



1 **Fine-scale vertical structure of sound scattering layers over an east**
2 **border upwelling system and its relationship to pelagic habitat**
3 **characteristics**

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21 **Abstract**

22 Sound scattering layers ‘SSLs’ distribution and their relationship to pelagic habitat
23 characteristics is a first step to understand their role in ecosystem dynamics and their
24 interactions with other pelagic components such as small pelagic fish. In this study two areas
25 of the Senegalese shelf have been characterized during upwelling season corresponding to a
26 cold inshore area and a deeper and warmer stratified offshore area that was sharply separated
27 by a strong thermal boundary. Marine pelagic organisms usually aggregates and occurs as
28 ‘SSLs’ on echosounder. Mean SSL thickness and SSL vertical depth increase with continental
29 shelf depth; thickest and deepest SSLs were observed in the offshore part of the continental
30 shelf. SSLs preferendum was reported for stratified water conditions rather than fresh upwelled
31 water. The SSLs spatiotemporal variability was mostly explained by bottom depth which
32 influence depth, thickness, and biomass of the SSLs. Diel period and water physico-chemical
33 characteristics had also an effect on SSL depth and SSL thickness but not on SSL biomass.
34 Despite chlorophyll-*a* has statistically no effect on SSLs structure, we report that the



35 chlorophyll-*a* peak was always located above or in the middle of the SSLs, often matching with
36 the peak of SSLs biomass. Such observations indicate trophic relationships, highlighting SSLs
37 being mainly composed of phytoplanktivorous organisms. Acoustic mapping technique of
38 mixed layer depth is not always efficient in east border upwelling system. Lastly, over the
39 Senegalese continental shelf the level of dissolved oxygen was not found limitative (no
40 hypoxia) for SSLs marine pelagic organisms during upwelling event.

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42 **Keywords:** pelagic organism, micronekton, plankton, diel vertical migration (DVM), Senegal,
43 West Africa.

44 1 Introduction

45 Aggregations of marine pelagic organisms in ocean water columns can be observed
46 acoustically as sound-scattering layers (SSLs) (Evans and Hopkins, 1981; Cascão et al., 2017).
47 These SSLs represent the entire mid trophic level (Behagle et al., 2017) that comprise millions
48 of tonnes of zooplankton and micronekton, which are fundamental to ecosystem functioning,
49 particularly in productive upwelling areas (*e.g.*, off the south coast of Senegal) (Auger et al.,
50 2016). Knowledge of the vertical structure of SSLs allows one to understand their role in
51 ecosystems better, information that can be used to monitor major environmental change and
52 variability. Most zooplankton and micronektonic taxa undergo diel vertical migration (DVM),
53 meaning that they reside in deep waters during the day and migrate toward the surface at night
54 to feed (Bianchi et al., 2013; Lehodey et al., 2015). DVM behaviors are influenced by
55 environmental cues (*e.g.*, light, nutrients, and temperature) and predator-prey interactions
56 (Clark and Levy, 1988; Lampert, 1989). Thus, DVMs represent an essential biological process
57 in the ocean, one that also regulates the biological carbon pump (Hidaka et al., 2001).

58 The distribution of SSLs is influenced by a variety of environmental factors (Aoki and
59 Inagaki, 1992; Baussant et al., 1992; Dekshenieks et al., 2001; Marchal et al., 1993). Changes
60 in the structure and density of SSLs are associated with frontal zones (Aoki and Inagaki, 1992;
61 Baussant et al., 1992; Boersch-Supan et al., 2017; Coyle and Cooney, 1993). Oceanic front is a
62 relatively narrow zone of enhanced horizontal gradients of physical, chemical and biological
63 properties (temperature, salinity, nutrients etc.) that separates broader areas of different vertical
64 structure (stratification) (Belkin et al., 2009). Upwelling fronts are by now a very well
65 recognized part of the coastal upwelling process. Examples occur in many well studied systems,
66 including the upwelling off southern Senegal, south of Cap-Vert Peninsula (14.6°–13.5° North,



67 16.9°–17.6° West). The main originality of this upwelling system compared to other upwelling
68 stems from its continental shelf that is broad and shallow, *i.e.*, 20–30 m over tens of kilometres
69 (Ndoye et al., 2017). Local bottom relief combined with the wind-induced upwelling establish
70 a typical upwelling that appear as a cold-water tongue. This cold-water tongue separates the
71 nutrient-poor warm offshore cell with a cold nutrient-rich coastal cell functioning as a retention
72 zone (Roy, 1998; Tiedemann and Brehmer, 2017). Physical variability for example off the
73 coastal shelf off Senegal (Capet et al., 2016; Ndoye et al., 2017) can impact marine pelagic
74 organisms at the individual or community level and such effects can be direct (*e.g.*, via
75 advection) or indirect (*e.g.*, via phytoplankton production fertilized by upwelled nutrients
76 (Urmy and Horne, 2016). Indeed, changes in water physicochemical properties and biological
77 activities induced by upwelling plays a structuring role on SSLs distribution. SSLs position is
78 often reported below the thermocline suggesting that temperature controls the SSLs vertical
79 distribution (Aoki and Inagaki, 1992; Baussant et al., 1992; Boersch-Supan et al., 2017;
80 Marchal et al., 1993). Bottom depth has been identified as an additional factor structuring the
81 vertical distribution of SSLs (Gausset and Turrel, 2001). For example, the thickness and depth
82 of an SSL on continental shelves tends to increase with an increase in water depth (Torgersen
83 et al., 1997), similar to patterns observed in deep sea (Berge et al., 2014; Boersch-Supan et al.,
84 2017). In both deep sea areas and over shelves, the maximum density of SSLs are often
85 correlated with maximum chlorophyll-*a* concentrations (Berge et al., 2014; Dekshenieks et al.,
86 2001; Holliday et al., 2010). Dissolved oxygen concentrations (above 1 ml l⁻¹) can also predict
87 the lower boundary SSL density, *e.g.*, in the Eastern Boundary Upwelling Systems (EBUS), the
88 Peruvian Coastal Upwelling system (Bertrand et al., 2010), and the California Coastal
89 Upwelling system (Netburn and Koslow, 2015).

90 In this study, we use acoustic tools (Simmonds and MacLennan, 2005) to examine the fine-
91 scale vertical structure of SSLs (*i.e.*, their depth in the water column, thickness, and density)
92 (Bertrand et al., 2013; Perrot et al., 2018). We use fine spatiotemporal resolution of acoustic
93 data to investigate how the pelagic environment influences SSLs during an upwelling event in
94 the EBUS off Senegal. Our objective was to model variations in SSLs structure relative to
95 physiochemical characteristics of water masses and their locations on the shelf.



96 2 Materials and methods

97 2.1 SSLs acoustics sensing and environmental data

98 We performed a hydroacoustic survey along the “Petite Côte,” south of Cap-Vert Peninsula
99 (Senegal) (14.6°–13.5° North, 16.9°–17.6° West). The survey was conducted from the research
100 vessel Antea (IRD, 35m) during the upwelling season from 6–18 March 2013. The Petite Côte
101 is a nursery area for fish and is the main area in which juvenile of numerous species (particularly
102 small pelagic species) concentrate off the Senegal coast (Diankha et al., 2018; Thiaw et al.,
103 2017). Strong upwelling occurs off this coast, which contributes to high primary productivity,
104 thus providing an ideal nursery area for commercially important fish species (Tiedemann and
105 Brehmer, 2017).

106 We collected hydroacoustic data along three radials (R1, R2, and R3) for 18 nautical miles
107 (nmi) perpendicular to the coast (Fig. 1). We collected acoustic data continuously (day and
108 night) using a Simrad EK60 echosounder (38 kHz), set at 20 log R time-varied gain function
109 (R = range in meters) and used a pulse length of 1.0 ms. Transducers were calibrated following
110 the procedures recommended in Foote et al. (1987). Considering aft draught of the vessel, the
111 acoustic near field and the presence of parasite in the upper part of the water column we have
112 applied an offset of 10 m. Echoes along the three radials were integrated for at a spatial
113 resolution of 0.1 nmi*1m depth. We estimated the SSLs acoustic density by calculating the
114 Nautical Area Scattering Coefficient (NASC or S_A), which represents the relative biomass of
115 acoustic targets. We assumed that the composition of the scattering layers and the resulting
116 scattering properties of biological organisms in the SSLs are homogeneous within each layer
117 we identified (*sensu* MacLennan et al., 2002). We analyzed integrated echoes using the in-
118 house tool “Matecho” (Perrot et al., 2018). Matecho is integrative processing software that
119 allows to manually correct echograms (*e.g.*, by correcting bottom depths, removing empty
120 pings, removing echogram interferences, and reducing background noise). After each echogram
121 correction, we extracted the SSLs that were below the mean acoustic volume backscattering
122 strength (S_v in dB) threshold of -75 dB (*i.e.*, values below -75 dB were excluded from the
123 analysis). Cascão et al., (2017) and Saunders et al., (2013) excluded marine pelagic organisms
124 that backscattered at -70 dB, a threshold based on the aggregative behavior of marine pelagic
125 organisms. In our study, the backscattering was due to zooplankton and micronekton which
126 here also include small pelagic fish. The inshore area is known to be rich in fish larva and eggs
127 (Tiedemann and Brehmer, 2017) however, low sample number was collected in the coastal
128 inshore water due to security reason, *i.e.*, research vessel investigate area > 20 m bottom depth).



129 We collected hydrologic data using a calibrated “Seabird SBE19 plus” conductivity,
130 temperature, and depth (CTD) probe. The CTD specifications were: for temperature, $\pm 5.10^{-3}$
131 $^{\circ}\text{C}$ accuracy and 1.10^{-4} $^{\circ}\text{C}$ precision; for conductivity, $\pm 5.10^{-4}$ S m^{-1} accuracy and 5.10^{-5} S m^{-1}
132 precision; for pressure, $\pm 0.1\%$ of full scale range accuracy and 2.10^{-3} % precision of full scale
133 range precision. The CTD was equipped with sensors for fluorescence ($\pm 2.10^{-3}$ $\mu\text{g l}^{-1}$ accuracy,
134 and $\pm 2.10^{-4}$ $\mu\text{g l}^{-1}$ precision) [a measure of chlorophyll-*a* concentration, a proxy for
135 phytoplankton biomass], and dissolved oxygen (Seabird SBE43, 2% saturation for accuracy
136 and 0.2% saturation for precision). From 6–8 March 2013, we conducted CTD casts along three
137 radials at 36 stations. At each station, sensors measured water temperature ($^{\circ}\text{C}$), depth (m),
138 fluorescence ($\mu\text{g l}^{-1}$), water density (kg m^{-3}), and dissolved oxygen (DO, ml l^{-1}). Global High
139 Resolution Sea Surface Temperature (GHRSSST) data were extracted from daily outputs by the
140 Regional Ocean Modeling System group at NASA’s Jet Propulsion Laboratory
141 (<https://ouocean.jpl.nasa.gov/>). Daily SST data (GHRSSST Level 4 G1SST Global Foundation
142 Sea Surface Temperature Analysis) were averaged for the three days of surveying using
143 SeaDAS software version 7.2 (<https://seadas.gsfc.nasa.gov/>) and interpolated on maps using
144 the R software (R Core Team, 2016). Cubic spline interpolations of gridded data were used
145 within the R package Akima (Akima et al., 2016)

146 2.2 Data analysis

147 After extracting SSLs with Matecho, we developed an *ad hoc* Matlab program named
148 “Layer” to calculate layer thickness and another program, “ComparEchoProfil” to fit
149 echograms to the minimum and maximum depths measured along each CTD vertical station.
150 The ComparEchoProfil displayed the profile for mean acoustic volume backscattering strength
151 (S_v) in dB over a small-scale elementary sampling unit ‘ESU’ of 0.1 nmi around each CTD
152 station. The program also allowed us to display acoustic profiles for physiochemical parameters
153 (temperature, CHL, density, and O_2) associated with S_v profiles (Fig. 2). The output included
154 meta information [station ID, station date, station time, latitude and longitude, diel phase (day,
155 night), and local shelf depth (bottom depth)], all of which we associated with SSLs descriptors
156 [SSL thickness, maximum SSL depth, and the nautical mean backscattering coefficient (S_A)]
157 and physiochemical parameters associated with each SSL.

