1	Wave spectral shapes in the coastal waters based on measured data off Karwar, west coast of
2	India
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11	
12	Abstract
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14	Understanding of the wave spectral shapes is of primary importance for the design of
15	marine facilities. In this paper, the wave spectra collected from January 2011 to December 2015 in
16	the coastal waters of the eastern Arabian Sea using the moored directional waverider buoy are
17	examined to know the temporal variations in the wave spectral shape. Over an annual cycle, for-For
18	31.15% of the time, peak frequency is between 0.08 and 0.10 Hz and the significant wave height is
19	also relatively high (~ 1.55 m) for waves in this class. The slope of the high-frequency tail of the
20	monthly average wave spectra is high during the Indian summer monsoon period (June-September)
21	compared to other months and it increases with increase in significant wave height. There is not
22	much interannual variation in slope for swell dominated spectra during the monsoon, while in the
23	non-monsoon period, when wind-seas have much influence, the slope varies significantly. Since the
24	exponent of the high-frequency partslope of the wave spectrum is within the range from -4 to -3-4
25	during the monsoon period, Donelan spectrum shows better fit for the high-frequency part of the
26	wave spectra in monsoon months compared to other months.
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28	Key Words: Ocean surface waves, wind waves, Arabian Sea, wave spectrum, high-frequency tail

### 30 1. Introduction

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32 Information on wave spectral shapes are required for designing the marine structures 33 (Chakrabarti, 2005) and almost all the wave parameters computations are based on the wave spectral function (Yuan and Huang, 2012). The growth of waves and the correspondent spectral 34 shape is due to the complex ocean-atmosphere interactions, while the physics of air-sea interaction 35 36 is not completely understood (Cavaleri et al., 2012). The shape of the wave spectrum depends on the factors governing the wave growth and decay, and a number of spectral shapes have beenare 37 38 proposed in the past for different sea states (see Chakrabarti, 2005 for a review). The spectral 39 shape is maintained by nonlinear transfer of energy through nonlinear four-wave interactions 40 (quadruplet interactions) and white-capping (Gunson and Symonds, 2014). The momentum flux between the ocean and atmosphere govern the high-frequency wave components (Cavaleri et al., 41 42 2012). According to Philips, the equilibrium ranges for low-frequency and high-frequency region is proportional to  $f^5$  and  $f^4$  (where f is the frequency) respectively. Several field studies made since 43 44 JONSWAP (Joint North Sea Wave Project) field campaign revealsreveal an analytical form for wave spectra with the spectral tail proportional to  $f^4$  (Toba, 1973; Kawai et al., 1977; Kahma, 45 1981; Forristall, 1981; Donelan et al., 1985). Usually, there is a predominance of swell fields in 46 47 large oceanic areas, which is due to remote storms (Chen et al., 2002; Hwang et al., 2011; Semedo 48 et al., 2011). The exponent used in the expression for the frequency tail has different values (see Siadatmousavi et al., 2012 for a brief review). For shallow water, Kitaigordskii et al. (1975) 49 suggested  $f^{-3}$  tail, Liu (1989) suggested  $f^{-4}$  for growing young wind-seas and  $f^{-3}$  for fully developed 50 wave spectra. Badulin et al. (2007) suggested  $f^4$  for frequencies where nonlinear interactions are 51 52 dominant. The study carried out at Lake George by Young and Babanin (2006) revealed that in the frequency range  $5f_p < f < 10f_p$ , the average value of the exponent 'n' of -f<sup>n</sup> is close to 4. Whereas, 53 some studies in real sea conditions indicate that high-frequency shape of  $f^4$ -applies up to few times 54 the peak frequency  $(f_n)$  and then decays faster with frequency. The spectra for coastlines in 55 Currituck Sound with short fetch condition showed a decay closer to  $f^5$  when f is greater than two 56 or three times the peak frequency (Long and Resio, 2007). Gagnaire-Renou et al. (2010) found- that 57 58 the energy input from wind and dissipation due to white-capping have a significant influence on the 59 high-frequency tail of the spectrum.

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61 The physical processes in the north Indian Ocean have a distinct seasonal cycle (Shetye et 62 al., 1985; Ranjha et al., 2015)1985) and the surface wind-wave field is no exception (Sanil Kumar et al., 2012). In the eastern Arabian Sea (AS), AS, significant wave height (H<sub>m0</sub>) up to 6 m is 63 measured in the monsoon period (June to September), and during rest of the period,  $H_{m0}$  is 64 65 normally less than 1.5 m (Sanil Kumar and Anand, 2004). Sanil Kumar et al. (2014) observed that 66 in the eastern AS, the wave spectral shapes are different at two locations within 350 km distance, 67 even though the difference in the integrated parameter like  $H_{m0}$  is marginal. Dora and Sanil Kumar (2015) observed that waves at 7-m water depth in the nearshore zone off Karwar are high energy 68 69 waves in the monsoon and low to moderate waves in the non-monsoon period (January to May and 70 October to December).- Dora and Sanil Kumar (2015) study shows similar contribution of wind-71 seas and swells during the pre-monsoon (February to May), while swells dominate the wind-sea in 72 the post-monsoon (October to January) and the monsoon period. A study was carried out by Glejin 73 et al. (2012) to find the variation in wave characteristics along the eastern AS and the influence of swells in the nearshore waves at 3 locations during the monsoon period in 2010. This study shows 74 75 that the percentage of swells in the measured waves was 75 to 79% at the locations with higher 76 percentage of swells in the northern portion of AS compared to that at the southern side. Wind and 77 wave data measured at a few locations along the west coast of India for short-period, one to two 78 months as well as the wave model results were analysed to study the wave characteristics in the 79 deep as well as nearshore regions during different seasons (Vethamony et al., 2013). From the wave 80 data collected for two years period (2011 and 2012) along the eastern AS, the swells of period more 81 than 18 s and significant wave height less than 1 m which occur for 1.4 to 3.6% of the time were separated and their characteristics were studied by Glejin et al. (Earlier2016). Anjali Nair and Sanil 82 83 Kumar (2016) presented the daily, monthly, seasonal and annual variations in the wave spectral 84 characteristics for a location in the eastern AS and reported that over an annual cycle, 29 % of the 85 wave spectra are single-peaked spectra and 71 % are multi-peaked spectra. Recently Amrutha et al. (2017) by analysing the measured wave data in October reported that the high waves (significant 86 wave height > 4 m) generated in an area bounded by 40-60° S and 20-40° E in the south Indian 87 Ocean reached the eastern AS in 5-6 days and resulted in the long-period waves. The earlier studies 88 89 indicate that the spectral tail of the high-frequency part shows large variation and its variation with 90 seasons are not known. Similarly, the shape of the parametric spectra are also different and hence it 91 is important to identify the spectral shapes based on the measured data covering all the seasons and 92 different years.

