Dear Dr. Chapman,

thank you for you positive response. We added a paragraph with the suggested statement to the end of section 2.1 (see lines 125 – 147 in this file). Changes made are marked in yellow in the manuscript version with "tracked changes". We would like to thank you very much for handling this manuscript.

Kind regards,
Lennart Bach

CO_2 effects on diatoms: A Synthesis of more than a decade of ocean acidification experiments with natural communities

Lennart Thomas Bach[1,2*], Jan Taucher[1]

[1]Biological Oceanography, GEOMAR, Helmholtz Centre for Ocean Research, Kiel, Germany

[2]Institute for Marine and Antarctic Studies, University of Tasmania, Hobart, Tasmania, Australia

*Corresponding Author lennart.bach@utas.edu.au

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Short summary

Diatoms are a group of phytoplankton species responsible for ~20% of primary production on Earth. Ocean acidification (OA) could influence diatoms but the key

question is if they become more or less important within marine food webs. Here we synthesize OA experiments with natural communities and found: Diatoms are more likely to be positively than negatively affected by high CO_2 and larger species may profit in particular. This has important implications for ecosystem services diatoms provide.

Abstract

Diatoms account for up to 50% of marine primary production and are considered to be key players in the biological carbon pump. Ocean acidification (OA) is expected to affect diatoms primarily by changing the availability of CO_2 as a substrate for photosynthesis or through altered ecological interactions within the marine food web. Yet, there is little consensus how entire diatom communities will respond to increasing CO_2. To address this question, we synthesized the literature from over a decade of OA-experiments with natural diatom communities to uncover: 1) if and how bulk diatom communities respond to elevated CO_2 with respect to abundance or biomass; 2) if shifts within the diatom communities could be expected and how they are expressed with respect to taxonomic affiliation and size structure. We found that bulk diatom communities responded to high CO_2 in ~60 % of the experiments and in this case more often positively (56 %) than negatively (32 %; 12 % did not report the direction of change). Shifts among different diatom species were observed in 65 % of the experiments. Our synthesis supports the hypothesis that high CO_2 particularly favors larger species as 12 out of 13 experiments which investigated cell size found a shift towards larger species. Unraveling winners and losers with respect to taxonomic affiliation was difficult due to a limited database. The OA-induced changes in diatom competitiveness and assemblage structure may alter key ecosystem services due to the pivotal role diatoms play in trophic transfer and biogeochemical cycles.

1. Introduction

The global net primary production (NPP) of all terrestrial and marine autotrophs amounts to approximately 105 petagrams (Pg) of carbon per year (Field et al., 1998). Marine diatoms, a taxonomically diverse group of cosmopolitan phytoplankton, were estimated to contribute up to 25 % (26 Pg C $year^{-1}$) to this number, which is more than the annual primary production in any biome on land (Field et al., 1998; Nelson et al., 1995; Tréguer and De La Rocha, 2013). Thus, diatoms are likely the most important single taxonomic group of primary producers on Earth and any change in their prevalence relative to other phytoplankton taxa could profoundly alter marine food web structures and thereby affect ecosystem services such as fisheries or the sequestration of CO_2 in the deep ocean (Armbrust, 2009; Tréguer et al., 2018).

The most conspicuous feature of diatoms is the formation of a silica shell, which is believed to primarily serve as protection against grazers (Hamm and Smetacek, 2007; Pančić and Kiørboe, 2018). Since the formation of this shell requires dissolved silicate, diatoms are often limited by silicon as a nutrient rather than by nitrogen or phosphate (Brzezinski and Nelson, 1996). However, when dissolved silicate is available, diatoms benefit from their high nutrient uptake and growth rates, allowing them to outcompete other phytoplankton and form intense blooms in many ocean regions (Sarthou et al., 2005).

Diatoms display an enormous species richness, with recent estimates accounting for so far undiscovered diatoms (including freshwater) being in the range of 20,000 – 100,000 species (Guiry, 2012; Mann and Vanormelingen, 2013). Sournia et al. (1991) derive a number between 1400 – 1800 of described marine diatoms based on microscopy while *Tara* Oceans reported ~4700 operational taxonomic units from genetic samples

78 distributed over all major oceans except the North Atlantic and North Pacific (Malviya et
79 al., 2016). Known diatom taxa span a size range of several orders of magnitude (<5 µm
80 up to a few mm) with a wide range of morphologies and life strategies, e.g. single cells
81 and cell chains, pelagic and benthic habitats (Armbrust, 2009; Mann and Vanormelingen,
82 2013; Sournia et al., 1991). Accordingly, they should not be treated as one functional
83 group, but rather as a variety of subgroups occupying different niches.

84 It is well recognized that the global importance of diatoms as well as their diversity in
85 morphology and life style is tightly linked to the functioning of pelagic food webs and
86 elemental cycling in the oceans. For example, iron enrichment experiments in the
87 Southern Ocean found that a shift in diatom community composition from thick- to thin-
88 shelled species ("persistence strategy" vs. "boom-and-bust strategy") can enhance carbon
89 and alter nutrient export via sinking particles (Assmy et al., 2013; Smetacek et al., 2012).
90 This may not only affect element fluxes locally but enhance nutrient retention within the
91 Southern Ocean and reduce productivity in the north which underlines how important
92 diatom community shifts can be on a global scale (Boyd, 2013; Primeau et al., 2013;
93 Sarmiento et al., 2004). Likewise, the cell size of diatoms can play an important role in
94 transferring energy to higher trophic levels, as the dominance of larger species is
95 generally considered to reduce the length of the food chain and lead to higher trophic
96 transfer efficiency (Sommer et al., 2002). Consequently, understanding impacts of global
97 change on diatom community composition is crucial for assessing the sensitivity of
98 biogeochemical cycles and ecosystem services in the world oceans.

99 It has become evident that the sensitivity of diatoms to increasing pCO_2 is highly variable,
100 likely being related to specific traits such as cell size or the carbon fixation pathway, as
101 well as interactions with other environmental factors such as nutrient stress, temperature
102 or light (Gao et al., 2012; Hoppe et al., 2013; Wu et al., 2014). However, it is still rather

unclear how these species-specific differences in CO_2 sensitivities manifest themselves on the level of diatom communities. This knowledge gap motivated us to compile the presently available experimental data in order to reveal common responses of diatom communities to high CO_2 and thereby assess potential scenarios of shifts in diatom community composition under ocean acidification.

2. Literature investigation

2.1. Approach

Our original intention was to conduct a classical meta-analysis, which would have yielded the benefit of a quantitative measure of diatom responses to OA, expressed as an overall effect size (i.e. combined magnitude) such as the response ratio. However, our literature analysis revealed a large variability in experimental pCO_2 ranges as well as measured response variables, which cannot be directly compared among each other (e.g. microscopic cell counts, pigment concentrations, genetic tools). These limitations impede data aggregation as required for a classical meta-analysis. Furthermore, experimental setups differed widely in terms of other environmental factors such as temperature, light, and nutrient concentrations, all of which are known to modulate potential responses to pCO_2 (Boyd et al., 2018), thereby further complicating data aggregation for meta-analysis. Therefore, we chose an alternative, semi-quantitative approach where diatom responses to increasing CO_2 are grouped in categories (see section 2.2) and also allows to account for differences in experimental setups, e.g. with respect to container volume (see section 2.3). While this approach excludes the determination of effect size, it provides an unbiased insight on the direction of change of potential CO_2 effects.

Before going into the details of data compilation we want to emphasize once more that the motivation for this study was not to investigate the physiological response of diatoms

to OA. Such meta analyses or reviews have already been made (Dutkiewicz et al., 2015; Gao and Campbell, 2014). Instead our goal was to summarize how diatoms respond to OA in their natural habitat. More generally, experiments with ecological communities (as compiled in our study) do not so much aim for a mechanistic understanding of a certain process (as e.g. in physiological experiments) but rather assess the general sensitivity of more natural communities to environmental drivers. Therefore, it is important to have a realistic setup because the net response of any player in the food web is composed of a direct physiological response to CO_2 and by CO_2-induced alterations of interactions with other species. From that point of view it is desirable to include all important ecosystem components, because when trophic cascades are represented incompletely then the observed response in an experiment may not reflect the response that would occur in nature which is what we are ultimately interested in (Carpenter, 1996). Clearly, investigating OA effects on diatoms or any other group in complex communities has the disadvantage that the actual cause for an observed response can hardly ever be determined with high certainty (Bach et al., 2017, 2019). However, experiments compiled herein investigated the development of initially similar plankton communities over time with the only difference being carbonate chemistry conditions between control and the treatments. Thus, we can at least be sure that the differences in diatom abundance or community composition between control and treatment (which is the focus of our study) is caused by simulated OA, even though the underlying mechanisms cannot be pinned down with certainty.

