

1 Study on organic matter fractions in the surface microlayer in  
2 the Baltic Sea by spectrophotometric and spectrofluorometric  
3 methods

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22 **Abstract.** The fluorescence and absorption measurements of the samples collected from a  
23 surface microlayer (SML) and a subsurface layer (SS), a depth of 1 m were studied during  
24 three research cruises in the Baltic Sea along with hydrophysical studies and meteorological  
25 observations. Several absorption ( $E_2:E_3$ , S,  $S_R$ ) and fluorescence (fluorescence intensities at  
26 Coble classified peaks: A, C, M, T, the ratio  $(M+T)/(A+C)$ , HIX) indices of colored and  
27 fluorescent organic matter (CDOM and FDOM) helped to describe the changes in molecular  
28 size and weight as well as in composition of organic matter. The investigation allow to assess  
29 a decrease in the contribution of two terrestrial components (A and C) with increasing  
30 salinity ( $\sim 1.64\%$  and  $\sim 1.89\%$  in SML and  $\sim 0.78\%$  and  $\sim 0.71\%$  in SS, respectively) and an  
31 increase of in-situ produced components (M and T) with salinity ( $\sim 0.52\%$  and  $\sim 2.83\%$  in  
32 SML and  $\sim 0.98\%$  and  $\sim 1.87\%$  in SS, respectively). Hence, a component T reveals the  
33 biggest relative changes along the transect from the Vistula River outlet to Gdansk Deep,  
34 both in SML and SS, however an increase was higher in SML than in SS ( $\sim 18.5\%$  and  
35  $\sim 12.3\%$ , respectively). The ratio  $E_2:E_3$  points to greater changes in a molecular weight of  
36 CDOM affected by a higher rate of photobleaching in SML. HIX index reflects a more  
37 advanced stage of humification and condensation processes in SS. Finally, the results reveal  
38 a higher rate of degradation processes occurring in SML than in SS. Thus, the specific  
39 physical properties of surface active organic molecules (surfactants) may modify, in a  
40 specific way, the solar light spectrum entering the sea and a penetration depth of the solar  
41 radiation. Research on the influence of surfactants on the physical processes linked to the  
42 sea surface become an important task, especially in coastal waters and in vicinity of the river  
43 mouths.

## 44 **1 Introduction**

45 The sea surface is a highly dynamic interface between the sea and the atmosphere  
46 (Soloviev and Lukas, 2006; Liss and Duce, 2005). The physicochemical and biological  
47 properties of a surface microlayer (SML, a surface film), are clearly and measurably different  
48 from the underlying water due to the molecules forming SML, called surfactants. Sea surface  
49 films are created by organic matter from marine and terrestrial sources: (i) dissolved and  
50 suspended products of marine plankton contained in seawater (Engel et al., 2017), (ii)  
51 terrestrial organic matter transported from land with riverine outflow (natural and synthetic)  
52 and (iii) natural oil leakages from the sea-bottom, iv) and various anthropogenic sources that  
53 includes discharge of hydrocarbons products from undersea oil and gas production, marine  
54 traffic pollution and terrestrial discharge hydrocarbons and persistent organic pollutants

55 (Cuncliffe et al., 2013; Engel et al., 2017). Surface films dissipate due to loss of material at  
56 the sea surface, including microbial degradation, chemical and photo chemical processes, as  
57 well as due to absorption and adsorption onto particulates (Liss et al., 1997). The surface  
58 microlayer is almost ubiquitous and cover most of the surface of the ocean, even under high  
59 turbulence conditions (Cuncliffe et al., 2013). Surface active molecules (surfactants) present  
60 in SML may modify number of physical processes occurring in the surface microlayer:  
61 surfactants affect the solar radiation penetration depth (Santos et al., 2012; Carlucci et al.,  
62 1985), exchange of momentum between atmosphere and ocean by reducing the sea surface  
63 roughness (Nightingale et al., 2000; Frew et al., 1990 ) and gas exchange between ocean and  
64 atmosphere, impacting generation of aerosols from the sea surface (Vaishaya et al., 2012;  
65 Ostrowska et al., 2015; Petelski et al., 2014). Therefore, research on the influence of  
66 surfactants on the sea surface properties become an important task, especially in coastal  
67 waters and in a vicinity of the river mouths (Maciejewska and Pempkowiak, 2015).

68 Surfactants comprise a complex mixture of different organic molecules of  
69 amphiphilic and aromatic structures (with hydrophobic and/or hydrophilic heads) rich in  
70 carbohydrates, polysaccharides, protein-like and humus (fulvic and humic) substances  
71 (Williams et al., 1986; Ćosović and Vojvodić, 1998; Cuncliffe et al, 2011). Some dissolved  
72 organic compounds possess, especially fulvic and humic substances, optically active parts  
73 of molecules that absorb the light, called chromophores, (CDOM, *chromophoric* dissolved  
74 organic matter), and fluorophores, that absorb and emit light (FDOM – fluorescent dissolved  
75 organic matter). Due to the complexity and compositional variability of the dissolved organic  
76 matter mixture, the absorption and fluorescence (excitation-emission matrix) spectroscopy  
77 were found as fast and reliable available methods for detection and identification of the  
78 dissolved organic matter in seawater (Stedmon et at, 2003; Hudson et al., 2007; Coble, 2007;  
79 Jørgensen et al., 2011). Absorption and fluorescence spectra of specific organic compounds  
80 groups may allow identification of sources transformations of dissolved organic matter  
81 (Coble, 1996; Lakowicz, 2006). Several indices describing the changes of a concentration  
82 (Blough and Del Vecchio, 2002), a molecular weight (Peuravuori and Pihlaja, 1997), a  
83 composition of CDOM/FDOM (Stedmon and Bro, 2008; Boehme and Wells, 2006) and a  
84 rate of degradation processes (Milorì et al., 2002; Glatzel et al., 2003; Zsolnay, 2003) can be  
85 calculated from the CDOM absorption and FDOM fluorescence excitation and emission  
86 matrix spectra EEMs, that could be useful to study dissolved organic matter dynamics and  
87 composition in surface micro layer. Recent advances in applications of the absorption and

88 fluorescence spectroscopy in environmental studies on aquatic dissolved organic matter both  
89 in fresh and marine environments and engineered water systems have been summarized in  
90 numerous text books and review papers (e.g. Coble, 2007; Hudson et al., 2007; Ishii and  
91 Boyer, 2012; Andrade-Eiroa et al., 2013; Nelson and Siegel, 2013; Coble et al., 2014;  
92 Stedmon and Nelson, 2015). The humic substances contribute significantly both to CDOM  
93 pool in the water column as well as to surfactants concentrations especially in coastal ocean,  
94 estuaries and semi-enclosed marine basin that are impacted by terrestrial runoff and marine  
95 traffic. Therefore optical methods could be used efficiently for determination of natural and  
96 anthropogenic organic surface active substances in SML (Drozdowska et al. 2013;  
97 Drozdowska et al., 2015; Pereira et al., 2016; Frew et al., 2004; Zhang et al., 2009; McKnight  
98 et al., 1997; Guéguen et al., 2007) .

