

# High-resolution diapycnal mixing map of the Alboran Sea thermocline from seismic reflection images

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**Abstract.** The Alboran Sea is a dynamically active region where the salty and warm Mediterranean water first encounters the incoming milder and cooler Atlantic water. The interaction between these two water masses originates a set of sub-mesoscale structures and a complex sequence of processes that entail mixing close to the thermocline. Here we present a high-resolution map of the diapycnal diffusivity around the thermocline depth obtained using acoustic data recorded with a high-resolution multichannel seismic system. The map reveals a patchy thermocline, with areas of strong diapycnal mixing juxtaposed with others of weaker mixing. The patch size is of a few km in the horizontal scale and of 10-15 m in the vertical one. The comparison of the obtained maps with the original acoustic images shows that mixing tends to concentrate in areas where internal wave become unstable and shear instabilities develop. These results are also compared with others obtained using conventional probes. The values estimated based on the seismic data are within the ranges of values obtained from oceanographic data analysis, and they are also consistent with reference theoretical values. Overall, our results demonstrate that high-resolution seismic systems allow to remotely quantify mixing at the thermocline depth with a lateral resolution of  $O(10^1)$  m.

KEYWORDS: Thermocline mixing, Seismic Oceanography, Diapycnal diffusivity map.

## 1. INTRODUCTION

Diapycnal diffusivity ( $k_\rho$ ) around the thermocline plays a major role to control the strength and pattern of the ocean circulation, because it determines heat and salt heterogeneity at different spatial scales. This process usually occurs in a vertically stratified regime, affecting adjacent layers with the same density but different temperature and salinity (Stewart, 2008). In terms of processes, mixing in the ocean can be separated in two categories. One is related to internal wave (IW) breaking, which produces turbulent motion and changes the density stratification; while the second concerns the development of high frequency dynamic instabilities that are formed due to shear (Gregg, 1987; D’Asaro and Lien, 2000). As the spatial scale decreases, mixing leads to an unbalanced pressure field that eventually results in a collapse and dispersion of mixing waters through isopycnals (Thorpe, 2005). The value of  $k_\rho$  depends on the buoyancy frequency ( $N$ ) and the dissipation rate ( $\varepsilon$ ) as indicated by the so-called Osborn (1980) relationship:

$$k_\rho = \Gamma \varepsilon / N^2 \quad (1)$$

This value, where  $\Gamma = 0.2$  is the empirically defined mixing efficiency (Osborn and Cox, 1972), corresponds to the mixing between isopycnal layers in the thermocline. The global mean  $k_\rho$  value

is of the order of  $10^{-4} m^2 s^{-1}$  (Munk and Wunsch, 1998), which corresponds to the value required to keep overturning in the thermocline. It has been shown that if  $k_p < 10^{-5} m^2 s^{-1}$  there is not enough energy to generate mixing (Gregg, 1989).

50 In a conservative flow,  $\varepsilon$  might present small variation due dissipated heat through turbulent motions, but in the presence of strong shear,  $\varepsilon$  tends to increase (Thorpe, 2005), reaching a maximum value close to the Kolmogorov scale (Gargett and Holloway, 1984). Good knowledge of its behavior provides important clues on available energy and its transfer between spatial scales.

55 The loss rate of kinetic energy in the turbulent motion is commonly expressed as:

$$\varepsilon = \left(\frac{\nu}{2}\right) \langle S_{ij} S_{ij} \rangle$$

(2)

$$60 \quad S_{ij} = \left(\frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i}\right)$$

(3)

Where  $\nu = 1.064 \times 10^{-6} m^2 s^{-1}$  is the kinematic viscosity and the tensor  $S_{ij}$  is a function of the velocity components in the three orthogonal directions (Thorpe, 2005). Conventional in-situ techniques as  
 65 Vertical Microstructure turbulence Profiler (VMP) or microriders provide the most accurate measures of  $k_p$ , but in just one dimension. In general, although measures are accurate in the vertical dimension, sampling in the horizontal direction is much poorer, particularly in the  $\sim 10^3$ - $10^4 m$  range (Klymak and Moum, 2007 a, b). Since this is the range of scales at which the transition between isotropic internal wave and anisotropic turbulence motion (i.e. mixing) occurs, the  
 70 observational evidence of mixing patterns and the understanding of the underlying physical mechanisms are rather limited so far. Overall, direct measures and observations are too few to create a global mixing map with the required resolution to feed the models with appropriate dissipation ranges (Smyth et al., 2011). This makes it in turn difficult to integrate mixing into large-scale ocean dynamics models. Its effects are simulated instead through the incorporation of  
 75 eddy diffusivity coefficients, which are tuned *ad hoc* to match the large-scale distribution of ocean observables. While this approach allows to properly reproduce regional spatial-temporal patterns, it severely hampers the long-term predictive capability of ocean dynamics and, in turn, that of climate models. Improving our knowledge on the short-term and small-scale mixing mechanisms and integrating them into large-scale models remain thus as an outstanding challenge.

80 To overcome this issue, remote sensing techniques have recently started to be used (e.g. Gibson et al., 2007). One of these alternative techniques is multichannel seismics (MCS), an acoustic method providing quasi-synoptic images of the thermohaline boundaries in the ocean interior to full ocean depth, with a lateral resolution of up to  $\sim 10^1 m$  (Holbrook et al., 2003). Several recent works have  
 85 demonstrated that it is actually possible to map  $k_p$  using measures of the horizontal wavenumber ( $k_x$ ) spectra of the vertical displacements of thermohaline boundaries imaged with MCS acquisition systems (Sheen et al., 2009; Holbrook et al., 2013; Fortin et al., 2016). However, these studies use conventional, relatively low-resolution systems with source energy concentrating below  $\sim 50 Hz$ . In addition, these systems are not well suited to image the shallowest ocean layers (i.e.  $< 200 m$ ),  
 90 but deeper water levels ( $\geq 400 m$  depth). At these depth levels, the changes in the internal structure

are usually less marked than those at shallower levels, and especially around the thermocline. In a recent work, it has been shown that high resolution MCS (HR-MCS) systems, which use a small energy, but higher-frequency source, allow imaging the thermohaline structure as shallow as  $\sim 30$  m with a lateral resolution of 12-15 m and 1-2 m in the vertical direction (Sallares et al., 2016).

95 This resolution is three- to four-fold better than that of conventional MCS systems used to image deeper ocean levels. Therefore, it has the potential to image sub-mesoscale structures and processes that affect the thermocline at scales of kilometers to tens of meters, allowing to cover the existing observational gap. Despite its potential, HR-MCS systems have never been used to date to quantify diapycnal mixing at the thermocline depth.

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Here we use the above-mentioned method of extracting  $k_p(x, z)$  maps from MCS images, but applied for the first time to HR-MCS data acquired in the Alboran Sea (Westernmost Mediterranean). The method to calculate diapycnal mixing maps from the horizontal wavenumber spectra of vertical reflector displacements is analogous to that proposed by Sheen et al. (2009) and Holbrook et al. (2013). The result is a high-resolution mixing map of the ocean at the thermocline depth (30-110 m) along a 35 km-long transect (Fig. 1a). This method can be used in other regions where the water column is sufficiently stratified to record the acoustic impedance variations (density x sound speed contrasts between neighboring water layers).

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110 The rest of the manuscript is structured as follows: in section 2 we present the hydrographic context, and the observations; then we describe the acquisition system and the method applied to estimate  $k_p$  from the seismic data. The results are described in section 3, whereas the discussion about the imaged structures and their likely causes is presented in section 4. Finally section 5 summarizes the main conclusions.

