

Physical Modulation to the Biological Productivity in the Summer Vietnam Upwelling System

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Abstract. Biological productivity in the summer Vietnam boundary upwelling system in the western South China Sea, as in many coastal upwelling systems, is strongly modulated by wind. However, the role of ocean circulation and mesoscale eddies has not been elucidated. Here we show a close spatio-temporal covariability between primary production and kinetic energy. High productivity is associated with high kinetic energy, which accounts for ~15% of the production variability. [Results from a physical-biological coupled model reveal that the elevated kinetic energy and intensified circulation are linked to the separation of the upwelling current system.](#) The separated current forms an eastward jet into the interior South China Sea, and the associated southern gyre traps nutrient and favors productivity. When separation is absent, the model shows weakened circulation and eddy activity, with ~21% less nitrate inventory and ~16% weaker primary productivity.

1 Introduction

The South China Sea (SCS) is a large semi-enclosed marginal sea located in the western Pacific Ocean (Fig. 1a). It is bordered by extensive continental shelves along the southern coast of China and northeastern Vietnam, and the Sunda Shelf south of Vietnam (Fig.1). It has a deep interior basin which can be as deep as 5000 m (Liu et al., 2010; Wong et al., 2007). The SCS is predominantly controlled by the East Asian Monsoon. The wind is southwesterly from June to September, and northeasterly from November to March (Liu et al., 2002). Because of efficient biological production, the interior SCS has low nutrient concentration in the euphotic zone, displaying a oligotrophic condition (Wong et al., 2007).

Coastal upwelling is one of the most important processes for ocean productivity and fishery (Gruber et al., 2011). During southwesterly monsoon, upwelling-favorable wind prevails along the southern coast of Vietnam over the complex topography (Fig. 1b). The offshore Ekman transport drives surface divergence, and results in coastal upwelling of cold and nutrient-rich subsurface water. We refer this as the Vietnam Boundary Upwelling System (VBUS). The VBUS is centered near $\sim 109^\circ$ E between 14° N and 17° N along the coast (Loisel et al., 2017). Upwelling in VBUS was confirmed by cruise (Dippner et al., 2006) and remote sensing observations (Kuo et al., 2000).

In VBUS, the upwelling intensity is governed by the strength of the alongshore monsoon wind, as in other coastal upwelling systems such as the coastal upwelling systems of California and mid-Atlantic Bight (Gruber et al., 2011). The VBUS upwelling strength is intense, and can result in surface cooling of $3\sim 5^\circ\text{C}$ and an associated cold filament length of ~ 500 km (Kuo et al., 2004). The VBUS is modulated by different climatic variations, such as the El Niño and Southern Oscillation (Dippner et al., 2006; Hein et al., 2013; Xie et al., 2003), the Indian Ocean Dipole (Liu et al., 2012; Xie et al., 2009), and the Madden-Julian Oscillation (Isoguchi and Kawamura, 2006; Liu et al., 2012).

The nutrient balance and ecosystem in the VBUS are controlled by the El Niño variability which modulates the summer monsoon (Chai et al., 2009; Kuo and Ho, 2004). During post-El Niño summer, the weakened southwesterly wind weakens the upwelling and reduces the upward nutrient flux (Xie et al., 2003). In addition, Hein et al. (2013) proposed instead that productivity is controlled by lateral transport of nitrate in the VBUS. Liu et al. (2002) also highlighted the role of coastal jet located to south of Vietnam coast. They mentioned that jet-induced upwelling was responsible for the nutrient influx. These contradictory conclusions in previous works motivate us to examine the VBUS ecosystem and its connection with circulation.

Early hydrodynamic observations revealed a northeastward coastal current over the southern shelf of Vietnam (Wyrki, 1961). The current separates and flows offshore at about 11°N (Xu et al., 1982). Xie et al. (2003) ascribed the jet separation to the strong wind jet off Vietnam due to orographic steering of the north-south running mountains. Using an idealized reduced gravity model, Wang et al. (2006) highlighted vorticity input by wind-stress curl and vorticity advection by the basin circulation. Gan and Qu (2008) found that the separation was associated with an adverse pressure gradient induced by the topographic effects.

The separated jet produces cooling and results in biannual SST variation in the SCS (Xie et al., 2003). The offshore jet also appears to advect water with high chlorophyll (CHL) to the interior of the central SCS (Chen et al., 2014; Loisel et al., 2017; Tang et al., 2004). While the importance of circulation to the VBUS biogeochemical system has been noted in some previous studies (Dippner et al., 2006; Kuo et al., 2004; Liu et al., 2012; Xie et al., 2003), the detailed processes are unclear. To what extent is the ecosystem in VBUS modulated by local circulation? How does the coastal jet modulate productivity? How much does the local circulation contribute to production? Studying biological production and its coupling with physical processes in the VBUS will help to answer these questions and further improve the understanding of boundary upwelling system. Such a study will also shed light on the ecosystem dynamic in the SCS as an oligotrophic marginal sea. Here we analyze the complex dynamics of the VBUS using a physical-biological coupled numerical model system, as well as remote sensing data and *in situ* observations.

This paper is organized as follows. In Sect. 2, model configuration, numerical experiments, observed data, and statistical method used in this study are described. In Sect. 3, we analyze the remote sensing data and validate the model. Model results from both the standard run and the sensitivity experiment are presented. In Sect. 4, the dynamical processes are analyzed. Conclusions are given in Sect. 5.

2 Model, Data and Methods

2.1 Data

The surface wind vectors were from the Cross-Calibrated Multi-Platform (CCMP) gridded data. This is a 25-year, six-hourly, $1/4^\circ \times 1/4^\circ$ resolution product fused from several microwave radiometers and scatterometers using a variational analysis method (Atlas et al., 2011). Monthly Moderate Resolution Imaging Spectroradiometer (MODIS) Aqua level-3 CHL (4 km resolution) was obtained from the NASA Distributed Active Archive Center. The estimated monthly vertical-integrated net primary production (NPP) was derived from MODIS CHL data via the standard chlorophyll-based Vertically Generalized Production Model (VGPM) algorithm (Behrenfeld and Falkowski, 1997)). The VGPM NPP product had a resolution of $1/10$ degree, covering the period from 2004 to present. Gridded monthly-mean Absolute Dynamic Topography (ADT) at $1/4^\circ$ resolution was acquired. The $1/4^\circ$ Optimum Interpolation Sea Surface Temperature (OISST, also known as Reynolds 0.25v2) was constructed by combining the Advanced Very High Resolution Radiometer satellite and other observation data (Banzon et al., 2016). *In situ* observed nitrate and CHL profiles from the western SCS stations (Fig. 1b) were used, as detailed in Jiao et al. (2014).

2.2 Methods

2.2.1 Upwelling Intensity (UI) and Kinetic Energy (KE)

We use the upwelling intensity (UI) as a proxy to measure the strength of upwelling (Chen et al., 2012; Gruber et al., 2011):

$$\mathbf{UI} = \frac{\tau_y}{\rho_0 f} = \frac{\rho_a C_D U_y |U_y|}{\rho_0 f}. \quad (1)$$

Here, τ_y is the along-shore component of wind stress, f is the Coriolis parameter, ρ_0 is sea water density (constant, 1025 kg m^{-3}), ρ_a is the air density (constant, 1.2 kg m^{-3}), C_D is the drag coefficient and U_y is the alongshore wind speed. The CCMP data with full temporal and spatial coverage close to the coastline is used for the wind speed.

95 The kinetic energy (KE) of the near-surface geostrophic current is used as an indicator of the circulation intensity. The near-surface geostrophic current is calculated from absolute dynamic topography (ADT) using the geostrophic balance. The KE then equals:

$$\text{KE} = \frac{1}{2}(u_g^2 + v_g^2) = \frac{1}{2\rho_0^2 f^2} \left[\left(\frac{\partial \text{ADT}}{\partial x} \right)^2 + \left(\frac{\partial \text{ADT}}{\partial y} \right)^2 \right], \quad (2)$$

2.2.2 Multivariable Linear Regression

100 Monthly net primary production (NPP) from VGPM (Vertically Generalized Production Model; see Sect. 2.1 Data) was used to estimate biological productivity. A multivariable linear regression analysis was conducted to examine the statistical relations among NPP, UI, and KE:

$$\text{NPP} = b_1 \mathbf{UI} + b_2 \mathbf{KE} + b_3, \quad (3)$$

105 where b_1 , b_2 and b_3 are parameters. Data in the summer months (MJJAS) were used since the monsoon wind during this period is upwelling-favorable. We averaged NPP and KE over the ocean region enclosed by the magenta ‘box’ off the coast of Vietnam (Fig. 2b). Only the summertime data in the overlapping period from 2004 to 2012 were analyzed. Contributions from SST, day length and the photosynthetically active radiation were implicitly considered in the VGPM (Behrenfeld and Falkowski, 1997).

