



1 **High resolution monitoring of marine protists based on an**  
2 **observation strategy integrating automated on board ship**  
3 **filtration and molecular analyses**

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23 **Abstract**

24 Information on recent photosynthetic biomass distribution and biogeography with adequate temporal and spatial  
25 resolution is urgently needed to better understand consequences of environmental change for marine ecosystems.  
26 Here we introduce and review a molecular-based observation strategy for high resolution assessment of marine  
27 protists in space and time. The observation strategy is the result of extensive technology developments,  
28 adaptations and evaluations which are documented in a number of different publications and the results of  
29 recently accomplished field testing, which are introduced in this review. The observation strategy is organized in  
30 four different levels. At level 1, samples are collected in high spatio-temporal resolution using the remote-  
31 controlled automated filtration system AUTOFIM. Resulting samples can either be preserved for later laboratory  
32 analyses, or directly subjected to molecular surveillance of key species aboard the ship via an automated  
33 biosensor system or quantitative polymerase chain reaction (level 2). Preserved samples are analyzed at the next  
34 observational levels in the laboratory (level 3 and 4). This involves at level 3 molecular fingerprinting methods  
35 for a quick and reliable overview of differences in protist community composition. Finally, selected samples can  
36 be subjected to generate a detailed analysis of taxonomic protist composition via latest Next Generation  
37 Sequencing (NGS) at level 4. An overall integrated dataset of the results based on the different analyses provides  
38 comprehensive information on the diversity and biogeography of protists, including all related size classes. At  
39 the same time the cost effort of the observation is optimized in respect to analysis effort and time.

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42 **Keywords**

43 Molecular observation strategy, Marine protists, Next Generation Sequencing, Automated Sampling, Molecular  
44 fingerprinting, Quantitative PCR

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## 55 1 Introduction

56 It is expected that marine ecosystems will be affected by climate change in multiple ways, including rising  
57 atmospheric CO<sub>2</sub> levels, shifts in temperature, circulation, stratification, nutrient input, oxygen content, and  
58 ocean acidification. In summary, these changes will strongly impact marine biota and ecosystems with  
59 consequences for abundance, diversity, spatial distribution, biogeography, or dominance of marine species  
60 (Doney et al., 2012). Marine plankton, comprising prokaryotic and eukaryotic microbes (bacteria and protists) as  
61 well as small or juvenile metazoans, is of utmost importance for the functioning of marine ecosystems. It is  
62 traditionally divided by its size into three classes: The microplankton (>20µm), the nanoplankton (20-2 µm), and  
63 the picoplankton (<2 µm). Within these groups of organisms, phytoplankton as the photosynthetic active part of  
64 the plankton accounts for roughly half of global net primary productivity (NPP) (Field et al., 1998) and is  
65 fundamental for any marine ecosystem function or service. As a consequence, changes in phytoplankton  
66 community structures and biogeography as a response to climate change are currently driving topics in marine  
67 ecology. Moreover, marine phytoplankton is very well suited to serve as an indicator of climate change  
68 (Nehring, 1998), because its dynamics are closely coupled to environmental conditions (Acevedo-Trejos et al.,  
69 2014). Despite the necessity and advantage of using marine phytoplankton to assess consequences of climate  
70 change, the task is also challenging in various ways. Marine phytoplankton distribution displays high spatial  
71 heterogeneity or “patchiness” (Mackas et al., 1985) and a pronounced seasonality as a consequence of physical  
72 and chemical oceanographic processes (Boersma et al., 2016; Bresnan et al., 2015). Furthermore, there are  
73 difficulties with the taxonomic surveillance of species in the pico-, or nano-fraction, related to their cell size and  
74 insufficient morphological features. As a consequence it is very challenging to provide information on  
75 composition, occurrence, and dynamics of phytoplankton with adequate spatial and temporal resolution.  
76 Together with the difficulties to financially support and maintain long time series these challenges might account  
77 for the relatively small number of marine phytoplankton long-term time series worldwide. Among them, one  
78 long lasting time series, the Helgoland Roads Time Series, is maintained by the Alfred Wegener Institute  
79 Helmholtz Centre for Polar- and Marine Research at the island Helgoland in the German Bight (North Sea). The  
80 dataset comprises information on abundance of phytoplankton on a daily basis since 1962 (Kraberg et al., 2015;  
81 Wiltshire et al., 2009). However, it does not provide information on the abundance of the smallest phytoplankton  
82 species and is restricted to one sampling point. The latter restriction is overcome by a second major long term  
83 marine observation programme that is operated by the Sir Alistair Hardy Foundation for Ocean Science in  
84 Plymouth, UK: the Continuous Plankton Recorder (CPR) Survey's marine observation programme (McQuatters-  
85 Gollop et al., 2015). Together with its sister surveys it provides large-scale information on marine plankton  
86 distribution, mainly in the North Atlantic and the North Sea since the first surveys in 1931. Unfortunately, the  
87 CPR-approach is restricted to zooplankton and bigger phytoplankton e.g. diatoms. Again, the ecologically relevant  
88 phytoplankton of the pico- and nanoplankton fraction is omitted. However, the smaller phytoplankton is to a  
89 certain degree included in the surveys of the FerryBox project implemented by the Helmholtz Centre Geesthacht  
90 in the North Sea. A FerryBox is an autonomous device located on ships of opportunity that has the capability to  
91 autonomously generate information on the plankton composition and a number of other parameters for the North  
92 Sea (Petersen, 2014). Here, phytoplankton is characterized on the basis of the pigment composition present,  
93 which is estimated via multi-channel fluorescence measurements. All phytoplankton groups and size fractions  
94 are included in this analysis, but this approach is only suited for the identification of larger taxonomic algal



95 groups. Furthermore, spectrally similar groups (e.g. diatoms and dinoflagellates) cannot be distinguished by this  
96 method. Thus the FerryBox project lacks information on species composition of phytoplankton.

