

X-band COSMO-SkyMed wind field retrieval, with application to coastal circulation modeling

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Abstract

In this paper, X-band COSMO-SkyMed© SAR wind field retrieval is investigated, and the obtained data are used to force a coastal ocean circulation model. The SAR data set consists of 60 X-band Level 1B Multi-Look Ground Detected ScanSAR Huge Region COSMO-SkyMed© SAR data, gathered in the Southern Tyrrhenian Sea during the Summer and Winter seasons of 2010. The SAR-based wind vector field estimation is accomplished by resolving both the SAR-based wind speed and wind direction retrieval problems independently. The sea surface wind speed is retrieved by means of a SAR wind speed algorithm based on the Azimuth cut-off procedure, while the sea surface wind direction is provided by means of a SAR wind direction algorithm based on the Discrete Wavelet Transform Multi-Resolution Analysis. The obtained wind fields are compared with ground truth data provided by both ASCAT scatterometer and ECMWF model wind fields. SAR-derived wind vector fields and ECMWF model wind data are used to construct a blended wind product regularly sampled in both space and time, which is then used to force a coastal circulation model of a Southern Tyrrhenian coastal area to simulate wind-driven circulation processes. The modeling results show that X-band COSMO-SkyMed© SAR data can be valuable in providing effective wind fields for coastal circulation modeling.

1 Introduction

Accurate and appropriate measurements of the wind vector field over the sea surface are of great relevance in the oceanographic, meteorological and climatic research, and for the improvement of short-term forecast and warning (Janssen, 2004). In fact, the wind is a key

parameter in the momentum exchange between the atmospheric boundary layer and the sea surface, which in turn drives the circulation and mixing of seawater (e.g., Vallis, 2006). The capability and the increasing need to retrieve the wind field at sea with both high spatial-temporal resolution and continuity can improve the modeling of the ocean circulation, especially in coastal areas, where the changes of the local winds depend crucially on the local coastal orography and land/sea thermal conditions.

The wind field over the sea surface is classically inferred by means of either meteorological models or in situ measurements, which unfortunately suffer from both technical and physical constraints that severely affect spatial-temporal coverage and resolution of the resulting wind field product (Bentamy et al., 1999; Migliaccio and Reppucci, 2006). In addition to these widely used wind field data, microwave remote sensing has shown the capability of providing sea surface wind fields with mesoscale resolution and with short revisiting time. Within such a framework, the key sensor is the active satellite-based microwave Scatterometer, which provides wind field measurements at sea by means of a non-linear inversion scheme, which requires both an accurate tailored Geophysical Model Function (GMF) and an appropriate set of sea surface normalized radar cross-section (NRCS) measurements at different azimuth angles (Bentamy et al., 1999; Migliaccio and Reppucci, 2006). The GMF, which is not a “universal model”, relates the NRCS measurements of the sea surface roughness to the local wind field at sea, taking into account both the specific sensor parameters (e.g. polarization, frequency, incidence angle, etc.) and sea state conditions. Actually, scatterometer-based missions, such as the QuikSCAT (unavailable after November 2009) and the Advanced Scatterometer (ASCAT) ones, have been providing operational wind products with a spatial gridding resolution ranging from 25 km×25 km to 12.5 km×12.5 km, respectively (Yang et al., 2011). These products are not properly suitable for some marine applications, especially in coastal and near shore areas, where they suffer from uncertainty and large wind field estimation errors due to their large footprint (Bentamy et al., 1999; Migliaccio and Repucci, 2006; Yang et al., 2011).

In this context, the possibility to retrieve the sea surface wind field from Synthetic Aperture Radar (SAR) images, with high resolution and in areas where the scatterometer measurements fail, is very interesting from an operational viewpoint. SAR is an active, microwave, band-limited sensor able to provide day- and night-time high-resolution NRCS measurements of the observed marine scenes with a synoptic view, and almost independently of atmospheric conditions (Jackson and Apel, 2004; Migliaccio and Reppucci, 2006). It has long been known

1 that the wind field generates an anisotropic sea roughness, which can in principle be explained
2 by means of a two-scale scattering model (Nunziata et al., 2007), where both centimeter resonant
3 waves and long waves can be directly and indirectly observed, respectively. The physical
4 interaction between the electromagnetic waves and the sea surface at the SAR resolution scale is
5 generally nonlinear, and accounts for complex interactions between the sea surface and
6 atmosphere (Jackson and Apel, 2004). This makes the physical problem much more complicated
7 than the scatterometer one. However, the use of SAR measurements allows one to resolve the
8 wind co-location problem, which generally introduces further errors, as in the case of SAR oil
9 spill monitoring. Moreover, the high-spatial and temporal resolution provided by each SAR
10 sensor, together with both the ground coverage and the short revisit-time provided by the
11 recently-launched SAR constellations, make this sensor a key alternative source of sea surface
12 wind field information able to integrate classical wind field estimation techniques, such as
13 meteorological models, in situ observations and scatterometers (Migliaccio and Repucci, 2006;
14 Yang et al., 2011).

