Could the two anticyclonic eddies during winter 2003/2004 be reproduced and predicted in the northern south China sea?

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Abstract

Great progress has been made in understanding the mesoscale eddies and their role on the large-scale structure and circulation of the oceans. However, many questions still remain to be resolved, especially with regard to the reproductivity and predictability of mesoscale eddies. In this study, the reproductivity and predictability of mesoscale eddies in the Northern SCS (NSCS), a region with strong eddy activity, are investigated with a focus on two typical anticyclonic eddies (AE1 and AE2) based on a HYCOM-EnOI Assimilated System. The comparisons of assimilated results and observations suggest that generation, evolution and propagation paths of AE1 and AE2 can be well reproduced and forecasted when the observed amplitude >8 cm (or the Advective nonlinearity parameter $U/c > 2$), although their forcing mechanisms are quite different. However, when their amplitudes are less than 8 cm, the generation and decay of these two mesoscale eddies cannot be well reproduced and predicted by the system. This result suggests, in addition to dynamical mechanisms, the spatial resolution of assimilation observation data and numerical models must be taken into account in reproducing and predicting mesoscale eddies in the NSCS.

Keywords: HYCOM; EnOI; Northern South China Sea; Mesoscale eddy; Predictability

1. Introduction

Equivalent to the synoptic variability of the atmosphere, the ocean mesoscale eddies is often described as the "weather" of the ocean, with typical spatial scales of ~100 km and time scales of a month (Chelton et al., 2011; Liu et al., 2001; Wang et al., 1996). The mesoscale eddy is characterized by temperature and salinity anomalies with associated flow anomalies, exhibiting different properties to their surroundings, thus allowing them to control the strength of mean currents and to transport heat, salt, and biogeochemical tracers around the ocean. Although today, the beauty and complexity of these mesoscale features can be seen by viewing high resolution satellite images or numerical model simulations (Yang et al., 2000; Fu et al., 2010; Morrow and Le Traon, 2012; Frenger et al., 2013), the operational forecasts of the mesoscale eddy still pose a big challenge because of its complicated dynamical mechanisms and high nonlinearity (Woodham, et al. 2015; Treguier, et al. 2017; Vos et al. 2018). A recent example is the explosion of the Deepwater Horizon drilling platform in the northern Gulf of Mexico in 2010 where an accurate prediction of the position and propagation of the Loop Current eddy was essential in determining if the spilled oil would be advected to the Atlantic Ocean or still remain within the Gulf (Treguier et al., 2017).

Similar to Gulf of Mexico, the South China Sea (SCS) is also a large semi-closed marginal sea in the northwest Pacific, connecting to the western Pacific through the Luzon Strait (Fig. 1). Forced by seasonal monsoon winds, the intrusion of Kuroshio Current (KC), the Rossby waves and the complex topography, the SCS, especially the Northern SCS (NSCS) exhibits a significantly high mesoscale eddy activity (Fig. 2).

Many studies have tried to investigate the mesoscale eddy in the NSCS (Wang et al., 2003; Jia et al., 2005; Wang et al., 2008). Based on the potential vorticity conservation equation and in-situ survey data, Yuan and Wang (1986) pointed out that the bottom topography forcing might be the primary factor for the formation of anticyclonic eddies in the northeast of Dongsha Islands (DIs). Using survey CTD data in September 1994, Li et al. (1998) recorded the evidence of anticyclonic eddies in the NSCS and suggested these anticyclonic eddies are probably shed from the KC. Investigations by Wu et al. (2007) showed that westward propagating eddies in the NSCS originate near the Luzon Strait rather than coming from the western Pacific. Based on the altimeter, the trajectory of drift and hydrological observations data, Wang et al. (2008) studied the evolution and migration of two anticyclonic eddies in the NSCS during winter of 2003/2004. As they described, the AE1 generated by interaction of the unstable rotating fluid with the sharp topography of DIs firstly appeared near DIs on the 10th of December 2003 (see Fig. 3). Then it began to move southwestward with its amplitude decreasing gradually. During the movement of AE1, another anticyclonic eddy (AE2) was shed and developed from the loop current of Kuroshio near the Luzon Strait on the 14th of January 2004. The amplitude of AE2 was then increased when it propagated southwestward (Fig. 3d-3f). About five weeks later, AE2 reached its maximum in amplitude and then lasted around three weeks in its mature state. During its decay phase, AE2 moved southwestward quickly with its amplitude decreasing, and finally disappeared at the location of 114°E, 18°N on the 7th of April 2004. In the meanwhile, AE1 continued moving to southwest and eventually disappeared in the southeastern of Hainan. These

64 studies improved our understanding of activities of mesoscale eddy and its possible

65 dynamical mechanisms in the NSCS.

66   Despite the studies on the activities and its possible dynamical mechanisms of

67 mesoscale eddies in the NSCS have received much attention in past decades, studies on

68 the reproductivity and predictability of mesoscale eddies in the NSCS are still rare. As

69 mentioned above, mesoscale eddies are not only related to complicated dynamical

70 mechanisms but also involve strong nonlinear processes (Oey et al., 2005) thus they are

71 not a deterministic response to atmospheric forcing. The quality of mesoscale eddies

72 forecasting will depend primarily on the quality of the initial conditions. Ocean data

73 assimilation, which combines observations with the numerical model, can provide more

74 realistic initial conditions and thus is essential for the prediction of mesoscale eddies

75 (Xiao et al. 2006; Xie et al. 2011; Xu et al. 2012; Xie et al. 2018). In this study, we

76 assessed the reproduction and predictability of two typical anticyclonic eddies in the

77 NSCS with focus on their generation, evolution and decay processes by a series of

78 numerical experiments based on a Chinese Shelf/Coastal Seas Assimilation System

79 (CSCASS; Li, 2009; Li et al., 2010; Zhu, 2011) along with the observation data from

80 surface drifter trajectory and satellite remote sensing.

81 **2. Datasets and Methodologies**

82 **2.1 Datasets**

83   In this study, the altimetric data between 2003-2004, which includes along-track

84 SLA, totally 29 passes (about 9300 points) over the domain of CSCS was selected.

85 Considering the noise of SLA measurement in the shallow seas, data for the shallow

86 areas with depth<400 m was excluded. In order to verify, the merged SLA based on

87 Jason-1, TOPEX/Poseidon, ERS-2 and ENVISAT (Ducet et al., 2000) provided by

88 Archiving, Validation and Interpretation of Satellites Oceanographic data (AVISO) at

89 Centre Localization Satellite (CLS, ftp://ftp.aviso.oceanobs.com/global/nrt/) with 1/4°

90 x 1/4° resolution and weekly average are used. In addition, because the SLA present

91 only the anomalies relative to a time-mean sea level field, thus a new mean dynamic

92 topography (nMDT), which has been corrected using iterative method by Xu et al.

93 (2012) was used to calculate the realistic sea level in this study.

94 In addition to SLA datasets, the daily OISST from the National Oceanic and

95 Atmospheric Administration's (NOAA) National Climatic Data Center

96 (ftp://eclipse.ncdc.noaa.gov/pub/OI-daily-v2/NetCDF/), which was merged by an

97 optimum interpolation method (Reynolds et al., 2007) based on the Infrared SST

98 collected by the Advanced Very High Resolution Radiometer sensors on the NOAA

99 Polar Orbiting Environmental Satellite and SST from Advanced Microwave Scanning

100 Radiometer for the Earth Observing System are also used. The daily OISST's biases

101 were fixed using in situ data from ships and buoys. The dataset between 2003 and 2004

102 was used in this study, with a spatial resolution of $1/4° \times 1/4°$. In addition, the surface

103 drifting buoy data from the World Ocean Circulation Experiment (WOCE,

104 ftp://ftp.aoml.noaa.gov/pub/phod/buoydata/) are also used. A total of 3 drifters designed

105 to drift at the surface within the upper 15 m and tracked by the ARGOS satellite system.

106 Positions of the drifters were smoothed using a Gaussian-filter scale of 24 h to eliminate

107   tidal and inertial currents, and were subsampled at 6-h intervals (Hamilton et al., 1999).

