongoing recovery, with suggestive prediction of extreme 19 intensities for the early 2020's. We further demonstrate that AMOC variability drove a bi-decadal 20 warming-to-cooling reversal in the subpolar North Atlantic before triggering a recent return to warming 21 conditions that should prevail at least until 2021. Overall, this mechanistic approach of AMOC variability 22 and its impact on ocean temperature brings new keys for understanding and predicting climatic conditions 23 in the North Atlantic and beyond. 24

### 1. Introduction

25

26 The north-eastward meandering flow of the North Atlantic Current (NAC) dominates the upper-ocean

heat to the atmosphere as they flow around the Subpolar Gyre (SPG) and the Nordic Seas, ultimately 28 forming North Atlantic Deep Water that propagates in the deep layers via upper and deep western 29 boundary currents (DWBC) and dispersive interior pathways (Bower et al., 2009; Lherminier et al., 2010, 30 see Figure S1 for domain boundaries and bathymetric features). On top of sequestering physical and 31 biogeochemical properties in the deep seas, the warm-to-cold conversion of water masses and the 32 meridional overturning circulation associated with it drives a significant meridional heat transport. Its 33 variability is thought to be a major cause of temperature and ocean heat content (OHC) shifts in the upper 34 layer of the northern North Atlantic, with important ramification for ocean-atmosphere interactions and 35 large-scale climate variability (Bryden et al., 2014; Robson et al., 2017). In particular, the most recent 36 reversal of climatic trends in the north Atlantic SPG since 2005 (warming to cooling) has been attributed 37 in numerical models to a decadal weakening of the ocean meridional heat transport across the southern 38 boundary of the SPG (Piecuch et al., 2017; Robson et al., 2016). The recent return of intense ocean-to-39 atmosphere heat loss (and associated deep convection) since the mid 2010's (Josey et al., 2018; 40 Yashayaev and Loder, 2017) is now suggestive of an ongoing or approaching re-intensification of the 41 circulation and, consequently, a shift to warming condition in the SPG. Overall, the need for a continuous 42 monitoring of the top-to-bottom current field in the SPG has appeared critical to capture the many 43 components of this warm-to-cold transformation. In 2014, international efforts led to the implementation 44 of an in situ mooring array aimed to fulfil such a need – the Overturning in the Subpolar North Atlantic 45 Program (OSNAP; Lozier et al., 2017). In the commonly-used depth space (z), the AMOC<sub>z</sub> streamfunction helps to simplify the complex threedimensional velocity field of the North Atlantic into a northward flow of about 16 Sv (1 Sv = 10<sup>6</sup> m<sup>3</sup> s<sup>-1</sup>) 48 in the upper 0-1000 m or so and a compensating southward flow at depth, connected vertically by the net 49 sinking of surface waters at high latitudes (Buckley and Marshall, 2016; Wunsch, 2002). However, if one 50 is interested in OHC and the dynamics of buoyancy redistribution in the ocean, an estimator of the 51 circulation in density-space ( $\sigma$ ) must be preferred, which we will note AMOC $_{\sigma}$  hereafter. Such an 52 estimator allows to fully capture transformation of light water masses into denser ones at high latitudes, 53

circulation of the northern North Atlantic (Krauss, 1986). It transports relatively warm waters that release

- along both the vertical overturning and horizontal gyre circulations (Lherminier et al., 2010; Pickart and
- 55 Spall, 2007).
- In the absence of diapycnal mixing, the diapycnal volume fluxes associated with the AMOC $_{\sigma}$  at a given
- 57 latitude must relate to air-sea exchanges of buoyancy within isopycnal outcrops north of this latitude
- 58 (Figure 1). This thermodynamic balance between the  $AMOC_{\sigma}$  and its surface-forced component (noted
- 59 SFOC $_{\sigma}$  hereafter), theorized by Walin (1982) and much later verified with numerical models (Grist et al.,
- 60 2010; Marsh, 2000), suggests key monitoring and predictive skill of AMOC<sub>σ</sub>. This was particularly
- 61 evidenced in low-resolution coupled climate models, which hold a significant lagged relationship between
- 62 high latitude surface forcing and overturning circulation at the southern exit of the SPG (Grist et al.,
- 63 2009). In a follow-up paper, Grist et al. (2014) estimated the surface-forced component of the AMOC in
- 64 several atmospheric reanalyses and highlighted their overall consistency in the SPG. An independent
- 65 validation of those surface indices with observation-based time series of the interior circulation is
- 66 however, still missing. Moreover, the potential of such proxy-based reconstruction of the AMOC for
- 67 predicting OHC variability and new climatic reversal in the coming years remains to be shown.
- The primary purposes of the present study are (1) to validate with observational data the predictive skill
- 69 of surface-forced water mass transformation for AMOC variability, and (2) to assess the causal link
- 70 between AMOC variability and decadal OHC changes in the SPG and perform near-future prediction of
- 71 those quantities. Regional variability will also be documented, with details on the capability of the *in situ*
- 72 OSNAP array in monitoring the basin-wide AMOC $_{\sigma}$ .
- 73 The paper is structured as follows. Section 2 presents the observational data sets and the methodology
- used to compute AMOC $_{\sigma}$ , SFOC $_{\sigma}$ , and OHC. Section 3 gathers the main results of the study and Section
- 75 4 summarizes and discusses them.