15 In connection with the SAR-based wind field retrieval at sea, the use of X-band COSMO-
16 SkyMed© SAR data is highly innovative. The Italian Space Agency COSMO-SkyMed© is a
17 constellation of four satellites equipped with X-band SARs, which ensures both wide area
18 coverage and a small revisit time (Italian Space Agency, 2007). Among the different COSMO-
19 SkyMed© SAR acquisition modes, i.e. Spotlight, StripMap and ScanSAR modes, the ScanSAR
20 Huge Region mode is very interesting from an operational viewpoint, especially for both coastal
21 circulation and oceanographic applications. In fact, it allows achieving a large ground coverage
22 of about 200 km×200 km with a spatial resolution of 100 m×100 m in both range and azimuth
23 directions (Italian Space Agency, 2007). However, the sea surface wind field estimation through
24 X-band SAR measurements is a non-trivial task since, at higher frequencies, severe weather
25 conditions and atmospheric phenomena drastically compromise SAR image interpretations for
26 sea surface wind field estimation purposes (Lee et al., 1995).

27 Classical SAR-based wind field retrieval techniques are based on the use of a scatterometer-
28 derived GMF approach (Horstmann et al., 2003; Jackson and Apel, 2004; Migliaccio and
29 Reppucci, 2006). They provide the wind speed estimation at sea when both well calibrated sea
30 surface NRCS measurements and an a priori knowledge of wind direction information are
31 provided, together with the availability of a tailored GMF accounting for both sensor parameters
32 and sea state conditions (Horstmann et al., 2003; Jackson and Apel, 2004; Migliaccio and

Reppucci, 2006). In this context, the wind direction information can be provided from either external information (e.g. meteorological model, buoys measurements, etc.) or SAR-based wind direction retrieval techniques (e.g. spectral-, Wavelet- and Gradient-based approaches) (Horstmann et al., 2003; Jackson and Apel, 2004; Migliaccio and Reppucci, 2006). Since in many operational SAR-based applications (e.g. the traffic routes monitoring and the oil fields observation) the end-user can be basically interested to know either the wind speed or the wind direction information only, it is useful to carry out the SAR-based wind field retrieval at sea by resolving both the SAR wind speed and wind direction estimation problems independently. Within such a context, a SAR wind speed algorithm based on the Azimuth cut-off procedure has been developed for C-band ERS SAR data only (Chapron et al., 1995; Kerbaol et al., 1998; Korsbakken et al., 1998), which allows providing consistent wind speed estimations at sea without requiring the a priori knowledge of the wind direction and the calibration accuracy of SAR NRCS measurements.

In this context, in this paper, the capabilities of X-band COSMO-SkyMed© SAR data are investigated for sea surface wind vector field retrieval purposes, with application to coastal circulation modeling. The SAR data set consists of 60 X-band VV-polarized Level 1B Multi-Look Ground Detected (DGM) ScanSAR Huge Region mode COSMO-SkyMed© SAR data, gathered in a Southern Tyrrhenian coastal area during the summer and winter seasons of 2010. The oceanographic model used in the simulations is the sigma-coordinate free-surface Princeton Ocean Model (POM, Blumber and Mellor, 1987; Mellor, 2003), which is particularly suitable to model the marine circulation in coastal areas connected with a deep basin. The SAR-based wind field retrieval is here accomplished by resolving the SAR-based wind speed and wind direction estimation problems, independently. On one side, the SAR wind speed estimation is accomplished by means of a SAR wind speed retrieval algorithm based on the Azimuth cut-off procedure (Chapron et al., 1995; Kerbaol, 1998; Korsbakken et al., 1998; Migliaccio et al., 2012; Montuori et al., 2012). On the other side, the SAR wind direction estimation is accomplished by means of SAR wind direction retrieval algorithm based on the Discrete Wavelet Transform Multi-Resolution Analysis (DWT-MRA) (Du et al., 2002). The effectiveness of COSMO-SkyMed© SAR measurements for sea surface wind field retrieval purposes is analyzed and compared with both ASCAT scatterometer wind fields (<http://podaac.jpl.nasa.gov>) and European Centre for Medium Weather Forecast (ECMWF) model data (<http://www.ecmwf.int/>), respectively. Finally, the possibility to force the POM by means of a blended wind field product,

provided by both COSMO-SkyMed© SAR wind field estimation and ECMWF model data, is properly investigated and discussed.

