

Dear Topic Editor,

We are grateful for the insightful comments from the anonymous referees. What follows is our detailed point-by-point response to their comments on the manuscript “*Marine mammal tracks from two-hydrophone acoustic recordings made with a glider*,” by Elizabeth T. Küsel et al. This report also points to the changes made to the original submitted manuscript and includes a marked-up manuscript version.

**Referee # 1:**

*The paper is interesting as it offers a report on the use of gliders for performing acoustic surveys to detect and study marine mammals. The specific case present an interesting option based on a low cost recorder rather than custom complex dedicated electronics. However the paper appears more as a basic tech report than a scientific paper.*

**Authors’ response:**

The main point of the manuscript was to evaluate the use of a glider fitted with two hydrophones for marine mammal population density estimation studies. Most population density estimation studies have been done with data from fixed sensors, either single sensors or hydrophone arrays. Detection, classification, and sometimes tracking and localization are inherent components of population density estimation from passive acoustics. The intent was to show what extra information or constraints a glider with two phones would provide to such studies and ultimately to adapt the existing density estimation methodology from fixed sensors to moving platforms. We also note that the described experiment was opportunistic and by no means designed as a density estimation experiment. We are making sure those points are stressed and clear in the manuscript. Finally, since Ocean Science is carrying a special issue about the experiment and the use of gliders, we thought that would be the most appropriate venue to submit our manuscript.

**Author’s change in manuscript:**

A paragraph was added to the introduction with a summary on marine mammal population density estimation and its extension to data sets collected by gliders, which is a current and on-going research topic. Moreover, the work’s objectives were also stated more clearly in that section. Even though the manuscript may seem like a tech report given the description of the experiment and the recording system we used, it also presents novel results derived from the two-sensor data set. This is the first time a glider with two hydrophones has been used to study marine mammals, and the first time animal tracks from estimated bearing angles have been presented. The contributions of this work have also been stated in the discussion section.

**Referee # 1:**

*The findings have no scientific relevance for marine biology and the authors show little expertise in the description of detected biological sounds. Dolphin clicks and sperm whale clicks are well known now. The figures don’t show the characteristics of detected events in detail, e.g. to clearly show the differences among artifacts and real signals, or*

*to show the multi paths underlined in the text.*

**Authors' response:**

Since, as the reviewer points out, dolphin and sperm whale clicks are well known, we did not think it was necessary to present a detailed description of those. Moreover, as stated above, the purpose of the study was not to simply detect and classify marine mammal sounds. However, more or better figures could be easily included to show some characteristics of the recorded data outlined in the text.

**Author's change in manuscript:**

Descriptions and illustrations of all the different sounds observed in the recorded data were added to the "Data Processing and Analysis" section. These include electronic noise and glider self-noise as well as marine mammal sounds. We stress once more that the objective of the manuscript was not to simply detect and classify marine mammals. Given the sampling frequency of the equipment used, and the presence of easily detectable and classifiable sperm whale regular clicks, we chose to focus on those calls for the rest of our analysis. Reported characteristics of sperm whale clicks and their distribution in the Mediterranean were added to the manuscript for completion.

**Referee # 1:**

*The multi paths in recording biosonar clicks is well known and the multi paths can be positively used to improve the localization of sperm whales. Surface multi paths are generated by the sea surface, but often also the sea bottom generates reflections of sperm whale clicks. With a flat sea surface reflected clicks show phase inversion, described in the text as mirror images.*

**Authors' response:**

Multipath occurrence, of any underwater signal will depend on the geographic location, water column structure, and depth of source. In the case of marine mammal calls we don't know where they are, neither in depth nor distance from the recording sensor. Multipath can sometimes be used to aid in localizing whales. However, in order to automatically distinguish multipath in the recorded data, highly specialized algorithms are necessary. Another option is for a human analyst to manually check the data, which can be a time-consuming task. For density estimation studies, detectors of simple characterization are preferred. Therefore, the use of complex algorithms for selecting only direct arrivals was beyond the scope of this work. Our intent was not to localize animals; being able to resolve tracks is sufficient and less time-consuming for density estimation purposes. The term "mirror image" was used to describe the pattern observed in the estimated tracks shown on the bottom plot of Figure 8. We hence assumed they were likely caused by multipath, which upon visual inspection of the corresponding data proved to be true.

**Author's change in manuscript:**

While addressing reviewers' comments, the mirror image pattern described in the manuscript and its association with the occurrence of observed multipath in the data was further investigated. As it turned out, no correlation was found between the two. In fact,

from manual inspection of the automatic detections, it was observed in many instances that the detector considered first (direct) and second (multipath) arrivals as a single detection. Furthermore, by estimating bearing angles of direct arrival and corresponding multipath no difference was observed between the two, i.e., they were coming from the same direction.

In order to avoid misunderstandings, the term “mirror image” was removed from the manuscript. The text and bearing angle figures were updated, and a note was made that multipath clicks had no influence on the results. An example of multipath data, in the form of spectrogram and waveform, was added to the section describing the observed marine mammal sounds since they were a feature observed in the data set.

**Referee # 1:**

*Advantages/disadvantages of the use of a glider are not presented.*

**Authors’ response:**

The last paragraph of the introduction lists some advantages and disadvantages of working with gliders for marine mammal studies.

**Author’s change in manuscript:**

Small changes were made in the text, specifically to the second to last paragraph of the introduction, to stress the listed advantages and disadvantages of using a glider for marine mammal density estimation studies.

**Referee # 1:**

*Which is the impact of flow noise? How the change in depth influences the recording? Which types of noises are made by the glider itself, e.g. when it changes its asset?*

**Authors’ response:**

The sources of noise from a Slocum glider were well characterized by Kristy Moore in her thesis dissertation in 2007. Flow noise was shown to possibly affect frequencies up to 2 kHz, on a 20 kHz sampling frequency system. As we were mostly concerned with higher frequencies, flow noise was deemed not important for our application. Other noise types made by the glider include fin steering, movement of the battery, volume piston, and air pump. These are however, discrete events that do not interfere with the overall acoustic recordings and can be easily distinguished. A note about the flow and other glider noises, including the above-mentioned reference, is being added to the manuscript for completeness.

Glider depth changes would influence the recordings, again depending on the environment (bathymetry and sound speed profile) and the location of the source (whale). Transmission loss and ray calculations are being made with the local bathymetry and sound speed profile recorded by the glider at the same time the acoustic recordings were made. Such information will be added to manuscript to highlight the acoustic environment.

**Author’s change in manuscript:**

A subsection on the types of noise produced by the Slocum glider, including examples extracted from the recorded data set, was added to the manuscript as noted on the author's response to referee # 1. A brief section describing the acoustic environment where the data was recorded was also added. The objective was to show, through modeling, how detections could vary with depth.

**Referee # 1:**

*Is the quality of the recorder well suited to the task? Authors write about clicks with energy content increasing with frequency. Most dolphins do produce clicks with peaks above 40 kHz and up to 100 kHz and more. Recording them at close range may result in very high frequency levels that may saturate the hydrophone, its preamplifiers and even the recorder input. Also to consider the resonance of the ceramics in the hydrophones and the possible aliasing effect induced by the intrinsic a-a filters of the recorder that may "reflect" the acoustic energy above Nyquist down to the recorded range.*

**Authors' response:**

We do believe the quality of the recorder was well suited to the task given its high sampling frequency (96 kHz), good bit resolution, and low self-noise. It should be kept in mind that no specific species were initially targeted and that the experiment was opportunistic. While we do understand that 96 kHz sampling frequency may not be enough to capture all frequencies of, for example, dolphin clicks, it is still enough to detect dolphins, potentially classify some of them, and detect other whale species such as sperm whales.

**Author's change in manuscript:**

No specific changes were made in the manuscript regarding this comment.

**Referee # 1:**

A minor point concerns the choice of the recorder. External batteries have been used. Other pocket recorders have less noise and require much less power than the Tascam. Some can run for 48 hours on their two internal AA batteries. The recorder is called "voice recorder" but it should be called "music recorder".

**Authors' response:**

The choice of the recorder was made due to its good specifications and our limited budget. The Tascam offered an inexpensive option with good resolution and high sampling frequency (96 kHz). As shown in Figure 1 (b) of the manuscript only the main board of the original product was used. The plastic cover (which took unnecessary space inside the glider's science bay) was removed, therefore external batteries had to be used to power the device. In its original configuration, the Tascam took two AA batteries and recorded sounds by default at 44.1 kHz at 16-bit resolution. Therefore, in order to record at 96 kHz and 16-bit resolution we found that we needed 8 AA batteries to power the unit in order to record for 24 hours. Due to its construction, the Tascam did not allow recordings past 24 hours. A noise assessment of the Tascam was made when it was first acquired. It showed higher self-noise at lower frequencies ( $< 1$  kHz), but not deemed



sufficiently high to consider it a problem. Research for off-the-shelf recorders at the time (2013-2014) indicated that the Tascam offered the highest sampling frequency, while other pocket recorders had sampling frequencies only up to 44.1~48 kHz.

**Author's change in manuscript:**

The term “voice recorder” was substituted through out the manuscript by the more appropriate “digital recorder.” Other original configurations of the Tascam as noted above were added to the text for completeness.

**Referee # 2:**

This manuscript is worthwhile publishing only because the use of acoustics on glider for marine mammal detection is in its infancy and it's important to share various investigators' experiences and results from their field test. In this case, 23 hours of recordings were achieved but most of the data occurred in a 1-hour time span.

**Authors' response:**

After receiving the two anonymous reviews to our manuscript, it became clear to us that the objectives of our work should be more explicitly stated. The main objective was to evaluate the glider data for population density estimation studies, which require all of the components mentioned by both reviewers, such as localization and detection. It was not our intention for the paper to address any singular component, but to present a comprehensive report about all factors. For clarification, we have modified the text to reflect this, and have properly identified all components.

To clarify the data set and the portion we chose to present: because our intention was to demonstrate the type of analyses that could be done with the data and not describe the data in its entirety, we chose the period with the best data. While almost 23 hours of recordings were made by the acoustic acquisition system on the glider, the specific 1.5-hour span was when most of the marine mammal activity was observed. This does not imply that there were no data on the remainder of the recordings. In fact, the absence of detected calls is important in population density estimation.

**Author's change in manuscript:**

As stated above, the work's objectives were made clearer in the introduction. We also made it clearer, on the data analysis section on marine mammal sounds, why we chose the data we showed in the manuscript.

**Referee # 2:**

However, I do have a number of misgivings about this manuscript and are basically involve the avoidance of serious discussion about the usefulness and accuracy of the results. I will details some of the items that the authors should address in a revision.

1. The accuracy of the bearing estimates is never discussed and I think it needs to since I believe the accuracy was not very high. The baseline is too short and the further out the animals are from the glider the more inaccurate the estimate. Also the position of the animals with respect to the glider direction will have a big effect on the accuracy. The

dynamics of the glider, especially the yaw, is not even mentioned. The localization is discussed in a manner that suggest no problems, not issues, perfect localization. I think this issue is considerably more important than the techniques used for localization since time of arrival difference based cross correlation analysis is fairly routine.

**Authors' response:**

The reviewer's comments are well founded and are being addressed in the revised manuscript. With regards to accuracy, a more detailed analysis is being added to the bearing estimation section. The accuracy of the estimate depends on a few things. One channel is used to detect clicks. A small time window around each detection is cross-correlated with the same time window corresponding to the other channel. Cross-correlation gives an estimate of time difference of arrival. So, one can talk about accuracy of the detector, and accuracy of the cross-correlation algorithm. Accuracy can also be thought of as how well one can distinguish two closely vocalizing animals. It also depends on the minimum signal-to-noise ratio between call and background noise levels necessary for the cross-correlation to return a reliable estimate.

The further a vocalizing animal is from the hydrophones, the less likely it will be detected. The environment also plays a big role in how a sound will travel from source to the receivers. Navigation, spatial location and environmental data collected by the glider, as well as propagation modeling results are also being added to the manuscript to provide more insight into detections made and the tracking results obtained.

**Author's change in manuscript:**

Section 5 in the manuscript, which describes the marine mammal bearing tracks, is now divided into three subsections: Bearing estimation, bearing results, and bearing accuracy. The issues regarding accuracy are discussed and an analysis in terms of click SNR is presented. Furthermore, estimated bearings have been corrected taking into account the heading of the glider, and are now plotted also as a function of the peak of the cross-correlation. A low cross-correlation peak indicates low SNR of detected clicks and therefore, a higher error in angle estimation. Despite the errors associated with cross-correlation, the results still suggest the presence of a few tracks.

**Referee # 2:**

2. There is some hand waving in the statement "Such information can be valuable to density estimation methods, either directly for estimating the percentage of time a species produces sound during one day (Marques et al., 2013)." If you have a moving platform and come across a group of animals also moving, directly estimating the percentage of time a species produce sounds can surely be done but what does it mean? How such (bearing estimate) information be valuable to density estimation methods seems like a good statement to make but is it really true with poor bearing accuracy?

**Authors' response:**

The more information available, the better the density estimates since there are more covariates added to the analysis. A more detailed explanation of how the data from two sensors can be used to improve density estimates is given with references. It should be

noted that some aspects of the methodology, like deriving the detection function for a glider, is a current research topic, which we also wish to address in the future.

**Author's change in manuscript:**

A summary on population density estimation is now given in the introduction. Most of the methodologies that have been developed were based on data sets from fixed sensors. Research efforts are currently being made to extend those methodologies to gliders, which are not only moving but are also slower than the marine mammals themselves. However, a study on terrestrial population density using acoustics has shown increased accuracy when using bearings to calling animals.

**Referee # 2:**

3. There should be a better way of displaying click signals than a spectrogram. All you see is a line going to very high frequency (off the chart in some cases) and that's support to tell me more than the time of occurrence? How's about plotting center frequency or peak frequency instead?