### 2. Materials and Methods

## **2.1. Data**

76

77

97

Monthly gridded potential temperature  $(\theta)$  and practical salinity (S) profiles from four in situ 78 hydrographic datasets were used. Details on those data sets (EN4, CORA, ISHII and ARMOR3D) are 79 provide in Table S1. For each product and at each grid point, the  $\theta$  and S profiles were interpolated to a 80 regular 20 db vertical spacing. Using the TEOS-10 Gibbs-SeaWater (GSW) toolbox, practical salinity was converted to absolute salinity, potential temperature to conservative temperature, and  $\sigma_0$  and  $\sigma_1$ 82 (potential density relative to sea-surface and 1000 m, respectively) were computed. Air-sea heat fluxes 83 (radiative and turbulent) and freshwater fluxes (evaporation and precipitation) are obtained from three 84 atmospheric reanalyses (NCEP2, ERA-I and CERES, see Table S1). Absolute dynamic topography and 85 associated surface meridional geostrophic velocities are obtained from the AVISO platform 86 (https://www.aviso.altimetry.fr/en/data.html) and combine sea-level anomalies from multi-mission 87 satellite altimeters and mean dynamic topography from GOCE, GRACE, altimetry and in situ data 88 (https://www.aviso.altimetry.fr/en/data/products/auxiliary-products/mdt.html). 89

The various integrated quantities derived from those data products (such as ocean heat content of overturning stream functions - see description below in Section 2.2 and 2.3) were then combined into ensemble mean over the period (1993-2017 for altimetry-related quantities, 1985-2017 otherwise), with associated ensemble standard errors computed as  $\frac{\sigma}{\sqrt{N-1}}$ , where  $\sigma$  is the standard deviation and N = 4 the number of data products used in the mean. This error captures the spread induced by the different methods used as of today to interpolate sparse in situ observations. Further notes on statistical analysis of the reported results (correlation, trend error) are provided in Supplementary Information.

## 2.2. Computation of AMOC $_{\sigma}$ , MHT $_{\sigma}$ and associated OHC

The 0-2000 m absolute meridional velocities *v* at 45°N are derived by referencing *in situ* estimates of the geostrophic thermal-wind currents with altimetry-derived sea-surface geostrophic velocities, following previously-published methodologies (Gourcuff et al., 2011; Mercier et al., 2015; Sarafanov et al., 2012).

The latitude 45°N represents the southern geographic boundary of the SPG with the bulk of the light-to-dense transformation associated with the AMOC $_{\sigma}$  occurring not of it (see Section 3.1). Moreover, the thermohaline fronts (and the resulting relative velocities) at 45°N are relatively well defined in ocean analysis products due to good data coverage, notably at the western boundary. This latitudinal band is therefore chosen as our reference line for computing a realistic AMOC $_{\sigma}$  and undertaking its subsequent mechanistic analysis.

107

102

103

104

105

106

The AMOC<sub> $\sigma$ </sub> stream function is obtained by integrating v zonally and vertically above each  $\sigma_1$  surface (spaced by  $\delta \sigma = 0.025$  kg m<sup>-3</sup>). The maximum value of the resulting stream function at the density level  $\sigma_m$  writes as:

$$AMOC_{\sigma m} = \int_{x} \int_{\sigma < \sigma m} v dx dz$$

112

113 We restrict such calculation to the 0-2000 m layer as not all products contain data below that depth<sup>1</sup>. This 114 threshold is nonetheless deep enough to capture the level of maximum transformation at 45°N, as well as 115 its variability. The AMOC $_{\sigma}$ -driven heat transport is estimated as in Mercier et al. (2015):

116

$$MHT_{\sigma} = \rho_0 C_p AMOC_{\sigma m} \Delta \theta$$

118

Where  $\rho_0 = 1025$  kg m<sup>-3</sup>,  $C_p = 4000$  J kg<sup>-1</sup> °C<sup>-1</sup> and  $\Delta \theta$  is the temperature difference between the upper and lower limbs of the AMOC<sub> $\sigma$ </sub> (i.e. the area-weighted average temperature of water lighter than  $\sigma_m$  minus the area-weighted average temperature of water heavier than  $\sigma_m$ ). Note that  $\Delta \theta$  was computed from the EN4.2.0 product that provides full-depth temperature profiles. The change in ocean heat content north of 45°N driven by  $MHT_{\sigma}$  is then estimated as:

$$OHC(t)_{MHT\sigma} = \int_{t0}^{t} (MHT(t)_{\sigma} - \overline{MHT_{\sigma}}) dt$$

<sup>&</sup>lt;sup>1</sup> This is due to the fact that the main source of recent in situ data is the Argo array of profiling floats (Riser et al., 2016) providing quality controlled temperature and salinity data for the upper 2000m only.

where t is a given year and the overbar refers to a temporal average over the period 1996 – 2013. This reference period is assumed to represent a climatological equilibrium state around which  $MHT_{\sigma}$  fluctuates, so that positive (negative) anomalies in  $MHT_{\sigma}$  result in warming (cooling) north of 45°N. As shown in Section 3.3, this assumption yields high and significant correlation between  $OHC_{MHT\sigma}$  and the observed OHC in the SPG.

130

131

#### 2.3. Computation of SFOC $_{\sigma}$ .

The surface-forced component of the overturning streamfunction SFOC $_{\sigma}$  was computed following common practice and methodologies (Marsh, 2000). For each month and each isopycnal  $\sigma$  (spaced by  $\delta\sigma$  = 0.05 kg m<sup>-3</sup>), SFOC $_{\sigma}$  is computed as the diapycnal convergence of the diapycnal volume flux driven by surface density flux wherever  $\sigma$  outcrops north of a given coast-to-coast section:

136

$$SFOC(\sigma^*) = \frac{1}{\delta\sigma} \iint \left[ -\frac{\alpha Q}{C_p} + \beta \frac{S}{1-S} (E-P) \right] \Pi(\sigma) dx dy$$

138 where

139 
$$\Pi(\sigma) = \begin{cases} 1 \text{ for } \sigma - \frac{\delta \sigma}{2} < \sigma < \sigma + \frac{\delta \sigma}{2} \\ 0 \text{ elsewhere} \end{cases}$$