108   **2.2 Method of identify the mesoscale eddies**

109   Similar to the standard of Chelton et al., (2011) and Cheng et al., (2005), we identify

110   the mesoscale eddies in this study is as follows: 1) there must be a closure contour on

111   the merged SLA; 2) there must have one maximum or minimum inside the area of

112   closure contour for anticyclonic or cyclonic eddy; 3) the difference between the

113   extremum and the outermost closure of SLA, that is, the amplitude of the mesoscale

114   eddy must be greater than 2 cm; and 4) the spatial scale of the eddy should be 45-500

115   km. In addition, the amplitude (A) of an eddy is defined here to be the magnitude of the

116   difference between the estimated basal height of the eddy boundary and the extremum

117   value of SSH within the eddy interior: $A=|h_{ext}-h_0|$.

118   **2.3 Ocean model**

119   We here used a three-dimensional hybrid coordinate ocean model (HYCOM; Bleck,

120   2002; Halliwell et al., 1998; 2000; Halliwell, 2004; Chassignet et al., 2007) to provide

121   a dynamical interpolator of observation data in the assimilation system. HYCOM is a

122   primitive equation general ocean circulation model with vertical coordinates: isopycnic

123   coordinate in the open stratified ocean, the geopotential (or z) coordinate in the weak

124   stratified upper ocean, and the terrain following sigma-coordinate in shallow coastal

125   regions.

126   In this study, HYCOM was implemented in the Chinese shelf/coastal seas with a

127   horizontal resolution of $1/12°×1/12°$, and in the remaining regions with $1/8°×1/8°$, the

128   model domain is from 0°N to 53°N and from 99°E to 143°E, the detail model domain

129 and grid can refer to the inset panel of Fig.1. The vertical water column from the sea

130 surface to the bottom was divided into 22 levels. The K-Profile Parameterization (KPP;

131 Large et al., 1994), which has proved to be an efficient mixing parameterization in many

132 oceanic circulation models, was used here. The bathymetry data of the model domain

133 were taken from the 2-Minute Gridded Global Relief Data (ETOPO2).

134   To adjust the model dynamics and achieve a perpetually repeating seasonal cycle

135 before applying the interannual atmospheric forcing, the model was initialized with

136 climatological temperature and salinity from the World Ocean Atlas 2001 (WOA01;

137 Boyer et al., 2005) and was driven by the Comprehensive Ocean-Atmosphere Data Set

138 (COADS; Woodruff et al., 1987) in the spin-up stage. After integrating ten model years

139 with climatological forcing, the model was forced by the European Center for Medium-

140 Range Weather Forecasts (ECMWF) 6-hourly reanalysis dataset (Uppala et al., 2005)

141 from 1997 to 2003. The wind velocity (10-m) components were converted to stresses

142 using a stability dependent drag coefficient from Kara et al. (2002). Thermal forcing

143 included air temperature, relative humidity and radiation (shortwave and longwave)

144 fluxes. Precipitation was also used as a surface forcing from Legates et al. (1990).

145 Surface latent and sensible heat fluxes were calculated using bulk formulae (Han, 1984).

146 Monthly river runoff was parameterized as a surface precipitation flux in the ECS, the

147 SCS and Luzon Strait (LS) from the river discharge stations of the Global Runoff Data

148 Centre (GRDC) (http://www.bafg.de), and scaled as in Dai et al. (2002). Temperature,

149 salinity and currents at the open boundaries were provided by an India-Pacific domain

150 HYCOM simulation at 1/4° spatial resolution (Yan et al., 2007). Surface temperature

151 and salinity were relaxed to climate on a time scale of 100 days. Both two-dimensional

152 barotropic fields such as Sea Surface Height and barotropic velocities, and three-

153 dimensional baroclinic fields such as currents, temperature, salinity and density were

154 stored daily.

2.4 The assimilation scheme

156   The ensemble optimal interpolation scheme (EnOI; Oke et al., 2002), which is

157 regarded as a simplified implementation of the Ensemble Kalman Filter (EnKF), aims

158 at alleviating the computational burden of the EnKF by using stationary ensembles to

159 propagate the observed information to the model space. The data assimilation schemes

160 can be briefly written as (Oke et al., 2010):

$$\vec{\psi}^a = \vec{\psi}^b + K(\vec{d} - H\vec{\psi}^b) \tag{4}$$

$$K = P^b H^T [HP^b H^T + R]^{-1} \tag{5}$$

163 where $\vec{\psi}$ is the model state vectors including model temperature, layer thickness and

164 velocity; Superscripts a and b denote analysis and background, respectively; $\vec{d}$

165 is the measurement vector that consists of SST and SLA observations; K is the gain

166 matrix; and H is the measurement operator that transforms the model state to

167 observation space. P is the background error covariance and R is the measurement

168 error covariance. In EnOI, Eq. 5 can be expressed as:

$$K = \alpha(\sigma \circ P^b)H^T[\alpha H(\sigma \circ P^b)H^T + R]^{-1} \tag{6}$$

170 where α is a scalar that can tune the magnitude of the analysis increment; σ is a

171 correlation function for localization; and P^b is the background error covariance which

172   can be estimated by

$$P^b = A'A'^T /(n-1) \qquad (7)$$

174   In Eq. 7, n is the ensemble size, A' is the anomaly of the ensemble matrix,

175   $A = (\psi_1, \psi_2, \cdots, \psi_N) \in \Re^{n \times N}$ ($\psi_i \in \Re^N (i = 1, \cdots, n)$ is the ensemble members, N is the

176   dimension of the model state, representing usually the model variability at certain scales

177   by using a long-term model run or spin-up run. More detailed description and

178   evaluation of the CSCASS in Li et a., (2010) and Xu et al., (2012).

179

180   **3. Results**

181   **3.1 The reproduction of these anticyclonic eddies in the NSCS**

182   In order to investigate whether the evolution and migration features of these two

183   eddies can be reproduced by the CSCASS or not, we firstly set up an assimilation

184   experiment named As_exp (see Fig. 4, black line) for AE1 and AE2. In this experiment,

185   the observed SST and SLA are both assimilated into CSCASS at an equal interval of

186   every 3 days. To meet dynamic adjustment, the first assimilation was performed on the

187   27th of September 2003, two months prior to the generation of AE1.

188   Base on the As_exp experiment output, we use the observations SLA to evaluate

189   the uncertainty of CSCASS in the eddies area. In this study, we calculated the weekly

190   mean RMS error (RMSE) of the As_exp /control experiments output and observations

191   for SLA. As the result indicates, the RMSE for the As_exp is between 6 cm to 14 cm,

192   while RMSE for the control is between 10 cm to 18 cm. This result suggested that data

193   assimilation improved effectively the SLA field and had a beneficial impact on model

194   results in this area.

195   In addition, we also use the advective nonlinearity parameter (Chelton et al., 2011;

196   Li et al., 2014; 2015; 2016; Wang et al., 2015) as a criterion to estimate the eddy forecast

197   ability of the CSCASS. As fig. 5 shows, when the advective nonlinearity parameter

198   greater than 2 (that is the amplitude greater than 8 cm) AE2 can be well reproduced by

199   the CSCASS.

200   Besides, we also use the independent evaluation, figure 6 compared the

201   assimilating results of AE1 with the observations both from the satellite remote sensing

202   and drifter buoys trajectories of number 22517, 22918 and 22610 between December

203   3rd 2003 and February 18th 2004. From Fig. 6 and Table 1, we can see that the generation

204   and movement of AE1 can be well reproduced by the CSCASS, with the pink curves

205   (assimilation) match well with those of black (satellite observations) and dotted lines

206   (the trajectories of drifter buoys). In addition, the spatial pattern of AE1 can also be well

207   revealed by the CSCASS: the radius of meridional and zonal of AE1 detected by the

208   assimilation are 163 km and 93 km, which are almost equal to that of observations (148

209   km and 79 km). The migration path of AE1 can also be well reproduced by the CSCASS

210   (see Fig. 6, black and pink line) until its amplitude decays to less than 8 cm. In addition

211   to AE1, the generation and evolution of AE2 are also evaluated. As shown Fig. 7, the

212   evolution and propagation pathway of AE2 (Fig. 7b-7j), e.g., move northwestward

213   firstly and then southwestward can generally be reproduced by the CSCASS, although

214   its initial location shows a slight southward bias in the simulation (Fig. 7a). Similar to

215   the results of AE1, discrepancies between model and observations become larger again

216   during the decay phase of AE2.

217   In general, the comparison of assimilation SLA with that of satellite observation

218   and the trajectories of drifter buoys suggested that the generation, development and the

219   propagation of AE1 and AE2 can be reproduced by the CSCASS when their amplitude

220   greater than 8 cm. However, when their intensity are relatively weak, with amplitudes

221   less than 8 cm, the features of these two mesoscale eddies are not well reproduced by

222   the CSCASS. This may be related to the value setting of parameter α, the localization

223   length scale, and insufficient spatial resolution of assimilating SSH or the numerical

224   model (Counillon and Bertino, 2009).

