

Please see our replies to the three reviewers in the related three single files. We believe we have fully replied to their requests where pertinent. In some cases we have pointed out why their suggestions (e.g. the triple co-location technique) were not pertinent.

The authors

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34

Luigi Cavaleri\*, Luciana Bertotti, Paolo Pezzutto

**Accuracy of altimeter data in inner and coastal seas**

ISMAR – CNR, Venice, Italy

Venice, November 28, 2018

\* corresponding author: [luigi.cavaleri@ismar.cnr.it](mailto:luigi.cavaleri@ismar.cnr.it)

35

## **Abstract**

36 We carry out an inter comparison among four different altimeters, Cryosat, Jason2, Jason3,  
37 Sentinel-3. This is done checking the altimeter data versus the same wind and wave model  
38 results of a given area, the Mediterranean Sea, for one year period. The four datasets are  
39 consistent for wind speed, but they show substantial differences for wave heights. The  
40 verification of a Sentinel-3 pass close to coast in the Northern Adriatic Sea shows irregular spiky  
41 large wave height values close to coast. The problem worsens using high frequency altimeter  
42 data.

43

## 44 1 – Altimeter data

45 There is no doubt that satellite radar altimetry has revolutionized oceanography with the  
46 continuous and abundant flow of data during the last three decades or so. The related surface  
47 wind speed and significant wave height data have provided both a crucial information for data  
48 assimilation in, and validation of, model activity and results (see, among others, Abdalla, 2007,  
49 2016) and substantial and prolonged information to be used for global statistics over the oceans.

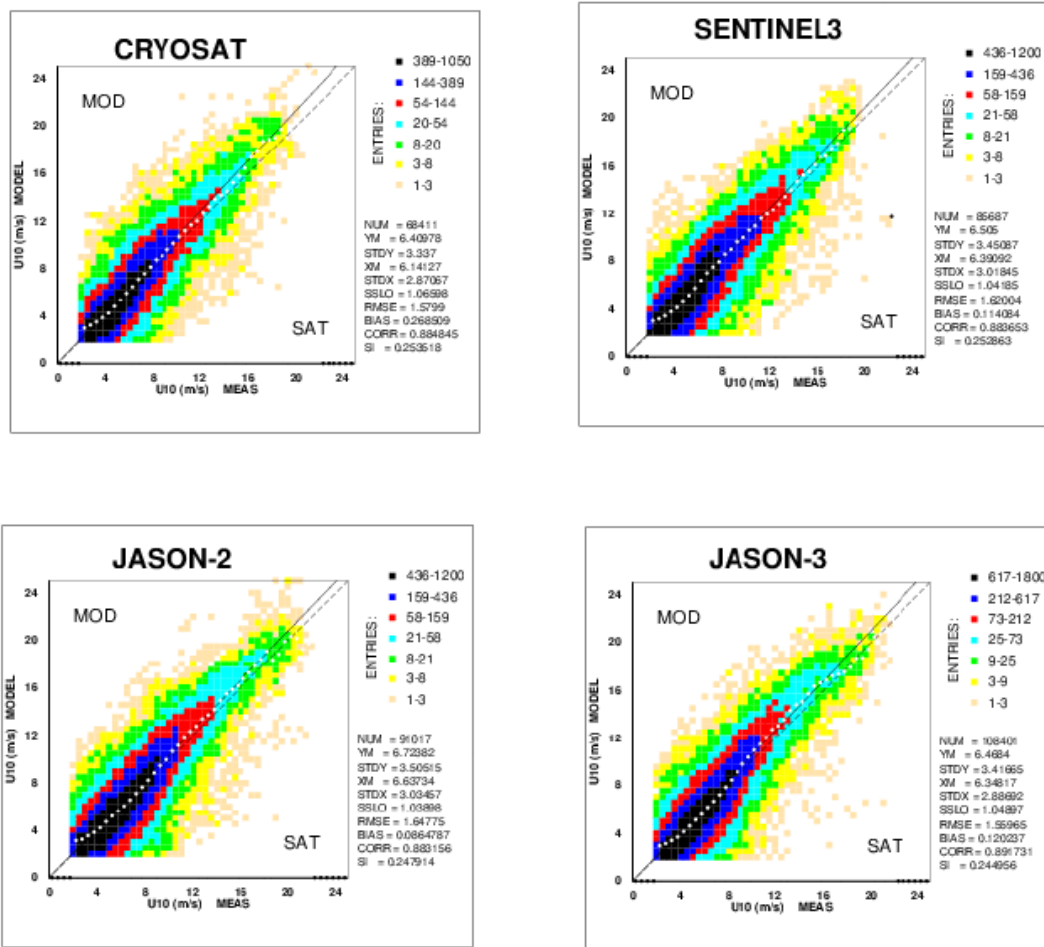
50 Although officially calibrated, a careful intercompariosn strongly suggests the data from  
51 different instruments require specific attention and calibration. See in this respect the keen and  
52 prolonged analysis by Young et al. (2017). Starting in 1986 with Geosat, the real continuous  
53 flow began in 1991 with the launch of ERS-1, followed in time by Jason1, ERS-2, Jason2,  
54 Jason3, Envisat, Altika, Cryosat, ending (for the time being) with Sentinal-3. See also Passaro et  
55 al (2014).

