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# Observed and simulated estimates of the meridional overturning circulation at 26.5° N in the Atlantic

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#### Abstract

Daily timeseries of the meridional overturning circulation (MOC) estimated from the UK/US RAPID/MOCHA array at 26.5° N in the Atlantic are used to evaluate the MOC as simulated in two global circulation models: (i) an 8-member ensemble of the coupled climate model ECHAM5/MPI-OM, and (ii) the ECCO-GODAE state estimate. In 5 ECHAM5/MPI-OM, we find that the observed and simulated MOC have a similar variability and time-mean within the 99 percent confidence interval. In ECCO-GODAE, we find that the observed and simulated MOC show a significant correlation within the 99 percent confidence interval. To investigate the contribution of the different transport components, the MOC is decomposed into Florida Current, Ekman and 10 upper mid-ocean geostrophic transports. In both models, the mid-ocean transport is closely approximated by the residual of the MOC minus Florida Current and Ekman transports. As the models conserve volume by definition, future comparisons of the RAPID/MOCHA mid-ocean transport should be done against the residual transport in the models. The similarity in the variance and the correlation between the 15 RAPID/MOCHA, and respectively ECHAM5/MPI-OM and ECCO-GODAE MOC estimates at 26.5° N is encouraging in the context of estimating (natural) variability in climate simulations and its use in climate change signal-to-noise detection analyses. Enhanced confidence in simulated hydrographic and transport variability will require

<sup>20</sup> longer observational time series.

#### 1 Introduction

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The evaluation of basin-wide mass and heat transports in the ocean components of climate models is difficult since such transports have until recently not been monitored. The basin-wide mass transport is typically considered in form of the meridional overturning circulation (MOC), the zonally and vertically integrated meridional transport as

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a function of latitude and depth. MOC timeseries represent the northward transport

above a fixed depth at a certain latitude. While such a timeseries is readily computed in a numerical model, direct observations of the MOC would require basin-wide full-depth coverage of the meridional velocities. In March 2004, the RAPID/MOCHA mooring array was deployed in the North Atlantic with the purpose of providing a continuous actimate of the period meridional many transport at  $20.5^{\circ}$  N (Curpingham

sestimate of the zonally integrated meridional mass transport at 26.5° N (Cunningham et al., 2007; Kanzow et al., 2007). Here, we use the first year of the RAPID/MOCHA MOC estimates to evaluate the MOC variability in two ocean climate models.

The RAPID/MOCHA array is based on a conceptual study by Marotzke et al. (1999), suggesting that the MOC can be continuously monitored using measurements of the

- <sup>10</sup> density at the eastern and western boundaries of a zonal section. The RAPID/MOCHA array consists of profiles of density and ocean bottom pressure along 26.5° N, with dense coverage at the western and eastern boundaries as well as on both sides of the Mid Atlantic Ridge (Marotzke et al., 2002; Rayner, 2005). Prior to deployment, Hirschi et al. (2003) and Baehr et al. (2004) tested the array in two numerical models, showing
- that such an array should be capable of capturing both the time-mean of the MOC as well as the daily to annual variability. The simulated array was also capable of detecting long-term trends within several decades as shown by Baehr et al. (2008) with a (univariate) MOC timeseries from a climate simulation forced with the Intergovernmental Panel on Climate Change (IPCC) scenario A1B (Nakicenovic and Swart, 2000).
- Prior to RAPID/MOCHA, most observation-based MOC estimates have relied on occasional hydrographic transects (e.g., Hall and Bryden, 1982; Roemmich and Wunsch, 1985; Bryden et al., 2005; Longworth and Bryden, 2007). As pointed out by various authors (e.g., Wunsch and Heimbach, 2006; Baehr et al., 2008), a time series of such transects represents sparse sampling (once every few years or decades) with serious
- <sup>25</sup> aliasing problems, complicating estimates of variability or trends. Dynamically consistent ocean state estimates from the ECCO project have recently become available covering either the timespan of the altimetric record after 1992 (Wunsch and Heimbach, 2006), or going back to the beginning of the NCEP/NCAR reanalysis period in 1952 (Köhl and Stammer, 2008). These ocean state estimates attempt to bring a gen-



eral circulation model (the MITgcm; Marshall et al., 1997) to consistency with most of the observations available from the global observing system. To the extent that statistical consistency can be achieved within prior uncertainty estimates, any oceanic quantity, including meridional heat and mass transports, can be derived from the full three-dimensional ocean state, providing an alternative observation-based estimate of that quantity.

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Here, we use the RAPID/MOCHA array MOC estimate from the first deployment period (March 2004–March 2005) to evaluate the MOC as simulated in two numerical models. We evaluate two different numerical models: (i) the coupled global climate model ECHAM5/MPI-OM (e.g., Jungclaus et al., 2006), whose simulations were per-

- <sup>10</sup> model ECHAM5/MPI-OM (e.g., Jungclaus et al., 2006), whose simulations were performed as part of a suite of experiments for the IPCC Fourth Assessment Report, (ii) the global oceanic state estimate ECCO-GODAE (Wunsch and Heimbach, 2007), a model constrained by least squares to a multitude of data-sets. For ECCO-GODAE, we use results of a solution that was optimised from 1992 to 2004. To cover the full
- observational period, a forward run was continued beyond the period of optimisation,
   i.e., without adjusted surface forcing. Originally, the continuation of the forward run with
   unadjusted surface forcing was an act of necessity. However, in ultimately aiming to
   predict the MOC, we see this as a primitive test of ECCO-GODAE's capability to resemble the observations by using an optimised initial state. Note that the RAPID/MOCHA
   observations were not used as constraints for these runs.