2.2. Data compilation

We explored the response of diatom assemblages to high CO_2 (low pH) by searching the literature for relevant results with Google Scholar (December 15, 2017) using the following search query: “diatom” OR “Bacillariophyceae” AND "ocean acidification"

OR "high CO_2" or "carbon dioxide" OR "elevated CO_2" OR "elevated carbon dioxide" OR "low pH" OR "decreased pH". The first 200 results were inspected and considered to be relevant when they were published in peer-reviewed journals, contained a description of the relevant methodological details, a statistical analysis or at least a transparent description of variance and uncertainties, and tested CO_2 effects on natural plankton assemblages (artificially composed communities were not considered). We then carefully checked the cited literature in these relevant studies to uncover other studies that were missed by the initial search. Furthermore, we checked the "Ocean Acidification news stream provided by the Ocean Acidification International Coordination Centre" under the tag "phytoplankton" (https://news-oceanacidification-icc.org/tag/phytoplankton/) for relevant updates since December 2017 (last check on January 16, 2019).

There were two response variables of interest for the literature compilation:

1) The response of the "bulk diatom community" to high CO_2. For this we checked if the abundance of diatoms, the biomass of diatoms, or the relative portion of diatoms within the overall phytoplankton assemblage increased or decreased under high CO_2 relative to the control. We distinguished between "positive", "negative", and "no effect" following the statistical results provided in the individual references. When the CO_2 effect on the bulk community was derived from abundance data we also checked if there are indications for a concomitant shift in the biomass distribution among species. This is relevant because, for example, an increase in bulk abundance could coincide with a decrease in bulk biomass when the species driving the abundances is smaller. We found no indications for conflicting cases but acknowledge that not every reference provided sufficient data on morphological details to fully exclude this scenario. Furthermore, we emphasize that CO_2 can also shift the temporal occurrence of a diatom response (Bach et al., 2017). For example, a diatom bloom could occur earlier in a high CO_2 treatment than

177 in the control but with a similar bloom amplitude (Donahue et al., 2019). In this case we
178 assigned a "positive" response because an earlier bloom occurrence mirrors a higher net
179 growth rate under elevated CO_2.

180 2) The CO_2-dependent species shifts within the diatom community with respect to
181 taxonomic composition and/or size structure. Unfortunately, cell size of the species was
182 not reported for all experiments. Thus, we distinguished between "no shifts", "shifts
183 between species with unspecified size", as well as "shifts towards larger or smaller
184 species" when this information was provided. Furthermore, we noted the winners and
185 losers within the diatom communities when these were reported (on the genus level).

186 In case the data was taken from factorial multiple stressor experiments (e.g. CO_2 x
187 temperature), we considered only the control conditions with respect to the stressors other
188 than CO_2 (e.g. at control temperature). Furthermore, we extracted various metadata from
189 each study largely following the literature analysis of Schulz et al. (2017). All bulk diatom
190 responses, community shifts, and metadata is compiled/described in Table 1 and most of
191 it is self-explanatory (e.g. incubation temperature). The coordinates from where the
192 investigated plankton communities originate are given in Table 1 and illustrated in Figure
193 2. Their habitats were categorized according to water depth, salinity, or life style in the
194 case of benthic communities: "oceanic" = water depth > 200 m (unless the habitat lies
195 within a fjord or fjord-like strait), S > 30; "coastal" = water depth < 200 m, S > 30;
196 "estuarine" = water depth < 200 m, S < 30; "benthic" = benthic communities (diatoms
197 growing on plates) were investigated. We reconstructed the water depth in case it was not
198 provided in the paper using Google Earth Pro (version 7.3.2.5495). The coordinates
199 provided in some of the experiments conducted in land-based facilities were imprecise
200 and marked positions on land. In this case the habitats were set to coastal or estuarine
201 depending on salinity. If salinity was not given we checked the location on Google Earth

for potential fresh water sources and also checked the text for more cryptic indications (e.g. “euryhaline” in a lagoon were strong indications for an estuarine habitat). The methods with which responses of the bulk diatom communities to high OA were determined varied greatly among studies and included light microscopy (LM), pigment analyses (PA), flow cytometry (FC), genetic tools (PCR), and biogenic silica (BSi) analyses (Table 1).

2.3. Accounting for different experimental setups to balance the influence of individual studies on the outcome of the literature analysis

The most realistic OA experiment would be one where all aspects of the natural habitat are represented correctly. Such setups are possible for benthic communities which can be sampled *in situ* along a natural CO_2 gradient at volcanic CO_2 seeps (Fabricius et al., 2011; Hall-Spencer et al., 2008; Johnson et al., 2011). However, pelagic communities are advected with currents so that it is very difficult to simulate OA in open waters. Thus, OA experiments where pelagic communities are exposed to increasing levels of CO_2 were so far always performed in closed containers even though it is well known that confinement causes experimental artefacts (Calvo-Díaz et al., 2011; Ferguson et al., 1984; Guangao, 1990; Menzel and Case, 1977). The degree by which confinement causes experimental artefacts will differ from study to study depending on factors such as the incubation volume, the length of incubation, or the selective removal of certain size classes from the incubation (Carpenter, 1996; Duarte et al., 1997; Nogueira et al., 2014). In our literature synthesis we had to deal with a large variety of experimental setups and there are very likely differences how well a given setup represents the natural environment. Therefore, we aimed to develop a metric that allows us to estimate “how well the natural system (which we are ultimately interested in) is represented by the experimental setup”. This metric – termed the “relative degree of realism (RDR)” – was

227 used to balance the influence of individual studies on the final outcomes of the literature
228 analysis. Most certainly, we do not mean to devalue any studies but think that the highly
229 different scales of experiments, ranging from 0.8 L lab incubations to 75 m^3 *in situ*
230 mesocosms, should not be ignored when evaluating the literature. In the following we
231 will first derive the equation for the RDR and introduce the underlying assumptions.
232 Afterwards we describe aspects that were considered while conceptualizing the RDR.

233 The incubation volume in the studies considered herein ranged from bottle experiments
234 to *in situ* mesocosm studies with considerably larger incubation volumes. Smaller
235 differences in incubation volumes (e.g. 0.5 vs. 2 L) were shown to have no, or a minor,
236 influence on physiological rates (Fogg and Calvario-Martinez, 1989; Hammes et al.,
237 2010; Nogueira et al., 2014; Robinson and Williams, 2005). However, they can influence
238 food web composition (Calvo-Díaz et al., 2011; Spencer and Warren, 1996), e.g. by
239 unrepresentatively including certain organism groups such as highly motile
240 mesozooplankton. Larger differences of incubation volumes (e.g. 10 vs. 10000 L) are
241 considered to have a major influence on the enclosed communities, with the larger volume
242 generally being more representative of natural processes (Carpenter, 1996; Duarte et al.,
243 1997; Sarnelle, 1997). Therefore, our first assumption to conceptualize the RDR was that
244 larger incubation volumes represent nature generally better than smaller ones.

245 Plankton communities were pre-filtered in many experiments to exclude larger and often
246 patchily distributed organisms (e.g. copepods). This is a valid procedure to reduce noise
247 and to increase the likelihood to detect CO_2 effects but it also influences the development
248 of plankton communities since the selective removal of certain size classes can modify
249 trophic cascades within the food web (Ferguson et al., 1984; Nogueira et al., 2014). For
250 example, Nogueira et al. (2014) compared plankton successions of pre-filtered (100 µm)
251 and unfiltered communities and found that the removal of larger grazers and diatoms gave

252 room for green algae and picophytoplankton to grow. Such manipulations make the
253 experiment less representative for a natural food web which brought us to the second
254 assumption for the RDR: The smaller the mesh size during the pre-filtration treatment,
255 the less complete and thus the less realistic is the pelagic food web.