99       Baltic Sea is a semi-enclosed marine basin with annual riverine discharge reaching  
100 ca.  $0.5 \cdot 10^3 \text{ km}^3$  of fresh water (Leppäranta and Myrberg, 2009). Maximum freshwater  
101 runoff occurs in April/May. The fresh water carries both high concentrations of CDOM  
102 (Drozdowska and Kowalczyk, 1999; Kowalczyk, 1999; Kowalczyk et al., 2010; Ylostallo et  
103 al., 2016) and substantial loads anthropogenic pollutants and inorganic nutrients  
104 (Drozdowska et al., 2002; Pastuszak et al., 2012) that stimulates phytoplankton blooms, This  
105 marine basin is also impacted by significant pollution caused by the high marine traffic  
106 (Konik and Bradtke, 2016). The main goal of this study was i) to distribution of  
107 concentration of specific CDOM/FDOM components in the SML and subsurface waters (SS  
108 - 1 m depth) in the salinity gradient along a transect from the Vistula River mouth to Gdansk  
109 Deep, Gulf of Gdansk, Baltic Sea; ii) observe the compositional changes of CDOM/FDOM  
110 derived from changes of spectral indices calculated from absorption and EEM spectra; iii)  
111 describe and iii) distinguishing processes that lead to observed differences in CDOM/FDOM  
112 concentration and composition in the SML and SS along sampled transect.

## 113 **2 Measurements**

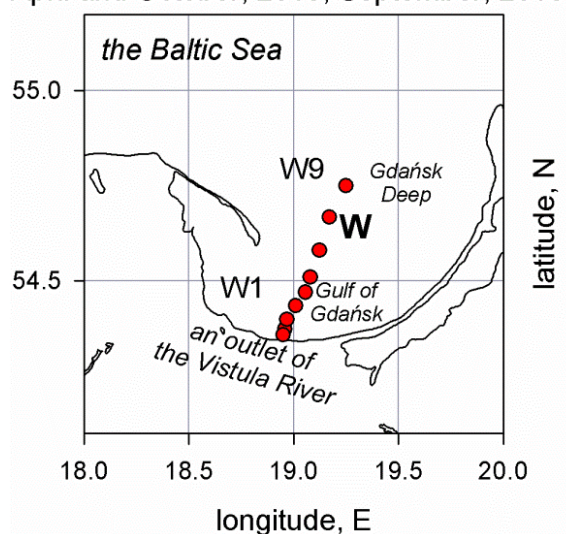
### 114 **2.1 SML sampling**

115       Sample collection for spectroscopic characterization of the dissolved organic matter  
116 contained in the SML and SS, that could be regarded as proxy for marine surfactants were  
117 conducted during three research cruises of r/v Oceania in April and October 2015 and in  
118 September 2016). Measurement of physical parameters of sea water and samples collection  
119 were performed at nine stations along the transect 'W' - from the mouth of the Vistula River,

120 W1, along the Gulf of Gdansk to the Gdansk Deep in the open sea, W9, (Figure 1). Gulf of  
121 Gdansk is under direct influence of the main Polish river system, Vistula, which drains the  
122 majority of Poland (Uściniowicz, 2011). Meteorological observations (wind speed and wind  
123 direction, and a surface waves high were recorded) and CTD cast with use of the SeaBird  
124 SBE 19 probe was performed at every station. Water samples were collected at SML and  
125 SS. The SML sampling was carried out when the sea state was 0-4 B only, and there were  
126 no detectable oil spills. The samples were collected from the board of the vessel (*r/y*  
127 *Oceania*), that is about 2 m above the sea surface. The sampling was maintained about 15  
128 minutes after anchoring, to avoid the turbulences in the surface layer caused by the screw  
129 and ship movements. We used the Garrett Net, mesh 18, to collect the samples from the sea  
130 surface microlayer, according to the procedure described by Garrett (1965). The mesh screen  
131 is 50 cm x 50 cm, made of metal, and the size of holes is 1 mm, while the diameter of the  
132 wire is 0.4 mm. Thus, the thickness of a collected microlayer is about 0.5 mm. On average,  
133 22 such samplings were required to obtain 1 dm<sup>3</sup> of microlayer water. First, the screen was  
134 immersed. Then, once totally immersed, the screen was left under the water until the  
135 microlayer had stabilized. Finally, it was carefully raised to the surface in a horizontal  
136 position at a speed of ca 5–6 cm s<sup>-1</sup> (Carlson 1982). Water was poured from the screen into  
137 a polyethylene bottle using a special slit in the screen frame. In the same places the SS  
138 samples from a depth of 1 m were taken by a Niskin bottle. Collected, unfiltered water  
139 samples were stored in amber glass bottles in the dark at 4°C until analysis in the land based  
140 laboratory.

141

April and October, 2015; September, 2016



142

143 Figure 1 . Measurements stations sampled during research cruises of r/v Oceania:  
144 28<sup>th</sup> April and 15-16<sup>th</sup> October in 2015 and 11<sup>th</sup> September in 2016.

## 145 2.2 Laboratory spectroscopic measurements of CDOM and FDOM optical properties

146 Spectrophotometric and spectrofluorometric measurements of collected samples  
147 were conducted in laboratory the Institute of Oceanology Polish Academy of Sciences,  
148 Sopot, Poland, within a 24 h after the cruise end. Before any spectroscopic measurements  
149 water samples were left to warm up to room temperature.

150 The main task in our work was to study the luminescent properties of the molecules  
151 that form a surface microfilm. However, the seasurface microlayer is a gelatinous film  
152 created by polysaccharides, lipids, proteins, and chromophoric dissolved organic matter  
153 (Sabbaghzadeh et al., 2017; Cunliffe et al., 2013) and consisted of dissolved, colloidal and  
154 particulate matter. Thus, not to dispose the absorbing and fluorescent matter involved into a  
155 gel structure we do not filtrate the samples. In the manuscript the results of absorption and  
156 fluorescence indices based on CDOM absorption spectra and FDOM 3D fluorescence  
157 spectra, collected during three cruises and carried out on the unfiltered samples are  
158 presented. There were performed the tests on filtrated and unfiltered probes, sampled during  
159 one cruise (not published). Changes in the absorption spectra resulting from the unfiltering  
160 of the samples occur mainly in the short UV and far VIS range. However, these differences  
161 do not cause significant changes in the absorption indices, because they are calculated on  
162 the basis of the shapes of the spectra (in other words: are based on the relative differences  
163 between the values of  $a_{CDOM}(\lambda)$ ) in the range between the affected ends of the measuring  
164 range. Moreover, in the studied fluorescence spectra, due to lack of filtration, we obtain a  
165 strong elastic and non-elastic scatter band, which, however, is removed in the first step of  
166 the analysis. The filtration procedure affects the fluorescence spectral band (Fig. 2) for a  
167 component T (protein-like) only, that is much effectively retained on the filter, however, the  
168 differences are the same for the SML and SS. Knowing the limitations of the applied  
169 procedures, we decide to conduct research on unfiltered water (Ćosović and Vojvodić, 1998;  
170 Drozdowska et al., 2015).

171 CDOM absorption measurements were done with use of Perkin Elmer Lambda 650  
172 spectrophotometers in the spectral range 240 – 700. All spectroscopic measurements were  
173 done with use of 10-cm quartz cell and ultrapure water MilliQ water was used as the

174 reference for all measurements. Raw recorded absorbance  $A(\lambda)$  spectra were processed and  
175 the CDOM absorption coefficients  $a_{CDOM}(\lambda)$  in  $[m^{-1}]$  were calculated by:

$$176 \quad a_{CDOM}(\lambda) = 2.303 \cdot A(\lambda) / l \quad (1)$$

177 where,  $A(\lambda)$  is the corrected spectrophotometer absorbance reading at wavelength  $\lambda$  and  $l$  is  
178 the optical path length in meters.

179 A nonlinear least squares fitting method using a Trust-Region algorithm  
180 implemented in Matlab R2011b was applied (Stedmon et al., 2000, Kowalczuk et al., 2006)  
181 to calculate CDOM absorption spectrum slope coefficient,  $S$ , in the spectral range 300-600  
182 nm using the following equation:

$$183 \quad a_{CDOM}(\lambda) = a_{CDOM}(\lambda_0) e^{-S(\lambda_0 - \lambda)} + K \quad (2)$$

184 where:  $\lambda_0$  is 350 nm, and  $K$  is a background constant that allows for any baseline shift caused  
185 by residual scattering by fine size particle fractions, micro-air bubbles or colloidal material  
186 present in the sample, refractive index differences between sample and the reference, or  
187 attenuation not due to CDOM. The parameters  $a_{CDOM}(350)$ ,  $S$ , and  $K$  were estimated  
188 simultaneously via non-linear regression using Equation 2 in the spectral range 300-600 nm.

