

1 **Carbon geochemistry of plankton-dominated samples in the Laptev and East Siberian**
2 **shelves: contrasts in suspended particle composition**

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26 **Abstract**

27 Recent Arctic studies suggest that sea-ice decline and permafrost thawing will affect
28 phytoplankton dynamics and stimulate heterotrophic communities. However, in what way the
29 plankton composition will change as the warming proceeds remains elusive. Here we
30 investigate the chemical signature of the plankton-dominated fraction of particulate organic
31 matter (POM, >10 μ m) collected along the Siberian shelf. POM (>10 μ m) samples were
32 analysed using molecular biomarkers (CuO oxidation and IP₂₅) and dual-carbon isotopes
33 ($\delta^{13}\text{C}$ and $\Delta^{14}\text{C}$). In addition, surface water chemical properties were integrated with the POM
34 (>10 μ m) dataset to understand the link between plankton composition and environmental
35 conditions.

36 $\delta^{13}\text{C}$ and $\Delta^{14}\text{C}$ exhibited a large variability in the POM (>10 μ m) distribution while
37 **terrestrial biomarkers showed a negligible input from terrestrial sources.** In the Laptev Sea
38 (LS) open-waters, **$\delta^{13}\text{C}$ and $\Delta^{14}\text{C}$ fingerprint of POM (>10 μ m) suggested that dissolved**
39 **organic carbon (DOC) from the Lena river was the primary source of metabolizable carbon**
40 indicating, thus, a heterotrophic environment. Moving eastwards toward the sea-ice
41 dominated East Siberian Sea (ESS), the system became progressively more autotrophic.
42 Comparison between $\delta^{13}\text{C}$ of POM (>10 μ m) samples and CO₂aq concentrations revealed that
43 the carbon isotope fractionation increased moving toward the easternmost and most
44 productive stations.

45 In a warming scenario characterized by enhanced terrestrial DOC release (thawing
46 permafrost) and progressive sea-ice decline, heterotrophic conditions might persist in the LS
47 while the nutrient-rich Pacific inflow will likely stimulate greater ~~ESS~~ primary productivity in
48 the ESS. The contrasting trophic conditions will result in a sharp gradient in $\delta^{13}\text{C}$ between the
49 LS and ESS similar to what documented in our semi-synoptic study.

50

51 **1. Introduction**

52 The progressive reduction of sea-ice extent in the Arctic Ocean is indisputable
53 evidence of modern global warming (Comiso et al., 2008; Ding et al., 2017; Kwok and
54 Rothrock, 2009). The unprecedented decline of sea-ice is expected to alter several aspects of
55 the Arctic marine ecology such as plankton abundance and its temporal distribution (Arrigo et
56 al., 2008). For instance, recent studies suggest that the increase of solar irradiance will
57 stimulate greater primary productivity in summer while the prolonged ice-free conditions will
58 develop a second algal bloom in early fall, which is a distinctive feature of only lower
59 latitudes (Ardyna et al., 2014; Lalande et al., 2009; Lalande et al., 2014). The phytoplankton
60 communities are expected to profoundly change towards a higher contribution from open
61 water phytoplankton at the expense of sea-ice assemblages (Fujiwara et al., 2014). Taken
62 together, a greater productivity in the ice-free or marginal ice zone compare to the multi-year
63 ice system, is also expected to lead to greater carbon uptake and settling export of organic
64 carbon from the surface to deeper strata of the Arctic Ocean (Gustafsson and Andersson,
65 2012).

66 Sea-ice decline will also affect the water-air gas exchange, currents and river plume
67 dispersion which, in turn, exert large control on the surface water chemical/physical
68 properties (Aagaard and Carmack, 1989; Ardyna et al., 2014; Lalande et al., 2014). On top of
69 this, destabilization of permafrost soils and the terrestrial cryosphere will result in enhanced
70 particulate and dissolved carbon input to the Arctic Ocean (Frey and Smith, 2005; Vonk et al.,
71 2012). As a result, the geochemical signature of both autotrophic and heterotrophic plankton
72 communities is also expected to change as the warming proceeds. However, how the warming
73 will ultimately affect the marine geochemical signal is poorly understood. This study seeks a
74 better understanding of the chemical composition of plankton that dominates regions of the
75 Arctic Ocean characterized by different sea-ice coverages, nutrient availability and riverine

76 influence. In particular, we focus on the carbon isotope fingerprint (i.e. $\delta^{13}\text{C}$ and $\Delta^{14}\text{C}$) of
77 plankton that grows in ice-covered and ice-free Marginal Ice Zone (MIZ) regimes on the
78 Siberian margin. The motivation behind investigating the chemical fingerprint of plankton
79 from different regimes is to provide a better understanding of the carbon signature for direct
80 applications to carbon studies of both modern systems and paleo-reconstructions. In
81 particular, the isotope composition of marine OC finds several applications in climate,
82 ecology and carbon source apportionment studies. For example, stable carbon isotopes of
83 marine phytoplankton are used for paleo- $p\text{CO}_2$ reconstructions over geological time scales
84 (Hoins et al., 2015; Pagani et al., 1999; Popp et al., 1999; Rau, 1994). The $\delta^{13}\text{C}$ signature also
85 provides a solid tool for marine food web and ecosystem structure investigations (Dunton et
86 al., 2006; Iken et al., 2005; Kohlbach et al., 2016). Furthermore, dual-carbon isotope mixing
87 models ($\delta^{13}\text{C}$ and $\Delta^{14}\text{C}$) are commonly used to quantify the relative proportion of marine and
88 various allochthonous sources (e.g., permafrost soil) in both contemporary and paleo-
89 reconstructed carbon cycling of the Arctic (Karlsson et al., 2016; Tesi et al., 2016; Vonk et
90 al., 2012; Vonk et al., 2014).

91 With this overarching goal in mind, here we investigate the $>10\ \mu\text{m}$ fraction of
92 particulate organic matter (POM) in ice-covered and ice-free MIZ regimes of the Siberian
93 Arctic Shelf during the SWERUS-C3 expedition (July-August 2014) (Fig. 1). The plankton-
94 dominated POM samples collected throughout the ca. 4,500 km long cruise track were
95 characterized using bulk parameters (OC, $\delta^{13}\text{C}$ and $\Delta^{14}\text{C}$) and biomarkers (highly branched
96 isoprenoids, IP_{25} ; CuO oxidation products). In addition, continuous measurements of
97 dissolved CO_2 ($\text{CO}_{2\text{aq}}$) and its stable carbon isotope composition ($\delta^{13}\text{C}_{\text{CO}_2}$) were performed
98 during the campaign (Humborg et al., 2017) and used for a direct comparison with the
99 chemical composition of the POM fraction.

100

101 2. Study region

102 The Laptev Sea and the East Siberian Sea are shallow epicontinental seas in the
103 Russian Arctic separated by the New Siberian Islands (Fig. 1). Sea-ice cover lasts for most
104 part of the year over the shelf. Late spring/summer is characterized by the seasonal sea-ice
105 retreat coupled with river freshet which supplies large amount of terrestrial carbon in the form
106 of particulate and dissolved matters (Karlsson et al., 2016; Salvadó et al., 2016; Sánchez-
107 García et al., 2011). The Lena (523 km³/y), Indigirka (54 km³/y), and Kolyma (48 km³/y) are
108 the major rivers (Gordeev, 2006). During the ice-free season, the Lena plume can be traced in
109 the outer-shelf of the Laptev Sea (Fichot et al., 2013; Salvadó et al., 2016; Sánchez-García et
110 al., 2011) while Pacific inflow from the Bering strait affects further east the East Siberia
111 margin (Semiletov et al., 2005). The Pacific inflow exerts control on the nutrient balance as it
112 supplies nitrates and nitrites to an otherwise nutrient-depleted region (Anderson et al., 2011;
113 Semiletov et al., 2005). Another important source of particulate material to the continental
114 margin is the Pleistocene Ice Complex Deposit (ICD) entering the ocean via coastal erosion
115 (Lantuit et al., 2011; Vonk et al., 2012) which is the dominant carbon source between the
116 Kolyma river and the Lena river (Vonk et al., 2012).