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## 2. DATA AND METHODOLOGY

The Alboran Sea is characterized by the continuous exchange between Mediterranean Water (MW) and Atlantic Water (AW) through the Strait of Gibraltar. This exchange concentrates near the surface (between  $\sim 30$  m and 200 m); where the shallow, moderately saline and cold incoming AW ( $< 50$  m) interacts with the deeper, warmer, saltier and more stable outgoing MW, producing another water mass known as Modified Atlantic Water (MAW). In this framework, internal waves, strong horizontal shear instability, and prominent thermohaline stratification are generated. These particular features reflect the complex dynamic setting of the area, with kinetic energy being transferred between isopycnals from large to small scales, leading eventually to overturning, isotropic turbulence and irreversible mixing.

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The data set used in this work, which includes collocated seismic and oceanographic measurements, was collected in the framework of the IMPULS-2006 experiment. Here, we concentrate our analysis on one of the seismic profiles (IMPULS-3), which was acquired on board the Spanish R/V Hesperides using a HR-MCS system. The acquisition started on May 16<sup>th</sup> at 23:43 and finished on May 17<sup>th</sup> at 04:00. In total, some 4 hours to record a 38 km-long profile. The acquisition system consisted of a 4.75 liters source with a peak frequency at 150-190 Hz. The corresponding size of the Fresnel zone, a proxy of the horizontal resolution (e.g. Sheriff and Geldart, 1995), is 12-15 m. The streamer was 300 m-long and had 48 channels, with a group

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spacing of 6.25 m. The shot interval was 15 m, giving a Common Mid-Point (CMP) gathers fold of 6. The location of the different data is displayed in Fig. 1a.

This profile was first processed and used to estimate the  $k_x$  energy spectra of the vertical displacements of the seismic reflectors. A total of 68 reflectors were tracked and used for the analysis (Sallares et al., 2016). As it is shown in this paper, the 68 reflectors are rather homogeneously distributed throughout the analyzed area. They have lengths of 1.5-21 km, and a signal-to-noise ratio higher than 8 within the frequency range of 40–240 Hz. Vertical profiles of temperature and pressure were recorded simultaneously with the seismic acquisition using 4 XBT's; whereas the salinity and buoyancy profiles were obtained from an XCTD, dropped three days after the seismic acquisition. Water current profiles have also been used in the study, but they are not coincident with the seismic acquisition (see location in Fig. 1a). They were obtained during the SAGAS experiment on board the Spanish R/V Sarmiento de Gamboa using an ADCP ocean surveyor 75, in the same season as the seismic experiment but 4 years later.

The HR-MCS profile shown in Fig. 2 reveals a number of laterally coherent seismic reflectors that are assumed to follow isopycnals (Biescas et al., 2014). The analysis of the obtained  $k_x$  spectra allowed identifying three sub-ranges that control dynamics around the thermocline depth at increasingly small spatial scales (Sallares et al., 2016). Thus at scales larger than the horizontal buoyancy wavelength ( $l_N \approx 90$  m), motions are dominated by the internal wave field (internal wave-field subrange). Then the spectra rolls off reflecting the presence of shear instabilities of probably the Kelvin-Helmholtz (KH) type, which appear to collapse at a scale of  $\sim 30$  m (transitional or instability-dominated subrange), giving rise to turbulence at even smaller scales (turbulent subrange). A more detailed description of these ranges and their scales is presented in Sallares et al. (2016). In the present work, we use the energy levels obtained from the  $k_x$  spectral analysis of the reflectors to estimate the variations of  $\varepsilon$  and  $k_\rho$  along the whole profile.

Since our dataset does not include direct measurements of turbulence, we use the XCTD and ADCP data to estimate a vertical profile of  $k_\rho$  based on Gregg's (1989) model; hereafter referred to as Gregg89. The Gregg89 model assumes that energy dissipation in the thermocline is made through IW energy transfer by wave-wave interaction. This model links shear current at different depths. The simplest way to obtain average dissipation rates over large space and time scales is through:

$$\varepsilon = 7 \times 10^{-10} N^2 / N_0^2 < S_{10}^4 / S_{GM}^4 > \quad (4)$$

$$S_{10}^4 = 4.22 [(\Delta U / \Delta z)^2 + (\Delta V / \Delta z)^2]^2 \quad (5)$$

$$S_{GM}^4 = 2 [(3 \pi / 2) j_x E_{GM} b N_0^2 k^c (N / N_0)^2]^2 \quad (6)$$

Where  $N_0 = 5.2 \times 10^{-3} s^{-1}$  is the reference buoyancy frequency,  $S_{10}$  is the shear variance calculated from the meridional ( $V$ ) and zonal ( $U$ ) velocity variations according to the depth ( $Z$ ),  $S_{GM}$  is the

variance for the Garret-Munk model (Gregg, 1989),  $j_x$  is a mode number,  $E_{GM}$  is the Garrett-Munk energy density,  $b$  is a scale factor, and  $k$  is the horizontal wavenumber.

Alternatively, the model proposed by Batchelor (1959); hereafter referred as Batchelor59, estimates  $k_\rho$  as a function of the energy transfer from large to small scales in the turbulent regime. This model assumes that the energy exchange from mechanical to caloric due to  $N$  and  $\varepsilon$  can be approximated as:

$$\varphi_\zeta^T = \left(\frac{4\pi\Gamma}{N^2}\right) C_T \varepsilon_T^{2/3} (2\pi k)^{-5/3} \quad (7)$$

Where  $\varphi_\zeta$  is the energy spectrum of the isopycnals vertical displacement; and  $C_T$  is a proportionality constant (Sreenivasan, 1996). We apply this model to estimate the mixing rates over the seismic profiles applying a method proposed and described in previous works, (i.e. Sheen et al., 2009; Holbrook et al., 2013). The main steps of this approach are described below.

To identify the dissipation signature in the seismic profile, we first calculate the energy level in the turbulent subrange from our data by averaging the value obtained for all reflectors within 1200 m-wide and 15 m-high windows. Longer tracks are cut to fit inside the window. As it is explained in Sallares et al. (2016), this does not affect the spectrum at the spatial scale range analyzed. We then apply Batchelor59 model, Eq. (7), to estimate  $\varepsilon$  using the obtained energy level within the window (transitional subrange), with  $\Gamma=0.2$ ,  $C_T=0.3$  and  $N$  is calculated according to depth. Finally, we apply Eq. (1) using the  $\varepsilon(x, z)$  values obtained above to estimate  $k_\rho(x, z)$ . These steps are repeated within windows of the size mentioned above that slide 30 m in the horizontal direction and 3 m in the vertical at each new analyzing step. The fact that we incorporate few new data at each step, produces a smoothly varying map instead of the one with sharp bounds that would be obtained without overlapping windows (e.g. Sheen et al., 2009; Holbrook et al., 2013).

In summary, both Gregg89 and Batchelor59 models are used to estimate mixing rates from two independent data sets: XCTD-ADCP and seismic data, respectively. The results obtained using both models are then compared to gain confidence in the proposed methodology. We then analyze and discuss the high-resolution 2D map resulting from the seismic data in terms of mixing.

### 3. RESULTS

A smoothly varying  $k_\rho$  map that covers the whole profile (Fig. 3) were obtained applying the sliding window approach explained above. The goal is being able to identify features and processes occurring in the transition between the internal wave and the turbulence sub-regimes, such as the intensity and scales of variability of the mixing patches, the location and size of the hotspots and their potential relationship with oceanographic features such as IWs or shear instabilities.

