

Seasonal and regional variations of sinking in the subpolar North

Atlantic from a high-resolution ocean model

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1 **Abstract.** Previous studies have indicated that most of the net sinking associated with the downward branch of the Atlantic
2 Meridional Overturning Circulation (AMOC) must occur near the subpolar North Atlantic boundaries. In this work we have
3 used monthly mean fields of a high-resolution ocean model (0.1 deg at the equator) to quantify this sinking. To this end we have
4 calculated the Eulerian net vertical transport (W_{Σ}) from the modelled vertical velocities, its seasonal variability and its spatial
5 distribution under repeated climatological atmospheric forcing conditions. Based on this simulation, we find that for the whole
6 subpolar North Atlantic W_{Σ} peaks at about -14 Sv at a depth of 1139 m, matching both the mean depth and the magnitude of
7 the meridional transport of the AMOC at 45° N. It displays a seasonal variability of around 10 Sv. Three sinking regimes are
8 identified according to the characteristics of the accumulated W_{Σ} with respect to the distance to the shelf: one within the first
9 90 km and onto the bathymetric slope at around the peak of the boundary current speed (regime **I**), the second between 90 km
10 and 250 km covering the remainder of the shelf where mesoscale eddies exchange properties (momentum, heat, mass) between
11 the interior and the boundary (regime **II**), and the third sinking regime at larger distances from the shelf where W_{Σ} is mostly
12 driven by the ocean's interior eddies (regime **III**). Regimes **I** and **II** accumulate $\sim 90\%$ of the total sinking and display smaller
13 seasonal changes and spatial variability than regime **III**. We find that such a distinction in regimes is also useful to describe the
14 characteristics of W_{Σ} in marginal seas located far from the overflow areas, although the regime boundaries can shift a few tens
15 of km inshore or offshore depending on the bathymetric slope and shelf width of each marginal sea. The largest contributions
16 to the sinking come from the Labrador Sea, the Newfoundland region and the overflow regions. The magnitude, the seasonal
17 variability and the depth at which W_{Σ} peaks vary for each region, thus revealing a complex picture of sinking in the subpolar
18 North Atlantic.

1 1 Introduction

2 The Atlantic Meridional Overturning Circulation (AMOC) is a fundamental component of the Earth's climate system (Lozier,
3 2012; Buckley and Marshall, 2016). Over the last few decades the traditional view of an ocean conveyor with an upper poleward
4 current transporting warm waters to higher latitudes, and a downward branch with intermediate and deeper denser waters that
5 originate in the regions of deep convection and move toward the equator (Broecker, 1987, 1991) has been revised.

6 First, it became apparent that eddies actively mediate between the upper and lower limbs of the AMOC (Lozier, 2010). As
7 a consequence, the Deep Western Boundary Current (DWBC) presents large spatio-temporal variability, as the flow splits in
8 the North Atlantic (near 50° N) in the well-known western current aligned with the boundary (Stommel and Arons, 1959) and
9 other more elusive interior paths (Bower and Hunt, 2000; Bower et al., 2009). Similarly, at the surface, the pathways of the
10 North Atlantic Current that connect the subtropical gyre with the subpolar gyre are still not well established, as evidenced
11 by the trajectories of surface drifters (Brambilla and Talley, 2006) and by estimates of the inter-gyre exchange (Rypina et al.,
12 2011).

13 Second, the earlier idea that strong open ocean convection -e.g. in the interior of the Labrador, Irminger or Greenland Seas-
14 is accompanied by large-scale sinking of waters, and that this downwelling represents the largest part of the sinking related to
15 the AMOC, has been abandoned. The explanation for this is that once the winter cooling has preconditioned the convection
16 site through a prolonged buoyancy loss, the vertical transport associated with small-scale (~ 1 km) deep convective plumes
17 is mostly compensated by nearby rise of waters, so that little vertical mass transport is expected (Marshall and Schott, 1999;
18 Send and Marshall, 1995). Moreover, these regions of deep convection are highly localized, with length scales of 500 km or
19 less. This implies that the horizontal gradient in planetary vorticity across the convection region is small. In order to balance
20 the vorticity changes associated with substantial sinking in the geostrophic ocean interior, an unrealistically strong northward
21 current would be required (Spall and Pickart, 2001). Instead, previous studies have shown that the Eulerian net sinking (in
22 depth space) associated with the lower branch of the AMOC must occur near the boundaries, where the flow is subject to non-
23 geostrophic dynamics. Thus, at the topographic slopes a richer vorticity balance arises with a dissipation term that compensates
24 the vertical stretching of planetary vorticity induced by the sinking (Spall and Pickart, 2001; Spall, 2004, 2008; Brüggemann
25 et al., 2017). As a result, higher rates of sinking are attainable near the boundaries of marginal seas.

26 Spall and Pickart (2001) and Pedlosky and Spall (2005) analyzed the sinking along a straight boundary current subject to
27 buoyancy loss. They found that a flow in thermal-wind balance develops, with a density gradient that spirals with depth. Such a
28 spiraling structure induces strong vertical movements, which they found to be proportional to the along-shore density gradient
29 and the mixed layer depth. With a 2-layer approach, Straneo (2006) studied a boundary current surrounding denser interior
30 waters as a representation of the Irminger Current waters flowing around the perimeter of the Labrador Sea. In her model net
31 sinking appears in the boundary layer as the boundary current loses buoyancy along the perimeter. She also found that larger
32 along-shore density gradients give rise to more sinking. Consistently Cenedese (2012) came to a similar conclusion from
33 laboratory experiments in a tank. However, the North Atlantic is not the only place where sinking predominantly takes place
34 near the boundaries. As pointed out by the recent work of Waldman et al. (2018), significant sinking occurs in the first 50 km off

1 the coast in the Mediterranean Sea, though it is much smaller than in the North Atlantic (~ -1 Sv, where $1 \text{ Sv} = 10^6 \text{ m}^3 \text{ s}^{-1}$).

2 At this location, sinking is catalyzed by the existence of a western boundary current that densifies along its way around the

3 basin, a strong winter cooling in the interior due to northerly winds, and an active near-shelf eddy field.

4 More recently, Katsman et al. (2018) estimated the net sinking in the North Atlantic from model simulations at a depth chosen

5 to match the maximum of the overturning streamfunction (at 1060 m), well below the mixed layer depth. They computed the

6 net sinking over the time averaged fields of two hindcasts based on the same ocean model (ORCA) and atmospheric forcing.

7 The two simulations covered the period 1958-2001 and had a different horizontal resolution (0.25° and 1° , —ORCA025 and

8 ORCA1— respectively). Their results showed a significant net sinking along the boundaries, much higher than in the interior.

9 Notably the finer resolution model displayed 8 Sv more net sinking along the perimeter than the lower resolution version

10 (-20 Sv and -12 Sv respectively). However, the contribution of overflow waters to the total budget of net sinking along the

11 selected perimeter was nearly the same in absolute terms, with average amounts of -7.6 Sv and -7.4 Sv for the coarser and the

12 finer resolution simulation respectively. Hence, the large differences in sinking between both simulations are mostly attributed

13 to the boundary region. According to the authors, this difference may be due to the fact that the finer resolution model is

14 eddy permitting. Thus, ageostrophic eddy-driven processes may also play an important role in boundary sinking. For instance,

15 eddy-induced heat fluxes significantly increase the lateral heat exchange between the cooler interior and the warmer boundary,

16 cooling the boundary current on its way and then enhance the along-shore density gradient (Spall, 2011). Also, as pointed out

17 by Spall (2010) eddy vorticity fluxes and dissipation play an important role in balancing the vertical stretching of planetary

18 vorticity induced by sinking in a convective basin.

19 In order to better understand the contribution of geostrophic and ageostrophic processes to sinking Brüggemann and Katsman

20 (2019) used an idealized model with fine resolution (3 km in the horizontal), which is able to mimic the basic features of the

21 Labrador Sea: a cyclonic boundary current circulating along a semicircular basin, with a small part dominated by a steeper

22 topographic slope (change in depth of 3000 m in few tens of km) resulting in the generation of a vigorous eddy field. Stronger

23 sinking was found onto and near the sharp topographic feature than in any other place in the domain. Likewise, the recent

24 work of Georgiou et al. (2019) highlights the importance of eddy-driven transport using an idealized eddy-resolving model of

25 the Labrador basin. Among others, this study demonstrates that the total amount of sinking is sensitive to changes in the eddy

26 pathways. It must be stressed here that the above idealized studies assumed closed basins, while in reality open boundaries

27 exist and exchanges between the North Atlantic and Arctic occur. Overflows also contribute significantly to the net sinking, as

28 shown in Katsman et al. (2018). However, they are governed by different dynamics (e.g. Shapiro and Hill, 1997; Yankovsky

29 and Legg, 2019).

30 This work adds a new dimension of complexity to existing studies by investigating how the net sinking in the North At-

31 lantic changes seasonally and regionally. Despite the promising first results of the Overturning in the Subpolar North Atlantic

32 Program-OSNAP (Lozier et al., 2017; Kornei, 2018; Holliday et al., 2018; Lozier et al., 2019), the scarcity of measurements

33 below the surface still necessitates the use of numerical models to provide more insight into the spatio-temporal variability of

34 sinking and to grasp the physical processes behind its dynamics. To this end, we use an ocean-only eddy-resolving numeri-

35 cal simulation with a nominal resolution of 0.1° under a repeated climatological annual atmospheric forcing, not adding the

additional complexity of historical variations (e.g. variations at inter-annual or inter-decadal scales) in surface forcing. Since the degree of buoyancy loss, the topographic configuration, and the oceanic circulation differ among the North Atlantic sub-basins, a complex repartitioning of sinking is anticipated. Therefore we evaluate separately the seasonality and the distribution of sinking at different spatial scales including the marginal seas and overflow areas in the basin. In addition, the connection between sinking and the AMOC is addressed throughout the paper.

The remainder of this paper is structured as follows: in Sect. 2 we introduce the numerical simulation and assess the ability of the model to reproduce a realistic AMOC; in Sect. 3 we consider the main characteristics and the seasonal variability of sinking in the entire subpolar North Atlantic; in Sect. 4 we evaluate similarities and differences between sinking in the marginal seas, overflow regions and mid-latitude seas of the subpolar North Atlantic based on their different bathymetric profiles and driving local dynamical processes; in Sect. 5 we show that in our simulation the connection between sinking variations and the AMOC changes fades when the dominant seasonal signal is removed. To conclude, in Sect. 6 we summarize and discuss the most significant findings.

2 Model data & methods

The model set up is based on a configuration of the Parallel Ocean Program model (POP) (Maltrud et al., 2008; Smith et al., 2010). This model solves the primitive equations on a tri-polar curvilinear grid with a nominal horizontal resolution of 0.1° at the equator and 42 z -layers in the vertical down to a depth of 6000 m. The vertical resolution ranges from 10 m at the surface to 250 m for the deepest layers. The bottom topography is described by partial bottom cells (Adcroft et al., 1997). The atmospheric forcing fields (wind, heat fluxes and precipitation) are applied by repeating a prescribed annual cycle from the Coordinated Ocean Reference Experiment (CORE) forcing dataset (Large and Yeager, 2004). Observed river run-off fields are also included. Table 1 shows the value of some key model parameters. The simulation analyzed here is a subset of a longer control run already employed by Brunnabend and Dijkstra (2017) — see the latter for more details on this simulation.

Table 1. Summary of POP model key parameters used in the simulation (see Maltrud et al. (2010); Weijer et al. (2012); Brunnabend and Dijkstra (2017) for details)

Parameter	Value
Horizontal resolution	0.1 deg at the equator
Vertical resolution	42 non-equidistant z -levels. From 10 m (surface) to 250 m (deepest)
Horizontal dissipation (momentum)	Bi-harmonic viscosity and diffusion $\propto \text{grid size}^3$. At the equator $\nu_0 = -90 \text{ m}^4/\text{s}$
Horizontal dissipation (tracers)	Bi-harmonic viscosity and diffusion $\propto \text{grid size}^3$. At the equator $k_0 = -30 \text{ m}^4/\text{s}$
Vertical Mixing (K-profile)	$0.1 \text{ m}^2/\text{s}$ to solve gravitational instabilities
Background vertical tracer diffusion	From $10^{-5} \text{ m}^2/\text{s}$ (surface) to $10^{-4} \text{ m}^2/\text{s}$ (depth)

2.1 Model data & general performance

We use 15 years of three-dimensional monthly-mean fields of velocity, potential density, temperature and salinity for our analysis. Other two-dimensional variables such as bottom depth, the area and volume of grid cells and monthly-mean fields of mixed layer depth are also utilized. Since this study focuses on the seasonal time scale, and because the forcing is annually repeated, 15 years is sufficient to provide robust results. The period selected, corresponding to years 260-274 in the simulation time frame, is chosen well after the spin-up years. We note that inter-annual and larger time scales of variability are not included in our prescribed repeated annual forcing. This does not alter the validity of our analysis at seasonal scales since the annual cycle of winds and heat fluxes are dominant. Maps with mean ocean currents for the North Atlantic Ocean at depths of 5 m and 1139 m (Fig. S1) show realistic strength and location of the Gulf Stream and other subpolar boundary currents, taking into account the resolution of the model. Previous work using the same model in a similar set-up found a well-represented distribution of currents, kinetic energy and water-mass properties at basin scale (Maltrud et al., 2010; Weijer et al., 2012; Brunnabend and Dijkstra, 2017). Moreover the modelled mixed layer depth qualitatively matches the spatial pattern derived from ARGO floats (Våge et al., 2009; Holte et al., 2017), where the areas of deepest convection are found in the south-west Labrador Sea, in the Greenland Sea and around the Iceland-Scotland Ridge. However the modelled data shows some delay in reaching the deepest mixed layer depth in the Labrador Sea (March against April) and tends to overestimate the observed mean values in some areas (compare Fig. S2 with Fig. S3). We partly attribute this to the use of repeated normal-year forcing conditions for wind and heat fluxes. We note also that the spatial coverage by ARGO floats is still scarce and hence gridded fields are coarser than model data. Besides, both results are sensitive to the algorithm used to compute the mixed layer depth (de Boyer Montégut et al., 2004).