**Authors' response:**

Spectrograms continue to be the preferred tool used by many marine bio-acousticians, to show snippets of data or detections of marine animal sounds. Spectra are also shown, though in the case of the sperm whales studied in this manuscript, the lower part of the frequency range is sufficiently distinctive, that detailed spectra are not needed to identify the species. Spectrograms give not only the time of occurrence but also the frequency content of the call (vertical axis) as well as its energy content (color bar, usually in dB). If a sound's bandwidth (or frequency range) is bigger than half the sampling frequency of the instrument then they will appear clipped in the spectrogram (or off the chart). In our case, we cannot detect sounds that are above our Nyquist frequency of 48 kHz. However, figures are being added to the manuscript to better present the types of sounds we detected in our data, both biological and electronic.

**Author's change in manuscript:**

More and different spectrograms were added to the manuscript displaying the different types of sounds observed in the data set.

**Referee # 2:**

4. A minor issue is the phrase in the last line of page 3, “: :.where high frequencies are highly attenuated.” I don't know what highly attenuated means? At 30 kHz the absorption coefficient is about 3.9 dB/km and at 15 kHz its about 1.0 dB/km. I don't consider the 2.9 dB/km difference very large in the broader scheme of ocean propagation.

**Authors' response:**

According to the frequency dependent attenuation formula given by Jensen *et al.* (page 35, equation 1.34 on the first edition), at 30 kHz the attenuation is 8.3032 dB/km and at 15 kHz the attenuation is 2.4693 dB/km. The difference is 5.8 dB/km. In terms of a

broadband sound this difference could mean that only the lower frequency components are detected.

**Author's change in manuscript:**

No changes were made to the manuscript regarding this comment.

***Referee # 1:***

5. I don't understand why click ID software such as M3R is not used to try to ID some of the deep diving odontocetes like beaked whales, Risso's dolphins and pilot whales.

**Authors' response:**

The three species mentioned by the reviewer, beaked whales, pilot whales and Risso's dolphins have click center frequencies reported to be over 30 kHz and bandwidths over 30 kHz. Our recording system offered a sampling frequency of 96 kHz, which is not enough to record the whole spectrum of those species' clicks. On the other hand, Sperm whales have clicks with lower frequency content, allowing us to record most of the clicks' energy.

**Author's change in manuscript:**

No changes were made to the manuscript regarding this comment.

# Marine mammal tracks from two-hydrophone acoustic recordings made with a glider

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**Abstract.** A multinational oceanographic and acoustic sea experiment was carried out in the summer of 2014 off the western coast of the island of Sardinia, Mediterranean Sea. During this experiment, an underwater glider fitted with two hydrophones was evaluated as a potential tool for ~~recording marine mammal sounds for~~ marine mammal population density estimation studies. ~~To this end, an~~ An acoustic recording system was also tested, comprising ~~two hydrophones connected to an~~ an inexpensive, 5 off-the-shelf voice-digital recorder installed inside the glider. Analysis of Detection and classification of sounds produced by whales and dolphins, and sometimes tracking and localization, are inherent components of population density estimation from passive acoustics recordings. In this work we discuss the equipment used as well as analysis of the data obtained, including detection and estimation of bearing angles. A human analyst identified the ~~recorded acoustic data by a human analyst indicated~~ the presence of sperm whale (*Physeter macrocephalus*) regular clicks as well as dolphin clicks and whistles. ~~Further analysis~~ 10 ~~of the data consisted in cross-correlating~~ Cross-correlating clicks recorded on both data channels allowed for the estimation of the direction (bearing) of clicks, and realization of animal tracks. Insights from this bearing tracking analysis ~~is expected to~~ can aid in population density estimation studies by providing further information ~~on animal movement and location~~ (bearings), which can improve estimates.

## 1 Introduction

15 Autonomous underwater vehicles (AUVs) such as gliders are being used ever more frequently as a tool in ocean research. A glider moves through the water column in a see-saw pattern by controlling its buoyancy ~~to dive and surface, which enables,~~ enabling it to glide forward with the use of ~~horizontal-mounted~~ horizontally-mounted wings. Given their mode of operation, gliders provide a platform that is acoustically very quiet. ~~Because of the quiet acoustic characteristics, a growing area of~~ application is, making them well-suited for passive acoustic monitoring of marine mammals. Increasing amounts of marine 20 mammal recordings are being obtained by fitting gliders with hydrophones (e.g. ??).

Gliders have most often been fitted with a single hydrophone, and recordings from both mysticetes (baleen whales) and odontocetes (toothed whales, dolphins, and porpoises) have been made in this manner. More specifically, beaked whales (Ziphiidae sp.), sperm whales (*Physeter macrocephalus*), and delphinids (Delphinidae sp.), which all produce highly broadband high-

frequency echolocation clicks, were detected in real time from a glider off Hawai'i in 2009 ~~to study in a study of~~ habitats and vocalization behavior (?). In addition, sei whale (*Balaenoptera borealis*) vocalizations were recorded by a glider to study their diel vocalization patterns (?). The ability of gliders to perform and report real-time detections of four different kinds of baleen whales and their different call types has also been tested successfully by ?.

~~The overall objective of this work is to~~ Estimating population size, or density, of marine mammals from passive acoustic data is a growing research area. Methodologies are still being developed which would apply to data recorded by different passive acoustic sensor arrangements (e.g. fixed vs. towed platforms, single-sensor vs. arrays). The works of ? and ? provide good summaries of the current state of density estimation techniques from passive acoustics applied to different species of marine mammals. ? addressed density estimation from single fixed sensors in which no information on animal location is readily available from the data. In such cases, a modeling approach is used to estimate detection distances, which are then translated into a relationship expressing the probability of detection as a function of range - the *detection function*. More recently, the single-sensor modeling technique was revised and a new approach was suggested for handling cases when the call's bandwidth is on the order of tens of kilohertz (?). While density estimation techniques for data recorded by fixed sensors already exist, the same is not true for slow-moving platforms such as gliders. Research on the extension of density estimation techniques to underwater gliders has therefore become a current research topic given the increasing use of this platform for studying marine mammals. Estimating the detection function is one of the main requirements of density estimation methods. When dealing with data from a slow-moving sensor, the primary issues are the movement of the animals relative to the glider (?), and the slow movement of the glider relative to the speed of whales and dolphins. However, in any kind of density estimation survey, the more information that is available about the animals, the better the density inferences (?).

Therefore, the main objectives of this work were (1) to evaluate the use of ~~two hydrophones mounted on~~ an ocean glider for marine mammal population density estimation studies-, and (2) to apply the extra information provided by having two sensors separated by a small distance. Two hydrophones can provide bearing angles to vocalizing animals, which in turn can be used as an extra covariate in density estimations. This has been shown to improve inferences for terrestrial wildlife populations (?). Bearing angles can also provide tracks that indicate animal movement. The ability to resolve different animals from estimated tracks can give an idea of the number of animals detected. Finally, tracks can also be used to estimate call production rate. This is species-dependent and is used to estimate how often animals produce calls on average in a day. The call rate is often another important parameter in density estimation (?), but accurate call rates remain lacking for most marine mammals.

Some advantages of using a glider for ~~such~~ passive acoustic monitoring of marine mammals and density estimation studies include the ~~acquisition and reporting of real-time data, the in-situ~~ measurement of sound speed information ~~, which is at different depths~~, important for estimating detection distances, and the possibility of ~~estimating animal bearing from data received on multiple hydrophones mounted~~ mounting multiple hydrophones on the platform-, which can yield information on animal location. Gliders also offer an acoustic quiet platform, with short and discrete noisy periods that are easily distinguishable and hence, can be removed from data analysis. In addition, moving sensors such as gliders have an advantage over fixed sensors since they can be relocated as needed and can cover a larger geographic area. ~~The specific objective of this paper is to describe the two-hydrophone bearing tracking methods and results. Insights from this bearing tracking analysis is expected to aid in~~

~~population-density estimation studies (?) by providing further information on animal movement and location~~ Gliders also offer the possibility of reporting data in near-real time, which can help guide field surveys. They are easy to deploy and recover, and can remain at sea for weeks or even months at a time. One possible disadvantage is the presence of strong currents in a study area, which can move the glider off its planned course.

This work is organized as follows. Section ?? describes the acoustic recording system used in the glider, and the sea experiment. The data processing and analysis, including examples of sounds recorded during the sea trial, are presented in Sec. ?. A brief description of the acoustic environment in the survey area is presented in Sec. ?. Section ?? discusses the tracking results and Sec. ?? discusses the overall work and draws conclusion for future experiments and future work in terms of density estimation applications.

## 2 Methodology

### 2.1 Acoustic Recording System

The Northwest Electromagnetic and Acoustics Research Laboratory (NEAR-Lab) at Portland State University (PSU), Portland, OR, owns a first-generation, 200-meter Webb-Teledyne Slocum glider (?) named *Clyde*. Clyde was fitted with two hydrophones (High-Tech ~~Inc. hydrophones, model HTI-92-WB~~ HTI-92-WB, with pre-amplifiers). The hydrophones, ~~each with a sensitivity~~ with sensitivities of -159 and -161.4 dB re 1 V/ $\mu$ Pa, were mounted on the wings of the glider at a horizontal separation of approximately 0.9 m ~~(Fig. ??(a))~~.

An inexpensive, off-the-shelf, linear pulse code modulation (PCM) recorder ~~manufactured by Tascam (model~~ Tascam DR-07 MKII) was adapted to fit inside the glider's science bay as a stand-alone sensor. It was not connected to the glider's computer, and ~~it~~ was independent of glider operations. The recorder was equipped with enough batteries (8 AA alkaline) to record continuously at 96 kHz sampling frequency and 16-bit resolution for up to 24 hours (Fig. ??(b)). In its original configuration, the Tascam took two AA batteries and recorded sounds by default at 44.1 kHz and 16-bit resolution. The recorder allowed only continuous recording. The maximum recording time of 24 hours was a function not only of power consumption but also of available storage. Data was recorded to a single micro-SD card, for which the maximum capacity could not exceed 32 GB. ~~The acquisition system offered a sampling frequency of 96~~ A noise assessment of the Tascam was made when it was first acquired and showed higher self-noise at lower frequencies (< 1 kHz at 16-bit resolution, and was capable-). However, the noise was not deemed sufficiently high to consider it a problem. Research for off-the-shelf recorders at the time (2013-2014) indicated that the Tascam offered the highest sampling frequency, while other pocket recorders had sampling frequencies of only up to 44.1 or 48 kHz. Moreover, the acquisition system offered the capability of recording two channels of data, one from each hydrophone.

Testing of the acoustic recording system and data collection took place during an opportunistic sea-trial. No specific marine mammal species were targeted during this experiment. It was understood however, that the system would only be able to detect sounds up to 48 kHz, or half the sampling frequency. While such bandwidth would not be enough to capture all frequencies of,

for example, dolphin clicks, it was enough to detect dolphins, potentially classify some of them, and detect and classify other whale species such as sperm whales.

## 2.2 Sea Trial

The sea trial ~~REP14-MED~~ (Recognized Environmental Picture 2014 —~~Mediterranean~~(REP14-MED)) took place ~~6-26~~ from 6  
95 to 26 June 2014 in the Sardinian Sea, Western Mediterranean Sea. ~~It's~~ Its objective was to obtain environment knowledge and uncertainty (geographical, meteorological, oceanographic and acoustic) to support ~~NATO~~ (North Atlantic Treaty Organization (NATO)) operations. Two vessels participated in the 2014 campaign, the NATO research vessel (NRV) *Alliance* and the German research vessel *Planet*. During the experiment, both physical oceanography and acoustic data were collected, although acoustic experiments were ~~only conducted~~ conducted only from the NRV *Alliance* (?).

100 As part of the experiments, 10 gliders were assigned parallel tracks along an east-west direction perpendicular to the west coast of the island of Sardinia. Our glider was assigned the northernmost track, and was deployed at 40° 00' N 07° 22' E at 12:16 ~~CEST~~ (Central European Summer Time (CEST)) on June 09, 2014 (Fig. ??). It was programmed to dive between 15 and 170 m in the see-saw pattern typical of Slocum gliders at an angle of 26 degrees. It was also initially programmed to surface every 2 hours to send navigation data back to the glider pilots at NATO's Centre for Maritime Research and Experimentation  
105 (CMRE) in La Spezia, Italy. In the absence of strong currents, a correctly ballasted Slocum glider can travel at speeds of approximately 0.25 m/s.

Data recording was initiated about one hour prior to deployment while the glider was still on board the NRV *Alliance*, and ended when the 32 GB micro-SD card inside the recorder was full, approximately 23 hours later. A total of 15 acoustic files containing 22 hours of 2-channel continuous data were recorded between June 09-10 when the glider was located in deep  
110 waters (deeper than 2000 m) off of the west coast of ~~the island of Sardinia~~ Sardinia (Fig. ??).

During a mission far from ship- or land-based radio transponders, gliders communicate at pre-designated surfacing points via Iridium satellite. Communications with Clyde were completely lost around 23:10 CEST on June 10, after acoustic recording had terminated. Its location was re-found only on June 11 around 21:20 CEST via an emergency location beacon in the glider that communicates through a separate (Argos) satellite system. The glider was finally sighted at 17:36 CEST on June 12 at ~~07°~~  
115 ~~34' E~~ 40° 03' N 07° 34' E and recovered shortly thereafter, at 17:47, by RV *Planet* (Fig. ??). A hardware malfunction caused not only the loss of communications but also the loss of some navigation files and CTD (conductivity, temperature, and depth) information. Fortunately, ~~glider data files were recovered~~ the data files for the period when the acoustic recorder was on were  
intact.

## 2.3 ~~Data Processing and Analysis~~

### 120 3 Data Processing and Analysis

The acoustic data was saved by the Tascam recorder in ~~waveform~~ WAVE (.wav) audio file format(~~WAV~~). Of the 15 files recorded, 14 had a duration of 1:33:09 hours. ~~The last file~~, while the last file filled the remaining storage and had a duration



of 1:20:17 hours, ~~at which time storage was full~~. The glider was deployed 1:43:58 hours after the beginning of recordings, implying that the first file (~~file-01~~) contained only recordings made above water. After a glider deployment, a series of test dives are performed to check on the overall functionality and ballasting of the vehicle. Therefore, most of ~~file-02~~ the second file contained recordings made while the glider either made shallow dives or was at the surface. It also appears from the acoustic data that the glider started its primary mission, navigating to its pre-assigned west-east track perpendicular to the coast of Sardinia (Fig. ??), approximately 40 minutes after the actual deployment. The remainder of the data in ~~file-02~~ the second file did not show any significant marine mammal events. Discounting the first two files, a total of approximately 19.9 hours of data were available for analysis.

