

# 1 Seasonal and interannual variabilities of the barrier layer 2 thickness in the tropical Indian Ocean

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9 **Abstract.** The seasonal and interannual variations of the barrier layer thickness (BLT) in the tropical Indian  
10 Ocean (TIO) is investigated in this study using the Simple Ocean Data Assimilation version 3 (SODA v3) ocean  
11 reanalysis dataset. Analysis of this study suggests energetic but divergent seasonal variabilities of BLT in the  
12 western TIO (55°E-75°E, 5°N -12°S) and the eastern TIO (85°E-100°E, 5°N -12°S). For instance, the thicker  
13 barrier layer (BL) is observed in the western TIO during boreal winter as a result of decreasing sea surface  
14 salinity (SSS) and deeper thermocline, which are associated with the intrusion of freshwater flux and the  
15 weakened upwelling, respectively. On the contrary, the variation of BLT in the eastern TIO mainly corresponds  
16 to the variation in thermocline depth in all seasons. The interannual variability of BLT with the Indian Ocean  
17 Dipole (IOD) and El Niño Southern Oscillation (ENSO) is explored. During the mature phase of positive IOD  
18 events, thinner BL in the eastern TIO is attributed to the shallower thermocline, while thicker BL appears in the  
19 western TIO due to deeper thermocline and fresher surface water. During negative IOD events, thicker BL only  
20 occurs in the eastern TIO, corresponding to the deeper thermocline. During ENSO events, prominent BLT  
21 patterns are observed in the western TIO corresponding to two different physical processes during the  
22 developing and decaying phase of El Niño events. During the developing phase of El Niño events, thicker BL in  
23 the western TIO is associated with deepening thermocline induced by the westward Rossby wave. During the  
24 decaying phase of El Niño events, the thermocline is weakly deepening while the BLT reaches its maxima  
25 induced by the decreasing SSS.

## 26 1 Introduction

27 The upper-ocean traditionally included only the mixed layer and the thermocline. The terminology barrier layer  
28 (BL), was recently introduced as the mixed layer depth (MLD) was redefined from using the temperature (de  
29 Boyer Montégut et al., 2004) to using the oceanic density (Kara et al., 2000; Mignot et al., 2007). The barrier  
30 layer thickness (BLT) is simply the depth between the bottom of the mixed layer defined by density, and the top  
31 of the thermocline (Lukas and Lindstrom, 1991; Masson et al., 2002; Sprintall and Tomczak, 1992). Although  
32 the BL is much thinner than the other two layers, it plays a key role in oceanic dynamics and air-sea interaction.  
33 For example, the BL helps to sustain the heat in the mixed layer by isolating the temperature in the upper ocean  
34 from the cooling entrainment. Accordingly, BL is crucial in the formation of the El Niño Southern Oscillation  
35 (ENSO) and contributes to the formation of the different ENSO types (conventional ENSO and ENSO Modoki)  
36 (Singh et al., 2011; Maes, 2002; Maes et al., 2006; Maes et al., 2005). Also, the spatial structure of BLT driven

37 by special variation in Ekman drift is crucial for the formation of monsoon cyclones in the pre-monsoon season  
38 (Thadathil et al., 2007; Vinayachandran et al., 2002; Masson et al., 2005; Neetu et al., 2012).

39 The variability of BLT is mainly affected by the change of MLD and thermocline due to various mechanisms,  
40 such as heavy precipitation, oceanic currents, wind stress, and oceanic waves (Bosc et al., 2009; Mignot et al.,  
41 2007; Masson et al., 2002; Qu and Meyers, 2005). For instance, thicker BL mainly presents in the areas beneath  
42 the Intertropical Convergence Zone (ITCZ) with decreasing SSS due to abundant rainfall (Vialard and Delecluse,  
43 1998) or large river discharge (Pailler et al., 1999). The strong wind stress anomalies could also contribute to  
44 thickening the BL via deepening the thermocline (Seo et al., 2009).

45 Compared to the tropical Pacific and the Atlantic Ocean, the tropical Indian Ocean (TIO) is characterized by a  
46 shallower thermocline in the west (Yokoi et al., 2012, 2008; Yu et al., 2005) and stronger interannual variation  
47 of upper-ocean temperature in the east (Li et al., 2003; Saji et al., 1999), which provides a unique region to  
48 evaluate the seasonal and interannual variabilities of BLT.

49 The strong seasonality of BLT has been observed in some subregions of the TIO, such as the southeastern  
50 Arabian Sea, the Bay of Bengal, and the southeastern TIO (Schott et al., 2009). These regions are also  
51 characterized by the strong seasonality of SSS due to different hydrological processes (Rao, 2003;  
52 Subrahmanyam et al., 2011; Zhang et al., 2016; Zhang and Du, 2012). Overall, the seasonality of BLT in the  
53 TIO is partly consistent with the change of SSS due to the impact of freshwater (Masson et al., 2002; Qu and  
54 Meyers, 2005).

55 The interannual variability of BLT in the southeastern TIO can be partly explained by IOD events (Qiu et al.,  
56 2012). During positive IOD year (*e.g.*, 2006), thinner BL in the southeastern TIO is mainly led by the shallower  
57 thermocline induced by the upwelling Kelvin wave in the presence of weakly shoaling MLD. In negative IOD  
58 year (*e.g.*, 2010), a thicker BL is expected due to the extending of the thermocline. Furthermore, at the sub-  
59 seasonal scale, the zonal SSS gradient driven by the freshwater advection results in a thicker BL to sustain a  
60 fresh and stable MLD (Drushka et al., 2014).

61 Existing studies on the interannual variability of BLT were mainly focused on specific years and lacked long-  
62 term evaluation. More importantly, the interannual variability of both thermocline and SSS are supposed to be  
63 associated with ENSO events (Grunseich et al., 2011; Rao and Sivakumar, 2003; Subrahmanyam et al., 2011;  
64 Zhang et al., 2013), but relationships between BLT and ENSO are scarcely reported in the TIO. Also, the relative  
65 impact of SSS and thermocline depth on the variability of BLT is still unclear and is not systematically  
66 investigated in the TIO. Thus, the evolution of the seasonal and interannual variabilities of BLT and its  
67 relationship with SSS and thermocline anomalies are still highly desired. The Simple Ocean Data Assimilation  
68 (SODA) version 3 ocean reanalysis dataset covers time-series data from 1980 to 2015, which may be adequate  
69 for such purpose.

70 The remainder of this paper is arranged as follows. In Section 2, we briefly describe the datasets and methods.  
71 Comparisons of the BLT variability interpreted from both observed and reanalysis datasets in the TIO is  
72 presented in Section 3. Section 4 presents the seasonal variability of the BLT in the TIO, while its interannual  
73 variability is shown in Section 5. Finally, summary and discussions are given in Section 6.

