

1 **Estimation of oceanic sub-surface mixing under a severe cyclonic storm**
2 **using a coupled atmosphere-ocean-wave model**

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6 **Abstract**

7 A coupled atmosphere-ocean-wave model used to examine mixing in the upper oceanic
8 layers under the influence of a very severe cyclonic storm Phailin over the Bay of Bengal (BoB)
9 during 10-14 October 2013. Model simulations highlight prominent role of cyclone induced near-
10 inertial oscillations in sub-surface mixing up to the thermocline depth. The inertial mixing
11 introduced by the cyclone played a central role in deepening of the thermocline and mixed layer
12 depth by 40 m and 15 m, respectively. A detailed analysis of inertial oscillation kinetic energy
13 generation, propagation, and dissipation was carried out at a location in northwestern BoB. ~~The~~
14 ~~peak magnitude of kinetic energy in baroclinic and barotropic currents found to be $1.2 \text{ m}^2 \text{ s}^{-2}$ and~~
15 ~~$0.3 \times 10^{-2} \text{ m}^2 \text{ s}^{-2}$, respectively. The power spectrum analysis suggested a dominant frequency~~
16 ~~operative in sub-surface mixing was associated with near inertial oscillations. The peak strength~~
17 ~~of $0.84 \text{ m}^2 \text{ s}^{-1}$ in zonal baroclinic current found at 14 m depth. The baroclinic kinetic energy remain~~
18 ~~higher ($> 0.03 \text{ m}^2 \text{ s}^{-2}$) during 11-12 October and decreased rapidly thereafter. The wave number~~
19 ~~rotary spectra identified the downward propagation, from surface up to the thermocline, of energy~~
20 ~~generated by inertial oscillations. A quantitative analysis of shear generated by the near inertial~~
21 ~~baroclinic current showed higher shear generation at 40-80 m depth during peak surface winds.~~
22 ~~Analysis highlights that greater mixing within the mixed layer take place where the eddy kinetic~~
23 ~~diffusivity was high ($> 6 \times 10^{11} \text{ m}^2 \text{ s}^{-1}$). The turbulent kinetic energy dissipation rate increased from~~
24 ~~4×10^{-14} to $2.5 \times 10^{-13} \text{ W kg}^{-1}$ on approaching the thermocline that dampened mixing process further~~
25 ~~downward into the thermocline layer. Large shear generated by the inertial oscillations found to~~
26 ~~overcome the stratification and initiate mixing at the base of the mixed layer. Greater mixing was~~
27 ~~found at the depths where the eddy kinetic diffusivity was large. The baroclinic current, holding a~~
28 ~~larger fraction of kinetic energy than the barotropic current, weakened rapidly after the passage of~~
29 ~~cyclone. The shear-induced by inertial oscillations found to decrease rapidly with increasing depth~~
30 ~~below the thermocline. The dampening of mixing process below the thermocline explained~~

31 through the enhanced dissipation rate of turbulent kinetic energy upon approaching the
32 thermocline layer. The wave-current interaction, non-linear wave-wave interaction were found to
33 affect the process of downward mixing and cause the dissipation of inertial oscillations.

36 1. Introduction

37 The Bay of Bengal (BoB), a semi-enclosed basin in the northeastern Indian ~~Ocean~~ ocean,
38 consists of surplus near-surface fresh water due to large precipitation and runoff from the major
39 river systems of the Indian subcontinent (Varkey et al., 1996; Rao and Sivakumar, 2003; Pant et
40 al., 2015). Presence of fresh water leads to salt-stratified upper ocean water column and formation
41 of barrier layer (BL), a layer sandwiched between bottom of the mixed layer (ML) and top of the
42 thermocline, in the BoB (Lukas and Lindstrom, 1991; Vinayachandran et al., 2002; Thadathil et
43 al., 2007). The BL restricts entrainment of colder waters from thermocline region into the mixed
44 layer thereby, maintains warmer ML and sea surface temperature (SST). The warmer SST together
45 with higher tropical cyclone heat potential (TCHP) makes the BoB as one of the active regions for
46 cyclogenesis (Suzana et al. 2007; Yanase et al. 2012, Vissa et al. 2013). Majority of tropical
47 cyclones generate during the pre-monsoon (April-May) and post-monsoon (October-November)
48 seasons (Alam et al., 2003; Longshore, 2008). The number of cyclones and their intensity is highly
49 variable in seasonal and interannual time scales. The stratification of the ~~Ocean~~ ocean is one of the
50 important factor~~s~~s to drive the ocean response of the tropical cyclone. The BL formation in the BoB
51 is associated with the strong stratification due to the peak discharge from rivers in the post-
52 monsoon season. The intensity of the cyclone largely depend~~s~~s on the degree of stratification (Neetu
53 et al. 2012; Li et al. 2013).

54 Mixing in the water column has an important role in energy and material transference.
55 Mixing in the ocean can be introduced by the different agents such as wind, current, tide, eddy,
56 and cyclone. Mixing due to tropical cyclones is mostly limited to the upper ocean but the cyclone-
57 induced internal waves can affect the subsurface mixing. Several studies have observed that the
58 mixing in the upper oceanic layer is introduced due to the generation of near-inertial oscillations
59 (NIO) during the passage of tropical cyclones (Gonella, 1971; Shay et al., 1989; Johanston et al.,

60 2016). This mixing is responsible for deepening of ML and shoaling of the thermocline (Gill,
61 1984). The vertical mixing caused by storm-induced NIO has a significant impact on the upper
62 ocean variability (Price, 1981). The NIO are also found to be responsible for the decrement of SST
63 along the cyclone track (Chang and Anthes, 1979; Leipper, 1967; Shay et al., 1992; Shay et al.,
64 2000). This decrease in SST is caused by the entrainment of cool subsurface thermocline water in
65 the mixed layer into the immediate overlying layer of water. This cooling of surface water is one
66 of the components of the decaying mechanism of the stormy event (Cione and Uhlhorn, 2003).
67 There is a remarkable difference in the magnitude of this cooling of surface temperature moving
68 on the highly stratified to less or weakly stratified bay locations those are falling at the rightward
69 to the cyclone track (Jacob, 2003; Price et al., 1981).

70 The near-inertial process can be analyzed from the baroclinic component of currents. The
71 vertical shear of horizontal baroclinic velocities that is interrelated to buoyancy oscillations of
72 surface layers ~~are is~~ utilized in various studies to have an adequate understanding of the mixing
73 associated with high frequency oscillations i.e. NIO (Zhang et al., 2014). The shear generated due
74 to NIO is an one of the important factor ~~other than the wind stress~~ for the intrusion of the cold
75 thermocline water into the ML during near-inertial scale mixing (Price et al., 1978; Shearman,
76 2005; Burchard and Rippeth, 2009). The alternative upwelling and downwelling features of the
77 temperature profile are an indication of the inertial mixing. The Kinetic energy bounded with these
78 components of current shows a rise in magnitude at the right side of cyclone track (Price et al.,
79 1981; Sanfoard et al., 1987; Jacob, 2003). The reason for this high magnitude of kinetic energy is
80 linked with strong wind and rotating wind vector condition of the storm.

81 In several studies (Chang et al., 2008; Lin et al., 2008; Shang et al., 2008; Lin et al., 2003;
82 Zhao et al., 2009), upper ~~Ocean~~ ocean response for various cyclonic events is also inspected and
83 proved for the enhancement of primary productivity during post-cyclone state of the ~~Ocean~~ ocean.
84 At the time when a storm is active and prior to it, the surface concentration of chlorophyll-a (Chl-
85 a), a proxy for the concentration of primary productivity is comparatively lower than that of the
86 post-cyclonic state of the ocean surface (Sarangi, 2011, Latha et al., 2015). This increment in the
87 chlorophyll is dependent on the relative entrainment of the cool subsurface water, enriched in
88 nutrient under the influence of energetic near-inertial wave mixing caused by the tropical
89 cyclones.

90 ~~The Aim~~aim of this paper is to understand and quantify the near-inertial mixing due to the
91 Phailin, a very severe cyclonic storm (VSCS) in the BoB. The study also focuses on analyzing the
92 subsurface distribution of NIO with its vertical mixing potential. Further, the study quantifies the
93 shear generated mixing and the kinetic energy of these baroclinic mode of horizontal current
94 varying in the vertical section at a selected location during the active period of the cyclone. The
95 dissipation rate of NIO and turbulent eddy diffusivity are quantified.