The dissimilitude of the two numerical models results in different expectations for the evaluation against the RAPID/MOCHA MOC estimate. While we expect ECCO-GODAE to reproduce the RAPID/MOCHA MOC estimate in both its temporal and spatial structure, we expect ECHAM5/MPI-OM to provide an ensemble of possible projec-

tions whose basic statistics are comparable to the observed MOC, though without close resemblance to the short-term temporal structure. The main objective of the present study is therefore to evaluate the modelled MOC against the RAPID/MOCHA MOC timeseries as a reference estimate. In an accessory note, we test how to compute the MOC and its contributions analogously in both the observations and the numerical

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models.

Throughout the paper, we refer to the RAPID/MOCHA MOC estimate as the "observed" MOC, and the model-based estimates as the "simulate" MOC. This should, however, not imply that the RAPID/MOCHA MOC estimate can be taken as a direct observation of the MOC, as it is based on inferring transports from hydrographic measurements. Also, the contribution of eddies to the total transport variability is still a matter of discussion (Wunsch, 2007; Kanzow et al., 2009). Note that the horizontal resolution of both models (1.5° in ECHAM5/MPI-OM, and 1° in ECCO-GODAE) is too coarse to resolve eddies.

<sup>10</sup> Here, we focus on the RAPID/MOCHA MOC estimate at 26.5° N in the Atlantic, or more specifically, on the zonal density gradient and the resulting meridional transports. This focus should not imply that other observations of the hydrography at 26.5° N and elsewhere such as XBTs, altimeter, ARGO floats, and their incorporation into global ocean observing systems, do not provide an essential piece in assessing the North At-

lantic circulation. However, the unique coverage of the 26.5° N line warrants a detailed analysis of the variability of meridional transports and their relation to the boundary densities at 26.5° N. After introducing the details of the dataset and the two models (Sect. 2), we therefore start with a comparison of the boundary densities (Sect. 3). We then analyse the meridional transports both in depth classes and through a dynamical decomposition (also in Sect. 3). A discussion follows in Sect. 4, conclusions in Sect. 5.

#### 2 Data and models

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#### 2.1 The RAPID/MOCHA array

The RAPID/MOCHA array has been designed to provide a continuous estimate of the strength and vertical structure of the MOC across 26.5° N continuously. The meridional (geostrophic) mid-ocean transport between the Bahamas and the coast of West Africa is computed from the difference between vertical density profiles from the eastern and

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western boundaries at 26.5° N of the Atlantic (Fig. 1, top panel). From these density profiles, the geostrophic mid-ocean transport, relative to 4820 dbar is computed. The meridional transport west of the westernmost density mooring WB2 (Fig. 1, top left panel), where the upper ocean Antilles current and a small fraction of the deep western boundary current reside, is estimated by direct current meter measurements from 19 conserve on 4 measurements from 19

sensors on 4 moorings covering the range between the sea surface and 2000 dbar (Johns et al., 2007).

The magnitude of northward flow of the Gulf Stream through the Straits of Florida is observed by measuring the flow-induced voltage in a telephone cable, which runs along the sea floor between Florida and the Bahamas (Larsen, 1985; see also small inset in Fig. 1, top left panel). This observing system is maintained by the US National Oceanic and Atmospheric Administration and has provided daily estimates of Gulf Stream transport for over 20 years now (Baringer and Larsen, 2001). The zonally integrated Ekman transport between the Bahamas and the coast of West Africa is com-

<sup>15</sup> puted from the zonal component of the wind stress, which is inferred from space-borne scatterometry (Graf et al., 1998) on a daily basis.

In order to derive daily estimates of the MOC profile between 29 March 2004 and 31 March 2005, Cunningham et al. (2007) then imposed the net mid-ocean geostrophic transport to balance the sum of Gulf Stream, Ekman transport plus the flow through the

- <sup>20</sup> wedge at the western boundary. They achieved this balance by adding to the relative mid-ocean transport profile a barotropic transport flow such that mass was conserved at each time step. Kanzow et al. (2007) did not constrain the flow but referenced the time-variable part of the relative mid-ocean transport (but not the time mean) using differences in bottom pressure measurements taken at the base of each of the den-
- sity moorings (Fig. 1). They found the various transport contributions to be in mass balance at periods longer than 10 days, thus providing evidence for the validity of the MOC monitoring strategy and, specifically, justifying the mass balance constraint used by Cunningham et al. (2007), for timescales longer than 10 days. Here, we analyse the daily transport timeseries as this allows a direct evaluation of the simulated MOC

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against the published timeseries of Cunningham et al. (2007).

#### 2.2 ECHAM5/MPI-OM

The coupled ECHAM5/MPI-OM global climate model consists of the atmospheric component ECHAM5 (Roeckner et al., 2003), which is coupled to the ocean component MPI-OM (Marsland et al., 2003); no flux adjustments are applied. ECHAM5 is realised at T63 spectral resolution (approximately 140×210 km grid spacing at mid-latitudes) with 31 vertical levels. MPI-OM is realised on an orthogonal curvilinear C-grid (Marsland et al., 2003). To avoid the singularity at the geographical North Pole, the northern grid pole is shifted to Greenland. MPI-OM has an average horizontal resolution of about

- 10 1.5°, varying between 12 km close to Greenland and 180 km in the tropical Pacific. In the vertical, there are 40 non-equidistant *z*-levels, of which 20 are distributed over the top 700 m. The bottom topography is resolved by partial grid cells. Jungclaus et al. (2006) described the coupled model's ocean mean state, based on an unperturbed control simulation and forced with present-day greenhouse gas concentrations. For
- the computation of the volume transport, velocities from the curvilinear ECHAM5/MPI-OM grid are transformed back to a regular latitude-longitude grid (with 0.25 degree resolution), before calculating transports at 26.5° N. The back transformation results in an additional time-mean mass imbalance of approximately 0.5 Sv.

