



Evaluation of Arctic Ocean surface salinities from SMOS and two CMEMS reanalyses against in-situ datasets

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Abstract

Although the stratification of the upper Arctic Ocean is mostly salinity-driven, the sea surface salinity (SSS) is still poorly known in the Arctic, due to its strong variability and the sparseness of in-situ observations. Recently, two gridded SSS products have been derived from the European Space Agency's (ESA) Soil Moisture and Ocean Salinity (SMOS) mission, independently developed by the Barcelona Expert Centre (BEC) in Spain and the Ocean Salinity Expertise Center (CECOS) of the Centre Aval de Traitement des Données SMOS (CATDS) in France, respectively. In parallel, there are two reanalysis products providing the Arctic SSS in the framework of the Copernicus Marine Environment Monitoring Services (CMEMS), one global, and another regional product. While the regional Arctic TOPAZ4 system assimilates a large set of sea-ice and ocean observations with an Ensemble Kalman Filter, the global reanalysis combines in-situ and satellite data using a multivariate ensemble optimal interpolation method. In this study, focused on the Arctic Ocean, these four salinity products, together with the climatology both World Ocean Atlas (WOA) of 2013 and Polar science center Hydrographic Climatology (PHC), are evaluated against in-situ datasets during 2011-2013. For the validation the in-situ observations are divided in two; those that have been assimilated and those that have not. The deviations of SSS between the different products and against the in-situ observations show largest disagreements below the sea-ice and in the marginal ice zone (MIZ), especially during the summer months. In the Beaufort Sea, the summer SSS from the BEC product has the smallest - saline - bias (~0.6 psu) with the smallest root mean squared difference (RSMD) of 2.6 psu. This suggests a potential value of assimilating of this product into the forthcoming Arctic reanalyses.

Keywords: Arctic Ocean; sea surface salinity; SMOS; reanalysis; absolute deviation;



35 1. Introduction

36 The sea surface salinity (SSS) plays a key role to track hydrological processes in the
37 global water cycle through precipitation, evaporation, runoff, and sea-ice
38 thermodynamics (Vialard and Delecluse, 1998; de Boyer Montegut et al., 2004;
39 Sumner and Belaine, 2005; Vancoppenolle et al., 2009; Yu, 2011). SSS is known to
40 impact the oceanic upper mixing significantly (Latif et al., 2000; Maes et al., 2006;
41 Furue et al., 2018) and via its dominance on the surface layer density (Johnson et al.,
42 2012) the SSS variability affects the thermohaline circulation in the northern North
43 Atlantic (Reverdin et al., 1997). Using a coupled atmosphere-ocean model and an
44 observed SSS climatology dataset, Mignot and Frankignoul (2003) attributed the
45 interannual variability of the Atlantic SSS to two factors: anomalous Ekman advection
46 and the freshwater flux.

47 Increase in the freshwater content of the Arctic Ocean due to melting of glaciers and
48 sea-ice (McPhee et al., 1998; Macdonald et al., 1999), a significant change in the
49 global warming scenario, can lead to changes in the salinity distribution and fresh
50 water pathways (Steele and Ermold, 2004; Morison et al., 2012). However, the
51 freshwater flux is regarded as one of the least constrained parameters due to the
52 small-scale features of river discharge, precipitation, and glacial/sea-ice melt (e.g.,
53 Tseng et al., 2016; Furue et al., 2018). In general, to avoid salinity drift in the models,
54 the sea-surface freshwater flux is adjusted directly or by restoring SSS to its
55 corresponding climatological value.

56 Monitoring SSS from space is crucial for understanding the global water cycle and
57 the ocean dynamics, especially in the Arctic Ocean where our knowledge of the SSS
58 variability is limited due to non-homogenous and sparse in-situ data. The European
59 Space Agency's (ESA) Soil Moisture and Ocean Salinity (SMOS) satellite launched
60 in November 2009, consists of the Microwave Imaging Radiometer using Aperture
61 Synthesis (MIRIAS) instrument, a passive 2-D interferometric radiometer operating in
62 L-band (1.4 GHz, 21 cm), to measure the brightness temperature (BT) emitted from
63 the Earth (Font et al., 2010; Kerr et al., 2010). The L-band microwave is highly
64 sensitive to water salinity, which influences the dielectric constants in the sea, and
65 has less susceptibility to atmospheric or vegetation-induced attenuation than higher
66 frequency measurements (Mecklenburg et al., 2012). Since its operational phase
67 started in May 2010, SMOS provides the longest SSS record from space over the
68 global ocean, even compared with the National Aeronautics and Space



69 Administration's (NASA) Aquarius mission (between 2011 and 2015) and its follow-
70 up SMAP (Soil Moisture Active and Passive, since 2015).
71 Committed to provide global salinities averaged over 10-30 days with an accuracy of
72 0.1 psu for open ocean, ESA is responsible to interpret the MIRAS data into
73 SMOS Level 1 (L1) and Level 2 (L2) data through a set of sequential processors
74 (Mecklenburg et al., 2012; ESA, 2017). In the L1 processing stage, the three relevant
75 products of L1A, L1B, and L1C are respectively corresponded to the calibrated
76 engineering visibility, the outputs of image reconstruction and multi-angular BT at the
77 top of atmosphere (TOA). Over oceans, Level 2 products (L2OS) are comprised of
78 three different ocean salinities, together with the BTs at TOA and on the sea surface,
79 distributed by ESA with swath-based format (e.g., SMOS Team, 2016; ESA, 2017).
80 Under the efforts at national agencies in France and Spain respectively, two Level 3
81 (L3) data products of SSS are freely available, which are independently developed by
82 the Ocean Salinity Expertise Center (CECOS) of the Centre Aval de Traitement
83 des Données SMOS (CATDS) at IFREMER and the Barcelona Expert Centre (BEC).
84 Few studies comprehensively investigate their quality uncertainties in the Arctic
85 Ocean at same time, although these two SMOS products have been successfully
86 used to resolve the local salinity front (D'Addezio et al., 2016) or to improve the
87 precipitation estimate (Supply et al., 2018).
88 In parallel to these monitoring activities from space, an ocean reanalysis or a
89 climatology dataset is a practical choice for public users to understand the Arctic
90 SSS. In recent studies regarding the Arctic Ocean salinity, Uotila et al. (2018)
91 focused on the stratification of the averaged salinities in the ten popular reanalyses,
92 where the seasonal cycle of monthly salinity in the layer of 0-100 m (Figure 12 of
93 Uotila et al., 2018) shows a considerable spread among these reanalyses. Note that
94 the full assessment of the Arctic SSS products has been hindered by extraordinarily
95 poor in-situ data coverage in the Arctic domain. With the accumulated SSS data from
96 the SMOS mission, it is now possible to evaluate the estimated salinity products from
97 different sources on a basin scale. In this study, we use two reanalysis products
98 available from the Copernicus Marine Environment Monitoring Service (CMEMS).
99 The first reanalysis (CMEMS product id: ARCTIC-REANALYSIS-PHYS-002-003) is
100 derived from the TOPAZ system (e.g., Xie et al., 2017), a coupled ocean and sea-ice
101 data assimilation system using Ensemble Kalman filter to assimilate the available
102 ocean and sea-ice observations from CMEMS. This reanalysis represents the Arctic



103 component in CMEMS providing daily and monthly reanalysis for Arctic domain since
104 in 1991. Another product (CMEMS product id:
105 MULTIOBS_GLO_PHY_REP_015_002) is derived from the combination of in-situ
106 data and satellite measurements including SMOS by a multivariate optimal
107 interpolation (MOI) technique (Droghei et al., 2018). The two CMEMS products
108 respectively represent classical ocean reanalysis products and optimally merged
109 observational data products.