158 We used R software (R Core Team, 2016) to statistical analyze and map data. We used the
159 R package ‘Cluster’ (Maechler et al., 2014) for Hierarchical Cluster Analyzes (HCA) of CTD
160 data, the R package ‘maps’ (Brownrigg, 2016) to map stations, the package ‘ade4’ (Chessel et



161 al., 2013) to run Principal Component Analysis (PCA), and the package ‘oce’ (Kelley, 2015)
162 to display vertical section plots of physiochemical parameters.

163 We applied HCA to discriminate between water masses of inshore and offshore stations over
164 the continental shelf based on CTD data collected at 10 m depth. We used PCA (Chessel et al.,
165 2013) on the same dataset to determine similarities between CTD stations relative to
166 environmental parameters. Physiochemical parameters were standardized *a-priori* because they
167 were measured with different metrics.

168 Longitudinal variability (*i.e.*, inshore - offshore area) of morphometric (thickness, depth)
169 and acoustic characteristics (s_A) of the SSLs are investigated in the discriminated groups
170 considering bottom depth and diel period. Diel transition periods are removed from analyses to
171 avoid SSL density changes bias due to diel vertical migrations. Transition periods are defined
172 using sun altitude, *i.e.*, around sunset and sunrise corresponding to a sun altitude between 18°
173 and $+18^\circ$ (Lehodey et al., 2015). Morphometric and acoustic characteristics of the SSLs are
174 also compared between the inshore area *versus* offshore area, and between day and night using
175 student’s t-test whose application conditions have been verified (normal distribution and
176 variance equality).

177 Echogram *vs.* profile coupling figures (Fig. 2 resulting from the “ComparEchoProfil” were
178 analyzed to determine the relation between environmental parameters and SSLs. An ANCOVA
179 (analysis of covariance) (Wilcox, 2017) was implemented to build a model to predict the SSLs
180 structure (thickness, depth, and density). The selection of the best model was performed using
181 stepwise procedures. Stepwise selection was based on the Akaike Information Criteria (AIC) ;
182 best model choice is based on the minimum value of AIC (Akaike, 1974). The relative
183 importance of each variable in the total deviance was determined from the “relaimpo” R
184 package (Tonidandel and LeBreton, 2011). Validity assumptions of the models was then
185 assessed by checking for normality of distributed errors and homogeneity of residuals
186 (Appendix A to C). For the ANCOVA, SSL density and s_A was \log_{10} transformed for normality
187 assumption. For all statistical tests, the significance threshold used was 0.05.

188 3 Results

189 3.1 Characterization of two water masses over the shelf

190 The HCA differentiated two groups of stations (Fig. 3a): Group 1 (G1) stations ($n=18$) was
191 comprised of four stations along radial R1, six stations along radial R2, and eight stations along
192 radial R3. The G1 stations were located closest to the coast (inshore area, from 13 to 61 m
193 bottom depth, which encompassed the core of the upwelling (based on data for sea surface



194 temperature) (Fig. 1). Group 2 (G2) stations ($n = 18$) comprised seven stations along radial R1,
195 six stations along radial R2, and five stations along radial R3. These stations were located
196 furthest from shore (offshore area), from 41 to 205 m bottom depth, which corresponds to the
197 outer border of the upwelling zone. Considering the bathymetry, we note an overlay of the two
198 areas discriminated between 41 to 61 m.

199 PCA also identified the same two distinct water masses that the HCA identified (Fig. 3).
200 Axis 1 of the PCA eigenvalues explained 72.8% of the inertia, whereas Axis 2 explained 26.8%.
201 On Axis 1 of the PCA plot, temperature was highly correlated to density. On Axis 2,
202 temperature, and O_2 were opposed to CHL. The distribution of these variables are related to the
203 station groupings: G1 (inshore area) was characterized by a dense and CHL-rich water mass,
204 whereas G2 (offshore area) was characterized by a warm and slightly oxygenated surface water
205 mass.

206 Satellite measurements of SST distributions of the study area indicated the same split of
207 stations into two groups (Fig. 4). The inshore area was characterized by low SST values (18–
208 19 °C), indicating a recently upwelled water mass, whereas an older water mass with higher
209 SST values (20–21 °C) prevailed offshore. SST revealed an advection of water masses from
210 offshore to inshore responsible for a convergence of the two water masses.

211 At radial R1, a marked frontal zone appeared isolating two water masses between 20 – 40 m
212 isobaths (Fig. 5a1) which separate warm surface waters from deep cold waters. At radials R2
213 and R3, the upwelling appear as a cold-water tongue isolating a warm water band at the coast
214 (Fig. 5a2, a3). At R3, this cold-water tongue was expanding toward the inshore area as well as
215 to the offshore area (Fig. 5a3). Surface waters of the inshore area are slightly denser than water
216 masses in offshore area with approximately 26 kg m^{-3} and 25 kg m^{-3} , respectively. For CHL,
217 elevated concentrations were exclusively observed in the inshore area at radials R1 and R2. CHL
218 was significantly higher in the inshore area than the offshore area with concentrations of 3.0 –
219 5.0 mg m^{-3} in the inshore area to 0.3 – 2.0 mg m^{-3} in the offshore area (Fig. 5c). At R3, the
220 elevated CHL concentrations were observed in both inshore and offshore area close to the
221 upwelling front. CHL was also higher in the upper part of the water column (0 – 20 m)
222 decreasing with depth in both area. Higher DO concentrations were observed towards both sides
223 of the upwelling core. At R1, the upwelling front was at the most coastal part separating the
224 inshore area from the less oxygenated offshore area with DO concentrations of 5.0 – 7.0 ml l^{-1}
225 and 4.0 – 5.0 ml l^{-1} , respectively. At R2 and R3, the core moved towards the offshore, separating
226 the inshore area (DO concentrations of 4.0 – 5.0 ml l^{-1}) slightly more oxygenated than the



227 offshore area (DO concentrations of $2.0 - 4.0 \text{ ml l}^{-1}$). DO concentration decreased also from the
228 surface to bottom in both areas.

229 3.2 Variability in vertical structure of SSLs

230 3.2.1 Spatial variability according to water mass characteristics

231 Thickness and depth of the SSLs varied according to bottom depth in the inshore area and
232 the offshore area. In the inshore area, on the northern radial R1, no SSLs was observed at
233 coastal stations below 29 m depth (stations 1 and 2) (Fig. 6a). In offshore stations, starting
234 at 41 m depth, the SSLs were observed in all stations and radials (Fig. 6b), and their thickness
235 and depth increased with bottom depth. SSL thickness and SSL depth differed significantly
236 between the inshore area and the offshore area: the SSLs were thicker and deeper in the
237 offshore area than in the inshore area (Fig. 7) (p -value = 0.001 for both thickness and depth).
238 An increase of SSL density (s_A) was observed with bottom depth in the inshore area and the
239 offshore area. The s_A comparison between the inshore area and the offshore area (Fig. 7) was
240 not significantly different (p -value = 0.833).

241 3.2.2 Diel vertical migration

242 The diel period had a significant effect on SSL thickness (p -value < 0.001), and SSL
243 depth (p -value < 0.001) which were found both higher during the night in the inshore area
244 and the offshore area (Fig. 7). In the inshore area (13 to 61 m bottom depth), during the day,
245 SSLs had a mean depth of $19 \pm 11 \text{ m}$ and a mean thickness of $11 \pm 8 \text{ m}$, while during night-
246 time SSLs were observed in mean depths of $46 \pm 15 \text{ m}$ ($\Delta_{\text{Night-Day}} = 27 \text{ m}$) having a mean
247 thickness of $35 \pm 15 \text{ m}$ ($\Delta_{\text{Night-Day}} = 24 \text{ m}$). In the offshore area (41 to 205 m bottom depth),
248 SSLs were found at a mean depth of $49 \pm 11 \text{ m}$ during daytime with a mean thickness of 38
249 $\pm 11 \text{ m}$, while during night-time SSLs depth was $86 \pm 22 \text{ m}$ ($\Delta_{\text{Night-Day}} = 37 \text{ m}$) with a mean
250 thickness of $75 \pm 22 \text{ m}$ ($\Delta_{\text{Night-Day}} = 37 \text{ m}$).

251 Mean s_A of SSLs varied between day and night (Fig. 7) but were not significantly different (p -
252 value = 0.890). In the inshore area, s_A was $24 \pm 23 \text{ m}^2 \text{ nmi}^{-2}$ during the day and $44 \pm 59 \text{ m}^2 \text{ nmi}^{-2}$
253 2 during the night ($\Delta_{\text{Night-Day}} = 20 \text{ m}^2 \text{ nmi}^{-2}$). In the offshore area, mean s_A of $46 \pm 4 \text{ m}^2 \text{ nmi}^2$
254 during daytime was not significantly different from mean s_A of $25 \pm 7 \text{ m}^2 \text{ nmi}^2$ during night-
255 time ($\Delta_{\text{Night-Day}} = 21 \text{ m}^2 \text{ nmi}^{-2}$).



256 3.3 Effect of environmental parameters on SSLs

257 3.3.1 Vertical dimension of SSLs related to physicochemical profile

258 In both areas, SSLs were partially or completely located in area of strong vertical gradients
259 of temperature (thermocline), density (pycnocline), and DO (oxycline) (Fig. 2). When a strong
260 temperature gradient - usually also associated to the vertical position of the oxycline and
261 pycnocline is reported a peak of CHL was often observed and match with volume backscattering
262 strength (S_v) peak (Fig. 2a). This observation is well illustrated in CTD stations 12, 13, 16, and
263 25 (Appendix D). In the inshore area, the peak of CHL concentration was always located above
264 the SSLs (Fig. 2a), whereas in the offshore area, the peak of CHL concentration was either
265 above the SSLs or in the middle of the SSLs (Fig. 2b). The thickest SSLs were observed in the
266 offshore area where temperature, density and oxygen gradient were strong.

267 3.3.2 Behavior of the SSLs relative to pelagic habitat characteristics

268 3.3.2.1 In the inshore area (G1)

269 In the inshore area (G1), the model indicated a strong effect of bottom depth and diel period
270 on both SSLs thickness and depth. For SSL thickness, the model (Table 1) explained 87% of
271 the variance ($R^2 = 0.869$, p -value = 0.001). Bottom depth explained 56 % of SSL thickness
272 while the diel period effect accounted for 31%. The model of SSL depth (Table 2) was like
273 those of SSL thickness, *i.e.*, the model included bottom depth and diel period explained 80 %
274 of the variance ($R^2 = 0.805$, p -value = 0.001). Bottom depth showed the largest effect on SSLs
275 explaining 51% of SSL depth while diel period effect was estimated at 30%. For SSL acoustic
276 density, *i.e.*, $\log(s_A)$ (Table 3), the model explained 40% of the variance ($R^2 = 0.398$, p -
277 value=0.022) indicating a single effect of bottom depth on $\log(s_A)$ (p -value=0.020). The bottom
278 depth was the only variable significant in the model and explained 33% of SSL acoustic density
279 Temperature was insignificant in the model.

280 3.3.2.2 In the offshore area (G2)

281 For offshore stations, the model showed a significant effect of diel period, temperature, water
282 density and DO on both thickness and depth of SSLs with similar results. Both models, SSL
283 thickness (Table 1) and SSL depth (Table 2) included bottom depth, diel period, temperature,
284 density and DO explaining 85 % of variance ($R^2 = 0.855$, p -value = 0.001). Bottom depth and
285 diel period accounts for 28.0% and 28.3%, respectively. Other significant variables are water
286 temperature, density and DO, which support 11%, 10%, and 7%, respectively. For SSL density
287 or $\log(s_A)$ (Table 3), none of predictor variable has a significant effect.



288 4 Discussion

289 4.1 Characterization of water masses along the Petite Côte

290 The upwelling phenomenon is a key process in the functioning of the coastal ecosystem of
291 Senegal and Mauritania (Capet et al., 2016; Estrade et al., 2008; Rebert, 1983). By
292 characterizing the physiochemical parameters of the Petite Côte, we were able to discriminate
293 two water masses, an inshore area and the offshore area, both of which could also be
294 distinguished with SST satellite data.