256 To parameterize the two abovementioned assumptions, we first converted the volume
257 information provided in each experiment into a volume-to-surface ratio (V/S). The
258 underlying thought is that V increases with the third power to the surface area of the
259 incubator and is indicative for the relation of open space to hard surfaces. Therefore, we
260 first converted V into a radius (r) assuming spherical shape:

261 $$r = \sqrt[3]{\frac{3}{4}\frac{V}{\pi}} \qquad (1).$$

262 The surface (S) of the spherical volume was calculated as:

263 $$S = 4\pi r^2 \qquad (2)$$

264 The assumption of spherical shape was necessary because it allowed us to calculate V/S
265 from only knowing V which is usually the only parameter provided with respect to
266 container characteristics. We are aware that this is a simplification because the majority
267 of containers used in experiments will likely have had cylindrical shape. However, the
268 conversion from volume to surface assuming cylindrical shape would have required
269 knowledge of two dimensions (radius and height of the cylinder). Although shape can
270 influence processes within the container (Pan et al., 2015), it is a less important factor to
271 consider in our study because sensitivity calculations assuming reasonable cylinder
272 dimensions showed that the V/S differences due to container shape will be small
273 compared to the V/S differences due to the range of container volumes compared here.

The influence of pre-filtration treatments of the investigated plankton community is implemented by multiplying the V/S with the cube root of the applied mesh size (d_{mesh} in µm) so that the RDR is defined as:

$$RDR = \frac{V}{S}\sqrt[3]{d_{mesh}} \quad (3).$$

Thus, as for V/S, the influence of d_{mesh} on RDR does not increase linearly but becomes less influential with increasing d_{mesh}. The rationale for the non-linear increase is that incubations will still have an increasing bias even if they do not have any pre-filtration treatment due to generally increasing organism motility with size. For example, when collecting a plankton community with a Niskin bottle, more motile organisms can escape from the approaching sampler so that the food web composure is still affected even without subsequent pre-filtration. For this reason, we also capped the maximum d_{mesh} to 10,000 µm when there was no pre-filtration treatment applied since none of the studies included significantly larger organisms. The rationale for calculating the cube root of d_{mesh} was that in this case the influence of V/S and d_{mesh} on RDR becomes roughly similar. Figure 1 illustrates the change of RDR as a function of V and d_{mesh}. High RDRs are calculated for large-scale *in situ* mesocosm studies (~50 – 190) while bottle experiments yield RDRs between ~1 – 12.

The key pre-requisite for an experimental parameter to be included in the RDR equation (eq. 3) was that it is reported in all studies. Many parameters that we would have liked to use for the RDR are either insufficiently reported (e.g. the light environment) or not provided quantitatively at all (e.g. turbulence). We therefore had to work with very basic properties related to the experimental setup rather than to the experimental conditions.

A particularly critical aspect of the RDR we had to deal with was the duration of the

297 experiments (Time). Time is reliably reported in all studies and therefore principally
298 suitable for the RDR. Our first thoughts were that a realistic community experiment
299 should be long enough to cover relevant ecological processes such as competitive
300 exclusion and therefore also parameterized Time in the first versions of the RDR
301 equation. However, we decided to not account for it in the final version because the
302 factors that define the optimal duration of an experiment are poorly constrained. For
303 example, a 1 day experiment in a 10 L container could indeed miss important CO_2 effects
304 caused by food web interactions. On the other hand, a 30 days experiment in the same
305 container could reveal such indirect effects but at the same time be associated with
306 profound bottle effects and make the study unrepresentative for simulated natural habitat.
307 Thus, too long and too short are both problematic and the optimum is hard to find. One
308 such attempt to find the optimum Time was made by Duarte et al. (1997) who analyzed
309 the plankton ecology literature between 1990 – 1995. By correlating the experimental
310 duration with the incubation volume of published experiments they provided an optimal
311 length for any given volume. However, as noted by Duarte et al. (1997), their correlation
312 is based on publication success and therefore rather reflects common practice in plankton
313 ecology experiments and not necessarily a mechanistic understanding of bottle effects.
314 Thus, as there is no solid ground for a parameterization of Time we ultimately decided to
315 not consider it for the RDR.

316 Finally, we want to point out (and explicitly acknowledge) that the RDR approach to
317 balance the influence of studies on the final outcome of the literature analysis is of course
318 not the one perfect solution and most likely incomplete (see above). However, balancing
319 a literature analysis with the RDR score may still be an improvement relative to the other
320 case where each experiment is treated exactly equally despite huge differences in the
321 experimental setup. Nevertheless, to account for both views (i.e. the RDR is useless vs.

the RDR is useful) we will present the outcome of our literature analysis in two different ways throughout the paper: 1) by simply counting the number of outcomes (N) and adding them to yield a cumulative ∑N score (N-based approach; left columns in Figs. 3 and 4); 2) by adding the RDR score of the experiments with a certain outcome to yield a cumulative ∑RDR score (RDR-based approach; right columns in Figs. 3 and 4).

3. Results

We found 54 relevant publications on CO_2 experiments with natural diatom assemblages. Some publications included more than one experiment so that 69 experiments are considered hereafter (Table 1). Most were done with plankton communities from coastal (46 %) and oceanic (28%) environments. Estuarine and benthic communities were investigated in 16 % and 6% of the studies, respectively. 4 % of the studies did not provide coordinates where the samples were taken although the region was reported (Table 1; Fig. 2).

Among the 69 experiments, 23 (33 %, ∑RDR = 595) revealed a positive influence of CO_2 on the "bulk diatom community" (see section 2.2), while 13 (19 %, ∑RDR = 266) revealed a negative one. 5 experiments (7 %, ∑RDR = 21) found a CO_2 effect but did not specify whether it is a positive or negative one. 28 experiments (41 %, ∑RDR = 728) found no effect (Fig. 3A).

We also checked if the pCO_2 range tested in the experiments had an influence on whether the bulk diatom community responded to changing carbonate chemistry. This was done because we expected the likelihood to find an OA response to be higher when the pCO_2 difference between treatments and controls is larger. Thus, we calculated the investigated pCO_2 range (highest pCO_2 – lowest pCO_2) for each experiment and categorized the range into "small" (≤300 µatm), "medium (300 – 600 µatm), and "large" (≥600 µatm). Among

346 the 41 experiments that found a CO_2 effect on the bulk diatom community (positive,
347 negative, and unreported direction of change), 4 (10 %, ∑RDR = 106) found it within the
348 low range, 12 (32 %, ∑RDR = 123) in the medium range, and 25 experiments (68 %,
349 ∑RDR = 653) in the high range. Among the 28 experiments that found no CO_2 on the
350 bulk diatom community, 3 (12 %, ∑RDR = 12) tested within the low range, 8 (32 %,
351 ∑RDR = 230) within the medium range, and 17 experiments (68 %, ∑RDR = 487) within
352 the high range. According to this analysis, the likelihood of detecting a CO_2 effect on the
353 bulk diatom community does not depend on the investigated pCO_2 range.

354 CO_2-dependent shifts in diatom species composition were investigated with light
355 microscopy except for Endo et al. (2015) who used molecular tools. Species shifts were
356 investigated in a subset of 40 of the 69 experiments (Fig. 3B). Within this subset of 40
357 studies, 12 (30 %, ∑RDR = 265) found a shift towards larger diatom species under high
358 CO_2, 1 (2.5 %, ∑RDR = 10) found a shift towards smaller diatom species, and 13 (32.5
359 %, ∑RDR = 67) found no CO_2 effect on diatom community composition. 14 studies (35
360 %, ∑RDR = 141) reported a CO_2-dependent shift but did not further specify any changes
361 in the size-class distribution (Fig. 3C).

362 We also tested if the bulk diatom response to OA in coastal, estuarine, and benthic
363 environments was different from the bulk response in oceanic environments. The
364 rationale for this comparison was that carbonate chemistry conditions in oceanic
365 environments may generally be more stable than in the often more productive coastal,
366 estuarine, and benthic environments (Duarte et al., 2013; Hofmann et al., 2011).
367 Therefore, diatoms from oceanic environments may be more sensitive to OA (Duarte et
368 al., 2013). We found 47 experiments with coastal + estuarine + benthic diatom
369 communities. Within this subset, 15 experiments (32 %, ∑RDR = 557) revealed a positive

influence of CO_2 on the "bulk diatom community" while 6 (13 %, ∑RDR = 244) revealed a negative one. 4 experiments (9 %, ∑RDR = 19) found a CO_2 effect but did not specify whether it is a positive or negative one. 22 experiments (47 %, ∑RDR = 715) found no effect (Fig. 4A). In contrast, we found 19 experiments with oceanic communities. Within this subset, 5 experiments (26 %, ∑RDR = 17) revealed a positive influence of CO_2 on the "bulk diatom community" while 7 (37 %, ∑RDR = 21) revealed a negative one. 1 experiment (5 %, ∑RDR = 2) found a CO_2 effect but did not specify whether it is a positive or negative one. 6 experiments (32 %, ∑RDR = 13) found no effect (Fig. 4B). Overall, we found a bulk diatom response to OA (positive, negative, and unreported direction of change) in 53 % of the experiments in coastal + estuarine + benthic environments as opposed to 68 % in oceanic environments. Thus, an OA response of the bulk diatom community was more frequently observed in oceanic environments which was mostly due to the higher frequency of negative OA responses (Fig. 4).