## 74 2 Data and Methods

75 Two datasets are used in this study to investigate the variability of BLT in the TIO. The first one is the monthly  
76 global gridded observation and reanalysis products with 1° horizontal resolution from 2005 to 2015, which is  
77 compiled from Argo profiles products provided by the French Research Institute for Exploration of the Sea  
78 (Ifremer: [http://www.ifremer.fr/cerweb/deboyer/mld/Subsurface\\_Barrier\\_Layer\\_Thickness.php](http://www.ifremer.fr/cerweb/deboyer/mld/Subsurface_Barrier_Layer_Thickness.php)). BLT is  
79 calculated as the difference between  $TTD_{DTm02}$  and  $MLD$ .

$$80 \quad BLT = TTD_{DTm02} - MLD$$

81 where  $TTD_{DTm02}$  is the depth of the top of thermocline, which is defined as the depth at which the surface  
82 temperature is 0.2 °C cooler than the sea surface temperature and is hereafter referred to as the isothermal layer  
83 depth (ILD).  $MLD$  is the mixed layer depth defined by oceanic density at which depth the density is 0.03 kg/m<sup>3</sup>  
84 larger than that of the surface (de Boyer Montégut et al., 2007; Mignot et al., 2007).

85 Another dataset is the latest released SODA version 3 reanalysis data (1980-2015) with a horizontal resolution of  
86 0.5° which is hereafter denoted as SODA v3 data and can be accessed from the Asia-Pacific Data-Research  
87 Center (APDRC: [http://apdrc.soest.hawaii.edu/datadoc/soda\\_3.3.1.php](http://apdrc.soest.hawaii.edu/datadoc/soda_3.3.1.php)). SODA v3 has reduced systematic errors  
88 in the upper ocean and has improved the accuracy of the poleward variability in the tropic (Carton et al., 2018).  
89 It has 26 vertical levels with a 15 m resolution near the sea surface. We adopted the same Ifremer equation to  
90 calculate SODA BLT as the difference between density and temperature defined MLD.

91 Salinity and temperature in the first level (5m) are adopted as the SODA SSS and sea surface temperature (SST),  
92 respectively. The thermocline depth is defined as the depth of the 20 °C isotherms.

93 Monthly SST between 1980 and 2015 on a grid of 1°x1° is acquired from Hadley Center Global Sea Ice and Sea  
94 Surface Temperature (HadISST: <https://climatedataguide.ucar.edu/climate-data/sst-data-hadisst-v11>) to calculate  
95 the Nino3.4 index. The Nino3.4 index is the average SST anomaly in the area of (5°N -5°S, 170°W -120°W).

96 The significance of simultaneous and lead-lag correlations are evaluated in this study with the student's  $t$ -test. In  
97 all the datasets, we removed the annual cycle of each parameter before the interannual correlation analysis.  
98 Composite analysis is also employed to evaluate the interannual variability of BLT using a Monte-Carlo  
99 significance test. The Monte-Carlo process is that the IOD/El Niño/La Nina years are randomly shuffled (10 000  
100 times) for each month, and a mean student's  $t$ -test is used to calculate the  $t$  statistic for the selected areas. The  
101 mean of the  $t$  statistic generated by the random simulations exceeding that of the actual  $t$  value is determined and  
102 assessed at the 5% significance level. The positive and negative IOD years are provided by the Bureau of  
103 Meteorology (<http://www.bom.gov.au/climate/iod/>), and the El Niño and La Nina years are obtained from the  
104 Golden weather gate service (<https://ggweather.com/enso/oni.htm>). Monthly mean values are averaged over a  
105 three-sequential month for different seasons, e.g., December-January-February (DJF) for boreal winter, March-  
106 April-May (MAM) for boreal spring, June-July-August (JJA) for boreal summer and September-October-  
107 November (SON) for boreal autumn. All the area-averaged parameters shown in this study are weighted by the  
108 cosine of the latitude.

### 109 3 BLT in the Indian Ocean

110 BLT in the TIO calculated from SODA v3 is first validated against Argo float observations from 2005 to 2015.  
111 As shown in Figure 1, the seasonal BLT climatology obtained from SODA v3 is biased thinner in the Bay of  
112 Bengal in all seasons compared to that derived from Argo. This thinner BL in SODA v3 is probably because it  
113 lacks the runoff data from the Bay of Bengal as input in its reanalysis (Carton et al., 2018; Carton and Giese,  
114 2008, 2006). Additionally, SODA BLT fails to capture the BLT feature on the west coast of Africa and the  
115 northwestern Arabian Sea (see the white areas right to green line), where no BLT is expected due to the salinity  
116 inversion. However, for the area of interest in the TIO (55°E-100°E, 5°N -12°S), the BLT in SODA v3 shows a  
117 coherent spatial pattern with the Argo BLT, where BL is, in general, thicker in the east and thinner in the west.  
118 The seasonal evolution of BLT in the east obtained from SODA is consistent with that from Argo, shown as a  
119 decreasing trend from boreal winter to spring and an increasing trend from boreal summer to autumn.

120 Two sub-regions are highlighted to evaluate the seasonal and interannual variabilities of SODA BLT, namely  
121 western TIO (55°E-75°E, 5°N -12°S) and eastern TIO (85°E-100°E, 5°N -12°S). Since these two sub-regions not  
122 only represent the zonal difference of the BLT in the TIO but also include the well-known areas of the  
123 Seychelles Chicago Thermocline Ridge [SCTR, (60°E-75°E, 12°S-5°S)] and the eastern IOD area [IODE,  
124 (90°E-100°E,10°S-EQ)] (Manola et al., 2015; Yokoi et al., 2012, 2008). As shown in Figure 2, region-averaged  
125 BLT obtained from SODA v3 in the western TIO is greater than that of Argo, especially during boreal summer  
126 and autumn. In the eastern TIO, SODA v3 BLT is quite comparable with that of Argo, except for slight  
127 discrepancies in June and July. The trend of BLT seasonality obtained from SODA v3 and Argo are, however,  
128 overall consistent, suggesting the robustness of using SODA v3 data in interpreting the BLT variabilities in the  
129 TIO.

130 Due to the insufficient temperature-salinity observations, we only compare the interannual variability of the  
131 SODA v3 BLT with Argo between 2005 and 2015. As shown in Figure 3, the interannual variability of BLT  
132 from SODA v3 and Argo is very consistent in both the western and eastern TIO. The correlation coefficients  
133 between SODA v3 and Argo for the western and eastern TIO are 0.75 and 0.90, respectively. Results in Figure 3  
134 confirm that SODA v3 is adequate to evaluate the long-term seasonal and interannual variabilities of the BLT in  
135 the TIO.