#### 3.1. Probe-based $k_\rho(z)$ profile

To have a reference value to compare with the MCS-based  $k_\rho(x, z)$  maps, we have first calculated a  $k_\rho(z)$  profile for shallow waters ( $< 200$  m) using the XCTD and ADCP data and applying the



225 Gregg89 model (Eqs. 4-6). To do this we have used ADCP measures averaged within 10 m-depth bins. By doing this, we obtain an average value for the shear variance of  $S_{10}^4 = 0.28 s^{-1}$ , whereas the reference value of the shear variance obtained from the Garrett-Munk model (Gregg, 1989) is  $S_{GM}^4 = 0.013 s^{-1}$ . This gives an average dissipation rate  $\langle \varepsilon \rangle \approx 1.3 \times 10^{-8} Wkg^{-1}$ , and an average diapycnal diffusivity  $\langle k_\rho \rangle \approx 10^{-3.0} m^2s^{-1}$  for the targeted depth range (Fig. 4a). The  $k_\rho(z)$  profile  
 230 obtained from the XCTD and ADCP is also shown in Fig. 4a, together with the global averages for overturning ( $\langle k_\rho \rangle \approx 10^{-4} m^2s^{-1}$ ) as well as the average pelagic diffusivity in the ocean ( $\langle k_\rho \rangle \approx 10^{-5} m^2s^{-1}$ ).

We obtain minimum values of the mixing rate at 50-55 m, 68-73 m, and 100-125 m. The absolute  
 235 minimum of  $k_\rho = 10^{-5.2} m^2s^{-1}$  is obtained at  $\sim 115 m$ , whereas the maximum is of  $10^{-2.1} m^2s^{-1}$  at  $\sim 15 m$ . This gives a range of variation of  $10^{-3.1} m^2s^{-1}$ . Deeper than this, the mixing variability is smaller. The Turner angle and buoyancy frequency (Fig. 4b) indicate that the region is mostly stable with a slight tendency to double-diffusion ( $Tu \sim 45^\circ$ ).

240 It is worth noting that, at this specific location, the average vertical  $\varepsilon(z)$  and  $k_\rho(z)$  values are one order of magnitude higher than the global average ones. The higher values probably reflect the effect of overturning in the thermocline. While probe-based measurements are well suited to investigate mixing variability in the vertical dimension, they do not provide information on the variability in the horizontal dimension with a comparable level of detail. As explained above, to  
 245 do this we have used estimations of  $\varepsilon$  and  $k_\rho$  based on the HR-MCS data, but applying Batchelor59 model (Eq. 7) in this case.

### 3.2. High-resolution multichannel seismics-based $k_\rho(x, z)$ map

250 The  $k_\rho(x, z)$  map displayed in Fig. 3 has average values of  $\langle \varepsilon \rangle \approx 6.5 \times 10^{-9} Wkg^{-1}$  and  $\langle k_\rho \rangle \approx 10^{-2.7} m^2s^{-1}$ . These values are within the range of values obtained from the XCTD and ADCP data but, at the same time, they are over an order of magnitude higher than the global ocean reference value of  $k_\rho \approx 10^{-4.0} m^2s^{-1}$  (Fig. 4a). Figure 5 displays the  $k_\rho(x, z)$  map superimposed with the HR-MCS data. It is interesting to note that the range of horizontal variability is similar to that observed in  
 255 the vertical dimension, although there is no direct visual correspondence between the  $k_\rho$  anomalies and IWs. The range of variability is of over three orders of magnitude, locally reaching an extreme value of  $k_\rho \approx 10^{-1.5} m^2s^{-1}$  at a depth of  $\sim 55 m$  and at  $16 km$  along the line; and a minimum value of  $k_\rho \approx 10^{-4.5} m^2s^{-1}$  at  $\sim 95 m$  depth and  $20 km$  along the line, which is close to the global oceanic average. Numerous patches with  $k_\rho$  values exceeding  $10^{-2} m^2s^{-1}$  with a characteristic size of 1-2  
 260 kms in the horizontal dimension and  $\sim 10 m$  in the vertical are found throughout the whole section (i.e., yellowish patches in Figs. 3 and 5). Not only the average depth value, but also the vertical size of the anomalies, as well as the range of  $k_\rho$  variation, are in agreement with the probe-based values (Fig. 4). The contribution of the high  $k_\rho$  patches to the local average is outstanding, raising it from a background average value of  $\sim 10^{-4} m^2s^{-1}$  to  $\sim 10^{-2.5} m^2s^{-1}$ .

265 To try to understand the existing relationships between mixing variability and water dynamics, we analyze various reflectors to identify a possible visual correspondence between individual sub-mesoscale features and mixing hotspots. Among the numerous horizons examined, we show in fig. 5, three horizons named H1, H2, H3, which spatially coincide with anomalously, high and low

270 mixing patches. For these three horizons, we have analyzed the structures observed in the different  
sub-regimes.

### 3.3 Analysis of individual reflectors

H1 is located at  $\sim 50$  m depth and has a length of  $\sim 5.5$  km (31.5-37 km along profile). It was selected  
275 because it is laterally coherent for several kms and, it coincides with one of the high mixing  
hotspots (Fig. 5). Its corresponding  $k_x$  spectrum is displayed in Fig. 6a.

To calculate  $k_\rho$  over the whole horizon (Fig. 7a) we used the spectral energy obtained within a 1.2  
km-wide window moving laterally 30 m at each step along the whole profile. To analyze the  
280 features that contribute to the energy spectrum in the different scales, and to compare them in turn  
with the  $k_\rho$  values obtained along the entire reflector length, the horizon has been filtered at  
wavelength bands attributed to the IW subrange (3000-100 m), and the instability dominated  
subrange (100-30 m, transitional subrange). As a reference, the local horizontal buoyancy  
wavelength, estimated from the XCTD data is  $l_N \approx 90$  m (Sallares et al., 2016). The different spectral  
285 subranges that are observed in the combined spectrum of the 68 reflectors (fig. S1) is also observed  
in most individual spectra such as those displayed in fig. 6. One of the main features is the  
systematic steep slope spectra at the instability-dominated subrange, which is likely associated  
with the loss of energy in the wave field due to dissipation (e.g. Samodurov et al., 1995). As it is  
explained in Sallares et al. (2016), the variation of the slope spectra at the intermediate scale is  
290 consistent with numerical estimates for the evolutionary stage of the vortex sheet linked to shear  
instabilities (Waite, 2011).

A striking feature is the notable, sudden decrease in the amplitude of the features observed in the  
transitional subrange, at  $\sim 34.7$  km along the profile (red dashed line in Fig. 7). Interestingly, a  
295 change in the  $k_\rho$  value is also observed at this point. Left of it (31.5-34.7 km along profile), the  
average  $k_\rho$  value is  $10^{-2.5} \text{ m}^2\text{s}^{-1}$ , while right of this point (34.7-37 km), it is  $10^{-3.0} \text{ m}^2\text{s}^{-1}$ . Although  
both values are higher than the average global value for meridional overturning circulation, the  
highest local average values are obtained in the region where the clearest, largest amplitude  
features possibly representing KH billows (Sallares et al., 2016), are imaged. Conversely, there is  
300 no clear visual correlation between internal wave attributes and  $k_\rho$  variations.