110 In this paper, we assess the performance of the two CMEMS reanalysis products in
111 comparison to the two SMOS SSS products together with the two climatology
112 datasets: WOA13 (World Ocean Atlas of 2013; Zweng et al., 2013) and PHC (Polar
113 Science Center Hydrographic Climatology version 3.0; Steele et al., 2001). We
114 further extend the evaluation using available in-situ salinity observations during the
115 years of 2011-2013 from different data sources. The evaluation against the in-situ
116 data is also expected to shed light on the uncertainty of the SMOS products towards
117 the reliable Arctic SSS monitoring program, which also give useful information
118 needed for the assimilation of the SMOS SSS products into ocean
119 forecast/reanalysis systems in near future. The paper is organized as follows: In
120 Section 2, all the assessed SSS products and reference in-situ data are described.
121 The monthly means of SSS from these six products are intercompared, and the
122 monthly deviations referenced to the TOPAZ SSS are analyzed in in Section 3.
123 Section 4 illustrates the quantitative evaluations of the SSS products against the
124 reference in-situ data, which are divided into two sets of observations based on
125 whether the observations had been assimilated into TOPAZ or not. A summary of
126 this study is provided in Section 5.

127

128 **2. Data description**

129 *2.1 Sea surface salinity from SMOS*

130 The SSS retrieval from SMOS is subject to biases coming from various unphysical
131 contaminations such as the so-called land-sea contamination and the latitudinal
132 biases likely caused by the thermal drift of the instrument. Based on different
133 statistical approach, march-up criteria, and SMOS data filtering flags, the CECOS
134 and the BEC have independently developed a processing chain to produce the
135 relevant Level 3 SSS product on regular grids. The concerned two SSS products are
136 respectively named CEC and BEC hereafter in this study.



137 • *BEC product*

138 This product was developed in by BEC targeting high latitudes Oceans and in the
139 Arctic Ocean, available from <http://cp34-bec.cmima.csie.es> (last access: June 2018).

140 The BEC SSS product was generated from ESA L1B (v620) products (SMOS-BEC
141 Team, 2016), and accumulates the salinity data over 9 days with a spatial grid
142 resolution of 25 km for the period of 2011-2013. Using a non-Bayesian approach
143 systematic bias of the L1B salinity data is debiased against reference SSS
144 extrapolated from Argo float at 7.5 m depth, which are provided by the Coriolis data
145 center (www.coriolis.eu.org). For further processing detail, see Olmedo et al. (2016).
146 The bias corrected data are spatio-temporally interpolated to the L3 binned maps.
147 Then their anomaly is blended with WOA09 SSS climatology (Antonov et al., 2010)
148 using optimal interpolation with 300 km influence radius to produce the final L3
149 regularly gridded, daily SSS product (OA L3 SSS). The OA L3 SSS maps are served
150 daily on regular 25 km grids for an average period of 9 days.

151 • *CEC product*

152 The third version of LOCEAN SMOS SSS L3 maps (L3_DEBIAS_LOCEAN_v3) were
153 released by the CECOS of CATDS in July 2018. These SSS maps with 9 days
154 accumulation period at every 4 days are provided from 16th January 2010 to 25th
155 December 2017. These products, using Equal-Area Scalable Earth (EASA) Grid in
156 which pixels have a constant area and longitudes are equally spaced but not
157 latitudes, have a spatial resolution of 25km freely available on FTP: <ftp.ifremer.fr> (last
158 access: December 2018). Beginning from the ESA L1B products, the BTs are
159 reconstructed under apodization window and interpolation procedure (Vergely and
160 Boutin, 2017). Based on a semi-empirical ocean surface model developed internally,
161 three different forward models in the L2 processors are implemented for the SSS
162 retrieval and relevant geophysical parameters (SST, wind, etc.). Only one of these
163 three SSSs from the L2 processors are used as L2OS on an EASE grid, similar to
164 ESA L2OS (v622) products. Using the Bayesian retrieval approach (Kolodziejczyk et
165 al., 2016), the SMOS systematic errors in the vicinity of continents are migrated to
166 improve the product quality. Further, 'de-biasing' method (Boutin et al., 2018), an
167 improved technique to correct systematic biases, has been used in this version of the
168 CEC product, where the non-Gaussianity distribution of SSS is taken into account,
169 refining the latitudinal correction at high latitude, and preserving the naturally
170 seasonal variability of SSS.



171

172 2.2 Sea surface salinity from the two reanalyses in CMEMS

173 • *The Arctic reanalyses from TOPAZ*

174 TOPAZ uses the version 2.2 of Hybrid Coordinate Ocean Model (HYCOM,
175 Chassignet et al., 2003; Bertino and Lisæter, 2008) coupled with a simple
176 thermodynamic sea ice model (Drange and Simonsen, 1996). In the sea ice model,
177 the elastic-viscous-plastic rheology (Hunke and Dukowicz, 1997) was used to
178 describe the ice dynamics. The model domain covers the Arctic Ocean and the
179 northern Atlantic Ocean with a horizontal resolution of 12-16 km. Along the model
180 lateral boundaries, the temperature and salinity are relaxed to a combined
181 climatology data from PHC and WOA. Near the northern model boundary, a
182 barotropic inflow at the Bering Strait is imposed to involve the impact of Pacific water,
183 which varies seasonally as indicated by observations. Due to the poor knowledge on
184 the river discharge into the Arctic, a monthly climatology is calculated by the
185 precipitation from the ERA interim (Simmons et al, 2007) averaged over 20 years,
186 which was ingested to the Total Runoff Integrating Pathways (TRIP, Oki and Sud,
187 1998) hydrological model. In the model, the river discharges are treated as an
188 additional mass exchange by a negative salinity flux. Near the surface, to avoid the
189 salinity drift (Tseng et al., 2016; Furue et al., 2018), a weak relaxation to the
190 climatological SSS (30 days decay) is used as most of other ocean models adopted
191 to constrain the areas where the difference to climatology is less than 0.5 psu.
192 In order to obtain a reliable and dynamically consistent reanalysis in the Arctic
193 Ocean, the deterministic EnKF (DEnKF; Sakov and Oke, 2008) has been
194 implemented in TOPAZ with an ensemble of 100 model members which are driven
195 by 6-hourly perturbed atmosphere forcing from EAR interim. In the system, various
196 ocean and sea-ice observations (e.g., Xie et al., 2016, 2018) are assimilated into the
197 HYCOM model states to produce the Arctic ocean and sea-ice reanalysis. The full
198 evaluation for the TOPAZ SSS has been hindered by poor coverage of in-situ data
199 over the Arctic domain, although Xie et al. (2017) had comprehensively assessed the
200 TOPAZ reanalysis during 1991-2013 against various types of ocean and sea-ice
201 observations. The related SSS product from this reanalysis is named TP4 here after.

202 • *SSS from the multivariable Optimal Interpolation dataset*

203 The CMEMS product of MULTIOBS_GLO_PHY_REP_015_002 (Verbrugge et al.,
204 2018) combines the SSS observations from in-situ and satellite data, using optimal



205 interpolation (OI, Buongiorno Nardelli et al., 2016) and covers the years of 1993-2017
206 at weekly interval. This product available from <http://marine.copernicus.eu> (last
207 access: 10th December 2018), provides the global SSS estimates on a 0.25° x 0.25°
208 regular grid. The main datasets used during the OI processing are as follow: 1) the
209 quality controlled in-situ data, CORA dataset for Re-Analysis (CORA, Cabanes et
210 al., 2013) distributed through CMEMS (product id:
211 INSITU_GLO_TS_OR_REP_OBSERVATIONS_013_002_A/B); 2) the objectively
212 analyzed SSS and SST data generated from the CORA analysis system also
213 distributed by CMEMS, which has been upscaled to the final grid as the first guess
214 field for the multidimensional OI. 3) The SMOS L3 binned (L3bin) data reprocessed
215 by SMOS-BEC at 0.25° grid, which are built separately for descending and
216 ascending orbits and their composite; 4) The daily Reynolds L4 AVHRR_OI Global
217 blended SST product is used on a 0.25° grid. Over the same time period (2011-2013)
218 covered by the BEC SSS, the extracted SSS from this product are used in this study,
219 named MOI for simplification hereafter.