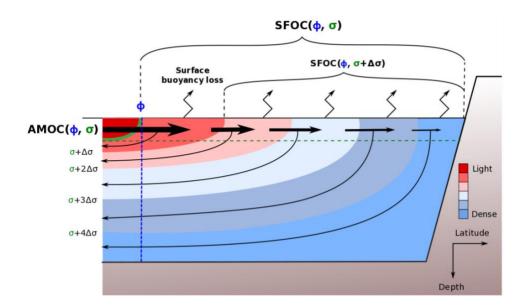
140

The quantity within brackets is the local surface density flux,  $\alpha$  and  $\beta$  are the thermal expansion and 141 haline contraction coefficients,  $C_p$  is specific heat capacity of sea water (4000 J kg<sup>-1</sup> K<sup>-1</sup>), Q the net 142 surface heat flux, E the evaporation rate, and P the precipitation rate. Following Marsh (2000), monthly 143 fields of surface temperature (for density computation) and Q are used herein while monthly climatology 144 values for surface salinity S and E-P are used to avoid introducing punctual spurious surface density 145 146 anomalies due to poor salinity sampling (especially in the early historical record), notably near continental margin and seasonally-covered ice-covered areas. We note here that the air-sea buoyancy flux in the SPG, 147 and therefore SFOC $_{\sigma}$ , is largely controlled by its thermal component (Marsh, 2000). When for a given 148

month (usually during summer),  $\sigma$  does not outcrop north of 45°N, SFOC $_{\sigma}$  is set to zero. Annual averages are then obtained for 1985-2017. Even if SFOC $_{\sigma}$  is a surface integral statement, maps of transformation rates can be obtained by accumulating the integrand over outcrops (Brambilla et al., 2008; Maze et al., 2009), as shown later in Section 3.1.

In order to directly relate SFOC<sub> $\sigma$ </sub> and AMOC<sub> $\sigma$ </sub>, we rely on the assumption of water mass steadiness in the SPG, meaning that the net accumulation of volume within isopycnal layers is considered to be negligible in front of the import of light water to be transformed and the export of dense water after transformation (Marsh, 2000). To verify this hypothesis, we compute  $\frac{dV_{\sigma}}{dt}$ , the yearly local change in the volume of discrete isopycnal layer (in Sv), where  $V_{\sigma}$  is evaluated on January 1<sup>st</sup> of each year. Averaging this term north of 45°N and summing below the density level of maximum SFOC<sub> $\sigma$ </sub> yields an evaluation of water mass steadiness during each year. As discussed later, this term can be intermittently important but does not dominate the decadal variability, so that a direct link emerges between SFOC<sub> $\sigma$ </sub> and AMOC<sub> $\sigma$ </sub> on those relatively long-time scales.





**Figure 1.** Schematic of the relationship between meridional overturning circulation at latitude  $\phi$  and isopycnal surface  $\sigma$  – the AMOC( $\phi$ ,  $\sigma$ ) – and its surface-forced component – the SFOC( $\phi$ ,  $\sigma$ ). Arrows

show the progressive transformation of waters across increasing density surfaces balanced by buoyancy loss at the air-sea interface and meridional import and export. After Marsh (2000).

164

165

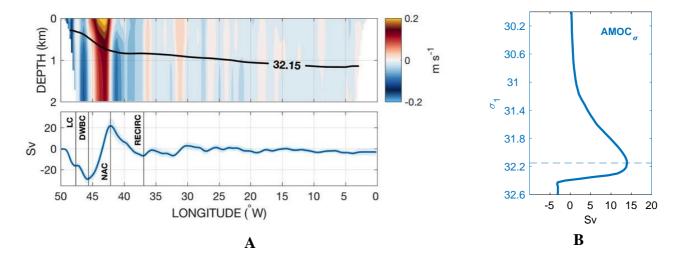
166

### 3. Results

### 3.1. The time-mean AMOC $_{\sigma}$ and SFOC $_{\sigma}$

The time-mean depth-longitude field of meridional velocity at 45°N (Figure 2A) is dominated by a 167 western boundary current system in good quantitative agreement with direct current estimates carried out 168 in the region (Mertens et al., 2014; Toole et al., 2017). This current system includes the southward-169 flowing Labrador Current (LC) adjacent to the slope above 800 m (16 Sv), the upper part of the 170 southward-flowing DWBC circa 47°W with increasing velocities with depth (13 Sv), and the surface-171 intensified northward-flowing NAC (53 Sv) with its recirculation east of 45°W (28 Sv). Meridional 172 velocities are significantly weaker further east in the gyre interior. Integrating zonally the volume 173 transport above discrete  $\sigma_1$ -surfaces yields the (partial) AMOC<sub> $\sigma$ </sub> stream function at 45°N, which reaches 174 a time-mean maximum value of 14.3  $\pm$  1.4 Sv at  $\sigma_1$  = 32.15 (Figure 2B; see also Figure S2A for the 175 AMOC $_{\sigma}$  stream function of each individual product). A similar calculation in depth space yields the 176 (partial) AMOC<sub>z</sub> stream function at 45°N, which reaches a time-mean maximum value of  $9 \pm 0.4$  Sv at 177 700 m depth (Figure S2B). Therefore, about 60% of the maximum diapycnal volume flux above 2000 m 178 depth at 45°N is associated with a net downwelling in the vertical plane, the remainder being due to dense 179

waters returning at the same depth as that of the inflowing light waters within the horizontal gyre circulation.



**Figure 2. Meridional velocity and transport at 45°N (AMOC**<sub>σ</sub>). (A) Top: The 1993-2017 mean longitude-depth velocity field (in m s<sup>-1</sup>) at 45°N. The  $\sigma_1$  = 32.15 isopycnal across which the maximum diapycnal flux occurs is shown in black. Bottom: The depth-integrated (0-2000 m) zonally cumulated transport (in Sv) at 45°N, with labels as follow: LC (Labrador Current), DWBC (Deep Western Boundary Current), NAC (North Atlantic Current) and RECIRC (NAC recirculation). Shading indicates the ensemble standard error. (B). The mean AMOC<sub>σ</sub> streamfunction at 45°N (in Sv). Shading indicates the ensemble standard error. The blue dashed line at  $\sigma_1$  = 32.15 depicts the maximum transformation rate.