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94 The previous discussion above shows that there is a strong inspiration to study the high-95 frequency tail of the wave spectrum. For the present study, we used the directional waverider buoy measured wave spectral data at 15-m water depth off Karwar, west coast of India, over 5 years 96 97 during 2011 to 2015 and evaluated the nearshore wave spectral shapes in different months. This study addresses two main questions: (1) How the high-frequency tail of the wave spectrum varies in 98 99 different months, and? (2) What are the spectral parameters for the best-fit theoretical spectra...? 100 This paper is organized as follows: the study area is introduced in section 2, details of data used and 101 methodology in section 3. Section 4 presents the results of the study and the conclusions are given 102 in section 5.

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# 104 **2. Study area**

106 The coastline at Karwar is 24° inclined to the west from the north, and the 20 m depth 107 contour is inclined 29° to the west. Hence, large waves in the nearshore will have an incoming 108 direction close to 241°, since waves get aligned with the depth contour due to refraction. At 10, 30 109 and 75 km distance from -Karwar, the depth contours of - 20, 50 and 100 m are present (Fig. 1). The 110 study region is under the seasonally reversing monsoon winds, with winds from the northeast 111 during the post-monsoon and from the southwest during the monsoon period. The monsoon winds 112 are strong and the total seasonal rainfall is 280 cm. The average monthly sea level at Karwar varies 113 from 1.06 m (in September) to 1.3 m (in January) with respect to chart datum and the average tidal 114 range is 1.58 m during spring tides and 0.72 m during neap tides (Sanil Kumar et al., 2012).

## 115

### 116 **3.** <u>DataMaterials</u> and methods

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The waves off Karwar (14° 49' 56" N and 74° 6' 4" E) wereare measured using the directional waverider buoy (DWR-MKIII) (Barstow and Kollstad, 1991). Measurements are carried out from 1 January 2011 to 31 December 2015. The data of heave and two translational motion of for every 30 minutes from the buoy are sampled continuous records at 3.84 Hz. A digital high-pass filter with a cut off at 30 s is applied to the 3.84 Hz samples. At the same time it converts the sampling rate to 1.28 Hz and stores the time series data at 1.28 Hz are processed as one record. From the time series data for 200s, the wave spectrum is obtained through a fast Fourier transform

125	(FFT). During half an hour 8 wave spectra of a 200 s data interval each are collected and averaged
126	to get a representative wave spectrum for half an hour (Datawell, 2009). The wave spectrum is with
127	a resolution of 0.005 Hz from 0.025 Hz to 0.1 Hz and is 0.01 Hz from 0.1 to 0.58 Hz. Bulk wave
128	parameters; significant wave height (H <sub>m0</sub> ) which equals $4\sqrt{m_o}$ and mean wave period (T <sub>m02</sub> ) based
129	on second order moment, which equals $\sqrt{m_0/m_2}$ ) are obtained from the spectral moments. Where
130	$m_n$ is the n <sup>th</sup> order spectral moment ( $m_n = \int_0^\infty f^n S(f) df$ , n=0 and 2), S(f) is the spectral energy
131	density and f is theat frequencyf. The spectral peak period (Tp) is estimated from the wave
132	spectrum and the peak wave direction (Dp) is estimated based on circular moments (Kuik et al.,
133	1988). The wind-seas and swells are separated through the method described by Portilla et al.
134	(2009) and the wind-sea and the swell parameters are computed by integrating over the respective
135	spectral parts. Measurements reported here are in Coordinated Universal Time (UTC), which is
136	05:30 h behind the local time. $U_{10}$ is the wind speed at 10-m height obtained from reanalysis data of
137	zonal and meridional components at 6 hourly intervals from NCEP / NCAR (Kalnay et.al., 1996)
138	and is used to study the influence of wind speed on the spectral shape
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Since the frequency bins over which the wave spectrum estimated is same in all years, the
 monthly and seasonally averaged wave spectrum is computed by taking the average of the spectral
 energy density at the respective frequencies of each spectrum over the specified time.

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144 Wave spectrum continues to develop through non-linear wave-wave interactions even for 145 very long times and distances. Hence, most of the wave spectrum is not fully developed and cannot 146 be represented by Pierson-Moskowitz (PM) spectrum (Pierson and Moskowitz, 1964). Accordingly, 147 an additional factor was added to the PM spectrum in order to improve the fit to the measured 148 spectrum. The JONSWAP spectrum (Hasselmann et al., 1973) is thus a PM spectrum multiplied by an extra peak enhancement factor  $-\gamma$ . The high-frequency tail of the JONSWAP spectrum decays in 149 a form proportional to  $f^{5}$ . A number of studies reported that high-frequency decay is by a form 150 proportional to f<sup>4.</sup> Modified JONSWAP spectrum including Toba's formulation of saturation range 151 152 was proposed by Donelan et al. (1985). The JONSWAP and Donelan spectrum used in the study 153 are given in eqns. (1) and (2).