96 2. Data and Methodology

97 2.1 Model details

98 Numerical simulations during the period of VSCS Phailin were carried out using the
99 coupled ~~Ocean Atmosphere wave Sediment~~—ocean-atmosphere-wave-sediment transport
100 (COAWST), described in detail by Warner et al. (2010). COAWST modeling system couples the
101 three-dimensional oceanic model 'Regional Ocean Modeling System' (ROMS), the atmospheric
102 model 'Weather Research and Forecasting' (WRF), and the wind wave generation and propagation
103 model 'Simulating Waves Nearshore' (SWAN). ROMS model used for the study is a free surface,
104 primitive equation, sigma coordinate model. ROMS is a hydrostatic ocean model that solves finite
105 difference approximations of the Reynolds averaged Navier-Stokes equations (eChassignet et al
106 2000; Haidvogel et al. 2000, Haidvogel et al. 2008; Shchepetkin and McWilliams 2005). The
107 atmospheric model component in the COAWST is a non-hydrostatic, compressible model
108 'Advanced Research Weather Research Forecast Model' (WRF-ARW), described in Skamarock
109 et al., (2005). It has different schemes for representation of boundary layer physics and physical
110 parameterizations of sub-grid scale processes. In the COAWST modeling system, appropriate
111 modifications were made in the code of atmospheric model component to provide an improved
112 bottom roughness from the calculation of the bottom stress over the ocean (Warner et al., 2010).
113 Further, the momentum equation is modified to improve the representation of surface waves. The
114 modified equation needs the additional information of wave energy dissipation, propagation
115 direction, wave height, wave-length that are obtained from wave component of the COAWST
116 model.

117 The spectral wave model SWAN, ~~used in the COAWST modeling system~~, is designed for shallow
118 water. The wave action balance equation is solved in the wave model for both spatial and spectral
119 spaces (Booij et al. 1999). ~~In the COAWST, the ocean model ROMS simulated free surface~~

120 elevations (ELV), and current (CUR) are provided to the wave model SWAN. The Kirby and Chen
121 (1998) formulation has been used for the computation of current. The Model Coupling Toolkit
122 (MCT) used as a coupler in the COAWST modeling system to couple different model components
123 (Larson et al., 2004; Jacob et al., 2005). A parallel-coupled approach is utilized by the coupler that
124 permits the transmission and transformation of various distributed parameters between component
125 models. MCT coupler facilitates exchanges of prognostic variables from one model to another
126 model components. Further details on various parameters exchanged among the component
127 models of COAWST modeling system can be found in Warner et al. (2010). The SWAN model used
128 in the COAWST system includes the wave-wind generation, wave-breaking, wave-dissipation, and
129 nonlinear wave-current-wind interaction. The 'Model Coupling Toolkit' (MCT) used as a coupler in the
130 COAWST modeling system to couple different model components (Larson et al., 2004; Jacob et al., 2005).
131 The coupler utilizes a parallel-coupled approach to facilitate the transmission and transformation of various
132 distributed parameters among component models. MCT coupler exchanges prognostic variables from one
133 model to another model component as shown in Figure 1. The WRF model receives sea surface temperature
134 (SST) from the ROMS model and supplies the zonal (Uwind) and meridional (Vwind) components of 10-
135 m wind, atmospheric pressure (Patm), relative humidity (RH), cloud fraction (Cloud), precipitation (Rain),
136 shortwave (Swrad) and longwave (Lwrad) radiation to the ROMS model. The SWAN model receives
137 Uwind and Vwind from the WRF model and transfers significant wave height (Hwave) and mean
138 wavelength (Lmwave) to the WRF model. A large number of variables are exchanged between ROMS and
139 SWAN models. The ocean surface current components (Us, Vs), free surface elevations (η), and bathymetry
140 (Bath) provided to the SWAN from ROMS model. The wave parameters i.e. Hwave, Lmwave, peak
141 wavelength (Lpwave), wave direction (Dwave), surface wave period (Tpsurf), bottom wave period
142 (Tmbott), percentage wave breaking (Qb), wave energy dissipation (DISSwcap), and bottom orbit velocity
143 (Ubot) provided from the SWAN to ROMS model through the MCT coupler. Further details on the
144 COAWST modeling system can be found in Warner et al. (2010).

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147 2.2 Model configuration and experiment design

148 The coupled model was configured over the BoB to study the VSCS Phailin during the
149 period of 10 to 15 October 2013. The setup of COAWST modeling system used in this study
150 included fully coupled atmosphere-ocean-wave (ROMS+WRF+SWAN) models but the sediment

151 transport is not included. A non-hydrostatic, fully compressible atmospheric model with a terrain-
152 following vertical coordinate system, WRF-ARW (version 3.7.1) was used in the COAWST
153 configuration. The WRF model used with 9 km horizontal grid resolution over the domain 65 °E-105 °E,
154 1°N-34 °N and 30 sigma levels in the vertical. WRF was initialized with ‘National Centre for Environmental
155 Prediction’ (NCEP) ‘Final Analysis’ (FNL) data (NCEPFNL, 2000) at 00 GMT on 10th October 2013. The
156 lateral boundary conditions in WRF were provided at 6 hour interval from the FNL data. The atmospheric
157 ~~model~~—We used the parameterization schemes for calculating boundary layer processes,
158 precipitation processes, and surface radiation fluxes. The Monin-Obukhov scheme of surface
159 roughness layer parameterization (Monin and Obukhov 1954) was activated in the model. The
160 Rapid Radiation Transfer Model (RRTM) and cloud-interactive shortwave (SW) radiation scheme
161 from Dudhia (1989) were used. The planetary boundary layer scheme YSU-PBL, described by
162 Noh et al. (2003), was used. At each time step, the calculated value of exchange coefficients and
163 surface fluxes off the land or ocean surface by the atmospheric and land surface layer models
164 (NOAH) passed to the YSU PBL. The Grid-scale precipitation processes were represented by
165 WRF single-moment (WSM) six-class moisture microphysics scheme by Hong and Lim (2006).
166 The sub-grid scale convection and cloud detrainment were taken care by Kain (2004) cumulus
167 scheme.

168 A terrain following ocean model ROMS with 40 sigma levels in the vertical used in this
169 study. The ROMS model domain used with zonal and meridional grid resolutions of 6 km and 4 km,
170 respectively. This high resolution in ROMS enables to resolve mesoscale eddies in the ocean. The vertical
171 starching parameters i.e. θ_s and θ_b were set at 7 and 2, respectively. The northern lateral boundary in ROMS
172 was closed by the Indian subcontinent. The ROMS model observed open lateral boundaries in the west,
173 east, and south in the present configuration. The initial and lateral open boundary conditions were derived
174 from the ‘Estimating the Circulation and Climate of the Ocean, Phase II’ (ECCO2) data. The ocean
175 bathymetry was provided from the 2-minute gridded global relief data (ETOPO2). There was no relaxation
176 provided to the model for any correction in the temperature, salinity, and current fields. A terrain
177 ~~following ocean model ROMS with 40 sigma levels used in this study.~~The Generic-Length-Scale
178 (GLS) vertical mixing scheme parameterized as the K- ϵ model used (Warner et al., 2005). Tidal
179 boundary conditions were derived from the TPXO.7.2 (ftp://ftp.oce.orst.edu/dist/tides/Global)
180 data, which includes phase and amplitude of the M2, S2, N2, K2, K1, O1, P1, MF, MM, M4, MS4,
181 and MN4 tidal constituents along the east coast of India. The tidal input was interpolated from

