

1 **Wave spectral shapes in the coastal waters based on measured data off Karwar, west coast of**  
2 **India**

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11

12 **Abstract**

13

14 Understanding of the wave spectral shapes is of primary importance for the design of  
15 marine facilities. In this paper, the wave spectra collected from January 2011 to December 2015 in  
16 the coastal waters of the eastern Arabian Sea using the moored directional waverider buoy are  
17 examined to know the temporal variations in the wave spectral shape. Over an annual cycle, for  
18 31.15% of the time, peak frequency is between 0.08 and 0.10 Hz and the significant wave height is  
19 also relatively high (~ 1.55 m) for waves in this class. The slope of the high-frequency tail of the  
20 monthly average wave spectra is high during the Indian summer monsoon period (June-September)  
21 compared to other months and it increases with increase in significant wave height. There is not  
22 much interannual variation in slope for swell dominated spectra during the monsoon, while in the  
23 non-monsoon period, when wind-seas have much influence, the slope varies significantly. Since the  
24 exponent of the high-frequency part of the wave spectrum is within the range from -4 to -3 during  
25 the monsoon period, Donelan spectrum shows better fit for the high-frequency part of the wave  
26 spectra in monsoon months compared to other months.

27

28 **Key Words:** Ocean surface waves, wind waves, Arabian Sea, wave spectrum, high-frequency tail

29

## 30 1. Introduction

31

32 Information on wave spectral shapes are required for designing marine structures  
33 (Chakrabarti, 2005) and almost all the wave parameters computations are based on the wave  
34 spectral function (Yuan and Huang, 2012). The growth of waves and the correspondent spectral  
35 shape is due to the complex ocean-atmosphere interactions, while the physics of air-sea interaction  
36 is not completely understood (Cavaleri et al., 2012). The shape of the wave spectrum depends on  
37 the factors governing the wave growth and decay, and a number of spectral shapes have been  
38 proposed in the past for different sea states (see Chakrabarti, 2005 for a review). The spectral  
39 shape is maintained by nonlinear transfer of energy through nonlinear four-wave interactions  
40 (quadruplet interactions) and white-capping (Gunson and Symonds, 2014). The momentum flux  
41 between the ocean and atmosphere govern the high-frequency wave components (Cavaleri et al.,  
42 2012). According to Philips, the equilibrium ranges for low-frequency and high-frequency region is  
43 proportional to  $f^{-5}$  and  $f^{-4}$  (where  $f$  is the frequency) respectively. Several field studies made since  
44 JONSWAP (Joint North Sea Wave Project) field campaign reveals an analytical form for wave  
45 spectra with the spectral tail proportional to  $f^{-4}$  (Toba, 1973; Kawai et al., 1977; Kahma, 1981;  
46 Forristall, 1981; Donelan et al., 1985). Usually, there is a predominance of swell fields in large  
47 oceanic areas, which is due to remote storms (Chen et al., 2002; Hwang et al., 2011; Semedo et al.,  
48 2011). The exponent used in the expression for the frequency tail has different values (see  
49 Siadatmousavi et al., 2012 for a brief review). For shallow water, Kitaigorodskii et al. (1975)  
50 suggested  $f^{-3}$  tail, Liu (1989) suggested  $f^{-4}$  for growing young wind-seas and  $f^{-3}$  for fully developed  
51 wave spectra. Badulin et al. (2007) suggested  $f^{-4}$  for frequencies where nonlinear interactions are  
52 dominant. The study carried out at Lake George by Young and Babanin (2006) revealed that in the  
53 frequency range  $5f_p < f < 10f_p$ , the average value of the exponent 'n' of  $f^{-n}$  is close to 4. Whereas,  
54 some studies in real sea conditions indicate that high-frequency shape of  $f^{-4}$  applies up to few times  
55 the peak frequency ( $f_p$ ) and then decays faster with frequency. The spectra for coastlines in  
56 Currituck Sound with short fetch condition showed a decay closer to  $f^{-5}$  when  $f$  is greater than two  
57 or three times the peak frequency (Long and Resio, 2007). Gagnaire-Renou et al. (2010) found that  
58 the energy input from wind and dissipation due to white-capping have a significant influence on the  
59 high-frequency tail of the spectrum.

60

61           The physical processes in the north Indian Ocean have a distinct seasonal cycle (Shetye et  
62 al., 1985; Ranjha et al., 2015) and the surface wind-wave field is no exception (Sanil Kumar et al.,  
63 2012). In the eastern Arabian Sea (AS), significant wave height ( $H_{m0}$ ) up to 6 m is measured in the  
64 monsoon period (June to September), and during rest of the period,  $H_{m0}$  is normally less than 1.5 m  
65 (Sanil Kumar and Anand, 2004). Sanil Kumar et al. (2014) observed that in the eastern AS, the  
66 wave spectral shapes are different at two locations within 350 km distance, even though the  
67 difference in the integrated parameter like  $H_{m0}$  is marginal. Dora and Sanil Kumar (2015) observed  
68 that waves at 7-m water depth in the nearshore zone off Karwar are high energy waves in the  
69 monsoon and low to moderate waves in the non-monsoon period (January to May and October to  
70 December). Dora and Sanil Kumar (2015) study shows similar contribution of wind-seas and swells  
71 during the pre-monsoon (February to May), while swells dominate the wind-sea in the post-  
72 monsoon (October to January) and the monsoon period. A study was carried out by Glejin et al.  
73 (2012) to find the variation in wave characteristics along the eastern AS and the influence of swells  
74 in the nearshore waves at 3 locations during the monsoon period in 2010. This study shows that the  
75 percentage of swells in the measured waves was 75 to 79% at the locations with higher percentage  
76 of swells in the northern portion of AS compared to that at the southern side. Wind and wave data  
77 measured at a few locations along the west coast of India for short-period, one to two months as  
78 well as the wave model results were analysed to study the wave characteristics in the deep as well  
79 as nearshore regions during different seasons (Vethamony et al., 2013). From the wave data  
80 collected for two years period (2011 and 2012) along the eastern AS, the swells of period more than  
81 18 s and significant wave height less than 1 m which occur for 1.4 to 3.6% of the time were  
82 separated and their characteristics were studied by Glejin et al. (2016). Anjali Nair and Sanil Kumar  
83 (2016) presented the daily, monthly, seasonal and annual variations in the wave spectral  
84 characteristics for a location in the eastern AS and reported that over an annual cycle, 29 % of the  
85 wave spectra are single-peaked spectra and 71 % are multi-peaked spectra. Recently Amrutha et al.  
86 (2017) by analysing the measured wave data in October reported that the high waves (significant  
87 wave height  $> 4$  m) generated in an area bounded by 40-60° S and 20-40° E in the south Indian  
88 Ocean reached the eastern AS in 5-6 days and resulted in the long-period waves. The earlier studies  
89 indicate that the spectral tail of the high-frequency part shows large variation and its variation with  
90 seasons are not known. Similarly, the shape of the parametric spectra are also different and hence it  
91 is important to identify the spectral shapes based on the measured data covering all the seasons and  
92 different years.

93

94           The discussion above shows that there is a strong inspiration to study the high-frequency  
95 tail of the wave spectrum. For the present study, we used the directional waverider buoy measured  
96 wave spectral data at 15-m water depth off Karwar, west coast of India, over 5 years during 2011 to  
97 2015 and evaluated the nearshore wave spectral shapes in different months. This study addresses  
98 two main questions: (1) How the high-frequency tail of the wave spectrum varies in different  
99 months, and (2) What are the spectral parameters for the best-fit theoretical spectra. This paper is  
100 organized as follows: the study area is introduced in section 2, details of data used and  
101 methodology in section 3. Section 4 presents the results of the study and the conclusions are given  
102 in section 5.

103

## 104 **2. Study area**

105

106           The coastline at Karwar is  $24^\circ$  inclined to the west from the north, and the 20 m depth  
107 contour is inclined  $29^\circ$  to the west. Hence, large waves in the nearshore will have an incoming  
108 direction close to  $241^\circ$ , since waves get aligned with the depth contour due to refraction. At 10, 30  
109 and 75 km distance from Karwar, the depth contours of 20, 50 and 100 m are present (Fig. 1). The  
110 study region is under the seasonally reversing monsoon winds, with winds from the northeast  
111 during the post-monsoon and from the southwest during the monsoon period. The monsoon winds  
112 are strong and the total seasonal rainfall is 280 cm. The average monthly sea level at Karwar varies  
113 from 1.06 m (in September) to 1.3 m (in January) with respect to chart datum and the average tidal  
114 range is 1.58 m during spring tides and 0.72 m during neap tides (Sanil Kumar et al., 2012).

115

## 116 **3. Data and methods**

117

118           The waves off Karwar ( $14^\circ 49' 56''$  N and  $74^\circ 6' 4''$  E) were measured using the directional  
119 waverider buoy (DWR-MKIII) . Measurements are carried out from 1 January 2011 to 31  
120 December 2015. The data of heave and two translational motion of the buoy are sampled at 3.84  
121 Hz. A digital high-pass filter with a cut off at 30 s is applied to the 3.84 Hz samples. At the same  
122 time it converts the sampling rate to 1.28 Hz and stores the time series data at 1.28 Hz. From the  
123 time series data for 200s, the wave spectrum is obtained through a fast Fourier transform (FFT).  
124 During half an hour 8 wave spectra of a 200 s data interval each are collected and averaged to get a

125 representative wave spectrum for half an hour (Datawell, 2009). The wave spectrum is with a  
 126 resolution of 0.005 Hz from 0.025 Hz to 0.1 Hz and is 0.01 Hz from 0.1 to 0.58 Hz. Bulk wave  
 127 parameters; significant wave height ( $H_{m0}$ ) which equals  $4\sqrt{m_0}$  and mean wave period ( $T_{m02}$ ) based  
 128 on second order moment, which equals  $\sqrt{m_0/m_2}$  ) are obtained from the spectral moments. Where  
 129  $m_n$  is the  $n^{\text{th}}$  order spectral moment ( $m_n = \int_0^\infty f^n S(f) df$ ,  $n=0$  and  $2$ ),  $S(f)$  is the spectral energy  
 130 density and  $f$  is the frequency. The spectral peak period ( $T_p$ ) is estimated from the wave spectrum  
 131 and the peak wave direction ( $D_p$ ) is estimated based on circular moments (Kuik et al., 1988). The  
 132 wind-seas and swells are separated through the method described by Portilla et al. (2009) and the  
 133 wind-sea and the swell parameters are computed by integrating over the respective spectral parts.  
 134 Measurements reported here are in Coordinated Universal Time (UTC), which is 05:30 h behind  
 135 the local time.  $U_{10}$  is the wind speed at 10-m height obtained from reanalysis data of zonal and  
 136 meridional components at 6 hourly intervals from NCEP / NCAR (Kalnay et.al., 1996) and is used  
 137 to study the influence of wind speed on the spectral shape.

138

139 Since the frequency bins over which the wave spectrum estimated is same in all years, the  
 140 monthly and seasonally averaged wave spectrum is computed by taking the average of the spectral  
 141 energy density at the respective frequencies of each spectrum over the specified time.