Here, we analyse eight realisations forced by three different climate change green house gas scenarios, performed for the IPCC Assessment Report 4. Initially, three realisations start from different years of the control run, in which preindustrial greenhouse gas concentrations are applied. These three realisations are forced with observed greenhouse gas and aerosol concentrations for the years 1860 to 2000. For the years 2001 to 2005, the simulations are forced with greenhouse gas concentrations based
 on the IPCC emission scenarios B1 (three realisations), A1B (three realisations), and A2 (two realisations) (Nakicenovic and Swart, 2000). As the impact of the difference

A2 (two realisations) (Nakicenovic and Swart, 2000). As the impact of the difference between scenarios with respect to MOC trends is small during the short time interval considered here, we can consider the simulations as realisations of the same experi-

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ment. Thus the analysed ensemble consists of eight realisations with daily output from March 2004 to March 2005.

Note that due to its horizontal resolution ECHAM5/MPI-OM does not resolve the Bahamas, and therefore the western boundary current is not geographically confined (Fig. 1). Here, we compute the Florida Current transport over a fixed spatial area: we integrate from the western boundary to the first gridpoint outside the northward boundary current, and from the surface to a depth were transports are close to zero (here, over about 800 km in the zonal direction, and about 1000 m in the vertical). Note that this definition of the area for the Florida Current includes the whole northward western boundary current in the model, that is, nominally both the Gulf Stream and the Antilles Current. Additionally, the definition includes a time varying recirculation component, which is in the time-mean a southward transport of about 4 Sv. However, computing the transport over a fixed spatial area (in contrast to a certain dynamical criterion) allows us to separate the contributions by the 'Florida Current' from those by

the "interior", as if the transport were geographically confined to the Straits of Florida. Zonal and meridional windstress in ECHAM5/MPI-OM are calculated using the actual velocity differences between ocean and atmosphere at the surface.

#### 2.3 ECCO-GODAE

The second simulation used in the present study is the quasi-global ocean state estimate produced by the ECCO-GODAE (Estimating the Circulation and Climate of the Ocean - Global Ocean Data Assimilation Experiment) project. This product succeeds the first generation ECCO estimates published by Stammer et al. (2002, 2003). ECCO-GODAE attempts to bring the MIT general circulation model (MITgcm) (Marshall et al., 1997) to consistency with as many observations as practical globally within estimated uncertainties. The reduction of the quadratic model versus data misfit (the so-called cost function) is achieved via a gradient descent method. The gradient of the cost function with respect to initial conditions and time-varying air-sea fluxes is computed via the adjoint of the MITgcm (Marotzke et al., 1999; Heimbach et al., 2005), the code of which



has been derived by means of the automatic differentiation (AD) tool TAF (Giering and Kaminski, 1998). An overview of the method is provided in Wunsch and Heimbach (2007) (see also http://www.ecco-group.org for an overall account of efforts within the ECCO Consortium).

- <sup>5</sup> The ECCO-GODAE setup covers the world ocean between 80° N and 80° S, excluding the Arctic, at 1° horizontal resolution and using 23 vertical levels. An earlier version, termed version 1, of the 1° solution was produced at Scripps Institution of Oceanography and covered 1992 through 2001 (Köhl et al., 2007). For version 2 of the production, taken on at MIT and Atmospheric and Environmental Research (AER), the estimation
- <sup>10</sup> period has been extended through 2004 (and soon will be extended through 2007). The number of iterations in the optimisation have been augmented from 69 (version 1) to 216 (version 2). ECCO-GODAE incorporates a multitude of datasets, e.g., hydrographic observations such as CTD sections, XBTs, sea surface temperature, but also scatterometer, altimeter, and a mean dynamic topography (for a complete list see Wun-
- sch and Heimbach, 2006, Table A1). Many additional observations have been added for version 2, notably temperature and salinity profiles from the Argo floats availably since 2004. The World Ocean Atlas (1994), which served as initial condition and climatological constraint, has been replaced below 300 m depth by the WOCE atlas of Gouretski and Koltermann (2004). Note that in order to produce a full estimate over-
- <sup>20</sup> lapping the RAPID record through mid 2005 the ECCO-GODAE solution has been integrated forward in time beyond the estimation period, i.e., without fitting to observations for 2005. After 2004, we use unadjusted fields for the surface forcing. Thus the ECCO-GODAE solution provided here is a prediction of the oceanic state after December 2004, assuming the atmospheric state is known after December 2004.
- Despite its limited horizontal resolution, ECCO-GODAE resolves the Bahamas, but the Straits of Florida span over nearly 400 km (Fig. 1), compared to about 100 km width in the real ocean. To the east of the Bahamas ECCO-GODAE's ocean interior is bounded by a wall, preventing the western boundary continental slope from being resolved (Fig. 1). Most of the northward transport goes through the Straits of Florida;

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the Antilles Current has a time mean between 1 and 2 Sv [not shown], which is smaller than the 6 Sv observed by Meinen et al. (2004). The standard deviation of the Antilles Current is about 2.5 Sv for ECCO-GODAE compared to 3 Sv observed by Meinen et al. (2004).

#### 5 3 Results

#### 3.1 Hydrographic characteristics and east – west density gradient

We analyse the hydrographic characteristics of both the eastern and the western boundaries, which set the thermal wind balance for the interior flow above the crest of the Mid Atlantic Ridge. The RAPID/MOCHA array observations show that for the time-mean over the observational period of one year, the thermocline (above 800 m) at the western boundary is up to 4°C warmer and up to 0.5 more saline than the eastern boundary (Fig. 2). In the intermediate water (800–1100 m), the western boundary is cooler by up to 3°C and fresher by up to 0.2 than the eastern boundary, a characteristic diminishing with depth, but found for the entire upper North Atlantic Deep Water (upper NADW; 1100–3000 m). For the lower NADW (below 3000 m), the western boundary is warmer by about 0.1°C than the eastern boundary, whereas salinities are similar.

