1	Parameterization of the light absorption properties of chromophoric dissolved organic
2	matter in the Baltic Sea and Pomeranian Lakes
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14 Abstract

This study presents three alternative models for estimating the absorption properties of 15 Chromophoric Dissolved Organic Matter $a_{CDOM}(\lambda)$. For this analysis we used a database 16 containing 556 absorption spectra measured in 2006 – 2009 in different regions of the Baltic 17 Sea (open and coastal waters, the Gulf of Gdańsk and the Pomeranian Bay), at river mouths, 18 in the Szczecin Lagoon and also in three lakes in Pomerania (Poland) – Obłęskie, Łebsko and 19 Chotkowskie. The variability range of the CDOM absorption coefficient at 400 nm, 20 $a_{\text{CDOM}}(400)$, lay within 0.15 – 8.85 m⁻¹. The variability in $a_{\text{CDOM}}(\lambda)$ was parameterized with 21 respect to the variability over three orders of magnitude in the chlorophyll *a* concentration 22 Chla (0.7 – 119 mg m⁻³). The chlorophyll a concentration and $a_{CDOM}(400)$ were correlated, 23 and a statistically significant, non-linear empirical relationship between these parameters was 24 derived (R^2 =0.83). On the basis of the co-variance between these parameters, we derived two 25 empirical mathematical models that enabled to design the CDOM absorption coefficient 26 dynamics in natural waters and reconstruct the complete CDOM absorption spectrum in the 27 28 UV and visible spectral domains. The input variable in the first model was the chlorophyll a concentration, and in the second one it was $a_{\text{CDOM}}(400)$. Both models were fitted to a power 29 function, and a second-order polynomial function was used as the exponent. Regression 30 31 coefficients for these formulas were determined for wavelengths from 240 to 700 nm at 5 nm 32 intervals. Both approximations reflected the real shape of the absorption spectra with a low

level of uncertainty. Comparison of these approximations with other models of light
absorption by CDOM demonstrated that our parameterizations were superior (bias from 1.45% to 62%, RSME from 22% to 220%) for estimating CDOM absorption in the optically
complex waters of the Baltic Sea and Pomeranian lakes.

37 **1. Introduction**

38 All natural waters contain optically significant constituents that determine their inherent optical properties, i.e. the absorption coefficient, scattering coefficient and beam 39 attenuation coefficient. The total absorption coefficient in the ultraviolet and visible spectral 40 range of the electromagnetic radiation spectrum is almost entirely determined by four main 41 groups of absorbents: water molecules, organic and inorganic suspended particulate matter 42 (SPM) and Chromophoric Dissolved Organic Matter (CDOM). The quantitative and 43 qualitative properties of these absorbents significantly affect the amount and spectral 44 distribution of light in the aquatic environment. The absorption of pure water, as measured by 45 Pope and Fry (1997), is almost constant in natural waters and can be omitted from the 46 47 following analysis because it does not contribute to the variability in the total absorption 48 coefficient. Changes in spectral values of pure sea water absorption are almost entirely determined by the concentration and composition of sea salt ions and dissolved gases; they 49 50 are pronounced mostly in the UV-A and UV-B spectral regions below 300 nm (Woźniak and Dera, 2007). Spectral properties (values and spectral shape) and the mutual proportions of 51 light absorption coefficients by CDOM ($a_{\text{CDOM}}(\lambda)$), phytoplankton pigments ($a_{\text{ph}}(\lambda)$), organic 52 53 detritus and mineral particles $(a_{NAP}(\lambda))$ determine the spectral shape and magnitude of the total absorption spectrum as well as affecting both the inherent and the apparent optical 54 properties of natural waters (Woźniak and Dera, 2007). 55

Chromophoric Dissolved Organic Matter (CDOM) is the uncharacterized fraction of 56 the dissolved organic matter pool consisting of a heterogeneous mixture of water-soluble 57 organic compounds that have the ability to absorb light (Nelson and Siegel, 2002). The effect 58 59 of CDOM absorption is mostly visible in the UV and blue spectral range of electromagnetic 60 radiation, where the CDOM contribution to the total non-water absorption can be as much as 61 90%, even in the clearest natural waters found in South Pacific Subtropical Gyre south of Easter Island (Morel et al., 2007; Bricaud et al., 2010; Tedetti et al., 2010). The CDOM 62 63 absorption band also overlaps the primary phytoplankton pigment absorption band in the blue part of the spectrum; this leads to significant errors of standard algorithms for retrievals of 64

chlorophyll *a*, especially in coastal ocean and shelf waters and semi-enclosed seas (Darecki
and Stramski, 2004; Siegel et al., 2005). Therefore, appropriate quantitative and qualitative
descriptions of the optical properties of CDOM are crucial for the ocean color remote sensing
of aquatic environments.

The CDOM absorption coefficient is a very reliable predictor of the dissolved organic 69 70 carbon concentration in fresh and estuarine waters (Brezonik et al., 2015; Kutser et al., 2015; Toming et al., 2016), and therefore this optical parameter could be easily applied in various 71 72 aspects of organic carbon biogeochemistry. The ocean color remote sensing offer new 73 operational satellite missions based on medium ground resolution (of the order of 250 m) 74 sensors, like the European Earth Observation Copernicus program Sentinel-3 OLCI mission, and the US Joint Polar Satellite System program VIIRS sensors. These radiometers are 75 76 particularly suitable for remote sensing observations of inland water bodies and estuaries (Palmer et al., 2015; Kwiatkowska et al., 2016). The optical properties of CDOM, abundant in 77 fresh and estuarine waters at high concentrations, shift the spectral maximum of the water 78 79 transparency to solar radiation and water leaving radiance towards the longer wavelengths (Darecki et al., 2003; Morel and Gentili, 2009). In extreme cases, in humic boreal lakes, 80 CDOM reduces the water-leaving radiance intensity in the visible spectrum almost to zero 81 (Ficek et al., 2011; Ficek et al., 2012; Ylöstalo et al., 2014). To minimize this effect, the 82 remote sensing algorithm for retrieving bio-optical and biogeochemical variables in optically 83 complex waters has been based on spectral band combinations at longer wavelengths where 84 CDOM absorption is low (e.g. Ficek et al., 2011). Therefore, models need to be developed 85 86 that enable the complete CDOM absorption spectrum to be reconstructed. Detailed spectral 87 information of CDOM absorption is required, for example, to calculate the spectral indices related to molecular weight, degree of photochemical transformation (Helms et al., 2008) or 88 89 aromaticity (Weishaar et al., 2003).

90 CDOM also plays various ecological roles in aquatic environments: even small concentrations strongly absorb UV radiation, protecting organisms from its destructive action. 91 Higher levels of CDOM absorption limit the amount of radiation available for photosynthesis, 92 consequently reducing the primary production of organic matter in that water (Górniak, 1996; 93 Wetzel, 2001). CDOM plays an important part in the various biological processes taking 94 place in water bodies: it can affect the species composition, number and size of plankton 95 organisms (Arrigo and Brown, 1996; Campanelli et al., 2009), and in oligotrophic lakes can 96 promote the growth of bacterioplankton (Moran and Hodson, 1994). Several authors have 97

pointed out that CDOM is a potential source of reactive oxygen forms in aquatic ecosystems,
which has a considerable influence on a variety of biological processes (Whitehead and de
Mora, 2000; Kieber et al., 2003).

101 CDOM absorption decreases exponentially towards longer wavelengths and can be 102 described by the exponential function (Jerlov, 1976, Bricaud et al., 1981,Kirk 1994):

103
$$a_{\text{CDOM}}(\lambda) = a_{\text{CDOM}}(\lambda_0) e^{-S(\lambda_0 - \lambda)}$$
(1)

104 where $a_{\text{CDOM}}(\lambda)$ is the light absorption coefficient for a given wavelength λ , λ_0 is the reference 105 wavelength and *S* is the slope of the spectrum within a given wavelength interval.

106 CDOM accumulates in surface Baltic Sea waters as a combined effect of a very large 107 inflow of fresh water from rivers, the limited exchange of waters with the North Sea and the 108 very high productivity in that sea (Kowalczuk et al., 2006). Systematic studies over the last 109 two decades on the optical properties of Baltic Sea waters and its adjoining fresh water 110 systems, i.e. coastal lagoons and Pomeranian lakes, have yielded evidence that CDOM is the 111 principal absorbent of solar radiation and the main factor governing their optical properties 112 (Kowalczuk 1999; Kowalczuk et al., 2005a; 2006; 2010; Ficek et al., 2012; Ficek 2013).

We performed analyses using a combined data set of optical properties of marine and 113 lacustrine water samples, treating the data as a single, pooled set. The optical properties of 114 lacustrine waters resembled the Baltic Sea waters, despite the differences in the trophic status 115 of these water bodies. In accordance with Choiński (2007), the lake waters were divided into 116 117 ultra-oligotrophic, oligotrophic, mesotrophic, eutrophic, hypereutrophic and dystrophic. The trophicity was determined from the concentration of chlorophyll *a*, the water transparency 118 (measured using a Secchi disk) and the concentration of nutrients, e.g. nitrogen and 119 phosphorus (Carlson, 1977; Kratzer and Brezonik, 1981). The ranges of concentrations of 120 chlorophyll and trophicity-defining nutrients were wider in lakes than in sea waters. In our 121 modelling approach we assumed that lakes could be treated as a natural extension of coastal, 122 lagoon and river mouth waters. 123

The main objective of the present work was to derive three alternative parameterization scenarios of the relationships between the CDOM absorption coefficient in Baltic and Pomeranian lake waters and physical or biogeochemical variables. The motivation for developing these models was to estimate the complete spectrum of CDOM light absorption coefficients by using different input parameters: *i*) known chlorophyll *a* concentrations in the first scenario, *ii*) known values of the CDOM absorption coefficient at 400 nm, $a_{\text{CDOM}}(400)$, in the second scenario, *iii*) and known values of $a_{\text{CDOM}}(400)$ and known nonlinear relationships between CDOM absorption coefficient and the spectral slope coefficient *S* in the third scenario. These models can be used to improve the accuracy of ocean color remote sensing algorithms for retrieving environmental variables in the Baltic Sea, adjacent river mouths, lagoons and freshwater lakes.