295 The spatial structure of SSTs helped us understand the upwelling dynamics along the Petite
296 Côte. This SST pattern, clearly measured at the time of our sea survey, has been reported in
297 prior studies as well (Capet et al., 2016; Faye et al., 2015; Ndoye et al., 2014). Wind variability,
298 topography, and density stratification are the main environmental drivers generating upwelling
299 (Estrade et al., 2008). During the upwelling season (in winter and late spring), northerly trade
300 winds induced strong upwelling core south of Dakar (Ndoye et al., 2014; Roy, 1998). The
301 upwelling core was over the shelf, and SST was lowest on the coastal side of the shelf break,
302 increasing in both offshore and coastal directions. This displacement of the upwelling core
303 towards the middle of the shelf strongly influenced the spatial structure of the surface thermal
304 field. A tongue of cold water over the shelf isolated a coastal band of warm water from the
305 offshore area, and there was a surface divergence associated with the upwelling source over the
306 shelf and convergence nearshore. As upwelling got stronger, its core was moving towards the
307 shelf edge and coastal warming is enhanced by the convergence occurring nearshore (Roy,
308 1998). The upwelling core was typically found 10 to > 20 km away from the coast generic for
309 a wide and shallow continental shelf (Estrade et al., 2008). The spatial difference of CHL
310 concentration between the inshore area and the offshore area was the result of upwelled water
311 carrying nutrients at the coast limited by water mass fronts. Nutrient-rich water, supplied to the
312 sunlit surface layer by wind-driven upwelling stimulates the growth of phytoplankton that
313 ultimately fuel diverse and productive marine ecosystems (Jacox et al., 2018). There was a link
314 between the accumulation of biological material and the location of the coastal band of warm
315 water. This coastal band between coast and the upwelling core have been regarded to function
316 as retention area in which nutrient particles are trapped (Demarcq and Faure, 2000; Roy, 1998).
317 The nutrient utilization was optimized by retentive physical mechanisms in the coastal area,
318 which enhance microbial remineralization of particulate organic matter and zooplankton
319 excretion, and then regenerated production through ammonium consumption (Auger et al.,
320 2016). This caused an increase in primary production and results in a surplus of phytoplankton



321 biomass in inshore area. Low DO concentration observed in the upwelling core separating more
322 oxygenated water masses have been reported in previous studies (Capet et al., 2016; Teisson,
323 1983) over the Petite côte. Once a water mass becomes isolated from the atmosphere, its oxygen
324 content starts to decrease due to the biological remineralisation of the dissolved organic matter
325 (Emerson et al., 2008; Machu et al., 2019). These low-oxygen bottom waters are transported to
326 the inner shelf during upwelling favourable wind events. Moreover, temporal stability of the
327 upwelling core was also noticeable over periods of several days to weeks; and export from the
328 shelf to the open ocean is retarded (Capet et al., 2016). Thus, in such favorable condition of
329 continuous food supply, photosynthesis may have fostered an enrichment of DO in the inshore.
330 This was in line with high CHL levels observed towards both side of upwelling core,
331 particularly in the inshore area.

332 4.2 Spatial variation of the SSLs off the Petite Côte of Senegal

333 We measured a longitudinal gradient in the thickness of the SSLs over the continental shelf.
334 The SSLs was concentrated in a narrow band in the inshore area, whereas the SSLs was wider
335 in the offshore zone. The absence or weakness of SSLs in the inshore area (in contrast to the
336 more stratified water column in the offshore area) may have been due to turbulence in the water
337 column (Sengupta et al., 2017), coupled with well-mixed surface water. In the inshore area,
338 turbulence and the probable low residence time of marine pelagic organisms advected from
339 outside this area, both inhibited the SSLs formation. Indeed, in such upwelling system, in
340 addition to the retention mechanism that has been recognized by several authors (Aristegui et
341 al., 2009; Capet et al., 2016; Mbaye et al., 2015; Roy, 1998), there is also an offshore Ekman
342 transport mechanism (Aristegui et al., 2009; Estrade et al., 2008) that contribute to cross-shore
343 exchanges. Otherwise, many authors have stressed that SSLs need stable hydrological
344 conditions to form (Aoki and Inagaki, 1992; Baussant et al., 1992; Marchal et al., 1993; Urmy
345 and Horne, 2016). For example, in Monterey Bay (California), Urmy and Horne (2016)
346 observed a decline in backscatter intensity in the upper water column immediately following
347 an upwelling event. Aoki and Inagaki (1992) found that when the vertical temperature gradient
348 became weaker in frontal region between warm and cold waters off the northeast coast of Japan,
349 SSLs formed deeper and became thicker. Therefore, we believe that the increase of SSL
350 thickness with depth from inshore to offshore off Senegal is caused by upwelled waters that
351 disrupt the vertical stability of the water column.



352 4.3 Diel temporal variation of SSLs

353 In our study area, the diel period consistently exhibited pronounced effects on SSLs
354 thickness and depth. Deeper night SSLs have a greater thickness than daytime SSLs. The diel
355 difference of thickness and depth is due to the well-known DVM performed by many marine
356 species. DVM is a behavioural mechanism usually characterized by an ascent vertical
357 displacement during night-time for feeding and a descent to avoid predation by visual predators)
358 during daytime (Bianchi et al., 2013; Haney, 1988; Lehodey et al., 2015). Some plankton and
359 micronekton organisms have been reported to exhibit reverse DVM, *i.e.*, ascending in the
360 morning and descending in the evening or early night, which is the opposite pattern generally
361 observed with migrating animals (Cushing, 1951; Ohman et al., 1983). Previous study reported
362 that DVM of plankton may increase coastal retention in the inshore area (Brochier et al., 2018;
363 Rojas and Landaeta, 2014). Diel variation was also observed for SSL acoustic density which
364 showed opposite patterns in the two area, *i.e.*, higher during night than day in the inshore area
365 and higher during days than night in the offshore area. DVM of marine pelagic organism may
366 not be the only factors causing diel backscatter variations. The acoustic target strength can be
367 strongly dependent on the aspect at which a target is insonified. Target strengths of zooplankton
368 and micronekton can vary by several orders of magnitude between extreme tilt angles, *i.e.*,
369 horizontal *vs.* head up or head down (Benoit-Bird and Au, 2004; Yasuma et al., 2003). Target
370 strength is not independent of depth, as migrations through the hydrostatic depth gradient can
371 alter, *e.g.*, swimbladder volume (Fässler et al., 2009). This can bias target strengths, in particular
372 near the resonance frequency, leading to artificial increases of backscatter at particular depth
373 (Davison et al., 2015; Godø et al., 2009; Kloser et al., 2002). However, in the inshore area, the
374 observed DVM type I can be bias, because more CTD station were achieved during the day
375 than night. Otherwise, plankton such as fish larvae are able to perform DVM type II by
376 ascending in the upper 10 m of the water column at night, *i.e.*, in the echosounder offset.

377 4.4 Effect of environmental parameters on SSLs

378 4.4.1 SSLs related to physicochemical parameters in the vertical dimension

379 Previous studies have shown that hydrologic structures of water column influences SSLs
380 vertical structure (Balino and Aksnes, 1993; Berge et al., 2014; Gausset and Turrel, 2001). Our
381 results showed that vertical distribution of SSLs was linked to strong vertical gradients of
382 temperature, DO and water density (Fig. 2). SSLs were sometimes localised on thermocline,
383 pycnocline and oxycline depth. The depth of SSLs has been described to be related to
384 thermocline (Marchal et al., 1993; Yoon et al., 2007). In more stratified areas, SSLs vertical



385 distribution was limited by a strong thermocline and when thermocline was not well marked
386 (low gradient), SSLs occupied the entire water column (Lee et al., 2013). SSLs vertical
387 distribution is also related to DO (Bertrand et al., 2010; Netburn and Koslow, 2015). DO has
388 been described as a good predictor of SSLs vertical distribution (Bianchi et al., 2013). Indeed
389 in case of low DO levels the metabolism of marine organism is often directly affected (Brennan
390 et al., 2016; Claireaux and Lagardère, 1999; Pörtner, 2010). In our study, DO appeared to have
391 a limited influence on SSLs vertical position, no doubt due to high DO value in both area
392 (lowest value at 151 m bottom depth above the general recognition of hypoxia, *i.e.*, $DO < 1.43$
393 ml l^{-1} (Diaz and Rosenberg, 2008; Keller et al., 2015). Vertical position of SSLs compared to
394 the CHL concentration peak can be explained by trophic relationships between phytoplankton,
395 zooplankton and micronekton. It is understood that zooplanktivorous micronekton migrate
396 upward in the water column to forage on mesozooplankton while the mesozooplankton at the
397 same time are migrating toward the surface to graze upon the phytoplankton. This trophic
398 relationship may explain the link in vertical position of the SSLs with the phytoplankton peak
399 reported in this study.

400 4.4.2 Behavior of SSLs relative to pelagic habitat characteristics

401 In the inshore area, where SSLs were sparsely distributed (or sometimes non-existent)
402 bottom depth and diel period were the main environmental parameters influencing the vertical
403 distribution (thickness and depth) of the SSLs. Bottom depth has been shown to regulate the
404 vertical distribution of SSLs in the water column (Donaldson, 1967; Gausset and Turrel, 2001;
405 Torgersen et al., 1997). In our study, all stations indicated a single SSL, while in deep water
406 more thick and deep SSLs often partitioned into multiple layers are observed (Ariza et al., 2016;
407 Balino and Aksnes, 1993; Cascão et al., 2017; Gausset and Turrel, 2001). Diel period is the
408 second most important parameter acting on SSL thickness and depth through the DVM
409 phenomenon. In well mixed water masses, temperature, density and oxygen had no effect on
410 the SSLs. The non-significant effect of temperature, oxygen, and water density on the SSLs in
411 the inshore area is explained by the presence of less marked and superficial clines because of
412 the newly upwelled water. As stated above, SSLs need probably stable condition to occur.

413 In the offshore area, where vertical gradients were marked, the main parameter structuring
414 SSL thickness and depth was bottom depth and diel period, but also water temperature, density
415 and DO. SSLs vertical distribution is known to be a function primarily of temperature (Bertrand
416 et al., 2010; Hazen and Johnston, 2010; Netburn and Koslow, 2015). Overnight, depth of SSLs
417 is strongly correlated to the depth of thermal and density gradients (Boersch-Supan et al., 2017;



418 Casção et al., 2017; Marchal et al., 1993). In the offshore area, the results suggested that DO
419 also influenced SSL depth and SSL thickness. In well oxygenated continental shelf waters, DO
420 influenced SSLs but did not limit their vertical distribution. Some previous work led in French
421 Polynesia (Bertrand et al., 2000), and in the southern California current ecosystem (Netburn
422 and Koslow, 2015) showed the Oxygen Minimum Zone (OMZ) acting like a barrier of SSLs
423 vertical distribution. Bianchi et al., (2013) suggested that distribution of open-ocean OMZ may
424 modulate the depth of migration at the large scale, so that SSLs migrate to shallower waters in
425 low-oxygen regions, and to deeper waters in well-oxygenated waters.

426 For both areas, CHL concentration was the only predictor that was not included in any of
427 the final models. However, coupling echogram *vs.* profile (Fig. 2), we can argue that a relation
428 between CHL and SSLs exist even if it was not significant in the models, because CHL SSL
429 biomass peaks match, *i.e.*, always located above or in the middle of the SSLs. Moreover, simple
430 linear model between CHL and SSLs structure (depth and thickness) was significant in the
431 inshore area, suggesting that CHL effect on full models was masked by autocorrelation between
432 predictive variables. Furthermore, the vertical distribution of SSLs can be influenced by the
433 mixed layer depth (MLD). The MLD is one of the primary factors affecting the vertical
434 distribution of zooplankton. Lee et al. (2018) have shown that the weighted mean depths of
435 SSLs exhibit a strong linear relationship with the MLD, meaning that the MLD could be a
436 significant environmental factor controlling the habitat depth of marine pelagic organisms.
437 Recent study (Stranne et al., 2018) have also shown that the MLD can be tracked acoustically
438 at high horizontal and vertical resolutions. The method was shown to be highly accurate when
439 the MLD is well defined and biological scattering did not dominate the acoustic returns.
440 However, in our study area with biological scattering dominating the acoustic returns and due
441 to upwelling event, acoustic methods was not appropriate to determine MLD.