97 Overall, these long term monitoring programmes and other current marine plankton observation approaches  
98 have already given important information and indication on climate related change in the marine plankton  
99 community. Nevertheless, each of them is limited in one or the other way: (i) the ongoing long time series are  
100 mainly limited to one or small numbers of sampling points; (ii) they do not provide a holistic view of changes at  
101 the base of marine food webs, because they neglect the pico- and nanoplankton fractions; (iii) broad taxonomic  
102 knowledge is required for the identification of taxa at species level; (iv) fluorescent characterization of  
103 phytoplankton is restricted to the identification of larger taxonomic groups; (v) they are costly if larger numbers  
104 of samples need to be processed.

105 In respect to these shortcomings and challenges of current observation approaches, it is of utmost importance  
106 to develop efficient automated high throughput approaches and observation strategies that allow reliable  
107 surveillance of all phytoplankton size classes with adequate spatio-temporal resolution. Over the past decade  
108 numerous publications demonstrated the power of a large variety of molecular methods for observation of  
109 marine plankton organisms, especially of those that are missing distinct morphological features (Metfies et al.,  
110 2010; Wolf et al., 2014a; Wollschlaeger et al., 2014). Previous publications have shown the power of the  
111 analysis of ribosomal genes (rRNA-genes) to gain new insights into the phylogeny and biogeography of  
112 prokaryotic and eukaryotic micro-organisms (Sunagawa et al., 2015). The genes coding for the rRNA are  
113 particularly well suited for phylogenetic analysis and taxonomical identification, because they are universally  
114 present in all cellular organisms. Furthermore, rRNA genes are of relatively large size and contain both highly  
115 conserved and variable regions with no evidence for lateral gene transfer (Woese, 1987). The continually  
116 growing number of available algal 18S rDNA-sequences, e.g. in the Ribosomal Database Project (Quast et al.,  
117 2013), and phylogenetic analysis makes it possible to design hierarchical sets of probes that specifically target  
118 the 18S-rDNA of different taxa (Metfies and Medlin, 2007; Thiele et al., 2014). The probes can be used in  
119 combination with a wide variety of hybridization based methods, such as RNA-based nucleic acid biosensors  
120 (Diercks et al., 2008a; Ussler et al., 2013) quantitative PCR (Bowers et al., 2010; Toebe et al., 2013) or  
121 fluorescence *in situ* hybridization (FISH) (Thiele et al., 2014). Other methods, such as molecular fingerprinting  
122 approaches and Next Generation Sequencing provide information on variability and composition of whole  
123 microbial communities. The molecular fingerprinting method Automated Ribosomal Intergenic Spacer Analysis  
124 (ARISA) is a quick, cost-effective and meaningful method to determine overall variability in phytoplankton  
125 community composition (Kilias et al., 2015) that is independent of the size or morphology of target organisms.  
126 In contrast, NGS of ribosomal genes allows high resolution, taxon-specific assessments of protist communities,  
127 including their smallest size fractions and the rare biosphere (de Vargas et al., 2015; Kilias, 2014).

128 Here, we introduce a combined molecular-based observation strategy that allows observation of current  
129 phytoplankton composition, distribution, and dynamics at adequate spatial and temporal scales. The resulting  
130 data sets can be used to estimate possible alterations related to climate or environmental change. Our strategy is  
131 the result of technical developments and the integration of latest sampling- and molecular tools in an advanced  
132 molecular-based observation approach that will optimize marine microbial observation in general, while  
133 phytoplankton was in the focus of our developments. In the future our molecular observation strategy is intended  
134 to cut down surveillance costs and provide information on marine microbial biodiversity with unprecedented  
135 resolution. It is a development of the Helmholtz Young Investigators Group PLANKTOSENS (Assessing



136 Climate Related Variability and Change of Planktonic Foodwebs in Polar Regions and the North Sea) carried out  
137 within the framework of COSYNA (Coastal Observing System for Northern and Arctic Seas). Here, we review  
138 major published results that lead to the development of the molecular observation strategy and demonstrate the  
139 applicability of newly developed sampling technology within the observation strategy. Special emphasis was put  
140 on observation of Arctic pico-phytoplankton that constitutes a major contribution to pelagic Chl *a* biomass  
141 during summer (Metfies et al., 2016).