The paper is organized as follows: in Section 2, the methodology and the theoretical background at the basis of the X-band SAR wind field retrieval approach is described. In Section 3, some significant experimental results are presented, which are relevant to the X-band COSMO-SkyMed© SAR-based wind field estimation; the comparison with both ASCAT scatterometer and ECMWF model ground truth data is then carried out. In Section 4, the coastal circulation model is presented and the results obtained with the blended wind product are discussed. Finally, in Section 5 conclusions are drawn.

2 X-band SAR wind field retrieval methodology

In this section, the methodology and the theoretical background at the basis of the X-band SAR-based wind field retrieval approach is described and specialized for X-band VV-polarized Level 1B DGM ScanSAR Huge Region mode COSMO-SkyMed© SAR data.

Two independent steps are conceived for X-band COSMO-SkyMed© SAR wind field retrieval purposes: 1) the pre-processing analysis; 2) the SAR-based wind field estimation.

2.1 Pre-processing analysis

The pre-processing analysis is accomplished to improve both the image quality of X-band ScanSAR Huge Region mode COSMO SkyMed© SAR measurements and the subsequent SAR-based wind field estimation, which strongly relies on the SAR data quality. Within such a framework, two different phenomena must be dealt with, which severely impact the SAR image interpretation for wind field estimation purposes, i.e. the scalloping and the atmospheric/tropospheric phenomena. The scalloping is related to the peculiar burst acquisition mode of ScanSAR SAR measurements (Holzner and Bamler, 2002; Schiavulli et al., 2011, 2012). It consists of periodic processing anomalies along with the azimuth direction, which appear as thin horizontal bars in SAR imagery and therefore may severely affect the accuracy of SAR-based wind field estimation (Holzner and Bamler, 2002; Schiavulli et al., 2011, 2012). The atmospheric/tropospheric phenomena (e.g. rain cells, cloud coverage, oceanic fronts, convective cells, etc.) are conversely related to the X-band acquisition frequency of SAR data (Lee et al.,

1995). They appear as non-homogeneous areas in marine SAR images and, especially at higher frequencies, can severely compromise both the SAR imagery interpretability and then the retrieval of wind field at sea (Lee et al., 1995).

With this respect, the pre-processing analysis of X-band ScanSAR COSMO-SkyMed© SAR data is accomplished by means of the automatic two-steps pre-processing procedure presented in (Schiavulli et al., 2011), which is here adopted to effectively improve the quality of SAR images. The first sub-step of the proposed approach aims at removing the scalloping pattern in X-band ScanSAR COSMO-SkyMed© SAR data by means of a filtering technique based on the Discrete Wavelet Transform Multi-Resolution Analysis (DWT-MRA) (Mallat, 1989; Schiavulli et al., 2011, 2012). This technique allows both enhancing and then removing the spectrum harmonics of SAR images, which are related to the directional features of the scalloping pattern. The second sub-step of the proposed approach conversely implements the homogeneity test described in Schultz-Stellenfleth et al. (2004) and Schiavulli et al. (2011), which, based on the variance to mean square ratio (VMSR) of SAR image power spectral density, allows detecting and then removing all the non-homogeneous areas (such as marine areas with ships, coastline, atmospheric fronts and more generally atmospheric phenomena) over the homogeneous marine background in X-band SAR images.

2.2 SAR-based wind field estimation

Following the pre-processing analysis, the SAR-based wind field estimation is achieved by resolving both the SAR-based wind speed and wind direction retrieval problems, independently.

The SAR-based wind speed estimation is accomplished by using a SAR wind speed algorithm based on the Azimuth cut-off procedure (Chapron et al., 1995; Kerbaol et al., 1998; Korsbakken et al., 1998; Migliaccio et al., 2012; Montuori et al., 2012). The proposed approach accounts for the relationship between the sea surface wind field and the smearing effects in the SAR images, which strongly depends on both sensor's parameters (e.g. platform altitude, velocity, etc.) and sea state conditions (Chapron et al., 1995; Kerbaol et al., 1998; Korsbakken et al., 1998). In simple terms, the SAR sensor behaves like a Gaussian-shaped low-pass filter, which induces a cut-off along the azimuth direction, and therefore limits the shortest detectable azimuth cut-off wavelength λ_c . The latter accounts for sea waves orbital motions responsible of the smearing effects within the SAR imagery and therefore can be considered a robust indicator