The different sounds observed in the acoustic data are presented below. They include marine mammal sounds as well as glider self-noise and other electronic noise, which can potentially impact the data analysis. A description of the glider navigation data and environmental data collected by its conductivity, temperature, and depth (CTD) sensor at the time the acoustic data was recorded is also presented. Such data can help in understanding detection probabilities and the acoustic environment, and are thus important to population density estimation.

### 3.1 Marine Mammal Sounds

According to ? , 21 species of cetaceans occur in the Mediterranean and Black Seas. Of these, eight species are considered common or regular to the Mediterranean Sea: fin whale (*Balaenoptera physalus*), sperm whale (*Physeter macrocephalus*), Cuvier's beaked whale (*Ziphius cavirostris*), long-finned pilot whale (*Globicephala melas*), Risso's dolphin (*Grampus griseus*), common bottlenose dolphin (*Tursiops truncatus*), striped dolphin (*Stenella coeruleoalba*), and short-beaked common dolphin (*Delphinus delphis*). Minke whale (*B. acutorostrata*), killer whale (*Orcinus orca*), false killer whale (*Pseudorca crassidens*), and rough-toothed dolphin (*Steno bredanensis*) can also occasionally be encountered (?) .

Of the cetacean species commonly present in the Mediterranean, striped dolphins are the most abundant (?) . In terms of the sounds they produce, some species (e.g., fin and sperm whales) have been better studied than others (e.g., long-finned pilot whale). For density estimation purposes, calls that are easily detectable and distinguishable are preferred. One such type of calls is the impulsive and broadband echolocation click, produced by all odontocetes that have been studied acoustically.

Preliminary analysis of the recorded data involved visual inspection of spectrograms by a trained marine bioacoustician ~~for the detection and classification of~~ to identify marine mammal calls. Results presented in this work were derived from file ~~06~~. ~~This file-06 only, recorded between 19:47 and 21:20 (CEST) on June 09, 2014. The intention here is to demonstrate the type of analyses that could be done with the data recorded from two hydrophones, and not to describe the data set in its entirety. File 06 was chosen due to the extent of marine mammal activity and also due to the fact that the glider did not surface during its recording, providing roughly 1:30 hours of uninterrupted data. The data were recorded between 19:47 and 21:20 (CEST) on June 09, 2014. This does not imply, however, that there were no data on the remainder of the recordings. In fact, the absence of detected calls is also important in population density estimation.~~ Manual inspection of this file identified sperm whale clicks (Fig. ??) as well as clicks and whistles (~~Figs. ?? and ??~~) from one or more unknown species of dolphins.

~~Closer evaluation of data~~ Representations of sperm whale echolocation clicks and clicks and whistles from dolphins from the data set are shown in Figs. ?? and ?. Spectrograms continue to be the preferred tool used by many marine bio-acousticians to show snippets of data or detections of marine animal sounds. They give not only the time of occurrence (horizontal axis) but also the frequency content of the call (vertical axis) as well as its energy content (color, usually in decibels). It is noted that if  
160 a sound's maximum frequency is larger than half the sampling frequency of the instrument, then it will appear clipped in the spectrogram. With the sampling frequency of 96 kHz used in this experiment sounds above 48 kHz could not be detected. This can be observed in the spectrogram of Fig. ??, in which dolphin clicks appear truncated at 48 kHz at the top of the plot.

The remainder of the analyses presented here are based on the recordings of sperm whale clicks. Sperm whales produce broadband regular clicks, also called usual clicks (?), that are highly directional (?). Their clicks range in frequency from 200  
165 Hz to 32 kHz (?), with center frequency reported around 13.4 kHz (?), inter-click intervals (ICIs) of 0.5 - 2 s (Fig. ??), and duration of 10 - 20 ms (?). Although no estimate of population size exists for Mediterranean Sea sperm whales, the population is believed to be in decline, with numbers in the hundreds of animals (?). So, even though this species has been well studied acoustically, its distribution and occurrence are still not understood as well. With regards to their presence in the study area, the closest account was given by ?, who conducted a four-year effort that combined both visual and acoustic methods to  
170 study the distribution of sperm whales in a large portion of the Mediterranean Sea. They reported whales concentrating in the surroundings of the Balearic Islands, and to a lesser extent, in the western continental slope off Sardinia (to the north of the study area).

Multipath clicks were also observed among sperm whale regular click detections, and an example is shown in Fig. ?? from a 3-second segment of data recorded on channel 1. Multipath occurrence, of any underwater signal, will depend on the  
175 geographic location, water column structure, and depth of source. In the case of marine mammal calls, the location and distance of the animals with respect to the recording sensor are not known a priori. Multipath can sometimes be used to aid in localizing whales (e.g. ?). However, in order to automatically distinguish multipath in the recorded data, highly specialized algorithms are necessary. Another option is for a human analyst to manually check the data, which can be a time-consuming task. For density estimation studies, detectors of simple characterization are preferred. Therefore, the use of complex algorithms for  
180 selecting only direct arrivals was beyond the scope of this work. Our intent was not to localize animals; being able to resolve tracks is sufficient and less time-consuming for density estimation purposes.

### 3.2 Electronic Noise

Evaluation of data spectrograms and power spectral density plots indicated ~~high-an increase in~~ energy content at frequencies above 30-25 kHz (Figs. ?? and ?? Fig. ??). This increase in power with frequency was considered an artifact, given the well-  
185 known ~~relationship between frequency and attenuation-increase in attenuation with frequency~~ in the ocean (?), ~~where high frequencies are highly attenuated~~ that typically causes a decrease in ambient noise with frequency (?). Moreover, no known physical phenomena would produce the observed elevated noise levels at high frequencies.

Another feature observed in the data set was the presence of high-amplitude, impulse-like spikes, or *glitches*. These features were conspicuously present throughout channel 2, but at lower intensity, and not simultaneously, in channel 1. Even though

190 glitches resembled marine mammal clicks at first glance, whether looking at the time series or spectrograms, closer inspection revealed a characteristic shape and sound suggestive of an electronic artifact produced by the acoustic acquisition system. ~~One example of such feature~~ It was observed in spectrograms that the lower-bound frequency of spikes was 0 Hz, unlike marine mammal clicks, which had a lower bound in the hundreds of hertz or above. Figure ?? shows the same 30 seconds of data recorded on channel 1 and on channel 2. It illustrates the frequency with which glitches occur in each channel, and how they differ in a spectrogram from sperm whale echolocation clicks. The characteristic signature of glitches in the time domain is illustrated in Fig. ?. It is noted that while glitches can appear with very high amplitudes, sometimes they can also have lower amplitudes comparable to marine mammal sounds. This is also observed in Fig. ?. However, their signature shape is always the same.

### 3.3 Glider Sounds

200 The sources of noise from a Slocum glider have been well characterized (?). Flow noise was shown to possibly affect frequencies up to 2 kHz on a system that samples at 20 kHz. For the present work, flow noise was deemed not important since the principal interest was in high-frequency marine mammal sounds over 2 kHz. Other noise types made by the glider come from fin steering, movement of the battery, the volume piston, and the air pump. These are illustrated below, showing both their frequency content as well as typical time spans.

205 The fin acts as a rudder and controls the heading of the vehicle. Its typical noise signature as seen in the data, but also observed on the bench, is shown in Fig. ?. This is a very short duration noise of approximately 1 s or less with most of the frequency content below 5 kHz. It can be barely resolved in the example time series shown, whereas in both plots sperm whale clicks can be clearly seen.

The battery slides forward and backwards due to the pitch vernier mechanism, allowing the glider to descend and ascend, respectively. The volume piston pump moves water in and out of the glider's nose, which acts as a ballast compartment, to aid with descent and ascent. These actions occur concurrently when the glider reaches an inflection depth and either dives or ascends. Therefore, the noise associated with both battery movement and volume piston can be observed in Fig. ?, between -1 and -1.5 just prior to diving and just prior to ascending. An example observed in the recorded data is shown in Fig. ?. Because it happens at specific times during a dive, this roughly 20-second-long noise can be easily filtered out of the data.

215 The pitch pump, which moves the battery, can also come on for very short intervals during a dive to make small adjustments of the vehicle's pitch. The noise associated with this action is shown in Fig. ?. Finally, an air bladder, located in the rear section, helps raise the back end out of the water when at the surface so that the antenna can communicate with Iridium satellites. The air pump that inflates the bladder comes on only at the surface and should have no impact on the data recorded underwater and therefore is not shown here.

220 Because the glider's computer keeps track of the operations of all its motors and sensors, the exact time of the battery movement at an inflection point can be extracted. The glider clock is regularly updated through Global Positioning System (GPS) fixing when the vehicle is at the surface. This implies minimal clock drift. On the other hand, the Tascam clock is manually set prior to recording initialization. Therefore, glider self-noise can be an important feature to synchronize navigation

and acoustic data. By looking at the time when the glider recorded a battery movement and change in battery position, just prior to an inflection depth, and comparing that with the acoustic recording of the battery noise, it was realized that the clocks were off by 76.61 s by a strong click-like feature, which happens to be concurrent with a real click arrival. It was observed in spectrograms that the lower frequency of spikes was. This information was then used to synchronize both data sets (navigation and acoustics).

### 3.4 Glider Navigation Data

The glider diving profile during the recording of file 06 (used in the analyses presented here), is shown in the left plot of Fig. ???. While recording file 06, the glider performed roughly two complete descent-ascent cycles. It took roughly 16 minutes to descend to the maximum programmed depth of 170 m. On the other hand, it took almost twice the time, approximately 29 minutes, to climb back to 15 m. This difference indicates that the vehicle was not perfectly ballasted. Glider heading during this period, as measured by the vehicle and shown in the right plot of Fig. ??, was towards true north (0°, unlike marine mammal clicks degrees) with some oscillation. Pitch and roll were mostly constant during acquisition of the acoustic data (Fig. ??).

The glider was also fitted with a Sea Bird (SBE) pumped conductivity, temperature, and depth (CTD) sensor. From this data one can compute sound speed profiles representative of the survey area. Sound speed profiles can then be used as input to propagation models for characterizing the acoustic environment. The sound speed profiles recorded by the glider are shown in Fig. ??.

## 4 The Acoustic Environment in the Survey Area

To characterize the acoustic environment where sperm whale clicks are propagated from some unknown location and recorded by the hydrophones fitted in the glider, the ray tracing model *Bellhop* (?) was used to calculate incoherent transmission loss at the center frequency of sperm whale clicks (see Sec. ??). Transmission loss (TL) was calculated for different bearings by taking a fixed position for the glider along the track shown in Fig. ???. Here, the acoustic reciprocity principle (?) was used and calculations were made from a single point out to 20 km in range. It is noted that detection distances for sperm whale clicks have been reported in the literature between 5 and 16 km depending on environmental conditions and the propagation model used in the estimation.

Other input parameters assumed for TL calculations included the sound speed profile collected by the glider and extrapolated to deeper waters based on the work of ?. Three glider depths were assumed in the calculations: the minimum depth of a dive, or 15 m, the mid-depth of the dive at 80 m, and the maximum dive depth of 170 m. The bottom was assumed to be composed of sand with sound speed of 1700 m/s, density of 1.5 g/cm<sup>3</sup>, and attenuation of 0.2 dB/m – kHz.

~~Automated~~ Results of propagation modeling at the center frequency of sperm whale regular clicks are shown as a function of range and depth for the bearing due north of the glider position, which is placed at the origin of the coordinate system (Fig. ??). Results for other bearings did not differ substantially. Given the greater depth of the bottom in relation to the glider's depth the seabed has little effect on propagation. From the three plots in Fig. ?? it can be assessed that detections were more likely to

occur when the glider was closer to its maximum programmed diving depth of 170 m, where it is observed that most the water column is ensonified. In order to accurately predict detection distances, received levels (RL) must be known, the TL predicted and the source level estimated. For illustration purposes, assuming RL to be about 130 dB (see Fig. ??, for example) at the frequency of TL calculations, and an on-axis sperm whale click source level (SL) of 229 dB re 1  $\mu$ Pa rms (?), would yield (using the equation  $SL=RL-TL$ ) a TL of 99 dB. Looking at TL curves as a function of range for a source at 500 m depth, that corresponds to distances of about 9 km if the glider was at 15 m, or about 12 km if the glider was at 80 or 170 m deep.

## 5 Marine Mammal Bearing Tracks

### 5.1 Bearing Estimation

In order to estimate bearing angles, automated detection of sperm whale regular clicks was performed by running a simple energy sum detector with the aid of the software *Ishmael* (?). ~~Sperm whales produce broadband regular clicks, also called usual clicks (?), that are highly directional (?), with durations of 100 , and with center frequency reported around 13.4 (?).~~ *Ishmael* produces a detection function which represents the likelihood that a call of interest is present. The detection function has arbitrary amplitude units and a threshold is chosen with respect to its height (?). For this data set, a detection threshold of 0.05 was used to detect clicks with energy in the frequency band between 2 and 20 kHz, which is consistent with the frequency band of sperm whale regular clicks (?). The energy sum detector was applied to channels 1 and 2 separately and detections were saved to corresponding files that logged initial and end times of each detection. Click durations from *Ishmael* detections ranged from 5 to 16 ms. Channel 1 produced more detections than channel 2 (43762 and 33325, respectively). Even though visual inspection seemed to indicate that glitches occurred more often on channel 2, their frequent presence on channel 1 could be a possible explanation for the larger number of detections. In addition, spectrogram levels were higher on channel 1 than on channel 2. Therefore, some clicks detected on channel 1 probably did not have enough energy to be detected on channel 2. The cause for this difference in energy levels could ~~be due to the acoustic acquisition system not be properly assessed, but seemed to be connected to a malfunction of the hydrophone, which failed completely in a subsequent experiment.~~

Next, in order to estimate the direction from which the clicks came, the time difference of arrival (TDOA) of clicks received in the two channels was estimated. Due to the noisy character of the data, especially in the low and very high frequencies, a bandpass filter was applied to the time series so that signals of interest could be distinguished. Hence, a fourth-order Butterworth bandpass filter was designed such that it had a flat frequency response between ~~1.8 and 28~~ 1.5 and 25 kHz, with frequencies outside ~~this band attenuated up to 185~~ the 300 Hz to 43 kHz band attenuated 60 dB or more.

