# Response letter:

## Xu YUAN, Xiaolong YU and Zhongbo SU

## Dear Dr. A.J. George Nurser,

We would like to thank you for the opportunity to revise our manuscript. Your valuable comments are greatly appreciated, which help us to improve the quality of this manuscript. In revising the paper, we have carefully considered all comments and suggestions. The language has been polished and mistakes in writing and gramma have been corrected to make the manuscript more readable. In this letter. Reviewers' comments are in gray shaded. Our responses are provided in blue color.

## Major comments:

From the comments of Reviewer A and my own re-reading of the MS, I believe that the MS is still in a ragged state, and requires a careful read through and corrections.

We have carefully revised the manuscript. The language has been polished and the writing has been improved. We believe the manuscript is now readable and in an adequate state for consideration.

For instance, in the definition of BLT in line 76, *MLDDReqDT*02 is specified as the depth of the MLD; I take this to be the Kara et al. definition of MLD in terms of the density difference equivalent to a  $\Delta T=0.2^{\circ}$ . However in the text on line 79 it states that the criterion is  $\Delta$ \rho =0.03.

We have corrected the abbreviation of the mixed layer depth as "MLD" in the definition of BLT in Line 80 and in the definition of MLD in Lines 82-84. "MLD is the mixed layer depth defined by oceanic density at which depth the density is 0.03 kg/m3 larger than that of the surface (de Boyer Montégut et al., 2007; Mignot et al., 2007)."

on p6, line 198-9 it is stated that "On the other hand, westerlies lead to Ekman pumping, which in turn, results in the thinner thermocline (green line)" This looks wrong.

The statement has been corrected as following, "In the meantime, westerlies lead to Ekman pumping in the western TIO, resulting in the thicker thermocline depth (green line) from December to April, which in turn, also makes the BL thicker." See also Lines 184-186.

The last para lines 300-309 needs to make clearer which effects are in the western and eastern Indian Ocean.

We have re-written this paragraph as:

"The interannual variability of BLT exerts a seasonal phase locking pattern during the IOD and ENSO years. In the eastern TIO, thicker BL is led by the deeper thermocline due to wind-induced downwelling during the mature phase of the negative IOD events. In contrast, thinner BL is dominated

by the shallower thermocline due to wind-induced upwelling during the developing and mature phases of the positive IOD events. In the western TIO, the thicker BL is only observed during the mature and decaying phases of the positive IOD events, along with deeper thermocline and fresher surface water. The prominent patterns of BLT in the western TIO can only be detected during the El Niño events. According to the theory of Xie et al. (2002), there is warmer water developing in the eastern tropical Pacific Ocean (El Niño), resulting in the anomalous easterlies and invoking the downwelling Rossby wave along the equatorial TIO. Thereby, the thermocline depth has been deepened in the western TIO, resulting in the thicker BL. This thickening BL hampers the upwelling process and helps to sustain warmer SST. During the decaying phase of El Niño events, there is an anomalous ascending branch of the adjusted Walker circulation in the western TIO. As a result, SSS in the western TIO is decreasing due to abundant precipitation. Consequently, fresher surface water contributes to thickening BL, which in turn, sustains the warmer SST in the western TIO."

Please take account of referee 1's comments, and look at the whole MS carefully; It's important that the MS is in an adequate state for the referee to review.

The manuscript has been carefully revised according to referee's comments. We believe it is now in an adequate state for consideration.

## Dear Referee #1,

We would like to thank you for the opportunity to revise the manuscript. Your valuable comments are greatly appreciated, which help us improve the quality of this manuscript. In revising the paper, we have carefully considered all comments and suggestions. The language has been polished and mistakes in writing and gramma have been corrected to make the manuscript more readable. In this letter, reviewers' comments are in gray shaded, while our responses to the critics of the referees are provided in blue color.

Minor comments:

In the Abstract(Line9), it is mentioned that 'the western TIO (55°E-75°E, 5°N -12°S)', but in the text, '(55°E-80°E, 5°N -12°S)' is mostly used to represent the western TIO. In Line166, 'western TIO (55°E-75°E, 12°S-5°S)' also appears. Please unify the definition of western TIO.

The definition of the western TIO is now unified in the abstract and the text, where the range of longitude is set between  $55^{\circ}E$  and  $75^{\circ}E$ . See Lines 121-122 in the revised manuscript.

Line10, 'SSS' => 'sea surface salinity (SSS)'

Corrected.

Line24, 'Tropic' => 'tropical'

Corrected.

Line44, 'Sea' => 'sea'

Corrected.

Line53, 'Yuhong et al., 2013' => 'Zhang et al., 2013'

The reference is now properly cited. See Line 64.

Line54-57, For positive IOD, how does the upwelling and less rainfall in the southeastern TIO induce a decrease in salinity? Both isothermal layer and mixed layer become shallower, it doesn't mean BLT will be thinner.

The statement has been revised to be logically robust. "During the positive IOD year (e.g., 2006), thinner BL in the southeastern TIO is mainly led by the shallower thermocline induced by the upwelling Kelvin wave in the presence of weakly shoaling MLD."

See also Lines 56-57 in the revised text.

Line59, The abbreviation 'MLD' should be appeared in Line28.

Corrected.

Line78,' Isothermal Layer Depth (ILD) ' => 'isothermal layer depth (ILD)'

Corrected.

Line79, 'smaller' or 'larger' ?

We have corrected it to "larger".

Line82, 'Asia-pacific data-research center' =>'Asia-Pacific Data-Research Center'

Corrected.

Line86, 'mixed layer depth' => 'MLD'

Corrected.

Line88, 'SST' is used before its definition in Line90

Corrected.

I decide to stop here, because I cann't stand a revised version that involves so many mistakes. It is a waste of time to go on the review of the present version. I believe that the authors didn't take the work seriously and suggest them make a self-examination first.

We have carefully revised the manuscript. The language has been polished and the writing has been improved. We believe the manuscript is now more readable and in an adequate state for consideration.

2	layer thickness in the tropical Indian Ocean	
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9 10	Faculty of Geo-Information Science and Earth Observation (ITC), University of Twente, the Netherlands	
11	Correspondence to: Xu Yuan (x.yuan@utwente.nl)	
12	Abstract. The seasonal and interannual variations of the barrier layer thickness (BLT) in the Tropical Indian	
13	Ocean (TIO) is investigated in this study using the Simple Ocean Data Assimilation (SODA) version 3	
14	reanalysis dataset from 1980 to 2015. Seasonally, BLT anomalies in the western TIO (55°E-75°E, 5°N-12°S)	
15	are negatively correlated to SSS anomalies due to the change of the freshwater in boreal winter, spring, and	
16	autumn. In boreal winter, thermocline anomalies induced by the upwelling due to the local wind change	
17	positively correlates with BLT anomalies in the western TIO. In contrast, BLT anomalies are not well correlated	
18	with both SSS and thermocline anomalies in the eastern TIO (85°E-100°E, 5°N -12°S) in all four seasons.	
19	Prominent BLT and thermocline anomalies in the western TIO could be observed in both positive and negative	
20	Indian Ocean Dipole (IOD) years, while thicker BLT and deeper thermocline in the eastern TIO are prominent	
21	only in the positive IOD years. On the other hand, BLT in the western TIO presents a remarkable seasonal phase	
22	locking feature during the El Niño years. Thicker BLT in the western TIO is due to deepening thermocline	
23	induced by the westward Rossby wave during the developing and mature phases of El Niño, while thickening	
24	BLT opposing to the weakened thermocline change, becomes more significant due to the decreasing SSS	
25	induced by the freshwater during the decaying phase of El Niño The seasonal and interannual variations of the	
26	barrier layer thickness (BLT) in the tropical Indian Ocean (TIO) is investigated in this study using the Simple	
27	Ocean Data Assimilation version 3 (SODA v3) ocean reanalysis dataset. Analysis of this study suggests	
28	energetic but divergent seasonal variabilities of BLT in the western TIO (55°E-75°E, 5°N -12°S) and the eastern	
29	TIO (85°E-100°E, 5°N -12°S). For instance, the thinner barrier layer (BL) is observed in the western TIO during	
30	boreal winter as a result of decreasing sea surface salinity (SSS) and shallower thermocline, which are associated	
31	with the intrusion of freshwater flux and the wind-induced downwelling, respectively. On the contrary, the	
32	variation of BLT in the eastern TIO mainly corresponds to the thermocline in all seasons. The interannual	
33	variability of BLT is explored with Indian Ocean Dipole (IOD) and El Niño Southern Oscillation (ENSO).	
34	During the mature phase of the positive IOD events, thinner BL in the eastern TIO is attributed to the shallower	
35	thermocline, while thicker BL appears in the western TIO due to deeper thermocline and fresher surface water.	