220

221 *2.3 Salinity near surface from in-situ data*

222 Against the two SMOS products from and the two CMEMS reanalyses, the SSS from
223 in-situ data are acquired here from three quality-controlled datasets. The first data
224 source is CORA from CMEMS (product id:
225 INSITU_GLO_TS_REP_OBSERVATIONS_013_001_b). Initially developed to supply
226 in-situ data in real time to French and European operational oceanography program
227 before 2010 under the French program Coriolis, CORA contains temperature and
228 salinity profiles from various in-situ data sources (Cabanes et al., 2013). Since 2013,
229 the CORA dataset has been updated every year by the collected profiles in the last
230 full year. They include all the Argo profiles, moorings, gliders, XBT, CTD, and XCTD
231 data. The latest version of the dataset, CORA5.1, covers the period of 1950-2016.
232 Note that the profiles from CORA5.1 have been used in the aforementioned
233 reanalysis systems for TP4 and MOI. Shown in Fig. 1a, the number of SSS
234 observations from CORA5.1 are 24249 over the domain north of 52°N during the
235 years of 2011-2013, and most of them are located in the northern Atlantic oceans.
236 The second in-situ data sources is the Beaufort Gyre Experiment Project (BGEP,
237 <http://www.whoi.edu/website/beaufortgyre/background>, last access: 14th December



238 2018). Aiming at monitoring the natural variabilities of the Beaufort Gyre in the
 239 Canada Basin, BGEP is maintaining a set of observing system programs since 2003
 240 and providing in-situ observations over the Beaufort Gyre in every summer. From the
 241 BGEP, the valid SSS observations are depicted by the marks (anti-triangle, square,
 242 and star) in the right panel of Fig.1. Last of all, we use in-situ data from GO-SHIP
 243 (the Global Ocean Ship-based Hydrographic Investigations Program, Talley et al.
 244 (2017)) under Climate Variability and Predictability Experiment (CLIVAR). Specifically,
 245 SSS observations in the Beaufort Sea are extracted from CLIVAR/GO-SHIP data
 246 with EXPCODE (33HQ20111003 and 33HQ20121005, ref. Mathis and Monacci,
 247 2014), which are available from <https://cdiac.ess->
 248 [dive.lbl.gov/ftp/oceans/CARINA/Healy/](https://cdiac.ess-dive.lbl.gov/ftp/oceans/CARINA/Healy/) (last access: 18th December 2018). All the
 249 valid salinity profiles are averaged within the upper 5 m layer near surface, in order to
 250 obtain the marched observations of SSS for evaluation.

251

252 3. Intercomparison of monthly SSS

253 Prior to the intercomparison of different SSS products, all the gridded products from
 254 satellite, reanalysis and climatology have been converted on the same grids as used
 255 in TP4 by nearest interpolation method. To quantitatively evaluate the SSS deviation
 256 in the Arctic, the bias and the root mean square difference (RMSD) are defined by

$$257 \text{ Bias} = \frac{1}{p} \sum_{i=1}^p (\mathbf{H}_i \mathbf{x}_i^f - \mathbf{s}_i) \quad (1)$$

$$258 \text{ RMSD} = \sqrt{\frac{1}{p} \sum_{i=1}^p (\mathbf{H}_i \mathbf{x}_i^f - \mathbf{s}_i)^2} \quad (2).$$

259 Where p is the evaluated times, \mathbf{x}_i^f is the valid salinity from different sources at the i th
 260 time, which is compared to the referred salinity field \mathbf{s}_i , and \mathbf{H}_i is the observation
 261 operator if needs to project \mathbf{x}_i^f into \mathbf{s}_i .

262 Figure 2 shows the monthly means of SSS in March and reveals considerable
 263 differences in the two SMOS products. Notable differences are found in the Nordic
 264 Seas, Barents Sea, and around Labrador Sea in Northern Atlantic Ocean. In general,
 265 overall SSS maps from SMOS products are consistent with SSS of the two
 266 reanalysis products and the two climatology products, although the BEC SSS tends
 267 to be more saline than the CEC. It is noticeable that the location of sea-ice edge in
 268 the two SMOS products marches well with that of the TP4 reanalysis (Fig. 2a, d).
 269 Outside of the sea-ice covered region in the Arctic (represented by the 15% sea ice



270 concentration in Fig. 2) there is a good agreement between the subpolar SSS fields
271 of the two reanalyses and the climatologies. Over the sea-ice covered region, the
272 TP4 shows a gradual decrease from the sea-ice edge in the Nordic Seas with the
273 minima around the Beaufort Sea and the East Siberian Sea (ESS; Fig. 2b), being
274 consistent with the result in the PHC (Fig. 2c). The features mentioned above,
275 especially the minimal center in the Beaufort Sea, are missing in MOI and WOA (Fig.
276 2e, f). The MOI and the WOA also show commonly a potential artificial projection
277 issue around the North Pole.

278 As a contrast in summer, Fig. 3 shows the SSS fields in September respectively from
279 the SMOS products, the reanalyses and the climatologies. Considerable differences
280 in the two SMOS products are also found in Fig. 3 similar to that shown in Fig. 2. The
281 SSS field from CEC is relatively fresher than the BEC. In comparison to the
282 climatologies, the BEC SSS reproduces a much better representation of the surface
283 salinity in this region. As to the SSS from the reanalyses (TP4 and MOI) and the
284 climatologies (PHC and WOA), Fig. 3 shows a good agreement in the Northern
285 Atlantic Ocean. However, the discrepancies among them collectively emerge under
286 the sea-ice cover in the Arctic. Over the sea-ice covered Arctic region, the TP4 and
287 the PHC share common features. On the other hand, MOI and WOA do not portray
288 similar features and also show a projection issue around the North Pole.

289 Further, we quantify the differences between the TP4 and other SSS products.
290 Figure 4 shows the deviations of the monthly mean SSS in August from the five
291 products (BEC, PHC, CEC, MOI, and WOA), referred to the TP4. The two SMOS
292 products (Fig. 4a, c) show coherently negative deviations (~2 psu) along the sea-ice
293 edge in the marginal seas of the Beaufort Sea, the ESS, the Laptev Sea, and the
294 Kara Sea. Highlighted on the Arctic domain (>60°N), the SSS deviation of BEC in
295 August is about -0.5 psu with RMSD of 1.51 psu. Away from the sea-ice edge, the
296 deviation of BEC has a slight positive bias widely distributed in the Northern Atlantic
297 Ocean. For the CEC SSS, the averaged deviation is about -0.42 psu with RMSD
298 about 1.73 psu. Notably clear negative deviations appear in both BEC and CEC
299 products consistently along the sea-ice edge in the Beaufort Sea, the ESS, the
300 Laptev Sea and the Kara Sea. However, the deviations of two SMOS products in
301 August have clear differences over the north Atlantic and Arctic domain. While the
302 CEC has considerable negative deviations in the northern Atlantic with a minimum



303 over 1 psu located at the north of Denmark Strait, it has relatively strong positive
304 deviations near the coasts of the marginal seas around the Arctic.

305 The deviations in the northern Atlantic in MOI (Fig. 4d) and the two climatology
306 products are surprisingly small (Fig. 4b, e). However, over the sea-ice covered region
307 and its surrounding sea waters, the differences are rather significant. The PHC has a
308 relatively small negative deviation over the majority of the Arctic and north Atlantic
309 Oceans (Fig. 4b). However, around the sea-ice edge, the deviations are much larger.
310 On the other hand, MOI and WOA have strong positive deviations over the Eurasian
311 basin (> 1 psu), with respective RMSD of 4.21 and 3.29 psu in the whole Arctic
312 region.

313 In September (Fig. 5d, e), the SSS deviations of MOI and WOA still show an
314 anomalously large RMSD of 2.96 and 2.28 psu respectively. The averaged SSS
315 deviation of PHC (Fig. 5b) becomes slightly less than in August mainly due to the
316 positive deviations along the sea-ice edge in the marginal seas. Although the two
317 SMOS SSS products from SMOS have the smallest deviation among the five
318 products (Fig. 5a, c) with RMSD less than 1.5 psu, the CEC has surprisingly strong
319 positive deviation of 0.42 psu along the marginal and coastal seas in contrast to the
320 negative deviation over the same area in August (Fig. 4).

