

# Interactive comment on "The role of turbulence and internal waves in the structure and evolution of a near-field river plume" by Rebecca A. McPherson et al.

# Rebecca A. McPherson et al.

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Response to Reviewer 2 comments on McPherson et al., 'The role of turbulence and internal waves in the structure and evolution of a near-field river plume'– original comments and responses are headed in bold and italics respectively.

### **Reviewer Summary:**

This manuscript presents the results of a momentum balance analyses in the nearfield region of an energetic fresh water plume entering into a fjord in New Zealand. Components of the momentum balance are derived directly from upward transiting

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microstructure profiles, and a modified version of the MacDonald and Geyer control volume analysis. Failure of the momentum balance to close, particularly within the interface region (2 - 4 m depth), is used as an opportunity to explore other mechanisms of energy dissipation, including forcing of internal waves, and energy dissipated through a hydraulic jump. These two missing mechanisms are identified as the missing elements in the mismatched momentum budget.

# Response:

We thank the Reviewer for their insightful and challenging comments and suggestions. We greatly appreciate the effort they have put into improving our work. Below, we have responded to all their comments.

# **Reviewer Summary (ctd):**

The authors present an interesting take on near field dynamics, and their suggested mechanisms for closing the momentum budget appear plausible. However, I have several concerns related to the data set, which lead me to some skepticism. The microstructure data set is discussed in more detail in McPherson et al (2019), particularly in Appendix A, where concerns about tilt angle and rate of change of the tilt angle of profile are disregarded. Given the significant ramifications of these extremely high dissipation measurements, both in this paper, and in McPherson et al (2019), I do not believe that the validity of these measurements has been thoroughly vetted. In McPherson et al (2019) these measurements are used to argue that Thorpe scale to Ozmidov scale ratios are several orders of magnitude below unity, in stark contrast to decades of observations in oceanic shear layers.

# Response:

We wish to be very clear - the results of McPherson et al. (2019) did not suggest that the ratio between the Thorpe  $(L_T)$  and Ozmidov  $(L_O)$  scales in shear-stratified flows

is flawed. As the Reviewer correctly points out, there are decades of observations which show the linear relationship between  $L_T$  and  $L_O$  in open ocean and coastal environments (e.g., Dillon, 1982; Ferron et al., 1998; Stansfield et al., 2001; Stevens, 2017; Wesson and Gregg, 1994). The results of McPherson et al. (2019) instead suggest that care must be taken when conducting turbulent overturn analysis to estimate dissipation rates using Thorpe scales in a stratified shear flow where boundary layers limit the size of turbulent overturns, and in turn restrict the derived maximum turbulence dissipation rates.

The Ozmidov scale expresses the vertical size an overturn can reach before affected by stratification. However, as  $L_O$  is inferred and not directly measured, this length scale does not take into account either boundaries or variation in stratification. In the plume layer, where stratification is high ( $N^2 = 10^{-2} \text{ s}^{-2}$ ), the inferred  $L_O$  does not take into account that there is a free surface just above it, and below there is an order of magnitude increase in  $N^2$  at the interface. Therefore, the length scale and the  $L_O/L_T$  method are not flawed but the physical limitations of such vertical structure on fundamental length scales should be considered. Care should thus be taken when applying this method in shear-stratified flows where boundaries limit the size of overturns. This point is explicitly made in McPherson et al. (2019) to avoid this confusion, most notably in Sections 3, 5 and 6.

The impact of the tilt of the profiler  $(\theta_x)$ , as well as the rate of change of tilt, on turbulence dissipation rates was thoroughly discussed in Appendix A of McPherson et al (2019). It was important to ascertain if the high dissipation rates were a result of the profiler tilting, causing errors in the calculation method, or if the tilting was a result of the high shear and stratification in the surface layer, which resulted in enhanced dissipation rates. By first examining profiler tilt, it was shown that increasing the tilt of the profiler relative to the vertical did not correspond to an increase in measured

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Epsilon. When  $\theta_x > 20^{\circ}$  (the limit generally applied to profiler measurements, Lueck et al., 2013), the measured  $\epsilon$  were at least one order of magnitude smaller than the maximum  $\epsilon$  observed (Fig. A5a). The maximum dissipation rates were generally found within the surface layer (Fig. 9) and not at the interface where the measured tilt was greatest (Fig. A4b). There was also no correlation between the rate of change of tilt of the profiler and turbulence dissipation rates (Fig. A5b), as discussed in Appendix A. Thus, the high dissipation rates measured and the tilt of the profiler were due to enhanced turbulent mixing.