136 The seasonal and interannual variations of MLD and ILD averaged over the western and eastern TIO are also  
137 presented in Figure 4 to investigate the dominant drivers for the BLT variability. Overall, the seasonal  
138 variabilities of MLD and ILD present a consistent annual cycle in both subregions. The seasonality of BLT,  
139 however, exerts discrepancies between these two regions (Figures 4a and 4b). Specifically, a semi-annual cycle  
140 of BLT is observed in the western TIO, compared to an annual cycle of BLT observed in the eastern TIO. The  
141 interannual variabilities of BLT are also different in the western and eastern TIO (Figure 4c and 4d). In the  
142 western TIO, the interannual variability of BLT is more related to the ILD variation. For example, the years with  
143 thicker BL in the western are associated with deeper ILD, such as 1982, 1983, 1991, and 1996. On the contrary,  
144 in the eastern TIO, the relative impact of MLD and ILD on the interannual variability of BLT cannot be  
145 discriminated. For instance, deeper BLT occurs in 1981, 1985, and 1996 corresponding to relatively shallower  
146 MLD, while the other years of deeper BLT, such as 1994, 1999, and 2001, are associated with deeper ILD.  
147 Additionally, the interannual correlation coefficients between BLT and MLD are -0.07 and -0.25 for the western

148 and eastern TIO, respectively, and the correlations coefficients between BLT and ILD are 0.47 and 0.38 in those  
149 two sub-regions. The low correlation coefficients suggest that neither MLD nor ILD can fully explain the BLT  
150 variabilities in the TIO. Therefore, the difference of BLT variabilities in the western and eastern TIO needs to be  
151 further explained. In the subsequent analysis, the mixed layer variables, including SST and SSS, and thermocline  
152 depth are selected to explain the BLT variabilities in the TIO.

#### 153 **4 Seasonal variation**

154 It is well known that the area with the thickest BL in the TIO corresponds to the freshest surface water, while the  
155 areas of the thinnest BL corresponds to the saltiest surface water (Agarwal et al., 2012; Felton et al., 2014; Han  
156 and McCreary, 2001; Vinayachandran and Nanjundiah, 2009). The spatial features of BLT and SSS in different  
157 seasons are presented in Figure 5, where the seasonality of SSS and BLT does not co-vary, especially near the  
158 equator. For example, surface saltier water in the western TIO elongates eastward during boreal winter and  
159 spring and retreats during boreal summer and autumn, while BLT does not vary accordingly. In the eastern TIO,  
160 BLT presents a more prominent seasonality than that of SSS, with a maximum in boreal autumn.

161 Figure 6 shows the in-phase correlations of SST and SSS anomalies with BLT anomalies. Here, the SSS, SST  
162 and BLT anomalies have been averaged as functions of longitude vs. time in the western and eastern TIO,  
163 respectively. The seasonal BLT-SST relationship in the western TIO is not robust as only a few areas exceed the  
164 95% significance level (see Figure 6a). A short-term (less than two months) negative correlation between BLT  
165 and SST anomalies can be observed in the eastern TIO during boreal winter. This negative BLT-SST correlation  
166 also exists when the HadISST data is employed (figure not shown). Compared with the seasonal BLT-SST  
167 relationship, the seasonal BLT-SSS relationship is more prominent in the TIO, especially in the western TIO  
168 (Figure 6b). This negative correlation between BLT and SSS starts from January and extends to June.

169 To further understand the seasonal relationship of BLT with SSS and thermocline, we adopt the lead-lag crossing  
170 correlation analysis for BLT anomalies with respect to SSS and thermocline depth anomalies in January (JAN),  
171 April (APR), July (JUL) and October (OCT). The significant area of the lead-lag negative correlation between  
172 SSS and BLT mainly locates in the western TIO (Figures 7a-d), which is consistent with that of their in-phase  
173 correlation (Figure 6b). During boreal winter, spring, and autumn, the variation of SSS can affect BLT  
174 variability in the next two months (Figures 7a and 7d). For example, fresher (saltier) water in October in the  
175 western TIO can lead to thicker (thinner) BL in November and December. The positive correlation between BLT  
176 and the thermocline depth is much prominent in the western TIO, particularly in January. The variation of the  
177 thermocline in January has an impact on BLT variations up to the next four months (Figure 7e). During boreal  
178 autumn, a strong BLT-thermocline correlation mainly occurs in the eastern TIO. The variation of the thermocline  
179 in October could have an impact on BLT variations in the three successive months (Figure 7h).

180 We also examined the corresponding atmospheric forcing in the western and eastern TIO. Figure 8 shows the  
181 seasonal evolution of the upper-ocean salinity, MLD, ILD, the thermocline depth, the freshwater flux  
182 (Precipitation minus Evaporation, P-E), and the zonal component of the wind stress. In the western TIO,  
183 freshening of the upper-ocean water from October to April is observed due to freshwater flux, which in turn,  
184 thickens the BL, consistent with the analysis in Figure 6b. In the meantime, a negative wind stress curl mainly  
185 dominated by the zonal wind stress leads to a weakening Ekman pumping in the western TIO. This weakened

186 Ekman pumping inhibits the upwelling from December to April, resulting in the thicker thermocline depth  
187 (green line), which in turn, also makes the BL thicker. Driving factors of the BLT seasonality in the eastern TIO  
188 are more complex than that in the western TIO. Firstly, the seasonal evolution of SSS has a semi-annual feature,  
189 while the freshwater flux does not. This can be explained by the Indonesian throughflow, which brings  
190 freshwater from the Pacific Ocean into the eastern TIO (Shinoda et al., 2012). Secondly, the thermocline  
191 presents the opposite seasonal cycle compared with that in the western TIO. However, the zonal wind stress  
192 displays a similar seasonal variation in both the western and eastern TIO. Last but not least, the salinity in the  
193 deeper ocean varies similarly to the thermocline depth in the eastern TIO, which is not observed in the western  
194 TIO. Thus, the freshwater flux and the wind-driven upwelling cannot fully explain the BLT seasonality in the  
195 eastern TIO. Felton et al. (2014) have suggested that the seasonal BLT variation in the eastern TIO may be  
196 related to the sea level and ILD oscillation.