321 The mean and RMSD of monthly mean SSS deviations for the five products relative
322 to TP4, are averaged over the Arctic domain and their time series are plotted in Fig.
323 6. Among the five products, MOI appears the strongest seasonality with the values
324 more than 4 psu for its RMSD deviation during July and August and around 2 psu
325 during the winter months. The corresponding mean deviations of MOI are over -2 psu
326 during summer months and -0.5 psu during winter months. WOA has the second
327 largest seasonality with RMSD deviation more than 3 psu during summer and a
328 mean deviation of about -1.5 psu. This suggests the MOI SSS is quite close to the
329 WOA in the Arctic domain. As for PHC, the RMSD varies around 1.5 psu through the
330 year, and its mean deviation has a significant seasonality of the mean deviations
331 over -0.5 psu during summer and less than 0.5 psu during winter. The RMSD
332 deviations show relatively weak seasonality in the two SMOS SSS products. During
333 summer months, the RMSDs of both products are about 1.5 psu, while during winter
334 months the RMSDs of BEC and CEC vary respectively about 0.5 and 1.0 psu.

335 Throughout the whole year, the RMSDs of BEC are consistently smaller than that of



336 CEC. This indicates that the BEC SSS keeps consistency with that from TP4,
337 although the mean deviations of BEC show a slight negative bias.

338

339 **4. Evaluation by in-situ observations**

340 Referred to Eqs. 1-2, the quantitative misfits of SSS products from the SMOS, the
341 reanalyses and the climatologies are calculated against the discrete in-situ
342 observations described in Section 2.3. For TP4 and BEC, the SSS evaluation is
343 conducted on the in-situ observing dates. For CEC and MOI, the corresponding
344 evaluation is made at the product date nearest backwards in time to the observing
345 dates. For PHC and WOA, the in-situ observations are sorted to monthly bin and
346 evaluated in each month. As shown in Fig. 1a, the SSS observations from CORA5.1
347 during the three years are distributed unevenly over the pan-Arctic area. Due to the
348 non-homogenous distribution of the observations, the evaluation of the gridded SSS
349 products against in-situ observations is limited to the observational-dense domains.
350 Here, we specifically focus our evaluation over the two domains: the northern Atlantic
351 Ocean during the entire period and the Beaufort Sea during summer seasons when
352 the surface is exposed owing to the sea ice melting.

353

354 *4.1 In the northern Atlantic Ocean and Nordic Seas*

355 In the northern Atlantic Ocean including the sub-regions from S4 to S7 (Fig. 1a),
356 23626 salinity observations are available for this evaluation, corresponding to more
357 than 97% of all valid observations over the Arctic domain from CORA5.1. Figure 7
358 shows the mean deviation of SSS for each product during the years of 2011-2013.
359 Over the northern Atlantic oceans including the Norwegian Sea and the Greenland
360 Sea, the considerable negative biases (<-0.16 psu) are shown in the products of
361 CEC, PHC and WOA (Fig. 7c, d, f). Among of them, the CEC shows significantly high
362 spatial variability. The SSS products of BEC, TP4 and MOI (Fig. a, b, e) have
363 relatively small bias (<0.08 psu), especially the MOI shows the minimal deviations in
364 most of this region.

365 If only comparison of the SSS between the BEC and the TP4, the latter has two
366 stronger positive biases appearing along the southern Norwegian coast and along
367 the Greenland west coast, although it has obviously smaller bias than the BEC in the
368 open seas. Against the Argo profiles from the Coriolis data center, SMOS-BEC Team
369 (2016) found the RMSDs of the BEC SSS in the Arctic ($>50^{\circ}\text{N}$) are mostly less than



370 0.4 psu, but also showing the interannual variability like in the summer of 2012 the
371 RMSD close to 0.8 psu. The RMSDs of the BEC SSS in the northern Atlantic Ocean
372 (S6 and S7 in Table 1) are less than 0.4 psu, but near the coast regions (S4 and S5
373 in Table 1) the RMSDs are over 1 psu. It further indicates the BEC quality has a
374 strong dependency on the locations.

375 Figure 8 shows the Root Mean Square (RMS) deviations of SSS for the all products
376 over the northern Atlantic Ocean and the Nordic Seas. Averaged in the local domain,
377 the maximal deviation among the six products can be found about 1.0 psu in the
378 CEC (Fig. 8d) in which high spatial variability is also profound. The minimal deviation
379 among them is found about 0.4 psu in the MOI (Fig. 8e), in which similar magnitude
380 of the RMSDs are distributed over the entire domain relatively evenly. The deviations
381 of PHC and WOA (Fig. 8c, f) also show relatively evenly distributions around the
382 average of 0.51 and 0.59 psu respectively. In case of the BEC (Fig. 8a), the
383 averaged RMS deviation about 0.57 psu is partly attributed to the strong deviations
384 along the southern Norwegian coast and near the sea-ice edge in the Greenland
385 Sea, which also are found in the CEC. Owing to these high RMSD values along the
386 coast and the ice edge, the RMSD of the BEC is obviously higher than that of about
387 0.4 psu evaluated by SMOS-BEC Team (2016). As for TP4 (Fig. 8b), we can confirm
388 that the SSS near the coast also are subject to strong deviation. Despite the RMSD
389 deviation in the TP4 over the open sea is less than 0.3 psu, but the averaged
390 deviation in the entire domain reaches to 0.61 psu.

391 Around the core Arctic region (S0-S3 in Fig. 1a), the western Barents Sea (S3 in Fig.
392 1a) is the only sub domain where the in-situ data from CORA5.1 covers densely
393 having 509 SSS observations. We expect a high reliability in the estimation of SSS
394 uncertainty over this area. The RMSDs for BEC, TP4 and MOI are around 0.35 psu,
395 around 0.5 psu for the climatologies, and growing up to 1.36 psu for CEC (see Table
396 1). In contrast, the sea-ice covered regions of S0, S1, and S2 are monitored by
397 CORA5.1 quite sparsely with number of SSS observations 19, 36, and 59
398 respectively during the three years. Thus, relevance of the evaluated bias and RMSD
399 in these regions are questionable. Next, we evaluate the SSS products over the
400 Beaufort Sea against in-situ data fully independent from CORA5.1 to avoid using the
401 salinity profiles have been assimilated in the TOPAZ reanalysis.

402

403 *4.2 In the summer of Beaufort Sea*



404 Over the Beaufort Sea during the summer months of 2011-2013, the independent in-
405 situ data are obtained from the BGEP and the CLIVAR both described in Section 2.3,
406 whose locations are marked in Fig. 1b. Evaluations of the six SSS products against
407 the in-situ data in the summer Beaufort Sea are plotted in Fig. 9. The SSS
408 observations from in-situ data range from 15 to 33 psu. The BEC SSS ranges from
409 24 to 31 psu with a bias of 0.65 psu and RMSD of 2.63 psu. On the same panel, the
410 TP4 ranges from 26 to 32 psu, with a bias of 2.73 psu and RMSD of 3.85 psu. The
411 linear regression coefficients for BEC and TP4 are 0.6 and 0.15 respectively. It is
412 found that the significant deviations of BEC and TP4 from the in-situ observations are
413 attributed to the particular four observations around (136.4°W, 70.5°N) collected on
414 15th August 2011 of which locations are marked in Fig. 1b by anti-triangles. They
415 become on the continental shelf near the estuary of Mackenzie River, where the
416 strong fresh water signature could be originated to river discharge.

417 For the climatologies, the PHC ranges from 25 to 31 psu, which is similar to that of
418 TP4, with a bias of 1.77 psu and RMSD of 3.13 psu. Compared to the TP4 deviation
419 at the Mackenzie River basin, the deviations of the PHC are quite similar, but slightly
420 lower range. This infers that the strong positive bias in the TP4 at these points
421 mostly originated the SSS relaxation in the TOPAZ model towards the PHC
422 climatology. In case of another climatology, the WOA ranges from 12 to 31 psu,
423 much wider than the range of PHC. This contributes the minimal bias of the WOA
424 about 0.02 psu among the six products, over the Beaufort Sea during all the
425 summers. However, it should be noticed that the range of in-situ observations
426 becomes much wider under 24 psu, which contributes a major source of the large
427 RMSD over 3.0 psu for both of PHC and WOA. It further suggests both climatology
428 products have a big representing uncertainty over the coastal fresh sea water (<24
429 psu) dominated region in the Arctic Ocean.