56 The two different principles of interaction with the sea surface for wind and wave information  
57 retrieval (respectively back-scattering and specular reflection) imply different calibrations for the  
58 two signals, calibrations sensitive to the average conditions where the operation has been done.  
59 See in this respect the valuable work by Queffeulou and Bertamy (2007). Being this mainly  
60 versus buoy data in the oceans, it is correct to wonder if this calibrations hold also in the rather  
61 different conditions of the inner seas. This is particularly true in view of the use of Sentinel-3 in  
62 very coastal waters in the attempt to push the use of altimeter data till very close to coast,  
63 certainly much closer than the 20-30 km distance of the classical altimetry.

64 In this short paper we make an intercomparison between the wind and wave data from four  
65 different altimeters and the results of two high resolution meteorological and wave models. The  
66 area is the Mediterranean Sea. We use Cryosat, Jason2, Jason3, Sentinel-3 data (henceforth Cy,  
67 J2, J3, S3). The period is the twelve months from July 2016 till June 2017. The models are  
68 COSMO for meteorology (see [www.cosmo.model.org/content/model/default.htm](http://www.cosmo.model.org/content/model/default.htm)) and WAM for  
69 waves (see the historical Komen et al., 1994, and the more updated Janssen et al., 2005). The  
70 related operational system is the combined effort of the Italian Meteorological Service and the  
71 Institute of Marine Sciences (ISMAR-CNR). COSMO is run at 7 km, WAM at 0.05° resolution.  
72 The system provides twice daily three-day forecasts at hourly interval. A full description of the  
73 system and its accuracy is available at Bertotti et al. (2013). For the altimeter-model inter  
74 comparison we have used the first 12 hour forecasts of the twice-a-day operational activity  
75 (hourly fields). The model data have been, bi-linearly in space and linearly in time, interpolated  
76 at the position and time of each altimeter datum. The Cy, J2, J3 data have been retrieved from  
77 the Delft University website <http://rads.tudelft.nl/rads/rads.shtml>. The S3 data from  
78 <https://coda.eumetsat.int>.

79 We stress that for the most part, rather than on the comparison with the model data, the analysis  
80 is based on an an, although indirect, intercomparison among the different altimeters. We present our

81 analysis and results in the next Section 2. In Section 3 we focus on an example of use of S3 data  
 82 very close to coast. We summarize our conclusions in the final Section 4.



83  
 84 Figure 1 – Scatter diagrams of the COSMO model wind speeds vs the Cy, S3, J2, J3 altimeter  
 85 data. The area is the Mediterranean Sea. The continuous lines show the respective best-fit slopes.  
 86 Dash lines would be the perfect fit.