## 197 **5 Interannual Variation**

198 The IOD, as it modifies the zonal SST gradients along the equatorial TIO, is a crucial climate mode on the  
199 interannual scale (Schott et al., 2009). IOD events mostly develop and mature within boreal autumn and decay in  
200 boreal winter (Saji et al., 1999). The IOD corresponds well with local precipitation and wind change and has an  
201 impact on the SSS (Saji and Yamagata, 2003a). The intensity of IOD can be defined by the Dipole Mode Index  
202 (DMI), which is the difference between SST anomalies in the region of (10°S –10°N, 50°E-70°E) and (10°S –  
203 EQ, 90°E-110°E) (Saji et al., 1999). Based on the DMI, we composited the monthly SSS, BLT, and the  
204 thermocline depth anomalies for positive IOD (pIOD) and negative IOD (nIOD) events, respectively. The  
205 corresponding years are listed in Table 1. Figure 9 presents the composited seasonal variations for our current  
206 dataset during the period of 1980-2015. The Monte-Carlo procedure has been used to evaluate the significance of  
207 the composite variations (green shaded areas). If the value of the variables exceeds the green shaded areas, it is  
208 assessed significant at the 95% significance level. In the eastern TIO (Figures 9a, 9c, and 9e), there are no  
209 prominent patterns of SSS during negative (positive) IOD events. This is because the eastward (westward) saltier  
210 (fresher) water advection can compensate for the reduced (increased) precipitation due to the presence of the  
211 strong Wyrtki jet (Thompson et al., 2006). In contrast, the thermocline and BLT display a prominent seasonal  
212 phase locking feature in the eastern TIO. Specifically, during the mature and decaying phases of the positive  
213 IOD events, shallower thermocline depth due to strong upwelling leads to thinner BL. This thinner BL provides  
214 favorable circumstances for the cold water intrusion into the ocean surface, which contributes to the  
215 intensification of positive IOD events (Deshpande et al., 2014). During the mature phase of negative IOD events,  
216 deeper thermocline along with a thicker BL could be observed in the eastern TIO due to the strong downwelling.  
217 In the western TIO (Figures 9b, 9d, and 9f), the thicker BL prominently occurs only during the mature phase of  
218 positive IOD events that are associated with deeper thermocline and fresher surface water. The deeper  
219 thermocline is due to wind-induced downwelling and the fresher surface water is attributed to the westward  
220 freshwater advection and more precipitation induced by positive IOD events in the western TIO.

221 In previous studies, a significant seasonal phase-locking impact of ENSO on the TIO has been addressed (Schott  
222 et al., 2009; Zhang and Yang, 2007). This seasonal phase-locking impact mainly exists during the developing  
223 phase of ENSO (boreal autumn), the mature phase of ENSO (boreal winter), and the decaying phase of ENSO  
224 (boreal spring) in different areas of the TIO. We composited our variables based on ENSO events from Table 2.

225 Figure 10 presents the composited results of the seasonal variation of BLT, SSS, and thermocline. The thinner  
226 BL is mainly associated with shallower thermocline during the developing and mature phases of El Niño  
227 (Figures 10c and 10e), which can be explained by the anomalous easterlies along the equator invoked by the  
228 adjusted Walker Circulation (Alexander et al., 2002; Kug and Kang, 2006). In the western TIO, thicker BL  
229 presents two peaks during the developing and decaying phase of El Niño events (Figure 10d). The first peak of  
230 thicker BLT corresponds to a peak of deepening thermocline depth due to the westward downwelling Rossby  
231 wave and the anomalous wind stress induced by El Niño (Kug and Kang, 2006; Xie et al., 2002). The second  
232 peak of thicker BL is more significant, which connects to the peak of the deepening thermocline and decreasing  
233 SSS (Figures 10b and 10f).

234 The pattern of BLT in the western TIO during El Niño events is the most prominent, and its two peaks can be  
235 explained by two physical mechanisms. Thus, we calculate the lead-lag correlations between BLT, thermocline  
236 and SSS anomalies and the Nino3.4 index from 1980 to 2015 to investigate the BLT-El Niño relationship. The  
237 correlation coefficients between the thermocline depth anomalies and the Nino3.4 index reach significant values  
238 during the mature period of El Niño (Figure 11b). Also, the correlation between thermocline and Nino3.4 index  
239 shows a time delay that is longitude dependent, which is consistent with the result of Xie et al., (2002). This  
240 deeper thermocline due to the westward downwelling Rossby wave induced by El Niño affects the  
241 corresponding BL. As shown in Figure 11a, there is a remarkably positive correlation between BLT and the  
242 Nino3.4 index one month apart. Then, the correlation between the thermocline depth anomalies and El Niño  
243 becomes weaker during the decaying period of El Niño (Figure 11b). However, there is an enlarged area of  
244 correlation between BLT and ENSO. This enlarged pattern accompanies with the appearance of a negative  
245 correlation between SSS and ENSO (Figure 11c). The negative SSS anomalies due to precipitation induced by El  
246 Niño via the adjusting Walker circulation and the westward Rossby wave in the western TIO thicken the BLT  
247 anomalies (Figures 11d and 11e).

248 To further verify the impact of IOD and ENSO events on the interannual variation of BLT, the time series of  
249 BLT, SSS, and thermocline anomalies averaged over the western TIO during boreal winter and spring from 1980  
250 to 2015 are shown in Figure 12. During boreal winter (Figure 12a), thicker BL and deeper thermocline could be  
251 found in 1983, 1992, 1998, corresponding to the mature phase of El Niño events (Table 2). During boreal spring  
252 (Figure 12b), thicker BL and deeper thermocline could also be observed in the decaying phase of El Niño events,  
253 accompanied with fresher surface water. On the other hand, the effect of IOD on the interannual variability of  
254 BLT could also be observed in specific years, such as 1983, 1998, and 2006 (Table 1).

## 255 **6 Summary**

256 The seasonal and interannual variabilities of BLT in the TIO are investigated by using the SODA v3 ocean  
257 reanalysis dataset. SODA v3 reasonably well reproduces the observed mean and variabilities of BLT in the TIO  
258 when compared to Argo.

259 The dominant contributors to the BLT seasonality are different in the western and eastern TIO. BLT in the eastern  
260 TIO is positively correlated to the thermocline depth during boreal autumn, winter and spring, and the positive  
261 impact can last for the next three to four months. On the other hand, BLT in the western TIO is negatively  
262 correlated to SSS during boreal winter, spring, and autumn. The change of SSS can further control BLT variation

263 in up to two subsequent months. Additionally, the change of BLT in the western TIO during boreal winter can also  
264 be affected by the variation of the thermocline depth. For instance, during boreal winter, fresher surface water and  
265 shallower thermocline depth result in thicker BL in the western TIO; these result from freshwater flux and strong  
266 wind convergence induced by both the winter monsoon wind and the westerlies (Yokoi et al., 2012).

267 The interannual variability of BLT exerts a seasonal phase locking pattern during the IOD and ENSO years. In  
268 the eastern TIO, thicker BL is led by the deeper thermocline due to wind-induced downwelling during the  
269 mature phase of negative IOD events. In contrast, thinner BL is dominated by the shallower thermocline due to  
270 wind-induced upwelling during the developing and mature phases of positive IOD events. In the western TIO,  
271 the thicker BL is only observed during the mature and decaying phases of positive IOD events, along with  
272 deeper thermocline and fresher surface water.

273 The prominent patterns of BLT in the western TIO can only be detected during El Niño events. According to the  
274 theory of Xie et al. (2002), warmer water developing in the eastern tropical Pacific Ocean (El Niño), results in  
275 anomalous easterlies and the generation of a the downwelling Rossby wave along the equatorial TIO. Thereby,  
276 the thermocline depth is deepened in the western TIO, resulting in the thicker BL. This thickening BL hampers  
277 the upwelling process and helps to sustain warmer SST. During the decaying phase of El Niño events, there is an  
278 anomalous ascending branch of the adjusted Walker circulation in the western TIO. As a result, SSS in the  
279 western TIO decreases due to abundant precipitation. Consequently, fresher surface water contributes to  
280 thickening the BL, which in turn, sustains the warmer SST in the western TIO.