The surface-forced component of the AMOC $_{\sigma}$  (noted SFOC $_{\sigma}$ ) shows a maximum time-mean value of  $15.4 \pm 1.8$  Sv at  $\sigma_0 = 27.4$  (or  $\sigma_1 \approx 32$ ), which reflects a light-to-dense flux that primarily occurs along the NAC path in the eastern SPG south of Reykjanes Ridge and to a lesser extent along the western SPG boundary (Labrador Sea), along the Norwegian margins (Figure 3). This pattern is consistent with recent mooring-based analysis of the diapycnal overturning in the SPG showing a relatively minor contribution of the Labrador Sea to the basin-wide maximum transformation rates (Lozier et al., 2019). This is because the density level of maximum transformation in the Labrador Sea is well below the density level of the basin-wide AMOC $_{\sigma}$  (or SFOC $_{\sigma}$ ). The spatial distribution of the surface-forced diapycnal volume flux within the domain is inferred by evaluating SFOC $_{\sigma}$  at two additional key sections: the international Canada-Greenland-Scotland OSNAP and the Greenland-Iceland-Scotland (GIS) sills. The SFOC $_{\sigma}^{OSNAP}$ 

and SFOC $_{\sigma}^{GIS}$  stream functions respectively show a maximum transformation rate of 11.2 ± 1.3 Sv at  $\sigma_0$  = 27.52 and 5.4 Sv ± 0.4 Sv at  $\sigma_0$  = 27.77, in good agreement with independent *in situ* calculations of the maximum overturning across the OSNAP line and overflow transport estimates at the GIS (Hansen and Østerhus, 2000; Li et al., 2017). Altogether, the three estimates of SFOC $_{\sigma}$  across 45°N, OSNAP, and GIS, describe the expected decrease in intensity and increase in density of the maximum transformation rate as one progress northward. We note that the density level of the maximum SFOC $_{\sigma}$  at 45°N is slightly lighter than the density level of the maximum AMOC $_{\sigma}$  at 45°N. This is because SFOC $_{\sigma}$  cannot account for the positive transformation rate due to the entrainment-driven mixing of the subpolar mode waters with the denser overflow waters in the vicinity of the GIS sills. However, the analysis of numerical simulations shows that such a mixing contribution does not largely affect interannual and decadal variability (Marsh et al., 2005), our primary purpose here.



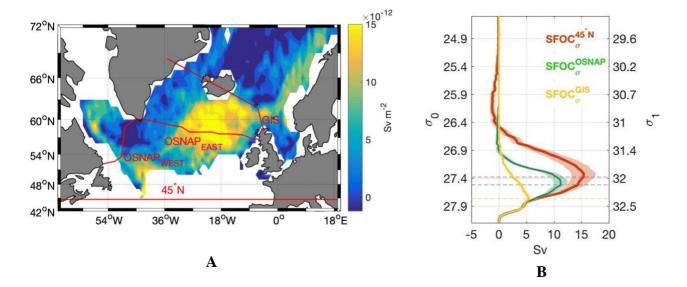


Figure 3. The surface-forced transformation north of 45°N (SFOC $_{\sigma}$ ). (A) The 1993-2017 time-mean transormation map across the isopycnal surface  $\sigma_0 = 27.4$  (in Sv m<sup>-2</sup>), across which the maximum transformation rate north of 45°N occurs. (B) The mean SFOC $_{\sigma}$  streamfunction (in Sv) at 45°N (red), at the OSNAP line (green) and at the GIS sills (yellow). See 5A) for section locations. Shading indicates the ensemble standard error. The dashed lines depict the density levels of maximum surface-forced transformation rate north for each domain. As the computation was made using  $\sigma_0$ , the corresponding surface  $\sigma_1$  values are shown on the

right-hand side y-axis. The surface integral of the diapycnal volume flux shown in (A) yields the maximum transformation rate through  $\sigma_0 = 27.4 : 15.4 \pm 1.8$  Sv.

205

206

# 3.2. The variability of AMOC $_{\sigma}$ and SFOC $_{\sigma}$

The maximum AMOC $_{\sigma}$  time series, displayed as raw and 7-year low-pass filtered annual anomalies in 207 Figure 4A (blue lines), shows an apparent 8-year period variability embedded in a linear decrease during 208 1993-2010 of -0.24  $\pm$  0.05 Sv yr<sup>-1</sup> and a subsequent intensification during 2010-2017 of 0.91  $\pm$  0.19 Sv 209 yr<sup>-1</sup>. Those changes are largely advective (Figure S4), indicating minor impact of volume (or  $\sigma_{\rm m}$ ) 210 variability on the AMOC intensity. Volume redistribution associated with the formation history of 211 intermediate water masses in the Labrador and Irminger seas can be important but they remain restricted 212 to the lower limb of the AMOC $_{\sigma}$  (not shown). We note that the AMOC $_{z}$  shares a similar variability with 213 AMOC $_{\sigma}$  but of weaker amplitude, indicating an important contribution of the horizontal circulation 214 (versus vertical overturning) to the diapycnal volume flux variability at 45°N (Figure S4). The gyre 215 contribution to AMOC<sub>\sigma</sub> variability at 45°N is also inferred from an independent mooring-based 216 observation of the (400m-bottom) DWBC intensity at 53°N (Zantopp et al., 2017). Although the shortness 217 of the time series (10 years) only allows a suggestive independent validation, the DWBC variability is 218 found to consistently lead the 2004-2010 weakening and the 2010-2014 intensification of the AMOC $_{\sigma}$  at 219 45°N by 3 years (Figure S4). 220

