A NEW LAGRANGIAN BASED SHORT TERM PREDICTION METHODOLOGY FOR HF RADAR CURRENTS

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ABSTRACT

15 The use of High Frequency Radar (HFR) data is increasing worldwide for different applications in the field of operational oceanography and data assimilation, as it 16 provides real-time coastal surface currents at high temporal and spatial resolution. 17 In this work, a Lagrangian based empirical real-time, Short-Term Prediction (L-18 19 STP) system is presented in order to provide short term forecasts of up to 48 hours of ocean currents. The method is based on finding historical analogues of 20 Lagrangian trajectories obtained from HFR surface currents. Then, assuming that 21 the present state will follow the same temporal evolution as the historical analogue 22 did, we perform the forecast. The method is applied to two HFR systems covering 23 two areas with different dynamical characteristics: the southeast Bay of Biscay and 24 the central Red Sea. A comparison of the L-STP methodology with predictions 25 26 based on persistence and reference fields are performed in order to quantify the error introduced by this approach. Furthermore, a sensitivity analysis has been 27 addressed to determine the limit of applicability of the methodology regarding the 28 29 temporal horizon of Lagrangian prediction. A real-time skill-score has been developed using the results of this analysis, which allows to identify periods when 30 the short-term prediction performance is more likely to be low, and persistence can 31 be used as a better predictor for the future currents. 32

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1. INTRODUCTION

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The coastal zone is under increasing human pressure. During recent decades 36 coastal seas have been experiencing intensified activity for recreation, transport, 37 fisheries and marine-related energy production, which, in many cases, results in 38 39 serious damage to coastal marine ecosystems. A better understanding of the dynamical processes responsible for the surface oceanic transport is a prerequisite 40 for the efficient management of the coastal ocean. Coastal processes are 41 42 responsible for the transport and fate of multi-source pollutants like plastics, nutrients, jellyfish, harmful algal blooms, etc. Thus, improving the capacity of 43 monitoring and forecasting the coastal area is key for the integrated assessment of 44 45 the marine ecosystem. This requirement is driving the set-up of a growing number of multi-platform operational observatories designed for continuous monitoring of 46 the coastal ocean from international or national (e.g., US IOOS, EU EOOS, 47 Australian IMOS, etc.) to local scales. Moreover, due to the need of forecasting 48 49 applications for response to emergency situations such as oil spills, or search and rescue operations, many of the existing operational observatories are linked with 50 operational ocean forecasting models with or without data assimilation (e.g. 51 MARACOOS, NOAA Global Real-Time Ocean Forecast System, COPERNICUS 52 Marine Environment Monitoring System). 53

With the need of providing a long-term framework for the development and improvement of the European Marine coastal observations, the JERICO Research Infrastructure (JERICO-RI) has been developing methods and tools (through JERICO, JERICO-NEXT and JERICO-S3 projects) for the production of highquality marine data, and sharing expertise and infrastructures between the existing observatories in Europe. Typically constituted with different in-situ point-wise observational platforms (such as moored buoys, tidal gauges, drifting buoys, etc.) a significant number of these observatories now employ land-based High Frequency Radars (HFR) that provide real-time coastal currents with unprecedented coverage and resolution (e.g. Paduan and Rosenfeld, 1996; Kohut and Glenn, 2003; Abascal et al., 2009; Solabarrieta et al., 2014, Rubio et al. 2017; Paduan and Washburn, 2013). Each HFR coastal site measures radial surface currents moving away or approaching the antenna, based on the shift of the first peak (Bragg peak) of the Doppler spectra (Crombie 1955, Barrick et al 1977). Combining the overlapping radial vectors from at least 2 antennas provides surface true vector currents (Barrick et al., 1977, Barrick and Lipa, 1979). Several studies have compared insitu current measurements with HFR observations (e.g., Schott et al. 1985; Hammond et al. 1987; Paduan and Rosenfeld 1996, Emery et al. 2004; Paduan et al., 2006; Ohlmann et al. 2007; Liu et al., 2014; Solabarrieta et al, 2014, Bellomo

- et al., 2015; Lana et al., 2016; Hernandez-Carrasco et al., 2018b) and have
- repeatedly demonstrated the potential of this technology. Presently, more than 250
- 75 HFR antennas are installed and active worldwide (Roarty et al., 2019; http://global-
- 76 hfradar.org/).
- 77 Due to their high spatio-temporal resolution, HFR data are commonly used in real
- time for search and rescue (Ullman et al., 2006) or oil spill prediction/mitigation
- 79 emergency response (Abascal et al., 2017). In addition, there have been several
- 80 efforts dedicated to the development of assimilation strategies that incorporate the
- 81 HFR measured surface currents into ocean coastal models (Breivik and Saetra,
- 82 2001, Oke et al 2002, Paduan and Shulman 2004, Stanev et al., 2011, Barth et al.,
- 83 2011), some of which have been tested for short periods of time (Chao et al.,
- 84 2009). However, assimilation of HFR data into models is still a computationally
- 2007). However, assumation of the k data into models is still a computationary
- 85 expensive and complex issue, not to mention operational capabilities of such a
- 86 procedure. Because of these constraints, the availability of real-time high-
- 87 resolution HFR current fields has led to alternative solutions in order to obtain
- 88 short term prediction (STP) of surface coastal currents, through the direct use of
- 89 HFR historical and nowcast observations using different approaches (e.g. Zelenke
- 2005, Frolov et al. 2012, Barrick et al., 2012, Orfila et al. 2015, Solabarrieta et al.
- 91 2016, Vilibić et al, 2016, Ren et al., 2019, see Table 1).
- 92 The above-mentioned studies develop and implement different STP approaches
- 93 (harmonic analysis of the last hours, genetic algorithms, numerical models, ...)
- 94 which often require either additional data, or long training periods of data without
- 95 gaps. Hardware failures due to power issues, communications or environmental
- 96 conditions often result in spatio-temporal gaps within HFR datasets. Spatial gaps
- 97 can be filled on a real-time basis but filling long temporal gaps is not
- 98 straightforward. Several gap-filling methodologies have been developed for HFR
- 99 data sets: Open Modal Analysis, (OMA) (Kaplan and Lekien, 2007), Data
- 100 Interpolating EOFs (DINEOF) (Hernandez-Carrasco et al., 2018), and Self-
- 101 Organizing Maps (SOM) (Hernandez-Carrasco et al., 2018).
- 102 Given the motivation described above, and developed partially within the
- framework of JERICO-NEXT project, we present a Lagrangian-based Short-Term
- 104 Prediction (L-STP from now on) methodology using existing HFR datasets, to be
- applied to surface current real-time observations. The proposed L-STP
- methodology aims to be capable to use the previously developed gap filling OMA
- method and generate forecasts in near-real time with low computational costs,
- compared to the previously presented forecast methods, but with the same level of
- assessment. The uniqueness of this approach is two-fold: first, the historical

