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# Comparison of the fall rate and structure of recent T-7 XBT manufactured by Sippican and TSK

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Discussion Paper

Discussion Paper

Discussion Paper

Discussion Paper Printer-friendly Version Interactive Discussion



OSD

7, 1811–1847, 2010

T7 fall rate

S. Kizu et al.

Title Page **Abstract** Introduction Conclusions References

> Tables **Figures**

Back Close

Full Screen / Esc

The fall rate of recent T-7 expendable bathythermograph (XBT) is evaluated based on a series of concurrent measurement with a calibrated Conductivity Temperature Depth profiler (CTD) in the sea east of Japan. An emphasis is placed on comparing the fall rates of T-7 produced by the two present manufacturers, the Lockheed Martin Sippican Inc., and the Tsurumi Seiki Co. Ltd., which have been believed to be identical but had never been compared directly. It is found that the two manufacturers' T-7 fall at rates different by about 3.5%. The Sippican T-7 falls slower than the current standard equation by Hanawa et al. (1995) gives by about 2.1%, and the TSK T-7 falls faster than it tells by about 1.4%. The fall-rate coefficients estimated based on the present sea test by applying the equation of traditional quadratic form,  $d(t) = at - bt^2$ where d is depth in meters and t is the time elapsed, since the water entry of the probe, in seconds, are a = 6.553 and b = 0.00221 for the LMS T-7, and a = 6.803 and b = 0.00242 for the TSK T-7. By detail examination of the probes, it is revealed that the two companies' T-7 have different total weight and many structural differences. Because the difference in the fall rate is about twice larger than the difference in weight (about 2%), it is inferred that those structural differences give sizable impact to the difference in their fall rates. Our results clearly show that the recent T-7 of the two companies needs to be discriminated.

#### 1 Introduction

The expendable bathythermograph (XBT) is a ballistically-shaped probe instrument for measuring temperature profiles of the upper ocean. The probe consists of a metal nose weight with holes that allow water to flow through, and a plastic after-body with three fin stabilizers (Fig. 1). A thermistor is fixed near the front of the nose weight and is linked to an on-board data acquisition system via fine coated wire and a launcher unit. When one deploys the probe from a vessel underway, it freely falls in the water column and

OSD

Discussion Paper

Discussion Paper

Discussion Paper

Discussion Paper

7, 1811-1847, 2010

T7 fall rate

S. Kizu et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

I◀











Full Screen / Esc

Printer-friendly Version



measures temperature of water as the resistance of the thermistor, which is recorded by the on-board system normally at a constant time interval. The measurement is terminated when the wire runs out and breaks. A general description of the instrument is given by Baker (1981).

The XBT was developed in the early 1960s to enable quick thermal profiling from a fast-moving vessel. Among several research institutions and companies, the Sippican Corporation, USA, the antecedent of the present Lockheed Martin Sippican (LMS; hereafter Sippican, except when the present company is particularly referred), won a competition and started to supply its probes (Demeo, 1969; Francis and Campbell, 1969) to the US Navy (Little, 1965; Shenoi, 1976; Anderson, 1980; Hannon, 2000). The XBT soon became widespread also in the oceanographic community and greatly helped ocean thermal observation network which largely depended on volunteer ships (e.g., White and Bernstein, 1979; Sprintall and Meyers, 1991; McPhaden et al., 1998; Smith et al., 1999). Many observational data sets which are presently available owe numerous upper ocean temperature profiles to this easy-handling device (e.g., Boyer et al., 2009). The Tsurumi Seiki, Co. Ltd. (hereafter TSK), Japan, started to manufacture and supply its XBT probes in Japan since the early 1970s under a license agreement with the Sippican (TSK, personal communication, 2009). The TSK's probes are now sold in Asian countries. Plessey Marine, UK, had also been a licensed manufacturer and supplier of the Sippican XBT in Europe for some period in the 1970s, but not for now (LMS, personal communication, 2008). As of April 2010, the LMS and the TSK are the only two acknowledged manufacturers, which supply multiple types of XBT for different profiling-ranges and ship speeds to users in individual sales territories. The present sales territories of the two companies are given in Appendix A.

The T-7 is the most popularly-used type of XBT. It has been manufactured by both the Sippican and the TSK. The former has also been supplying the T-4, the T-6 and the Deep Blue, all of which were designed to have identical outer shape and the probe weight in water as the T-7. Similarly, the latter has been manufacturing and supplying the T-6 and a modified version of the T-7 for faster platforms, by itself. The start of

OSD

7, 1811-1847, 2010

T7 fall rate

S. Kizu et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

1 >

Back Close

Full Screen / Esc

Printer-friendly Version



Discussion

Paper

Discussion Paper

Back

Interactive Discussion

manufacture by the TSK was July 1972 for the T-6 and April 1978 for the T-7. Note that there are other types which have different fall-rates and hence not discussed here.

Because the XBT carries no pressure sensor, the depth of each temperature sampling is calculated based on the time elapsed since the probe hits the water surface by using a fall-rate equation, usually of a quadratic form:

$$d(t) = at - bt^2, (1)$$

where d(t) is depth in meters at the time elapsed, t, in seconds. The equation contains two constant coefficients, a and b, that are empirically determined for each type of probe. The depth accuracy of the XBT is claimed to be  $\pm 2\%$ , or  $\pm 5$  m near the surface. by the manufacturers. Temperature accuracy is claimed to be ±0.2 °C for the Sippican XBT and the TSK XBT, according to the product catalogues available from the manufacturers' websites (see http://www.sippican.com/ for the LMS and http://www.tsk-jp.com/ for the TSK).

The fall rate of the XBT has been assessed by numerous studies in the past. In particular, that of the T-7 was most frequently reviewed because of their popularity (Flierl and Robinson, 1977; Federov et al., 1978; Seaver and Kuleshov, 1982; Heinmiller et al., 1983; Green, 1984; Hanawa and Yoritaka, 1987; Roemmich and Cornuelle, 1987; Wright and Szabados, 1989; Singer, 1990; Hanawa and Yoshikawa, 1991; Hallock and Teaque, 1992; Hanawa and Yasuda, 1992; Thadathil et al., 1998, 2002; Reseghetti et al., 2007). Many studies prior to the mid-1990s concluded that the original fall-rate coefficients by the Sippican (a = 6.472 and b = 0.00216) had systematic bias that caused underestimation of depth. Based on a series of sea tests of the T-7 and its relatives in various parts of the world ocean, Task Team on Quality Control of Automated System (TT/QCAS) made detail analysis of their fall rate (Hanawa et al., 1995; hereafter H95). H95 concluded that the T-4, the T-6, the T-7, and the Deep Blue manufactured by the two companies had virtually identical fall rate. Then the international community of oceanography agreed to use the coefficients proposed by H95, a = 6.691 and b = 0.00225, commonly for those six models (Hanawa et al., 1994; recall that the T-6

7, 1811–1847, 2010

OSD

T7 fall rate

S. Kizu et al.

Title Page Introduction **Abstract** Conclusions References **Figures Tables** 

Full Screen / Esc

Discussion

Paper

Back



and the T-7 are manufactured by both the companies). The coefficients by H95 were supported by later studies (e.g., Ridgway, 1994; Thadathil et al., 1998) and became default in the manufacturers' data acquisition system.