117

118 3. Methods

119 3.1 POM (<10 µm) sampling

120 Seawater was pumped from a stainless steel inlet on the hull of the icebreaker *Oden*
121 positioned at 8 m below the sea surface. The inlet system is tested and further described in
122 Sobek and Gustafsson (2004) and Gustafsson et al. (2005). Figure 1a and 1b show the regions
123 covered to harvest each POM (>10 µm) sample with their location shown as time-averaged
124 position. The particulate material was retained via a large volume filtration apparatus using a
125 10-µm Nitex® (nylon) mesh placed in a 29.3 cm filter holder. After collection, filtered

126 particulate material was transferred in pre-clean HDPE tubes by rinsing the Nitex® filters
127 with MilliQ water. Samples were kept frozen throughout the expedition. In the lab, samples
128 were transferred in pre-cleaned Falcon® tubes (rinsed with 0.1M HCl) and gently centrifuged
129 to remove the supernatant. The residual particulate material was frozen and subsequently
130 freeze-dried prior to biogeochemical analyses.

131

132 3.2 Bulk carbon isotopes and biomarker analyses

133 Organic carbon (OC) and stable carbon isotope ($\delta^{13}\text{C}$) analyses were carried out on
134 acidified samples (Ag capsules, HCl, 1.5M) to remove the carbonate fraction (Nieuwenhuize
135 et al., 1994). Analyses were performed using a Thermo Electron mass spectrometer directly
136 coupled to a Carlo Erba NC2500 Elemental Analyzer via a Conflo III (Department of
137 Geological Sciences, Stockholm University). OC values are reported as weight percent
138 (%d.w.) whereas stable isotope data are reported in the conventional $\delta^{13}\text{C}$ notation (‰). The
139 analytical error for $\delta^{13}\text{C}$ was lower than $\pm 0.1\text{‰}$ based on replicates. Acidified (HCl, 1.5 M)
140 samples for radiocarbon abundance were analysed at the US-NSF National Ocean Science
141 Accelerator Mass Spectrometry (NOSAMS) facility (Woods Hole Oceanographic Institution,
142 Woods Hole, USA). Radiocarbon data are reported in the standard $\Delta^{14}\text{C}$ notation (‰).

143 Alkaline CuO oxidations were carried out using an UltraWAVE Milestone microwave
144 as described in Tesi et al. (2014). Briefly, about 2 mg of OC was oxidised using CuO under
145 alkaline (2N NaOH) and oxygen-free conditions at 150 °C for 90 min in teflon tubes. After
146 the oxidation, known amounts of recovery standards (trans-cinnamic acid and ethylvanillin)
147 were added to the solution. The NaOH solutions were then acidified to pH 1 with
148 concentrated HCl and extracted with ethyl acetate. Extracts were dried and redissolved in
149 pyridine. CuO oxidation products were quantified by GC-MS in full scan mode (50-650 m/z).
150 Before GC analyses, the CuO oxidation products were derivatized with bis(trimethylsilyl)

151 trifluoroacetamide+1% trimethylchlorosilane at 60°C for 30 min. The compounds were
152 separated chromatographically in a 30m×250 µm DB5ms (0.25 µm thick film) capillary GC
153 column, using an initial temperature of 100°C, a temperature ramp of 4°C/min and a final
154 temperature of 300°C. Lignin phenols (terrestrial biomarkers) were quantified using the
155 response factors of commercially available standards (Sigma-Aldrich) whereas the rest of the
156 CuO oxidation products were quantified by comparing the response factor of trans-cinnamic
157 acid. Lignin-derived reaction products include vanillyl phenols (V=vanillin, acetovanillone,
158 vanillic acid), syringyl phenols (S=syringaldehyde, acetosyringone, syringic acid) and
159 cinnamyl phenols (C=p-coumaric acid, ferulic acid). In addition to lignin, cutin-derived
160 products (hydroxyl fatty acids) were used to trace the land-derived input (Goñi and Hedges,
161 1990; Tesi et al., 2010). Other CuO oxidation products include para-hydroxybenzene
162 monomers (P-series), benzoic acids (B-series) and short-chain fatty acids (FA-series) which
163 can have both terrestrial and marine origin (Goñi and Hedges, 1995; Tesi et al., 2010).

164 The sea-ice proxy IP₂₅ (mono-unsaturated highly branched isoprenoid (HBI) alkene)
165 was quantified according to Belt et al. (2012). IP₂₅ producers are a minor (<5%) fraction of
166 the total sea-ice taxa which are, however, ubiquitous in pan-Arctic sea-ice. Species include
167 *Pleurosigma stuxbergii* var. *rhomboide*, *Haslea crucigeroides* (and/or *Haslea spicula*) and
168 *Haslea kjellmanii* (Brown et al., 2014a). Briefly, lipids were extracted via sonication using a
169 dichloromethane/methanol solution (2:1 v/v × 3). Prior to the extraction, two internal
170 standards (7-hexylnonadecane, 7-HND and 9-octylheptadecene, 9-OHD) were added to
171 permit quantification of IP₂₅ (monounsaturated highly branched isoprenoid) following
172 analysis via GC-MS. Total lipid extracts (TLEs) were dried under N₂ after removing the water
173 excess with anhydrous NaSO₄. Dry TLEs were redissolved in dichloromethane and the non-
174 polar hydrocarbon fraction was purified using open column chromatography (deactivated

175 SiO₂) and hexane as eluent. Saturated and unsaturated n-alkanes were further separated using
176 10% AgNO₃ coated silica gel using hexane and dichloromethane, respectively.

177 Quantification of IP₂₅ was carried out in SIM mode (*m/z* 350.3) as described in Belt et
178 al. (2012). The GC was fitted with a 30m×250 µm DB5ms (0.25 µm thick film) capillary GC
179 column. Initial GC oven temperature was set to 60°C followed by a 10°C/min ramp until a
180 final temperature of 310°C (hold time 10 min).

181

182 **3.3. Microscope images of plankton**

183 High resolution digital images were taken with an Environmental Scanning Electron
184 Microscope (ESEM) Philips XL30 FEG in high voltage (15kV) and magnification 250X.
185 Samples were further studied for identification of diatoms and dinoflagellates using a
186 transmitted light microscope (Leitz Laborlux 12 Pol) equipped with differential interference
187 contrast optics at 1000X magnification. Microscope slides were prepared using settling
188 chambers to achieve an even distribution of particles on the cover glass, regardless of size and
189 shape Warnock and Sherer (2014).

190 **3.4 Sea-ice data**

191 Daily AMSR2 sea-ice extent and concentration maps were provided by the Institute of
192 Environmental Physics, University of Bremen, Germany (Spren et al., 2008) as GeoTIFF
193 files (<ftp://seaice.uni-bremen.de>).

194

195 **3.5 Statistics**We used two-tailed T-test (homoscedasticity) and Welch T-test
196 (heteroskedasticity) to assess whether the differences between open waters and sea-ice
197 dominated waters were statistically significant. For this study, significance level (alpha) was
198 set at 0.01.

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200

201 **4. Surface water conditions during the SWERUS-C3 expedition**

202 Before discussing the chemical composition of the POM (>10 μm), here we briefly
203 introduce the different environmental conditions encountered throughout the cruise track. The
204 surface water data presented in this section were pulled together from previous studies which
205 provide an in-depth analysis of the surface water properties during the SWERUS-C3
206 expedition in 2014 (Humborg et al., 2017; Salvadó et al., 2016) (Table 2). For this study,
207 continuous CO_2aq and $\delta^{13}\text{C}_{\text{CO}_2}$ data (Humborg et al., 2017) were averaged to match the water
208 sampling stations allowing for a direct comparison with DOC and salinity data (Fig. 1)
209 (Supplementary Material).

210 Summer 2014 was consistent with the long-term downward trend in Arctic sea-ice
211 extent. The strongest anomalies were observed in the LS which experienced the most
212 northerly sea-ice shift since satellite observations began in 1979 (National Snow and Sea Ice
213 Data Center, NSIDC. <http://nsidc.org/data>). Unpublished data). In general, sea-ice displayed a
214 strong gradient over the study region going from ice-free conditions in the outer LS to ice-
215 dominated waters in the outer ESS (Fig.1.) Three snapshots of the sea-ice extent and
216 concentrations (.i.e. at the beginning, in the middle and at the end of the sampling) is shown in
217 Fig.1. Furthermore, Table 1 reports the averaged sea-ice concentrations encountered during
218 the collection of each sample.

219 The surface water salinity exhibited a longitudinal trend characterized by low values
220 in the outer LS while the sea-ice dominated ESS waters showed relatively higher values (Fig.
221 2a; Table 2). However, the highest salinity values were measured in the westernmost stations
222 resulting in a sharp gradient in the LS. The low surface water salinities in the outer LS are
223 most likely the result of both Lena river input and sea-ice thawing (Humborg et al., 2017) that
224 started in late May (Janout et al., 2016).