of sea surface wind speed (Chapron et al., 1995; Kerbaol et al., 1998; Korsbakken et al., 1998). Based on this rationale, a SAR wind speed algorithm based on the Azimuth cut-off procedure has been developed and tested for C-band SAR data (Chapron et al., 1995; Kerbaol, 1998; Korsbakken et al., 1998), where λ_c is physically related to the sea surface wind speed according to the following linear semi-empirical model:

$$U_{10} = \alpha(\lambda_c - \Lambda), \quad (1)$$

where U_{10} (m s^{-1}) is the wind speed at 10 m above the sea surface, Λ (m) is the SAR nominal azimuth resolution and α (s^{-1}) is an empirical parameter. The proposed approach allows resolving the SAR wind speed estimation problem, without requiring both any wind direction information and the calibration accuracy of SAR NRCS measurements, which conversely characterize GMF-based SAR wind field retrieval approaches. Although the design of this algorithm has been developed only at C-band, in (Kerbaol and Collard, 2005), the physical suitability of the proposed approach was already foreseen at X-band.

In this paper, the X-band SAR wind speed estimation is obtained by using the X-band Azimuth cut-off model function presented in (Migliaccio et al., 2012; Montuori et al., 2012), which has been successfully derived and tested to X-band VV-polarized Level 1B DGM ScanSAR Huge Region mode COSMO-SkyMed© SAR measurements.

The SAR-based wind direction estimation is obtained by means of the SAR wind direction algorithm based on the WT-MRA (Du et al., 2002; Schiavulli et al., 2011). The proposed approach retrieves the wind direction at sea by finding those wind-induced pattern texture features that are visible in SAR imagery as image streaks aligned to the sea surface wind direction. The streaks, which appear as adjacent periodic bands of bright and dark radar returns, are interpreted as manifestations of either atmospheric boundary layer (ABL) rolls (especially for high wind and/or unstable atmospheric conditions, in both high and mid-latitude regions) or other marine features, such as streaks from foam or more-generally marine surfactants (Du et al., 2002; Horstmann et al., 2002; Schiavulli et al., 2011). Since wind induced streaks are usually meant to be aligned with the mean wind field at the sea (Du et al., 2002; Horstmann et al., 2002; Schiavulli et al., 2011), it is possible to retrieve the sea surface wind direction by simply retrieving the orientations of these wind-induced phenomena. The processing chain of the SAR wind direction retrieval technique is detailed in Du et al. (2002) and Schiavulli et al. (2011). It must be pointed out that SAR-based wind directions estimated with the WT-MRA approach still contain an inherent 180° wind direction ambiguity. The latter is properly resolved either if wind

shadowing (often visible in the lee of objects) is present in SAR imagery or by using external information, such as the ASCAT scatterometer data.

3 X-band COSMO-SkyMed SAR wind field retrieval results

In this section, some significant experimental results are presented, which are relevant to the sea surface wind vector field estimation over X-band VV-polarized Level 1B DGM ScanSAR Huge Region COSMO-SkyMed© SAR measurements and their subsequent comparison with both ASCAT scatterometer and ECMWF model wind fields.

The X-band SAR data set consists of 60 X-band Level 1B DGM ScanSAR Huge Region mode VV-polarized COSMO-SkyMed© SAR data, gathered in a Southern Tyrrhenian coastal area during the summer and winter seasons of 2010 (Italian Space Agency, 2007). Each SAR acquisition provides ground coverage of about 200 km×200 km with a spatial resolution of 100 m×100 m and a pixel spacing of 50 m×50 m, in both range and azimuth direction respectively. The ground truth, which is used as reference wind field for comparison purposes, is provided by timely and spatially co-located ASCAT scatterometer wind fields (with a spatial gridding resolution of 12.5 km×12.5 km) and ECMWF ERA Interim Reanalysis model data (with a horizontal resolution of 1/4°, which corresponds to ~27 km along a meridian and, in the area under consideration, to ~20 km along a parallel), respectively. Since both the ASCAT scatterometer wind field and the ECMWF model data are often not timely co-located with respect to the SAR image acquisition, a linear interpolation in time is accomplished between both the ground truth reference wind field data acquired before and after the SAR acquisition time, thus providing the timely co-located reference wind field.

A single experiment is fully detailed, with the aim of demonstrating the effectiveness of the X-band VV-polarized Level 1B DGM ScanSAR Huge Region mode COSMO-SkyMed© SAR data for sea surface wind field estimation purposes. The analysis is properly accomplished by comparing the X-band COSMO-SkyMed© SAR-derived wind speed and wind direction retrievals with respect to the reference ground truth. A SAR-based wind field retrieval gridding scale of 12.5 km×12.5 km is considered. Therefore, the timely co-located reference ground truth provided by ECMWF model wind fields is bi-linearly interpolated in space over both the ASCAT scatterometer and the SAR-based wind field gridding scale, for comparison and validation purposes.