However, recent analyses of historical ocean temperature archives demonstrated 5 that the time series of upper ocean heat content was likely contaminated by serious instrumental biases (Gouretski and Koltermann, 2007; Gouretski, 2008; Wijffels et al., 2008; Willis et al., 2009; Carton and Santorelli, 2008; Ishii and Kimoto, 2009; Gouretski and Reseghetti, 2010). One of their major concerns was a "warm bias" possibly caused by inaccuracies in the fall rate of the XBT, and most of them concluded that the depth given by the H95's fall-rate equation was too deep. Wijffels et al. (2008; hereafter W08) showed that the magnitude of the mean depth error was greater than 3% at 800 m depth and 5% at 400 m depth for some periods, with considerable variation according to the probe types and years. Those are well excess of the manufacturers' accuracy claim of 2%. They also suggested that the coefficients given by H95 were valid for the probes in the years of H95 but not for those manufactured in the periods before and after that.

The bias of this magnitude in the fall rate could eliminate "warm 1970s" that had been believed to be real, and it could also give a very different view of recent global ocean warming. Therefore, the XBT Fall Rate Workshop was held at NOAA/AOML (the National Oceanographic and Atmospheric Administration/Atlantic Oceanographic and Meteorological Laboratory) in March 2008 to argue this problem again. Its web site (http://www.aoml.noaa.gov/phod/goos/meetings/2008/XBT/index.php) includes the results of those recent time-series analyses plus an extensive list of literature related to this issue.

However, a problem of those recent studies is that they were based on grid-wise analyses of relatively coarse spatio-temporal resolution rather than concurrent side-byside comparison among instruments which is believed to provide the most reliable way of the bias assessment. Another problem is that they were purely statistical, and they generally ignored when and how the XBT actually changed over time. For instance,

OSD

7, 1811–1847, 2010

T7 fall rate

S. Kizu et al.

Title Page Introduction **Abstract** Conclusions References **Figures Tables** 

Full Screen / Esc

Close

Paper



most of these studies distinguish the Deep Blue and the T-7 manufactured by the Sippican to discuss their biases, but the only difference between the two types is in the length of canister wire, which does not affect the fall-rate. The same applies to the T-4 and the T-6 made by the Sippican.

We should bear in mind that some of the previous sea tests before H95 were made by using Sippican's probes and the others by TSK's probes. In other words, there were no studies (outside the manufacturers) that directly compared the two companies' XBT at sea. H95 used data from sea tests by both of them, but even they did not compare them directly. This is partially because the two companies have separate sales territories, and partially because people believed that the two companies' products were identical.

Strictly speaking, it was known that the Sippican XBT and the TSK XBT used (and still do) different types of wire and that this makes difference in the weight of probe wire. It was also known that the inside of the nose weight of TSK XBT have been hollowed differently in order to compensate this. Similar adjustment of probe weight has been made by the individual manufacturers between the probes for medium-range profiling (the T-7 and the Deep Blue) and those for short-range (the T-4 and the T-6). People believed that this adjustment of weight worked perfectly. We therefore thought that the XBT made by the TSK had the same fall rates as the Sippican XBT of the same model name, and we also expected similar identity of fall-rate between the short-range XBT and the medium-range XBT. There were no studies that measured their weight, dimensions and shape to assess if they should really have had an identical fall rate. It should also be noted that all parts except the thermistor, which has been exported by the Sippican to the TSK, have been purchased or manufactured independently by the individual companies. Those parts have never been compared directly in the previous studies about the fall-rate problem.

The primary purpose of the present article is to evaluate and compare directly the fall rates of recent T-7 manufactured by the two present manufacturers, on the basis of a series of side-by-side comparisons to a calibrated CTD profiler at sea. Obviously, the history of the fall-rate will never be clarified by our analysis alone. Yet, we believe

OSD

7, 1811-1847, 2010

T7 fall rate

S. Kizu et al.

Title Page Introduction **Abstract** Conclusions References **Figures Tables** Back Close

1816

that frequent side-by-side sea tests and detail inspection of the probes are essential for monitoring the possible change of fall-rate from year to year. We hope that our results will be one of those.

The method and the results of our sea test are described in Sect. 2. The results of our detail examination of the two companies' T-7 are presented in Sect. 3. Discussion and concluding remarks are given in Sects. 4 and 5, respectively.

#### 2 The sea test

# 2.1 Pre-cruise probe check

A series of co-located and concurrent measurements by a routinely-calibrated CTD profiler (the Sea-Bird Electronics SBE-9) and the T-7 manufactured by the LMS and the TSK was conducted during 4 through 8 May 2008 off North-Eastern Japan as part of the KY0805 cruise by R/V Kaiyo Maru of the Japan Fisheries Agency, basically following the standard procedures by Sy and Wright (2000). The locations of the measurements are shown in Fig. 2.

Two dozens of the T-7 were supplied for the test by each of the two manufacturers. The serial numbers of the Sippican T-7, which were manufactured on 10 March 2008, are from 1083882 to 1083905. Those of the TSK T-7, which were manufactured in November 2006, are from 066277 to 066288 and from 066313 to 066324.

The weight of all T-7 probes was measured in air prior to the cruise. Also measured are the total length of probe, the length and the maximum diameter of the nose weight, and the position of the center of gravity in air. Their statistical summary is given in Table 1. The linear density of wire, also presented on the table, is calculated based on our measurement of weight of 30 m-long canister wire collected from each probe after the cruise.

It was found that the total weight of one TSK T-7 in air was greater by about 12 g (about 1.6% of total weight) on average than that of one Sippican T-7. The

OSD

7, 1811–1847, 2010

T7 fall rate

S. Kizu et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

l∢ ≻l

Back Close

Full Screen / Esc

Printer-friendly Version



probe-to-probe variance in weight of the Sippican T-7 in air is five times larger than that of the TSK T-7, and this is consistent with the industrial tolerance for the probe weight in air, which is specified by each company: 5 g for the Sippican XBT and 1 g for the TSK XBT. Based on this, two Sippican T-7 (the lightest and the heaviest one) and one TSK T-7 were kept for later detail examination (see Sect. 3). The rest, namely 22 Sippican T-7 and 23 TSK T-7, were tested in the sea according to the procedure described in the next subsection. The probe-to-probe difference of the total weight is also shown in Fig. 3. The results of the rest of the measurement will be discussed in Sect. 3.