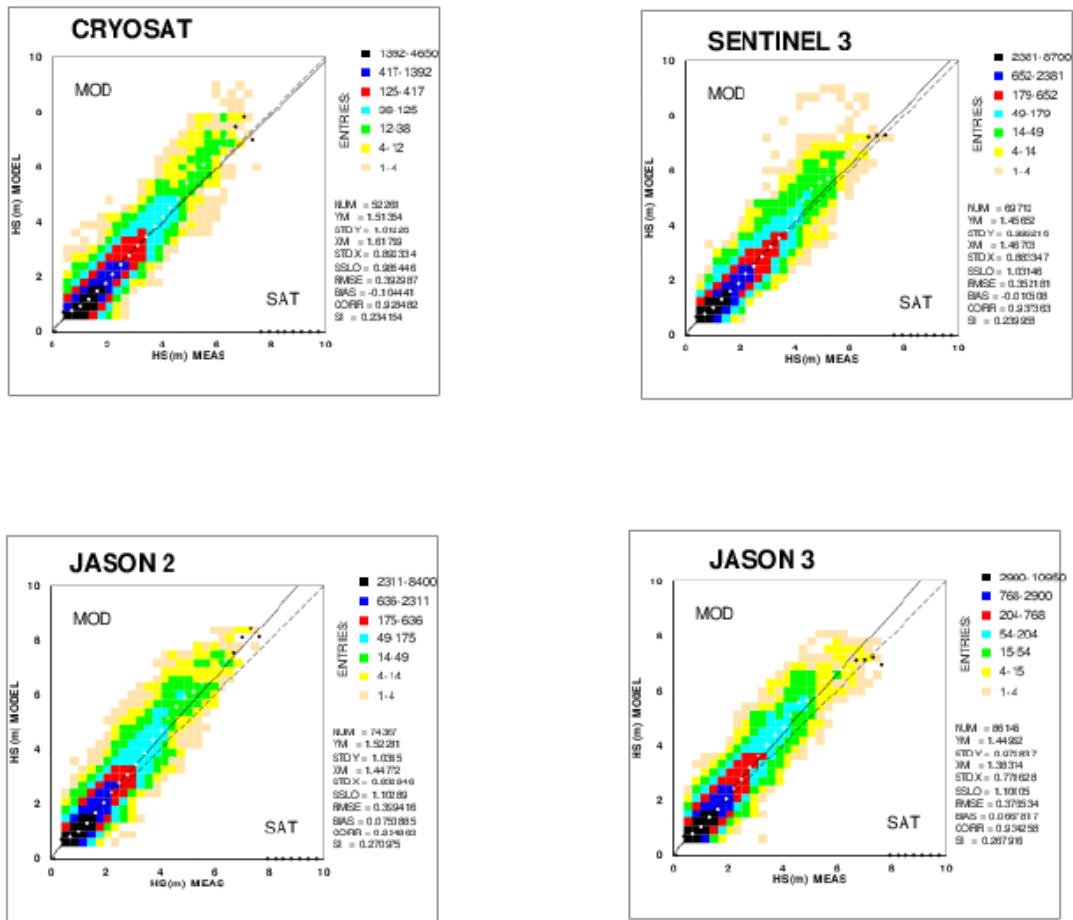
87

88 **2 – How much altimeters differ one from the other one**

89 Figure 1 provides the scatter diagrams and related statistics for the model surface wind speed vs  
 90 the altimeter data for the four considered satellites. As usual, the colours (scale on the right side  
 91 of each panel) indicate the number of data in each pixel.

92 Varying between 4 and 6%, there is a clear relative overestimate by the model. There is a rather  
 93 large scatter, with the scatter index SI typically at 0.25. There is some indication of a larger  
 94 overestimate in the higher value range. However, for the present purpose we focus on the

95 altimeter data, and the results in Figure 1 suggest a consistent performance among the four  
 96 altimeters.



97

98 Figure 2 – As Figure 1, but for the significant wave height.

99 Things are rather different when we consider the significant wave height  $H_s$ . The related results  
 100 are in Figure 2. There are obvious differences among the four altimeters, summarized **for waves**  
 101 **in Table 1.**

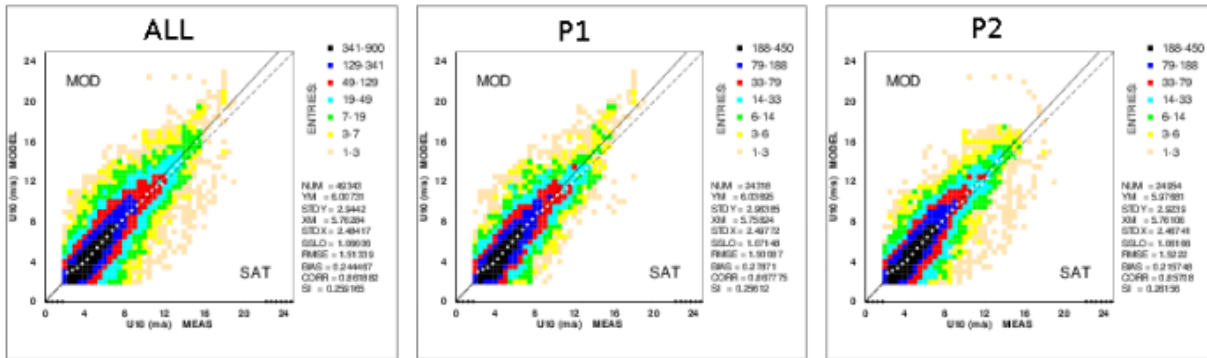
102

alt	Cy	J2	J3	S3
sslo	0.98	1.10	1.10	1.03
SI	0.23	0.27	0.27	0.24

103

104 Table 1 – Symmetric best-fit slope (sslo) and scatter index (SI) of the wave model data versus  
 105 altimeter ones. Cy = Cryosat, J2 = Jason2, J3 = Jason3, S3 = Sentinel-3.

106



107

108 Figure 3 – As Figure 1, but for only the Cryosat data. Left panel (ALL) full dataset, P1 and P2  
 109 each one complementary half of the data selected by random sampling.

110 There is a 12% wave height best-fit difference between the two Jason altimeters and Cy, and still  
 111 7% versus S3. Our arguing is the following. Given that the different altimeters have been  
 112 compared with the same model data (area and period), these results must reflect differences  
 113 among the four instruments. However, it can be argued that the four instruments have not  
 114 measured exactly the same wave conditions (in space and time), each satellite sampling at  
 115 different times and positions, hence on different wave conditions. To explore this possibility, we  
 116 have split each altimeter dataset in two halves with a random sampling of the different passes,  
 117 then evaluating a new statistics for each one of the two half of the data. As an example we show  
 118 in Figure 3 the related results for Cy (similar results hold for each satellite). There is hardly any  
 119 difference. More in general for each altimeter the differences among the full and half statistics  
 120 are less than 2% of the single statistical figures. Therefore the results in Table 1 are fully  
 121 representative of the situation.

