



1 **Could the mesoscale eddies be reproduced and predicted in the**
2 **northern south China sea: case studies**

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4 Dazhi Xu ¹, Wei Zhuang³, Youfang Yan ^{2*}

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6 ¹South China Sea Marine Prediction Center, State Oceanic Administration,
7 Guangzhou, China

8 ²South China Sea Institute of Oceanology, Chinese Academic of Science, Guangzhou,
9 China

10 ³ State Key Laboratory of Marine Environmental Science & College of Ocean and
11 Earth Sciences, Xiamen University, Xiamen 361102, China

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20 *Corresponding author address: Dr. Youfang Yan, State Key Laboratory of Tropical
21 Oceanography, South China Sea Institute of Oceanology, Chinese Academy of
22 Sciences, 164 West Xingang Road, Guangzhou, 510301, China.

23 E-mail: youfangyan@scsio.ac.cn



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Abstract

25 Great progress has been made in understanding the mesoscale eddies and their
26 role on the large-scale structure and circulation of the oceans. However, many
27 questions still remain to be resolved, especially with regard to the reproduction and
28 predictability of mesoscale eddies. In this study, the reproduction and predictability of
29 mesoscale eddies in the northern South China Sea (NSCS), a region with strong eddy
30 activity, are investigated with a focus on two typical anticyclonic eddies (AE1 and
31 AE2) based on a HYCOM-EnOI Assimilation System. The comparisons of
32 assimilated results and observations suggest that generation, evolution and
33 propagation paths of AE1 and AE2 can be well reproduced and forecasted when their
34 amplitude >8 cm, although their forcing mechanisms are quite different. However,
35 when their intensities are less than 8 cm, the generation and decay of these two
36 mesoscale eddies cannot be well reproduced and predicted by the system. This result
37 suggests, in addition to dynamical mechanisms, the spatial resolution of assimilation
38 observation data and numerical models must be taken into account in reproducing and
39 predicting mesoscale eddies in the NSCS.

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41 **Keywords:** HYCOM; EnOI; Northern South China Sea; Mesoscale eddy;
42 Predictability



43 1. Introduction

44 Equivalent to the synoptic variability of the atmosphere, oceanic mesoscale
45 eddies are often described as the “weather” of the ocean, with typical spatial scales of
46 ~100 km and time scales of a month (Chelton et al., 2011; Liu et al., 2001; Wang et al.,
47 1996). The mesoscale eddy is characterized by temperature and salinity anomalies
48 with associated flow anomalies, exhibiting different properties to their surroundings,
49 thus allowing them to control the strength of mean currents and to transport heat, salt,
50 and biogeochemical tracers around the ocean. Although today, the beauty and
51 complexity of these mesoscale features can be seen by viewing high resolution
52 satellite images or numerical model simulations (Yang et al., 2000), the operational
53 forecasts of the mesoscale eddy still poses a big challenge because of its complicated
54 dynamical mechanisms and high nonlinearity (Yuan and Wang, 1986; Li et al., 1998).
55 A recent example is the explosion of the Deepwater Horizon drilling platform in the
56 northern Gulf of Mexico in 2010 where an accurate prediction of the position and
57 propagation of the Loop Current eddy was essential in determining if the spilled oil
58 would be advected to the Atlantic Ocean or still remain within the Gulf (Treguier et
59 al., 2017).

60 Similar to Gulf of Mexico, the South China Sea (SCS) is also a large semi-closed
61 marginal sea in the northwest Pacific, connecting to the western Pacific mainly
62 through the Luzon Strait (Fig. 1). Forcing by seasonal monsoon winds, the intrusion
63 of Kuroshio Current (KC), the Rossby waves and the complex topography, the SCS,
64 especially the Northern SCS (NSCS) exhibits a significantly high mesoscale eddy



65 activity (Fig. 2). Many studies have tried to investigate mesoscale eddies in the NSCS
66 (Wang et al., 2003; Jia et al., 2005; Wang et al., 2008). For instance, based on the
67 potential vorticity conservation equation and in-situ survey data, Yuan and Wang
68 (1986) pointed out that the bottom topography forcing might be the primary factor for
69 the formation of anticyclonic eddies in northeast of Dongsha Islands (DIs). Using
70 survey CTD data in September 1994, Li et al. (1998) recorded the evidence of
71 anticyclonic eddies in the NSCS and suggested these anticyclonic eddies are probably
72 shed from the KC. Using the sea surface height anomaly from satellites, Wang et al.
73 (2008) found a high frequent occurrence of mesoscale eddies in the NSCS and
74 indicated that the interaction between strong ocean currents and the local topography
75 can generate anticyclonic eddies there. Investigations by Wu et al. (2007) showed that
76 westward propagating eddies in the NSCS originate near the Luzon Strait rather than
77 coming from the western Pacific. These studies improved our understanding of
78 activities of mesoscale eddy and its possible dynamical mechanisms in the NSCS.

79 Although the occurrence and possible dynamical mechanisms of mesoscale eddies
80 in the NSCS have received much attention in past decades, studies on the
81 reproduction and predictability of mesoscale eddies in the NSCS are still rare. Since
82 mesoscale eddies are related not only to complicated dynamical mechanisms but also
83 involve strong nonlinear processes (Oey et al., 2005), thus they are not a deterministic
84 response to atmospheric forcing. The quality of mesoscale eddies forecasting will
85 depend primarily on the quality of the initial conditions. Ocean data assimilation,
86 which combines observations with the numerical model, can provide more realistic



87 initial conditions and thus is essential for the prediction of mesoscale eddies. In this
88 study, we assessed the reproduction and predictability of two typical anticyclonic
89 eddies in the NSCS with focus on their generation, evolution and decay processes by
90 a series of numerical experiments based on a Chinese Shelf/Coastal Seas Assimilation
91 System (CSCASS; Li, 2009; Li et al., 2010; Zhu, 2011), along with the observations
92 from surface drifter trajectory and satellite remote sensing.

93 **2. Datasets and Methodologies**

94 **2.1 Datasets**

95 In this study, the altimetric data between 2003-2004, which includes along-track
96 SLA, totally 29 passes (about 9300 points) over the NSCS was selected. Considering
97 the noise of SLA measurement in the shallow seas, data for the shallow areas with
98 depth<400 m was excluded. In order to verify assimilation results, the merged SLA
99 based on Jason-1, TOPEX/Poseidon, ERS-2 and ENVISAT (Ducet et al., 2000)
100 provided by Archiving, Validation and Interpretation of Satellites Oceanographic data
101 (AVISO) at Centre Localization Satellite (CLS,
102 <ftp://ftp.aviso.oceanobs.com/global/nrt/>) with $1/4^\circ \times 1/4^\circ$ resolution and weekly
103 average are used. In addition, because the SLA present only the anomalies relative to
104 a time-mean sea level field, a new mean dynamic topography (nMDT), which has
105 been corrected using iterative method by Xu et al. (2012) was used to calculate the
106 realistic sea level in this study.