## 2.2 Sea test procedure

Temperature profiles were obtained by the T-7 at 22 CTD stations when the vessel was under operation for CTD measurement (i.e. almost stationary). The temperature profiles obtained by the CTD profiler are shown in Fig. 4.

A single TSK handheld launcher and a single TSK TS-MK-130 System were used throughout the measurement. At each CTD station, a first T-7 was released when the CTD was at about 10 m depth on its sinking path. After the first one finished, a second T-7 was set and launched. At 21 of total 22 CTD stations, a Sippican T-7 was released first and a TSK T-7 was released second. Only at the 22nd CTD station where we tested two TSK T-7 and one Sippican T-7, one TSK T-7 was released first, a Sippican T-7 second, and a second TSK T-7 was released last. Typically it takes 2 min to complete one profile by a T-7. The time difference between individual profiles by T-7 and a CTD measurement to be compared therefore varied from several to about 10 min., depending on depth. The ship drift during one set of measurement was smaller than 700 m.

All probes used were stored in a laboratory of the vessel at room temperature before each measurement. The individual TSK T-7 was enclosed in a vinyl envelope with a desiccant package, as usually done by the company upon shipping. We broke the envelope shortly (within several minutes) before individual measurement. The Sippican

OSD

7, 1811–1847, 2010

T7 fall rate

S. Kizu et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

14











Full Screen / Esc

Printer-friendly Version



T-7 was supplied by using similar cardboard boxes but without such damp-proof enclosure.

The probes were carefully launched from a lee side of the lower deck at several meters above the water surface though precise launching height varied from case to case according to the sea state. There was no apparent wire contact with ship hull, but nine profiles (i.e. 41%) obtained by the Sippican T-7 were at least partially erroneous perhaps due to break of the wire insulation occurred in seawater during the measurement. We asked the LMS if there were any problems in the manufacture of those particular probes, but the company denied that possibility. Four of those nine are discarded from the determination of fall rate because the error-free part of the profiles was too short. The rest (i.e. five profiles) of them are utilized after removing the erroneous parts that were mostly found at the deepest levels. All TSK probes worked without such electrical leakage problem.

The sampling rate of our XBT measurement is 20 Hz. This corresponds to a vertical resolution of about 33 cm for the T-7, according to the fall-rate equation by H95. The vertical resolution of the CTD data is one decibar. The CTD profiler used in this investigation has routinely been calibrated, and we assume that the nominal accuracy (0.003 mmho/cm, 0.001 °C and 0.015% for conductivity, temperature and pressure, respectively) was maintained throughout the investigation.

# 2.3 Estimation of depth error

The method of Hanawa and Yasuda (1992) is used in order to estimate the depth error of individual T-7 profiles. We assume that the CTD profiles are the truth. The method of analysis is briefly described in the following.

First, the individual temperature profiles are processed with a 7-point median filter to remove spikes. Secondly, they are interpolated at one meter interval, and thirdly smoothed with a 41-point Hanning filter. The choice of (especially the second) filter is rather arbitrary, and the decision is made according to a compromise between advantage (i.e. good vertical resolution) and deficit (i.e. higher noise) of retaining high wave

OSD

7, 1811–1847, 2010

T7 fall rate

S. Kizu et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

I◀











Full Screen / Esc

Printer-friendly Version



Discussion Paper

Conclusions

Interactive Discussion

number structures in the profiles. The pressure-to-depth conversion is made for the CTD profiles by using an equation  $d = 0.993 \times p$ , as done by H95, prior to the vertical interpolation. Fourthly, the vertical gradient of temperature is calculated for a total depth range of the individual T-7 and CTD profiles. Finally, the depth error is estimated by searching for a depth offset which gives the smallest difference between the profile of temperature gradient by the T-7 and that by corresponding CTD measurement. These procedures are repeated for all T-7-CTD profile pairs obtained from our sea test. See H95 or Hanawa and Yasuda (1992) for further details of the method.

## 2.4 Result

An example is presented in Fig. 5 to show how the present method detects depth error of an XBT profile. Figure 5a shows raw (i.e. unfiltered) temperature profiles obtained at one of the stations by the CTD profiler and the T-7 manufactured by the two companies. Obviously, the depth of the profile obtained by the Sippican T-7 (S/N 1083895) is positively biased, and the T-7 (S/N 066319) is negatively biased. The positive depth error indicates that the true fall rate of the probe is slower than the H95, and vice versa. Similar tendency is observed in all available pairs of profiles (not shown here). Also, in this case, a positive temperature offset, of several hundredths of degrees, is found in the profile taken by the Sippican T-7, and a negative temperature offset, of somewhat smaller magnitude, is found for the TSK T-7.

Figure 5b and 5c shows the error of depth at various CTD depth levels, which is detected by the present method for each of the two T-7 profiles shown in Fig. 5a. As suggested by the temperature profiles, the depths of the profile obtained by the Sippican T-7 and those by the TSK T-7 are clearly biased in opposite directions; the former shows positive depth error and the latter shows negative depth error. The size of the depth error is about 2% (with different signs) for these two probes.

Figure 6 shows a histogram of the depth error obtained at various depth levels from all of the available pairs of profiles. The depth calculated for the Sippican T-7 is biased in positive direction except near the surface where tendency is not clear (Fig. 6a). The OSD

7, 1811–1847, 2010

T7 fall rate

S. Kizu et al.

Title Page Introduction **Abstract** 

**Figures Tables** 

References

Back Close

Full Screen / Esc

Discussion Paper

size of the bias is nearly equal to or slightly larger than 2%, the manufacturers' specification of the depth accuracy. In contrast, the depth of the TSK T-7 is systematically biased in negative direction (Fig. 6b) though the magnitude of the error is mostly smaller than 2%. These results again suggest that the true fall rate of the present Sippican T-7 is slower than given by H95's fall-rate equation, and that of the present TSK T-7 is faster.

Next, the best-fit fall-rate coefficients are determined for the individual T-7 by the least-square method and summarized in Fig. 7. The results clearly demonstrate that the T-7 manufactured by the two companies have systematically different fall rates. The difference between the two groups is almost 3.5%, and the coefficients by H95 are just located between them. These results are consistent with the aforementioned profile-to-profile comparison (Figs. 5 and 6).

Interestingly, the fall-rate coefficients show some dependency on the water temperature. The coefficients a and b obtained from profiles with higher surface temperature (marked by "H" in Fig. 4) are generally larger than those from profiles with lower surface temperature (marked by "L" in Fig. 4). In other words, the T-7 in "H" subgroup had faster initial fall rate but also experienced quicker deceleration. This tendency is commonly observed for the T-7 made by both the companies, though the sample for the Sippican T-7 is smaller than the TSK T-7 because of the loss of profiles due to the leakage problem. The profiles in "H" subgroup are characterized by higher surface temperature and higher vertical gradient of temperature than those in "L" subgroup (Fig. 4). Therefore, it is inferred that the fall rate of the T-7 is faster in warmer (i.e. less viscous) water, but that the faster initial fall rate is mostly cancelled by larger b coefficient that is likely caused by greater decrease of temperature (i.e. increase of viscosity) in the middle to lower part of the profiling range.