2.3 Model Description

110 We use a three-dimensional general circulation model based on the Regional Ocean Model System (ROMS). ROMS is a free-surface and hydrostatic ocean model. It solves the Reynolds-averaged Navier-Stokes equations on terrain-following coordinates (Shchepetkin and McWilliams, 2005). The model is used in the operational Taiwan Strait Nowcast\Forecast system (TFOR), which successfully provides multi-purpose ocean forecasts (Jiang et al., 2011; Liao et al., 2013; Lin et al., 2016; Lu et al., 2017; Lu et al., 2015; Wang et al., 2013). In this study, the model grid is modified to cover the whole SCS domain and part of the North-Western Pacific with a grid resolution of $1/10$ degree (Fig. 1a). The number of grid nodes in x and y direction are 382 and 500, respectively. In the vertical, 25 σ -levels is used with a grid size of $\sim 2 \text{ m}$ on average near the surface to resolve the surface boundary layer. Following the bulk

formulation scheme (Liu et al., 1979), daily atmospheric fluxes are applied at surface. The atmospheric forcing includes downward shortwave radiation, downward longwave radiation, air temperature, air pressure, precipitation rate and relative humidity, acquired from the National Centers for Environmental Prediction (NCEP) Reanalysis data (<http://www.esrl.noaa.gov/psd/data/gridded/data.ncep.reanalysis.html>, (Kalnay et al., 1996) distributed by the NOAA/OAR/ESRL PSD, Boulder, Colorado, USA (<http://www.esrl.noaa.gov/psd/>). The wind vectors are from the CCMP wind. The vertical turbulent mixing uses a K-profile parameterization (KPP) scheme (Large et al., 1994) which was successfully applied in a one-dimensional vertical mixing model in the SCS (Lu et al., 2017). The KPP scheme estimates eddy viscosity within boundary layer as the production of the boundary layer depth, a turbulent velocity scale and a dimensionless third-order polynomial shape function. Beyond the surface boundary layer, KPP scheme includes vertical mixing collectively contributed by shear mixing, double diffusive process and internal waves. Biharmonic horizontal mixing scheme (Griffies and Hallberg, 2000) with a reference viscosity of $2.7 \times 10^{10} \text{ m}^4 \text{ s}^{-1}$ is applied, following the value of Bryan et al. (2007) used in a circulation model with the same horizontal resolution. Climatological river discharges from the Mekong River and other major rivers are included as point sources.

The biogeochemical module is the Carbon, Silicon, Nitrogen Ecosystem (CoSINE) model (Xiu and Chai, 2014), which consists of 31 state variables, including four nutrients [nitrate (NO_3), ammonium (NH_4), silicate, and phosphate], three phytoplankton functional groups (representing picoplankton, diatoms and coccolithophorids), two zooplankton classes (i.e., microzooplankton and mesozooplankton), four detritus pools (particulate organic nitrogen/carbon, particulate inorganic carbon, and biogenic silica), four dissolved organic matters (labile and semi-labile pools for both carbon and nitrate), and bacteria. The CoSINE model was successfully applied in the study on the primary production (Liu and Chai, 2009), mesoscale eddy and its impacts (Guo et al., 2015), and the phytoplankton community structure (Ma et al., 2013, 2014) in the SCS.

The physical modeled was initialized from a resting state with temperature and salinity specified using the World Ocean Atlas (WOA2013, <https://www.nodc.noaa.gov/OC5/woa13/>) climatology. The initial distribution of nutrients was also interpolated from the WOA climatological data. Small values were analytically assigned to other ecosystem variables since the ecosystem module was insensitive to the initial conditions, except for nutrients. After spinning up for 13 years with climatological forcing, the model was restarted with the ecosystem module driven by interannually-varying CCMP wind and NCEP surface forcing from 2002 to 2011. The model outputs from 2005 to 2011 are analyzed.

2.4 Sensitivity Experiment

To quantify the contribution to ecosystem from coastal jet, we seek to control the coastal jet separation while maintaining the larger basin-scale circulation. For the Vietnam boundary upwelling system, since nonlinear advection is important to the separation of the coastal jet (Gan and Qu, 2008; Wang et al., 2006), an experiment without the nonlinear advection terms in the momentum equations was conducted (e.g. Gruber et al. (2011)). We note

that the advection terms in the tracer equations are retained for transport of active and passive tracers (i.e., ecosystem variables). Hereafter, this experiment will be referred as NO_ADV run.

3 Results

In this section, we first analyze the satellite-based observational data, focusing on the spatio-temporal covariance of wind, circulation, and biological production. After accessing the model performance against observation, we then describe and discuss the model results.

3.1 Spatio-temporal Analysis of Observation Data

Figure 2 shows mean (Fig. 2a) and standard deviation (Fig. 2b) of surface CHL overlaid with contours of mean ADT and KE respectively. In summer, the surface CHL has low concentration of $<0.1 \text{ mg m}^{-3}$ in the central SCS basin. By contrast, the CHL is more than fivefold ($>0.5 \text{ mg m}^{-3}$) along the southern Vietnamese coast. The high CHL water appears to extend offshore following the coastal jet to the interior SCS. The jet overshoots after separating from the coast and bifurcates into a northeastward current and a quasi-stationary anti-cyclonic eddy (Fig. 2a). Centered at $\sim 11^\circ \text{ N}$ near the tip of Vietnamese coast, high KE ($>1.0 \text{ m}^2 \text{ s}^{-2}$) appears near the coast. The high variability of CHL coincides with KE into the interior SCS, implying the contribution from the jet (Fig. 2b).

The box-averaged (magenta box in Fig. 2b) time-series of monthly UI, KE and NPP are shown in Fig. 3a-c; they show seasonal and interannual variations. KE generally peaks in summer months, while NPP has a biannually signal, i.e., peaks in summer and winter, as well as complex non-seasonal signals. Unsurprisingly, UI dominates about half ($R^2=0.4548$ for UI solely) of the total variability in NPP, which is consistent with studies in other wind-driven upwelling systems (Gruber et al., 2011). There are clear positive contributions to the biological production from both UI and KE. When KE is considered, additional $\sim 15\%$ of variability in NPP is explained ($R^2=0.6046$, $p<0.01$). Further investigation with 8-day mean NPP, UI, and KE after 60-day high pass filter also shows a similar and significant relationship (despite the correlation is lower, $R^2=0.1873$, $p<0.01$, not presented in figures), demonstrating that the co-variation is robust not only for seasonal and longer time scales, but also for synoptic scale.

To further illustrate the modulation in the ecosystem by circulation, the high-NPP-anomaly (HNA) and low-NPP-anomaly (LNA) currents were composited according to a non-seasonal NPP anomaly (Fig. 3c). The seasonal signal was firstly removed from the summertime NPP, yielding the non-seasonal NPP anomaly. The thresholds for HNA and LNA are defined as (above) 75% and (below) 25% percentile of the NPP anomaly, respectively. The velocity and direction for LNA, HNA and the normal state (i.e., neither LNA nor HNA) are respectively depicted in Fig. 4a-c, as well as the ADT difference between HNA and LNA (Fig. 4d). A student t-test suggests that the three circulation patterns are significantly ($p<0.01$) different. In contrast to the familiar separation and offshore jet pattern (Fig. 2a and Fig. 4c), the LNA circulation tends to flow along the coast without separation (Fig. 4a). On the other hand, the HNA circulation (Fig. 4b) shows a clear separated jet and anticyclonic recirculating pattern south of the jet near 8.5° N , similar to the pattern seen during normal years (Fig. 4c); the flow speed is $\sim 20\%$ stronger than that of

the normal state. Near the separation point, the HNA jet is more dissipated and slightly weakened compared with the LNA coastal jet. The KE averaged within the magenta box (Fig.2b) during the HNA state is $0.0827 \text{ m}^2 \text{ s}^{-2}$, which is ~65% larger than that during the LNA state ($0.0502 \text{ m}^2 \text{ s}^{-2}$). The difference in flow patterns is consistent with a dipolar ADT difference, by which a westward (inverse to the jet) pressure gradient force anomaly is imparted to the flow (Fig. 4d), which is responsible for the jet separation process (Batchelor, 1967; Gan and Qu, 2008). We now use model to address the physical-biogeochemical coupling.

3.2 Model Validation

In Fig. 5, simulated SST and NPP are compared with observations. The model reproduces reasonably well the observed patterns of SST and NPP. In particular, the model captures the cross-shore SST gradient. The cold filament that overshoots from the coast to the interior of SCS is also clearly reproduced by the model. However, the modeled SST shows a systematic cold bias of $\sim 1^\circ \text{C}$, and the modeled NPP does not simulate well the extreme high values ($>1000 \text{ mg C m}^{-2} \text{ d}^{-1}$) along the Vietnamese coast. This may in part be attributed to overestimation of retrieved NPP near the coast (Loisel et al., 2017). Off the coast, the model simulates well the cross-shore gradient of productivity. The gradient is generally high in areas influenced by the jet. In the coupled model, while it is true that SST affects NPP through, for example, changes in the vertical stratification of the water column, both SST and NPP strongly depend on circulation (e.g. upwelling and/or downwelling), and in our case on the flow separation and KE also. In turn, the circulation is dominated by changes in the upper-layer depth (as diagnosed through the SSH) and the horizontal gradients of SSH, and is much less dependent on the gradients of SST. Thus, the co-variation between the SST and ecosystem is largely controlled by the circulation. The dominant ecosystem response is the separation and non-separation contrast, which is captured well by the model (comparing Fig. 4 and Fig. 8).

Time series of modeled SST, surface KE and NPP, averaged over the magenta box (Fig.2b), are compared with observations in Fig. 6. Due in part to the realistic surface forcing and high resolution used, our model can reproduce the physical and biological parameters in the VBUS. The biannual signals in all three quantities agree reasonably well with the observations. At interannual time scales, during the 2010 El Niño event for example, monsoon was weaker (Fig. 3a), SST was warmer, and the KE was reduced. These features are simulated well, although the production drawdown is slightly weaker than the observation and the simulated SST under-estimates amplitude of the observed SST annual cycle by $\sim 1.0^\circ \text{C}$. For the surface current and productivity, our model shows excessive KE and insufficient production during winter, but the model-observation discrepancy is less notable in other seasons. The overestimated KE is partially contributed by the ageostrophic (e.g., Ekman) components in our modeled surface current. Nevertheless, we can conclude that our model reasonably reproduced the temporal variability in the VBUS.