142

143 Wave spectrum continues to develop through non-linear wave-wave interactions even for  
 144 very long times and distances. Hence, most of the wave spectrum is not fully developed and cannot  
 145 be represented by Pierson-Moskowitz (PM) spectrum (Pierson and Moskowitz, 1964). Accordingly,  
 146 an additional factor was added to the PM spectrum in order to improve the fit to the measured  
 147 spectrum. The JONSWAP spectrum (Hasselmann et al., 1973) is thus a PM spectrum multiplied by  
 148 an extra peak enhancement factor  $\gamma$ . The high-frequency tail of the JONSWAP spectrum decays in  
 149 a form proportional to  $f^{-5}$ . A number of studies reported that high-frequency decay is by a form  
 150 proportional to  $f^{-4}$ . Modified JONSWAP spectrum including Toba's formulation of saturation range  
 151 was proposed by Donelan et al. (1985). The JONSWAP and Donelan spectrum used in the study  
 152 are given in eqns. (1) and (2).

153 
$$S(f) = \frac{\alpha g^2}{(2\pi)^4 f^5} \exp\left[-\frac{5}{4}\left(\frac{f}{f_p}\right)^{-4}\right] \gamma \exp\left[-\frac{(f-f_p)^2}{2\sigma^2 f_p}\right] \dots\dots\dots (1)$$

154 
$$S(f) = \frac{\alpha g^2}{(2\pi)^4 f^4 f_p} \exp \left[ - \left( \frac{f}{f_p} \right)^{-4} \right]^\gamma \exp \left[ - \frac{(f - f_p)^2}{2\sigma^2 f_p^2} \right] \dots\dots\dots(2)$$

155 Where  $\gamma$  is the peak enhancement parameter;  $\alpha$  is Philip's constant;  $f$  is the wave frequency;  $g$  is the  
 156 gravitational acceleration and  $\sigma$  is the width parameter.

157 
$$\sigma = \begin{cases} 0.07, & f < f_p \\ 0.09, & f \geq f_p \end{cases}$$

158 An exponential curve  $y = k.f^b$  is fitted for high-frequency part of the spectrum and the  
 159 exponent (value of  $b$ ) is estimated for the best fitting curve based on statistical measures such as  
 160 least square error and bias. The slope of the high-frequency part of the wave spectrum is  
 161 represented by the exponent of the high-frequency tail.  
 162

163 For the present study, JONSWAP spectrum is tested by fitting for the whole frequency  
 164 range of the measured wave spectrum. It is found out that the JONSWAP spectra do not show a  
 165 good fit for higher frequency range, whereas Donelan spectrum shows better fit for the high-  
 166 frequency range. Hence, JONSWAP spectrum is used for the lower frequency range up to spectral  
 167 peak and Donelan spectrum is used for the higher frequency range from the spectral peak for  
 168 single-peaked wave spectrum. Theoretical wave spectra are not fitted to the double-peaked wave  
 169 spectra.  
 170

171  
 172 **4. Results and discussions**

173  
 174 **4.1 Bulk wave parameters**

175  
 176 Mostly the wave conditions ( $\sim 75\%$ ) at the buoy location are intermediate and shallow-  
 177 water waves (where water depth is less than half the wavelength,  $d < L/2$ ), this condition is not  
 178 satisfied during  $\sim 25\%$  of the time due to waves with mean periods of 4.4 s or less. This study,  
 179 therefore, deals with shallow, intermediate and deepwater wave climatology. Hence, bathymetry  
 180 will significantly influence the wave characteristics.  
 181

182 The persistent monsoon winds generate choppy seas with average wave heights of 2 m and  
183 mean wave period of 6.5 s. Fig. 2 shows that in the monsoon, the observed waves had a maximum  
184  $H_{m0}$  of about 5 m, with  $H_{m0}$  of 2-2.5 m more common during this period. The maximum  $H_{m0}$   
185 measured during the study period is on 21 June 2015 17:30 UTC (Fig. 2a). Mean wave periods  
186 ( $T_{m02}$ ) at the measurement location ranged from 4-8 s (Fig. 2b). Wave direction during monsoon is  
187 predominantly from the west due to refraction towards the coast. The fluctuation in  $H_{m0}$  due to the  
188 southwest monsoon is seen in all the years (Fig. 2a). High waves ( $H_{m0} > 2$  m) during 27-29  
189 November 2011 are due to the deep depression ARB04 formed in the AS. During the study period,  
190 the annual average  $H_{m0}$  is same ( $\sim 1.1$  m) in all the years (Table 1). In 2013, the data during August  
191 could not be collected and hence resulted in lower annual average  $H_{m0}$ . Over the 5 years, small  
192 waves ( $H_{m0} < 1$  m) account for a large proportion (63.94%) of measured data and only during  
193 0.16% of the time,  $H_{m0}$  exceeded 4 m (Table 2). The 25<sup>th</sup> and 75<sup>th</sup> percentiles of the  $H_{m0}$  distribution  
194 over the entire analysis period are 0.6 and 1.4 m.

195  
196 Waves with low heights ( $H_{m0} < 1$  m) are with the mean period in a large range (2.7-10.5 s),  
197 whereas high waves ( $H_{m0} > 3$  m) have mean wave period in a narrow range (6.1-9.3 s) (Table 2).  
198 For waves with  $H_{m0}$  higher than 3 m, the  $T_p$  never exceeded 14.3 s and for waves with  $H_{m0}$  less  
199 than 1 m,  $T_p$  up to 22.2 s are observed (Fig. 2c) and the long period swells (14-20 s) are with  $H_{m0} <$   
200 2.5 m. Around 7% of the time during 2011-2015, waves have peak period more than 16.7 s (Table  
201 3). Peak frequencies between 0.08 and 0.10 Hz, equivalent to a peak wave period of 10 - 12.5 s are  
202 observed 31.15% of the time and the  $H_{m0}$  is also relatively high ( $\sim 1.55$  m) for waves in this class.  
203 During the annual cycle, the wave climate is dominated by low ( $0.5 > H_{m0} > 1$  m) intermediate-  
204 period ( $T_p \sim 10-16$ s) south-westerly swell. Waves from the northwest are with  $T_p$  less than 8 s (Fig.  
205 3).

206  
207 The wave roses during 2011-2015 indicate that around 38% of the time during the period  
208 2011 to 2015, the predominant wave direction is SSW ( $225^\circ$ ) with long period (14 - 18s) and  
209 intermediate period (10 - 14s) waves (Fig. 3). A small percentage of long-period waves having  $H_{m0}$   
210 more than 1m are observed from the same direction in which more than 80% are swells (Fig. 3c).  
211 Intermediate period waves observed having  $H_{m0}$  less than 1m, contain 20 - 60% of swells. Around  
212 10-15% of the waves observed during the period are from the west, which includes intermediate  
213 and short period waves with  $H_{m0}$  varying from 1.5 to 3m. These intermediate period waves from

214 west having  $H_{m0}$  between 2.5 - 3m contain more than 80% of swells. Waves from NW are short  
215 period waves with  $H_{m0}$  between 0.5 and 1.5; in which swell percentage is very less showing the  
216 influence of wind-sea (Fig. 3d). High waves observed in the study area consists of more than 80%  
217 swells.

218  
219 Date versus year plots of significant wave height (Fig. 4) shows that  $H_{m0}$  has its maximum  
220 values ( $H_{m0} > 3m$ ) during the monsoon period with a wave direction of WSW and peak wave period  
221 of 10 - 12s (intermediate period). The mean wave period shows its maximum values (6 - 8s) during  
222 the monsoon period. During January–May in all the years,  $H_{m0}$  is low ( $H_{m0} < 1m$ ) with waves from  
223 SW, W and NW directions. NW waves observed are the result of strong sea breezes existing during  
224 this period. Both long-period ( $T_p > 14s$ ), intermediate-period ( $10 < T_p < 14s$ ) and short-period ( $T_p$   
225  $< 8s$ ) waves are observed during this period and hence, the mean wave period observed is low  
226 compared to the monsoon (Fig. 4d). During October to December, similar to the pre-monsoon  
227 period,  $H_{m0}$  observed is less than 1m, but the wave direction is predominantly from SW and W, with  
228 least NW waves. Short period waves are almost absent during this period, and the condition is  
229 similar for all the years. The interannual variations in  $H_{m0}$  are less than 15% (Fig. 4). Primary  
230 seasonal variability in waves is due to the monsoonal wind reversal. During January-March, there is  
231 a shift in the occurrences of northwest swells.

## 232 233 4.2 Wave spectrum

234  
235 Normalisation of the wave spectrum is done to know the spread of energy in different  
236 frequencies. Since the range of maximum spectral energy density in a year is large ( $\sim 60 \text{ m}^2/\text{Hz}$ ),  
237 each wave spectrum is normalised through dividing the spectral energy density by the maximum  
238 spectral energy density of that spectrum. The normalized wave spectral energy density contours are  
239 presented for different years to know the wind-sea/swell predominance (Fig. 5). The predominance  
240 of both the wind-seas and swells are observed in the non-monsoon period, whereas in the monsoon  
241 only swells are predominant (Fig. 5). The separation of swells and wind-seas indicates that over an  
242 annual cycle, around 54% of the waves are swells. Glejin et al. (2012) reported that the dominance  
243 of swells during monsoon is due to the fact that even though the wind at the study region is strong  
244 during monsoon, the wind over the entire AS also will be strong and when these swells are added to  
245 the wave system at the buoy location, the energy of the swell increases (Donelan, 1987) and will  
246 result in dominance of swells. The spread of spectral energy to higher frequencies (0.15 to 0.25 Hz)



247 is predominant during January-May (Fig. 5) due to sea-breeze in the pre-monsoon period (Neetu et  
248 al., 2006; Dora and Sanil Kumar, 2015). In the monsoon during the wave growth period, the  
249 spectral peak shifts from 0.12-0.13 Hz to 0.07-0.09 Hz (lower frequencies).

250  
251 An interesting phenomenon is that the long-period ( $> 18$  s) swells are present for 2.5% of  
252 the time during the study period. The buoy location at 15 m water depth is exposed to waves from  
253 northwest to south with the nearest landmass at  $\sim 1500$  km in the northwest (Asia),  $\sim 2500$  km in  
254 the west (Africa),  $\sim 4000$  km in the southwest (Africa) and  $\sim 9000$  km in the south (Antarctica)  
255 (Amrutha et al., 2017). Due to its exposure to the Southern Oceans and the large fetch available,  
256 swells are present all year round in the study area and the swells are dominant in the non-monsoon  
257 (Glejin et al., 2013). Throughout the year, waves with period more than 10 s (low-frequency  $< 0.1$   
258 Hz waves) are the southwest swells whereas with seasons the direction of short-period waves  
259 changes (Fig. 5). Amrutha et al. (2017) reported that the long-period waves observed in the eastern  
260 AS are the swells generated in the south Indian Ocean. In the monsoon season, the waves with  
261 high-frequency are predominantly from west-southwest, whereas in the non-monsoon they are from  
262 the northwest. In the non-monsoon period, the predominance of wind-seas and swells fluctuated  
263 and hence the mean wave direction also changed frequently (Fig. 5). The average direction of  
264 waves with  $H_{m0} < 1$  m shows the northwest wind-seas and the southwest swells, whereas, for high  
265 waves ( $H_{m0} > 3$  m), the difference between the swell and wind-sea direction decreases. This is  
266 because the high waves get aligned to the bottom contour before 15 m water depth on its approach  
267 to the shallow water.