In ECHAM5/MPI-OM, both the temperature and the salinity are considerably higher than the RAPID/MOCHA array observations at both boundaries. At the western boundary the ECHAM5/MPI-OM ensemble average is 4°C warmer and nearly 0.4 saltier than

- the observations at 1000 m depth (Fig. 2). The discrepancies persist at 2000 m depth with about half of the 1000 m magnitudes. The eastern boundary shows similar discrepancies as the western boundary for temperature, while the discrepancies between observations and model are larger than at the western boundary for salinity (up to 0.7), and most pronounced above 1500 m (Fig. 2).
- <sup>25</sup> The discrepancies in the temperature and salinity fields result in generally lighter water in ECHAM5/MPI-OM than in the observations (not shown). At the western bound-

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ary, the discrepancy between the model density and the observations is largest at 1000 m ( $0.5 \text{ kg m}^{-3}$ ), but considerably smaller below. At the eastern boundary, the discrepancy in the density field at 1000 m is about  $0.3 \text{ kg m}^{-3}$ , as it is at 2000 m. Below 1500 m, the east – west density difference in ECHAM5/MPI-OM shows little similarity

to the one observed with respect to both magnitude and sign (Fig. 3). Between about 500 m and 1000 m, ECHAM5/MPI-OM's density difference is stronger than observed, while between about 2000 m and 3000 m it is weaker than observed.

In ECCO-GODAE, the discrepancies to the RAPID/MOCHA array observations are smaller overall than in ECHAM5/MPI-OM (Fig. 2). The RAPID/MOCHA array observations and the ECCO CODAE solution available at all deaths below 200 m within the

- vations and the ECCO-GODAE solution overlap at all depths below 200 m within the estimated uncertainties of Forget and Wunsch (2007). Several discrepancies are note-worthy. At the western boundary, ECCO-GODAE is about 1°C colder than the observations at around 500 m, and about 1°C warmer than the observations at around 1000 m (Fig. 2). The salinities at the eastern boundary show a similar discrepancy as at the water boundary and 200 m and 200 m and 200 m.
- <sup>15</sup> western boundary: 0.2 fresher at around 500 m, and 0.1 more saline at around 1000 m. At the eastern boundary, ECCO-GODAE is about 0.5°C warmer than the observations between about 500 m and 1000 m. Further, the eastern boundary in ECCO-GODAE is persistently more saline than the observations (up to 0.15). Note that the local salinity maximum in the observations at the eastern boundary at about 1200 m is not reproduced by ECCO-GODAE (Fig. 2). At the eastern boundary the top 1000 m are gener-
- ally more saline in ECCO-GODAE than in the observations, and therefore the salinities at 1200 m match by coincidence.

As a consequence of the discrepancies in temperature and salinity at the western boundary, densities are higher by up to 0.2 kg m<sup>-3</sup> in ECCO-GODAE than in the observations, in the depth range of 500 m to 1000 m. Between 1100 m and 2000 m, ECCO-GODAE is lighter than the observations by up to 0.1 kg m<sup>-3</sup> (not shown). At the eastern boundary, ECCO-GODAE is lighter than the observations by up to 0.03 kg m<sup>-3</sup> above 500 m, and between 1100 and 2000 m. In the basin-wide east – west density gradient, some of the discrepancies cancel each other. Overall, the zonal density gradient in

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ECCO-GODAE is similar to the RAPID/MOCHA array observations, that is, exhibiting the same sign across nearly all depths (Fig. 3), while weaker in ECCO-GODAE than observed above 1500 m, and generally stronger below. Discrepancies are largest at the surface and between about 1000 and 1100 m. At this depth, the zonal density gradient is slightly negative in the observations, but slightly positive in ECCO-GODAE due to comparatively warm water at the western boundary.

#### 3.2 Meridional layer transports

The discrepancies in the east – west density gradients are directly mirrored in the vertical structure of the mid ocean transport (Fig. 4; cf. Fig. 1 of Cunningham et al., 2007). Overall, the time-mean of ECHAM5/MPI-OM's transports are mostly outside the

- 2007). Overall, the time-mean of ECHAM5/MPI-OM's transports are mostly outside the observed range, while the time-mean of ECCO-GODAE's transports are mostly inside the observed range, with the exception of intermediate depths and transports below about 4000 m. The latter discrepancy results to a large extent from differences in the computation of the mid-ocean transports. While the observational estimate is based on
- thermal wind and essentially ignoring the Mid Atlantic Ridge (Kanzow et al., 2007), the model transports are computed from the full meridional velocity field. Computing the mid-ocean transports in the numerical models similarly to the observations, i.e., from east – west density gradients, results in a structure similar to the observations with small southward transport at depth instead of northward transport (not shown). Note
- that the estimates based on the five hydrographic occupations of the transect show southward transports between 3000 and 5000 m, and small northward transports at about 5500 m (Bryden et al., 2005, their Fig. 2).

To quantify the discrepancies in the mid-ocean transport, we compute the transports in layers (Fig. 5, Table 1; cf. Fig. 2 of Cunningham et al., 2007). Two discrepancies to RAPID/MOCHA transport estimates are common to both models: (i) at intermediate depths, none of the models shows northward transport, but instead small southward transports of about 2 Sv, and (ii) below 3000 m, both models show weak northward transports of about 1 Sv, whereas the RAPID/MOCHA transport estimates

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show a strong southward transport of 8 Sv. In ECHAM5/MPI-OM, thermocline and upper NADW transports have strengths of about 20 Sv and 10 Sv, respectively, in the time-mean, considerably higher than the RAPID/MOCHA transport estimates. In contrast, the corresponding ECCO-GODAE estimates are of comparable magnitudes to the RAPID/MOCHA estimates, within 2 Sv in the time-mean.