## 142 **2 Material and Methods**

### 143 **2.1 Sampling**

144 Water samples analyzed in this study were collected during expeditions PS85 (June 2014) and PS96 (May/June  
145 2015) of RV Polarstern to the Arctic Ocean. Samples from deeper water layers containing the deep chlorophyll  
146 maximum (DCM) were taken with a rosette sampler equipped with 24 Niskin bottles (12 L per bottle) and  
147 sensors for Chl *a* fluorescence, temperature and salinity (CTD). Samples collected via CTD were taken during  
148 the up-casts at the vertical maximum of Chl *a* fluorescence determined during the down-casts. The sampling  
149 depths varied between 10–50 m. Two liter subsamples were taken in PVC bottles from the Niskins. Particulate  
150 organic matter for molecular analyses was collected by sequential filtration of one water sample through three  
151 different mesh sizes (10  $\mu\text{m}$ , 3  $\mu\text{m}$ , 0.4  $\mu\text{m}$ ) on 45 mm diameter Isopore Membrane Filters at 200 mbar using a  
152 Millipore Sterifil filtration system (Millipore, USA).

153 Additional samples were collected from a depth of  $\sim 10$  m with the **Automated filtration** device for  
154 **marine microorganisms** AUTOFIM, which is coupled to the ships pump system. Here, two liter sea water were  
155 collected and filtrated on a filter with 0.4  $\mu\text{m}$  pore size at 200 mbar. Subsequent to filtration, particulate organic  
156 matter on the filter was re-suspended with 600  $\mu\text{l}$  Lysis Buffer RLT (Qiagen, Germany) and stored at  $-80^\circ\text{C}$  until  
157 further processing in the laboratory.

### 158 **2.2 Environmental parameters**

159 Standard oceanographic parameters (salinity, temperature, Chl *a* fluorescence, turbidity, chromophoric dissolved  
160 organic matter, dissolved oxygen, pH, nutrients) were measured at the sampling sites by the FerryBox-System  
161 (Petersen, 2014) deployed on board RV Polarstern. The measurement interval was 1 min, and the water intake of  
162 the system was identical to the water supply of AUTOFIM. To prevent biofouling of the sensors, the FerryBox  
163 performed a cleaning cycle including an acid wash and freshwater rinsing once per day.

### 164 **2.3 DNA isolation**

165 Isolation of genomic DNA from the field samples was carried out using the E.Z.N.A TM SP Plant DNA Kit Dry  
166 Specimen Protocol (Omega Bio-Tek, USA) following the manufacturer's protocol. The resulting DNA-extracts  
167 were stored at  $-20^\circ\text{C}$ .

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#### 170 2.4 DNA quality

171 The integrity of the genomic DNA isolated from water samples collected with AUTOFIM was assessed using  
172 the Agilent DNA 7500 kit (Agilent Technologies, USA) according to the manufacturer's protocol. A volume of  
173 1 µl DNA was applied to the flow cell.

#### 174 2.5 ARISA

175 PCR-amplification and subsequent determination of the size of the PCR fragments, and statistical analyses  
176 related to ARISA were accomplished as described previously in the studies contributing to the development of  
177 the molecular observation strategy (e.g. Kiliyas et al., 2015). This included the determination of variability in the  
178 length of the intergenic spacer region 1 (ITS1) amplified via a specific primer set from genomic DNA extracted  
179 from field samples.

#### 180 2.6 454-Pyrosequencing

181 Sequencing of protist communities via 454-pyrosequencing was based in all studies reviewed in this manuscript  
182 on amplification of a ~ 670 bp fragment of the 18S rDNA containing the hypervariable V4 region. Sequence  
183 library preparation and data analysis was described previously in the studies contributing to the development of  
184 the molecular observation strategy (e.g. Kiliyas et al., 2013; Metfies et al., 2016).

#### 185 2.7 Quantitative PCR-assay

186 The quantitative PCR was carried out in a nested two step approach. In the first step total eukaryotic 18S rDNA  
187 was amplified using the universal primer-set 1F-(5'-AAC TGG TTG ATC CTG CCA GT-3') / 1528R- (5'-TGA  
188 TCC TTC TGC AGG TTC ACC TAC-3') (modified after Medlin et al., 1988). PCR-amplifications were  
189 performed in a 20 µl volume in a thermal cycler (Eppendorf, Germany) using 1x HotMasterTaq buffer  
190 containing Mg<sup>2+</sup>, 2.5 mM (5'Prime); 0.5 U HotMaster Taq polymerase (5'Prime, Germany); 0.4 mg/ml BSA; 0.8  
191 mM (each) dNTP (Eppendorf, Germany); 0.2 µM of each primer (10 pmol/µl) and 1 µl of template DNA (20  
192 ng/µl). The amplification was based on 35 cycles, consisting of 94°C for 1 min, 54°C for 2 min and 72°C for 2  
193 min, followed by 1 min denaturation at 94°C and finalized by a final extension of 10 min at 72°C. Subsequently  
194 PCR products were purified using the QIAquick PCR purification kit (Qiagen, Hilden, Germany). In the second  
195 step a qPCR-assay was carried out using a species specific primer-set 82F-(5'-GTG AAA CTG CGA ATG GCT  
196 CAT-3') / P1np- (5'-CGG GCG GAC CCG AGA TGG TT-3') for *Phaeocystis pouchetii*. The quantitative PCR-  
197 assays were performed in a 20 µl volume in a 7500 Fast Real-Time PCR-System (Life Technologies  
198 Corporation; Applied Biosystems, USA) using 1x SYBR Select Mastermix (Life Technologies, USA); 0.2 µM  
199 of each primer (10 pmol/µl) and 2 µl of the purified 18S rDNA PCR-fragment. The amplification was based on  
200 40 cycles, consisting of 95°C for 10 min, 95°C for 15 sec, 66°C for 1 min. The quantitative PCR-assay was  
201 calibrated with a dilution series of a laboratory culture of *Phaeocystis pouchetii*. Based on this calibration CT-  
202 values were transformed into cell numbers using the following equation:

203  $CT = -2.123 \ln(\text{cell numbers}) + 38.788$ .