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$$S(f) = \frac{\alpha g^{2}}{(2\pi)^{4} f^{5}} \exp\left[-\frac{5}{4}\left(\frac{f}{f_{p}}\right)^{-4}\right] \gamma^{\exp\left[-\frac{(f-f_{p})^{2}}{2\sigma^{2} f_{p}}\right]} \qquad (1)$$
155 
$$S(f) = \frac{\alpha g^{2}}{(2\pi)^{4} f^{4} f_{p}} \exp\left[-\left(\frac{f}{f_{p}}\right)^{-4}\right] \gamma^{\exp\left[-\frac{(f-f_{p})^{2}}{2\sigma^{2} f_{p}^{2}}\right]} \qquad (2)$$

156 Where  $\gamma$  is the peak enhancement parameter;  $\alpha$  is Philip's constant; f is the wave frequency; g is the 157 gravitational acceleration -and  $\sigma$  is the width parameter.

 $158 \quad \sigma = \begin{cases} 0.07, \ \mathbf{f} < \mathbf{f}_{p} \\ 0.09, \ \mathbf{f} \ge \mathbf{f}_{p} \end{cases}$ 

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An exponential curve y = k.t<sup>b</sup> is fitted for high-frequency part of the spectrum and the
 exponent (value of b) is estimated for the best fitting curve based on statistical measures such as
 least square error and bias. The slope of the high-frequency part of the wave spectrum is
 represented by the exponent of the high-frequency tail.

165 For the present study, JONSWAP spectrum is tested by fitting for the whole frequency 166 range of the measured wave spectrum. It is found out that the JONSWAP spectra do not show a 167 good fit for higher frequency range, whereas Donelan spectrum shows better fit for the high-168 frequency range. Hence, JONSWAP spectrum is used for the lower frequency range up to spectral 169 peak and Donelan spectrum is used for the higher frequency range from the spectral peak for 170 single-peaked wave spectrum. Theoretical wave spectra are not fitted to the double-peaked wave 171 spectra. 172 173 4. **Results and discussions** 174 175 4.1 Bulk wave parameters

Mostly the wave conditions ( $\sim$  75%) at the buoy location are intermediate and shallow-

water waves (where water depth is less than half the wavelength, d < L/2), this condition is not satisfied during ~ 25% of the time due to waves with mean periods of 4.4 s or less. This study,

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180 therefore, deals with shallow, intermediate and deepwater wave climatology. Hence, bathymetry

181 <u>will significantly influence the wave characteristics.</u>

182 183 The persistent monsoon winds generate choppy seas with average wave heights of 2 m and 184 mean wave period of 6.5 s. Fig. 2 shows that in In the monsoon, the observed waves had a 185 maximum H<sub>m0</sub> of about 5 m, with H<sub>m0</sub> of 2-2.5 m more common during this period. The and the 186 maximum H<sub>m0</sub> measured during the study period is on 21 June 2015 17:30 UTC (Fig. 2a). Mean 187 wave periods  $(T_{m02})$  at the measurement location ranged from 4-8 s. (Fig. 2b). Wave direction 188 during monsoon is predominantly from the west due to refraction towards the coast. The fluctuation in  $H_{mo}$  due to the southwest monsoon is seen in all the years (Fig. 2a). High waves ( $H_{m0} > 2$  m) 189 during 27-29 November 2011 areis due to the deep depression ARB04 formed in the AS. Arabian 190 191 Sea (AS). During the study period, the annual average  $H_{m0}$  is same (~1.1 m) in all the years (Table 192 1). In 2013, the data during August could not be collected and hence resulted in lower annual average  $H_{m0}$ . Over the 5 years, small waves ( $H_{m0} < 1$  m) account for a large proportion (63.94%) of 193 measured data and only during 0.16% of the time,  $\mathrm{H}_{m0}$  exceeded 4 m (Table 2). The 25<sup>th</sup> and 75<sup>th</sup> 194 195 percentiles of the H<sub>m0</sub> distribution over the entire analysis period are 0.6 and 1.4 m.

<u>Waves with low heights</u> <u>Small waves</u>  $(H_{m0} < 1 m)$  are with the mean period in a large 197 198 range (2.7-10.5 s), whereas high waves ( $H_{m0} > 3m$ ) have mean wave period in a narrow range (6.1-199 9.3 s) (Table 2). For waves with  $H_{m0}$  higher-more than 3 m, the Tp never exceeded 14.3 s and for 200 waves with  $H_{m0}$  less than 1 m, Tp up to 22.2 s are observed (Fig. 2c) and the long period swells 201 (14-20 s) are with  $H_{m0} \le 2.5$  m. Around 7% of the time during 2011-2015, waves have peak period 202 more than 16.7 s (Table 3). Peak frequencies frequency between 0.08 and 0.10 Hz, equivalent to a 203 peak wave period of 10 - 12.5 s are below observed in 31.15% of the time and the  $H_{m0}$  is also relatively 204 high (~ 1.55 m) for waves in this class. During the annual cycle, the wave climate is dominated by 205 low waves  $(0.5 > H_{m0} > 1m)_{\tau}$  intermediate-period (Tp ~10-16s) south-westerly swell. Waves from 206 the northwest are with Tp less than 8 s (Fig. 3).

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The wave roses during 2011-2015 indicate that around 38% of the time during the period 209 2011 to 2015, the predominant wave direction is SSW (225°) with long period (14 - 18s) and 210 intermediate period (10 - 14s) waves (Fig. 3). A small percentage of long-period waves having  $H_{m0}$ 211 more than 1m are observed from the same direction in which more than 80% are swells (Fig. 3c). Formatted: English (United States)
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Intermediate period waves observed having  $H_{m0}$  less than 1m, contain 20 - 60% of swells. Around 10-15% of the waves observed during the period are from the west, which includes intermediate and short period waves with  $H_{m0}$  varying \_from 1.5 to 3m. These intermediate period waves from west having  $H_{m0}$  between 2.5 - 3m contain more than 80% of swells. Waves from NW are short period waves with  $H_{m0}$  between 0.5 and 1.5; in which swell percentage is very less showing the influence of wind-sea generated by sea breeze (Fig. 3d). High waves observed in the study area consists of more than 80% swells.