430 The CEC SSS ranges from 18 psu to 34 psu which is significantly wider than the
431 range of the BEC. The SSS bias of CEC is about 2.7 psu and its RMSD is about 3.9
432 psu. Again, the CEC deviations from the in-situ observations become wider in the
433 range where the SSS is less than 24 psu. For the MOI, the satellite and in-situ data
434 combined product, a negative bias is significant of more than 4 psu and the RMSD is
435 more than 7 psu. Contrast to other five SSS products, the anomalously fresh SSS
436 observed around (140°W, 71°N) near the estuary of Mackenzie River are represented
437 by further fresher values of around 12 psu in the MOI.



438 In order to characterize dependencies of the bias for the six SSS products against
439 the in-situ data, their absolute biases are paired plotted as a function of observed
440 SSS in Fig. 10. In general, all products show considerable deviations by the maxima
441 reaching 8 to 14 psu. While the absolute misfits of the most of SSS products
442 monotonically increase towards lower salinity range, the bias of MOI shows its peak
443 around 20 psu shown in Fig. 10c. The fourth-order polynomial curve function,

$$444 \quad F(S) = p_1 S^4 + p_2 S^3 + p_3 S^2 + p_4 S + p_5 \quad (3)$$

445 is then fit to the absolute bias for each of the SSS products, where S represents the
446 in-situ salinity. The fitting coefficients from p_1 to p_5 for each product are listed in Table
447 2. The norm residuals printed on each panel of Fig. 10 clearly show that fitting for
448 MOI contains the largest uncertainty while the minimal norm residuals no more than
449 7 psu^2 are obtained for BEC and TP4. This suggests the derived fitting curves for
450 BEC and TP4 have credible skill in charactering its error distribution as a function of
451 the observed SSS. Both curves monotonically decrease towards the salinity greater
452 than 28 (30) psu for BEC (TP4) and increase slightly afterwards. The absolute bias in
453 TP4 is consistently larger than that in BEC. Although with lower amplitudes, the fitted
454 curves of PHC and WOA have the similar functional forms of TP4 and BEC. Their
455 relative relation of the fitted curves, PHC being consistently larger than WOA, is also
456 similar to that between TP4 and BEC.

457

458 5. Conclusions

459 In order to understand the uncertainty of monitoring and reproduction of the Arctic
460 SSS in existing multi-source datasets, the two gridded SMOS SSS products (BEC
461 and CEC), two CMEMS reanalyzed products (TP4 and MOI), and two climatologies
462 (PHC and WOA) are first evaluated by intercomparison and secondly against in-situ
463 data during the years of 2011-2013. The monthly means of SMOS SSS (Fig. 2 and
464 Fig.3) clearly show the two SMOS products have equivalent data coverage in winter
465 months but obviously different in summer months due to the applied different BT
466 filtering flags. The salinity patterns from TP4 and PHC are considerably close to each
467 other, which is consistent to the fact that the SSS in the TOPAZ model is relaxed to
468 the PHC SSS at each time step. The monthly SSS patterns of MOI are clearly close
469 to that of WOA, and they both show some partial incompatibility near the North Pole
470 owing to the map projection (shown as in Fig. 2).



471 Relative to the TP4 SSS, the deviations of the four products (BEC, MOI, WOA and
472 PHC) show similar magnitude over the open waters, but the CEC shows an obviously
473 negative bias (<-1 psu) over the region extending from the Iceland towards the
474 western side of Ireland (Fig. 4, 5). This significant negative bias of the CEC should be
475 paid further attention in future evaluation studies about this SSS product. In general,
476 the most significant differences among the SSS deviations relative to the TP4 are
477 found under the Arctic sea-ice cover and in its surrounding marginal seas.

478 The BEC SSS in August and September (Fig. 4, 5) shows consistent negative
479 deviations along the sea-ice edge in the Beaufort Sea and the Chukchi Sea, but the
480 CEC along the ice edge shows the opposite deviations in these two months. This
481 indicates special attention is necessary for selecting a suitable SMOS SSS product to
482 be assimilated into an ocean and sea-ice forecasting system. The two SMOS
483 products would give rise to significantly different impacts to the concerned ocean
484 mixing so that the SSS quantitative evaluations of two products for optimal selection
485 or blending would be worthy of further studying.

486 Focusing the core Arctic domain ($>60^{\circ}\text{N}$), the deviations of the five SSS products
487 relative to the TP4 show the diversely seasonal characteristics (Fig. 6). The MOI has
488 the largest seasonality in which the RMSD varies from over 1.5 psu in winter to over
489 4 psu in summer. The second largest seasonality can be found in the WOA with the
490 RMSD ranges from 1.5 psu to 3.5 psu. The RMSDs of CEC and PHC show similar
491 seasonality, but their mean deviations have opposite phases. The CEC has positive
492 bias (>0.5 psu) in September and October, and negative bias (<-0.5 psu) in February
493 and March while the PHC has negative deviation during the summer months (June-
494 October) and positive deviation during the winter months (December-April). Last of
495 all, the BEC SSS shows negative bias of less than 0.5 psu for all months, and its
496 RMSD has the smallest magnitude among the six SSS products, which ranges from
497 about 0.5 psu in winter months to about 1.5 psu in summer months. This concludes
498 that the BEC SSS has the most consistent pattern with the TP4 among all the
499 evaluated SSS products.

500 Against the in-situ data from CORA5.1 which have been used in the TP4 and the
501 MOI, the quantitative evaluations of the six SSS products have been investigated in
502 the northern Atlantic Ocean and the Nordic Seas, but in the sea-ice covered region
503 they are hindered by the sparse observations in the Arctic. In the northern Atlantic
504 Ocean domain, the MOI and the TP4 have relatively small misfits against in-situ data



505 (Fig. 7, 8). For two climatology datasets, the WOA and the PHC, both show
506 considerable negative bias (<-0.16 psu) and large RMSD (>0.5 psu). The CEC
507 shows the biggest RMSD (>1 psu) among all the six SSS products and mostly
508 negative bias (<-0.16 psu) with high spatial variability. Similar strong positive salinity
509 biases along the south-west Norwegian coast and along the south-west coast of
510 Greenland Island, are also found in the BEC but smaller than that in the TP4.
511 Highlighting in the Beaufort Sea, there are 193 valid SSS observations from BGEF
512 and CLIVAR, which have not been used in the TP4 and much denser than the
513 corresponding coverage in CORA5.1 (Fig. 1a). The linear regression against these
514 independent SSS observations suggests the BEC has the smallest RMSD of 2.63
515 psu with a positive bias of 0.65 psu, and the CEC has larger RMSD of about 3.9 psu
516 with a larger positive bias of 2.71 psu (Fig. 9). Equivalently, the TP4 also shows large
517 RMSD of about 3.85 psu with a large positive bias of 2.73 psu, but they are obviously
518 smaller than the corresponding misfits of the MOI which has the RMSD of 7.18 psu
519 with larger negative bias of -4.3 psu. As for the two climatologies, the WOA and the
520 PHC both have RMSD more than 3 psu but with significantly small bias in the WOA.
521 Overall, the large uncertainty found in a linear regression of all products is attributed
522 to large product-observation mismatch for in situ salinity data less than 24 psu, which
523 are observed over the continental shelf near the estuary of Mackenzie River.
524 In order to characterize the product-data misfits, the absolute deviations of all six
525 products against in-situ data, the 4th order polynomial function is fitted to the
526 deviation as a function of observed salinity (Fig.10). The absolute deviations of most
527 of the products except for MOI monotonically decrease as observed salinity increase.
528 The norm residuals for BEC and TP4 are the smallest of 6.28 and 6.88, respectively,
529 among all six products and the fitted curves give certain confidence in estimating size
530 of error in each SSS products. The fitted curve reaches its smallest value of about
531 0.5 psu at the in-situ salinities of 28 psu and 30 psu for BEC and TP4 respectively.
532 Both fitted curves for CEC and MOI have large norm residuals of 16.7 and 64.20
533 respectively. Note that special attention must be paid in if applying the MOI in the
534 Arctic Ocean due to its large negative bias and RMSD, although its smallest misfits
535 against CORA data in the northern Atlantic oceans among others.
536 Validation of the SSS products against TP4 product and in situ data conducted above
537 suggest certain benefit can be expected in assimilating the SMOS product like the
538 BEC, into the TOPAZ Arctic ocean analysis-forecast system. The knowledge on error



539 structure in the SSS products earned in this study will help us to reasonably estimate
540 the observation error for the SMOS product which is required by a data assimilation
541 system. Due to the poor spatial coverages of CORA in situ data in the Arctic Ocean,
542 the more data especially from the Arctic Ocean marginal seas should be compiled
543 from independent data source for validating the SMOS SSS products. The newest
544 SMOS product (Olmedo et al., 2018) that covers the years of 2010-2017 became
545 available recently. Validation of the SMOS SSS product for the longer period together
546 with the extended in situ data is under preparation now as the next step.