H2 is located at  $\sim 95$  m depth and has a length of  $\sim 4.0$  km (18-22 km along profile). It was selected  
because its location coincides with a relatively weak mixing area, according to the  $k_\rho$  map (Fig. 5).  
The corresponding  $k_x$  spectrum is shown in Fig. 6b. As in the previous case, we have first  
305 calculated  $k_\rho$  using the spectral energy values within 1 km-wide window, moving laterally 30 m at  
each step, along the whole reflector length. The average value for the whole horizon is  $k_\rho \approx 10^{-4.1}$   
 $\text{m}^2\text{s}^{-1}$ , so considerably lower than in H1 but close to the global average value. We have  
subsequently filtered H2 at the IW (3000-100 m) and transitional (100-30 m) sub-ranges and  
compared it with the obtained  $k_\rho$  values (Fig. 8). In this case, we have identified three different  
310 segments as a function of their average  $k_\rho$  value. The first and third segments (18-19.6 km and  
20.4-22 km, respectively) display average  $k_\rho$  values that coincide, within error bounds, with those  
of the global ocean average. In particular, we obtain  $k_\rho \approx 10^{-4.0} \text{ m}^2\text{s}^{-1}$ , for the first segment, and  $k_\rho$   
 $\approx 10^{-3.8} \text{ m}^2\text{s}^{-1}$ , for the third one. The second or breaking segment, instead, displays a value of  $k_\rho$   
 $\approx 10^{-4.8} \text{ m}^2\text{s}^{-1}$ , which is well below the global ocean average.

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H3 is located at  $\sim 80$  m depth and has a length of  $\sim 12$  km (3.5-15.5 km along profile). It was selected because its location pass through low and high mixing areas, according to the  $k_\rho$  map (Fig. 5). The  $k_\rho$  values along the profile have been calculated following the same approach as for the other two reflectors. Its corresponding  $k_x$  spectrum is displayed in Fig. 6c. As in the case of H1, we can identify a clear visual correspondence of high mixing values and the largest-amplitude features imaged in the transitional subrange, but not with IWs. Between 9 km and 15.5 km along the profile the average  $k_\rho$  value is  $10^{-2.7} m^2s^{-1}$ , while at the left of it (4-9 km along profile) it is  $10^{-4.8} m^2s^{-1}$ . The situation is therefore very similar to the case of H1, and the same correspondence is also observed for the other reflectors along the profile, especially for those located in high mixing areas.

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#### 4. DISCUSSION

The spatial variability observed along isopycnals based on the spectral analysis of the seismic data allows identifying a number of local features at different evolutionary stages. These features are the manifestation of relevant oceanographic processes, such as IWs at the internal wave sub-range, hydrodynamic instabilities at the transitional sub-range, and turbulence at smaller scales. Those can be identified by the disruption of the finestructure in the seismic image and the high variability or disappearance of some seismic reflectors.

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The large variations observed in the  $k_\rho$  vertical profile (Fig. 2), together with the slight tendency to double-diffusion identified in the Turner angle, suggest that the system is prone to be affected by advection processes (e.g. Kunze and Sanford, 1996). Mixing appears to concentrate within the MAW, where the shear values are the highest in the study area, and not deeper than  $> 110$  m, where there is no remarkable shear and the system is weakly stratified. The shear to strain ratio calculated applying the Gregg89 model ( $S_{10}^4/S_{GM}^4 = 21$ ), indicates that the energy in the IW field is higher than that of the GM model, which usually has a value of 3. We can therefore make the assumption that the energy is distributed in the whole inertial range where the water structures are stable (e.g. Munk, 1981). Similar results were obtained by Holbrook et al. (2013), who registered a shear to strain ratio of 17. The IWs can therefore be considered as an energy distributor from anisotropic to isotropic motions. The  $k_\rho$  value obtained from XCTD and ADCP using Gregg89 model is  $k_\rho \approx 10^{-3.0} m^2s^{-1}$ , whereas we obtain  $k_\rho \approx 10^{-2.7} m^2s^{-1}$  using MCS data and the Batchelor59 model. The range of variation in the two cases are also comparable, being the maximum values of  $10^{-2.2} m^2s^{-1}$  and  $10^{-1.5} m^2s^{-1}$ , and the minimum values of  $10^{-5.4} m^2s^{-1}$  and  $10^{-5.7} m^2s^{-1}$ , respectively, for the two methods. These similar values obtained based on different models and using independent techniques are well above the global average, suggesting that the energy transfer to small scales is highly efficient in the studied area.

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We find no direct correlation between the presence of IWs and  $k_\rho$ . Thus, we interpret that IW-induced mixing is not efficient enough to keep the overturning in the target area (Figs. 7 and 8). No clear correspondence between IW amplitude and  $k_\rho$  variation is found along any of the three reflectors. This lack of correlation agrees with Klymak and Moum (2007 a) assumption, suggesting a weak dependence of mixing rates on IW energy. The mixing rates are more reliably measured at smaller scale regimes, in this case the instability-dominated regime that encloses the transition towards turbulence. In our case, a number of “mixing patches” have been identified at specific

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360 locations in the  $k_\rho$  map (Fig. 3), which appear to spatially coincide with areas where shear  
instability features are located (see reflectors H1 and H3 in figs. 7, 9). These mixing hotspots likely  
represent a significant source of regional diapycnal mixing at the boundary layer between the  
MAW and the MW (30 – 200 m), which is subject to vertical stratification and shear values of  
 $3.2 \times 10^{-3} \text{ s}^{-1}$ . The mixing and energy transfer between these two water masses constitutes the main  
365 energy source of the region. The smooth and relatively deep seafloor along the profile ( $> 800 \text{ m}$  in  
average; fig. 10b), likely suggests a small contribution to the generation of mixing hotspots. Given  
that the MAW-MW boundary layer is subject to shear (Fig. 10a), and taking into account the visual  
correspondence between the location of the largest amplitude features in the instability-dominated  
domain and high mixing values, we hypothesize that the direct cause of mixing hotspots is the  
370 development of IW shear instabilities. This could explain both the peak values of  $k_\rho$  and the high  
variability along the profile.

The  $k_\rho$  values along H1 are over the global average for overturning along most of the reflector  
( $\langle k_\rho \rangle \approx 10^{-2.5} \text{ m}^2 \text{ s}^{-1}$ ), with lower values only at specific points (Fig. 7a). These points are located to  
375 the right of 34.7 km, where  $k_\rho$  sharply decreases. A similar situation is also observed along H3,  
reaching a global average ( $\langle k_\rho \rangle \approx 10^{-3.1} \text{ m}^2 \text{ s}^{-1}$ ). The spatial correspondence between high diffusivity  
values and the presence of large-amplitude features interpreted to correspond to KH-like shear  
instabilities by Sallares et al. (2016) at the transitional subrange, is consistent with the hypothesis  
that a causal relationship exists between the two. This is conceptually equivalent to the mechanism  
380 proposed by Gregg (1987), where mixing at the transitional subrange occurs principally at vortex  
sheets through wave-instability. We could therefore hypothesize that the presence of a vortex sheet  
left of 34.7 km along profile produces the high mixing values; whereas to the right, there is no  
vortex sheet and the ocean is more stable. Similar results suggesting a patchy ocean interior,  
although at larger scales and deeper levels, were also presented by Sheen et al. (2009) and Fortin  
385 et al. (2016). Our work confirms these previous results and suggests that the variation is probably  
due to the high mixing induced by the shear instabilities; which enhances in turn energy transfer  
to small scales.

## 5. CONCLUSIONS

390 We have used acoustic images obtained with a high-resolution MCS system to produce a 2D  
diapycnal mixing map at the thermocline depth. Our results confirm a high level of diapycnal  
variability and the presence of marked mixing patches in the water column. The  $k_\rho(x, z)$  map  
obtained by applying the Batchelor59 model to the seismic data, has a strong variability with values  
395 ranging between  $\langle k_\rho \rangle \approx 10^{-1.5} \text{ m}^2 \text{ s}^{-1}$ , in the high mixing patches (hotspots) and  $\langle k_\rho \rangle \approx 10^{-3.3} \text{ m}^2 \text{ s}^{-1}$ ,  
for the background values. The obtained values are high enough to account for overturning at  
thermocline depths. The mixing hotspots have a characteristic size of 10-15 m in the vertical  
dimension, and 1-2 km in the horizontal one. They are located at different depths within the  
thermohaline layer, although they appear to concentrate in highly sheared regions. The comparable  
400 values obtained with the two independent methods and approaches confirm that HR-MCS is a  
useful technique to study processes and structures occurring at the sub-mesoscale, which are  
difficult to be studied otherwise.