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182 TPXO.7.2 grid to ROMS computational grid. The Shchepetkin boundary condition (Shchepetkin,
183 2005) for the barotropic current was used at open lateral boundaries of the domain which allowed
184 the free propagation of astronomical tide and wind-generated currents. The domains of
185 atmosphere and ocean models which were part of the COAWST modeling system are shown in
186 Figure 24. ~~The domain for SWAN model was similar to the domain of ROMS model. The ROMS
187 and SWAN were configured over the common model domain. The WAVE model (SWAN) was forced
188 with the WRF computed wind field. We used 24 frequency (0.04 - 1.0 Hz) and 36 directional bands. The
189 boundary conditions for SWAN was derived from the 'WaveWatch III' model. The atmospheric model
190 WRF had 9 km horizontal grid resolution over the domain 65 °E-105 °E, 1°N-34 °N with 30 sigma
191 levels in vertical. WRF was initialized with National Centre for Environmental Prediction (NCEP)
192 Final Analysis (FNL) data (NCEP FNL, 2000) on 10th October 2013 at 00 GMT. Lateral boundary
193 conditions in WRF provided at 6 h interval from the FNL data. The ROMS model domain had
194 zonal and meridional grid resolutions of 6 km and 4 km, respectively. The northern lateral
195 boundary in ROMS was closed and the model observed open boundaries in rest of the sides. The
196 oceanic initial and lateral open boundary conditions were derived from the Estimating the
197 Circulation and Climate of the Ocean, Phase II (ECCO2) data. Ocean bathymetry was derived
198 from 2 minute gridded global relief data (ETOPO2). In the COAWST system, the free surface
199 elevations (ELV) and current (CUR) simulated by ocean model ROMS are provided to the wave model
200 SWAN. The Kirby and Chen (1998) formulation has been used for the computation of current. The surface
201 wind applied to the SWAN model (provided by WRF) used in the Komen et al. (1984) closure model to
202 transfer energy from the wind to wave field. The baroclinic time step used in ROMS model was 5 s. The
203 SWAN and WRF models used with time steps of 120 s and 60 s, respectively. The coupled modeling
204 system allows the exchange of prognostic variables among the atmosphere, ocean, and wave
205 models at every 600 s. The SST simulation at high spatial and temporal resolutions enables
206 accurate heat fluxes at the air-sea interface and exchange of heat between oceanic mixed layer and
207 atmospheric boundary layer. The surface roughness parameter calculated in the WRF model based
208 on Taylor and Yelland (2001), which involved parameters from the wave model. The Advanced
209 Very High Resolution Radiometer (AVHRR) data was used for the validation of model simulated
210 SST.~~

211

212

213 **2.3. Methodology**

214 The baroclinic current component was calculated by subtracting the barotropic component
215 from the mean current with a resolution of 2 m in the vertical. The power spectrum analysis was
216 performed on the zonal and meridional baroclinic currents along the depth section of the selected
217 location by using periodogram method. The continuous wavelet transform using Morlet wavelet
218 method carried out to analyze the temporal variability of the baroclinic current at a particular level
219 of 14 m. The near-inertial baroclinic velocities were filtered by the Butterworth 2nd order scheme
220 for the cutoff frequency range of 0.033 to 0.043. The filtered zonal (u_f) and meridional (v_f) inertial
221 baroclinic currents were used to calculate the inertial baroclinic kinetic energy (E_i) in $m^2 s^{-2}$ and
222 inertial shear (S_i) following Zhang et al. (2014) using equation (1).

223
$$S_f = \left(\frac{\partial u_f}{\partial z}\right)^2 + \left(\frac{\partial v_f}{\partial z}\right)^2 \quad (1)$$

224 As the stratification is a measure of oceanic stability, the buoyancy frequency (N) was calculated
225 using equation (2)

226
$$N^2 = -\frac{g}{\rho} \frac{\partial \rho}{\partial z} \quad (2)$$

227 Where ρ is the density of sea-water and g is acceleration due to gravity.

228 The analysis of generation of the inertial oscillations and their dissipation was performed
229 on the basis of turbulent dissipation rate (ϵ) and turbulent eddy diffusivity (k_ρ). These parameters
230 were calculated by using following formula (Mackinnon and Gregg, 2005; van der Lee and
231 Umlauf, 2011; Palmer et al., 2008; Osborn, 1980)

232
$$\epsilon = \epsilon_0 \left(\frac{N}{N_0}\right) \left(\frac{S_{if}}{S_0}\right) \quad (3)$$

233
$$k_\rho = 0.2 x \left(\frac{\epsilon}{N^2}\right) \quad (4)$$

234 Where S_{if} is the low shear background velocity, Values of $N_0 = S_0 = 3$ cycle per hour and $\epsilon_0 =$
235 $10^{-8} W kg^{-1}$.

236

237

238 3. Results and Discussion

239 3.1. Details of VSCS Phailin

240 Phailin, a very severe cyclonic storm (VSCS) was developed over the BoB in ~~the~~ northern
241 Indian ~~Ocean~~ ocean in October 2013. The landfall of Phailin occurred on 12 October 2013 around
242 15:30 GMT near Gopalpur district of Odisha state ~~at~~ on the east coast of India. After the 1999
243 super cyclonic event of the Odisha coast, Phailin was the second strongest cyclonic event that
244 made ~~landfall at~~ landfall on the east coast of India (Kumar and Nair, 2015). The low-~~pressure~~
245 system developed in the north of the Andaman Sea on 7th October 2013, which transformed into a
246 depression on 8th October at 12 °N, 96 °E. This depression got converted to a cyclonic disturbance
247 on 9th October and further intensified while moved to east-central BoB and opted the maximum
248 wind speed of 200 km h⁻¹ at 03:00 GMT on 11th October. Finally, landfall occurs s at 17:00 GMT
249 12th October. More details on the development and propagation of VSCS Phailin can be found in
250 the literature (IMD Report, 2013; Mandal et al. 2015; Prakash and Pant, 2017).

251

252 3.2 Validation of coupled model simulations

253 The WRF model simulated track of Phailin was validated against the India Meteorological
254 Department (IMD) reported best-track of the cyclone. A comparison of model simulated track with
255 the IMD track is shown in Figure 32. Solid circles marked on both the tracks represent the 6-hourly
256 positions of the cyclone's center, as identified by the minimum surface pressure. WRF model in
257 the coupled configuration does a fairly good job in simulating the track, translational speed, and
258 landfall location of Phailin. The positional track error was about 40 km when compared to IMD
259 track of Phailin. The ROMS model simulated SST ~~was~~ validated against the Advanced Very High
260 Resolution Radiometer (AVHRR) satellite data on each day for the period of Phailin passage over
261 the BoB. Figure 43 shows that the coupled model simulations are capturing the SST features as
262 well as the magnitude of cooling associated with the storm. The maximum cooling of the sea
263 surface observed on 13th October in the northwestern BoB in both, model and observations. This
264 post-cyclone cooling primarily associated with the cyclone-induced upwelling resulting from the
265 surface divergence driven by the Ekman transport. Thus, the coupled model is reproducing
266 dynamical processes and vertical velocities reasonably well.

267 3.3. Cyclone induced mixing

268 The coupled atmosphere-ocean-wave simulation is an ideal tool to understand air-sea
269 exchange of fluxes and their effects on the oceanic water column. Surface wind sets up currents
270 on the surface as well as initiate mixing in the interior of the upper ocean. In order to examine the
271 strength of mixing due to VSCS Phailin, the model simulated vertical temperature profile together
272 with the zonal and meridional components of windcurrent at a location 18.75 °N, 86.66 °E are
273 plotted in Figure 54. The Ftemperature of the upper surface water (25 m -30 m) decreased by 3.5°C
274 from its maximum value of 28 °C after the landfall of the cyclone on 12-13th October (Figure
275 54a). In response to the strong cyclonic winds, the depth of 23 °C isotherm (D23) deepening from
276 50 m to 90 m was observed during 04-12 GMT on 12 October. At the same time, the mixed layer
277 depth (MLD), denoted by a thick black line in Figure 5a, deepens by about 15 m. Based on the
278 density criteria, we calculated MLD as the depth where density increased by 0.125 kg m⁻³ from its
279 surface value. The inertial mixing introduced by the cyclone play central role in deepening of D23
280 and MLD on 12th October 2013. The warmer near-surface waters mixed downward when the
281 cyclone crossed over this location. After the passage of cyclone, shoaling of D23 and MLD
282 observed as a consequence of cyclone induced upwelling that entrain colder waters from the
283 thermocline into the mixed layer. To examine the role of cyclone induced mixing in modulating
284 the thermohaline structure of upper ocean, we carried out further analysis on the coupled model
285 simulations as discussed in the following sections.