110 Eulerian velocity fields are used to construct a catalogue of Lagrangian trajectories

and second, using the trajectories obtained from present observations, analogues in 111

the past dataset are searched in order to obtain the best predictive match. The 112

- method is based on Lagrangian computations which have proven to be robust 113
- against errors in velocity field data and against the dynamics of unresolved scales, 114
- 115 since the averaging effect produced by integrating over trajectories which extend in
- time and space, tends to cancel random-like errors (Hernandez-Carrasco et al., 116
- 117 2011, Sayol et al., 2014). Consequently, they are reliable for the assessment of the
- dynamical flow structures. 118
- Analogues is a widely used method in time series prediction, especially in early 119
- weather forecasting and statistical downscaling. It is based on the assumption that 120
- if the behavior of a dynamical system at a given time is similar or close enough to 121
- 122 some other situation in the historical record, then the evolution in the future of the
- state of the system will be similar to the evolution observed in the same historical 123
- record. Simply stated, two analogue fields are two distinct fields that are close 124
- enough considering a given metric, to be considered as equivalent. Finding of the 125
- best (nearest) analogue of a specific time does not require a historically continuous 126
- dataset, as long as the dataset contains subsets of observations that extend longer 127
- than the testing period and are representative of the range of potential states that 128
- the system can have. These statistically analogue events occur naturally in the 129
- environment and this methodology has been applied and tested in atmospheric 130
- forecasts (Lorenz, 1969, Jianping et al, 1993, Prince and Goswami 2007, Shao and
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- 132 Li 2013).
- It must be stressed that this is the first time that the analogues technique has been 133
- applied to the HFR-derived ocean surface currents to obtain short-term forecast, to 134
- the knowledge of the authors. The L-STP is intended to be implemented 135
- operationally with low computational cost (seconds to few minutes for each 136
- forecast, depending on the size of the historical dataset) and is easily implemented 137
- using existing HFR data processing tools. 138

2. DATA AND METHODS

140 2.1 *Data*

- HFR data from two distinct oceanographic regions have been used for the 141
- evaluation, validation, and testing of the developed methodology (Figure 1): The 142
- Bay of Biscay (hereinafter BoB HFR) and the central Red Sea region (hereinafter 143
- Red Sea HFR). The range and the spatial resolution of the HFR current systems 144
- depend on their working frequency and the conductivity of the water over which 145
- 146 the system is measuring. Ranges vary from 15 to 220 km range and spatial

resolution from 250 m to 12 km. Typically, a 12 MHz radar has a range ~70 km with a spatial resolution of 2-5 km. HFR systems usually average current measurements for one hour, although some average currents for shorter periods, such as 30 minutes. HFR data from these two regions are used to evaluate the skill of the method under different dynamical conditions, and with a sufficient set of observations to provide a database suited to the efficient research of appropriate analogues. The BoB HFR system, located in the southeastern corner of the Bay of Biscay, in the Basque Country, is composed of two CODAR Seasonde sites, working since 2009 at 4.5 MHz frequency, covering up to 200 km range and providing hourly surface velocity field at 5 km of spatial resolution. The dataset used in this study spans the period from January 2012 to December 2015. The Red Sea HFR system is located on the central western coast of Saudi Arabia and is also composed of two CODAR Seasonde sites. The Red Sea sites are operational since June 2017, transmit at 16.12MHz frequency, covering up to 120 km range and providing the hourly surface velocity field at 3 km spatial resolution. The dataset used in this study spans the period from June 2017 to October 2018.

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The BoB HFR has been chosen as the pilot system for testing the developed 163 methodology, since it has the longest data series and because several papers have 164 165 already provided an extensive description of the local circulation and dynamical processes (Rubio et al., 2013a, 2013b, 2018, 2019, 2020; Solabarrieta et al 2014, 166 Solabarrieta et al., 2015, Hernandez-Carrasco et al. 2018, Manso-Narvarte et al., 167 2018; Declerk et al., 2019). The resulting methodology is then applied to the 168 operational Red Sea HFR dataset, as a study case. Coastal dynamics in the BoB 169 show a clear seasonality where cyclonic and anticyclonic eddies dominate in 170 winter and summer, respectively in responding to local winds and the mean coastal 171 current (Iberian Poleward Current) (Esnaola et al., 2013, Solabarrieta et al., 2014). 172 The circulation in the central Red Sea also demonstrates a clear seasonality 173 (Sofianos and Johns, 2003; Yao et al., 2014a, 2014b; Zarokanellos et al., 2017a, 174 2017b) linked to the seasonal winds of the area (Abualnaja et al., 2015; Langodan 175 et al., 2017b). The region is dominated by eddy activity, with both cyclonic and 176 anticyclonic eddies occurring in the region (Zhan et al., 2014; Zarokanellos et al. 177 2017a). Due to the only recently available dataset (since mid-June 2017 to present) 178 the detailed small-scale surface circulation processes of this area is under 179 180 characterization at the moment.

The primary difference between the two HFR systems is the operating frequency resulting in a larger spatial coverage for the BoB HFR than for the Red Sea HFR and a higher spatial resolution for the latter (5km and 3 km, respectively). This difference in the spatial resolution should result in better capturing the small-scale

- dynamical features in the Red Sea, which could influence the selection of an analogue.
- 187 The data from both systems have been processed similarly. The spectra of the
- 188 received backscattered signal are converted into radial velocities using the
- MUltiple SIgnal Classification (MUSIC) algorithm (Schmidt 1986). HFR Progs
- 190 MATLAB package (https://github.com/rowg/hfrprogs) is then used to combine
- radial currents and generate gap-filled total 2D currents, by means of the Open
- 192 Modal Analysis (OMA) methodology of Kaplan and Lekien (2007).