~~Next, in order to estimate the direction from which the clicks came, the time difference of arrival (TDOA) of clicks received in the two channels was estimated. The~~ The TDOA can be estimated using various methods, the most common of which are cross-correlation and matched filter. Here, a biased estimate, which normalizes the cross-correlation by the number of samples, was calculated using the software MATLAB. The correlation lag  $\tau$ , or time difference of arrival, is given by the maximum absolute peak of the cross-correlation of a time window containing a single detection. ~~Here, each detection from~~ Instead of using cross-correlation between channels 1 and 2 to detect clicks and estimate  $\tau$ , we chose to use the detections

provided by Ishmael on one of the channels. Here, channel 1 ~~centered on a time window of 16 (which corresponds to the~~  
 290 ~~maximum detection duration given by Ishmael)~~ was used since it yielded more detections. A 6-ms window centered on  
 each detection's initial time was extracted from channel 1 and cross-correlated with the same time window from channel 2. Such  
 a time window was found sufficient to ~~guarantee that~~ ensure that, in all observed cases, only one click was present in the time  
 series. Longer detection windows provided by Ishmael were found to contain other multipath arrivals. Hence, by choosing a  
 shorter cross-correlation window centered on a detection's initial time, it minimized (or eliminated) errant correlations between  
 295 ~~direct and multipath arrivals.~~

By assuming a nominal sound speed of 1500 m/s in the ocean and taking the hydrophone separation of 0.9 m, it was  
 found that the maximum possible TDOA between arrivals of a click on both hydrophones was  $T = 0.6$  ms. This ~~value of  $T$~~   
~~was compared to the estimated  $\tau$  to select sperm whale echolocation clicks received on both channels as well as to eliminate~~  
~~glitches, which were also detected by Ishmael. The~~ provided a means of rejecting glitches or other false positive detections on  
 300 ~~a single channel. It is noted that the~~ sampling frequency with which the data ~~was were~~ recorded provided good time resolution  
 ( $\Delta t = 0.01$  ms) ~~at such small time scales~~ relative to  $T$ .

The estimated TDOA was then used in the formula below to find the direction of arrival of each detected click, keeping in  
 mind the ~~right-left inherent left-right~~ ambiguity of the estimate. The direction of arrival, or bearing angle ( $\theta$ ), was calculated  
 by

$$305 \quad \theta = \cos^{-1} \left( \frac{\tau c}{L} \right), \quad (1)$$

where  $c$  is the sound speed (1500 m/s) and  $L$  is the hydrophone separation distance (0.9 m). ~~Results from the bearing estimation~~  
~~are presented in the next section.~~ Bearings are estimated between  $0^\circ$  and  $180^\circ$  (with ambiguity from  $0^\circ$  to  $-180^\circ$ ). Therefore,  
 the final step was to convert  $\theta$  to angles in the glider's reference frame ( $0^\circ$  to  $360^\circ$ ). Estimated bearings can not be readily  
 corrected for the glider's recorded heading due to the ambiguity of the estimates. Results and related accuracy of the bearing  
 310 ~~estimates are presented next.~~

## 6 Marine Mammal Bearing Tracks

### 5.1 Bearing Results and Identifying the Left-Right Ambiguity

Clicks (sperm whales) present in just over one minute of data from the onset of file 06 were manually annotated and compared  
 to detections made by Ishmael as a qualitative measure of detector performance. The results of this comparison given ~~by bearing~~  
 315 ~~angles in terms of bearing angles (not corrected for glider's reference frame)~~ calculated from both sets of detections are shown  
 in Fig. ?? ~~. Manual annotation yielded more clicks than~~ For this short period of time, manual annotation yielded 399 clicks  
 (or bearings) while the automatic detector ~~. However, both detection methods seemed to agree very well. It can be suggested~~  
~~from~~ produced 406 clicks. Of the 406 automatic detections, 84 were in fact false positives, corresponding to about 21% of the  
 detections in just over one minute of data. Both detection methods agreed relatively well, and this preliminary result suggests  
 320 that at least two animals, possibly three, were producing echolocation clicks during ~~the time of this time of the~~ recordings.

Bearing angles ~~for all the estimated for all true~~ detections of file 06 ~~that were considered clicks~~ from the cross-correlation analysis are shown in Fig. ?? . This corresponds to just over one hour and thirty minutes of data. ~~Sperm whale vocal activity was observed in the beginning of file~~ The bearings were corrected for the glider's reference frame and both glider heading and dive profile are plotted on the same figure for reference. Glider heading in Fig. ?? (the same as in Fig. ??) was shifted by 50° for plotting purposes. Note, however, that estimated bearings given in Fig. ??, are not necessarily the correct bearings. A different set of angles, opposite to the ones plotted and which correspond to the left-right ambiguity are also possible solutions. Each bearing angle corresponds to a click detection. Thus, observing detections along the glider track does not indicate, on first glance, a preferred depth where detections occur as suggested by the TL plots shown in Fig. ?? . Four small gaps in detection can be observed in Fig. ??, due to the movement of the battery when the glider starts a descent or ascent. The color scheme corresponds to the strength, or peak, of the cross-correlation in decibels (dB). The weaker the cross-correlation peak, the harder it is to differentiate the click above the noise floor. It is noted that sperm whale vocal activity was observed through out file 06 (Fig. ??), whereas dolphin clicks seemed to be ~~mostly present roughly present mostly~~ in the last 20 minutes of data (Figs. ?? and ??). Shorter time segments within this figure are examined in detail to get a better picture of ~~animal movement~~ the tracks.

~~Two~~ Three shorter segments of estimated bearing angles are shown in Fig. ?? (a)-(c). The upper plot (Fig. ?? (a)) shows bearing angles estimated from clicks recorded during the first 8 min from the beginning of file 06. By zooming into this shorter period of data, it is possible to realize ~~two three~~ different tracks closely following each other. ~~As always with passive acoustic monitoring, other animals could possibly be present without being detected, either because they were not vocalizing, or because they were further away from the sensors and therefore their clicks fell below the detection threshold.~~

~~The second zoomed in plot of~~ The glider heading is also observed to nicely follow the bearing track for this set of angles, as opposed to their ambiguous counterpart (not shown here). The second zoomed in plot (Fig. ?? (b)) shows 18 min of estimated bearings in the middle of the file. One strong track is seen throughout, following an opposite pattern as the glider heading. This feature was not found to be related to multipath clicks (Sec. ??), which were not picked by the detector. When the detector did pick multipath clicks they were part of the same detection as the first arrival and hence, could be excluded from the cross-correlation process. Therefore, multipath clicks did not have any significant contribution to the bearing results presented here. Two other tracks are also observed in the same plot, following closely the glider's heading, as before. Finally, the last plot, Fig. ?? ~~shows 25 of estimated bearings towards~~ (c), shows just over 20 minutes of bearings estimated at the end of the file, where dolphin clicks were more predominant. It is worth noting that detections were made between 2 and 20 kHz; hence, estimated bearings in this window could correspond to either sperm whales (the target of the click detector) or dolphins, whose clicks had enough energy in the sperm whale frequency band to elicit a detection. At first glance, the results shown in this plot seem to indicate the presence of a few tracks. ~~It is interesting to note, however, that they look almost as mirror images of each other, but with different degrees of offset. It may be possible that these are produced from multipath arrivals. Manually inspecting spectrograms from about 60 to 70 revealed that most clicks, especially the stronger ones, occurred in pairs, which can indicate multipath. However, without knowing more about the location of the animals it is hard to really assess the true nature of the double clicks, with strong cross-correlation peaks between 85 and 90 minutes. These stronger correlations seem to almost form a different and separate set of tracks.~~



Finally, a polar plot (Fig. ??) was made combining all estimated bearings. This shows the clicks' directions of arrival in the glider's reference frame, including the left-right ambiguity inherent in a two-sensor arrangement. A diagram of the glider depicting the left-right ambiguity is also shown for illustration purposes. The polar plot suggests that most clicks were coming from approximately northeast, or southeast, of the glider, which was heading approximately north during the recording of the data. A second, smaller group of clicks coming from the northwest, or southwest, also seemed to be present.

## 5.2 Bearing Accuracy

The accuracy of bearing estimates depends on different factors such as the time-difference of arrival (TDOA) estimation via cross-correlation of data recorded on the two channels, the signal-to-noise ratio (SNR) of received signals, and the accuracy of the automated detection process. Accuracy can also be thought of in terms of the ability to resolve or distinguish two sound sources that are close to each other. The accuracy of the detection algorithm was shown qualitatively in Sec. ??, where manual and automatic detections were compared for just over a minute of data, and yielded good agreement.

To assess the accuracy of the cross-correlation process a snippet of 100 ms of data containing noise and one sperm whale echolocation click with good SNR was randomly chosen and extracted from both channels for analysis. The time delay between the two channels, estimated by cross-correlation, was 0.3125 ms. Next, a smaller time window containing only noise was further extracted from the 100 ms data snippet. The click signal was extracted from channel 1 only. Two waveforms were then created: one was the combination of the extracted noise and click from channel 1, and the other combined the noise from channel 2 with the click from channel 1, which was time delayed by 0.3125 ms. Cross-correlation of these two waveforms, with known time delay, yielded an estimated lag of 0.3229 ms. Translating the lags to bearings using Eq. ?? yields 121.4° and 122.6°, respectively. The error in time delay estimation by using cross-correlation corresponded to a difference of approximately 1.2° in bearing.

The effect of click SNR on estimated bearings was also examined by using the two waveforms created as described above. Signal-to-noise ratio was measured from computed spectrograms of the waveforms. Spectrograms were calculated by using a fast Fourier transform (FFT) with 1024 points, Hamming window, and 50% overlap. The power of both noise and signal were summed between 2 and 20 kHz. The decibel (dB) values of those quantities were then subtracted, yielding SNR values in decibels. The click, extracted from channel one and used to create the short waveforms, had a measured SNR of 9.4 dB. Noise power levels were then modified and both click SNR and time delay (bearing) were estimated. The result is shown in Fig. ?? as a plot of SNR versus bearing angle. By decreasing noise levels and consequently increasing click SNR, a small decrease was observed in the estimated bearing angle of approximately 1.2°. However, it does not matter by how much noise levels are decreased (or how high SNR is), the change in bearing is constant. On the other hand, increasing the noise levels (i.e., decreasing click SNR) lowered the estimated bearings even more. An SNR decrease of 1 dB corresponded to a difference of 3.5° in bearing angle. Furthermore, dropping the SNR by 3.4 dB caused a decrease in estimated bearing from 122.6° to 88°, or a difference of 34.6°. It is noted that these results corresponded to only one click sample from the data set.



## 6 Discussion and Conclusions

In this work, a glider was fitted with two hydrophones and an inexpensive, off-the-shelf acoustic ~~acquisition system--recording~~  
390 ~~system for use in studies related to marine mammal population density estimation. Even though the experiment described~~  
~~here was opportunistic and by no means designed as a density estimation experiment, this was the first time a glider fitted~~  
~~with two sensors was used to monitor marine mammals.~~ Evaluation of glider operations and of the acoustic system was  
performed during the REP14-MED sea-trial off the west coast of the island of Sardinia, Mediterranean Sea. About 20 hours  
of dual-channel continuous acoustic data were recorded in deep water (~~>1500-2000~~ m), and contained calls of sperm whales  
395 as well as dolphins. Sperm whale regular clicks recorded on both channels were cross-correlated for the estimation of bearing  
angles, and animal tracks could be recognized from this analysis. Only a few studies exist on the distribution and abundance  
of sperm whales in the Mediterranean Sea. The current work contributes not only with a unique data set from which sperm  
whale tracks could be realizable but it also adds to the pool of information of where such animals might occur. In terms of  
density estimation studies, the acoustic data recorded by the glider provides a good starting point for extending the existing  
400 methodology to slow moving platforms. More specifically, the ability to estimate animal tracks from estimated bearing angles  
provides a distance-related co-variate that has been shown to increase accuracy of density estimates in a terrestrial study.

The successful use of a good quality and inexpensive voice recorder connected to a pair of hydrophones led to subsequent  
improvements to the system. In its original configuration, the Tascam recorder did not allow for the implementation of any  
recording schedule other than continuous recording, restricting data collection to a maximum of 23 hours. On the other hand,  
405 Slocum gliders have the potential to stay deployed for a few weeks at a time. Another drawback of the recording system was  
an inability to start and stop recording via remote command. Thus nearly two hours of data, almost 10% of total capacity,  
were recorded while the vehicle was still on board the NRV *Alliance*. An improved second generation was devised after this  
experiment with added storage capacity and connected to a micro-controller serving as a programmable interface.

The quality of the data was generally acceptable, and even though recordings amounted to less than a day, sperm whales and  
410 dolphin calls were identified over several hours in the data set. However, random short-duration glitches of seemingly electronic  
origin were also present throughout, but not concurrent on, both channels. ~~To identify and potentially fix~~ Some investigation  
has linked the source of such glitches ~~, more testing needs to be done with the acoustic acquisition system~~ to a defect in the  
circuit board that powered the hydrophones and connected these to the Tascam recorder. Even though some processing needed  
to be done in order to remove glitches from detections, they did not compromise the usability of the data set. ~~Even though~~  
415 ~~both~~ Both hydrophones were from the same manufacturer, with the same sensitivity and pre-amps, but their outer shells ~~are~~  
were slightly different. On a more recent experiment one of these hydrophones stopped working completely and it came to  
our attention that water might have leaked inside the sensor. This could potentially explain the difference in levels observed  
between the two channels.