107 In addition to SLA datasets, we also used the daily OISST from the National



108 Oceanic and Atmospheric Administration's (NOAA) National Climatic Data Center
109 (<ftp://eclipse.ncdc.noaa.gov/pub/OI-daily-v2/NetCDF/>), which was merged by an
110 optimum interpolation method (Reynolds et al., 2007) based on the Infrared SST
111 collected by the Advanced Very High Resolution Radiometer sensors on the NOAA
112 Polar Orbiting Environmental Satellite and SST from Advanced Microwave Scanning
113 Radiometer for the Earth Observing System. The daily OISST's biases were fixed
114 using in situ data from ships and buoys. The dataset between 2003 and 2004 was used
115 in this study, with a spatial resolution of $1/4^{\circ} \times 1/4^{\circ}$. In addition, the surface drifting
116 buoy data from the World Ocean Circulation Experiment (WOCE,
117 <ftp://ftp.aoml.noaa.gov/pub/phod/buoydata/>) are also used. A total of 3 drifters
118 designed to drift at the surface within the upper 15 m were tracked by the ARGOS
119 satellite system. Positions of the drifters were smoothed using a Gaussian-filter scale
120 of 24 h to eliminate tidal and inertial currents, and were subsampled at 6-h intervals
121 (Hamilton et al., 1999).

122 **2.2 Method to identify the mesoscale eddies**

123 Similar to the standard of Chelton et al., (2011) and Cheng et al., (2005), we
124 identify the mesoscale eddies in this study as follows: 1) there must be a closed
125 contour on the merged SLA; 2) there must be one maximum or minimum inside the
126 area of closure contour for anticyclonic or cyclonic eddy; 3) the difference between
127 the extremum and the outermost closure of SLA, that is, the intensity of the mesoscale
128 eddy must be greater than 2 cm; and 4) the spatial scale of the eddy should be 45-500
129 km. In addition, the amplitude (A) of an eddy is defined here to be the magnitude of



130 the difference between the estimated basal height of the eddy boundary and the
 131 extremum value of SSH within the eddy interior: $A=|h_{\text{ext}}-h_0|$.

132

133 2.3 Ocean model

134 We here used a three-dimensional hybrid coordinate ocean model (HYCOM;
 135 Bleck, 2002; Halliwell et al., 1998; 2000; Halliwell, 2004; Chassignet et al., 2007) to
 136 provide a dynamical interpolator of observation data in the assimilation system.
 137 HYCOM is a primitive equation general ocean circulation model with vertical
 138 coordinates: isopycnic coordinate in the open stratified ocean, the geopotential (or z)
 139 coordinate in the weak stratified upper ocean, and the terrain following
 140 sigma-coordinate in shallow coastal regions. The general equations and numerical
 141 algorithms of model in terms of three dimensions velocity field $\vec{u}(u, v, w)$, pressure p ,
 142 in situ density ρ and the conservation of temperature (θ) and salinity (S) are
 143 follows:

$$144 \quad \frac{\partial}{\partial t_s} \left(\frac{\partial p}{\partial s} \right) + \nabla_s \cdot \left(\vec{v} \frac{\partial p}{\partial s} \right) + \frac{\partial}{\partial s} \left(\frac{\partial s}{\partial t} \frac{\partial p}{\partial s} \right) = 0 \quad (1)$$

$$145 \quad \frac{\partial \vec{V}}{\partial t_s} + \nabla_s \cdot \frac{\vec{V}^2}{2} + (\xi + f) \vec{k} \times \vec{V} + \left(\frac{\partial s}{\partial t} \frac{\partial p}{\partial s} \right) \frac{\partial \vec{V}}{\partial p} + \nabla_s M - p \nabla_s \alpha =$$

$$-g \frac{\partial \bar{\tau}}{\partial p} + \left(\frac{\partial p}{\partial s} \right)^{-1} \nabla_s \cdot \left(\vartheta \frac{\partial p}{\partial s} \nabla_s \vec{V} \right) \quad (2)$$

$$146 \quad \frac{\partial}{\partial t_s} \left(\frac{\partial p}{\partial s} \theta \right) + \nabla_s \cdot \left(\vec{V} \frac{\partial p}{\partial s} \theta \right) + \frac{\partial}{\partial s} \left(\frac{\partial s}{\partial t} \frac{\partial p}{\partial s} \theta \right) =$$

$$\nabla_s \cdot \left(\vartheta \frac{\partial p}{\partial s} \nabla_s \theta \right) + \dot{h}_\theta \quad (3)$$

147 where p is pressure, s is the vertical coordinate, $\vec{V} = (u, v)$ is the horizontal velocity,



148 $\xi = \partial v / \partial x_s - \partial u / \partial y_s$ is relative vorticity, $M = gz + p\alpha$ is Montgomery function,
149 $\theta = gz$ is the gravitational potential, α is the specific volume; f is the Coriolis
150 parameter, \vec{k} is the unit vector in the vertical direction, \mathcal{G} is viscosity coefficient,
151 τ is the wind stress.

152 In this study, HYCOM was implemented in the Chinese shelf/coastal seas with a
153 horizontal resolution of $1/12^\circ \times 1/12^\circ$, and in the remaining regions with $1/8^\circ \times 1/8^\circ$, the
154 model domain is from 0°N to 53°N and from 99°E to 143°E , the detail model domain
155 and grid are shown in the inset panel of Fig.1. The vertical water column from the sea
156 surface to the bottom was divided into 22 levels. The K-Profile Parameterization
157 (KPP; Large et al., 1994), which has proved to be an efficient mixing
158 parameterization in many oceanic circulation models, was used here. The bathymetry
159 data of the model domain were taken from the 2-Minute Gridded Global Relief Data
160 (ETOPO2).

161 To adjust the model dynamics and achieve a perpetually repeating seasonal cycle
162 before applying the interannual atmospheric forcing, the model was initialized with
163 climatological temperature and salinity from the World Ocean Atlas 2001 (WOA01;
164 Boyer et al., 2005) and was driven by the Comprehensive Ocean-Atmosphere Data
165 Set (COADS; Woodruff et al., 1987) in the spin-up stage. After integrating ten model
166 years with climatological forcing, the model was forced by the European Center for
167 Medium-Range Weather Forecasts (ECMWF) 6-hourly reanalysis dataset (Uppala et
168 al., 2005) from 1997 to 2003. The wind velocity (10-m) components were converted
169 to stresses using a stability dependent drag coefficient from Kara et al. (2002).



170 Thermal forcing included air temperature, relative humidity and radiation (shortwave
171 and longwave) fluxes. Precipitation was also used as a surface forcing from Legates et
172 al. (1990). Surface latent and sensible heat fluxes were calculated using bulk formulae
173 (Han, 1984). Monthly river runoff was parameterized as a surface precipitation flux in
174 the ECS, the SCS and Luzon Strait (LS) from the river discharge stations of the
175 Global Runoff Data Centre (GRDC) (<http://www.bafg.de>), and scaled as in Dai et al.
176 (2002). Temperature, salinity and currents at the open boundaries were provided by an
177 India-Pacific domain HYCOM simulation at $1/4^\circ$ spatial resolution (Yan et al., 2007).
178 Surface temperature and salinity were relaxed to climate on a time scale of 100 days.
179 Both two-dimensional barotropic fields such as Sea Surface Height and barotropic
180 velocities, and three-dimensional baroclinic fields such as currents, temperature,
181 salinity and density were stored daily.