122

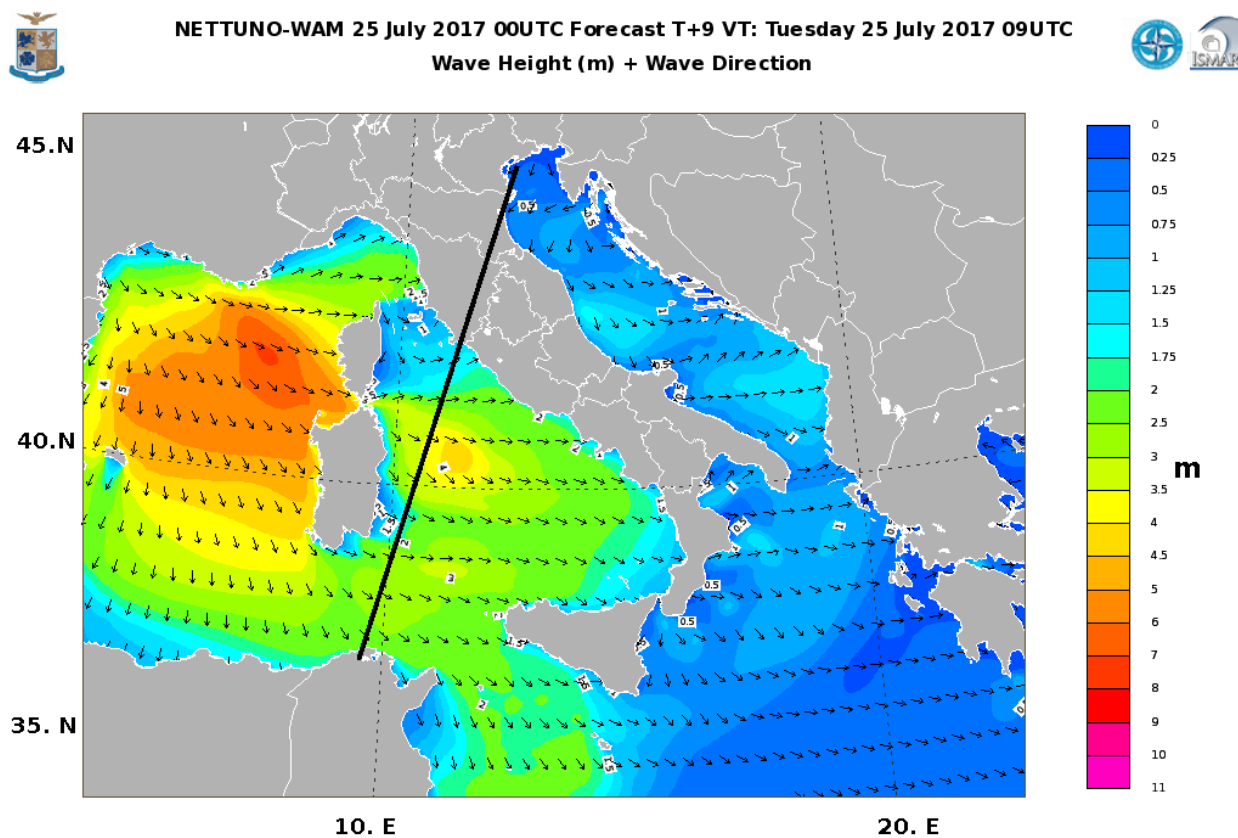
### 123 3 – A Sentinel-3 coastal track

124 The Sentinel-3 altimeter acquisition system, somewhat an evolution of the SIRAL mounted on  
 125 Cryosat-2 satellite, is claimed to enhance the accuracy of sea state measurements close to the  
 126 coast. S3 altimeter, SRAL, has two distinct operational modes: the conventional LRM and the  
 127 high along-track resolution, or SAR mode (www.sentinel.esa.int). The latter is synthesized from  
 128 a composition of 64 Ku-band pulses and two c-band ones. Operating in SAR mode, the SRAL  
 129 along track resolution is of the order of 300 m with a large (up to 10 km) lateral swath. The  
 130 reduced sampling area implies obviously a higher noise in the signal, but, especially when flying

131 perpendicularly to the coastline, it allows in principle to go much closer to it with meaningful  
132 data. We explore this possibility analyzing one pass in the Northern Adriatic Sea.

133 The proposed sample is a level 2 product provided from the Copernicus service with identifier  
134 “S3A\_SR\_2\_WAT\_\_\_\_20170725T094431\_20170725T094658\_20170725T120008\_0146\_020\_  
135 193\_\_\_\_MAR\_O\_NR\_002.SEN”. The NetCDF file contains also PLRM (pseudo low res  
136 mode) data, which is intended as a simulation, starting from Ku-band pulses, of the classical  
137 altimeter sampling strategy, LRM.