The large variability of the transports at different depths is reproduced by the models to some extent (Fig. 5, Table 1): in the thermocline, RAPID/MOCHA shows a standard deviation of 2.7 Sv, while ECHAM5/MPI-OM has a slightly higher standard deviation of 3.3 Sv, and ECCO-GODAE has a slightly lower standard deviation of 1.8 Sv. The intermediate transport variability is similar among all three estimates (standard deviation of 0.6 Sv for RAPID/MOCHA, 0.6 Sv for ECHAM5/MPI-OM, 0.8 Sv for ECCO-GODAE). Note that the time-means of the simulated intermediate transports are of opposite sign to the observed transport. The variability of the upper NADW is 3.1 Sv in the RAPID/MOCHA observations, and 2.7 Sv in ECHAM5/MPI-OM, while ECCO-GODAE
underestimates the variability (2.2 Sv). The lower NADW shows similar variability in all estimates (3.5 Sv for RAPID/MOCHA, 3.5 Sv for ECHAM5/MPI-OM, 3.0 Sv for ECCO-GODAE) while the time mean in both meddes is more than 5 Sv smaller than from the

# GODAE), while the time-mean in both models is more than 5 Sv smaller than from the RAPID/MOCHA array.

#### 3.3 Mid-ocean transport

- Integrating the mid-ocean transport over certain depths results in the basin-wide geostrophic transport contribution to the MOC. In the observations, the MOC is then derived by adding the Ekman and Florida Current transport to the mid-ocean transport. While earlier model studies suggested that this sum is a close proxy for the MOC (Hirschi et al., 2003; Baehr et al., 2004), it is not a direct measurement of the MOC (Hirschi et al., 2003; Baehr et al., 2004), it is not a direct measurement of the MOC (Hirschi et al., 2003; Baehr et al., 2004), it is not a direct measurement of the MOC (Hirschi et al., 2003; Baehr et al., 2004), it is not a direct measurement of the MOC (Hirschi et al., 2003; Baehr et al., 2004), it is not a direct measurement of the MOC (Hirschi et al., 2003; Baehr et al., 2004), it is not a direct measurement of the MOC (Hirschi et al., 2003; Baehr et al., 2004), it is not a direct measurement of the MOC (Hirschi et al., 2003; Baehr et al., 2004), it is not a direct measurement of the MOC (Hirschi et al., 2003; Baehr et al., 2004), it is not a direct measurement of the MOC (Hirschi et al., 2003; Baehr et al., 2004), it is not a direct measurement of the MOC (Hirschi et al., 2003; Baehr et al., 2004), it is not a direct measurement of the MOC (Hirschi et al., 2003; Baehr et al., 2004), it is not a direct measurement of the MOC (Hirschi et al., 2004), it is not a direct measurement of the MOC (Hirschi et al., 2004), it is not a direct measurement of the MOC (Hirschi et al., 2004), it is not a direct measurement of the MOC (Hirschi et al., 2004), it is not a direct measurement of the MOC (Hirschi et al., 2004), it is not a direct measurement of the MOC (Hirschi et al., 2004), it is not a direct measurement of the MOC (Hirschi et al., 2004), it is not a direct measurement of the MOC (Hirschi et al., 2004), it is not a direct measurement of the MOC (Hirschi et al., 2004), it is not a direct measurement of the MOC (Hirschi et al., 2004), it is not a direct measurement of the MOC (Hirschi et al., 2
- MOC. In the numerical models, we therefore test two different computations of the models' mid-ocean transport: the mid-ocean transport is computed (i) dynamically similar to the RAPID/MOCHA mid-ocean transport estimate, (ii) kinematically similar to the RAPID/MOCHA mid-ocean transport estimate.



(i) Dynamically, the RAPID/MOCHA mid-ocean transport estimate (based on Cunningham et al., 2007) is the geostrophic transport (referenced to 4820 dbar) integrated form the surface to the depth at which the sign of the transport switches from northward to southward. Note that the geostrophic transport (but also the full meridional transport) is southward at all depths in both models, and we therefore integrate to a depth at which the velocities are close to zero (about 1000 m).

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(ii) Kinematically, the RAPID/MOCHA mid-ocean transport estimate is part of the transports yielding the full MOC, which is the sum of the mid-ocean transport, the Florida Current transport, and the Ekman transport (Cunningham et al., 2007). In the numerical models, the MOC is readily computed as the full meridional velocity field is available. Therefore, by reversing the decomposition employed by RAPID/MOCHA, the mid-ocean transport can also be computed by subtracting the Florida Current transport and the Ekman transport from the MOC.

In ECHAM5/MPI-OM definition (i), results in a timeseries with higher variability than <sup>15</sup> in the residual than definition (ii); 4.8 Sv compared to 3.8 Sv standard deviation, Fig. 6, and Table 3), and also in a stronger time-mean by more than 10 Sv. This difference in the time-mean in ECHAM5/MPI-OM is sensitive to the chosen level of no motion, which was taken to be consistent with RAPID/MOCHA, but is not necessarily an adequate choice in the model. In contrast, in ECCO-GODAE definition (i) results in a time-<sup>20</sup> series with lower variability than does definition (ii) with a standard deviation of 1.4 Sv compared to 2.1 Sv; the time-mean is similar for both definitions. Placing the level of no motion in ECCO-GODAE at the bottom shows similar time-mean and variability as

- in definition (ii), suggesting that the differences between the definitions emerging in ECHAM5/MPI-OM are specific to the model.
- <sup>25</sup> We therefore utilise the kinematic definition (ii) of the mid-ocean transport for the subsequent analysis in both models, assuming that it represents the upper mid-ocean geostrophic transport as in the RAPID/MOCHA array observations. In other words, we rely on the model's ability to represent the large scale meridional flow field in a dynami-

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cally consistent way, whereas we attribute the differences in the simulated observations (mostly ECHAM5/MPI-OM) to model limitations. On a rather technical note, the above results therefore suggest to employ the kinematic definition (ii) for future evaluations of the simulated MOC against observations.