4. Discussion

Numerous physiological studies have shown that diatom growth and metabolic rates can be affected by seawater CO_2 concentrations, and that these responses vary widely among different species (Gao and Campbell, 2014). Such inter-specific differences in pCO_2 sensitivity are an important feature as this could alter the composition of diatom assemblages in a changing ocean. In this regard, it is interesting to note that paleolimnologists have long been using diatom species composition as paleo-proxy to reconstruct lake pH (Battarbee et al., 2010). Hence, there is ample evidence that high CO_2 conditions have the potential to change the diatom species composition.

Indeed, our analysis revealed that CO_2-induced changes in diatom community composition occurred in 27 out of 40 (i.e. 68 %) of community-level experiments which

394 investigated species composition (Fig. 3C). This is certainly a conservative outcome
395 because many studies have only looked at dominant species. In fact, one of the few
396 experiments that investigated the diatom assemblage with higher taxonomical resolution
397 found CO_2 effects also on subdominant species (Sommer et al., 2015) which may have
398 been overlooked in many other experiments.

399 The comparison of OA effects in different environments revealed that bulk diatom
400 communities responded more frequently to OA in oceanic than in coastal + estuarine +
401 benthic environments. Especially negative effects of OA were more frequent in oceanic
402 environments (Fig. 4). This result is not particularly surprising since communities found
403 near coasts may be adapted to larger carbonate chemistry variability (Duarte et al., 2013)
404 and therefore be better suited to deal with OA. It should be kept in mind, however, that
405 this comparison is based on "only" 19 oceanic experiments in contrast to 47 coastal +
406 estuarine + benthic experiments. Furthermore, our habitat characterization depends on
407 certain criteria (mainly water depth and salinity; see section 2.2) and these may be
408 insufficient for our habitat comparison. For example, plankton communities from near
409 oceanic islands such as the Azores were labelled as "coastal" although they may have
410 been moving within oceanic currents and just happened to be close to shore when they
411 were collected. Accordingly, this type of habitat comparison would be more robust if the
412 community had been characterized based on the prevailing carbonate chemistry they are
413 usually exposed to. Unfortunately, information on the background carbonate chemistry is
414 hardly ever provided.

415 **4.1 CO_2 effects on diatom assemblages originating from (direct) physiological**
416 **responses to high CO_2**

417 Most studies that found effects of pCO_2 on diatom communities related these changes to

418 CO_2 fertilization of photosynthesis. Concentrations of CO_2 in the surface ocean are
419 relatively low compared to other forms of inorganic carbon, especially bicarbonate ion
420 (HCO_3^-) (Zeebe and Wolf-Gladrow, 2001). However, RubisCO, the primary
421 carboxylating enzyme used in photosynthesis, is restricted to CO_2 for carbon fixation and
422 has a relatively low affinity for CO_2 compared to O_2 (Falkowski and Raven, 2007).
423 Therefore, diatoms (like many other phytoplankton species) operate a carbon
424 concentrating mechanism (CCM) to enhance their CO_2 concentration at the site of
425 fixation relative to external concentrations (e.g. by converting HCO_3^- to CO_2) and thereby
426 establish higher rates of carbon fixation than what would be possible when only
427 depending on diffusive CO_2 uptake (Giordano et al., 2005). It is well known that the
428 proportion of CO_2 uptake vs. HCO_3^- uptake for photosynthesis varies largely among
429 diatoms (Burkhardt et al., 2001; Rost et al., 2003; Trimborn et al., 2008) and is
430 theoretically also a function of cell size (Flynn et al., 2012; Wolf-Gladrow and Riebesell,
431 1997). Accordingly, increasing seawater pCO_2 may increase the proportion of diffusive
432 carbon uptake and/or lower the energy and resource requirements for CCM operation
433 (Raven et al., 2011). From a physiological point of view, these mechanisms could allow
434 for increased rates of photosynthesis and cell division.

435 So how do these theoretical considerations align with (A) the variable and species-
436 specific physiological responses of diatoms to increasing CO_2 (Dutkiewicz et al., 2015),
437 and (B) the results from community-level experiments compiled in this study? Regarding
438 the variability of physiological responses, progress has recently been made by Wu et al.
439 (2014) who experimentally demonstrated a positive relationship between cell volume and
440 the magnitude of the CO_2 fertilization effect on diatom growth rates. Their findings agree
441 well with theoretical considerations, which predict that high CO_2 is particularly beneficial
442 for carbon acquisition by larger species as they are more restricted by diffusion gradients

443 due to lower surface-to-volume ratios than smaller cells (Flynn et al., 2012; Wolf-
444 Gladrow and Riebesell, 1997). The outcome of our literature analysis supports this
445 allometric concept (Fig. 3, Table 2). Twelve out of 13 experiments in which cell size was
446 taken into account found a shift towards larger species. This is reflected in the ∑RDR
447 score of 265 which is ~25 times higher than the opposite result (i.e. CO_2-induced shifts
448 towards smaller diatoms, Fig. 3C). An allometric scaling of CO_2 sensitivity is particularly
449 useful for modelling since cell size is a universal trait which is relatively easy to measure
450 and therefore frequently available (Ward et al., 2012). Accordingly, it may lead to
451 significant improvements of ecological and/or biogeochemical model projections under
452 CO_2 forcing when more than one size class for diatoms is considered.

453 However, although the Wu et al. (2014) allometric approach constitutes a solid starting
454 point to help understanding the variable responses of different diatom species, it probably
455 also still needs some further refinements. For example, central components of CCMs
456 seem to be adapted to diatom cell sizes, thereby potentially alleviating a strict cell size
457 dependency of CO_2 limitation (Shen and Hopkinson, 2015). Furthermore, size
458 dependency alone cannot account for taxon-specific differences in the mode of carbon
459 acquisition (diffusive uptake of CO_2 vs. CCM-supported uptake of HCO_3^-) and how this
460 will affect the competitive ability of species under increasing CO_2. OA will lead to much
461 larger changes in dissolved CO_2 than in HCO_3^-. Thus, species that rely to a larger extent
462 on a resource-intensive CCM may benefit more from increasing pCO_2 on a cellular level,
463 as they could increase the proportion of diffusive CO_2 uptake. However, it is also possible
464 that the same species would be disadvantaged on the community-level, because their
465 niche, i.e. being competitive at lower CO_2 due to an efficient CCM, is diminished under
466 high CO_2 conditions (a scenario that is neglected in the physiological literature). Which
467 of the scenarios occurs in nature would also depend on how flexible species are in terms

of switching carbon acquisition modes, as well as resource allocation. In this regard, it is noteworthy that only few physiological studies on OA effects have taken into account the role of changing nutrient concentrations or even a transition to nutrient limitation. The available experimental evidence suggests that increasing pCO_2 may reduce cellular nutrient requirements for CCM operations and therefore free resources for elevated maximum diatom population densities, particularly when running into nutrient limitation (Taucher et al., 2015). Unfortunately, however, the relevance of this mechanism has so far only been investigated in monoclonal laboratory experiments but not on the community-level.

These considerations illustrate that cell size is an important factor, but is not sufficient to predict physiological or even community-level of diatoms to OA. Moreover, the allometric concept as well as the additional mechanisms described above generally presume positive effects of CO_2-fertilization, thus yielding no first order explanations for observed negative responses of diatoms to changing carbonate chemistry. Obviously, increasing CO_2 concentrations are accompanied by increasing proton (H^+) concentrations under ocean acidification. High H^+ concentrations may reduce key metabolic rates above certain thresholds and outweigh the positive influence of CO_2 fertilization as has been observed in coccolithophores (Bach et al., 2011, 2015; Kottmeier et al., 2016).