Figure 8 shows comparison between the fall rates at the surface (t = 0) and those at t = 110 (i.e.  $d \ge 700$  m) estimated for individual samples by the first derivative of Eq. (1), namely,

 $v(t) \equiv a - bt. \tag{2}$ 

OSD

7, 1811-1847, 2010

T7 fall rate

S. Kizu et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

ld ⊳l

1 1

Close

Full Screen / Esc

Back

Printer-friendly Version

It is confirmed that the systematic difference in initial fall rates (i.e. horizontal axis) between the two temperature subgroups is reduced or mostly lost in the deepest part of the profiling range (i.e. vertical axis). Because the difference in temperature between the two subgroups in lower layer is at least several times smaller than that near the surface (see Fig. 4), the results are thought to be an evidence of the dependency of fall rate on water temperature (i.e. viscosity), which was often suggested by earlier studies (e.g., Sippican, 1976; Barnett and Bernstein, 1980; Green, 1984) but had scarcely been proven in real sea. The present results are qualitatively coincident with Thadathil et al. (2002) which showed that the fall rate of the Sippican T-7 was slower than given by H95's coefficients in water of extremely low temperature. The dependency of fall rate on water temperature was also shown for the T-5 manufactured by both the companies (Kizu et al., 2005b), and the XCTD-1 and the XCTD-2 (Kizu et al., 2008). Similar small temperature-dependency of the coefficients was also partially obtained by H95 (see their Figs. 8 and 9) though they eventually concluded that there was no significant

When the temperature-dependency is neglected, the mean and the unbiased standard deviation of the fall-rate coefficients obtained in the present study are  $a = 6.553 \pm 0.064$  and  $b = 0.00221 \pm 0.00043$  for the LMS T-7 (N = 18), and  $a = 6.803 \pm 0.052$  and  $b = 0.00242 \pm 0.00044$  for the TSK T-7 (N = 23), where N is the number of probes used for the statistics.

global relationship between the water temperature and the fall rate.

The relative differences between depth by each of the present two fall-rate equations and that by each of the original manufacturers' equation and H95's equation are shown in Fig. 9. The present equation for the Sippican T-7 gives 1.2% greater depth than the original equation by the manufactures and 2.1% smaller depth than H95 at the greatest depth in the graph. The present equation for the TSK T-7 gives 4.8% greater depth than the original equation and 1.4% greater depth than H95 at the same depth. The difference between the present equations and H95 are almost within the manufacturers' claim for depth error (i.e. 2%; shown by dotted lines).

**OSD** 

7, 1811-1847, 2010

T7 fall rate

S. Kizu et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

l∢ ≻l

**■** Back Close

Full Screen / Esc

Printer-friendly Version



Detail examination of the T-7 was made in May 2008 at the TSK Shirakawa Factory and later at the Physical Oceanography Laboratory of Tohoku University. Two Sippican T-7 probes (S/N 1083890 and 1083897) and one TSK T-7 probe (S/N 066313) were used, and the weight and dimensions of their parts were measured by an electronic balance, slide calipers and a vernier height gauge. Also, the wire was collected from the canister spools of all probes used in the sea test, and its linear density was measured.

The measurement of weight was done in air and faucet water. First, the total weight of probe was measured in air, and secondly in water. Next, the probe was deaerated in a pressured water tank so that the small bubbles caught around the probe wire were removed. Then the probe weight was measured in water again. Then the probe wire was unreeled, and the probes were decomposed into parts. Finally, the weight of each part except the wire was measured in air, and then in water. This procedure was repeated for every sample T-7. The results are summarized in Table 2.

Table 2 shows that more than 86% of the probe weight with full wire in water is due to the metal nose weight, and most of the rest comes from the probe wire. The weights of probe spool and after-body (not shown) are respectively smaller than 2 g in water. The average weight of the Sippican T-7 with full probe wire in air is greater than that of the TSK T-7 in the same condition by about 12 g. The weight difference between the Sippican T-7 and the TSK T-7 in water is about 3 g before deaeration, but 13 g after that. The weight of the Sippican T-7 with full wire in water increased by about 2 g by deaeration, and that of the TSK T-7 increased by about 12 g. The weights of two manufacturers' T-7 without wire were almost the same in water, and the weights of all parts except the wire in water did not change by deaeration. These results suggest that air bubbles caught by probe wire may cause measurable impact on the probe weight particularly of the TSK XBT. The difference in probe weight in water approached that in air when bubbles were removed. However, more samples are needed to draw more solid conclusion about this bubble effect.

OSD

Discussion Paper

Discussion Paper

Discussion Paper

Discussion

Paper

7, 1811–1847, 2010

T7 fall rate

S. Kizu et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

Full Screen / Esc

Close

Back

Printer-friendly Version



Discussion

Paper

The weight differences among nose weights of the three probes are smaller than 1 g, and the differences among volumes of them are smaller than 1% (not shown here). Although the number of our samples is obviously small, the difference in the mass of nose weight between the two manufacturers' T-7 is thought to be insignificant and within the manufacture tolerance. This fact clearly disagrees with our past understanding that the weight of nose weight is differentiated by the two manufacturers to cancel the difference in the weight of probe wire. Because differences in the weights of the plastic parts are almost negligible in water, the difference in total weights of the two companies' T-7 is originated from the difference in the weight of probe wire. When we divide the difference in the probe weight with full wire (about 12 g) by the average difference in linear density of wire (i.e. 0.011 g/m; see Table 1), the quotient reasonably agrees with the length of probe wire of the T-7.

From these results, it is concluded that the difference of probe weight in water is largely due to the difference in the weight of probe wire. It is also likely that the difference in probe weight in water does not exceed the weight difference in air, 12 g, which is 2% of the total weight of a probe in water.

Also given in Tables 1 and 2 are the diameter of the center hole where the thermistor is fixed, the outer diameter of nose weight, the length of a probe and the nose weight, and the position of the center of gravity in air, and the average thickness and size of the fins. Note that the numbers in Table 1 are the statistics obtained from the 24 probes of T-7 for each manufacturer and those in Table 2 are obtained from one (for the TSK) or two (for the Sippican) of those.