2.2 Lagrangian analogues

- The proposed prediction system, based on the analogue identification method, has been developed with the objective of providing HFR velocity fields forecast (up to 48 hours). As an innovative element, we use a Lagrangian approach in searching for analogues through an historical library composed of particle trajectories, instead of the commonly used Eulerian velocity fields. In our methodology we find the best analogue by comparing maps of trajectories obtained from the last available 48 hours (target field) with the historical catalogue of maps of Lagrangian trajectories (hereinafter Lagrangian catalogue). Then the catalogue map with the trajectory pattern closest to the target field map is selected. Relying on the similar evolution of the current situation and the past analogue, the next 48-hour time velocity fields of the selected analogue provides the target period forecast. In other words, if we find a state in the historical database that is "close enough" to the target field, we assume that the forecast for the current observations will evolve in the same way as did for the chosen analogue. A detailed description of the short-term prediction system is provided in the following algorithm:
 - 1. Lagrangian catalogue configuration. First, to build the Lagrangian catalogue, a set of synthetic trajectories was computed by advecting N particles uniformly initialized on a regular grid (Figure 2) in the OMA HFR velocity fields. The N Lagrangian particles are released every hour over the whole available velocity data and are advected during 48 hours. The maps of trajectories of the catalogue are referred as to X_C .
 - 2. Target map. A map of trajectories corresponding to the most recent HF currents observations, and referred as to X_T, is computed using the same procedure than for the Lagrangian catalogue but now advecting the N particles in the available last 48 hours (t_f-48h) of HFR velocity fields, where t_f corresponds to the current time.

3. Searching for the analogue. A searching algorithm for the best (closest to the target map) analogue among all the trajectory maps is implemented next. To increase the efficiency of this process, the search was done in two steps.

- i. Optimization of the catalogue. First, selecting only "potential" analogues with a similar main drift reduces the Lagrangian catalogue. The trajectories centroid for each map of the catalogue is computed and compared to that of the target field, and finally discarding the analogues whose centroid was at a distance greater than δ_{cg} . The value of the δ_{cg} is selected to be small enough to minimize the computational time but sufficiently large to do not lose sampling variability in the potential analogues. We explored different values of this threshold distance to find that $\delta_{cg} = 2\xi = 10$ km (where ξ is the spatial resolution) makes a good compromise between computational cost and number of potential analogues in both study areas.
- ii. In a second step, we computed the Lagrangian errors (£) between the trajectories of the target field and the potential analogues, defined as:

$$\varepsilon_{ANL} = \sqrt{\frac{1}{T} \sum_{j=1}^{T} (\delta_{ANL}(t_i))^2}, \quad t_i = \{6,12,24,36,48 \text{ hours}\},$$
 (1)

where T = 5 is the number of elements of the set of times t_i , and $\delta_{ANL}(t_i)$ is the mean separation distance at time t_i between the trajectories belonging to the target field \mathbf{X}_T and each of the potential analogues \mathbf{X}_c , given by:

$$\delta_{ANL}(t_i) = \frac{1}{N} \sum_{j=1}^{N} | \left(X_T^j(t_i) - X_C^j(t_i) \right) | , \qquad (2)$$

being N the total number of trajectories j.

- 4. Best analogue. The selection of the best analogue is performed by the Equation (2), which is a simple measure of similarity between two datasets. The best analogue is selected as the element of the catalogue with the lowest \mathcal{E}_{ANL} . Figure 3 shows an example of the time series of \mathcal{E}_{ANL} values, through the catalogue of potential analogues for a specific case. Then we locate the time t_{ANL} corresponding to best analogue: $t_{ANL} \to \min(\mathcal{E}_{ANL}) = \mathcal{E}_{ANL}(t_{ANL})$: $X_c(t_{ANL})$.
- 5. Currents Prediction. Once we have identified $t_{\rm ANL}$, the short term forecast of the HFR velocity fields is given by the hourly velocity fields corresponding to the next 48 hours since $t_{\rm ANL}$ (hereinafter "L-STP fields"):
- $\mathbf{X}_{STP}(t_c+1:t_c+48h) = \mathbf{X}_c(t_{ANL}+1:t_{ANL}+48h) \rightarrow \mathbf{V}_{STP}(t_f+1:t_f+48h) = \mathbf{V}_c(t_{ANL}+1:t_{ANL}+48h)$ 257 48h),

- where V_C (t_{ANL}) is the velocity field corresponding to the best analogue and V_{STP} are the forecast currents.
- 261 Figure 2 provides an example of the selected analogue (Figure 2b) and
- 262 corresponding L-STP fields (Figure 2d) for a given target field (Figure 2a) and the
- 263 'truth' trajectories for the following 48 hours from the date of the target field
- 264 (Figure 2c). The associated temporal series of errors for the target field and the
- potential analogues are shown in Figure 3, where the value of ε_{ANL} is marked using
- a red dot (corresponding to the error between the trajectories of the L-STP field in
- Figure 2d and the truth trajectories for the forecast period in –Figure 2c).
- 268 To assess the performance of the methodology, we computed forecasted
- trajectories based on persistence of currents (hereinafter 'persistence fields' X_{PRS}).
- 270 To obtain simulated trajectories using persistence currents, the particles are
- advected during 48 hours using a constant (frozen) velocity field (given by the
- 272 current velocity field, or target field, $V(t_f)$ during the 48 hours of simulation:
- 273 $V(x,y,t_f+T)=V(x,y,t_f)$, where t_f = current time and $T=\{1:48h\}$.
- The mean drift of the truth forecasted trajectories, X_{TRU} , is also computed for each
- simulation period (the mean drift is computed averaging over all the particle
- trajectory length during 48 hours).
- 277 The Lagrangian errors between the truth trajectories X_{TRU} and the L-STP
- 278 trajectories X_{STP} were also computed as:

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$$\varepsilon_{STP} = \sqrt{\frac{1}{T} \sum_{j=1}^{T} \left(\delta_{STP}(t_i) \right)^2} = \sqrt{\frac{1}{T} \sum_{j=1}^{T} \left(\frac{1}{N} \sum_{j=1}^{N} \left(X_{TRU}^{j}(t_i) - X_{STP}^{j}(t_i) \right) \right)^2}, \quad (3)$$

- 280 where δ_{STP} is the mean separation distance between truth and the L-STP
- trajectories for t= t : t+48 (following 48 hours from the study time). To compare
- 282 with persistence, we also compute the Lagrangian error between the truth
- trajectories X_{TRU} and the trajectories derived from the persistence field X_{PRS} ,

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$$\varepsilon_{PRS} = \sqrt{\frac{1}{T} \sum_{j=1}^{T} (\delta_{PRS}(t_i))^2} = \sqrt{\frac{1}{T} \sum_{j=1}^{T} (\frac{1}{N} \sum_{j=1}^{N} (X_{TRU}^j(t_i) - X_{PRS}^j(t_i)))^2},$$
 (4)

- where δ_{PRS} is the mean separation distance between truth maps of trajectories,
- 286 X_{TRU} , and maps of trajectories from persistent velocity fields, X_{PRS} , for t= t:t+48
- 287 (following 48 hours from the study time)

- All the process for the selection and validation of the analogue with the different
- variables has been summarized in Figure 4. The time series and spatial distribution
- of the ε_{STP} and ε_{PRS} errors have been analyzed for both study areas. Finally, ε_{STP}
- and ε_{PRS} time series have also been calculated and compared to the time series of
- the ϵ_{ANL} , in order to evaluate if the ϵ_{ANL} can be used as an indicator of the expected
- skill of the L-STP with respect to the persistence.
- Some parameters in the algorithm have to be tuned in order to optimize the results
- and the computational cost. For instance, we found that the optimal number of
- 296 particle trajectories, N is equal to 25. All the trajectories have been computed
- 297 considering infinitesimal and passive particles without adding a diffusion term. To
- 298 this end we used the Lagrangian module included in the HFR Progs MATLAB
- 299 package.
- 300 The ability of this method relies on the precision in finding two matching HFR
- 301 currents states over the entire region, which is dependant on the historical record of
- 302 observations used to build the catalogue and the dynamical representativity of the
- 303 catalogue. In this study we use four-year dataset (2012-2015) of trajectory maps
- 304 computed for the SE BoB, where the trajectory maps from the three first years
- 305 (2012-2014) were used as Lagrangian catalogue, and the remaining year (2015)
- was used as a test period. The historical Lagrangian catalogue for this HFR system
- is, thus, composed of 26304 maps of N=25 trajectories of 48-hours. Then the
- method was applied to the Red Sea dataset, for the period of July 2017-October
- 309 2018. As the dataset temporal extension was short (1 year and 4 months), we have
- used the whole period to build the Lagrangian catalogue and act as a test period at
- 311 the same time. In this case, for the analogues search the 5-days period around the
- date of the target field was removed from the catalogue at each iteration, to avoid
- 313 temporal overlapping with the target field.

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3. RESULTS

- Figure 2 shows an example of the developed methodology applied to the BoB HFR
- system on April 15, 2015. It is a visual representation of the (a) target trajectories,
- 318 (b) the selected analogue, (c) truth trajectories during the next 48 hours from the
- 319 target period, and (d) the L-STP trajectories provided by the method (48 hours
- 320 from the analogue).
- 321 The performance assessment results are described in section 3.1 and the temporal
- and spatial forecast for both study areas are shown in section 3.2.

3.1 Assessment of the L-STP skills

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Figure 5 shows the ε_{ANL} through 2015 for the BOB study area, together with the 324 ϵ_{STP} and ϵ_{PRS} . The analysis of this plot aims to check the relation between ϵ_{ANL} , ϵ_{STP} 325 and ε_{PRS} . Black dots over the timeline in Figure 5 show the times when ε_{STP} is 326 higher than the ε_{PRS} , which occurs 12% of the time. The mean value of the ε_{PRS} is 327 73% higher than the ε_{STP} . The correlation between ε_{ANL} and ε_{STP} is 0.46 while 328 correlation between ε_{ANL} and ε_{PRS} is 0.05, for the whole test year (2015). Focusing 329 on the times when the ε_{PRS} is lower than the ε_{STP} , it can be seen that they mostly 330 occur during winter months. Previous works in this area have shown that there are 331 high persistent eastward currents that can last for several weeks during winter 332 months (Solabarrieta et al., 2014), which can explain the better performance of the 333 persistence fields in this period. 334

The hourly values of ε_{STP} and ε_{PRS} have been plotted against their corresponding 335 hourly ε_{ANL} values for the test year, ordered from minimum to maximum along the 336 x-axis in Figure 6. We observe that, when ε_{ANL} is low (less than 13.06 km for this 337 data set), ε_{STP} is smaller than ε_{PRS} . However, as ε_{ANL} increases, ε_{STP} and ε_{PRS} 338 converge until an inflection point beyond which ε_{STP} is slightly greater than ε_{PRS} . 339 340 For the SE BoB experiment, the inflection point occurs at ε_{ANL} =13.06 km and 88% of cumulative ε_{ANL} . Results from the Red Sea HFR system indicates a similar pattern (not shown), when the inflection point occurs at $\varepsilon_{ANL} = 12.81$ km and at 342 86.4% of cumulative ε_{ANL} . 343

Further analysis to elucidate the mean separation distances (δ_{STP} and δ_{STP}) related 344 to ε_{ANL} after 6, 12, 24, 36 and 48 hours are presented hereinafter. ε_{ANL} has been 345 plotted together with the mean separation distances of the trajectories (δ_{STP} and 346 347 δ_{PRS}), after 6, 12, 24, 36 and 48 hours for each target field (Figure 7). δ_{STP} is always higher than the δ_{PRS} for the 6 hours' simulation. But the values of δ_{STP} show lower 348 values than δ_{PRS} for the lowest ε_{ANL} for the simulations at 12, 24, 36 and 48 hours. 349