135 **2. Material and methods**

136 2.1 Sampling area

Water samples for determining optically significant water constituent concentrations 137 were collected from August 2006 to November 2009 in the southern Baltic and in three lakes 138 in the Pomeranian Lake District (Poland) during the long-term observation program of 139 inherent and apparent optical properties for calibrating and validating ocean color satellite 140 imagery products, run by the Institute of Oceanology, Polish Academy of Sciences, Sopot, 141 Poland, (IOPAN). The locations of the 116 measuring stations where empirical data were 142 143 gathered (a total of 413 data sets) during 16 cruises of r/v Oceania on the Baltic are shown on Figure 1, and the cruise details are given in Table 1. The research cruises were organized so as 144 145 to capture the dynamics of natural seasonal variability occurring in temperate waters: i) at the end of winter, before the onset of the spring phytoplankton bloom, when wind-driven mixing, 146 the vertical convective thermohaline circulation, reduced biological activity and reduced 147 riverine outflow all result in clearer surface waters; *ii*) in spring, when the spring 148 phytoplankton bloom coincides with the maximum freshwater runoff from the Baltic Sea 149 drainage basin; *iii*) at the end of summer, when secondary phytoplankton blooms peak and the 150 thermal stratification of waters reaches its maximum extent. The geographical coverage of the 151 samples included the Gulf of Gdańsk, the Pomeranian Bay, the Szczecin Lagoon, Polish 152 coastal waters and the open sea (the Baltic Proper). The coastal sites in the Gulf of Gdańsk 153 and the Pomeranian Bay are under the direct influence of two major river systems, the Vistula 154 and the Odra, respectively, which drain the majority of Poland. Additionally, samples were 155 collected twice a month at the sampling station on the Sopot pier (Gulf of Gdańsk), from 156 which 66 sets of data were obtained. Field observations were also carried out from April 2006 157 to November 2009 at monthly intervals (except the months when the lake surfaces were 158 covered with ice) on three Pomeranian lakes (Łebsko, Chotkowskie and Obłęskie) from 159 which 77 data sets were obtained. These lakes are enclosed water bodies with only small 160 rivers flowing in and out of them. Lake Łebsko is a specific case, however: it is a coastal lake, 161

162 connected directly to the sea by a short channel. Part of the land around Lake Łebsko 163 immediately adjacent to the channel can, on occasion, be inundated when large backflows of 164 sea water enter the lake. The lake's water level can then rise by 50-60 cm (Chlost and 165 Cieśliński, 2005). Such a situation obviously affects the composition and properties of the 166 lacustrine water. Similar effects, resulting from the great variability of water properties, can 167 be expected at the points where rivers flow into lakes. The lacustrine water in these areas is 168 thus modified by the river water.

169 2.2 *Sample processing*

Discrete samples of water were taken from the surface layer of the southern Baltic and 170 the three Pomeranian lakes with a Niskin bottle. The samples for spectroscopic measurements 171 of CDOM light absorption were filtered twice: once through acid-washed Whatman glass 172 fiber filters (GF/F, nominal pore size 0.7 µm), then through acid-washed Sartorius 0.2 µm 173 pore cellulose membrane filters to remove fine particles. Spectrophotometric scans of CDOM 174 absorption spectra were done with a Unicam UV4-100 double beam spectrophotometer in the 175 240-700 nm spectral range; these instruments were installed in the land laboratory and on 176 board the research ship. The cuvette path length was 5 cm and MilliQ water was used as the 177 reference for all measurements. The absorption coefficient $a_{\text{CDOM}}(\lambda)$ was calculated using the 178 179 following equation:

$$a_{\text{CDOM}}(\lambda) = 2.303 \cdot A(\lambda)/L, \qquad (2)$$

181 where $A(\lambda)$ is the optical density and L is the optical path length in meters; the factor 2.303 is 182 the natural logarithm of 10.

A nonlinear least squares fitting method using the Trust-Region algorithm implemented in Matlab R2009 was applied (Stedmon et al., 2000, Kowalczuk et al., 2006, 2015) to calculate the CDOM absorption spectrum slope coefficient *S* in the 300-600 nm spectral range using the equation:

187
$$a_{\text{CDOM}}(\lambda) = a_{\text{CDOM}}(\lambda_0)e^{-S(\lambda_0 - \lambda)} + K$$
(3)

188 where λ_0 is 350 nm and *K* is a background constant that allows for any baseline shift caused 189 by residual scattering due to fine particle fractions, micro-air bubbles or colloidal material 190 present in the sample, refractive index differences between sample and the reference, or 191 attenuation not due to CDOM. The parameters $a_{\text{CDOM}}(350)$, *S* and *K* were estimated 192 simultaneously by non-linear regression using Equation 3 (Kowalczuk et al., 2006).

The chlorophyll *a* concentration was determined by pigment extraction. The pigments 193 contained in the suspended particles were collected by passing the water samples through 47-194 195 mm Whatman glass-fiber filters (GF/F) under a low vacuum and extracted in 96% ethanol at room temperature for 24 hours (Wintermans and De Mots, 1965, Marker et al., 1980). The 196 chlorophyll a concentration, Chla, was determined spectrophotometrically with a Unicam 197 UV4-100 spectrophotometer. In this method the optical density (absorbance) of the pigment 198 199 extract in ethanol at 665 nm was corrected for the background signal in the near infrared (750 nm): $\Delta OD = OD(665 \text{ nm}) - OD(750 \text{ nm})$; the absorbance was converted to the chlorophyll a 200 concentration using an equation involving the volumes of filtered water (V_w) [dm³] and 201 ethanol extract (V_{EtOH}) [cm³], a 2 cm cuvette path length (1), and the specific absorption 202 coefficient of chlorophyll a in 96% ethanol [dm³ (g cm)⁻¹] (for 665 nm) [Strickland and 203 Parsons 1972; Stramska et al., 2003]: 204

205

$$Chla = (10^3 \cdot \Delta OD \cdot V_{EtOH}) / (83 \cdot V_w \cdot l)^{-1}.$$
 (4)

During the fieldwork, temperature and salinity profiles were measured with a SeaBird
SB36 CTD probe to provide the background physical conditions to sampling.

The data obtained were analyzed using a statistical package and data visualization software (SigmaPlot 8.1). As the dynamic range of variability of the optical parameters exceeded three orders of magnitude, logarithmic transformation was applied for a better presentation of their dynamic changes and to statistically analyze the dataset accordingly. The following arithmetic and logarithmic statistical metrics were used to assess the uncertainty of the empirical relationships and models ($x_{i,M}$ - measured values; $x_{i,C}$ - estimated values (the subscript *M* stands for 'measured'; *C* stands for 'calculated')):

215

• relative mean error (systematic):
$$\langle \varepsilon \rangle = N^{-1} \sum_{i} \varepsilon_{i}$$
 (where $\varepsilon_{i} = (X_{i,C} - X_{i,M})/X_{i,M}$); (5a)

(5b)

root mean square error):

standard deviation (statistical error) of
$$\varepsilon$$
 (RMSE – $\sigma_{c} = \sqrt{\frac{1}{2} \left(\sum (\varepsilon_{i} - \langle \varepsilon \rangle)^{2} \right)}$

217
$$\sigma_{\varepsilon} = \sqrt{\frac{1}{N} \left(\sum \left(\varepsilon_i - \langle \varepsilon \rangle \right)^2 \right)}$$

• mean logarithmic error:
$$\langle \varepsilon \rangle_g = 10^{\left| \langle \log(X_{i,C}/X_{i,M}) \rangle \right|} - 1$$
 (6)

219	•	standard error factor: $x = 10^{\sigma_{\log}}$	(7))
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statistical logarithmic errors: $\sigma_+ = x - 1$ $\sigma_- = \frac{1}{x} - 1$

(8)

•
$$\langle \log(X_{i,C}/X_{i,M}) \rangle$$
 - mean of $\log(X_{i,C}/X_{i,M})$;

•
$$\sigma_{\log}$$
 - standard deviation of the set $\log(X_{i,C}/X_{i,M})$

The linear metrics are represented by the relative mean error, and the standard 223 deviation was used to measure the dispersion of results and assess the model's uncertainty. 224 The relative mean error (Eq. 5a) is the average of all relative deviations between measured 225 and calculated values and quantifies the systematic error. The standard deviation (Eq. 5b) is 226 the dispersion around the average error due to random errors and quantifies the statistical 227 error. Logarithmic metrics are used to better describe the uncertainty in the data set varying 228 over several orders of magnitude. The standard error factor describes how many times the 229 230 error deviates from the average value.

231 **3. Results**

3.1 Variability of the parameters and empirical relationship between CDOM absorption and spectral slope coefficient.

234 Table 2 lists the variability range and average values of selected optical parameters 235 measured in the study area and used for formulating the empirical model: the light absorption coefficients by CDOM at two wavelengths (375 and 400 nm) – $a_{\text{CDOM}}(375)$ and $a_{\text{CDOM}}(400)$; 236 237 spectral slope S, chlorophyll a concentrations Chla. The variability ranges of $a_{\text{CDOM}}(375)$, $a_{\text{CDOM}}(400)$ and *Chla* reached a minimum in sea waters. The minimum CDOM absorption 238 239 coefficients in lacustrine waters were almost one order of magnitude higher than in sea 240 waters, indicating a significant accumulation of CDOM in fresh waters. The maximum values 241 of $a_{\text{CDOM}}(375)$, $a_{\text{CDOM}}(400)$ and *Chla* were recorded in fresh waters: these values were approximately twice as high as those of the respective parameters in sea waters. 242 243 Consequently, the average CDOM absorption coefficients ($a_{CDOM}(375)$, $a_{CDOM}(400)$) and chlorophyll *a* concentrations were higher in fresh than in sea waters. The trend was reversed 244 in the case of the CDOM absorption spectrum slope coefficient S and its variability range: 245 both the maximum and minimum spectral slopes were lower in the lakes than in the sea 246 waters. The average spectral slope coefficient was higher in sea waters than in lake waters. 247

These two data sets, measured in the Baltic Sea and Pomeranian lakes, were statistically significantly different, as indicated by the results of simple analysis of variance: $(p = 3.4 \ 10^{-38})$. However, their variability ranges were such, that the data from the two different aquatic environments overlapped, creating a coherent data set that could be analyzed jointly. Our principle assumption when deriving the CDOM absorption model was that the optical properties of lacustrine waters could be treated as if they were an extension of estuarine and sea waters.