225   **3.2 The predictability of these anticyclonic eddies in the NSCS**

226   Since the generation, development and the propagation of AE1 and AE2 can be

227   well reproduced by the CSCASS when their amplitude >8 cm, as mentioned above, in

228   this section we further use the CSCASS to investigate the predictability of these two

229   eddies. According to the generation, evolution and migration of these two eddies, we

230   designed six forecast experiments, hereafter referred to as Exp1 to Exp6 (see Fig. 4) to

231   investigate their predictability. The model's initial state prior to each of the six forecast

232   experiments is constrained by assimilating satellite SLA and SST before. Based on the

233   initial state, each experiment is run forward 30 days with the forcing of 6-hourly wind,

234   surface heat flux, and monthly mean river runoff, etc. The first experiment, named Exp1,

235   is applied on the 29th of November 2003, which tends to study whether the generation

236   of AE1 can be forecasted or not. Exp2 is implemented on the 10th of December 2003

237   and is used to study whether the development and the migration of AE1 can be

238   forecasted. Exp3 is run based on the initial state on the 31th of December 2003 and used

239   to show whether the generation of AE2 and the continued migration of AE1 can be

240   forecasted. In order to investigate whether the continued evolution of AE1 and AE2 can

241   be forecasted, Exp4 is applied on the 21th of January 2003. Exp5, is setting up to reveal

242   whether the attenuation of AE1 and the evolution of AE2 can be forecasted, while Exp6

243   which is applied on the 29th of February 2004 was designed to find out whether the

244   disappear of AE1 and AE2 can be forecasted.

245   The prediction results of Exp1 are shown in Fig. 8. In Fig. 8a, we can see that the

246   forecast is almost coincident with the satellite observation and the trajectory of drift

247   buoys, indicating that the generated position of AE1 can be well forecasted by the

248   CSCASS. In addition, the initial migration of AE1 can also be forecasted by the

249   CSCASS (see Fig. 8a and 8f). In order to evaluate the forecasted amplitude of AE1, the

250   intensity, amplitude and the distance of eddy centers between the observation and the

251   forecast are also quantified (Table 2: EXP1). From Table 2: EXP1, we can see that

252   the amplitude of forecasting matches well with that of observation, although its

253   amplitude is slightly larger than that of observation. After 4 weeks, the amplitude and

254   intensity of the forecast are still close to those of the observation, suggesting that the

255   generation of AE1 can be well predicted by the CSCASS.

256   In order to find out whether the development and movement path of AE1 can be

257   predicted after generation, we continue to carry out Exp2. As shown by the observation

258   (Fig. 9), AE1 moves southwestward along the continental shelf with its amplitude

259   decreasing and again increasing after its generation. This observed southwestward

260 movement is also predicted by the CSCASS (see pink closure curve in Fig. 9a-9d),

261 although a sudden southwestward movement cannot be well predicted (Fig. 9f). In

262 addition, the first attenuation and then enhance of AE1 can also been predicted by the

263 CSCASS (see Table 2 and Fig. 9b). On the whole, the development and movement path

264 of AE1 can be well predicted by CSCASS for the first four weeks after its generation.

265 After that, the errors between observation and prediction increase significantly, and by

266 the fifth week, the distance between the center of the prediction and the observation

267 become larger, which more than 100 km (see Fig. 9e).

268   For further analysis, we carry out Exp3, to look at whether the continue evolution

269 of AE1 and the generation of AE2 can be predicted. This experiment is carried out based

270 on the initial condition of the assimilation on the 31st of December 2003 and the

271 corresponding results are shown in Fig. 10 and Table 2. The development trend of AE1

272 can be predicted, but with a slightly weak amplitude, as shown by the prediction (Fig.

273 10, Table 2). The observed center elevation of AE1 reduced from 18 cm in the first

274 week to 13 cm in the fifth week. Similar decreasing trend was also found for the forecast

275 but with its amplitude decreasing from 13 cm at the beginning to 10 cm at the end of

276 the forecast period. Although the decreasing trend of AE1 amplitude is quite similar

277 between the observations and forecast, their intensity is slightly different. In addition,

278 the movement path of AE1 cannot be accurately predicted at this period, for instance,

279 the observed AE1 moves directly to southwest (see red solid line and solid circle in Fig.

280 8f), but the prediction's movement firstly toward northeast, then turns to southwest (see

281 blue solid line and solid circle in Fig. 10f). The generation of AE2 cannot be predicted

282   in Exp3, which may be related to the lower amplitude (<8 cm) of AE2 at this period.

283   The purpose of Exp4 is to look at whether the evolution of AE1 and AE2 can both

284   be reasonably predicted. Since this experiment mainly focuses on the evolution of AE1

285   and AE2, thus Fig. 11 shows only the evolution of AE2 from the second week after

286   generation, that is, from the beginning on the 21st of January 2004 to the fifth week. As

287   shown in Fig. 11, Table 2 and Fig. 14d, the trends of amplitude variation of both eddies

288   can be well predicted with the decreasing of AE1 and slowly increasing of AE2. For

289   AE1, the results of the prediction and observation are very close in the first two weeks,

290   with the center of the two almost coincide. The central position of the prediction and

291   observation began to deviate after the third week. For AE2, although the amplitude and

292   movement path are not predicted well at its initial stage, the prediction is slowly

293   approaching to the observation during third to fifth week, and distance between the

294   center of the prediction and the observation is reduced from 132 km at the beginning to

295   81 km at the end (see Fig. 14d the black line).

296   As mentioned above, the purpose of Exp5 is to investigate whether the decay of

297   AE1 and the continued development of AE2 can be predicted. From Fig. 12, Table 2

298   and Fig. 14e, we can find that the CSCASS cannot predict the movement path of AE1

299   well in its decay stage: the distance between the center of the prediction and that of the

300   observation is greater than 188 km, and the moving direction of the two is not consistent

301   (see red lines and dots in Fig. 12f). But the evolution and moving direction of AE2 can

302   be well predicted at this stage. The amplitude of observation and prediction of AE2 are

303   keeping in the consistent trend (Fig. 14e), although the speed of movement of AE2

304 given by prediction is slower than that of observation (see green lines and dots in Fig.

305 12f).

306   The aim of Exp6 is to find whether the disappearance of AE1 and AE2 can be both

307 predicted. As described in Fig. 13, the disappearance of AE1 cannot be well predicted

308 since the low amplitude (less than 8 cm) of AE1 at this stage. Similarly, the

309 disappearance of AE2 is also less accurately predicted by the CSCASS (Fig. 14f). The

310 amplitude of AE2 from the observation decays continually at this stage, but the

311 amplitude of the predicted almost keeps constant. In addition, there is large deviation

312 of the direction of movement between prediction and observation for AE2 (see the red

313 solid line and dot in Fig. 13f).

314

315 **4. Conclusions and challenges for forecasting of mesoscale eddy**

316   In this paper, we carry out a series of assimilation and prediction experiments by

317 the CSCASS to assess the productivity and predictability of mesoscale eddies in the

318 NSCS, along with observations of satellite observed SST, SLA and the trajectory data

319 of drift. The comparisons of AE1 and AE2 by the CSCASS, which is assimilated SLA

320 and SST, with that of observations through predicted experiments shows that when the

321 amplitudes of mesoscale eddy are higher than 8 cm, the generation, development, decay

322 and movement of eddies can be well reproduced, but when the amplitude of the

323 mesoscale eddy is lower than 8 cm, the generation and disappear of mesoscale eddy

324 cannot be well reproduced.

325   The comparisons of AE1 and AE2 through six predicted experiments with those

of observations also show that the generation, evolution and movement path of these

two eddies with high amplitude (>8 cm or U/c > 2) can be well predicted by the

CSCASS, although the generative mechanism of these two eddies is quite different [9].

However, when the amplitude of eddies becomes less than 8 cm, the generation position

and the movement path cannot be well predicted by the CSCASS.