225 The highest DOC concentrations were measured in the mid-outer LS in the surface
226 water plume affected by Lena River runoff (Fig.2b; Table 2). Overall, DOC concentrations
227 followed the plume dispersion with high DOC concentrations corresponding to low salinities
228 (Fig. 2). Carbon stable isotopes ($\delta^{13}\text{C}$) and terrestrial biomarkers (of the solid-phase extracted
229 DOC fraction; Salvado et al., 2016) further confirmed the influence of terrestrial DOC in the
230 outer LS, while the land-derived input progressively decreased moving eastward.

231 CO_2aq concentrations exhibited a typical estuarine pattern over the study region
232 (Humborg et al., 2017) (Fig. 2d; Table 2). Low salinity waters in the outer LS showed above
233 atmospheric CO_2 concentrations (i.e., oversaturation) while surface waters below sea-ice
234 exhibited undersaturated concentrations. The most depleted $\delta^{13}\text{C}_{\text{CO}_2}$ values were measured off
235 the Lena river mouth (Fig. 2e; Table 2). Being relatively rich in land-derived material, it is
236 likely that respired terrestrial OC within the Lena river plume exerted control on the CO_2
237 isotopic signature and concentration (Humborg et al., 2017).

238 Finally, nutrient distribution revealed nitrate (NO_3) and nitrite (NO_2) depletion in
239 surface waters throughout the cruise track (Humborg et al., 2017) in comparison with the
240 Arctic Ocean gateways such as the Bering strait. Here, nutrient concentrations in surface
241 waters are two-order of magnitude higher compared the our study region (Torres-Valdés et
242 al., 2013). Phosphate (PO_4) exhibited rather low concentrations in the outer LS and relatively
243 higher concentrations below the sea-ice in the outer ESS (Humborg et al., 2017) likely
244 reflecting the inflow of nutrient-rich Pacific waters (Anderson et al., 2011; Semiletov et al.,
245 2005; Torres-Valdés et al., 2013).

246

247 **5. Results and discussion**

248 **5.1 Source of the POM (>10 μm) fraction**

249 The Arctic Ocean off northern Siberia receives large quantities of dissolved and
250 particulate terrestrial organic carbon via continental runoff and coastal erosion (Alling et al.,
251 2010; Dittmar and Kattner, 2003; McClelland et al., 2016; Sánchez-García et al., 2011;
252 Semiletov et al., 2013; Vonk et al., 2012). The land-derived material that does not settle in the
253 coastal zone further travels across the continental margin reaching out to the outer-shelf
254 region resuspended within the benthic nepheloid layer or in suspension within the surface
255 river plume (Fichot et al., 2013; Sánchez-García et al., 2011; Wegner et al., 2003). Another
256 fraction of terrestrial material can travel across the Siberian margin trapped in fast ice
257 (Dethleff, 2005). Considering the potential allochthonous contribution, we addressed to what
258 extent terrestrial organic material affects the POM (>10 μ m) fraction by quantifying the
259 concentration of lignin phenols and C16-18 hydroxy fatty acids (cutin-derived products).
260 These biomarkers are exclusively formed by terrestrial vegetation and, thus, serve as tracers
261 of land-derived material in the marine environment (Amon et al., 2012; Bröder et al., 2016b;
262 Feng et al., 2015).

263 Upon CuO alkaline oxidation the POM (>10 μ m) samples yielded only traces of lignin
264 phenols while the cutin-derived products were not detected (Fig. 3). Other oxidation products
265 in high abundance included saturated and mono-unsaturated short chain fatty acids (C12-
266 18FA), para-hydroxy phenols, benzoic acids and dicarboxylic acids. These other reaction
267 products are ubiquitous in both marine and terrestrial environments but they are predominant
268 in plankton-derived material, especially short-chain fatty acids (Goñi and Hedges, 1995).
269 When compared with active-layer permafrost soils and ice-complex deposits (Tesi et al.,
270 2014), POM (>10 μ m) samples displayed a distinct CuO fingerprint dominated by short chain
271 fatty acids (Fig. 3), consistent with the typical CuO products yields by phytoplankton batch
272 cultures upon CuO alkaline oxidation (Goñi and Hedges, 1995). SEM images further

273 corroborated the abundance of marine plankton detritus in the POM (>10 μ m) fraction while
274 lithogenic particles (clastic material) appeared to be sporadic in all samples.

275 The OC content (% d.w.) of the POM (>10 μ m) fraction decreased eastwards showing
276 high concentrations in the LS and relatively low values in the ESS (Table 1; p <0.01 T-test).
277 However, in terms of absolute concentration in the water column (μ C/l), the highest levels
278 were generally observed in the sea-ice covered region (Table 1; Fig. 4a; p <0.01 T-test).
279 Qualitative analyses by SEM and transmitted-light microscopy highlight important
280 differences in plankton assemblages which reflect different timing of the plankton blooms
281 which can explain these differences in concentration. Specifically, the open-water LS stations
282 exhibited a low degree of plankton diversity and were largely dominated by a bloom of
283 heterotrophic dinoflagellate cysts (*Protoperidinium* spp) (Fig. 5a; Table 3). Moving towards
284 the ice-dominated regions, diatoms become the prevailing species. Dominant diatom genera
285 include *Chaetoceros* spp. (dominant diatom in several stations), *Thalassiosira* spp.,
286 *Rhizosolenia* spp., *Coscinodiscus* spp., *Asteromphalus* spp., *Navicula* spp. as well as sea-ice
287 species such as *Fragilariopsis cylindrus* and *Fragilariopsis oceanica* (Fig. 5b,c; Table 3).

288 Moored optical sensors deployed in the LS shelf recorded the sea-ice retreat in 2014
289 and found no sign of pelagic under-ice blooms despite available nutrients while high
290 chlorophyll concentrations were detected immediately after the ice retreated in late May
291 (Janout et al., 2016). The ice-edge blooms lasted for about 2 weeks according to the high
292 resolution chlorophyll time-series (Janout et al., 2016). Thus, our post-bloom sampling in the
293 LS essentially captured an oligotrophic environment dominated by heterotrophic
294 dinoflagellate cysts (i.e, *Protoperidinium* spp) which likely fed on phytodetritus and river-
295 derived organic material. Such conditions are fairly consistent with the relatively low carbon
296 contents (μ gC/L) observed in LS waters (Fig. 4a).

297 The Arctic sea-ice biomarker IP25 (Fig. 4b) further highlight the different regimes
298 observed in ice-free and ice-dominated surface waters. IP25 is a proxy of sea-ice based on a
299 highly branched mono-unsaturated isoprenoid alkene found in some sea-ice diatoms which,
300 however, generally account for 5% of the total sea-ice taxa (Belt et al., 2007; Brown et al.,
301 2014b). The IP25 concentrations varied by several orders of magnitude over the study area
302 showing low concentrations in the open-water western region while the sea-ice dominated
303 surface waters to the east exhibited high concentrations especially at station 31b (Fig. 4b;
304 Table 1) ; $p < 0.01$ Welch T-test). The fact that IP25 was still detectable throughout the ice-free
305 outer LS suggests that the proxy captured the signal of the sea-ice retreat that occurred shortly
306 before the sampling at the end of May/early June (Janout et al., 2016). Alternatively, the IP25
307 could have been advected from nearby sea-ice dominated regions.

308

309 **5.2. Dual carbon isotopes: $\delta^{13}\text{C}$ and $\Delta^{14}\text{C}$**

310 $\delta^{13}\text{C}$ and $\Delta^{14}\text{C}$ of the POM ($>10\mu\text{m}$) samples exhibited a distinctive longitudinal trend
311 across the study area between LS and ESS (Fig. 4c,d) ($p < 0.01$ T-test) . Depleted $\delta^{13}\text{C}$ values
312 characterized the LS open waters ranging from -28.1 to -24.7‰ (Fig. 4c). Although within the
313 range of terrestrially-derived material, our CuO oxidation data (i.e. trace of lignin phenols and
314 absence of cutin-derived products) suggest that the “light” isotopic composition in the LS
315 might instead reflect the plankton assemblage dominated by heterotrophic dinoflagellate cysts
316 as previously described (e.g., *Protoperidinium* spp; Fig. 5a). More specifically, heterotrophic
317 dinoflagellates can adapt their metabolism depending on the substrate available (e.g., diatoms
318 and bacteria). Several studies have shown that terrestrial DOC greatly promotes bacteria
319 biomass production which in turn stimulates the growth of heterotrophic dinoflagellates
320 (Carlsson et al., 1995; Purina et al., 2004; Wikner and Andersson, 2012). Thus, in these
321 conditions, allochthonous terrestrial DOC is actively recycled by bacteria and transferred to

322 dinoflagellates which explains, thus, the depleted $\delta^{13}\text{C}$ values observed in the river-dominated
323 samples (Carlsson et al., 1995).