281

282 **Code availability.** The code is available from the authors upon request (NCL and MATLAB).

283 **Data availability.** The gridded ocean parameter datasets are available at the Asian-Pacific Data-Research Center  
284 (<http://apdrc.soest.hawaii.edu/data/data.php>) and National Oceanic and Atmospheric Administration  
285 (<https://www.esrl.noaa.gov/psd/data/gridded/data.noaa.oisst.v2.html>).

286 **Author contributions.** XY designed the study, carried out the analysis presented and drafted the manuscript. ZS  
287 supervised the project, providing edits to the manuscript. XLY helped to edit the manuscript.

288 **Competing interests.** The authors declare that they have no conflict of interest.

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292 are available at the Asian-Pacific Data-Research Center (<http://apdrc.soest.hawaii.edu/data/data.php>) and National  
293 Oceanic and Atmospheric Administration (<https://www.esrl.noaa.gov/psd/data/gridded/data.noaa.oisst.v2.html>).

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407



409 **Table 1**

410 **List of positive IOD events and negative IOD events in our study period.**

pIOD years	1982	1983	1994	1997	2006	2012	2015
nlOD years	1981	1989	1992	1996	1998	2010	2014

411

412 **Table 2**

413 **List of El Niño events and La Nina events in our study period.**

El Niño years	1982	1987	1991	1997	
La Nina years	1988	1998	1999	2007	2010

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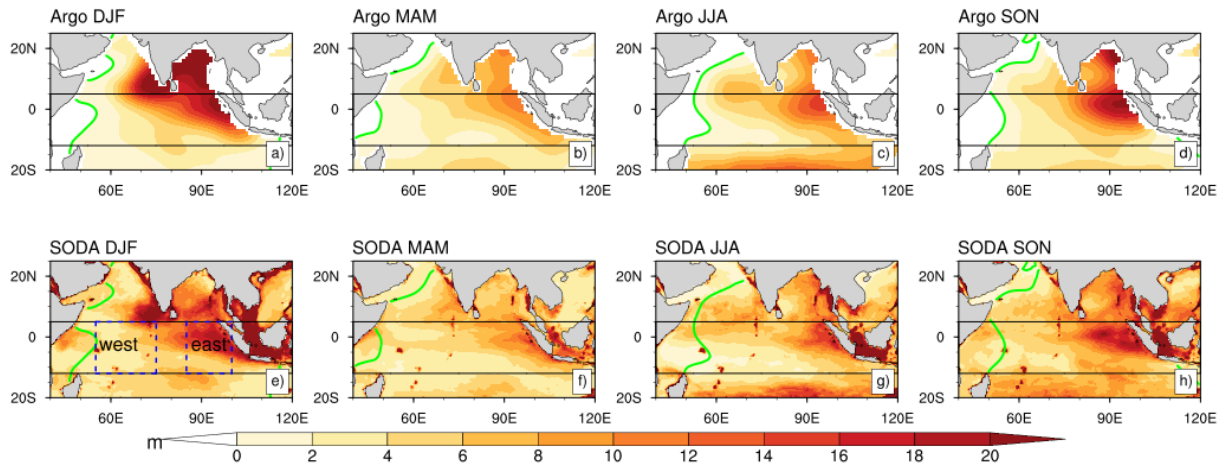
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### BLT (2005-2015)

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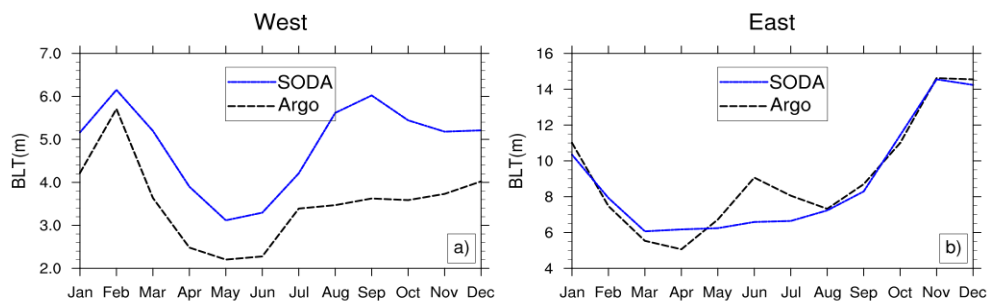


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421 **Figure 1. Seasonal distributions of the BLT climatology obtained from Argo (a-d) and SODA (e-h) from 2005 to 2015**  
422 **in the Indian Ocean. Units: m. The thicker green line is the zero BLT line from Argo and the dashed blue lines**  
423 **represent the areas of the western TIO (55°E-75°E, 5°N -12°S) and the eastern TIO (85°E-100°E, 5°N -12°S),**  
424 **respectively. The two thin black lines represent the latitudes of 12°S and 5°N, respectively.**

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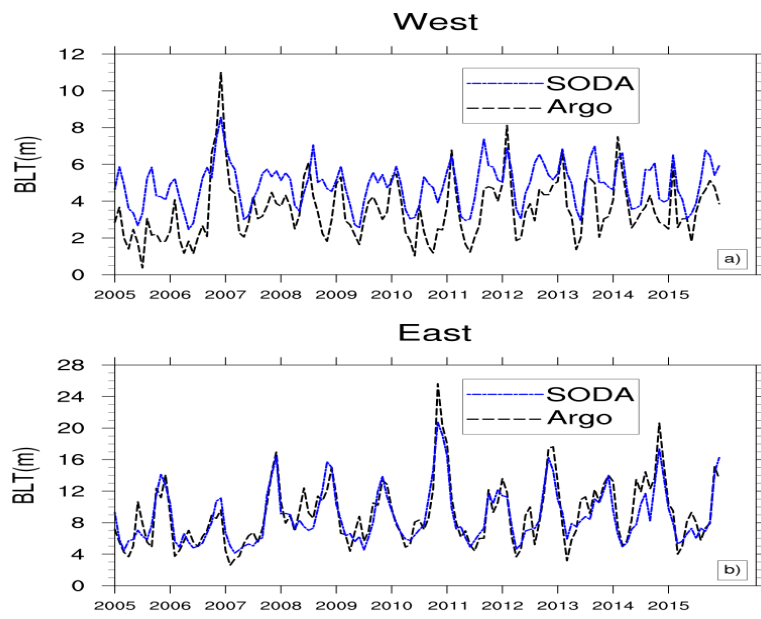


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428 **Figure 2. Seasonal cycle of the region-averaged BLT for SODA and Argo: a) the western TIO (55°E- 75 °E, 12°S-**  
429 **5°N), and b) the eastern TIO ( 85°E - 100 °E, 12°S - 5°N).**