Another pathway by which ocean acidification may alter diatom communities is the pH effect on silicification and silica dissolution. Low seawater pH should theoretically facilitate silicification as the precipitation of opal occurs in a cellular compartment with low pH conditions (pH ~5) (Martin-Jézéquel et al., 2000; Vrieling et al., 1999). At the same time, a lower pH should reduce chemical dissolution rates of the SiO_2 frustule (Loucaides et al., 2012). While experimental evidence on this topic is still scarce and partly controversial (Hervé et al., 2012; Mejía et al., 2013; Milligan et al., 2004), it is not

493 unlikely that OA-induced changes in the formation and dissolution of biogenic silica may
494 alter the strength of the frustule and therefore the palatability of diatoms to zooplankton
495 grazers (Friedrichs et al., 2013; Hamm et al., 2003; Liu et al., 2016; Wilken et al., 2011).
496 As for the other physiological effects e.g. on carbon fixation, it is likely that OA impacts
497 on silicification will vary among different diatoms species e.g. according to their species-
498 specific intrinsic buffering capacity, thereby leading to further taxonomic shifts within
499 diatom communities.

500 The response of diatoms to increasing pCO_2 in natural environments will be further
501 modified by multiple other environmental drivers changing simultaneously. Climate
502 change is expected to elevate ocean temperature, as well as also irradiance and nutrient
503 availability via changes in stratification. Physiological experiments have shown that
504 elevated pCO_2 may have beneficial effects under low and moderate irradiance, but this
505 effect may reverse under high light conditions due to enhanced photoinhibition (Gao
506 2012). Analogously, warming may have positive or negative effects on photosynthesis
507 and metabolism in general, depending on the thermal optima of the respective species
508 (Boyd et al., 2018). Altogether, these multiple additional drivers will also affect diatom
509 communities, leading to shifts in their taxonomic composition and size structure, which
510 will interact with the impacts of OA.

511 **4.2 Indirect CO_2 effects on diatom assemblages through food web interactions**

512 Diatom community responses can not only originate from a direct CO_2 effect on their
513 physiology but also be caused indirectly through CO_2 responses on other components of
514 the food web (Bach et al., 2017; Gaylord et al., 2015). For example, if a grazer of a diatom
515 species is negatively affected by OA then this may benefit the prey and indirectly promote
516 its abundance. Direct OA impacts on zooplankton communities are usually assumed to

play a minor role, although there is some experimental evidence that lower pH may have physiological effects at least on some sensitive species or developmental stages (Cripps et al., 2016; Thor and Dupont, 2015; Thor and Oliva, 2015). Nevertheless, much of the currently available empirical evidence indicates that zooplankton communities are affected by OA rather via bottom-up effects, e.g. via changes in primary production or taxonomic composition of the phytoplankton community (Alvarez-Fernandez et al., 2018; Meunier et al., 2017; Sswat et al., n.d.). However, bottom-up effects on zooplankton biomass, size structure, or species composition may in turn trigger feedbacks on diatom communities, thereby leading to a feedback loop that may reinforce until a new steady state is reached. Such considerations illustrate that also second or third order effects need to be considered when assessing OA effects on the level of ecological communities. Accounting for such indirect effects requires a holistic approach considering all key players in of the food web (something that is beyond the scope of this study). Therefore, interpretations about what the observed responses could mean for entire plankton food webs or even biogeochemical element cycles (section 4.3) should always be regarded with some healthy skepticism as they often neglect the potential for indirect effects.

4.3 Implications of changes in diatom community structure for pelagic food webs and biogeochemical cycles

The taxonomic composition and size structure of phytoplankton communities influences the transfer of energy from primary production to higher trophic levels. In theory, larger diatoms should support a more direct transfer because less trophic intermediates are needed and therefore less respiration occurs until prey items are in an appropriate size range for top predators (Azam et al., 1983; Pomeroy, 1974; Sommer et al., 2002). Such a size shift at the bottom of a food web might eventually lead to higher production in higher

542 trophic levels such as fish. Indeed, recent experimental evidence indicated that fish
543 (including commercially important species) could under certain constellations benefit
544 from high CO_2 due to higher food availability, although it was not tested if this response
545 is somehow linked to the diatom size structure (Goldenberg et al., 2018; Sswat et al.,
546 2018).

547 Fluxes of elements through the oceans are (like fluxes of energy through food webs)
548 influenced by the composition of diatom communities (Tréguer et al., 2018). This is
549 particularly well recognized in the context of organic carbon export to the deep ocean, for
550 which diatoms are considered to play a pivotal role (Smetacek, 1985). Given that high
551 CO_2 favours large and perhaps more silicified diatoms over smaller ones (section 4.1),
552 we might expect accelerated sinking and thus a positive feedback on the vertical carbon
553 flux. This classical hypothesis is supported by observational evidence from two
554 consecutive years of the North Atlantic spring bloom where, despite similar primary
555 production, particulate organic carbon sequestration into the deep ocean was much higher
556 in the year when the larger diatom species dominated (Boyd and Newton, 1995).
557 However, whether the positive relationship between size and carbon export holds under
558 all circumstances is by no means clear (Tréguer et al., 2018). It is possible that shifts
559 towards larger sized species coincide with shifts in other traits that feed back negatively
560 on carbon export. For example, when the size shift is associated with decreasing C:Si
561 stoichiometry it may ultimately reduce carbon export (Assmy et al., 2013).

562 The abovementioned examples of trophic transfer and export fluxes illustrate the
563 importance of the factor "diatom community structure" in the context of marine food
564 production and biogeochemical fluxes. They also illustrate that our understanding of the
565 feedbacks induced through changes in diatom communities is highly incomplete. Hence,
566 with our limited understanding we can currently not go further than classifying CO_2-

induced changes in diatom communities as “a potential risk” that may cause changes in key ecosystem services.

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Data availability

All data used in this study is compiled in Table 1.

Competing interests

The authors declare no competing interests.

Author contribution

LTB did the literature analysis, conceptualized the RDR, and drafted the manuscript except for parts of the introduction and discussion. JT drafted parts of the introduction and discussion. Both authors interpreted the findings and revised the manuscript.

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Tables and Figures

Table 1. Response of diatom communities to high CO_2. 69 experiments from 54 publications were considered. Location refers to the place where diatom communities were collected. The RDR is dimensionless (see section 2.3). T is the average incubation temperature in °C. DoE are days of experiment with the number of samplings given as the second number. Pre-filt. gives the mesh size in case the collected plankton community was pre-filtered before incubation. Setup refers to the incubation style: undiluted volumes (batch), repeatedly diluted volumes (s.-cont.), flow-through setups (fl.-thr.; only benthos), chemostats (chem.; only pelagic), CO_2 vent sites (seep; only benthos). Incubations (Incub.) can either be performed on deck (e.g. shipboards), *in situ* (e.g. *in situ* mesocosms) or under laboratory conditions. V refers to the incubation volume. Nutrient ammendments (Nutr.) were made in some but not all studies. The element indicates which nutrients were added. Asterisks indicate the presense of residual nutrients at the beginning of the study. Manipulations (Manip.) were done with: CO_2 saturated seawater (SWsat), acid additions (Acid), combined additions of acid and base (Comb.), CO_2 gas additions (CO_2), Aeration at target pCO_2 (Aer.), Passing CO_2 gas through a diffusive silicone tubing (Diff.). Meth. indicates the applied methodology to investigate diatom communities: light microscopy (LM), pigment analyses (PA), flow cytometry (FC), genetic tools (PCR), biogenic silica (BSi). The pCO_2 range of the experiment with the number of treatments given in brackets. The response of the bulk diatom community to CO_2: no effect (~), positive (p), negative (n), not reported (N/A). The pCO_2 response indicates approximately in between which treatments a CO_2 response was observed. Please note that this is based on visual inspection of the datasets and therefore involves subjectivity. Please also note that the range equals the treatment values in case only two treatments were set up. CO_2 induced shifts between diatom species can be: shift to larger species (large), shift to smaller species (small), unspecified shift (shift), no species shift detected (~), not reported (N/A).