In addition, vertical profiles of the simulated NO_3 and CHL, as two fundamental components of marine ecosystem, are compared with observations (Fig. 7). The modeled NO_3 generally reflects the oligotrophic condition near the surface and the nutricline approximately at 50 m. Below the nutricline, the NO_3 profile shows moderate vertical gradient to the deep. The simulated NO_3 profile matches the observations remarkably well. For the CHL,

our model well simulates the concentration, not only at surface but also in the deep layer. Subsurface CHL maxima appears at ~35 m, which is somewhat shallower than that in the observation (50 m). Except for model uncertainty, this discrepancy may also be related to the undersampling in observed profiles (no water samples between 25 m and 50 m depth). When CHL is considered as a proxy of NPP, vertical integrated CHL is more relevant. The vertical-averaged (5 m to 150 m) CHL in the model and observation are 0.1595 and 0.1668 mg m⁻³, which has a marginal difference (< 5%). Both the modeled and observed CHL concentrations have large range from 0 to >1.0 mg m⁻³ in the subsurface CHL maxima. This reflects the large spatial variability in CHL.

Following the analysis in Sect. 3.1, the multi-variable regression analysis on the model outputs were also conducted. The modeled NPP presents a phase lag with respect to the UI and KE variation. When NPP is lagged for one month, the correlation is 0.752 with a *p*-value of 0.0214, suggesting a significant regulation of the physical forcing to the productivity. Additionally, the composites of the HNA, LNA, and normal scenarios (Fig. 6c) based on model outputs show contrasts among scenarios comparable to those in the observed cases in Fig. 4, further suggesting the reasonability of the model simulation (Fig. 8).

In summary, one could find that our model performs reasonably in reproducing the key spatio-temporal features in the hydrodynamics and ecosystem of VBUS. Inevitably, some discrepancies exist, which are less evident in the summer months. Possible reasons for these discrepancies include insufficient horizontal resolution, unrealistic parameterizations (e.g., turbulent mixing), inaccuracy in the atmospheric forcing, or uncertainties in the ecosystem parameters. Nevertheless, considering current focus are to investigate the positive correlation between the productivity and the circulation, which was captured by the model (Fig. 8), these shortcomings are accepted.

3.3 Analysis of Model Results

Modeled circulation and potential density from the multi-summer average are presented in Fig. 9a-d, with sea surface height overlaid. Consistent with previous studies, the coastal current flows northward along the shelf (Hein et al., 2013). The current also dissipates freshwater from the Mekong River, while the water seldom spreads away from the coast. The coastal current veers at ~11° N, directs offshore and then separates, forming the quasi-stationary anticyclone centered at ~110° E, 9° N. Near the core of the anticyclone, vigorous vertical motion near surface can be found, implying submesoscale processes in play. Near 108° E, intensive onshore flow ascends on the slope. The high-density bottom water outcrops at 107° E, rejoining the coastal water and directing north, thus forming a circuit.

The biogeochemical variables reveal that the ecosystem is largely controlled by the circulation (Fig. 9e-h). Lateral nutrient gradient appears at the periphery of the anticyclone, which is characterized with depressed nitrate isosurface in the core and domed isosurface due to the upwelling and river injection near the coast (Fig. 9e). Stimulated by the river-injected and locally upwelled nutrient near the coast, primary production (PP) shows a surface maximum of >30 mg C m⁻³ d⁻¹ (Fig. 9g). The water with high production is then advected offshore by the jet (Fig. 9h), leading to an offshore bloom patch in curved shape which is familiar in the Vietnam coast (e.g., Fig. 5c). The jet also conveys the water with high particulate organic carbon (POC) offshore. The distribution of POC is

somewhat deeper and more dissipated than that of high PP water, suggesting the vertical sinking and lateral transport processes (Nagai et al., 2015). Remineralization of POC results in a subsurface ammonium maximum at ~50 m (Fig. 9f) consistent with the study in SCS (Li et al., 2015). Part of the ammonium could then fuel nitrification and production, while the rest rejoins the circulation with the upwelling water in the bottom Ekman layer. In summary, the model outputs clearly reveal circuiting circulation and cycled ecosystem, which will be further discussed in Sect. 4.

4 Discussion

4.1 Biogeochemical Cycle in VBUS

Via analysis on satellite data and model outputs, consistent and robust positive contribution from the local circulation to the biological production was revealed, in addition to the contribution from the wind, in the summer VBUS system. The contribution of the circulation is distinct from the major coastal upwelling systems, where the offshore transport by the mean current appears to suppress the production by reducing the nearshore nutrient inventory (Gruber et al., 2011; Nagai et al., 2015).

Comparing the ecosystems in LNA and HNA (Fig. 10), the following cycle can be deduced: (1) The upwelled and riverine input nutrient (majorly inorganic) stimulate high production near the Vietnam coast. (2) The produced organic matters are transported offshore by the jet; The water has high CHL (e.g., Fig. 2a) and high organic matters in the euphotic zone; (3) A significant portion of the nutrient (majorly in organic form) is transported back to the south of VBUS by the westward recirculation. The quasi-stationary rotating anticyclone impedes further offshore leakage of the nutrients (Fig. 10). (4) The trapped organic matters are remineralized, forming the subsurface maxima of ammonium and replenishing the nitrate by nitrification. Afterwards, the nutrients are upwelled by bottom Ekman pumping and wind-induced upwelling, and finally rejoin in the local biogeochemical cycle. The speed of this cycle plays a significant role in controlling the productivity.

4.2 Dynamic Analysis

By controlling the available nutrients, the circulation largely determines the speed of the biogeochemical cycle. The influence of the circulation is further elucidated below. Table 1 summarizes the difference of the ecosystems in the standard run and NO_ADV experiment. The NO_ADV experiment can be regarded as an extreme case where the circulation shows very weak tendency of separation (also see Fig. S1). The horizontal and vertical fluxes of nitrate in three scenarios are also depicted in Fig. 11.

In the VBUS, the availability of nutrients principally controls the productivity (Hein et al., 2013). Considering a quasi-steady state of nutrient in a coastal region, river-injected and upward inputted nutrient should be counter-balanced by vertical export production and lateral exchanges. The lateral exchanges include both advection and diffusion, while it was pointed out that horizontal mixing is one or two order-of-magnitude lower than that of

horizontal advection (Lu et al., 2015). Hence, as a sink term, the lateral exchanges are determined mostly by the advective fluxes normal to the boundary of the predefined box. Diagnostic also suggests a dominance role of advection process in the vertical over mixing. Given the fact the standard run and NO_ADV experiment has the same riverine input and similar export flux, one could infer that the difference between two model cases is largely due to the different lateral transports and upwelled fluxes of nutrients.

In the LNA (Fig. 4a and Fig. 8a) and also in the NO_ADV experiment (Fig. S1), the circulation pattern switches to the along-isobath pattern, which modifies the local biogeochemical cycle. More nutrient is transported northward and offshore out of VBUS and never comes back, leading to a reduction of nutrient (Fig. 11). This effect can be demonstrated by cross-section nutrient flux across 109° E section. The more nutrient leakage, the less westward nutrient flux across this section. In NO_ADV run, the westward flux of nitrate is significantly reduced by 36.2% (Table 1). The reduction of nutrient is accompanied with suppressed the upward nutrient flux (-46.5 %) near the shelf edge (~100 m). As a consequence of more leakage and less upwelling influx, the nitrate reservoir and new production are significantly reduced by 20.7 % and 21.9 %, significantly inhibiting the primary production process (15.7 %, Table 1). Other ecosystem constituents decrease to a limit degree, such as -2.6% for ammonium, and -3.0% for DOC. This interpretation is further supported by the post-El Niño scenario. In 2010, more significant suppression occurs in the vertical nutrient flux (-99.6%), while the horizontal fluxes also respond to decrease. Due to the drawdown in the wind-induced upwelling and recirculation (Table 1), the production is extremely low in summer 2010 (Fig. 3c).

The more intensive separation, the larger KE in VBUS, and vice versa ([see Appendix B for additional discussion about this point](#)). The accelerated coastal current is also associated with intensified cross-isobath transport by bottom Ekman effect (Gan et al., 2009). Hence, high KE is linked to accelerated biogeochemical cycle. Combining all the effects, the intensified circulation is a condition favorable for the nutrient inventory, and hence the productivity, especially during relatively low KE scenarios.

5 Conclusions

Via analyzing the summertime remote sensing data in the VBUS, a tight spatio-temporal covariation between the ecosystem and near-surface circulation was revealed. The water with high kinetic energy appeared to coincide with high CHL variability. Statistical analysis suggested that high level of productivity was associated with high level of circulation intensity, which accounted for ~15% of the variability in productivity. Elevated kinetic energy and intensified circulation were related with the separation of the upwelling current system. Especially, in the low-productivity scenarios, the circulation pattern shifts from the intensive separation pattern to a moderate alongshore non-separated pattern.