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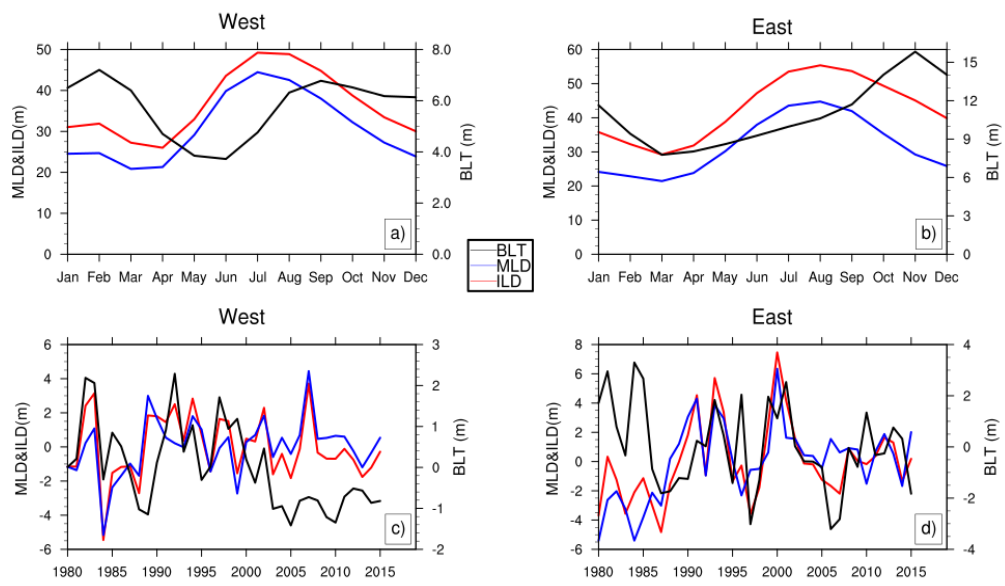


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433 **Figure 3. Interannual time series of the region-averaged BLT for SODA and Argo: a) the western TIO (55°E- 75 °E,**  
434 **12°S- 5°N), and b) the eastern TIO ( 85°E - 100 °E, 12°S - 5°N).**

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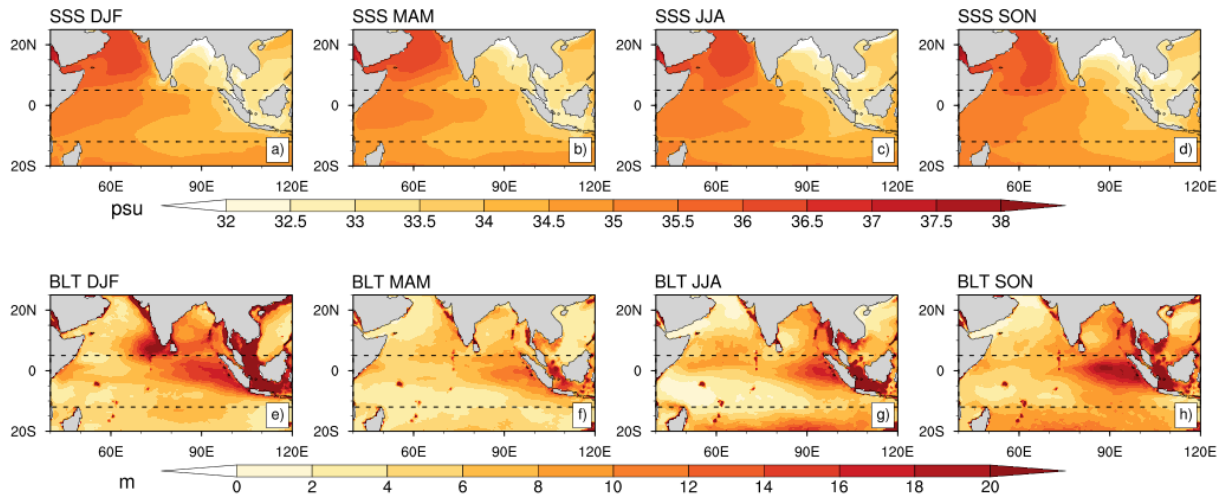
438 **Figure 4. The seasonal and interannual variations of BLT, MLD and ILD : a,c) the western TIO (55°E- 75 °E, 12°S-**  
439 **5°N), and b,d) the eastern TIO ( 85°E - 100 °E, 12°S - 5°N).**

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(1980-2015)



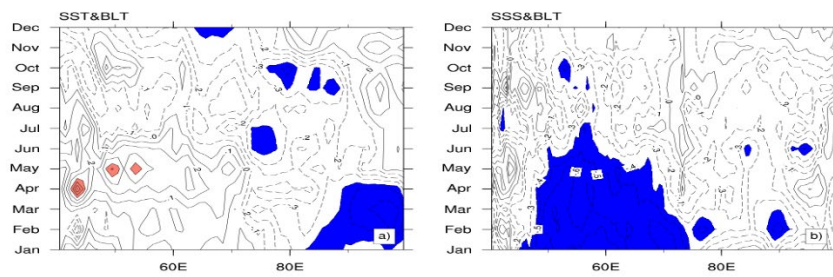
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444 **Figure 5. The seasonal distributions of SSS (unit: psu; a-d) and BLT (unit: m; e-h) in the Indian Ocean from 1980 to**  
445 **2015. The two dashed black lines represent the latitudes of 12°S and 5°N, respectively.**

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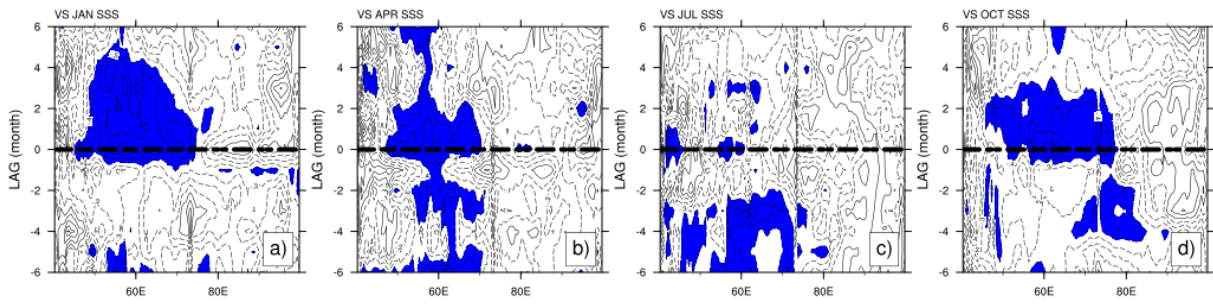