324 The modern radiocarbon fingerprint of the Lena DOC discharge is consistent with
325 $\Delta^{14}\text{C}$ signature of the POM ($>10\mu\text{m}$) fraction in the LS (up to +99 ‰), supporting the
326 importance of terrestrial DOC as a carbon source for the food web in the river plume (Fig. 4d
327 and 6). By contrast, comparison with other potential carbon sources which include the Lena
328 river particulate organic carbon, surface sediments, Pleistocene coastal Ice-Complex Deposit
329 and Pacific DIC inflow reveals a different (more depleted) radiocarbon fingerprint (Fig. 6). It
330 is also important to highlight that the DOC within the Lena plume is one/two-order of magnitude
331 higher than the particulate carbon pool supporting, thus, our hypothesis (Humborg et al.,
332 2017; Salvadó et al., 2016).

333 Moving towards the ice-dominated ESS, surface waters progressively become more
334 autotrophic and productive (Humborg et al., 2017) while the POM ($>10\mu\text{m}$) exhibited a wide
335 $\delta^{13}\text{C}$ signature ranging from -28.6 to -21.2‰ (Fig. 4c). The most depleted values were
336 observed across the transition zone between open-waters and sea-ice. Visual inspections of
337 these samples revealed large abundance of the centric diatom *Chaetoceros* spp. (spores and
338 vegetative cells; St22, Fig. 5b) while lignin and cutin data indicated, a negligible input of
339 land-derived material. Primary factors determining the fractionation of stable carbon isotopes
340 in phytoplankton are several and include CO_2aq concentration, $\delta^{13}\text{C}_{\text{aq}}$, growth rate, cell size,
341 cell shape, light and nutrient availability (Gervais and Riebesell, 2001; Laws et al., 1997a;
342 Popp et al., 1998; Rau et al., 1996). Our understanding about isotopic fractionation has been
343 historically achieved via laboratory experiments designed to test each factor under controlled
344 conditions. In natural environments, however, different factors can compete with each other,
345 sometimes in opposite directions. Yet, the existing knowledge about surface water properties

346 during the expedition (Humborg et al., 2017) can provide important constraints for the
347 isotopic signal interpretation.

348 For example, comparison with continuous $\delta^{13}\text{C-CO}_2\text{aq}$ and CO_2aq data measured
349 throughout the cruise track - time-averaged to match the large volume filtration along the
350 cruise track (Table 1) - suggested a negligible role exerted by $\delta^{13}\text{C-CO}_2\text{aq}$ (Fig. 7b) while
351 CO_2aq concentration correlated with the $\delta^{13}\text{C}$ of the POM ($>10\mu\text{m}$) fraction ($r^2=0.72$; $p<0.01$)
352 (Fig. 7a). Such a relationship fits with the general model according to which a low demand
353 (i.e., low growth rate) and high supply (i.e., abundant CO_2aq) favour high fractionation and
354 vice versa (Laws et al., 1997b; Laws et al., 1995; Wolf-Gladrow et al., 1999).

355 During the expedition, surface water properties (i.e. O_2 and CO_2 , Table 2) (Humborg
356 et al., 2017) suggest that the productivity in the outer ESS increases moving eastward, as
357 commonly observed, likely due to the Pacific inflow (Björk et al., 2011; Semiletov et al.,
358 2005). As a result, the wide range of plankton $\delta^{13}\text{C}$ over the ESS can be explained in terms of
359 two different regimes: (a) in the transition zone between open waters and sea-ice, the
360 productivity was low but CO_2aq was oversaturated while (b) in the easternmost ESS,
361 productivity was high but CO_2aq was depleted (Fig. 7b). The former regime favours
362 fractionation while the latter does not (Fig. 7b). Different diatom assemblages can also be
363 another factor to consider although the phytoplankton diversity observed over ESS can be
364 considered rather small (e.g. *Chaetoceros spp.* dominant in most of the samples) compared to
365 the wide range of $\delta^{13}\text{C}$ observed (i.e., from -28.8 to -21.6) (Table 3).

366 The POM ($>10\mu\text{m}$) fraction in the sea-ice dominated ESS exhibited slightly - but
367 consistently - depleted $\Delta^{14}\text{C}$ values ranging from -62 to -49 ‰ (Fig. 4d). This region is
368 affected by the inflow of Pacific waters whose DIC exhibits, however, a modern $\Delta^{14}\text{C}$
369 signature (Griffith et al., 2012) (Fig. 6). By contrast, these results suggest the influence from
370 an aged carbon pool. As the ESS remains covered by sea-ice for most of the year, it is

371 possible that the sea-ice hampers the gas exchange with the atmosphere and acts as a lid by
372 trapping CO₂ which derives from the breakdown of sedimentary organic material (Anderson
373 et al., 2009; Semiletov et al., 2016), which might have such ages (Bröder et al., 2016a; Vonk
374 et al., 2012). In these conditions, the pre-aged CO₂ accumulates underneath the sea-ice and is
375 subsequently incorporated during carbon fixation by the phytoplankton. While over-saturated
376 bottom waters were extensively documented in the region with important consequences on the
377 local DIC (Anderson et al., 2009; Pipko et al., 2009), more work is clearly needed to
378 understand if early diagenesis in sediments can also affect the radiocarbon signature of the
379 CO₂aq underneath the sea-ice. Alternatively, the slightly depleted radiocarbon signature might
380 indicate the presence of pre-aged terrestrial organic carbon (Fig. 6) in the POM (>10µm)
381 samples, not reflected in the lignin and cutin tracers (Fig. 3). However, it would then remain
382 elusive why such an aged land-derived influence was not visible in the river-dominated LS
383 waters while it affected the sea-ice dominated region.

384 Taken together, our results indicate that the dual-carbon isotope fingerprint is highly
385 affected by the trophic conditions (heterotrophic *vs* autotrophic) as well as the extent of
386 primary productivity. In a warming scenario characterized by sea-ice retreat (Arrigo et al.,
387 2008; Comiso et al., 2008) and enhanced terrestrial input from land as result of hydrology and
388 permafrost destabilization (Frey and Smith, 2005; Vonk et al., 2012), the geochemical
389 composition of plankton will likely change as the warming proceeds.

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391 **6. Conclusions**

392 Analyses of large-volume filtrations of plankton-dominated >10 µm particle samples
393 revealed a high degree of heterogeneity in the dual carbon isotope signature ($\delta^{13}\text{C}$ and $\Delta^{14}\text{C}$)
394 between ice-free waters (Laptev Sea) and the ice-covered region (East Siberian Sea).

395 Our results suggest a heterotrophic environment in the outer LS open waters where the
396 $\delta^{13}\text{C}$ depleted river DOC is transferred to relatively higher trophic levels via microbial
397 incorporation in the river plume. Moving eastwards towards the ice-dominated outer ESS,
398 surface waters became progressively more autotrophic. Here, the isotopic fractionation
399 appears to follow the phytoplankton growth vs CO_2 demand model according to which carbon
400 fractionation decreases at high growth and low CO_2 concentrations. As a result, the transition
401 between open-waters and sea-ice exhibited more depleted $\delta^{13}\text{C}$ values compared to the
402 productive easternmost stations. Radiocarbon signatures were slightly depleted over the whole
403 sea-ice dominated area. This raises the question whether the sea-ice hampers the gas exchange
404 with the atmosphere and trap the CO_2 sourced from reactive sedimentary carbon pools.

405 In a warming scenario, it is likely that the oligotrophic ice-free LS will be dominated
406 by heterotrophic metabolism fuelled by terrestrially-derived organic material (i.e., Lena
407 input). In these conditions, the dual-carbon isotope signature of the heterotrophic plankton
408 will essentially reflect the terrestrial fingerprint. In the ESS, which receives the inflow of the
409 nutrient-rich Pacific waters, ice-free conditions will enhance light penetration. This in turn
410 might further stimulate phytoplankton growth with important implications in terms of CO_2
411 depletion and resulting low isotope fractionation. Altogether, this will result in a sharp
412 compositional gradient (e.g. $\delta^{13}\text{C}$) between LS and ESS similar to what captured in our semi-
413 synoptic study.