286

287 3.3.1. Kinetic energy distribution

288 During the initial phase of VSCS Phailin, the zonal and meridional currents were primarily
289 westward and southward, respectively (Figures 45b, 45c). However, on and after 12th October
290 when cyclone attains peak intensity and crosses over the location, alternative temporal sequences
291 of westward/eastward-~~movement~~ in zonal current and southward/northward-~~flow~~ in meridional
292 current were noticed in current profiles (Figures 45b, 45c). The Ffrequency of these reversals in
293 zonal and meridional currents are recognized as near-inertial frequency generated from the storm
294 at this location (18.75 °N, 86.66 °E). The direction and magnitude of currents represent a
295 variability within 16-24 hr that corresponds to the near-inertial time period for the selected
296 location. Kinetic energy (KE) of currents at various depths is a proxy of energy available in the

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297 water column that becomes conducive ~~for~~to turbulent and inertial mixing. Time series of KE
298 associated with the barotropic and depth-averaged baroclinic components of current at the point
299 location (18.75° N, 86.66° E) ~~are is~~ illustrated in Figure 45d. The KE associated with the baroclinic
300 component found to be much higher than the barotropic component of current. The depth-
301 averaged baroclinic and barotropic current components' KE also depict the impinging oscillatory
302 behavior. The peak magnitude of KE in baroclinic and barotropic currents found to be $1.2 \text{ m}^2 \text{ s}^{-2}$
303 and $0.3 \times 10^{-2} \text{ m}^2 \text{ s}^{-2}$, respectively on 12th October at 08:00 GMT. It is worth noting that the time of
304 peak KE in baroclinic currents (Figure 5d) coincide with the deepening of MLD and D23 (Figure
305 5a). Therefore, the KE generated in NIO is responsible for sub-surface mixing that acts to deepen
306 the mixed layer. The analysis suggests that energy available for mixing process in the water column
307 was mostly confined ~~in~~to the baroclinic currents at various depths.

309 3.3.2. Primary frequency and depth of mixing

310 The power spectrum analysis was performed on the time series profiles at selected point
311 location (18.75 °N, 86.66 °E) to get a distribution of all frequencies operating in the mixing process
312 during the passage of cyclone. As found in the previous section, the KE associated with baroclinic
313 currents are dominated over the barotropic currents, the power spectrum analysis performed on
314 zonal and meridional components of the baroclinic current profile is shown in Figure 56. It is clear
315 from Figure 56 that tidal (M2, the semidiurnal component of tide) and near-inertial oscillations (f)
316 are two dominant frequencies on the surface during the cyclone Phailin. ~~Further, the near inertial~~
317 ~~frequency is smaller than the tidal frequency on the surface.~~ To analyze the mixing potential of the
318 NIO, power spectrum method was applied ~~at~~to the profile of baroclinic current component (~~Figure~~
319 ~~5~~). The largest power of the NIO was noticed at 14 m depth but the tidal oscillations were absent
320 along the whole vertical section of baroclinic current (Figure 6). This finding motivated us to
321 analyze the significance and distribution of ~~these~~this sub-surface variability that resulted ~~into an~~
322 anomalous deepening of MLD. The Highest power of this signal was associated within 0-15 m
323 with the magnitude of $0.84 \text{ m}^2 \text{ s}^{-1}$ in zonal baroclinic current and within 0-38 m with the magnitude
324 of $0.76 \text{ m}^2 \text{ s}^{-1}$. These signals, however, weaken with increasing depth and almost disappeared
325 around 120 m depth. These NIO ~~were are~~ the strongest signals at the 14 m depth that and
326 ~~dominating dominated~~ the mixing compared to any process other than local wind stress. by any

~~other process than the local wind stress. Other processes include the background flows, the presence of eddies, variations in sea surface height, non-linear wave-wave and wave-current interactions (Guan et al., 2014; Park and Watts, 2005).~~

In order to analyze the time distribution of the strong NIO, wavelet transform analysis was applied on the zonal and meridional baroclinic currents at 14 m depth. The Scalogram, shown in Figure 67, depicts the generation of NIO signal on 12th October that subsequently got strengthen and attains its peak value on the mid of 13th October. The energy percentage of the meridional component was always lower than the zonal component. The peak values of energy percentage was found in the time periods between 25-28 hr marked with a white dashed line in Figure 6. A Butterworth 2nd order band-pass filter was applied at the corresponding cutoff frequency interval of 0.033 - 0.043 to filter the NIO signal of the baroclinic zonal and meridional currents. Figure 78 shows profiles of near-inertial zonal (U_i) and meridional (V_i) baroclinic currents together with the kinetic energy (E_i) of near-inertial flow. The maximum strength of inertial baroclinic current was 0.3 m s⁻¹ with the signature of an alternate directional reversal of current signals. Presence of these inertial currents were up to 70 m depth with the peak value of kinetic energy E_i being 0.048 m² s⁻². Stronger (>0.5 m s⁻¹) zonal and meridional baroclinic currents were observed up to 40 m depth. It can be noticed from Figure 78c, the baroclinic kinetic energy remains higher (> 0.03 m² s⁻²) only from mid of 11th October until the end of 12th October and decreased rapidly thereafter. The energy rapidly decreases and almost disappeared after 13th October. This indicates the period of prominent mixing due to NIO was 11-12 October 2013. The baroclinic kinetic energy almost ceased after 13th October. The daily averaged values of baroclinic kinetic energy (not shown here) also confirms the maxima in E_i on 11-12 October with the vertical extent up to 80 m depth.

3.3.3. Role of downward propagation of energy

To investigate the energy propagation from the surface to the interior layers of upper-ocean, we derived the rotary spectra of near-inertial wave numbers and shown in Figure 89. The daily averaged vertical wave-number rotary spectra provides a clear picture of wind energy distribution in the sub-surface water. The anticyclonic spectrum (A_m) is dominating over the cyclonic spectra (C_m) for the entire duration of the cyclone. This feature indicates that the energy is propagating downward generated by these inertial oscillations. The magnitude of these oscillations increased from initial stage up to 12th October and remained at high energy density for

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357 the rest of the cyclone period. This downward directed energy initiated a process of mixing
358 between the mixed layer and the thermocline. This energy helps to deepen the mixed layer against
359 oceanic stratification by introducing a strong shear. The buoyancy of stratified ocean was
360 overcome to some extent by the shear generated that assist in mixing process during the very severe
361 cyclone. For the current case, kinetic energy (Figure 78c) represents the analogical behavior as
362 reported by Alford and Gregg (2001). Their study highlighted that, in most of the cases, the energy
363 of inertial oscillations potentially penetrates the mixed layer but suddenly drops down as it touches
364 the thermocline. The energy dissipation mechanism studied in few other studies (Chant, 2001;
365 Jacob, 2003).

366 The 2-layer model described by Burchard and Rippeth (2009) illustrated the process of
367 generation of sufficient shear to start mixing near the thermocline. Their simple model ignored the
368 effect of the lateral density gradient, mixing, and advection. Burchard et al. (2009) mentioned four
369 important parameters for the shear generation, i.e. surface wind stress ($P_s S^2$), bed stress ($-D_b S^2$),
370 interfacial stress ($-D_i S^2$), and barotropic flow ($P_m S^2$). Utilizing simulations from our coupled
371 atmosphere-ocean-wave model, we calculated individual terms as suggested by Burchard et al.
372 (2009) and presented in Figure 910. ~~It is clear from the figure that the surface wind stress term~~
373 ~~plays most significant role~~ Surface wind stress found to be the most dominating term in modulating
374 the magnitude of bulk shear during the stormy event. ~~Rest of the terms were~~ ~~Other terms were~~
375 ~~found to be~~ relatively weaker and, therefore, contributing only marginally ~~in to~~ the variability of
376 the bulk shear.

377 To examine the generation and dissipation of these inertial waves, the shear generated by
378 the near-inertial baroclinic current (S_f^2) and turbulent kinetic energy dissipation rate (ϵ) were
379 calculated and analyzed. The shear produced by inertial oscillations ~~was increasing~~ increased at
380 20-80 m depth from 40-80 m depth and higher magnitude was associated with peak wind speed of
381 cyclone (Figure 10a11a). This shear overcome the stratification (Figure 10b11b), represented by
382 buoyancy frequency N^2 , and played important role in mixing and deepening of the thermocline
383 and mixed layer on 12th October. ~~that was weak at this depth compared to the shear of the near-~~
384 ~~inertial waves.~~ The value of kinetic energy dissipation rate (ϵ) increased from 4×10^{-14} to $2.5 \times 10^{-$
385 ¹³ $W \text{ kg}^{-1}$ on approaching the thermocline (Figure 10e11c). The increase in ϵ indicates the
386 weakening of the shear generated by the inertial waves leading to the fast disappearance of these

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387 baroclinic instabilities from the region. The non-linear interaction between the NIO and internal
388 tides together with the prevailing background currents cause rapid dissipation of kinetic energy in
389 the thermocline. Guan et al. (2014) also reported an accelerated dampening of NIO associated with
390 the wave-wave interactions between NIO and internal tides. The background currents found to
391 modify the propagation of NIO (Park and Watts, 2005). The magnitude of the turbulent eddy
392 diffusivity (K_p), shown in Figure 10d, implies that the greater mixing takes place within the
393 mixed layer ~~place~~ where K_p -was high (6.3×10^{-11} to $1.2 \times 10^{-11} \text{ m}^2 \text{ s}^{-1}$). The daily averaged values
394 of ϵ and K_p were $1.2 \times 10^{-13} \text{ W kg}^{-1}$ and $1.5 \times 10^{-10} \text{ m}^2 \text{ s}^{-1}$, respectively on 12th October, which were
395 higher as compared to the initial two days of the cyclonic event. ~~Therefore,~~ Results from the
396 present study, as well as the conclusions from the past studies, indicate that wave-current
397 interaction, mesoscale processes, and wave-wave interaction can affect the process of downward
398 mixing and cause the dissipation of inertial oscillations.

