

We thank the reviewer for the constructive comments that help improve the presentation of the original manuscript. Below are our point-to-point replies to the reviewer's comments (original comments are in italics):

General comments: This is an interesting analysis of the tropical ocean surface temperatures from CMIP5 and HadISST. A 'mode' derived from the tropical Pacific-Indian domain has been denoted the PIOAM by some previous authors, mostly in Chinese journals. It seems worthwhile introducing the approach to this European one.

Here, it is shown that this Pacific-Indian mode (presumably obtained by principal component analysis) from 21 CMIP5 models has much in common with that from observations. There is a lengthy description of the differences among models. The mode is loosely linked to the IOD and ENSO, in section 3.1 of the study. The analysis in section 3.2 focuses on alternative IOI and POI indices. A major problem is that a further index PIOAMI is then used as though it is the same as the first one (presumably PCI). Section 4 attempts to relate the differences between models to their differences in formulation. However, this is unconvincing, especially as there is no estimation of statistical uncertainty in results that have been obtained from a single 55-year period. Some conclusions are not well supported. I initially thought the index might be linked to a 'Pacific-Indian Dipole' that I have used in analysing CMIP5 future climate simulations (see two recent references, below). However, the boxes used in that PID are a little shifted in longitude, so I expect there is only a weak relationship. Nevertheless, it might be worthwhile mentioning that alternative P-I index, and the shift.

The presentation in the paper is superficially quite good. However, there are many important details that are omitted, including in the captions. The 30 points listed below provide some guide to how the presentation needs to be improved. The major problem of having multiple indices, with no statistical uncertainty attached, will need to be overcome before final publication can be considered.

Significant points (at Line numbers):

1. L8-9 This needs to be a more helpful definition of how the PIOAM mode is defined, given that it is a rather new term.

Reply: Thank you for your suggestion. We have given the definition PIOAM in the abstract, which helps the reader to see our work more directly.

2. L13 Why is HadISST referred to as a reanalysis? I don't think the Met Office does.

Reply: Thank you for your comment. We have corrected it, replacing 'reanalysis' with 'dataset'.

3. L46 Walker needs to have a capital W, as it is a person's name -in several places

Reply: Thank you for your reminding. Done.

4. L96 What CMIP5 simulations are used? Historical?

Reply: Thank you for your reminding. Yes, it's historical, and we've added that.

5. L101 Table 1 'oceanic resolution' might not be accurate given some have higher resolution in

tropics. Is this the grid for the available ocean data?

Reply: Thank you for your comment. We directly get the resolution of each model from the downloaded data, as showed below. In view of the resolution in tropical regions, we have supplemented it in the article.

```
experiment : historical
frequency : mon
creation_date : 2012-04-04T16:11:27Z
Conventions : CF-1.4
project_id : CMIP5
table_id : Table Omon (27 April 2011) 340eddd4fd838d90fa9ffe1345ecbd73
title : CMCC-CM model output prepared for CMIP5 historical
parent_experiment : pre-industrial control
modeling_realm : ocean
realization : 1
cmor_version : 2.7.1
dimensions:
  time = 120 // unlimited
  j = 149
  i = 182
  bnds = 2
  vertices = 4
variables:
  double time ( time )
    bounds : time_bnds
    units : days since 1970-01-01

experiment : historical
frequency : mon
creation_date : 2011-09-21T18:03:58Z
Conventions : CF-1.4
project_id : CMIP5
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title : HadGEM2-CC model output prepared for CMI
parent_experiment : pre-industrial control
modeling_realm : ocean
realization : 1
cmor_version : 2.7.1
dimensions:
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  lat = 216
  lon = 360
  bnds = 2
variables:
  double time ( time )

experiment : historical
frequency : mon
creation_date : 2011-04-12T15:12:23Z
history : 2011-04-12T15:12:23Z CMOR rewrote data to comply with CF
Conventions : CF-1.4
project_id : CMIP5
table_id : Table Omon (31 January 2011) d057fdb62a4e1c35859397fa139
title : GISS-E2-H model output prepared for CMIP5 historical
parent_experiment : pre-industrial control
modeling_realm : ocean
realization : 1
cmor_version : 2.5.7
dimensions:
  time = 660 // unlimited
  lat = 90
  lon = 144
  bnds = 2
variables:

experiment : historical
frequency : mon
creation_date : 2011-07-04T20:18:29Z
history : 2011-07-04T20:18:29Z CMOR rewrote data to co
Conventions : CF-1.4
project_id : CMIP5
table_id : Table Omon (31 January 2011) d2d6beec2b8fea
title : IPSL-CM5A-LR model output prepared for CMIP5 h
parent_experiment : pre-industrial control
modeling_realm : ocean
realization : 1
cmor_version : 2.7.1
dimensions:
  time = 1872 // unlimited
  j = 149
  i = 182
  bnds = 2
  vertices = 4
variables:
```

6. L104 'Tropics' is normally considered bounded by 23 degrees latitude. Plot 1 shows 20S-20N. Which is used here? What are the longitudinal bounds?