182 2.4 The assimilation scheme

183 The ensemble optimal interpolation scheme (EnOI; Oke et al., 2002), which is
184 regarded as a simplified implementation of the EnKF, aims at alleviating the
185 computational burden of the EnKF by using stationary ensembles to propagate the
186 observed information to the model space. The data assimilation schemes can be
187 briefly written as (Oke et al., 2010):

$$188 \quad \bar{\psi}^a = \bar{\psi}^b + K(\bar{d} - H\bar{\psi}^b) \quad (4)$$

$$189 \quad K = P^b H^T [HP^b H^T + R]^{-1} \quad (5)$$

190 where $\bar{\psi}$ is the model state vectors including model temperature, layer thickness and



191 velocity; Superscripts a and b denote analysis and background, respectively; \bar{d} is
192 the measurement vector that consists of SST and SLA observations; K is the gain
193 matrix; and H is the measurement operator that transforms the model state to
194 observation space; R is the measurement error covariance. In EnOI, Eq. 5 can be
195 expressed as:

$$196 \quad K = \varphi(\sigma \circ P^b) H^T [\varphi H(\sigma \circ P^b) H^T + R]^{-1} \quad (6)$$

197 where j is a scalar that can tune the magnitude of the analysis increment; σ is a
198 correlation function for localization; P^b is the background error covariance, which
199 can be estimated by

$$200 \quad P^b = A' A'^T / (n-1) \quad (7)$$

201 In Eq. 7, n is the ensemble size, A' is the anomaly of the ensemble matrix,
202 $A = (\psi_1, \psi_2, \dots, \psi_N) \in \mathfrak{R}^{n \times N}$ ($\psi_i \in \mathfrak{R}^N$ ($i = 1, \dots, n$)) is the ensemble members, N is the
203 dimension of the model state, representing usually the model variability at certain
204 scales by using a long-term model run or spin-up run. More detailed description and
205 evaluation of the CSCASS are in Li et al., (2010) and Xu et al., (2012).

206

207 **3. Results**

208 **3.1 Observations of two anticyclonic eddies in the NSCS**

209 In this study, we investigated two representative anticyclonic eddies in the NSCS,
210 one generated in the interior (named AE1) and another shed from the Kuroshio loop
211 (named AE2). The AE1 generated by interaction of the unstable rotating fluid with the
212 sharp topography of DIs (Wang et al., 2008) firstly appeared near DIs on the 10th of



213 December 2003 (see Fig. 3). Then it began to move southwestward with its amplitude
214 decreasing gradually. During the movement of AE1, another anticyclonic eddy (AE2)
215 was shed and developed from the loop current of Kuroshio near the Luzon Strait. The
216 amplitude of AE2 was then increased when it propagated southwestward (Fig. 3d-3f).
217 About five weeks later, AE2 reached its maximum in amplitude and then lasted
218 around three weeks in its mature state. During its decay phase, AE2 moved
219 southwestward quickly with its amplitude decreasing, and finally disappeared at the
220 location of 114°E, 18°N. In the meanwhile, AE1 continued moving to southwest and
221 eventually disappeared in southeastern of Hainan.

222 **3.2 The reproduction of these anticyclonic eddies in the NSCS**

223 In order to investigate whether the evolution and migration features of these two
224 eddies can be reproduced by the CSCASS or not, we firstly set up an assimilation
225 experiment named As_exp (see Table 1) for AE1 and AE2. In this experiment, the
226 observed SST and SLA are both assimilated into CSCASS at 3 days interval. To
227 enable dynamic adjustment, the first assimilation was performed on the 27th of
228 September 2003, two months prior to the generation of AE1. Figure 4 compares the
229 assimilating results of AE1 with the satellite remote sensing and trajectories of drifter
230 buoys number 22517, 22918 and 22610 between December 3rd 2003 and February
231 18th 2004. From Fig. 4 and Table 2, we can see that the generation and movement of
232 AE1 can be well reproduced by the CSCASS, with the pink curves (assimilation)
233 match well with those of black (satellite observations) and dotted lines (the
234 trajectories of drifter buoys). In addition, the spatial pattern of AE1 can also be well



235 revealed by the CSCASS: the meridional and zonal radii of AE1 detected by the
236 assimilation are 163 km and 93 km, which are almost equal to that of observations
237 (148 km and 79 km). The migration path of AE1 can also be well reproduced by the
238 CSCASS (see Fig. 4, black and pink line) until its amplitude decays to less than 8 cm.
239 In addition to AE1, the generation and evolution of AE2 are also evaluated. As shown
240 in Fig. 5, the evolution and propagation pathway of AE2 (Fig. 5b-5j), e.g., moving
241 firstly northwestward and then southwestward, can generally be reproduced by the
242 CSCASS, although its initial location shows a slight southward bias in the simulation
243 (Fig. 5a). Similar to the results of AE1, discrepancies between model and observations
244 become larger again during the decay phase of AE2.

245 In general, the comparison of assimilation SLA with that of satellite observation
246 and the trajectories of drifter buoys suggested that the generation, development and
247 the propagation of AE1 and AE2 can be reproduced by the CSCASS when their
248 amplitude greater than 8 cm. However, when their intensity is relatively weak, with
249 amplitudes less than 8 cm, the features of these two mesoscale eddies are not well
250 reproduced by the CSCASS. This may be related to the value setting of parameter α ,
251 the localization length scale, and insufficient spatial resolution of assimilating SSH or
252 the numerical model (Counillon and Bertino, 2009).

253 **3.3 The predictability of these anticyclonic eddies in the NSCS**

254 Since the generation, development and the propagation of AE1 and AE2 can be
255 well reproduced by the CSCASS when their amplitude > 8 cm, as mentioned above, in
256 this section we further use the CSCASS to investigate the predictability of these two



257 eddies. According to the generation, evolution and migration of these two eddies, we
258 designed six forecast experiments, hereafter referred to as Exp1 to Exp6 (see Table 1)
259 to investigate their predictability. The model's initial state prior to each of the six
260 forecast experiments is constrained by assimilating satellite SLA and SST beforehand.
261 Based on the initial state, each experiment is run forward 30 days with the forcing of
262 6-hourly wind, surface heat flux, and monthly mean river runoff, etc. The first
263 experiment, named Exp1, is applied on the 29th of November 2003, which tends to
264 study whether the generation of AE1 can be forecasted or not. Exp2 is implemented
265 on the 10th of December 2003 and is used to study whether the development and the
266 migration of AE1 can be forecasted. Exp3 is run based on the initial state on the 31th
267 of December 2003 and used to show whether the generation of AE2 and the continued
268 migration of AE1 can be forecasted. In order to investigate whether the continued
269 evolution of AE1 and AE2 can be forecasted, Exp4 is applied on the 21th of January
270 2003. Exp5 is set up to reveal whether the attenuation of AE1 and the evolution of
271 AE2 can be forecasted, while Exp6 which is applied on the 29th of February 2004 was
272 designed to find out whether the disappearance of AE1 and AE2 can be forecasted.