221

222

223

224

225

226

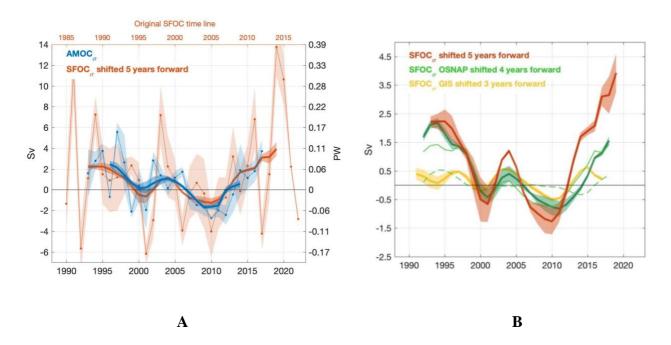
227

228

The second independent validation of AMOC $_{\sigma}$  bears the mechanistic explanation of its variability. While the maximums of AMOC $_{\sigma}$  and SFOC $_{\sigma}$  hardly correlate at high frequency, a striking correspondence between their low-pass filtered variability is found, with the largest correlation obtained when the former lags the latter by 5-6 years (0.94 at the 99% confidence level), in line with typical advective time scales in the SPG (Bersch et al., 2007) (Figure 4A – see also Supplementary Materials for details on smoothing and correlation). Therefore, observational data confirm that surface-forced water mass transformation represents a dominant driver as well as an easily-derived proxy of low-frequency AMOC $_{\sigma}$  changes across

the southern exit of the SPG. Departure from an exact match between AMOC $_{\sigma}$  and SFOC $_{\sigma}$  relate to the influence of the remaining terms in the volume budget equation, namely diapycnal mixing and volume storage within the SPG interior. As shown in Figure S5, the latter can be non-negligible on interannual time scale but exhibits minor decadal variability.

The 5-year time lag between AMOC $_{\sigma}$  and SFOC $_{\sigma}$  time series enables prediction of near-future AMOC $_{\sigma}$  variability. Here, the low-frequency strengthening of the meridional circulation observed since 2010 is found to continue at a similar rate until 2022 while reaching extreme intensities in 2019 and 2020 similar to those observed in the early 1990's. Those extreme events reflect harsh atmospheric winter conditions in the SPG in 2014 and 2015 (North Atlantic Oscillation strongly positive) associated with large ocean-to-atmosphere heat transfer (Josey et al., 2018). As discussed in the next section, this most recent positive trend in AMOC $_{\sigma}$  intensity and its predicted persistence until the early 2020's may substantially increase OHC in the SPG in the coming years.



**Figure 4. The AMOC** $_{\sigma}$  and SFOC $_{\sigma}$ . (A) Annual anomalies in the maximum AMOC $_{\sigma}$  (blue) and the maximum SFOC $_{\sigma}$  (red) at 45°N (in Sv), with the latter shifted 5 years forward (lag of maximum correlation). The reference (time-mean) period is 1996-2013. Thick lines show 7-year low-pass filtered time series. The right-hand side axis

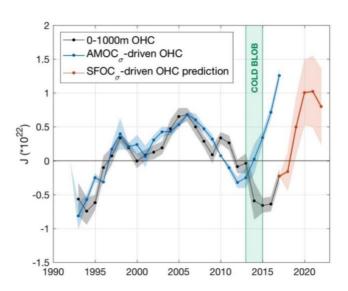
displays the corresponding heat transport anomalies. The original time line for SFOC $_{\sigma}$  is given in the top x-axis. (B) The 7-year low-pass filtered time series of anomalies in the maximum SFOC $_{\sigma}$  at 45°N (red – shifted 5 years forward), the maximum SFOC $_{\sigma}$  at the OSNAP line (green – shifted 4 years forward) decomposed into contributions from the eastern (thin) and western (dashed) basins, and the maximum SFOC $_{\sigma}$  at the GIS sills (yellow – shifted 3 years forward). Shading indicates the ensemble standard errors for each variable.

The decadal variability in the maximum SFOC $_{\sigma}$  at 45°N has minor contribution from the Nordic Seas and is effectively captured by SFOC $_{\sigma}^{OSNAP}$ , although the contribution from regions south of the OSNAP line appears important during its most recent intensification since 2010 (Figure 4B). The variability in SFOC $_{\sigma}$  is dominated by changes in the rate of water mass transformation in the eastern SPG basins, in line with recent mooring-based estimates of the AMOC $_{\sigma}$  across the OSNAP line (Lozier et al., 2019). The successive 1-year lag between SFOC $_{\sigma}$  at 45°N, SFOC $_{\sigma}$  at OSNAP and SFOC $_{\sigma}$  at GIS reflects the progressive northward spreading of transformation anomalies across surface of increasing density (see Figure 3B).

### 3.3. The variability of OHC and its 5-year prediction

The lagged correlation between surface-forced water mass transformation and the overturning circulation has important ramifications for the monitoring of past, present and future fluctuations of AMOC $_{\sigma}$  but does not inform on its role in driving decadal OHC variability in the SPG. To infer such a role, the AMOC<sub> $\sigma$ </sub>-driven meridional heat transport at 45°N – noted MHT<sub> $\sigma$ </sub> hereafter – is computed from the maximum AMOC $_{\sigma}$  index (Figure 4A) and the temperature difference between the upper and lower AMOC<sub> $\sigma$ </sub> limbs at 45°N (see Section 2.2). The time-mean MHT<sub> $\sigma$ </sub> at 45°N during 1993-2017 reaches 0.43  $\pm$  0.04 PW and is balanced by an ocean-to-atmosphere heat transfer of 0.21  $\pm$  0.04 PW, a small long-term change in OHC within the SPG domain of  $0.014 \pm 0.002$  PW, and a northward ocean heat transport across the GIS sills estimated as a residual as 0.20 PW (consistent with independent estimates, Curry et al., 2011; Hansen et al., 2015; Hansen & Østerhus, 2000). 