Winners or losers of the diatom community comprise: *Chaetoceros* (Chae), large *Chaetoceros* (Chae I), medium *Chaetoceros* (Chae II), small *Chaetoceros* (Chae III), *Neosyndra* (Neos), *Rhabdonema* (Rhab), *Eucampia* (Euca), *Cerataulina* (Cera), *Thalassiosira* (Thals), *Proboscia* (Prob), *Pseudo-nitzschia* (Ps-n), *Thalassionema* (Thalns), *Cylindrotheca* (Cyli), *Guinardia* (Guin), *Synedropsis* (Syned), *Dactyliosolen* (Dact), *Toxarium* (Toxa), *Leptocylindrus* (Lept), *Grammatophora* (Gram), *Bacillaria* (Baci), *Navicula* (Navi).

Reference	lat	long	RDR	S	T (°C)	Habitat	DoE/ # of sampl.	Pre-filt. (µm)	Setup	Incub.	V (L)	Nutr.	Manip.	Meth.	pCO_2 range (µatm)	CO_2 effect	pCO_2 response (µatm)	Intra-taxon effect	Winners	Losers
(Bach et al., 2017)	58.264	11.479	76.2	29	7	est.	113/57	1000	batch	in situ	50000	*none	SWsat	PA, LM	(2) 380, 760	p	380 - 760	large	Cosc	
(Bach et al., 2019)	27.990	-15.369	59.6	37	18.5	coastal	32/21	3000	batch	in situ	8000	N,P,Si	SWsat	LM, BSi	(7) 380 - 1120	p	380 - 1120	large	Chae, Guin, Lept	Nitz
(Biswas et al., 2011)	16.750	81.100	2.1	25	29.5	est.	5/2	200	batch	Deck	5.6	*none/N, P	Comb.	PA	(4) 230 - 1860	n	650 - 1400	N/A		
(Biswas et al., 2017)	17.000	83.000	1.5	?	?	coastal	2/1	200	batch	Deck	2	*N,P,Si,Fe,(Zn)	Comb.	LM	(2) 230, 2200	p	230 - 2200	shift	Skel	Thals
(Davidson et al., 2016)	-68.583	77.967	10.5	34	0.1	coastal	8/5	200	batch	Lab	650	*Fe	SWsat	LM	(6) 80 - 2420	n	1280 - 1850	small	Frag	Chae
(Domingues et al., 2017)	37.017	-8.500	7.4	?	23.5	est.	1/1	no	batch	Deck	4.5	N,P,Si,NH4	Comb.	LM, PA	(2) 420, 710	~		~		
(Donahue et al., 2019)	-45.800	171.130	2.6	34	11	oceanic	14/5	200	batch	Lab	10	*Fe	Diff.	LM, FC	(2) 350, 620	~		N/A		
(Donahue et al., 2019)	-45.830	171.540	2.6	34	11	oceanic	21/4	200	batch	Lab	10	*Fe	Diff.	LM, FC	(2) 350, 630	p	350 - 630	N/A		
(Eggers et al., 2014)	38.633	-27.067	1.9	36	15	coastal	9-10/3	200	batch	Deck	4	N,P,Si	Comb.	LM	(2) 380, 910	p	380 - 910	large	Chae III	Thals
(Eggers et al., 2014)	38.650	-27.250	1.9	36	15	coastal	9-10/4	200	batch	Deck	4	N,P,Si	Comb.	LM	(2) 380, 910	p	380 - 910	large	Thals, Chae II	Chae I
(Eggers et al., 2014)	38.617	-27.250	1.9	36	15	oceanic	9-10/5	200	batch	Deck	4	N,P,Si	Comb.	LM	(2) 380, 910	~		N/A		
(Endo et al., 2013)	46.000	160.000	2.8	33	14	oceanic	14/3	197	batch	Deck	12	*none	Aer.	PA	(4) 230 - 1120	~		N/A		
(Endo et al., 2015)	53.083	-177.000	2.8	?	8.2	oceanic	5/3	197	batch	Deck	12	*none	Aer.	PA, PCR	(2) 360, 600	n	360 - 600	~		
(Endo et al., 2016)	41.500	144.000	2.8	?	5.4	oceanic	3/3	197	batch	Deck	12	*Fe	Aer.	PA, PCR	(4) 180 - 1000	n	350 - 1000	shift		
(Feng et al., 2009)	57.580	-15.320	1.7	35	12	oceanic	14/1-2	200	s.-cont.	Deck	2.7	N,P	Aer.	LM, PA	(2) 390, 690	p	390 - 690	large	Ps-n	Cyli
(Feng et al., 2010)	-74.230	-179.230	1.7	34	0	oceanic	18/1-14	200	s.-cont.	Deck	2.7	none	Aer.	LM, PA	(2) 380, 750	~		large	Chae	Cyli
(Gazeau et al., 2017)	43.697	7.312	125.8	38	14	coastal	18/14	5000	batch	in situ	45000	none	SWsat	PA	(6) 350 - 1250	p	600 - 1000	N/A		
(Gazeau et al., 2017)	42.580	8.726	125.8	38	23	coastal	27/18	5000	batch	in situ	45000	none	SWsat	PA	(6) 420 - 1250	~		N/A		

(Grear et al., 2017)	41.575	-71.405	9.3	?	9	est.	6/7	no	chem.	Deck	9.1	?none	Comb.	LM	(3) 220 - 720	~		~		
(Hama et al., 2016)	34.665	138.940	7.1	?	?	coastal	29/11	100	batch	Deck	400	N,P,Si	Aer.	PA	(3) 400 - 1200	~		N/A		
(Hare et al., 2007)	56.515	-164.730	6.0	?	10.4	coastal	9-10/5	no	s.-cont.	Deck	2.5	Fe,N,P, Si	Aer.	LM, PA	(2) 370, 750	n	370 - 750	shift		Cyli
(Hare et al., 2007)	55.022	-179.030	6.0	?	10.4	oceanic	9-10/3	no	s.-cont.	Deck	2.5	Fe	Aer.	LM, PA	(2) 370, 750	n	370 - 750	N/A		
(Hopkins et al., 2010)	60.300	5.200	99.1	?	10	coastal	21/9	no	batch	in situ	11000	N, P	Aer.	LM	(2) 300, 600	n	300 - 600	N/A		
(Hoppe et al., 2013)	-66.833	0.000	1.9	34	3	oceanic	27-30/1	200	s.-cont.	Lab	4	*none	Aer.	LM	(3) 200 - 810	N/A	400 - 810	shift	Syned	Ps-n
(Hoppe et al., 2017b)	71.406	-68.601	1.9	33	9.5	oceanic	8/3	100	s.-cont.	Deck	8	N,P,Si	Aer.	PA, LM	(2) 320, 990	~		~		
(Hoppe et al., 2017a)	63.964	-60.125	1.9	32	7.9	oceanic	13-14/3	100	s.-cont.	Deck	8	N,P,Si	Aer.	LM	(2) 300, 960	n	300 - 960	shift	Frag	Ps-n
(Hussherr et al., 2017)	71.406	-70.188	2.6	33	4.3	oceanic	9/3-9	200	batch	Deck	10	*none	Comb.	LM, PA	(6) 510 - 3300	n	1040 - 1620	~		
(James et al., 2014)	-45.639	170.671		?	11.6	benthic	42/2		fl.-thr.	Lab	0	none	Comb.	pic	(2) 400, 1250	~		N/A		
(Johnson et al., 2011)	38.417	14.950		38	23.5	benthic	21/1		seep	in situ	0	none	NA	PA, LM	(3) 420 - 1600	p	420 - 590	large	Toxa, Gram, Baci, Navi, Cocc	Cycl, Neos, Rhab, Nitz
(Kim et al., 2006)	34.600	128.500	4.3	?	14	coastal	14/?	60	batch	in situ	150	N,P	Aer.	LM	(3) 250 - 750	N/A	400 - 750	shift	Skel	Nitz
(Kim et al., 2010)	34.600	128.500	52.1	?	12	coastal	20/22	no	batch	in situ	1600	N,P,Si	SWsat/ Aer.	LM	(2) 400, 900	~		shift	Skel	Euca
(Mallozzi et al., 2019)	29.241	-90.935	2.4	12	21	est.	112/9	80	s.-cont.	Lab	20	*none	Aer.	PA, LM	(2) 400, 1000	~		shift	Cyli	
(Mallozzi et al., 2019)	29.272	-89.963	2.4	17	21	est.	112/9	80	s.-cont.	Lab	20	*none	Aer.	PA, LM	(2) 400, 1000	~		shift	Cyli	
(Maugendre et al., 2015)	43.667	-7.300	1.9	?	15	oceanic	12/4	200	batch	Deck	4	none	SWsat	PA	(2) 360, 630	~		N/A		
(Nielsen et al., 2010)	56.057	12.648	1.6	19	10.7	est.	14/4	175	s.-cont.	Lab	2.5	*none	Acid	LM, PA	(3) 500 - 1500	~		~		
(Nielsen et al., 2012)	-42.887	147.339	1.8	31	16	coastal	14/4	250	s.-cont.	Lab	2.5	*none	Acid	LM, PA	(3) 300 - 1200	~		~		
(Park et al., 2014)	34.600	128.500	59.6	?	17	coastal	19/17	no	batch	in situ	2400	N,P,Si	SWsat/ Aer.	LM, PA	(6) 160 - 830	p	160 - 830	N/A	Cera	
(Paul et al., 2015)	59.858	23.258	112.7	6	11	est.	46/22	3000	batch	in situ	54000	none	SWsat	PA	(6) 370 - 1230	p	820 - 1000	N/A		
(Reul et al., 2014)	36.540	-4.600	3.3	?	21	coastal	7/6	200	batch	Deck	20	control/N,P	Aer.	LM, PA	(2) 500, 1000	p	500 - 1000	large		
(Roleda et al., 2015)	-45.639	170.671		34	10.8	benthic	112/?		fl.-thr.	Lab	0.65	none	Comb.	PA	(2) 430, 1170	~		N/A		
(Rossoll et al., 2013)	54.329	10.149	29.8	18	18	est.	28/7	no	batch	Lab	300	N,P,Si	Aer.	LM	(5) 390 - 4000	~		N/A		
(Sala et al., 2015)	41.667	2.800	26.1	38	14	coastal	9/2	no	batch	Lab	200	none	CO2	LM	(2) 400, 800	~		N/A		
(Sala et al., 2015)	41.667	2.800	26.1	38	22	coastal	9/2	no	batch	Lab	200	none	CO2	LM	(2) 400, 800	~		N/A		
(Schulz et al., 2008)	60.267	5.217	133.7	31	10.5	coastal	25/18-23	no	batch	in situ	27000	N,P	Aer.	PA	(3) 350 - 1050	~		N/A		