There are many differences between the Sippican T-7 and the TSK T-7. First, the diameter of the central hole of the latter is  $0.5\,\mathrm{mm}$  (4.6%) smaller than that of the former. Second, the length of nose weight, measured from its frontal end to the boundary connected to the after-body, of the latter is 1 mm (1.7%) longer than that of the former. Accordingly, the position of the center of gravity of the latter is located about 2 mm behind of that of the former (Table 1). Third, the diameter of the nose weight of the latter is  $0.2\,\mathrm{mm}$  (0.4%) larger than the former. Fourth, the three fins, which consist of

## **OSD**

7, 1811–1847, 2010

#### T7 fall rate

S. Kizu et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

Full Screen / Esc

Close

Back

Printer-friendly Version

Discussion Paper

T7 fall rate S. Kizu et al.

OSD

7, 1811-1847, 2010

Introduction **Abstract** Conclusions References Tables **Figures** 

Title Page

I◀ 

Back

Full Screen / Esc

Close

Printer-friendly Version

Interactive Discussion



main part that extends radially outward from the after-body (the part enclosed by BCEF in Fig. 1) and angled small tail part (CDE in Fig. 1), of the TSK T-7 are thinner than the Sippican T-7, by 1.4 mm at their frontal ends (point B in Fig. 1). Fifth, the length between point B and point A, the boundary between the after-body and the nose weight, of the TSK T-7 is smaller by about 5 mm than the Sippican T-7. Sixth, the width of the main part of the fins (i.e. the length between point C (Fig. 1) and the central tube) of the TSK T-7 is 0.4 mm smaller than the Sippican T-7. The endmost corners of the three tail fins (point D in Fig. 1) of the TSK T-7 are located 1.2 mm outward than the Sippican T-7. Consequently, the angled part of the fins extends slightly outward from outer edge of their main part (i.e. the line from B to C) in the TSK T-7, but is located well inside of the line in the Sippican T-7. In addition, the size of the angled tail part of the Sippican T-7 is larger than that of the TSK T-7 by a few percent. Furthermore, the inner volume of the after-body of the Sippican T-7 is larger by about 5 cm<sup>3</sup> (3.5%) than the TSK T-7.

These inter-manufacturer structural differences are similarly found between the TSK T-5 and the Sippican T-5 that were investigated in Kizu et al. (2005b). Because the T-5 and the T-7 share the same outer design of the nose weight and the rear part of the after-body, it is likely that these differences between the manufacturers have been existent at least for a half-decade.

A remarkable difference between the Sippican T-7 and the TSK T-7 is in the inside design of the metal nose weight, as shown in Fig. 10. The TSK T-7 has concentric design, but the Sippican T-7 does not. According to the manufacturer's information, the Sippican Deep Blue has the same inside design as its T-7 but its T-4, T-5, T-6, and T-10 commonly have a concentric design (LMS, personal communication, 2009), and all TSK XBT have concentric weight design (TSK, personal communication, 2009). Both manufacturers also claim that the inner design of the nose weight has never been changed for each probe type.

Figure 8 shows that the difference between the fall rates of the two manufacturers' T-7 was kept almost unchanged from near the surface to the deepest part of the profiles where the probe wire was expired. When we assume that the probes fall by their terminal velocities at each depth in water, this suggests that the difference in the fall rate is significantly caused by factors other than the weight difference, which should vanish when the wire runs out.

A possible factor to explain this is the structural differences. The difference in the size and angles of the tail fins may produce difference in rotational torque to cause different spin motion. The differences in the fins may also cause different wake, possibly in cope with the difference in the thickness of the fins. Different inner design of the nose weight may affect the stability of descent and cause wobbling of the probe that was suggested in previous studies.

However, it is very difficult to quantify how each of these structural differences contributes to the difference in the overall fall rates. Reynolds number in the situation where the probe (with diameter  $d \approx 5 \, \text{cm}$ ) falls at velocity of 6.5 m/s in water (with dynamic viscosity  $v \cong 1.0 \times 10^{-6} \, \text{m}^2/\text{s}$  at  $10\,^\circ\text{C}$ ) is about  $3 \times 10^5$ , which means that the flow regime around the falling probe is turbulent, as discussed in Green (1984). The sharp fins should help separation of boundary layer, and water that flows out from the central vent should also help that process. These conditions will not be easily handled even with a sophisticated hydrodynamic model, when we want to estimate the fall rate with accuracy better than a few percent. Nevertheless, there are multiple evidences to expect different fall rates for the two manufacturers' probes with a common model name and also for different types of probe produced by either manufacturer, which have been thought to fall at an identical rate.

The comparison between the fall rate of the Sippican T-7 and that of the TSK T-7 was also made by H95 though they were not based on direct side-by-side comparison. Their conclusion was that the difference was negligible compared to the large variance

OSD

Discussion Paper

Discussion Paper

Discussion Paper

Discussion

Paper

7, 1811–1847, 2010

T7 fall rate

S. Kizu et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

**→** 

Back Close
Full Screen / Esc

Printer-friendly Version



among data subsets obtained in various sea areas of the world. Our finding obviously disagrees with this. However, it should be noted that structural identity between the probes used in H95 and those used in the present study is not proven because H95 did not make any measurement of weight nor structural inspection of the probes.

There are three hypotheses. The first is that there was a systematic difference between the Sippican T-7 and the TSK T-7 already at the time of H95, which failed to detect it. However, the difference between the mean fall rate of the Sippican T-7 and that of the TSK T-7 in our sample is about 3.5%, which could hardly be overlooked in H95. Their Figs. 8 and 10 clearly show that the T-7 manufactured by the two companies at that time had no such systematic difference in the fall rate and that the mean differences between the manufacturers or among the probe types were much smaller. W08 also suggested that there was no sizable bias of fall rate for the period of H95. So, the first hypothesis can almost be dismissed.

The second possibility is that there was no systematic difference between the two companies' T-7 at the time of H95, but there is now. The third is that the disagreement between H95 and the present results is wholly caused by variance among production lots. Neither of these two possibilities could be eliminated immediately because our sample is small. More frequent sea tests will be needed to conclude whether or not this 3.5% difference is really systematic. If the second scenario is right, the results by H95 and those by the present study can be consistent. In addition, it means that at least some conclusions by W08, or studies which similarly suggested time-varying fall rate, are supported. However, in that case, some structural change has to be brought by either or both manufacturers after H95. This obviously disagrees with the two manufacturers' claim that they had never changed the specification of the probes in the manner that the fall rate is affected.

The Sippican changed the coating of wire a few times in the past (LMS, personal communication, 2008). A major change occurred in 1996. The linear density of its wire in air became slightly smaller by this change than before. However, the wire density in water, as well as other parts such as nose weight, after-body and probe spool, is

OSD

7, 1811-1847, 2010

T7 fall rate

S. Kizu et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

Full Screen / Esc

Close

Back

Printer-friendly Version



kept unchanged (LMS, personal communication, 2008). TSK claims that it has never changed the specification since its start of production.