Furthermore, to ensure high quality data was used in the analysis, the data was filtered to remove all measurements when  $\theta_x > 20^\circ$ . Thus, extremes of profiler tilt were not considered in either analysis of McPherson et al. (2019) or this manuscript. Additional evidence of the validity of observed turbulence dissipation rates within the interface is provided later in this Response, where  $\epsilon$  derived from the residual stress divergence compared well with the observed  $\epsilon$  (Response Fig. 1d).

We now included details about the method of calculation of turbulence dissipation rates from velocity shear and the thorough discussion of the microstructure data set in the manuscript.

The VMP was deployed in an upwards-profiling mode, which enabled measurements right to the water surface. Due to contamination of data by the wake of the instrument, measurements of Epsilon towards the bottom of each profile were not always obtained. Due to the sharp velocity gradient between the fast-flowing surface plume and the quiescent ambient below, the instrument tilts relative to the vertical ( $\theta_x$ ) as it rises. Data has been filtered to remove all measurements when  $\theta_x > 20^\circ$  (Lueck, 2013). Further details about the calculation of  $\epsilon$  from velocity shear and other details pertaining to the microstructure data set, including the influence of profiler tilt on the calculation of

In closing this point about the validity of the microstructure data set, the Reviewer notes the high dissipation rates and compares the measured length scales with those observed in oceanic shear layers. However, it would have been more surprising if the results of McPherson et al. (2019) were exactly like an ocean shear layer because the systems and situations are so very different.

# **Reviewer Comment:**

In this paper, the measured dissipation values lead to dramatic conclusions about hydraulic jumps and internal waves, which, while plausible, are significant and ground-breaking. Given the potential significance of these two sets of conclusions and the fact that both are tied directly to dissipation measurements from microstructure profilers at extreme angles, I do not believe that the justification provided in the JGR paper is convincing.

#### Response:

While the Reviewer exhibits skepticism about the microstructure data set, which we hope has been allayed following this response to the Reviewer, there are results presented in the manuscript not reliant on turbulence dissipation rates that also show evidence of internal waves and hydraulic jumps.

Firstly, the echosounder transects both in the near and far-field regions (Fig. 4, 11) clearly showed internal waves propagating along the base of the surface layer. The high spatial variability of plume thickness (Fig. 6a) also indicated these high-frequency internal waves (Osadchiev, 2018). The frequency spectra of temperature within the shear-stratified layer showed a -2 slope in the lower frequencies of the inertial

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subrange (Fig. 10), consistent with the background canonical GM spectrum of internal waves (Garrett and Munk, 1972). Furthermore, the transition of Froude number from supercritical (Fr > 1) to sub-critical (Fr < 1) at approximately 1 km downstream of the tailrace discharge point (Fig. 6g) indicated the release of these observed internal waves. Other river plumes have been shown to generate internal waves as they transition from a super- to sub-critical state (Nash and Moum, 2005; Jay et al., 2009; Osadchiev, 2018).

The transition from supercritical to sub-critical flow can also induce a hydraulic jump (Weber, 2001). While the high-frequency variability in the along-channel plume structure was high, thus identifying clearly a hydraulic jump is difficult, the changes in plume structure at 1 km downstream are characteristic of a hydraulic jump. The increase in plume thickness by almost 2 m suggested the thinner supercritical flow matched to the thicker sub-critical flow, and the decrease of surface velocity by 0.4 m/s over the region also indicated the change in flow regime as a hydraulic jump is generated. It was in this downstream 1 km region that O' Callaghan and Stevens (2015) also observed a hydraulic jump, consistent with the results presented in this manuscript.

Broadly speaking, there is everything to suggest, in bulk terms, that the upper layer turbulence dissipation rate IS very high. So even if our unique dataset challenges the limits of technology, the scales that we resolve provide a provocative set of evidence for the community to work with. More detail about the observed characteristics of the hydraulic jump from the along-channel transect have been included in the manuscript:

Hydraulic jumps have previously been observed in Deep Cove, caused by variable discharge rates, as the fast surface plume discharged into the deep, stationary ambient presents an ideal environment for their generation (O'Callaghan and Stevens, 2015).