2.2 Overturning streamfunction

The overturning streamfunction (ψ_o) is a measure of the AMOC strength. With this metric northward and southward flows can be identified, as well as the depth where the transport reaches its maximum. ψ_o is determined from the vertical integral of the zonally integrated meridional velocity at the southern boundary of our domain, and the running meridional integral of the zonally integrated vertical velocity, i.e.:

$$\psi_o(y, z, t) = - \int_{x_w}^{x_e} \int_{H(x', y')}^z v(x', y_0, z', t) dz' dx' + \int_{y_0}^y \int_{x_w}^{x_e} w(x', y', z', t) dx' dy' \quad (1)$$

where $v(x', y_0, z', t)$ and $w(x', y', z', t)$ are the meridional and vertical ocean velocity components, y_0 is latitude of the southern boundary (selected at $y_0 = 25^\circ$ N), $H(x', y')$ is the ocean bottom depth and x_w and x_e are the western and eastern boundaries of the North Atlantic Ocean respectively (Fig. 1A). The model simulation analyzed here yields a maximum time-averaged overturning streamfunction of 25.6 Sv near 35° N, whereas the modelled ψ_o at the RAPID array location (26° N) shows a maximum time-average transport of 22.3 ± 1.9 Sv (Fig. 1B, blue line). This value is within the interval of uncertainty

1 of the annual mean RAPID array observations prior to 2008, 18.8 ± 4.3 Sv (Cunningham et al., 2007; Kanzow et al., 2010),
 2 and slightly larger than observations if we consider a longer RAPID period (April 2004-January 2017) with 17.0 ± 1.9 Sv (the
 3 uncertainty is the standard deviation). Recent model-based results from Sinha et al. (2018) indicate that the RAPID array may
 4 be underestimating the transport by about 1.5 Sv, due to structural errors in the array set-up. Not surprisingly, our simulation
 5 is less successful in reproducing the range of variability of annual averages at the RAPID array (April 2004-January 2017),
 6 underestimating this variability by almost 5 Sv, with a range of 3.2 Sv against the measured 7.9 Sv (Smeed et al., 2014, 2018).
 7 This underestimation is likely due to the use of seasonal mean wind forcing conditions, where the atmospheric high-frequency
 8 variability has been partially filtered. Finally, the depth of the maximum time-averaged ψ_o is 1139 m (Fig. 1A), very close
 9 to the depth found at the RAPID array location, at about 1100 m (Smeed et al., 2014, 2018). At 45° N (red line in Fig. 1B),
 10 the modelled AMOC is around 8 Sv weaker than at the RAPID array location but presents a more pronounced seasonal cycle
 11 (around 10 Sv), with the maximum in August and the minimum in February. Results from two dedicated campaigns in different
 12 years covering the OSNAP sections ($\sim 50^\circ - 60^\circ$ N) provided a similar range of variability for ψ_o , $\sim 10 - 20$ Sv (Holliday et al.,
 13 2018). The recent first assessment of the OSNAP observations by Lozier et al. (2019) yields a mean estimate of the ψ_o that
 14 is smaller than our mean ψ_o at 45° N (around 6 Sv smaller) but that compares well with our mean modeled results at 55° N
 15 (8.0 ± 0.7 Sv versus 8.1 ± 2.4 Sv, not shown). The depths of maximum ψ_o are located at around 1000 m for the OSNAP
 16 observations and at around 1100 m for our simulation for both 45° N and 55° N. In contrast, their results do not show a clear
 17 seasonal signal in the AMOC while our simulation shows a marked seasonality in ψ_o for 45° N (~ 10 Sv) and 55° N (~ 8 Sv).
 18 We partly attribute this difference between the observations and our modeled results to the short OSNAP time series (only
 19 21 months, from August 2014 to April 2016), and to the fact that we are using normal forcing conditions with a dominant
 20 seasonal signal without high-frequency wind variability. Nevertheless, a stronger transport in summer than in winter can be
 21 inferred from the OSNAP observations (Lozier et al., 2019), which is in agreement with our modeled results. Therefore we
 22 conclude that our simulation displays an AMOC with reasonable mean transport and variability, and a well-located core in
 23 depth.

24 **3 Mean and seasonal characterization of net sinking in the subpolar North Atlantic**

25 The structure of the AMOC streamfunction (Fig. 1A) indicates that there is a decrease in the amount of transport between
 26 the North Atlantic mid-latitudes and the subpolar region that, by mass conservation, must be reflected in the magnitude of the
 27 vertical transport. However, such figures only provide a two-dimensional view of the overturning circulation in the subpolar
 28 North Atlantic. In this study we analyze the complex full structure of the circulation by characterizing spatial and seasonal
 29 variations in the sinking. In Sect. 3.1 we present the spatial distribution of modelled vertical velocities, which we use to
 30 compute the net vertical transport for the subpolar North Atlantic Ocean, its seasonal variability and its vertical structure. In
 31 Sect. 3.2 a distinction in sinking regimes is proposed based on the differences in the net vertical transport between the near-shelf
 32 and the interior regions. To conclude this Section, we discuss our results in light of earlier studies.

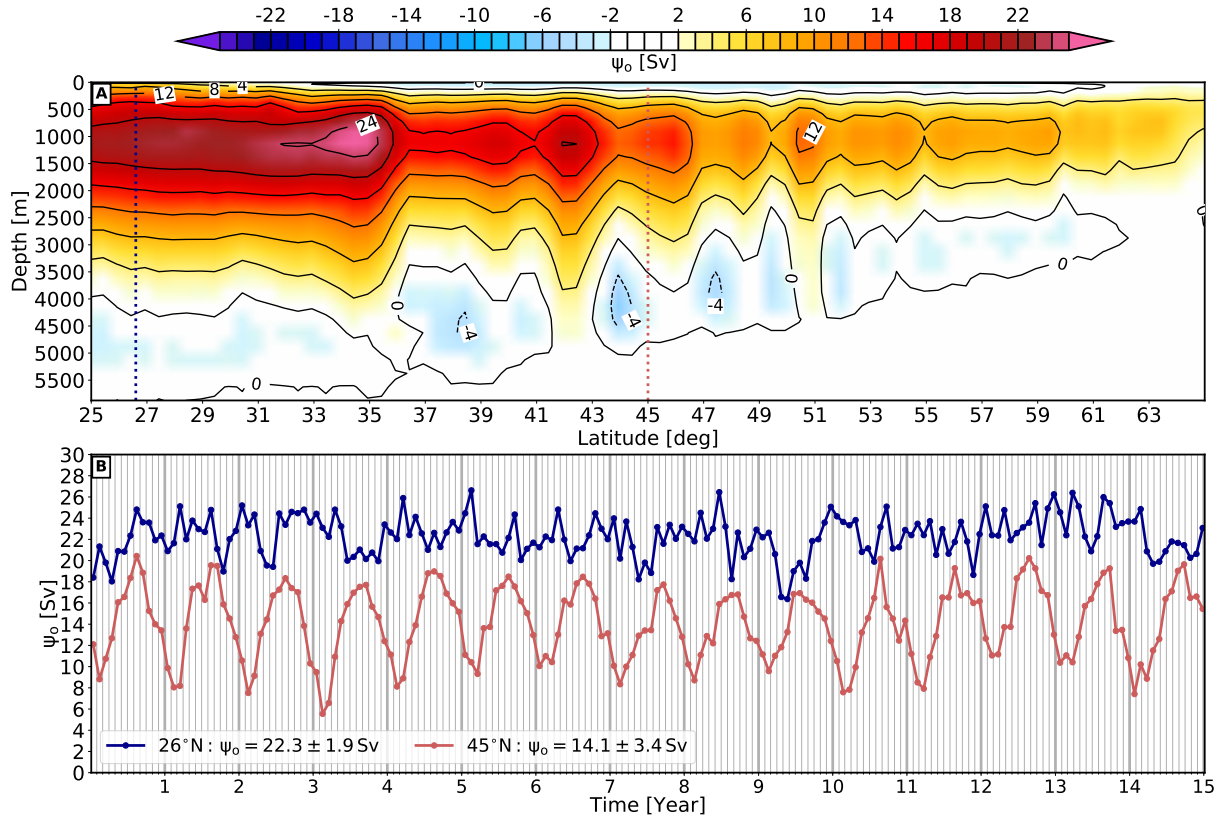


Figure 1. (A) 15-year average (years 260-274) overturning streamfunction, $\psi_0(y, z)$, for the North Atlantic Ocean. (B) Time series of maximum overturning streamfunction at 26°N (blue) and 45°N (red); positions are also indicated by dashed lines in Fig. 1A. $1 \text{ Sv} = 10^6 \text{ m}^3 \text{ s}^{-1}$.

3.1 Vertical structure of sinking

A map of the mean distribution of the maximum vertical velocities (\bar{w}_{\max} , the sign is conserved) obtained from the monthly mean fields in the North Atlantic (Fig. 2A) shows a spatial pattern characterized by strong velocities mostly confined to the boundaries. This is in line with results from idealized models (Spall and Pickart, 2001; Spall, 2004; Straneo, 2006; Spall, 2010; Georgiou et al., 2019; Brüggemann and Katsman, 2019) and global ocean models (Katsman et al., 2018). \bar{w}_{\max} may reach values of over 150 m day^{-1} , in good agreement with glider-based observations (Frajka-Williams et al., 2011). Fig. 2B shows the depth at which the velocities are most intense; the black points mark where $|\bar{w}_{\max}|$ is larger than 80 m day^{-1} . Most of the strong vertical velocities are found at a depth around 1000 meters (black contour in Fig. 2A) close to the boundaries. These strong vertical velocities cannot be considered as noise since they show a coherent spatial pattern along the bathymetric contours and their standard deviation is several times smaller (square root of variance shown in Fig. 2C, about 30 m day^{-1}) than their mean value. At some locations, alternating patterns of upward and downward motions are found (Fig. 2A, south-east of Greenland). As shown later, water is forced to move up and down there due to the dynamical restrictions imposed by the full

1 vorticity balance on topographic slopes (see e.g., Spall, 2010). The positive and negative alternations offshore of the Flemish
2 Cap and in the interior of Greenland and Norwegian Seas must have a different cause. In the case of the Flemish Cap, they occur
3 at the edges of eddies (Fig. S4) and the depth of largest sinking is below 2000 m (Fig. 2B, also in the interior of Norwegian
4 and Greenland Seas), which indicates these eddies are deep and possibly have a strong barotropic component. Indeed, the high
5 variance of vertical velocities in the surroundings of the Flemish Cap ($\sigma^2(w)$) is a reflection of the existence of an active eddy
6 field throughout the year (Fig. 2C). Also the subsurface EKE shows this signal (Fig. S5).

7 To assess the magnitude and the depth at which the near-boundary sinking occurs, we sum the local vertical transport for
8 the entire subpolar North Atlantic. First, we calculate the vertical transport for all model grid points and for every depth
9 as $W(x, y, z, t) = w(x, y, z, t)A(x, y)$, where $A(x, y)$ is the area of the grid cell, which depends on its location (x, y) in our
10 curvilinear grid. Second, we sum W over the horizontal domain shown in Fig. 2. We will refer to this net vertical transport as
11 W_Σ for simplicity. The vertical profile of W_Σ is shown in Fig. 3A. Large negative values of W_Σ are found between 500-2700 m,
12 with the strongest downward transport located at a depth of 1139 m. By mass conservation we expect that W_Σ in our domain
13 will be closely related to the transport at the southern boundary of the domain (45°N) since the North Atlantic Current is the
14 dominant feature in the basin, although some mass contribution from the Arctic Ocean at 75°N and through the Davis Strait
15 can be expected (Rudels et al., 2005; Azetsu-Scott et al., 2012). A comparison between time series of minimum W_Σ and the
16 maximum ψ_o (Fig. 1B) yields an excellent agreement in magnitude: 14.1 ± 3.4 Sv against -13.6 ± 4.1 Sv (Fig. 1B and Fig.
17 3A). If we compare the reversed time series of ψ_o at 45° N (solid red line in Fig. 3B) with the time series of W_Σ at the depth of
18 minimum W_Σ (solid purple line) it is clear that also the seasonal signal matches, with the minimum W_Σ in summer (August)
19 and the maximum in winter (February). The broadest range of variability (maximum minus minimum) is around 12 Sv in both
20 time series at ~ 1100 m depth. If we repeat the comparison after removing the seasonal signal from both time series (dashed
21 lines in Fig. 3B) the high correlation persists (> 0.9), but with a reduced maximum range of variability of 5 Sv.