The spectral slope coefficient was inversely and non-linearly related to the CDOM 255 absorption coefficient. The highly absorbing samples were spectrally flatter (characterized by 256 a lower S value). Hyperbolic (Stedmon and Markager, 2001, Kowalczuk et al., 2006) and 257 logarithmic (Kowalczuk et al., 2005b) functional types were used to model this relationship. 258 For consistency with Kowalczuk (2001), we used the log-linear fit to describe the relationship 259 between $a_{CDOM}(400)$ and S. The distribution of the spectral slope as a function of the CDOM 260 absorption coefficient in the Baltic Sea (black dots) and Pomeranian lakes (green dots) is 261 shown in Figure 2a. The black line represents the log-linear dependence (Equation 9) obtained 262 by Kowalczuk (2001), overlain on our data set: 263

264
$$S = log[1.038 a_{\text{CDOM}}(400)^{-0.022}].$$
 (9)

The old relationship works satisfactorily for part of the Baltic Sea data set ($R^2 = 0.76$) but does not cover a large group of CDOM absorption coefficients > 5 m⁻¹. The values of $a_{CDOM}(400) > 5 m^{-1}$ were measured in the lakes and estuarine waters, as well as in the Szczecin Lagoon and where the waters of the Vistula and Odra flow into the southern Baltic. We derived a new formula to determine the $a_{CDOM}(400)/S$ relationship that covered the whole range of $a_{CDOM}(400)$ recorded in both Baltic Sea and Pomeranian lake waters. The new formula is shown in Figure 2a as a red curve and is described by Equation 10:

272
$$S = 0.0213 - 0.003 \ln[a_{\text{CDOM}}(400)].$$
(10)

The new $a_{\text{CDOM}}(400)/\text{S}$ relationship is much better constrained and explains much more variance ($R^2 = 0.79$) with less uncertainty (RMSE = 0.1%) compared to the one given by Kowalczuk (2001).

276 Detailed analysis of the spectral slope distribution as a function of $a_{\text{CDOM}}(400)$ 277 indicated that the data set could be divided with respect to salinity into two subsets: samples characterized by salinity > 5 (mostly Baltic Sea water samples) and those with salinity < 5, which include waters from river mouths, lakes and the Szczecin Lagoon. The relationship between $a_{\text{CDOM}}(400)$ and *S* derived for the respective data substets are presented in Figure 2b and the functional formulas are given by Equations 11 (salinity > 5) and 12 (salinity < 5):

$$S = 0.0206 - 0.004 \ln[a_{\text{CDOM}}(400)] \tag{11}$$

$$S = 0.0196 - 0.0009 \ln[a_{\text{CDOM}}(400)].$$
(12)

The suggested approximations of the $a_{CDOM}(400)/S$ relationships in the two salinity ranges have a higher explained variance ($R^2 = 0.78$ for Equation 11 and $R^2 = 0.22$ for Equation 12). In both cases, the estimation uncertainties – RSME = 0.08% for Equation 11 and RSME = 0.09% for Equation 12 – were lower than the approximation given by Equation 10.

3.2. A model for approximating the CDOM light absorption spectrum from the empirical dependence on the chlorophyll a concentration.

The principle bio-optical assumption on interdependences among optically significant 290 water constituents in the world ocean was formulated by Morel and Prieur (1977), who 291 introduced the concept of Case 1 waters, where the variability of those constituents was to a 292 293 considerable extent correlated with the variability in the phytoplankton biomass expressed as chlorophyll a concentration. Case 1 waters were mostly open oceanic waters and upwelling 294 295 regions along western continental margins. The sea areas where this assumption was not 296 fulfilled were treated as Case 2 waters: mostly semi-enclosed and shelf seas and coastal 297 oceans, where there were sources of riverine waters. It was assumed that changes in the magnitude of optically significant water constituents in Case 2 waters were independent. This 298 299 concept was critically reassessed by Siegel et al. (2005), who reanalyzed the global ocean color imagery data set. They demonstrated that, although the bio-optical assumption was still 300 301 valid in the open ocean, there were significant dependences between chlorophyll a and other 302 optically significant water constituents at regional scales along oceanic continental margins. 303 Even though CDOM was not thought to be correlated with chlorophyll a concentrations in Case 2 waters, there were examples showing that such a relationship was possible (Ferrari and 304 305 Tassan, 1992; Vodacek et al., 1997). In Baltic waters such analyses were carried out by Kowalczuk and Kaczmarek (1996) and Kowalczuk (1999). These authors demonstrated that 306 the concentration of chlorophyll a and the CDOM absorption coefficient were correlated. The 307 positive correlation between light absorption by CDOM and chlorophyll a concentration has 308

been confirmed with new data from both sea and fresh waters. The clearly increasing trend of 309 the CDOM absorption level with phytoplankton biomass is shown in Figure 3. The 310 dependence between $a_{\text{CDOM}}(400)$ and *Chla* obtained by Kowalczuk (2001) has been overlain 311 on the new, updated empirical data set in Figure 3. It is evident that the $a_{CDOM}(400)/Chla$ 312 relationship reported by Kowalczuk is applicable to only some of the Baltic Sea data, in the 313 chlorophyll *a* concentration range 0.8 < Chla < 10 mg m⁻³. The previous power function 314 relationship did not reproduce correctly the $a_{CDOM}(400)$ values for high chlorophyll a 315 concentrations, and CDOM absorption data measured in river mouths and lakes lay above the 316 model curve. We propose a new, statistically significant relationship between $a_{\text{CDOM}}(400)$ and 317 *Chla* that is described by a second-degree polynomial ($R^2 = 0.83$, RMSE = 28%, n = 541, 318 p<0.0001). 319

The same function has been applied to reconstruct the complete CDOM absorption spectrum in the spectral range from 245 to 700 nm with 5 nm resolution (Equation 13):

322
$$a_{\text{CDOM}}(\lambda) = 10^{(A(\lambda)(\log Chla)^2 + B(\lambda)\log Chla + D(\lambda))}, \qquad (13)$$

323 where $A(\lambda)$ [m⁵ mg⁻²], $B(\lambda)$ [m² mg⁻¹] and $D(\lambda)$ [m⁻¹] are the regression coefficients.

The spectral distribution of the regression coefficients and determination coefficient 324 are presented in Figure 4 and their values are included in Table A in Appendix A. Both 325 regression coefficients $A(\lambda)$ and $B(\lambda)$ exhibited a relatively small spectral variation in the UV 326 327 and part of the visible spectral range. The biggest changes in regression coefficient spectra 328 were noted above 580 nm, where a significant increase in $A(\lambda)$ was to a large extent compensated by a decrease in $B(\lambda)$. The spectral distribution of regression coefficient A 329 indicates the potential influence of phytoplankton pigment absorption on the CDOM 330 absorption spectrum, as its maximum, situated around 675 nm, overlaps the long-wave 331 332 maximum of the chlorophyll a absorption spectrum. This effect is apparent only at longer wavelengths, because the principal chlorophyll a maximum at 440 nm is masked by CDOM 333 334 absorption, especially in very turbid estuarine and fresh water, where the highest values of 335 CDOM absorption were recorded. The free term $D(\lambda)$ spectrum, decreasing monotonically with increased wavelength, resembles that of the log-transformed CDOM absorption 336 coefficient spectrum corresponding to the average CDOM absorption spectrum at a 337 chlorophyll *a* concentration of 1 mg m⁻³, as shown in Figure 4c. The spectral distribution of 338 the determination coefficient R^2 (Figure 4d) shows that the model based on the dependence 339 between the CDOM absorption coefficient and the chlorophyll a concentration explained 340

more than 80% of the variability in $a_{\text{CDOM}}(\lambda)$ in the UV and VIS, and that this variability was governed by phytoplankton biomass production. The model's performance deteriorated at wavelengths longer than 550 nm.

The results of the model uncertainty analysis for selected wavelengths are summarized 344 345 in Table 3 and illustrated in Figure 5. Comparison between estimated and measured $a_{\text{CDOM}}(\lambda)$ values at selected wavelengths (260, 350, 440, 500, 550, 600 nm) from the 240 - 700 nm 346 range are shown on the first six upper panels of Figure 5 (a-f). Histograms of the ratios of 347 estimated to measured values at the same wavelengths are presented in the lower six panels of 348 Figure 5 (g-l). The deterioration of model performance with increasing wavelength is evident. 349 The overall uncertainty expressed by arithmetic and logarithmic statistics was satisfactory up 350 to 500 nm, but then both systematic and statistical estimation errors increased rapidly at 351 longer wavelengths. The arithmetic systematic error increased from 1.47% at 260 nm to 352 19.54% at 600 nm, and the arithmetic statistical error increased from 17.03% at 260 nm to 353 354 79.13% at 600. Logarithmic uncertainty metrics indicated that the standard error factor 355 estimated for the entire spectral range from 240 to 700 nm of light absorption coefficients 356 varied from 1.19 to 2.66. This meant that the statistical logarithmic error varied from -62% to +165%. The logarithmic systematic errors throughout the 240 - 700 nm range did not exceed 357 3%. 358

3.3. An empirical model for approximating the CDOM light absorption spectrum based on the empirical dependence on the CDOM absorption coefficient at 400 nm, a_{CDOM}(400).

361 The exponential model for CDOM absorption requires information on two input 362 parameters: the magnitude of CDOM absorption at the reference wavelength and the spectral slope. However, the monotonicity of the CDOM absorption spectrum ensures a high level of 363 364 interdependence between absorption coefficients across the spectral range in question, so that detailed information on the spectral slope can be omitted. The second model that we have 365 developed is based on the dependence of light absorption by CDOM at any given wavelength 366 and the CDOM absorption coefficient at wavelength 400 nm. Many authors treat this 367 wavelength as a reference for CDOM absorption using the exponential Equation 1 (e.g. 368 Kowalczuk et al., 2005a; Woźniak and Dera, 2007). It was also recommended by 369 370 Sathyendranath et al. (1989) to distinguish between absorption by dissolved organic matter from that caused by phytoplankton. In optically complex waters (the Baltic Sea and the lakes), 371

372 *a*_{CDOM} (400) makes up a large proportion of the total absorption of light in water (Kowalczuk,
373 2001; Ficek, 2013).

The interdependence of spectral CDOM absorption values was assessed by Kowalczuk 374 (2001), who analyzed the linear cross-correlation matrix between $a_{\text{CDOM}}(\lambda)$ values measured 375 at different wavelengths. The linear interrelationship between $a_{\text{CDOM}}(\lambda)$ deteriorated with 376 increasing spectral distance from the reference wavelength towards both shorter and longer 377 wavelengths. To better reflect the non-linear property of the CDOM absorption spectrum we 378 used a second-order polynomial model based on log-transformed $a_{CDOM}(\lambda)$ values as the input 379 variable. The calculations were performed in the 240 - 700 nm spectral range with a 5 nm 380 resolution. The statistical analyses yielded the formula: 381

382
$$a_{\text{CDOM}}(\lambda) = 10^{(M(\lambda)(\log(a_{\text{CDOM}}(400))^2 + N(\lambda)\log(a_{\text{CDOM}}(400)) + O(\lambda)))},$$
(14)

where $M(\lambda)$ [m], $N(\lambda)$ [dimensionless] and $O(\lambda)$ [m⁻¹] are the parameterization coefficients illustrated in Figure 6. Their values for the 240 – 700 nm range are listed in Table B (in Appendix A).