The experiment refers to the X-band COSMO-SkyMed© SAR acquisition of 20 November 2010 at 5:00 UTC. The VV-polarized NRCS image is shown in gray tones in Fig. 1(a). The output of the pre-processing step is shown in Fig. 1(b), where the scalloping, the atmospheric phenomena and other non-homogeneous areas in the SAR image are successfully detected and removed from the homogeneous marine background. The timely and spatially co-located ASCAT scatterometer and ECMWF model wind speed data are shown in Fig. 2(a)-(b) respectively, where notable differences appear, especially along the coastal area. This result can be explained by considering the different spatial gridding resolution of the two different wind speed products, demonstrating that the ECMWF model data suffer from more uncertainty over the maritime coastal areas with respect to the ASCAT scatterometer wind speed. The output of the X-band COSMO-SkyMed© SAR wind speed retrieval approach based on the X-band Azimuth cut-off procedure is shown in Fig. 2(c). The comparison between the X-band SAR-based Azimuth cut-off wind speed estimation and the reference ground truth shows a fair agreement (especially with respect to the ASCAT scatterometer reference wind speed) with root mean square error (RMSE) values equal to 2.1m/s and 4m/s with respect to the ASCAT scatterometer and the ECMWF model wind speed, respectively. A further comparison is provided between the ASCAT scatterometer and the ECMWF model wind speeds, which provides a RMSE value of 2.8m/s. It can be noted that non-negligible differences in terms of sea surface wind speed are present along the coastal area of SAR image domain, for both the ASCAT scatterometer and the ECMWF model ground truth wind speed. This result takes into account that the reference wind speed data (especially the ECMWF model one) both suffers from uncertainty over the maritime coastal areas and it is not able to capture small-scale features, which can in turn be revealed by means of SAR data. Experimental results further demonstrate both the high-resolution accuracy of the ASCAT scatterometer wind speed with respect to the ECMWF model data (especially along the coastal areas) and the consistency of X-band COSMO-SkyMed© SAR-derived wind speed product especially with respect to the ASCAT scatterometer ground truth.

The output of the X-band COSMO-SkyMed© SAR wind direction retrieval approach based on the WT-MRA is shown in Fig. 2(d)-(e) together with the timely and spatially co-located ASCAT scatterometer and ECMWF model ground truth, respectively. The comparison between the X-band SAR-based WT-MRA wind direction estimation and the reference ground truth shows a fair agreement (especially with respect to the ASCAT scatterometer reference wind direction) with RMSE values equal to 16° and 24° with respect to the ASCAT scatterometer and

the ECMWF model wind directions, respectively. A further comparison is provided between the ASCAT scatterometer and the ECMWF model wind directions (see Fig. 2(f)), which provides a RMSE value of 21° . Moreover, in Fig. 3 the three comparisons of Fig. 2 are shown in georeferenced maps in terms of the complete wind vector field. In conclusion, these results demonstrate both the high-resolution accuracy of the ASCAT scatterometer wind direction (especially along the coastal areas) with respect to the ECMWF model data and the consistency of COSMO-SkyMed© SAR wind direction retrievals, especially with respect to the ASCAT scatterometer winds.

Other meaningful results are summarized in Fig. 4 and Table 1, where the three different wind field products (i.e., from SAR, scatterometer and model data) are properly compared for the whole processed COSMO-SkyMed© SAR data set. These results agree with the previous ones, thus demonstrating the effectiveness of both the X-band Azimuth cut-off model function and the WT-MRA technique presented in Section 2 to obtain consistent wind speed and wind direction estimation, respectively, even through X-band SAR data. Furthermore, experimental results show the full benefits of X-band Level 1B DGM ScanSAR Huge Region mode COSMO-SkyMed© SAR data as an alternative source of wind field estimation.

4 Application to coastal circulation modeling

In this section a blended wind product obtained by combining ECMWF and SAR-derived surface wind fields will be constructed, and used to force a circulation model implemented in a Southern Tyrrhenian coastal area of particular oceanographic interest. The relevance of SAR-derived winds in improving coastal circulation modeling will then be inferred.