442 .

443 5 Conclusion

444 Using our echogram *vs* profile coupling approach, we were able to examine fine-scale
445 processes affecting SSLs distribution. SSLs were influenced by turbulence level in the
446 upwelling, which lead to an offshore advection of SSLs organisms. SSLs distribution were
447 mainly structured by the bottom depth, the diel period and the level of vertical stratification in
448 water column. SSL acoustic density variation suggested different DVM pattern between the
449 two areas, *i.e.*, normal DVM in the inshore area and reverse DVM in the offshore area. Such
450 observation should be considered in modelling exercise to better understand DVM implication



451 in ecosystem functioning. Further investigations should integrate small-scale turbulence
452 measurements to better describe the fine scale spatiotemporal variability of SSLs and their
453 relationship to the pelagic environment.

454

455 **6 Software and Code availability**

456 “Matecho” is an Open-Source Tool available at: <https://svn.mpl.ird.fr/echopen/MATECHO/>
457 (login: userecho, password: echopen). Matlab code such as “ComparEchoProfil” and “Layer”
458 can be shared.

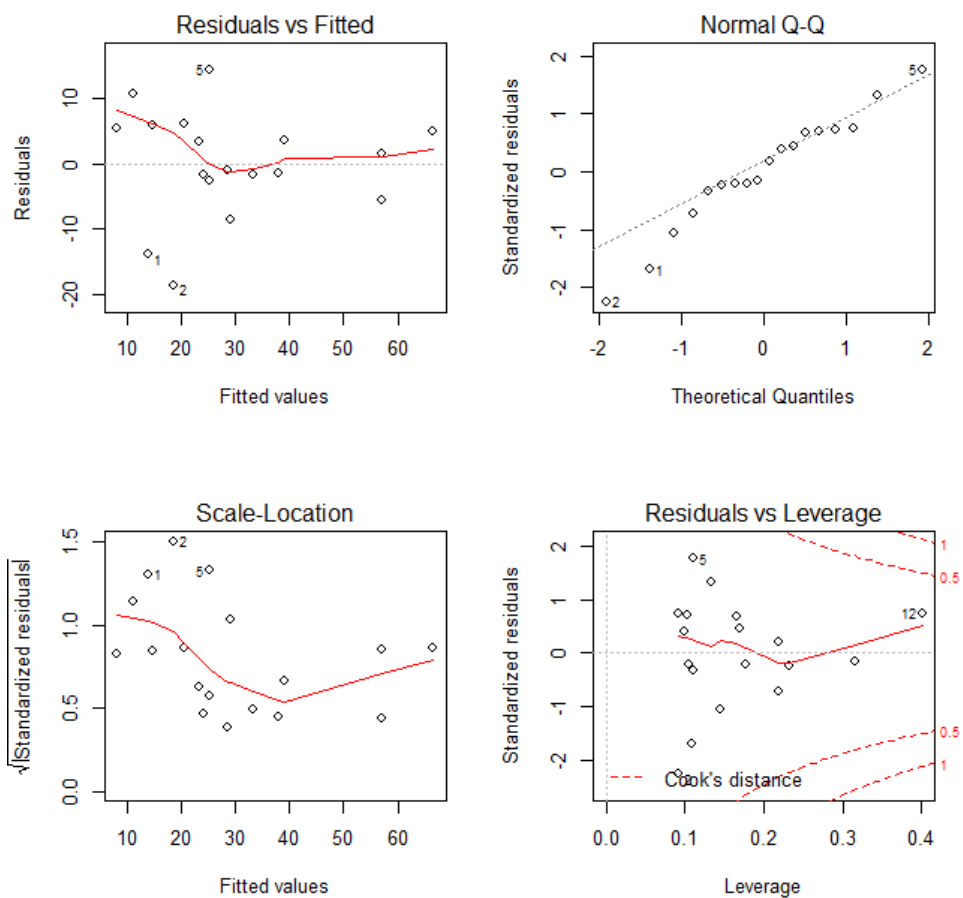
459 **7 Sample availability**

460 The public cannot access our data because they belong to the partners who funded the
461 oceanographic cruise.

462 **8 Appendices**

463 Appendix A: Diagnostic diagrams of ANCOVA models between sound scattering layers
464 (SSLs) depth and environmental parameters (temperature, density, dissolved oxygen,
465 chlorophyll-a, diel period and bottom depth).

466 A.1. Inshore area (G1)



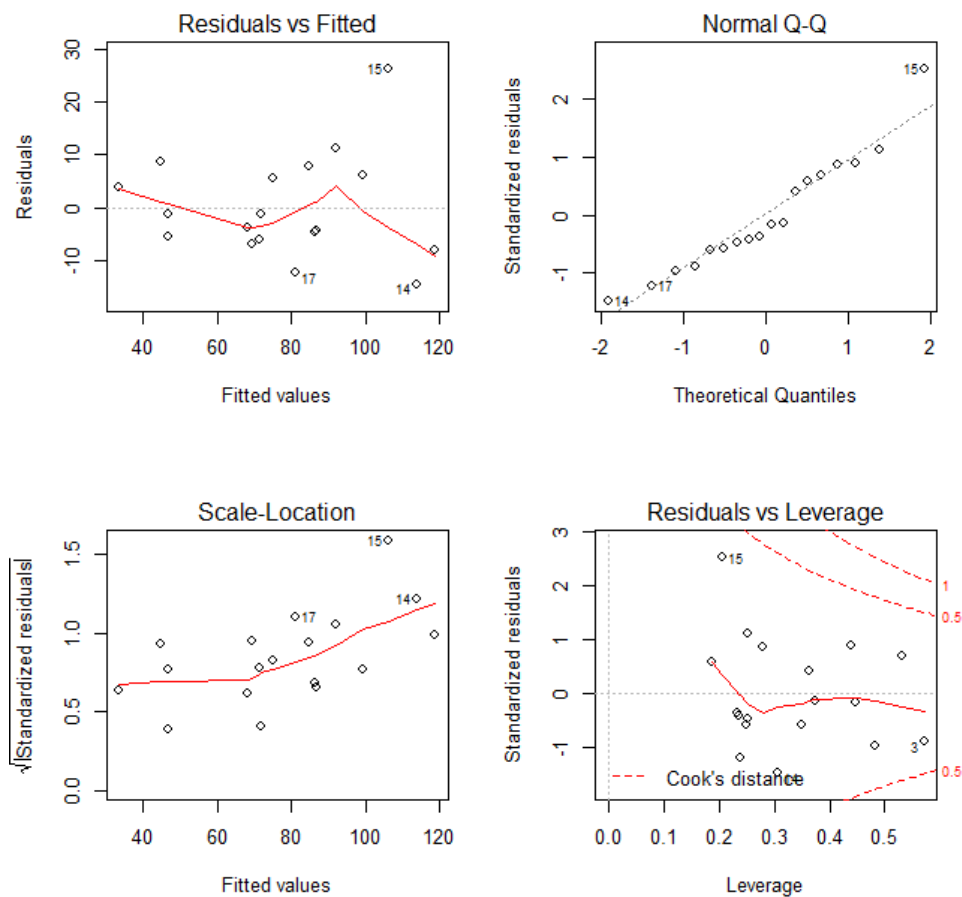
467

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A.2. Offshore area (G2)



470

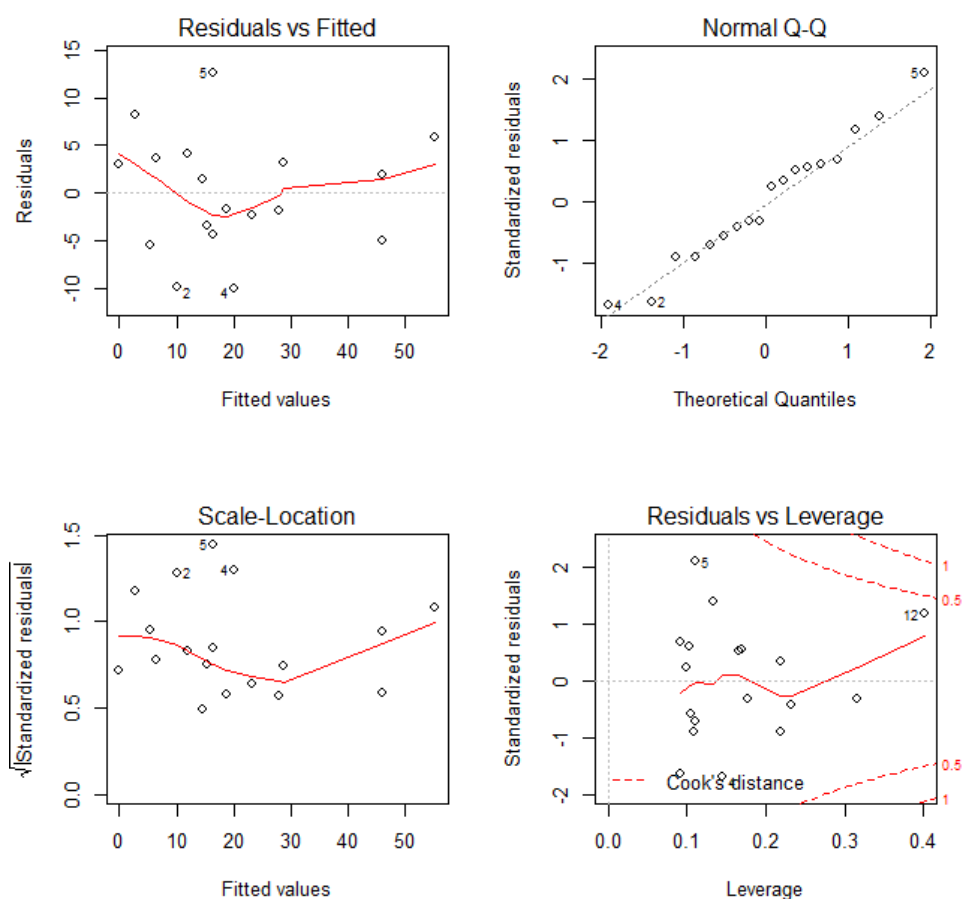
471



472 Appendix B: Diagnostic diagrams of ANCOVA models between sound scattering layers
473 (SSLs) thickness and environmental parameters (temperature, density, dissolved oxygen,
474 chlorophyll-a and bottom depth.