399 4. Conclusions

400 Processes controlling the sub-surface mixing were evaluated under the high wind speed
401 regime of a severe cyclonic storm Phailin over the BoB. A coupled atmosphere-ocean-wave
402 (WRF+ROMS+SWAN) model as part of the COAWST modeling system was used to simulate
403 atmospheric and oceanic conditions during the passage of Phailin cyclone. A detailed analysis of
404 model simulated data revealed interesting features of generation, propagation, and dissipation of
405 kinetic energy in the upper oceanic water column. Deepening of the MLD and thermocline by 15
406 m and 40 m, respectively were explained through the strong shear generated by the inertial
407 oscillations that helped to overcome the stratification and initiate mixing at the base of the mixed
408 layer. However, there was a rapid dissipation of the shear with increasing depth below the
409 thermocline. The peak magnitude of kinetic energy in baroclinic and barotropic currents found to
410 be $1.2 \text{ m}^2 \text{ s}^{-2}$ and $0.3 \times 10^{-2} \text{ m}^2 \text{ s}^{-2}$, respectively. The power spectrum analysis suggested a dominant
411 frequency operative in sub-surface mixing that was associated with near-inertial oscillations. The
412 peak strength of $0.84 \text{ m}^2 \text{ s}^{-1}$ in the zonal baroclinic current found at 14 m depth at a location in
413 northwestern BoB. The baroclinic kinetic energy remains higher ($> 0.03 \text{ m}^2 \text{ s}^{-2}$) during 11-12
414 October and decreased rapidly thereafter. The wave-number rotary spectra identified the
415 downward propagation, from the surface up to the thermocline, of energy generated by inertial
416 oscillations. A quantitative analysis of shear generated by the near-inertial baroclinic current

417 showed higher shear generation at 20-80 m depth during peak surface winds. Analysis highlights
418 that greater mixing within the mixed layer takes place where the eddy kinetic diffusivity was high
419 ($> 6 \times 10^{-11} \text{ m}^2 \text{ s}^{-1}$). The turbulent kinetic energy dissipation rate increased from 4×10^{-14} to 2.5×10^{-13}
420 W kg^{-1} on approaching the thermocline that dampened mixing process further down into the
421 thermocline layer. Kinetic energy associated with baroclinic currents were about two order of
422 magnitudes higher than in barotropic component. The peak strength of $0.84 \text{ m}^2 \text{ s}^{-1}$ in zonal
423 baroclinic current was found at 14 m depth at a location in northwestern BoB. The wave-current
424 interaction, mesoscale processes, and wave-wave interaction increased the dissipation rate of shear
425 and, thereby, limited the downward mixing up to the thermocline. were found to affect the process
426 of downward mixing and cause the dissipation of inertial oscillations. The coupled model found
427 to be a useful tool to investigate air-sea interaction, kinetic energy propagation, and mixing in the
428 upper ocean and oceanic sub surface processes.

429

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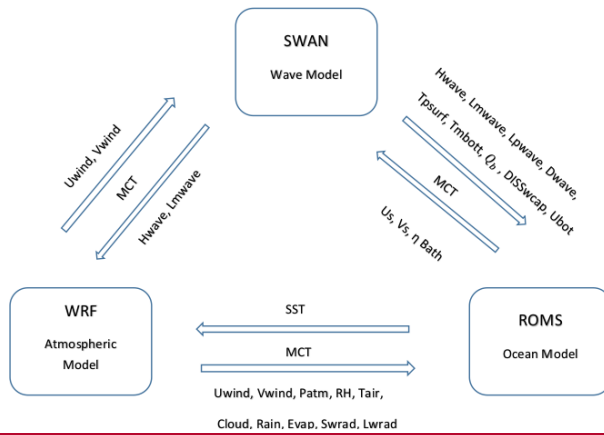
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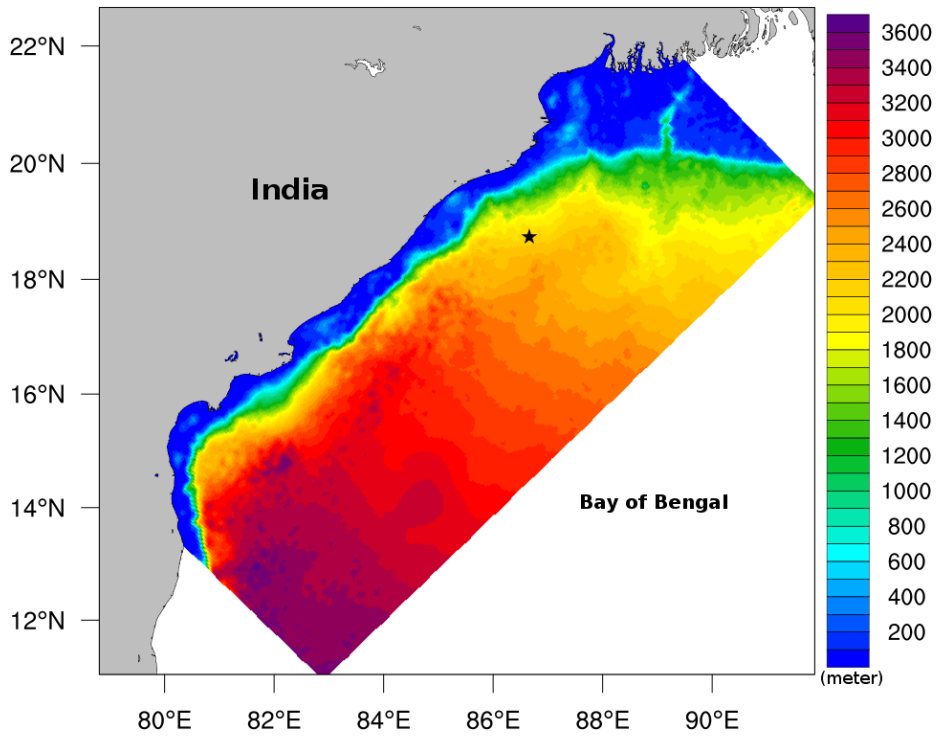


639
 640 **Figure 1:-The block diagram showing the component models WRF, ROMS, and SWAN of the**
 641 **COAWST modeling system together with the variables exchanged among the models. MCT- the**
 642 **model coupling toolkit is a model coupler used in the COAWST system.**

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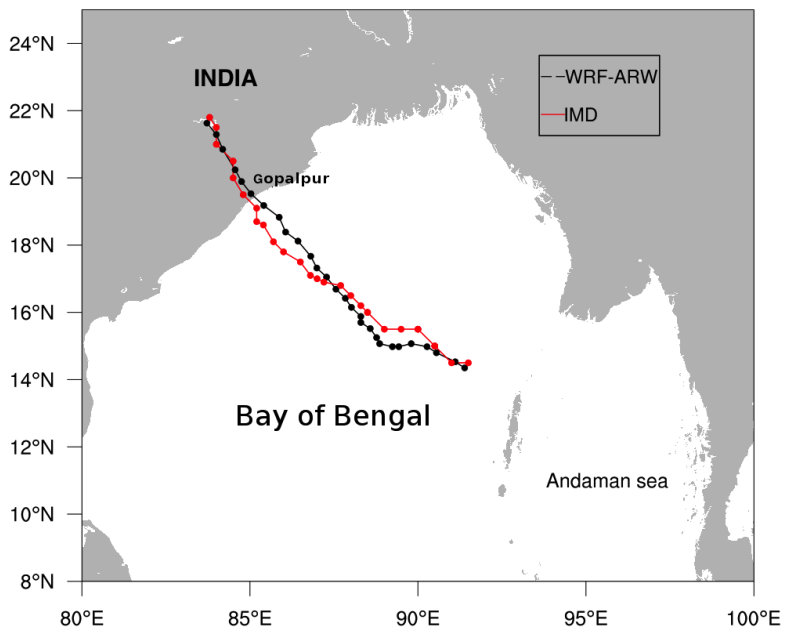
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656 **Figure 24:-**COAWST model domain (65°-105 °E, 1°-34 °N) overlaid with GEBCO bathymetry (m).
657 Location used for time-series analysis marked with a star.