268  
269 The interannual changes of wave spectral energy density for different months in the period  
270 2011-2015 are studied by computing the monthly average wave spectra for all the years (Fig. 6). In  
271 the non-monsoon period, the wave spectra observed is double-peaked, indicating the presence of  
272 wind-seas and swells, whereas during the monsoon, due to the strong southwest winds, single  
273 peaked spectrum is observed, i.e. the swell peak with low-frequency and high spectral energy  
274 density. Along the Indian coast, Harish and Baba (1986), Rao and Baba (1996) and Sanil Kumar et  
275 al. (2003) found out that wave spectra are generally multi-peaked and that the double peaked wave  
276 spectra are more frequent during low-sea states (Sanil Kumar et al., 2004). Sanil Kumar et al.  
277 (2014), Sanil Kumar and Anjali (2015) and Anjali and Sanil Kumar (2016) have also observed that  
278 double-peaked spectrum in the monsoon period in the eastern AS are due to the locally generated

279 wind-seas and the south Indian Ocean swells. In the study area, from January to May and October  
280 to December, the swell peak is between the frequencies 0.07 and 0.08 Hz ( $12.5 < T_p < 14.3s$ ), but  
281 in the monsoon period, the swell peak is around 0.10 Hz, in all the years studied. This shows long-  
282 period swells ( $T_p > 13s$ ) in the non-monsoon period and intermediate period swells ( $8 < T_p < 13s$ )  
283 in the monsoon. Glejin et al. (2016), also observed the presence of low-amplitude long-period  
284 waves in the eastern AS in the non-monsoon period and intermediate period waves in the monsoon  
285 period. This is because of the propagation of swells from the southern hemisphere is more visible  
286 during the non-monsoon period due to the calm conditions (low wind-seas) prevailing in the eastern  
287 AS. Whereas during the monsoon period, these swells are less due to the turbulence in the north  
288 Indian Ocean (Glejin et al., 2013). Large interannual variations are observed for monthly average  
289 wave spectrum in all months except in July. This is because July is known to be the roughest month  
290 over the entire annual cycle and southwest monsoon reaches its peak during July. Hence, the  
291 influence of temporally varying wind-seas on the wave spectrum is least during July compared to  
292 other months. Due to the early onset (on 1 June) and advancement of monsoon during 2013  
293 compared to other years, the monthly average value of the maximum spectral energy is observed in  
294 June 2013 (Fig. 6). The wave spectra of November 2011 is distinct from that of other years, with  
295 low wind-sea peak frequency, i.e. 0.13 Hz due to the deep depression ARB04, occurred south of  
296 India near Cape Comorin, during 26 November–1 December, with a sustained wind speed of 55  
297 km/h. During October 2014, the second peak is observed at 0.11 Hz with comparatively high  
298 energy showing the influence of cyclonic storm NILOFAR. It is an extremely severe cyclonic  
299 storm that occurred during the period 25-31 October 2014, originated from a low-pressure area  
300 between Indian and Arabian Peninsula, with the highest wind speed of 215 km/h and affected the  
301 areas of India, Pakistan and Oman. Significant interannual variation is observed in the wind-sea  
302 peak frequency. Wave spectra averaged over each season (Fig. 7) shows that the interannual  
303 variations in energy spectra averaged over full year period almost follows the pattern of wave  
304 spectra averaged over monsoon period, indicating the strong influence of monsoon winds over the  
305 wave energy spectra in the study area. Interannual variations within the spectrum are more for  
306 wind-sea region compared to swell region. During the study period, the maximum spectral energy  
307 observed is during 2011 monsoon.

308

309 For different frequencies, the monthly average wave direction is shown in Fig. 8. It is  
310 observed that throughout the year the mean wave direction of the swell peak is southwest (200-

311 250°). In the non-monsoon period, the wind-sea direction is northwest (280-300°), except in  
312 October and November. This is due to the wind-seas produced by sea breeze which has the  
313 maximum intensity during the pre-monsoon season. Interannual variability in wave direction is  
314 highest during October and November, where the wind-seas from southwest direction are also  
315 observed. This is because, during these months, the wind speed and the strength of the monsoon  
316 swell decreases, which makes the low energy wind-seas produced by the withdrawing monsoon  
317 winds more visible.

318  
319 Contour plots of spectral energy density (normalized) clearly show the predominance of  
320 wind-seas and swells during the non-monsoon period (Fig. 9). In the monsoon period, the spectral  
321 energy density is mainly confined to a narrow frequency range (0.07-0.14 Hz) and the wave spectra  
322 are mainly single peaked with maximum energy within the frequency range 0.08-0.10 Hz, having  
323 direction 240°. Glejin et al. (2012) reported that in the monsoon season, the spectral peak is  
324 between 0.08 and 0.10 Hz (12-10s) for ~ 72% of the time in the eastern AS. Earlier studies also  
325 reported dominance of swells in the eastern AS during the monsoon (Sanil Kumar et al., 2012;  
326 Glejin et al., 2012). Above 0.15 Hz, energy gradually decreases, with the lowest energy observed  
327 between 0.30 and 0.50 Hz. Wind-sea energy is comparatively low during October, November and  
328 December and occurs mostly in the frequency range less than 0.20 Hz, whereas, during January-  
329 May, the frequency exceeds 0.20 Hz. In the pre-monsoon period, wind-sea plays a major role in  
330 nearshore wave environment (Rao and Baba, 1996). Wind-sea energy is found to be low during  
331 April 2015 (Fig. 6), because of reduction in local winds. The occurrence of wind-seas is very less  
332 during most of the time in November except during 2011, due to the deep depression ARB04.

333  
334 The behavior of the high-frequency part of the spectrum is governed by the energy balance  
335 of waves generated by the local wind fields. When the wind blows over a long fetch or for a long  
336 time, the wave energy for a given frequency reaches the equilibrium range and the energy input  
337 from the wind is balanced by energy loss to lower frequencies and by wave breaking (Torsethaugen  
338 and Haver, 2004). The high-frequency tail slope of the monthly average wave spectrum in different  
339 years shows that the slope is high ( $b < -3.1$ ), during June to September and the case is same for all  
340 the years studied (Table 4). During all other months, the exponent in the expression for the  
341 frequency tail is within the range - 3.1 to -1.5. The distribution of exponent values for different  
342 significant wave height ranges shows that the slope increases (exponent decrease from -2.44 to -

343 4.20) as the significant wave height increases and reaches a saturation range. For frequencies from  
344 0.23 to 0.58 Hz in the eastern AS during January-May, Amrutha et al. (2017) observed that the  
345 high-frequency tail has  $f^{2.5}$  pattern at 15 m water depth and for frequencies ranging from 0.31 to  
346 0.55 Hz, the high-frequency tail follows  $f^3$  at 5 m water depth. Since  $H_{m0}$  is maximum during the  
347 monsoon period, the slope is also maximum during June to September. There is no much  
348 interannual variation in slope for swell dominated spectra during the monsoon, while in the non-  
349 monsoon period when wind-seas have much influence, the slope varies significantly.

350  
351 The most obvious manifestations of nonlinearity are sharpening of the wave crests and the  
352 flattening of the wave troughs and these effects are reflected in the skewness of the sea surface  
353 elevation (Toffoli, 2006). Zero skewness indicates linear sea states, positive skewness value  
354 indicate that the wave crests are bigger than the troughs. Figure 10 shows that nonlinearity  
355 increases with increase in  $H_{m0}$ . The slope of the high-frequency end of the wave spectrum becomes  
356 steeper when the wave nonlinearity increases. Donelan et al. (2012) find that in addition to the  $k^{-4}$   
357 dissipation that swells modulate the equilibrium in breaking waves dependent on the mean surface  
358 slope, while Melville (1994) also quantified a relation between wave packet slopes and dissipation  
359 rate. These results are specific to breaking waves, but one might expect similar relations between  
360 surface dynamics and dissipation rate for non breaking waves. A function of the form:  $A * \exp(\lambda$   
361  $H_{m0}) + s0$ , with initial parameters of  $A = 8$ ,  $\lambda = -2.4$ ,  $s0 = -3.7$  is found to fit the exponent of the  
362 high-frequency tail data with the significant wave height (Fig. 11a). The functional representation  
363 of the exponent of the high-frequency tail data with  $H_{m0}$  shown in Fig. 11a might be useful in  
364 revealing the physical connection, and at the very least would provide a predictive basis relating  
365 spectral slopes with mean significant wave heights as a basis for future research. It is shown in Fig.  
366 11b that the exponent decreases (slope increases) as the mean wave period increases. The study  
367 shows that the tail of the spectrum is influenced by the local wind conditions (Fig. 11c) and the  
368 influence is more with the zonal component (u) of the wind than on the meridional component (v)  
369 (Figs. 11e and 11f). The exponent of the high-frequency tail decreases with the increase of the  
370 inverse wave age ( $U_{10}/c$ ), where c is the celerity of the wave.

371  
372 4.3 Comparison with theoretical wave spectra

373

374 In the monsoon period, the spectrum is single peaked with high spectral energy density and  
375 during this period JONSWAP spectrum is fitted up to the peak frequency and after that Donelan  
376 spectrum is used. The monthly average wave spectra during the monsoon period for the year 2011,  
377 is compared with JONSWAP and Donelan theoretical wave spectra in Figure 12. It is found that  
378 JONSWAP and Donelan spectra with modified parameters describe well the wave spectra at low  
379 frequencies and high frequencies respectively. The values for  $\alpha$  and  $\Upsilon$  were randomly varied  
380 within a range to find out the values for which, the theoretical spectrum best fits the measured  
381 spectrum and those values were used to plot the theoretical spectrum. The values of  $\alpha$  and  $\Upsilon$  thus  
382 obtained, for June, July, August and September are given in Table 6. From the table, the average  
383 values of  $\alpha$  and  $\Upsilon$ , for the monsoon months are obtained as 0.0009 and 1.82 for JONSWAP spectra  
384 and 0.0274 and 1.64 for Donelan spectra respectively. These values are less than the generally  
385 recommended values of  $\alpha$  and  $\Upsilon$ ; 0.0081 and 3.3.  $\alpha$  is a constant that is related to the wind speed  
386 and fetch length. For all the data, Donelan spectrum fitted is proportional to  $f^n$ , where  $n$  is the  
387 exponent value of the high-frequency tail. The theoretical spectrum JONSWAP and Donelan  
388 cannot completely describe the high-frequency tail of the measured spectrum since the high-  
389 frequency tail in these spectrum decays in the form of  $f^5$  and  $f^4$  respectively. Since the exponent of  
390 the high-frequency tail of the wave spectrum is within the range -4 to -3 during the monsoon  
391 period, Donelan spectrum shows better fit for monsoon spectra compared to other months (Fig.  
392 11).