Our results suggested that for intensity mesoscale eddies, a good initial condition

after assimilating observations can help to improve their reproduced and predictable

ability. As mentioned above, the mesoscale eddies are related to strong nonlinear

processes and are not a deterministic response to atmospheric forcing, thus the quality

of mesoscale eddies forecast will depend primarily on the quality of the initial

conditions. In addition, the ability of the ocean numerical model to faithfully represent

the ocean physics and dynamics is also crucial. Although data assimilation, which

combines observations with the numerical model, can provide good initial conditions,

it cannot make up the limitations of numerical model in numerical algorithms and in its

resolution. For a high-resolution operational oceanography, the latter means that the

numerical models need to be improved using more accurate numerical algorithms and

resolution especially in the weakly stratified regions or on the continental shelf.

Furthermore, so far most of the information about the ocean variability is obtained

remotely from satellites (SSH and SST), the information about the subsurface

variability are very rare. Although a substantial source of subsurface data is provided

by the vertical profiles (i.e., expendable bathy thermographs, conductivity temperature

depth, and Argo floats), the datasets are still not sufficient to determine the state of the

ocean. In addition, in order to accurately assimilate the SSH anomalies from satellite altimeter data into the numerical model, it is necessary to know the oceanic mean SSH over the time period of the altimeter observations (Xu et al., 2011; Rio et al., 2014). This is also a big challenge because the earth's geoid is not presented with sufficient spatial resolution when assimilating SSH in an eddy-resolving model. With the advent of the SWOT (Surface Water and Ocean Topography) satellite mission in 2020, it should be possible to better resolve and forecast the mesoscale features in eddy resolving ocean forecasting systems.

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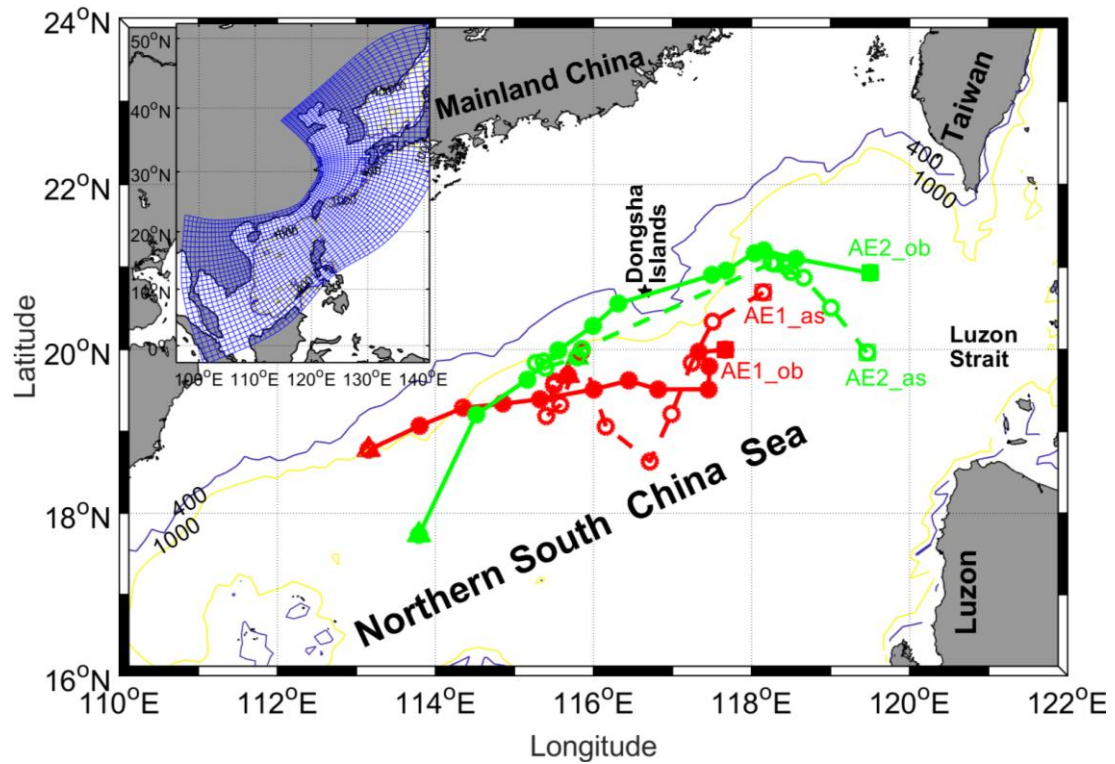487   China Sea. Chinese Journal of Oceanology and Limnology, 28(5): 1055-1067.

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Figures:

Fig. 1 Bathymetry of the northern South China Sea. The blue and yellow contour lines are the isolines of 400 m and 1000 m. The solid black Pentagram indicated Dongsha Islands. The migration path of AE1 and AE2 in the NSCS during December 2003~April 2004. Red solid (hollow) circle dots and solid (dash) lines indicated weekly passing position and migration path of observation (assimilation) AE1. Green solid (hollow) circle dots and solid (dash) lines indicated weekly passing position and migration path of observation (assimilation) AE2. The quadrangle and triangle denoted start and end position, respectively. The model domain of CSCSS (the inset panel), the curvilinear orthogonal model grid with 1/8-1/12° horizontal resolution (147×430) is denoted by the blue grid (at intervals of 10 grid cells here).

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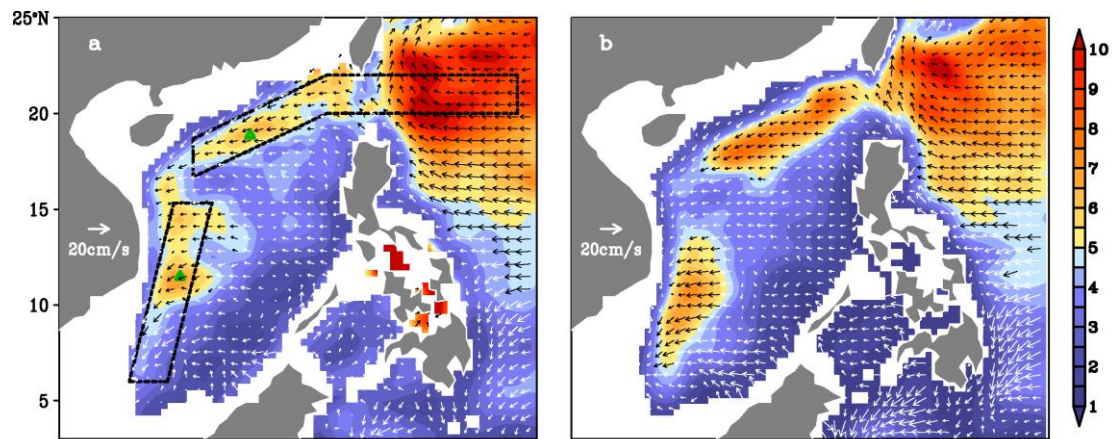
504 Fig. 2 Annual mean standard deviation of sea level mesoscale signals (color shading, unit: cm) and
505 propagation velocities of the signals (vectors) derived from (a) altimeter observations; (b) OFES
506 simulations. From Zhuang et al. (2010).
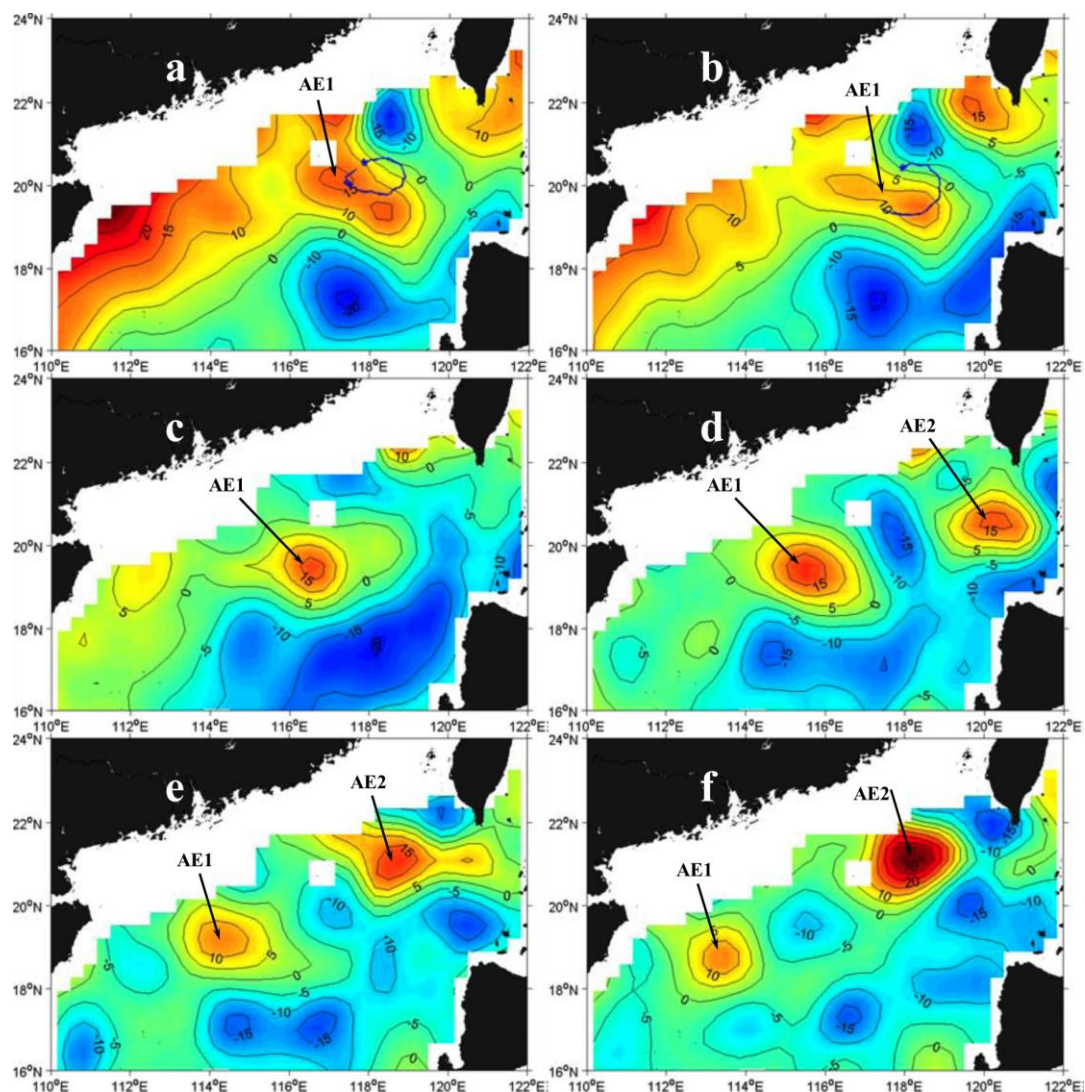
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509 Fig. 3 Snapshots of SLA from satellite remote sensing datasets. Buoy 22918 trajectory (blue lines,
510 blue asterisk represents the initial position of buoy, as in Fig. 4) (a) from December 4–15, 2003
511 superposed on SLA field on December 10, 2003; (b) from December 16– 23, 2003 superposed on