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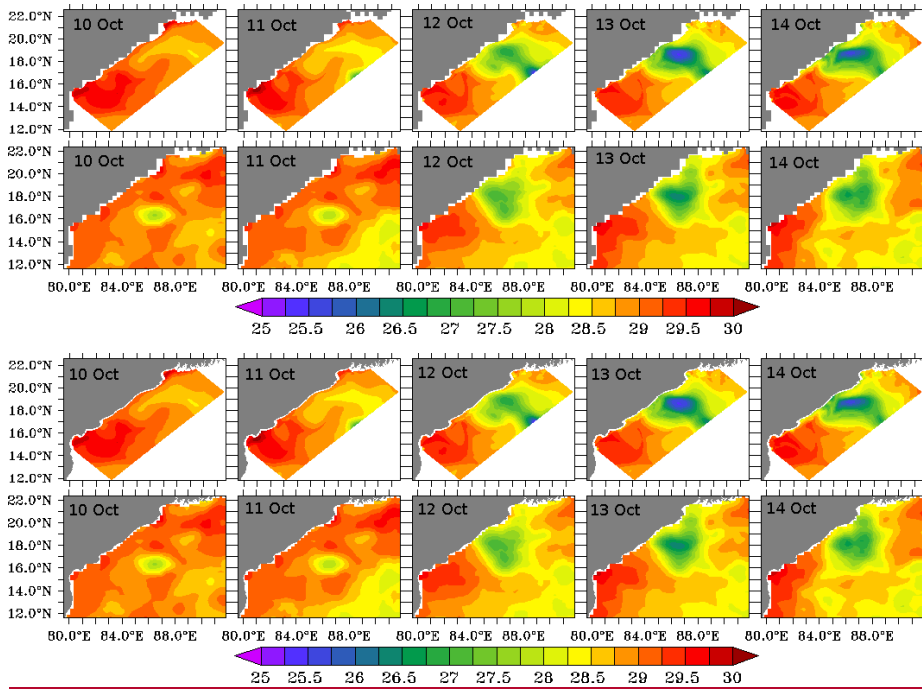


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662 **Figure 32:-** Validation of VSCS Phailin track simulated by coupled model (black) with IMD
663 reported track (red).

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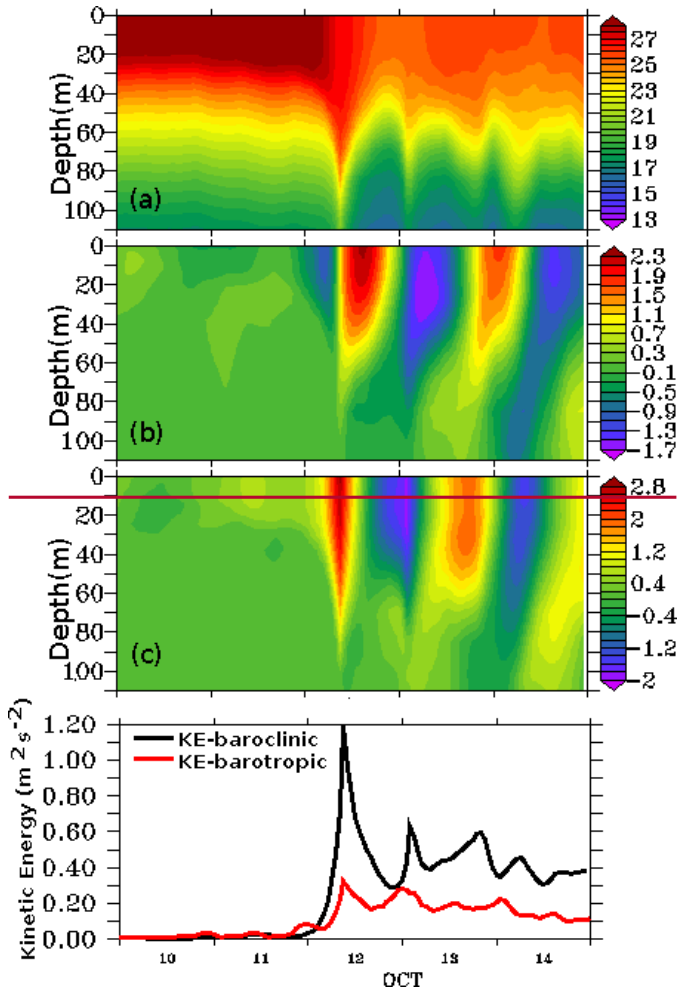
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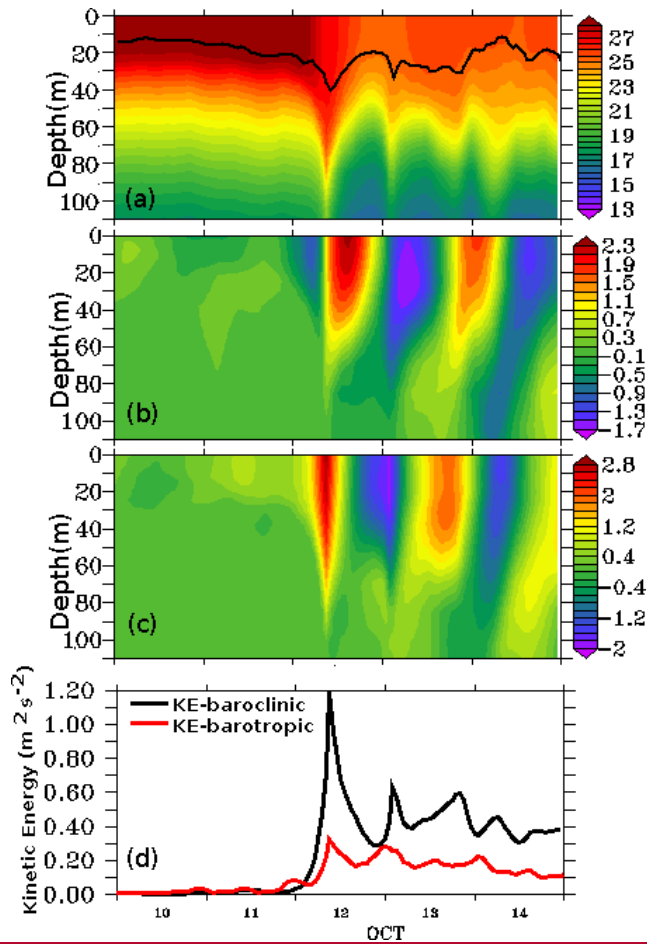
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668 **Figure 43:-** Sea Surface Temperature (SST) in °C observed from AVHRR sensor on the satellite
 669 (lower panel) and simulated by model (upper panel).