22 3.2 Variation of sinking according to distance from the shelf

23 In order to quantify how much sinking takes place near the boundaries versus in the interior we have first classified all ocean
24 grid points within the study area (domain in Fig. 2) according to their distance to the nearest bathymetric contour of 50 m of
25 depth, C_{50} (inset map in Fig. 4); Next, we have accumulated W starting from C_{50} towards the interior at the depth where W_Σ
26 (note the added spatial dependence) is at its minimum (at 1139 m, Fig. 3A). On average (dashed black line in Fig. 4), -12 of
27 -13.6 Sv ($\sim 90\%$) of W_Σ occurs in the first 250 km off the C_{50} . A first assessment suggests the existence of three different
28 sinking regimes according to the distance to C_{50} (indicated as regimes **I-II-III** in Fig. 4):

29 **I** *Distance* ≤ 90 km. This region presents the largest increase in sinking with respect to the distance to C_{50} . It displays a small
30 seasonal variation of less than 2 Sv. The minimum accumulated W_Σ at 1139 m occurs in April (light orange line) and is
31 around -6 Sv over a distance of ~ 70 km.

32 **II** *Distance between* 90 – 250 km. The accumulated W_Σ in this section remains intense though smaller than in regime **I** with
33 ~ -7 Sv over 130 km. The magnitude of accumulated W_Σ increases until about 220 km from C_{50} . Between 220 and

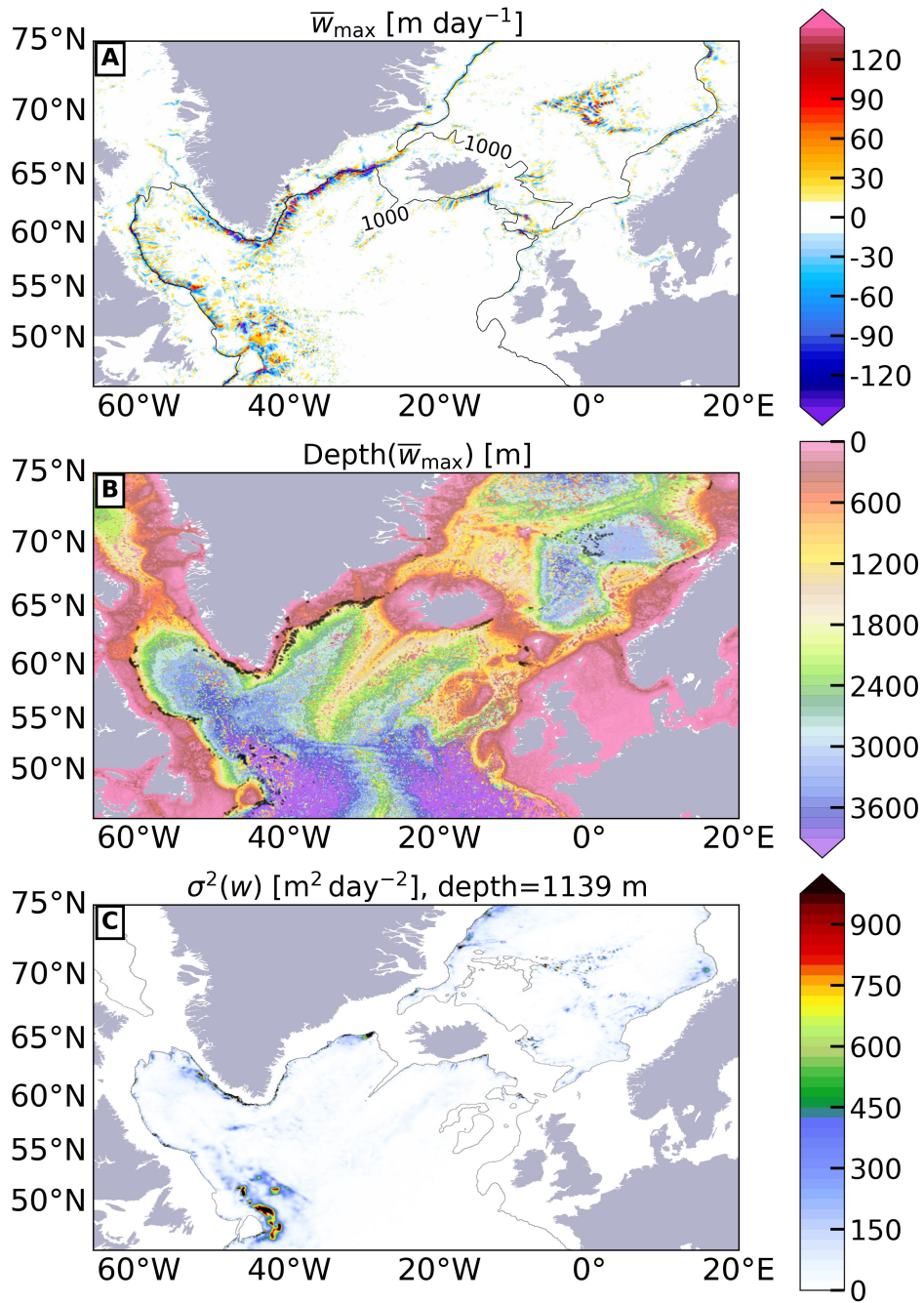


Figure 2. (A) 15-year maximum mean vertical velocity (\bar{w}_{\max}) for the North Atlantic Ocean. Contour lines denote the two longest 1000 m bathymetric features, which are separated by the Denmark Strait and the Iceland-Scotland ridge. (B) Depth of \bar{w}_{\max} plotted in (A). Black dots mark those grid cells where $|\bar{w}_{\max}|$ is larger than 80 m day^{-1} . (C) 15-year variance of vertical velocity ($\sigma^2(w)$) at a depth of 1139 m. This depth corresponds to the depth at which the vertical transport associated with the AMOC peaks.

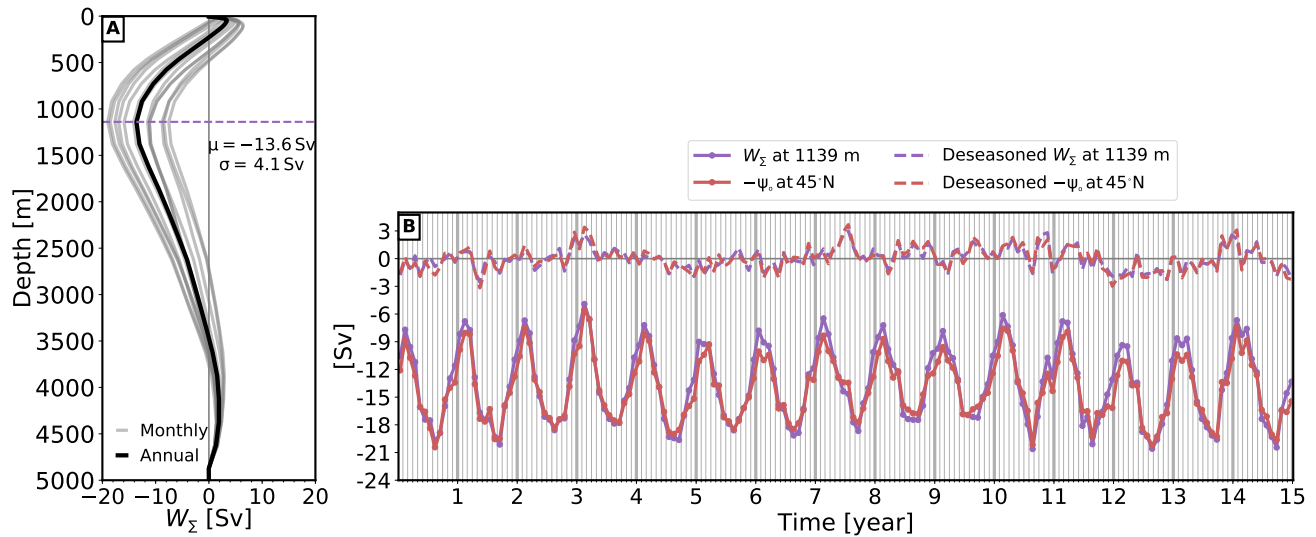


Figure 3. (A) Mean profile of the net vertical transport (W_Σ) for the region of study [66° W – 20° E, 45° N – 75° N]. The annual mean profile is shown by a thick black line; as the monthly climatology is indicated by gray lines. Mean and standard deviation (μ , σ) are given in the legend; the depth of largest sinking (1139 m) is indicated with a horizontal purple line. (B) Time series of W_Σ at 1139 m (purple lines, in Sv) compared to the reversed time series of maximum ψ_o (Figure 1B) at 45° N (red lines, in Sv). Solid lines include the seasonality while dashed lines do not include the seasonal signal (see legend). The Pearson correlation coefficient between both time series is over 0.9 for both cases.

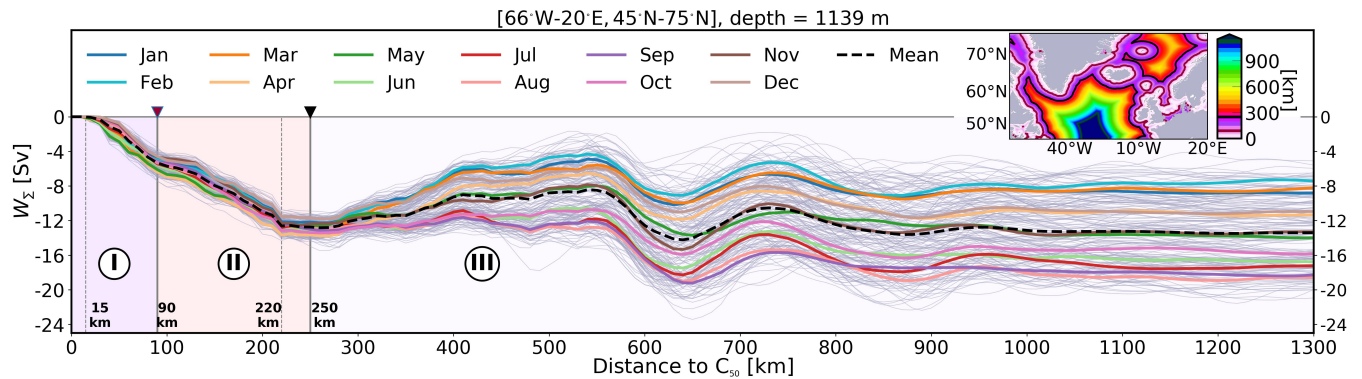


Figure 4. Cumulative net vertical transport (W_Σ) at a depth of 1139 m (in Sv) as a function of the distance from the bathymetric contour of 50 m depth referred to as C_{50} (inset map in Fig. 4). If the grid cell bathymetry is shallower no value is added. The dashed black line shows the annual mean. Monthly values of the 15-year simulation are shown in light gray, colored lines indicate the monthly climatology. The regimes of sinking are indicated by roman numbers I–II–III, and the separation lines between them are also denoted by a brown and a black triangle and by contours in the same color in the inset figure.

290 km the curve flattens, indicating that no additional sinking occurs or that it is locally compensated by rising waters. Seasonal variations are slightly larger than for regime **I**, with values over 3 Sv between the months of April (light orange line) and December (light brown line).

III *Distance* > 250 km. Beyond 250 km from C_{50} the trend in accumulated W_{Σ} can revert completely with respect to regimes **I** and **II** depending on the season. During winter months, there is a net positive accumulated W_{Σ} (i.e. net upwelling) between 290 and 750 km; during summer months a negative accumulated W_{Σ} tends to occur. As a result, the final amount of accumulated W_{Σ} displays a large seasonal variability, with deviations of up to 11 Sv between winter and summer months at a distance of over 750 km from C_{50} . The annual mean of accumulated W_{Σ} varies little with distance from the coast in this regime (only 1 – 2 Sv, compare the black dashed line in Fig. 4 at 290 km and at 1000 km). The seasonal variations strongly affect the accumulated W_{Σ} in some specific months (e.g. in February -light blue line- or in August -pink line-), yielding changes in the accumulated W_{Σ} of up to 50% of what is seen in the first 290 km off C_{50} .

It is hypothesized that these three sinking regimes reflect the effect of different processes contributing to the sinking. This is illustrated in Fig. 5, by means of a time-mean vertical cross-section of the horizontal and vertical velocity field (see inset panel A). First, there is sinking of waters between 500 and 2000 m along the Greenland shelf-slope all year round (black arrows on the right hand of panels A-D). This sinking is connected with regimes **I** and **II** (Fig. 4), and occurs within the boundary current (blue shading) below the mixed layer depth (light green line). Most of this sinking is constrained to a distance around 200 km off C_{50} in a region where isopycnals are tilted, and displays little seasonal variation. Second, there is a permanent anticyclonic eddy of about 200 km of diameter at 1000-1250 km south of the tip of Greenland that extends from the surface to a depth below 4000 m (shading), and generates both intense positive and negative vertical velocities at its flank (black arrows). This pattern of interior rising/sinking of waters yield a small net annual mean vertical transport over the entire basin but significant seasonal variability, which is reflected in sinking regime **III** (Fig. 4).

The boundary sinking found by Katsman et al. (2018) in a global ocean model and here characterized by regimes **I** and **II** is captured by the ageostrophic theory and in idealized models (Spall, 2010; Brüggemann and Katsman, 2019; Georgiou et al., 2019). Thus, the narrow band of sinking closer to C_{50} represented by the sinking regime **I** is characterized by the preeminent role of the topographically induced dissipation, while the sinking farther offshore represented by regime **II** is presumably largely driven by the presence of eddies near the boundary. Indeed, the amount of sinking is governed by eddy-advection in the cross-shore direction (Georgiou et al., 2019), so it is not surprising that this region presents a larger seasonal signal compared to the one described by regime **I** (Fig. 4, see also the patches of strong EKE near the southern tip of Greenland in Fig. S5).