To further investigate the linkage between the circulation and the ecosystem, a physical-biological coupled model was configured. Numerical experiment was designed to reproduce the non-separated circulation pattern, while maintaining the external monsoon forcing. The modeled results were validated favorably compared with the

remote sensing and *in situ* observation data. In particular, model reproduced the positive contribution from the circulation intensity to the productivity.

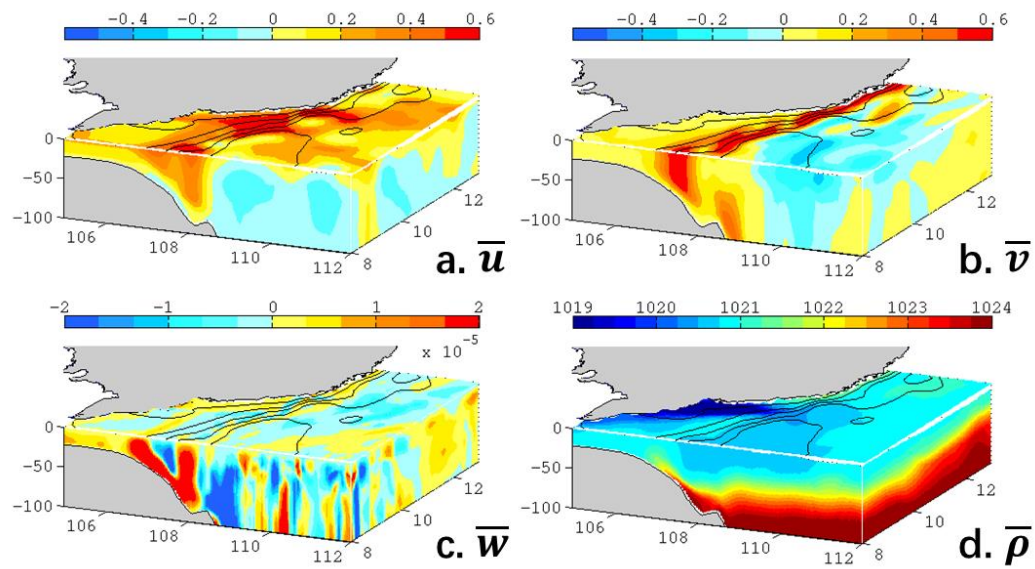
Inspection into the model results highlighted the circulation's role in local biogeochemical cycle. As the schematic diagram in Fig. 12, the separated circulation and resultant quasi-stationary anticyclone were favorable for the recirculation of nutrients. During non-separation scenarios, the nutrients northward transported by the alongshore current would never come back, leading to a nutrient leakage. The nutrient leakage further induced the feedback summarized in Fig. 12b, which could reduce the nitrate inventory by ~21% and the NPP by ~16% in the experiment representative for very weak separation. The weakened coastal current was also associated with reduced bottom Ekman transport, hence further reducing the vertical flux of nutrient. As the KE increasing, the biogeochemical cycle was accelerated. This resulted in the positive correlation to the productivity.

This finding provides a new insight into the complex physical-biological coupling in the Vietnam coastal upwelling system. Moreover, this understanding could help to predict the future reaction of productivity in the SCS. As revealed by Yang and Wu (2012), the summertime near-surface circulation of SCS had experienced a long-term trend of being more energetic, characterized with intensified separation and recirculation in the VBUS (see their figure 9). Whether this long-term trend of circulation will also induce potential trend in ecosystem in response to future climate changes is a topic of common interests, which merits further investigation.

6 Data Availability

The CCMP gridded Ocean Surface Wind Vector L3.0 First-Look Analyses (Version 1) data was accessed [2015-03-12] at <http://dx.doi.org/10.5067/CCF30-01XXX>. The MODIS Aqua Level 3 CHL data was accessed [2014-05-16] at <http://oceancolor.gsfc.nasa.gov>. The VGPM NPP data was available at <http://www.science.oregonstate.edu/ocean.productivity/index.php>. Gridded monthly-mean ADT, available at <http://www.aviso.altimetry.fr/en/data/products/sea-surface-height-products/global/>, was produced by Ssalto/Duacs (<http://www.aviso.oceanobs.com/duacs/>), and was distributed by Aviso with support from the Centre National d'Etudes Spatiales (*Cnes*). The OISST data was obtained from the National Climatic Data Center of NOAA (<https://www.ncdc.noaa.gov/oisst/data-access>).

<i>Locations</i>		<i>Variables</i>	
SCS	South China Sea	CHL	chlorophyll-a
VBUS	Vietnam Boundary Upwelling System	NPP	vertical-integrated net primary production
<i>Data and Methods</i>		PP	primary production (as a function of depth)
CCMP	Cross-Calibrated Multi-Platform data	UI	upwelling intensity
MODIS	Moderate Resolution Imaging Spectroradiometer data	KE	kinetic energy
NCEP	National Centers for Environmental Prediction	POC	particulate organic carbon
VGPM	chlorophyll-based Vertically Generalized Production Model	<i>Modeling</i>	
ADT	Absolute Dynamic Topography	TFOR	Taiwan Strait Nowcast\Forecast system
OISST	Optimum Interpolation Sea Surface Temperature	CoSINE	Carbon, Silicon, Nitrogen Ecosystem model
HNA/LNA	high/low-NPP anomaly scenario	NO_ADV	model experiment with no advection term in momentum equations



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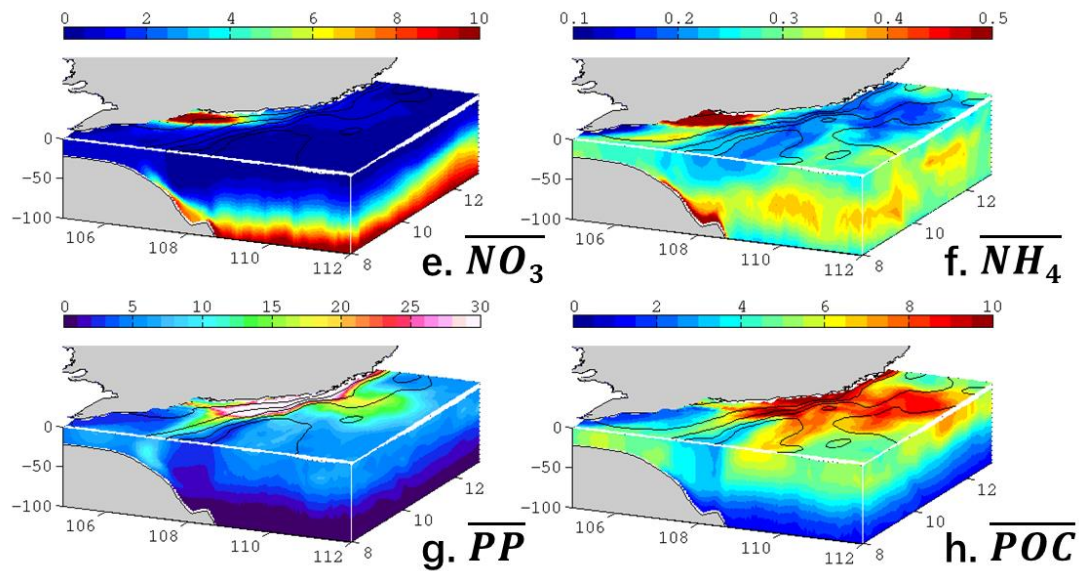


Figure S1 Same with Fig. 9, but for NO_ADV model run. (a) Zonal velocity in m s^{-1} , (b) meridional velocity in m s^{-1} , (c) vertical velocity in m s^{-1} , (d) potential density in kg m^{-3} , (e) nitrate in mmol m^{-3} , (f) ammonium in mmol m^{-3} , (g) primary production in $\text{mg C m}^{-3} \text{d}^{-1}$, and particulate organic carbon in mmol C m^{-3} . Overlapped contours are the mean sea level (every 0.1 m).

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Appendix B Flow Separation and Kinetic Energy

Qualitatively, both the analysis based on remote sensing data and model results suggest the separation flow is linked with stronger KE (~65% larger in HNA case than LNA case, Sect. 3.1). Moreover, a separation index (SI) is defined to quantitatively explain the relation between the flow separation and intensified circulation. The SI can be written as:

$$SI = \sum \frac{u \cdot \cos \varphi + v \cdot \sin \varphi}{\sqrt{u^2 + v^2}}, \quad (S1)$$

Where u and v are the two surface velocity components, and φ is the angle between the topography gradient and the positive x axis. This SI is essentially the area-averaged cross-isobath velocity normalized by the magnitude of the velocity, which is used to quantify flow separation here.

Fig. S2 shows the spatial distribution of SI in Aug 2010. The positive values indicate the flow is downslope while negatives suggest ascent. Large SI can be observed near the separation point $\sim 11.5^\circ\text{N}$. Taking spatial average over the box region in Fig. S2, a generally good positive correlation ($R=0.7175$, $p<0.01$) between $\log(\text{KE})$ and SI is found (see Fig. S3). The $\log(\text{KE})$ and SI presents a logistic-type relationship, where SI asymptotically approaches a maximum value of ~ 0.35 . This suggest that the strong flow separation and elevated KE are tightly linked. Further, from the scatter plot of KE vs. SI (Fig. S3), we find that $0.1 \text{ m}^2\text{s}^{-2}$ is a critical value, which divides the data into two subsets while minimizes the slope of the right part (blue fitting curve in Fig. S3).