393

## 394 **5. Concluding remarks**

395

396 In this paper, the variations in the wave spectral shapes in different months for a nearshore  
397 location are investigated, based on in situ wave data obtained from a moored directional waverider  
398 buoy. Interannual variations within the spectrum are more for wind-seas compared to swells. The  
399 maximum significant wave height measured at 15 m water depth is 5 m and the annual average  $H_{m0}$   
400 has similar value ( $\sim 1.1$  m) in all the years. Over the 5 years, small waves ( $H_{m0} < 1$  m) account for a  
401 large proportion of measured data (63.94% of the time). The study shows that high waves ( $H_{m0} > 2$   
402 m) are with spectral peak period between 8 and 14 s and the long period swells (14-20 s) are with  
403  $H_{m0} < 2.5$  m. The high-frequency slope of the wave spectrum (the exponent decreases from -2.44 to  
404 -4.20) increases with increase in significant wave height and mean wave period. During the  
405 monsoon period, Donelan spectrum shows better fit for monsoon spectra compared to other months

406 since the exponent of the high-frequency part of the wave spectrum is within the range -4 to -3. The  
407 decay of the high-frequency waves are fastest with depth and hence, the high-frequency tail values  
408 observed in the study will be different for different water depths.

409

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422

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538 **Figure captions**

- 539 Figure 1. Study area along with the wave measurement location in eastern Arabian Sea
- 540 Figure 2. Time series plot of a) significant wave height, b) mean wave period, c) peak wave period  
541 and d) mean wave direction from 1 January 2011 to 31 December 2015. Thick blue line indicates  
542 the monthly average values
- 543 Figure 3. Wave roses during 2011-2015 (a) significant wave height and mean wave direction, (b)  
544 peak wave period and mean wave direction, (c) percentage of swell, (d) percentage of wind-sea and  
545 mean wave direction
- 546 Figure 4. Date verses year plot of a) significant wave height b) mean wave direction, c) peak wave  
547 period and d) mean wave period
- 548 Figure 5. Temporal variation of normalized spectral energy density (top panel) and mean wave  
549 direction (bottom panel) with frequency in different years
- 550 Figure 6. Monthly average wave spectra in 2011 to 2015
- 551 Figure 7. Wave spectra averaged over a) pre-monsoon (February-May), b) monsoon (June-  
552 September), c) post-monsoon (October-January) and d) full year in different years
- 553 Figure 8. Monthly average wave direction at different frequencies in different months
- 554 Figure 9. Temporal variation of normalized spectral energy density in different months (data from  
555 2011 to 2015 used)
- 556 Figure 10. Scatter plot of significant wave height with skewness of the sea surface elevation in  
557 different years
- 558 Figure 11. Plot of exponent of the high-frequency tail with a) significant wave height, b) mean  
559 wave period, c) wind speed, d) inverse wave age, e) u-wind and f) v-wind
- 560 Figure 12. Fitted theoretical spectra along with the monthly average wave spectra for different  
561 month
- 562

563 Table 1. Number of data used in the study in different years along with range of significant wave  
 564 height and average value  
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Year	Significant wave height (m)		Number of data	%of data
	Range	Average		
2011	0.3-4.4	1.1	17517	99.98
2012	0.3-3.7	1.1	17323	98.61
2013	0.3-3.6	0.9*	14531	82.94
2014	0.3-4.5	1.1	17284	98.65
2015	0.3-5.0	1.1	14772	84.32

\* average value is estimated excluding the July month data

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Table 2. Characteristics of waves in different range of significant wave height

Significant wave height range	Number (percentage)	Range of Tp (s)	Mean Tp (s)	Range of T <sub>m02</sub> (s)	Mean T <sub>m02</sub> (s)
$H_{m0} < 1$ m	52062 (63.94)	2.6-22.2	12.2	2.7-10.5	4.9
$1 \leq H_{m0} < 2$ m	18297 (22.47)	3.6-22.2	10.5	3.4-10.7	5.7
$2 \leq H_{m0} < 3$ m	9839 (12.08)	6.2-18.0	10.8	5.0-8.9	6.5
$3 \leq H_{m0} < 4$ m	1096 (1.35)	10.0-14.3	11.8	6.1-9.1	7.2
$4 \text{ m} \leq H_{m0}$	133 (0.16)	10.5-14.3	12.6	7.2-9.3	7.8

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Table 3. Average wave parameters and number of data in different spectral peak frequencies

Frequency ( $f_p$ ) range (Hz)	Number of data and %	$H_{m0}$ (m)	T <sub>m02</sub> (s)	Peak wave period (s)
$0.04 < f_p \leq 0.05$	318 (0.39)	0.73	5.24	20.19
$0.05 < f_p \leq 0.06$	5341 (6.56)	0.82	5.48	17.16
$0.06 < f_p \leq 0.07$	14764 (18.13)	0.75	5.22	14.73
$0.07 < f_p \leq 0.08$	18221 (22.38)	0.80	5.05	12.96
$0.08 < f_p \leq 0.10$	25364 (31.15)	1.55	5.76	10.88
$0.10 < f_p \leq 0.15$	9459 (11.62)	1.25	5.35	8.07
$0.15 < f_p \leq 0.20$	6355 (7.80)	0.76	4.43	5.72
$0.20 < f_p \leq 0.30$	1487 (1.83)	0.78	3.86	4.36
$0.30 < f_p \leq 0.50$	118 (0.14)	0.66	3.22	3.09

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Table 4. Exponent of the high-frequency tail of the monthly average wave spectra in different years

Months	Exponent of the high-frequency tail					
	2011	2012	2013	2014	2015	2011-2015
January	-2.08	-2.93	-2.97	-2.72	-2.81	-2.72
February	-2.41	-3.02	-2.74	-2.99	-3.06	-2.85
March	-2.75	-2.91	-2.82	-2.76	No data	-2.81
April	-2.56	-2.74	-2.64	-2.71	-2.19	-2.60
May	-2.59	-2.67	-2.63	-2.42	-2.51	-2.56
June	-3.64	-3.53	-3.55	-3.82	-3.58	-3.55
July	-3.76	-3.55	No data	-3.82	-3.63	-3.70
August	-3.63	-3.58	-3.40	-3.52	-3.65	-3.58
September	-3.41	-3.44	-3.16	-3.38	-3.00	-3.30
October	-2.02	-2.77	-3.03	-2.52	-2.61	-2.68
November	-1.78	-2.43	-1.77	-1.55	-1.65	-1.84
December	-1.69	-2.23	-1.95	-2.06	-1.79	-1.94

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Table 5. Exponent of the high-frequency tail of the average wave spectra in different wave height ranges

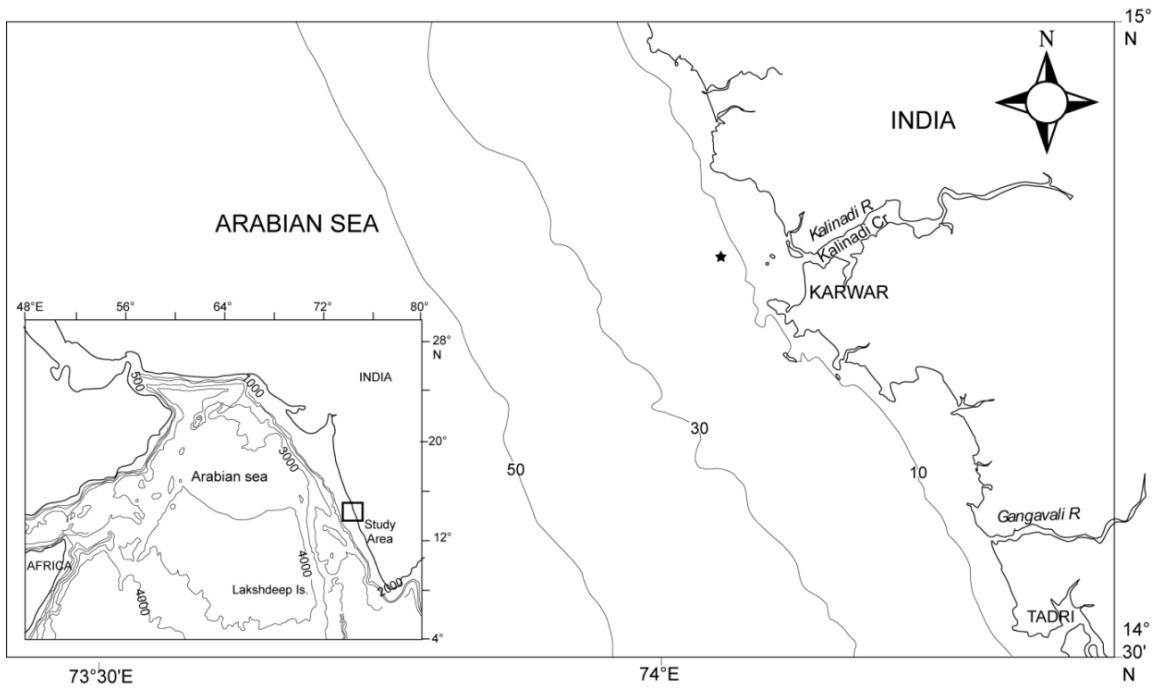
Range of $H_{m0}$ (m)	Exponent of the high-frequency tail
0-1	-2.44
1-2	-3.26
2-3	-3.67
3-4	-4.21
4-5	-4.21

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600 Table 6. Parameters of the fitted wave spectrum in different years  
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Year		JONSWAP spectrum		Donelan spectrum	
		$\alpha$	$\Upsilon$	$\alpha$	$\Upsilon$
2011	June	0.0013	2.2	0.0028	2.0
	July	0.0016	1.5	0.0021	1.7
	August	0.0013	1.8	0.0029	1.7
	September	0.0004	2.3	0.0021	1.6
2012	June	0.0015	1.6	0.0029	2.0
	July	0.0010	2.1	0.0031	1.9
	August	0.0009	2.2	0.0032	1.7
	September	0.0006	2.0	0.0024	1.8
2013	June	0.0006	3.3	0.0030	1.9
	July	No data			
	August	0.0012	1.1	0.0038	1.4
	September	0.0005	1.9	0.0042	1.4
2014	June	0.0010	1.1	0.0010	1.6
	July	0.0006	2.5	0.0019	1.2
	August	0.0006	1.5	0.0021	1.2
	September	0.0011	1.1	0.0032	1.4
2015	June	0.0011	1.4	0.0023	1.8
	July	0.0011	1.9	0.0024	1.8
	August	0.0008	1.8	0.0024	1.4
	September	0.0006	1.3	0.0043	1.6