4.1 The coastal circulation model

The test site chosen for this study is a coastal area located in the Tyrrhenian Sea, a western Mediterranean sub-basin where very relevant oceanographic processes take place. The basin scale circulation yields an important interannual variability shaped by a strong seasonal cycle and energetic mesoscale features (e.g., see Artale et al., 1994; Pierini and Simioli, 1998; Schroeder et al., 2008; Vetrano et al., 2010). Local driving mechanisms are the heat, evaporative and momentum fluxes at the air–sea interface, while an important remote forcing is provided by the fluxes of modified Atlantic and Levantine intermediate water masses through the Sardinia

1 and Sicily straits (e.g., Pierini and Rubino, 2001; Molcard et al., 2002; Napolitano et al., 2003;
2 Béranger et al., 2004; Gaberšek et al., 2007). In the south-eastern Tyrrhenian Sea a small semi-
3 enclosed basin, the Gulf of Naples, is present: this is a very interesting zone, not only because it
4 is ideal in terms of physical processes occurring in such a regular geometry, but also from
5 environmental, social and economic viewpoints. The local circulation was analyzed both through
6 experimental (e.g., De Maio et al., 1985) and modeling studies (e.g., Gravili et al., 2001; Grieco
7 et al., 2005; Iermano et al., 2012).

8 The coastal area chosen as a test site within the Tyrrhenian Sea, where SAR-wind data have
9 been obtained, is defined by $\lambda=13^{\circ}/16.06^{\circ}$ and $\varphi=40^{\circ}/41.43^{\circ}$: it includes the gulfs of Naples,
10 Gaeta and Salerno and a wide outer buffer zone (Fig. 5) necessary to couple this model with a
11 larger scale model of the Tyrrhenian Sea. The adopted circulation model is the POM (Blumberg
12 and Mellor, 1987), one of the most widely used community models in coastal applications. The
13 sigma-coordinate vertical discretization of the governing equations allows one to have a
14 sufficiently high number of vertical levels both in shallow and deep water, a particularly
15 advantageous feature in coastal area such as the one under investigation. Our coastal model has
16 been one-way nested with a POM Tyrrhenian Sea model (TSM, Napolitano et al., 2012), which
17 is, in turn, nested with the NEMO-OPA (Nucleus for European Modelling of the Ocean-OPA
18 PARallelise) implemented in the Mediterranean at $1/16^{\circ} \times 1/16^{\circ}$ horizontal resolution and 71
19 unevenly spaced vertical levels (Oddo et al., 2009). The nesting (that follows the approach of
20 Zavatarelli and Pinardi, 2003) has required the initialization of the hydrological and dynamical
21 structure of the coastal model with data obtained from the TSM, and the prescription, along the
22 open lateral boundaries, of dynamical boundary conditions derived, again, from the TSM. The
23 adopted horizontal resolution, $1/144^{\circ}$ (with $\Delta y \approx 720$ m and $\Delta x \approx 550$ -565 m), is 1/3 the resolution
24 of the TSM (for details of the nesting procedure see de Ruggiero et al. 2012). The vertical
25 discretization makes use of 40 sigma-levels in both models, so as to allow for a smooth nesting.
26 As for the bottom topography, the 30" GEBCO (General Bathymetric Chart of the Oceans) data
27 are used. Fig. 6 shows an example of instantaneous current velocity maps at 1 m (a) and 300 m
28 (b) depth obtained in Autumn 2012 under ECMWF ERA Interim Reanalysis forcing. De
29 Ruggiero et al. (2012) present a variety of scenarios simulated for different seasons, and show
30 that the ECMWF forcing is successful in simulating dynamical processes that are originate from
31 processes over a scale comparable to that of the full basin, but may fail to provide appropriate
32 forcing on the scale of the gulf, especially if strong orographic effects are present (such as those

associated with mount Vesuvius and the mountains of the Sorrento peninsula in the Gulf of Naples).

4.2 Simulations with a blended wind forcing that includes COSMO-SkyMed SAR data

In this section a simulation performed with a blended wind forcing that includes SAR-wind data is presented with the aim of analyzing the capability of these data to improve coastal circulation modeling. The simulation lasts 15 days, from 10 November 2010, 0:00 h to 25 November 2010, 0:00 h. The SAR-wind data of 20 November 2010 at 5:00 UTC and 21 November 2010 at 5:00 UTC with 12.5 km-resolution have been used to construct, together with ECMWF data, the blended wind forcing. Fig. 3 shows the COSMO-SkyMed© SAR-derived surface wind velocity map (green arrows), along with the corresponding ECMWF (red arrows) and ASCAT (blue arrows) maps for the first of these two fields (20 November 2010, 5:00 UTC). The SAR-wind field of day 20 November 2010 at 5:00 UTC, has been spatially interpolated with three ECMWF fields of day 20, at 0:00, 6:00, and 12:00 (see the first set of three dots in the upper panel of Fig. 7). The same has been done for the second SAR-wind field (see the second set of three dots). This choice is justified by the paucity of SAR data: in doing so we have increased the weight of each available SAR-wind field without introducing an excessive spurious reduction of the temporal variability (the SAR-wind information has only been extended 6 h before and after the measured data).