# 1 <u>S</u>The seasonal and interannual variabilities of the barrier

36 During the negative IOD events, thicker BL only occurs in the eastern TIO corresponding to the deeper

- 37 thermocline. During the ENSO events, prominent BLT patterns are observed in the western TIO corresponding
- 38 to two different physical processes during the developing and decaying phase of the El Niño events. During the
- 39 developing phase of El Niño events, thicker BL in the western TIO is associated with deepening thermocline
- 40 induced by the westward Rossby wave. During the decaying phase of El Niño events, the thermocline is weakly
- 41 deepening while the BLT reaches its maxima induced by the decreasing SSS.-

#### 42 1 Introduction

43 The upper-ocean traditionally included only the mixed layer and the thermocline. The terminology barrier layer 44 (BL), was recently raised as the mixed layer depth (MLD) was redefined from using the temperature (de Boyer 45 Montégut et al., 2004) to using the oceanic density (Kara et al., 2000; Mignot et al., 2007). The barrier layer 46 thickness (BLT) is simply the depth between the bottom of the mixed layer defined by density, and the top of the 47 thermocline (Lukas and Lindstrom, 1991; Masson et al., 2002; Sprintall and Tomczak, 1992). Although BL is 48 much thinner than the other two layers, it plays a key role in oceanic dynamics and air-sea interaction. For 49 example, BL helps to sustain the heat in the mixed layer by isolating the temperature in the upper ocean from the 50 cooling entrainment. Accordingly, BL is crucial in the formation of the El Niño Southern Oscillation (ENSO) 51 and contributes to the formation of the different ENSO types (conventional ENSO and ENSO Modoki) (Singh et 52 al., 2011; Maes, 2002; Maes et al., 2006; Maes et al., 2005). Also, the spatial structure of BLT led by different 53 Ekman drift is crucial for the formation of monsoon cyclones in the pre-monsoon season (Thadathil et al., 2007; 54 Vinayachandran et al., 2002; Masson et al., 2005; Neetu et al., 2012). The upper-ocean variability, particularly 55 the formation and variability of the mixed layer, can provide a broad perspective to better understand the air sea 56 interaction and the impacts of climate events on the marine ecosystem. The Tropic Indian Ocean (TIO) with a 57 shallower thermocline in the west (Yokoi et al., 2012, 2008; Yu et al., 2005) and stronger interannual variation in 58 the east (Li et al., 2003; Saji et al., 1999) comparing to the tropical Pacific and the Atlantic Ocean, provides a 59 unique study region to investigate the variability of upper ocean, 60 Traditionally, the mixed layer depth was defined by the temperature (de Boyer Montégut et al., 2004). However, 61 the mixed layer has recently been redefined by using the oceanic density (Kara et al., 2000; Mignot et al., 2007). 62 The new definition results in novel terminology, the barrier layer. The barrier layer thickness (BLT) is defined as 63 the depth from the mixed layer bottom to the top of the thermocline (Lukas and Lindstrom, 1991; Masson et al., 64 2002; Sprintall and Tomczak, 1992). BLT plays a key role in oceanic dynamics and air sea interaction. For 65 example, BLT isolates the density of the mixed layer from the cooling entrainment, helping to sustain the heat 66 for the formation of the El Niño Southern Oscillation (ENSO) (Maes, 2002; Maes et al., 2006; Maes et al., 67 2005), as well as contributing to the formation of the different ENSO types (conventional ENSO and ENSO 68 Modoki) (Singh et al., 2011). The spatial structure of BLT led by different Ekman drift (Thadathil et al., 2007; 69 Vinayachandran et al., 2002) is crucial for the formation of monsoon cyclones in the pre-monsoon season 70 (Masson et al., 2005; Neetu et al., 2012). 71 The variability of BLT is mainly affected by the change of MLD and thermocline due to various mechanisms, 72 such as heavy precipitation, oceanic currents, wind stress, and oceanic waves (Bosc et al., 2009; Mignot et al.,

73 2007; Masson et al., 2002; Qu and Meyers, 2005). For instance, thicker BL mainly presents in the areas beneath

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74 the Intertropical Convergence Zone (ITCZ) with decreasing SSS due to abundant rainfall (Vialard and Delecluse, 75 1998) or large river discharge (Pailler et al., 1999). The strong wind stress anomalies could also contribute to 76 thickening the BL via deepening the thermocline (Seo et al., 2009). 77 The variability of BLT is attributed to various mechanisms, such as heavy precipitation, oceanic currents, wind 78 stress, and oceanic waves (Bosc et al., 2009; Mignot et al., 2007). For instance, thicker BLT mainly locates in 79 the areas beneath the Intertropical Convergence Zone (ITCZ) due to abundant rainfall (Vialard and Delecluse, 80 1998) or regions with large river runoff (Pailler et al., 1999). The strong wind stress anomalies could also 81 contribute to thickening the BLT (Seo et al., 2009). 82 Comparedto the tropical Pacific and the Atlantic Ocean, the tropical Indian Ocean (TIO) is characterized with a 83 shallower thermocline in the west (Yokoi et al., 2012, 2008; Yu et al., 2005) and stronger interannual variation 84 of upper-ocean temperature in the east (Li et al., 2003; Saji et al., 1999), which provides a unique region to 85 evaluate the seasonal and interannual variabilities of BLT. 86 The strong seasonality of BLT has been observed in some subregions of the TIO, such as the southeastern 87 Arabian Sea, the Bay of Bengal, and the southeastern TIO (Schott et al., 2009). These regions are also 88 characterized by the strong seasonality of SSS due to different hydrological processes (Rao, 2003; 89 Subrahmanyam et al., 2011; Zhang et al., 2016; Zhang and Du, 2012). Overall, the seasonality of BLT in the 90 TIO is partly consistent with the change of SSS due to the impact of freshwater (Masson et al., 2002; Qu and 91 Meyers, 2005). 92 The interannual variability of BLT in the southeastern TIO could be partly explained by IOD events (Qiu et al., 93 2012). During the positive IOD year (e.g., 2006), thinner BL in the southeastern TIO is mainly led by the 94 shallower thermocline induced by the upwelling Kelvin wave in the presence of weakly shoaling MLD. In the 95 negative IOD year (e.g., 2010), a thicker BL is expected due to the extending of the thermocline. Furthermore, at 96 the sub-seasonal scale, the zonal SSS gradient driven by the freshwater advection results in a thicker BL to 97 sustain a fresh and stable MLD (Drushka et al., 2014). 98 Existing studies on the interannual variability of BLT were mainly focused on specific years and lacking long-99 term evaluation. More importantly, the interannual variability of both thermocline and SSS are supposed to be 100 associated with the ENSO events (Grunseich et al., 2011; Rao and Sivakumar, 2003; Subrahmanyam et al., 2011; 101 Zhang et al., 2013), but relationships between BLT and ENSO are scarcely reported in the TIO. Also, the relative 102 impact of SSS and thermocline depth on the variability of BLT and SSS variation is still unclear and is not 103 systematically investigated in the TIO. Thus, the evolution of the seasonal and interannual variabilities of BLT 104 and its relationship with SSS and thermocline anomalies are still highly desired. The Simple Ocean Data 105 Assimilation (SODA) version 3 ocean reanalysis dataset covers time-series data from 1980 to 2015, which could 106 be adequate for such purpose. 107 The remainder of this paper is arranged as follows. In Section 2, we briefly describe the datasets and methods. 108 Comparisons of the BLT variability interpreted from both observed and reanalysis datasets in the TIO is 109 presented in Section 3. Section 4 presents the seasonal variability of the BLT in the TIO, while its interannual

110 variability is shown in Section 5. At last, summary and discussions are given in Section 6. In the TIO, the