475 B.1. Inshore area (G1)

476



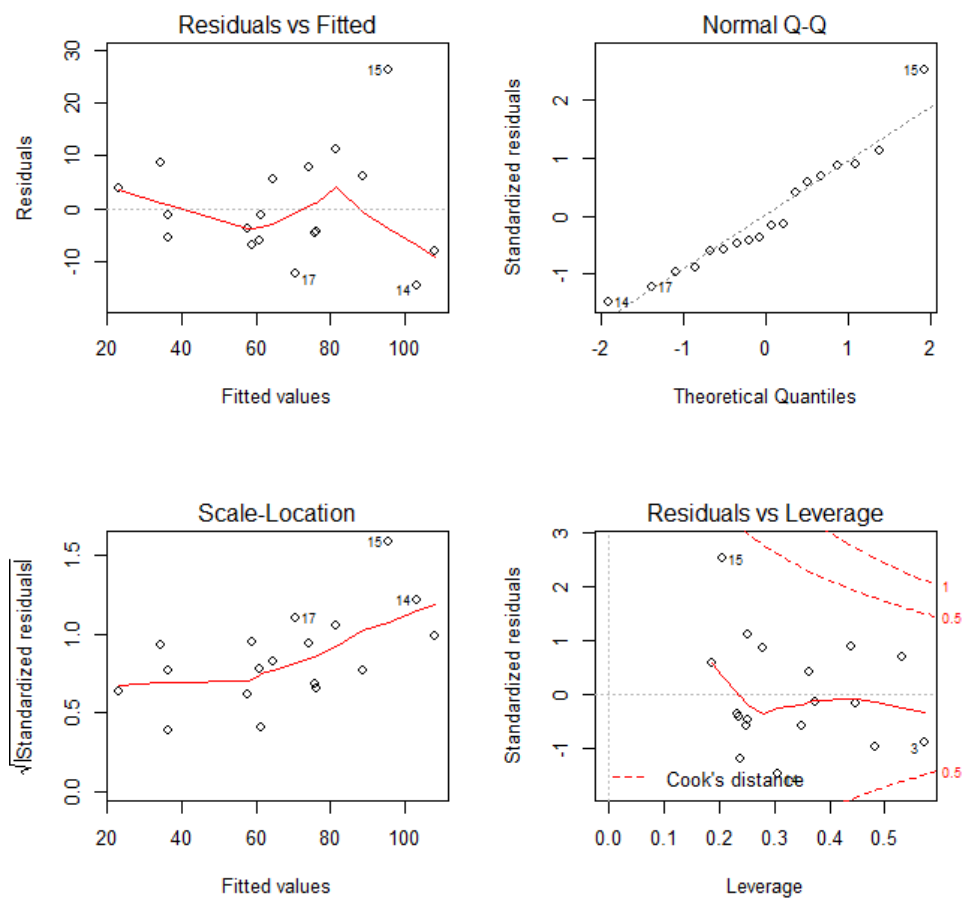
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B.2. Offshore area (G2)



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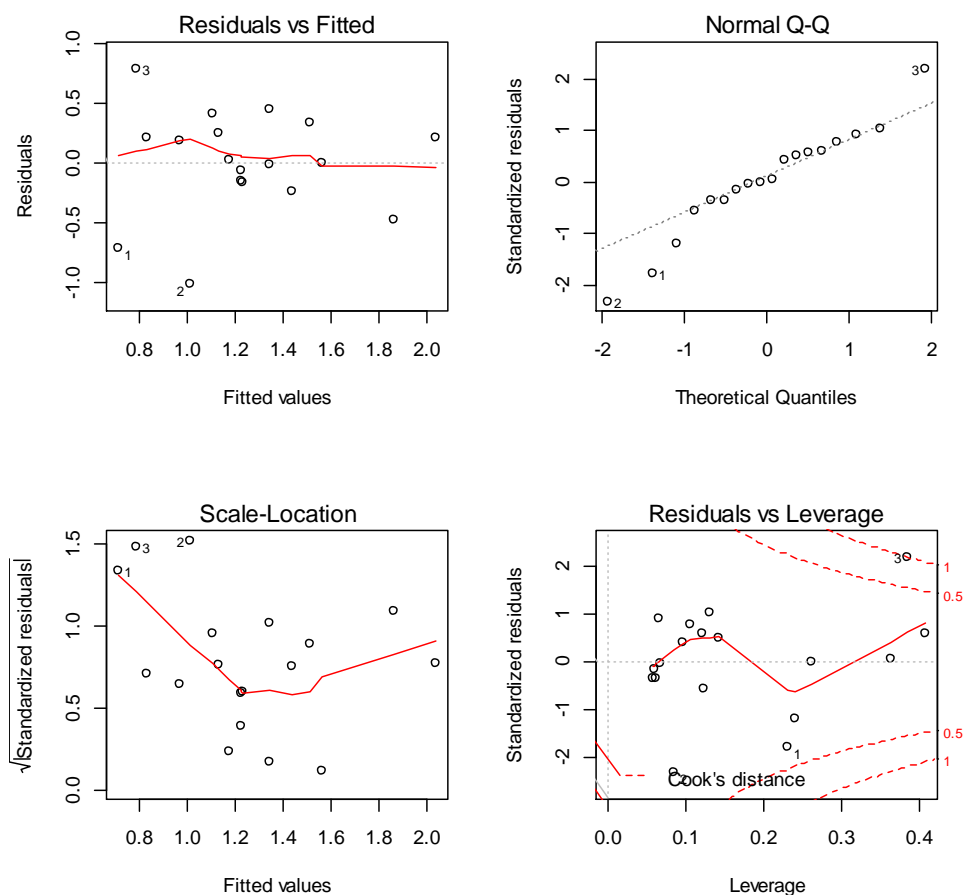


484 Appendix C: Diagnostic diagrams of ANCOVA models between sound scattering layers
485 (SSLs) density and environmental parameters (temperature, density, dissolved oxygen,
486 chlorophyll-a and bottom depth).

487 C.1. Inshore area (G1)

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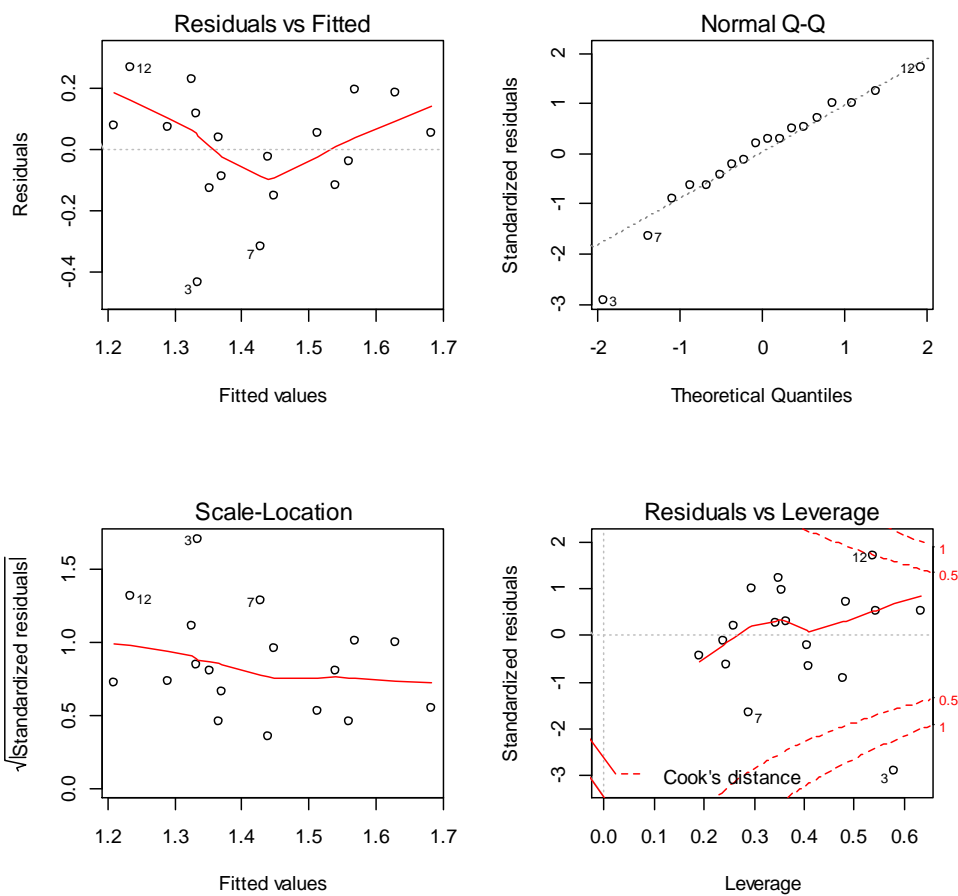
492

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495 C.2 Offshore area (G2)