A key question is from when and how the inter-manufacturer differences occurred. If both the companies did not change their probe design except the changes of wire coating by the Sippican, as the manufacturers recognize, the two companies' T-7 must have had some structural difference since the TSK started its manufacture. Another important point is that there is weight difference, which is obviously larger than the industrial tolerances of the manufacturers, for at least some of the recent probes.

Even if the difference is just caused by lot-to-lot variance, it means that the relative fall-rate difference between the two manufacturers is sometimes well excess of their 2% depth accuracy claims. We would therefore need to tolerate larger depth disagreement if we mix the profiles obtained by the two companies' probes.

There are more questions. The data of H95 were taken during years from 1987 through 1992 though they did not describe the dates of production nor the serial numbers of the individual probes they used. Many sea tests prior to H95 demonstrated systematic bias of the Sippican's original fall-rate coefficients as aforementioned, and many articles supported H95 after the mid-1990s until Gouretski and Koltermann (2007). W08 implied that the original coefficients by the Sippican were more accurate for the XBT profiles in the 1970s than the H95 which gives 3.3% larger depth than the former (see their Fig. 6d and h). This disagrees with the fact that multiple studies in the 1970s commonly demonstrated systematic negative depth bias of more than 2% by the original Sippican coefficients at 750m depth (e.g., Flierl and Robinson, 1977; Federov et al., 1978). In addition, H95 showed that the TSK T-6 have marginally but systematically slower (by about -1.3%) fall rate than the T-7 and the Sippican T-4/T-6 (see their Fig. 10) while W08 showed that "shallow" probes dropped in the Northwest Pacific, which were supposed to be TSK T-6 in their study, had a few times larger negative depth bias during the same period (see their Fig. 6d): negative depth bias means that the true fall-rate is faster than H95 predicts.

OSD

7, 1811-1847, 2010

T7 fall rate

S. Kizu et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

1 P

Back Close

Full Screen / Esc

Printer-friendly Version



Discussion

Paper



Unfortunately, detail information about the manufacture of the XBT is hard to obtain because of the industrial secrets. Therefore, we can not tell if/how the probes manufactured decades ago and recent ones actually differ. However, some description could be made. For instance, the TSK has been checking the weight of every metal nose and a total probe unit. The linear-density of wire is also checked on a batch basis (TSK, personal communication, 2008). Its allowance for the total probe weight is 1 g, in contrast to 5 g by LMS as aforementioned, but its routine check has been made only in air (i.e. not in water) and for weight. The Sippican is supposed to have similar system for routine checks, though their detail is not known. In any case, it is not clear whether the possible changes of probe shape and structure could have been detected properly by their routine procedure. It is inferred, therefore, that the shape of probe may have been changed over time, relatively more easily than its weight which should have been controlled more strictly. It is also noted that the nose weight and the plastic parts are thought to be molded out of their materials by using molds that may have been renewed at times in their production history.

According to the TSK's present procedure for its quality control, variance in the weight of nose (within its tolerance) is cancelled by adjusting the length of probe wire in air. However, it is not known whether this may leave some variance in the weight in water. Even if the cancellation is perfect and the initial weight is kept constant, small difference of weight may arise as the probe wire is unreeled. The mass balance is also different between the short-range probes (the T-4 and the T-6) and the medium-range probes (the T-7 and the Deep Blue), even if their total weight is kept identical, because the probe spool is located behind the nose weight.

If we collect the full-wire-length data for every profile we take, we may be able to monitor the possible variation of wire length and hence the possible change in the mass balance of a probe. Unfortunately, this can not be achieved by the present acquisition systems by the TSK, which automatically terminate acquisition of data at depths prescribed for each probe type. Also, the canister wire, which is collectable, may be a good indicator of the change of the wire density. Because the linear density of wire is

OSD

7, 1811–1847, 2010

T7 fall rate

S. Kizu et al.

1829

directly related to the *b* coefficient of the fall-rate equation, it could help assessing the applicability of the accepted fall-rate equation.

## 5 Concluding remarks

It is clearly shown that the recent T-7 manufactured by the two companies have different structure and weight and that the fall-rate equation by H95 is biased for both of them. However, it is not clarified yet how 2% difference in total probe weight and various small structural differences could generate 3.5% difference in their fall rates. Also, it is not known from when these differences became real. The temperature dependency of the fall rate is existent but too small to explain the depth bias identified in the present analysis.

The authors do not think that the present fall-rate estimation should be reflected immediately in the past time series of ocean heat content because there is no proof that the recent probes and the old probes should have the identical fall rate. Similar investigation will need to be repeated regularly in the future in various parts of the world ocean to assess the lot-to-lot differences and/or effects of sea state and launching condition.

The T-7 manufactured by TSK and the Sippican had been believed to have an identical fall rate, but the truth is not. Similarly, Kizu et al. (2005a, b) showed that the Sippican T-5 and the TSK T-5 had different weight and fall rates though they had been considered identical. The users of XBT need to recognize that the two companies' products are often different. The T-6, though not investigated here, could be subject to similar inter-manufacturer differences because it consists of the same parts (except the inner design and weight of the nose weight) and the outer shape as the T-7 of individual manufacturers. Therefore, it is highly recommended that any data archive keeps the information of probe serial number as well as probe type and manufacturer as a metadata. Those set of information are vital whenever we try to review manufacture of specific probes and/or to correct depth error that could be found later.

**OSD** 

7, 1811–1847, 2010

T7 fall rate

S. Kizu et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures













Full Screen / Esc

Printer-friendly Version



The XBT was originally developed to enable profiling of temperature by fast-cruising military vessels. The instrument has been used for various and perhaps often more sensitive purposes than originally anticipated by the developers of the instrument. A 2% depth error could eliminate some important "observational facts" about decadal variability of the ocean, and it could present very different view of global warming (e.g., Wijffels et al., 2008; Carton and Santorelli, 2008). The users should know how much we can really expect on this convenient device, and the manufacturers should recognize how crucial the depth accuracy of their probes is for the climate studies.

# Appendix A

15

The sales territories of the LMS and the TSK are given below (as of August 2010). For countries not listed here, no agreement is made yet (TSK, personal communication, 2010).

LMS: Europe, North America, South America, Australia, New Zealand, India, Malaysia, Singapore, South Korea (military), Taiwan (military), Thailand (military).

TSK: Japan, Myanmar, Estonia, Latvia, Lithuania, Philippines, China, Armenia, Azerbaijan, Belarus, Georgia, Kazakhstan, Kyrgyz, Moldova, Russia, Tajikistan, Turkmenistan, Ukraine, Uzbekistan, South Korea (civilian), Taiwan (civilian), Thailand (civilian).