The spectral shapes of the regression coefficients $M(\lambda)$, $N(\lambda)$ and the free term $O(\lambda)$, 386 which were derived for the empirical model using the $a_{\text{CDOM}}(400)$ value as an independent 387 variable, were quite similar to the spectral shapes of the regression coefficient and the free 388 term of the model based on chlorophyll *a* concentration. $M(\lambda)$ and $N(\lambda)$ were also 389 characterized by maxima located in the red part of the spectrum. As in the first model, the 390 spectral shape of the free term $O(\lambda)$ resembled the log-transformed CDOM absorption 391 spectrum. The spectral distribution of the determination coefficient R^2 indicated that the 392 approximation of $a_{CDOM}(\lambda)$ values based on the magnitude of CDOM absorption at the 393 reference wavelength was much more accurate than that based on chlorophyll a concentration. 394 The R^2 values were > 0.9 in the ultraviolet part of the spectrum approaching 1, near the 395 reference value, but dropped to < 0.8 at 560 nm. 396

The result of the uncertainty analysis of the second model at the same wavelengths are summarized in Table 4 and presented in Figure 7. The estimated and measured $a_{\text{CDOM}}(\lambda)$ values at six wavelengths are compared on the upper six panels of Figure 7 (a-f), and histograms of the ratio between estimated and measured values at the same wavelength are shown on the lower six panels of Figure 7 (g-l). The deterioration of model performance with

increasing wavelength was much smaller than in the case of the CDOM absorption spectrum 402 approximation based on the chlorophyll *a* concentration. The overall uncertainty expressed by 403 arithmetic and logarithmic statistics was much better up to 550 nm. As in the first model, both 404 systematic and statistical estimation errors increased at longer wavelengths. The arithmetic 405 systematic error increased from 0.38% at 260 nm to 16.64% at 600 nm, and the arithmetic 406 statistical error increased from 9.11% at 260 nm to 67.45% at 600 nm. Logarithmic 407 uncertainty metrics indicated that the standard error factor estimated for the entire spectral 408 range from 240 to 700 nm of light absorption coefficients varied from 1.09 to 1.76. This 409 410 meant that the statistical logarithmic error varied from -43% to +75%. The systematic error in the 240 - 700 nm interval did not exceed 2%. 411

412 3.4 Two-parameter model for estimating CDOM absorption in the Baltic Sea and 413 Pomeranian Lakes

Two alternative one-parameter models of CDOM absorption were presented in the 414 previous sections, which enabled $a_{CDOM}(\lambda)$ values to be estimated with relatively small 415 errors. For comparison, we analyzed the two-parameter model developed by Kowalczuk et al. 416 (2006) for Baltic Sea waters. This statistical model for estimating the CDOM absorption 417 coefficient at 375 nm $a_{CDOM}(375)$ in surface waters was based on the seasons and the 418 chlorophyll a concentration, which acted as a proxy for the autochthonous production of 419 CDOM. We used the non-linear relationship between the CDOM absorption coefficient 420 $a_{\text{CDOM}}(375)$ and the spectral slope to derive S, and then to reconstruct the CDOM absorption 421 spectrum using the classical exponential model (Equation 1). 422

423 The dependence between S and $a_{CDOM}(375)$ obtained by Kowalczuk et al. (2006) was overlain on the empirical data set reported here (Figure 8). The $S/a_{CDOM}(375)$ relationship 424 reported by Kowalczuk et al. (2006) is applicable to most of the Baltic Sea, river mouth and 425 lake data within the $a_{\text{CDOM}}(375)$ range from 1.5 to 14.16 m⁻¹. This hyperbolic relationship did 426 not correctly reproduce the S values for $a_{\text{CDOM}}(375) < 1.5 \text{ m}^{-1}$, however. The spectral slopes 427 measured in open and coastal Baltic waters lay below the model curve. We propose a similar 428 hyperbolic, statistically significant, relationship between S and $a_{CDOM}(375)$ which could better 429 fit the present data set. The determination coefficient of the updated hyperbolic function was 430 very high: $R^2 = 0.86$, RMSE = 0.08%, n = 541, p<0.0001. The new empirical relationship 431 between the spectral slope S and $a_{\text{CDOM}}(375)$ is given by formula (15): 432

 $S = 0.01722 + \frac{0.0057}{0.0407 + a_{\text{CDOM}}(375)}$ (15)

The new formula was applied Equation 1 to calculate the CDOM absorption spectrum 434 in the spectral range between 240 and 700 nm. The results of the uncertainty analysis of the 435 436 exponential model, which used the spectral slope variable estimated from the approximation given by Equation 15, are summarized in Table 5. For comparison, we also carried out an 437 uncertainty analysis of the exponential model with the spectral slope estimated from the S and 438 $a_{\text{CDOM}}(375)$ relationships given by Kowalczuk et al. (2006). This analysis revealed that the 439 440 two-parameter estimate of the CDOM absorption spectrum was less accurate than the two one-parameter models. The spectral values of CDOM absorption estimated from the 441 442 exponential relationship and spectral slope parameterization using the empirical formulas of Kowalczuk et al. (2006) and the present one were systematically overestimated in the UV and 443 underestimated in the visible spectral range. The systematic and statistical errors increased 444 towards the red part of the spectrum. The highest uncertainties, exceeding 30% in the 445 446 systematic error and 20% in the statistical error, were noted at wavelengths < 500 nm. The use of the present empirical spectral slope parameterization enabled $a_{\text{CDOM}}(\lambda)$ to be estimated 447 with relatively smaller errors, compared to the results obtained by the same approach using 448 the slope parameterization of Kowalczuk et al. (2006). 449

450 4. Discussion

451 The dataset presented here is a subset of the almost 25 year long series of bio-optical 452 data collected by IOPAN in the Baltic Sea. This subset matched the measurements obtained in Pomeranian lakes in 2006 - 2009 by Ficek et al., (2012) and Ficek (2013). These data exhibit 453 454 a wide range of dynamic variability, which in some cases exceeds three orders of magnitude. The sea and lake water data were pooled and analyzed jointly, despite certain differences in 455 456 the compositions of the optically active components in these waters. We treated the lakes as a natural extension of marine waters with optical properties resembling the properties of 457 estuaries. Chlorophyll a concentrations and $a_{\text{CDOM}}(\lambda)$ values varied over three orders of 458 magnitude: Chla from 0.72 to 119 mg m⁻³, $a_{CDOM}(375)$ from 0.41 to 14.16 m⁻¹ and 459 $a_{\text{CDOM}}(400)$ from 0.15 to 8.85 m⁻¹. The spectral slope $S_{300-600}$ in Baltic Sea and lakes ranged 460 from 0.007 to 0.03 nm⁻¹. The variability ranges of these parameters correspond to the figures 461 given in earlier works on the optical properties of Baltic Sea waters (Babin et al. 2003, 462 Kowalczuk 1999, Kowalczuk et al. 2005a, 2006, 2010, 2015) and Pomeranian lakes (Ficek et 463

464 al. 2012; Ficek 2013). Ficek (2013) reported that *Chla* may be as high as 336 mg m⁻³ in 465 Pomeranian lakes.

466 4.1 Assessment of the accuracy of one parameter models for for approximating the CDOM
467 light absorption spectrum

468 The first two models, each based on a single independent variable, were characterized by a similar arithmetic systematic error. The arithmetic systematic errors calculated for the 469 model which used *Chla* as the independent variable (Eq. 13) were of the order of 1.5 - 7% in 470 the UV and the visible spectral range to 500 nm. The arithmetic systematic error calculated 471 for the model using $a_{\text{CDOM}}(400)$ as the independent variable (Eq. 14) were of the order of 0.2 -472 2.2 % in the same spectral ranges. Based on the arithmetic metrics listed in Tables 3 and 4 for 473 model (14), we concluded that the $a_{CDOM}(400)$ independent variable model had a smaller 474 uncertainty and higher spectral values of the determination coefficient. Likewise, the standard 475 error factor in the *Chla*-based model was higher than in the one based on $a_{\text{CDOM}}(400)$. 476

Comparison of the data presented in Tables 3, 4 and 5 showed that the accuracy of 477 estimation deteriorated at wavelengths longer than 550 nm. The precision of the CDOM 478 measurements might offer a possible explanation. The use of 5 cm cuvettes enabled reliable 479 detection of CDOM absorption at $a_{\text{CDOM}}(\lambda) < 0.046 \text{ m}^{-1}$. The spectrophotometer's detection 480 limit was usually reached at wavelengths < 550 nm in samples of open Baltic Sea waters. 481 Therefore, modeled values were usually compared to measured values that were heavily 482 impacted by measurement error accuracy. One way of increasing the spectrophotometric 483 accuracy of CDOM absorption measurements would involve increasing the cuvette path 484 length (the maximum cuvette path length used in most desktop spectrophotometers does not 485 exceed 10 cm). However, using long path lengths, available in optical waveguide 486 spectrophotometer systems (0.2 - 2 meters) (D'Sa et al., 1999; Miller et al. 2002), in optically 487 complex waters such as the Baltic Sea and freshwater lakes, would severely impact the 488 radiometric sensitivity of any spectrophotometer in the UV spectral range. 489