111	features of BLT seasonal and interannual variation have been found very similar to that of Sea surface salinity	
112	(SSS). Firstly, the southeastern Arabian Sea, the Bay of Bengal, and the southeastern TIO, characterized by	
113	significant seasonal variability of SSS due to different hydrological processes, are also observed with the strong-	
114	BLT seasonal variations (Schott et al., 2009). Secondly, it is reported that the seasonal variability of SSS in the	
115	TIO is mainly attributed to the freshwater (precipitation and runoff) and the horizontal advection (Rao, 2003;	
116	Subrahmanyam et al., 2011; Zhang et al., 2016; Zhang and Du, 2012), whereas the BLT annual variation could	
117	also be affected by the freshwater (Masson et al., 2002; Qu and Meyers, 2005). Moreover, the Indian Ocean	
118	Dipole (IOD) and ENSO have been intensively reported to have impacts on the interannual variability of SSS in	
119	the TIO (Grunseich et al., 2011; Rao and Sivakumar, 2003; Subrahmanyam et al., 2011; Yuhong et al., 2013),	
120	while the IOD events can also partly explain the interannual variability of BLT in the southeastern TIO (Qiu et	
121	al., 2012). In general, in the positive IOD year (e.g., 2006), the isothermal layer is lifted by the upwelling Kelvin	
122	wave, and the mixed layer becomes shallower due to salinity decrease, which results in a thinner barrier. In the	
123	negative IOD year (e.g., 2010), a thicker BLT is expected due to the extending of the isothermal layer.	
124	Furthermore, the tight relationship between BLT and zonal SSS gradient was also reported in the TIO at the sub-	
125	seasonal time scale. The zonal SSS gradient, led by the advection-driven freshwater, produces a thicker BLT,	
126	which in turn, sustains a fresh and stable mixed layer depth (MLD) (Drushka et al., 2014)	Formatted: Font: (Asian) Times New Roman
127	However, existing studies on the interannual variability of BLT were mainly for specific years and lack of long-	
128	term verification and the co-varying between the BLT and SSS variation is not always held in the TIO (Qiu et	
129	al., 2012). Also, the relationship between BLT and the thermocline anomaly is less documented in the TIO. As a	
130	result, further investigations of BLT seasonal and interannual variabilities and its relationship with SSS and	
131	thermocline anomalies are still highly desired. The Simple Ocean Data Assimilation (SODA) version 3	
132	reanalysis dataset from 1980 to 2015 could be adequate for such purpose.	
133	The remainder of this paper is arranged as follows. After a description of the datasets and methods in section 2,	
134	we compare the variability features of BLT obtained from observed and reanalysis datasets in the Indian Ocean	
135	in section 3. Section 4 presents the seasonal variation of the BLT anomalies in the TIO. Its interannual variability-	
136	is shown in section 5. A summary and discussion are given in section 6.	
137	2 Data and Methods	
138	A series of monthly global gridded observation and reanalysis products were used to assess the variability of	
139	BLT in the Indian Ocean. At 1° horizontal resolution, this includes the Argo profiles products provided by the	
140	French Research Institute for Exploration of the Sea (Ifremer:	
141	http://www.ifremer.fr/cerweb/deboyer/mld/Subsurface_Barrier_Layer_Thickness.php) from 2005 to 2015. BLT	Field Code Changed
142	is calculated as the difference between $TTD_{DTm02}$ and $MLD \frac{MLD}{DReqDT02}$ .	
143	$BLT = TTD_{DTm02} - MLD \frac{MLD_{DRegDT02}}{}$	
144	where $TTD_{DTm02}$ is ta depth at the top of thermocline, which is defined as the depth as the depth at which the	
145	surface temperature is cooled by 0.2 °C than sea surface temperature and hereafter referred to as the isothermal	
146	layer depth (ILD). MLD is the mixed layer depth defined by oceanic density at which depth the density is 0.03	
147	kg/m <sup>3</sup> larger than that of the surface (de Boyer Montégut et al., 2007; Mignot et al., 2007).	

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148	where $T = D_{DTmu2}$ is the top of thermocline depth defined as the depth at which the surface temperature cooled by							
149	0.2 °C, which is also referred to as the Isothermal Layer Depth (ILD) henceforth. <i>MLD<sub>DKeqpT02</sub></i> is the mixed							
150	layer depth defined in oceanic density by assuming the density within the layer is 0.03 kg/m <sup>3</sup> smaller than that of							
151	the surface (de Boyer Montégut et al., 2007; Mignot et al., 2007).							
152	Another dataset is the latest released SODA version 3 reanalysis data (1980-2015) with a horizontal resolution of							
153	0.5° which is hereafter denoted as SODA v3 data and can be accessed from the Asia-Pacific Data-Research							
154	Center (APDRC: http://apdrc.soest.hawaii.edu/datadoc/soda_3.3.1.php). SODA v3 has reduced systematic errors		Field Code Chan	ged				
155	in the upper ocean and has improved the accuracy of the poleward variability in the tropic (Carton et al., 2018).							
156	It has 26 vertical levels with a 15 m resolution near the sea surface. We adopted the same Ifremer equation to							
157	calculate SODA BLT as the difference between density and temperature defined MLD.							
158	At 0.5° horizontal resolution, The latest released version 3 SODA ocean reanalysis data (1980-2015) provided by							
159	the Asia-pacific data-research center (APDRC: http://apdrc.soest.hawaii.edu/datadoc/soda_3.3.1.php) is		Field Code Chan	ned				
160	employed in this study. SODA version 3 has reduced systematic errors to the level that are adequate for the no-			geu				
161	model statistical objective analysis in the upper ocean and also has improved the accuracy of poleward							
162	variability in the tropic (Carton et al., 2018). It has 26 vertical levels with a 15-m resolution near the sea surface.							
163	We adopted the same Ifremer equation to calculate SODA BLT by using the mixed layer depth defined by both							
164	the density and temperature.							
165	Salinity and temperature in the first level (5m) are adopted as the SODA SSS and sea surface temperature (SST),							
166	respectively. The thermocline depth is defined as the depth of the 20 °C isotherms.							
167	We take the salinity and temperature in the first level (5m) as the SODA SSS and SST, respectively. The							
168	thermocline depth is defined as the depth of 20 °C isotherm.							
169	Monthly SST between 1980 and 2015 on a grid of 1°x1° is acquired from Hadley Center Global Sea Ice and Sea							
170	Surface Temperature (HadISST: https://climatedataguide.ucar.edu/climate-data/sst-data-hadisst-v11) to calculate		Field Code Chan	ged				
171	the Nino3.4 index. The Nino3.4 index is the average SST anomaly in the area of (5°N -5°S, 170°W -120°W).							
172	The simultaneous and lead-lag correlations are evaluated in this study with the <i>t</i> -student significance test. In all							
173	the datasets, we removed the annual cycle of each parameter before the interannual correlation analysis. The							
174	composition analysis is also employed to evaluate the interannual variability of BLT using the Monte-Carlo							
175	significance test. The process of Monte-Carlo is that the IOD/El Niño/La Nina years are randomly shuffled (10							
176	000 times) for each month, and a mean t-student significance test is used to calculate the t statistic for the							
177	selected areas. The mean of the t statistic generated by the random simulations exceeding that of the actual t							
178	value is determined and assessed at the 5% significance level. The positive and negative IOD years are provided							
179	by the Bureau of Meteorology (http://www.bom.gov.au/climate/iod/), and the El Niño and La Nina years are		Field Code Chan	ged				
180	obtained from Golden weather gate service (https://ggweather.com/enso/oni.htm). Monthly mean values are		Field Code Chan	ged				
181	averaged over a three-sequential month for different seasons, e.g., December-January-February (DJF) for boreal							
182	winter, March-April-May (MAM) for boreal spring, June-July-August (JJA) for boreal summer and September-							
183	October-November (SON) for boreal autumn. All the area-averaged parameters shown in this study are weighted							
184	by the cosine of the latitude.							
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185 Monthly sea surface temperature (SST) obtained from Hadley Center Global Sea Ice and Sea Surface

- 186 Temperature (HadISST: https://climatedataguide.ucar.edu/climate\_data/sst\_data\_hadisst\_v11) for 1980-2015 on a
- 187 grid of 1°x1° is also used for the validation purpose and to calculate the Nino3.4 index (5°N-5°S,170°W 188 120°W).
- 189 In all the datasets, we removed the annual cycle before proceeding with correlation. The simultaneous and lead-190 lag correlations are evaluated in this study with the t-student significance test. The composition analysis is 191 employed in studying the interannual variability of BLT with the Monte-Carlo significance test. For each month, 192 the IOD/El-Niño/La Nina years are randomly shuffled (10 000 times) and a mean t-student significance test t 193 statistic for the selected areas is calculated. The mean of the t statistic generated by the random simulations 194 exceeding that of the actual t value is determined and assessed at the 5% significance level. The positive and 195 negative IOD years are provided by the Bureau of Meteorology (http://www.bom.gov.au/climate/iod/) and the El 196 Niño and La Nina years are obtained from Golden weather gate service (https://ggweather.com/enso/oni.htm). 197 Monthly mean fields are averaged over a three-sequential month for different seasons, e.g.,-December January-198 February (DJF) for boreal winter, March April May (MAM) for boreal spring, June July August (JJA) for boreal 199 summer and September-October-November (SON) for boreal autumn.-All the area-averaged parameters shown
- 200 in this study are weighted by the cosine of the latitude.

increasing in both boreal summer and autumn.