Fig. S2 shows the distribution of SI in Aug 2010. Positive values indicate that the flow is separating and downslope, and that may be seen off Vietnam south of the coastline bend. Large SI (~ 1.0) can be observed near the separation point $\sim 11.5^\circ\text{N}$. Taking spatial average over the box region in Fig. 2a or Fig. S2, there is a good positive correlation ($R=0.7175$, $p<0.01$) between $\log(\text{KE})$ and SI (see Fig. S3). Moreover, SI may be seen to generally increase with KE to a value of $0.25\sim 0.3$ and then it levels off (i.e. the slope becomes less) – see the red and blue lines in Fig. S2. The $\log(\text{KE})$ and SI thus appears to show a logistic-type behavior, in which SI asymptotically approaches some maximum value (in this case ~ 0.3). This suggest that the strong flow separation and elevated KE are tightly linked. From Fig. S3, the value of $\text{KE} \approx 0.1 \text{ m}^2\text{s}^{-2}$ appears to be a critical value.

Dynamically, the nonlinear advection term in the momentum equation can be written as the vector invariant form [see e.g. (Gill, 1982)]:

$$\vec{u} \cdot \nabla \vec{u} = (\nabla \times \vec{u}) \times \vec{u} + \nabla \left(\frac{1}{2} |\vec{u}|^2 \right), \quad (S2)$$

This decomposition directly links the nonlinear advection term and the gradient of KE (which scales KE over a length scale L). Meanwhile, the nonlinear advection is an important mechanism in driving flow separation [see, for instance, Oey et al. (2014)]. Stronger advection suggests intense cross isobath flow. Therefore, a dynamic linkage between the flow separation and the intensified KE and circulation can also be established, further supporting this argument.

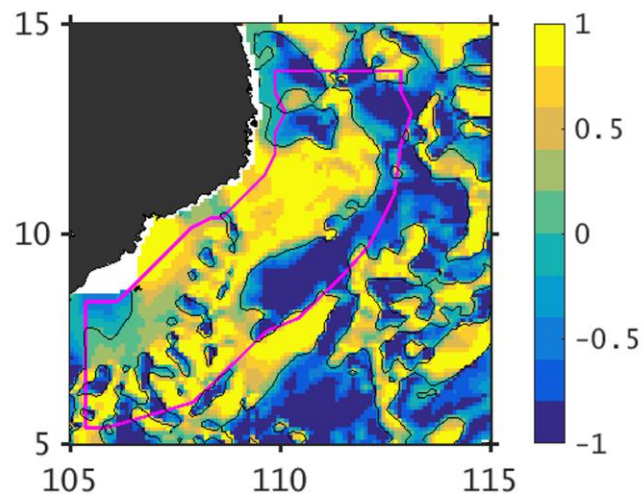


Figure S2 Example of modeled SI in Aug 2010.

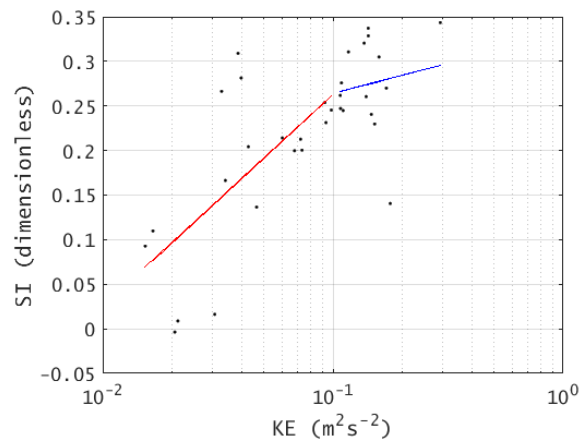


Figure S3 Summer-month (MJJAS) KE vs SI averaged over the box region in Fig. S2 (overall $R=0.7175$, $p<0.01$).

395 **Acknowledgements**

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Table

Table 1 Summary of the ecosystems in three model scenarios

Quantities integrated over top 100 m of the box region (Fig. 2b)	Standard	NO_ADV	2010 post-El Niño
NO ₃ (×10 ⁹ mol)	11.3	8.96 (-20.7%)	10.71 (-5.2%)
NH ₄ (×10 ⁹ mol)	1.52	1.48 (-2.6%)	1.51 (-0.7%)
DOC (×10 ⁹ mol C)	234	227 (-3.0%)	236 (+0.9%)
POC (×10 ⁹ mol C)	22.7	22.4 (-1.3%)	19.9 (-12.3%)
NPP (mmol N m ⁻² d ⁻¹)	4.65	3.92 (-15.7%)	3.57 (-23.2%)
New Production + Regeneration Production (mmol N m ⁻² d ⁻¹)	2.83+1.82	2.21+1.71 (-21.9%, -6.0%)	1.82+1.75 (-35.7%, -3.8%)
Fluxes			
Vertical NO ₃ flux across 100 m level (×10 ⁹ mol d ⁻¹ , positive upward)	0.2454	0.1313 (-46.5%)	0.0011 (-99.6%)
Top 100 m integrated zonal NO ₃ flux across 109° E section* (×10 ⁹ mol d ⁻¹ , positive westward)	0.4156	0.2652 (-36.2%)	0.2013 (-51.6%)
Vertical volume flux across 100 m level (Sv, positive upward)	0.22	0.14 (-36.4%)	0.04 (-81.8%)
Top 100 m integrated zonal volume flux across 109° E section* (Sv, positive westward)	0.44	0.01 (-97.7%)	0.28 (-36.4%)

* See Fig. 11a for the location.

Figures

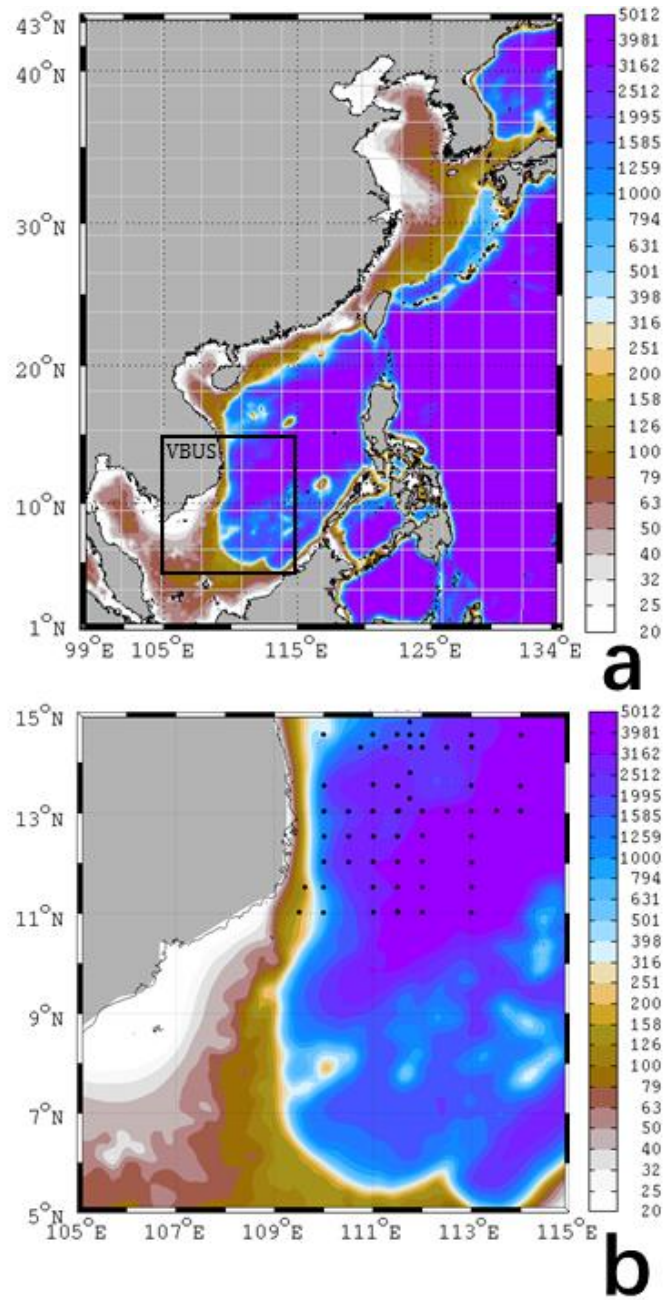


Figure 1 (a) Model domain and the bathymetry (unit: meter) for the TFOR-CoSINE model. Model grid nodes are shown every 25 points. The study area VBUS is boxed. (b) Zoom-in area of VBUS. Magenta diamonds are the observation stations (see text).