189 The organic matter fluorescence Excitation Emission matrix spectra of all collected  
190 samples were made using Varian Cary Eclipse scanning spectrofluorometer in a 1 cm path  
191 length quartz cuvette using a 4 ml sample volume. A series of emission scans (280–600 nm  
192 at 2 nm resolution) were taken over an excitation wavelength range from 250 to 500 nm at  
193 5 nm increments. The instrument was configured to collect the signal using maximum lamp  
194 energy and 5 nm band pass on both the excitation and emission monochromators. Prior the  
195 measurements of each batch of samples the fluorescence EEM spectrum of Mili-Q water  
196 blank sample was measured using the same instrumental set up. The intensity of the MiliQ  
197 water Raman emission band was calculated by integrating the area under emission spectrum  
198 in the spectral range: 374 - 424nm, excited at 350 nm (in literature: 355nm) (Murphy et al.,  
199 2010). The blank MiliQ fluorescence signal was subtracted from all EEMs samples. All  
200 blank corrected spectra were normalized to MiliQ water Raman emission (scaled to Raman  
201 units R.U.) by dividing the resulting spectra by calculated Raman emission intensity value.

202

203 **2.3 Optical indices of CDOM and FDOM used for calculations**

### 204 **2.3.1 Absorption indices**

205           Based on measured absorption spectra several spectral absorption indices have been  
206 calculated. The ratios of CDOM absorption coefficients at 250 to 365nm,  
207  $a_{\text{CDOM}(250)}/a_{\text{CDOM}(365)}$  (called E<sub>2</sub>:E<sub>3</sub>) and at 450 to 650 nm,  $a_{\text{CDOM}(450)}/a_{\text{CDOM}(650)}$ ,  
208 (called E<sub>4</sub>:E<sub>5</sub>) are used to track changes in the relative size and the aromaticity of CDOM  
209 molecules (De Haan and De Boer, 1987; Peuravuori and Pihlaja, 1997; Chin et al. 1994).  
210 When a molecular size and aromaticity increase, the values of the ratios E<sub>2</sub>:E<sub>3</sub> and E<sub>4</sub>:E<sub>5</sub>  
211 decrease. This is caused by the stronger absorption at the longer wavelengths occurring due  
212 to the presence of larger and higher molecular weighted (HMW) CDOM molecules (Helms  
213 et al., 2008, Summers et al., 1987). In optically clear natural waters the absorption at 664 nm  
214 is often little or immeasurable and then the absorption at 254 nm (or 280 nm) is used in lieu  
215 of the E<sub>4</sub>:E<sub>6</sub> ratio as an indicator of humification or aromaticity (Summers et al., 1987). The  
216 spectral slope coefficient, *S*, of the absorption spectra, calculated in various spectral range  
217 (Carder et al., 1989; Blough and Green, 1995) may be considered as a proxy for CDOM  
218 composition, including the ratio of fulvic to humic acids and molecular weight (Stedmon  
219 and Markager, 2003; Bracchini et al., 2006). The use of *S* in the narrow spectral range allows  
220 to reveal subtle differences in the shape of the spectrum and this in turn gives insight into  
221 the origin of organic matter (Sarpal et al., 1995). The use of narrow wavelength intervals is  
222 advantageous as they minimize variations in *S* caused by dilution (Brown, 1977). The ratio  
223 of the spectral slope coefficients ( $S_{275-295}$  and  $S_{350-400}$ ),  $S_R$ , is correlated with DOM molecular  
224 weight (MW) and to photochemically induced shifts in MW (Helms et al., 2008) The spectral  
225 slope ratio,  $S_R$ , was calculated as spectral slopes coefficient ratio estimated by linear fitting  
226 of log transformed absorption spectra in the spectral ranges 275-295 nm, ( $S_{275-295}$ ), and 350-  
227 400, ( $S_{350-400}$ ). Helms et al., (2008). has reported that the photochemical degradation of  
228 terrestrial DOM lead to increase in the absolute value of the spectral slope ratio.

229

### 230 **2.3.2 Fluorescence indices**

231           Analysis of EEM fluorescence spectra of marine waters are based on interpretation  
232 of distinct fluorescence intensity peaks proposed by Coble (1996; Loiselle et al., 2009 ) for  
233 different types fluorophores found in natural waters, where peak A (ex./em. 250/437 nm)  
234 was attributed to terrestrial humic substances; peak C (ex./em. 310/429 nm) represented  
235 terrestrial fulvic substances; peak M (ex./em. 300/387 nm) characterized marine fulvic



236 substances; and peak T (ex./em. 270/349 nm) represented proteinaceous substances.  
237 Fluorescence intensities of the main FDOM components: A, C, M and T (in Raman units,  
238 [R.U.]) were used as a proxy of FDOM concentration. A percentile contribution of the main  
239 FDOM fluorophores, calculated as the ratio of the respective peak intensity (A, C, M or T)  
240 to the sum (A+C+M+T) of all peak intensities, gave information about the relative changes  
241 of a fluorophore composition in a sample (Kowalczyk et al., 2005; Drozdowska and  
242 Józefowicz, 2015). Fluorescence intensities ratio (M+T)/(A+C) allowed to assess relative  
243 contribution of recently in-situ produced dissolved organic matter, (M+T) to humic  
244 substance characterized by highly complex HMW structures (A+C) (Parlanti et al., 2000;  
245 Drozdowska et al., 2015). Values of (M+T)/(A+C) ratio  $> 1$  indicated the predominant  
246 amount of autochthonous DOM molecules, while  $< 0.6$  indicated the allochthonous ones.  
247 HIX index is calculated as a ratio of fluorescence intensity at a blue part electromagnetic  
248 radiation spectrum (435-480) (induced in) to a fluorescence intensity at the UV-C part (330–  
249 346 nm), excited at 255 nm (Zsolnay et al., 1999). HIX index reflected the structural changes  
250 that occurred during humification process of, causing the increase of both aromaticity (the  
251 ratio C/H) and molecular weight of DOM molecules. Calculated spectral indices allowed to  
252 assess DOM structural and compositional changes, and quantification of the allochthonous  
253 (terrestrial, aromatic and highly weighted molecules) vs. autochthonous (marine humic-like  
254 and protein-like and low molecular weighted ones) DOM fractions in the sampled transect.