220 Date versus year plots of significant wave height (Fig. 4) shows that H<sub>m0</sub> has its maximum 221 values  $(H_{m0}>3m)$  during the monsoon period with a wave direction of WSW and peak wave period 222 of 10 - 12s (intermediate period). The mean wave period shows its maximum values (6 - 8s) during the monsoon period. During January–May in all the years,  $H_{m0}$  is low ( $H_{m0} < 1m$ ) with waves from 223 SW, W and NW directions. NW waves observed are the result of strong sea breezes existing during 224 this period. Both long-period (Tp > 14s), intermediate-period (10 < Tp < 14s) and short-period (Tp225 226 < 8s) waves are observed during this period and hence, the mean wave period observed is low 227 compared to the monsoon (Fig. 4d). During October to December, similar to the pre-monsoon 228 period,  $H_{m0}$  observed is less than 1m, but <u>the</u> wave direction is predominantly from SW and W, with 229 least NW waves. Short period waves are almost absent during this period, and the condition is 230 similarsame for all the years. The interannual variations in  $H_{m0}$  are less than 15% (Fig. 4). Primary 231 seasonal variability in waves is due to the monsoonal wind reversal. During January-March, there is 232 a shift -in the occurrences of northwest swells.

#### Most<u>4.2 Wave spectrum</u>

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236	of the wave conditions (- 75%) are to be intermediate and shallow water waves at the buoy
237	location (where water depth is less than half the wavelength, $d < L/2$ ), this condition is not satisfied
238	during 25% of time due to waves with mean periods of 4.4 s or lessThis study, therefore, deals
239	with shallow, intermediate and deepwater wave elimatology. Hence, bathymetry will significantly
240	influence the wave characteristics,
241	<u>+</u>
242	Normalisation of the wave spectrum is done to know the spread of energy in different
243	frequencies. Since the range of maximum spectral energy density in a year is large (~ 60 m <sup>2</sup> /Hz),
244	each wave spectrum is normalised through dividing the spectral energy density by the maximum
245	spectral energy density of that spectrum. 4.2 Wave spectrum

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The normalized wave spectral energy density contours are presented for different years to 247 248 know the wind-sea/swell predominance (Fig. 5). The predominance of both the wind-seas and 249 swells are observed in the non-monsoon period, whereas in the monsoon only swells are predominant (Fig. 5). The separation of swells and wind-seas indicates that over<del>Over</del> an annual 250 cycle, around 54% of the waves are due to swells. Glejin et al. (2012) reported that the dominance 251 of swells during monsoon is due to the fact that even though the wind at the study region is strong 252 253 during monsoon, the wind over the entire AS also will be strong and when these swells are added to the wave system at the buoy location, the energy of the -swell increases (Donelan, 1987) and will 254 255 result in dominance of swells. The spread of spectral energy to higher frequencies (0.15 to 0.25 Hz) is predominant during January-May (Fig. 5) due to sea-breeze in the pre-monsoon period (Neetu et 256 al., 2006; Dora and Sanil Kumar, 2015). In the monsoon during the wave growth period, the 257 spectral peak shiftsshifted from 0.12-0.13 Hz to 0.07-0.09 Hz (lower frequencies). 258

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An interesting phenomenon is that the long-period (> 18 s) swells are present for 260 261 2.5% of the time during the study period. The buoy location at 15 m water depth is exposed to waves from northwest to south with the nearest landmass at  $\sim 1500$  km in the northwest (Asia),  $\sim$ 262 263 2500 km in the west (Africa),  $\sim$  4000 km in the southwest (Africa) and  $\sim$  9000 km in the south 264 (Antarctica) (Amrutha et al., <u>2016).2017)</u>. Due to its exposure to the Southern Oceans and the large 265 fetch available, swells are present all year round in the study area and the swells are dominant in the non-monsoon (Glejin et al., 2013). Throughout the year, waves with period more than 10 s (the 266 267 low-frequency (< 0.1 Hz) waves) are the southwest swells whereas with seasons the direction of 268 short-periodhigh frequency waves changes (Fig. 5). Amrutha et al. (2017)(2016) reported that the 269 long-period waves observed in the eastern AS are the swells generated in the south Indian Ocean. 270 In the monsoon season, the waves with high-frequency are predominantly from west-southwest, 271 whereas in the non-monsoon they are from the northwest. In the non-monsoon period, the 272 predominance of wind-seas and swells fluctuated and hence the mean wave direction also changed 273 frequently (Fig. 5). The average direction of waves with  $H_{m0} < 1m$  shows the northwest wind-seas and the southwest swells, whereas, for high waves ( $H_{m0} > 3m$ ), the difference between the swell 274 275 and wind-sea direction decreases. This is because the high waves get aligned to the bottom contour 276 before 15 m water depth on its approach to the shallow water, 277