#### 201 3 BLT in the Indian Ocean

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BLT in the TIO calculated from SODA v3 is first validated against Argo float observation from 2005 to 2015.
 As shown in Figure 1, the seasonal BLT climatology obtained from SODA v3 is biased thinner in the Bay of

204 Bengal in all seasons compared to that derived from Argo. This thinner BL in SODA v3 is probably because it

- 205 lascks the runoff data from the Bay of Bengal as input in its reanalysis (Carton et al., 2018; Carton and Giese,
- 206 2008, 2006). Additionally, SODA BLT fails to capture the BLT feature on the west coast of Africa and the
- 207 northwestern Arabian Sea (see the white areas right to green line), where no BLT is expected due to the salinity
- inversion. However, for the area of interest in the TIO (55°E-100°E, 5°N -12°S), the BLT in SODA v3 shows a
- 209 coherent spatial pattern with the Argo BLT, where BL is, in general, thicker in the east and thinner in the west.
- 210 The seasonal evolution of BLT in the east obtained from SODA is consistent with that from Argo, shown as a
- 211 decreasing trend from boreal winter to spring and an increasing trend from boreal summer to autumn.

212 BLT calculated by SODA version 3 reanalysis data is assessed against Argo float observation from 2005 to

213 2015. Figure 1 shows the distributions of the climatological BLT in the TIO for different seasons. BLT

elimatology obtained from SODA presents a thinner bias in the Bay of Bengal in all four seasons comparing to
 Argo BLT. This weakened BLT is probably because of lacking the runoff data in the Bay of Bengal (Carton et
 al., 2018; Carton and Giese, 2008, 2006). SODA BLT fails to capture the BLT feature in the western TIO and

- 217 northwestern Arabian Sea where no BLT is expected (white areas with green line). However, for the area of
- 218 interest, the BLT in SODA shows a coherent spatial pattern with the Argo BLT in the TIO (55°E 100°E, 5°N-
- 219 12°S). For instance, thicker BLT locates in the eastern TIO while thinner BLT locates in the western TIO. The
- 220 seasonal evolution of BLT in the castern TIO obtained from SODA is consistent with that from Argo as well.
- 221 The area and intensity of BLT in the eastern TIO experience decreasing from boreal winter to spring and

**Field Code Changed** 

Field Code Changed Field Code Changed 223 Two sub-regions are highlighted to evaluate the seasonal and interannual variabilities of SODA BLT, namely 224 western TIO (55°E-75°E, 5°N -12°S) and eastern TIO (85°E-100°E, 5°N -12°S). Because these two sub-regions 225 not only represent the zonal difference of the BLT in the TIO but also include the well-known areas of 226 Seychelles Chicago Thermocline Ridge [SCTR, (60°E-75°E, 12°S-5°S)] and the eastern IOD area [IODE, 227 (90°E-100°E,10°S-EQ)] (Manola et al., 2015; Yokoi et al., 2012, 2008). As shown in Figure 2, region-averaged 228 BLT obtained from SODA v3 in the western TIO is greater than that of Argo, especially during boreal summer 229 and autumn. In the eastern TIO, SODA v3 BLT is quite comparable with that of Argo, except for slight 230 discrepancies in June and July. The trend of BLT seasonality obtained from SODA v3 and Argo are, however, 231 overall consistent, suggesting the robustness of using SODA v3 data in interpreting the BLT variabilities in the 232 TIO. 233 Due to the insufficient temperature-salinity observations, we only compare the interannual variability of the 234 SODA v3 BLT with the Argo between 2005 and 2010. As shown in Figure 3, the interannual variability of BLT 235 from SODA v3 and Argo is very consistent in both the western and eastern TIO. The correlation coefficients 236 between SODA v3 and Argo for the western and eastern TIO are 0.75 and 0.90, respectively. Results in Figure 3 237 confirm that SODA v3 is adequate to evaluate the long-term seasonal and interannual variabilities of the BLT in 238 the TIO. 239 The seasonal and interannual variations of MLD and ILD averaged over the western and eastern TIO are also 240 presented in Figure 4 to investigate the dominant driver for the BLT variability. Overall, the seasonal 241 variabilities of MLD and ILD present a consistent annual cycle in both subregions. The seasonality of BLT, 242 however, exerts discrepancies between these two regions (Figures 4a and 4b). Specifically, a semi-annual cycle 243 of BLT is observed in the western TIO, compared to an annual cycle of BLT observed in the eastern TIO. The 244 interannual variabilities of BLT are also different in the western and eastern TIO (Figure 4c and 4d). In the 245 western TIO, the interannual variability of BLT is more related to the ILD variation. For example, the years with 246 thicker BL in the western are associated with deeper ILD, such as 1982, 1983, 1991, and 1996. On the contrary, 247 in the eastern TIO, the relative impact of MLD and ILD on the interannual variability of BLT cannot be 248 discriminated. For instance, deeper BLT occurs in 1981, 1985, and 1996 corresponding to relatively shallower 249 MLD, while the other years of deeper BLT, such as 1994, 1999, and 2001, are associated with deeper ILD. 250 Additionally, the interannual correlation coefficients between BLT and MLD are -0.07 and -0.25 for the western 251 and eastern TIO, respectively, and the correlations coefficients between BLT and ILD are 0.47 and 0.38 in those 252 two sub-regions. The low correlation coefficients suggest that neither MLD nor ILD can fully explain the BLT 253 variabilities in the TIO. Therefore, the difference of BLT variabilities in the western and eastern TIO needs to be 254 further explained. In the subsequent analysis, the variables in MLD, including SST and SSS, and the thermocline 255 are selected to explain the BLT variabilities in the TIO. 256 To evaluate the seasonal and interannual variabilities of SODA BLT, the region-averaged BLT over two 257 separated boxes (from 55 °E -80°E and from 85°E to 100°E, respectively) along with the band between 5°N and 258 12°S is shown in Figure 2 and Figure 3. In Figure 2, both SODA reanalysis and Argo capture the seasonality of

259 BLT, although the details are somewhat different. In the west sector (55°E-80°E), the thickest BLT is in boreal

260 winter while relatively thin BLT is in boreal spring. In contrast, in the eastern sector (85°E-100°E), the relatively

261 thick BLT occurs in boreal autumn while the thin BLT occurs in boreal spring and summer.