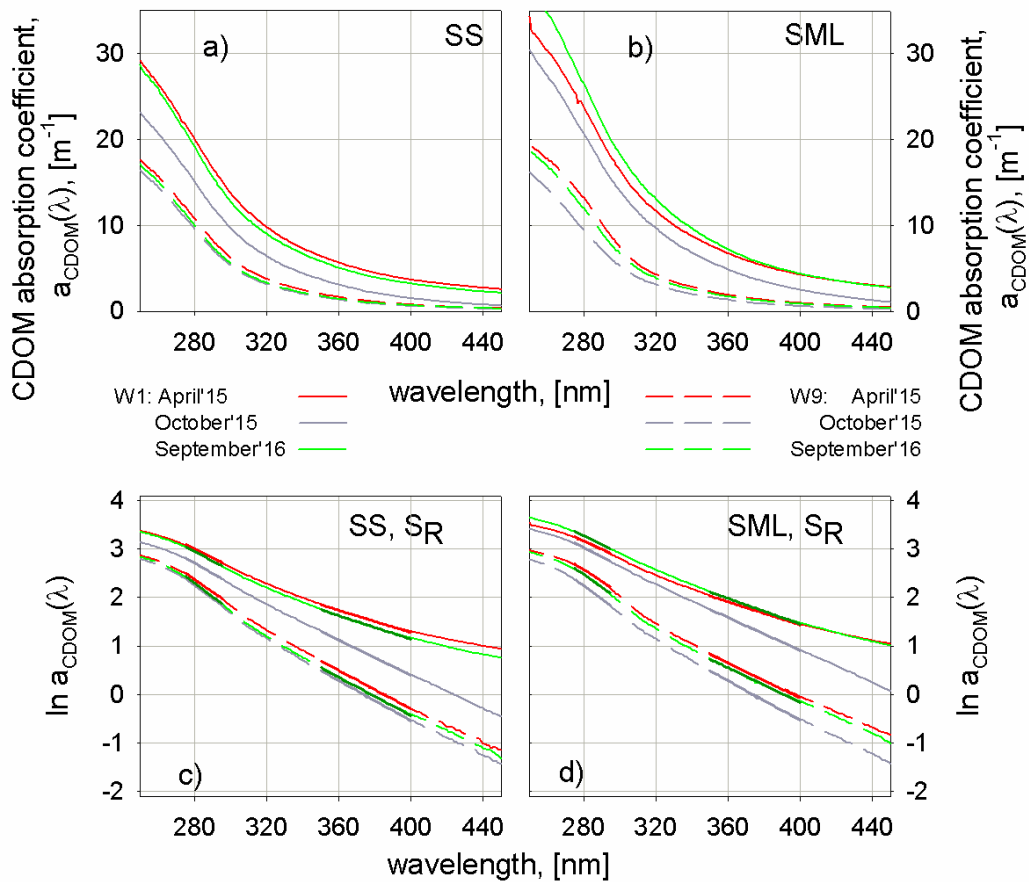
### 255 **3 Results**

256 The SML and SS Sampling, during two research cruises, at April in 2015 and  
257 September in 2016, was conducted in calm sea - the wind speed was almost equally to zero.  
258 In October in 2015, fresh, north-western wind was recorded (3-4 B). This cruise started after  
259 a week-long storm of northerly winds that caused increase of sea level at the southern part  
260 of the Gulf of Gdansk and periodically stopped the Vistula River. As the consequence,  
261 measured salinity along entire transect W was  $> 7$ , and values of CDOM absorption and  
262 FDOM intensities were, even at the vicinity of the Vistula River mouth. .

#### 263 **3.1 Absorption analysis**

264 In the Baltic Sea CDOM absorption decreases with increased salinity (Kowalczyk,  
265 1999, Kowalczyk et al., 2006; Drozdowska and Kowalczyk, 1999), therefore as expected  
266 CDOM absorption spectra measured at the nearest-shore station W1, are higher than

267 compared to those measured in outermost station W9 in the Gdansk Deep, as shown on  
 268 Figure 2. .



269  
 270 Figure 2. Absorption spectra - collected during three Baltic cruises at 28<sup>th</sup> April,  
 271 2015 (red lines), 15-16<sup>th</sup> October, 2015 (grey) and 11<sup>th</sup> September 2016  
 272 (green) - for W1 (solid lines) and W9 (dash lines) stations – presented in  
 273 linear scale (top panels: a, b). Natural log-transformed absorption spectra  
 274 with best-fit regression lines for two regions (275-295 nm and 350-400  
 275 nm) (bottom panels: c, d).

276 The values of the absorption coefficient,  $a_{\text{CDOM}}(\lambda)$  are the highest in the station W1,  
 277 located in the vicinity of a river outlet, and the lowest in W9, in the open sea. Moreover, the  
 278 intensity of light absorption is higher in the SML than in SS because of the enrichment effect  
 279 of the surface layer (Williams et al., 1986; Cunliffe et al., 2009), while with an increase of a  
 280 distance from the river outlet, the intensity of light absorption is decreasing significantly and  
 281 the differences between the SML and SS decrease (the calculations published in open  
 282 discussion). Furthermore, the slope ratio  $S_R$ , as a ratio of spectral slope coefficients in two  
 283 spectral ranges of the absorption spectra,  $S_{275-295}$  and  $S_{350-400}$ , was calculated. The sections

284 of the absorption curves, marked in the appropriate narrow spectral ranges and, corresponded  
 285 to them, the values of  $S_R$  are presented in Fig. 2 (c and d) and Table 1, respectively.

286

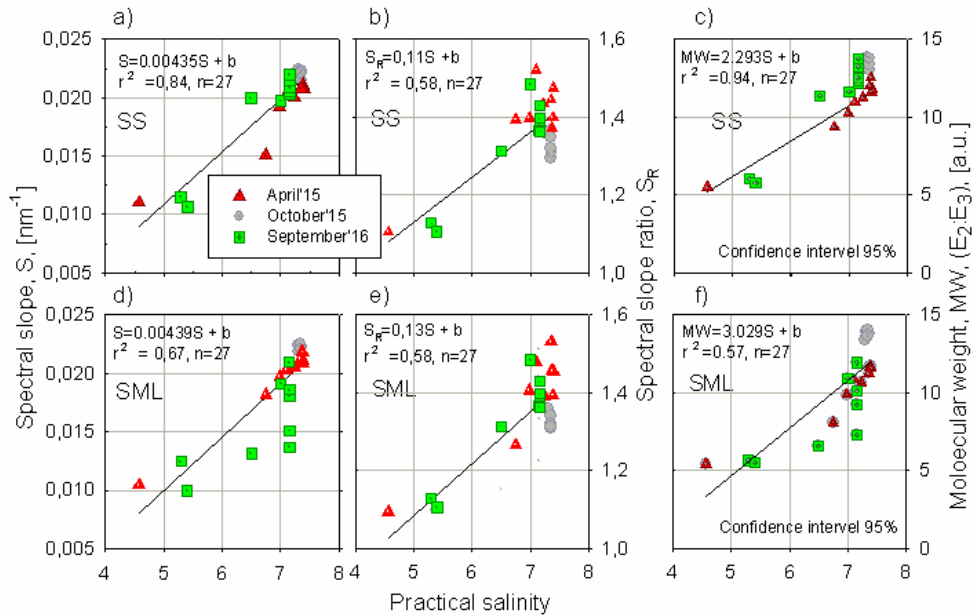
287 Table 1. Results of a slope ratio,  $S_R$ , for SML and SS, at W1 and W9 stations.

	A slope ratio – $S_R$ ( $= S_{275-295}/S_{350-400}$ )					
	$S_R$ - for SS			$S_R$ - for SML		
	28 April 2015	15-16 October 2015	11 September 2016	28 April 2015	15-16 October 2015	11 September 2016
W1	1.58	1.16	1.61	1.43	1.10	1.35
W9	1.30	1.33	1.40	1.34	1.35	1.45

288

289 The values of  $S_R$  obtained in three cruises at W1 station (near the Vistula River outlet) were:  
 290 1.58, 1.16 and 1.61 for SS and 1.43, 1.10 and 1.35 for SML, respectively. While at W9 (open  
 291 sea) were: 1.30, 1.33 and 1.40 for SS and 1.34, 1.35 and 1.45 for SML, respectively. Hereof,  
 292 the slope ratio,  $S_R$ , was higher in SML than in SS in the open sea (W9), while it was opposite  
 293 in a region around the Vistula river mouth (W1). However in W9 (the open sea) the  
 294 differences were 3.1 %, 1.5 % and 3.5 %, while in W1: 10.5 %, 5.4 % and 11.9 %. The  
 295 higher values of  $S_R$  in the SML in the open sea waters, mean the smaller size of CDOM that  
 296 may exist due to a photodegradation process (Helmes et al., 2008). While the lower values  
 297 of  $S_R$  in the SML in the vicinity of the river outlet may mean the forming of the surface  
 298 structures from the hydrophobic molecules coming with freshwater.