The cumulated anomalies of MHT $_{\sigma}$  referenced to the time-window 1996-2013 show high correlation with the observed OHC within the 0-1000 m layer of the SPG (10°W-70°W; 45°N-65°N, Figure 5). In particular, both the 1993-2006 warming and the 2006-2013 cooling of the region are well explained by the contribution of MHT $_{\sigma}$  variability at 45°N (r = 0.87 at the 99% confidence level for 1993-2013). This is consistent with previous model-based inferences that the AMOC $_{\sigma}$  is a primary driver of decadal temperature changes in the upper SPG (Desbruyères et al., 2015; Grist et al., 2010; Robson et al., 2016). This causal relationship is however not verified during 2013-2015, where MHT $_{\sigma}$  induces a warming of the SPG whereas *in situ* observations indicate that OHC continued to decrease. This apparent discrepancy reflects the strong air-sea heat flux anomaly that drove a sharp cooling of the upper SPG during those years – the so-called "Cold blob" (Duchez et al., 2016; Josey et al., 2018). From 2015, atmospheric conditions were back to "normal" and the MHT $_{\sigma}$ -driven warming of the SPG could begin.



**Figure 5. OHC variability.** Detrended anomalies in OHC within the upper SPG (0-1000 m;  $10^{\circ}\text{W}-70^{\circ}\text{W}$ ;  $45^{\circ}\text{N}-65^{\circ}\text{N}$ , black, in J) and MHT<sub>σ</sub>-driven OHC anomalies north of  $45^{\circ}\text{N}$  (blue, in J). Shading indicates the ensemble standard errors for each variable. The SFOC<sub>σ</sub>-driven OHC prediction for 2017-2022 is shown in red, with its associated error based on the historical predictive skills of SFOC<sub>σ</sub>. The green patch indicates the "cold blob" era driven by extreme air-sea flux events (Josey et al., 2018).

We finally make use of the remarkable 5-year lead of SFOC $_{\sigma}$  onto AMOC $_{\sigma}$  (Figure 4A) to make a suggestive prediction of AMOC $_{\sigma}$ -driven OHC changes between 2017 and 2022. Annually-averaged anomalies of SFOC $_{\sigma}$  are scaled by the actual interannual variance of AMOC $_{\sigma}$  and converted into an anomalous heat transport relative to 1996-2013 with associated OHC anomalies as previously shown. To make the prediction, we simply anchor the resulting 2017-2022 time series to the last observed OHC value of 2017 (red line, Figure 5). An uncertainty is added on the prediction based on the skill of SFOC $_{\sigma}$  in predicting the historical 1993-2017 OHC (red shading in Figure 5). This uncertainty is the prediction error  $\in_{lag}$  from the  $N_{lag}$ -year time series, with lag = 1 to 5 years:

$$\epsilon_{lag} = \sqrt{\frac{1}{N_{lag}} \sum \left\{ (OHC^{y+lag} - OHC^{y}) - (OHC_{SFOC\sigma}^{y+lag} - (OHC_{SFOC\sigma}^{y}) \right\}^{2} }$$

Owing to the ongoing intensification of the AMOC<sub> $\sigma$ </sub> and its presumed persistence until 2019/2020 (Figure 4A), and under the (hypothesized) absence of extreme air-sea heat flux events in the near-future, the present analysis predicts a rapid OHC surge of  $1.03 \pm 0.57 \ 10^{22}$  J between 2017 and 2021 (Figure 5).

# 4. Conclusions

In this paper we have provided observationally-based evidence of a tight causal relationship between low frequency changes in the rate of surface-forced water mass transformation in the eastern SPG, the variability of the overturning circulation at 45°N, and ocean heat content trends in the SPG. The 5-year delay between surface property changes in the SPG and downstream circulation changes suggests good skills for short-term predictability in the region from the sole use of ocean surface and air-sea interface measurements. Here, a strong intensification of the overturning and associated heat transport from 2010 is found to persist until the early 2020's, driving a new significant reversal of climatic condition in the SPG as temperature rapidly rise from their last minimum of 2017. The extreme winters of 2014 and 2015 appear as key drivers of those recent and upcoming changes in the SPG. They are found to be responsible for rapidly cooling the upper ocean while feeding a 5-year delayed intensification of the overturning

through increased light-to-dense transformation, leading eventually to a sharp warming of the domain. 304 We note that the series of oceanic events described herein, from surface-forced water mass transformation 305 to meridional circulation and heat content changes, are only suggestively presented as a forced response 306 to atmospheric variability. Understanding the extent to which they may belong to a more complex loop 307 308 of coupled ocean-atmosphere interactions is beyond the scope of the present study. Finally, the present 309 analysis confirms the suitability of the international mooring-based OSNAP array for capturing the bulk of interannual and decadal circulation changes driven by air-sea buoyancy exchanges in the whole 310 subpolar area. 311

312

313

#### Acknowledgments

- 314 DD and GM were supported by Ifremer. HM was supported by CNRS. ND was supported by University
- of Brest. DD carried out the data analysis. This work was supported by the french national programme
- 316 LEFE/INSU: OBLADY and SOMOVAR, leaded by DD and GM, respectively. All authors contributed
- 317 to the interpretation, description and presentation of the results. All datasets used herein are available
- 318 online (see Supplementary Information for references).

319

320

#### References

- 321 Bersch, M., Yashayaev, I. and Koltermann, K. P.: Recent changes of the thermohaline circulation in the
- 322 subpolar North Atlantic, Ocean Dyn., 57(3), 223–235, doi:10.1007/s10236-007-0104-7, 2007.
- 323 Bower, A. S., Lozier, M. S., Gary, S. F. and Böning, C. W.: Interior pathways of the North Atlantic
- 324 meridional overturning circulation, Nature, 459(7244), 243–247, doi:10.1038/nature07979, 2009.
- 325 Brambilla, E., Talley, L. D. and Robbins, P. E.: Subpolar mode water in the northeastern Atlantic: 2.
- 326 Origin and transformation, J. Geophys. Res. Ocean., 113(4), 1–16, doi:10.1029/2006JC004063, 2008.
- 327 Bryden, H. L., King, B. A., McCarthy, G. D. and McDonagh, E. L.: Impact of a 30% reduction in Atlantic
- 328 meridional overturning during 2009-2010, Ocean Sci., 10(4), 683-691, doi:10.5194/os-10-683-2014,
- 329 2014.