273 The prediction results of Exp1 are shown in Fig. 6. In Fig. 6a, we can see that the
274 forecast is almost coincident with the satellite observation and the trajectory of drift
275 buoys, indicating that the generated position of AE1 can be well forecasted by the
276 CSCASS. In addition, the initial migration of AE1 can also be forecasted by the
277 CSCASS (see Fig. 6a and 6f). In order to evaluate the forecasted amplitude of AE1,
278 the intensity, amplitudes of eddy centers between the observation and the forecast are



279 also quantified (Table 3: EXP1). From Table 3: EXP1, we can see that the amplitude
280 of forecasting matches well with that of observation, although its amplitude is slightly
281 larger than that of observation. After 4 weeks, the amplitude and intensity of the
282 forecast are still close to those of the observation, suggesting that the generation of
283 AE1 can be well predicted by the CSCASS.

284 In order to find out whether the development and movement path of AE1 can be
285 predicted after generation, we continue to carry out Exp2. As shown by the
286 observation (Fig. 7), AE1 moves southwestward along the continental shelf with its
287 amplitude decreasing and again increasing after its generation. This observed
288 southwestward movement is also predicted by the CSCASS (see pink closure curve in
289 Fig. 7a-7d), although a sudden southwestward movement cannot be well predicted
290 (Fig. 7f). In addition, the first attenuation and then enhancement of AE1 is also
291 predicted by the CSCASS (see Table 3 and Fig. 7b). On the whole, the development
292 and movement path of AE1 can be well predicted by CSCASS for the first four weeks
293 after its generation. After that, the errors between observation and prediction increase
294 significantly, and by the fifth week, the distance between the center of the prediction
295 and the observation become larger, more than 100 km (see Fig. 7e).

296 For further analysis, we carry out Exp3, to look at whether the continued
297 evolution of AE1 and the generation of AE2 can be predicted. This experiment is
298 carried out based on the initial condition of the assimilation on the 31st of December
299 2003. The development trend of AE1 can be predicted, but with a slightly weak
300 amplitude, as shown by the prediction (Fig. 8, Table 3). The observed center elevation



301 of AE1 reduced from 18 cm in the first week to 13 cm in the fifth week. Similar trend
302 was also found for the forecast but with its amplitude decreasing from 13 cm at the
303 beginning to 10 cm at the end of the forecast period. Although the decreasing trend of
304 AE1 amplitude is quite similar between the observations and forecast, their intensity
305 is slightly different. In addition, the movement path of AE1 cannot be accurately
306 predicted at this period, for instance, the observed AE1 moves directly to southwest
307 (see red solid line and solid circle in Fig. 8f), but the prediction's movement is firstly
308 toward northeast, then turns to southwest (see blue solid line and solid circle in Fig.
309 8f). The generation of AE2 cannot be predicted in Exp3, which may be related to the
310 lower amplitude (<8 cm) of AE2 at this period.

311 The purpose of Exp4 is to look at whether the evolution of AE1 and AE2 can
312 both be reasonably predicted. Since this experiment mainly focuses on the evolution
313 of AE2, thus Fig. 9 shows only the evolution of AE2 from the second week after
314 generation, that is, from the beginning on the 21st of January 2004 to the fifth week.
315 As shown in Fig. 9, Table 3 and Fig. 12d, the trends of amplitude variation of both
316 eddies can be well predicted with the decreasing of AE1 and slow increase of AE2.
317 For AE1, the results of the prediction and observation are very close in the first two
318 weeks, with the centers of the two almost coinciding. The central position of the
319 prediction and observation began to deviate after the third week. For AE2, although
320 the amplitude and movement path are not predicted well at its initial stage, the
321 prediction is slowly approaching to the observation during third to fifth week, and
322 distance between the center of the prediction and the observation is reduced from 132



323 km at the beginning to 81 km at the end (see Fig. 12d the black line).

324 As mentioned above, the purpose of Exp5 is to investigate whether the decay of
325 AE1 and the continued development of AE2 can be predicted. From Fig. 10, Table 3
326 and Fig. 12e, we can find that the CSCASS cannot predict the movement path of AE1
327 well in its decay stage: the distance between the center of the prediction and that of
328 the observation is greater than 188 km, and the direction of movement is not
329 consistent (see red lines and dots in Fig. 10f). But the evolution and direction of
330 movement of AE2 can be well predicted at this stage. The amplitude of observation
331 and prediction of AE2 is almost constant (Fig. 12e), although the speed of movement
332 of AE2 given by prediction is slower than that of observation (see green lines and dots
333 in Fig. 10f).

334 The aim of Exp6 is to find whether the disappearance of AE1 and AE2 can be
335 both predicted. As described in Fig. 11, the disappearance of AE1 cannot be well
336 predicted since the low amplitude (less than 8 cm) of AE1 at this stage. Similarly, the
337 disappearance of AE2 is also less accurately predicted by the CSCASS (Fig. 12f). The
338 amplitude of AE2 from the observation decays continually at this stage, but the
339 amplitude of the predicted almost keeps constant. In addition, there is large deviation
340 of the direction of movement between prediction and observation for AE2 (see the red
341 solid line and dot in Fig. 11f).

342

343 **4. Conclusions and challenges for forecasting of mesoscale eddy**



344 In this paper, the reproduction and predictability of two representative
345 anticyclonic eddies, which have been observed in the NSCS, are investigated by a
346 series of assimilation and prediction experiments based on a Chinese Shelf/Coastal
347 Seas Assimilation System (CSCASS), along with the observations from surface
348 drifter trajectory and satellite remote sensing.

349 Quantitative and qualitative analyses of assimilation with the observations from
350 satellite remote sensing and drifter buoys shown that the generation and movement of
351 AE1 can be well reproduced by the CSCASS. In addition, the spatial pattern of AE1 is
352 also well reproduced by the CSCASS: the meridional and zonal radii of AE1 detected
353 by the assimilation (163 km and 93 km) are almost equivalent to that of observations
354 (148 km and 79 km). At the same time, the migration path of AE1 is well reproduced
355 by the CSCASS until its amplitude decays to less than 8 cm. In addition to AE1, the
356 evolution and propagation of AE2: moves firstly northwestward and then
357 southwestward, are well reproduced by the CSCASS, although large discrepancies
358 between model and observations are seen during its generation and decaying periods.

359 The comparisons of AE1 and AE2 from six predicted experiments with
360 observations show that the generation, evolution and movement path of these two
361 eddies with high amplitude (>8 cm) can be well predicted by the CSCASS, although
362 their generative mechanisms are quite different. The generated position and initial
363 migration of AE1 are well forecasted by the CSCASS, with amplitude matching well
364 with that of observation. The southwestward movement of AE1 along the continental
365 shelf with its amplitude decreasing and again increasing after its generation are also



366 predicted by the CSCASS. In addition, the first attenuation and then enhancement of
367 AE1 are well predicted by the CSCASS. On the whole, the development and
368 movement path of AE1 can be well predicted by CSCASS for the first four weeks
369 after its generation. After that, the errors between observation and prediction increase
370 significantly and by the fifth week, the distance between the prediction center and
371 that of observation become large and more than 100 km. The generation of AE2
372 cannot be predicted. This may be related to the lower amplitude (<8 cm) at this period.
373 The slow increase of AE2 from the second week after generation can be predicted,
374 with the prediction slowly approaching to the observation. During third to fifth week,
375 the amplitude of prediction of AE2 is almost equivalent to that of observation,
376 although the movement speed of the prediction is slower than that of observation.