299 Next, another absorption indices that describe the changes of molecular size/weight (the  
 300  $E_2:E_3$  ratio) and chemical composition of organic matter (a spectral slope coefficient,  $S$ ),  
 301 were calculated. The results of  $E_2:E_3$  and  $S$  and  $S_R$  in a relation to salinity are presented on  
 302 Fig. 3. The satisfying correlation between salinity and (i) the spectral slope coefficient,  $S$   
 303 ( $r^2=0.84$  for SS and  $r^2=0.67$  for SML), (ii) the slope ratio  $S_R$  ( $r^2=0.58$  for SS and SML) and  
 304 (iii) relative changes in the molecular weight MW ( $r^2=0.94$  for SS and  $r^2=0.57$  for SML)  
 305 were received. The calculations were performed by Regression Statistics, with the  
 306 Confidence interval 95 %. Moreover, the linear regression coefficients for the relations  
 307 between salinity and:  $S$ ,  $S_R$  and MW are, respectively 0.00439, 0.13 and 3.029 for SML and  
 308 0.00435, 0.11 and 2.293 for SS. As one can see, the linear regression coefficients achieved  
 309 higher values for SML than SS, so the processes go faster in SML than in SS.



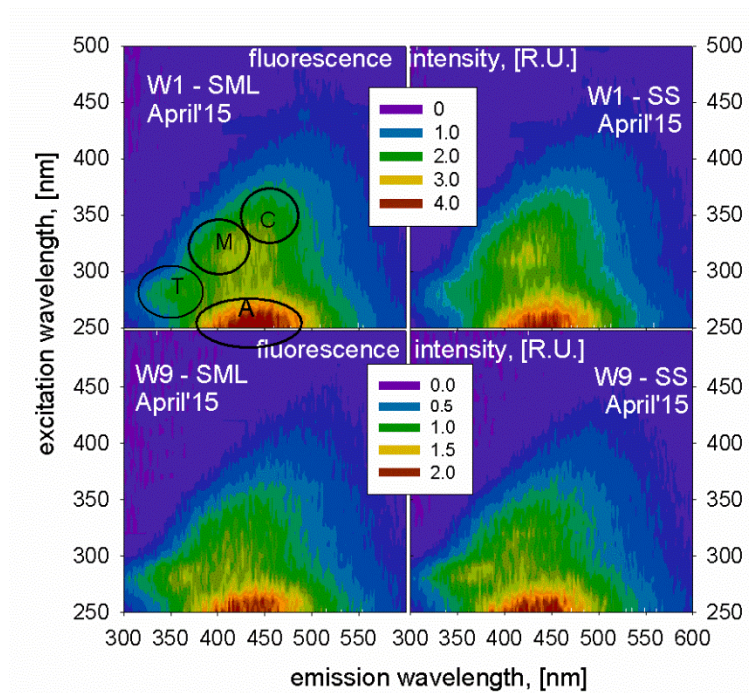
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Fig. 3. The relationship between salinity and: (a) the spectral slope coefficient,  $S$ , measured in the 300-600nm, (b) the slope ratio  $S_R = S_{275-295} / S_{350-400}$ , and (c) the relative changes in the molecular weight, MW ( $E_2 : E_3$ ) for SS; and: (d), (e) and (f) for SML, respectively.

315 Furthermore, the values of  $S$ ,  $S_R$  and MW are 2-, 0.5- and 3-times higher, respectively, in a  
316 vicinity of the river outlet than in open sea.

### 317 3.2 Fluorescence analysis

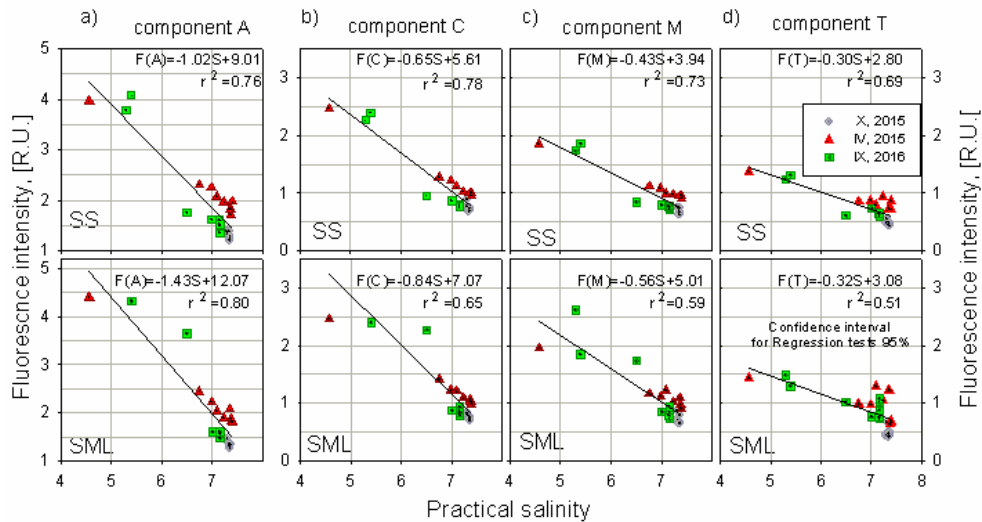
318 The studies on the fluorescence properties of seawater, focused on the surface layer, were  
319 developed in the Baltic Sea for years (Ferrari and Dowell, 1998; Drozdowska and  
320 Kowalczyk, 2009; Drozdowska, 2007a,b) and allowed for complex analysis of the natural  
321 components of the Baltic water (Kowalczyk et al., 2005; Stedmon et al., 2003). Based on the  
322 analysis of 54 EEM spectra of seawater (27 samples for SML and 27 ones for SS) the  
323 intensities of four emission bands (in [R.U.]), belonging to the main components (A, C, M  
324 and T) of the marine CDOM were calculated. The Fig. 4 presents the 3D EEM spectra,  
325 typical for the open sea water (the most salty), W9, and estuarine waters (the most fresh),  
326 W1, for the samples collected from SML and SS.



327  
 328 Figure 4. Examples of 3D fluorescence spectra (EEM) of the samples collected  
 329 at stations W1, near the Vistula River outlet (top panels) and W9, Gdansk  
 330 Deep (bottom panels), 28 April 2015.

331 The relationships between the fluorescence intensities of the main fluorescence bands (proxy  
 332 of FDOM components concentration) and salinity as well as the relative contribution of the  
 333 fluorescent components and salinity are demonstrated in Fig. 5 and 6. The changes of the  
 334 FDOM peak intensities and their relative contributions (composition of FDOM components)  
 335 in EEM were quantify by calculating the median and its percentile distribution of both the  
 336 fluorescence intensities and the relative contributions of FDOM components, for the SML  
 337 and SS in two water masses. Table 2 contains the median values of (i) fluorescence  
 338 intensities (R.U.) and (ii) percentage contribution (%) of respective peaks in the SML and  
 339 SS in two distinct water masses: one characterized by salinity  $<7$ , which is influenced by  
 340 direct fresh water discharge from Vistula River and the other characterized by salinity  $>7$ ,  
 341 which is typical for open Baltic Sea waters. The ANOVA test was applied to the mentioned  
 342 median values for two cases: when the differentiation factor was (i) salinity regime and (ii)  
 343 the sampling layer. The salinity was a good factor to differentiate the variances of the median  
 344 values, while the sampling layer not. However, in spite of the p-values indicate no statistical  
 345 significance, one can see on the graphs and Table 2 that the values for the SML are always  
 346 higher than for the SS. Hence, the distinguish between the results for the SML and SS exist.  
 347 What is more, the differentiation factor is the level of sampling. The fluorescence intensities

348 of the main FDOM components referred to salinity demonstrate the constant linear  
 349 relationships both in SS and SML (Fig. 5, upper and lower graphs, respectively). The linear  
 350 regression coefficients were calculated by Regression test in Sigma Plot, with the Confident  
 351 interval 95%, The linear coefficients in SML and SS, for every FDOM component, are: -  
 352 1.43 and -1.02 for a component A; -0.84 and -0.65 for a component C; -0.56 and -0.43 for a  
 353 component M; -0.32 and -0.3 a component T, respectively. Hence, the regression coefficients  
 354 are higher in SML than in SS.