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## 205 **3 Results and Discussion:**

### 206 **3.1 Overview Molecular Based Observation Strategy**

207 The molecular based observation strategy that we present here is organized in 4 different levels (Figure 1). At  
208 level 1, samples are collected in high spatio-temporal resolution using the remote-controlled automated filtration  
209 system AUTOFIM (Figure 2). The sampling system can either be deployed on a fixed monitoring platform or  
210 aboard a ship (research vessel or ship of opportunity). Samples can be preserved for later laboratory analyses, or  
211 directly subjected to molecular surveillance of key species aboard the ship via an automated biosensor system or  
212 quantitative polymerase chain reaction (level 2). Direct analyses aboard ships provide near real time information  
213 on abundance and distribution of phytoplankton key species that can be used to optimize phytoplankton  
214 sampling for detailed high resolution analyses of overall phytoplankton composition during an ongoing sampling  
215 campaign. The resulting preserved samples will be analyzed at the next observational levels in the laboratory  
216 (level 3 and 4). This involves at level 3 molecular fingerprinting methods that provide a quick and reliable  
217 overview of differences in protist community composition of the samples in a given observation area or time  
218 period. Furthermore, this information can be used to select representative samples for detailed analysis of  
219 taxonomic protist composition via latest next generation sequencing at level 4. An overall integrated dataset of  
220 the results based on the different analyses provides comprehensive information on the diversity and  
221 biogeography of protists, including all related size classes. At the same time, the cost effort of the observation is  
222 optimized in respect to analysis effort and time. Sampling based on the autonomous filtration device is more cost  
223 efficient, because labor costs and the requirement of ship space and time are reduced.

224 The development of the Molecular Observation Strategy was based on extensive method development  
225 and evaluation. Overall, it included: (i) the development of an automated remote controlled filtration system  
226 (Figure 2, (ii) the evaluation and application of Automated Ribosomal Intergenic Spacer Analysis (ARISA)  
227 (Kilias et al., 2015), (iii) the implementation of Next Generation Sequencing (454-pyrosequencing; Illumina) for  
228 marine protists (e.g. Wolf et al., 2013) and (iv) the development and evaluation of molecular probe based  
229 methods such as molecular sensors (Wollschlaeger et al., 2014) or quantitative PCR (qPCR). Most of the field  
230 work presented here in this publication was accomplished in the Arctic Ocean with special emphasis on the area  
231 of the “Deep-Sea Long-Term Observatory Hausgarten” established by the Alfred Wegener Institute for Polar-  
232 and Marine Research in 1999 to carry out regular observations of the ecosystem in the eastern Fram Strait  
233 (Soltwedel, 2005). In the following, the different parts of the observation strategy are presented in detail.

#### 234 **3.1.1 Automated remote controlled filtration system**

235 The remote controlled **automated filtration** system for **marine microbes** (AUTOFIM) is the core of the  
236 observation strategy. The filtration system (Figure 2) can be operated autonomously aboard research vessels or  
237 ships of opportunity. AUTOFIM allows filtration of a sampling volume up to five litres from the upper water  
238 column. In total, 12 filters can be taken and stored in a sealed sample archive. Prior to storage, a preservative is  
239 applied to the filters preventing degradation of the sample material, that can be used for molecular or  
240 biochemical analyses. Exchanging the sample archive is a quick and easy task, which makes it feasible for lay  
241 persons from the ships’ staff to take care of the automated filtration. This would circumvent the need to provide  
242 support of an additional specifically trained personal for filtration in the field. Filtration can be triggered after



243 defined regular time intervals or remote controlled from a scientist at the research institute. Additionally, it could  
244 also be event-triggered if the filtration system would be operated in connection with *in situ* sensor systems  
245 (Petersen, 2014). Overall, AUTOFIM provides the technical background for automated high spatio-temporal  
246 resolution collection of marine particles e.g. for molecular analyses. During expedition PS92 of RV Polarstern to  
247 the Arctic Ocean in summer 2015, AUTOFIM was used for the first time to collect samples from the upper water  
248 column at a depth of ~ 10 m, which is the depth of the inlet of the ships water pump system. Subsequent to  
249 filtration, samples were preserved with a preservation buffer and stored at -80°C until further analyses in the  
250 laboratory.