490 A number of regional studies have presented the dependence between chlorophyll *a* 491 concentration, *Chla*, and CDOM absorption, $a_{CDOM}(\lambda)$, using a parameterization similar to 492 that described by Equation 13 (Ferrari and Tassan, 1992, Tassan 1994, Vodacek et al. 1997, 493 Morel et al. 2007, Morel and Gentili 2009, Bricaud et al. 2010, Organelli et al. 2014). We 494 compared the $a_{CDOM}(\lambda)/Chla$ relationship that we derived with some of the relationships 495 between $a_{CDOM}(\lambda)$ and *Chla* derived by various authors for different water types. Selected

model outputs were overlain on the observed distribution of $a_{\text{CDOM}}(\lambda)$ as a function of *Chla* 496 (Figure 9). In all cases, these relationships were approximated by power functions and 497 assumed different rates of increase of $a_{CDOM}(\lambda)$ with increasing *Chla* (Tassan, 1994; Morel et 498 al., 2007; Morel and Gentili 2009; Bricaud et al. 2010). The relationships derived by other 499 authors were found unsuitable for estimating CDOM absorption in the Baltic Sea and lake 500 waters. The empirical relationships derived by Tassan (1994), Morel et al., (2007), Morel and 501 Gentili (2009) and Bricaud et al. (2010) all underestimated CDOM absorption in the Baltic 502 Sea. Such a great discrepancy between estimated and observed CDOM absorption values 503 504 have resulted from the fact that these relationships were developed for clear oceanic waters, where the contribution of dissolved organic material to the total light absorption was less than 505 in the Baltic Sea and the concentration of *Chla* did not exceed 40 mg m⁻³. For example, 506 Bricaud et al. (2010) based their empirical model on measurements from mesotrophic waters 507 508 around the Marquesas Islands to hyperoligotrophic waters in the subtropical gyre and eutrophic waters in the upwelling area west off the Chilean coast (South Pacific). The Chla 509 510 concentrations they reported spanned more than two orders of magnitude (0.017 to 1.5 mg m⁻ ³) in the surface layer, values of the spectral slope S lay within the 0.007 - 0.032 nm⁻¹ range, 511 and the $a_{\text{CDOM}}(440)$ values were from 0.0003 to 0.038 m⁻¹. Morel et al. (2007) carried out 512 measurements in hyperoligotrophic waters in the South Pacific gyre (near Easter Island), 513 where *Chla* concentrations ranged from 0.022 to 0.032 mg m⁻³ in the surface layer. Tassan 514 (1994) reported two relationships between $a_{CDOM}(\lambda)$ and *Chla* (one for Gulf of Naples waters 515 and second for the Adriatic Sea) and then used these relationships to estimate CDOM 516 absorption coefficients at different ranges of *Chla* (0.25 do 40 mg m⁻³). Morel and Gentili 517 (2009) tested a satellite ocean color algorithm they derived for determining CDOM absorption 518 519 and Chla concentrations from satellite imagery of Mediterranean waters, where Chla varied from 0.01 to 0.5 mg m⁻³. The eutrophic Baltic Sea waters and supereutrophic lake waters were 520 characterized by significantly higher Chla concentrations. The total absorption in our study 521 area was dominated by the absorption of CDOM (Woźniak et al., 2011; Ficek et al., 2012): 522 therefore, measured $a_{\text{CDOM}}(\lambda)$ values per unit chlorophyll a concentration were almost twice 523 as high in the Baltic Sea and Pomeranian lakes as in Pacific Ocean and Mediterranean and 524 525 Adriatic Sea waters. These findings underline the need to derive regional algorithms and biooptical models, because those derived for other regions do not account for the constant, very 526 high background CDOM absorption prevalent in the Baltic Sea and fresh waters in the 527 temperate climatic zone. 528

The uncertainty analysis showed that both the mathematical, single independent 529 variable CDOM absorption estimates presented in this paper performed better than the 530 classical exponential model, with variable slope parameterized with the relationship derived 531 by Kowalczuk et al. (2006) and its modification given in Equation 15. The two-parameter 532 533 exponential model significantly underestimated $a_{\text{CDOM}}(\lambda)$ at longer wavelengths. The standard error factor x was lower in the Kowalczuk et al. (2006) model and our modification of this 534 model than in approximations (13) and (14). But the systematic errors, both arithmetic and 535 logarithmic, were much higher. For example, in the model by Kowalczuk et al. (2006) for the 536 537 440 nm wavelength, the arithmetic systematic error took an average value of -16% and the average logarithmic systematic error was -17%, whereas with formula (13) we had 4% and 538 0.01%, and with formula (14) 0.4% and 0.003%, respectively. Morel and Gentili (2009) and 539 Morel et al. (2010) derived a two-component model for describing CDOM absorption 540 properties, modeling the spectral slope values using its empirical relationship with the 541 chlorophyll *a* concentration. These models were based on data sets collected in clear oceanic 542 waters, so their applicability to Baltic Sea conditions would probably be questionable, as in 543 544 the case of the $a_{\text{CDOM}}(\lambda)/Chla$ relationships.

4.2 Assessment of the accuracy of two parameters models for for approximating the CDOM light absorption spectrum

547 Finally, we compared the performance in the retrieval of the CDOM absorption spectrum in Baltic Sea conditions of three standard exponential models broadly used in 548 optical oceanography: i) the one by Bricaud et al. (1981) with spectral slope $S_{375-500}$ and 549 CDOM absorption reference wavelength $\lambda_0 = 375$ nm; *ii*) the one by Babin et al. (2003) with 550 551 spectral slope $S_{350-500}$ and CDOM absorption reference wavelength $\lambda_0 = 443$ nm; and *iii*) the model by Kowalczuk et al. (2006). The modelled spectra are presented in Figure 10, together 552 with measured CDOM absorption spectra and those calculated from the one-parameter 553 models expressed by Equations 13 and 14 for measured Chla. The empirical model developed 554 for the Baltic Sea and inland waters (Equations 13 and 14), based on locally observed 555 variabilities in biogeochemical and optical variables, adequately reflected the measured light 556 absorption coefficients in the spectral range 240-600 nm. The model based on the dependence 557 of the chlorophyll *a* concentration, Equation 13, fitted best the $a_{\text{CDOM}}(\lambda)$ from 240 to 600 nm, 558 and could be applied to a variety of water bodies with contrasting trophic status. From this 559 point of view, it outperformed the models derived by Bricaud et al. (1981) and Babin et al. 560 (2003), which were developed for oligotrophic or mesotrophic oceanic waters, and European 561

562 coastal waters, respectively. The model by Kowalczuk et al. (2006) underestimated $a_{\text{CDOM}}(\lambda)$ 563 for *Chla* concentrations < 5 mg m⁻³(see Figure 10). For *Chla* > 20 mg m⁻³ the shapes of all the 564 modeled spectra were similar.

565 In order to compare the performance of two parameters models developed by Bricaud et al., (1981) and Babin et al., (2003), we adapted them to the empirical data set presented in 566 567 this study within the spectral range from 240 to 700 nm and then applied the same statistical metrics to assess their uncertainty. The calculated errors for selected wavelengths are listed in 568 Table 6. The systematic errors in arithmetic statistics were higher for the models by Bricaud 569 570 et al. (1981) and Babin et al. (2003) compared to the errors calculated for the parameterizations given by Equations 13 and 14. The systematic errors calculated for the 571 572 CDOM absorption model by Babin et al. (2003) were significantly higher at all the wavelengths compared to those listed in Tables 3 and 4. CDOM absorption could be 573 574 estimated using the empirical model based on the $a_{\text{CDOM}}(\lambda)/Chla$ relationship with a systematic error of 3.13 % at $\lambda = 350$ nm, whereas the model by Babin et al. (2003) estimated 575 CDOM absorption at the same wavelength with a systematic error of -33.70%. The calculated 576 577 statistical errors of the estimates using the models by Bricaud et al. (1981) and Babin et al. (2003) were very large compared to the results obtained with models expressed by Equations 578 579 13 and 14. Whereas the standard error factors are quite good for Bricaud's model (from 1 to 2.43), they are much higher for Babin's model (from 1.045 to 3.58). However, in both cases, 580 581 the systematic errors are significant : -59% to 144-and 79% to +400%, respectively.

582 **5.** Conclusions

We demonstrated that CDOM absorption was correlated non-linearly with 583 chlorophyll a concentration over a broad range of variability spanning three orders of 584 magnitude in waters of the Baltic Sea, its estuaries, coastal lagoons and in fresh water lakes of 585 586 different trophic status. A second-order polynomial approximation of the relationship between 587 chlorophyll a concentration and $a_{CDOM}(400)$ was used with respect to both sea and fresh water, and was much more accurate than the one derived for Baltic Sea waters by Kowalczuk 588 (2001). This relationship also demonstrated that the optical and bio-optical properties of sea 589 590 and fresh waters could be regarded as a continuum in regard of CDOM absorption and chlorophyll *a* concentration. We derived models for estimating the CDOM light absorption 591 592 spectrum in the spectral range 240-700 nm non-linearly from chlorophyll *a* concentrations *Chla* or from coefficients of light absorption by CDOM for wavelength 400 nm ($a_{CDOM}(400)$). 593

For comparison, we also tested the classical exponential model for approximating the CDOM 594 absorption spectrum, where the spectral slope coefficient was determined from the nonlinear 595 relationship between the spectral slope coefficient and $a_{CDOM}(375)$. The result of the 596 uncertainty analysis showed that the one-parameter, second-order polynomial function of the 597 chlorophyll a concentration Chla enabled spectral values of the CDOM absorption coefficient 598 $a_{\text{CDOM}}(\lambda)$ to be estimated with just a slightly lower accuracy than its estimate based on a 599 second-order polynomial function of the CDOM absorption coefficient at wavelength 400 nm 600 $a_{\text{CDOM}}(400)$. The models presented here, optimized for the specific optical and bio-optical 601 602 conditions of the Baltic Sea and fresh water bodies, had significantly lower estimation errors compared to the widely used CDOM absorption models developed by other authors. The 603 CDOM absorption models presented in this study can be used for improving remote sensing 604 algorithms designed for retrieving various optical and bio-optical parameters required for 605 606 characterizing and monitoring the state and functioning of the Baltic Sea and Pomeranian lake ecosystems. Validation of these models showed that they can be reliably applied in 607 608 monitoring surveys when a rapid approximation of the light absorption spectrum is needed.

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- 787

789	Table 1. Dates, numbers of samples collected and parameters measured during cruises and
790	field experiments carried out for this study.