Since the SAR data are limited to a north-western part of the integration domain (see Fig. 3) the results of the simulations are analyzed in the rectangle and in the point identified in the map of Fig. 7, where the improvement of the model results is expected to be more substantial. The three graphs of Fig. 7 show the time series of the sea surface elevation and of the two components of the surface current velocity: the signals affected by the SAR-wind forcing starts separating from that obtained with the ECMWF wind (blue line) immediately after the first SAR-wind data insertion, and the difference remains remarkable ever since, even well after the time of the last SAR-wind data insertion. This is clearly due to the different time-dependent adjustments produced by the two forcings that have a typical time scale of few days.

In Fig(s). 8 and 9, the surface currents and sea surface elevation obtained with the purely ECMWF forcing (upper panels) are compared with those obtained with the blended forcing (lower panels) at the times indicated by the red arrows of the upper panel of Fig. 7. The

differences are sometimes quite substantial and are not limited to the region of SAR-wind data coverage. For instance, on day 20 the strong southward current along the coasts of Latium produced by the ECMWF forcing is drastically reduced with SAR-wind data. On day 21 the strong cyclonic gyre east of the northward jet almost disappears with SAR-wind data. A similar phenomenon occurs on day 24. On day 25 the circulation in the western half of the window changes completely with SAR data. In conclusion, our results suggest that the surface wind fields obtained from COSMO-SkyMed© SAR data could be used, together with model data (such as ECMWF), to construct a blended wind product that can serve as an alternative wind forcing for improved coastal marine circulation modeling. In fact, the SAR-based wind product is measured instead of modeled, so it bypasses all the model limitations associated with coastal environments with strong orographic features; moreover, those winds have a spatial resolution that can be considerably higher than that of modeled winds, so that more reliable simulations of mesoscale and smaller scale oceanic features can be achieved.

5 Conclusions

In this paper, a feasibility study aimed at evaluating the capability of COSMO-SkyMed© SAR data to provide surface wind fields that can improve coastal circulation modeling is carried out. A SAR data set 60 X-band Level 1B DGM ScanSAR Huge Region mode VV-polarized COSMO-SkyMed© SAR data, gathered in a Southern Tyrrhenian coastal area on 2010, is properly processed for wind vector field estimation purposes. Within such a framework: 1) the SAR wind speed estimation is accomplished by means of a SAR wind speed retrieval algorithm based on the Azimuth cut-off procedure; 2) the SAR wind direction estimation is accomplished by means of SAR wind direction retrieval algorithm based on the DWT-MRA. The oceanographic model, which is used to simulate coastal circulation processes in a Southern Tyrrhenian coastal test area, is forced by a blended wind product that includes ECMWF and SAR-derived winds. Our results have shown that:

- X-band COSMO-SkyMed© SAR data effectively represent a successful resource to retrieve the wind field information at the sea surface. The consistency of X-band COSMO-SkyMed© SAR-derived wind field retrievals is effectively validated with respect to both the ASCAT scatterometer and the ECMWF ground truth. Moreover, it has been assessed the high-resolution accuracy of the ASCAT scatterometer wind field with respect to the ECMWF model data, thus providing a consistent scatterometer-based reference ground truth

1 to evaluate the consistency of X-band COSMO-SkyMed© SAR-based wind field estimation
2 products. Furthermore, experimental results take full benefits of X-band Level 1B DGM
3 ScanSAR Huge Region COSMO-SkyMed© SAR data as alternative source of wind field
4 estimation;

- 5 • A blended wind product based on X-band COSMO-SkyMed© SAR-retrieved surface wind
6 data, and on other wind products (such as ECMWF model winds) can improve the
7 simulation of wind-driven coastal circulation processes.

8 Despite both the limitations of available consecutive COSMO-SkyMed© SAR acquisitions
9 (and therefore SAR-derived wind field data) and the relatively poor spatial coverage of the
10 adopted coastal test site, our results show that COSMO-SkyMed© SAR data do represent a
11 potentially valuable tool for improving coastal circulation modeling, which is very important for
12 oceanographic, ecological, social and economic applications.