414

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427 (www.arcticgreatrivers.org).

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Table 1. Chemical composition of the POM (>10 μ m) fraction and continuous CO₂aq measurements*

| ID | Time averaged latitude (N) | Time averaged longitude (E) | Mean sea-ice percentage (%) | POM (>10 μ m) concentration (mg/l) | OC (d.w.) | $\delta^{13}\text{C}$ (‰) | $\Delta^{14}\text{C}$ (‰) | IP25 (ng/gOC) | average CO ₂ aq (ppm)* | average $\delta^{13}\text{C}$ -CO ₂ aq (‰)* |
|-------|----------------------------|-----------------------------|-----------------------------|--|-----------|---------------------------|---------------------------|---------------|-----------------------------------|--|
| ST4 | 81.68 | 105.96 | 98.4 | 6 | 18.2 | -26.7 | n.d. | n.d. | 323 | -10.9 |
| ST5 | 80.47 | 114.07 | 98.7 | 15 | 42.6 | -27.6 | n.d. | n.d. | 322 | -11.0 |
| ST6 | 78.86 | 125.22 | 82.2 | 1 | 51.7 | -26.6 | 99 | n.d. | 325 | -10.8 |
| ST7 | 77.88 | 126.62 | 0.0 | 11 | 43.1 | -25.7 | n.d. | 88 | 350 | -10.7 |
| ST8 | 77.16 | 127.32 | 0.0 | 17 | 30.9 | -26.7 | 41 | n.d. | 391 | -10.5 |
| ST9 | 76.78 | 125.83 | 0.0 | 3 | 31.5 | -27.9 | 30 | 48 | 385 | -10.5 |
| ST10 | 76.90 | 127.81 | 0.0 | 11 | 40.9 | -24.7 | n.d. | n.d. | 349 | -11.0 |
| ST11 | 77.12 | 126.66 | 0.0 | 13 | 29.6 | -28.1 | 27 | 13 | 428 | -10.7 |
| ST22 | 77.67 | 144.63 | 0.0 | 20 | 11.3 | -28.8 | n.d. | 95 | 394 | -11.0 |
| ST23 | 76.43 | 147.53 | 0.0 | 6 | 7.6 | -28.5 | -50 | n.d. | 394 | -11.2 |
| ST24 | 76.42 | 149.84 | 34.4 | 19 | 11.9 | -26.8 | -62 | 368 | 374 | -11.1 |
| ST25 | 76.62 | 152.03 | 96.7 | 23 | 19.5 | -25.7 | -31 | 465 | 263 | -10.8 |
| ST26 | 76.14 | 157.85 | 96.2 | 109 | 30.8 | -24.2 | -30 | 217 | 316 | -10.9 |
| ST27 | 75.00 | 161.03 | 91.5 | 41 | 23.3 | -23.0 | n.d. | 256 | 299 | -11.1 |
| ST28 | 74.63 | 161.98 | 86.3 | 28 | 15.5 | -23.8 | n.d. | n.d. | 214 | -11.3 |
| ST29 | 73.61 | 169.72 | 79.3 | 31 | 14.7 | -23.2 | -50 | 518 | 184 | -11.3 |
| ST30 | 75.61 | 174.01 | 66.7 | 43 | 22.6 | -27.0 | n.d. | n.d. | 304 | -10.5 |
| ST31A | 75.85 | 174.41 | 75.6 | 30 | 10.9 | -21.6 | -62 | 1911 | 182 | -10.6 |
| ST31B | 74.26 | 173.74 | 63.5 | 15 | 4.6 | -23.3 | n.d. | 783 | n.d. | n.d. |
| ST32 | 73.56 | 176.06 | 51.8 | 21 | 11.3 | -24.5 | -58 | 131 | n.d. | n.d. |
| ST33 | 72.35 | -175.14 | 0.0 | 20 | 15.5 | -23.5 | n.d. | 473 | n.d. | n.d. |
| ST34 | 73.28 | -173.05 | 28.7 | 76 | 13.4 | -21.6 | -52 | 970 | n.d. | n.d. |
| ST35 | 75.21 | -172.05 | 53.9 | 24 | 14.3 | -24.2 | n.d. | 268 | n.d. | n.d. |

n.d = not determined

*Humborg et al. (2017)

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Table 2. Surface water (0-20 m) chemical and physical properties during the SWERUS-C3 expedition*

| | Salinity | Temperature | DIC | DOC | POC | $\delta^{13}\text{C-DIC}$ | NO_2^- NO_3^- | PO_4 | O_2 |
|--------------------------|---------------|---------------|-------------------------|-------------------------|-------------------------|---------------------------|------------------------------------|-------------------------|-------------------------|
| | | °C | $\mu\text{mol kg}^{-1}$ | $\mu\text{mol kg}^{-1}$ | $\mu\text{mol kg}^{-1}$ | ‰ | $\mu\text{mol kg}^{-1}$ | $\mu\text{mol kg}^{-1}$ | $\mu\text{mol kg}^{-1}$ |
| | median | median | median | median | median | median | median | median | median |
| Outer LS shelf (0-20 m) | 32.87 | 3.84 | 2139 | 149.1 | 7.9 | 0.75 | 0.21 | 0.27 | 323.0 |
| LS shelf break (0-20 m) | 33.56 | 0.57 | 2114 | 91.5 | 10.1 | 1.10 | 0.26 | 0.15 | 364.9 |
| Outer ESS shelf (0-20 m) | 29.45 | -1.33 | 1969 | 84.2 | 10.7 | 1.14 | 0.25 | 0.97 | 381.5 |
| ESS shelf break (0-20 m) | 28.23 | -1.32 | 1979 | 73.7 | 4.6 | 1.47 | 0.11 | 0.59 | 394.1 |
| | mean | mean | mean | mean | mean | mean | mean | mean | mean |
| Outer LS shelf (0-20 m) | 31.17 | 3.40 | 2119 | 179.8 | 7.9 | 0.58 | 0.60 | 0.29 | 327.0 |
| LS shelf break (0-20 m) | 33.42 | 0.96 | 2111 | 97.5 | 10.0 | 1.10 | 0.61 | 0.16 | 358.1 |
| Outer ESS shelf (0-20 m) | 28.95 | -0.05 | 1949 | 95.8 | 11.9 | 1.26 | 0.26 | 0.95 | 386.8 |
| ESS shelf break (0-20 m) | 28.27 | -1.31 | 1975 | 72.0 | 4.6 | 1.49 | 0.12 | 0.60 | 397.0 |
| | s.d. | s.d. | s.d. | s.d. | s.d. | s.d. | s.d. | s.d. | s.d. |
| Outer LS shelf (0-20 m) | 3.22 | 2.38 | 89 | 66.3 | 1.7 | 0.50 | 0.91 | 0.11 | 14.6 |
| LS shelf break (0-20 m) | 0.70 | 2.07 | 23 | 21.2 | 1.7 | 0.11 | 0.74 | 0.06 | 22.5 |
| Outer ESS shelf (0-20 m) | 1.41 | 2.28 | 75 | 30.2 | 4.6 | 0.49 | 0.12 | 0.19 | 32.2 |
| ESS shelf break (0-20 m) | 0.53 | 0.04 | 49 | 3.2 | 0.3 | 0.08 | 0.03 | 0.02 | 8.3 |

*data from Humborg et al. (2017) and Salvadó et al. (2016)