The values of the correlation coefficient (R²) between the ε_{ANL} and δ_{STP} and 350 between ε_{ANL} and δ_{PRS} after 6, 12, 24, 36 and 48 hours are summarized in Table 2, 351 in order to analyze the relations between the Analogue, the L-STP and the 352 persistence. Values of R^2 for ε_{ANL} and δ_{PRS} are small (almost no correlation), 353 varying between 0.01 and 0.11, while correlations between ε_{ANL} and δ_{STP} are 354 higher, varying between 0.19 and 0.56, and showing higher correlation (>than 355 0.37) after 12 hours of simulations. The behavior of the Red Sea HFR system 356 figures (not shown) is similar to the BoB HFR system. 357

- Figures 6 and 7 (and the same ones for the Red Sea system, not shown) show that
- while ε_{ANL} increases, ε_{STP} and δ_{STP} increase, but ε_{PRS} and δ_{PRS} decrease, showing an
- inflexion point (hereinafter $\varepsilon_{ANL(*)}$). The $\varepsilon_{ANL(*)}$ can be calculated just for the
- historical dataset but ε_{ANL} can also be calculated in real time and compared with
- 362 $\varepsilon_{ANL(*)}$. It gives a reference value for the forecast skills:
- 363 $\epsilon_{ANL} < \epsilon_{ANL(*)} \rightarrow \delta_{_STP} < \delta_{_PRS} \rightarrow Use L-STP$
- 364 $\varepsilon_{ANL} > \varepsilon_{ANL(*)} \rightarrow \delta_{STP} > \delta_{PRS} \rightarrow Use Persistence$
- To assess the capabilities of the L-STP methodology, times when $\varepsilon_{ANL} < \varepsilon_{ANL(*)}$
- have been just analyzed from now on, as when $\varepsilon_{ANL} > \varepsilon_{ANL(*)}$ we recommend to
- use persistent currents as a short term forecast.
- 3.2 Spatio-temporal performances of the L-STP methodology
- Mean separation distances between truth and forecasted trajectories after different
- periods of integration times have been computed for both systems just for ε_{ANL} <
- $\epsilon_{ANL(*)}$ times (Figure 6), in order to evaluate the temporal forecast capabilities of the
- methodology. Separation distances computed for the whole test year 2015, are
- shown in Figure 8, for the BoB HFR observations.
- 374 The separation distances between the measured trajectories and predicted persistent
- and STP trajectories, have similar values during the first 6 hours (4km) of the
- 376 forecast period, with slightly better results for persistent trajectories. But after 6
- 377 hours, the separation distance for the forecast based on persistent currents increases
- faster than using L-STP. At 24 hours, the separation distance is 11 km for
- persistence forecasts and 8km for L-STP forecasts. The values are 12 and 18km,
- 380 respectively, after 48 hours of simulation. The mean drift values of the truth
- trajectories show that the mean drift is similar to the L-STP separation distances,
- during the 48 hours.
- 383 Temporal mean separation distances between truth and forecasted trajectories for
- the Central Red Sea HFR System, computed for $\varepsilon_{ANL} < \varepsilon_{ANL(*)}$ are shown in Figure
- 9. The separation distances for the STP forecasts are higher than those forecasts
- with persistent currents during the first 15 hours. After 15 hours, quality of
- forecasts reversed where STP produced better results than persistence.
- Spatial distribution of the difference between δ_{PRS} and δ_{STP} at 6, 12, 24 and 48
- hours, for the BoB and the Red Sea study areas, are shown in Figure 10 and Figure
- 390 11.

- 391 For the BoB HFR system, the differences are not appreciated during the first 6
- 392 hours. However, after 12 hours of simulation, the advantage of the L-STP is clear
- in most of the study area, especially outside the continental shelf slope where
- persistent currents dominate the circulation. The separation values between δ_{PRS}
- and δ_{STP} increase up to 10km after 48hours of simulation.
- For the Red Sea, the significant differences between STP and Persistence start after
- 397 24 hours of simulation, and continue until 48 hours.

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4. DISCUSSION

- 400 In this work, a new methodology to forecast ocean surface currents based on HFR
- observations has been described. The approach is based on the search of analogues
- 402 in a trajectory (Lagrangian) space using a previously generated trajectory field
- 403 catalogue. The temporal and spatial skills of the proposed L-STP methodology
- 404 have been analyzed in the previous section.
- 405 The target Lagrangian trajectory maps have been compared with the previously
- 406 generated trajectory catalogue to obtain ε_{ANL} , ε_{STP} , ε_{PRS} , δ_{STP} and δ_{PRS} for each
- analyzed time. For the BoB system (2015 period), the correlation between ε_{ANL} and
- ϵ_{PRS} is 0.05, showing no relation between them and similar values are obtained for
- 409 ε_{ANL} and δ_{PRS} (0.01-0.11- from table 2). The correlation between ε_{ANL} and ε_{STP} is
- 410 0.46 and it varies from 0.19 to 0.56 between ε_{ANL} and δ_{STP} . Although the
- correlation between ε_{ANL} (past) and δ_{STP} or ε_{STP} (future) are low, they suggest that
- 412 there is a relation between the errors of the analogues and the errors of the L-STP.
- δ_{STP} is always higher than the δ_{PRS} for the 6 hours' simulation. Which means that
- for the first hour, it is better to use persistence.
- The $\varepsilon_{ANL}(*)$ can just be calculated for the historical dataset but ε_{ANL} can also be
- calculated and compared to the previously selected $\varepsilon_{ANL(*)}$, in real time. It gives a
- reference value for the forecast skills and we suggest that ε_{ANL} can be considered as
- a real-time skill-score metric for the L-STP :

419
$$\epsilon_{ANL} < \epsilon_{ANL(*)} \rightarrow \delta_{_STP} < \delta_{_PRS} \rightarrow Use L-STP$$

420
$$\epsilon_{ANL} > \epsilon_{ANL(*)} \rightarrow \delta_{_PRS} \rightarrow Use Persistence$$

- The election of the best value for $\varepsilon_{ANL(*)}$ is the main sensitive step of the proposed
- methodology: the values of ε_{ANL} are different for each study area and no fixed