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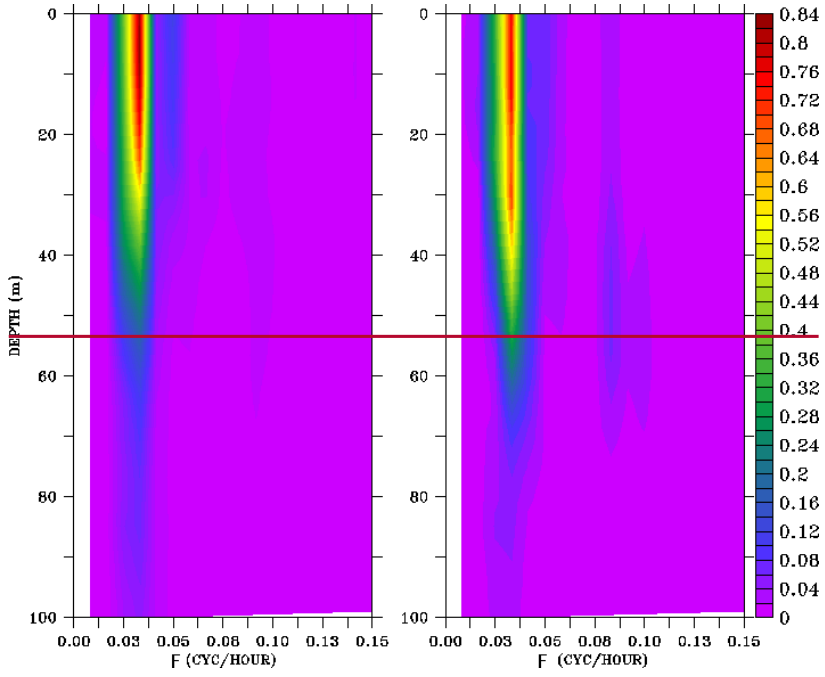
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672 **Figure 54:-** The vertical profiles of temperature in $^{\circ}\text{C}$ (a), zonal current in m s^{-1} (b), meridional
 673 current in m s^{-1} (c). The kinetic energy ($\text{m}^2 \text{s}^{-2}$) of baroclinic current (black) and barotropic current
 674 ($\times 10^{-2}$) (red) (d). The thick black line in (a) denotes the mixed layer depth.

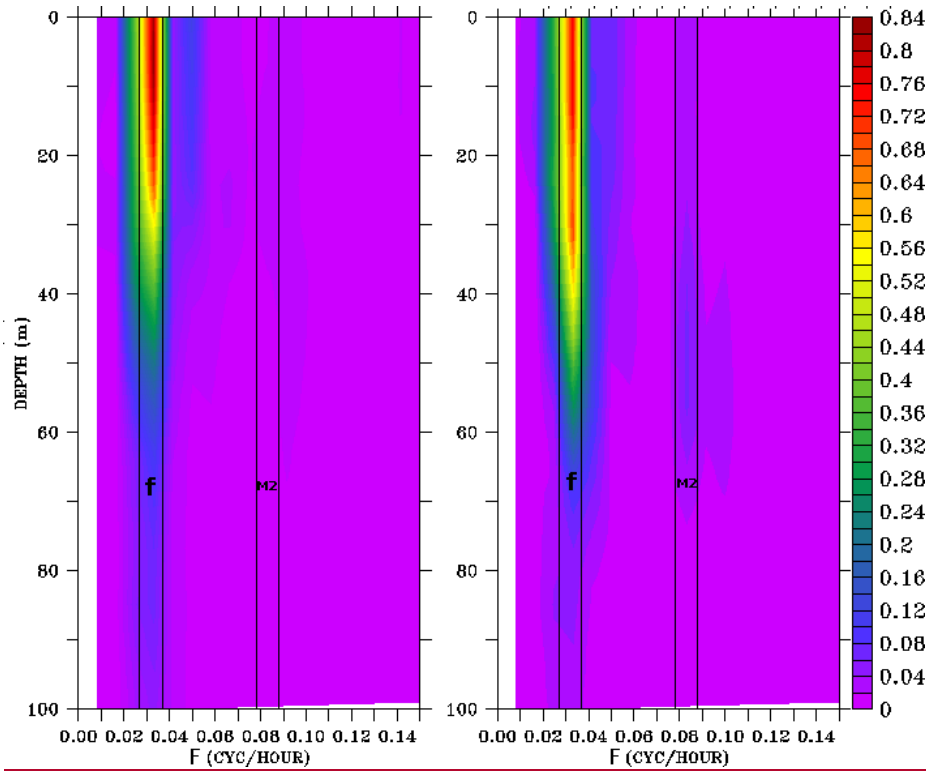
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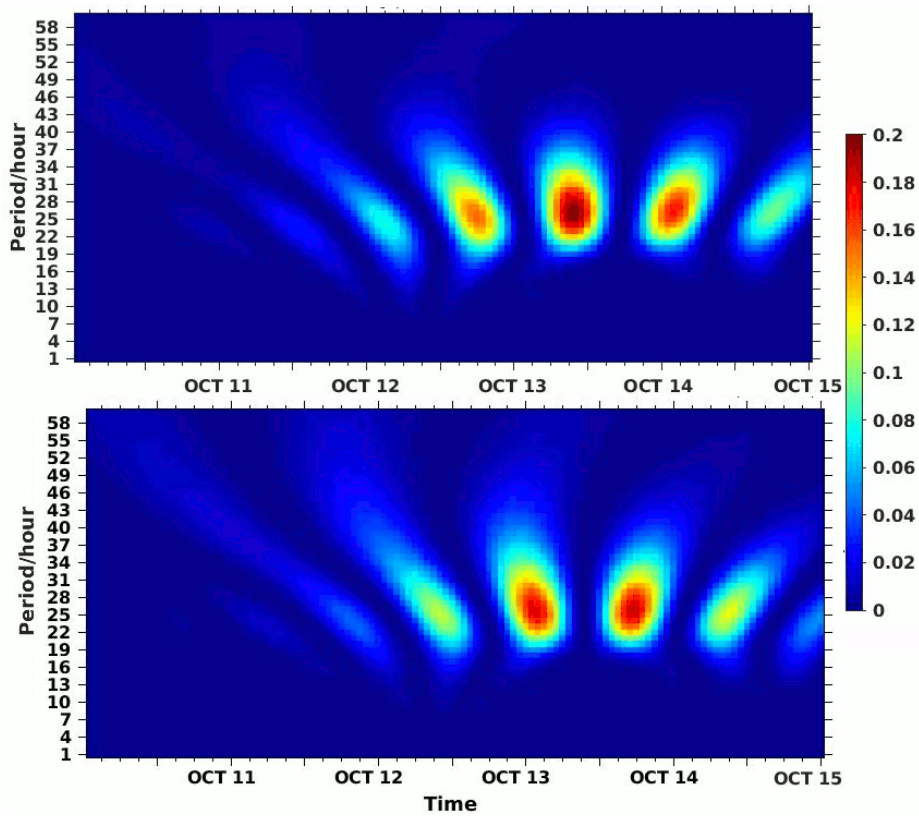
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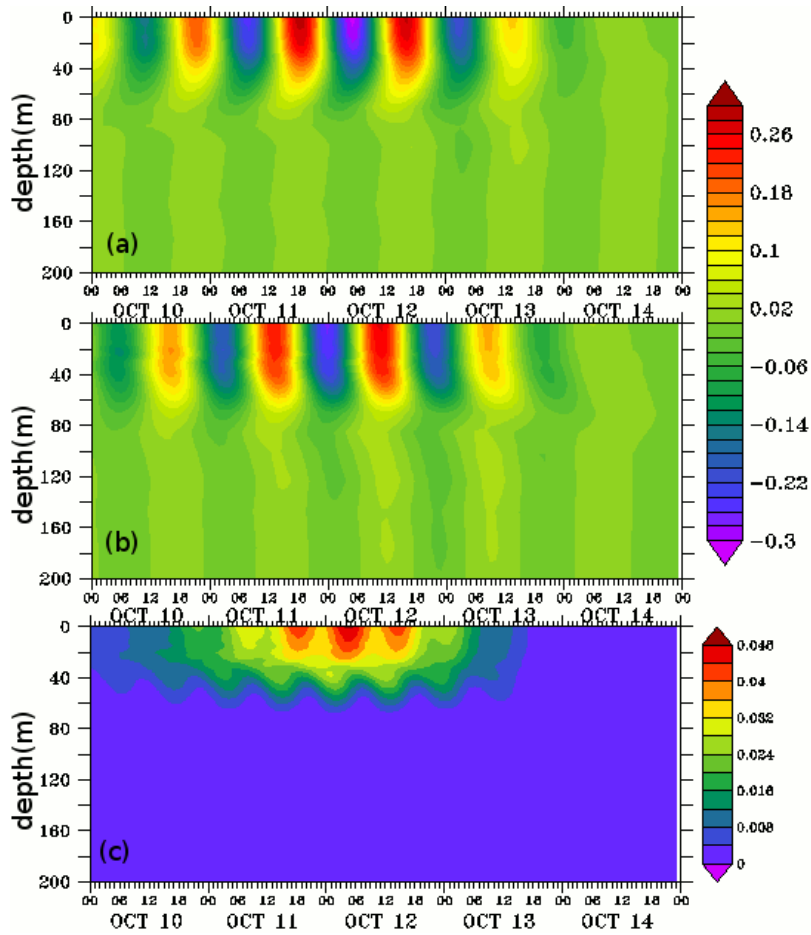
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680 **Figure 65:-** The power spectrum analysis (m^2s^{-1}) for a) baroclinic zonal current and b) baroclinic
 681 meridional current