Dates of cruises	Number of	Parameters measured	Region
	samples		
24-31 Aug. 2006	20	$a_{\text{CDOM}}(\lambda), Chla, \text{CTD}$	southern Baltic Proper, Gulf of Gdańsk
24-29 Sept. 2006	12	$a_{\text{CDOM}}(\lambda), Chla, \text{CTD}$	southern Baltic Proper, Gulf of Gdańsk
18-28 Oct. 2006	30	$a_{\text{CDOM}}(\lambda), Chla, \text{CTD}$	southern Baltic Proper, Gulf of Gdańsk, Pomeranian Bay
21-31 March 2007	36	$a_{\text{CDOM}}(\lambda), Chla, \text{CTD}$	southern Baltic Proper, Gulf of Gdańsk, Pomeranian Bay, Szczecin Lagoon
21-31 May 2007	38	$a_{\text{CDOM}}(\lambda), Chla, \text{CTD}$	southern Baltic Proper, Gulf of Gdańsk
20-28 Oct. 2007	26	$a_{\text{CDOM}}(\lambda), Chla, \text{CTD}$	southern Baltic Proper, Gulf of Gdańsk
01-11 March 2008	29	$a_{\text{CDOM}}(\lambda), Chla, \text{CTD}$	southern Baltic Proper, Gulf of Gdańsk, Pomeranian Bay
11-18 April 2008	22	$a_{\text{CDOM}}(\lambda), Chla, \text{CTD}$	southern Baltic Proper, Gulf of Gdańsk
06-14 May 2008	23	$a_{\text{CDOM}}(\lambda), Chla, \text{CTD}$	southern Baltic Proper, Gulf of Gdańsk
01-09 Sept. 2008	26	$a_{\text{CDOM}}(\lambda), Chla, \text{CTD}$	southern Baltic Proper, Gulf of Gdańsk, Pomeranian Bay, Szczecin Lagoon
25-29 Nov. 2008	18	$a_{\text{CDOM}}(\lambda), Chla, \text{CTD}$	Gulf of Gdańsk
04-12 March 2009	14	$a_{\text{CDOM}}(\lambda), Chla, \text{CTD}$	Gulf of Gdańsk, Gotland Basin
15-21 April 2009	29	$a_{\text{CDOM}}(\lambda), Chla, \text{CTD}$	southern Baltic Proper, Gulf of Gdańsk
20-28 May 2009	34	$a_{\rm CDOM}(\lambda), Chla, { m CTD}$	southern Baltic Proper, Gulf of Gdańsk, Pomeranian Bay, Szczecin Lagoon
07-16 Sept. 2009	35	$a_{\text{CDOM}}(\lambda), Chla, \text{CTD}$	southern Baltic Proper, Gulf of Gdańsk
06-10 Oct. 2009	21	$a_{\text{CDOM}}(\lambda), Chla, \text{CTD}$	southern Baltic Proper, Gulf of Gdańsk
Dec. 2006 - Sept.	66	$a_{\text{CDOM}}(\lambda), Chla$	Sopot Pier
April – Dec. 2007	10	$a_{\text{CDOM}}(\lambda), Chla$	Lake Łebsko

April – Sept. 2008	8	$a_{\text{CDOM}}(\lambda), Chla$	Lake Łebsko
June – Oct. 2009	9	$a_{\text{CDOM}}(\lambda), Chla$	Lake Łebsko
March – Dec. 2007	10	$a_{\rm CDOM}(\lambda), Chla$	Lake Chotkowskie
Feb. – Sept. 2008	8	$a_{\text{CDOM}}(\lambda), Chla$	Lake Chotkowskie
April – Nov. 2009	8	$a_{\text{CDOM}}(\lambda), Chla$	Lake Chotkowskie
March – Dec. 2007	9	$a_{\rm CDOM}(\lambda), Chla$	Lake Obłęskie
Feb. – Sept. 2008	8	$a_{\text{CDOM}}(\lambda), Chla$	Lake Obłęskie
May – Nov. 2009	7	$a_{\text{CDOM}}(\lambda), Chla$	Lake Obłęskie
All data	556		

Table 2. Range of variability of the spectral slope $S_{300-600}$, coefficients of light absorption by**CDOM** for wavelengths $\lambda = 375$ nm and 400 nm, a_{CDOM} (375) and a_{CDOM} (400), andconcentrations of chlorophyll *a*, *Chla*, calculated for the empirical data.

Study	range of	mean value	SD
area	variability		
		$S_{300-600} [\mathrm{nm}^{-1}]$	
Baltic	0.014 - 0.03	0.022	0.0021
lakes	0.007 - 0.02	0.017	0.0030
all	0.007 - 0.03	0.021	0.0022
		$a_{\rm CDOM}(375) [{\rm m}^{-1}]$]
Baltic	0.41 - 7.92	1.61	1.17
lakes	2.11 – 14.16	7.11	3.36
all	0.41 - 14.16	2.06	2.17
	($a_{\rm CDOM}(400) [{\rm m}^{-1}]$]
Baltic	0.15 – 4.79	0.997	0.73
lakes	1.28 - 8.85	4.47	2.07
all	0.15 - 8.85	1.35	1.41
		Chla [mg m ⁻³]	
Baltic	0.72 - 76.94	8.77	11.61
lakes	1.48 – 118.97	39.11	34.15
all	0.72 – 118.97	13.09	19.78

Table 3. Relative errors of the empirical model given by formula (13) for determining spectral values of CDOM absorption coefficients ($a_{\text{CDOM}}(\lambda)$) at selected wavelengths.

Wavelength [nm]	Arithmetic	e statistics	Logarithmic statistics			
	systematic	statistical	systematic	standard	statistic	al error
	error	error	error	error factor		
	(e) [%]	σ _ε [%]	(e) _g [%]	x	σ ₊ [%]	σ_ [%]
260	1.47	17.03	0.00	1.19	19.06	-16.01
350	3.13	25.16	-0.01	1.29	29.01	-22.49
440	4.01	29.37	-0.01	1.33	32.71	-24.65
500	6.54	39.43	0.01	1.42	42.45	-29.80
550	11.03	55.07	0.00	1.57	57.40	-36.47
600	19.54	79.13	-0.09	1.83	83.43	-45.48

799 800

Table 4. Relative errors of the empirical model given by formula (14) for determining spectral values of CDOM absorption coefficients $(a_{\text{CDOM}}(\lambda))$ at selected wavelengths.

Wavelength [nm]	Arithmetic statistics		Logarithmic statistics				
	systematic	statistical	systematic	standard	statisti	cal error	
	error	error	error	error factor			
	(s) [%]	σ _ε [%]	(e) _g [%]	x	σ _†	[o_ [%]	
260	0.38	9.11	0.00	1.09	8.94	-8.21	
350	0.20	6.43	-0.01	1.07	6.86	-6.42	
440	0.42	9.51	0.00	1.09	9.39	-8.59	
500	2.21	22.11	0.01	1.23	23.01	-18.71	
550	6.24	37.86	0.00	1.42	41.79	-29.47	
600	16.61	67.45	-0.01	1.76	75.88	-43.14	

Table 5. Relative errors of the empirical models given by formulas (15) and (1) for determining spectral values of CDOM absorption coefficients ($a_{\text{CDOM}}(\lambda)$) at selected wavelengths.

Wavelength [nm]	Arithmetic	e statistics	Logarithmic statistics				
	systematic	statistical	systematic	standard	statistical error		
	error	error	error	error factor			
	(s) [%]	σ _ε [%]	(ɛ) _g [%]	x	σ ₊ [%]	o. [%]	
260	2.81	14.14	1.82	1.15	15.33	-13.29	
350	3.69	4.46	3.59	1.04	4.49	-4.30	
440	-14.74	14.13	-15.86	1.18	17.53	-14.92	
500	-31.15	22.06	-34.44	1.37	36.54	-26.76	
550	-43.73	31.25	-50.93	1.67	67.41	-40.27	
600	-36.05	50.48	-50.16	2.01	101.01	-50.25	
Kowalczuk et al. 2006							
260	9.32	11.48	8.62	1.13	13.02	-11.52	
350	5.14	4.70	5.04	1.05	4.68	-4.47	
440	-18.16	13.96	-19.29	1.18	17.90	-15.18	
500	-35.34	21.93	-38.71	1.38	38.23	-27.66	
550	-47.27	27.17	-53.46	1.65	64.71	-39.29	
600	-41.25	46.17	-54.77	2.05	104.97	-51.21	

Table 6. Relative errors of the models of Bricaud et al. (1981) and Babin et al. (2003) for determining spectral values of CDOM absorption coefficients ($a_{\text{CDOM}}(\lambda)$) at selected wavelengths.

Wavelength [nm]	Arithmetic	statistics		Logarithmic statistics		
	systematic	statistical	systematic	standard	statistic	al error
	error	error	error	error factor		
	(s) [%]	σ _ε [%]	(ɛ) _g [%]	x	σ ₊ [%]	σ _ [%]
Bricaud et al.						
1981	-35.74	20.98	-38.79	1.36	35.97	-26.46
	-6.95	3.64	-7.02	1.04	3.98	-3.82
260	11.10	8.51	10.78	1.08	7.95	-7.37
350	14.24	19.13	12.82	1.17	16.72	-14.32
440	11.21	30.85	7.70	1.28	27.77	-21.74
440	51.80	90.23	33.10	1.64	64.00	-39.03
500						
550						
600						
Babin et al. 2003						
260	-58.45	27.26	-65.30	1.78	77.78	-43.75
350	-33.70	13.85	-35.08	1.23	22.59	-18.43
440	-4.69	4.10	-4.78	1.04	4.45	-4.26
500	12.87	18.23	11.40	1.18	17.77	-15.09
550	26.12	42.51	19.30	1.40	40.12	-28.63
600	92.38	137.52	55.82	1.95	95.05	-48.73

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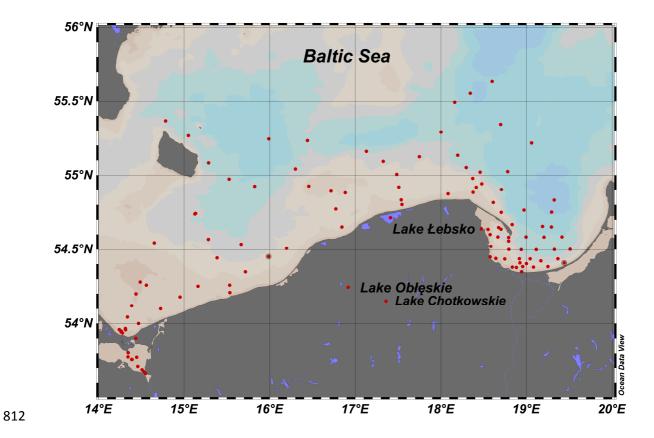
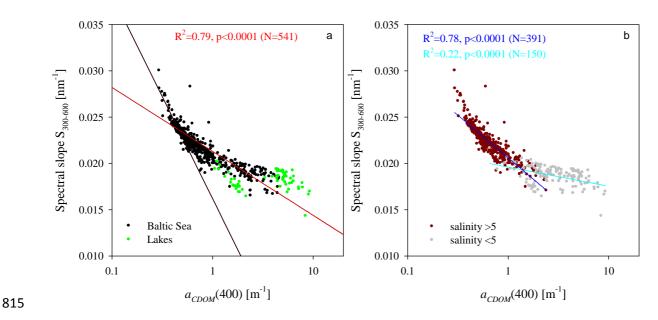
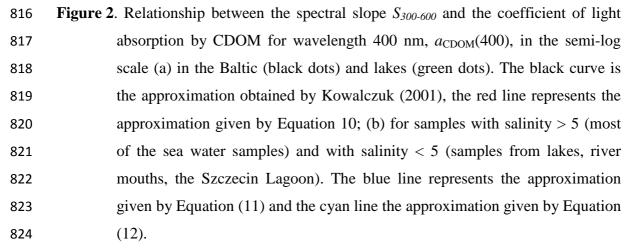


Figure. 1. Positions of the measurement stations in 2006 – 2009.