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# OSD

7, 1811–1847, 2010

## T7 fall rate

S. Kizu et al.

Title Page Introduction **Abstract** References Conclusions Tables **Figures** Back Close Full Screen / Esc Printer-friendly Version Interactive Discussion

Discussion

Paper

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1832

7, 1811–1847, 2010

OSD

T7 fall rate

S. Kizu et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

I ◆ ▶I

◆ Back Close

Discussion Paper

Interactive Discussion

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OSD

7, 1811–1847, 2010

T7 fall rate

S. Kizu et al.

Title Page Introduction **Abstract** Conclusions References Tables **Figures** 

Close

Back

I◀

Full Screen / Esc

Discussion

Paper

**OSD** 

7, 1811-1847, 2010

T7 fall rate

S. Kizu et al.

- Title Page

  Abstract Introduction

  Conclusions References

  Tables Figures
  - 1 iguree
  - 1
  - Back
- Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

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7, 1811–1847, 2010

T7 fall rate

S. Kizu et al.

Title Page Introduction **Abstract** Conclusions References Tables **Figures** I◀ Back Close Full Screen / Esc Printer-friendly Version Interactive Discussion

**Table 1.** The results of pre-cruise probe measurement. From the left to right, total length of probe, maximum diameter of nose weight, length of nose weight, the position of the center of gravity in air  $(P_{CG})$ , and total weight of probe in air  $W_{\text{fw,air}}$  are given. The minimum, maximum, and mean of the 24 T-7 probes are presented for each manufacturer. Weights are given in the unit of grams, and lengths are in mm.  $\rho_{\text{wire}}$  is the linear density of wire in g/m. See text for more detail.

Manufacturer	·	Total length	Nose diameter	Nose length	$P_{CG}$	$W_{\rm fw,air}$	$ ho_{wire}$
Sippican	min	215.4	50.8	60.3	54.2	725.8	0.118
	max	216.0	50.8	60.8	54.7	732.4	0.121
	mean	215.9	50.8	60.5	54.5	728.9	0.121
	max-min	0.6	0.0	0.5	0.5	6.6	0.003
TSK	min	216.1	51.0	61.3	56.0	739.8	0.131
	max	216.3	51.0	61.5	56.4	741.1	0.132
	mean	216.2	51.0	61.4	56.2	740.5	0.132
	max-min	0.2	0.0	0.2	0.4	1.3	0.002
Mean difference	TSK-SIP	0.3	0.2	0.9	1.7	11.6	0.011

7, 1811-1847, 2010

T7 fall rate

S. Kizu et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures













Full Screen / Esc

Printer-friendly Version



**Table 2.** Weights and dimensions of various parts of the Sippican T-7 and the TSK T-7, and the difference between them.  $W_{\text{fw,a}}$ : weight of a probe with full wire in air;  $W_{\text{fw,w(1)}}$ : weight of a probe with full wire in water before deaeration;  $W_{\text{fw,w(2)}}$ : weight of a probe with full wire in water after deaeration;  $W_{\text{nw,w}}$ : weight of a probe without wire in water;  $W_{\text{nose,w}}$ : weight of a nose weight in water;  $\phi_{\text{nose hole}}$ : diameter of central hole in the nose weight;  $D_{\text{fin(1)}}$ : thickness of the tail fin at its folded point. The columns with two consecutive capital alphabets show the length of sides: e.g. CE means the length of side between point C and point E, and OC means the distance between point C and the central axis (see Fig. 1). The unit for weights is grams, and that for lengths is mm. See text for more detail.

Manufacturer	S/N	$W_{\text{fw,a}}$	$W_{\text{fw},w(1)}$	$W_{fw,w(2)}$	$W_{\text{nw,w}}$	$W_{nose,w}$	$\phi_{nose\ hole}$	$D_{fin(1)}$	$D_{fin(2)}$	OC	OD	CE	CD	DE
Sippican	1083890	732.3	563.8	566.6	487.3	485.1	11.05	3.55	1.25	15.7	18.8	17.7	11.0	14.2
	1083897	725.8	559.7	561.4	485.9	484.2	11.05	3.60	1.20	15.8	18.9	17.8	11.0	14.3
	mean	729.1	561.7	564.0	486.6	484.7	11.05	3.58	1.23	15.8	18.9	17.8	11.0	14.3
TSK	066313	740.5	565.0	576.5	487.2	485.1	10.55	2.20	0.85	15.4	20.0	17.1	9.9	15.5
Difference (TSI	K-SIPmean)	11.5	3.2	12.5	0.6	0.5	-0.50	-1.38	-0.38	-0.4	1.2	-0.6	-1.1	1.3

7, 1811–1847, 2010

#### T7 fall rate

S. Kizu et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

4 F

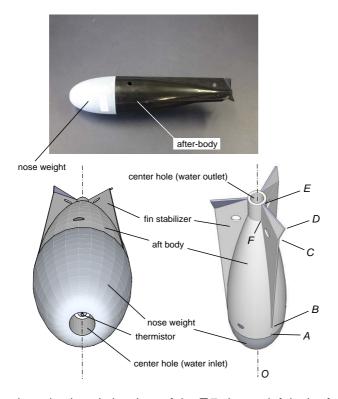
I◀

Back Close

Full Screen / Esc

Printer-friendly Version





**Fig. 1.** A side-view photo (top) and sketches of the T-7. Lower left is the front view and lower right is the rear view. The italic alphabets depict the parts of which length is measured and given in Table 2.

7, 1811-1847, 2010

T7 fall rate

S. Kizu et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures













Full Screen / Esc

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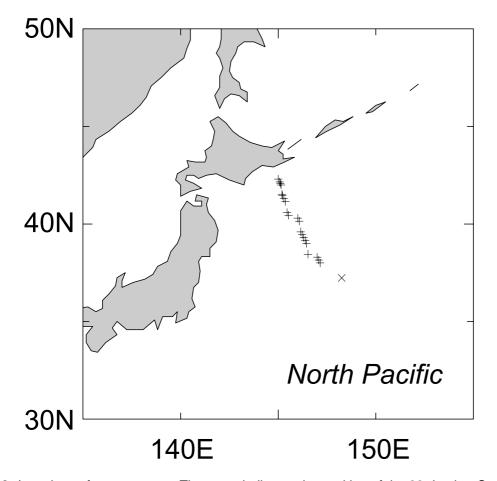
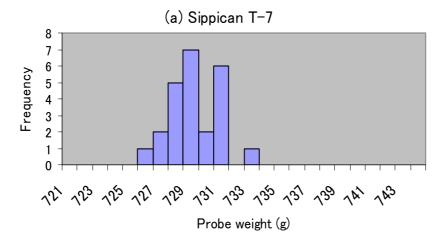


Fig. 2. Locations of our sea tests. The cross indicates the position of the 22rd point. See text for detail.

Discussion Paper





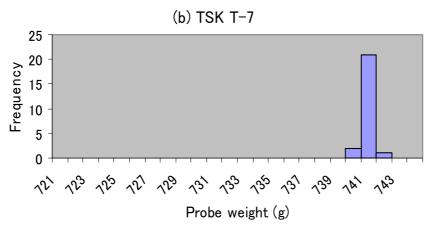


Fig. 3. Histogram of the total probe weight in air. (a) The Sippican T-7. (b) The TSK T-7.