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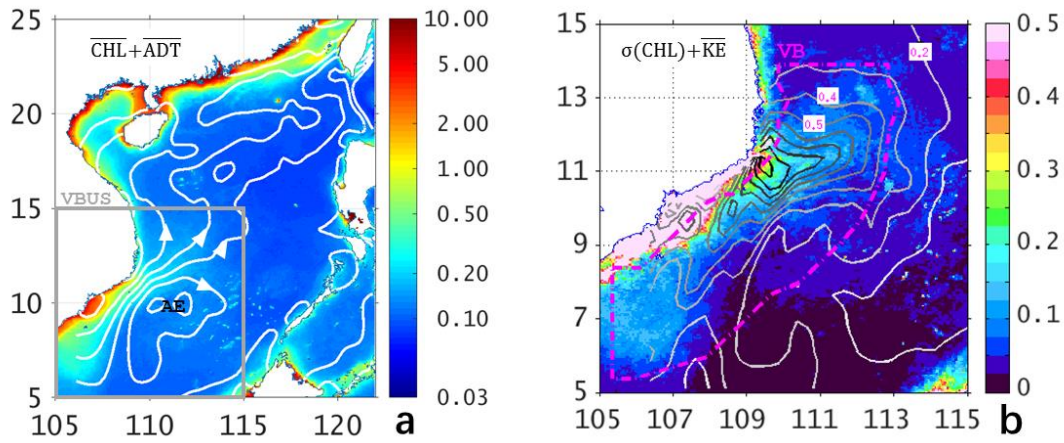
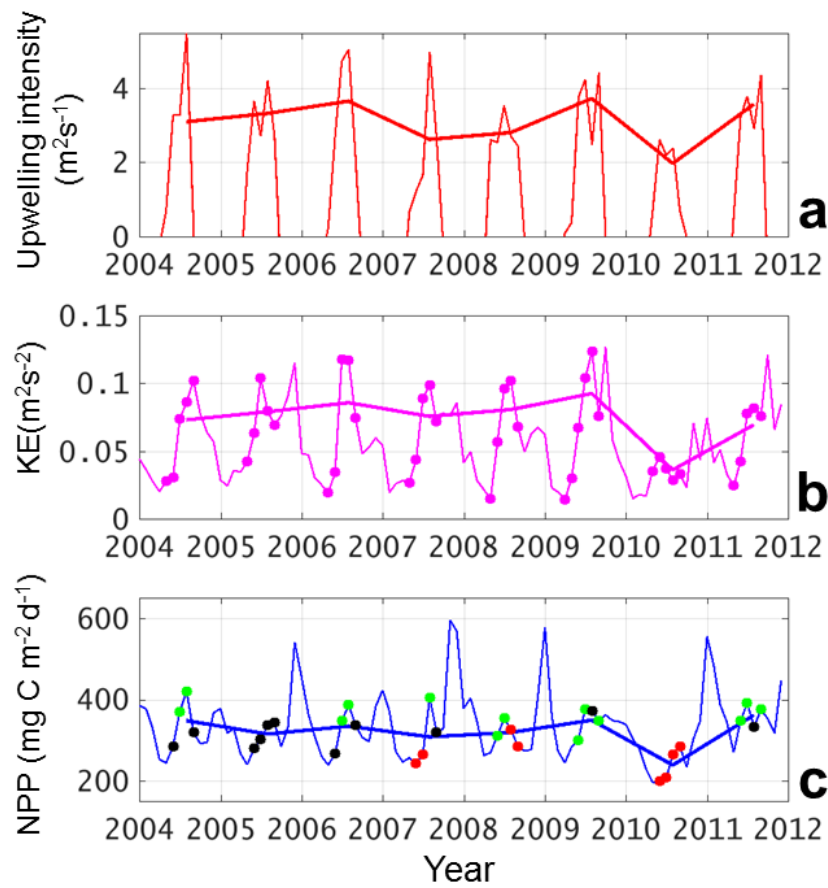


Figure 2 (a) Summertime (MJJAS) average of surface CHL concentration (color shading, unit: mg m^{-3}) from MODIS, overlapped white contours are mean ADT with the arrows showing the directions of geostrophic currents. Gray box is the region of interest (VBUS), while AE shows the center of the anticyclone. (b) Standard deviation of surface CHL (color shading, unit: mg m^{-3}) overlapped with the contours of surface KE with an interval of 0.1 from 0.1 to 1.0 (unit: $\text{m}^2 \text{s}^{-2}$). Magenta box is the box region (see text).



525 **Figure 3** Time series of (a) UI in $\text{m}^2 \text{s}^{-1}$, (b) KE in $\text{m}^2 \text{s}^{-2}$ and (c) NPP in $\text{mg C m}^{-2} \text{d}^{-1}$ of monthly data (thin lines) and summer mean (thick lines). In (a), only the positive (upwelling-favorable) values are shown. In (b), months with positive UI are marked with dots. In (c), green, black and red dots indicate HNA, normal and LNA scenarios (see text for definition).

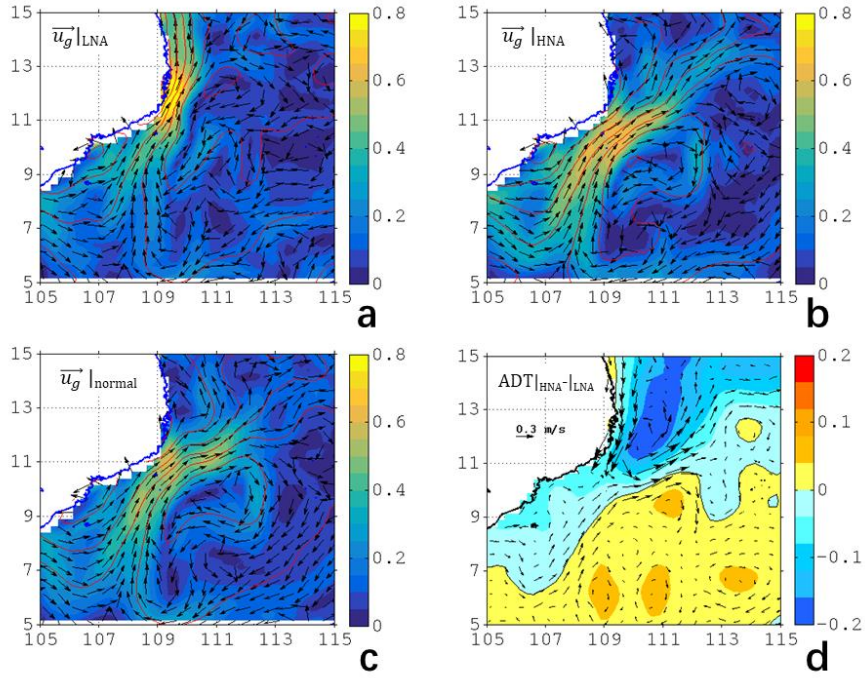


Figure 4 The surface geostrophic current velocity (color shading, unit: m s^{-1}), direction (vectors), and respective ADT (red contours, unit: meter) in (a) LNA, (b) HNA, and (c) normal months (i.e., neither LNA nor HNA) scenarios (see text for criteria). (d) The differences of ADT and geostrophic current between HNA and LNA.

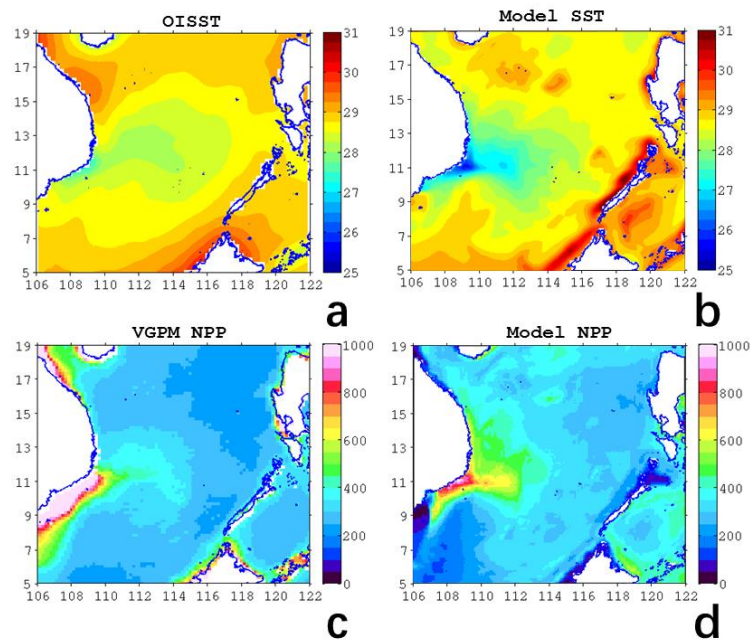
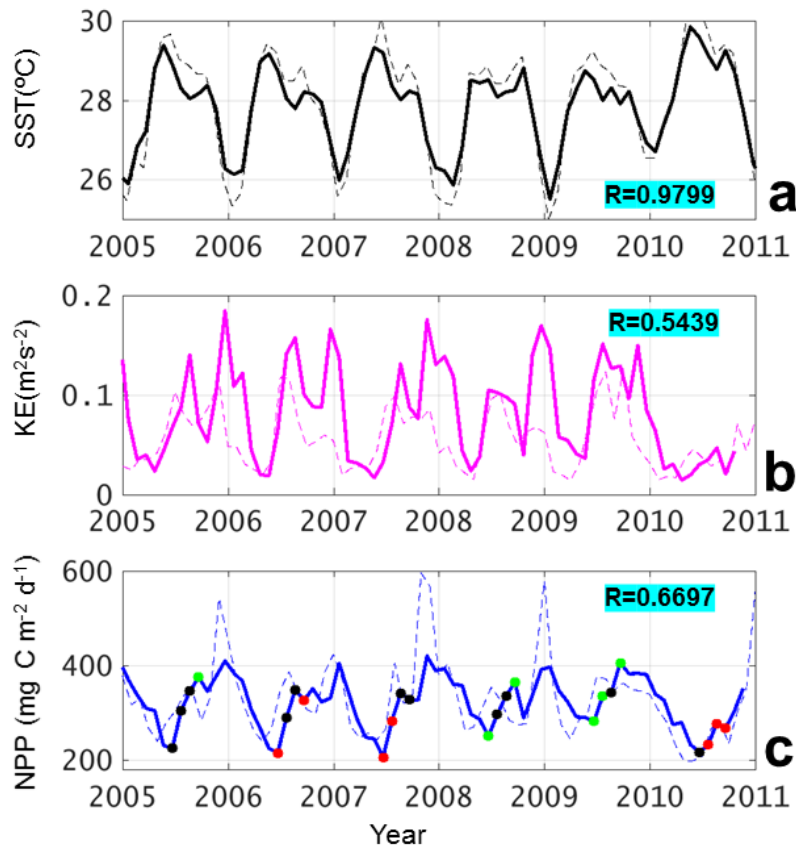


Figure 5 (a) OISST and (b) model SST (unit: $^{\circ}\text{C}$), (c) VGPM NPP and (d) modeled NPP (unit: $\text{mg C m}^{-2} \text{d}^{-1}$) in multi-year August average.