### 251 3.1.2 Automated Ribosomal Intergenic Spacer Analysis (ARISA)

252 ARISA provides information on variability in protist community structure in larger sample sets at reasonable  
253 costs and effort, while ARISA-profiles reflect taxon specific differences observed in NGS-data sets (Kilias et al.,  
254 2015). In the developmental phase of the molecular observation strategy, this method was used in a number of  
255 different studies to better understand variability of Arctic marine protist communities in relation to  
256 environmental conditions and ocean currents. Based on ARISA analyses we identified large scale patterns of  
257 protist biogeography that were tightly connected to ambient water masses, ocean currents and sea ice coverage  
258 (Kilias et al., 2014a; Metfies et al., 2016; Wolf et al., 2014b). We suggest to use ARISA as part of the molecular  
259 observation strategy to identify biogeographic or biodiversity patterns at meso- or large in large sample sets, e.g.  
260 collected via AUTOFIM. However, spatial heterogeneity of marine phytoplankton is large, while the vertical  
261 dimension is from particular importance, since differences in vertical abundance and composition of  
262 phytoplankton impact primary production, export processes and energy transfer to higher trophic levels (Leibold,  
263 1990). Vertical distribution of marine protists is determined by opposing resource gradients and mixing  
264 conditions (Mellard et al., 2011). In respect to this it was necessary to evaluate how representative samples from  
265 10 m depth might be for the underlying water column. This would be important in case AUTOFIM would be  
266 applied to study large scale biogeographic patterns of marine protists. Acknowledging the potential of ARISA to  
267 quickly generate meaningful information on variability between protist samples, we used this methodology to  
268 assess the similarity of phytoplankton community composition in samples from the upper water column  
269 collected with AUTOFIM and in samples collected in deeper water layers via CTD. The ARISA patterns  
270 obtained from deeper horizons are highly similar to those obtained from the samples collected with AUTOFIM.  
271 The samples collected with AUTOFIM at stations PS92/19 and PS92/43 clustered together with the individual  
272 samples collected at deeper horizons (5m; 20m; 50m) and the integrated signal from all three depths at the same  
273 station (Figure 3). This result suggests that qualitative information on phytoplankton community composition  
274 based on sampling with AUTOFIM might be representative for the photic layer of the water column. This might  
275 be attributed to the observation that geography and ambient water masses have a major impact on qualitative  
276 composition of marine plankton communities on a larger scale, with plankton communities being partially  
277 structured according to basin of origin (de Vargas et al., 2015; Metfies et al., 2016).

### 278 3.1.3 Next Generation Sequencing (454-pyrosequencing; Illumina)

279 Sequencing of ribosomal genes is a valuable approach to describe the taxonomic composition of protist  
280 communities including the small size fractions. Technical progress in this field has been tremendously rapid over





281 the last 5-10 years. Around ten to fifteen years ago, sequencing of 18S rDNA clone libraries was the gold  
282 standard to assess marine eukaryotic and prokaryotic communities (Hugenholtz, 2002). Around six years ago,  
283 first studies reported the use of 454 pyrosequencing for assessment of prokaryotic diversity (Turnbaugh et al.,  
284 2009). The massively parallel 454-pyrosequencing generated several hundred thousands of ribosomal sequence  
285 per sample and had the potential to uncover more organisms, even rare species from large scale biodiversity  
286 surveys (Sunagawa et al., 2015). We assessed the validity of 454 pyrosequencing by evaluating the sequence  
287 data sets with results obtained via other methods, such as 18S clone libraries, HPLC and microscopic counts. In  
288 our data sets pyrosequencing data were in good agreement with information on community composition  
289 generated by high pressure liquid chromatography (HPLC) or clone libraries (Kiliyas et al., 2013; Wolf et al.,  
290 2013). During the past six years, we used 454-pyrosequencing to determine variability of protist community  
291 structure in Fram Strait, in the area of the “Deep-Sea Long-Term Observatory Hausgarten”, and the central  
292 Arctic Ocean (Kiliyas et al., 2014a; Metfies et al., 2016). Overall, our data revealed that *Phaeocystis pouchetii* is  
293 an important contributor to Arctic protist communities, particularly to the pico-eukaryote community  
294 composition. In 2009 the species constituted up to 29.6% of the sequence assemblage retrieved from pico-  
295 eukaryote samples collected in the area of the “Deep-Sea Long-Term Observatory Hausgarten” (Kiliyas et al.,  
296 2014b). A larger survey of Arctic protist community composition in 2012 including Fram Strait and larger parts  
297 of the Central Arctic Ocean confirmed these observations and identified *Phaeocystis pouchetii* again as an  
298 important contributor to Arctic pico-eukaryote Chl *a* biomass, which constituted between 60-90% of Chl *a*  
299 biomass during summer 2012 in the Arctic Ocean (Metfies et al., 2016). This comprehensive sequence based  
300 information on phytoplankton community composition was very well suited to serve as a basis for the  
301 development of molecular probes that can be used for molecular surveillance with molecular sensors or  
302 quantitative PCR (qPCR).