355  
 356 Figure. 5. Dependence of the fluorescence intensity of the main FDOM  
 357 components: a) A, b) C, c) M and d) T as a linear relation to salinity for  
 358 the samples from the sub-surface water (SS; top panels) and the sea  
 359 surface microlayer (SML; bottom panels).

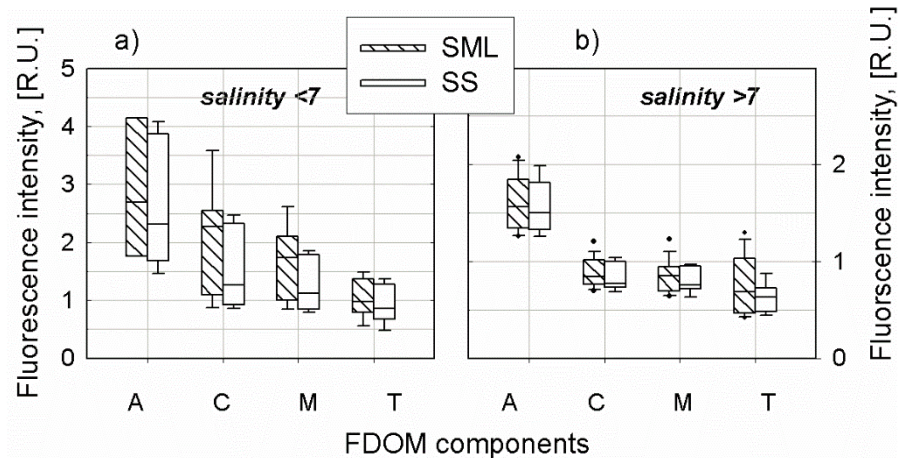
361 Table 2. Medians of FI\* and PC\*\* of FDOM components for coastal zone\*\*\* and open sea  
 362 waters\*\*\*\*

FDOM components			Salinity < 7				Salinity > 7			
			A	C	M	T	A	C	M	T
exc./ em. (nm/nm)			250/437	310/429	300/387	270/349				
fluorescence intensity, R.U.	median	SML	2.69	2.27	1.74	0.98	1.56	0.84	0.85	0.69
		SS	2.31	1.27	1.12	0.86	1.50	0.77	0.76	0.63
percentile contribution, %	median	SML	40.72	24.32	20.01	14.06	39.08	22.43	20.53	16.89
		SS	41.52	22.87	19.92	14.40	40.75	22.17	20.90	16.27

363 \*FI - a fluorescence intensity; \*\*PC - a percentage contribution; \*\*\*typical for salinity < 7;  
 364 \*\*\*\*typical for salinity > 7.

365

366 The percentile statistical distribution of fluorescence peak intensities in the SML and SS  
367 layer in two water masses characterized by salinity threshold less than 7 and higher than 7,  
368 have been presented in Fig. 6a and Fig.6b, respectively. The box-whisker plots in Fig. 6  
369 present median values (solid line), 25th and 75th percentiles (the boundaries of the box:  
370 closest to and farthest from zero, respectively) and 5th and 95th percentiles (whiskers below  
371 and above the box, respectively) of the respective fluorescence intensity. There has been a  
372 clear spatial pattern (for the coastal zone and open sea) shown on both figures that the higher  
373 median values of A, C, M and T were observed in the SML than in SS. For salinity <7, the  
374 median of fluorescence intensities of main FDOM components in SML were: 2.69, 2.27,  
375 1.74 and 0.98 R.U., while in SS: 2.31, 1.27, 1.12 and 0.86 R.U. In open waters (salinity >7)  
376 the median of fluorescence intensities of the FDOM components were in SML: 1.56, 0.84,  
377 0.85 and 0.69 R.U., while in SS: 1.5, 0.77, 0.76 and 0.63 R.U. The median values of  
378 respective peaks intensities are higher in SML than in SS both in coastal zone (salinity <7)  
379 and in open sea (salinity >7). Additionally, the boundaries of the boxes show much greater  
380 dispersion of the results in SML than in SS and greater variation in coastal zone (salinity <7)  
381 than in open sea (salinity >7).



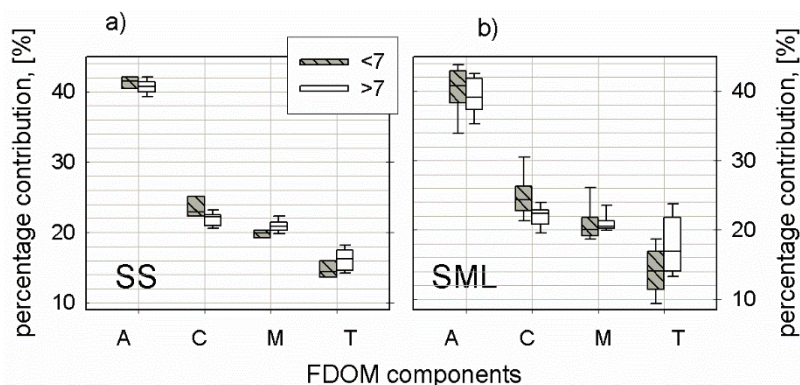
382

383 Figure 6. Dependence of the fluorescence intensity of the main FDOM  
384 components in SML and SS as the box plots for (a) coastal water (salinity  
385 <7) and (b) open sea (salinity >7).

386

387 The Fig. 7 shows the percentage contribution of the individual FDOM peaks calculated as  
388 the ratio of its fluorescence intensity to the sum of the all fluorescence peak intensities (e.g.  
389  $A/(A+C+M+T)$ ) for SS and SML samples (a left and a right graph, respectively). The box-  
whisker plots in Fig. 7 present median values (solid line), 25th and 75th percentile (the

390 boundaries of the box: closest to and farthest from zero, respectively) and 5th and 95th  
 391 percentiles (whiskers below and above the box, respectively) of the respective percentage  
 392 contribution (a relative composition of fluorescing components of CDOM).

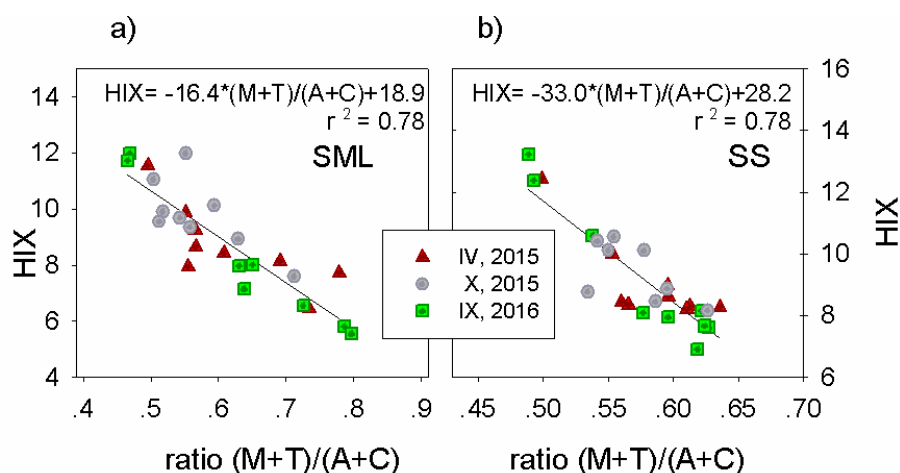


393  
 394 Figure. 7. Dependence of percentage contribution of the main FDOM  
 395 components as the box plots for (a) the sub-surface water, SS and (b) the  
 396 sea surface microlayer, SML; for the coastal waters (salinity <7) and  
 397 open sea (salinity >7).