262 Due to the insufficient temperature salinity observations, we only compare the interannual variability of the 263 SODA BLT with the Argo during 2005-2010.-Two curves show good consistency in both west of 80°E and east 264 of 80°E (Figure 3). Respective correlations between SODA and observation for the west of 80°E and east of 265 80°E are 0.75 and 0.90, which are statistically significant at the 99.9 % confidence level. 266 Thus, comparisons between SODA and Argo BLT show the SODA capability in representing the seasonal and 267 interannual variability of the BLT in the TIO. In the next section, we will only use SODA reanalysis data to 268 investigate the seasonal and interannual variability of BLT in the TIO (55°E-100°E, 5°N-12°S) from 1980 to 269 2015. 270 The seasonal and interannual variations of MLD and ILD averaged over the west sector (55°E-80°E, 5°N-12°S) 271 and the east sector (85°E 100°E, 5°N-12°S) have also been calculated and presented in Figure 4 to investigate 272 the dominant driver for the BLT variability. However, it is hard to conclude either MLD or ILD as the main 273 dominator. In particular, both MLD and ILD display an annual cycle while BLT presents a semi-annual cycle in 274 the western sector. In the eastern sector, both MLD and ILD increase from March to August and decrease from 275 September to February, while BLT increases from March to November and decreases from December to 276 February. Thus, the impacts of MLD and ILD on the BLT is dependent on the seasons. On the other hand, from 277 their interannual time series, there is no BLT (negative) in the years with deeper MLD, while prominent BLT 278 exists in the years with deeper ILD. We also calculated the correlation coefficients between BLT and MLD and 279 ILD, which are -0.07 and 0.47 in the west sector and -0.25 and 0.38 in the east sector. The interannual variation 280 of BLT is mainly related to the ILD variation in the TIO. To further study the seasonal and interannual variations 281 of BLT, we choose the variables in MLD, such as SST and SSS, and thermocline (prominent variations in the 282 deeper ocean). 283 4 Seasonal variation 284 It is well known that the area with the thickest BL in the TIO corresponds to freshest surface water, while the

285 areas of the thinnest BL corresponds to saltiest surface water (Agarwal et al., 2012; Felton et al., 2014; Han and 286 McCreary, 2001; Vinayachandran and Nanjundiah, 2009). The spatial feature between BLT and SSS in different 287 seasons are presented in Figure 5, where the seasonality of SSS and BLT does not co-vary, especially near the 288 equator. For example, surface saltier water in the western TIO elongates eastward during boreal winter and 289 spring and retreats during boreal summer and autumn, while BLT does not vary accordingly. In the eastern TIO, 290 BLT presents a more prominent seasonality than that of SSS, with a maximum in boreal autumn. 291 Figure 6 shows the in-phase correlations of SST and SSS anomalies with BLT anomalies. Here, the SSS, SST 292 and BLT anomalies have been averaged as the functions of longitude vs. time in the western and eastern TIO, 293 respectively. The seasonal BLT-SST relationship in the western TIO is not robust as only a few areas exceed the

294 <u>95% significance level (see Figure 6a). A short-term (less than two months) negative correlation between BLT</u>
 295 and SST anomalies can be observed in the eastern TIO during boreal winter. This negative BLT-SST correlation
 296 also exists when the HadISST data is employed (figure not shown). Compared with the seasonal BLT-SST

- 297 relationship, the seasonal BLT-SSS relationship is more prominent in the TIO, especially in the western TIO
- 298 (Figure 6b). This negative correlation between BLT and SSS starts from January and extends to June.

299 To further understand the seasonal relationship of BLT with SSS and thermocline, we adopt the lead-lag crossing 300 correlation analysis for BLT anomalies with respect to SSS and the thermocline depth anomalies in January 301 (JAN), April (APR), July (JUL) and October (OCT). The significant area of the lead-lag negative correlation 302 between SSS and BLT mainly locates in the western TIO (Figures 7a-d), which is consistent with that of their in-303 phase correlation (Figure 6b). During boreal winter, spring, and autumn, the variation of SSS could affect BLT 304 variability in the next two months (Figures 7a and 7d). For example, fresher (saltier) water in October in the 305 western TIO could lead to thicker (thinner) BL in November and December. The positive correlation between 306 BLT and the thermocline depth is much prominent in the western TIO, particularly in January. The variation of 307 the thermocline in January has an impact on BLT variations up to the next four months (Figure 7e). During 308 boreal autumn, a strong BLT-thermocline correlation mainly occurs in the eastern TIO. The variation of the 309 thermocline in October could have an impact on BLT variations in the three successive months (Figure 7h). 310 We also examined the corresponding atmospheric forcing in the western and eastern TIO. Figure 8 shows the 311 seasonal evolution of the upper-ocean salinity, MLD, ILD, the thermocline depth, the freshwater flux 312 (Precipitation minus Evaporation, P-E), and the zonal component of the wind stress. In the western TIO, 313 freshening of the upper-ocean water from October to April is observed due to freshwater flux, which in turn, 314 thickens the BL, consistent with the analysis in Figure 6b. In the meantime, westerlies lead to Ekman pumping in 315 the western TIO, resulting in the thicker thermocline depth (green line) from December to April, which in turn, 316 also makes the BL thicker. Driving factors of the BLT seasonality in the eastern TIO are more complex than that 317 in the western TIO. Firstly, the seasonal evolution of SSS has a semi-annual feature, while THE freshwater flux 318 does not. This can be explained by the Indonesian throughflow, which brings freshwater from the Pacific Ocean 319 into the eastern TIO (Shinoda et al., 2012). Secondly, the thermocline presents the opposite seasonal cycle 320 compared with that in the western TIO. However, the zonal wind stress displays a similar seasonal variation in 321 both the western and eastern TIO. Last but not least, the salinity in the deeper ocean varies similarly to the 322 thermocline in the eastern TIO, which is not observed in the western TIO. Thus, the freshwater flux and the 323 wind-driven upwelling cannot fully explain the BLT seasonality in the eastern TIO. Felton et al. (2014) have 324 suggested that the seasonal BLT variation in the eastern TIO may be related to the sea level and ILD oscillation. 325 To understand the seasonal variability of BLT in the TIO, Figure 5 presents the distributions of SSS and BLT 326 during boreal winter, spring, summer, and autumn. The BLT distribution pattern is inversely correlated to the 327 SSS distribution, where thick BLT is observed with fresh water in the eastern TIO, and vice versa in the western 328 TIO. This is consistent with previous studies (Agarwal et al., 2012; Felton et al., 2014; Han and McCreary, 2001; 329 Vinayachandran and Nanjundiah, 2009). Although both SSS and BLT have a similar distribution in the TIO, 330 their seasonal variabilities do not co vary with each other, especially near the equator. For example, saltwater in 331 the western sector (55°E-80°E, 5°N-12°S) elongates to the east during boreal winter and spring and retreats 332 during boreal summer and autumn, while the corresponding thin BLT does not vary accordingly. In contrast, 333 there is significant seasonal variability of BLT in the eastern sector (85°E-100°E, 5°N -12°S), with its maxima 334 occurred in boreal autumn. Besides, there is a weak seasonal variability of the east-west SSS gradient along the 335 equator (5°N-12°S) while the zonal BLT gradient becomes more significant in boreal spring and strongest 336 during boreal autumn. Thus, the seasonal variability of BLT in the TIO is not always co-varying with SSS.

To do a more detailed correlation analysis, we averaged data along the TIO. This area is adequate to demonstrate
the difference in the seasonal variability between SSS and BLT. In addition, the well known area of Seychelles
Chicago Thermocline Ridge [SCTR, (60°E-80°E, 12°S-5°S)] (Manola et al., 2015; Yokoi et al., 2012, 2008) and
the castern IOD area [IODE, (90°E-110°E, 10°S-EQ)] are also within the selected regions.

341 BLT anomalies were obtained from SODA version 3 reanalysis data averaged along the TIO (12°S 5°N) as a 342 function of longitude vs. time. Figure 6 displays the in-phase correlations of SST and SSS anomalies with BLT 343 anomalies respectively. At the seasonal time scale, although it has been proven that SST has a tight relationship 344 with thermocline (Yokoi et al., 2012), there is no significant relationship between SST and BLT anomalies in the 345 western TIO (55°E-75°E, 12°S-5°S). Instead, a short-term (less than two months) negative relationship between 346 BLT and SST anomalies can be observed in the eastern TIO (85°E 100°E, 12°S 5°S) during boreal winter, with 347 colder water connecting to thicker BLT and vice versa (Figure 6a). This SST-BLT relationship can also be found 348 by correlating with SST anomalies obtained from the HadISST (Figure not shown), except their negative 349 correlated area is smaller. On the contrary, a remarkable negative in phase SSS BLT (blue shaded) relationship, 350 shows in the western TIO during boreal winter and spring with saltier (fresher) water corresponding to thinner 351 (thicker) BLT (Figure 6b), while there is no significant relationship between SSS and BLT anomalies in the east. 352 To further understand the seasonal variability of BLT anomalies, we use the lead-lag crossing correlation for 353 BLT anomalies in respect to SSS anomalies in January (JAN), April (APR), July (JUL) and October (OCT). The

354 significant lead-lag relationship between SSS and BLT anomalies mainly locates in the western TIO (Figure 7), 355 where is consistent with their in phase relationship (Figure 6). SSS anomalies in boreal winter and autumn not 356 only have a certain in phase relationship with BLT anomalies but could also result in the change of the 357 corresponding BLT anomalies at least two months earlier (Figure 7a,d). For example, in the western TIO, saltier 358 water in October is presented with thinner BLT and can result in thinner BLT in November and December; 359 saltier water in January associated with thinner BLT could lead to thinner BLT until May. This leading 360 relationship of SSS anomalies on BLT anomalies is also found in April, but with a weaker negative BLT 361 feedback from January and February (Figure 7b). In July, it is only found the BLT feedback in the western TIO, 362 implying that the spring time BLT anomalies can have a negative impact on the summer time SSS anomalies 363 (Figure 7c).