These jumps can alter the vertical structure of the stratified flow by intensifying density gradients, accelerating the flow and modifying vertical shear (Nash and Moum, 2001). While variability in along-channel plume structure and behavior was high (Fig. 4, 6), thus identifying clearly a hydraulic jump is difficult, changes in the plume structure at 1 km downstream were characteristic of a hydraulic jump. At the jump location in Deep Cove, an increase in plume thickness from 3.2 m to 5 m (Fig. 6b) indicates where the thin near-surface supercritical flow matches the thicker sub-critical layer. While not anomalous, the sudden increase by almost 2 m is the largest change in h over the length of the fjord, and the plume continues to gradually thicken past 2 km downstream. A decrease in surface velocity from 1.2 to 0.8 m/s (Fig. 6c) indicates the abrupt deceleration of the fast super-critical flow as it transitions into a slower sub-critical flow and forms a hydraulic jump. Furthermore, jump-occurrence was corroborated by intense turbulence dissipation in the near-surface ( $\epsilon > 10^{-3}$  W/kg) (Fig. 6e). When flow dominated by kinetic energy (a supercritical flow) transitions into a flow dominated by its potential energy (a sub-critical flow), mechanical energy is released and is either radiated away by internal waves or dissipated locally (Nash and Moum, 2001; Klymak et al., 2004; Osadchiev, 2018).

#### **Reviewer Comment:**

As one comparison, I suggest that the authors use the momentum balance in the interfacial layer to determine the stress divergence required to close the momentum budget, and compare that to the microstructure derived values, the Thorpe scale values of McPherson et al (2019) and other river plume environments. What dissipation rates would be required to close the budget? Without that context, it is difficult to gauge the relevance of the alternative mechanisms suggested by the authors. There is no doubt that these mechanisms may play a role to some degree, but their magnitude is at issue.

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The Reviewer makes a good suggestion here. Residual internal stress divergence (the sum of the total acceleration, pressure gradient and Coriolis force: a residual of the momentum budget) is generally used when direct measurements of stress are not possible, following MacDonald and Geyer (2004). A comparison between the residual and directly measured internal stress divergence terms is an alternate method of assessing the accuracy of the control-volume method. The authors have calculated the residual internal stress divergence and averaged it over each layer (the surface, interface and ambient), then compared it to the observed stress divergence term directly measured using the microstructure data set (see attached Response Fig. 1). The turbulence dissipation rates within the interface required to balance the budget were also derived from the residual stress divergence term, then compared to the directly measured dissipation rates from the microstructure profiler.

There was generally good agreement between the directly observed (purple) and residual (light blue) internal stress divergence terms within the plume layer (attached response Fig. 1a). The residual stress divergence in the initial 1 km downstream of the tailrace discharge point compared well to the high observed term (>  $3 \times 10^{-3}$  m/s<sup>2</sup>), and both values, of a comparable order of magnitude, tended towards zero with distance downstream. This suggests that the control volume method produced a reasonable estimate of internal stress in the surface layer.

In the ambient below the plume, the residual internal stress divergence generally overestimated the observed term. The residual term was larger and exhibited greater variability than the directly measured term, which weakly contributed to the balance of momentum (Response Fig. 1c). This suggests that the under-sampling of turbulence observations below the plume (due to contamination of data by the wake of the instrument, measurements of Eps towards the bottom of each profile were not always obtained, see Fig. 5d) failed to resolve the turbulent field in the ambient. The discrep-

ancy between the residual and observed terms however, is relatively small (<  $10^{-3}$  m/s<sup>2</sup>) and the budget in the ambient using the observed internal stress divergence was relatively well-balanced (Fig. 9d).

In the interfacial layer over the initial 1 km, the directly measured internal stress divergence term did not compare well with the residual term (Response Fig. 1b). The estimated residual term was relatively constant at  $1 \times 10^{-3}$  m/s<sup>2</sup> while the observed term sharply peaked at  $-4 \times 10^{-3}$  m/s<sup>2</sup>. The difference in the size of the residual and observed stress divergence terms within the interfacial layer is due to the underestimation of turbulence dissipation rates by the residual term. The observed  $\epsilon$  in the initial 1 km are approximately one order of magnitude greater than the control-volume  $\epsilon$  estimates (Response Fig. 1d), leading to the larger observed stress divergence term than residual term in the 0 - 1 km region (Response Fig. 1b). Downstream of 1 km, both estimates of dissipation rate compared well and both stress divergence terms were generally comparable and tended towards zero with distance.