512   SLA field on December 17, 2003; SLA field on (c) January 7, 2004; (d) January 21, 2004; (e)

513   February 4, 2004; (f) February 18, 2004.   From Wang et al. (2008).

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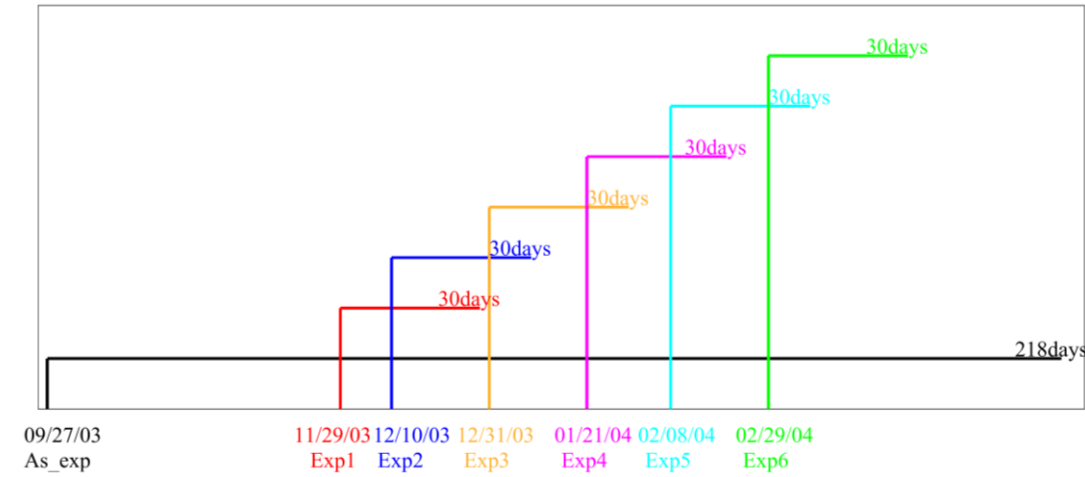
516   Fig. 4 The settings of assimilation and six forecast experiments, including the start and end date.
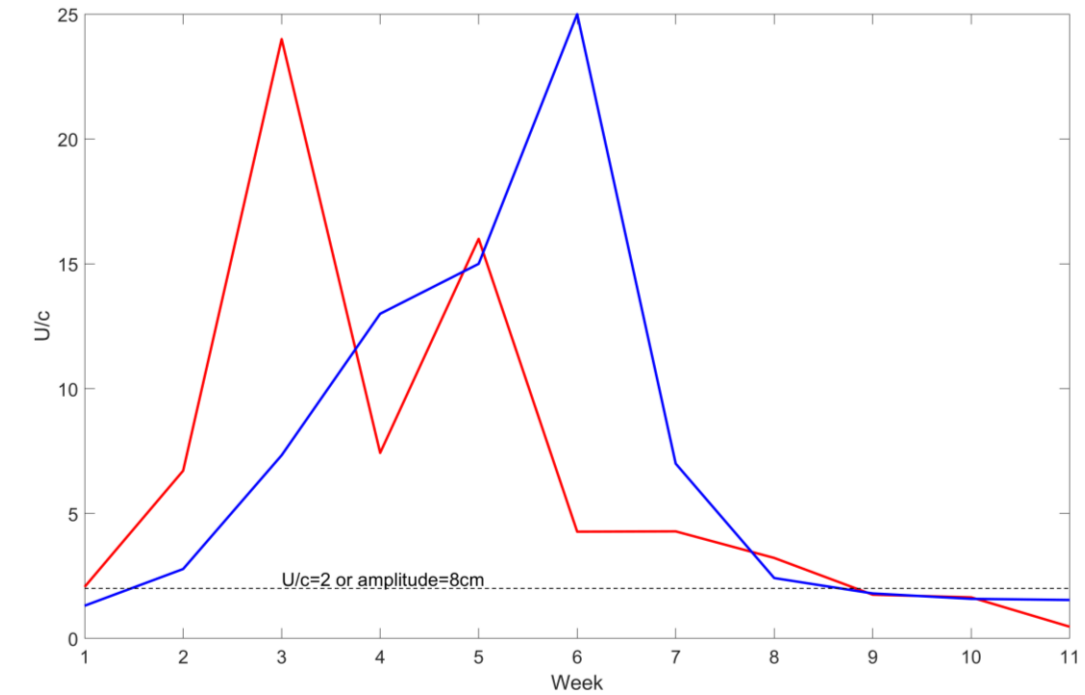

518   Fig. 5 The advective nonlinearity parameter. The thick red (blue) curve indicates the U/c of the

519   observed (As_exp experiment) of AE2, the dash line indicates the value of eddy amplitude at 8 cm