364 Figure 8 shows the lead-lag crossing correlation between BLT and the thermocline anomalies. Thermocline 365 anomalies have a positive correlation coefficient with BLT anomalies. Particularly, deeper thermocline in 366 October is along with thicker BLT in the central and the eastern TIO and has a positive leading effect on the 367 BLT anomalies in November and December. Although deeper thermocline in January is associated with thicker 368 BLT in the eastern TIO, there is no leading impact of thermocline anomalies on the BLT anomalies in the 369 following months. In contrast, the remarkable in phase and leading relationships between thermocline and BLT 370 anomalies in January can be seen in the western TIO, which has since weakened in April. A weaker leading 371 effect of thermocline anomalies on BLT anomalies in April appears in the eastern TIO. In July, there is little 372 correlation between thermocline and BLT anomalies in the TIO.

373 According to the above analysis, we examined the corresponding atmospheric forcing in the western TIO and

are assern TIO, respectively. Figure 9 shows the seasonal evolution of the upper-ocean salinity, MLD, ILD,

375 thermocline, freshwater flux (Precipitation minus Evaporation, P-E), and the zonal component of the wind stress.

376 In the western TIO, freshwater flux freshens the upper-ocean water from October to April, which in turn, 377 thickens the BLT, consistent with the analysis in Figure 7. On the other hand, westerlies lead to Ekman pumping, 378 which in turn, results in the thinner thermocline (green line) to affect the BLT. In the castern TIO, the seasonal 379 variation of BLT is more complex than that in the western TIO. Firstly, the seasonal evolution of SSS has a 380 semi-annual feature, while freshwater flux does not. This may link to the Indonesian throughflow which brings 381 freshwater from the Pacific Ocean into the eastern TIO (Shinoda et al., 2012). Secondly, the thermoeline 382 presents the opposite seasonal cycle comparing with that in the western TIO, although the zonal wind stress 383 displays a similar seasonal variation in both the western and eastern TIO. Last but not least, we also noticed that 384 the salinity in the deeper ocean varies similar to the thermocline in the eastern TIO, which is different in the 385 western TIO. Thus, the seasonal variation of BLT in the eastern TIO is not mainly driven by freshwater flux and 386 wind-driven upwelling. Felton et al. (2014) have suggested that the seasonal BLT variation in the eastern TIO 387 may be related to the sea level and ILD oscillation. 388 In summary, during boreal autumn, BLT anomalies in the eastern TIO are determined by the subsurface oceanic 389 process while SSS anomalies drive BLT anomalies in the western TIO with the negative in phase and leading

impacts. During boreal winter, due to strong wind convergence induced by both the winter monsoon wind and
the southeasterlies (Yokoi et al., 2012), BLT anomalies are affected by both SSS and thermocline anomalies in
the western TIO. SSS anomalies have a negative influence on BLT anomalies while thermocline anomalies have
a positive impact. This negative SSS-BLT relationship sustains until boreal spring with weaker negative
feedback of the BLT anomalies on the surface. A relatively weaker thermocline-BLT relationship is observed in
the eastern TIO. SSS in boreal summer is affected by the spring time BLT in the western TIO without the BLT-

396 thermocline relationship.

#### 397 5 Interannual Variation

398 IOD, as the zonal SST gradients along the equatorial TIO, is a crucial climate mode on the interannual scale 399 (Schott et al., 2009). IOD events mostly develop and mature within boreal autumn and decay in boreal winter 400 (Saji et al., 1999). It corresponds well with local precipitation and wind change and has an impact on the SSS 401 (Saji and Yamagata, 2003a). The intensity of IOD could be defined by the Dipole Mode Index (DMI), which is 402 the difference between SST anomalies in the region of (10°S -10°N, 50°E-70°E) and (10°S -EQ, 90°E-110°E) 403 (Saji et al., 1999). Based on the DMI, we composited the monthly SSS, BLT, and the thermocline depth 404 anomalies for positive IOD (pIOD) and negative IOD (nIOD) events, respectively. The corresponding years are 405 listed in Table 1. Figure 9 presents the composited seasonal variations for our current dataset during the period of 406 1980-2015. The Monte-Carlo procedure has been used to evaluate the significance of the composite variations 407 (green shaded areas). If the value of the variables exceeds the green shaded areas, it is assessed significant at the 408 95% significance level. In the eastern TIO (Figures 9a, 9c, and 9e), there are no prominent patterns of SSS 409 during the negative (positive) IOD events. This is because the eastward (westward) saltier (fresher) water 410 advection can compensate for the reduced (increased) precipitation due to the presence of the strong Wyrtki jet 411 (Thompson et al., 2006). In contrast, the thermocline and BLT display a prominent seasonal phase locking 412 feature in the eastern TIO. Specifically, during the mature and decaying phases of the positive IOD events, 413 shallower thermocline depth due to strong upwelling leads to thinner BL. This thinner BL provides favorable 414 circumstances for the cold water intrusion into the ocean surface, which contributes to the intensification of the

415 positive IOD events (Deshpande et al., 2014). During the mature phase of the negative IOD events, deeper 416 thermocline along with a thicker BL could be observed in the eastern TIO due to the strong downwelling. In the 417 western TIO (Figures 9b, 9d, and 9f), the thicker BL prominently occurs only during the mature phase of the 418 positive IOD events that are associated with deeper thermocline and fresher surface water, which are attributed 419 due to the wind-induced downwelling and the westward freshwater advection, respectively. 420 In previous studies, a significant seasonal phase-locking impact of ENSO on the TIO has been addressed (Schott 421 et al., 2009; Zhang and Yang, 2007). This seasonal phase-locking impact mainly exists during the developing. 422 phase of ENSO (boreal autumn), the mature phase of ENSO (boreal winter), and the decaying phase of ENSO 423 (boreal spring) in different areas of the TIO. We composited our variables based on the ENSO events from Table 424 2. Figure 10 presents the composited results of the seasonal variation of BLT, SSS, and thermocline. The thinner 425 BL is mainly associated with shallower thermocline during the developing and mature phases of El Niño 426 (Figures 10c and 10e), which can be explained by the anomalous easterlies along the equator invoked by the 427 adjusted Walker Circulation (Alexander et al., 2002; Kug and Kang, 2006). In the western TIO, thicker BL 428 presents two peaks during the developing and decaying phase of El Niño events (Figure 10d). The first peak of 429 thicker BLT corresponds to a peak of deepening thermocline depth due to the westward downwelling Rossby 430 wave and the anomalous wind stress induced by El Niño (Kug and Kang, 2006; Xie et al., 2002). The second 431 peak of thicker BL is more significant, which connects to the peak of the deepening thermocline and decreasing 432 SSS (Figures 10b and 10f). 433 The pattern of BLT in the western TIO during the El Niño events is the most prominent, and its two peaks can be 434 explained by two physical mechanisms. Thus, we calculate the lead-lag correlations between BLT, thermocline 435 and SSS anomalies and the Nino3.4 index from 1980 to 2015 to investigate the BLT-El Niño relationship. The 436 correlation coefficients between the thermocline depth anomalies and the Nino3.4 index reach the significant 437 values during the mature period of El Niño (Figure 11b). Also, the correlation between thermocline and Nino3.4 438 index shows a time delay that is longitude dependent, which is consistent with the result of Xie et al., (2002). 439 This deeper thermocline due to the westward downwelling Rossby wave induced by El Niño affects the 440 corresponding BL. As shown in Figure 11a, a remarkably positive correlation between BLT and the Nino3.4 441 index is one month apart. Then, the correlation between the thermocline depth anomalies and El Niño becomes 442 weaker during the decaying period of El Niño (Figure 11b). However, there is an enlarged correlation between 443 BLT and ENSO. This enlarged pattern accompanies with the appearance of a negative correlation between SSS 444 and ENSO (Figure 11c). The negative SSS anomalies due to precipitation induced by El Niño via the adjusting 445 Walker circulation and the westward Rossby wave in the western TIO thicken the BLT anomalies (Figures 11d 446 and 11e). 447 To further verify the impact of IOD and ENSO events on the interannual variation of BLT, the time series of 448 BLT, SSS, and thermocline anomalies averaged over the western TIO during boreal winter and spring from 1980 449 to 2015 are shown in Figure 12. During boreal winter (Figure 12a), thicker BL and deeper thermocline could be 450 found in 1983, 1992, 1998, corresponding to the mature phase of El Niño events (Table 2). During boreal spring

- 451 (Figure 12b), thicker BL and deeper thermocline could also be observed in the decaying phase of El Niño events, 452 accompanied with fresher surface water. On the other hand, the effect of IOD on the interannual variability of
- 453
- BLT could be observed in specific years as well, such as 1983,1998, and 2006 (Table 1).