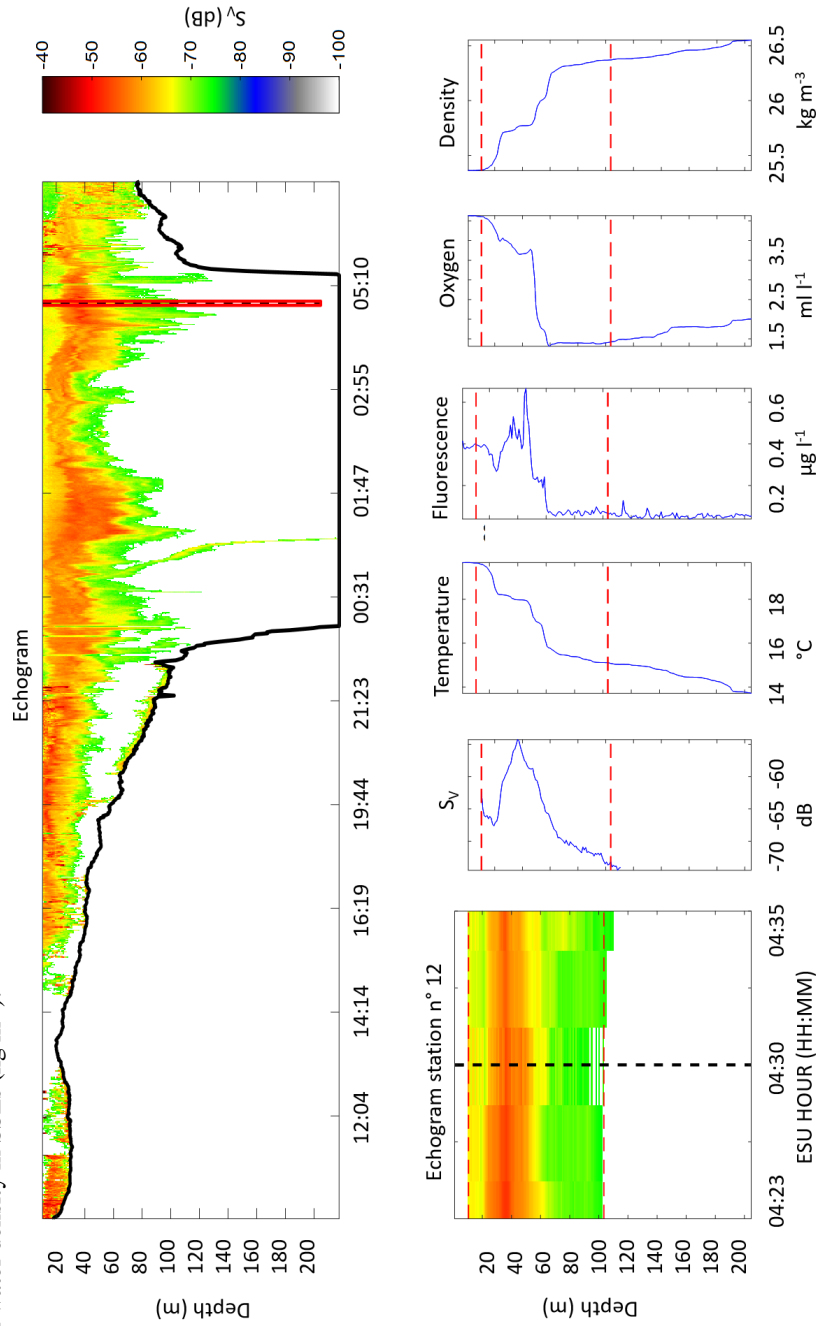
496

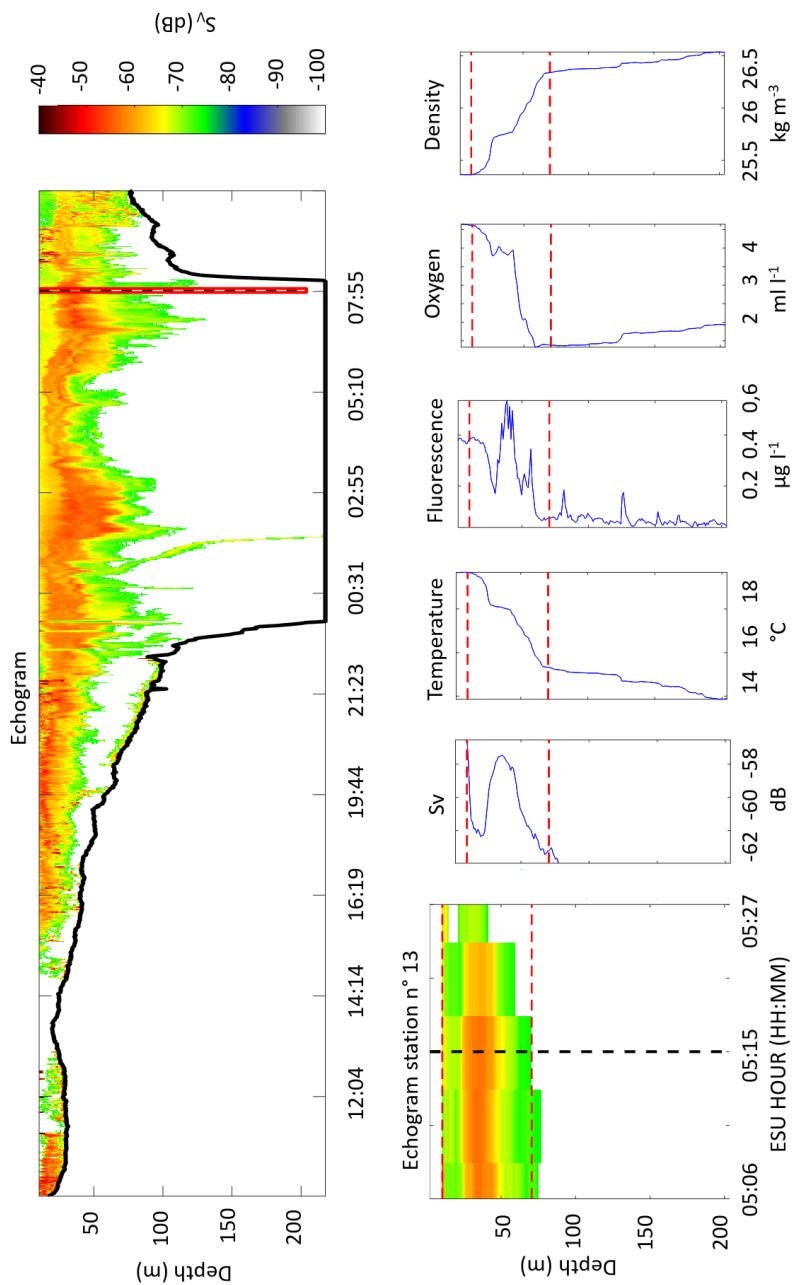


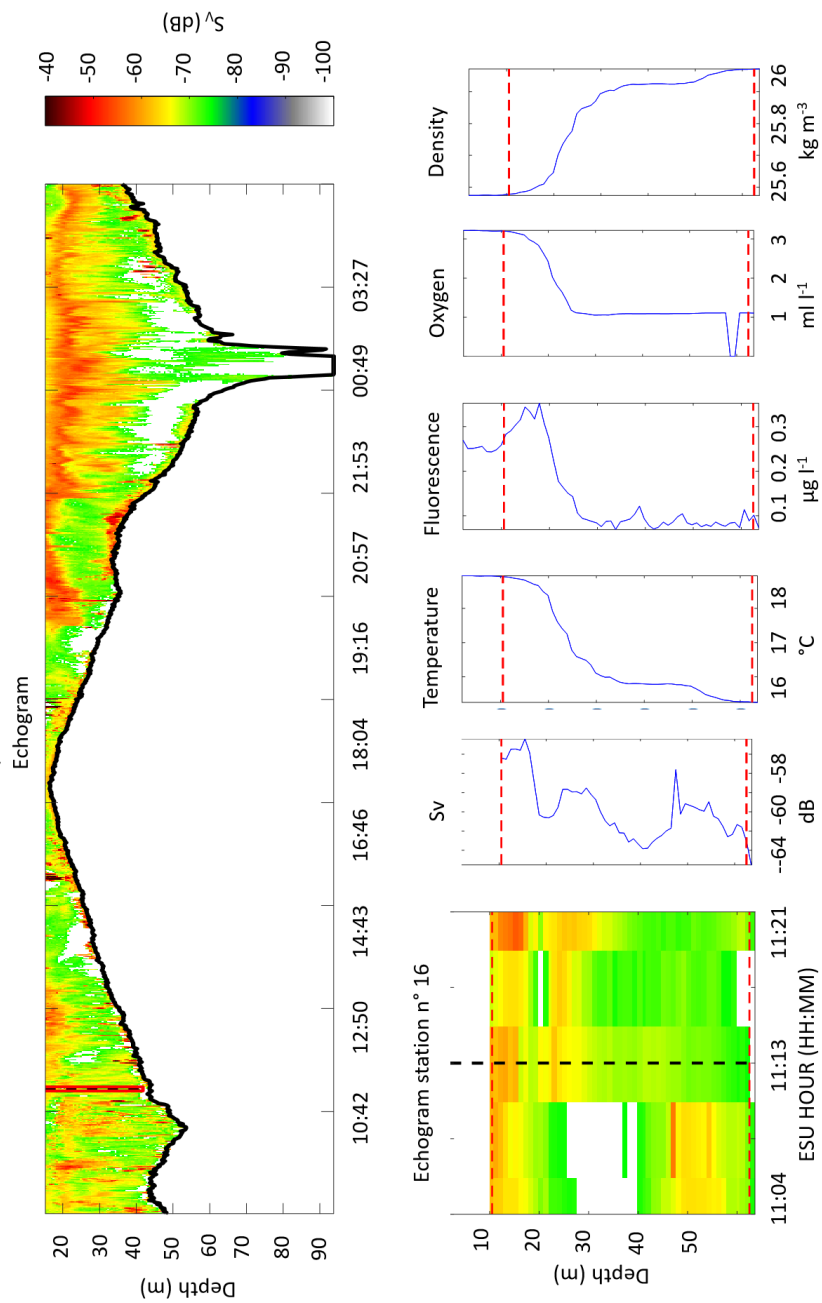
497

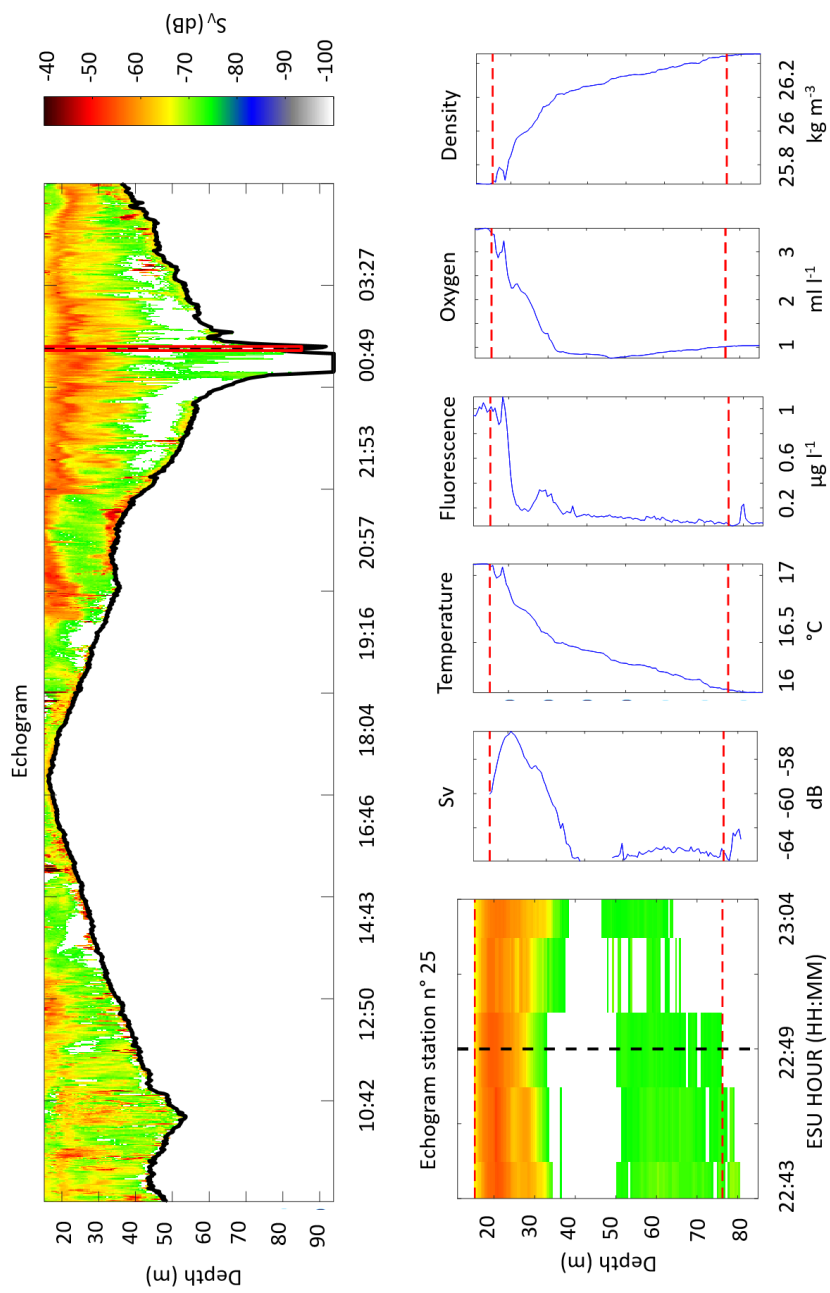


Appendix D: Vertical profile from CTD stations associated to acoustic volume backscattering strength (S_v) integrated per elementary sampling unit (ESU) of 0.1 nmi for 4 station: station 12, 13, 16 and 25. The peak of S_v match the CHL and are related to strong gradient of water temperature, itself related to water density and dissolved oxygen. From the top left to bottom right (i) vertical profile S_v (dB) in the sound scattering layers (SSLs); (ii) Profile of mean temperature in SSLs ($^{\circ}\text{C}$); (iii) profile of CHL in SSLs ($\mu\text{g l}^{-1}$); (iv) profile of oxygen in SSLs ($\mu\text{mol kg}^{-1}$); (v) and profile of water density in SSLs (kg m^{-3}).











1 9 Author contribution

2 Ndague DIOGOUL had set the methodology, make the analysis and redacted the paper. Patrice
3 BREHMER defined the methodology, supervised the work and took charge of the acquisition
4 of the financial support for the project leading to this publication. Maik TIEDEMANN helped
5 on data processing and analyze. Yannick PERROT developed “Matecho” software tool and
6 Matlab code. Abou THIAM, Salaheddine EL AYOUBI and Abdoulaye SARRÉ contribute to
7 the redaction. Anne MOUGET, Chloé MIGAYROU and Oumar SADIO helped on statistical
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270

271 **12 Tables**

272

273 Table 1: Result of of ANCOVA models between thickness of sound scattering layers (SSLs)
274 and environmental parameters (temperature, density, dissolved oxygen, chlorophyll-*a*, diel
275 period and bottom depth) in the inshore area (G1) and the offshore area (G2). [G1: Multiple R-
276 squared: 0.869, Adjusted R-squared: 0.8515, *p*-value < 0.001]; and [G2: Multiple R-squared:
277 0.8557, Adjusted R-squared: 0.7956, *p*-value < 0.001]. Significant *p*-value in bold.

278

279

Variable	Significance		Explained deviance (%)		Total explained variance (%)	
	Inshore (G1)	Offshore (G2)	Inshore (G1)	Offshore (G2)	Inshore (G1)	Offshore (G2)
Bottom depth	0.001	0.005	55.86	28.05	86.9	85.57
Diel period (Night)	0.007	0.008	31.02	28.33		
Temperature		0.007		11.29		
Density		0.008		10.35		
Oxygen		0.007		7.53		

280

281



282 Table 2: Result of ANCOVA models between depth of sound scattering layers (SSLs) and
 283 environmental parameters (temperature, density, dissolved oxygen, chlorophyll-*a*, diel period
 284 and bottom depth) in the inshore area (G1) and the offshore area (G2). [G1: Multiple R-squared:
 285 0.8056, Adjusted R-squared: 0.7797, *p*-value: 0.001]; and [G2: Multiple R-squared: 0.8557,
 286 Adjusted R-squared: 0.7956, *p*-value: 0,000]. Significant *p*-value in bold.

287

Variable	Significance		Explained deviance (%)		Total explained variance (%)	
	Inshore (G1)	Offshore (G2)	Inshore (G1)	Offshore (G2)	Inshore (G1)	Offshore (G2)
Bottom depth	0.001	0.005	55.86	28.05	80.56	85.57
Diel period (Night)	0.021	0.008	31.02	28.33		
Temperature		0.007		11.29		
Density		0.008		10.35		
Oxygen		0.007		7.53		

288

289



290 Table 3: Result of ANCOVA models between sound scattering layers (SSLs) density
 291 ($\log(s_A)$) and environmental parameters (temperature, density, dissolved oxygen, chlorophyll-
 292 *a*, diel period and bottom depth) in the inshore area (G1) and the offshore area (G2). [G1:
 293 Multiple R-squared: 0.398, Adjusted R-squared: 0.3178, *p*-value: 0.022]; and [G2: Multiple R-
 294 squared: 0.3448, Adjusted R-squared: -0.01258, *p*-value: 0.490]. Significant *p*-value in bold.