The residual-derived turbulence dissipation rates close to the tailrace discharge point ( $\epsilon = 10^{-4}$  W/Kg) are comparable to those observed in other river plumes of a comparable size to the tailrace inflow, such as the Connecticut (O'Donnel et al, 2008), the Hudson (Peters and Bokhorst, 2000) and the Merrimack River (MacDonald et al, 2007). The observed turbulence dissipation rates  $\epsilon > 10^{-4}$  W/Kg) are comparable to those measured in much larger river systems like the Columbia River (Nash et al, 2009). A full comparison of  $\epsilon$  in Deep Cove and other plume systems was conducted in Section 5.1 of McPherson et al. (2019).

The enhanced dissipation rates did not influence the validity of the control-volume method. As turbulence dissipation rates within the surface layer of Deep Cove were generally the greatest in the upper water column (Fig. 5d), the good agreement

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between the stress divergence terms in the surface layer (Response Fig 1a) showed that the control method can produce reasonable estimates of stress in plume systems in which dissipation rates are enhanced. Therefore, the discrepancy in residual and observed turbulence dissipation rates in the interfacial layer, thus stress divergence terms, was not due to the control volume being unable to resolve high dissipation rates ( $\epsilon$  is generally higher in the surface layer than the interface, Fig. 9 in McPherson et al, 2019). MacDonald et al. (2013) also found that the control-volume method can provide robust estimates of  $\epsilon$  in a turbulent ( $\epsilon < 10^{-4}$  W/Kg) near-field river plume.

Furthermore, the angle of the profiler also did not affect the dissipation rates observed. The tilt of the profiler as it rose through the sharp velocity gradient between the fast-flowing surface plume and the quiescent ambient below, about which the Reviewer expressed concern, was enhanced throughout the whole near-field region of Deep Cove. Large angles of tilt ( $\theta_x > 20^\circ$ ) were also found downstream of the 1 km region (Fig A4, A5 in McPherson et al, 2019). Therefore, as the dissipation rates within the interface derived from residual stress compared well to the microstructure estimates downstream of 1 km (Response Fig. 1d), where the profiler continued to tilt, this shows that the tilt did not influence the observed dissipation rates in the interface.

The authors therefore hypothesized that the residual stress divergence term instead did not resolve other turbulent processes that contributed to the larger observed dissipation rates in the interfacial layer of the initial 1 km (Response Fig. 1d). These processes were suggested as being internal waves and a hydraulic jump. Evidence of these processes using both the microstructure data and other instruments was presented in the manuscript (Fig 4, 6, 10, 11 and Sections 4.3 and 4.4), and further discussed in the Reviewer comment above.

#### **Additional Reviewer Comment:**

Line 112: The ADCP is also important in constraining  $F_z$ , correct?

#### Response:

The Reviewer is correct and this section has been moved to where the set-up of the ADCP is described in the methodology.

#### Additional Reviewer Comment:

Line 173: The referenced figure should be 5d

Response: Corrected.

# **Additional Reviewer Comment:**

Line 179:  $Fr_i$  is not defined, and a discussion should be included of exactly how the quantity is calculated from the data.  $Fr_i$  is notoriously difficult to quantify in river plume environments due to the difficulty in constraining internal wave speed in a stratified (rather than two layer) flow. In using a classic two layer approximation, it is difficult (if not impossible) to accurately calculate a layer depth. As such, calculations of  $Fr_i$  in river plume environments typically have significant relative value (i.e., Fr is increasing or decreasing) but it is very difficult to constrain the crossing of supercritical to sub-critical transitions. This becomes extremely important in later discussions regarding hydraulic jumps, so it is critical to back up these calculations here.

#### Response:

The Reviewer makes a good point. The authors used the internal Froude number,

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 $Fr = u_f/c$ , where  $u_f$  is the surface flow velocity estimated from the ADCP, and  $c = \sqrt{g'H}$ , where *H* is the thickness of the surface layer, also used in many other river plume studies (Halverson and Pawlowicz, 2008; Jay et al., 2009; Hetland, 2010; O'Callaghan and Stevens, 2015; Osadchiev, 2018). The thickness of the surface layer (*H*) was defined by the depth of maximum stratification (Fig 5a,c).