(Schulz et al., 2013)	78.937	11.893	106.1	34	3	coastal	30/26 - 30	3000	batch	in situ	45000	N,P,Si	SWsat	LM, PA	(8) 185 - 1420	~		N/A		
(Schulz et al., 2017)	60.265	5.205	125.8	32	9	coastal	38/35	3000	batch	in situ	75000	*N, P	SWsat	LM, PA	(8) 310 - 3050	n	1165 - 1425	N/A		
(Segovia et al., 2017)	60.390	5.320	99.1	?	11	coastal	22/9	no	batch	in situ	11000	control	SWsat /Aer.	FC	(2) 300, 800	~		N/A		
(Sett et al., 2018)	54.329	10.149	13.5	20	5	est.	44/26	200	batch	Lab	1400	*none	SWsat	LM, FC	(2) 540, 1020	~		~		
(Shaik et al., 2017)	15.453	43.801	5.6	35	29	coastal	2/1	no	batch	Deck	2	N,P,Si,Fe	CO2	LM	(2) 330, 1000	p	330 - 1000	~		
(Shaik et al., 2017)	15.453	43.801	5.6	36	29	coastal	9/1	no	s.-cont.	Deck	2	N,P,Si,Fe	CO2	LM	(2) 400, 1000	p	400 - 1000	~		
(Shaik et al., 2017)	15.453	43.801	5.6	35	29	coastal	2/1	no	batch	Deck	2	N,P,Si,Fe	CO2	LM	(2) 240, 780	p	240 - 780	~		
(Sommer et al., 2015)	54.329	10.149	49.8	20	9,15	est.	24/11	no	batch	Lab	1400	*none	SWsat	LM	(2) 440, 1040	~		shift		Prob, Thaln, Guin, Ps-n, Chae
(Tatters et al., 2013)	-45.752	170.810	0.8	35	14	coastal	14/2	80	s.-cont.	Lab	0.8	N,P,Si,Fe	Aer.	LM	(3) 230 - 570	N/A	400 - 570	shift	Cosc, Ps-n	Navi, Chae
(Tatters et al., 2018)	33.750	-118.215	12.1	?	19	coastal	10/1	no	chem.	Deck	20	N/urea,P, Si	Aer.	LM	380, 800	N/A		shift		
(Taucher et al., 2018)	27.928	-15.365	97.6	37	24-22	coastal	60/35	3000	batch	in situ	35000	N,P,Si	SWsat	LM, PA	(8) 350 - 1030	p	890 - 1030	large	Guin	Lept
(Thoisen et al., 2015)	69.217	53.367	1.4	33	3	coastal	8-17/6-9	250	s.-cont.	Lab	1.2	*none	SWsat	LM	(4) 440 - 3500	n	440 - 900	shift	Navi I	Navi II
(Tortell et al., 2002)	-6.600	-81.017	7.1	?	?	oceanic	11/4	no	s.-cont.	Deck	4	*none	Aer.	PA, LM	(2) 150, 750	p	150 - 440	~		
(Tortell et al., 2008)	NA	NA	7.1	?	0	N/A	10-18/?	no	s.-cont.	Lab	4	*Fe	Aer.	LM, PA	(3) 100 - 800	p	100 - 400	large	Chae	Ps-n
(Tortell et al., 2008)	NA	NA	7.1	?	0	N/A	10-18/?	no	s.-cont.	Deck	4	*Fe	Aer.	LM, PA	(3) 100 - 800	p	100 - 400	large	Chae	Ps-n
(Tortell et al., 2008)	NA	NA	7.1	?	0	N/A	10-18/?	no	s.-cont.	Deck	4	*Fe	Aer.	LM, PA	(3) 100 - 800	p	100 - 400	large	Chae	Ps-n
(Trimborn et al., 2017)	-53.013	10.025	1.9	34	3	oceanic	30/4	200	s.-cont.	Lab	4	none	Aer.	LM	420, 910	n	420 - 910	shift		Ps-n
(Witt et al., 2011)	-23.450	151.917		?	24-25	benthic	11/4		fl.-thr.	Deck	10	none	SWsat	LM	(4) 310 - 1140	p	560 - 1140	N/A		
(Wolf et al., 2018)	78.917	11.933	1.9	?	3	coastal	10 - 13/1	200	s.-cont.	Lab	4	none	Aer.	LM	(2) 400, 1000	N/A	400 - 1000	~		
(Yoshimura et al., 2010)	49.500	148.250	2.7	33	13.5	oceanic	14/5	243	batch	Deck	9		Aer.	PA	(4) 150 - 590	n	150 - 280	N/A		
(Yoshimura et al., 2013)	53.390	-177.010	2.8	?	8.4	oceanic	14/3	197	batch	Deck	12	*none	Aer.	PA, LM	4 (300 - 1190)	p	960 - 1190	N/A		
(Yoshimura et al., 2013)	49.020	174.020	2.8	?	9.2	oceanic	14/3	197	batch	Deck	12	*none	Aer.	PA, LM	(4) 230 - 1110	p	880 - 1110	N/A		
(Young et al., 2015)	-44.779	-64.073	7.1	?	-1	coastal	21/21	no	s.-cont.	Deck	4	*none	Aer.	PA	(3) 100 - 800	~		N/A		
(Young et al., 2015)	-44.780	-64.073	7.1	?	-0.5	coastal	16/16	no	s.-cont.	Deck	4	*none	Aer.	PA, LM	(3) 100 - 800	~		N/A		
(Young et al., 2015)	-44.780	-64.073	7.1	?	1.5	coastal	20/20	no	s.-cont.	Deck	4	*none	Aer.	PA	(3) 100 - 800	~		N/A		