295

Variable	Significance		Explained deviance (%)		Total explained variance (%)	
	Inshore (G1)	Offshore (G2)	Inshore (G1)	Offshore (G2)	Inshore (G1)	Offshore (G2)
Bottom depth	0.008	0.357	33.06	7.56	39.8	34.48
Temperature	0.119	0.273	6.73	5.17		
Diel period (Night)		0.007	0.546	7.22		
Density		0.008	0.250	5.56		
Oxygen		0.007	0.166	5.19		

296



13 Figures

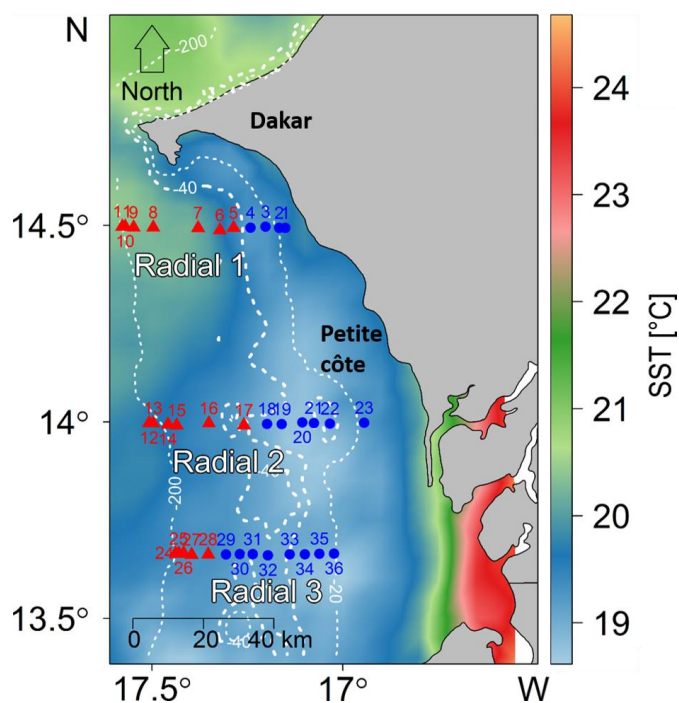
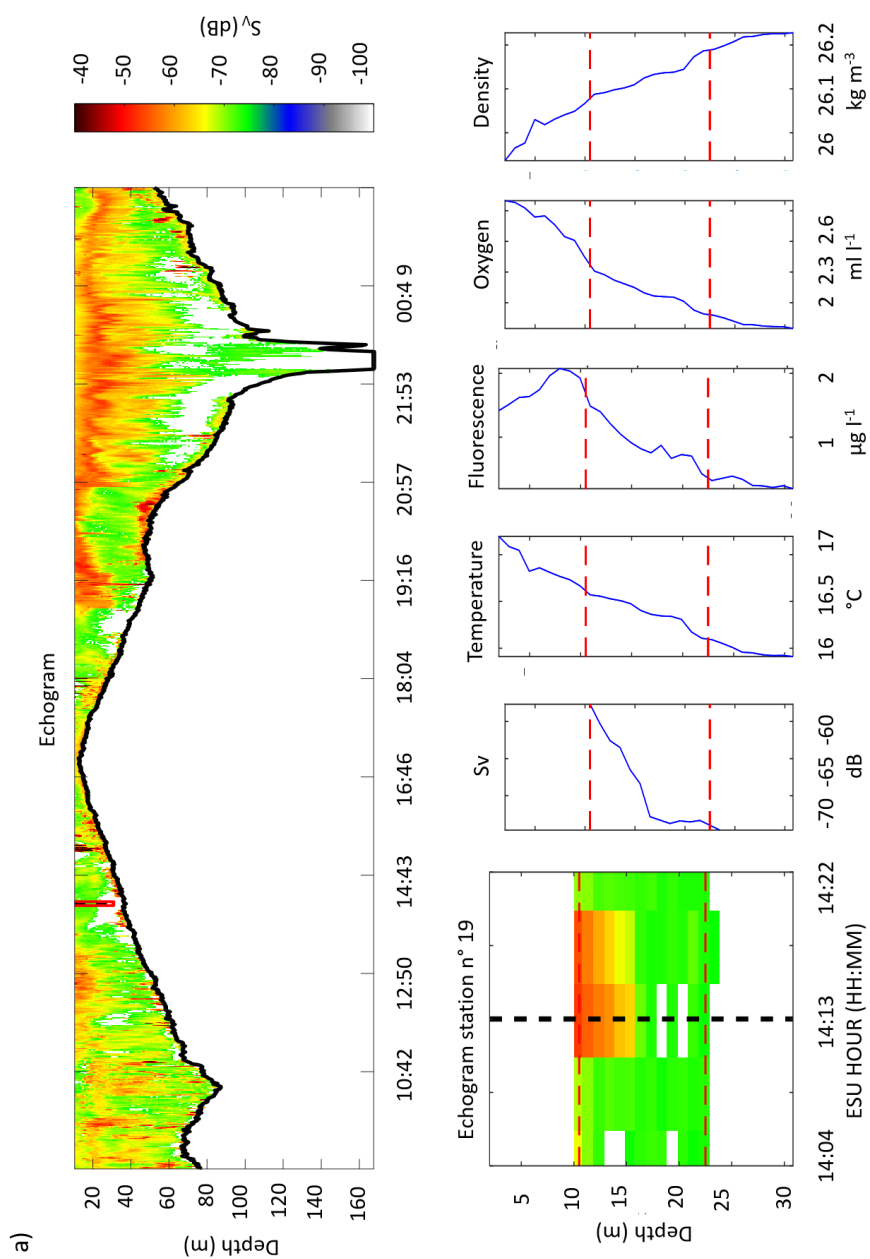


Fig. 1: Location of the survey area off the South Senegal (West African) coast. The hydroacoustic survey was conducted aboard the FRV Antea (IRD) from Dakar (Cap Vert peninsula in the north) to the northern border of Gambia (horizontal black line). CTD-probes collected data at stations along three radials perpendicular to the coast (R1 to R3). Sea surface temperature (SST in degree Celsius) were averaged over the three days of CTD sampling from the 6–8 March 2013. Stations of Group 1 (blue circles) occurred in the inshore zone, whereas stations of Group 2 (red triangles) were situated more offshore



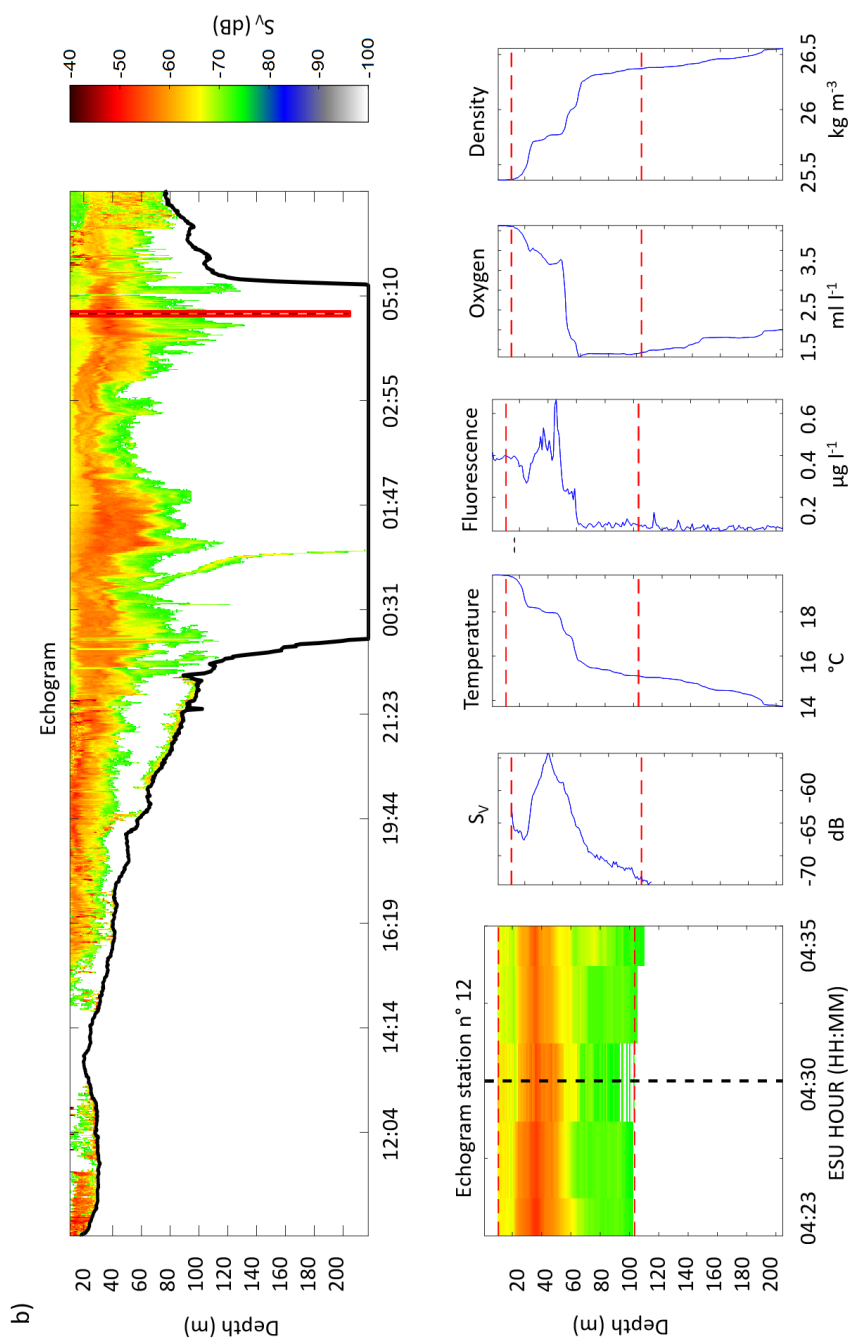




Fig. 2: Echograms and associated vertical acoustic profiles and physiochemical parameters (CTD data) for two example of station: (a) station 19 in the “inshore area” and (b) station 12 in the “offshore area”. For both (a) and (b), top panels are echogram data collected along the radial (nmi), whereas the bottom panels depict acoustic and environmental data (depicted by the red vertical line in top panels). Environmental data for the sound scattering layer (SSL) collected at the stations at the time depicted by dotted vertical lines. Data represent mean conditions for the station collected within an area of 0.1 nmi area around the station: acoustic volume backscattering strength (S_v) SSL, temperature profile SSL, CHL profile SSL, oxygen profile SSL, and density profile SSL.