- value can be given. Due to this, an exhaustive analysis of ε_{ANL} , δ_{STP} , δ_{PRS} of the
- 424 historical dataset is required to find the correct inflexion point and select a correct
- 425 $\varepsilon_{ANL(*)}$, before the method can be applied to a new study area.
- Once fixed $\varepsilon_{ANL(*)}$, the skills of the proposed L-STP methodology have been tested
- in figures 8 to 11. The values of the δ_{STP} , compared to previous works in the BoB
- area showed that the L-STP produces accurate predictions, which demonstrates the
- 429 ability of the Lagrangian approach to capture key dynamical features needed to
- accurately predict the proper dynamical conditions.
- For the BoB HFR System, temporal δ_{STP} shows values of 3.5km, 5.5km and 8km,
- after 6, 12, and 24 hours respectively. The δ_{STP} values are similar to the δ_{PRS} values
- during the first 6 hours of simulation but δ_{STP} are lower after that, with 3km and
- 434 5.5km of difference between them, after 24 and 48 hours of simulation,
- respectively (Figure 8). As stated in previous work, that the circulation over the
- 436 BoB area is dominated by a stable, persistent current field during winter
- 437 (Solabarrieta et al., 2014) which is reflected by these results where persistence has
- good or even slightly better forecasting skill during the first 6 forecast hours than
- 439 the proposed methodology.
- 440 δ_{STP} values for the BoB HFR system are similar to the ones obtained by
- Solabarrieta et al., 2016, for the whole year but δ_{STP} are better for summer months,
- for the same study area. They used the linear autoregressive model, described in
- 443 Frolov et al., 2012, to forecast HFR current fields and the errors using that
- approach were 2.9 and 7.9 km after 6 and 24 hours. Although the results obtained
- in this work improve only during certain periods the forecast presented in
- Solabarrieta et al., 2016, the presented methodology has three advantages over the
- previous method: it is easy to run in real time; it does not require a continuous
- 448 training period; and it is able to discriminate the times when the usage of the
- 449 persistence is applicable. On the negative side, it requires the generation of a
- 450 catalogue of past trajectories as the search space for analogues, but once it is ready,
- 451 it is easily increasable in real time, without extra pre-analysis; just adding new
- 452 trajectory fields to the previous catalogue.
- The values of the δ_{STP} for the Red Sea HFR system follow a similar pattern to the
- BoB results, with higher separation distances. This may be related to the limited
- 455 time span of the available dataset, as a better closest analogue may be found in a
- 456 longer dataset.

The spatial comparison of the δ_{STP} and δ_{PRS} for the BoB HFR system (Figure 10), shows that the L-STP has better skills for the entire study area after 12 hours of simulations. The skills of the L-STP with respect to the persistence increases with time, showing up to 10km of improvement relative to persistence at 48 hours in some parts of the study area. For the spatial distribution, after 12 hours, the smallest differences between δ_{STP} and δ_{PRS} occurred over the slope. This is explained by the existence of persistent seasonal Iberian Poleward Current that flows along the continental slope toward the east along the Spanish coast and northward along the French coast (Solabarrieta *et al.*, 2014). In other words: although the L-STP can be performant in periods of persistent currents, the persistence field can show a better forecast for a short temporal scale (48h). L-STP will improve those forecasts, as soon as spatio-temporal variability increases.

The results for the Red Sea HFR system are similar but the benefit of the L-STP methodology appears only after 12 hours of simulation. Spatially, the improvement is again lower where persistent currents occur, as it is the case of the Eastern Boundary Current that flows northward following the eastern Red Sea Coastline in the study area (Bower and Farrah, 2015; Sofianos and Johns, 2003; Zarokanellos *et al.*, 2017b). The dominance of the persistent currents is evident in the lower values of the difference between the STP forecasts and the Persistence forecasts as shown in Figure 11 and in comparison, with Figure 10.

We have compared the capabilities of the L-STP forecast against the forecast based on the persistency of currents. The L-STP method requires long training periods but performs better during non-persistent periods. Previous efforts to forecast surface currents from HFR data have shown similar results compared with the methodology presented in this paper. However, the advantage of the L-STP method is that it can be used in near real time, with short and non-continuous datasets of around 2-3 years, provided that a Lagrangian catalog representative for the study area can be built.

We have compared the capabilities of the L-STP methodology against the forecast based on the persistence of currents. The L-STP method requires long (but not continuous) training periods and improves the results obtained from previously developed HFR forecast system (Solabarrieta et al., 2016) in the same study area (BoB) for the whole year. However, the L-STP still shows some limitations in predicting some specific dynamical scenarios, i.e. the dynamical conditions originated by the persistent IPC (Iberian Poleward Current). We have found that the Lagrangian analogue is not able to properly identify such persistence, it performs relatively better during non-persistent periods. The fact that persistent

events in both study areas are characterized by narrow high-speed jets (i.e. IPC in the BoB) small spatial differences in the location of the main circulation could generate high separation distances between the reference and predicted trajectories. While the trajectory computed from the velocity field predicted from the persistence model is advected in the same jet, the currents obtained from the L-STP are slightly shifted, but just enough to advect the particle in a different position within the jet, originating, therefore larger errors (larger &STP). We have observed that the longer the training period (as in the BoB system), the better the performance of the L-STP method. This suggests that longer training periods would increasing the capability to identify periods of persistent dynamics occurring over the same area, and thus improving the performance of the L-STP.

As mentioned, previous efforts to forecast surface currents from HFR data have shown similar results compared with the methodology presented in this paper. However, the advantage of the L-STP method is that it can be used in near-real time, with short and non-continuous datasets of around 2-3 years.