377 In general, analyses of these two representative anticyclonic eddies in the NSCS
378 shown that generation, development and propagation of AE1 and AE2 can be well
379 reproduced and predicted by the CSCASS when their amplitude >8 cm. In contrast,
380 when their intensities are less than 8 cm, the generation and decay of these two
381 mesoscale eddies cannot be well reproduced and predicted by the system.

382 Since the mesoscale eddies are related to strong nonlinear processes and are not a
383 deterministic response to atmospheric forcing, the reproduction and predictability of
384 mesoscale eddies may depend mainly on the initial conditions of predicted system. In
385 addition, since the dynamical mechanisms of mesoscale eddies are quite different as
386 mentioned above, thus the ability of the ocean numerical model to represent the
387 physics and dynamics for mesoscale eddies is also crucial. Although data assimilation,



388 which combines observations with the numerical model, can provide good initial
389 conditions, it cannot make up the limitations of numerical model in numerical
390 algorithms and in its resolution. For a high-resolution operational oceanography, the
391 latter means that the numerical models need to be improved using more accurate
392 numerical algorithms especially in the weakly stratified regions or on the continental
393 shelf. So far most of the information about the ocean variability is mainly obtained
394 from satellites (SSH and SST), the information about the subsurface variability are
395 very rare. Although a substantial source of subsurface data is provided by the vertical
396 profiles (i.e., expendable bathy thermographs, conductivity temperature depth, and
397 Argo floats), the datasets are still not sufficient to determine the state of the ocean. In
398 addition, in order to accurately assimilate the SSH anomalies from satellite altimeter
399 into the numerical model, it needs to know the oceanic mean SSH over the time
400 period of the altimeter observations (Xu et al., 2011; Rio et al., 2014). This is also a
401 big challenge because the earth's geoid is not presented with sufficient spatial
402 resolution when assimilating SSH in an eddy-resolving model. The future mission of
403 surface water and ocean topography (SWOT) launched in 2020 will help to resolve
404 and forecast the mesoscale features in eddy resolving ocean forecasting systems.

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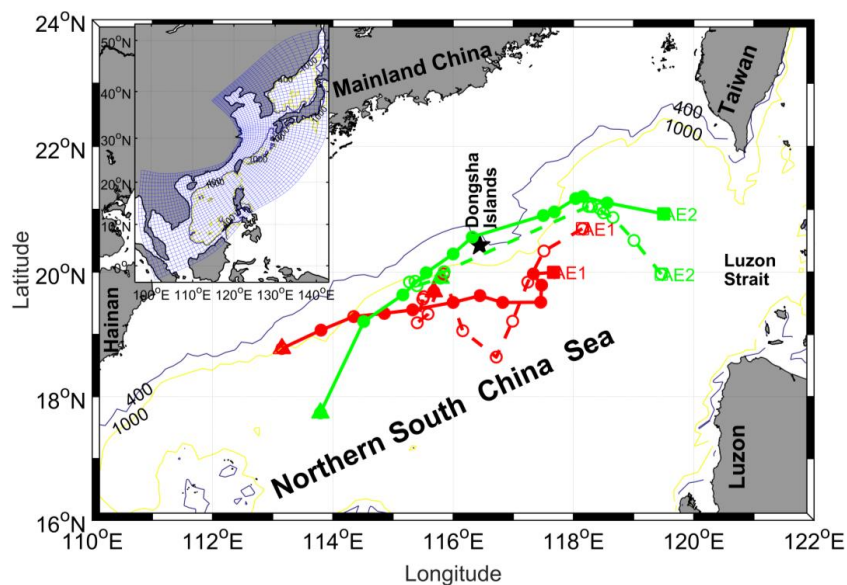
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529 **Figures:**

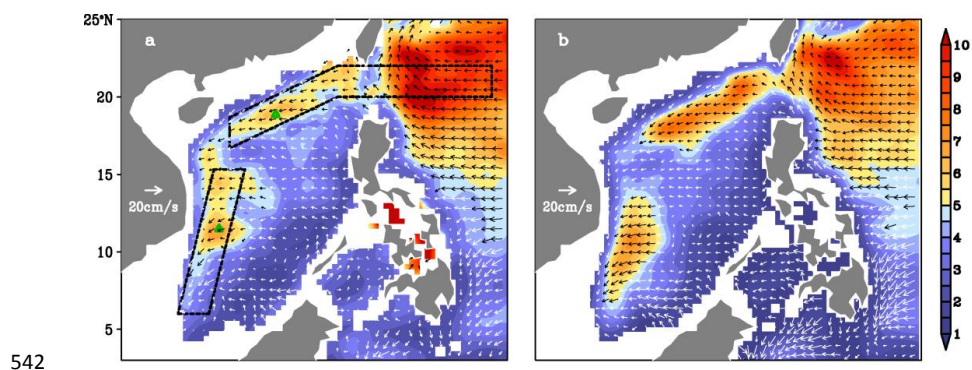


530

531 Fig. 1 Bathymetry of the northern South China Sea. The blue and yellow contour lines
532 are the isolines of 400 m and 1000 m. The solid black Pentagram indicated Dongsha
533 Islands. Red solid (hollow) circle dots and solid (dash) lines indicated weekly passing
534 position and migration path of observation (assimilation) AE1. Green solid (hollow)
535 circle dots and solid (dash) lines indicated weekly passing position and migration path
536 of observation (assimilation) AE2. The quadrangle and triangle denoted start and end
537 position, respectively. The model domain of CSCSS (the inset panel), the curvilinear
538 orthogonal model grid with 1/8-1/12° horizontal resolution (147×430) is denoted by
539 the blue grid (at intervals of 10 grid cells here).

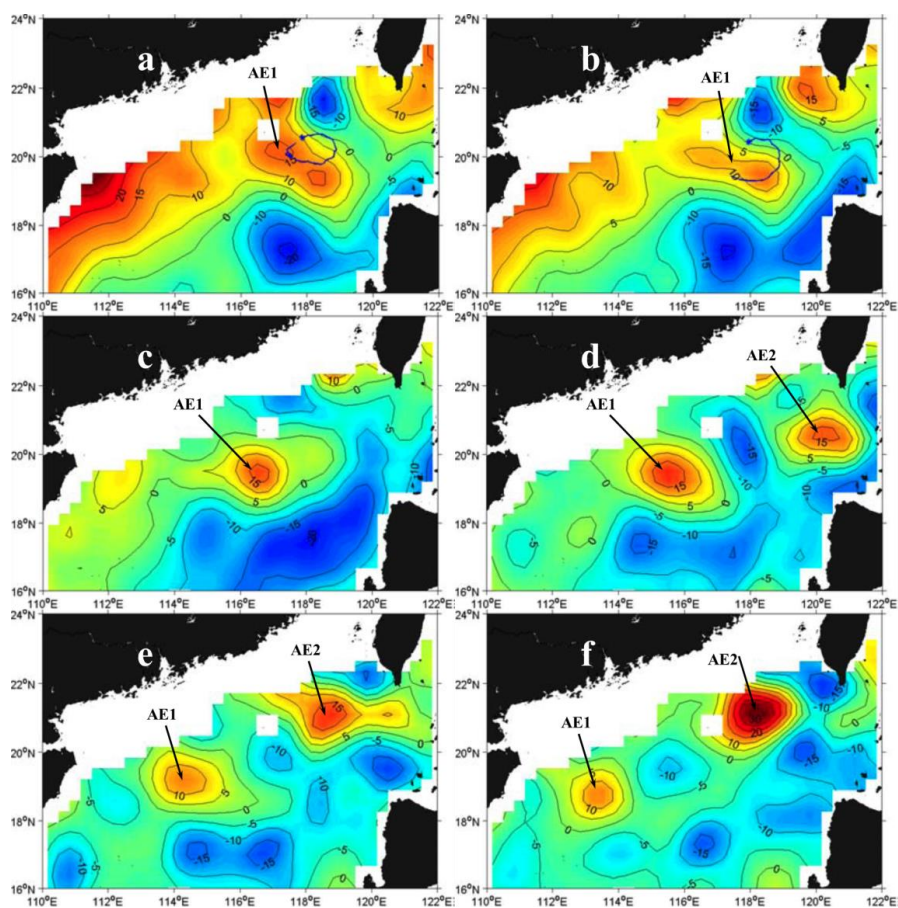
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543 Fig. 2 Annual mean standard deviation of sea level mesoscale signals (color shading,
544 unit: cm) and propagation velocities of the signals (vectors) derived from (a) altimeter
545 observations; (b) OFES (OGCM for the Earth Simulator) simulations From Zhuang et
546 al. (2010).