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The interannual changes of wave spectral energy density for different months in the period 278 279 2011-2015 are studied by computing the monthly average wave spectra for all the years (Fig. 6). InExcept during the non-monsoon period, the wave spectra observed is double-peaked, indicating 280 the presence of wind-seas and swells, whereas during the monsoon, due to the strong southwest 281 winds, single peaked spectrum is observed, i.e. the swell peak with low-frequency and high spectral 282 energy density. Along the Indian coast, Harish and Baba (1986), Rao and Baba (1996) and -Sanil 283 284 Kumar et al. (2003) found out that wave the spectra are generally multipeaked and that the double peaked wave spectra are more frequent during low-sea states (Sanil Kumar et al., 2004). Sanil 285 286 Kumar et al. (2014), Sanil Kumar and Anjali (2015) and Anjali and Sanil Kumar (2016) have also observed that double-peaked spectrum in the monsoon period in the eastern AS are due to the 287 288 locally generated wind-seas and the south Indian Ocean swells. In the study area, from From 289 January to May and October to December, the swell peak is between the frequencies 0.07 and 0.08 Hz  $(12.5 < Tp < 14.3s), \frac{14.28s}{14.28s}$ , but in the monsoon period, the swell peak is around 0.10 Hz, in all 290 291 the years studied. This shows long-period swells (Tp > 13s) in the non-monsoon period and 292 intermediate period swells (8 < Tp < 13s) in the monsoon. Glejin et al. (2016), also observed the 293 presence of low-amplitude long-period waves in the eastern AS in the non-monsoon period and 294 intermediate period waves in the monsoon period. This is because of the propagation of swells from 295 the southern hemisphere is more visible during the non-monsoon period due to the calm conditions 296 (low wind-seas) prevailing in the eastern AS. Whereas during the monsoon period, these swells are 297 less due to the turbulence in the north Indian Ocean (Glejin et al., 2013). Large interannual 298 variations are observed for monthly average wave spectrum in all months except in July. This is 299 because July is known to be the roughest month over the entire annual cycle and southwest 300 monsoon reaches its peak during July. Hence, the influence of temporally varying wind-seas on the 301 wave spectrum is least during July compared to other months. DucMaximum spectral energy 302 observed is during June 2013 due to the early onset (on 1 June) and advancement of monsoon during 2013 compared to other years, the monthly average value of the maximum spectral energy is 303 304 observed in June 2013 (Fig. 6), years. The wave spectra of November 2011 is distinct from that of 305 other years, with low wind-sea peak frequency, i.e. 0.13 Hz due to the deep depression ARB04, 306 occurred south of India near Cape Comorin, during 26 November-1 December, with a sustained 307 wind speed of 55 km/h. During October 2014, the second peak is observed at 0.11 Hz with 308 comparatively high energy showing the influence of cyclonic storm NILOFAR. It is an extremely 309 severe cyclonic storm that occurred during the period 25-31 October 2014, originated from a low310 pressure area between Indian and Arabian Peninsula, with the highest wind speed of 215 km/h and 311 affected the areas of India, Pakistan and Oman. Significant interannual variation is observed in the 312 wind-sea peak frequency. Wave spectra averaged over each season (Fig. 7) shows that the 313 interannual variations in energy spectra averaged over full year period almost follows the pattern of wave spectra averaged over monsoon period, spectra, indicating the strong influence of monsoon 314 winds over the wave energy spectra in the study area. Interannual variations within the spectrum 315 316 are more for wind-sea<u>regions</u> compared to swell region.s. During the study period, the maximum 317 spectral energy observed is during 2011 monsoon.

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319 For different frequencies, the monthly average wave direction isare shown in -Fig. 8. It is 320 observed that throughout the year the mean wave direction of the swell peak is southwest (200-321 250°). In the non-monsoon period, the wind-sea direction is northwest (280-300°), except in 322 October and November. This is due to the wind-seas produced by sea breeze which has the 323 maximum intensity during the pre-monsoon season. Interannual variability in wave direction is 324 highestmore during October and November, where the wind-seas from southwest direction are also 325 observed. This is because, during these months, the wind speed and the strength of the monsoon 326 swell decreases, which makes the low energy wind-seas produced by the withdrawing monsoon 327 winds more visible.

329 Contour plots of spectral energy density (normalized) clearly show the predominance of 330 wind-seas and swells during the non-monsoon period (Fig. 9). In the monsoon period, the spectral 331 energy density is mainly confined to a narrow frequency range (0.07-0.14 Hz) and the wave spectra 332 are mainly single peaked with maximum energy within the frequency range 0.08-0.10 Hz, having 333 direction 240°.- Glejin et al. (2012) reported that in the monsoon season, the spectral peak is 334 between 0.08 and 0.10 Hz (12-10s) for  $\sim$  72% of the time in the eastern AS. Earlier studies also 335 reported dominance of swells in the eastern AS during the monsoon (Sanil Kumar et al., 2012; 336 Glejin et al., 2012). Above 0.15 Hz, energy gradually decreases, with the lowest energy observed between 0.30 and 0.50 Hz. Wind-sea energy is comparatively low during October, November and 337 338 December and occurs mostly in the frequency range less than 0.20 Hz, whereas, during January-339 May, the frequency exceeds 0.20 Hz. In the pre-monsoon period, wind-sea plays a major role in 340 nearshore wave environment (Rao and Baba, 1996). Wind-sea energy is found to be low during

April 2015 (Fig. 6), because of reduction in local winds. The occurrence of wind-seas is very less
during most of the time in November except during 2011, due to the deep depression ARB04.

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344 To study the characteristics of different wave systems, average mean wave direction and 345 average wave spectral energy density grouped under different peak frequency bins are plotted in Fig. 10. Wave spectrum is mostly single peaked at low frequency bins and multi-peaked at higher 346 347 frequencies. Within the frequency range 0.07 to 0.15 Hz, the spectrum observed is a smooth single peaked spectrum, with high energy density. Maximum energy observed is within the frequency 348 349 range 0.08 0.1 Hz, having direction 240° which indicates the monsoon swells. Above 0.15 Hz, even though the spectrum is multi peaked, energy gradually decreases, with the lowest energy observed 350 between 0.3 and 0.5 Hz. Between 0.15 and 0.5 Hz, waves observed are from the northwest 351 direction ( $300^{\circ}$ ) and represents the wind seas produced by local winds. Long period swells (Tp > 352 14s) are also observed from the southwest. The average direction of waves with  $H_{m0} < 1m$  shows 353 the northwest wind seas and the southwest swells, whereas for high waves ( $H_{mh} > 3m$ ), the 354 difference between the swell and wind sea direction decreases (Fig. 11). This is because the high 355 waves get aligned to the bottom contour before 15 m water depth on its approach to the shallow 356 357 water.