405 The relationship between mixing variability and ocean dynamics at different spatial scales is investigated by analyzing the spectral amplitudes along two seismic horizons in the internal waves and transitional, or instability-dominated, subranges. On one hand, we found no clear correspondence between the location of the mixing patches and the location and amplitude of IWs. Conversely, a visual correspondence exists between the location of shear instabilities and mixing hotspots in different reflectors, suggesting a causal relationship between both features. Areas displaying the most vigorous instabilities coincide with the highest estimated diapycnal mixing values, which are well above the average global value for meridional overturning. This observation suggests that the energy transfer from anisotropic to isotropic scales is highly efficient at thermocline depths within the studied area.

415 Overall, our study shows that the HR-MCS technique can be used to study sub-mesoscale structures and processes at the thermocline level, provided that the stratification is strong enough to produce acoustic reflectivity that can be recorded by the system. The high-resolution 2D maps produced from the seismic reflectivity could help improving the estimates of the parameters to be incorporated in numerical models of ocean dynamics.

#### 420 **ACKNOWLEDGEMENTS**

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430

#### **APPENDIX A**

Table A1. Parameters used in text

<b>Variable</b>	<b>Value</b>	<b>Description</b>
<b>f</b>	$0.00008613 \text{ s}^{-1}$	Coriolis f. at $36^\circ$
<b>N</b>	$5 \text{ cph} = 0.00138 \text{ s}^{-1}$	Buoyancy frequency (ocean average)
<b>V</b>	$0.207 \text{ m s}^{-1}$	RMS amplitude of velocity fluctuations
<b><math>\nu</math></b>	$0.000001064 \text{ m}^2 \text{ s}^{-1}$	Kinematic Viscosity
<b><math>C_T</math></b>	0.4	Proportionality constant
<b><math>F</math></b>	0.2	Empirical value of mixing efficiency (Osborn and Cox, 1972).

## APENDIX B

### Buoyancy Reynolds number

440 Gargett et al, (1988) use an index to know if the system is isotropic or not, and hence if the allowing know if the buoyancy flux is substantial to generate turbulence and therefore a high mixing level (Thorpe, 2005). The index depends on kinematic viscosity and is called Buoyancy Reynolds number:

$$445 \quad R_B = \varepsilon / \nu N^2$$

(B1)

The mean kinematic viscosity in the ocean is  $\nu = 1 \times 10^{-6} \text{ m}^2 \text{ s}^{-1}$ . Some properties of the inertial subrange are consistent with isotropy for values of  $R_B < O(10^2)$ . To consider anisotropy and avoid serious underestimates of mixing, Smyth and Moum (2000) propose that values  $> 200$  are related with confidence to high mixing levels due to free viscous effects. For our submesoscale regime  $R_B = 3200$ , a value that reasserts the coherence of the mixing levels calculated. The MCS data present a high confidence level.

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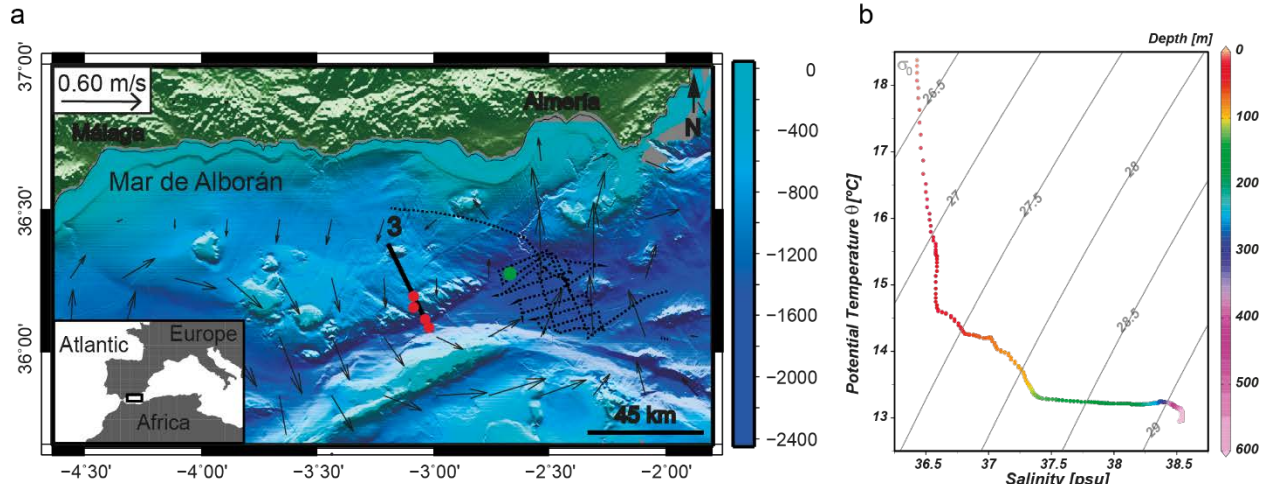


Figure 1. (a) Bathymetric map of the Alboran Sea and location of the data used in the study. HR-  
 565 MCS profile acquired during the IMPULS-2006 experiment (black line labelled 3), eXpendable  
 Bathy-Thermograph (XBTs) profilers (red circles), eXpendable Conductivity Temperature Depth  
 (XCTD) probe (green circle). Acoustic Doppler Current Profiler (ADCP) lines (black dotted line).  
 Geostrophic velocity for May 17<sup>t</sup>, 2006 (gray arrows). (b) Temperature-Salinity diagram from  
 570 XCTD probe.  $\sigma_0$  is the potential density in  $\text{kg/m}^3$ . Color scale indicates depth.

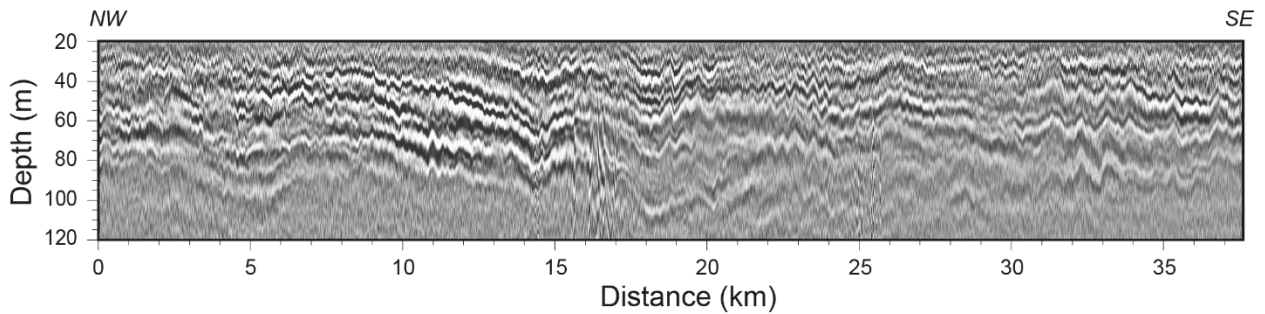
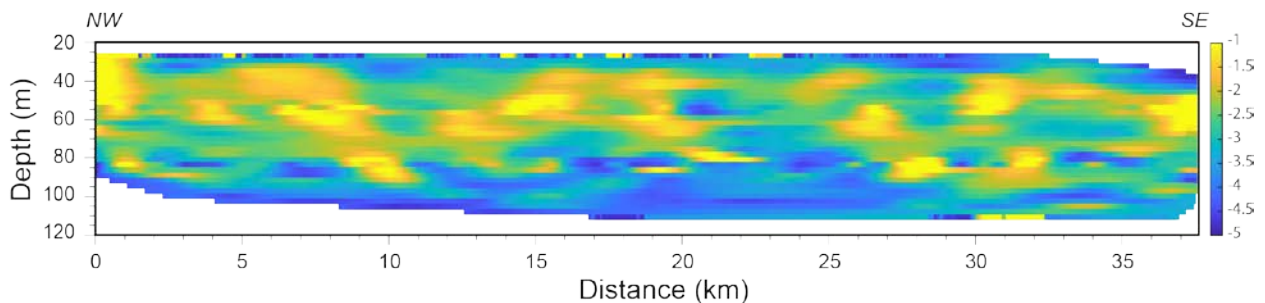


Figure 2. Depth-converted high-resolution multichannel seismic profile (See Fig. 1a for location).