520   or the U/c=2.
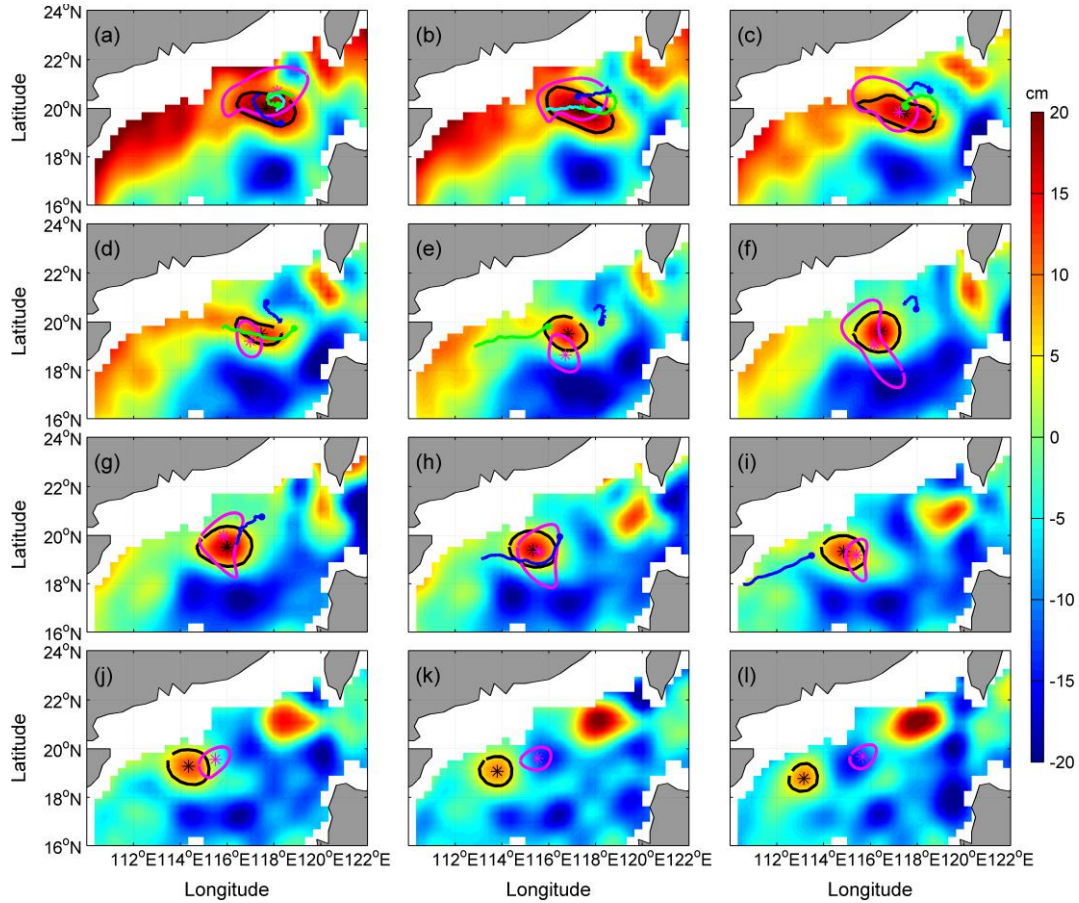
Fig. 6 Comparisons of AE1 derived from weekly SLA of assimilation results and observation from satellite remote sensing during the period of December 2003~February 2004. Background color is SLA, "*" mark and closed lines indicated the center position and the outermost closed isoline of AE1, respectively, the black is from satellite observation SLA, the pink is from assimilation SLA. The cyan, green and blue solid circle lines indicated the start positions and trajectories of number 22517, 22918 and 22610 drifter buoys, respectively. (a)-(l) is SLA on the 3rd of December 2003~ the 18th of February 2004, respectively. Unit: cm.
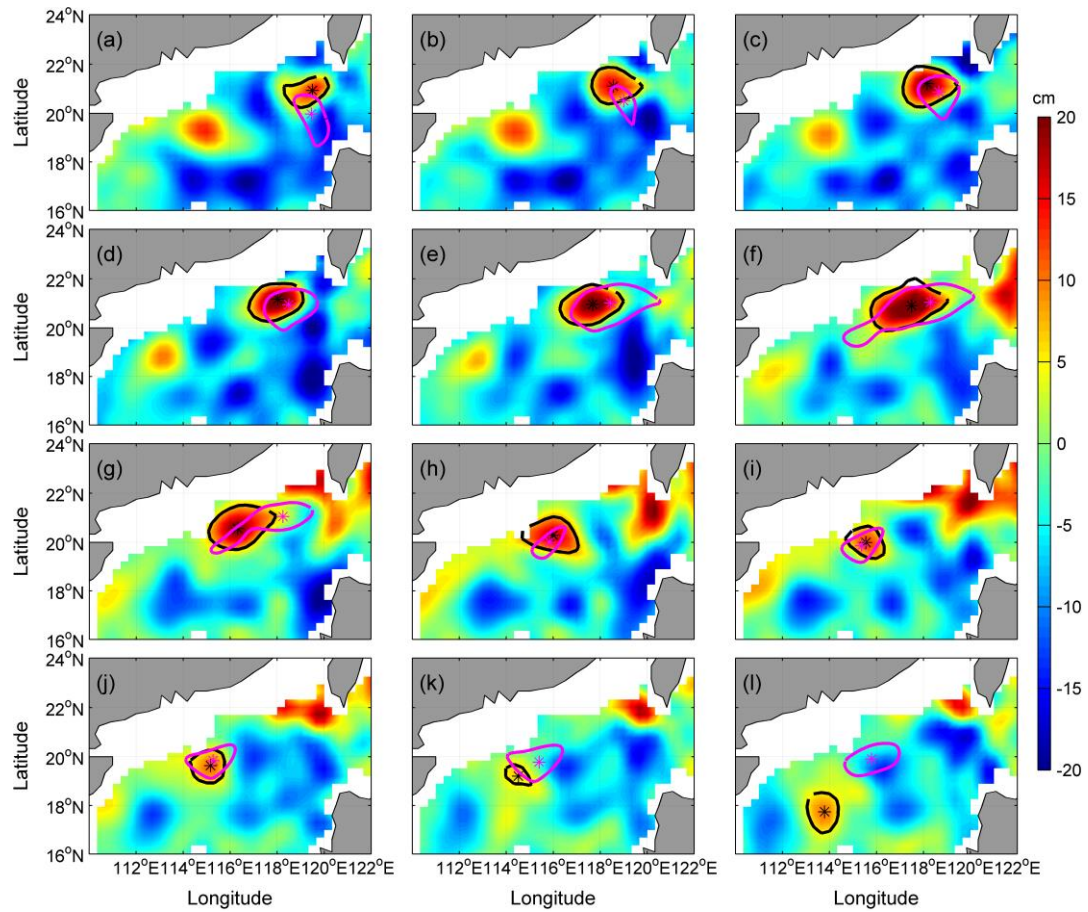
Fig. 7 The same as figure 6, But for AE2, the corresponding period is January 28th, 2003~April 14th, 2004.

Tables：

Table 1 The intensity and amplitude of AE1 and AE2 derived from observation SLA and the assimilation SLA, and distance of eddy centers between the observation SLA's and assimilation SLA's.

Weekly			1(2003/12/3)	2	3	4	5	6	7	8	9	10	11	12
AE1	Distance (km)		94	45	26	62	98	70	54	30	63	131	199	298
	Amplitude(cm)	Observed	8	10	9	8	8	13	13	11	8	8	4	6
		Assimilated	18	12	11	6	5	4	5	6	2	3	3	2
Weekly			1(2004/1/28)	2	3	4	5	6	7	8	9	10	11	12
AE2	Distance (km)		107	83	67	57	85	91	221	36	26	26	117	328
	Amplitude(cm)	Observed	7	12	18	17	17	16	15	10	7	6	N/A	6
		Assimilated	3	2	5	6	10	8	4	8	9	4	5	6

Table 2 The Amplitude of AE1 and AE2 derived from observation SLA and the six forecast SLA, and distance of eddy centers between the observation SLA's and forecast SLA's.