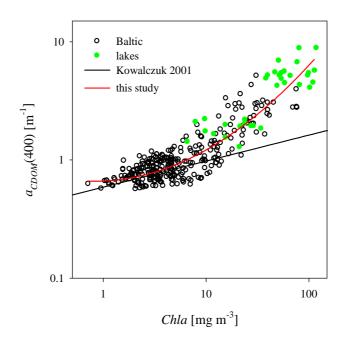
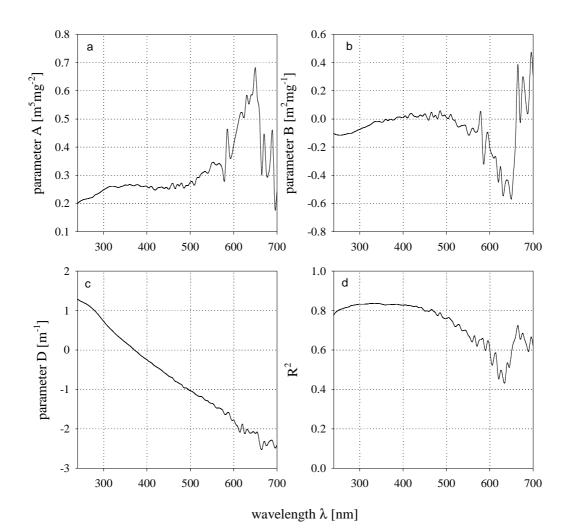


Figure 3. Dependence between coefficients of light absorption by CDOM $a_{\text{CDOM}}(400)$ and chlorophyll *a* concentration. The black line shows the approximation obtained by Kowalczuk (2001) and the red line shows the second-degree polynomial approximation on the log-log scale.



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Figure 4. Spectral dependence of the model (expressed by Equation 13) regression
coefficients (panels a and b), free term (panel c) and determination coefficient
(panel d).

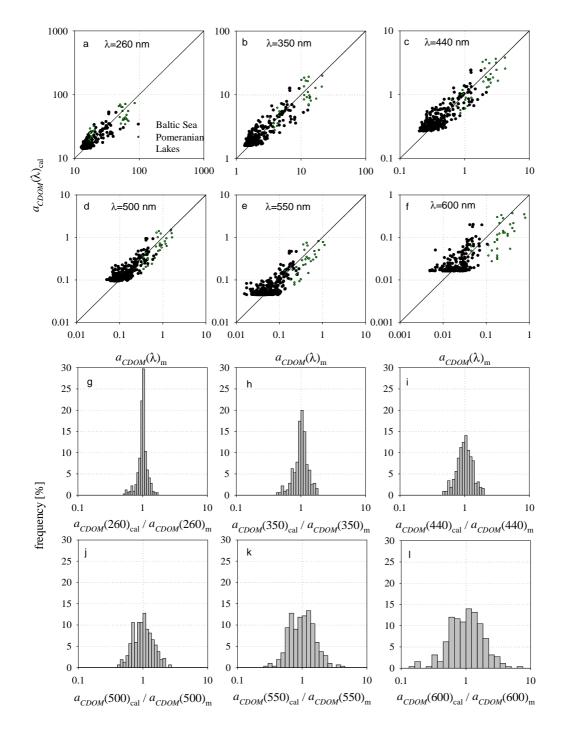


Figure 5. Comparison of light absorption coefficients calculated $(a_{CDOM}(\lambda)_{cal})$ using model (13) and measured $(a_{CDOM}(\lambda)_m)$ in the Baltic (black dots) and Pomeranian lakes (green dots) for selected wavelengths: (a) 260 nm; (b) 350 nm; (c) 440 nm; (d) 500 nm; (e) 550 nm; (f) 600 nm. The solid line shows the function $a_{CDOM}(\lambda)_{cal} = a_{CDOM}(\lambda)_m$. The probability density distributions of the ratio of calculated $a_{CDOM}(\lambda)_{cal}$ to measured $a_{CDOM}(\lambda)_m$ light absorption coefficients for selected wavelengths: (g) 260 nm; (h) 350 nm; (i) 440 nm; (j) 500 nm; (k) 550 nm; (l) 600 nm.

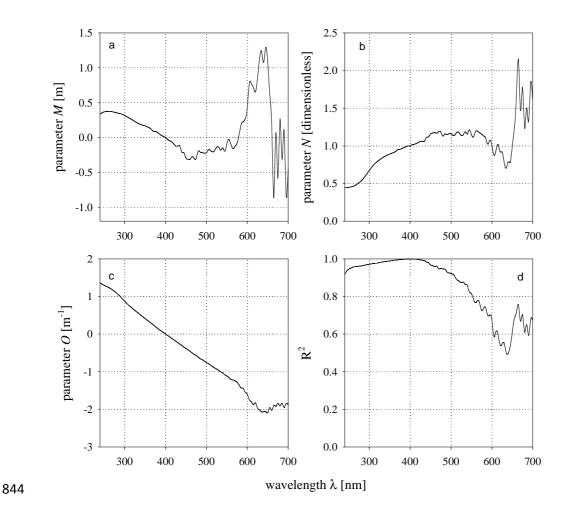


Figure 6. Spectral dependence of the model (expressed by Equation 14) regression
coefficients (panels a and b), free term (panel c) and determination coefficient (panel
d).

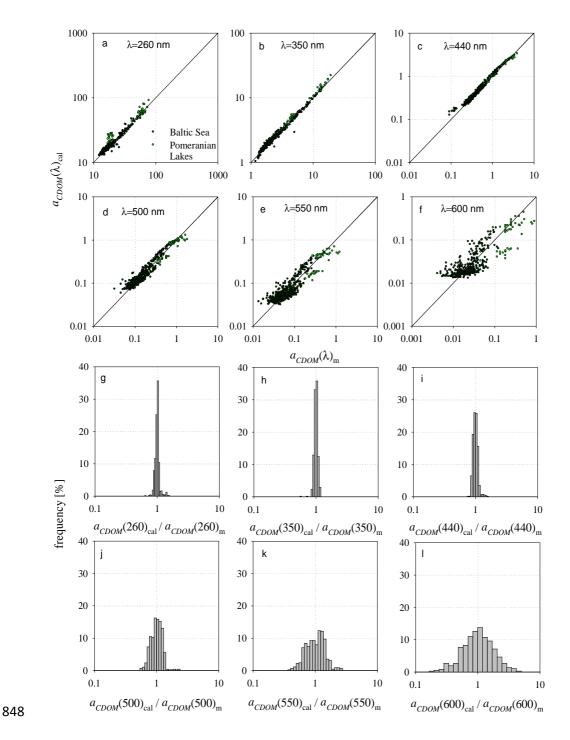


Figure 7. Comparison of light absorption coefficients calculated $(a_{CDOM}(\lambda)_{cal})$ using model (14) and measured $(a_{CDOM}(\lambda)_m)$ in the Baltic (black dots) and Pomeranian lakes (green dots) for selected wavelengths: (a) 260 nm; (b) 350 nm; (c) 440 nm; (d) 500 nm; (e) 550 nm; (f) 600 nm. The solid line represents the function $a_{CDOM}(\lambda)_{cal} =$ $a_{CDOM}(\lambda)_m$. The probability density distribution of the ratio of calculated $a_{CDOM}(\lambda)_{cal}$ to measured $a_{CDOM}(\lambda)_m$ light absorption coefficients for selected wavelengths: (g) 260 nm; (h) 350 nm; (i) 440 nm; (j) 500 nm; (k) 550 nm; (l) 600 nm.

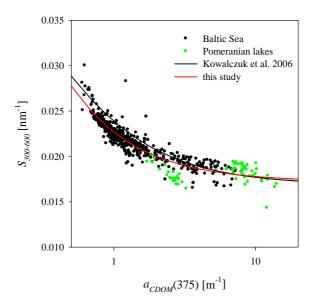


Figure. 8. The relationship between the spectral slope coefficient *S*, and $a_{\text{CDOM}}(375)$ in the Baltic (black dots) and lakes (green dots). The black line indicates the model of Kowalczuk et al. (2006), the red one our new approximation (15).

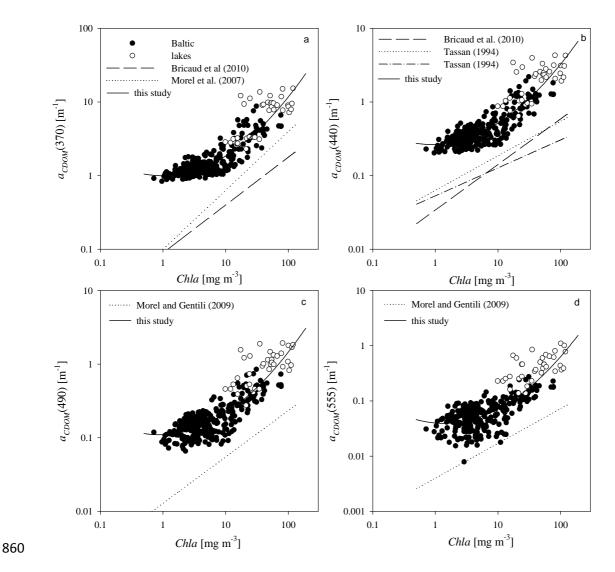


Figure 9. Comparison of the relationships between $a_{\text{CDOM}}(\lambda)$ and *Chla* derived in this work and obtained by different authors for different waters adapted to the data analyzed in this work.