A second method of examining the hydraulics of the Deep Cove plume was the composite Froude number,  $G = F_1^2 + F_2^2$ , following Armi and Farmer (1986), then Mac-Donald and Geyer (2005) and Geyer et al. (2017) etc. Where  $F_i^2 = u_i^2/(g'h_i)$ , (i = 1, 2), and  $F_1$  and  $F_2$  are the upper and lower layer Froude numbers,  $u_i$  are the layer-averaged velocities, and  $h_i$  are the thicknesses of the layers. The composite Froude number was calculated from the same along-channel transect in Fig. 6, then compared to the internal Froude number (see Response Fig. 2).

The results show that most of the contribution to G comes from  $F_1$  (Response Fig. 2) as the near-surface velocities are much greater than the relatively quiescent ambient (Fig. 5b). The value of G is generally greater than  $Fr_i$ , though follows the same trend. Most importantly is that the location that G transitions from super to sub-critical is approximately 1 km downstream of the discharge point, which is the same as where  $Fr_i$  transitions from  $Fr_i > 1$  to  $Fr_i < 1$ . Due to the agreement in these results, the authors are confident in the results showing a transition in Froude number in Fig. 6g using the internal Froude number.

Further details about the calculation of the Froude number have been included in the manuscript.

# Additional Reviewer Comment:

Figure(9): Panel (d) is extremely confusing, and does not appear to be consistent with panels (a), (b), and (c). For example, at 0.5 km, I would expect the pink bars (which are the sum of components in panel (a) to be of order 1 m/s<sup>2</sup>, the light blue bars to be of order 5 m/s<sup>2</sup>, and the blue bars to be of order 1 - 2 m/s<sup>2</sup> (these are approximations by eye). The bars shown are not consistent with these approximations. Please clarify the intent of panel (d) or correct the plot if necessary.

# Response:

While the panels above (Fig 5a - c) showed the dominant budget terms in each layer of the upper water column and their along-channel evolution respectively, it was difficult to determine, using these panels alone, if the budget across each layer was balanced. Therefore, Fig. 9d showed the sum of all the layer-averaged momentum budget terms in the surface (pink), the interface (dark blue) and ambient below (light blue) to illustrate the sum/balance of momentum in each layer, compared across all layers. The authors have amended the figure and caption to make the result clearer (see Response figure).

# **Additional Reviewer Comment:**

Line 322: An increase in plume thickness from 3.3 to 5 m is suggested in Figure 6(b). While this jump does exist for two adjacent data points, a better interpretation (given the variability in h in 6b) might be a gradual increase in h from 4 to 4.3 m over approximately 1 - 2 km.

# Response:

The Reviewer makes a good point. The variability and the gradual increase in plume thickness observed has been included and discussed in the manuscript (see comment above related to other observations of internal waves/hydraulic jumps for details).

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# Additional Reviewer Comment:

Line 330: The authors claim that hydraulic jumps are responsible for contributing up to 30% of the energy dissipation, based on entire water column calculations, which two lines earlier they suggest is unlikely. The 2% estimate is probably more realistic.

# Response:

The estimate of 30% energy dissipation by hydraulic jumps was the maximum end of the range, calculated using the full water column values. However, the full range from 2 - 30% was always provided when discussing energy dissipation from jumps to give the Reader the full picture. These details, including the likelihood of this maximum estimate, was discussed in the text.

# Additional Reviewer Comment:

In summary, I strongly recommend that the authors further investigate the nature of the dissipation measurements from the microstructure profiler by comparing their measurements to budget derived estimates, and revisiting the extreme angle analysis and justification. This will provide essential context for further evaluation of the profiler data taken at extreme angles, and may have ramifications not only for the present manuscript but for the manuscript recently accepted by JGR-Oceans.

# Response:

We thank the Reviewer for their suggestions and recommendations. We hope our response serves to allay any concerns. The point about the tilting of the microstructure profiler is one that we were aware of from the outset, and do believe that we have taken sufficient care to account for potential issues.



**Fig. 1.** Momentum budget terms and residual stress divergence (light blue) averaged over (a) surface, (b) interface, (c) ambient. (d) (purple) observed and (blue) residual-derived interface dissipation rates



**Fig. 2.** Along-channel internal (Fr\_i, black) and composite (G, yellow) Froude number, where G =  $F1^2 + F2^2$ , and F1 is the upper layer Froude number (blue) and F2 is the lower-layer Froude number (orange)

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Fig. 3. Momentum budget terms averaged over (a) surface, (b) interface, (c) ambient layers. (d) The sum of the surface (pink), interface (dark blue) and ambient (light blue) layer-averaged components.

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