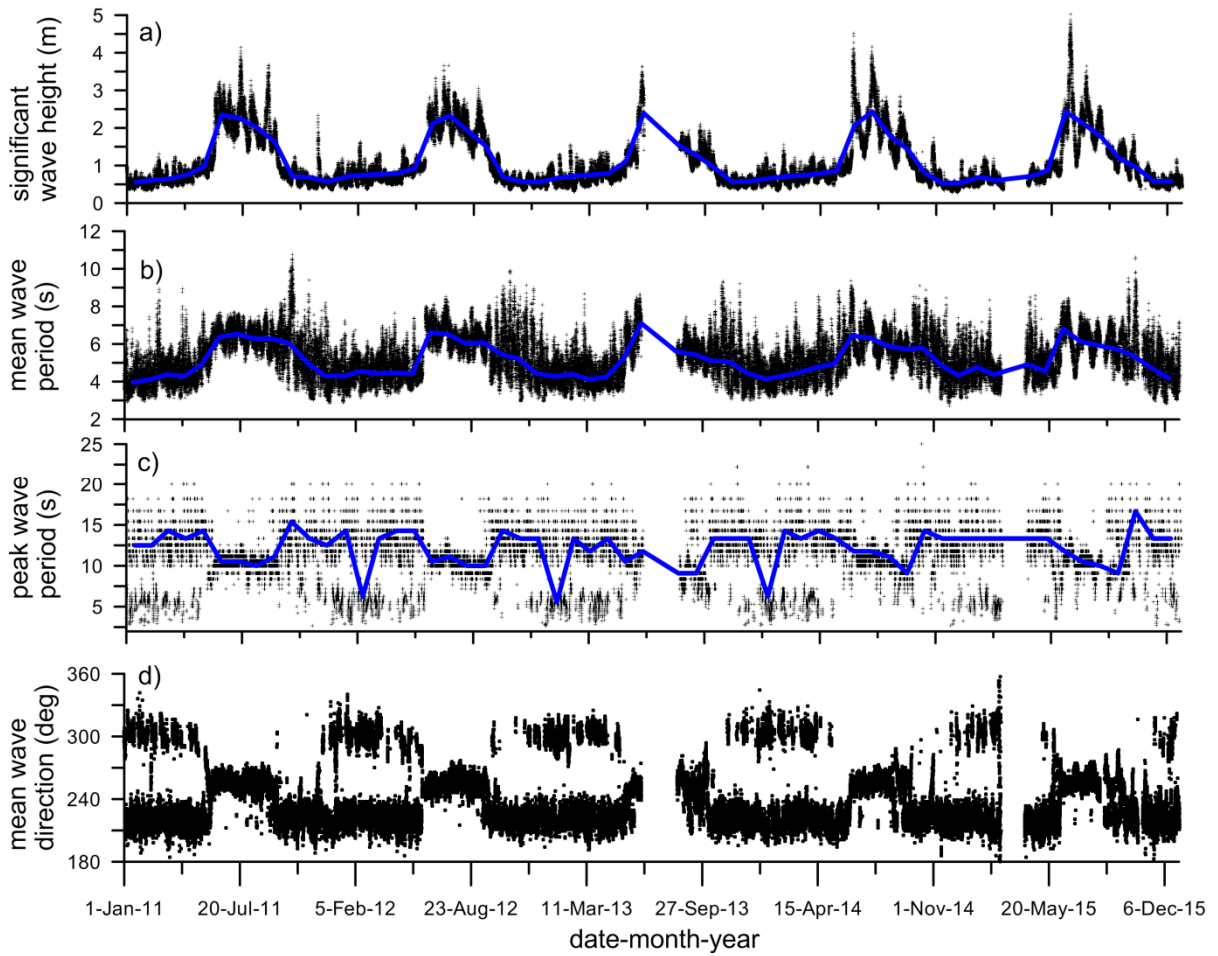
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Figure 1. Study area along with the wave measurement location in eastern Arabian Sea

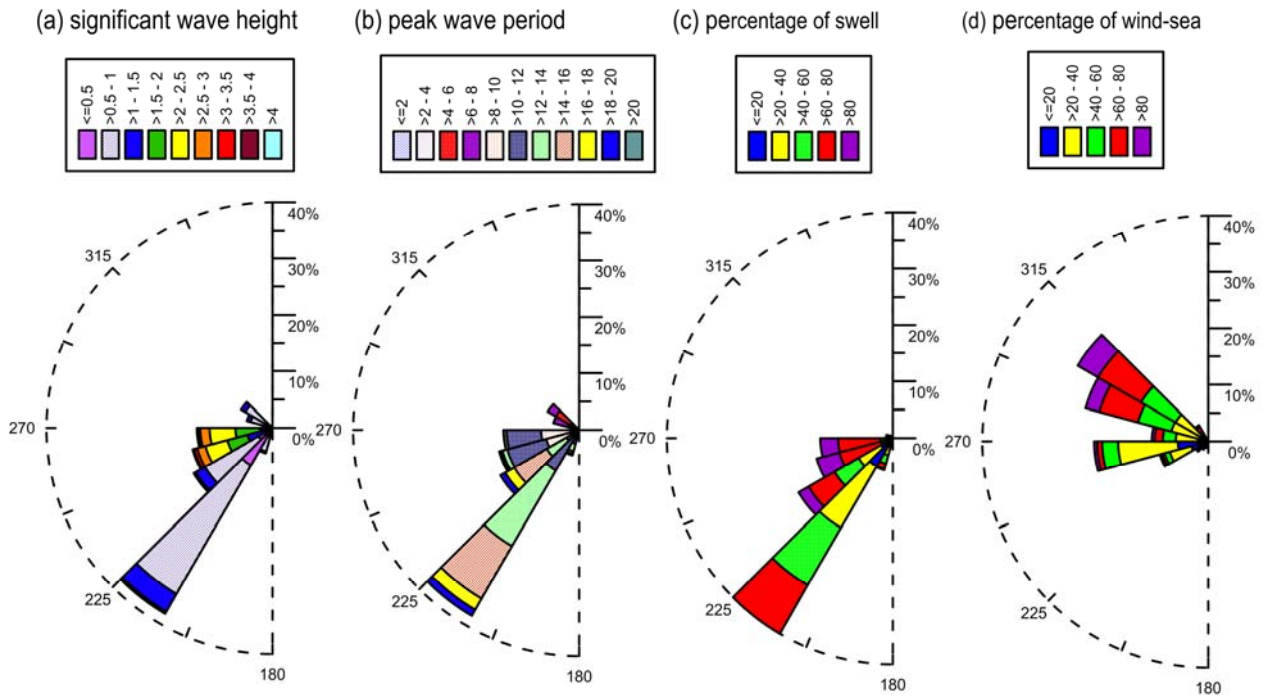
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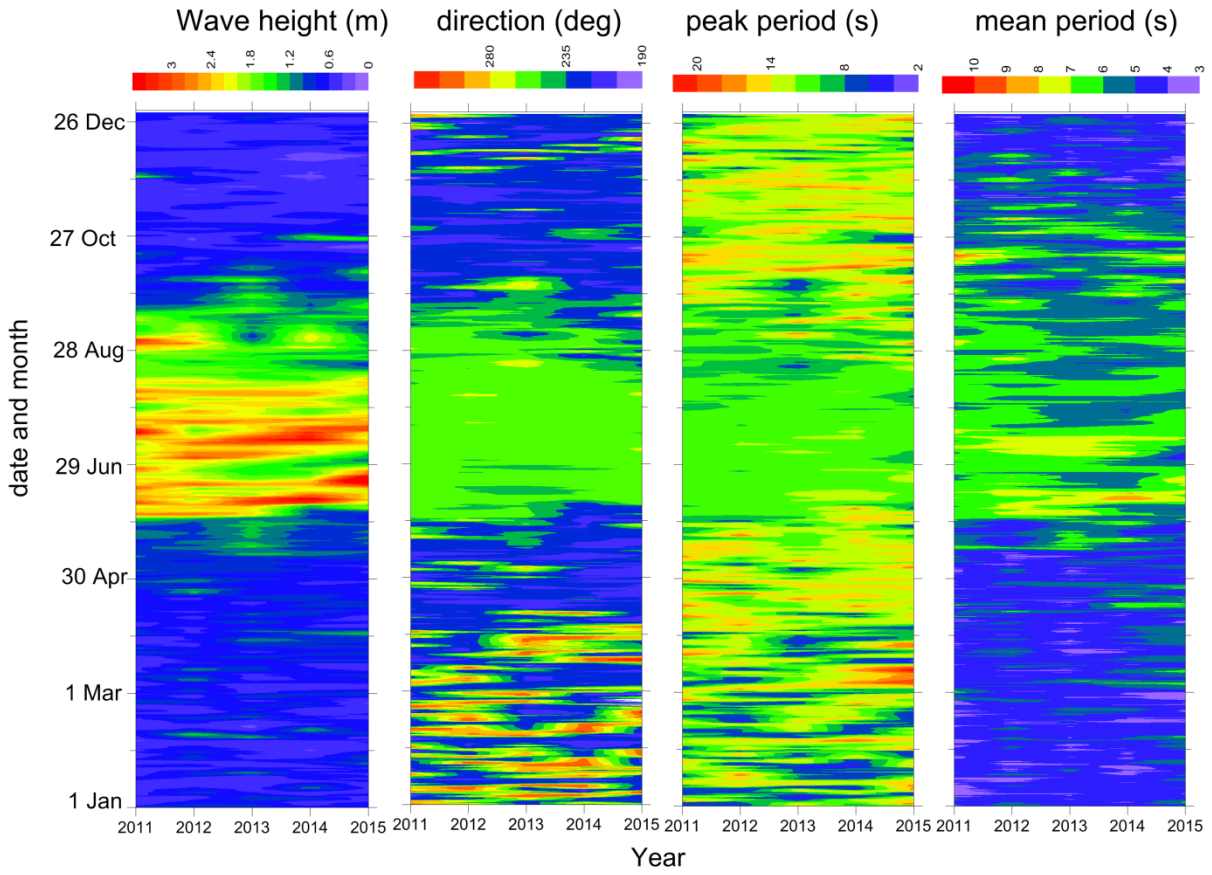
Figure 2. Time series plot of a) significant wave height, b) mean wave period, c) peak wave period and d) mean wave direction from 1 January 2011 to 31 December 2015. Thick blue line indicates the monthly average values