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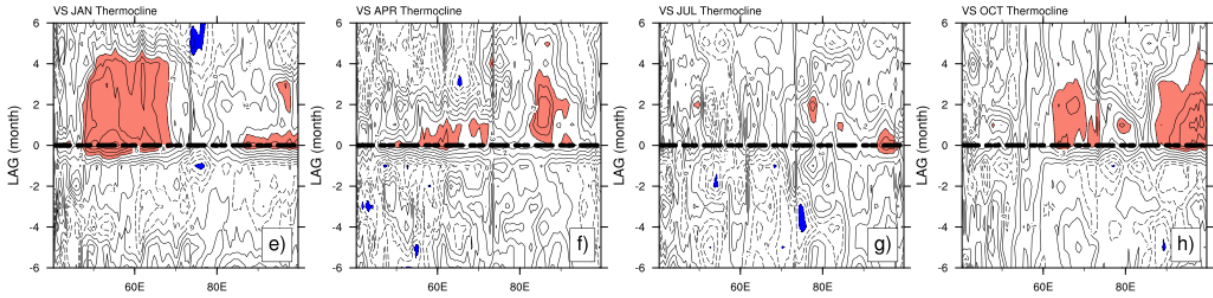
449 **Figure 6. Simultaneous correlations along the area of (12°S-5°N) for (a) SST and (b) SSS anomalies with respect to**  
450 **BLT anomalies. Shaded areas exceed the 95% significance level, while the red and blue shaded areas represent the**  
451 **areas with the positive and negative correlation coefficients, respectively.**

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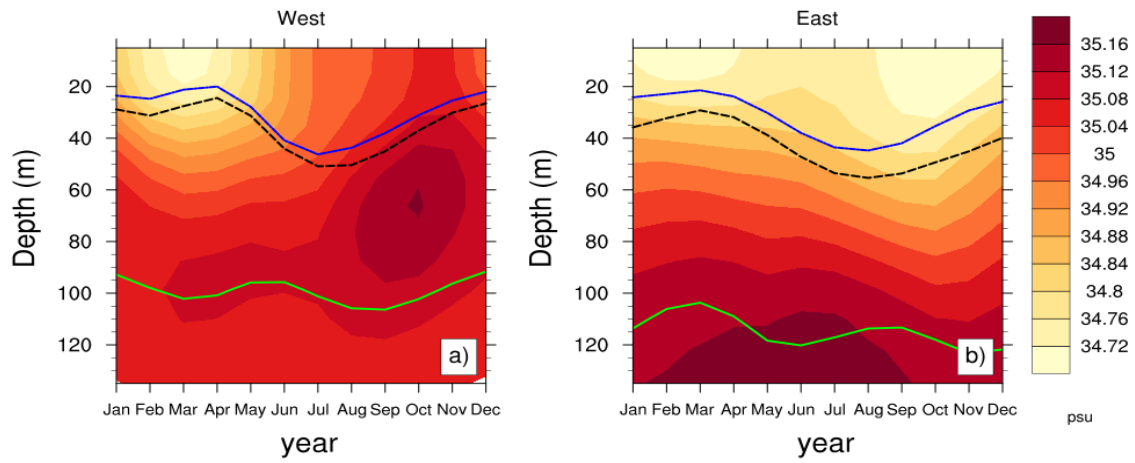
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456 **Figure 7. Lead – lag crossing correlations of BLT with SSS (a-d) and thermocline(e-h) anomalies for January (JAN),**  
457 **April (APR), July (JUL), and October (OCT) along the area of (12°S–5°N) from 1980 to 2015. Shaded areas exceed the**  
458 **95% significance level. Positive lag means SSS (thermocline) leads BLT, and vice versa. Blue (red) shaded areas**  
459 **represent the negative (positive) correlation. The thick black dashed line represents the in-phase correlation.**

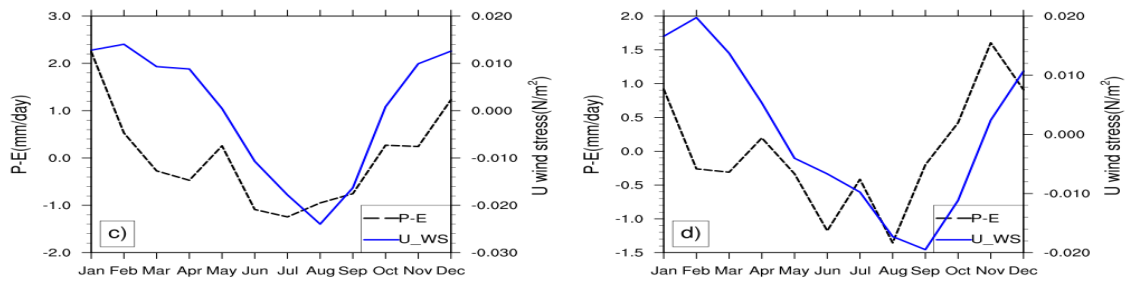
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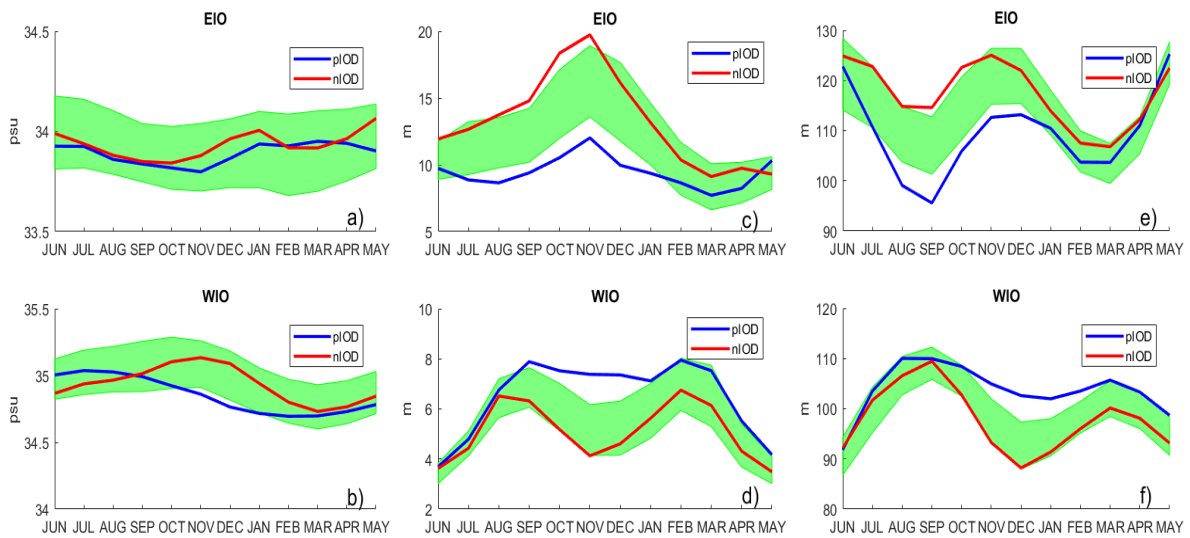
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465 **Figure 8. Seasonal variation in the western TIO (12°S-5°N, 55°E-75°E) (a,c) and the eastern TIO (12°S-5°N, 85°E-**  
466 **100°E) (b,d). The top figures show the depth-time plots of the upper-ocean salinity (shaded), the thermocline depth**  
467 **(green line), isothermal layer (black dashed line) and mixed layer (blue line). The bottom figures show the freshwater**  
468 **flux (P-E) and zonal component of the wind stress (U\_WS) anomalies in the crossponding areas.**