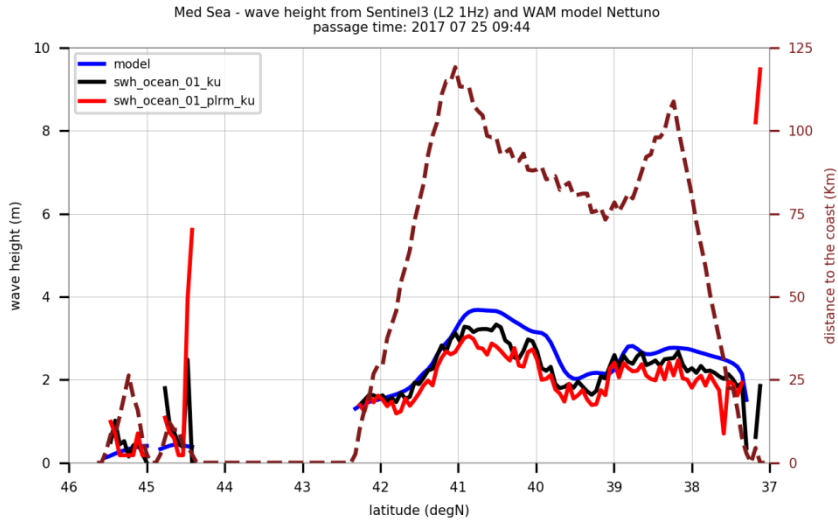
138 **Figure 4 shows the** ground track during an S3 25 July 2017 descending pass over first the  
139 Adriatic then the Tyrrhenian Sea. There was a severe mistral storm in the Western Mediterranean  
140 (see the  $H_s$  scale on the right), but only a tiny bit of it passing between Corsica and Sardinia was  
141 touched by the pass. The model and altimeter data are in Figure 5, latitude decreasing, hence  
142 following the satellite, from left to right. For a short moment we focus on the Tyrrhenian results,



143

144 **Figure 4 – Ground track of a descending pass of Sentinel-3 altimeter. The background colours**  
145 **and arrows show the significant wave height distribution.**



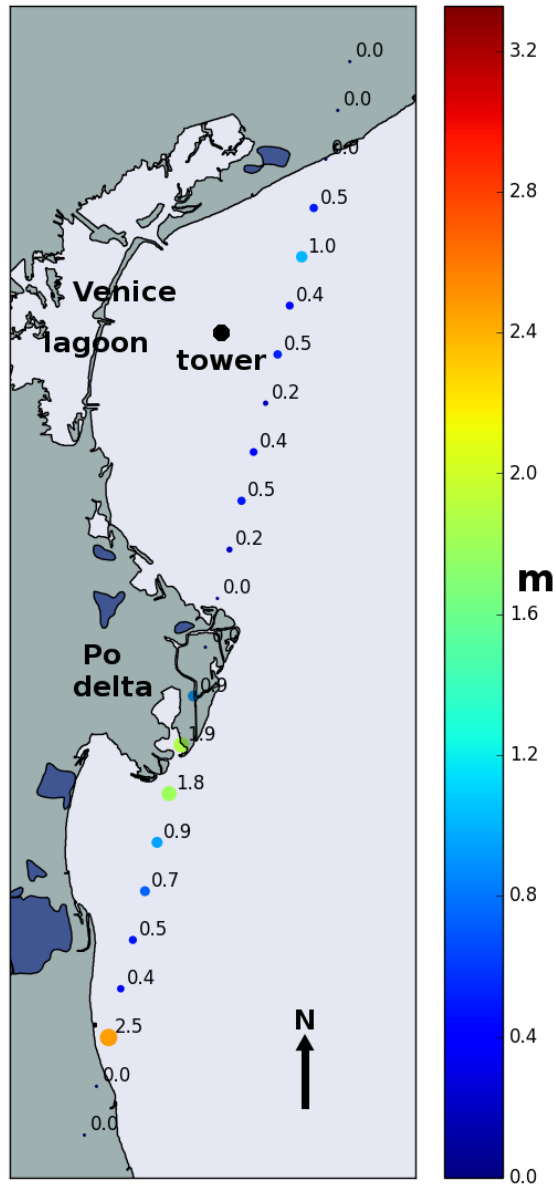


146

147 Figure 5 – Intercomparison, along the ground track in Figure 4, between the model significant  
 148 wave heights and the measurements (ku-, plrm-ku- corrected  $H_s$ ) by the Sentinel-3 altimeter. The  
 149 dash line shows the distance (km) of each measurement from the closest coast.

150

151 the model  $H_s$  following well the measured quantity. The dash line (right scale) shows the  
 152 distance from the closest coast (km). Note the altimeter spikes when exiting and entering land. In  
 153 this respect we zoom on the short passage on the Adriatic Sea (the first short section in Figure 5),  
 154 passage geographically better represented in Figure 6. We recognize the Venice lagoon (about 50  
 155 km long) and the protruding Po river delta intersected by the descending satellite ground track.  
 156 Dots and close-by numbers represent the 1 sec S3  $H_s$  data (ku-band) Note the incoherent data  
 157 when passing on the Po delta and when entering land again shortly after. The oceanographic  
 158 situation is in Figure 7. There is a very mild wind sea from North-East with significant wave  
 159 height close to, mostly less than, 0.5 m (product of the operational ISMAR Adrioper wave  
 160 forecast system, see Bertotti and Cavaleri, 2009). An independent validation (not shown) of the  
 161 model results for this day is provided by the data regularly recorded at the ISMAR  
 162 oceanographic tower (Cavaleri, 2000), located 15 km off the Venice lagoon (see Figure 6). The  
 163 model-measurement  $H_s$  difference close to the time of the pass is less than 10% that, on the base  
 164 of previous experience and validation (Bertotti and Cavaleri, 2009), we take as characteristic of  
 165 the overall local field, hence of also the model data corresponding to the S3 ones.