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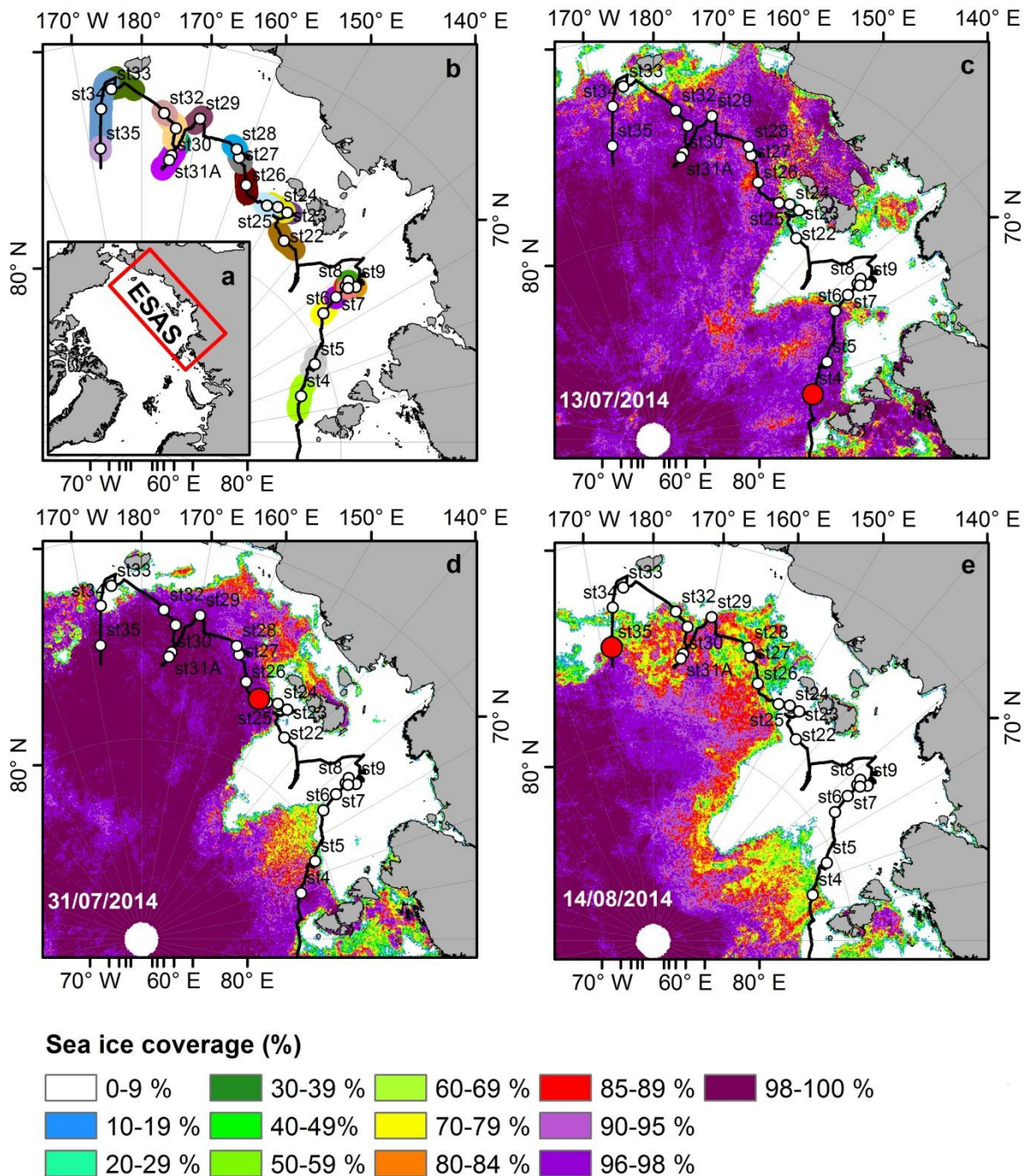
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Table 3. Qualitative plankton characterization of selected POM (>10µm) samples

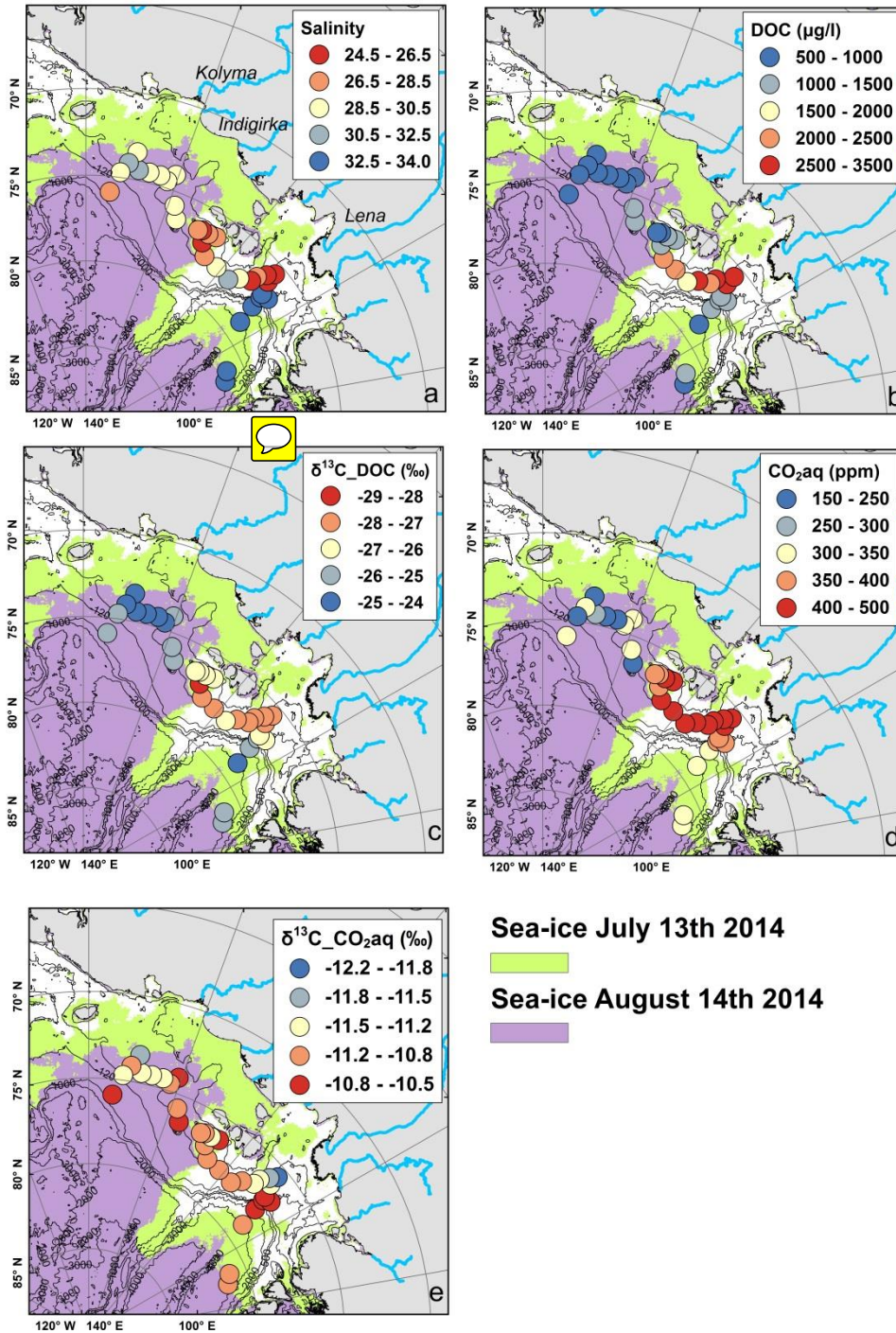
| ID | Region | Diatoms | Dinoflagellates | Other species |
|-----------|---------------|--|----------------------------------|----------------------|
| ST6 | LS | Few <i>Coscinodiscus</i> | None observed | |
| ST9 | LS | None observed | Few <i>Protooperidinium</i> | |
| ST11 | LS | None observed | Abundant <i>Protooperidinium</i> | |
| ST22 | LS-ESS | Abundant <i>Chaetoceros</i> , few <i>Rhizosolenia</i> , <i>Thalassiosira</i> | None observed | |
| ST25 | LS-ESS | High diversity. Abundant <i>Chaetoceros</i> , few <i>Rhizosolenia</i> , <i>Coscinodiscus</i> , <i>Thalassiosira</i> , <i>Asteromphalus</i> , <i>Navicula</i> | None observed | Silicoflagellate |
| ST31A | ESS | High diversity. Abundant <i>Chaetoceros</i> , few <i>Rhizosolenia</i> , <i>Thalassiosira</i> , <i>Bacterosira</i> , <i>Navicula</i> | None observed | |
| ST31B | ESS | High diversity. Few <i>Chaetoceros</i> , <i>Thalassiosira</i> , <i>Fragilariopsis</i> | Few <i>Protooperidinium</i> | |
| ST34 | ESS | Abundant <i>Chaetoceros</i> , few <i>Thalassiosira</i> , <i>Navicula</i> | Few <i>Protooperidinium</i> | |
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488 **Fig. 1** (a) The study area in the East Siberian Arctic Shelf. (b) Time-averaged position during
 489 the large-volume filtration (circles) of the POM (>10µm) samples. Shaded coloured areas
 490 show the sampling area covered to harvest each POM (>10µm) sample. Sea-ice extent and
 491 concentration at the beginning (c), in the middle (d) and at the end (e) of the sampling
 492 campaign. The ship position is shown by a filled red circle.