303

#### 304 **3.1.4 Development and evaluation of molecular probe based methods such as molecular sensors or qPCR**

305 Molecular Sensors are chip based formats that allow parallel identification and quantification of multiple taxa in  
306 a single experiment. The identification is based on solid phase hybridization of molecular probes, immobilized to  
307 the surface of the sensor chips to the rRNA or rDNA of the target species (Diercks et al., 2008a; Diercks et al.,  
308 2008b; Ussler et al., 2013). Quantitative or real time PCR (qPCR) is a standard PCR with the advantage of  
309 detecting the amount of DNA formed after each cycle with either fluorescent dyes or fluorescently-tagged  
310 oligonucleotide probes. Quantitative PCR is a useful tool for quantitation of nucleic acids in a given environment  
311 (Toebe et al., 2013). An automated molecular sensor (Diercks et al., 2008a) and qPCR are intended to be part of  
312 the molecular observation strategy in order to generate near real time information on the occurrence of key  
313 species on board ship and to complement NGS-based information on phytoplankton community composition  
314 with quantitative information on the occurrence of selected key species (Figure 1). These approaches are  
315 necessary because of biases related to the amplification of the 18S rDNA gene via PCR, and uncertainties in  
316 respect to copy number of the gene in the genome of different species, which make it difficult to deduce species  
317 abundance based on NGS. In respect to this, we developed new molecular probes for relevant taxa that were  
318 major contributors in our NGS-libraries or that were known from published literature to occur in the observation  
319 areas (North Sea and Arctic Ocean). The molecular probes were either used in combination with molecular  
320 sensors (Wollschlaeger et al., 2015), qPCR or fluorescent *in situ* hybridization (FISH) (Thiele et al., 2014). The



321 results of molecular sensors targeting either 18S rDNA or 18S rRNA were evaluated with the results obtained  
322 from microscopy, HPLC and flow cytometry (Wollschlaeger et al., 2014). The molecular sensor targeting 18S  
323 rRNA shows a robust linear relationship between molecular sensing signal and cell counts via microscopy. The  
324 positive evaluation results for the rRNA based nucleic acid biosensor suggest a high potential of the method to  
325 be used as module in a Molecular Observation Strategy. Here, the related regular monitoring would benefit from  
326 advantages like reduced effort, and the high potential for automation of the methodology (Wollschlaeger et al.,  
327 2014). In the current study we demonstrate the potential of quantitative PCR to better understand the  
328 biogeography and abundance of *Phaeocystis pouchetii* in Arctic Waters using a specific primer set for qPCR.  
329 The qPCR values were calibrated against defined numbers of laboratory cultures (Figure 4) to allow  
330 quantification of *Phaeocystis pouchetii* via this method. During expedition PS85 of RV Polarstern in June 2014,  
331 we used qPCR on board ship to determine the abundance of *Phaeocystis pouchetii* on a transect through Fram  
332 Strait at ~79°N (Figure 4). The results of our survey suggest that abundance of *Phaeocystis pouchetii* in Fram  
333 Strait is determined by water mass properties such as salinity, ice coverage and water temperature, while salinity  
334 is positively correlated with abundance of *Phaeocystis pouchetii*. The abundance of *Phaeocystis pouchetii* was  
335 higher in Atlantic Waters, which are characterized by higher salinities in the range of 33-34 PSU, than in Polar  
336 Waters of Fram Strait which are characterized by salinities around 31 PSU. In Atlantic Waters the average cell  
337 number of *Phaeocystis pouchetii* was ~ 3.5 times higher than the average cell number in Polar Waters of Fram  
338 Strait. Furthermore, Chl *a* biomass appears to be correlated with abundance of *Phaeocystis pouchetii*. Our  
339 findings are in agreement with previous studies that reported blooms of *Phaeocystis pouchetii* in waters around  
340 Svalbard with cell abundances in a similar range as observed in this study (Wassmann et al., 2005). In 2012, we  
341 carried out a large scale study to survey the biogeography of marine protists in the Arctic. This survey included a  
342 comprehensive NGS based analysis of community composition along 79°N in Fram Strait in June and later in  
343 the season in Nansen Basin and Amundsen Basin. Overall, the findings of 2014, suggesting a positive correlation  
344 of Atlantic water properties, e.g. higher salinity and lower ice coverage with high abundance of *Phaeocystis*  
345 *pouchetii* are in agreement with the previous study of 2012. This study also suggested this positive correlation,  
346 even though sequence abundance of *Phaeocystis pouchetii* was more evenly distributed in Fram Strait in that  
347 year (Metfies et al., 2016). This might be attributed to the complex current system in the area. Overall, qPCR  
348 carried out on board ship provided a near real time overview of the distribution of a protist key species during  
349 expedition PS85.

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#### 351 4 Conclusions

352 Here we introduce for the first time an integrated hierarchically organized molecular based observation strategy  
353 as a valuable tool to survey phytoplankton abundance and biodiversity in the desired high spatial and temporal  
354 resolution as well as at different levels of taxonomic resolution. The observation strategy is based on a  
355 combination of ship based automated filtration, online measurements of oceanographic parameter, and different  
356 molecular analyses. On one hand, our approach provides near real time information on phytoplankton key  
357 species abundance in relation to environmental conditions already on board ship. On the other hand, it provides  
358 detailed information on variability in the total phytoplankton community composition based on comprehensive,  
359 laboratory-based molecular analyses such as molecular fingerprinting methods and NGS. This information can  
360 be subsequently correlated with information on the physical and chemical marine environment and has strong



361 potential to complement other hierarchically organized observation strategies as described e.g. for the detection  
362 of marine hazardous substances and organisms (Zielinski et al., 2009). In summary, our molecular observation  
363 strategy is a significant contribution to refine regular assessment of consequences of ongoing environmental  
364 change for marine phytoplankton communities with respect to adequate spatial, temporal, and taxonomic  
365 resolution.