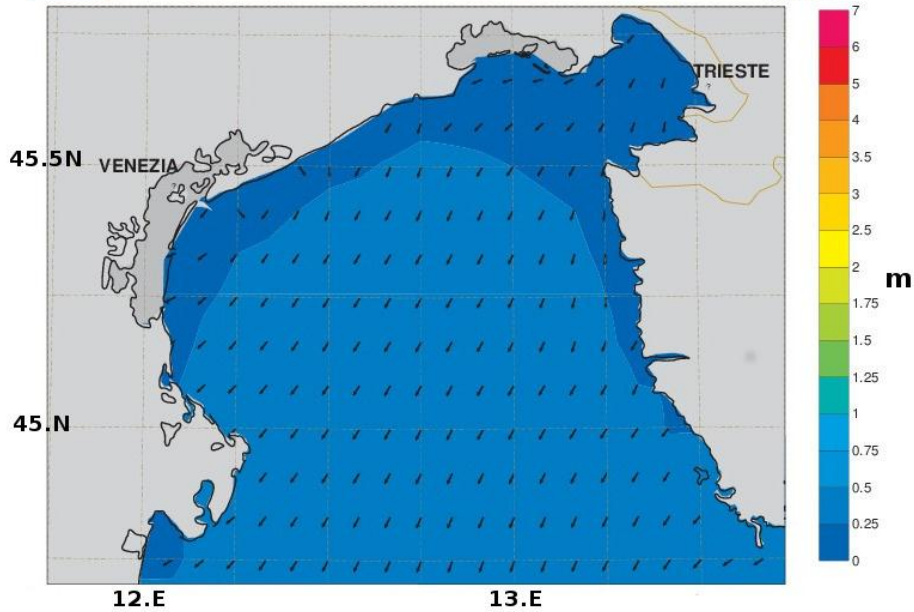
166

167 Figure 6 – Detailed geometry, focused on the Adriatic Sea, of the area of the pass in Figure 4, in  
 168 more details in Figure 7. The positions and the corresponding SAR mode (swh\_ocean\_01\_ku)  
 169 altimeter significant wave height values (m) are also shown.

170

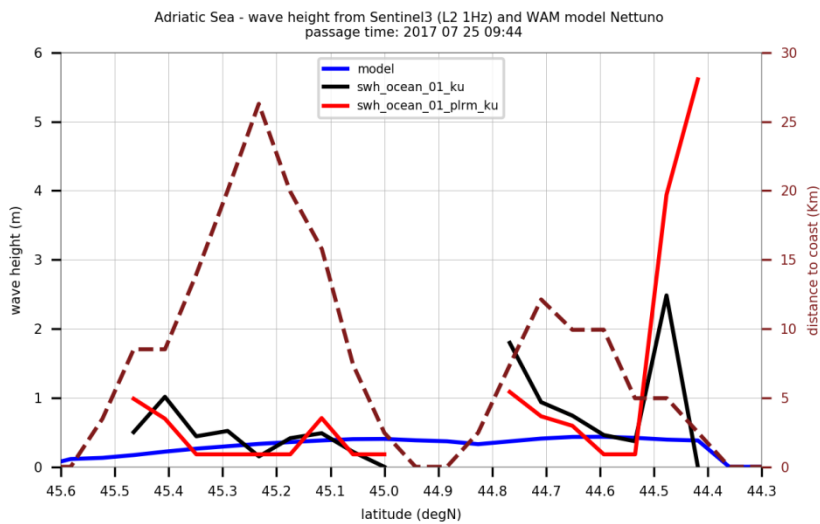


Previsione per il : 25 Luglio 2017 ore 09 UTC  
 Altezza d'onda significativa (m) + direzione media



171

172 Figure 7 – Wave field (very mild conditions) in the Northern Adriatic Sea at 09 UTC 25 July  
 173 2017. The arrows show the significant wave height and mean direction. The modelled maximum  
 174 wave height in the field is close to 0.5 m. Wind and waves were from East-North-East.