Reply: Thank you for your comment very much. The 'Tropics' we are talking about here is to distinguish from the mid-latitudes. When it comes to computing, we use 20°S -20°N. I'm sorry we didn't mention longitudinal bounds. It's 40°E -80°W. It has been added in the revised manuscript.

7. L104 Is the analysis done on anomalies around a mean annual cycle? Is the data detrended?

Reply: Thank you for your comment. We removed the annual cycle and the linear trend before performing the EOF on the tropical Pacific-Indian ocean SSTA. It has been supplemented in this article.

8. L108 How is this 'mode' calculated? I presume it is a principal component/EOF analysis. The interval of Fig 1, 0.003C makes this seem a very small amplitude. Could these EOF1 fields be scaled so they show the temperature anomaly for a 1 standard deviation of the index or PC1? Do the differences look the same?

Reply: Thank you for your comment very much. This mode is obtained by EOF analysis, and we have added additional explanation in the article. The Figure 1 with the interval 0.003°C is the direct results of EOF analysis. However, the amplitude of this pattern is relatively small. After scaling the patterns of this mode by normalizing the PC1 of each model and HadISST data set, the Figure 1 is redrawn with the interval 0.2°C. The distributions of differences are in accordance with previous results.

9. L119 Error in longitudes for POI -should be 80W not 80E

Reply: Thank you very much. It has been corrected.

10. L137 is rather late to state 'so-called'!

Reply: Thank you very much. It has been corrected.

11. L138 What depth does heat refer to?

Reply: Thank you for your comment. It refers to the surface, and it has been added.

12. L138-140 This needs more discussion, perhaps earlier. What is the mathematical meaning? Should 'presents' be 'represents'?

Reply: Thank you for your comment very much. This sentence does not correspond to what we are actually trying to convey. What we want to say is that the IOD is also like a meridional seesaw, and the text has been reworded.

13. L143 What is the statistical uncertainty of this analysis? There are only 55 years, or 20 ENSO cycles, perhaps. It would be good to obtain additional simulations from at least one model to give some indication.

Reply: Thank you for your comment very much. Because EOF analysis is used here to capture the pattern of PIOAM rather than composite analysis (Liu et al. 2013; Zhang and Sun 2014) or regression analysis (Jha et al. 2014; Chen et al. 2017; Chen et al. 2019), statistical significance test cannot be performed in Figure 1, which can also be seen in other studies (i.e. the North Pacific Oscillation in Wang et al. (2019), the Pacific Decadal Oscillation in Lin et al. (2018), the interdecadal variability of SST in Lyu et al. (2016), the IOD and ENSO in Weller and Cai (2013)). Actually, the spatial correlation coefficients of the pattern of PIOAM between the HadISST and each CMIP5 model (in Figure 2) have significance at 99% confidence level. In addition, the first leading mode (PIOAM) is well separated from the remaining leading modes, based on the criteria of North et al. (1982), which means less likely to be affected by statistical sampling errors.

Reference:

- Chen S, Wu R, Chen W, Song L. (2019) Performance of the CMIP5 models in simulating the Arctic Oscillation during boreal spring. *Clim. Dyn.* Doi: 10.1007/s00382-019-04792-3
- Chen S, Chen W, Yu B. (2017) The influence of boreal spring Arctic Oscillation on the subsequent winter ENSO in CMIP5 models. *Clim. Dyn.* Doi: 10.1007/s00382-016-3243-z
- Liu L, Xie SP, Zheng XT, Li T, Du Y, Huang G, Yu WD (2013) Indian Ocean variability in the CMIP5 multi-model ensemble: the zonal dipole mode. *Clim. Dyn.* Doi: 10.1007/s00382-013-2000-9
- Lyu K, Zhang X, Church JA, Hu J. (2016) Evaluation of the interdecadal variability of sea surface temperature and sea level in the Pacific in CMIP3 and CMIP5 models. *Int. J. Climatol.* Doi: 10.1002/joc.4587
- Lin R, Zheng F, Dong X. (2018) ENSO Frequency Asymmetry and the Pacific Decadal Oscillation in Observations and 19 CMIP5 Models. *Adv. Atmos. Sci.* Doi: 10.1007/s00376-017-7133-z
- North G. R., Bell, T. L., Cahalan, R. F., Moeng, F. J. (1982) Sampling errors in the estimation of