Spall and Pickart (2001) derived a simple expression to estimate the magnitude of meridional overturning (M_B) —or by mass conservation, the downward vertical transport W_{Σ} — near the boundary for a situation with a deep mixed layer:

$$M_B = W_{\Sigma} = \frac{g\Delta\rho_B h^2}{2\rho_0 f}, \quad (2)$$

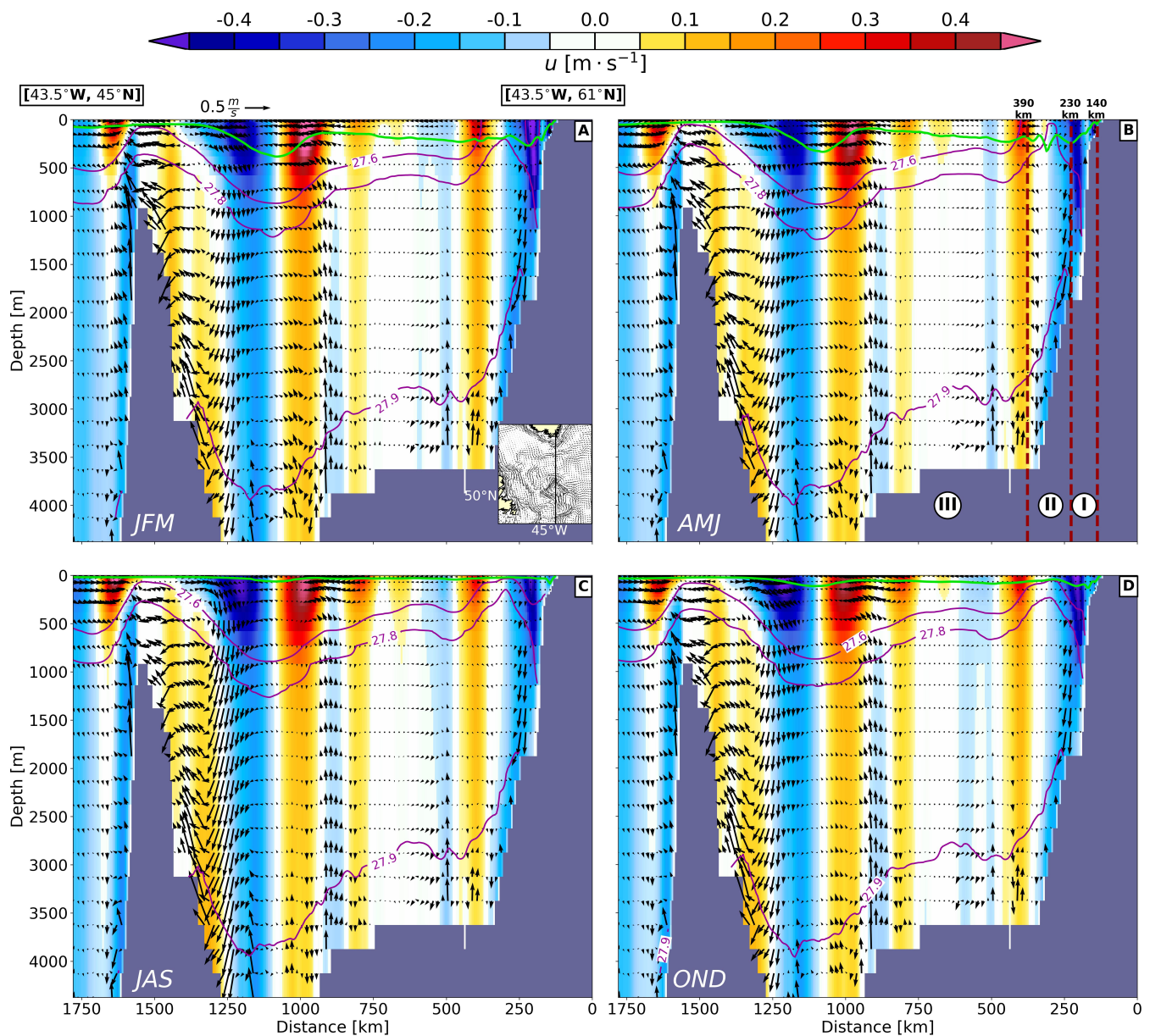


Figure 5. 15-year climatology of the velocity field at a cross-section between the southern tip of Greenland and the southern limit of the study area (see inset in panel A, main surface currents denoted by black arrows). Each panel represents a seasonal average: (A) JFM (January-February-March); (B) AMJ (April-May-June); (C) JAS (July-August-September); OND (October-November-December). The shading shows the u component of velocity (units in $\text{m} \cdot \text{s}^{-1}$); black arrows are velocity vectors constructed as $(v, 1000 \cdot w)$. For clarity arrows are shown for 1 of every 3 horizontal grid points at certain depths. The green line depicts the seasonal mean mixed layer depth (in m), the black contours denote the seasonal potential density anomaly, $\sigma_\rho = \rho - 1000$, for selected values. The limits of the proposed sinking regimes (I-II-III) are sketched in panel B through vertical dark red dashed lines.

1 where the amount of W_{Σ} is proportional to the square of the mixed layer depth (h) and to along-shore differences in potential
2 density ($\Delta\rho_B$) — g is the Earth's surface gravity, f the Coriolis parameter and ρ_0 a reference density—. Although Eq. (2) is
3 not formally correct when the mixed layer depth is shallow (as is the case here), Katsman et al. (2018) demonstrated that
4 the relationship yields reasonable results in a realistic global ocean model when the mixed layer depth (h) is substituted by
5 half of the depth of largest sinking and the along-shore density change ($\Delta\rho_B$, which for this situation depends on depth) by
6 its depth average. Eq. (2) indicates that the net vertical transport is among others controlled by the local along-shore density
7 gradient ($\Delta\rho_B$), that is, a negative W_{Σ} is associated with a rise in the isopycnals along the boundary current (or equivalently,
8 by the densification of waters at a given depth). This proportionality of the boundary sinking to the density gradient along the
9 boundary was also pointed out by Straneo (2006) in her two-layer model approach. This connection is also suggested here
10 by the strong vertical velocities in the boundaries (Fig. 2A-B) and by the upward displacement of the isopycnals between the
11 eastern (south-east of Iceland) and the western (south-west of Greenland) sides of the basin (see the mean depth of isopycnals
12 of $\sigma_{\rho} = 27.75$ and $27.8 \text{ kg} \cdot \text{m}^{-3}$ in Fig. S6A-B). Indeed this along-shore tilting is always present and has its maximum in
13 spring (Fig. S7).

14 Apart from the isopycnal tilting in alongshore direction, Brüggemann and Katsman (2019); Georgiou et al. (2019) found
15 that the cross-shore density gradients also contribute to the budget of boundary sinking since, as eddies arise from baroclinic
16 instabilities, they try to flatten the isopycnals. This can be accompanied by strong vertical velocities and, hence, more sinking
17 (see for example the cross-shore density gradient in Fig. 5, where the isopycnal of $\sigma_{\rho} = 27.8 \text{ kg} \cdot \text{m}^{-3}$ is tilted within the
18 boundary current near the southern tip of Greenland).

19 Finally, the sinking in regime **III** is related to those processes that develop away from the shelf and far from the core of the
20 boundary current. In this case, strong vertical velocities appear at the edge of interior eddies, which are governed by a different
21 dynamics (Fig. 5). The major role of such quasi-permanent eddies is supported by the marked fluctuations between 300 and
22 1000 km in Fig. 4, the large interior eddy in Fig. 5 and the vigorous EKE field in the interior of the Newfoundland Basin, near
23 the Flemish Cap (Fig. S4 and Fig. S5).

24 4 Regional distribution of net vertical transport

25 The overall view of net vertical transport (W_{Σ}) in the subpolar North Atlantic presented in the Sect. 3 may not be valid
26 at regional scales, since the bathymetric configuration, the ocean circulation and water-mass properties differ between the
27 different seas. Moreover, the dynamics of overflows are different from those governing the near-boundary sinking induced by
28 the buoyancy loss of a boundary current. In order to assess and understand these expected spatial variations we divide the
29 subpolar North Atlantic in eight well-established regions (see Fig. 6), which for discussion purposes can be grouped into three
30 more general sets: marginal seas (Labrador, Irminger, Greenland and Norwegian Seas -Sect. 4.1-), overflow regions (Denmark
31 Strait and Iceland-Scotland Ridge -Sect. 4.2-) and mid-latitude seas (Newfoundland and Rockall, -Sect. 4.3-). In the remainder
32 of this Section we will describe the following properties associated to W_{Σ} for these three groups of regions:

33 (i) The time-mean W_{Σ} at the depth of minimum W_{Σ} (hereinafter this depth is defined as z_{\min}).

- 1 (ii) The seasonal variability of W_{Σ} and z_{\min} .
- 2 (iii) The signal to noise ratio (SNR), defined as $\text{SNR} = \left| \frac{\mu}{\sigma} \right|$ (μ = time-mean of W_{Σ} at z_{\min} , σ = standard deviation of W_{Σ}
- 3 at z_{\min}), a high value ($\text{SNR} > 1$) indicates that μ is relatively large compared to σ , whereas a small value ($\text{SNR} < 1$)
- 4 denotes a signal with a large temporal variability compared to the mean—although it does not necessarily imply a well-
- 5 defined seasonal signal as SNR does not yield any information on its periodicity—.
- 6 (iv) The regimes of sinking that can be identified.
- 7 In Sect. 4.4, a further evaluation of the sinking characteristics of the Labrador Sea, Irminger Sea and Newfoundland regions
- 8 (which represent about 2/3 of net sinking in the subpolar North Atlantic and cover all three types of regions) is provided.

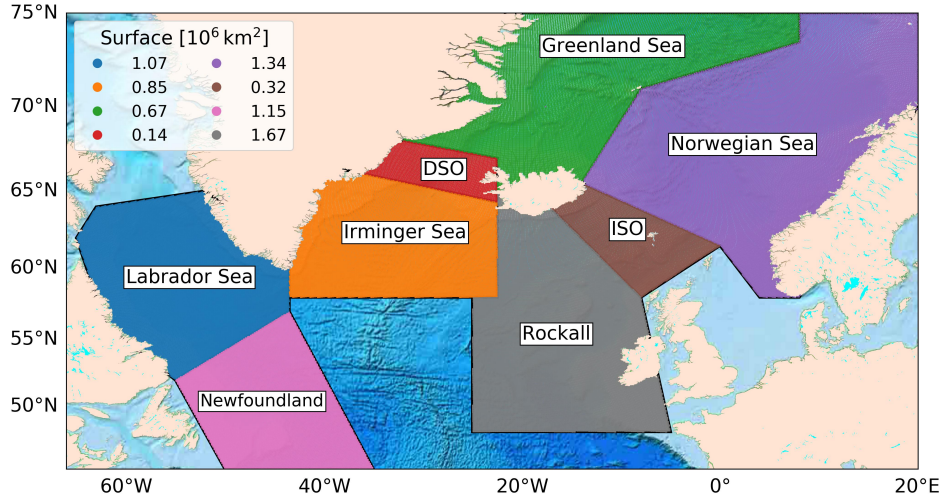


Figure 6. Map of the North Atlantic [66° W – 20° E, 45° N – 75° N] divided into eight regions. DSO and ISO refer to Denmark Strait and Iceland-Scotland Ridge overflow regions respectively. The surface area of each region is shown in the legend in $10^6 \cdot \text{km}^2$.

9 4.1 Marginal seas

- 10 Vertical profiles of W_{Σ} for the marginal seas (Fig. 7A-D) indicate that on average the Labrador Sea contributes about twice
- 11 as much to the sinking as the other three marginal seas combined (-4.02 Sv against -1.57 Sv), yielding a total mean W_{Σ} of
- 12 -5.59 Sv in the marginal seas (see μ in Fig. 7A-D, and Table 2 for a complete summary; notice the different value of z_{\min}
- 13 for each region). This contribution from the Labrador Sea is larger than the -1.4 Sv derived by Katsman et al. (2018) using a
- 14 coarser ocean model (ORCA025). This is probably due to the improved ability of higher-resolution models to resolve the eddy
- 15 activity and ageostrophic processes near the boundary (Georgiou et al., 2019; Brüggemann and Katsman, 2019), which gives
- 16 rise to stronger vertical transports. It is also larger than the -1 Sv estimated by Pickart and Spall (2007) using the World Ocean
- 17 Circulation Experiment (WOCE) AR7W line data and the -1.2 Sv estimated from Argo floats by Holte and Straneo (2017)

at a shallower depth (around 800 m). This substantial difference may be due to the scarcity of observations. Interestingly the value of z_{\min} for the Labrador Sea matches the one previously shown in Fig. 3A for the whole basin (1139 m). The Greenland Sea also shows a time-mean W_{Σ} that stays negative during the whole year of about -1.2 Sv (Fig. 7C), with the minimum W_{Σ} (largest sinking) occurring in June and the maximum in February (Table 2). In this case z_{\min} is located at a depth around 80 m, with a quasi-linear decrease in the magnitude of sinking until a depth of 1800 m at which it is nil. The sinking in the Irminger and Norwegian Seas is more variable and depends on the time of the season, yielding net positive (negative) W_{Σ} during winter (summer) months (Fig. 7B,D). This yields a smaller annual mean sinking than in the Labrador and Greenland Seas for the Irminger Sea of -0.75 Sv and net upwelling of $+0.37$ Sv for the Norwegian Sea. A notable difference between the Irminger and Norwegian Seas is the value of z_{\min} , which is ~ 470 m for the Norwegian Sea and ~ 1630 m for the Irminger Sea.