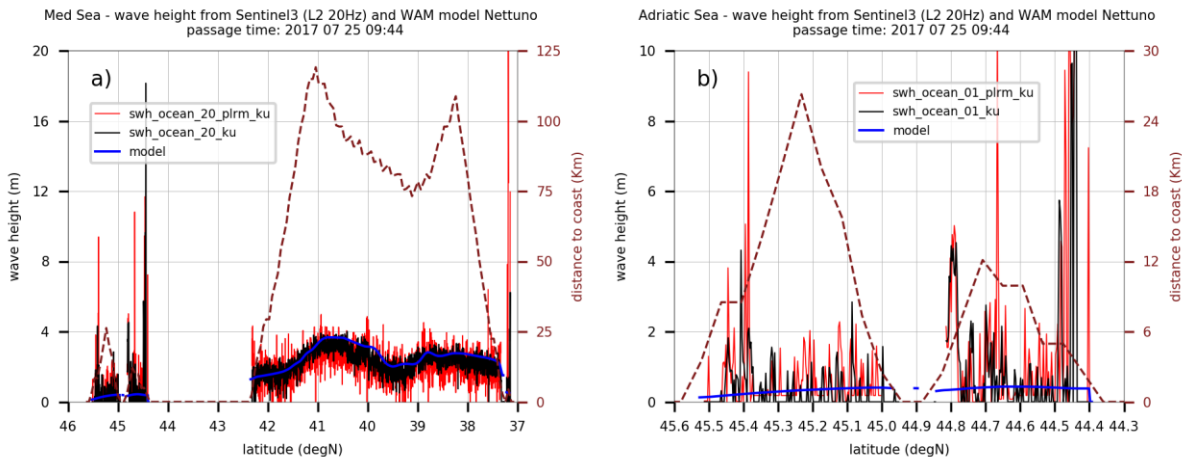


175

176 Figure 8 – Intercomparison, for the pass in Figure 6 and the time of Figure 7, between the  
 177 Sentinel-3 ku-, plrm-ku- wave heights and the corresponding wave model results. The dash line  
 178 shows the distance from the closest coast (km).

179

180 In Figure 8 we show the detailed comparison among the three different (ku-, plrm-ku-) bands  
 181 and model  $H_s$  values plus the distance (km/10) from the closest coast. There are some obviously  
 182 absurd values by S3 in the two signals, more in the plrm-ku, this when the distance from the  
 183 coast was less than 10 km.



184

185 Figure 9 – As Figure 8, but for the 20 Hz altimeter data. Panel a is for the full pass, panel b for  
 186 the Adriatic Sea section (see Figure 8 for comparison).

187 Finally in Figure 9 we explore the 20 Hz data. Panel a shows the whole pass (the corresponding  
 188 of Figure 5). Panel b focuses on the Adriatic Sea. The noise of the signal is evident, also when  
 189 the distance from the coast was about 20 km. There is a very large variability of the S3 altimeter  
 190 signal also in the Tyrrhenian Sea, a variability that cannot be justified by geophysical reasons,  
 191 and it is therefore natural to associate to the instrument and to the sampling variability. Again the  
 192 S3 approach seems to lead to very large  $H_s$  values also when the distance from the coast  
 193 approaches the classical 20-30 km limit of standard altimetry.

194

#### 195 4 – Summary

196 Following the extensive availability of altimeter data for both wind and waves, and their  
 197 relevance in validating model results, we have explored the consistency of the data from four  
 198 different altimeters. Lacking the possibility of extensive triple-collocation analysis (the datasets  
 199 would be too small for meaningful results), we have followed a different principle, i.e.: we take  
 200 the model data as reference and, without arguing about right or wrong, we explore how each  
 201 satellite fits the model data. Should the altimeters being consistent to each other, each altimeter  
 202 vs model fit should provide the same best-fit slope. On a different line of action we have  
 203 explored the value of S3 data close to coast. In summary, we have carried out two tests: 1) an  
 204 extensive one on four different altimeters (Cy, J2, J3, S3, see Table 1), and 2) a sample one on  
 205 one S3 pass. The purpose of 1) was an, indirect but significant, intercomparison among these

206 four altimeters. 2) was meant to explore one case of sampling by S3 in coastal waters. In this  
207 case we have also checked the value of the 20 Hz data. We itemize our results as follows.

208 1 - the surface wind speed values derived from the four altimeters are consistent to each other,  
209 differing on the average less than 2%,

210 2 - large differences are found in a similar intercomparison for the significant wave height  $H_s$ .  
211 There is on average a 12% difference between the best-fit slopes of  $C_y$  and the J2-J3 data, the  
212 latter ones measuring larger wave heights. The S3 values lay more or less in the middle,

213 3 - the S3 1 Hz data close to coast are noisy, with spikes of obviously wrong large values. Use of  
214 20 Hz seems to increase the noise, wrong large values appearing also relatively far (20 km) from  
215 the coast,

216 4 - the use of 20 Hz leads to a high variability of the  $H_s$  data also in the open sea, far from the  
217 coasts, implying this variability is practically associated to the instrumental measurement and to  
218 its sampling variability.

219

## 220 **Acknowledgements**

221 Luigi Cavaleri, Luciana Bertotti and Paolo Pezzutto have been partially supported by the EU  
222 contract 730030 call H2020-EO-2016 'CEASELESS'. Jesus Portilla and Robert Jensen, plus  
223 another anonymous reviewer, have provided useful suggestions to improve the readability of the  
224 paper.