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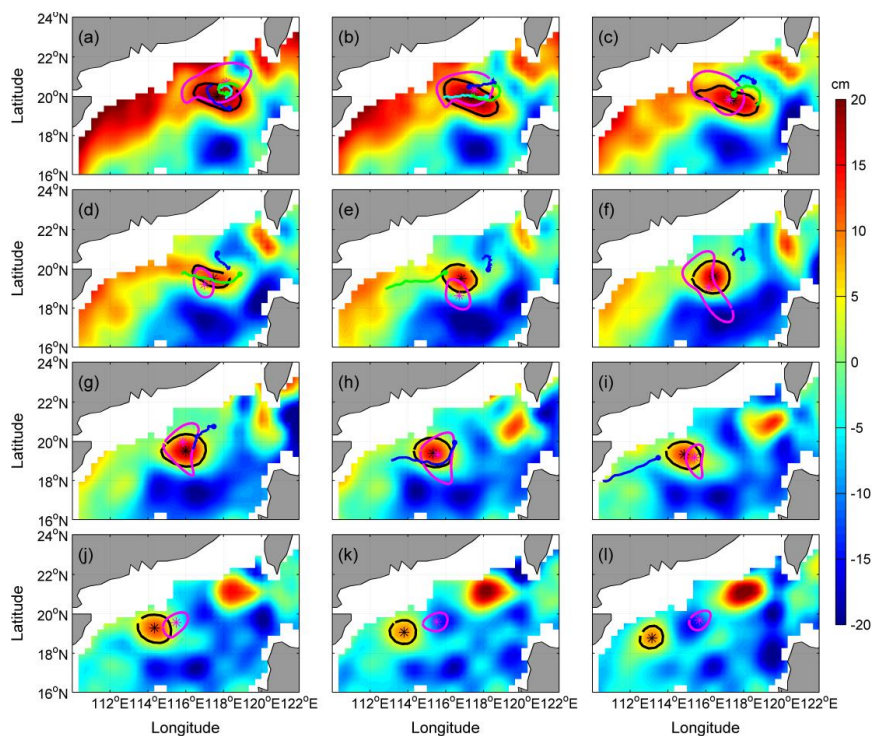


548

549 Fig. 3 Snapshots of SLA from satellite remote sensing datasets. Buoy 22918 trajectory
550 (blue lines, blue asterisk represents the initial position of buoy, as in Fig. 4) (a) from
551 December 4–15, 2003 superposed on SLA field on December 10, 2003; (b) from
552 December 16–23, 2003 superposed on SLA field on December 17, 2003; SLA field
553 on (c) January 7, 2004; (d) January 21, 2004; (e) February 4, 2004; (f) February 18,
554 2004. From Wang et al. (2008).

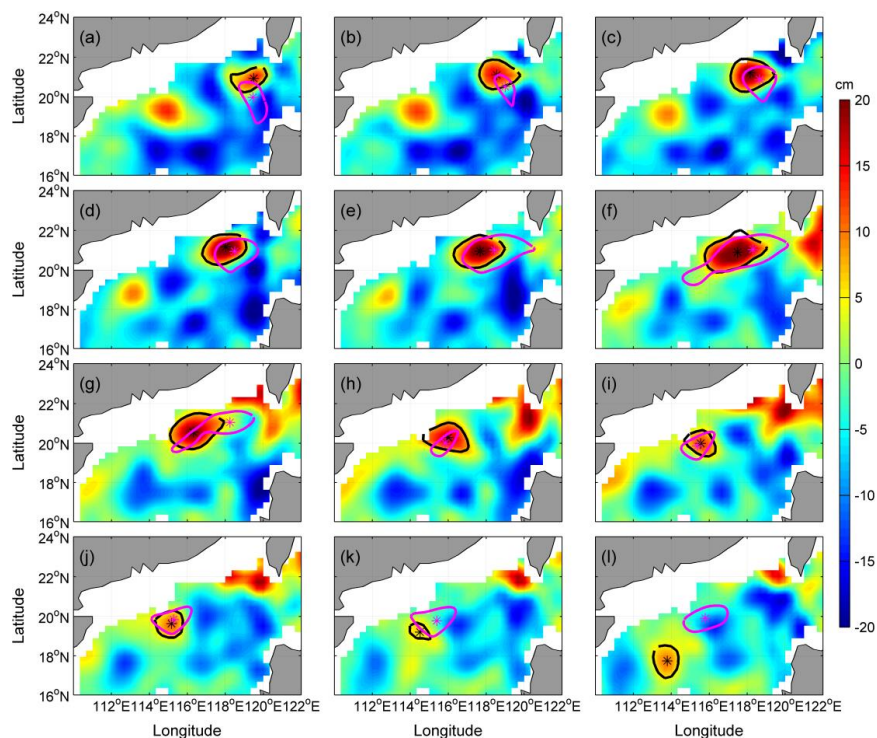
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557

558 Fig. 4 Comparisons of AEI derived from weekly SLA of assimilation results and
 559 observation from satellite remote sensing during the period of December
 560 2003~February 2004. Background color is SLA, “*” mark and closed lines indicated
 561 the center position and the outermost closed isoline of AEI, respectively, the black is
 562 from satellite observation SLA, the pink is from assimilation SLA. The cyan, green
 563 and blue solid circle lines indicated the start positions and trajectories of drifter buoys
 564 numbered 22517, 22918 and 22610, respectively. (a)-(l) is SLA on the 3rd of
 565 December 2003 to 18th of February 2004, respectively. Unit: cm.



566

567 Fig. 5 The same as figure 4, But for AE2, the corresponding period is January 28th,
 568 2003 to April 14th, 2003.

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571

572 **Tables:**

573 Table 1 The settings of assimilation and six forecast experiments, including the start
 574 and end date, the assimilation strategy of each experiment.