| Weekly					1	2	3	4	5
Exp1	Distance (km)				80	58	32	68	47
	Amplitude (cm)		Observed		8	10	9	8	8
			Forecasted		14	12	14	11	12
Exp2	Distance (km)				57	22	63	51	113
	Amplitude (cm)		Observed		10	9	8	8	13
			Forecasted		12	11	6	8	10
Exp3	Distance (km)				134	85	111	130	124
	Amplitude (cm)		Observed		13	13	11	8	8
			Forecasted		2	3	3	3	N/A
Exp4	AE1	Distance (km)			32	58	111	161	231
		Amplitude (cm)	Observed		11	8	8	4	6
			Forecasted		4	2	2	2	N/A
	AE2	Distance (km)			N/A	N/A	132	95	81
		Amplitude (cm)	Observed		N/A	N/A	12	18	17
			Forecasted		N/A	N/A	N/A	6	9
Exp5	AE1	Distance (km)			188	274	287	405	503
		Amplitude (cm)	Observed		4	6	2	N/A	N/A
			Forecasted		2	2	2	2	2
	AE2	Distance (km)			69	77	102	95	226
		Amplitude (cm)	Observed		18	17	17	16	15
			Forecasted		5	7	6	6	9
Exp6	AE2	Distance (km)			91	227	277	339	453
		Amplitude (cm)	Observed		16	15	10	7	6
			Forecasted		7	9	6	4	6
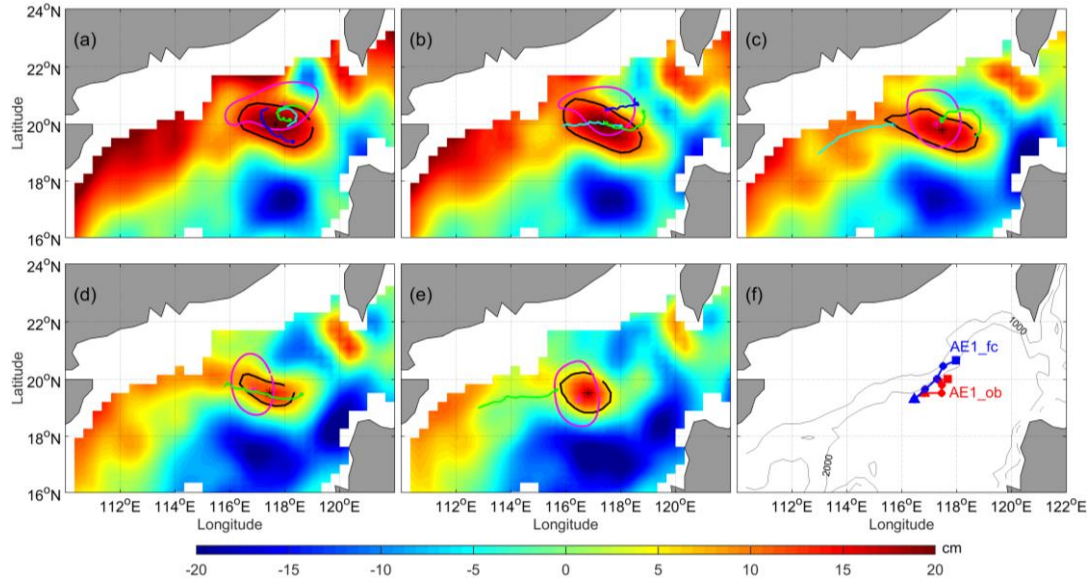
Fig. 8 Comparison of AE1 of Exp1 and observation, and trajectories of drifter buoys during the 29th of November 2003 and the 29th of December 2004. The cyan, green and blue solid circle dots and lines indicated the start positions and trajectories of number 22917, 22918 and 22610 drift buoys during the corresponding period, respectively. Where, the red (blue) dotted line in (f) is the moving path of AE1 derived from observation (forecast) SLA during the experiment period, the square (triangle) represents the start (end) position.
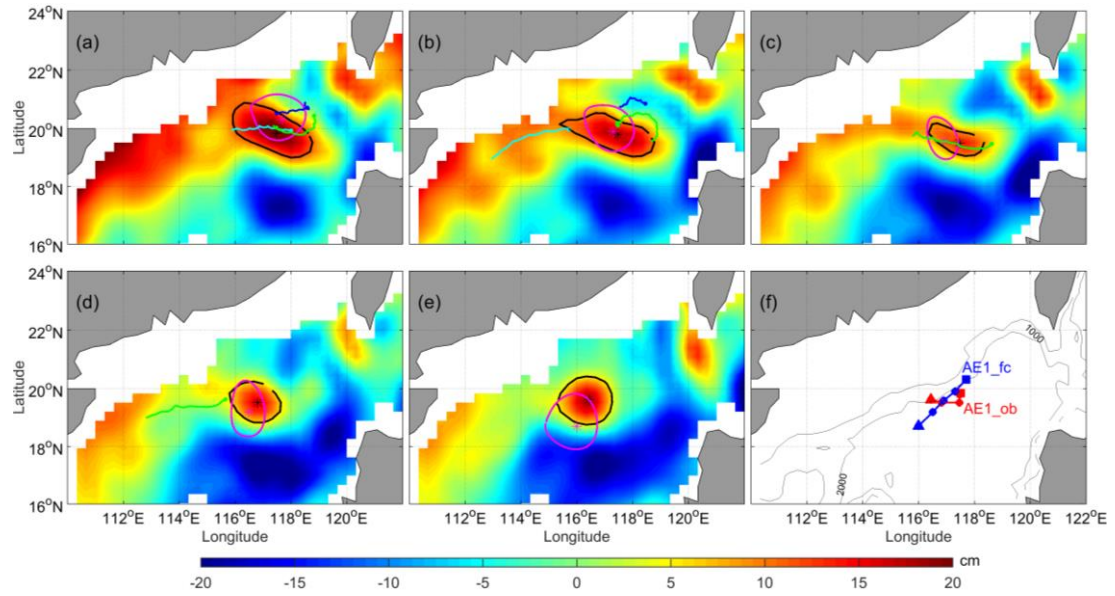
Fig. 9 Same as figure 8, but for Exp2, the experiment period is the 10th of December 2003 to the 9th of January 2004.
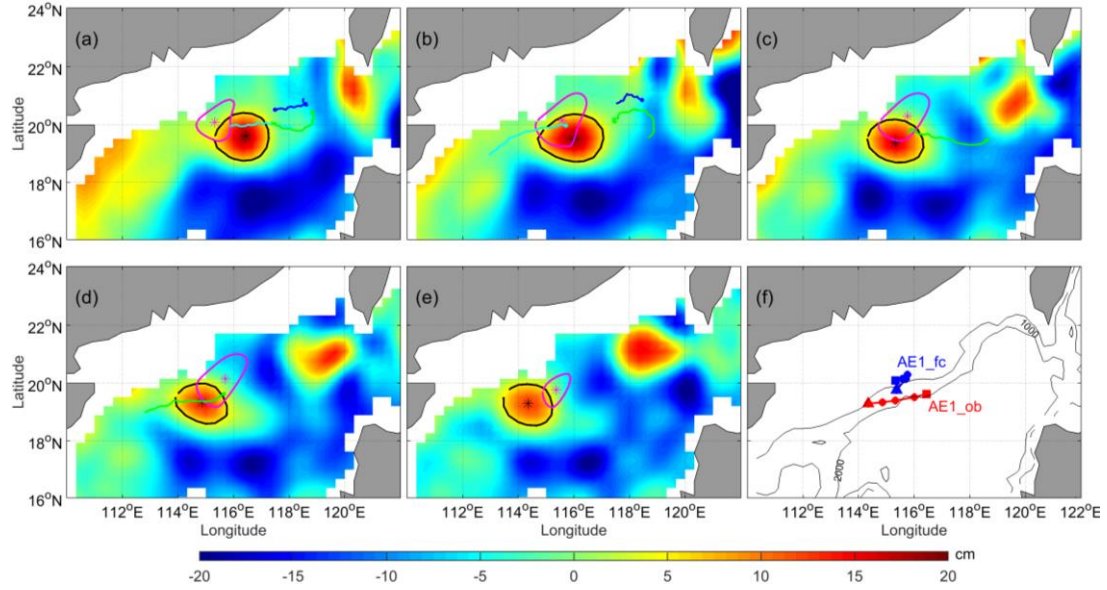
Fig. 10 Same as figure 9, but for Exp3, the experiment period is the 31st of December 2003 to the 30th of January 2004.
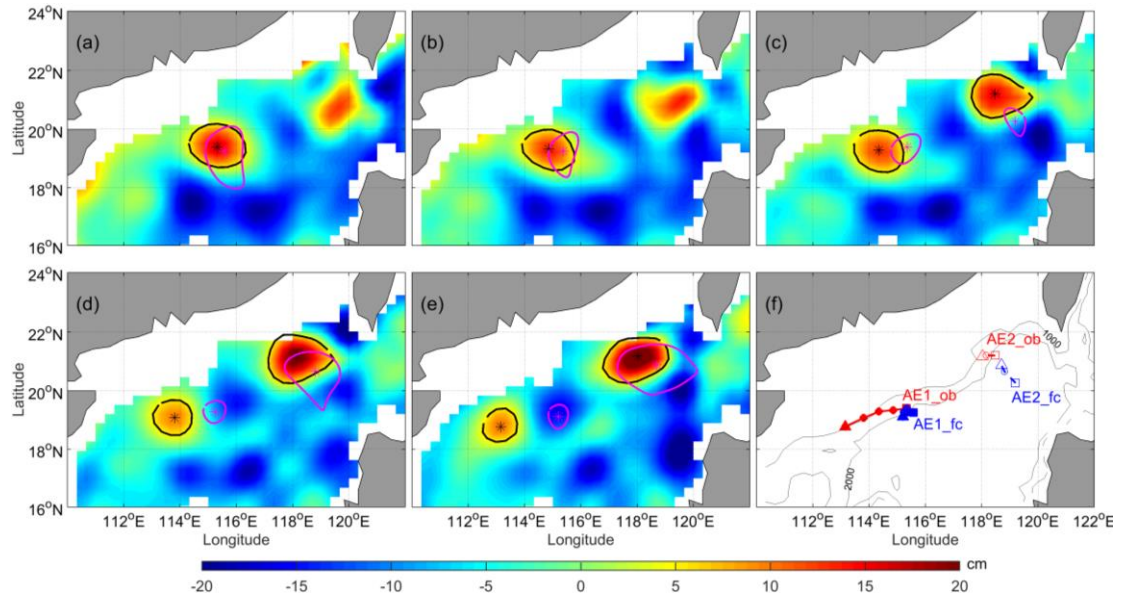