540 **Figure 6** Thick lines: modeled (a) SST in $^{\circ}\text{C}$, (b) KE in m^2s^{-2} , and (c) NPP in $\text{mg C m}^{-2}\text{d}^{-1}$ averaged over the box region (see Fig. 2b), with respective observation data (thin dashed lines). Correlation coefficients are also show in each plot. In (c), green, black and red dots indicate HNA, normal and LNA scenarios (see text for definition).

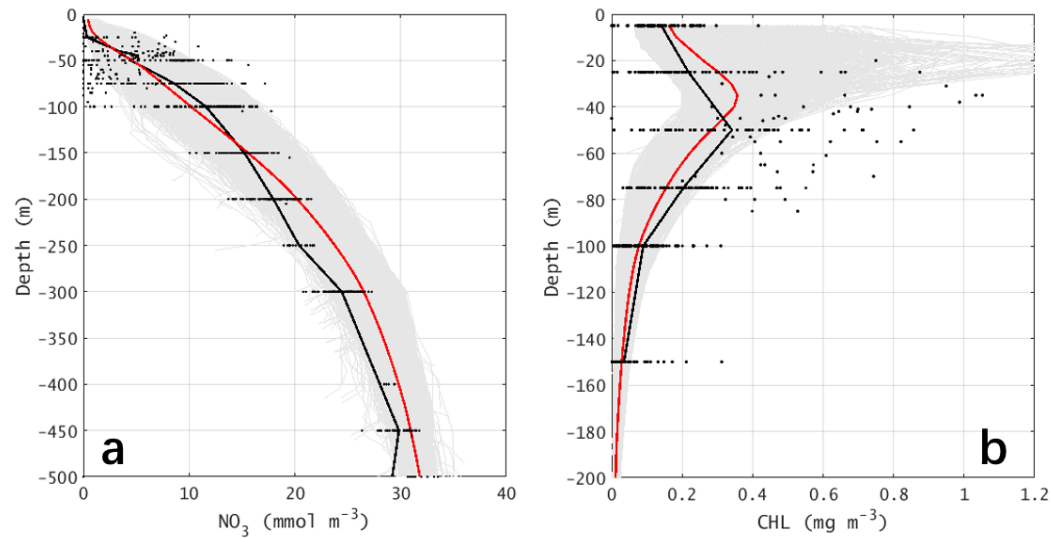
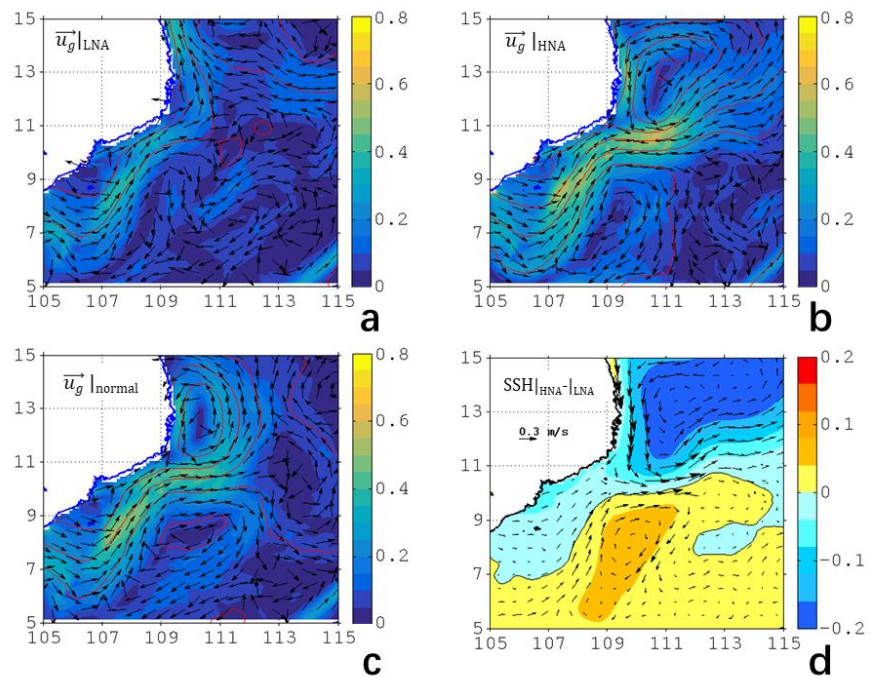
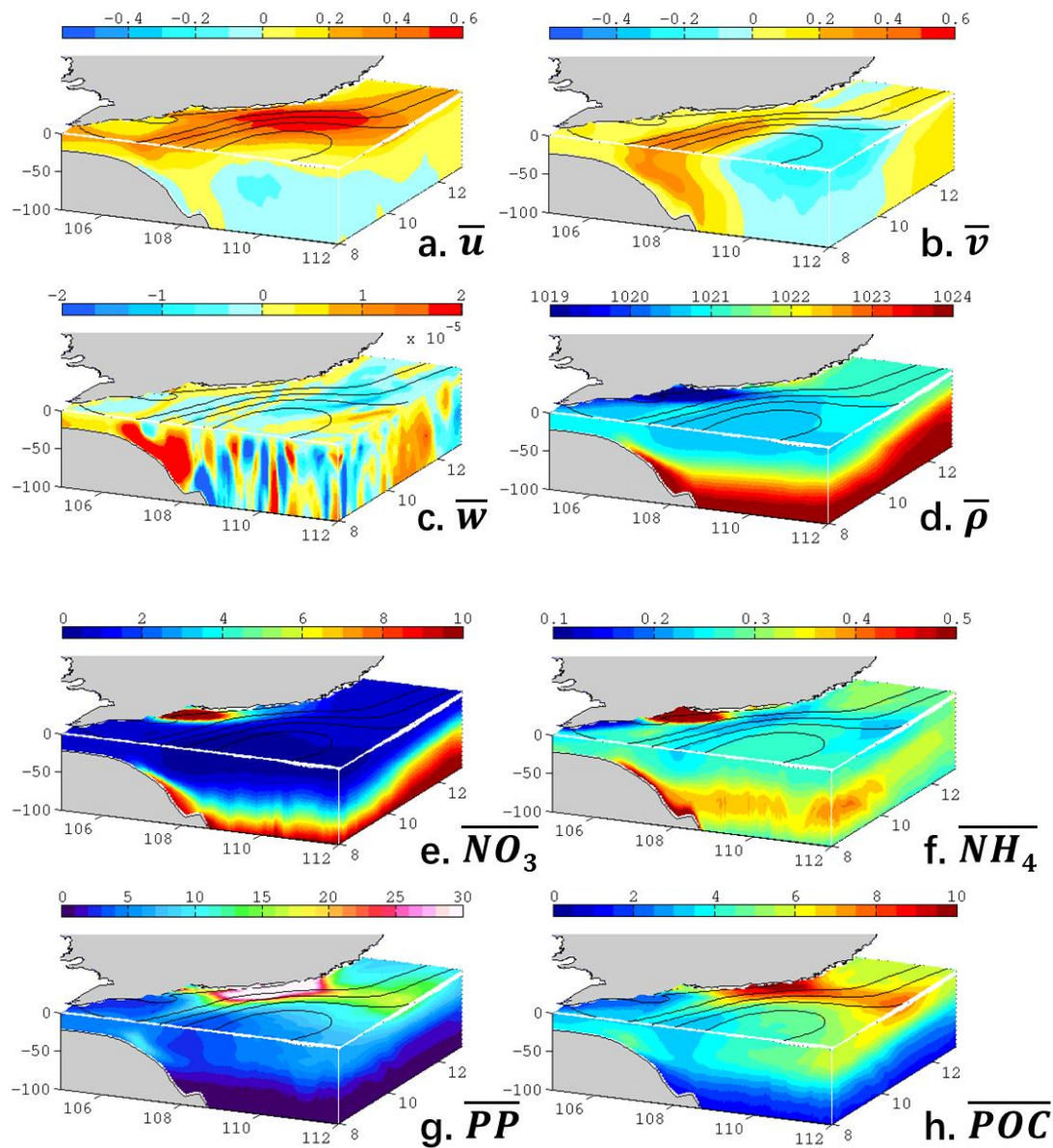


Figure 7 The vertical profiles of (a) nitrate concentration (unit: mmol m^{-3}) and (b) CHL concentration (unit: mg m^{-3}). In both plots, the black dots are the observation values (see Fig. 1b for stations). The gray area are the envelop for all model stations in the same area and month, while the red lines are the area-mean profiles.



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Figure 8 Same with Fig. 4, but based on model outputs.