#### 5 3.4 MOC decomposition

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To compute the MOC at  $26.5^{\circ}$  N, we use the decomposition used to compute the RAPID/MOCHA MOC estimate (Cunningham et al., 2007): MOC = Florida Current transport + Ekman transport + mid-ocean transport. For the numerical models, we compute the MOC as the zonally and vertically integrated transport above about 1000 m. The Ekman transport is calculated from zonal wind stress, and the Florida Current transport across the respective boundary current (see Sect. 2 for details). As described above, in the models the mid-ocean transport is taken as the residual = MOC – Florida Current transport – Ekman transport.

The time-mean MOC in ECHAM5/MPI-OM is for the ensemble mean and most en semble members and within the 99 percent confidence interval of the RAPID/MOCHA MOC estimate (19.1 Sv from RAPID/MOCHA, and 18.7 Sv for the ensemble mean). The time-mean MOC in ECCO-GODAE is with 11.4 Sv nearly 8 Sv lower than observed (Fig. 7, Table 2). The MOC variability is similar within the 99 percent confidence interval of the RAPID/MOCHA array for more than half of the ensemble members in
 ECHAM5/MPI-OM, while MOC variability is lower than observed in ECCO-GODAE (Fig. 7, Table 2).

Both the observed time-mean of 31.7 Sv and the temporal variability of 3.3 Sv of the Florida Current are overestimated in ECHAM5/MPI-OM (by about 10 Sv and about 1 Sv, respectively) and underestimated in ECCO-GODAE (by about 5 Sv and 0.5 Sv, respec-

tively). Note that a strong Florida Current does not necessarily entail a strong MOC and *vice versa*; a strong Florida Current might merely indicate a strong recirculation. None of the models shows a seasonal variation, i.e., increased Florida Current transport in summer. Note that the definition of the Florida Current in ECHAM5/MPI-OM



is somewhat subjective (cf., Sect. 2.2), and so are its time-mean and variability. The absence of a topographically confined Straits of Florida in ECHAM5/MPI-OM makes it difficult to distinguish between Gulf Stream variability and Rossby-wave generated variability of the boundary current, and hence the computed Florida Current.

The Ekman transport in ECHAM5/MPI-OM has a larger time-mean than RAPID/MOCHA (about 6 Sv versus about 3 Sv), while the standard deviations are similar to the observed within the 99 percent confidence interval (about 4 Sv). The Ekman transport in ECCO-GODAE has a similar time-mean (3.7 Sv) within the 99 percent confidence interval of the RAPID/MOCHA estimate, but a slightly smaller variability (3.8 Sv) than RAPID/MOCHA.

The mid-ocean transport is overestimated in ECHAM5/MPI-OM by more than 10 Sv (time-mean), which could again be due to the definition of the Florida Current as we have not tuned the definition to match the time-mean (cf., Sect. 2.2). ECCO-GODAE underestimates the observed variability of 3.1 Sv by 1 Sv in standard deviation.

- We summarise the characteristics of the temporal variability of the different transport components in a Taylor diagram (Fig. 8; Taylor, 2001). The standard deviations of the timeseries for the RAPID/MOCHA array are indicated on the abscissa. The smaller the distance of the marker for a certain component in the model is to the respective marker for the RAPID/MOCHA estimate, the closer their agreement. The correlation between two timeseries can be read off at the outer circle. Timeseries with similar magnitude of
- 20 two timeseries can be read off at the outer circle. Timeseries with similar magnitude of temporal variability lie on the same circle around the zero point. Note that this diagram includes no statement about the time-mean transport.

Figure 8 indicates generally higher correlations between ECCO-GODAE and RAPID/MOCHA than between ECHAM5/MPI-OM and RAPID/MOCHA. For ECCO-

GODAE, both the Ekman transport correlation of 0.9, and the MOC correlation of 0.6 are within the 95 percent confidence interval of the RAPID/MOCHA timeseries, using an integral timescale of 24 days, and in turn 15 degrees of freedom (Cunningham et al., 2007). The Ekman transport in ECCO-GODAE and RAPID/MOCHA relies partly on the same source, since ECCO-GODAE uses both NCEP and QuickScat forcing, and the

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Ekman transport in RAPID/MOCHA was calculated from the QuickScat data. ECCO-GODAE does not exhibit the observed stronger Florida Current transport in summer, which limits the correlation considerably. The level of variability in the ECCO-GODAE solution, however, is generally smaller than in the RAPID/MOCHA estimate.

- <sup>5</sup> Correlations between the RAPID/MOCHA estimate and ECHAM5/MPI-OM rarely exceed 0.3; the ensemble members exhibit very similar characteristics. This result is not surprising, since neither the ocean nor the atmosphere component are constrained to observations. Hence the timing of anomaly events is likely to be random, and only the analysis of the degree of temporal variability in ECHAM5/MPI-OM is meaningful. Given
- the substantial differences between RAPID/MOCHA and ECHAM5/MPI-OM in the hydrographic characteristics and the layer transports, it is somewhat surprising that the ECHAM5/MPI-OM MOC and its transport components have a level of variability that is close to the RAPID/MOCHA array. The eight ensemble members all center around the observed level of temporal variability for the respective transport. The ensemble mean generally does not represent on improvement every a single ensemble member.
- <sup>15</sup> mean generally does not represent an improvement over a single ensemble member for either the level of variability or for the correlation (Fig. 8).

#### 4 Discussion

The results from the first year of the RAPID/MOCHA array at 26.5° N in the Atlantic has enabled the first evaluation of a simulated MOC against observational estimates.

Simulated short-term MOC variability has rarely been analysed so far, due to the lack of observation-based estimates to assess the models' realism. The present analysis is based on only a one year timeseries as the observations have not yet been published for the subsequent deployment period. Ultimately, the MOC variability on interannual, decadal and longer timescales has to be understood in both observations and the models.

