

1 **Comments from referee**

2 Summary

3 This study presents a methodology to do wave downscaling to characterize the wave coastal
4 climate, on high spatial resolution in the nearshore areas, using modelled and measured wind
5 field, from a wind reanalysis and from the Synthetic Aperture Radar (SAR) respectively, to
6 generate local waves and using a wave database (GOW Mediterranean) to force the model at
7 the boundary and take into account the swell. For wave modelling the SWAN wave model
8 was used. It is shown that the correlation between the waves force by wind from the
9 reanalysis and the waves forced by wind derived from the SAR is good as well as the
10 correlation between those downscaled waves compared to in situ measurements.

11 General comment

12 The authors present a methodology to downscale waves from deep waters, where usually
13 there is more information, to shallow water, where typically there is a lack of wave
14 information (modelled and measurements). They demonstrated that Earth Observations
15 products can be used in oceanographic modelling as well as to reconstruct time series of wave
16 parameters, as in this case, very close to the coast. For those reasons, I would recommend the
17 publication of this manuscript, in principle. I do, however, have a number of minor comments
18 (detailed below) that need to be addressed before the manuscript can be accepted.

19 Major comments

20 1. The authors claim that a good correlation was found on the downscaled waves forced by
21 the wind from the reanalysis and the wind from the SAR, however the boundary conditions
22 could play a mayor role here, if this is the case then using wind from the reanalysis or from
23 SAR is irrelevant, at least for the scenarios chosen for this study. To show the importance of
24 the wind used to force the wave model there should be a comparison of the wave field without
25 boundary conditions. As it is stated by the authors (In the results and conclusions section,
26 lines 11-13) “Although there are cases where wind sources show opposite direction, due to
27 low resolution of the modeled winds, a good correlation was found on the downscaled
28 waves”. This shows that the imposed boundary conditions are defining the wave fields inside
29 the modelled domain and not the wind fields chosen.

- 1 Even in the case that boundary conditions are the main source of energy in that area, the
2 methodology used to downscale the waves is valuable, and it is important show the strength
3 and the weakness of the methodology used, this will add a value to the paper.
- 4 Minor comments
- 5 Language: Although the grammar and spelling are mostly good, I would recommend
6 additional language editing. Some, but not all edits are listed below.
- 7 Page 1568
- 8 Line 5: .. or shallow water waves are .. add a comma ..or shallow water, waves are . .
- 9 Line 13: northern Adriatic sea -> Northern Adriatic Sea (look for this in the whole document)
- 10 Line 14: Global wave -> global wave
- 11 Line 23: Earth Observation (EO), -> Earth Observation (EO) products,
- 12 Line 24: can be adopted for providing -> can be adopted to provide
- 13 Page 1569
- 14 Line 9: or shallow water waves are -> or shallow water, waves are
- 15 Line 13: 800 long -> 800 km long
- 16 Line 14: Northern Adriatic Sea occupy the northern and shallower area -> Northern Adriatic
17 Sea is a shallow area
- 18 Line 23: In Northern Adriatic Sea -> In the Northern Adriatic Sea
- 19 Line 26: favorable wind blowing from -> favorable wind which blows
- 20 , shows an evident -> , it shows an evident
- 21 Page 1570
- 22 Line 1: wave heights of 1 m, and period of 5 s -> wave heights up to 1 m and periods up to 5 s

- 1 Line 4: in order of 10 s in the NAS region -> in the order of 10 s in the Northern Adriatic Sea
- 2 (I suppose NAS means Northern Adriatic Sea, as NAS has not been defined previously, and it
- 3 is not used anymore)

- 4 Line 14: western Adriatic coast -> Western Adriatic Coast

- 5 Line 25: “1948 to march 2013” (as the month for 2013 is mentioned , add the month for 1948)

- 6 Page 1571

- 7 Line 1: the in situ buoys used in this process comes -> The in situ measurements from buoys
- 8 used in this process come

- 9 Line 5: were used for the validations -> were used for validation

- 10 Lines 9-13: Change the sentence, difficult to understand, especially in the part “for NRCS
- 11 developed by for VV-polarized”

- 12 Line 22: wind SAR fields to forcing -> wind SAR fields to force

- 13 Line 23: especially end of January -> especially at the end of january

- 14 Line 24: thanks to bora -> thanks to a Bora

- 15 Page 1572

- 16 Line 4: wave models a level -> wave models have a level

- 17 Line 5: Wavewatch -> WaveWatch

- 18 Line 11: and wind forcing, databases -> and wind forcing databases (delete the comma)