454 IOD, as the zonal SST gradients along the equatorial TIO, is a crucial climate mode on the interannual time scale 455 (Schott et al., 2009). It corresponds well with local precipitation and wind change and has impacts on the SSS 456 (Saji and Yamagata, 2003a). IOD events mostly develop and mature within the boreal autumn and decay in 457 boreal winter (Saji et al., 1999). The intensity of IOD could be defined by the Dipole Mode Index (DMI), which 458 is the difference between SST anomalies in the region of (10°S-10°N, 50°E-70°E) and (10°S-EQ, 90°E-110°E) 459 (Saji et al., 1999). Accordingly, we composited the monthly SSS, BLT and thermoeline anomalies for positive 460 IOD (pIOD) events and negative IOD (nIOD) evens based on DMI. The corresponding years are listed in Table 461 1. Figure 10 presents the composited seasonal variations for our current dataset during the period of 1980-2015. 462 The Monte-Carlo procedure has been used to evaluate the significance of the composite variations (green shaded 463 areas). If a signal exceed the green shaded areas, it is assessed significant at the 95% significance level. In the 464 eastern TIO (Figure 10a,c,e), there is no significant signal of SSS during the IOD events while thermocline 465 accompanying BLT displays the prominent seasonal phase locking feature. Both thin BLT and shallow 466 thermocline appear during the mature and decaying phases of the positive IOD events due to the reduced 467 precipitation and the strong upwelling (Thompson et al., 2006), which in turn, contributes to intensifying the 468 positive IOD events coupled with SST (Deshpande et al., 2014). Thicker BLT can be found in the mature phase 469 of the negative IOD events along with a deeper thermocline. In the western TIO (Figure 10b,d,f), SSS, BLT, and 470 thermocline only respond well to the positive IOD events. BLT has been thickened due to deeper thermocline 471 and fresher water, providing favorable circumstances to sustain warmer water. 472 The interannual variability of the TIO is remotely affected by ENSO. A significant seasonal phase locking

473 impact of ENSO on the TIO has been addressed in previous studies (Schott et al., 2009; Zhang and Yang, 2007). 474 Normally, there are three phases of ENSO, namely the developing phase of ENSO (boreal autumn), the mature 475 phase of ENSO (boreal winter) and the decaying phase of ENSO (boreal spring). We composited our variables 476 based on the ENSO events from Table 2. Figure 11 presents the composited results of the seasonal variation. In 477 the eastern TIO (Figure 11a,c,e), the thinner BLT mainly connects to the shallower thermoeline during the 478 developing and mature phases of El Niño (Figure 11c, c), due to the anomalous casterlies along the equator 479 invoked by the adjusted Walker Circulation (Alexander et al., 2002; Kug and Kang, 2006). In the western TIO 480 (Figure 11b.d.f), the deepening thermocline, due to the westward downwelling Rossby wave and the anomalous 481 wind stress induced by El Niño (Kug and Kang, 2006; Xie et al., 2002), peaks in the El Niño developing phase. 482 A following weak peak of thermocline anomalies appears during the decaying phase of El Niño events. The 483 corresponding BLT has a similar semi-annual variation in the El Niño years, but with the intensified second peak 484 after the mature phase of El Niño attributed to the fresher surface water.

- 485 The seasonal phase locking of BLT is prominent in the eastern TIO mainly induced by the corresponding 486 thermoeline in both the IOD and the ENSO years. In the western TIO, the variation of BLT is influenced by 487 thermoeline during the developing and mature phases and affected by SSS during the decaying phase of the 488 positive IOD or El Niño events.
- The relationship between BLT and El Niño could also be detected in the time series of BLT, SSS and
  thermoeline anomalies averaged over the western TIO during boreal winter and spring from 1980 to 2015
  (Figure 12). During boreal winter (Figure 12a), deeper BLT and thermoeline could be found in 1983, 1992,
- 492 1998, corresponding to the mature phase of El Niño. During spring (Figure 12b), deeper BLT and thermocline

493 could also be observed in the decaying phase of El Niño years, accompanying with fresher water. On the other 494 hand, the effect of IOD on the interannual variability of BLT could be observed in specific years as well, such as 495 1983,1998 and 2006.

496 Next, we calculate the lead-lag correlations of BLT, thermocline and SSS anomalies with the Nino3.4 index 497 (averaged SST anomalies in the area of (5°N-5°S, 170°W-120°W)) from 1980 to 2015. The BLT El Niño 498 relationship experiences two phases (Figure 13a) linking to the subsurface and surface effects. In particular, the 499 correlation coefficients between the thermocline anomalies and the Nino3.4 index reach the noticeable values 500 during the mature period of El Niño (Figure 13b), and their correlation has a longitude-dependent time-delay, 501 which is consistent with the result of Xie et al., (2002). This deeper thermocline resulted by El Niño via the 502 westward downwelling Rossby wave affects the corresponding BLT anomalies, shown as one month later of the 503 remarkably positive correlation between BLT anomalies and the Nino3.4 index in Figure 13a. Then, the 504 correlation between the thermocline anomalies and El Niño becomes weaker during the decaying period of El 505 Niño. However, there is an enlarged correlation between BLT and ENSO, corresponding to the intensifying 506 second peak of BLT shown in Figure 10d. This enlarged pattern accompanies with the appearance of a negative 507 correlation between SSS and ENSO (Figure 13c). The negative SSS anomalies induced by El Niño via the 508 adjusting Walker circulation and the westward Rossby wave in the western TIO thicken the BLT anomalies 509 (Figure 13d,e).

510 In conclusion, according to the theory of Xie et al. (2002), there is warmer water developing in the eastern 511 tropical Pacific Ocean (El Niño), resulting in the anomalous easterlies and invoking the downwelling Rossby-512 wave along the equatorial TIO (Figure 13e). Thereby, thermocline has been deepened in the western TIO 513 associated with the thicker BLT. This thickening BLT hampers the upwelling process and benefits to sustain 514 warmer SST. On the other hand, there is an anomalous ascending branch of the Walker circulation adjusted 515 during the mature phase of El Niño. As a result, abundant precipitation forms over the TIO, impacting on SSS. 516 Consequently, fresher surface water helps to thicken BLT, which in turn, prolongs the warmer SST in the 517 western TIO.

#### 518 6 Summary

519 The seasonal and interannual variabilities of BLT in the TIO are investigated by using the SODA v3 ocean 520 reanalysis dataset. SODA v3 reasonably well reproduces the observed mean and variabilities of BLT in the TIO 521 when compared to Argo.

522 The dominant contributors to the BLT seasonality are different in the western and eastern TIO. BLT in the eastern 523 TIO is positively correlated to the thermocline depth during boreal autumn, winter and spring, and the positive 524 impact could last for the next three to four months. On the contrary, BLT in the western TIO is negatively correlated 525 to SSS during boreal winter, spring, and autumn. The change of SSS can further control BLT variation in up to 526 two subsequent months. Additionally, the change of BLT in the western TIO during boreal winter could also be 527 affected by the variation of the thermocline depth. For instance, during boreal winter, fresher surface water and 528 shallower thermocline depth result in thinner BL in the western TIO, which is due to freshwater flux and strong 529 wind convergence induced by both the winter monsoon wind and the south-easterlies (Yokoi et al., 2012).