### 366 **5 Acknowledgements**

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374 analysis.

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400 **Figure Legends:**

401 Fig. 1: Overview of the smart observation strategy which is organized in four different levels.

402 Fig. 2: A: AUTOFIM installed on board RV Polarstern (1: Sample reservoir; 2: Filtration; 3: Archive for  
403 preserved filters. B: Filtration-module (1:Filter stacker; 2:Filtration cap).

404 Fig. 3: MetaMDS Plot of ARISA fingerprints generated from samples collected via CTD and AUTOFIM.  
405 Samples were collected during expeditions PS92 and PS 94 of RV Polarstern to the Arctic Ocean.

406 Fig. 4: Assessment of *Phaeocystis pouchetii* in Fram Strait. A: Calibration of *Phaeocystis pouchetii* specific  
407 qPCR assay with laboratory cultures. B: Abundance of *Phaeocystis pouchetii* in Fram Strait. C: Principal  
408 component analysis including environmental parameter and *Phaeocystis pouchetii*.

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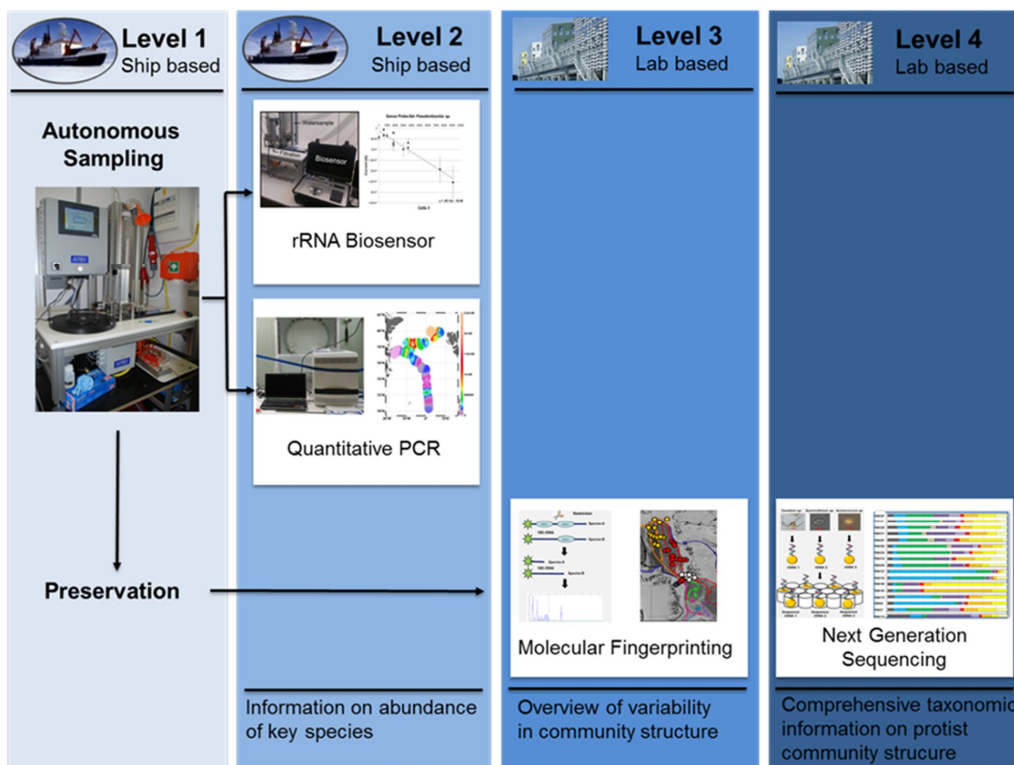
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441 Fig. 1

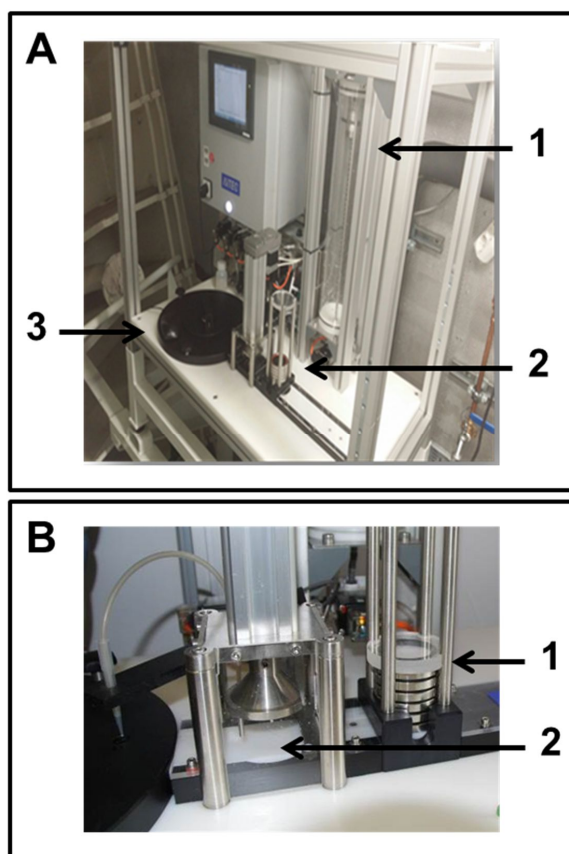


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Fig. 1: Overview of the smart observation strategy which is organized in four different levels.