398 For salinity <7, the medians of percentage contribution of A, C, M and T components of  
 399 marine FDOM in SML were: 40.72%, 24.32%, 20.01% and 14.06 % while in SS: 41.52%,  
 400 22.87, 19.92 and 14.40 %, respectively. In open waters (salinity >7) the median values of  
 401 FDOM components composition were in SML 39.08, 22.43, 20.53 and 16.89 % while in SS:  
 402 40.75, 22.17, 20.90 and 16.27 %. So, the contribution of two terrestrial components (A and  
 403 C) decreased with increasing salinity (~1.64% and ~1.89 % in SML and ~0.78% and  
 404 ~0.71 % in SS, respectively), while the contribution of, in-situ, in the sea produced  
 405 components (M and T) increased with salinity (~0.52% and ~2.83% in SML and ~0.98%  
 406 and ~1.87 % in SS, respectively), Fig. 7. Considering the aforementioned changes for an  
 407 individual component in relation to its percentage contribution, the values of their relative  
 408 changes can be calculated. Hereby, the highest relative changes of the FDOM component  
 409 composition, along the transect from the Vistula River outlet to Gdansk Deep, were recorded  
 410 for component T, both in SML and SS (about 18.5 % and ~12.3 %, respectively), while the  
 411 relative changes of A, C and M components were: 4.1, 8.1 and 2.6 % in SML and 1.9, 3.1  
 412 and 4.7 % in SS, respectively.

413 The values of peak intensities (A, C, M and T) allowed to calculate (i) the ratio  
 414  $(M+T)/(A+C)$  and (ii) index HIX in SML and SS water, presented on Fig. 8.





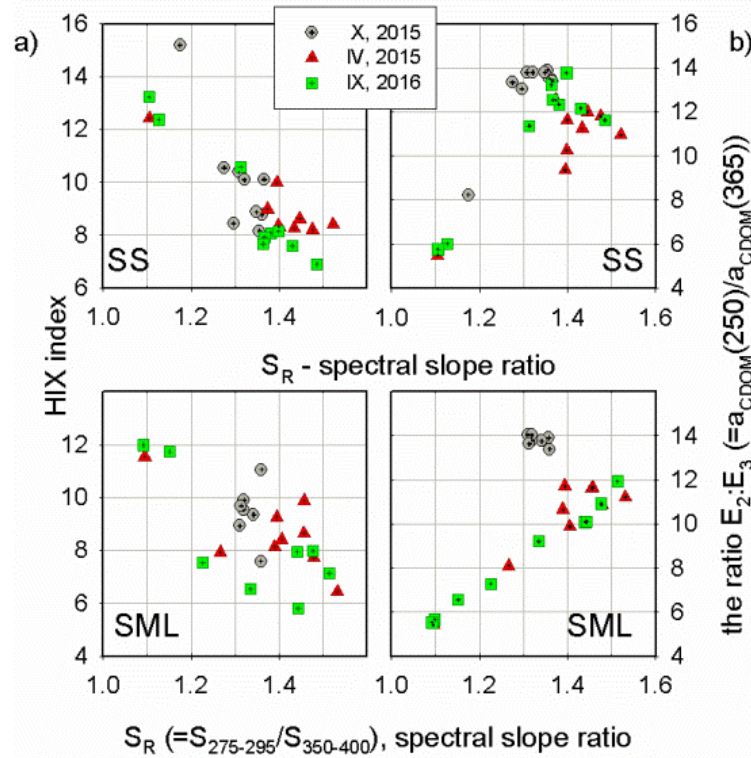
415  
 416 Figure 8. The relationship between the ratio (M+T)/(A+C) and HIX index for (a)  
 417 SML and (b) SS water.

The low values of the ratio (M + T)/(A + C), (<~0.6), were recorded in almost all samples from a sub-surface layer, SS, while in SML samples only from the Gulf of Gdansk. The results of the ratio varied along the transect W in the range 0.47 to 0.79 for SML and 0.49 to 0.63 for SS, from W1 to W9 respectively. Thus, the ratio describes the process that occurs more effectively in SML. The results of the index HIX achieved the higher values in the SS than in SML. What is more, the HIX index changed in SML in a range: 5.8 – 11.9 while in SS: 6.9 – 13.2. The elevated values of HIX in the SS indicate a presence of the molecules of higher molecular weight and more condensed, with higher aromaticity, in SS than in SML, Fig. 8.

### 3.3 The absorption and fluorescence dependences.

The absorption and fluorescence results allow comparing the spectral slope ratio,  $S_R$ , with the HIX index and the ratio  $E_2:E_3$  to find the dependences of the molecular size/weight in SML and SS with condensation degree of organic molecules and with the changes in chemical composition of organic matter, Fig. 9 (Helmes et al., 2008; Chen et al., 2011; Vähätalo and Wentzel, 2004; Zhang et al., 2013). High values of HIX index ca. 11-16, coincide with low values of  $S_R$ , ca. 1-1.2 (Zsolnay et al., 1999; Chari et al., 2012). While  $S_{275-295} < S_{350-400}$  means the occurrence and predominance of highly condensed matter, as a dominance of and/or terrestrial DOM, with HMW molecules absorbing in a long wavelength range (Helms et al., 2008; Chen et al., 2011). Whereas, the lower HIX and higher  $S_R$  values ( $S_{275-295} > S_{350-400}$ ) mean the predominance of marine-derived, LMW molecules absorbing in a short wavelength range (Chen et al. 2011). The relation between HIX index and  $S_R$  show

a simple linear relation in sub-surface waters, SS. However in the sea surface microlayer, SML, the changes in organic matter composition,  $S_R$ , are not linear-related with the changes taking place in DOM molecules undergoing the degradation processes reflected by HIX values. HIX index is sensitive to the humification and condensation processes, focused on large, high weighted organic molecules, that reflect the changes in a long-wavelength range mainly (above 330 nm). However the photochemical degradation processes, resulting in a decrease in the mass of molecules and an increase of concentration of low molecular-weighted molecules, are much more spectacular in a lower wavelength range and are held primarily in the surface microlayer, SML (Chin et al., 1994; Fuentes et al., 2006). For the same reason as was mentioned above, the relation between the ratio  $E_2:E_3$  and  $S_R$  is better correlated in SML than SS water (Helmes et al., 2008). Moreover, the relation between the  $E_2:E_3$  and  $S_R$  (both inversely proportional to molecular size and weight) shows more discrete differences in molecular structure of the organic molecules studied in different seasons and allows to note the different nature of the water tested in October'15 (Helmes et al., 2008). The values of the ratio  $E_2:E_3$  (inversely proportional to molecular size and weight of molecules), calculated for the data collected in October'15, point to the extremely small size as well as almost the same size/weight of organic molecules investigated in the entirely study region both in SML and SS (De Haan and De Boer, 1987; Helmes et al., 2008). That confirms a very well mixed water and the surface layer in the study area during October'15, suggested previously by the meteorological observations.



460 Figure 9. The relationship between the spectral slope ratio,  $S_R$ , and (a) HIX index  
 and (b) the ratio  $E_2:E_3$  - for SS (top panels) and SML (bottom panels).