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 616 Figure 3. Wave roses during 2011-2015 (a) significant wave height and mean wave direction, (b)  
 617 peak wave period and mean wave direction, (c) percentage of swell, (d) percentage of wind-sea and  
 618 mean wave direction  
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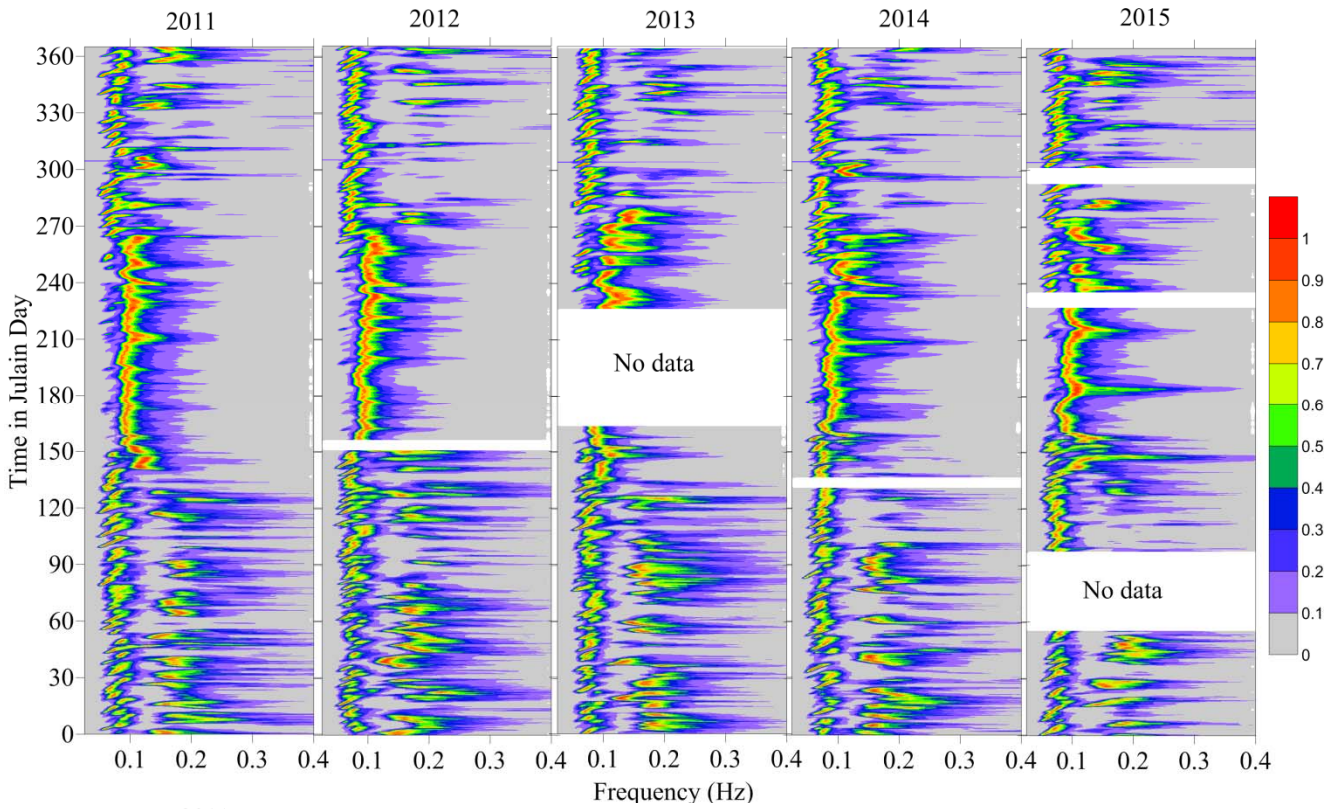
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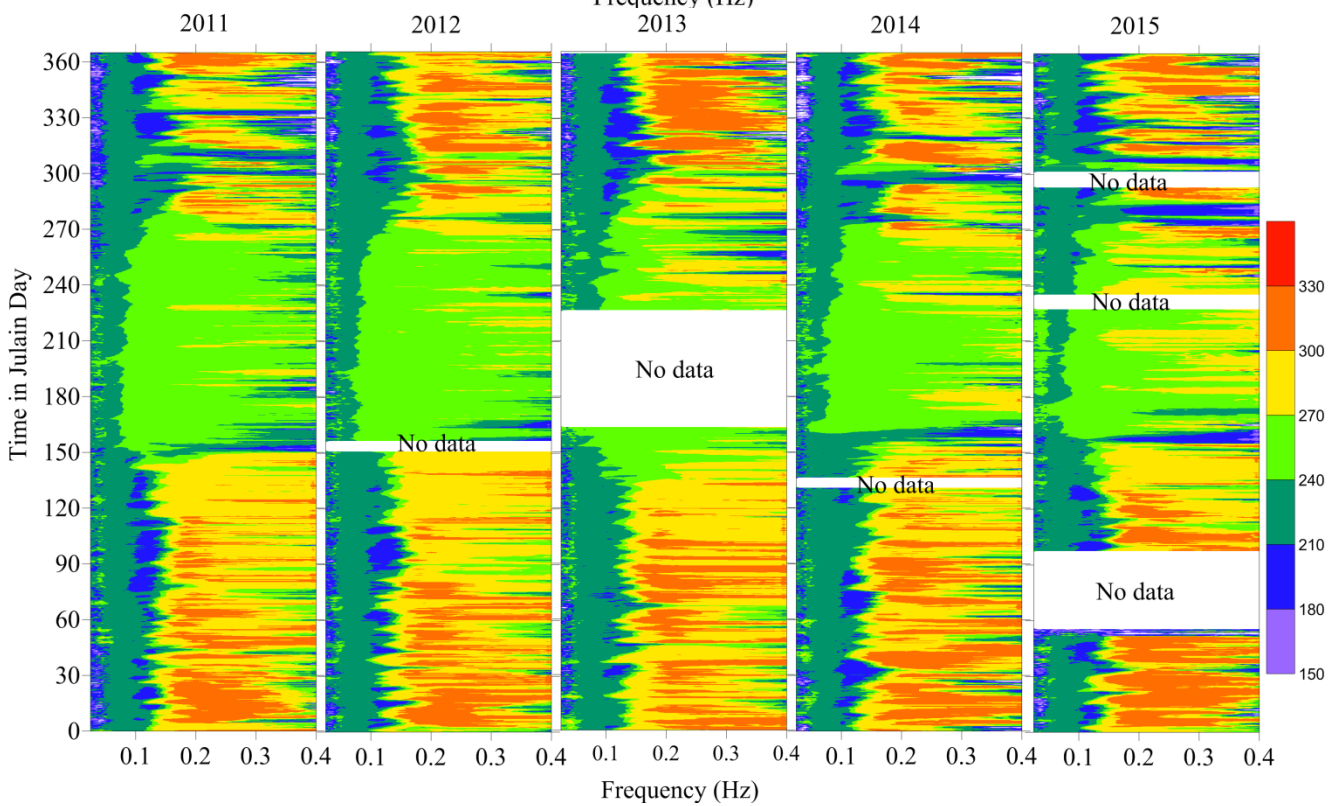
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Figure 4. Date versus year plots of a) significant wave height b) mean wave direction, c) peak wave period and d) mean wave period.

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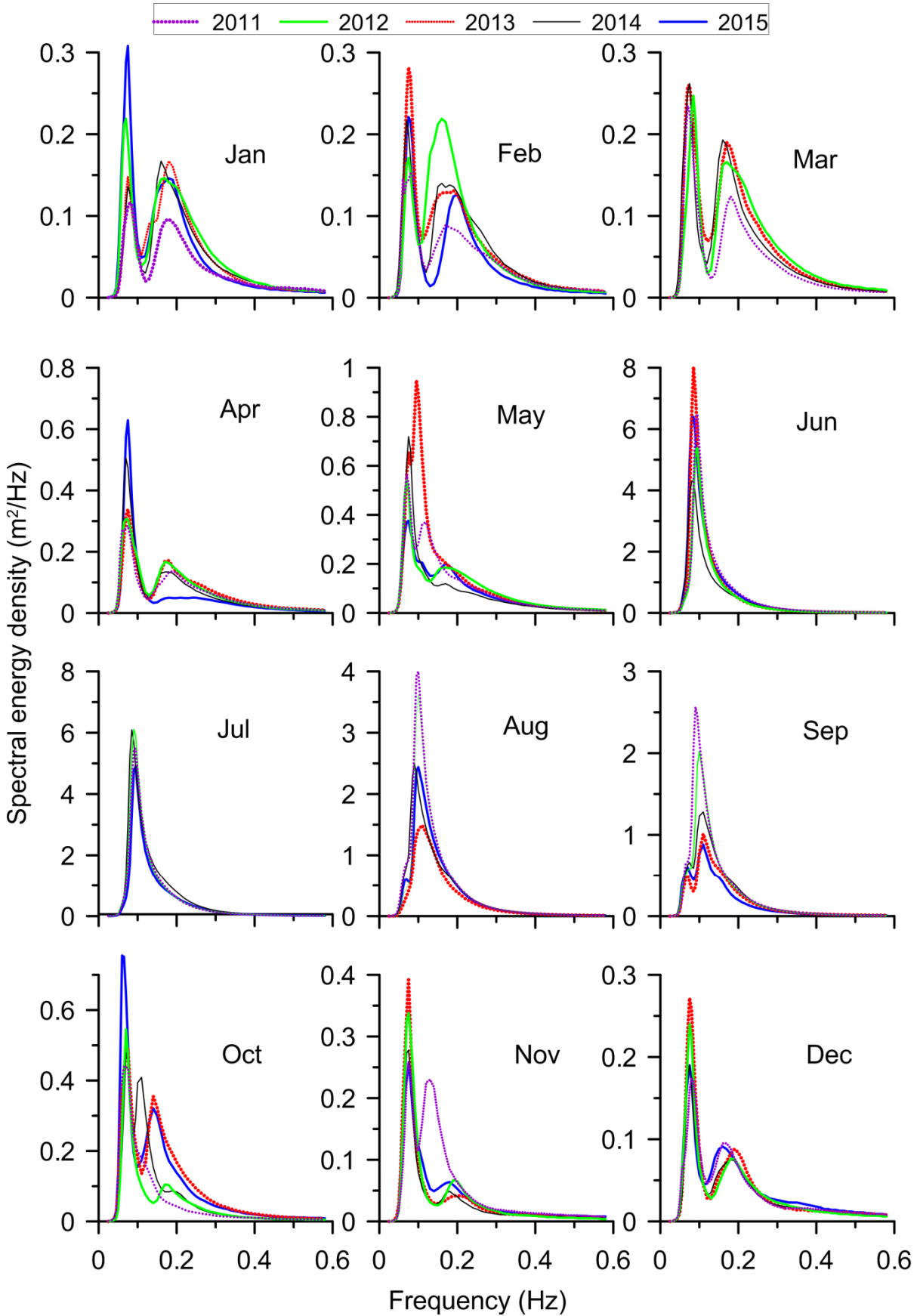