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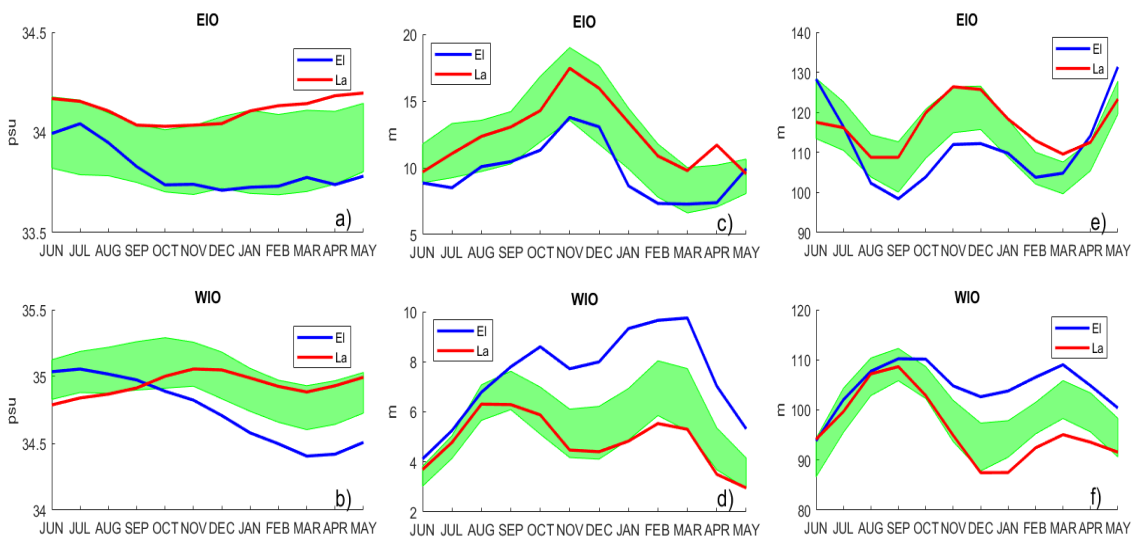
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473 **Figure 9. The compositing seasonal variations of SSS (a, b; unit: psu), BLT (c, d; unit: m) and the thermocline**  
 474 **depth(e, f; unit: m) in IOD events during the period of 1980-2015 averaged by the areas of the eastern TIO (EIO,**  
 475 **85°E-100°E, 12°S-5°N) and the western TIO (WIO, 55°E-75°E,12°S-5°N), separately. The blue line represents**  
 476 **composite in positive IOD events and the red one represents that in negative IOD events and the green shaded area**  
 477 **represents the 95% Monte-Carlo significance level.**

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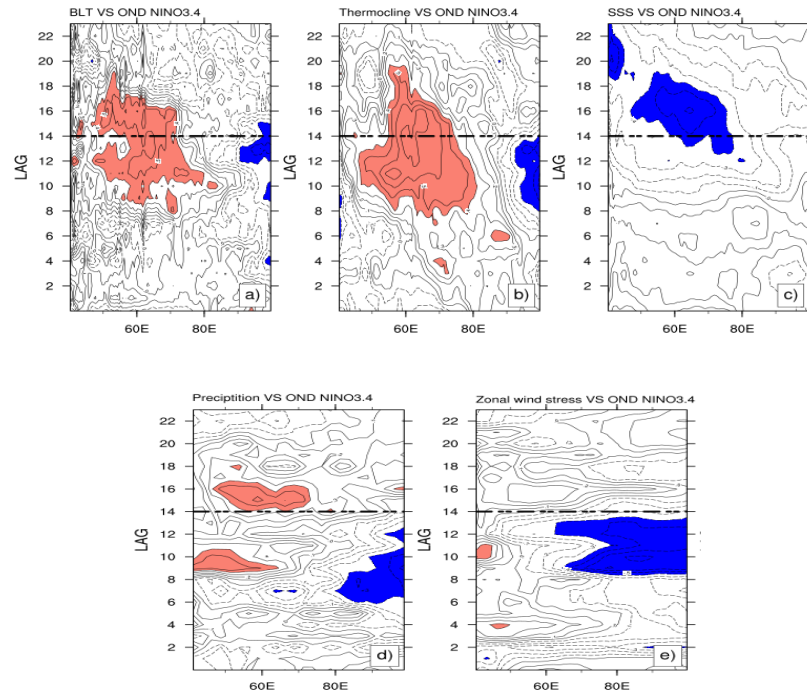


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480 **Figure 10. Same as Figure 9 but composite in the El Niño and La Nina years.**

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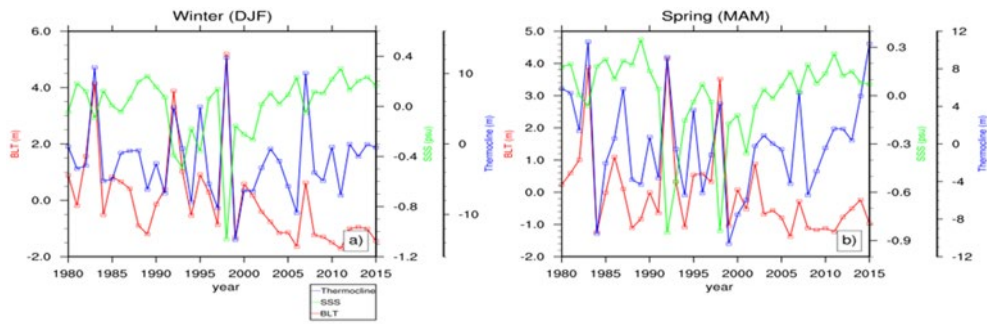


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484 **Figure 11. Lagged correlations of (a) BLT, (b) the thermocline depth, (c) SSS, (d) precipitation, and (e) the zonal wind**  
485 **stress anomalies averaged in (12°S-5°N), with the Nino3.4 index as a function of longitude and calendar month**  
486 **(Shaded areas exceed 95% significance level; positive lagging correlations are shaded in red and negative ones are in**  
487 **blue; the thick black dashed line represents the start of the decaying phase of El Niño).**

488

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491 **Figure 12. Time series of BLT, SSS and thermocline anomalies averaged over the western TIO (12°S-5°N, 55°E-75°E)**  
492 **during boreal winter (a) and spring (b) from 1980 to 2015. Red, green, and blue lines represent BLT, SSS and the**  
493 **thermocline depth, respectively.**

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