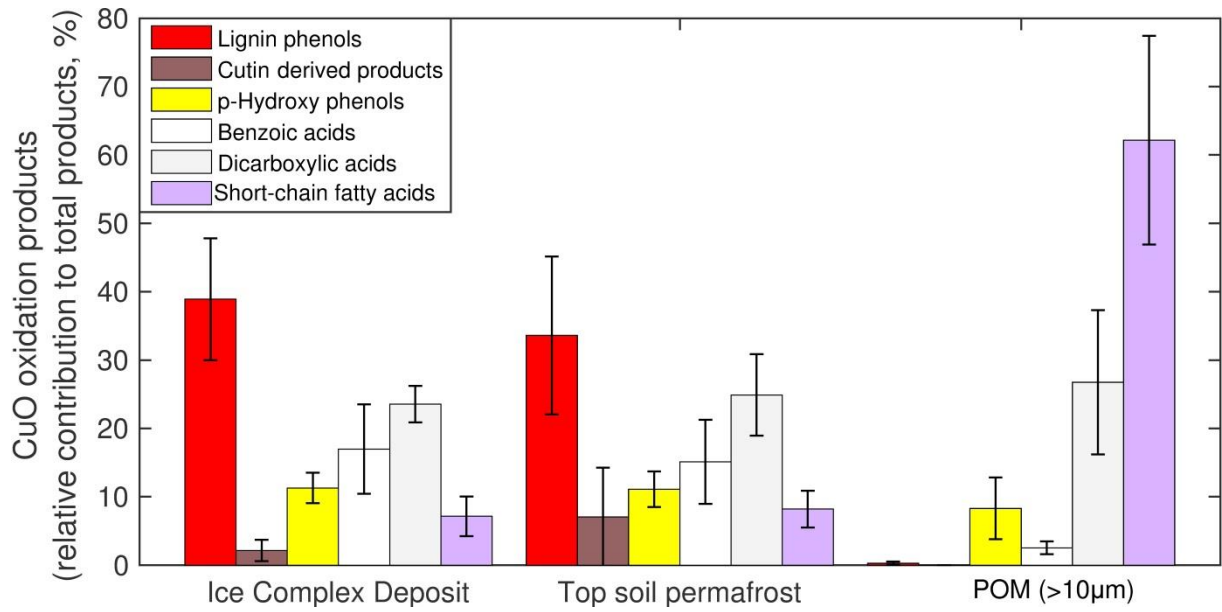
Surface water properties



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495 **Fig.2** Surface water properties. (a) Salinity. (b) DOC. (c) $\delta^{13}\text{C-DOC}$. (d) CO_2aq . (e) $\delta^{13}\text{C-}$
 496 CO_2aq . Shaded areas show the sea-ice extent at the beginning (13/07/2014) and at the end of
 497 the sampling campaign (14/08/2014) (Humborg et al., 2017; Salvadó et al., 2016).



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502 **Fig.3** Alkaline CuO fingerprint of top-soil permafrost samples (Tesi et al., 2014), Pleistocene
 503 Ice Complex Deposit (Tesi et al., 2014) and POM (>10µm) fraction (this study). The plot
 504 displays the relative proportion products yield upon alkaline CuO oxidation. The error bar
 505 refers to the natural variability of each dataset

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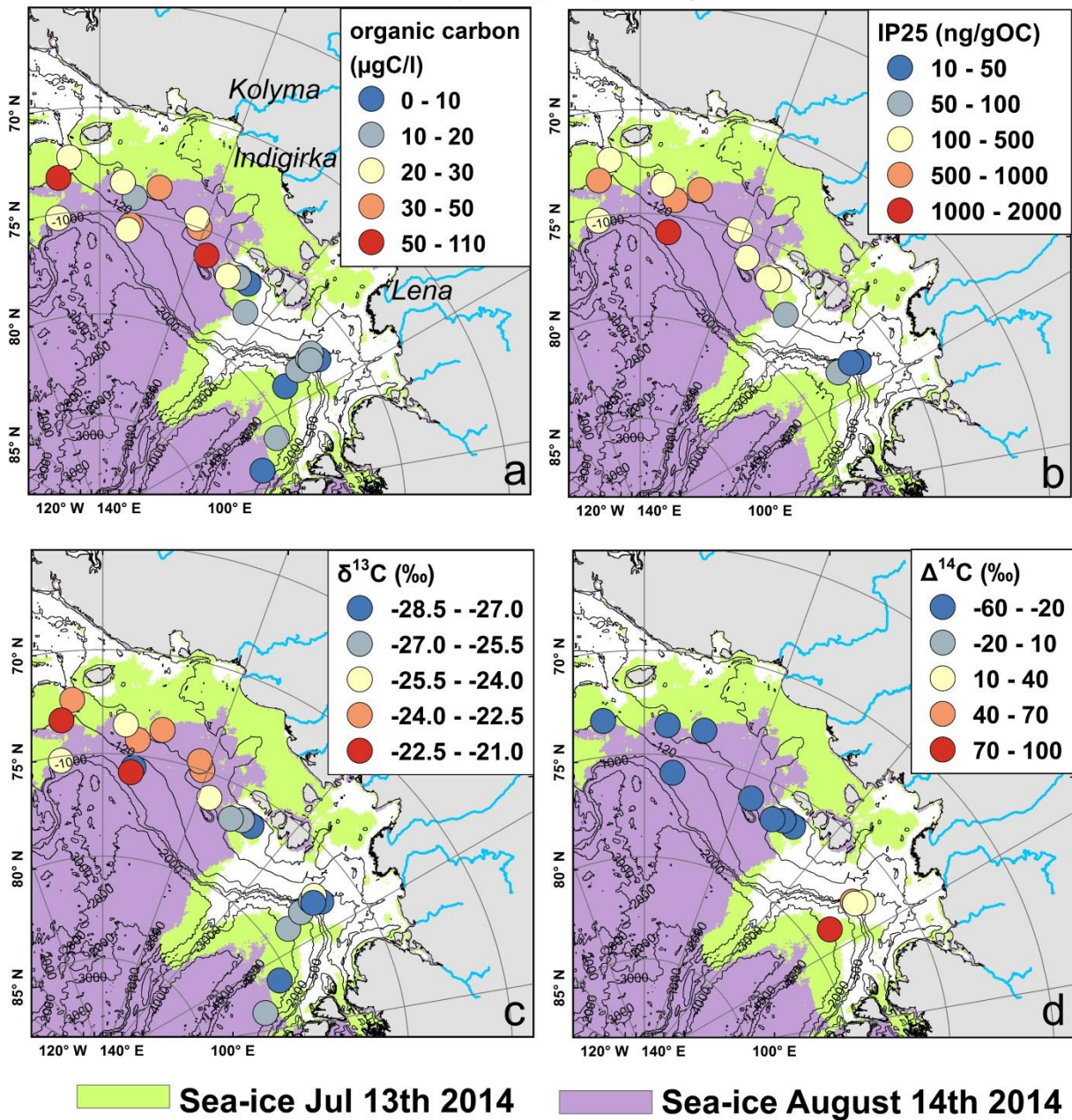
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POM (>10 μm) samples



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518 **Fig. 4** POM (>10μm) composition (a) Organic carbon concentration. (b) IP25 (mono-

519 unsaturated highly branched isoprenoid). (c) $\delta^{13}\text{C}$. (d) $\Delta^{14}\text{C}$. Shaded areas show the sea-ice

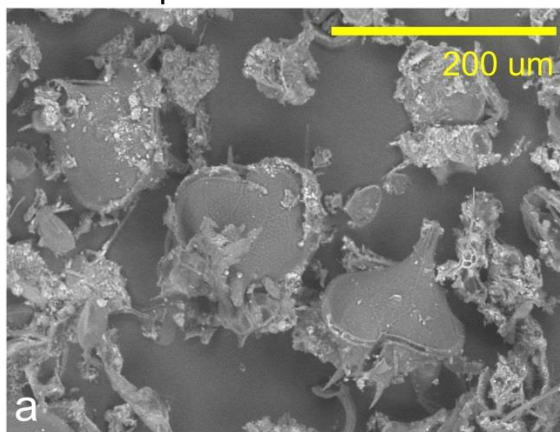
520 extent at the beginning (13/07/2014) and at the end of the sampling campaign (14/08/2014).