555 **Figure 9** Three-dimensional distribution (standard run) of the summer mean (a) zonal velocity in m s^{-1} , (b) meridional velocity in m s^{-1} , (c) vertical velocity in m s^{-1} , (d) potential density in kg m^{-3} , (e) nitrate in mmol m^{-3} , (f) ammonium in mmol m^{-3} , (g) primary production in $\text{mg C m}^{-3} \text{d}^{-1}$, and particulate organic carbon in mmol C m^{-3} . Overlapped contours are the mean sea level (every 0.1 m).

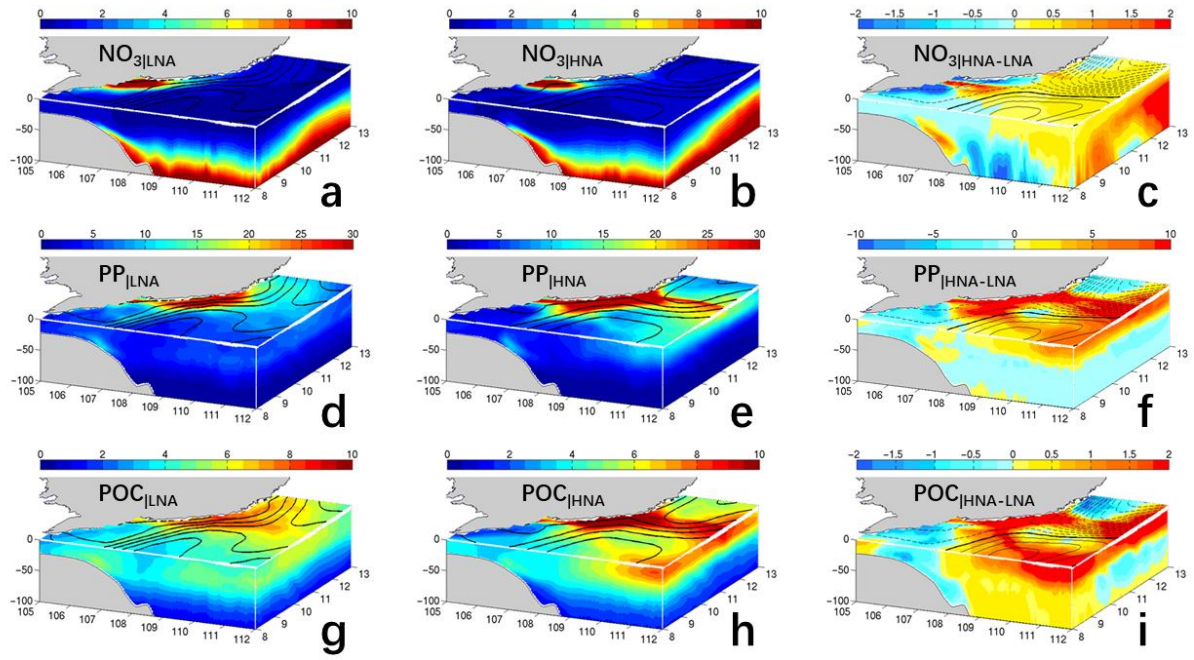


Figure 10 Modeled NO_3 (first row, in mmol m^{-3}), PP (second row, $\text{mg C m}^{-3} \text{d}^{-1}$) and POC (third row, mmol C m^{-3}) distribution in LNA (left column), HNA (middle column) and the difference between two scenarios (right column). See text for the definition of LNA and HNA.

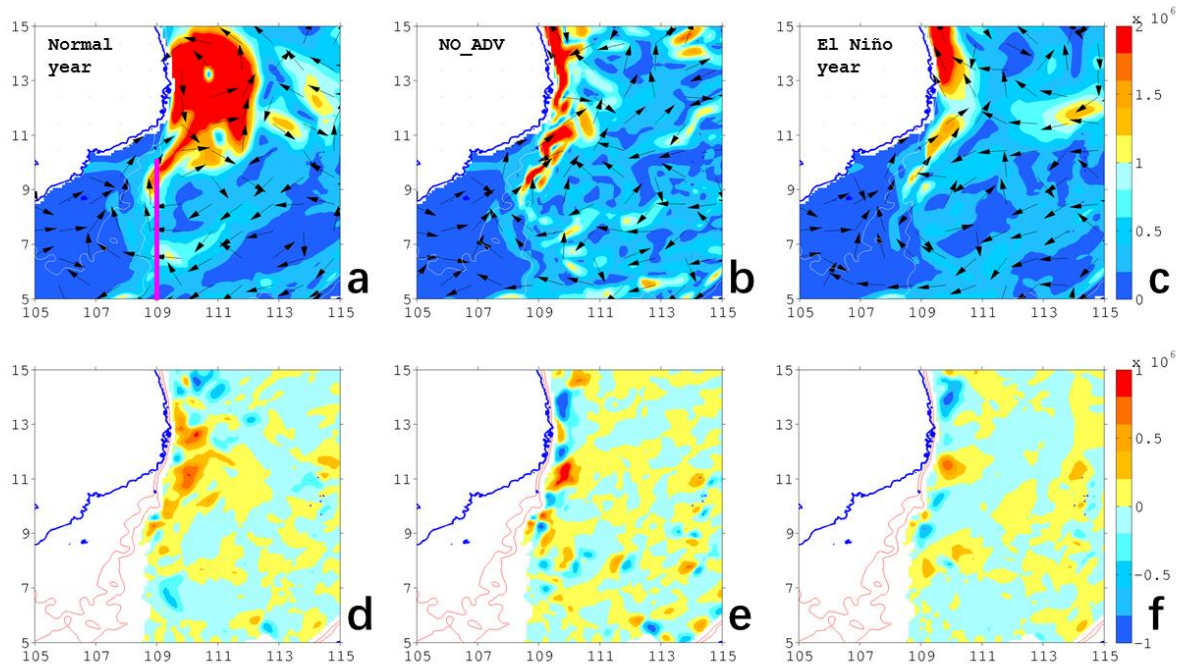


Figure 11 (Upper) Modeled 0-100 m integrated nitrate fluxes (unit: mmol s^{-1}) in horizontal plane. Color shading is the magnitude while vectors denote the direction. (Lower) Vertical flux across 100 m level for normal year (a and d), NO_ADV case (b and e), and post-El Niño (c and f). Overlapped contours are the 50 m and 75 m isobath. In (a), the magenta line is the 109° E section (Table 1).

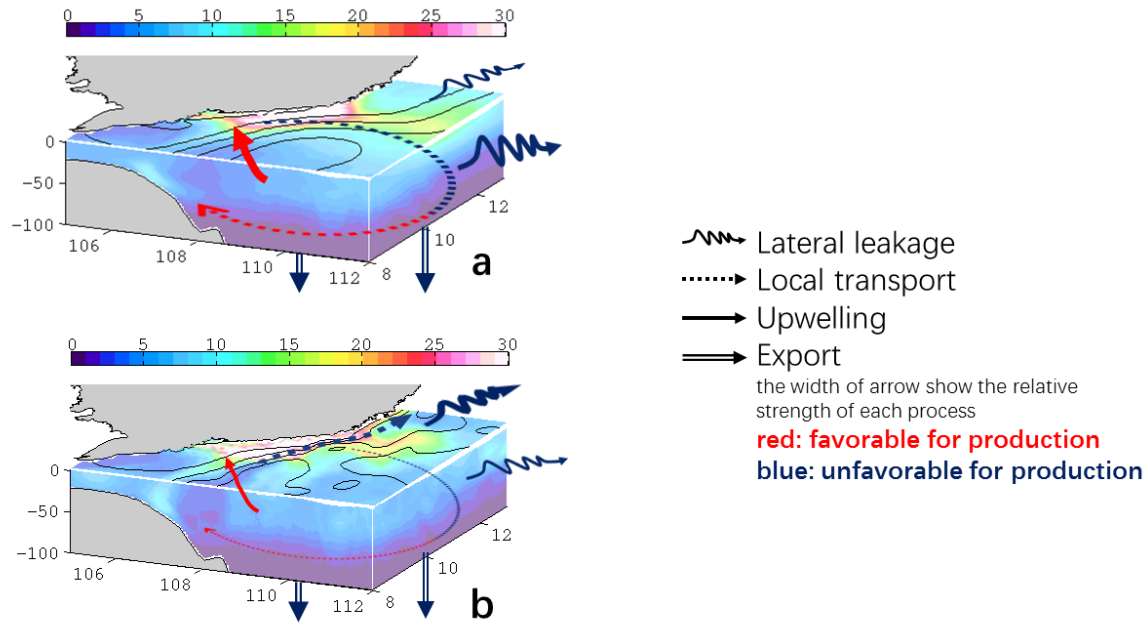


Figure 12 Schematic diagram summarizing the dynamics in different scenarios of distinct circulation pattern in the VBUS, overlapped with the three-dimensional distribution of PP (unit: $\text{mg C m}^{-3} \text{d}^{-1}$). (a) Normal state: The separated jet transports the upwelled nutrient and produced organic matter offshore. While a substantial portion of the offshore transported organic matter leaks into the interior of SCS and never comes back, the recirculation and quasi-stationary anticyclonic eddy trap the organic matters locally, and hinder further leakage of available nutrients in VBUS. The locally recirculated nutrient is then upwelled in the bottom Ekman layer, rejoining the production process over the shelf. (b) Non-separation state: During the non-separated circulation, the along-isobath circulation transports the organic matter northward. The leakage of organic matter reduces the nutrient inventory in the VBUS. The loss of nutrients diminishes the nutrient inventory available for remineralization and upwelling, further inducing a reduction in the production process.