#### 4 Discussion

The values of the absorption coefficient,  $a_{CDOM}(\lambda)$  show that with an increase of a distance from the river outlet, the intensity of light absorption (a proxy of amount of organic matter) is decreasing significantly, both in SML and SS (Tilstone et al., 2010; Stedmon et al., 2000; Twardowski and Donaghay, 2001; Kowalczyk et al., 1999). It shows that the main source of CDOM in the study area is the Vistula River (Ferrari and Dowell, 1998; Kowalczyk et al., 2005). Additionally, the higher values of the absorption were detected in the SML then in SS, what is called the enrichment effect, that was studied for diverse range of microlayer components in different aquatic systems (Carlson, 1982; Williams et al., 1986; Wurl et al., 2009). Moreover, the differences between the values of the absorption coefficients calculated for the SML and SS decrease with the increase of salinity, that was reported as the effect of conversion POM to DOM, enhanced in the SML, by extracellular enzyme activity and export of DOM formed in the SML to subsurface layers (Kuznetsowa and Lee; 2001; Wurl et al., 2009). The analysis of several absorption indices ( $S$ ,  $S_R$  and  $E_2:E_3$ ) reveal the changes in composition and a decrease in molecular weight of organic matter with an increase of salinity

and a distance from the mouth of the river (Helmes et al., 2008). Molecules brought into the sea with the river waters, with increasing salinity (and time and the distance from the mouth of the river) undergo such processes as the dilution of the fresh waters in sea waters and the degradation of the organic particles, induced by solar radiation (photo-bleaching) and by bacterial activity (biodegradation) (Moran et al., 2000; Helmes et al., 2008). The increase of S and  $S_R$  and  $E_2:E_3$  (a proxy of a decrease of molecular weight, MW) with salinity suggest a transfer of colored material from HMW fraction to the LMW fraction (Helmes et al., 2008). Moreover, the linear regression coefficients for the relations between salinity and: S,  $S_R$  and  $E_2:E_3$  achieved higher values for SML than SS (Zhang et al., 2013). The values of the linear regression coefficients can illustrate a rate of the breakdown of large molecules to smaller ones (HMW to LMW) (Zhang et al., 2013; Timko et al., 2015; Helmes et al., 2008). They achieve the higher values in SML than in SS, thus show that in SML the dependence is stronger in the SML than in SS. Furthermore, the values of S,  $S_R$  and MW, are smaller in a vicinity of the river outlet about 2-, 0.5- and 3-times, respectively, than in open sea depict a presence of higher molecular weighted molecules in the estuarine waters, both in SML and SS. Hence, the higher values of  $S_R$  indicate an increase of absorption in a short wavelength range (via an increase in concentration of low-weighted molecules, LWM) and a decrease of absorption in a longer wavelength range (a decrease in the concentration of big and more condensed and high-weighted molecules, HWM) (Helmes et al., 2008; Peravuori and Pihlaja, 1997; Osburn et al., 2011). However, in a vicinity of the river mouth (W1), the studied absorption indices reached the lower values in SML than in SS. It suggests that the molecules with large molecular mass predominate in a surface microlayer. Such results may be caused by the presence of the surface slicks, visible by a naked eye, made of big surface molecular structures. A riverine water brings into the sea a huge amount of the terrestrial amphiphilic (the molecules with hydrophobic and hydrophilic heads) organic molecules that form the surface slicks and despite the large weight of the surface molecular structures their hydrophobic properties make them float on the sea surface (Cunliffe et al., 2011). The spectrofluorometric studies complete and confirm the absorption studies. Wherein the concentration of components A, C, M and T were higher in SML than in SS in both coastal zone and open sea; the contribution of A and C components in FDOM composition decreased, while M and T increased, with an increase of salinity (Yamashita et al., 2008; McKnight et al., 2001). Moreover, the values of the fluorescence intensity of FDOM components change linearly with salinity and the linear regression coefficients show higher values in SML than in SS (Vodacek et al., 1997; Williams et al., 2010). This may confirm a

higher rate of the degradation processes occurring in SML. The relative changes of percentage contribution of FDOM components, with an increase of salinity, depict that a component which quantity varies the most, is a fluorophore T. It may indicate on production of protein-like fluorophores caused by photobleaching and biological activity (Blough and Del Vecchio 2002;). Additionally the results of the FDOM measurements indicate that FDOM concentration is about 2-3 times higher in the coastal zone (salinity <7) than in the open sea (> 7). The results of FDOM concentration indicate the dominance of terrestrial molecules (allochthonous) in estuarine waters - due to high concentration of molecules brought by a river (A and C). The ratio (M+T)/(A+C) increased with salinity and reached the highest values in the open sea: 0.79 and 0.63 in SML and SS, respectively (Parlanti et al, 2000; Wilson and Xenopoulos, 2009; Huguet et al., 2009). Photo-degradation effect, induced by solar radiation on the molecules in a sea surface layer, results in degradation of macromolecules into particles with a lower molecular weight (i.e., a decrease of A and C and the increase the amount of molecules of lower molecular weight produced in the sea (M and T) and this process acts more rapidly in SML, (Fig. 8) (Huguet et al., 2009). The above conclusion is confirmed by the results of the ratio (M+T)/(A+C) and HIX index, which achieve respective higher and lower values in the SML than in SS due to higher fluorescence intensity at a short wavelength band belonging to marine FDOM components (M and T) (Chari et al., 2012; Stedmon and Markanger, 2003; Murphy et al., 2010; Mopper and Schults, 1993). The elevated values of HIX in the SS are an evidence of a more advance humification process of the organic molecules that make the organic molecules more condensed and with higher aromaticity (Zsolnay et al., 1999).

## 5 Conclusions

The results of the studies on the absorption and fluorescence properties of the organic matter included in the SML and SS waters are complementary. The values of the absorption coefficients as well as the fluorescence intensity give information about the decline in the CDOM/FDOM concentration with increasing salinity, both in SS and SML, however the values of the absorption and fluorescence indices indicate on the enrichment effect in the surface microlayer. Moreover, a decreasing of DOM concentration with salinity occurs faster in SML than in SS. Analysis of absorption and fluorescence spectra allow the detection of subtle changes in the percentage composition of CDOM/FDOM components that revealed an increase of M and T (produced in-situ, in the sea) and a simultaneous decrease in A and

C (terrestrial origin) with increasing salinity. Moreover the changes of the dependence of a percentage composition and salinity occur in SML more rapidly than in SS. The results  
545 suggest a higher rate of degradation processes in a surface microlayer (Drozdowska et al., 2015; Timko et al., 2015).

In addition, the analysis of indices obtained from the values of the intensity of the absorption and fluorescence of the samples enabled tracking sources and processes, which have been subjected to investigated molecules, in SML and SS. The authors: (i) confirm that the  
550 processes of structural changes in molecules of HMW to LMW, due to effects of photo- and biodegradation, occur faster in SML than in SS (Helmes et al., 2008); (ii) organic molecules contained in a surface microlayer, SML, have a smaller molecular mass than SS, thus, SML and SS are characterized by different percentage distributions of the main FDOM components (Helmes et al., 2008; Engel et al., 2017; (iii) the fresh water of the Vistula River  
555 is the main driving force of allochthonous character of organic matter in coastal waters of Gulf of Gdansk.

Summarizing, the distributions of light intensity reached over or behind the sea surface is modified effectively by the specific absorption and/or emission of a light by surfactants. The degradation processes of the organic molecules contained in SML and SS proceed at  
560 different rates. Hence, the DOM molecules included in the SML can specifically modify the physical processes associated with the sea surface layer. It should be necessary to continue a study on the physical properties of surface microlayer in other Baltic Sea sites and in less urbanized and more natural and pristine region, like Arctic.

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