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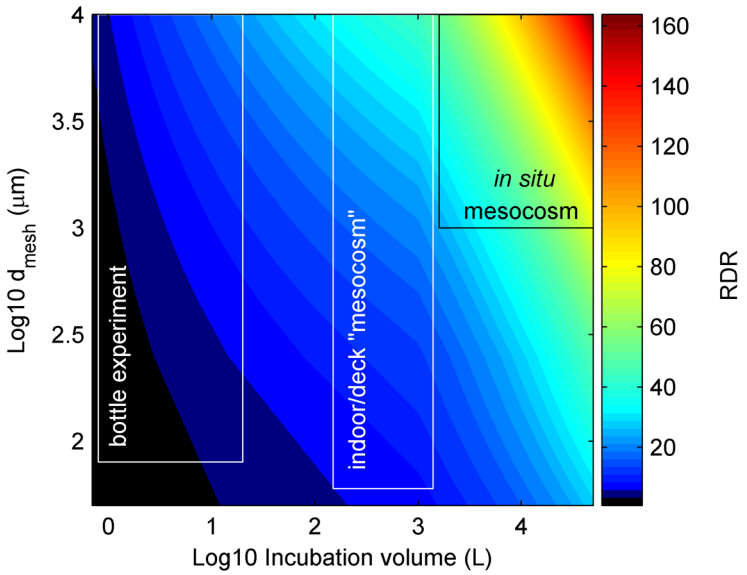

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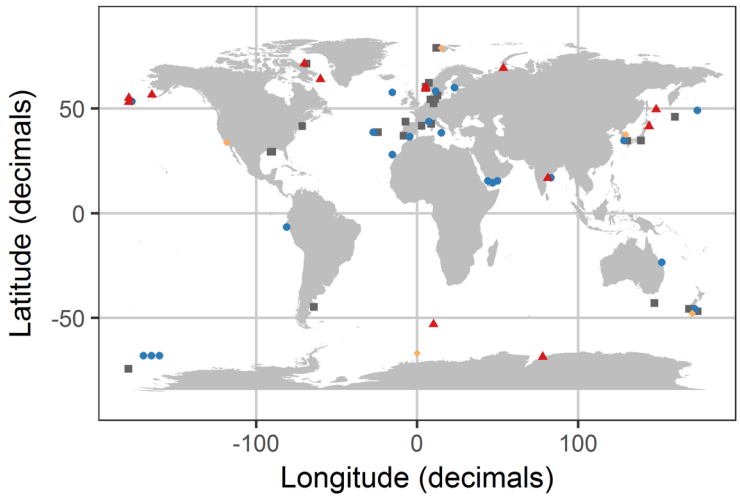
1110 **Figure 1**. RDR as a function of incubation volume and size of the mesh that was used
1111 while filling the incubation volumes (d_{mesh}). The black and white boxes illustrate
1112 approximate ranges of the three main types of containers used in experiments. Please note
1113 that the general definition for mesocosms are volumes ≥1000 L (Guangao, 1990) but since
1114 most authors also use this term for open batch incubations with volumes between 150 –
1115 1000 L we also stick to this term for the intermediate class.



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1117 **Figure 2.** Distribution of experiments with associated OA response of the bulk diatom

communities as listed in Table 1. Blue circles = positive effect; red triangles = negative response; grey squares = no response; orange diamonds = response not reported. Locations were slightly modified in case of geospatial overlap to ensure visibility. Please note that the three blue points in the Ross Sea at about -68, -165 are approximate locations because the reference did not provide coordinates.

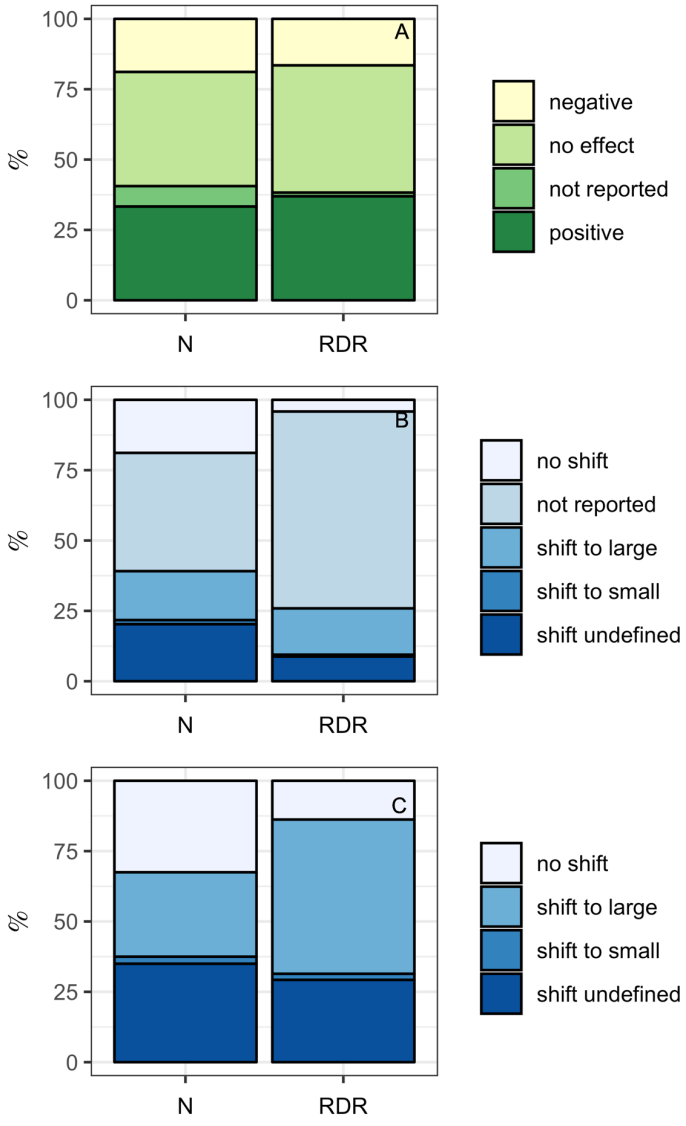

Figure 3. Summary of the literature analysis. (A) Response of the bulk diatom community to ocean acidification. (B) Shifts among different diatom species due to ocean acidification. 'Shift to large' and 'shift to small' indicate that the diatom community

shifted towards the dominance of larger or smaller species, respectively. (C) Same data as in B but excluding studies where species shifts within the diatom community were not reported. This reduced the dataset from 69 to 40 studies. The left column is based on the number of studies. For example, the bulk diatom community was positively affected by OA in 23 out of 69 studies which is 33 %. The right column is based on the RDR values. For example, the ∑RDR value of all studies where the diatom community was positively affected by OA was 595 which is 37 % of the total ∑RDR. Please keep in mind that the RDR-based approach excludes benthic studies wheras the N-based approach includes them.

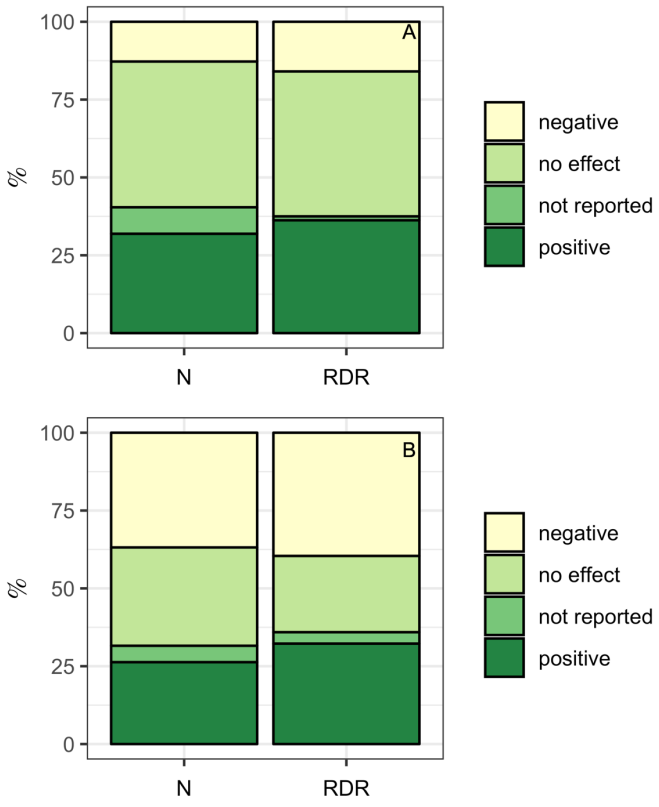

Figure 4. Comparison of the diatom bulk response to OA in different environments. (A) coastal + estuarine + benthic environments with 47 experiments. (B) Oceanic

environments with 19 experiments. The left column is based on the number of studies. For example, the bulk diatom community was positively affected by OA in 5 out of 19 studies in oceanic environments which is 26 %. The right column is based on the RDR values. For example, the ∑RDR value of all studies where the oceanic diatom community was positively affected by OA was 17 which is 32 % of the total ∑RDR. Please keep in mind that the RDR-based approach excludes benthic studies wheras the N-based approach includes them.