Table 2. Summary of properties of the sinking shown in Fig. 3A and Fig. 7 for the entire study area (Domain) and all regions defined in Fig. 6. μ denotes the time-mean net vertical transport (W_{Σ}) at the depth of minimum W_{Σ} (z_{\min}), and σ its the standard deviation. Min and Max denote the months when the minimum and the maximum W_{Σ} (or equivalently the largest and the smallest sinking) occur respectively. The final column shows the signal to noise ratio (SNR), defined as $\text{SNR} = \left| \frac{\mu}{\sigma} \right|$.

Area	$\mu \pm \sigma$ [Sv]	z_{\min}	Min	Max	$\text{SNR} = \left \frac{\mu}{\sigma} \right $
Domain	-13.6 ± 4.1	1139	August	February	3.3
Labrador Sea	-4.02 ± 0.83	1139	June	January	4.9
Irminger Sea	-0.75 ± 1.13	1626	August	March	0.6
Greenland Sea	-1.19 ± 0.43	83	January	September	2.8
Norwegian Sea	$+0.37 \pm 1.42$	466	August	January	0.3
Denmark Strait	-2.24 ± 0.28	729	February	August	8
Iceland-Scotland	-2.75 ± 0.37	918	July	February	7.4
Newfoundland	-3.82 ± 1.86	2125	June	March	2
Rockall	-1.58 ± 0.65	1379	August	February	2.4

The magnitude of the seasonal variability of W_{Σ} is exhibited in Fig. 8, where the time series of W_{Σ} at z_{\min} with (solid lines) and without (dashed lines) the seasonal signal are depicted for each region (Fig. 7 and Table 2). It displays a negative W_{Σ} all year round for the Labrador Sea that varies between -2 Sv (winter) and -5 Sv (late spring/summer). This result for the Labrador Sea agrees qualitatively with Holte and Straneo (2017), who also found the strongest sinking in spring (-1.2 Sv) and the weakest sinking in winter (-0.6 Sv). Georgiou et al. (2019) also found this intensification of the sinking during spring in their idealized Labrador Sea model in response to the larger density gradients between the boundary and the interior. The Irminger and Norwegian Seas share a large temporal variability that is reflected in an elevated standard deviation of ~ 1.1 and 1.4 Sv respectively (Fig. 7B,D), and a seasonal variability of ~ 3 Sv, similar to that found in the Labrador Sea (Fig. S8B,D). For the Irminger Sea, z_{\min} remains constant during the year while for the Norwegian Sea it changes every season with an abrupt deepening in winter when it reaches a depth of ~ 1200 m (horizontal dashed black lines in Fig. S8B,D). The Greenland Sea also shows some seasonal variability of W_{Σ} at z_{\min} (1 Sv, Fig. S8C), with the depth of largest sinking shallowing significantly

1 during winter to ~ 100 m (black dashed lines in Fig. 7C), when the strongest sinking occurs. In terms of SNR, the Labrador
2 Sea has a high value of ~ 4.8 , which is larger than for the Greenland Sea (2.8). On the contrary, the Irminger and Norwegian
3 Seas yield low values of SNR (0.7 and 0.25 respectively, Table 2), which reflect their remarkable seasonal variability (Fig.
4 S8B,D). The boundary sinking is delayed from the occurrence of deep convection in the interior of subpolar North Atlantic as
5 demonstrated by the fact that the largest boundary sinking in Labrador and Irminger Seas occurs in late spring/summer while
6 the deep convection takes place in late winter/early spring (Fig. S2).

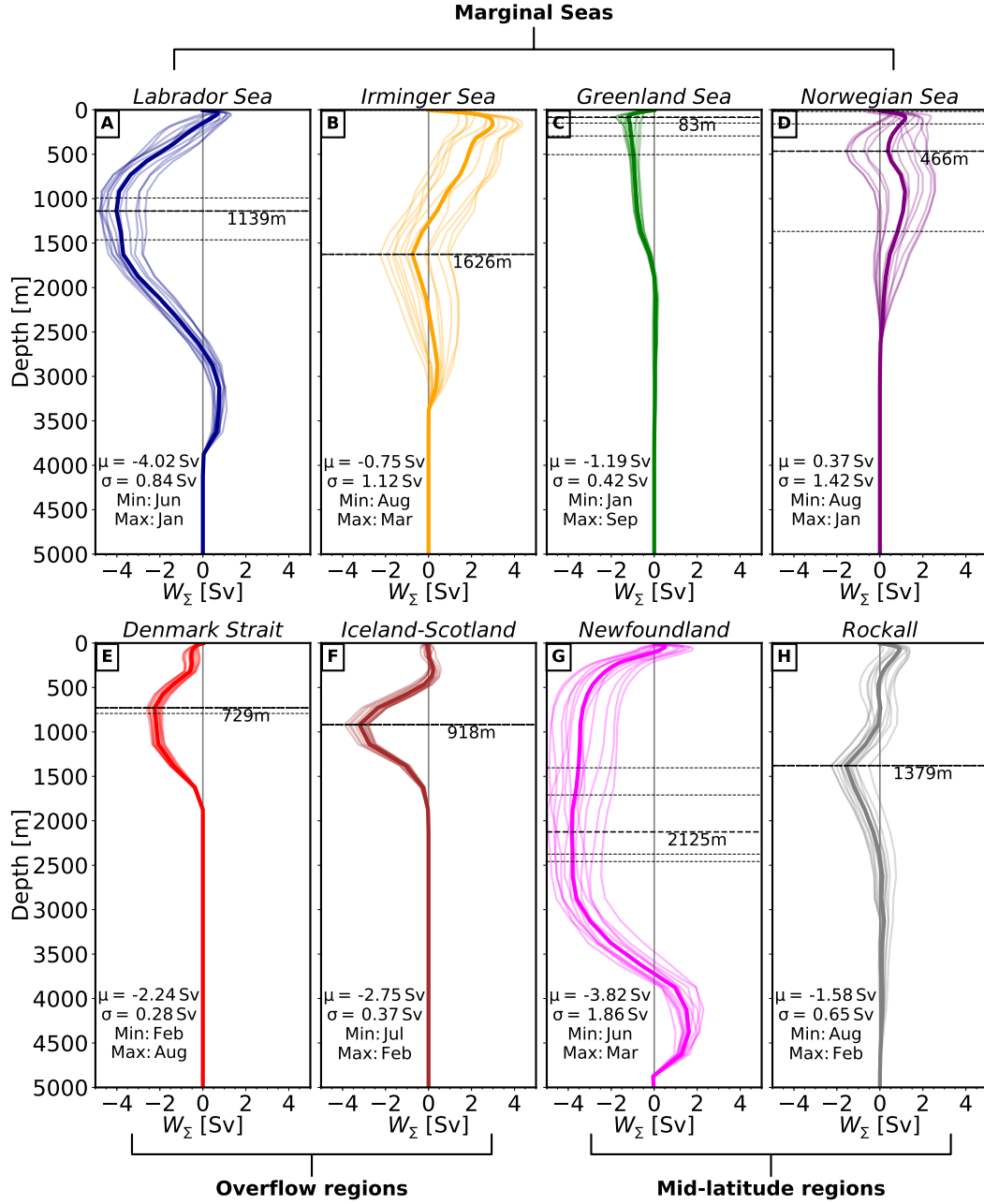


Figure 7. Vertical profile of annual mean (thicker line) and monthly averages (thinner lines) of net vertical transport (W_{Σ}) for the regions defined in Fig. 6. μ and σ are the climatological mean and standard deviation of W_{Σ} at the depth of largest sinking (or minimum W_{Σ} , see legend). z_{\min} is the depth where the largest sinking is found. Max and Min refer to the months with maximum and minimum mean W_{Σ} (smallest and largest sinking) respectively. Seasonal mean depths of W_{Σ} are displayed by horizontal black dashed lines.

Next, we evaluate to what extent the sinking regimes proposed in Sect. 3.2 for the entire subpolar North Atlantic are also applicable to the individual regions of interest. To this end we have plotted the accumulated W_{Σ} from C_{50} to the interior at the depth of largest sinking for each region (Fig. 8). Overall, the Labrador, Greenland and Norwegian Seas show the sinking regimes proposed in the Sect. 3.2, with a stronger negative accumulated W_{Σ} near the slope at distances shorter to C_{50} than 200 km and a small accumulated W_{Σ} at larger distances. In particular, the Labrador Sea yields an accumulated sinking W_{Σ} of around -4 Sv in the region covered by the sinking regime **I**, which is larger in magnitude than the -1 Sv or the -0.5 Sv obtained for the Norwegian and Greenland Seas respectively for the same region (Fig. 8A,C-D). The amount of negative accumulated W_{Σ} in the regime **II** is generally smaller in magnitude in the individual regions: roughly -1.5 Sv for the Labrador Sea, -0.5 Sv for Greenland Sea and 0 Sv for Norwegian Sea (Fig. 8A,C-D). Differences between sinking regimes **I** and **II** are subtle but still distinguishable as the slightly larger seasonal variability (up to 2 Sv for the Labrador and Norwegian Seas) and the higher number of oscillations at distances within the regime **II**. In contrast, the Irminger Sea shows a succession of positive/negative accumulated W_{Σ} over the distances covered by the regimes **I-II**, with a negative accumulated W_{Σ} at 250 km of around -1 Sv. Depending on the marginal sea considered regime **III** is found from 200-300 km off C_{50} (shorter for Greenland Sea due to its shallower depth of largest sinking), and it is associated with larger monthly variations of accumulated W_{Σ} than in regimes **I** and **II**. Some clear examples of this seasonality are exhibited by the Irminger, Norwegian and Labrador Seas with ranges $\sim 2 - 3$ Sv (Fig. 8A-B,D); the Greenland Sea also shows a clear seasonality but weaker (1 Sv) in line with Fig. 8C and Fig. S8C. We note that the pattern of accumulated W_{Σ} is particularly complex for the Irminger Sea on and near the slope, with positive accumulated W_{Σ} at a distance to C_{50} between 90 and 150 km followed by negative accumulated W_{Σ} between 210 and 300 km off C_{50} . One explanation for that succession of upward/downward moving waters near the boundaries is the uphill/downhill flow along the coast, which is probably linked to the bathymetry (as seen in Fig. 2A, and also supported by a depth of largest sinking that does not vary seasonally in Fig. 7 and by a more dedicated assessment of W in Fig. S9). At distances of more than 300 km from C_{50} , the Norwegian and Irminger Seas show a positive accumulated W_{Σ} during winter yielding a seasonal variation with respect to summer months of 3 Sv. To summarize, our results confirm that to a large extent the proposed sinking regimes remain applicable to marginal seas, in particular to the Labrador Sea. However, the mentioned differences and similarities among the marginal seas (e.g. their different z_{\min}) reveal a complex picture, where the boundaries between the different regimes are not fixed and can shift a few tens of km closer to or farther from C_{50} . That is, sinking regimes are influenced by the local bathymetric features and processes in each marginal sea.

4.2 Overflow regions

The Denmark Strait and the Iceland-Scotland Ridge are regions where the W_{Σ} is mainly dominated by the overflow of waters from the Nordic Seas towards the northern subpolar North Atlantic. Fig. 7E-F shows that the mean magnitude of W_{Σ} is very similar in both regions and amounts to roughly -2.2 and -2.7 Sv in Denmark Strait and Iceland-Scotland respectively. Altogether it gives a total value of ~ -5 Sv for overflow waters, which represents at most 37% of the total W_{Σ} at z_{\min} (compare overflow regions against Domain in Table 2). The outcome from the Denmark Strait is in agreement with the -2.2 Sv estimated by Katsman et al. (2018) for the ORCA025 hindcast (note that they estimated W_{Σ} in a different area and z_{\min}) and 0.25 Sv

1 higher than the transport found by Köhl et al. (2007) in a model simulation. However, these model-based results are about 1 Sv
2 weaker than the hourly observations, which yield -3.2 ± 1.5 Sv (Jochumsen et al., 2017). z_{\min} differs for both regions, and is
3 shallower for the Denmark Strait (729 m) than for Iceland-Scotland (918 m). This is related to the respective sill depths in the
4 model.

5 The downward transport in the Denmark Strait peaks in February and is weakest in July, which is out of phase with all other
6 regions; the Iceland-Scotland region peaks in July and is weakest in January (Table 2). Seasonal variability is present in both
7 time series with ranges of 0.6 Sv for the Denmark Strait and 1 Sv for Iceland-Scotland (which is poorly defined in some specific
8 years) at their respective z_{\min} (solid lines in Fig. S8E-F, and Table 2). Besides this depth shows larger seasonal variations in
9 Iceland-Scotland than in the Denmark Strait (black horizontal dashed lines in Fig. 7E-F). The seasonal signal is smaller in
10 the Denmark Strait than in other basins, with differences between winter and summer (Fig. S8E) likely due to fluctuations
11 of the overflow plume (Jochumsen et al., 2017; Håvik et al., 2017), which has an observed reduced transport in summer. As
12 other high-resolution models, this model simulation tends to overestimate seasonal changes of overflow waters through the
13 Denmark Strait: observations indicate a seasonal signal of only around 0.05 Sv (Jochumsen et al., 2012). Moreover, sinking in
14 Iceland-Scotland displays slightly larger fluctuations than in the Denmark Strait ($\text{SNR} = 7.4$ against $\text{SNR} = 8$). This can be
15 explained by its larger extent, which in this case covers two sills: one near Iceland and another closer to Scotland.