359 The behavior of the high-frequency part of the spectrum is governed by the energy balance of waves generated by the local wind fields. When the wind blows over a long fetch or for a long 360 361 time, the wave energy for a given frequency reaches the equilibrium range and the energy input from the wind isare balanced by energy loss to lowerother frequencies and by wave breaking 362 363 (Torsethaugen and Haver, 2004). The high-frequency tail slope of the monthly average wave spectrum in different years (Table 4) shows that the slope is high (b < -(> -3.1)), during June to 364 365 September and the case is same for all the years studied (Table 4). During all other months, the exponent in the expression for the frequency tail slope-is within the range  $\frac{1.5}{1.5}$  3.1 to -1.5. The 366 367 distribution of exponentslope values for different significant wave height ranges shows that the slope increases (exponent decrease from -2.44 to -4.20) as the significant wave height increases 368 and reaches a saturation range. For frequencies from 0.230.229 to 0.58 Hz in the eastern AS during 369 January-May, Amrutha et al. (2017)(2016) observed that the high-frequency tail has  $f^{2.5}$  pattern at 370 15 m water depth and for frequencies ranging from 0.315 to 0.55 Hz, the high-frequency tail 371 follows f<sup>3</sup> at 5 m water depth. It is shown in Fig. 12 that the slope also increases as the mean wave 372

373period increases, Since  $H_{mo}$  is maximum during the monsoon period, the slope is also maximum374during June to September. There is no much interannual variation in slope for swell dominated375spectra during the monsoon, while in the non-monsoon period when wind-seas have much376influence, the slope varies significantly. The slope of the high frequency end of the wave spectrum377becomes milder when the wave nonlinearity increases. The study shows that the tail of the378spectrum is influenced by the local wind conditions.

380 4.3 Theoretical wave spectra

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382 The monthly average wave spectra for the year 2015, is compared with JONSWAP 383 and Donelan theoretical wave spectra. It is found that JONSWAP and Donelan spectra with modified parameters describe well the wave spectra at low frequencies and high frequencies 384 385 respectively. The most obvious manifestations of nonlinearity are sharpening of the wave crests and the flattening of the wave troughs and these effects are reflected in the skewness of the sea surface 386 387 elevation (Toffoli, 2006). Zero skewness indicates linear sea states, positive skewness value 388 indicate that the wave crests are bigger than the troughs. Figure 10 shows that nonlinearity 389 increases with increase in  $H_{m0}$ . The slope of the high-frequency end of the wave spectrum becomes 390 steeper when the wave nonlinearity increases. Donelan et al. (2012) find that in addition to the  $k^4$ 391 dissipation that swells modulate the equilibrium in breaking waves dependent on the mean surface 392 slope, while Melville (1994) also quantified a relation between wave packet slopes and dissipation 393 rate. These results are specific to breaking waves, but one might expect similar relations between 394 surface dynamics and dissipation rate for non breaking waves. A function of the form: A \* exp( $\lambda$ 395  $H_{m0}$ ) + s0, with initial parameters of A = 8,  $\lambda$  = -2.4, s0 = -3.7 is found to fit the exponent of the 396 high-frequency tail data with the significant wave height (Fig. 11a). The functional representation 397 of the exponent of the high-frequency tail data with  $H_{m0}$  shown in Fig. 11a might be useful in 398 revealing the physical connection, and at the very least would provide a predictive basis relating 399 spectral slopes with mean significant wave heights as a basis for future research. It is shown in Fig. 11b that the exponent decreases (slope increases) as the mean wave period increases. The study 400 401 shows that the tail of the spectrum is influenced by the local wind conditions (Fig. 11c) and the 402 influence is more with the zonal component (u) of the wind than on the meridional component (v) 403 (Figs. 11e and 11f). The exponent of the high-frequency tail decreases with the increase of the 404 inverse wave age  $(U_{10}/c)$ , where c is the celerity of the wave.

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406 4.3 Comparison with theoretical wave spectra 407 408 From Fig. 13, we can see that spectrum is double peaked during January April and 409 December. For these months the first peak is fitted with JONSWAP spectrum and second with Donelan, and the fitted spectrum shows a good match with the measured one. In the monsoon 410 411 period, the spectrum is single peaked with high spectral energy density and during this period 412 JONSWAP spectrum is fitted up to the peak frequency and after that Donelan spectrum is used. 413 The monthly average wave spectra during the monsoon period for the year 2011, is compared with 414 JONSWAP and Donelan theoretical wave spectra in Figure 12. During the months May, October 415 and November, after the peak frequency, the measured spectrum is not smooth and hence for this 416 part, Donelan spectrum is fitted in two parts in order to obtain the best fit. Here also JONSWAP 417 shows best fit, up to the peak frequency. 418 419 It is found that JONSWAP and Donelan spectra with modified parameters describe well the wave-420 spectra at low frequencies and high frequencies respectively. The values for  $\alpha$  and  $\Upsilon$  were 421 randomly varied within a range to find out the values for which, the theoretical spectrum best fits 422 the measured spectrum and those values were used to plot the theoretical spectrum. The values of  $\alpha$ 423 and  $\Upsilon$  thus obtained, for June, July, August<del>each month</del> and Septemberthe range of frequencies for 424 which each spectrum is plotted are given in Table 6. From the table, the average values of  $\alpha$  and  $\Upsilon$ , for the monsoon months over 1 year period are obtained as 0.00090.0050 and 1.821.33 for 425 426 JONSWAP spectra and 0.02740.0254 and 1.641.27 for Donelan spectra respectively. These values 427 are less than the generally recommended values of  $\alpha$  and  $\Upsilon$ ; 0.0081 and 3.3.  $\alpha$  is a constant that is related to the wind speed and fetch length. For all the data, Donelan spectrum fitted is proportional 428 429 to  $f^n$ , where n is the exponent value of the high-frequency tail. From the table, it can be seen that  $\alpha$ has the highest values during the months June to August and is the same for both JONSWAP and 430 431 Donelan spectrum. This may be due to the high wind speed during monsoon. High values of  $\alpha$  were also observed during November, February and April, since these are the months during which sea 432 433 breeze has maximum influence, high  $\alpha$  values is due to the influence of local winds. There is no seasonal variation observed in the values of  $\gamma$ . For all the months, Donelan spectrum fitted is 434 proportional to f<sup>4</sup>, except during May and November, where spectrum is proportional to f<sup>2.8</sup> and f 435