Name	Start Date	End Date	Data Assimilated
As_exp	27/09/2003	02/05/2004	SST+SLA every 3 days
Exp1	29/11/2003	29/12/2003	SST+SLA at first day
Exp2	10/12/2003 (DAY0)	09/01/2004	SST+SLA at first day
Exp3	31/12/2003	30/01/2004	SST+SLA at first day
Exp4	21/01/2004	20/02/2004	SST+SLA at first day
Exp5	08/02/2004	09/03/2004	SST+SLA at first day
Exp6	29/02/2004	30/03/2004	SST+SLA at first day



575

576 Table 2 The intensity and amplitude of AE1 and AE2 derived from observation SLA
577 and the assimilation SLA, and distance of eddy centers between the observation
578 SLA's and assimilation SLA's.

Weekly		1	2	3	4	5	6	7	8	9	10	11	12	
A E 1	Distance (km)	94	4	2	6	9	7	5	3	6	13	19	29	
	Amplitude (cm)	Observed	22	2	1	1	1	1	1	1	1	13	10	10
		Assimilate	29	2	2	1	1	1	1	1	1	10	8	7
	Intensity (cm)	Observed	8	1	9	4	8	1	1	1	8	8	4	6
Assimilate		18	1	1	6	5	4	5	6	2	3	3	2	
A E 2	Distance (km)	10	8	6	5	8	9	2	3	2	26	11	32	
	Amplitude (cm)	Observed	14	1	2	2	2	2	2	1	1	11	6	10
		Assimilate	8	1	1	1	2	1	1	1	1	15	12	11
	Intensity (cm)	Observed	7	1	1	1	1	1	1	1	7	6	N/	6
Assimilate		3	2	5	6	1	8	4	8	9	4	5	6	

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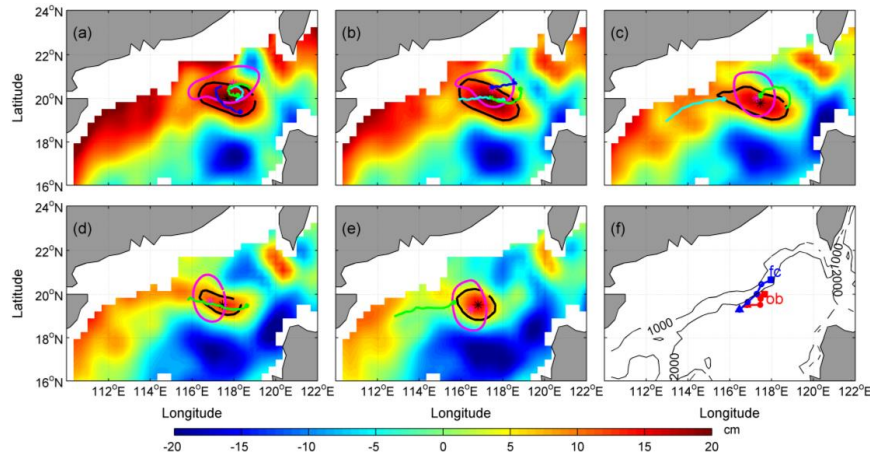
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582

583 Table 3 The intensity of AE1 and AE2 derived from observation SLA and the six
584 forecast SLA, and distance of eddy centers between the observation SLA's and
585 forecast SLA's.

Weekly			1	2	3	4	5	
Exp1	Intensity (cm)	Observed	8	10	9	8	8	
		Forecasted	14	12	14	11	12	
Exp2	Intensity (cm)	Observed	10	9	4	8	13	
		Forecasted	12	11	6	8	10	
Exp3	Intensity (cm)	Observed	13	13	11	8	8	
		Forecasted	2	3	3	3	N/A	
Exp4	AE1	Intensity (cm)	Observed	11	8	8	4	6
		Forecasted	4	2	2	2	N/A	
	AE2	Intensity (cm)	Observed	N/A	N/A	13	18	17
		Forecasted	N/A	N/A	N/A	6	9	
Exp5	AE1	Intensity (cm)	Observed	4	6	2	N/A	N/A
		Forecasted	2	2	2	2	2	
	AE2	Intensity (cm)	Observed	18	17	17	17	14
		Forecasted	5	7	6	6	9	
Exp6	AE2	Intensity (cm)	Observed	16	16	12	7	6
		Forecasted	7	9	6	4	6	

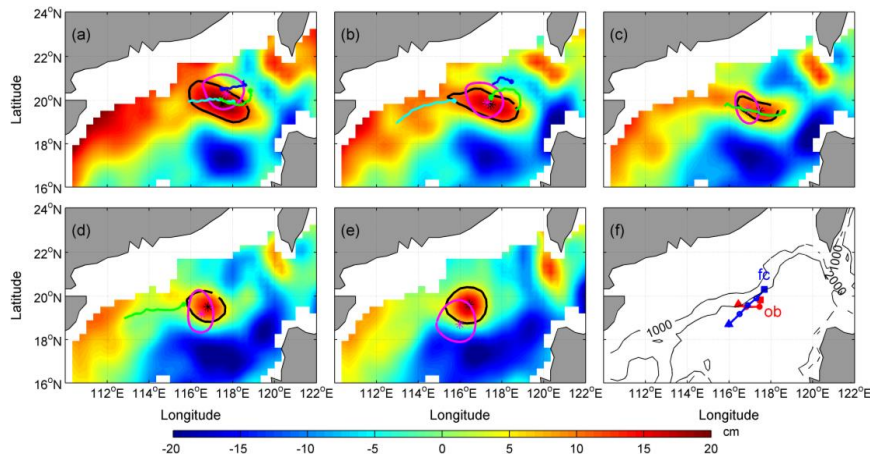
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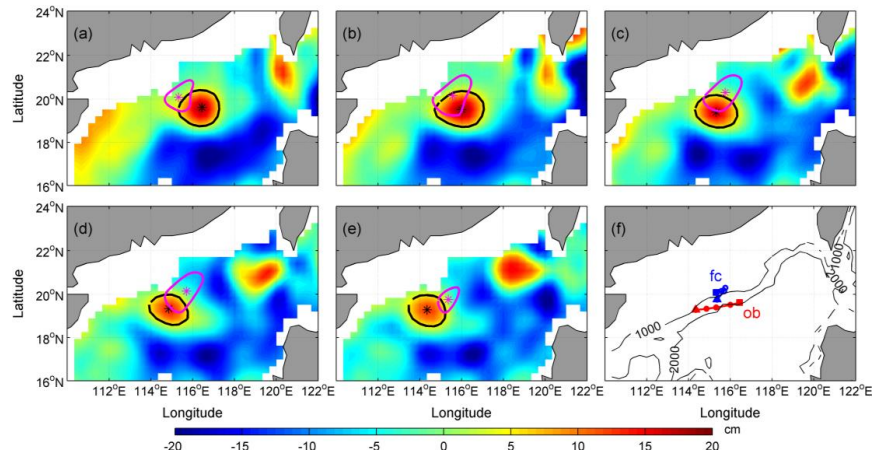
588 Fig. 6 Comparison of AE1 of Exp1 and observation, and trajectories of drifter
589 buoys during the 29th of November 2003 to 29th of December 2004. The cyan, green and
590 blue solid circle dots and lines indicated the start positions and trajectories of drifter
591 buoys numbered 22917, 22918 and 22610 during the corresponding period,
592 respectively. Where, the red (blue) dotted line in (f) is the moving path of AE1 derived
593 from observation (forecast) SLA during the experiment period.