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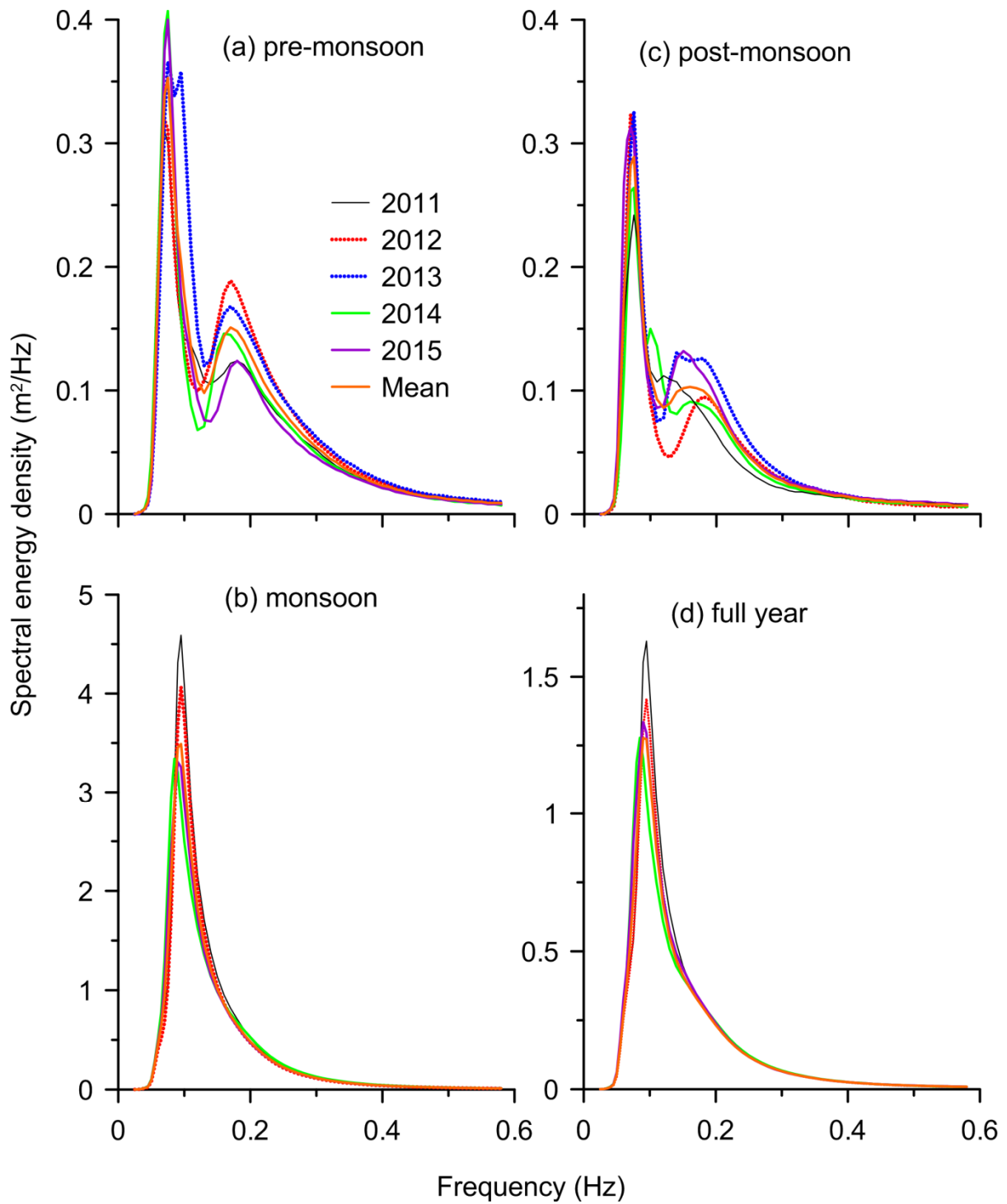
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Figure 5. Temporal variation of normalized spectral energy density (top panel) and mean wave direction (bottom panel) with frequency in different years



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Figure 6. Monthly average wave spectra in 2011 to 2015



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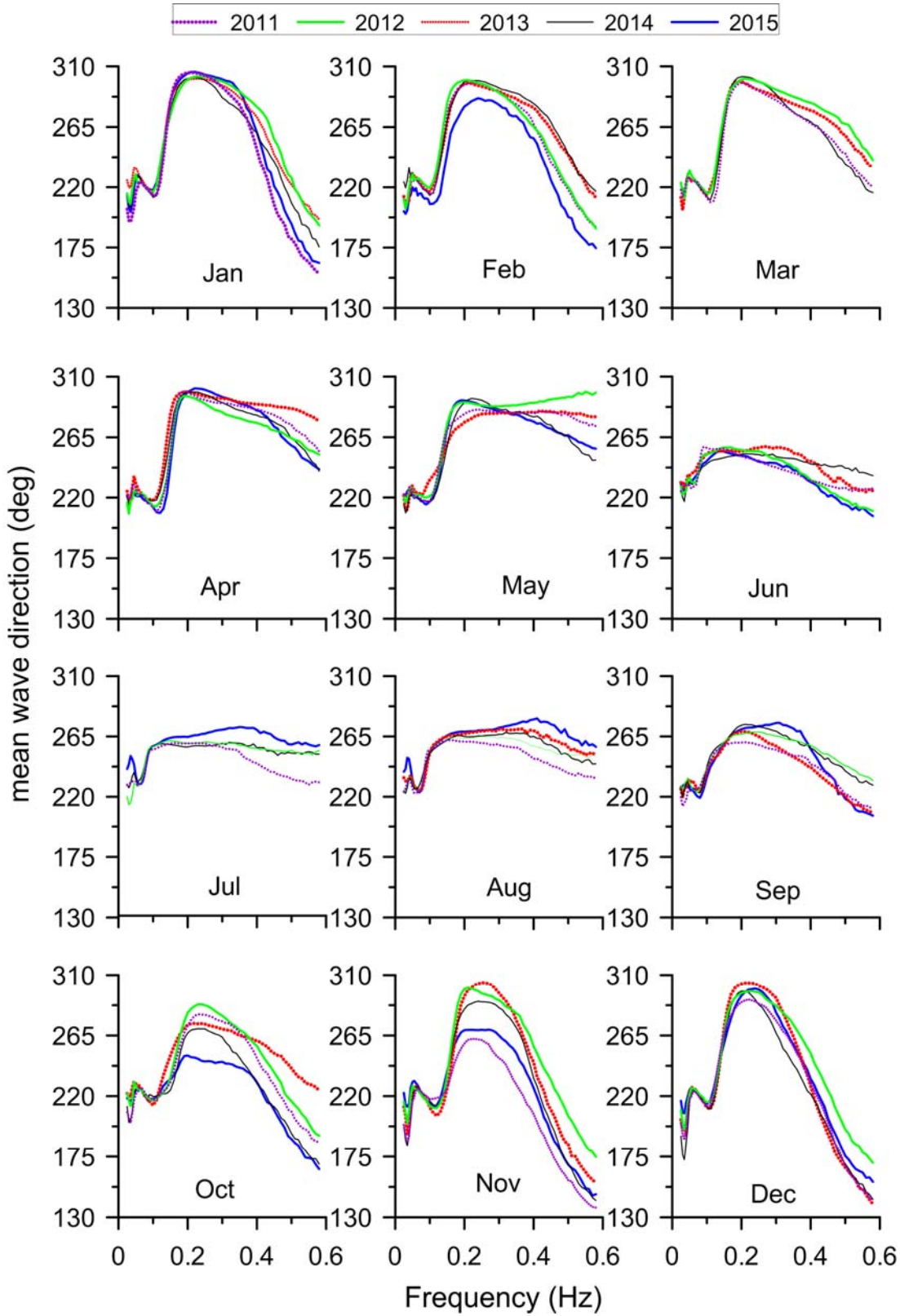
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Figure 7. Wave spectra averaged over a) pre-monsoon (February-May), b) monsoon (June-September), c) post-monsoon (October-January) and d) full year in different years

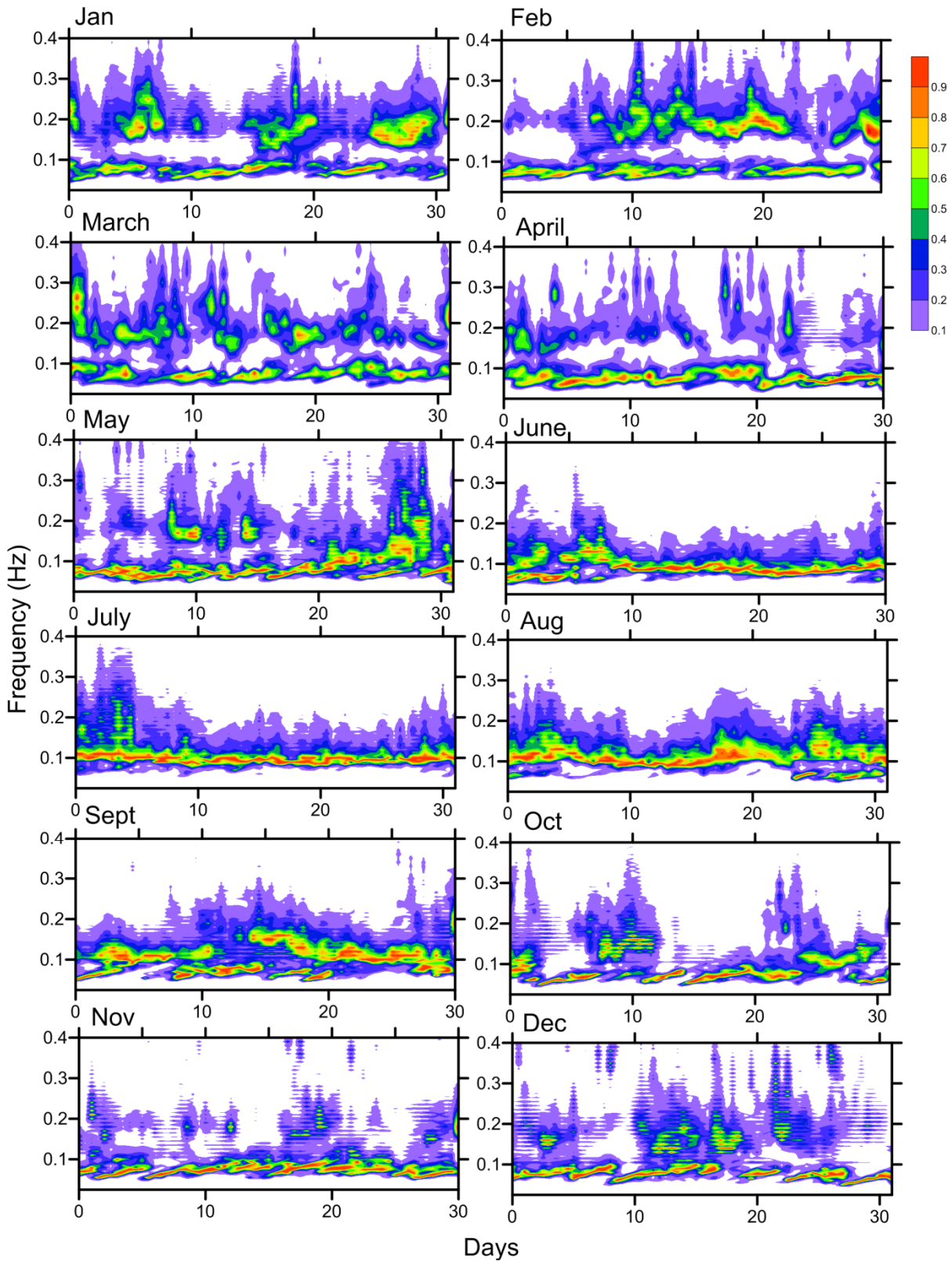
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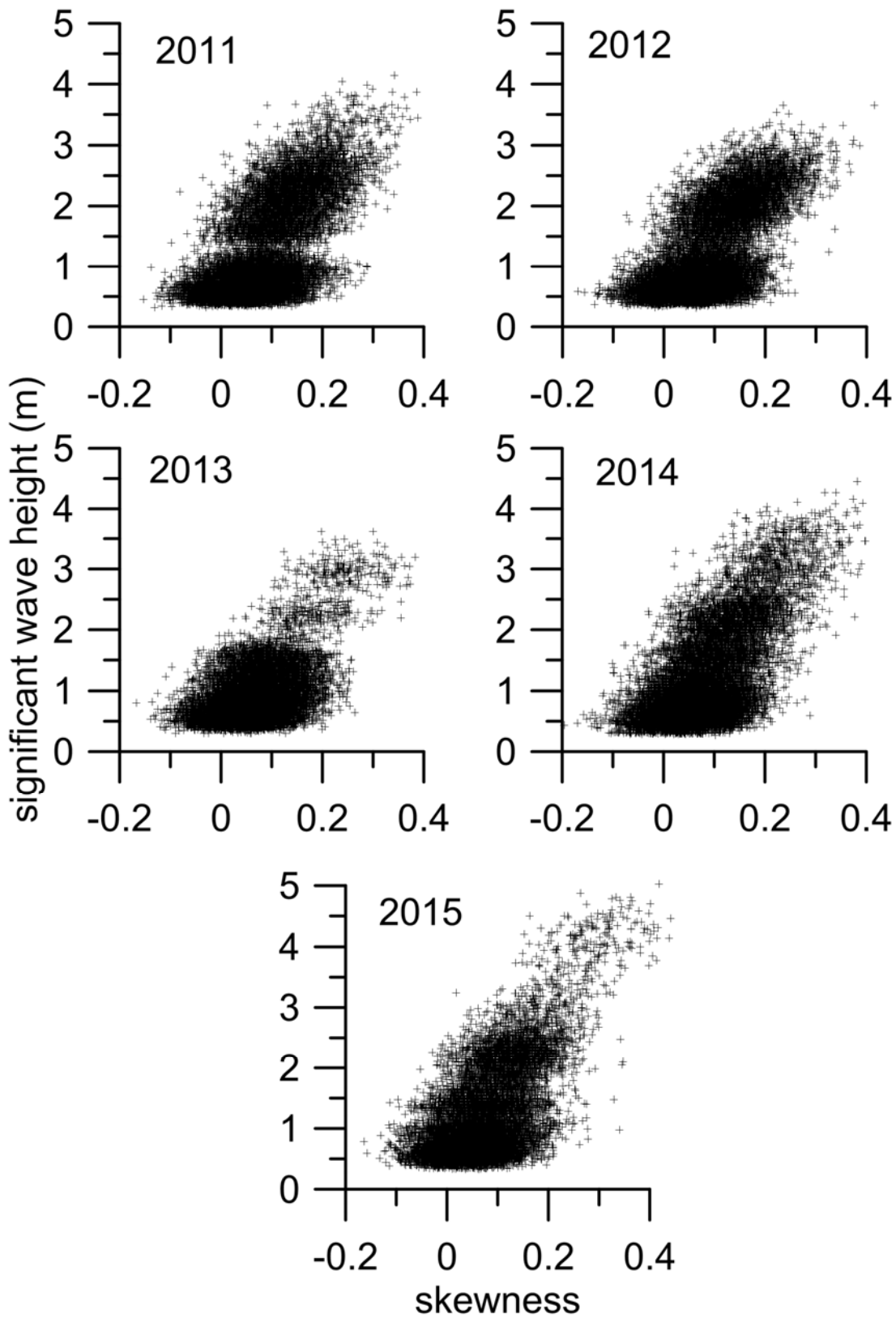