16 More differences between the Denmark Strait and the Iceland-Scotland regions become apparent from Fig. 8E-F where the
17 time-mean accumulated W_{Σ} is plotted versus the distance to C_{50} . The positive and negative accumulated W_{Σ} in the Denmark
18 Strait over the first 250 km off C_{50} (Fig. 8E) reflect waters moving southward from the Nordic Seas that first flow up and
19 then down over the sill. This is illustrated by the deepening of the isopycnal in Fig. S6C after crossing the Denmark Strait and
20 demonstrated in Fig. 9, in which the Denmark Strait region has been divided in two parts of similar size on either side of the
21 sill (green triangle in Fig. 9A): one that mainly contains the upward movement of waters as they approach the sill (DSO \uparrow) and
22 another that contains the downward movement of waters after crossing the sill (DSO \downarrow). As a result, this up/down transport
23 is clearly reflected in Fig. 9B-C, with the strongest upwelling (+1 Sv) located at a depth of 579 m, and the strongest sinking
24 (-3 Sv) is found at 729 m. The difference between DSO \uparrow and DSO \downarrow accounts for the near 2 Sv of net sinking found in
25 this region. Furthermore, the accumulated vertical transport with respect to the distance to the sill at the respective depths of
26 strongest upwelling and sinking show that the most important contributions occur within the first 150 km off the sill.

27 In Iceland-Scotland the strongest sinking can be identified for distances to C_{50} smaller than 100 km but relatively far
28 when compared with Labrador and Norwegian Seas. Sinking is larger in summer than in winter. The two sills are clearly
29 identifiable in Fig. 8F: the first near Scotland at around 80 km and the second near Iceland at about 180 km from C_{50} . So
30 our results indicate that the classification in sinking regimes does not capture some specific features of the overflows. This is
31 not surprising, since they are governed by a different dynamics. Indeed, the location where sinking associated with overflows
32 occurs is not determined by lateral boundaries but rather by the bathymetry, so that it can occur at distances to the shelf distinct
33 from the pattern shown by the marginal seas.

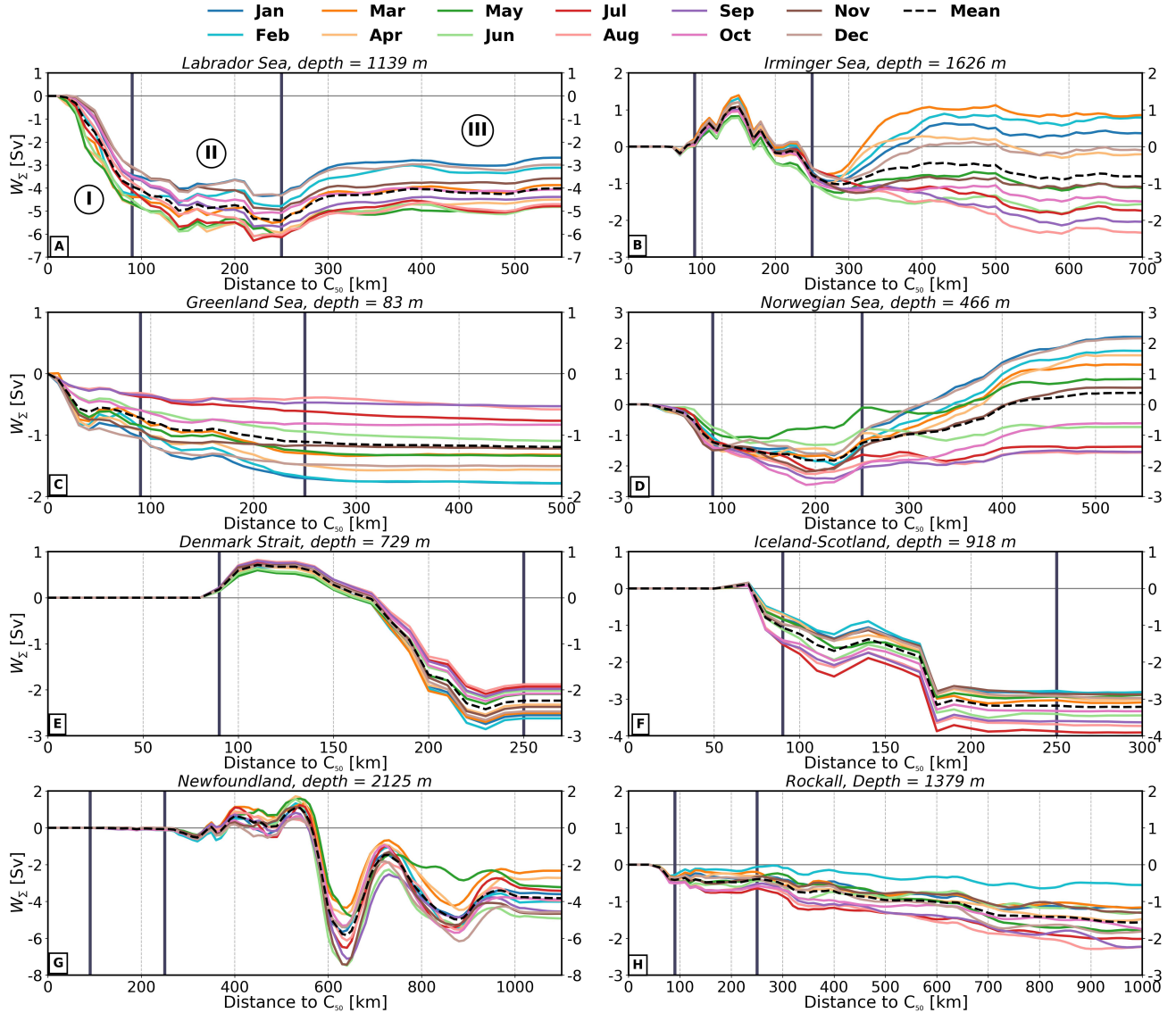


Figure 8. Accumulated net vertical transport (W_Σ) with respect to the distance to the closest bathymetric contour of 50 m (C_{50}). Distances are shown in Fig. 4 (inset map). Annual (dashed black line) and monthly mean (colored lines) curves are depicted for the regions defined in Fig. 6. The accumulated W_Σ has been calculated at the depth of minimum time-mean W_Σ (z_{\min}), which differs for each region (see Table 2 and plot title). The bounds separating the sinking regimes (I-II-III) proposed in Fig. 4 (90 km, 250 km) are indicated with thicker solid vertical lines. Note the differences in the horizontal and vertical scales in the plots.

1 4.3 Mid-latitude seas

- 2 Finally, we discuss the characteristics of the vertical transport in two regions at mid-latitudes: Newfoundland, located further
- 3 south in the vicinity of the Gulf Stream, and Rockall, which occupies the east Atlantic between 5° W and 25° south of Iceland.

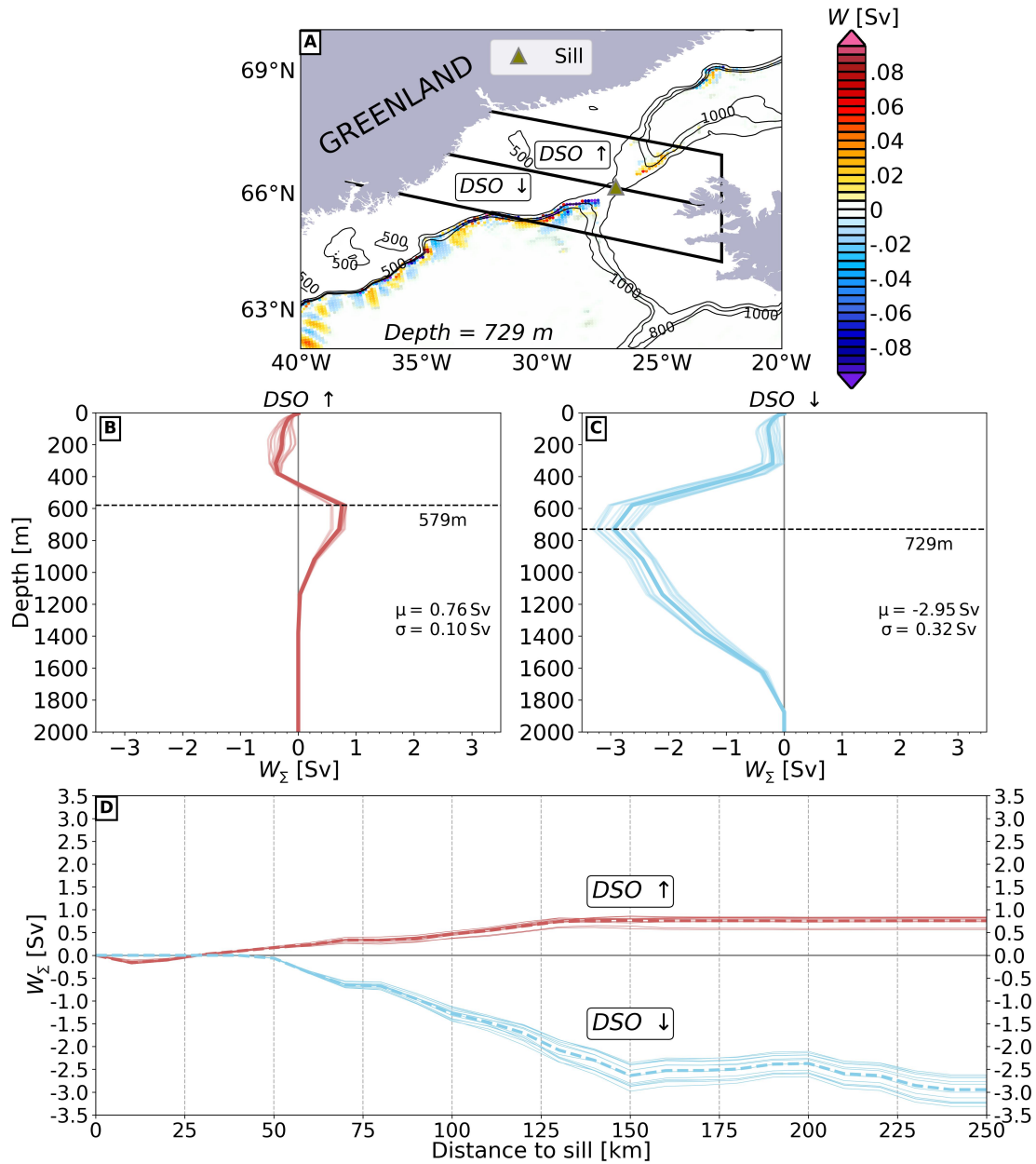


Figure 9. (A) Map of Denmark Strait Overflow region (DSO). The mean vertical transport (W) at 729 m is depicted by shading (color). The triangle illustrates the location of the sill (green triangle) that separates the Denmark Strait in two areas of similar size (DSO \uparrow and DSO \downarrow). Bathymetric contours are indicated by black line. (B-C) Vertical structure of transport (W_z) in DSO \uparrow and DSO \downarrow respectively. (D) Annual (dashed line) and monthly (red solid lines) accumulated vertical transport with respect to the sill in DSO \uparrow (red) and in DSO \downarrow (light blue). Both have been calculated at the corresponding depths of largest upwelling (579 m) and sinking (729 m) for DSO \uparrow and DSO \downarrow respectively.

1 Together they yield a time-mean W_{Σ} contribution of ~ -5.4 Sv at z_{\min} (Fig. 7G-H). Newfoundland is the region with the
2 second largest W_{Σ} after the Labrador Sea, with a sinking of -3.8 Sv. About -0.5 Sv of the sinking contained in Rockall takes
3 place near the south of Iceland. A significant difference between both regions is z_{\min} , which is much deeper in Newfoundland
4 (2125 m) than in Rockall (1379 m). Indeed sinking extends deeper in Newfoundland, even reaching depths below 3000 m
5 (Fig. 7G). The minimum (maximum) W_{Σ} at z_{\min} occurs in summer (winter) for both areas, being in June (March) and August
6 (February) for Newfoundland and Rockall respectively. Although monthly variations reach 4 Sv for Newfoundland and 2 Sv
7 for Rockall (solid curves in Fig. S8G-H), the seasonal cycle is not very pronounced for either of the two regions at z_{\min} ,
8 although clearer for Rockall. The much larger temporal variability for Newfoundland is reflected in $\sigma = 1.86$ Sv against the
9 $\sigma = 0.65$ Sv of Rockall, despite the SNR is rather similar (2 against 2.4).