462 **Figure 2**  
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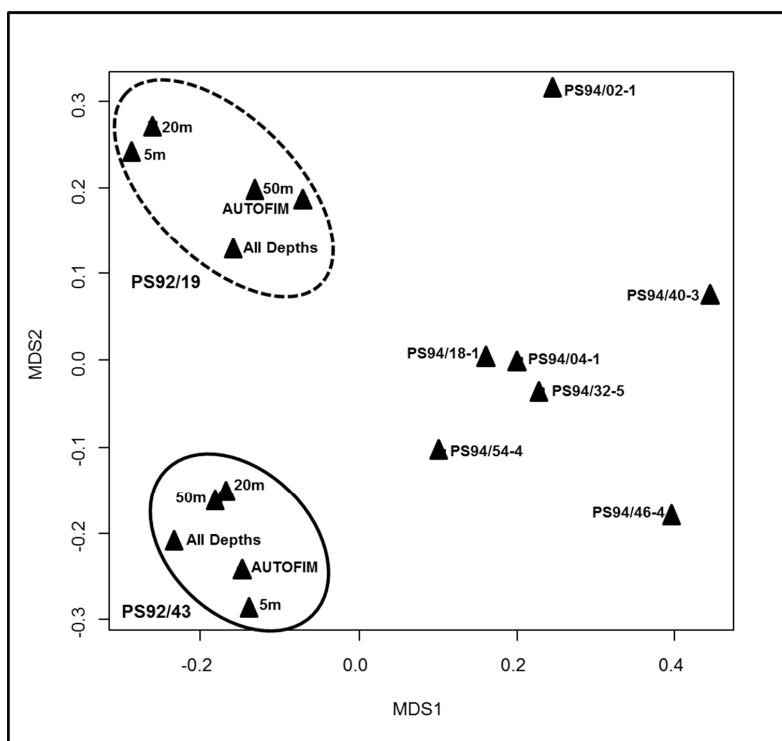


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465 Fig. 2: A: AUTOFIM installed on board RV Polarstern (1: Sample reservoir; 2: Filtration-module; 3: Archive for  
466 preserved filters. B: Filtration-module (1:Filter stacker; 2:Filtration cap).

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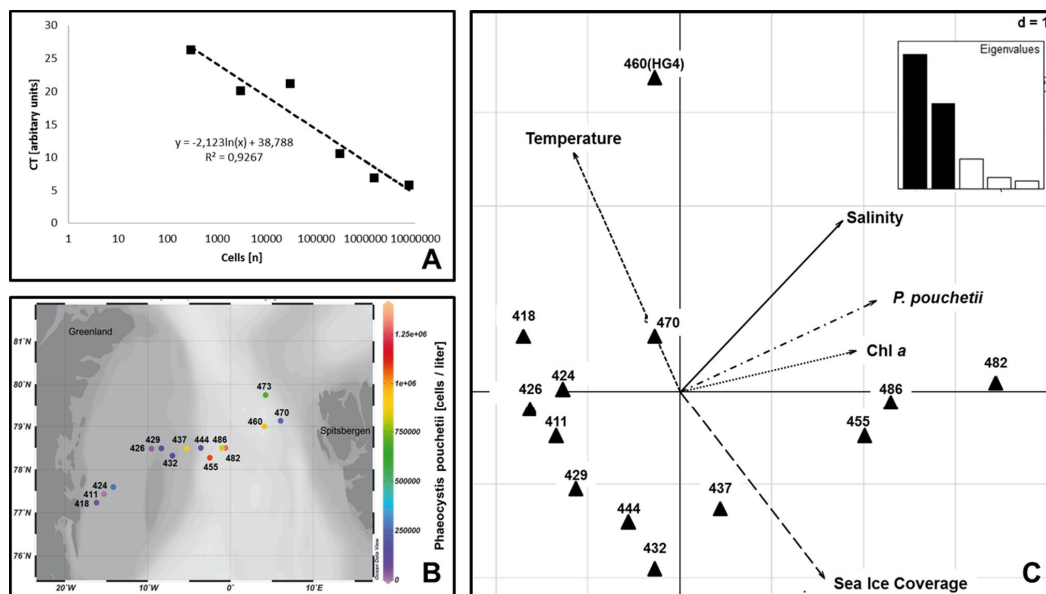
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Fig. 3: MetaMDS Plot of ARISA fingerprints generated from samples collected via CTD and AUTOFIM. Samples were collected during expeditions PS92 and PS 94 of RV Polarstern to the Arctic Ocean.

Fig. 4



509  
 510 Fig. 4: Assessment of *Phaeocystis pouchetii* in Fram Strait. A: Calibration of *Phaeocystis pouchetii* specific  
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 512 component analysis including environmental parameter and *Phaeocystis pouchetii*.

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