575 Figure 3.  $k_\rho(x, z)$  map obtained along the seismic profile indicated in Fig.1, following the procedure  
 explained in the text.

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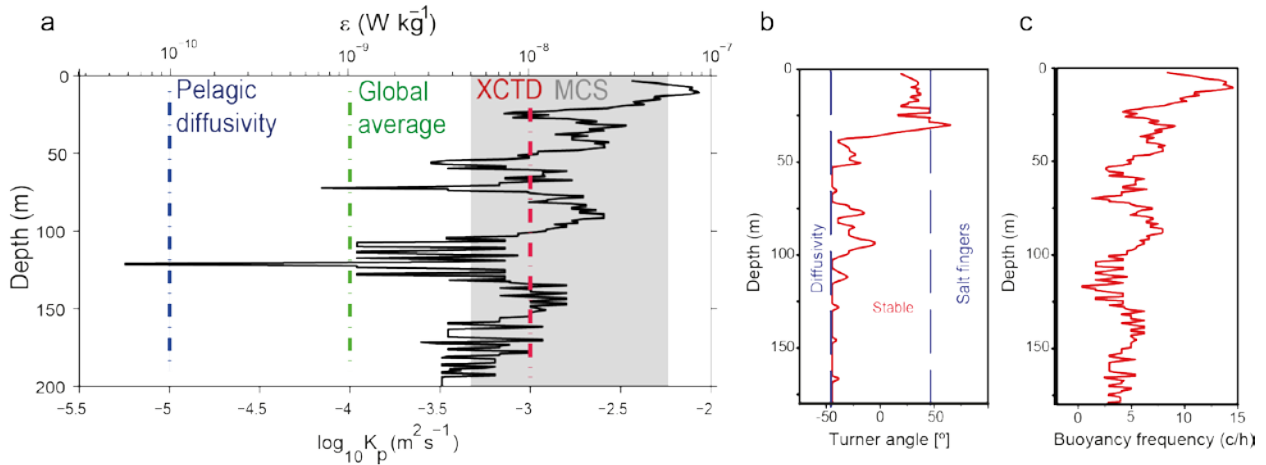
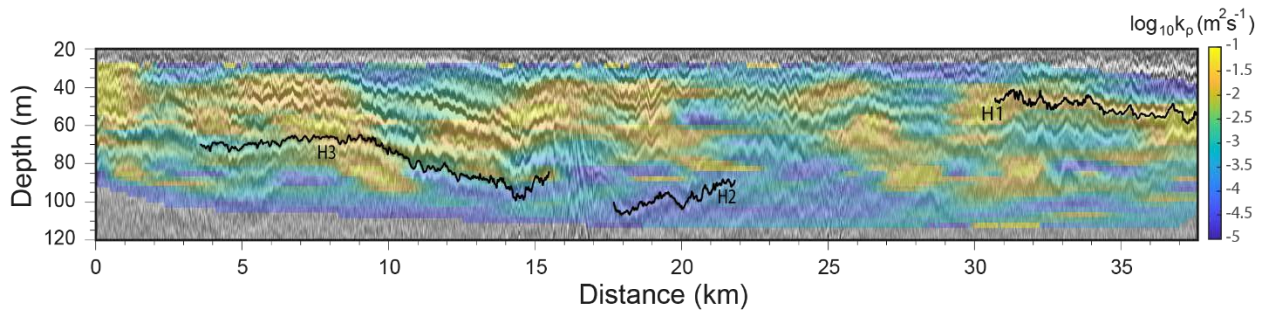
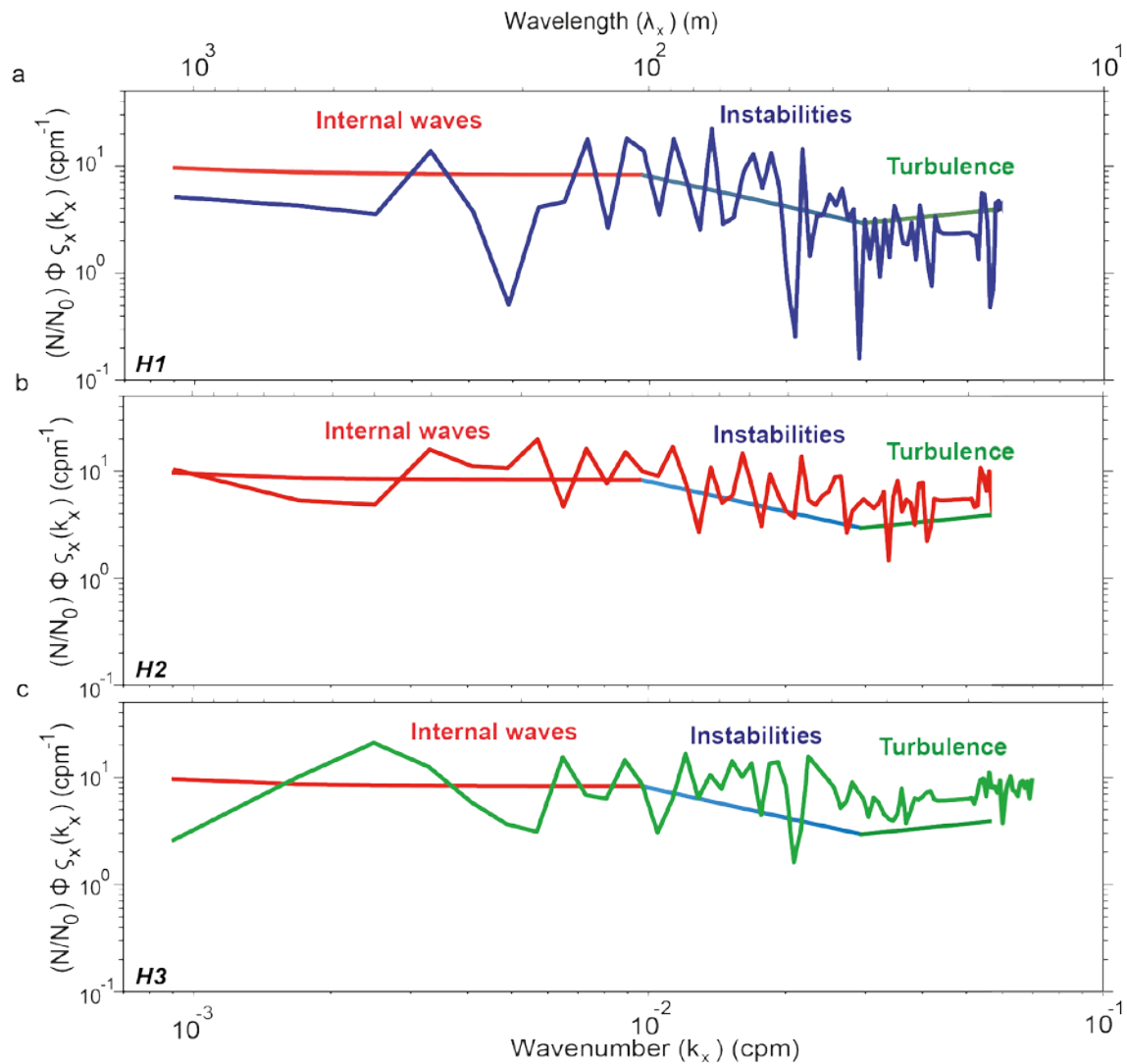