empirical orthogonal functions, Mon. Wea. Rev. Doi: 10.1175/1520-0493(1982)110<0699:seiteo>2.0.co;2

Weller E, Cai W. (2013) Asymmetry in the IOD and ENSO Teleconnection in a CMIP5 Model Ensemble and Its Relevance to Regional Rainfall. J. Clim. Doi: 10.1175/JCLI-D-12-00789.1

Wang X, Chen M, Wang C, Yeh SW, Tan W. (2019) Evaluation of performance of CMIP5 models in simulating the North Pacific Oscillation and El Niño Modoki. Clim. Dyn. Doi: 10.1007/s00382-018-4196-1

Zhang T, Sun DZ. (2014) ENSO Asymmetry in CMIP5 Models. J. Clim. Doi: 10.1175/JCLI-D-13-00454.1

14. L152 where is the ENSO mode shown?

Reply: Thank you for your comment. We mean that the spatial distribution of PIOAM in the Pacific Ocean is similar to ENSO model, which should be expressed as ENSO-like mode rather than ENSO mode. It has been revised.

15. L163-4 what does this mean?

Reply: Thank you for your comment. We want to briefly describe the PIOAM in MME. This sentence has been rewritten as ‘MME better simulates the amplitude of PIOAM in the Indian Ocean than most these selected CMIP5 models with smaller simulation errors, but the amplitude in the equatorial Pacific are larger than that of the HadISST data set’, which may not be that confusing caused by our inappropriate expressions.

16. L167 In the Fig 1 caption what is the % value?

Reply: Thank you for your comment. I'm sorry we overlooked it. The numbers at the upper right corner of each panel indicate the percentage of variance explained by each model. It has been added to the caption of Figure 1.

17. L170 where is the IOD mode shown?

Reply: Thank you for your comment. This is similar to the previous question which concerns ENSO mode. We want to express the pattern of PIOAM in the Indian Ocean is similar to IOD mode. We have replaced the IOD mode with IOD-like mode.

18. L192 It is confusing to have ‘MME’ of three models. What is MME at L193 and later in the paper?

Reply: Thank you for your comment. The MME in this article is multi-model ensemble and its explanation is given in Section 3.1. The original L192 has been rephrased by deleting ‘of these models’ to avoid confusing expressions.

19. L197 What is Fig. 2 actually showing? Comparisons of EOF1? What is REF?

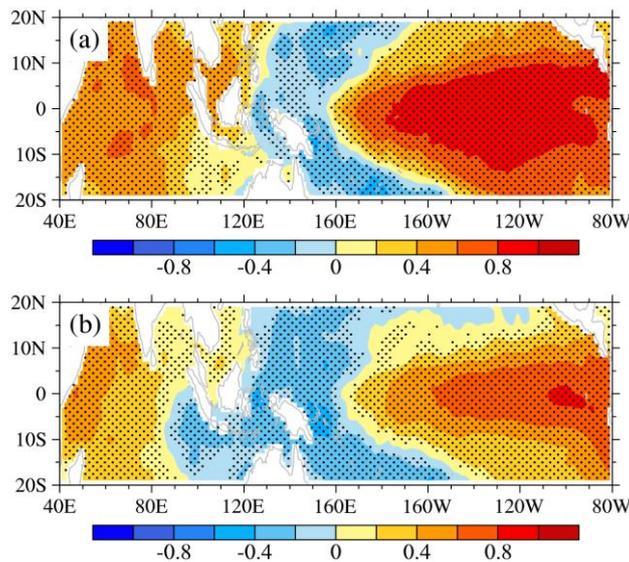
Reply: Thank you for your comment. The Taylor diagram presented in Figure 2 shows the ratio of the standard deviation calculated from simulation to that obtained in HadISST, spatial correlation coefficient and root mean square error (RMSE). The closer the point representing the model to REF, the better capability of the model. Actually, REF is a reference point. More detailed information of Taylor diagram can be found in Taylor (2001).