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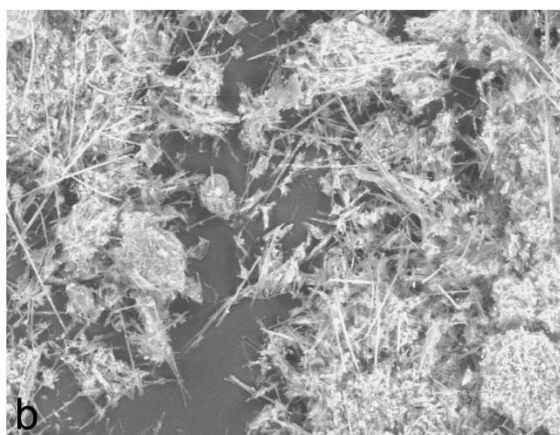
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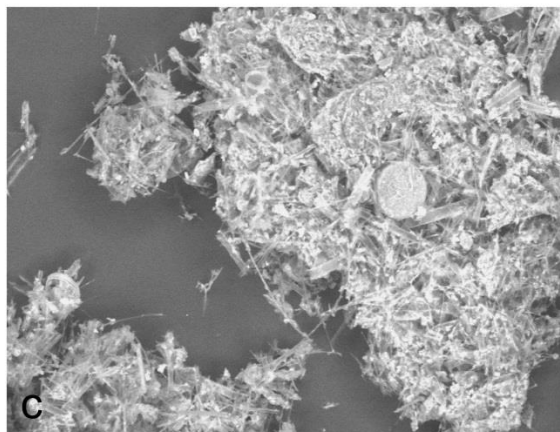
ST11 - Laptev Sea



ST22 - Laptev Sea / East Siberian Sea



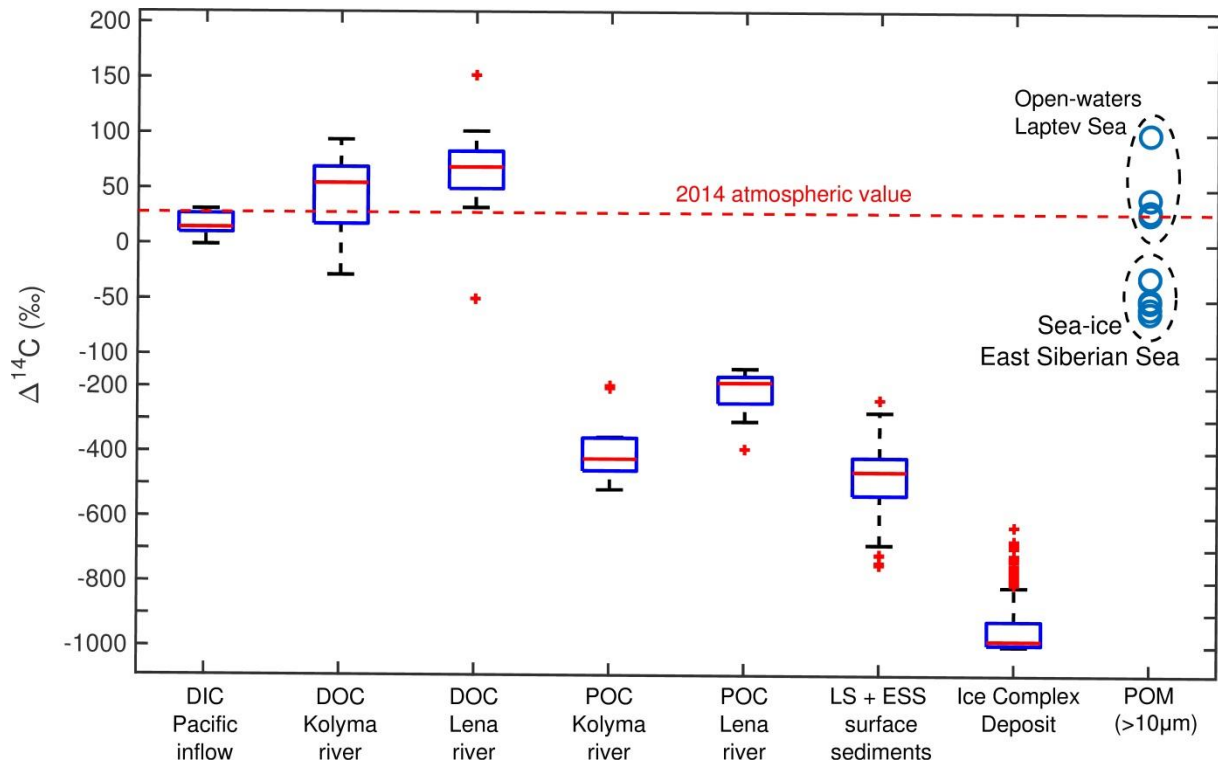
ST34 - East Siberian Sea



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526 **Fig. 5** SEM images. (a) ST-11: Dinoflagellates (*Protoperidinium* spp.) in open-waters of the
527 Laptev Sea. (b) ST22: Diatoms, mostly spines (setae) of *Chaetoceros* spp. in the transition
528 between Laptev Sea and East Siberian Sea. (c) ST-34: Diatoms from sea-ice dominated
529 waters in the East Siberian Sea



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533 **Fig. 6** Radiocarbon signature of inorganic and organic carbon pools. Whisker plots of
 534 radiocarbon values for different inorganic and organic carbon sources from the literature,
 535 compared to the outer Laptev Sea and outer East Siberian Sea (blue circles, this study). Solid
 536 lines show the median, the box limits display the 25th and 75th percentiles while the crosses
 537 show the outliers. Source: DIC (Griffith et al., 2012), DOC-Kolyma (2009-2014), DOC-Lena
 538 (2009-2014), POC-Kolyma (2009-2011), POC-Lena (2009-2011)
 539 (www.arcticgreatrivers.org), Laptev Sea and Eastern Siberia Sea surface sediments (Salvadó
 540 et al., 2016; Vonk et al., 2012) and Ice Complex Deposit (Vonk et al., 2012).

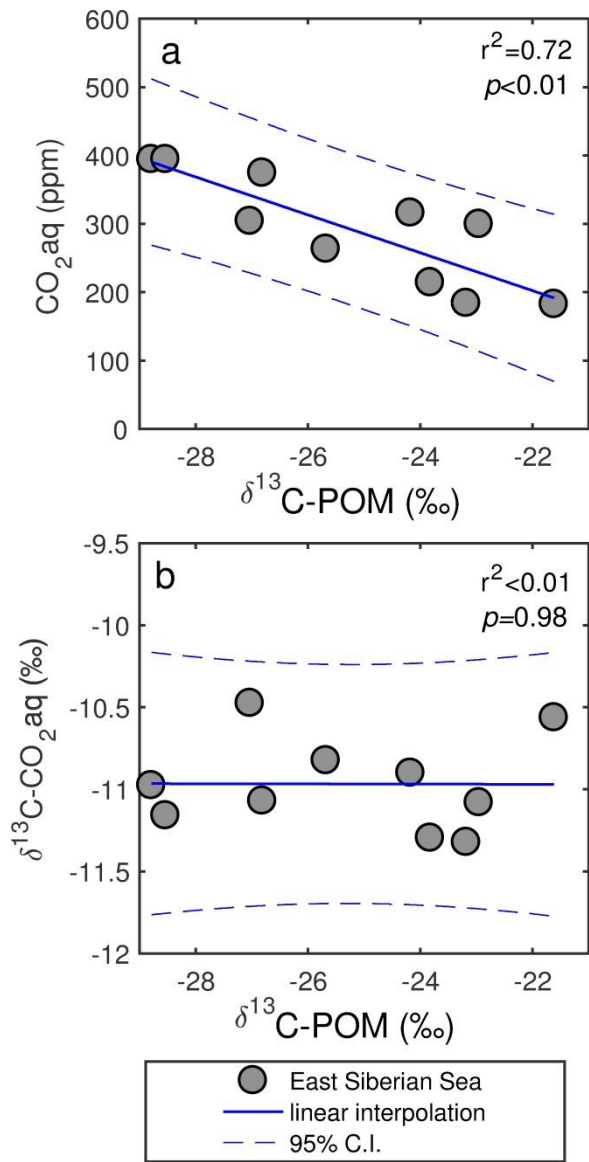
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549 **Fig. 7** Correlations (a) CO_2aq vs $\delta^{13}\text{C}$ (POM ($>10\mu\text{m}$) fraction) and (b) $\delta^{13}\text{C-CO}_2\text{aq}$ vs $\delta^{13}\text{C}$ in
 550 the East Siberian Sea (filled circles). The solid line shows the linear interpolation while the
 551 dashed line shows the 95% confidence intervals.

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