5. CONCLUSION

- A methodology forecast surface currents with analogues of Lagrangian dynamics in real-time has been proposed. This methodology provides accurate forecast of sea surface currents up to 48 hours and its capability has been tested in terms of spatial and temporal distributions. The methodology has been successfully applied to two distinct coastal regions to evaluate its capabilities in different hydrodynamic regimes, although further analysis using data from more areas is required to generalize the methodology.
- Relationships between ε_{ANL} and $\varepsilon_{STP}/\varepsilon_{PRS}$ suggest that the ε_{ANL} can be considered as a reliable indicator of the method's performance. Taking in consideration all the analyses done in this work, we propose to use STP currents for trajectory or velocity field predictions from 12 hours forward, if the ε_{ANL} value is lower than $\varepsilon_{ANL}(*)$. If ε_{ANL} is higher than $\varepsilon_{ANL}(*)$, or the forecast is just for the next 6 hours, the use of the persistence field is suggested. We also suggest that the $\varepsilon_{ANL(*)}$ value and forecast transition time need to be carefully evaluated for each study region. This, of course, infers that a minimum data set is required before the L-STP method can be applied.
- Further analysis of analogue finding approaches is required to improve the observed results, especially during periods when currents are persistent. The use of

- longer dataset as a training period may improve this aspect. Then, the next step
- would be to test the methodology for additional periods and other regions, to
- analyze the possibility to find analogues for different sub-regions and to evaluate
- its functionality in an operational mode.
- 533 The methods to find the minimum training period for each system should be
- analyzed deeper in future works. The minimum training period will be directly
- related to the variability of the local dynamics and those should be considered
- 536 during the analysis.
- 537 The HFR Progs MATLAB package (https://
- 538 cencalarchive.org/~cocmpmb/COCMPwiki) has been used to generate total
- 539 currents from radial files and to fill the spatial gaps of the surface current field
- sale using the OMA method, and to generate Lagrangian trajectories. The presented
- 541 forecasting method can be therefore easily implemented as an additional tool to
- provide short term forecast at the same time that they generate total currents.

543	DATA AVAILABILITY
544	The Red Sea HF Radar data can be requested through:
545	• https://lthdatalib.kaust.edu.sa
546	Historical and NRT Bay of Biscay HF Radar data can be requested through:
547 548 549	 Euskoos portal: https://www.euskoos.eus/en/data/basque-ocean-meteorological-network/high-frequency-coastal-radars/ Emodnet Physics -
550 551	http://www.emodnetphysics.eu/Map/platinfo/piradar.aspx?platformid=10 273
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AUTHOR CONTRIBUTION

- **Lohitzune Solabarrieta**: She has worked on the set up of the methodology, data analysis, manuscript writing and final submission.
- **Ismael Hernandez-Carrasco**: He has worked on the set up of the methodology and the manuscript writing.
- Anna Rubio: She has worked on the set up of the methodology, data analysis, and manuscript writing.
- **Michael Campbell**: He has worked on the configuration of the methodology. He has also contributed on the manuscript writing.
- **Ganix Esnaola**: He has worked on the configuration of the methodology. He has also contributed on the manuscript writing.
- **Julien Mader**: He has contributed on the writing of the manuscript.
- **Burton H. Jones**: He has contributed on the writing of the manuscript.
- **Alejandro Orfila**: He has worked on the configuration of the methodology, data analysis and the manuscript writing.

COMPETING INTERESTS

The authors declare that we have no conflict of interest

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826 TABLES

827 Table 1: Characteristics of the previously developed STP works based on HFR data.

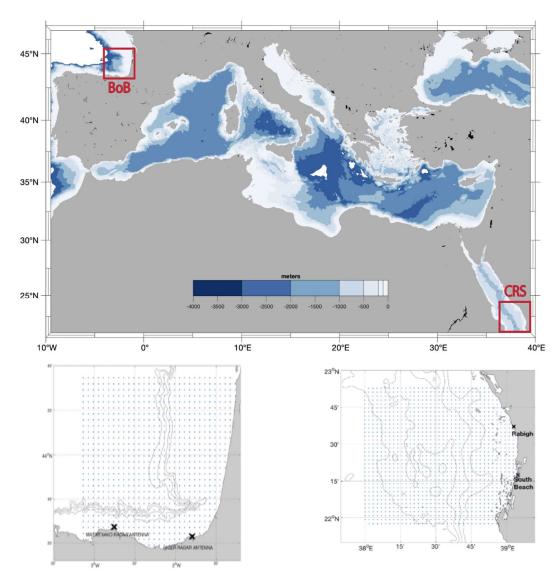
Authors	Approach	Needs continuous training period	Comple- mentary data required?	Region of application	Reliable forecast period
Zelenke 2005	EOF + bilinear regression model	Yes	Wind	Oregon coast	48 hours
Frolov et al. 2012	EOF + linear auto regression model	Yes	Wind and tides (optional)	Monterey Bay, California	48 hours
Barrick et al., 2012	Constant linear trend model applied to OMA modes	Yes	Wind	Finnmark, Norway	12 hours
Orfila et al. 2015	EOF+Genetic Algorithm	Yes	No	Toulon, France	48 hours
Solabarrieta et al. 2016	Frolov et al., 2012	Yes	No	Bay of Biscay	48 hours
Vilibić et al., 2016	SOM+neural network +winds	Yes	Wind	Northern Adriatic Sea	72 h
Ren et al., 2019	Random Forest (RF) classification algorithm	No	Tide and Wind	Galway Bay, Ireland	59 h
This paper: L-STP	Analogue finding	No	No	Bay of Biscay and the Central Red Sea	48 h

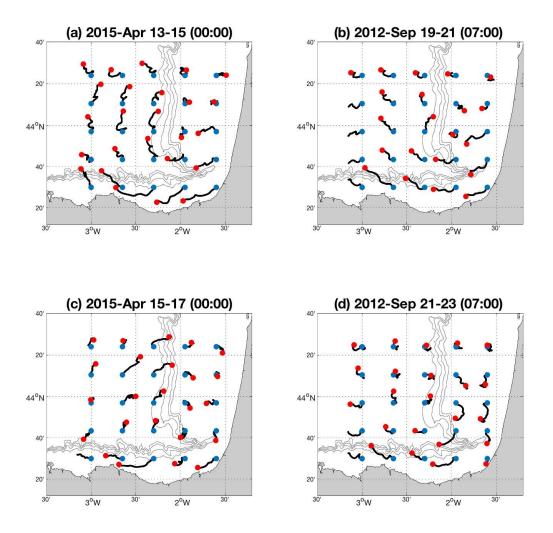
Table 2: Correlation coefficient values between best ε_{ANL} and $\delta_{_STP}$ and between ε_{ANL} and $\delta_{_PRS}$, after 6, 12, 24, 36 and 48 hours of simulation.