Detection of thousands of sperm whale and dolphin clicks in a data segment of approximately 1 hour and 30 minutes was  
420 enough to test the usefulness of two hydrophones in the glider for marine mammal population density estimation studies. Some  
advantages of having two sensors mounted on a glider, instead of a single hydrophone, ~~for detecting marine mammal sounds~~

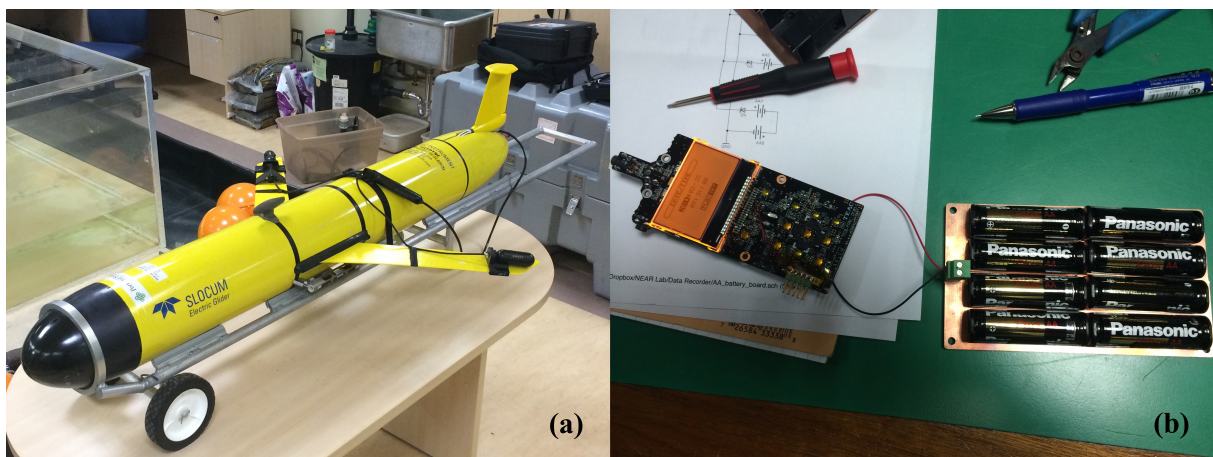
~~include~~include: 1) bearing angle estimation, which can be used as an additional co-variate in density estimation methods, thus increasing the accuracy of estimates (e.g. ?) ; 2) the potential to estimate animal tracks~~and~~, which can give another measure of how many animals are present in a given location surveyed by the glider; and 3) estimated tracks can also be used to infer inter-call intervals, ~~and the removal of multipath arrivals. In fact, by estimating the angle of arrival of detected clicks, at least a few tracks (animals) could be realized from the data. Such information can be valuable to density estimation methods, either directly~~ which are an important parameter necessary for estimating the percentage of time a species produces sound during one day (?), ~~or indirectly for giving another measure of how many animals are present in a given location surveyed by the glider. However, studies are still needed to investigate the effects of the movement of the glider on density estimates, especially with respect to data collection at different depths.~~ Effects of glider movement, especially displacement with depth, will mostly impact detection functions, which are used to estimate the average probability of detecting calls, another parameter important to density estimation. Estimating the glider detection function is a current and on-going topic of research by different groups that are using gliders for population density estimation studies.

Looking at the track results (Figs. ?? and ??) and their relation to the glider heading, it may be possible to disambiguate identified tracks by using the observed oscillation in the glider heading. As observed in Figs. ?? and ??, some estimated bearing tracks followed closely the glider heading, whereas a couple tracks clearly had an opposite pattern likely due to the incorrect assumption about which side of the hydrophone the sounds were coming (the left-right ambiguity). Another interesting question that needs to be answered is the resolution of the tracks and the angular separation needed to distinguish one animal from another. More data analysis needs to be done to identify the dolphin species observed in file ~~06 as well as all the times that sperm whales were present~~06. If enough energy from dolphins' clicks are present in the data, their tracks can be potentially resolved. Longer tracks could ~~potentially also~~ be realized by combining results from multiple files and observing the continuation of clicking activity. ~~Furthermore, knowledge of animal behavior such as usual group size can also complement tracking information. Another interesting question that needs to be answered is the resolution of the tracks and how far apart (in degrees) tracks (animals) can be distinguished from one another. Integration of glider navigation data (depth, heading) with acoustic recordings could provide further insights into the location and behavior of the vocalizing animals. Environmental data collected by the conductivity, temperature, and depth sensor of the glider could further provide information for estimating detection ranges of received call.~~

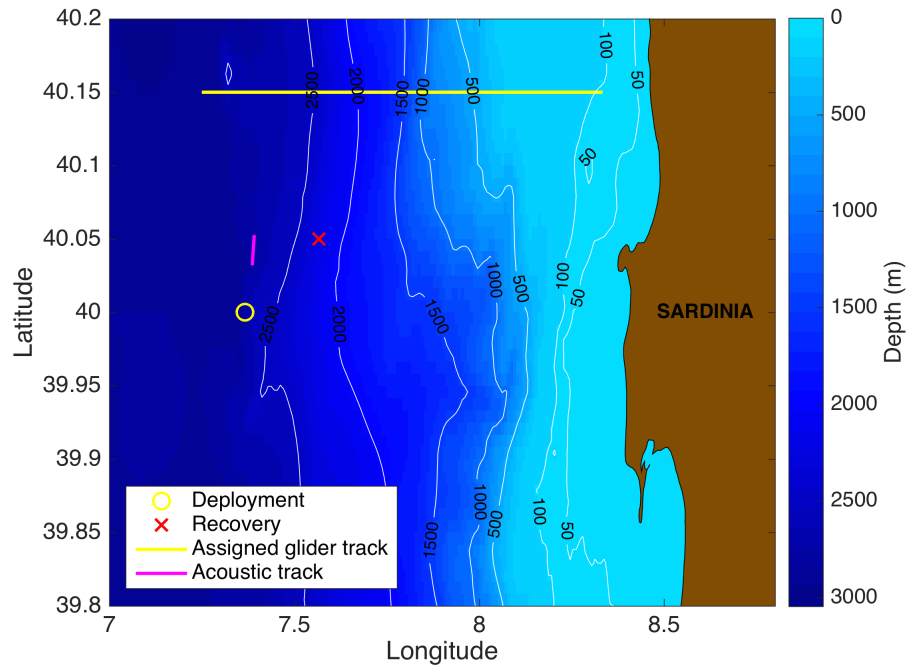
Finally, a major hardware malfunction was identified in ~~Clyde the glider~~ during the sea-trial. A corrupt piece of hardware affected the ~~glider's~~its navigation and communications. Fortunately, the problem was tracked down with the help of the engineers on board the NRV *Alliance* after Clyde was recovered. A new piece of hardware was subsequently installed and glider operations have resumed normally.

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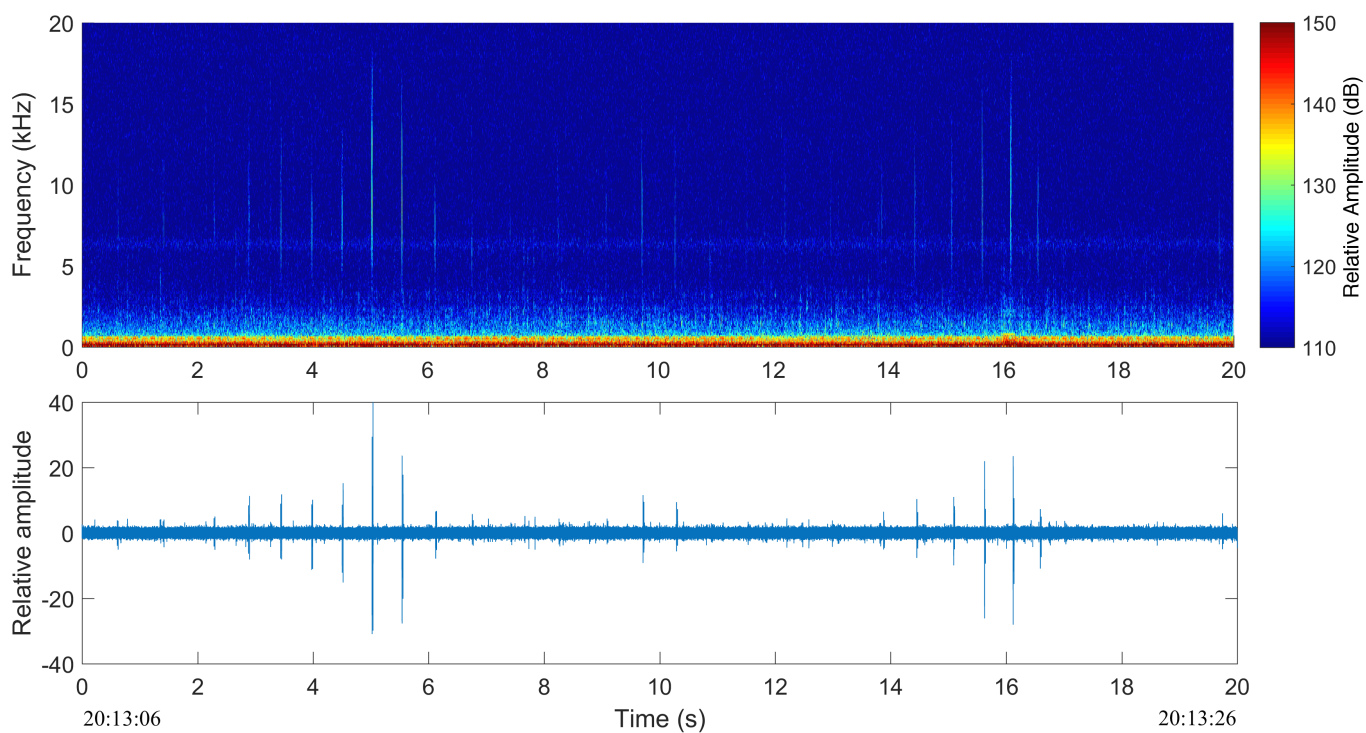
NRV Alliance), Richard Stoner (engineering coordinator), Rod Dymond (acoustics engineer), and Bartolomeo Garau (glider pilot/operations) without whom this data collection would not have been possible. The authors would also like to acknowledge the Office of Naval Research (ONR) Marine Mammal and Biology Program for funding the project that led to this research. [This is PMEL contribution number 4524.](#)



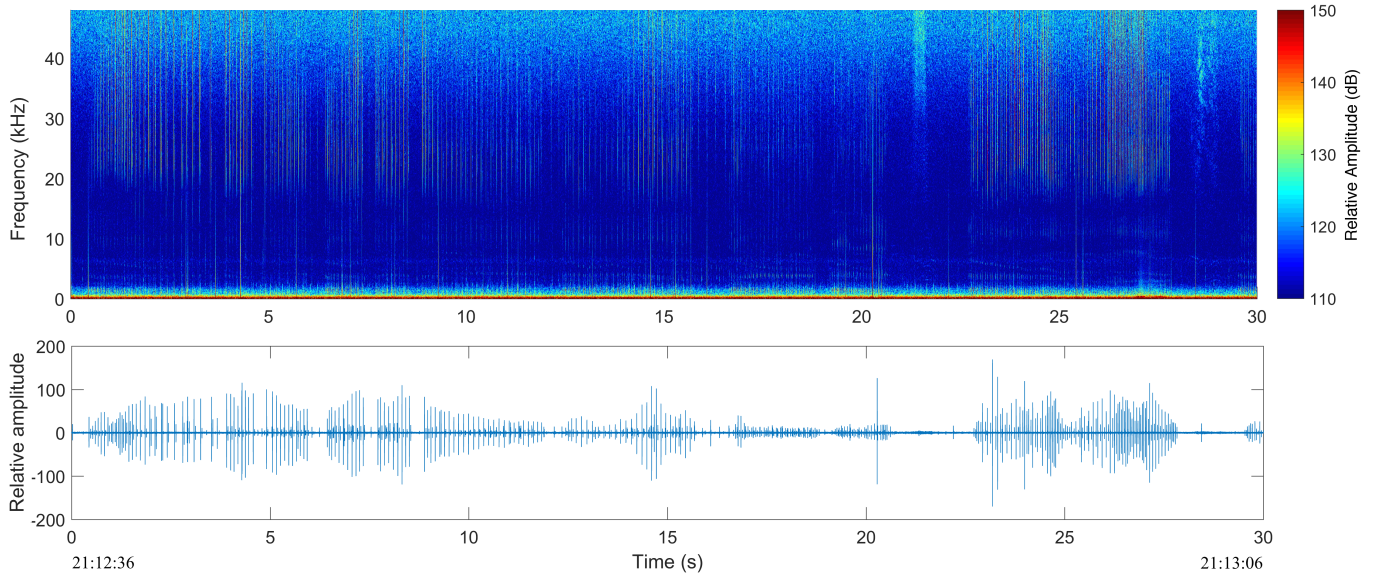
**Figure 1.** (a) The PSU glider *Clyde* with hydrophones attached to the tips of its wings. (b) The acoustic recording system (modified Tascam voice digital recorder) and battery pack.



**Figure 2.** Bathymetry off the west coast of the Island of Sardinia showing the glider's deployment (green-star-yellow circle) and recovery (red star-cross) locations, as well as Clyde's the glider's pre-assigned track for the experiment, and the glider trajectory (vertical magenta line) during the recording of file 6, used in this work. Due to a failure in hardware, the glider never made it to its assigned track. Note that the glider flew for approximately one day from the deployment day, but was recovered 3 days later, having drifted east from its original trajectory due north.