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Figure 8. Monthly average wave direction at different frequencies in different months



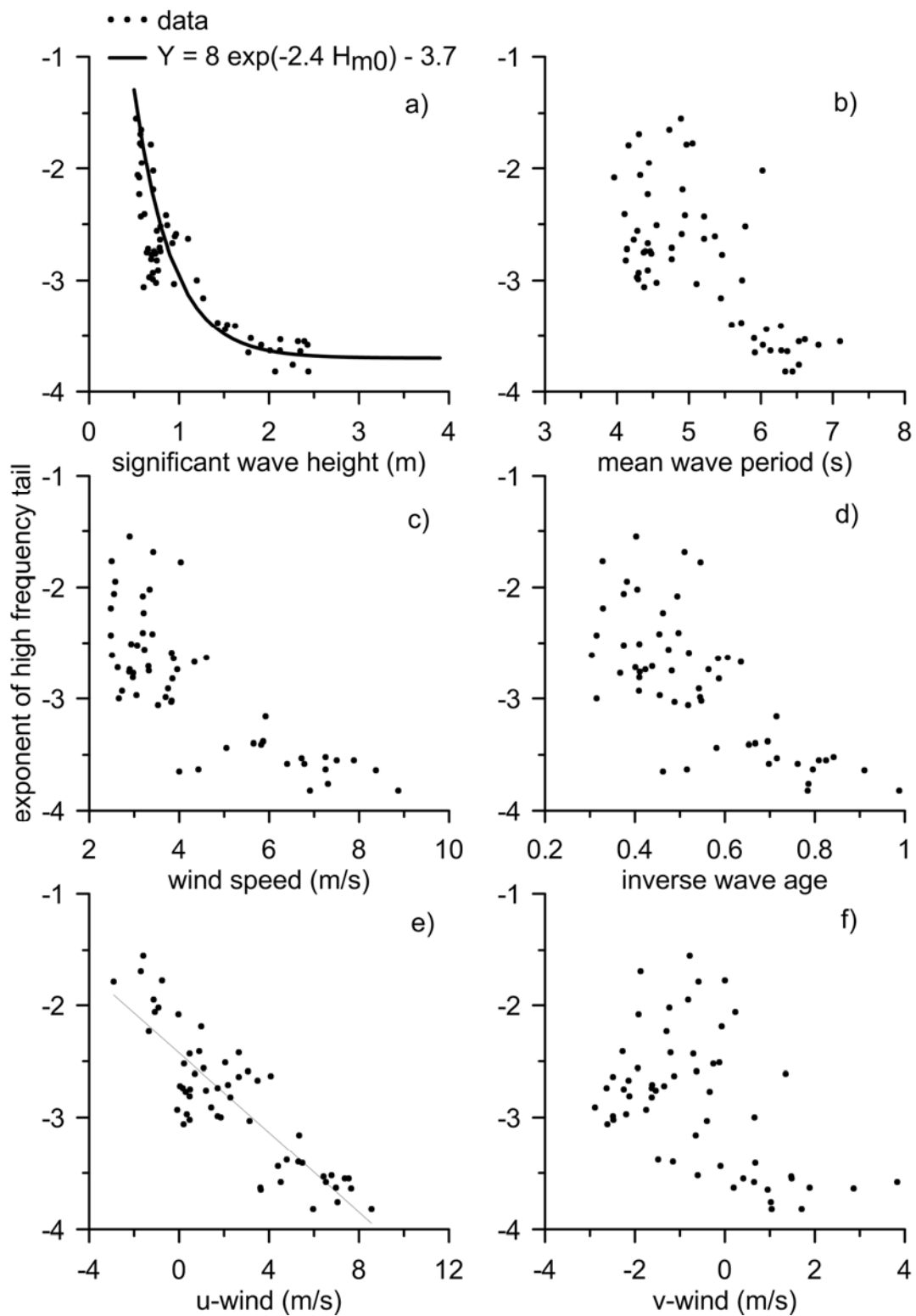
643  
 644 Figure 9. Temporal variation of normalized spectral energy density in different months (data from  
 645 2011 to 2015 used)  
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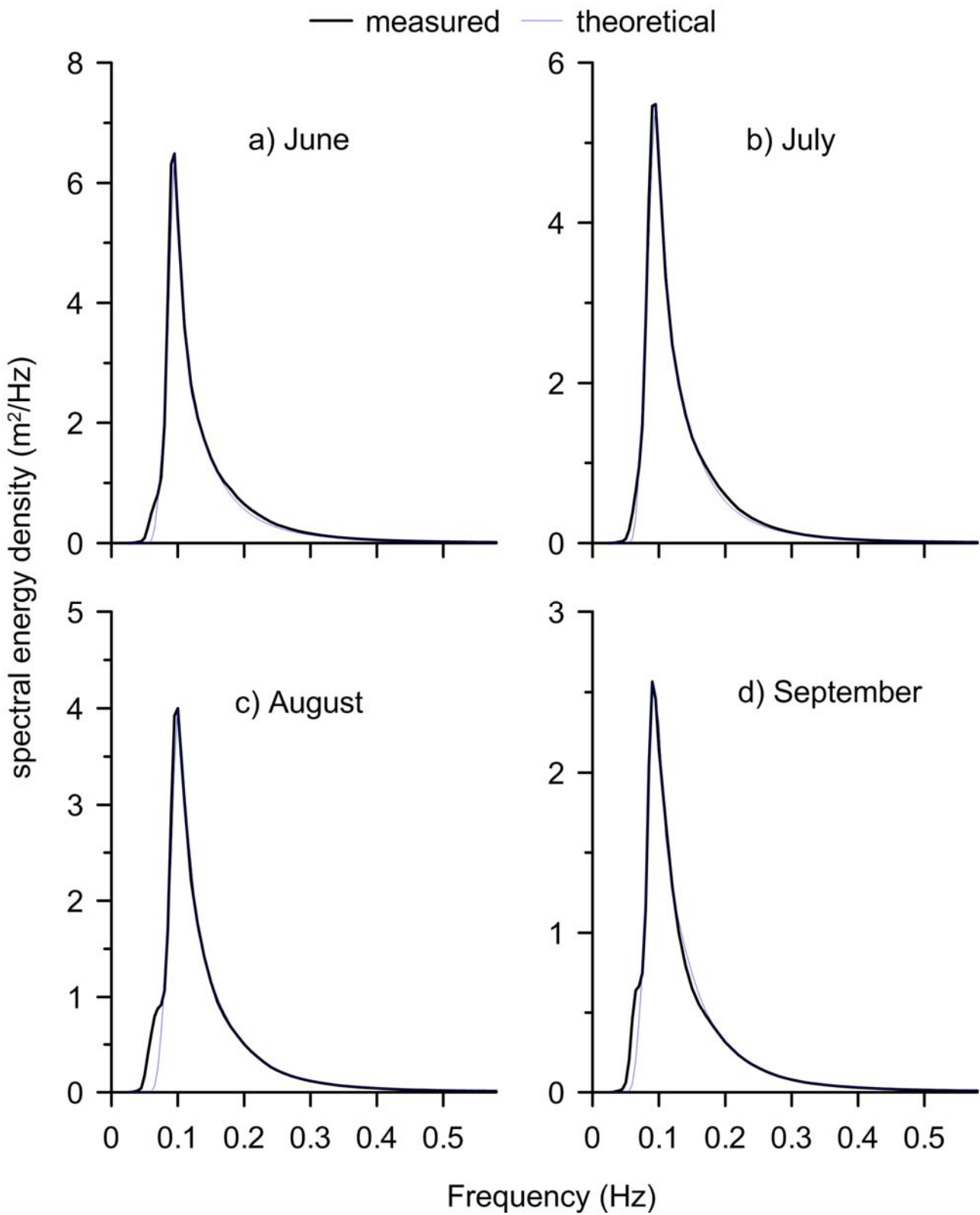
Figure 10. Scatter plot of significant wave height with skewness of the sea surface elevation in different years





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652 Figure 11. Plot of exponent of the high-frequency tail with a) significant wave height b) mean wave  
 653 period, c) wind speed, d) inverse wave age, e) u-wind and f) v-wind



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 655 Figure 12. Fitted theoretical spectra along with the monthly average wave spectra for a) June, b)  
 656 July, c) August and d) September