Figure 4. (a) Depth profile of  $\varepsilon(z)$  and  $k_\rho(z)$  obtained from XCTD and ADCP data and applying Gregg89 model. The blue dotted line is the pelagic diffusivity in the ocean ( $k_\rho \approx 10^{-5} \text{ m}^2 \text{ s}^{-1}$ ), the  
 585 green dotted line is the global average for overturning ( $k_\rho \approx 10^{-4} \text{ m}^2 \text{ s}^{-1}$ ), the red dotted line is the average vertical profile from XCTD and ADCP data ( $k_\rho \approx 10^{-3.0} \text{ m}^2 \text{ s}^{-1}$ ) and the gray area is the incidence range from MCS data ( $k_\rho \approx 10^{-2.7} \text{ m}^2 \text{ s}^{-1}$ ). (b) Turner angle showing ranges, the blue dotted lines shows where the water column is unstable to diffusivity ( $Tu < -45^\circ$ ), stability ( $-45^\circ < Tu < 45^\circ$ ) and prone to salt fingering ( $Tu > 45^\circ$ ), and (c) buoyancy profile calculated with the  
 590 XCTD data.

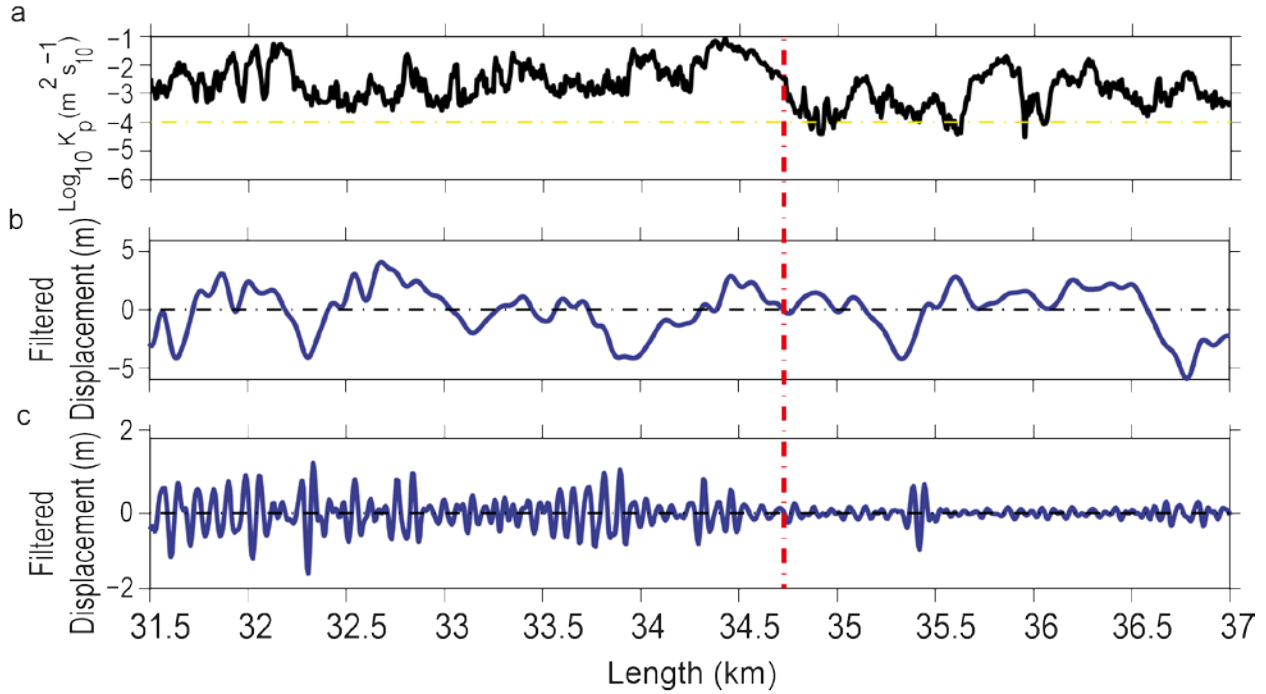


595 Figure 5. High-resolution  $k_\rho(x, z)$  map overlapped with the HR-MCS image. Solid lines labelled H1, H2 and H3, display acoustic reflectors located within relatively high- and low-dissipation areas from Batchelor model.

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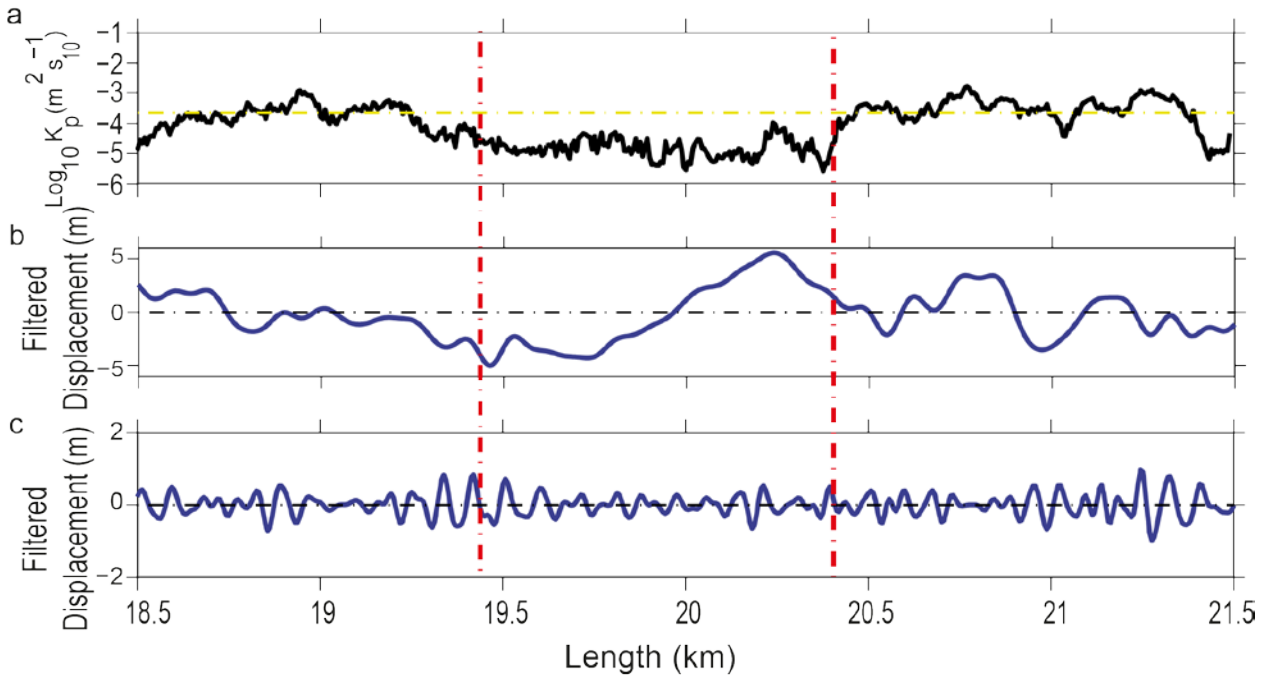


605 Figure 6. (a) Horizontal spectrum of the vertical displacement of reflector H1 (thick blue line) (see location in Fig. 5). Reference line that follows theoretical slopes of Garret-Munk internal wave model (Garret and Munk, 1979) (red line), Kelvin-Helmholtz instabilities (Waite, 2011) (blue line), and Batchelor model for turbulence (Batchelor, 1959) (green line). The methodology applied to calculate the spectra is described in Sallares et al (2016), (b) and (c) same as in (a) for reflector H2 (red) and H3 (green) in this case.