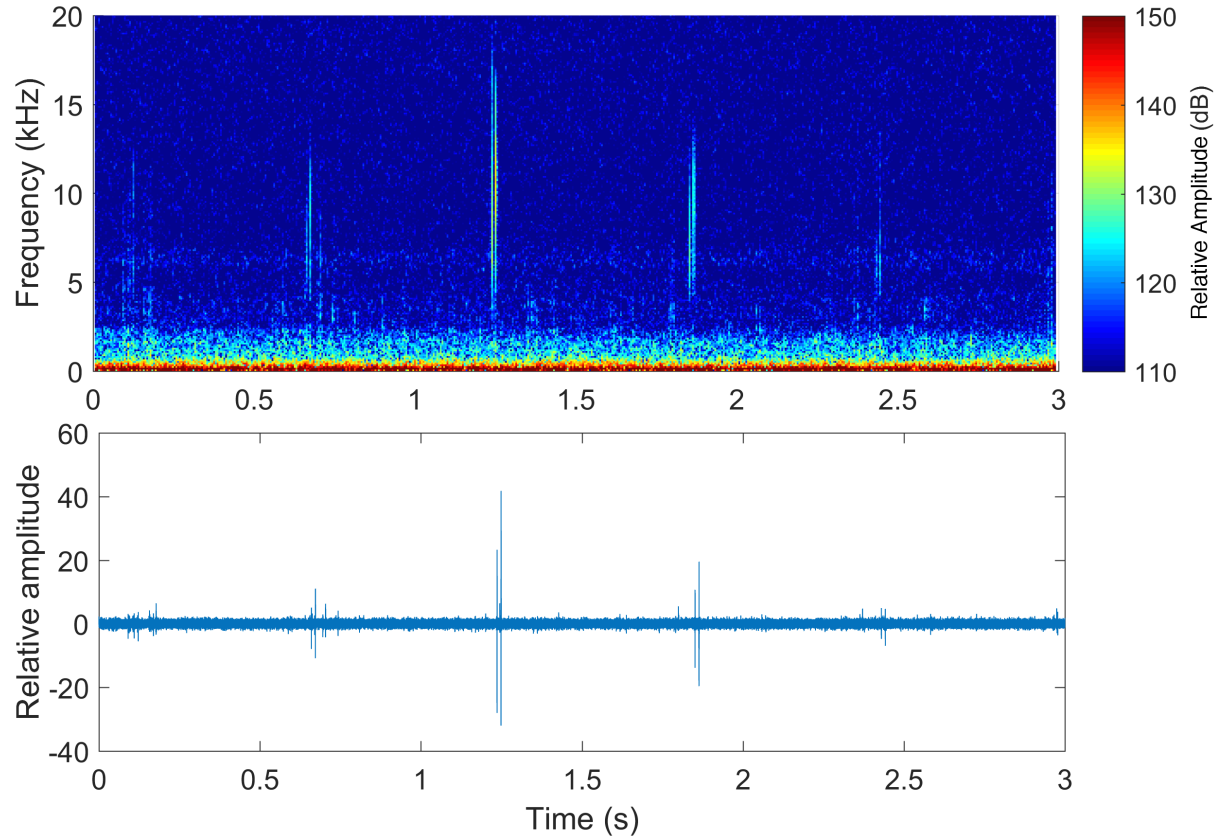


**Figure 3.** Spectrogram (top) and waveform (bottom) of 10-20 seconds of data from-recorded on channel 1 of file 06 showing sperm whale regular clicks (narrow vertical bars). Time stamps are local time (CEST) on 09 June 2014. The relative power-amplitude corresponds to the power-amplitude in dB minus the hydrophone sensitivity of -159 dB re 1  $\mu\text{Pa/V}$ .



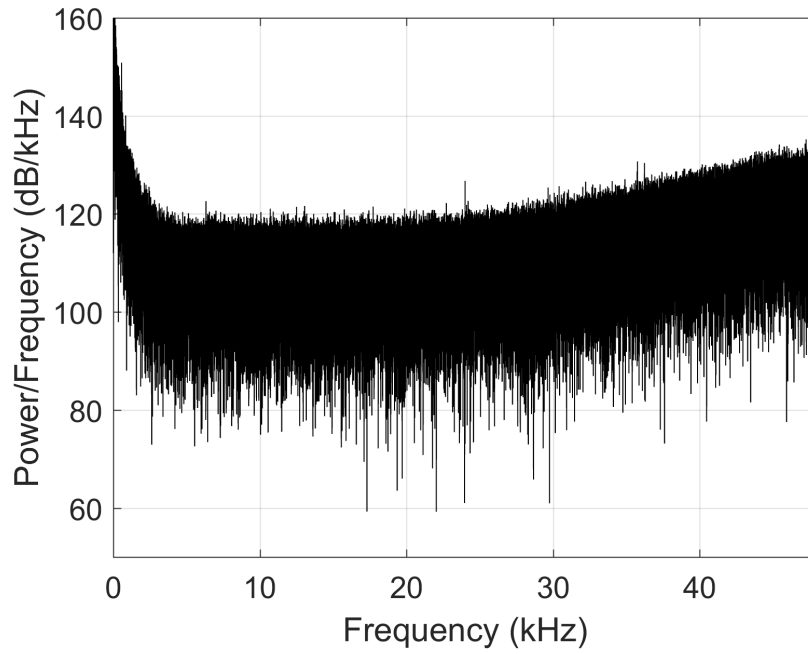
**Figure 4.** Spectrogram (top) and waveform (bottom) of ~~10-30 seconds~~ of data ~~from~~ recorded on channel 1 of file 06 showing dolphin clicks (vertical bars mostly above 15 kHz ~~between 2.8 and 8 s~~), ~~and~~ burst pulses (mostly above 15 kHz between ~~1-2~~ 20-22 s and ~~8.4-9~~ 28-30 s); ~~and whistles (roughly horizontal features between 1-10 kHz)~~. Note that the frequency range is different from the previous plot. Time stamps are local time (CEST) on 09 June 2014. The relative ~~power~~ amplitude corresponds to the power in dB minus the hydrophone sensitivity of -159 dB re 1  $\mu\text{Pa}/\text{V}$ .



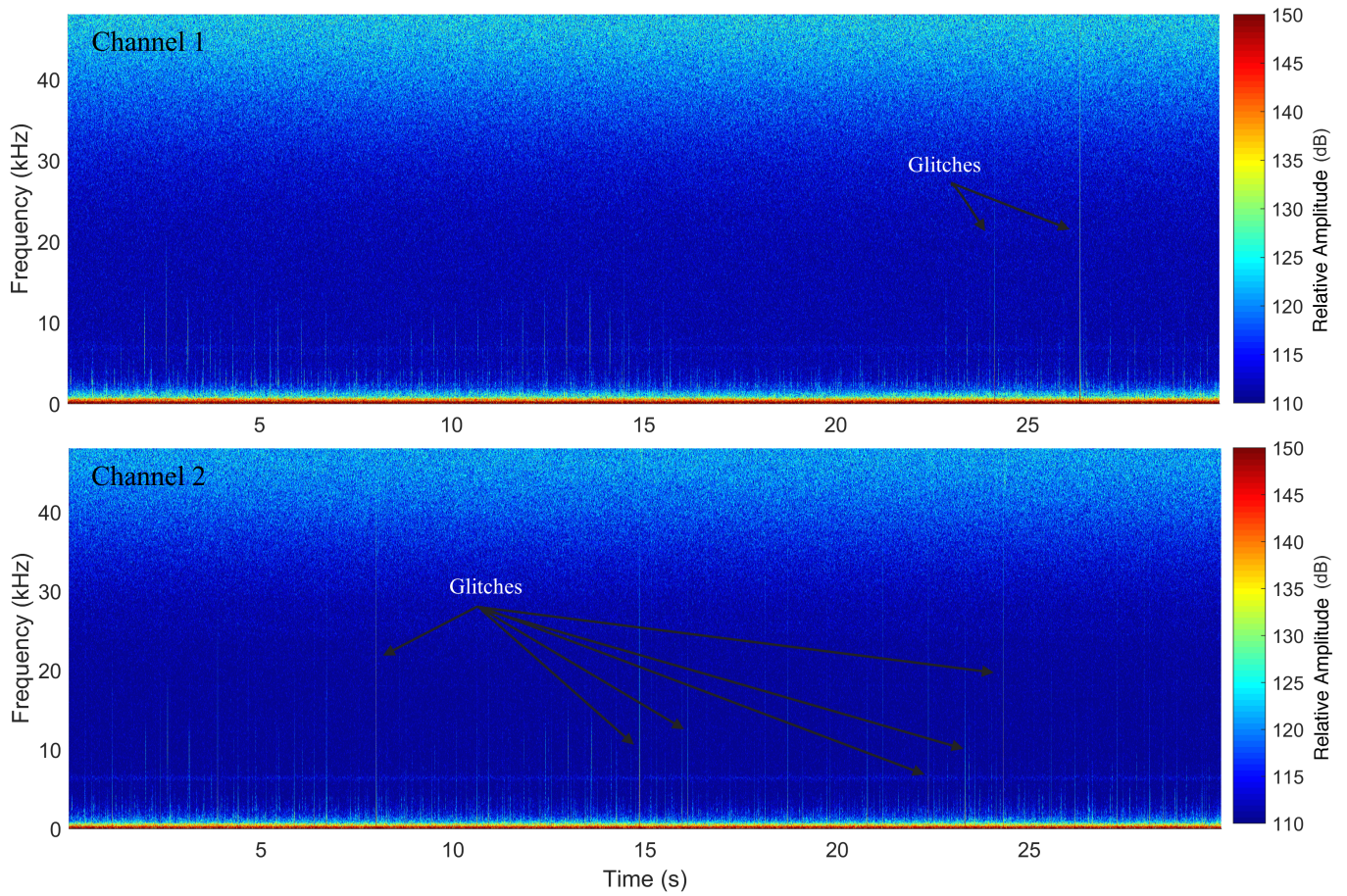


**Figure 5.** Spectrogram Example of 4 of data from channel 1 of file 06 showing sperm whale clicks and dolphin whistles (horizontal features between 2.3-3 s) multipath arrivals - here evidenced by the doubling of each click, as well as electrical system noise shown on both spectrogram (glitch -- strong vertical bar between 1.0-1.5s top) . Time stamps are local time and waveform (CEST bottom) on 09 June 2014. The relative power corresponds to of 3 seconds of data from channel 1. Multipath was observed more prominently at the power in dB minus the hydrophone sensitivity end of  $-159 \text{ re } 1 \text{ file 06}$ .

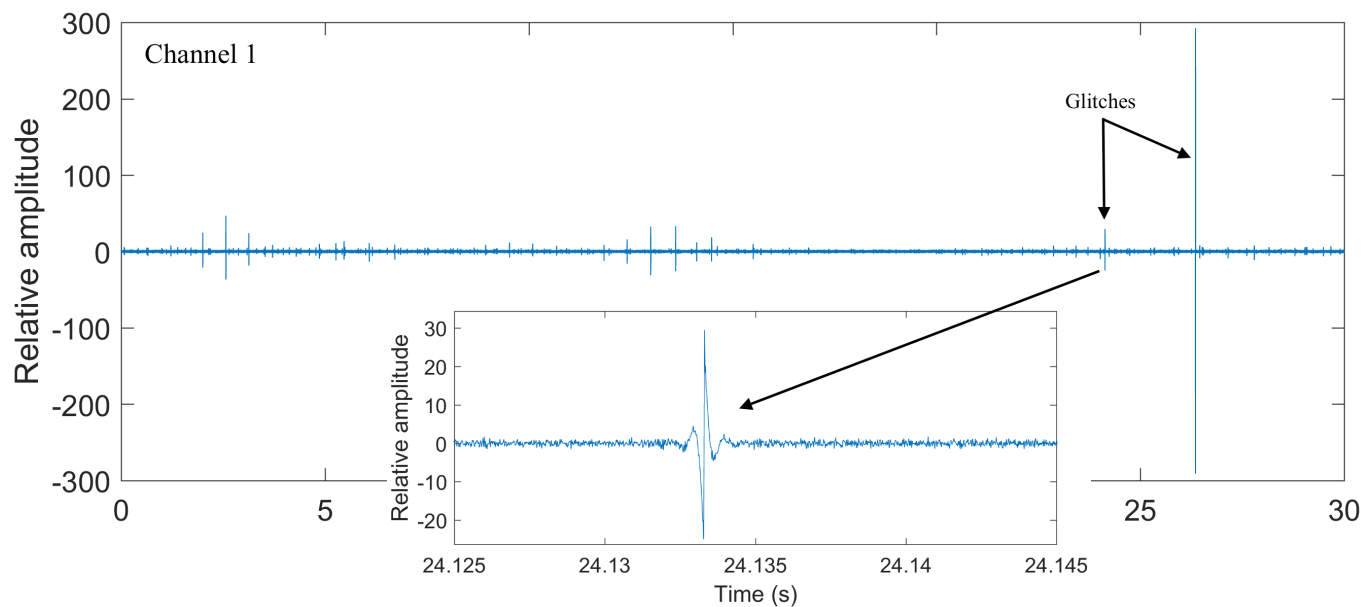




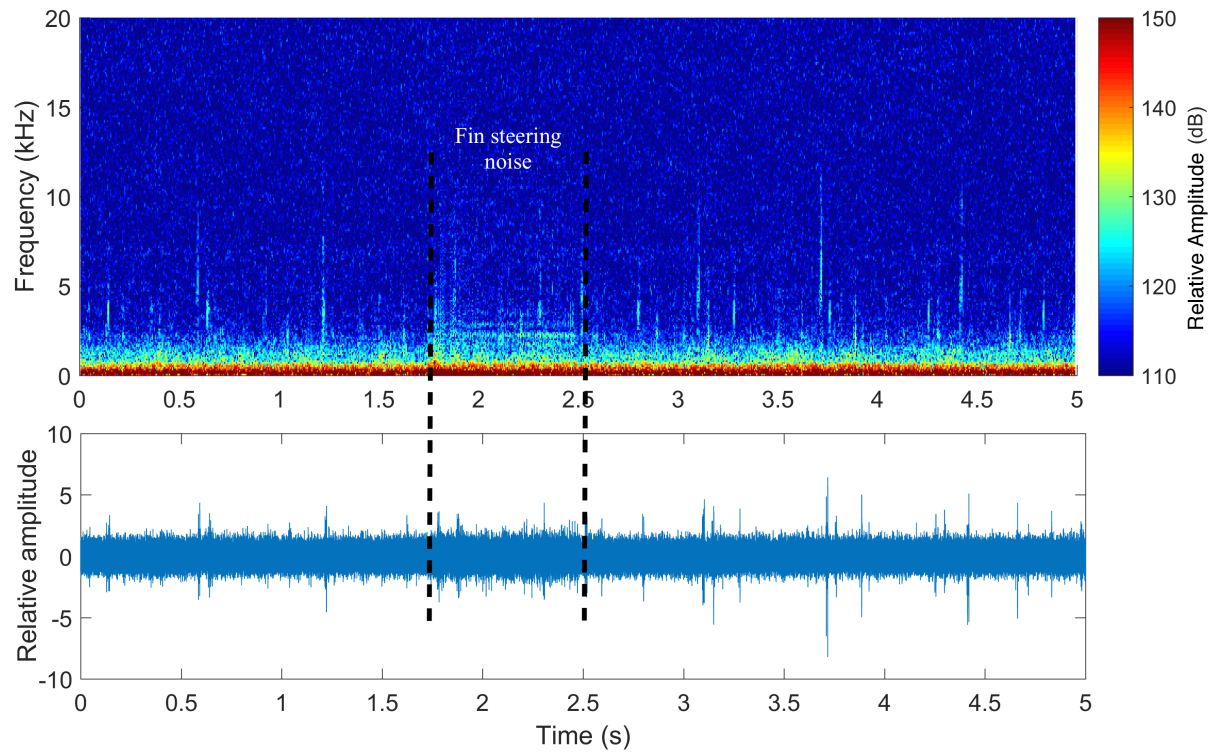
**Figure 6.** ~~Estimated bearing angles~~ Power spectral density estimated using Welch's method from ~~automatic (blue stars) and manual (red dots) detections made just over 1 minute from 5 seconds of data containing only background noise, showing the beginning increase of file~~ 06:power for frequencies above 25 kHz.