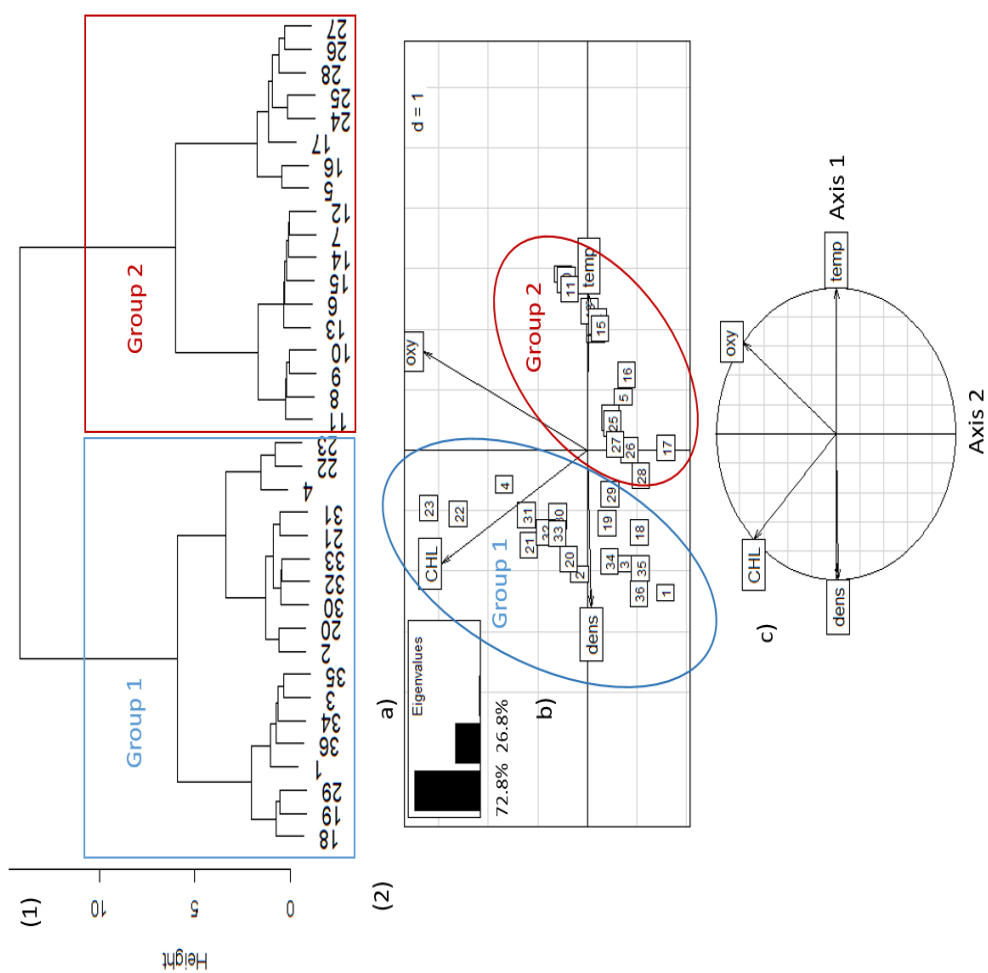
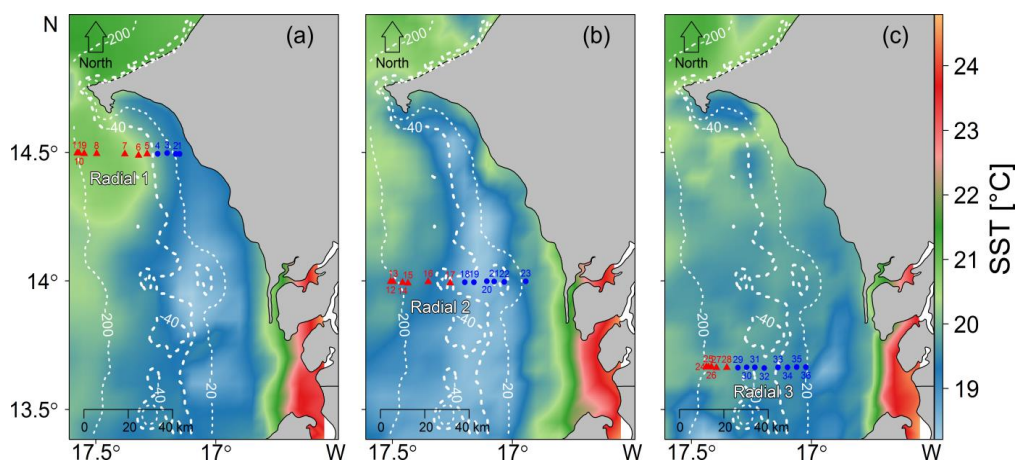


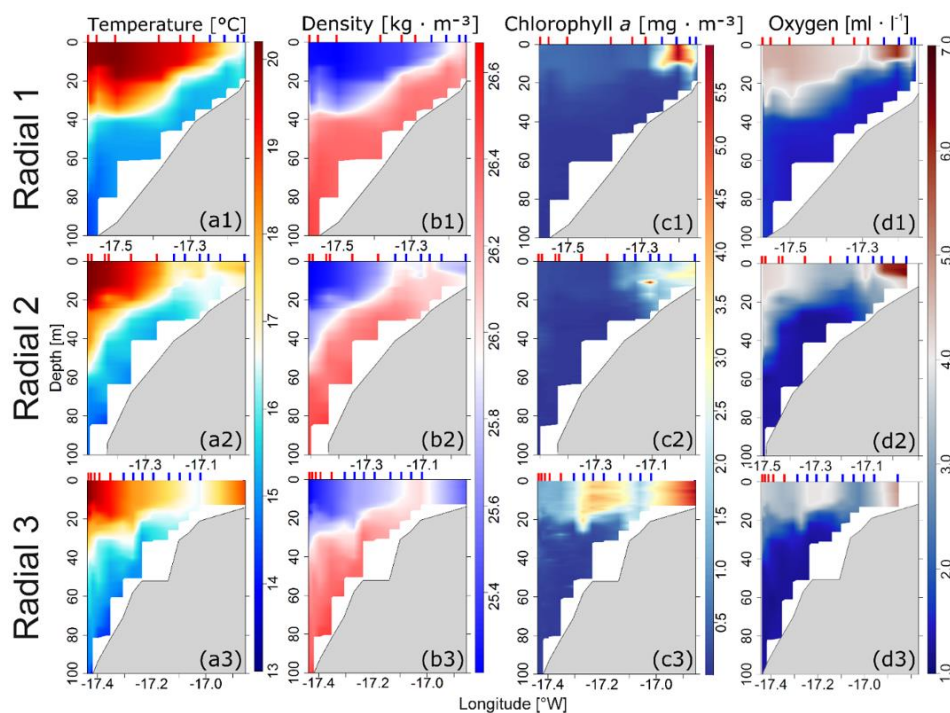


Fig. 3: Discrimination of 36 CTD stations off the Senegal coast: (1) Two groups of stations were discriminated based on data for temperature (temp), chlorophyll-a (CHL), dissolved oxygen (oxy), and density (dens). (2) Principal Components Analysis of environmental parameters for all 36 stations. (a) Eigenvalue diagram; (b) Factor plane; (c) Correlation circle. Group 1 are stations located in the inshore area ($n = 18$), Group 2 are stations located in the offshore area ($n = 18$).

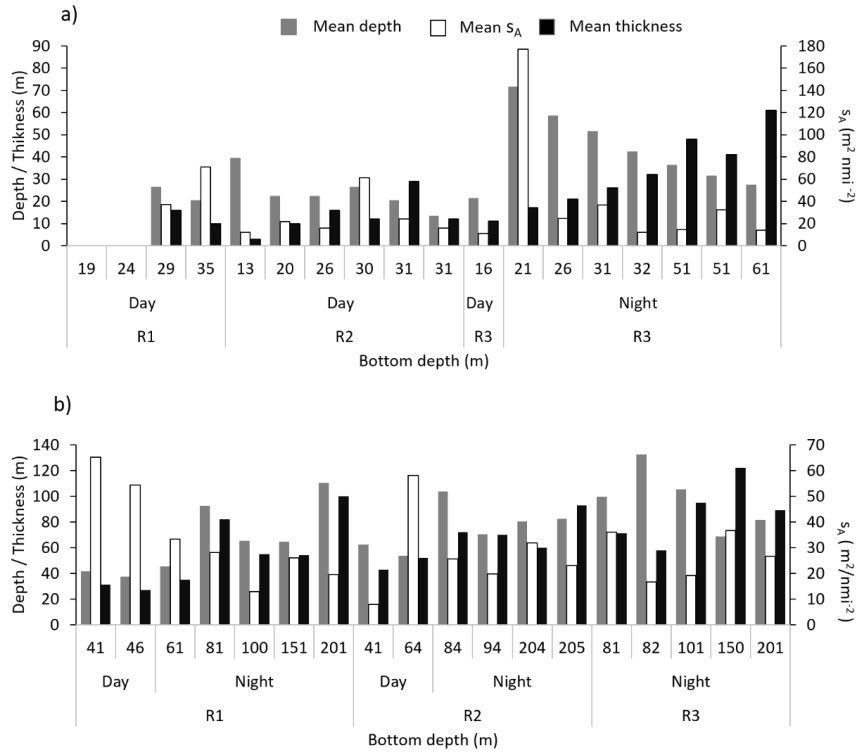


1
2 Fig. 4: Positions of vertical CTD stations sampled with a CTD instrument. Diagrams
3 depict temperature, density, fluorescence, and dissolved oxygen relative to daily maps
4 of Sea Surface Temperature (SST) off the Petite Côte (Senegal, West Africa) during
5 the 2014 hydroacoustic survey. (a) Stations along Radial 1 (6 May), (b) stations along
6 Radial 2 (7 May), and (c) stations along Radial 3 (8 May). The blue points are locations
7 for stations of Group 1 (inshore area); red points are locations for stations of Group 2
8 (offshore area), discriminated according to CTD values measured at 0–10 m depth.

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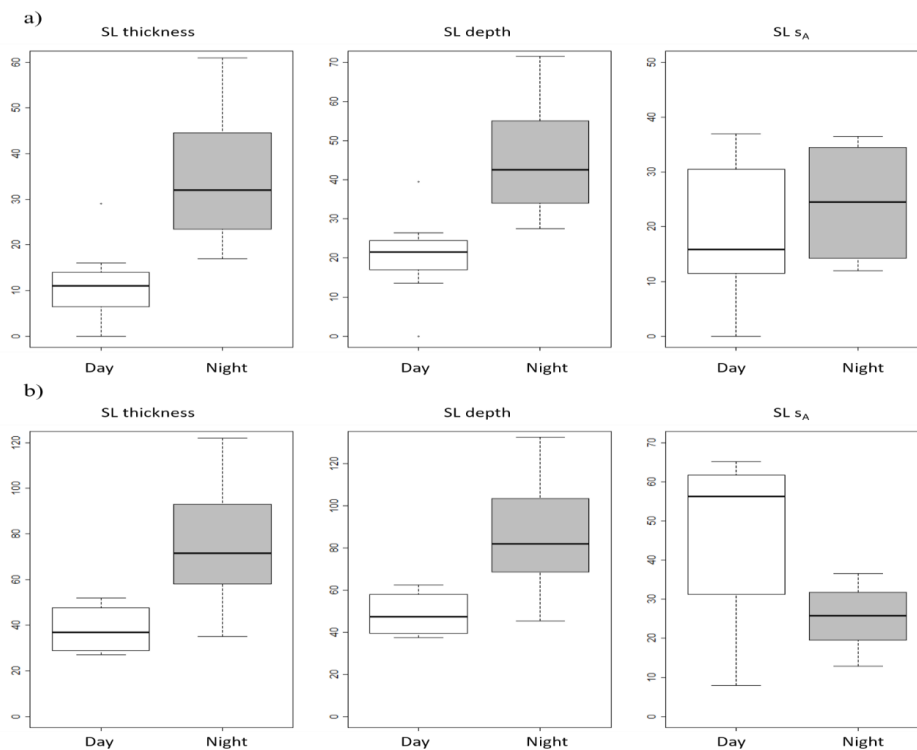


12
13 Fig. 5: Mean vertical profile of (a) temperature, (b) density, (c) chlorophyll-a
14 concentration, and (d) dissolved oxygen in the three radials (R1, R2, R3; see Fig. 1)
15 with positions of vertical probe stations CTD in the inshore area (vertical line in blue
16 (G1)) and the offshore area (vertical line in red (G2)).



17

18 Fig. 6: Variations in mean depth of sound scattering layers (SSLs) (in grey),
 19 thickness of SSL (in black), and SSL mean Nautical Area Scattering Coefficient
 20 (NASC) (in white), in (a) inshore area and (b) offshore area, and according to the
 21 bottom depth along radials R1, R2, and R3 (Fig. 4) during nighttime and daytime
 22 sampling periods.



23 Fig. 7: Box plot (minimum, maximum, and median) of sound scattering layers (SSLs)
24 mean depth (m), thickness (m), and relative biomass (s_A in $m^2 nmi^{-2}$) grouped by diel
25 period (days/night) for (a) inshore area (G1); and (b) offshore area (G2) over the
26 Senegalese continental shelf.