In ECHAM5/MPI-OM, the MOC, Florida Current transport, and Ekman transport show for half or more of the ensemble members magnitudes of temporal variability

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similar to RAPID/MOCHA within the 99 percent confidence interval. Whether the projected variability from long-term climate simulations remains a good estimate of the actual variability, either for a similar or potentially different state of the climate system, is not known. While the close resemblance in the magnitude of simulated MOC vari-

- <sup>5</sup> ability is reassuring, the large discrepancies in the hydrographic characteristics point to systematic deficiencies whose impacts on long-term climate change projections remain to be established. One source of the discrepancies in the hydrographic characteristics is the model drift, inherent to a model integration spanning several 100 years constrained only by greenhouse gas concentrations, aerosols and orbital forcing. Within
- the limitations of the currently available observations, the correspondence between the level of variability in RAPID/MOCHA and ECHAM5/MPI-OM increases the confidence in the estimates of detection times for MOC changes gained from such a model (e.g., Baehr et al., 2007a, 2008). As the continuous instrumental record, both from the global observing system and from regional monitoring systems such as RAPID/MOCHA, ex tends in time, these estimated detection times will slowly become verifiable against
- observations.

ECCO-GODAE shows hydrographic characteristic that are overall similar to the RAPID/MOCHA observations, except for the lower part of the intermediate waters at the western boundary. Neither the Florida Current cable measurements nor the

- RAPID/MOCHA observations have so far been used as constraints in the ECCO optimisation. Nevertheless, discrepancies in hydrographic characteristics between RAPID and ECCO-GODAE are smaller than estimated temperature and salinity uncertainties (Forget and Wunsch, 2007). The correspondence between the RAPID/MOCHA array observations and ECCO-GODAE is reassuring. It suggests that a dynamical model that
- is constrained by the variety of observations available from the global observing system since the early 1990's is able to reproduce the local array measurements. A pure (i.e., free-running) forward integration of the model using ECCO-GODAE's optimised initial state and and air-sea fluxes produces hydrographic and transport estimates that agree reasonably well with the RAPID array estimates over the short time span (3 months)



from January to March 2005) considered. Incorporating the RAPID/MOCHA array and Florida cable measurements into ECCO's estimation framework can be expected to produce a dynamically consistent state estimate, which more closely mimics the MOC derived from the RAPID/MOCHA array, both in its mean and in its temporal structure.

Likewise, an incorporation of the RAPID/MOCHA array into ECCO-GODAE should produce an improved representation of the density-driven component of the MOC, which is essential for capturing the long-term evolution of the MOC.

It has been suggested earlier that on the spatial and temporal scales considered here, random eddy variability plays a sizeable role in MOC variability (cf., Appendix,

- <sup>10</sup> Table 2: Zang and Wunsch, 2001; Wunsch, 2007). Over one year, the mid-ocean transport estimated by the RAPID/MOCHA array shows a variability that is smaller by a factor 4 than the variability approximated by the simple model of Wunsch (2007). In contrast to Wunsch's (2007) assumption of 16 cm root mean square variability in the sea surface height, observations show a sharp decline towards the western bound-
- ary (within 100 km of Abaco Island; Kanzow et al., 2009) resulting in about 3 to 5 cm root mean square variability in the sea surface height. Heuristic theory suggests that boundary waves are responsible for this decline (Kanzow et al., 2009). For the numerical models, the resolution of both models does not permit eddies to be resolved. It is therefore rather surprising how the well the magnitude of variability in all trans-
- <sup>20</sup> port components agrees between the RAPID/MOCHA estimate and especially the ECHAM5/MPI-OM coupled model estimate. The underlying question of to what extent should models at 1 or 1.5° horizontal resolution be expected to resolve the full temporal variability presented in the RAPID/MOCHA MOC estimate? is beyond the scope of this paper, and therefore left for future study. Combining array-derived trans-
- port estimates with a model could help in producing estimates of the representation error of model-derived transports at coarse resolution. Such an error estimate would allow us to assess the reliability of coupled climate models, and their climate change projections, specifically with respect to both long-term MOC changes and the prediction of short-term MOC changes. Moreover, such an error estimate would support the

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use of oceanic state estimates as initial conditions for climate predictions.

#### 5 Conclusions

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We have evaluated the simulated MOC from two numerical models, the global coupled model ECHAM5/MPI-OM and the oceanic state estimation ECCO-GODAE against the MOC timeseries estimated from the RAPID/MOCHA array, and conclude:

- The hydrographic characteristics at 26.5° N are different from the observations in ECHAM5/MPI-OM, but are overall similar to the observations in ECCO-GODAE.
- The observed time-mean of the MOC is very well reproduced in ECHAM5/MPI-OM, but is underestimated in ECCO-GODAE. The observed time-mean values of the MOC transport components are not well reproduced in either model.
- The magnitude of the observed temporal variability of the MOC is very well reproduced in ECHAM5/MPI-OM, but is underestimated in ECCO-GODAE. Both models underestimate the observed mid-ocean transport variability.
- ECHAM5/MPI-OM shows no significant correlations with the observed MOC and its transport components. In contrast, ECCO-GODAE shows significant correlations with the observed MOC and Ekman transport.

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(Deutsches Klimarechenzentrum) in Hamburg, Germany. ECCO-GODAE is supported by the National Ocean Partnership Program (NOAA, NASA and NSF) with additional funding from NASA.

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**Table 1.** Layer transports, excluding the Florida Current and Ekman transport: time-mean and standard deviations (std), both in [Sv].