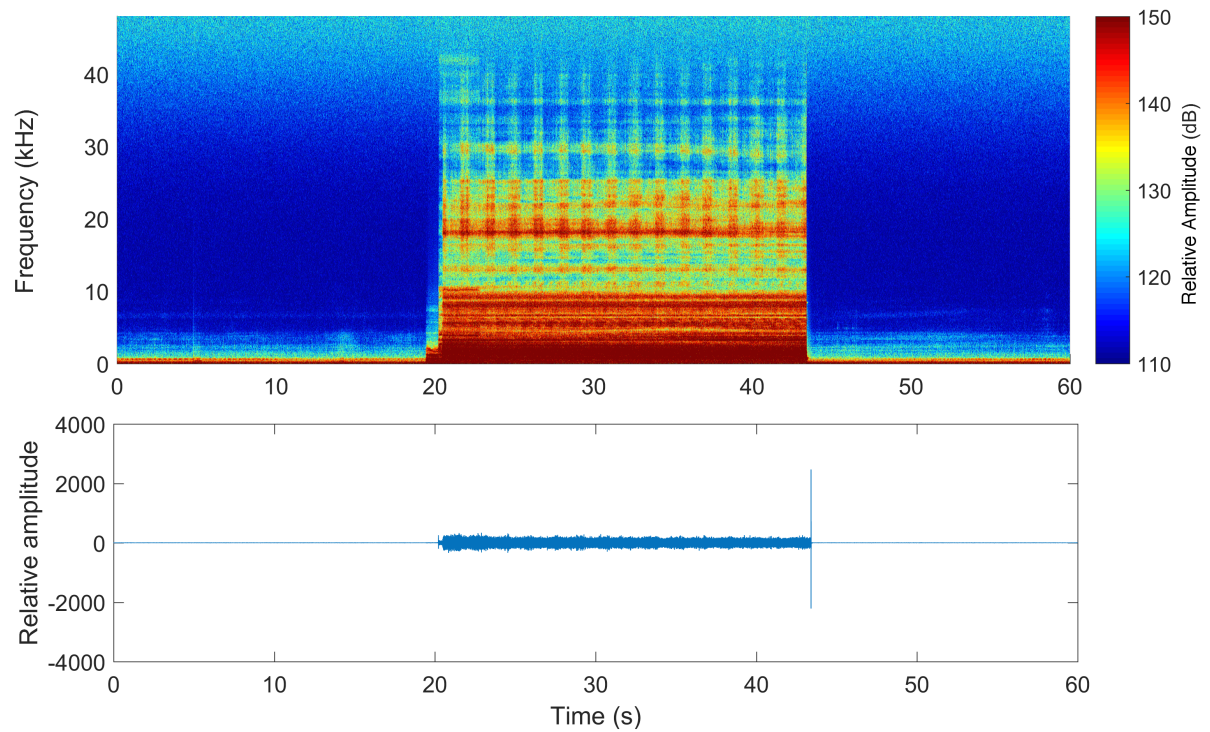
**Figure 7.** Spectrogram of 30 seconds of data recorded on channel 1 (top) and channel 2 (bottom) showing the occurrence of glitches for the same period. While there were only two instances when glitches occurred on channel 1, they showed up many times on channel 2 (only a few are actually shown).



**Figure 8.** Time series recorded on channel 1 for the same 30 seconds of data shown in Fig. ??. The two glitches present are indicated by arrows. The inset shows detail of one of the glitches. Regardless of the amplitude, which can be comparable to the amplitude of odontocete echolocation clicks, they all have the characteristic shape shown here, which is distinctly different from that of echolocation clicks.

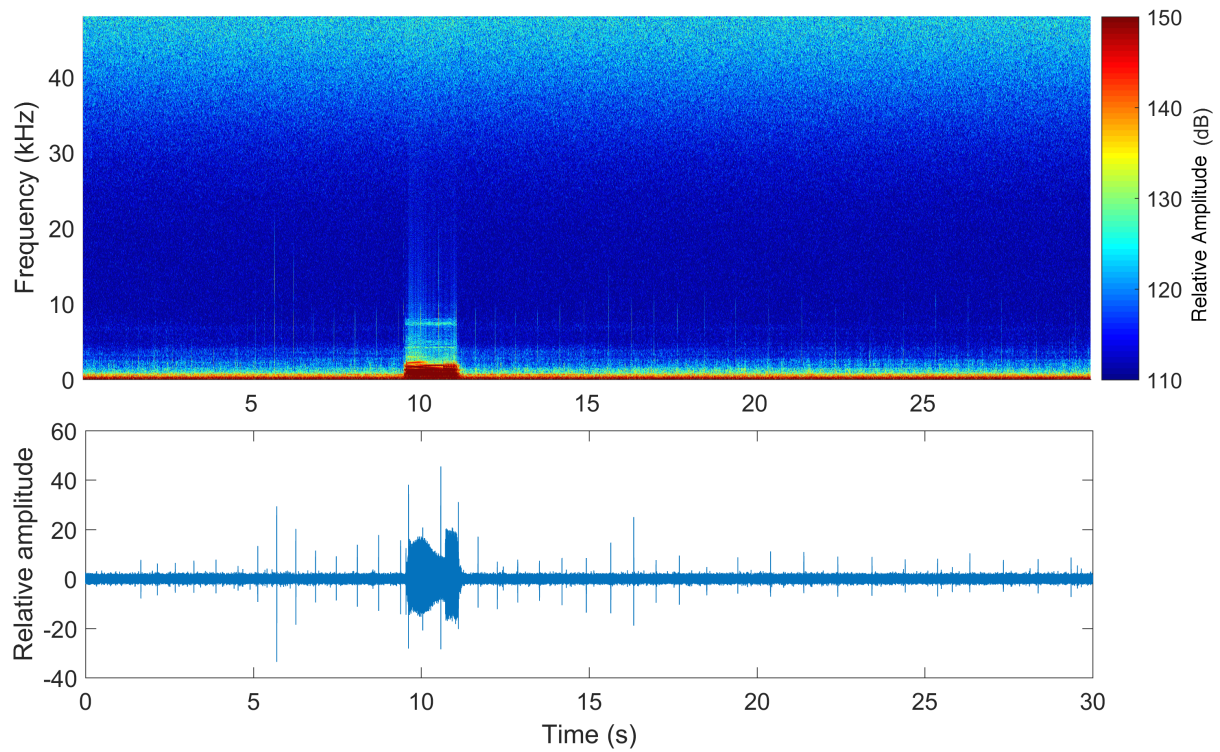


**Figure 9.** Spectrogram (top) and time series (bottom) of 5 seconds of data showing an example of noise (between dashed lines) produced by fin steering in the glider, with sperm whale echolocation clicks (narrow vertical bars) around it.

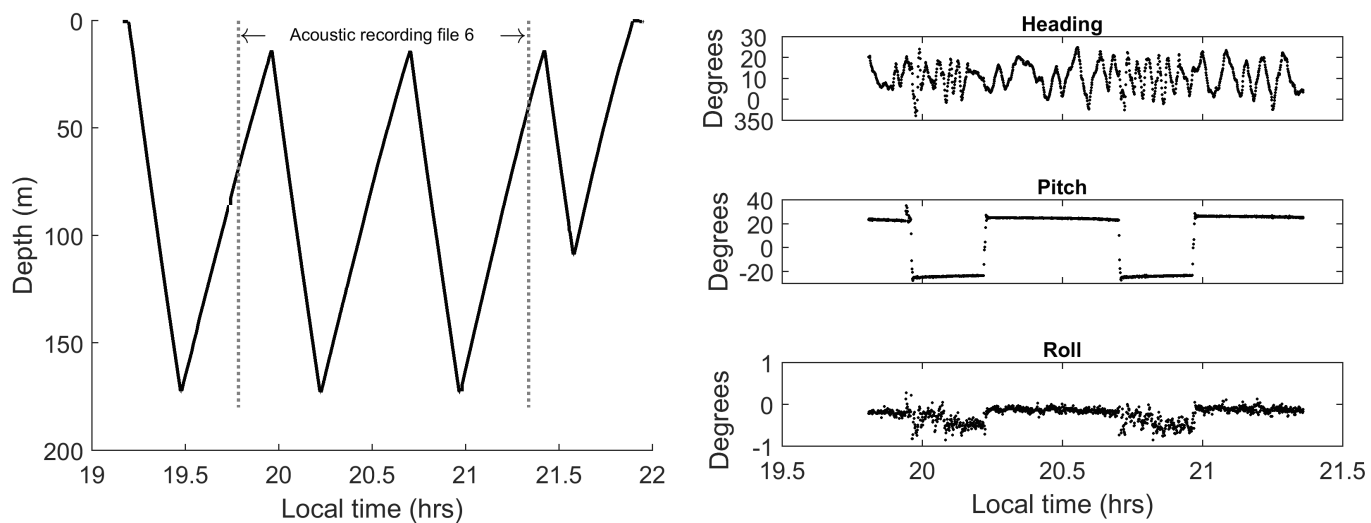


**Figure 10.** Spectrogram (top) and time series (bottom) showing an example of the noise produced by battery movement and volume piston. The volume piston noise starts just before 20 s, and is then masked by the battery movement noise.

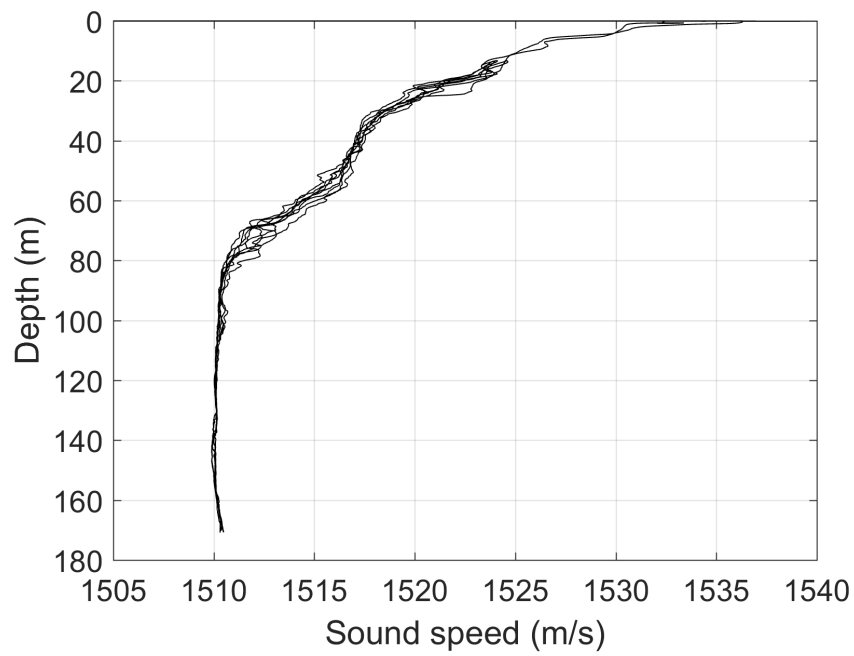




**Figure 11.** Spectrogram (top) and time series (bottom) showing an example of noise produced by the pitch pump, which makes small adjustments to the battery position during a dive. Sperm whale echolocation clicks can also be observed in this sequence, especially in the time series data.