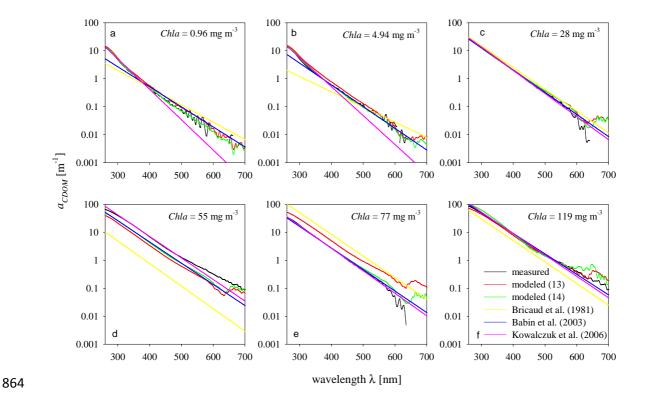


Figure 10. CDOM light absorption spectra (empirical, modeled using Eqs. 13 and 14,
calculated using the models of Bricaud et al. (1981), Babin et al. (2003), Kowalczuk et
al. (2006) for the following concentrations of chlorophyll *a Chla*: (a) 0.96 mg m⁻³; (b)
4.94 mg m⁻³; (c) 28 mg m⁻³; (d) 55 mg m⁻³ (e) 77 mg m⁻³ (f) 119 mg m⁻³.

869 Appendix A.

Table A. Model parameters for light absorption by CDOM (13) for the wavelength range 240
- 700 nm shown for intervals of 5 nm

wave-	A	В	D	R ²	wave-	А	В	D	R ²
length	[m ⁵ mg ⁻²]	$[m^2 mg^{-1}]$	[m ⁻¹]		length	[m ⁵ mg ⁻²]	[m ² mg ⁻¹]	[m ⁻¹]	
[nm]					[nm]				
1	2	3	4	5	1	2	3	4	5
240	0.200	-0.104	1.286	0.78	475	0.262	0.027	-0.857	0.79
245	0.207	-0.110	1.250	0.79	480	0.272	0.002	-0.880	0.77
250	0.211	-0.114	1.221	0.80	485	0.255	0.057	-0.956	0.79
255	0.214	-0.115	1.195	0.81	490	0.263	0.024	-0.959	0.77
260	0.216	-0.114	1.166	0.81	495	0.264	0.028	-1.003	0.76
265	0.218	-0.110	1.131	0.81	500	0.275	0.010	-1.038	0.76
270	0.220	-0.107	1.090	0.82	505	0.277	0.005	-1.059	0.76
275	0.222	-0.101	1.041	0.82	510	0.265	0.032	-1.105	0.75
280	0.230	-0.102	0.990	0.83	515	0.290	-0.003	-1.147	0.74
285	0.233	-0.095	0.931	0.83	520	0.292	-0.013	-1.177	0.72
290	0.237	-0.088	0.865	0.83	525	0.304	-0.050	-1.178	0.73
295	0.243	-0.080	0.795	0.83	530	0.310	-0.055	-1.221	0.73
300	0.249	-0.074	0.727	0.83	535	0.313	-0.047	-1.275	0.70
305	0.253	-0.066	0.660	0.83	540	0.307	-0.045	-1.292	0.70
310	0.258	-0.061	0.599	0.83	545	0.320	-0.054	-1.345	0.70
315	0.260	-0.055	0.541	0.83	550	0.344	-0.110	-1.354	0.68
320	0.261	-0.047	0.487	0.83	555	0.344	-0.101	-1.398	0.66
325	0.261	-0.040	0.435	0.84	560	0.337	-0.065	-1.470	0.64
330	0.258	-0.027	0.382	0.84	565	0.341	-0.087	-1.468	0.67
335	0.257	-0.019	0.332	0.84	570	0.337	-0.091	-1.491	0.62
340	0.260	-0.020	0.286	0.84	575	0.314	-0.040	-1.537	0.65
345	0.262	-0.018	0.238	0.84	580	0.291	0.036	-1.641	0.65
350	0.266	-0.024	0.196	0.83	585	0.462	-0.307	-1.597	0.65
355	0.265	-0.018	0.150	0.83	590	0.382	-0.195	-1.612	0.60
360	0.268	-0.022	0.108	0.83	595	0.367	-0.095	-1.776	0.65
365	0.265	-0.012	0.059	0.83	600	0.405	-0.198	-1.778	0.61
370	0.263	-0.002	0.008	0.83	605	0.444	-0.251	-1.886	0.52
375	0.266	-0.007	-0.035	0.83	610	0.480	-0.278	-1.963	0.57
380	0.266	-0.004	-0.081	0.83	615	0.516	-0.288	-2.083	0.57
385	0.261	0.009	-0.131	0.83	620	0.520	-0.450	-1.879	0.46
390	0.260	0.014	-0.174	0.83	625	0.510	-0.337	-2.118	0.50
395	0.261	0.012	-0.216	0.83	630	0.584	-0.538	-2.015	0.46
400	0.260	0.009	-0.248	0.83	635	0.553	-0.471	-2.075	0.44
405	0.255	0.022	-0.294	0.83	640	0.585	-0.434	-2.110	0.53
410	0.261	0.008	-0.326	0.83	645	0.600	-0.487	-2.069	0.51

415	0.252	0.032	-0.379	0.83	650	0.682	-0.567	-2.115	0.59
420	0.248	0.037	-0.418	0.82	655	0.572	-0.371	-2.096	0.64
425	0.255	0.021	-0.451	0.82	660	0.512	-0.099	-2.375	0.67
430	0.257	0.016	-0.486	0.82	665	0.301	0.387	-2.524	0.72
435	0.258	0.015	-0.529	0.82	670	0.446	-0.024	-2.320	0.66
440	0.253	0.028	-0.577	0.82	675	0.319	0.264	-2.428	0.69
445	0.258	0.019	-0.614	0.81	680	0.305	0.224	-2.352	0.66
450	0.251	0.036	-0.662	0.80	685	0.360	0.072	-2.297	0.62
455	0.262	0.011	-0.688	0.80	690	0.452	0.103	-2.314	0.60
460	0.271	-0.005	-0.723	0.80	695	0.191	0.466	-2.481	0.67
465	0.253	0.048	-0.795	0.81	700	0.243	0.310	-2.412	0.62
470	0.267	0.014	-0.815	0.80					

Table B. Parameters of the model of light absorption by CDOM (14) for the wavelength

range 240 - 700 nm, shown for intervals of 5 nm

wave-	М	Ν	0	R ²	wave-	М	Ν	0	R ²
length	[m ⁵ mg ⁻²]	[m ² mg ⁻¹]	[m ⁻¹]		length	$[m^5 mg^{-2}]$	$[m^2 mg^{-1}]$	[m ⁻¹]	
[nm]					[nm]				
1	2	3	4	5	1	2	3	4	5
240	0.337	0.444	1.360	0.92	475	-0.300	1.184	-0.572	0.95
245	0.356	0.445	1.323	0.94	480	-0.195	1.129	-0.613	0.95
250	0.369	0.450	1.294	0.95	485	-0.211	1.159	-0.657	0.95
255	0.372	0.455	1.269	0.95	490	-0.217	1.147	-0.682	0.93
260	0.375	0.463	1.243	0.96	495	-0.226	1.163	-0.720	0.93
265	0.376	0.474	1.213	0.96	500	-0.218	1.163	-0.756	0.92
270	0.370	0.490	1.177	0.96	505	-0.176	1.138	-0.787	0.92
275	0.363	0.511	1.136	0.96	510	-0.187	1.150	-0.823	0.90
280	0.355	0.535	1.091	0.96	515	-0.206	1.183	-0.867	0.89
285	0.348	0.562	1.042	0.96	520	-0.188	1.174	-0.901	0.88
290	0.340	0.596	0.988	0.97	525	-0.140	1.137	-0.929	0.87
295	0.332	0.633	0.930	0.97	530	-0.139	1.149	-0.969	0.88
300	0.317	0.672	0.873	0.97	535	-0.182	1.186	-1.005	0.86
305	0.300	0.709	0.819	0.97	540	-0.148	1.158	-1.033	0.86
310	0.283	0.743	0.767	0.98	545	-0.197	1.215	-1.082	0.83
315	0.265	0.771	0.718	0.98	550	-0.092	1.150	-1.116	0.82
320	0.247	0.794	0.673	0.98	555	-0.025	1.119	-1.155	0.79
325	0.229	0.813	0.628	0.98	560	-0.097	1.192	-1.204	0.77
330	0.212	0.833	0.584	0.98	565	-0.157	1.195	-1.217	0.78
335	0.195	0.851	0.541	0.98	570	-0.126	1.174	-1.243	0.76
340	0.185	0.865	0.497	0.99	575	-0.081	1.154	-1.282	0.73
345	0.174	0.880	0.454	0.99	580	0.036	1.130	-1.355	0.74
350	0.167	0.890	0.411	0.99	585	0.187	1.101	-1.434	0.74
355	0.154	0.902	0.370	0.99	590	0.227	1.022	-1.444	0.70

360	0.139	0.913	0.328	0.99	595	0.267	1.075	-1.543	0.70
365	0.119	0.928	0.286	0.99	600	0.420	1.009	-1.601	0.68
370	0.089	0.950	0.244	0.99	605	0.774	0.876	-1.742	0.59
375	0.089	0.955	0.200	1.00	610	0.771	0.937	-1.804	0.61
380	0.073	0.965	0.157	1.00	615	0.719	1.020	-1.873	0.60
385	0.050	0.979	0.115	1.00	620	0.656	0.924	-1.827	0.54
390	0.030	0.990	0.076	1.00	625	0.853	0.918	-1.969	0.55
395	0.014	1.001	0.035	1.00	630	1.122	0.784	-2.016	0.55
400	0.000	1.000	0.000	1.00	635	1.238	0.704	-2.069	0.50
405	-0.029	1.015	-0.038	1.00	640	1.078	0.787	-2.061	0.50
410	-0.046	1.021	-0.075	1.00	645	1.293	0.784	-2.060	0.54
415	-0.063	1.033	-0.115	1.00	650	1.090	0.999	-2.088	0.61
420	-0.092	1.042	-0.151	1.00	655	0.620	1.229	-1.952	0.68
425	-0.122	1.060	-0.190	0.99	660	0.130	1.655	-2.029	0.71
430	-0.123	1.059	-0.228	0.99	665	-0.868	2.149	-1.893	0.76
435	-0.125	1.063	-0.269	0.99	670	0.075	1.468	-1.922	0.67
440	-0.210	1.111	-0.307	0.98	675	-0.590	1.782	-1.839	0.70
445	-0.221	1.118	-0.346	0.98	680	0.268	1.233	-1.910	0.61
450	-0.297	1.161	-0.382	0.97	685	-0.316	1.508	-1.839	0.65
455	-0.312	1.171	-0.419	0.96	690	0.117	1.321	-1.951	0.59
460	-0.314	1.177	-0.458	0.96	695	-0.832	1.847	-1.843	0.68
465	-0.275	1.169	-0.503	0.96	700	-0.453	1.610	-1.882	0.67
470	-0.302	1.190	-0.540	0.95					

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