530 The interannual variability of BLT exerts a seasonal phase locking pattern during the IOD and ENSO years. In

- the eastern TIO, thicker BL is led by the deeper thermocline due to wind-induced downwelling during the
- 532 mature phase of the negative IOD events. In contrast, thinner BL is dominated by the shallower thermocline due
- 533 to wind-induced upwelling during the developing and mature phases of the positive IOD events. In the western
- 534 TIO, the thicker BL is only observed during the mature and decaying phases of the positive IOD events, along
- 535 with deeper thermocline and fresher surface water.
- 536 The prominent patterns of BLT in the western TIO can only be detected during the El Niño events. According to
- 537 the theory of Xie et al. (2002), there is warmer water developing in the eastern tropical Pacific Ocean (El Niño),
- resulting in the anomalous easterlies and invoking the downwelling Rossby wave along the equatorial TIO.
- 539
   Thereby, the thermocline depth has been deepened in the western TIO, resulting in the thicker BL. This
- 540 thickening BL hampers the upwelling process and helps to sustain warmer SST. During the decaying phase of El
- 541 <u>Niño events, there is an anomalous ascending branch of the adjusted Walker circulation in the western TIO. As a</u>
- 542 <u>result, SSS in the western TIO is decreasing due to abundant precipitation. Consequently, fresher surface water</u>
- 543 <u>contributes to thickening BL</u>, which in turn, sustains the warmer SST in the western TIO.

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548 Oceanic and Atmospheric Administration (https://www.esrl.noaa.gov/psd/data/gridded/data.noaa.oisst.v2.html).

The seasonal and interannual variability of BLT in the TIO was investigated mainly by using the SODA version 3 reanalysis dataset from 1980 to 2015. Although SODA differs in representing the no BLT status near the land mass in the western TIO as shown in Argo, the SODA BLT displays the spatial feature in a good agreement with the Argo BLT. Also, the seasonal and interannual variations of BLT in SODA is consistent with that in Argo. Despite the biases in the spatial feature and variabilities of BLT, SODA is deemed to reproduce overall reasonably well the main characteristics of the BLT in the TIO, and thus it has merits for further exploration of the long-term seasonal and interannual variability of the BLT in the TIO.

556 The contributors to the seasonal variability of BLT is different between the eastern and western TIO. In the 557 eastern TIO. BLT is weakly affected by thermocline change, shown as the deeper thermocline leading to the 558 thicker BLT. This positive correlation between BLT and thermocline is prominent in boreal autumn. In the 559 western Indian Ocean, the factors affecting the BLT change with the season. During boreal autumn, SSS has a 560 remarkably negative correlation with the BLT. The saltier the water is, the thinner the BLT is. Both SSS and 561 thermocline anomalies have contributions to the BLT during boreal winter through the freshwater flux and the 562 winter monsoon wind-driven upwelling. The positive SSS anomalies shoal BLT while the positive thermocline 563 anomalies thicken BLT. During boreal spring, BLT anomalies are mainly driven by SSS. Meanwhile, there is a 564 weak BLT feedback on SSS anomalies, which is intensified in boreal summer.

In terms of the interannual variation, thicker BLT is distinct in the negative IOD and the La Nina years while
thinner BLT occurs in the positive IOD and the El Niño years. On the other hand, the prominent BLT shows

Field Code Changed

- 567 clear seasonal phase locking during the IOD and El Niño years. Particularly, in the eastern TIO BLT co-varies
- 568 with thermocline during the mature phase of both the IOD and El Niño events. Both SSS and thermocline
- 569 contribute to the change of BLT in the western TIO after the mature phase of the positive IOD events, and their
- 570 impacts on the BLT variation are enhanced in the El Niño years. In general, the warmer water in the tropical
- 571 Pacific Ocean deepens thermocline in the western TIO, resulting in thicker BLT. The correlation between
- 572 thermocline and El Niño becomes weaker during the decaying phase of El Niño, but the pattern of the correlation
- 573 between BLT and El Niño is enlarged attributed to the variation of SSS. Fresher water induced by the abundant
- 574 precipitation due to El Niño thickens the BLT after the mature phase of El Niño.

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Figure 1. Seasonal distributions of the BLT climatology obtained from Argo (a) and SODA (b) from 2005 to 2015 in
the Indian Ocean. Units: m. The thicker green line is the zero BLT line from Argo.







Figure 3. Interannual time series of the region-averaged BLT for SODA and Argo: a) from 55°E to 80 °E and 12°S
and 5°N, and b) from 85°E to 100 °E and 12°S and 5°N.



Figure 4. The seasonal and interannual variations of BLT, MLD and ILD : a,c) from 55°E to 80 °E and 12°S and 5°N,
and b,d) from 85°E to 100 °E and 12°S and 5°N.



820 Figure 5. The seasonal distributions of SSS (unit: psu; a-d) and BLT (unit: m; e-h) in the Indian Ocean from 1980 to

821 2015. The two dashed black lines represent the latitudes of 12°S and 5°N, respectively.

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 $825 \qquad \mbox{Figure 6. Simultaneous correlations along the area of (12^\circ S-5^\circ N) for (a) SST and (b) SSS anomalies with respect to \label{eq:second}$ 

826 BLT anomalies. Shaded areas exceed the 95% significance level, while the red and blue shaded areas represent the





the in-phase correlation.





Figure 8. Same as Figure 6 but for thermocline and BLT anomalies. Red (blue) shaded areas represent the positive (negative) correlation. The thick black dashed line represents the in-phase correlation.

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Figure <u>89</u>. Seasonal variation in the (a,c)western TIO ( $12^\circ$ S- $5^\circ$ N,  $55^\circ$ E- $75^\circ$ E) and (b,d) eastern TIO ( $12^\circ$ S- $5^\circ$ N,  $85^\circ$ E-100°E). The top figures show the depth-time plots of the upper-ocean salinity (shaded), thermocline (green line), isothermal layer (black dashed line) and mixed layer (blue line). The bottom figures show the freshwater flux (P-E) 846 847 848 849 850 and zonal component of the wind stress (U\_WS) anomalies.



852 Table 1



856Figure 910. The compositing seasonal variations of SSS (a, b; unit: psu), BLT (c, d; unit: m) and thermocline (e, f;<br/>unit: m) in the IOD events during the period of 1980-2015 averaged by the areas of the eastern TIO (85°E-100°E,<br/>12°S-5°N) and the western TIO (55°E-75°E,12°S-5°N), separately. The blue line represents compositing in the positive<br/>IOD events and the red one represents that in the negative IOD events and the green shaded area represents the 95%<br/>Monte-Carlo significance level.

### 861 862 <del>I</del>

862 <del>Table 2</del> 863 <del>List of El Niñe</del>

years

3	List of El Nino events and La Nina events in our study period.						
	El Niño	1082	1987	1991	1997		-
	years						
	<del>La Nina</del>	1988	1008	<u>1999</u>	2007	2010	

ъ.т.



thermocline, respectively.





Figure 1<u>1</u>3. Lagged correlations of (a) BLT, (b) thermocline, (c) SSS anomalies, (d) precipitation anomalies, and (e)

873 874 zonal wind stress anomalies averaged in  $(12^\circ \mathrm{S}\text{-}5^\circ \mathrm{N}),$  with the Nino3.4 index as a function of longitude and calendar

875 month (Shaded areas exceed 95% significance level; positive lagging correlations are shaded in red and negative ones 876 are in blue; the thick black dashed line represents the start of the decaying phase of El Niño).





Table 1							
List of positive	e IOD events	and negative	IOD events in o	our study perio	<u>d.</u>		
pIOD years	<u>1982</u>	<u>1983</u>	<u>1994</u>	1997	2006	2012	<u>2015</u>
nIOD years	<u>1981</u>	<u>1989</u>	<u>1992</u>	<u>1996</u>	<u>1998</u>	2010	<u>2014</u>
Table 2							
List of El Niño	events and	La Nina events	s in our study p	eriod.			
El Niño	1092	1007	1001	1007			
vears	1982	1967	1991	1997			
La Nina	<u>1988</u>	<u>1998</u>	<u>1999</u>	2007	2010		
<u>years</u>							