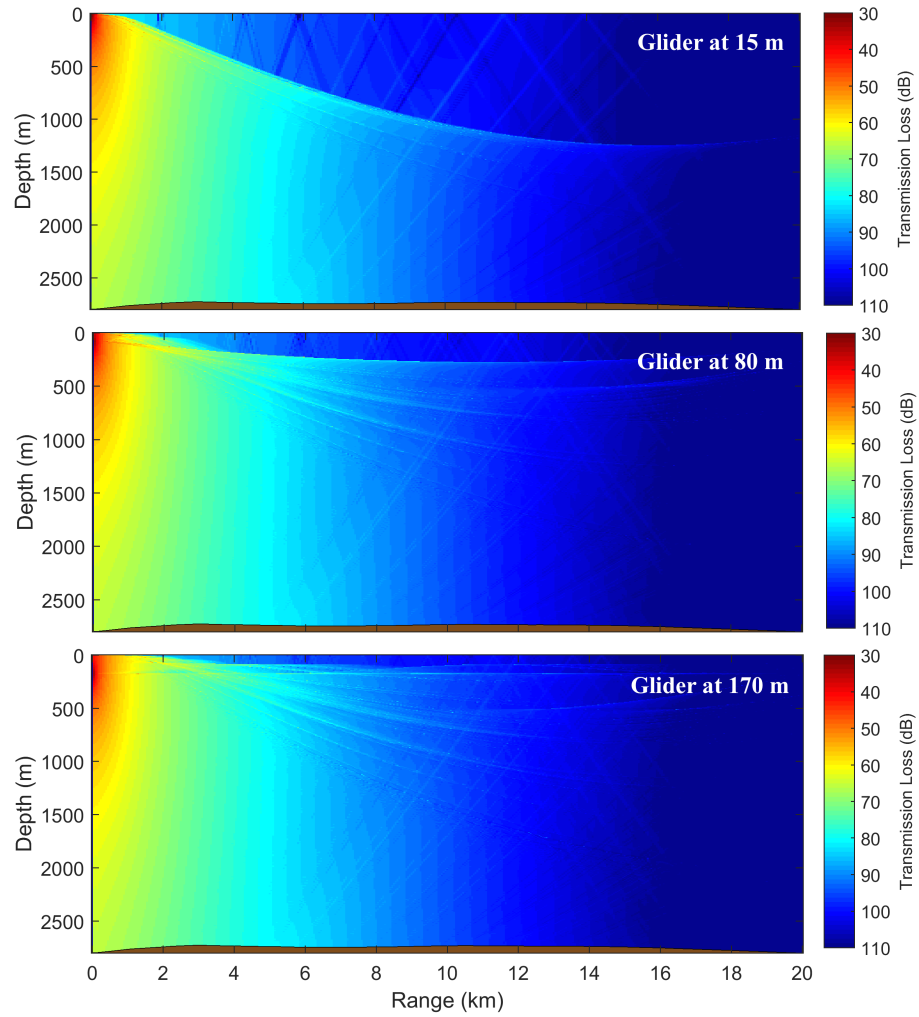


**Figure 12.** [The left plot shows the glider's dive profile during the recording of the acoustic data used in this work. Navigation parameters of the glider \(heading, pitch and roll\) are shown on the right plot. Note the highly oscillatory pattern of the glider heading.](#)

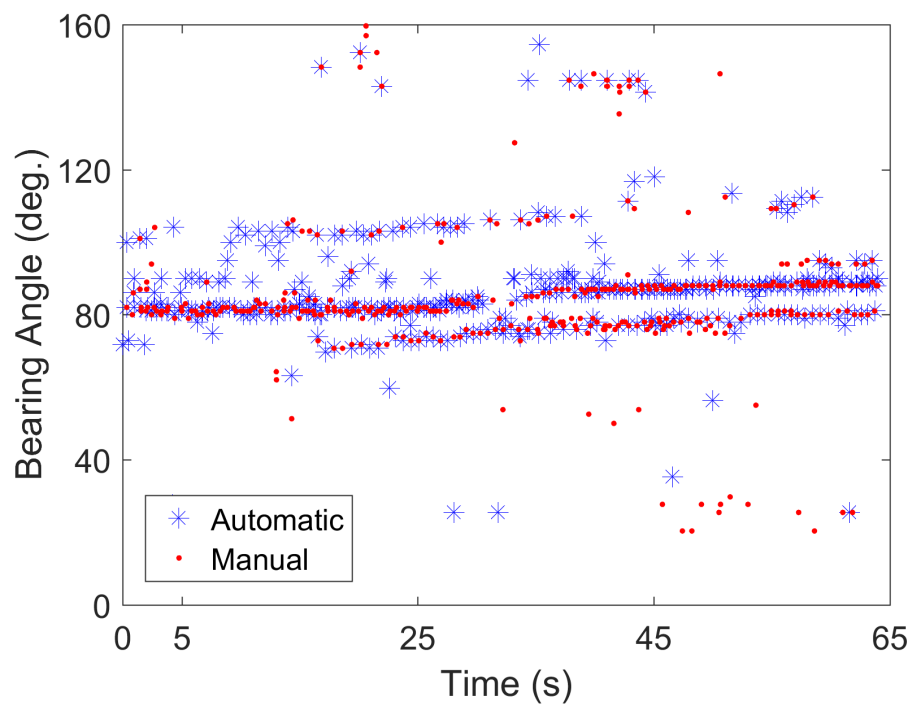


**Figure 13.** [Sound speed profiles measured by the glider during the recording of the acoustic data.](#)

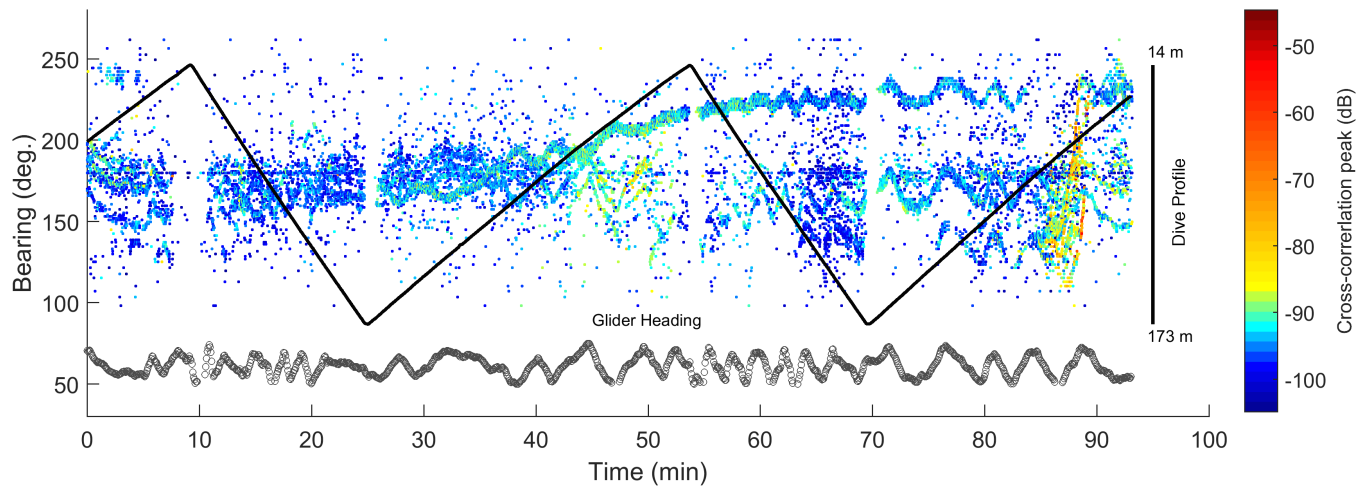




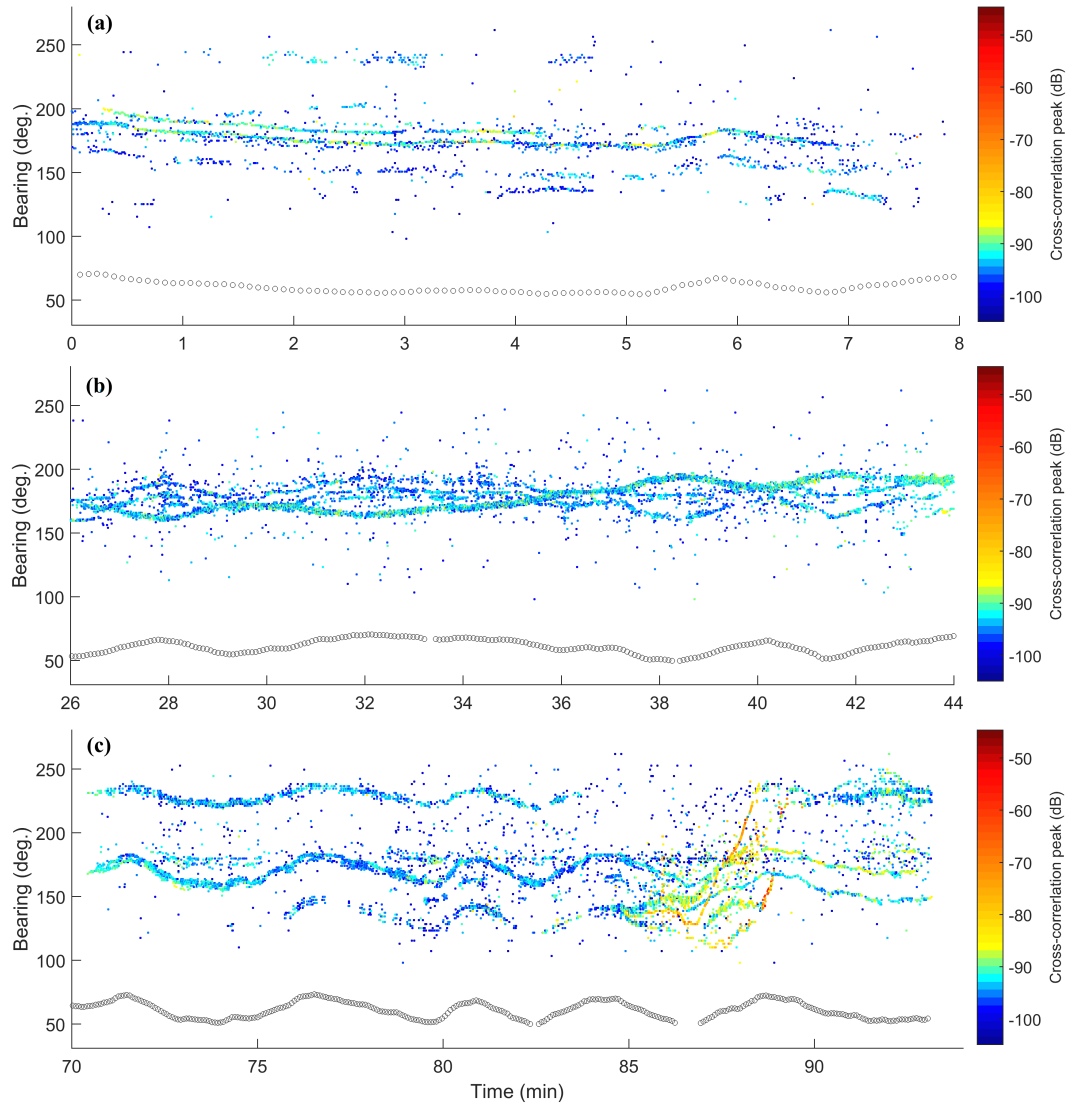
**Figure 14.** Transmission loss as a function of range and depth for 3 glider depths (15, 80, and 170 m), and for a source frequency of 13.4 kHz. The bearing of the plots is due west of the glider position at  $40^{\circ} 2.6' N$   $07^{\circ} 23.45' E$ . As the glider moves deeper, the surface shadow zone narrows and caustics (regions of high intensity) appear.



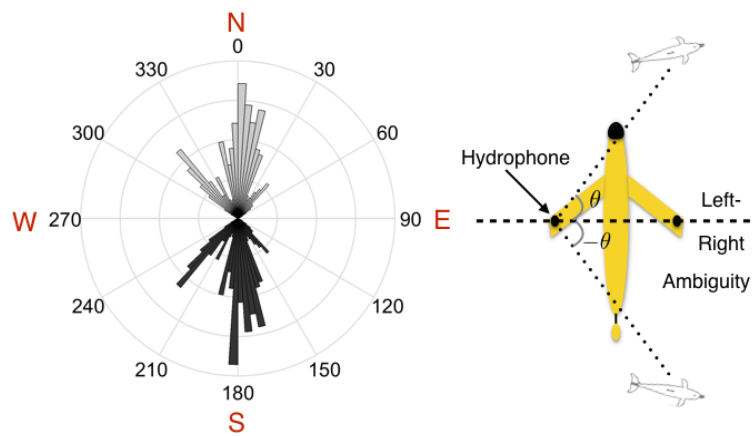
**Figure 15.** Estimated bearing angles from automatic (blue stars) and manual (red dots) detections made just after the beginning of file 06.



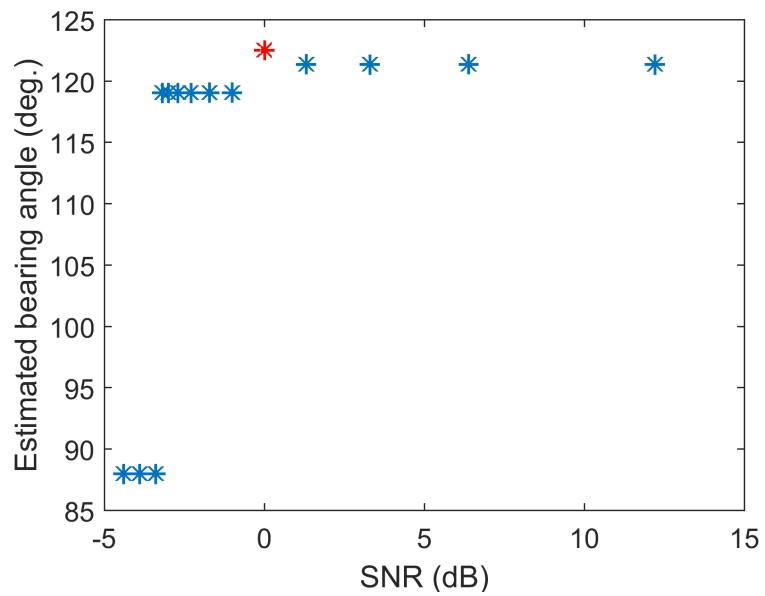
**Figure 16.** Estimated bearing angles ~~from~~ (ambiguous angles are not shown) relative to the glider of all clicks detected in file 06.06 as a function of time and cross-correlation peak strength (colorbar). The glider's heading is shown below (shifted up by 50°), and the glider's dive profile (black zigzag) is superimposed for reference.



**Figure 17.** Estimated bearing angles from automatic detections of file 06, for ~~two~~three smaller time windows: (a) 0-8 minutes, (b) 26-44 minutes, and (c) 70-95 minutes. The glider's heading is shown as the line of gray circles below.



**Figure 18.** Estimated bearing angles from automatic detections of file 06 ~~plotted~~ shown in polar form. Angles are relative to the axis of the glider, ~~but glider which was~~ heading ~~is not accounted for~~ north (0 degrees) during data recording. Because the hydrophones were mounted horizontally, the bearings have front/back ambiguity, shown in the diagram on the right.



**Figure 19.** Click SNR plotted as a function of estimated bearing angle. SNRs, computed by increasing and decreasing noise levels, are given relative to the original click SNR (plotted as a red star), as measured from the data set.