Reference:

Taylor, KE. (2001) Summarizing multiple aspects of model performance in a single diagram. J. Geophys. Res. Atmos. Doi:10.1029/2000jd900719.

20. L208 It would seem essential to compare the PC1 of PIOAM with this alternative PIOAMI index. If they are different, then the rest of the paper is misleading, whenever it compares 'PIOAM' with IOD, Nino34 etc. Is the PC1 more closely related to NINO34?

Reply: Thank you for your comment very much. In fact, since SST anomaly changes in the Indian Ocean are not as robust as those in the equatorial eastern Pacific Ocean, ENSO signals in the Pacific Ocean in PC1 are so strong that the signals of the IOD are not fully reflected, which can also be seen in the first picture (Figure a) below. However, PIOAMI can describe the mode well (Figure b), because this selected index takes into account both the signals in the Pacific Ocean and the signals in the Indian Ocean. In addition, the correlation coefficient between PC1 and PIOAMI is 0.70, which is far more than the confidence level of 99%. The correlation coefficients between Nino3.4 and PC1 and PIAOMI are 0.95 and 0.68, respectively, indicating that PC1 is indeed more closely related to Nino3.4.



Regressions of the monthly SSTA onto the normalized (a) PC1 and (b) PIOAMI for the period from 1951 to 2005. The stippled areas for SSTA denote the 99% confidence levels.

21. L225 What is 'autumn' for a tropical index? 'Boreal', and September-November perhaps?

Reply: Thank you for your comment. Autumn refers to September, October and November, which we have supplemented in the revised manuscript.

22. L225-6 needs to be better written. Is this ISD a criterion?

Reply: Thank you for your suggestion. Yes, it is. we use one standard deviation as the criterion. This sentence has been rewritten.

23. L239 The asymmetry in Fig 5 seems surprising. Does it indicate the index is not a 'normal'?

distribution?

Reply: Thank you for your comment. We think the CMIP5 models have different performance to capture the PIOAM in different phase, which causes this obvious asymmetry.

24. L243 *A composite, perhaps?*

Reply: Thank you for your suggestion. Done.

25. L255 *Is this PIOAM or PIOAMI -here and later?*

Reply: Thank you for your comment. We apologize for the confusion. It should be PIOAMI when concerning other indices, like IOI, POI and Niño3.4 index. We have revised and unified it.

26. L256 *Why is it interesting to show a standard deviation, when the IOI and POI are normalised (L120)? How does this impact the interannual autumn values?*

Reply: Thank you for your comment very much. Here we calculated standard deviation to evaluate the ability of these CMIP5 models to simulate the interannual variation of the PIOAM. The closer the ratio is to 1, the better the ability to simulate interannual variation. Yes, it is. We normalized the IOI and POI in Section 2. Considering that PIOAMI is composed of IOI and POI, the interannual variations of IOI and POI may have an impact on PIOAMI, which may involve the internal process of PIOAM and needs further in-depth study.

27. L280 *How is IOD defined here? Is it similar to IOI?*

Reply: Thank you for your comment. We're sorry we didn't make it clear. Here the IOD is according to the definition of Saji et al. (1999), the difference in SSTA between the tropical western Indian Ocean (50°E-70°E, 10°S-10°N) and the tropical south-eastern Indian Ocean (90°E-110°E, 10°S-0), not it similar to IOI. It has been supplemented in the revised manuscript.

28. L316 *This comparison needs to allow for statistical uncertainty, which should be considered early in the study. Would the results be the same if a different set of simulations is considered?*

Reply: Thank you for your comment very much. We delete the Section 4 which is based on most of the speculation because the meaningful conclusions need to be supported by a large number of models, or by dedicated experiments. The abstract and discussion are also be revised.

29. L325, L337 these statements are not convincingly proved.

Reply: Thank you for your comment.

30. L339. What is the chemical process?

Reply: Thank you for your comment.

Possible references:

Watterson IG (2019) Influence of sea surface temperature on simulated future change in extreme rainfall in the Asia-Pacific. On-line, Asia-Pacific J. Atmos. Sci. doi 10.1007/s13143-019-00141-w
Watterson IG (2019) Indices of climate change based on patterns from CMIP5 models, and the range

of projections. *Climate Dynamics*, 52, 2451-2466, doi 10.1007/s00382-018-4260-x