	6 hours	12 hours	24 hours	36 hours	48 hours
$R^2 \epsilon_{ANL} - \delta_{_STP}$	0.19	0.37	0.55	0.56	0.54
$R^2 \epsilon_{ANL} - \delta_{PRS}$	0.07	0.11	0.03	0.01	0.04
ϵ_{ANL} [km], for the inflection point between $\delta_{_STP}$ and $\delta_{_PRS}$	_	11.94	12.44	13.09	14.33
% of ϵ_{ANL} (accumulative) for the previous line	-	81	84	87	95

FIGURES

Figure 1: (Up) A globar-global view of both analyzed study areas. (Down-Left) HFR system of the BoB. (Down-Right) HFR system of the central Red Sea. Blue dots represent the data points and the black cross are the HFR antenna positions



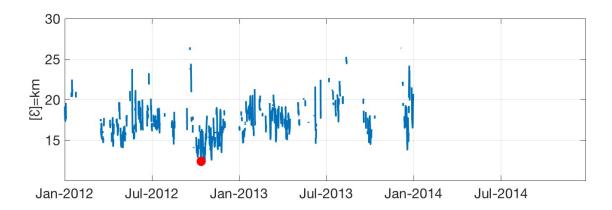


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Figure 3: Example for the test period: on 15-Apr-2015 00:00; errors for the whole Lagrangian catalogue fields of the BoB HFR System (training period 2012-2014), restricted to the δ _cg = 10 km condition. The red dot indicates the occurrence date and the error of the best analogue (19-Sep-2012 07:00).



848 Figure 4: Scheme of the analogue selection and L-STP forecast assessment process.

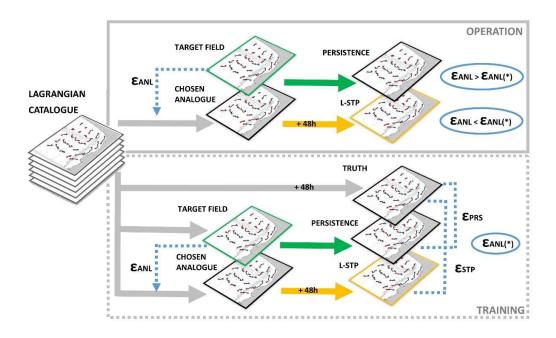


Figure 5: errors of the hourly best analogue for the BoB HFR, for 2015 (ε_{ANL}), together with the ε_{STP} and ε_{PRS} . The black dots over the timeline show the times when ε_{STP} is higher than ε_{PRS}

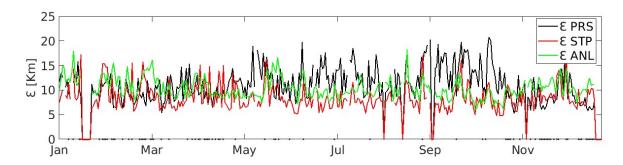


Figure 6: X axis shows the ε_{ANL} , ordered from minimum to maximum, for the best analogue for the test year 2015, for the BoB HFR. Left Y axis indicates ε_{STP} (red) and ε_{PRS-} (blue) for the corresponding ε_{ANL} . Right Y axis indicates the % of the accumulative comparison times as shown by the gray solid line. Dashed vertical line indicates the crossing point between ε_{STP} and ε_{PRS} ($\varepsilon_{ANL}*=13.06$ km).

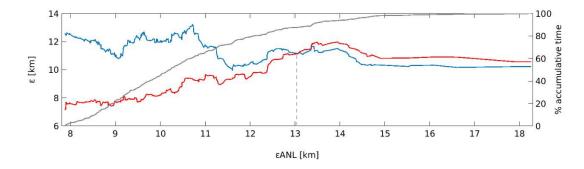


Figure 7: Left Y axis indicates $\delta_{_STP}$ (red) and $\delta_{_PRS}$ (blue) for the corresponding ε_{ANL} , after 6, 12, 24, 36 and 48 hours. Right Y axis is the cumulative % of timesteps in the computation of the mean errors, as indicated by the black line in the plots. X axis is the ε_{ANL} , ordered from minimum to maximum, for the best analogue for the test year 2015 (BoB HFR system)

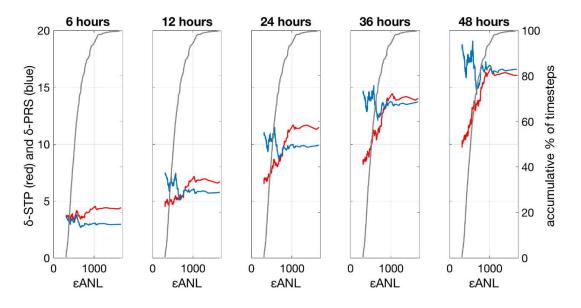


Figure 8: Time evolution of the mean separation $\delta_{_STP}$ and $\delta_{_PRS}$ [km] between truth and forecast trajectories using truth and STP/PRS currents and the mean drift, with BoB system data, for 2015. The mean drift of the truth forecasted trajectories is also computed for each simulation period (the means drift is considered as the average of the distances moved by each particle during 48 hours).

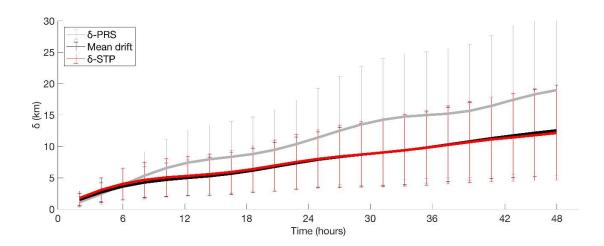


Figure 9: Time evolution of the mean separation distances $\delta_{_STP}$ and $\delta_{_PRS}$ [km] between real and forecast trajectories using truth and STP/PRS currents and the mean drift, with the Red Sea HFR system data, for July 2017 to October 2018. The mean drift of the truth forecasted trajectories is also computed for each simulation period (the means drift is considered as the average of the distances moved by each particle during 48 hours).