610

Figure 7. (a) Diapycnal mixing obtained along H1 (see details of calculation in the text). (b) Signal filtered at wavelength ranges of the IW sub-range (3000-100 m), (c) and the transitional subrange (100-33 m). The dashed red line identifies the “breaking point” referred to in the text.



615

Figure 8. (a) Diapycnal mixing obtained along H2 (see details of calculation in the text). (b) Signal filtered at wavelength ranges of the IW sub-range (3000-100 m), (c) and the transitional subrange (100-33 m). The dashed red lines identifies the “breaking segment” referred to in the text.

620

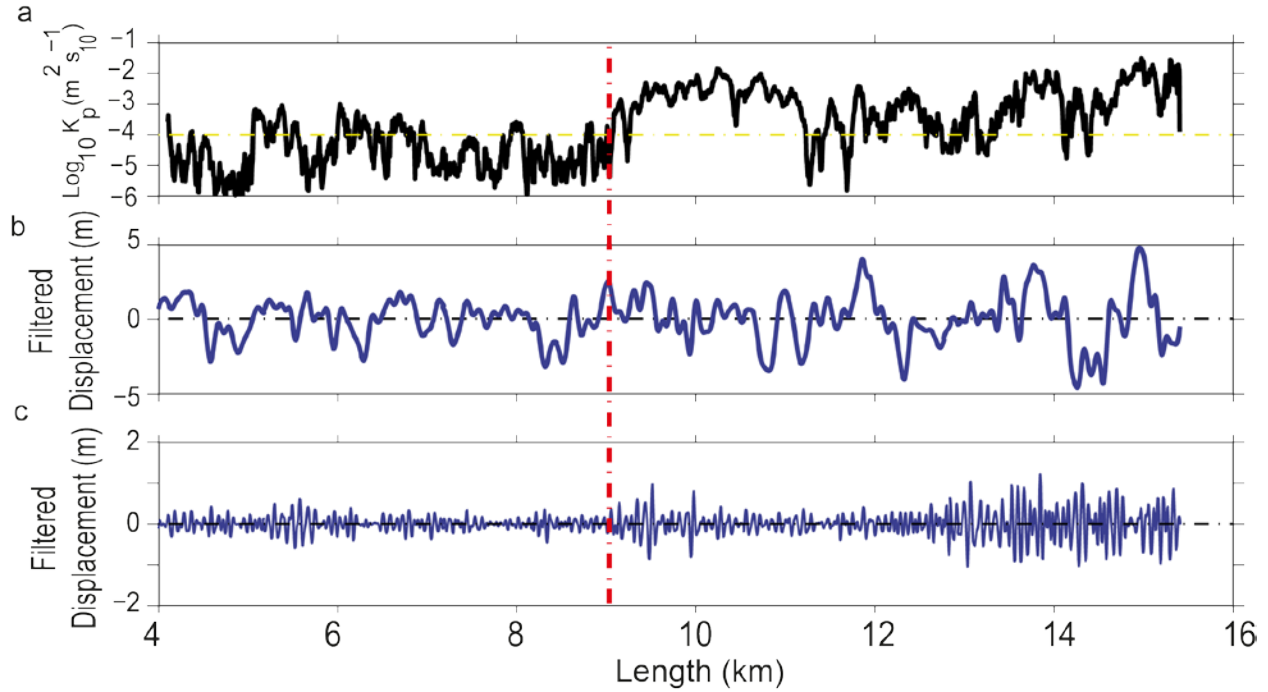


Figure 9. (a) Diapycnal mixing obtained along H3 (see details of calculation in the text). (b) Signal filtered at wavelength ranges of the IW sub-range (3000-100 m), (c) and the transitional subrange (100-30 m). The dashed red line identify the “breaking segment” referred to in the text.

625

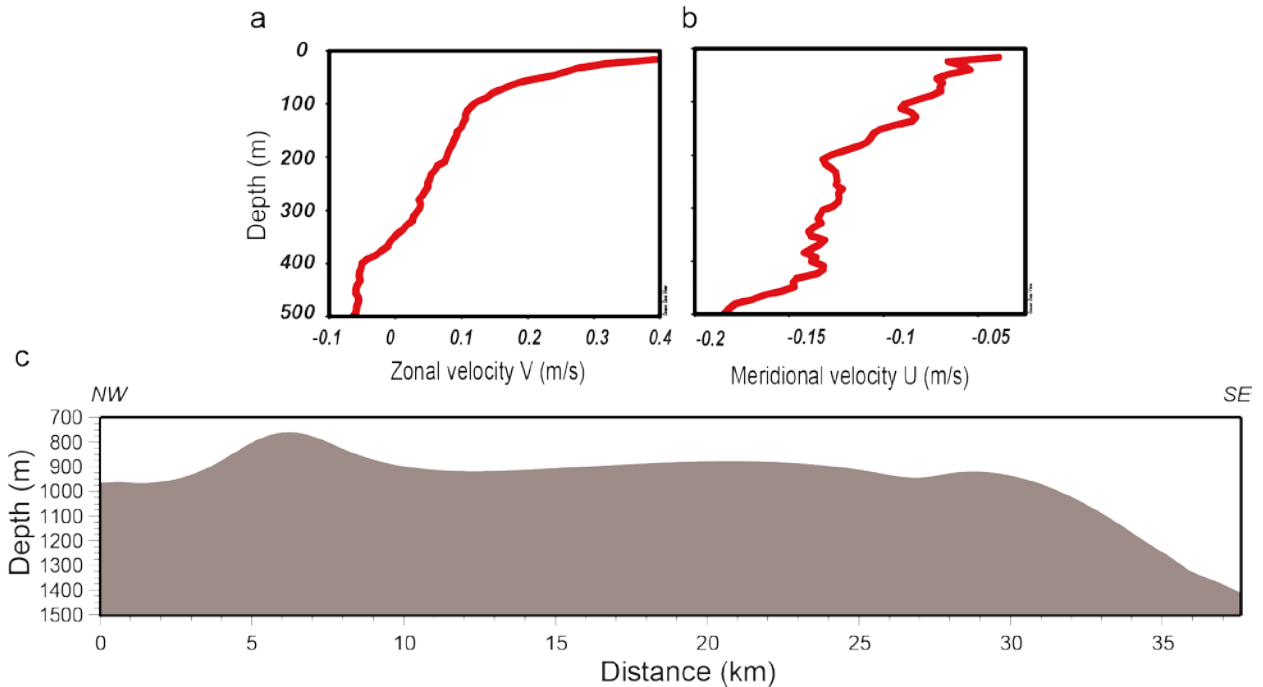


Figure 10. (a) Current velocity profile from ADCP data, SAGAS in May, 2010. (V) The zonal velocity variations, and (b) (U) the meridional velocity variations according to the depth. (c) Bathymetric profile over the seismic profile.

630