- 330 Buckley, M. W. and Marshall, J.: Observations, inferences, and mechanisms of the Atlantic Meridional
- 331 Overturning Circulation: A review, Rev. Geophys., 54(1), 5–63, doi:10.1002/2015RG000493, 2016.
- Curry, B., Lee, C. M. and Petrie, B.: Volume, Freshwater, and Heat Fluxes through Davis Strait, 2004–
- 333 05\*, J. Phys. Oceanogr., 41(3), 429–436, doi:10.1175/2010JPO4536.1, 2011.
- Desbruyères, D., Mercier, H. and Thierry, V.: On the mechanisms behind decadal heat content changes
- in the eastern subpolar gyre, Prog. Oceanogr., 132, 262–272, doi:10.1016/j.pocean.2014.02.005, 2015.
- Duchez, A., Frajka-Williams, E., Josey, S. A., Evans, D. G., Grist, J. P., Marsh, R., McCarthy, G. D.,
- 337 Sinha, B., Berry, D. I. and Hirschi, J. J.-M.: Drivers of exceptionally cold North Atlantic Ocean
- temperatures and their link to the 2015 European heat wave, Environ. Res. Lett., 11(7), doi:10.1088/1748-
- 339 9326/11/7/074004, 2016.
- 340 Gourcuff, C., Lherminier, P., Mercier, H. and Le Traon, P. Y.: Altimetry combined with hydrography for
- 341 ocean transport estimation, J. Atmos. Ocean. Technol., 28(10), 1324–1337,
- 342 doi:10.1175/2011JTECHO818.1, 2011.
- 343 Grist, J. P., Marsh, R. and Josey, S. A.: On the Relationship between the North Atlantic Meridional
- 344 Overturning Circulation and the Surface-Forced Overturning Streamfunction, , 4989–5002
- 345 doi:10.1175/2009JCLI2574.1, 2009.
- Grist, J. P., Josey, S. A., Marsh, R., Good, S. A., Coward, A. C., De Cuevas, B. A., Alderson, S. G., New,
- A. L. and Madec, G.: The roles of surface heat flux and ocean heat transport convergence in determining
- 348 Atlantic Ocean temperature variability, Ocean Dyn., 60(4), 771–790, doi:10.1007/s10236-010-0292-4,
- 349 2010.
- 350 Grist, J. P., Josey, S. A., Marsh, R., Kwon, Y. O., Bingham, R. J. and Blaker, A. T.: The surface-forced
- 351 overturning of the North Atlantic: Estimates from modern era atmospheric reanalysis datasets, J. Clim.,
- 352 27(10), 3596–3618, doi:10.1175/JCLI-D-13-00070.1, 2014.
- Hansen, B. and Østerhus, S.: North Atlantic-Nordic Seas exchanges, Prog. Oceanogr., 45(2), 109–208,
- 354 doi:10.1016/S0079-6611(99)00052-X, 2000.
- Hansen, B., Larsen, K. M. H., Hátún, H., Kristiansen, R., Mortensen, E. and Østerhus, S.: Transport of
- volume, heat, and salt towards the Arctic in the Faroe Current 1993-2013, Ocean Sci., 11(5), 743–757,

- 357 doi:10.5194/os-11-743-2015, 2015.
- Josey, S. A., Hirschi, J. J.-M., Sinha, B., Duchez, A., Grist, J. P. and Marsh, R.: The Recent Atlantic Cold
- 359 Anomaly: Causes, Consequences, and Related Phenomena, Ann. Rev. Mar. Sci., 10(1), 475–501,
- 360 doi:10.1146/annurev-marine-121916-063102, 2018.
- 361 Krauss, W.: The North Atlantic Current, J. Geophys. Res., 91(C4), 5061-5074,
- 362 doi:10.1029/JC091iC04p05061, 1986.
- Lherminier, P., Mercier, H., Huck, T., Gourcuff, C., Perez, F. F., Morin, P., Sarafanov, A. and Falina, A.:
- 364 The Atlantic Meridional Overturning Circulation and the subpolar gyre observed at the A25-OVIDE
- 365 section in June 2002 and 2004, Deep. Res. Part I Oceanogr. Res. Pap., 57(11), 1374-1391,
- 366 doi:10.1016/j.dsr.2010.07.009, 2010.
- Li, F., Lozier, M. S. and Johns, W. E.: Calculating the meridional volume, heat, and freshwater transports
- 368 from an observing system in the subpolar North Atlantic: Observing system simulation experiment, J.
- 369 Atmos. Ocean. Technol., 34(7), 1483–1500, doi:10.1175/JTECH-D-16-0247.1, 2017.
- Lozier, M. S., Bacon, S., Bower, A. S., Cunningham, S. A., De Jong, M. F., De Steur, L., De Young, B.,
- Fischer, J., Gary, S. F., Greenan, B. J. W., Heimbmbach, P., Holliday, N. P., Houpert, L., Inall, M. E.,
- Johns, W. E., Johnson, H. L., Karstensen, J., Li, F., Lin, X., Mackay, N., Marshall, D. P., Mercier, H.,
- Myers, P. G., Pickart, R. S., Pillar, H. R., Straneo, F., Thierry, V., Weller, R. A., Williams, R. G., Wilson,
- 374 C., Yang, J., Zhao, J. and Zika, J. D.: Overturning in the Subpolar north Atlantic program: A new
- international ocean observing system, Bull. Am. Meteorol. Soc., 98(4), 737–752, doi:10.1175/BAMS-D-
- 376 16-0057.1, 2017.
- Lozier, M. S., Li, F., Bacon, S., Bahr, F., Bower, A. S., Cunningham, S. A., de Jong, M. F., de Steur, L.,
- de Young, B., Fischer, J., Gary, S. F., Greenan, B. J. W., Holliday, N. P., Houk, A., Houpert, L., Inall, M.
- E., Johns, W. E., Johnson, H. L., Johnson, C., Karstensen, J., Koman, G., Le Bras, I. A., Lin, X., Mackay,
- 380 N., Marshall, D. P., Mercier, H., Oltmanns, M., Pickart, R. S., Ramsey, A. L., Rayner, D., Straneo, F.,
- Thierry, V., Torres, D. J., Williams, R. G., Wilson, C., Yang, J., Yashayaev, I. and Zhao, J.: A sea change
- in our view of overturning in the subpolar North Atlantic, Science (80-.)., 363(6426), 516 LP 521,
- 383 doi:10.1126/science.aau6592, 2019.