225

## 226 **References**

227 Abdalla, S.: Ku-band radar altimeter surface wind speed algorithm. Proceeding sof the Envisat  
228 Symposium 2007, H.Lacoste and L.Onwehand, Eds., ERA SP-646, 463250, 2007 [Available  
229 online at  
230 <https://earth.esa.int/workshop/envisatsymposium/proceedings/sessions/3E4/463250sa.pdf>]

231 Abdalla, S.: The use of radar altimeter products at ECMWF, ECMWF Newsletter, 149, Autumn  
232 2016, 14-19, 2016.

233 Bertotti, L., and Cavaleri, L.: Wind and wave predictions in the Adriatic Sea, J. Marine Systems,  
234 78, S227-S234, DOI:10.1016/j.jmarsys.2009.01.018, 2009.

235 Bertotti, L., Cavaleri, L., Loffredo, L., and Torrisi, L.: Nettuno: analysis of a wind and wave  
236 forecasting system for the Mediterranean Sea, Mon. Weather Rev., 141(9), 3130-3141,  
237 DOI:10.1125/MWR-D-12-00361.1, 2013.

- 238 Cavaleri, L.: The oceanographic tower Acqua Alta – Activity and prediction of sea states at  
239 Venice, Coastal Eng., 39(1), 29-70, DOI:10.1016/S0378-3839(99)00053.8, 2000.
- 240 Janssen, P.A.E.M., Bidlot, J.-R., Abdalla, S., and Herbach, H.: Progress in ocean wave  
241 forecasting at ECMWF, ECMWF Tech. Memo 478, 27pp, 2005.
- 242 Komen, G.J., Cavaleri, L., Donelan, M., Hasselmann, K., Hasselmann, S., and Janssen,  
243 P.A.E.M.: Dynamics and Modelling of Ocean Waves, Cambridge University Press, 532pp, 1994.
- 244 Passaro, M., P.Cipollini, S.Vignudli, G.Quartly, and H.Seveith: ALES: a multi-mission adaptive  
245 waveform retracker for coastal and open ocean altimetry, Remote Sensing of the Environment,  
246 145, 173-179, 2014.
- 247 Queffeulou, P., and A.bentamy: Analysis of wave height variability using altimeter  
248 measurements: application to the Mediterranean Sea, J.Atm. and Ocean Tech., 21, 2078-2092,  
249 2007, <https://doi.org/10.1175/2007JTECH0507.1>
- 250 Young, I.R., Sanina, E., and Babanin, A.V.: Calibration and cross validation of a global wind  
251 and wave database of altimeter, radiometer, and scatterometer measurements, J. of Atm. and  
252 Ocean Tech., 34, 1285-1306, <https://doi.org/10.1175/JTECH-D-16-0145.>, 2017.

253 **Figure captions**

254 Figure 1 – Scatter diagrams of the COSMO model wind speeds vs the Cy, S3, J2, J3 altimeter  
255 data. The area is the Mediterranean Sea. The continuous lines show the respective best-fit slopes.  
256 Dash lines would be the perfect fit.

257 Figure 2 – As Figure 1, but for the significant wave height.

258 Figure 3 – As Figure 1, but for only the Cryosat data. Left panel (ALL) full dataset, P1 and P2  
259 each one complementary half of the data selected by random sampling.

260 Figure 4 – Ground track of a descending pass of Sentinel-3 altimeter. The background colours  
261 and arrows show the significant wave height distribution

262 Figure 5 – Intercomparison, along the ground track in Figure 4, between the model significant  
263 wave heights and the measurements (ku-, plrm-ku- corrected  $H_s$ ) by the Sentinel-3 altimeter. The  
264 dash line shows the distance (km) of each measurement from the closest coast.

265 Figure 6 – Detailed geometry, focused on the Adriatic Sea, of the area of the pass in Figure 4, in  
266 more details in Figure 7. The positions and the corresponding SAR mode (swh\_ocean\_01\_ku)  
267 altimeter significant wave height values (m) are also shown.

268 Figure 7 – Wave field (very mild conditions) in the Northern Adriatic Sea at 09 UTC 25 July  
269 2017. The arrows show the significant wave height and mean direction. The modelled maximum  
270 wave height in the field is close to 0.5 m. Wind and waves were from East-North-East.

271 Figure 8 – Intercomparison, for the pass in Figure 6 and the time of Figure 7, between the  
272 Sentinel-3 ku-, plrm-ku- wave heights and the corresponding wave model results. The dash line  
273 shows the distance from the closest coast (km).

274 Figure 9 – As Figure 8, but for the 20 Hz altimeter data. Panel a is for the full pass, panel b for  
275 the Adriatic Sea section (see Figure 8 for comparison).

276

277 **Table captions**

278 Table 1 - Symmetric best-fit slope (sslo) and scatter index (SI) of the wave model data versus  
279 altimeter ones. Cy = Cryosat, J2 = Jason2, J3 = Jason3, S3 = Sentinel-3.

280

281