OSD

7, 1811-1847, 2010

T7 fall rate

S. Kizu et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

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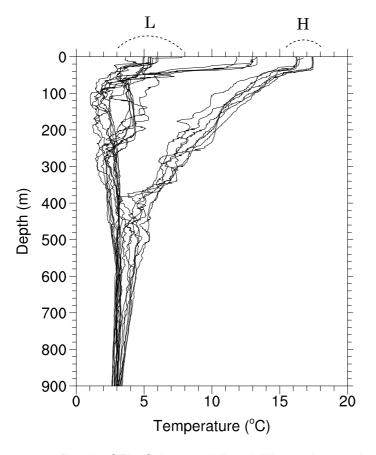












**Fig. 4.** Temperature profiles by CTD. Subgroup "H" and "L" are characterized by high and low surface temperatures, respectively. Note that four profiles characterized by intermediate surface temperature ( $11 \le SST \le 14$  °C) are not included in either subgroups.

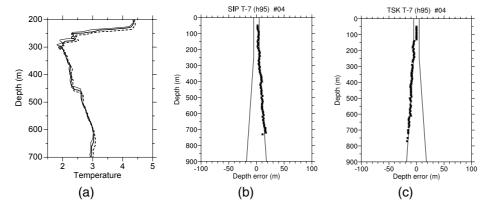
7, 1811-1847, 2010

T7 fall rate

S. Kizu et al.

Printer-friendly Version



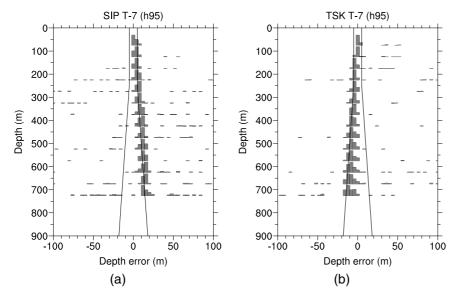


**Fig. 5.** A typical example for showing the data processing. **(a)** Vertical temperature profiles by CTD (thick solid line), the Sippican T-7 (dashed line) and the TSK T-7 (thin solid line). **(b)** The depth error of H95's fall-rate coefficients estimated at various depth levels for the Sippican T-7 whose profile is shown in (a). **(c)** Same as (b) but for the TSK T-7. Solid lines in (b) and (c) indicate the manufacturers' claim for depth accuracy. Note that only limited depth range is shown in (a) for clarity.

7, 1811-1847, 2010

T7 fall rate

S. Kizu et al.



**Fig. 6.** The depth error of the H95's fall-rate coefficients at various depth levels. **(a)** The Sippican T-7 (18 probes). **(b)** The TSK T-7 (23 probes). The vertical axis is the depth by CTD, and the horizontal axis is the error of depth. Vertical bars, scaled arbitrarily, indicate relative frequency of the occurrence of the error in each depth bin (50 m). Solid lines show the manufacturer's claim for depth accuracy.

7, 1811-1847, 2010

T7 fall rate

S. Kizu et al.

Title Page

Abstract Introduction

Conclusions References

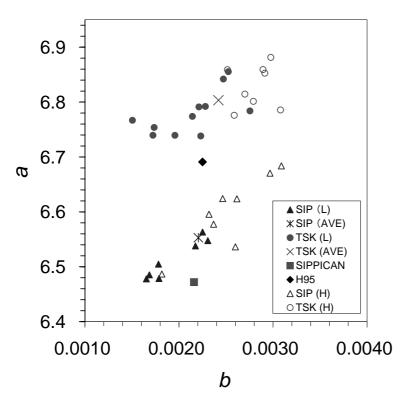
Tables Figures

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Back Close

Full Screen / Esc

Printer-friendly Version



**Fig. 7.** The fall-rate coefficients estimated for the individual T-7 profiles. The horizontal axis is *b* coefficient and the vertical axis is *a* coefficient. Closed and open circles indicate those for low and high temperature subgroups (see Fig. 4), respectively, by the TSK T-7. Closed and open triangles indicate those for low and high temperature subgroups, respectively, by the Sippican T-7. The asterisk and the cross depict means for the Sippican and the TSK T-7, respectively. The closed square depicts the original coefficients by the Sippican, and the closed diamond indicates those by H95.

7, 1811-1847, 2010

T7 fall rate

S. Kizu et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures









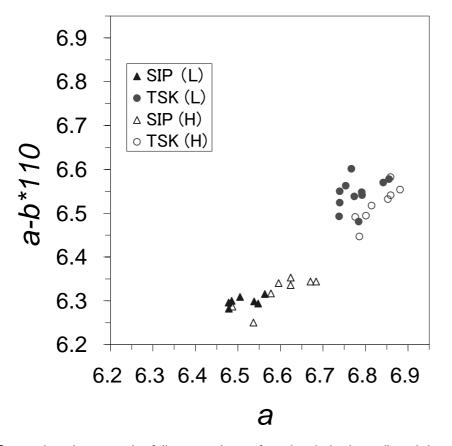






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**Fig. 8.** Comparison between the fall rate at the surface (t = 0; horizontal) and that at depth (t = 110; vertical) according to the estimated fall-rate equations for individual probes. Symbols are the same as in Fig. 7 except that the averages and the literature values are not shown for clarity.

7, 1811-1847, 2010

T7 fall rate

S. Kizu et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures









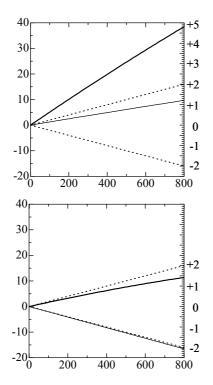
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**Fig. 9. (a)** The relative depth difference between each of the present fall-rate equations and the original equation by the Sippican, as a function of depth given by the latter. **(b)** Same as (a) but between each of the present fall-rate equations and H95. The unit for the horizontal axes and the left vertical axes is m. The right vertical axes show relative deviation from the depth given by each literature equation (the unit is percent). Thin solid lines indicate the fall-rate equation estimated in the present study for the tested Sippican T-7, and thick sold lines indicate that for the tested TSK T-7. The dotted lines indicate the manufacturers' claim for depth accuracy (2%).

7, 1811-1847, 2010

T7 fall rate

S. Kizu et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures





















Discussion Paper





Fig. 10. The inside of the metal nose weight of the TSK T-7 (left) and the Sippican T-7 (right).

**OSD** 

7, 1811-1847, 2010

T7 fall rate

S. Kizu et al.

Title Page

**Abstract** 

Introduction

Conclusions

References

Tables

**Figures** 













Printer-friendly Version Interactive Discussion