547

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555

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Captions of Table and Figures:

Table 1. Misfits of SSS relative to the in-situ observations from CORA5.1 during the years of 2011-2013 in the eight regions from s0 to s7. The bold numbers denote the minimal misfits among the six SSS products.

Region	Bias (psu)						RMSD (psu)					
	BEC	CEC	TP4	MOI	PHC	WOA	BEC	CEC	TP4	MOI	PHC	WOA
S0	-	-	-.97	-.44	-1.12	-.64	-	-	1.38	.52	1.43	1.42
S1	.56	2.80	2.80	-2.1	.75	-.17	4.95	3.78	4.11	4.39	3.78	2.04
S2	-1.42	.65	.70	-2.74	-1.77	-1.37	1.81	2.15	2.41	3.87	2.90	2.71
S3	.05	-.70	-.15	-.22	-.31	-.27	.33	1.36	.35	.37	.52	.46
S4	.05	-.15	.16	.14	.04	.08	1.28	1.52	1.29	1.27	1.32	1.26
S5	-.06	.16	.20	.05	.05	.13	1.87	1.95	1.83	1.82	1.80	1.77
S6	.09	.10	0.0	-.01	-.10	.04	.32	.66	.13	.11	.29	.16
S7	.15	.45	.03	-.04	-.25	-.03	.39	.89	.33	.23	.44	.27

Table 2. The fitting coefficients about the absolute deviations as a function of the in-situ SSS for the six products using a polynomial curve function by 4 order (as Eq. 3).

Product	F(p ₁ , p ₂ , p ₃ , p ₄ , p ₅ , s)					Norm residual (r ²)	Samples of in situ
	p ₁ (x10 ⁻³)	p ₂	p ₃	p ₄	p ₅		
BEC	-0.162	0.0177	-0.6604	9.409	-34.7806	6.88	72
CEC	-0.632	0.0542	-1.687	22.158	-96.720	16.70	111
TP4	1.293	-0.124	4.359	-67.952	404.356	6.28	193
MOI	-1.119	0.128	-5.302	94.124	-591.313	64.20	185
PHC	0.943	-0.0867	2.938	-44.118	256.0477	11.47	193
WOA	-0.131	0.0122	-0.414	5.713	-21.22	28.64	193

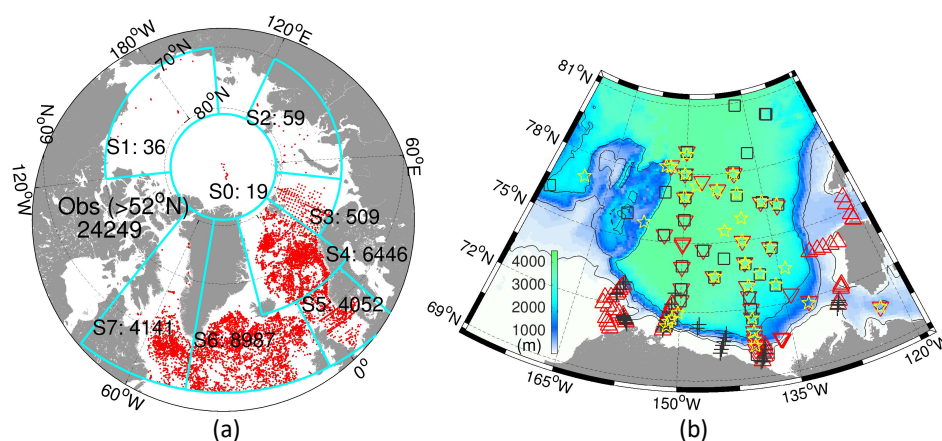


Fig. 1 (a): SSS locations of the in-situ observations north of 52°N in CORA5.1 during the years of 2011-2013. They are divided into 8 regions around Arctic Ocean, and the number of observations in each region are marked on the panel. **(b):** SSS observations in the Beaufort Sea during the summer months of 2011-2013. They are collected from the BGEF (marked by anti-triangles, squares, and stars) and the CLIVAR (marked by triangles and crosses) respectively, and with different color in which the red (black or yellow) denotes the observations in 2011 (2012 or 2013).

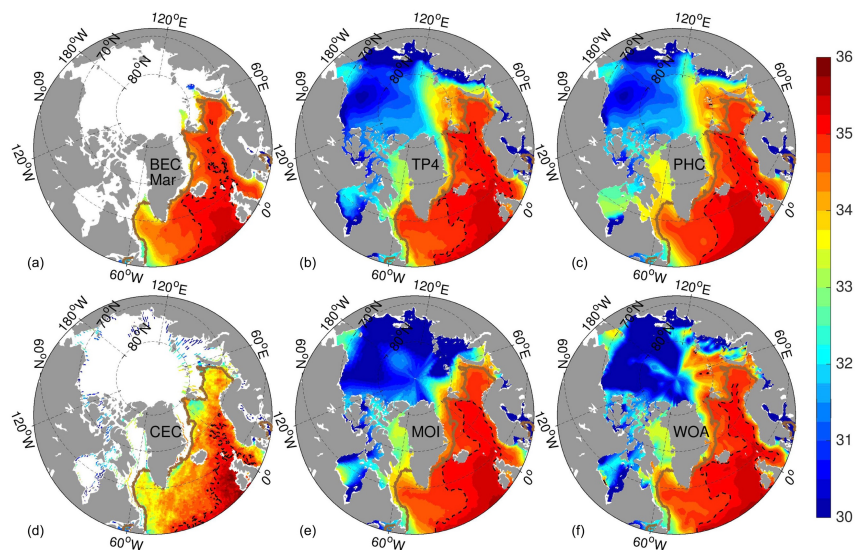


Fig. 2 Monthly SSS (unit: psu) in March from satellite products (BEC and CEC, *left column*), reanalyses (TP4 and MOI, *middle column*), and climatology (PHC and WOA, *right column*). The thick brown line represents sea ice extent (15% concentration from TOPAZ4), and the black shaded isoline represents the salinity of 35 psu near surface.

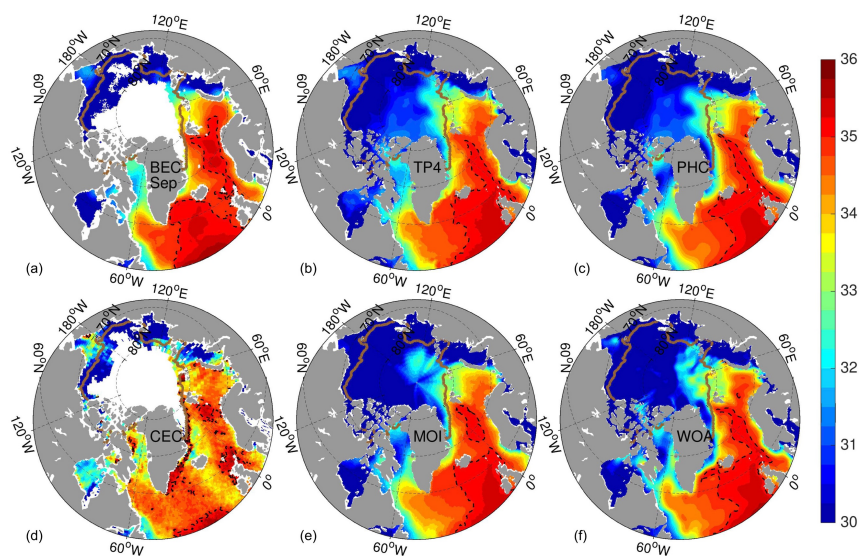


Fig. 3 Monthly mean of SSS (unit: psu) in September from satellite products (BEC and CEC, *left column*), reanalyses (TP4 and MOI, *middle column*), and climatology (PHC and WOA, *right column*), other same as Fig. 2.