594



595

596 Fig. 7 Same as figure 6, but for Exp2, the experiment period is the 10th of December
597 2003 to the 9th of January 2004.

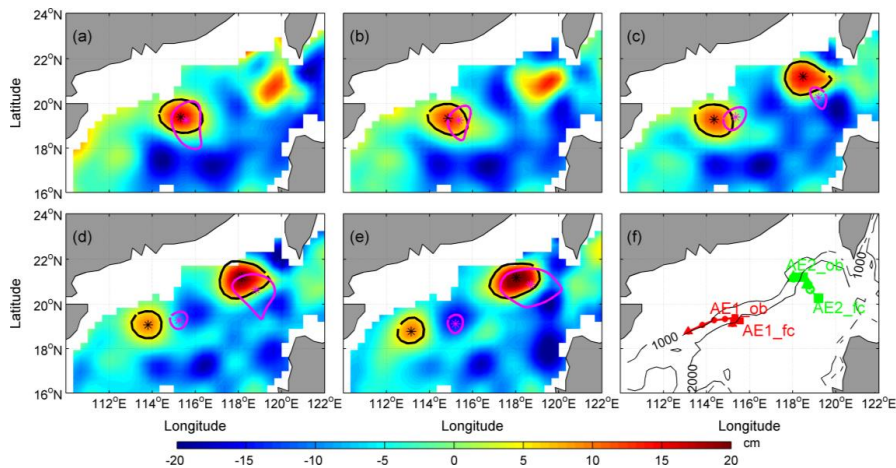


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599 Fig. 8 Same as figure 7, but for Exp3, the experiment period is the 31st of December
 600 2003 to the 30th of January 2004.

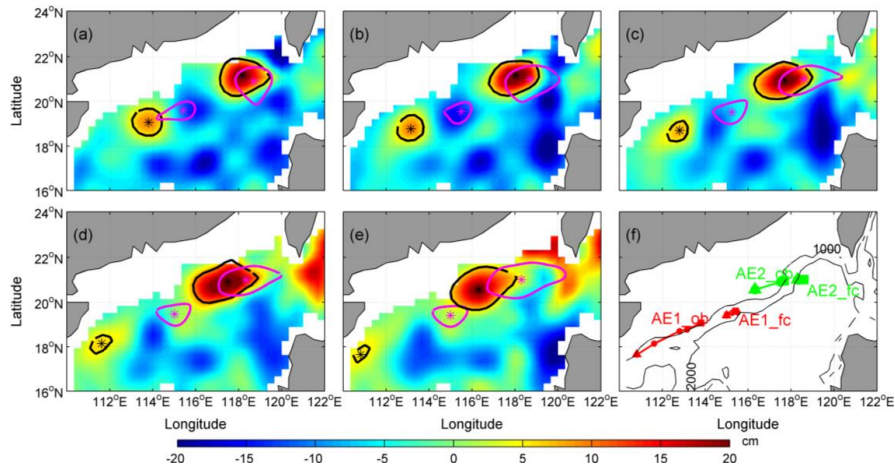
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604 Fig. 9 Same as figure 8, but for Exp4, where, the red (green) dotted line in (f) is the
 605 moving path of AE1 (AE2), the red solid lines and circle dots derived from
 606 observation SLA, the green dash line and hollow circle dots derived from forecast
 607 SLA during the 21st of January 2004 to the 20th of February 2004.

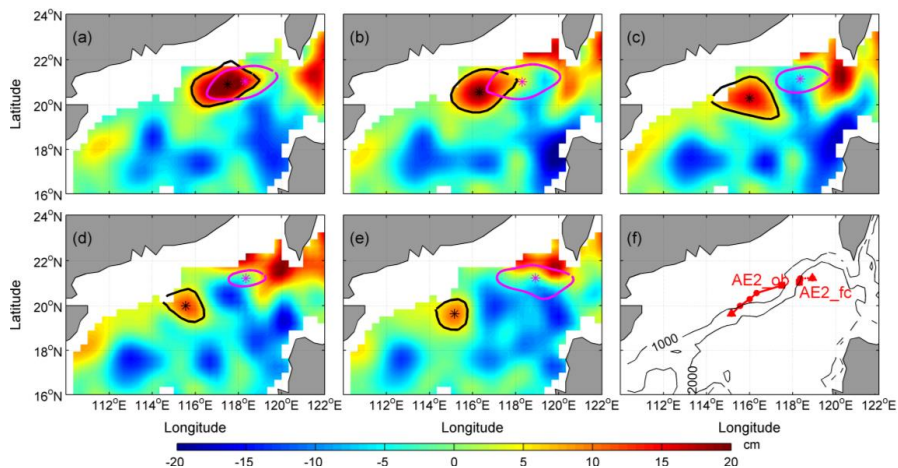


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609 Fig. 10 Same as figure 9, but for Exp5, the experiment period is the 8th of February
610 2004 to the 10th of March 2004.

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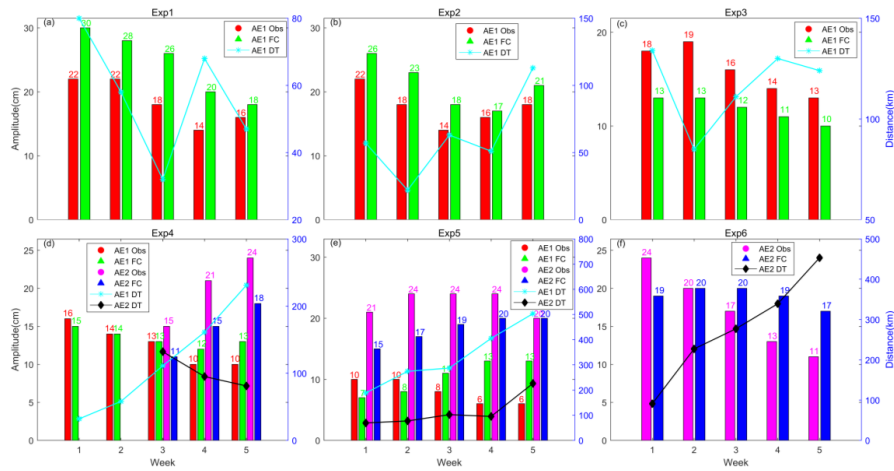


613

614 Fig. 11 Same as figure 9, but for Exp6 and AE2, the experiment period is the 29th of
615 February 2004 to the 30th of March 2004.

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618

619 Fig. 12 The amplitude of AE1 and AE2 derived from observation SLA and the six
 620 forecast SLA, and distance of eddy centers between the observation SLA's and
 621 forecast SLA's. The red and green histograms indicated the amplitude of observation
 622 and prediction AE1. The pink and blue histograms expressed the amplitude of
 623 observation and prediction AE2. The cyan star line shows the distance of the center
 624 between observation and prediction AE1. The black diamond line shows the distance
 625 of the center between observation and prediction AE2.

626

627