Fig. 11 Same as figure 10, but for Exp4，where, the red (blue) dotted line in (f) is the observation (forecast) moving path of AE1 and AE2. the red solid (dashed) lines and solid (hollow) circle derived from observation SLA for AE1 (AE2), the blue solid (dashed) lines and solid (hollow) circle derived from forecast SLA during the 21st of January 2004 to the 20th of February 2004.
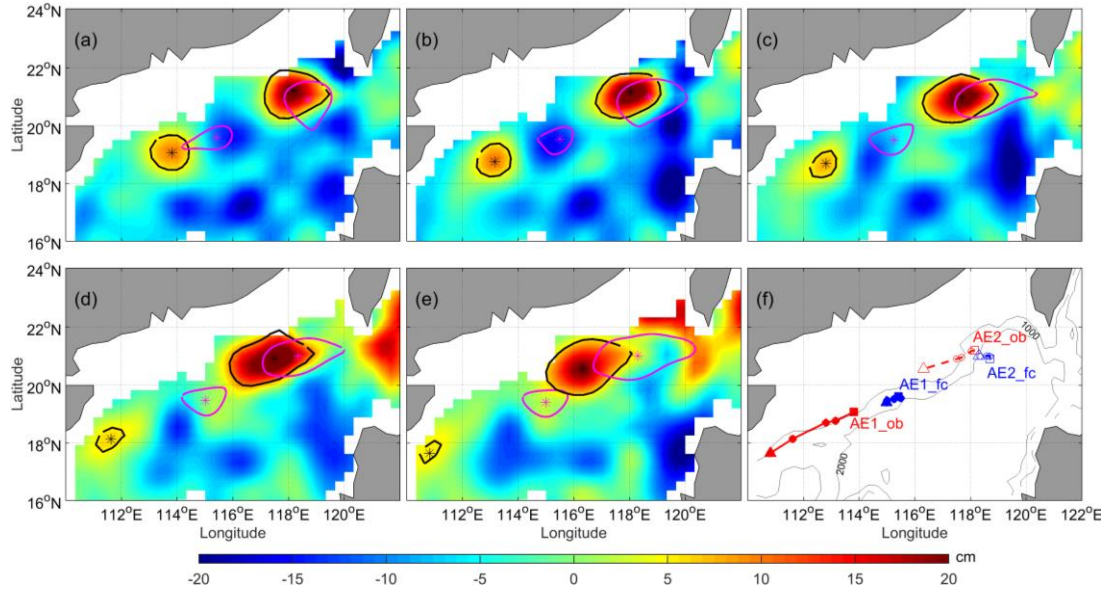
Fig. 12 Same as figure 11, but for Exp5, the experiment period is the 8th of February 2004 to the 10th of March 2004.
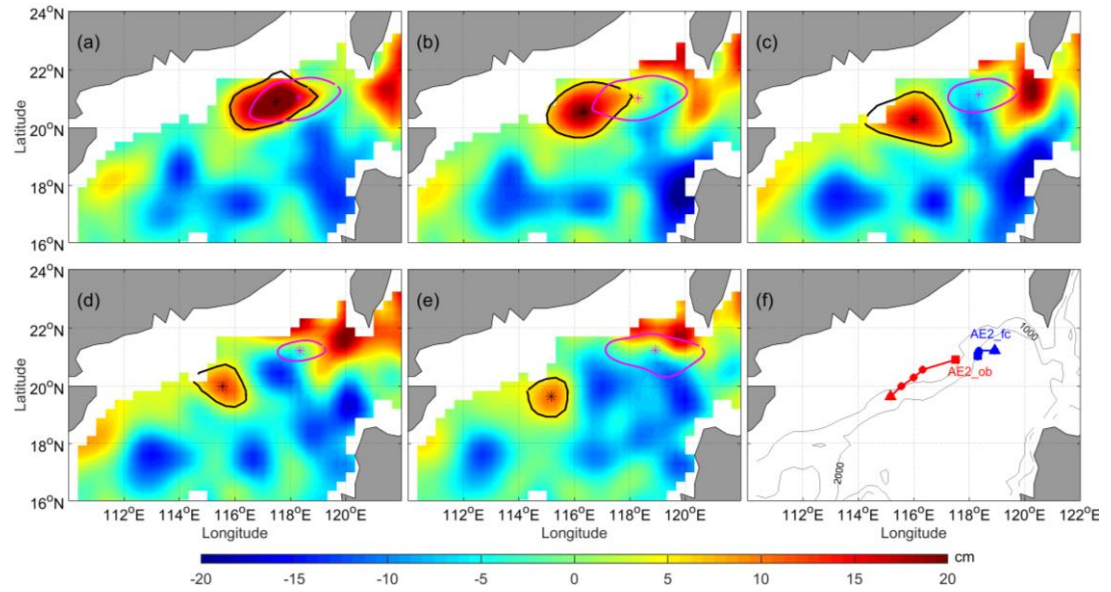

Fig. 13 Same as figure 11, but for Exp6 and AE2, the experiment period is the 29th of February 2004 to the 30th of March 2004.
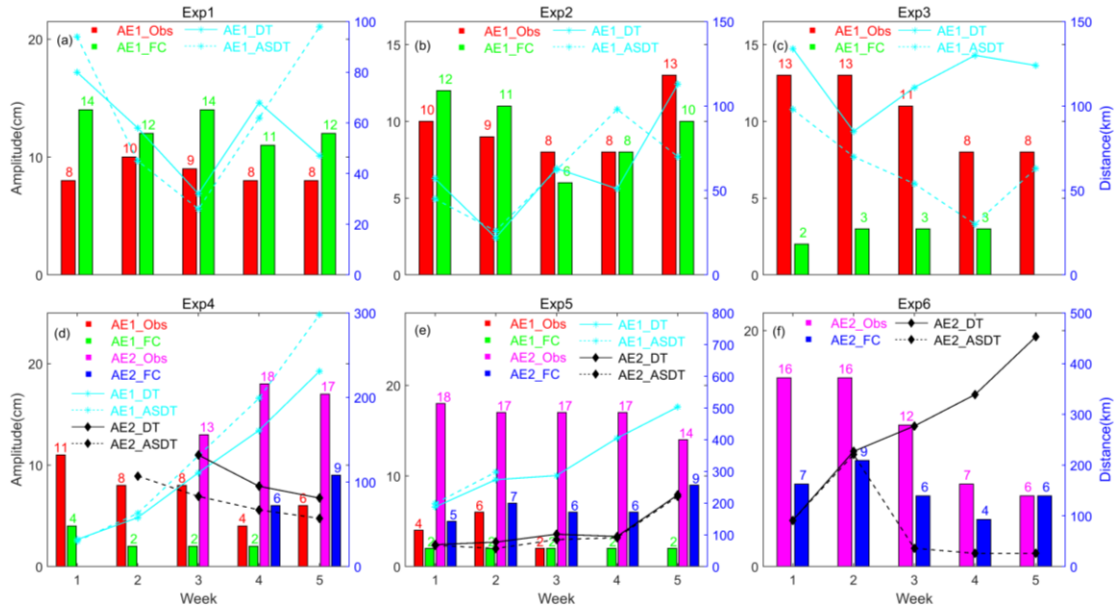
Fig. 14 The amplitude of AE1 and AE2 derived from observation SLA and the six forecast SLA, and distance of eddy centers between the observation SLA's and forecast SLA's. The red and green histograms indicated the AE1 amplitudes from observation and prediction respectively. The pink and blue histograms expressed the AE2 amplitudes from observation and prediction respectively. The cyan star solid (dash) line shows the distance of the center between observation and prediction (assimilation) AE1. The black diamond solid (dash) line shows the distance of the center between observation and prediction (assimilation) AE2.