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1 Table 1. COSMO-SkyMed© SAR-ASCAT-ECMWF wind field products inter-comparison in terms
 2 of RMSE, μ and σ values.

| Variable Product comparison | Wind Speed (m s^{-1}) | | | Wind direction ($^{\circ}$) | | |
|--------------------------------|----------------------------------|-------|----------|-------------------------------|-------|----------|
| | RMSE | μ | σ | RMSE | μ | σ |
| COSMO-SkyMed© SAR vs ASCAT | 2.27 | -0.59 | 2.19 | 18.95 | 1.71 | 18.88 |
| COSMO-SkyMed© SAR vs ECMWF | 3.30 | -1.69 | 2.83 | 23.85 | 7.54 | 22.63 |
| ASCAT vs ECMWF | 2.71 | -1.48 | 2.28 | 23.24 | 4.69 | 22.76 |

1 **Captions to Figures**

2 **Figure 1.** X-band Level 1B DGM ScanSAR Huge Region COSMO-SkyMed© SAR data acquired
3 on November 20th 2010 at 5:00 UTC. (a) VV-polarized NRCS. (b) Output of the pre-processing
4 step of the SAR wind field retrieval approach.

5 **Figure 2.** Wind field retrieval of the X-band VV-polarized Level 1B DGM ScanSAR Huge Region
6 COSMO-SkyMed© SAR data acquired on 20 November 2010 at 5:00 UTC. (a) Reference
7 ASCAT scatterometer wind speed. (a) Reference ECMWF model wind speed. (c) SAR-based
8 wind speed estimation over a sub-image scale of $12.5\text{km} \times 12.5\text{km}$. (d) SAR-based wind direction
9 estimation together with the reference ASCAT scatterometer wind direction. (e) SAR-based
10 wind direction estimation together with the reference ECMWF model wind direction. (f) ASCAT
11 scatterometer wind direction together with the ECMWF model data.

12 **Figure 3.** Georeferenced maps of the comparisons of Fig. 2d,e,f in terms of the complete surface
13 wind vector fields.

14 **Figure 4.** Probability density scatter plots of the comparison of the X-band COSMO-SkyMed©
15 SAR derived wind field with ASCAT scatterometer and ECMWF model reference ground truth,
16 by considering a sub-image gridding scale of $12.5\text{km} \times 12.5\text{km}$. (a) Scatter plot of COSMO-
17 SkyMed©-ASCAT wind speed inter-comparison. (b) Scatter plot of COSMO-SkyMed©-
18 ECMWF wind speed inter-comparison. (c) Scatter plot of ASCAT-ECMWF wind speed inter-
19 comparison. (d) Scatter plot of COSMO-SkyMed©-ASCAT wind direction inter-comparison. (e)
20 Scatter plot of COSMO-SkyMed©-ECMWF wind direction inter-comparison. (f) Scatter plot of
21 ASCAT-ECMWF wind direction inter-comparison.

22 **Figure 5.** Domain of integration of the coastal circulation model, with water depth.

23 **Figure 6.** Surface current velocity map (left panel) of 20 November 2010 at 06:00 UTC obtained in
24 a simulation with ECMWF forcing and nesting with the TSM. Current velocity map at $z=300$ m
25 (right panel) for the same simulation at the same instant.

26 **Figure 7.** Upper panel: representation of the 15-day November 2010 blended wind product (the
27 ticks represent ECMWF winds, the dots show the instants at which COSMO-SkyMed wind data
28 have been blended with ECMWF data, the red arrows show the time instants corresponding to
29 the maps shown in the subsequent figures). The red rectangle inside the map represents the
30 window in which the comparisons shown in the subsequent figures are performed. The graphs of
31 panels (a), (b), and (c) show the time series of the sea surface elevation, of the zonal and
32 meridional surface velocity components, respectively, sampled in the point identified by a star in

the map; the blue lines refer to the simulation with the purely ECMWF forcing, the red lines to the simulation with the blended wind forcing ($t=0$ corresponds to 10 November 2010, 0:00).

Figure 8. First and third row: surface currents (left) and sea surface elevation (right) in the window shown in Fig. 7, respectively, at 6:00 h of 20 November 2010 and at 6:00 h of 21 November 2010 obtained in the simulation with ECMWF wind forcing. Second and fourth row: same, but obtained with blended ECMWF / COSMO-SkyMed wind forcing.

Figure 9. First and third row: surface currents (left) and sea surface elevation (right) in the window shown in Fig. 7, respectively, at 0:00 h of 24 November 2010 and at 0:00 h of 25 November 2010 obtained in the simulation with ECMWF wind forcing. Second and fourth row: same, but obtained with blended ECMWF / COSMO-SkyMed wind forcing.