	RAPID/MOCHA		ECHAM5/MPI-OM		ECCO-GODAE	
	mean	std	mean	std	mean	std
Thermocline (above 800 m)	-16.4	2.7	-36.5	3.3	-18.0	1.8
Intermediate (800–1100 m)	0.6	0.6	-3.3	0.6	-1.8	0.8
upper NADW (1100–3000 m)	-11.0	3.1	-21.1	2.7	-11.9	2.2
lower NADW (below 3000 m)	-8.0	3.5	1.3	3.5	0.7	3.0

**Table 2.** Time mean and standard deviations (std) of different meridional transport components, both in [Sv]. Last row shows the mean of standard deviations for the ensemble members (as opposed to the standard deviation of the ensemble mean). Shading indicates where the mean/ standard deviation (variance) are within the 99 percent confidence intervals of the RAPID/MOCHA array estimates. Black box indicates significant correlation within the 95 percent confidence interval.

	MOC		FC		Ekman		basin	
	mean	std	mean	std	mean	std	mean	std
RAPID/MOCHA array	19.1	5.6	31.7	3.3	3.0	4.4	-15.6	3.1
ECCO-GODAE	11.4	4.2	26.5	2.8	3.7	3.8	-18.8	2.1
ECHAM5/MPI-OM A1B	18.9	4.8	43.2	3.8	5.4	4.2	-29.7	3.8
ECHAM5/MPI-OM A1B	18.7	5.8	43.4	4.0	5.3	4.3	-30.0	4.2
ECHAM5/MPI-OM A1B	19.1	4.4	40.8	3.7	6.3	4.2	-28.0	4.6
ECHAM5/MPI-OM B1	18.7	4.4	43.4	3.2	6.3	3.9	-31.1	3.5
ECHAM5/MPI-OM B1	19.7	5.0	43.2	3.4	7.5	4.2	-31.1	3.4
ECHAM5/MPI-OM B1	19.3	5.2	42.8	3.4	6.1	4.2	-29.7	4.3
ECHAM5/MPI-OM A2	17.6	5.6	41.2	3.9	4.3	4.9	-28.3	3.8
ECHAM5/MPI-OM A2	17.5	5.4	40.5	3.8	4.9	4.3	-27.9	3.6
mean of ECHAM5/MPI-OM ensemble	18.7	5.1	42.4	3.7	5.8	4.3	-29.5	3.9

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**Table 3.** Different definitions of the mid-ocean transport in the numerical models: time-mean, and standard deviations (std) (all in [Sv]).

	ECHAM5/MPI-OM		ECCO-GODAE	
	mean	std	mean	std
( <i>i</i> ) Simulated RAPID/MOCHA array	-39.1	4.8	-17.7	1.4
( <i>ii</i> ) Residual	-29.7	3.8	-18.8	2.1



**Fig. 1.** Zonal transect at 26.5° N. **(a)** RAPID/MOCHA array moorings near the western (left) and eastern (right) boundary. The dynamic height profiles were composed at the western boundary from WB2, WBH2, and WBH1, and at the eastern boundary from EB1, EBH1,..., EBH5 (for details see Cunningham et al., 2007 and Kanzow et al., 2007). Moorings WBA, WB0, WB1, and WB2 provided velocity measurements, used to derive the western boundary wedge transport (for details see Johns et al., 2007). Note the different zonal scales. **(b)** Time mean meridional velocity for March 2004–March 2005 in ECHAM5/MPI-OM. **(c)** Time mean meridional velocity for March 2005 in ECCO-GODAE. Note the nonlinear color scale.

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**Fig. 2.** Potential temperature and salinity profiles for RAPID/MOCHA array observations (pink, time-mean: red), ECHAM5/MPI-OM (ensemble members: grey, time-mean of ensemble mean: black) and ECCO-GODAE (light blue, with uncertainties based on Forget and Wunsch (2007), time-mean: blue): (a) potential temperature at the western boundary, (b) salinity at the western boundary, (c) potential temperature at the eastern boundary, (d) salinity at the eastern boundary. For the RAPID/MOCHA array, the shallowest data are at 120 m depth.









**Fig. 3.** Observed and modelled zonal density difference (eastern boundary minus western boundary; time-mean). Red: RAPID/MOCHA. Black: ECHAM5/MPI-OM. Blue: ECCO-GODAE.

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**Fig. 4.** Vertical profiles of mid-ocean transport per unit depth  $[m^2 s^{-2}]$ , excluding Florida Current and Ekman transport from RAPID-MOC array (red; as in Cunningham et al. (2007), Fig. 1) and models: **(a)** ECHAM5/MPI-OM (black; one realisation), **(b)** ECCO-GODAE (blue). Bold lines indicate the time-mean, light shading the variability over the observation period.



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**Fig. 5.** Integrated layer transports excluding Florida Current and Ekman transport from RAPID-MOC array (thin, as in Cunningham et al. (2007), Fig. 2) and models (thick): **(a, b)** thermocline (above 800 m, red), intermediate (800–1100 m, green); **(c, d)** upper North Atlantic Deep Water (NADW, 1100–3000 m, black), lower NADW (below 3000 m, blue). (a, c) ECHAM5/MPI-OM (one realisation). (b, d) ECCO-GODAE.

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**Fig. 6.** Modelled transports. Different computations of the mid-ocean transport: (i) the mid-ocean geostrophic transport computed equivalently to the RAPID/MOCHA estimate (Cunningham et al., 2007) (black). (ii) residual = MOC – Florida – Current – Ekman (orange). (a) ECHAM5/MPI-OM. (b) ECCO-GODAE.

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**Fig. 7.** Integrated transports from RAPID-MOC array (thin; as in Cunningham et al. (2007), Fig. 3) and models (thick): Florida Current (blue), MOC (red), Ekman (black), upper mid-ocean transport (orange). **(a)** ECHAM5/MPI-OM (one realisation). **(b)** ECCO-GODAE.

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**Fig. 8.** Taylor diagram for RAPID array observations (circles), ECHAM5/MPI-OM (triangles; framed triangles represent the ensemble mean) and ECCO-GODAE (squares): Florida Current (blue), MOC (red), Ekman (black), mid-ocean transport (orange).