10 Clear differences are seen when we compare the accumulated W_{Σ} with respect to the distance to C_{50} (Fig. 8G-H for the two
11 regions). Interestingly, Newfoundland displays large oscillations of positive and negative accumulated W_{Σ} with wavelengths of
12 about 200 km. This suggests the presence of permanent mesoscale eddies in the ocean interior, which are able to induce those
13 such strong vertical velocities, as shown in Fig. 5. In contrast, Rockall shows on average a quasi-linear decrease of the mean
14 accumulated W_{Σ} with respect to the distance to C_{50} that is more intense in the area within regime I. It also displays seasonal
15 variability that, for example, yields a smaller sinking during late winter and spring months. We conclude that mean features of
16 sinking in Newfoundland and Rockall regions show similar characteristics to those seen for the entire subpolar North Atlantic,
17 as reflected by some boundary sinking in Rockall (regime I) and the strong vertical velocities at large, semi-permanent eddies
18 likely detached from the North Atlantic Current in the interior of Newfoundland (regime III).

19 **4.4 Further characterization of sinking regimes illustrated by selected regions**

20 In this Section we discuss in more detail the differences in sinking based on three regions: the Labrador and Irminger Seas,
21 and Newfoundland. These regions represent around 2/3 of the total sinking, are relatively far from overflows (although some
22 contribution may be expected in the northern Irminger Sea) and present remarkable differences in their dominant sinking
23 regimes covering a wide range of patterns that can be identified in other marginal seas as well. The spatial distribution of time-
24 mean vertical transport (W) for the three regions at the corresponding depth of largest sinking (z_{\min}), which differs for each
25 region according to Table 2, is shown in Fig. 10A; the difference between the W calculated during the months of minimum
26 and maximum W_{Σ} is shown in Fig. 10B (also these months, distinct for each region, are indicated in Table 2). We have added
27 black contours to illustrate the positive/negative variation of the climatological mean EKE between the respective months at
28 z_{\min} (Fig. 10B).

29 The Labrador Sea, which yields the largest contribution to the time-mean W_{Σ} , is the most representative sea where all
30 the necessary ingredients for boundary sinking are fulfilled: a cyclonic boundary current, strong along-shore and cross-shore
31 density gradients, a steep bathymetric slope and an active eddy field (Brüggemann and Katsman, 2019; Georgiou et al., 2019).
32 As a result, the three sinking regimes proposed for the entire subpolar North Atlantic in Fig. 4 were also identified in Fig. 10A
33 for the Labrador Sea. The strong W near the boundary in the Labrador Sea (Fig. 10A) intensifies at the western side of the
34 southern tip of Greenland during late spring, which is associated with a nearby increase of EKE (see the solid black contours

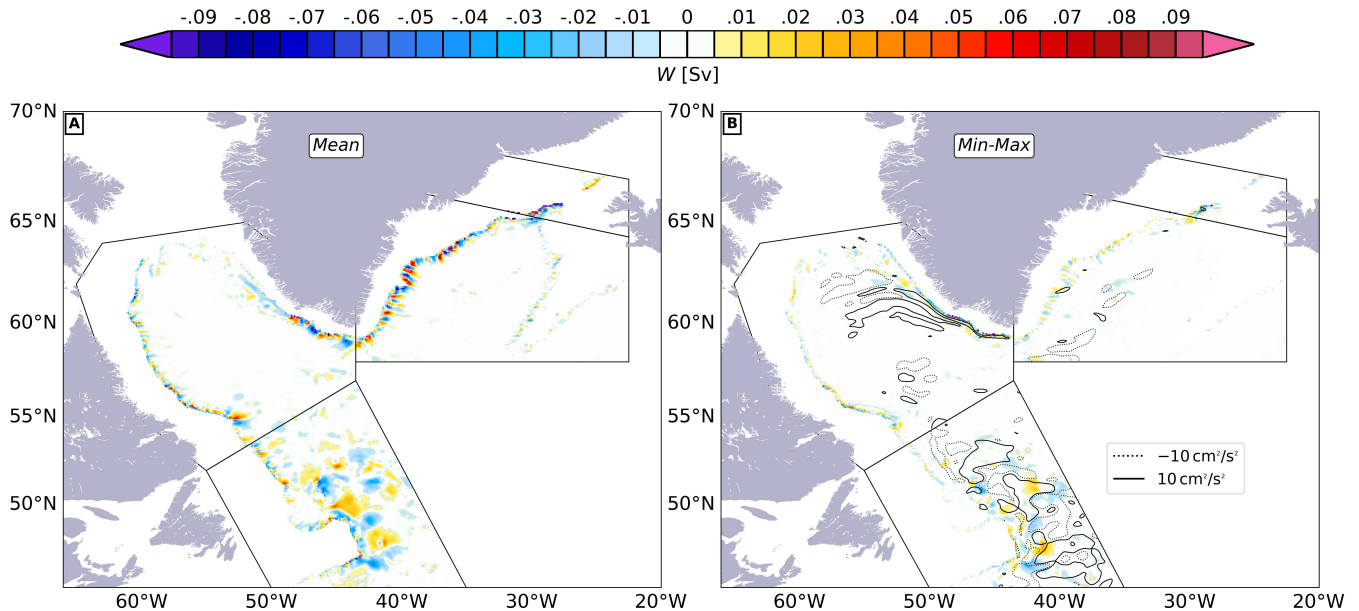


Figure 10. (A) Composite map of mean vertical transport (W) for the western regions of study (defined in Fig. 6) at the corresponding depth of minimum time-mean W_Σ , which differs for each region according to Table 2. (B) Same as (A) but now the mean W (shading) and EKE (black contours, see legend) at the month of minimum W_Σ minus the mean W at the month of maximum W_Σ is plotted. These months of minimum and maximum sinking also change for each region according to Table 2. Units of W in Sv and of EKE in $\text{cm}^2 \cdot \text{s}^{-2}$.

1 over the blue patches in Fig. 10B, and the patches of EKE in Fig. S5C-E around the tip). Indeed the Labrador Sea displays an
2 increase in the average horizontal speed of the boundary current at z_{\min} during late winter and spring (Fig. 11A), which may
3 enhance the horizontal velocity shear. As a result, we can expect an increase in the mean advection of vorticity between the
4 coast and near the peak of the boundary current (at around 70 km off C_{50} , mostly within the region covered by regime **I**, Fig.
5 11A) and a decrease offshore of the location of the maximum speed (with a part within the regime **II**) that may be compensated
6 by the presence of more eddies. The more active role of eddies exchanging waters between the interior and the boundary agrees
7 with the reduced cross-shore potential density gradients found in regions **I** and **II** (green and orange lines in Fig. 11B). The
8 idea that changes in EKE pathways may facilitate to intensify sinking is further discussed by Georgiou et al. (2019) for an
9 idealized convective basin mimicking the Labrador Sea.

10 In contrast to the Labrador Sea, the change in W in the Irminger Sea between the months of minimum and maximum
11 time-mean W_Σ is significantly smaller (Fig. 10B). This finding, together with the permanent depth of largest sinking (z_{\min})
12 and the up and down distribution of sinking found in Fig. 8B, supports the hypothesis that the sinking near the boundary in
13 the Irminger Sea is mostly quasi-stationary and topographically-driven (see a detailed example of this up/down of waters in
14 Fig. S9), which explains the small amount of net sinking found. In Fig. 8B it can be observed that there is a large difference
15 (in terms of seasonal variability) between the sinking regimes **I-II** and the regime **III** in the Irminger Sea, which is probably
16 driven by interior eddies. An interesting point to mention is the stronger mixing of waters within regions of regimes **I-II** (or

equivalently, the reduction of the cross-shore gradient) during the months of late winter and spring (orange and green lines in Fig. 11D). This pattern reflects a different behavior from what we find in the Labrador Sea or Newfoundland (Fig. 11B,F) and is accompanied by an intensification of the boundary current during the same months (orange and green lines in Fig. 11C). This difference is also reflected in the positive vertical transport during winter within the regime **II** (blue and orange lines in Fig. 8B) and reinforces the crucial importance of topographic features in driving the boundary sinking in the Irminger Sea.

Newfoundland yields the second largest contribution to sinking, which is largely produced within the sinking regime **III**. The strong seasonal variations of W in the Newfoundland region below 2000 m are mostly related to EKE interior pathway changes (see black contours in Fig. 10B) impinged by North Atlantic Current fluctuations and meandering. The fact that strong vertical velocities appear at the edge of large eddies in the interior, thus contributing to upwelling/sinking has been already shown in Fig. 9G, Fig. 10A-B and Fig. S4, where a train of large eddies near the Flemish Cap is visible). Finally, in the Newfoundland region the peak of the boundary current at its corresponding z_{\min} falls in regime **III** (Fig. 11E); although the strongest sinking occurs in the interior and below 2000 m, there is some sinking at shallower depths as indicated by its vertical structure in Fig. 7G (Fig. S4). Similar plots showing the overall weaker spatial patterns of sinking for the remainder of the regions can be found in Fig. S10 and Fig. S11.

5 Are regional variations in the net vertical transport connected to AMOC changes?

In Sect. 3 we demonstrated the consistency between the overturning streamfunction (ψ_o) at the southern boundary of our study area at 45°N and the total net vertical transport (W_{Σ}) in the subpolar Atlantic basin (Fig. 3B). As the amount of accumulated negative W_{Σ} appeared to differ between the boundaries and the interior, we have classified the sinking according to three regimes (Fig. 4). Moreover, in Sect. 4 we have evaluated the spatial patterns and the seasonal variability of sinking at the regional level. The fact that nearby areas exhibit striking differences in the amount, the seasonality and the distribution of W_{Σ} suggests that, to a large extent, it depends on local dynamics and bathymetry. However, it still remains unclear how regional boundary sinking is related to the AMOC strength. For instance, does a decrease/increase in Labrador Sea W_{Σ} have any quantifiable effect on the AMOC? To address this we have computed the cross-correlation between the reverted time series of the maximum of ψ_o at 45°N (red lines in Fig. 3B) and the time series of W_{Σ} (Fig. 8) for each region at the depth of largest sinking (z_{\min} , Table 2). We have performed the analysis for two cases: with seasonal variability (Fig. 12B) and without seasonal variability (Fig. 12C). Fig. 12A shows the monthly climatology of sinking at their corresponding z_{\min} so that the months with the largest and smallest sinking for all regions (Table 2) are easily identifiable. Solid lines in Fig. 3B and in Fig. 9 include the seasonal signal whereas in the dashed lines seasonality has been subtracted. A positive correlation at a positive time-lag τ means that stronger (weaker) sinking yields a stronger (weaker) AMOC (ψ_o) at 45°N τ months later.

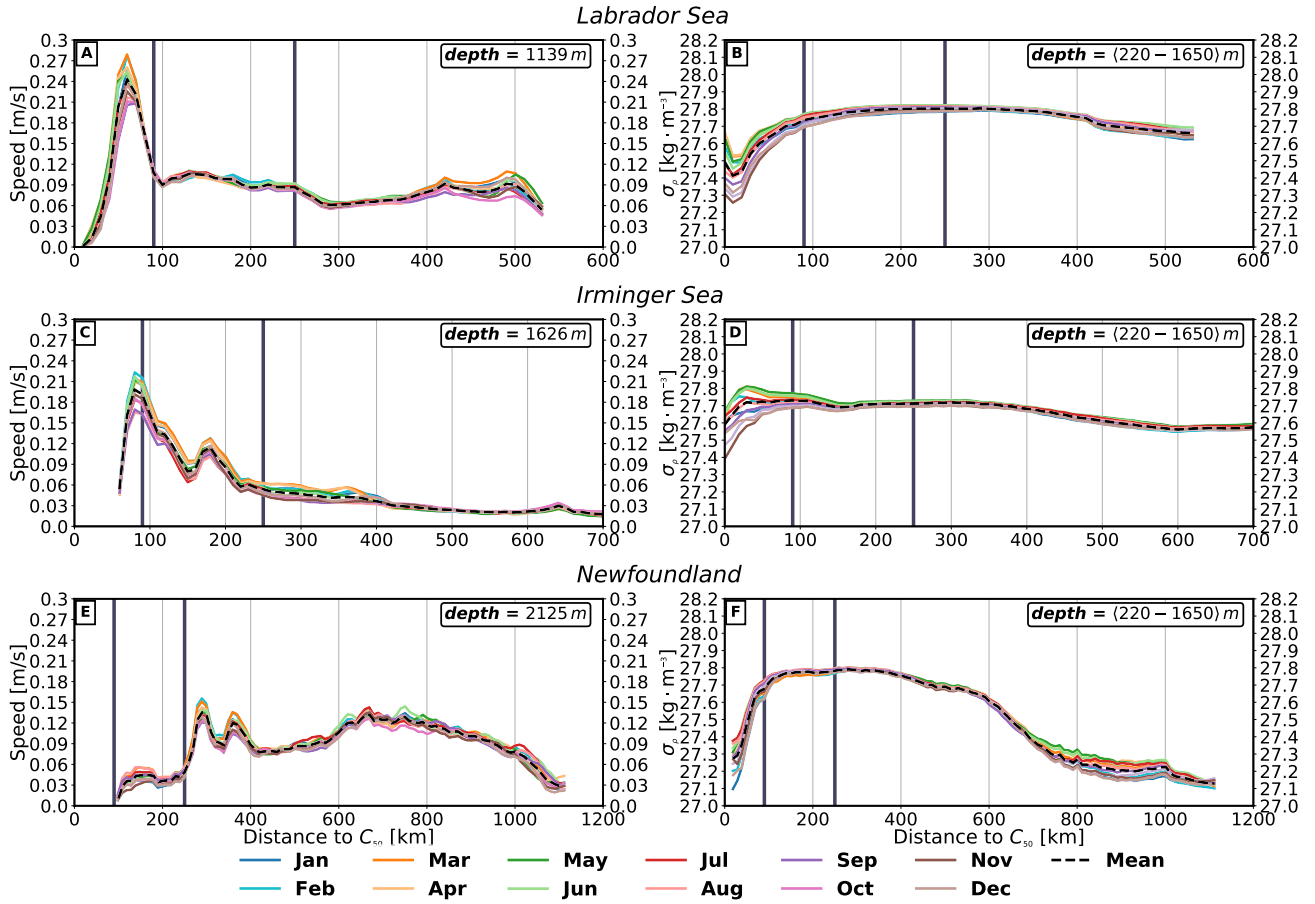


Figure 11. 15-year climatology of the following variables with respect to the distance to the coast (according to the inset map in Fig. 4) for (A)-(B) the Labrador Sea, (C)-(D) the Irminger Sea, and (E)-(F) Newfoundland: (A)-(C)-(E) horizontal speed of current at the depth of largest sinking (z_{\min} , Table 2); (B)-(D)-(F) potential density anomalies ($\sigma_{\rho} = \rho - 1000$ [$\text{kg} \cdot \text{m}^{-3}$]) averaged between z -layers 14 and 24 ($\sim 220 - 1650$ m). For all panels the dashed black line depicts the mean, whereas colored lines show the monthly average. The bounds between the sinking regimes proposed in Fig. 4 are indicated with thicker solid vertical lines. Note the differences in horizontal scales of the subpanels.