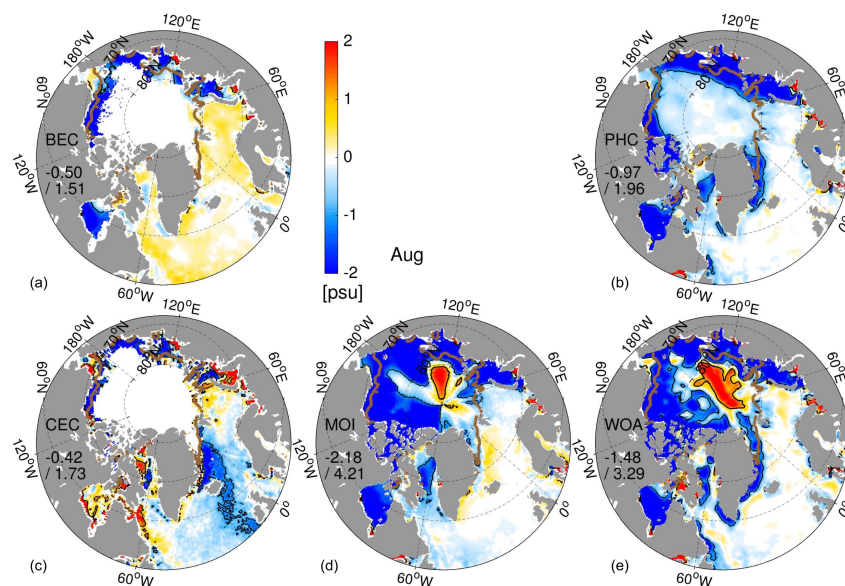


Fig. 4 Deviations of monthly SSS (unit: psu) in August for the 5 products of (a) BEC; (b) PHC; (c) CEC; (d) MOI; and (e) WOA relative to TP4. The thick brown line represents sea ice extent (15% concentration from TP4), the black lines represent ± 1 psu.

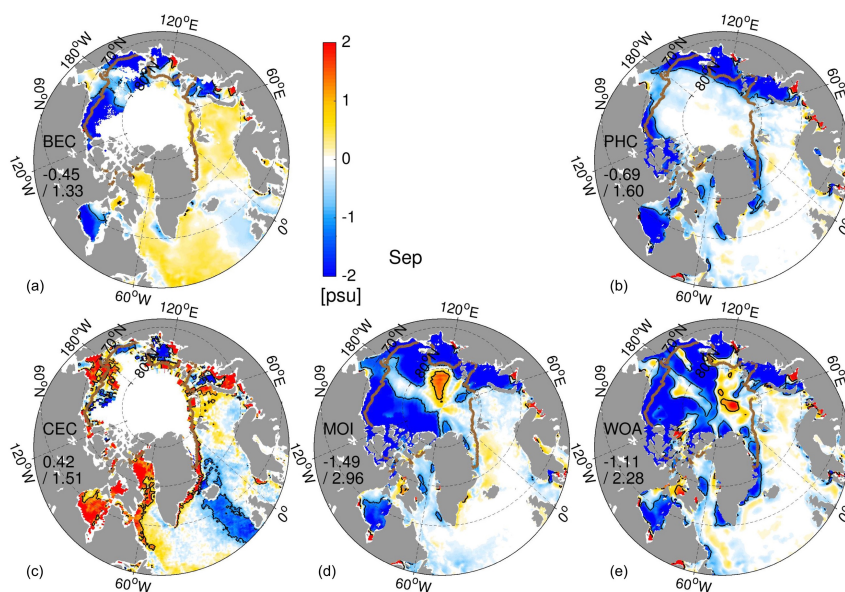


Fig. 5 Deviations of month SSS (unit: psu) in September for the 5 products of (a) BEC; (b) PHC; (c) CEC; (d) MOI; and (e) WOA relative to TP4. The thick brown line represents sea ice extent (15% concentration from TP4), the black lines represent ± 1 psu.

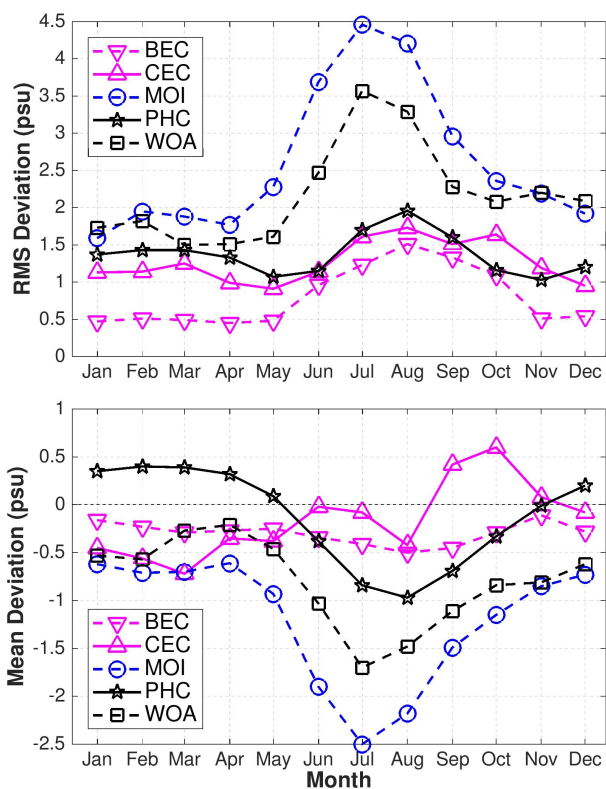


Fig. 6 RMSD (upper) and mean (bottom) deviations of monthly mean SSS (unit: psu) relative to TP4 in the Arctic Ocean (>60°N) for the period of 2011-2013. The anti-triangle (triangle, circle, star and square) line denotes the SSS deviations from BEC (CEC, MOI, PHC and WOA) respectively.