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436 <sup>2.5</sup>, above frequencies 0.15 Hz and 0.28 Hz respectively. The theoretical spectrum JONSWAP and

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437 Donelan cannot completely describe the high-frequency tail of the measured spectrum since the 438 high-frequency tail in these spectrum decays in the form of  $f^5$  and  $f^4$  respectively. Since the 439 exponent of the high-frequency tailslope of the wave spectrum is within the range 3-4 to -3 during 440 the monsoon period, \_Donelan spectrum shows better fit for monsoon spectra compared to other 441 months (Fig. 11).13).

### 443 5. Concluding remarks

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445 In this paper, the variations in the wave spectral shapes in different months for a nearshore location are investigated, based on in situ wave data obtained from a moored directional waverider 446 447 buoy. Interannual variations within the spectrum are more for wind-seas compared to swells. The maximum significant wave height measured at 15 m water depth is 5 m and the annual average  $H_{m0}$ 448 449 has similar value (~1.1 m) in all the years. Over the 5 years, small waves ( $H_{m0} < 1$  m) account for a large proportion of measured data (63.94% of the time). The study shows that high waves ( $H_{m0} > 2$ 450 451 m) are with spectral peak period between 8 and 14 s and the long period swells (14-20 s) are with  $H_{m0} < 2.5$  m. The high-frequency slope of the wave spectrum (the exponent decreases varies from -452 453 2.44 to -4.20) and it increases with increase in significant wave height and mean wave period. 454 During the monsoon period, Donelan spectrum shows better fit for monsoon spectra compared to 455 other months since the exponent of the high-frequency partslope of the wave spectrum is within the range 3-4 to -3. The decay of- the high-frequency waves are fastest with depth and hence, the high-456 457 frequency tail values observed in the study will be different for different water depths.

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#### 624 Figure captions

- Figure 1. Study area along with the wave measurement location in eastern Arabian Sea
- Figure 2. Time series plot of a) significant wave height, b) mean wave period, c) peak wave period
  and d) mean wave direction from 1 January 2011 to 31 December 2015. Thick blue line indicates
  the monthly average values
- Figure 3. Wave roses during 2011-2015 (a) significant wave height and mean wave direction, (b) peak wave period and mean wave direction, (c) percentage of swell, (d) percentage of wind-sea and mean wave direction
- Figure 4. Date verses year plot of a) significant wave height b) mean wave direction, c) peak waveperiod and d) mean wave period
- Figure 5. Temporal variation of normalized spectral energy density (top panel) and mean wavedirection (bottom panel) with frequency in different years
- 636 Figure 6. Monthly average wave spectra in 2011 to 2015
- Figure 7. Wave spectra averaged over a) pre-monsoon (February-May), b) monsoon (June-September), c) post-monsoon (October-January) and d) full year in different years
- 639 Figure 8. Monthly average wave direction at different frequencies in different months
- Figure 9. Temporal variation of normalized spectral energy density in different months (data from2011 to 2015 used)
- Figure 10. <u>Scatter plotPlot</u> of <u>significantaverage spectral energy density and average mean</u> wave
   <u>height with skewnessdirection</u> of <u>the sea surface elevation inwaves grouped under</u> different
   <u>yearspeak frequency bins</u>
- Figure 11. Plot of exponenta) average spectral energy density and b) average mean wave direction of the waves under different  $H_{m0}$  with frequency
- Figure 12. Plot of high\_-frequency tail with <u>a)</u> significant wave height, <u>b)</u> (left panel) and with
  mean wave period, <u>c) wind speed</u>, <u>d) inverse wave age</u>, <u>e) u-wind and f) v-wind</u> (right panel)
- Figure <u>12.13.</u> Fitted theoretical spectra along with the monthly average wave spectra for different
   month

#### Table 1. Number of data used in the study in different years along with range of significant wave

#### height and average value

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Year	Significant w	Significant wave height (m)		%of data
	Range	Average		
2011	0.3-4.4	1.1	17517	99.98
2012	0.3-3.7	1.1	17323	98.61
2013	0.3-3.6	0.9*	14531	82.94
2014	0.3-4.5	1.1	17284	98.65
2015	0.3-5.0	1.1	14772	84.32

655 \* average value is estimated excluding the <u>JulyAugust</u> month data

#### Table 2. Characteristics of waves in different range of significant wave height

Significant wave height	Number (percentage)	Range of Tp (s)	Mean Tp (s)	Range of $T_{m02}(s)$	Mean T <sub>m02</sub> (s)
range	52062 (63.94)	26222	12.2	27105	1.9
$1 \le H_{m0} \le 1 \ \text{m}$	18297 (22.47)	3.6-22.2	10.5	3.4-10.7	5.7
$2 \le H_{m0} \le 3 m$	9839 (12.08)	6.2-18.0	10.8	5.0-8.9	6.5
$3 \le H_{m0} \le 4 m$	1096 (1.35)	10.0-14.3	11.8	6.1-9.1	7.2
$4 \text{ m} \le H_{m0}$	133 (0.16)	10.5-14.3	12.6	7.2-9.3	7.8

Table 3. Average wave parameters and number of data in different spectral peak frequencies 