1 The high correlation between W_{Σ} for the entire subpolar North Atlantic (DOMAIN) and ψ_o (> 0.9 , mentioned in Sect.
2 3) appears clearly at zero-lag for both study cases. Also the time-lags found for regions are in agreement with the temporal
3 separation between the corresponding months of minimum W_{Σ} and the month of maximum ψ_o at 45°N . For instance, the
4 Labrador Sea displays the minimum sinking in June whereas ψ_o has its maximum in August (Fig. 12A). As a consequence,
5 the highest correlation is found for a lag of the AMOC of 1-2 months. The same is found for all other regimes, including the
6 Denmark Strait, which has the minimum W_{Σ} in February yielding a negative correlation at zero-lag. Fig. 12B shows that for
7 the southern regions (Rockall and Newfoundland) correlations are surprisingly weak. One reason for this is that the signal of
8 negative W_{Σ} is very noisy for these two regions, with no clear seasonal cycle (Fig. S8G-H), while ψ_o has a clear seasonal

signature. Also for the Greenland Sea correlations are rather weak (< 0.4), presumably due to its small seasonal variability (Fig. S8C).

To eliminate the influence of seasonality we repeat the analysis on the deseasoned signal. Resulting correlations (Fig. 12C) demonstrate that the only region with a significant correlation between variations of sinking and ψ_o is Newfoundland. This is the region with the largest non-seasonal variations (Fig. S8G, dashed line) and that shares its boundary with ψ_o at 45°N . Therefore it is reasonable to think that any change in the North Atlantic Current, either in strength or position, will reflect in sinking in Newfoundland and vice versa (for instance a fluctuation in the train of eddies in the interior of the Newfoundland region).

The existence of a high correlation does not necessarily imply that variations in the sinking waters govern the AMOC as the different regions in this simulation are subject to the same strong large-scale forcing, for instance the seasonal heat fluxes or wind stress variations that affect mid and high North Atlantic latitudes. Thus, the AMOC and the sinking are likely responding synchronously to variations in large-scale forcing. Therefore our results using an Eulerian standpoint do not evidence that a variation (marked increase/decrease) in W_Σ at any of the marginal seas propagates to the lower cell of the AMOC. To investigate this in more detail requires the use of a Lagrangian approach to track the boundary sinking and subsequent spreading of waters, which is beyond the scope of this paper.

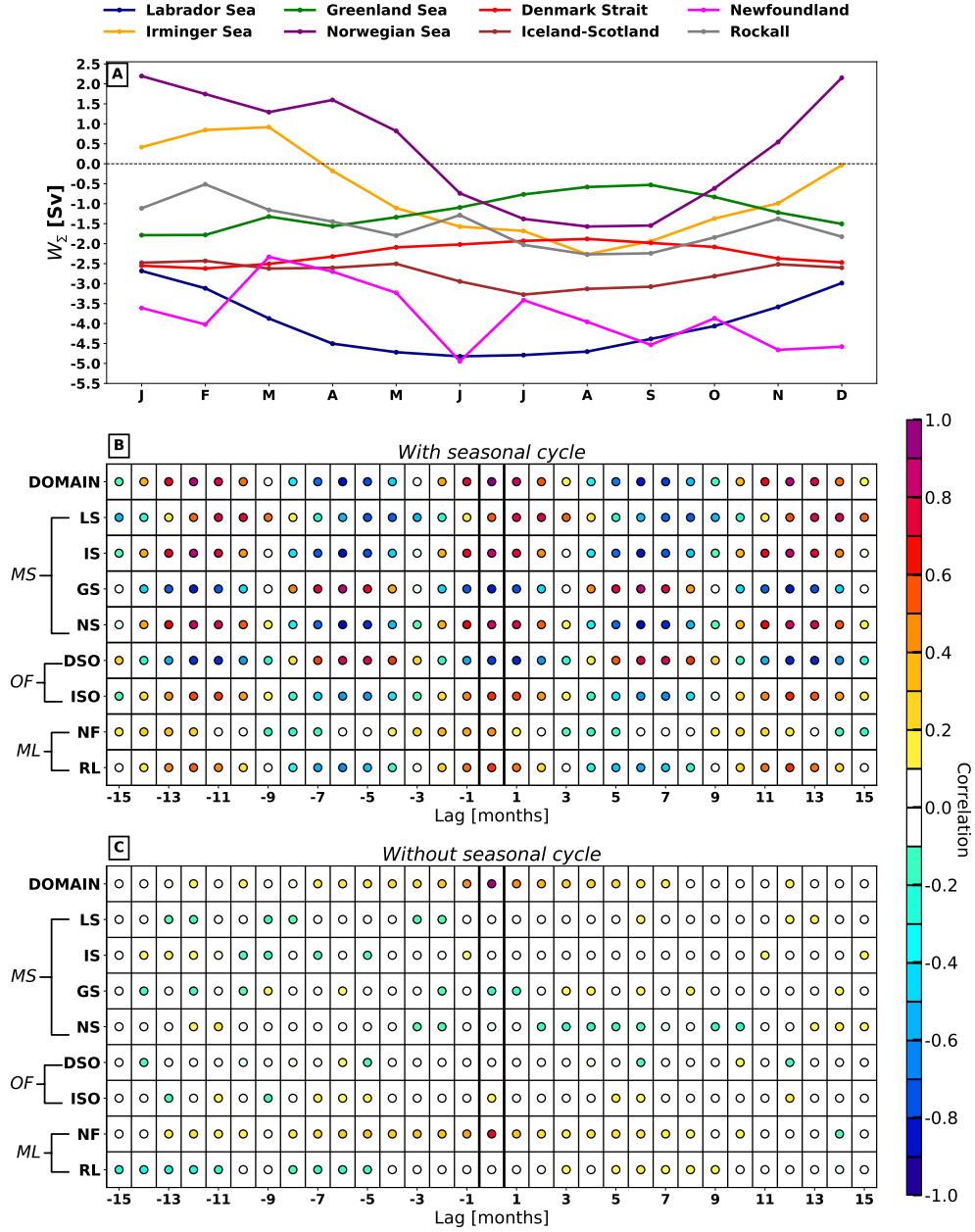


Figure 12. (A) Time series of the monthly climatology of W_{Σ} for the regions defined in Fig. 6 at the depth of largest mean sinking (z_{\min} , which differs between regions according to Table 2). (B) Cross-correlation between the maximum of the reverted overturning streamfunction (ψ_0) at 45°N (red lines in Fig. 3B) and the regional time series of net vertical transport, W_{Σ} (Fig. S8), at the depth of minimum time-mean sinking for a set of time-lags (in months). (C) As (B) but without the seasonal variability. The seasonality has been removed by subtracting the corresponding 15-year monthly mean (i.e. panel (A) for the regional time series). Sinking leads over ψ_0 for positive lags. DOMAIN refers to the whole study area (Fig. 6) and the acronyms are defined as: Labrador Sea (LS), Irminger Sea (IS), Greenland Sea (GS), Norwegian Sea (NS), Denmark Strait overflow (DSO), Iceland-Scotland Ridge overflow (ISO), Newfoundland (NF) and Rockall (RL), marginal seas (MS), overflow regions (OF) and mid-latitude seas (ML).

1 6 Summary and discussion

2 Based on a high resolution ocean model simulation forced by a prescribed annual cycle of wind, precipitation and heat fluxes,
3 we have found that the amount of minimum time-mean net vertical transport (W_{Σ}) for the entire subpolar North Atlantic Ocean
4 is consistent with the transport and vertical structure of the AMOC core at mid latitudes (45°N), with an average of about -14
5 Sv at a depth of 1139 m. Moreover, the prescribed annual cycle introduces a strong seasonality that favours more sinking of
6 waters at basin scale and a stronger AMOC during summer than in winter, with a similar seasonal variability in both signals
7 (~ 10 Sv). However, this picture becomes much more complex at regional scales, as is illustrated by the different depths at
8 which the largest sinking occurs (ranging from 460 to 2000 m), the distinct spatial distribution and the asynchronous seasonal
9 variations of W_{Σ} that are found for the different regions in the subpolar North Atlantic.

10 In accordance with recent studies, our model results confirm that the largest vertical transports occur near the boundaries
11 below the mixed layer depth (Katsman et al., 2018; Brüggemann and Katsman, 2019; Georgiou et al., 2019), in a narrow
12 band that extends between 50 and 250 km off the contour of 50 m depth (C_{50}). When we consider the sinking over the whole
13 subpolar North Atlantic, three dominant sinking regimes are revealed: regime **I** ($\sim 0 - 90$ km) appears where the topographic
14 dissipation is largest, regime **II** ($\sim 90 - 250$ km) covers the remainder of the continental slope, and regime **III** (distances > 250
15 km) occurs in the ocean interior. Our results indicate that around the 90% of the accumulated sinking takes place in the area
16 covered by regions **I** and **II**, while the largest seasonal variability of sinking occurs in region **III**. The near-boundary sinking
17 in regimes **I** and **II** is thought to be governed by the ageostrophic dynamics discussed by e.g. Spall and Pickart (2001) and
18 Straneo (2006), and its amount depends on the interplay of several factors: the existence of a boundary current, a steep slope,
19 the presence of eddies, and the along-shore and cross-shore density gradients (sloping isopycnals). This implies that the budget
20 of W_{Σ} is potentially sensitive to the intensity and the width of the boundary current, the strength of the eddy field, and the
21 dominant eddy paths (Georgiou et al., 2019).

22 Distinguishing by regions, we find that most of sinking occurs in the Labrador Sea (~ -4.0 Sv), Newfoundland (~ -3.8 Sv)
23 and the overflow regions (Denmark Strait and Iceland-Scotland Ridge with ~ -5 Sv altogether). The Irminger and Norwegian
24 Seas show a strong seasonally-dependent behaviour, with sinking during part of the year and upwelling the rest of the year
25 and hence little net sinking. We identified the three sinking regimes in almost all regions except in the overflow regions, which
26 are governed by a different dynamics, and in the Irminger Sea. The Irminger Sea shows a distinct sinking dynamics near the
27 boundary due to the existence of bathymetry-forced flows and probably by some overflow waters coming from the Denmark
28 Strait. Moreover, in each region the distance from the coast that marks the boundary between the sinking regimes is seen to
29 shift due to the local dynamics and bathymetric features (e.g. different steepness or shelf width) of each region.

30 The dominance of the seasonal forcing in the sinking response (probably induced by the repeated forcing conditions) prevents
31 us from finding a connection between the regional variations of sinking and the lower cell of the AMOC at mid latitudes, though
32 previous research has suggested a complex interaction between the surface atmospheric forcing, the boundary current and
33 interior waters for which eddies play a crucial role (Georgiou et al., 2019). To gain insight into this connection would require
34 an analysis of the near-boundary sinking and the AMOC in a model simulation with varying surface forcing. In addition, we

1 have studied the Eulerian net vertical transport without referring to the water-mass properties while the subpolar North Atlantic
2 is characterized by a strong densification of waters during late winter and spring. The latter would require an assessment of
3 sinking in density space, which is outside the main scope of this study, which focuses only on the vertical structure, seasonality
4 and spatial distribution of sinking. Also we note that monthly fields neither allow to quantify accurately which waters are
5 sinking, nor the amount of isopycnal and diapycnal mixing, since isopycnals fluctuate significantly at shorter time scales. In
6 this regard, our next step aims to address the above points by tracking the waters sinking near the boundary using a simulation
7 with higher temporal resolution. With this analysis, we expect to identify the characteristics of the near-boundary sinking water
8 masses, and to assess if any of their preferred pathways take them to the lower limb of the AMOC.

9 *Data availability.* Model data used in this work belongs to Henk Dijkstra. If interested, check its availability upon reasonable request by
10 sending an e-mail to h.a.dijkstra@uu.nl.

11 *Author contributions.* JMS and CK designed the paper, HD provided the model data, JMS performed the data analysis, JMS and CK inter-
12 preted the results and wrote the paper. All authors have read and approved the submitted version of the manuscript.

13 *Competing interests.* The authors declare that no competing interests are present.

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