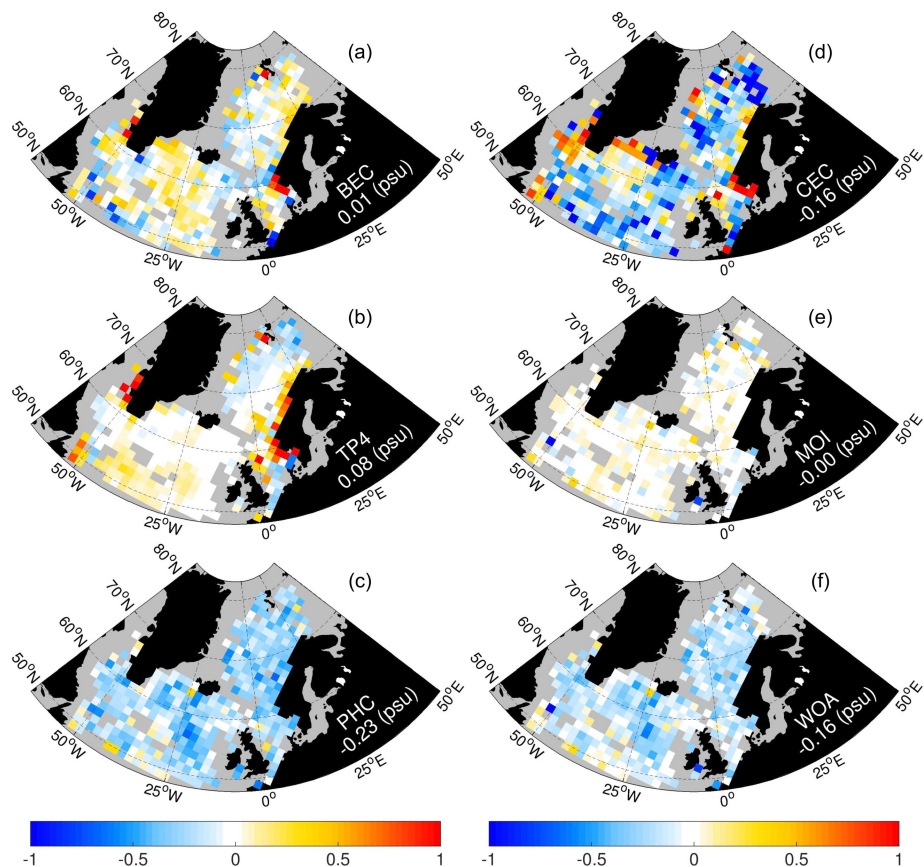


Fig. 7 The mean deviation of SSS for the six datasets compared to in situ observations from CORA 5.1 during the three years of 2011-2013 in the northern Atlantic and Nordic seas. The SSS observations are distributed into the coarse grid cells of 9x9 grids in TP4, with a gray mask if the valid observations less than 10.

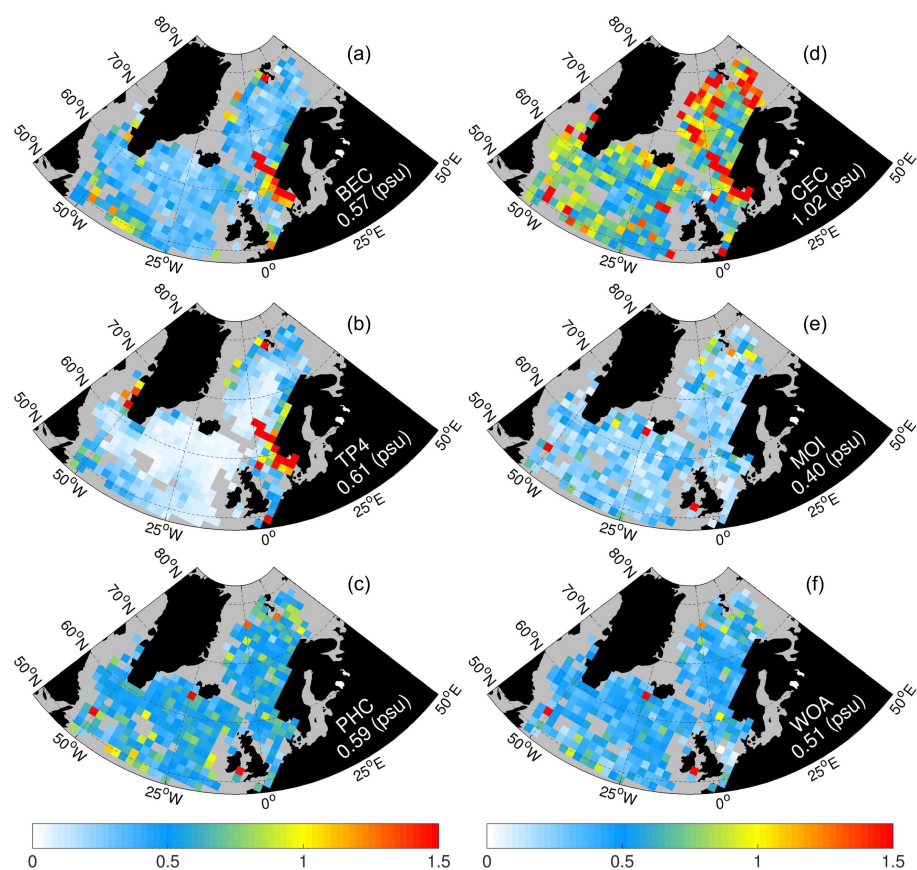


Fig. 8 The Root Mean Square deviation of SSS for six datasets compared to in situ observations from CORA 5.1 during the three years of 2011-2013 in the northern Atlantic and Nordic seas. The SSS observations are distributed into the coarse grid cells of 9x9 grids in TP4, with a gray mask if the valid observations less than 10.

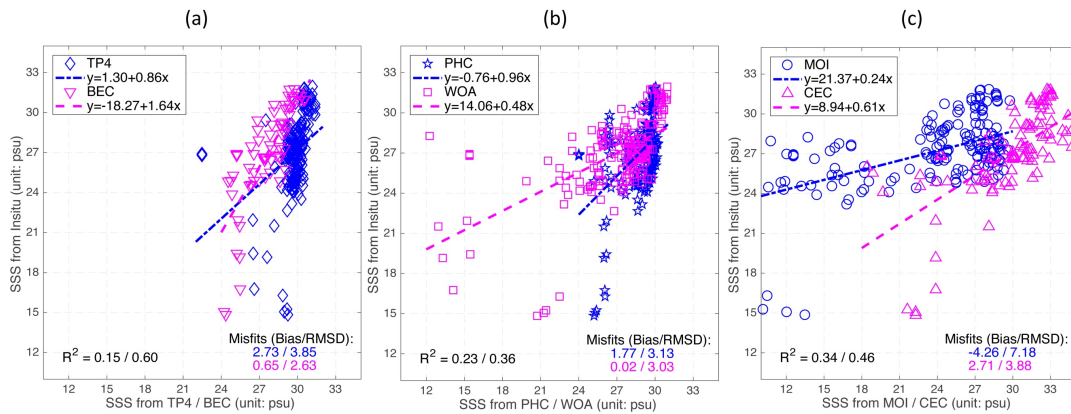


Fig. 9 Scatterplots of SSS compared to the in-situ observations in Beaufort Sea during the summer months of 2011-2013. Left: The diamond (anti-triangle) represents the SSS from TP4 (BEC) with blue (purple), and the linear regression is denoted by the dashed blue (pink) line. Middle: The star (square) from the climatology of PHC (WOA). Right: the circle (triangle) represents from MOI (CEC). The coefficient R^2 is the squared linear relationship, and the mean/RMS deviation also shown on the panels.

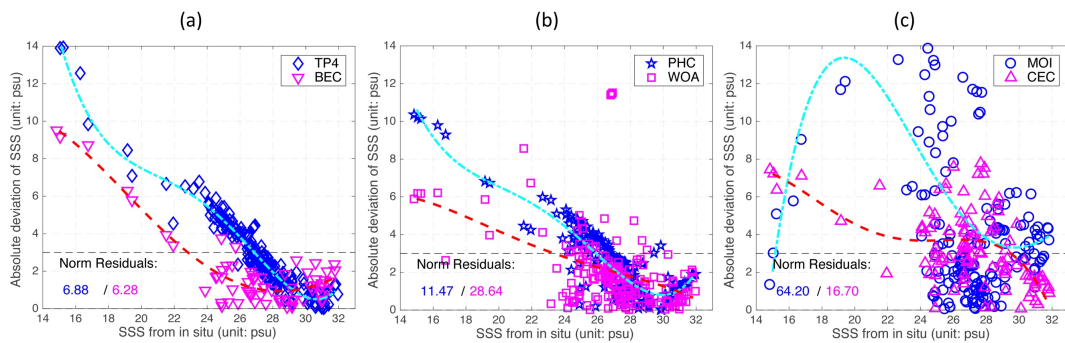


Fig. 10 Scatterplots of SSS uncertainty compared to the in-situ observations in Beaufort Sea as a function of the observed salinity. The black dashed line represents the absolute deviation of 3 psu. Left: The diamond (anti-triangle) represents from TP4 (BEC) with blue (purple). Middle: The star (square) from the climatology of PHC (WOA). Right: the circle (triangle) represents from MOI (CEC). The thick dashed curves are fitted by the fourth order polynomial function, and the norm residuals are marked on panel respectively.