Frequency (f <sub>p</sub> )	Number of data	H <sub>m0</sub>	T <sub>m02</sub>	Peak wave period
range (Hz)	and %	(m)	(s)	(s)
$0.04 < f_p \le 0.05$	318 (0.39)	0.73	5.24	20.19
$0.05 < f_p \le 0.06$	5341 (6.56)	0.82	5.48	17.16
$0.06 < f_p \le 0.07$	14764 (18.13)	0.75	5.22	14.73
$0.07 < f_p \le 0.08$	18221 (22.38)	0.80	5.05	12.96
$0.08 < f_p \le 0.10$	25364 (31.15)	1.55	5.76	10.88
$0.10 < f_p \le 0.15$	9459 (11.62)	1.25	5.35	8.07
$0.15 < f_p \le 0.20$	6355 (7.80)	0.76	4.43	5.72
$0.20 < f_p \le 0.30$	1487 (1.83)	0.78	3.86	4.36
$0.30 < f_p \le 0.50$	118 (0.14)	0.66	3.22	3.09

674	Table 4. Exponent Slope of the highfrequency tail of the monthly average wave spectra in
675	different years
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Months		Exp	onent of the hig	<u>h-</u> High frequ	ency tail	
Monuis	2011	2012	2013	2014	2015	2011-2015
January	-2.08	-2.93	-2.97	-2.72	-2.81	-2.72
February	-2.41	-3.02	-2.74	-2.99	-3.06	-2.85
March	-2.75	-2.91	-2.82	-2.76	No data	-2.81
April	-2.56	-2.74	-2.64	-2.71	-2.19	-2.60
May	-2.59	-2.67	-2.63	-2.42	-2.51	-2.56
June	-3.64	-3.53	-3.55	-3.82	-3.58	-3.55
July	-3.76	-3.55	<u>No data</u> - <del>3.40</del>	-3.82	-3.63	-3.70
August	-3.63	-3.58	<u>-3.40</u> No data	-3.52	-3.65	-3.58
September	-3.41	-3.44	-3.16	-3.38	-3.00	-3.30
October	-2.02	-2.77	-3.03	-2.52	-2.61	-2.68
November	-1.78	-2.43	-1.77	-1.55	-1.65	-1.84
December	-1.69	-2.23	-1.95	-2.06	-1.79	-1.94

Table 5. Exponent of the high-High-frequency tail of the average wave spectra in different wave height ranges

Range of $H_{m0}(m)$	Exponent of the high-High
	frequency tail <del>-parameter</del>
0-1	-2.44
1-2	-3.26
2-3	-3.67
3-4	-4.21
4-5	-4.21

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689	Table 6. Parameters of the fitted wave spectrum in different yearsmonths
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Year		JONSV	JONSWAP spectrum		Donelan spectrum	
		α	Ϋ́	<u>α</u>	Ϋ́	
<u>2011</u>	June	<u>0.0013</u>	<u>2.2</u>	<u>0.0028</u>	<u>2.0</u>	
	<u>July</u>	<u>0.0016</u>	<u>1.5</u>	<u>0.0021</u>	<u>1.7</u>	
	August	<u>0.0013</u>	<u>1.8</u>	<u>0.0029</u>	<u>1.7</u>	
	September	<u>0.0004</u>	<u>2.3</u>	<u>0.0021</u>	<u>1.6</u>	
<u>2012</u>	June	<u>0.0015</u>	<u>1.6</u>	<u>0.0029</u>	<u>2.0</u>	
	July	<u>0.0010</u>	<u>2.1</u>	<u>0.0031</u>	<u>1.9</u>	
	August	<u>0.0009</u>	<u>2.2</u>	<u>0.0032</u>	<u>1.7</u>	
	September	<u>0.0006</u>	<u>2.0</u>	<u>0.0024</u>	<u>1.8</u>	
<u>2013</u>	June	<u>0.0006</u>	<u>3.3</u>	<u>0.0030</u>	<u>1.9</u>	
	<u>July</u>	<u>No data</u>				
	August	<u>0.0012</u>	<u>1.1</u>	<u>0.0038</u>	<u>1.4</u>	
	September	<u>0.0005</u>	<u>1.9</u>	<u>0.0042</u>	<u>1.4</u>	
<u>2014</u>	June	<u>0.0010</u>	<u>1.1</u>	<u>0.0010</u>	<u>1.6</u>	
	<u>July</u>	<u>0.0006</u>	<u>2.5</u>	<u>0.0019</u>	<u>1.2</u>	
	<u>August</u>	<u>0.0006</u>	<u>1.5</u>	<u>0.0021</u>	<u>1.2</u>	
	September	<u>0.0011</u>	<u>1.1</u>	<u>0.0032</u>	<u>1.4</u>	
<u>2015</u>	<u>June</u>	<u>0.0011</u>	<u>1.4</u>	<u>0.0023</u>	<u>1.8</u>	
	July	<u>0.0011</u>	<u>1.9</u>	<u>0.0024</u>	<u>1.8</u>	
	August	<u>0.0008</u>	<u>1.8</u>	<u>0.0024</u>	<u>1.4</u>	
	September	0.0006	<u>1.3</u>	<u>0.0043</u>	<u>1.6</u>	



Figure 1. Study area along with the wave measurement location in eastern Arabian Sea697



700 701 702 703 704 Figure 2. Time series plot of a) significant wave height, b) mean wave period, c) peak wave period and d) mean wave direction from 1 January 2011 to 31 December 2015. Thick blue line indicates the monthly average values



Figure 3. Wave roses during 2011-2015 (a) significant wave height and mean wave direction, (b) peak wave period and mean wave direction, (c) percentage of swell, (d) percentage of wind-sea and mean wave direction



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714 Figure 4. Date verses year plots of a) significant wave height b) mean wave direction, c) peak wave

715 period and d) mean wave period.















Field Code Changed







735 736 737 2011 to 2015 used)





Figure 10. <u>Scatter plot</u>Plot of <u>significantaverage spectral energy density and average mean</u> wave <u>height with skewness</u>direction of <u>the sea surface elevation in</u>waves grouped under different

vearspeak frequency bins







Figure 11. Plot of <u>exponent of the high-a</u>) average spectral energy density and b) average mean
 wave direction of waves under different H<sub>m0</sub> with frequency



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