- Marsh, R.: Recent variability of the North Atlantic thermohaline circulation inferred from surface heat
- 385 and freshwater fluxes, J. Clim., 13(18), 3239–3260, doi:10.1175/1520-
- 386 0442(2000)013<3239:RVOTNA>2.0.CO;2, 2000.
- Marsh, R., Josey, S. a., Nurser, a. J. G., de Cuevas, B. a. and Coward, a. C.: Water mass transformation
- in the North Atlantic over 1985–2002 simulated in an eddy-permitting model, Ocean Sci., 1, 127–144,
- 389 doi:10.5194/osd-2-63-2005, 2005.
- 390 Maze, G., Forget, G., Buckley, M., Marshall, J. and Cerovecki, I.: Using Transformation and Formation
- 391 Maps to Study the Role of Air-Sea Heat Fluxes in North Atlantic Eighteen Degree Water Formation, J.
- 392 Phys. Oceanogr., 39(8), 1818–1835, doi:10.1175/2009JPO3985.1, 2009.
- 393 Mercier, H., Lherminier, P., Sarafanov, A., Gaillard, F., Daniault, N., Desbruyères, D., Falina, A., Ferron,
- 394 B., Gourcuff, C., Huck, T. and Thierry, V.: Variability of the meridional overturning circulation at the
- 395 Greenland-Portugal OVIDE section from 1993 to 2010, Prog. Oceanogr., 132, 250–261,
- 396 doi:10.1016/j.pocean.2013.11.001, 2015.
- Mertens, C., Rhein, M., Walter, M., Böning, Claus, W., Behrens, E., Kieke, D., Steinfeldt, R. and Stöber,
- 398 U.: Circulation and transports in the Newfoundland Basin, western subpolar North Atlantic, J. Geophys.
- 399 Res. Ocean., 119, 7772–7793, doi:10.1002/2014JC010019.Received, 2014.
- 400 Pickart, R. S. and Spall, M. A.: Impact of Labrador Sea Convection on the North Atlantic Meridional
- 401 Overturning Circulation, J. Phys. Oceanogr., 37(9), 2207–2227, doi:10.1175/JPO3178.1, 2007.
- 402 Piecuch, C. G., Ponte, R. M., Little, C. M., Buckley, M. W. and Fukumori, I.: Mechanisms underlying
- 403 recent decadal changes in subpolar North Atlantic Ocean heat content, J. Geophys. Res. Ocean., (Figure
- 404 1), 1–17, doi:10.1002/2017JC012845, 2017.
- 405 Riser, S. C., Freeland, H. J., Roemmich, D., Wijffels, S., Troisi, A., Belbéoch, M., Gilbert, D., Xu, J.,
- 406 Pouliquen, S., Thresher, A., Le Traon, P. Y., Maze, G., Klein, B., Ravichandran, M., Grant, F., Poulain,
- 407 P. M., Suga, T., Lim, B., Sterl, A., Sutton, P., Mork, K. A., Vélez-Belchí, P. J., Ansorge, I., King, B.,
- 408 Turton, J., Baringer, M. and Jayne, S. R.: Fifteen years of ocean observations with the global Argo array,
- 409 Nat. Clim. Chang., 6(2), 145–153, doi:10.1038/nclimate2872, 2016.
- 410 Robson, J., Ortega, P. and Sutton, R.: A reversal of climatic trends in the North Atlantic since 2005, Nat.

- 411 Geosci., 9(7), 513–517, doi:10.1038/ngeo2727, 2016.
- Robson, J., Polo, I., Hodson, D. L. R., Stevens, D. P. and Shaffrey, L. C.: Decadal prediction of the North
- 413 Atlantic subpolar gyre in the HiGEM high-resolution climate model, Clim. Dyn., 50(3), 1–17,
- 414 doi:10.1007/s00382-017-3649-2, 2017.
- 415 Sarafanov, A., Falina, A., Mercier, H., Sokov, A., Lherminier, P., Gourcuff, C., Gladyshev, S., Gaillard,
- 416 F. and Daniault, N.: Mean full-depth summer circulation and transports at the northern periphery of the
- 417 Atlantic Ocean in the 2000s, J. Geophys. Res. Ocean., 117(1), 1–22, doi:10.1029/2011JC007572, 2012.
- 418 Toole, J. M., Andres, M., Le Bras, I. A., Joyce, T. M. and McCartney, M. S.: Moored observations of the
- Deep Western Boundary Current in the NW Atlantic: 2004-2014, J. Geophys. Res. Ocean., 1-18,
- 420 doi:10.1002/2017JC012984, 2017.
- 421 Walin, G.: On the relation between sea-surface heat flow and thermal circulation in the ocean, Tellus,
- 422 34(2), 187–195, doi:10.3402/tellusa.v34i2.10801, 1982.
- 423 Wunsch, C.: What is the thermohaline circulation?, Science (80-.)., 298(November), 1179–81,
- 424 doi:10.1126/science.1079329, 2002.
- 425 Yashayaev, I. and Loder, J. W.: Further intensification of deep convection in the Labrador Sea in 2016,
- 426 Geophys. Res. Lett., 44(3), 1429–1438, doi:10.1002/2016GL071668, 2017.
- 427 Zantopp, R., Fischer, J., Visbeck, M. and Karstensen, J.: From interannual to decadal: 17 years of
- 428 boundary current transports at the exit of the Labrador Sea, J. Geophys. Res. Ocean., 1–25,
- 429 doi:10.1002/2016JC012271.Received, 2017.