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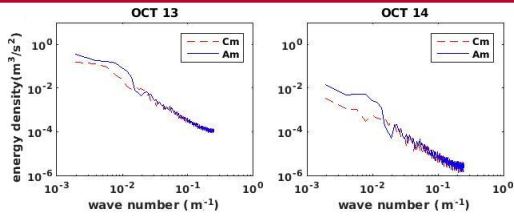
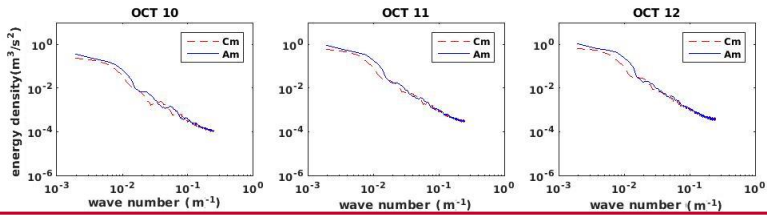


683
 684 Figure 76:- The scalogram in percentage at 40 m depth by continuous wavelet transform (CWT)
 685 method. Wavelet scalogram shown for the zonal baroclinic current (upper panel) and for the
 686 meridional baroclinic current (lower panel). ~~The white dashed line indicates the peak percentage of~~
 687 ~~energy.~~

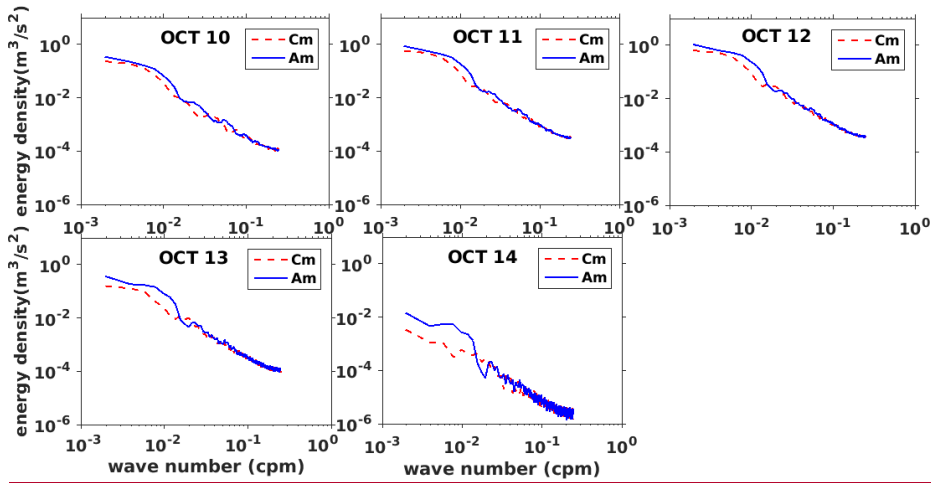


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 689 Figure 87:- The profiles of a) near inertial zonal baroclinic current (U_p) b) near inertial meridional
 690 current (V_p) in $m\ s^{-1}$ and c) Kinetic energy (E_p) of near inertial flow in m^2s^{-2}

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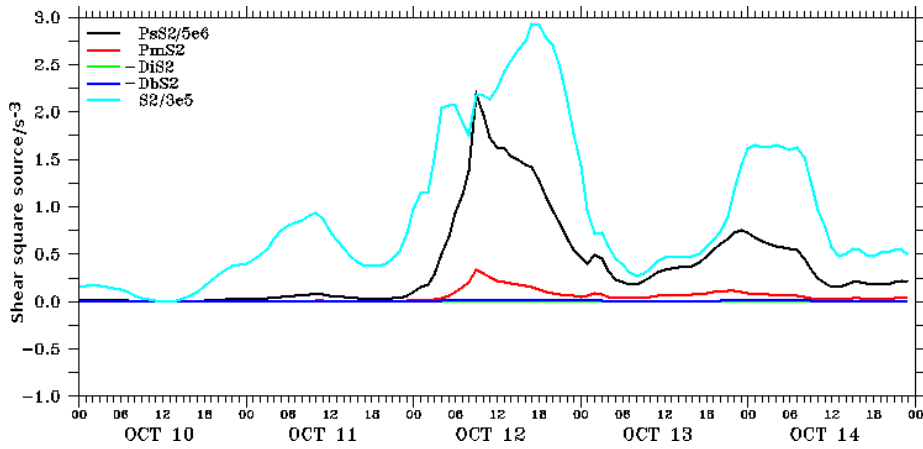
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699 **Figure 98:-** The daily averaged vertical wave-number rotary spectra of near inertial oscillations.
 700 The anticyclonic and cyclonic spectra are represented in blue and dotted red lines respectively.

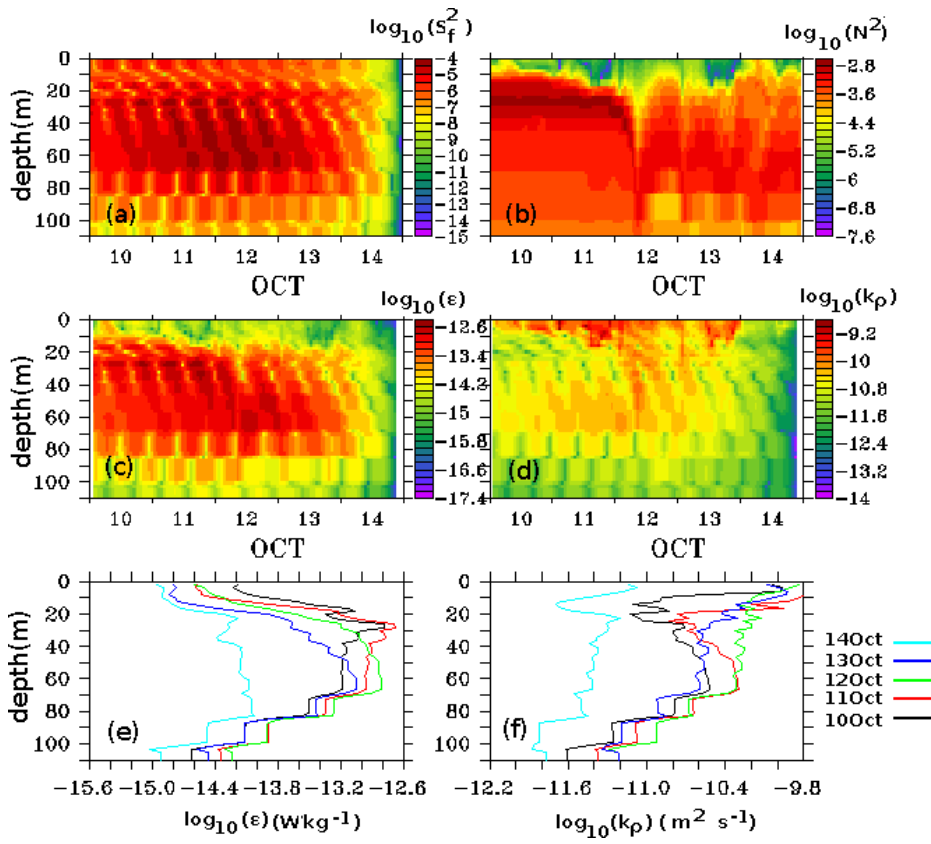
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703 **Figure 109:-** The model simulated bulk properties at the selected point location. The vertical shear
704 square axis is multiplied with a factor of 10^{-6} . The magnitude of bulk shear squared S^2 (cyan color),
705 surface wind stress $P_s S^2$ (black color), barotropic effect $P_m S^2$ (red color), bottom stress $-D_b S^2$ (blue
706 color), interfacial friction $-D_i S^2$ (green color)

707



708
 709 **Figure 1140:-** Profiles of a) velocity shear $\log_{10}(S_f^2)$, b) buoyancy frequency $\log_{10}(N^2)$, c) turbulent
 710 kinetic energy dissipation rate $\log_{10}(\epsilon)$, d) turbulent eddy diffusivity $\log_{10}(K_p)$, e) and f) are daily
 711 averaged turbulent kinetic energy dissipation rate and turbulent eddy diffusivity respectively

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