- 19 Lines 14-15: in Europe and Latin America (Fig. 3) -> in Europe (Fig.3) and Latin America

- 20 Line 19: in the Mediterranean is -> in the Mediterranean Sea is

- 21 Line 20: correlations upper to -> correlations larger than

- 22 Page 1573

- 23 Line 6: propagated using -> waves propagation was done using

- 1 Line 9: Lately, -> Finally,
- 2 Line 10: April 2012 and corresponding -> April 2012 corresponding
- 3 Line 13: "DOW" it was not defined previously.
- 4 Page 1574
- 5 Line 10: 116 x 110 (are this number of points or number of meshes?) 116 x 110 meshes or
- 6 116 x 110 points?
- 7 Line 18: the output boundary -> the input boundary
- 8 Line 24: The model can be shown on - > The model is shown in
- 9 Page 1575
- 10 Line 2: are the parameters dependent on -> are parameters that depend on
- 11 Line 5-6: The pairs of data -> The pairs of parameters
- 12 Line 13: on Camus -> in Camus
- 13 Line 15: grid points which define forcing of the numerical propagations. -> grid points where
- 14 forcing is defined for the numerical propagation
- 15 Page 1576
- 16 Line 2: θ_m -> θ_m
- 17 Line 2: every N nodes (where N is ?)
- 18 Line 10: the first 15th PCs -> the first 15 principal components (it is better to write principal
- 19 components, as PCs was not defined previously).
- 20 Line 23: $\theta_{mp,j}$ -> $\theta_{\theta m m p p, j j}$
- 21 Page 1577
- 22 Line 4: on Camus -> in Camus

- 1 Line 6: Analysis of SAR -> The analysis of SAR
- 2 Line 23: the corresponding wave fields -> the corresponding wave boundary conditions
- 3 Page 1578
- 4 Line 19: Istran coast -> Istrian Coast
- 5 Page 1580
- 6 Line 17: as if by reconstructing -> as well as reconstructing (I'm not sure if the authors wants
- 7 to say that)
- 8

1 **Author's response**

2

3 Dear reviser,

4 thanks for precise review.

5 Concerning the referee major comment, an interesting question arised concerning boundary
6 conditions used for wave modeling.

7 The authors agree with referee about the importance of boundary conditions, and want to
8 remark that on the simulated cases "where wind sources show opposite direction, due to low
9 resolution of the modeled winds" and "a good correlation was found on the downscaled
10 waves" both sources of wind are less than 5 ms⁻¹. Generally, such wind magnitude is not
11 enough to be appreciated on waves generation at this scale on local areas like the study area.
12 The authors consider that on different scenarios, where winds are significant, wind fields with
13 higher spatial resolution increase the quality of amplitude and direction of waves. The main
14 focus of the research study is, however, to show the incorporation of SAR wind fields to
15 simulate waves by means of a downscaling methodology rather than to evaluate the accuracy
16 of boundary conditions, whilst the latter play a major role.

17 The suggested additional language editing reported in the minor comments have been done on
18 the manuscript, and are reported in the author's changes to manuscript in the following
19 section marked in yellow color. The only rejected suggestions are those related to month
20 names, which have been kept in capital letter at the beginning.

1 **Author's changes in manuscript**

2 **On the feasibility of the use of wind SAR to downscale** 3 **waves on shallow water**

4

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11

12 **Abstract**

13 On the recent years wave reanalysis have become popular as a powerful source of information
14 for wave climate research and engineering applications. These wave reanalysis provide
15 continuous time-series of offshore wave parameters, nevertheless on coastal areas or shallow
16 water, waves are poorly described because spatial resolution is not detailed. By means of
17 wave downscaling it is possible to increase spatial resolution in high temporal coverage
18 simulations, using forcing from wind and offshore wave databases. Meanwhile the reanalysis
19 wave databases are enough to describe the wave climate on the limit of simulations, wind
20 reanalysis at an adequate spatial resolution to describe the wind structure near the coast are
21 not frequently available. Remote Sensing Synthetic Aperture Radar (SAR) has the ability to
22 detect sea surface signatures and estimate wind field at high resolution (up to 300 m) and high
23 frequency.

24 In this work a wave downscaling is done on the Northern Adriatic sea, using an hybrid
25 methodology and global wave and wind reanalysis as forcing. The wave fields produced were
26 compared to wave fields produced with SAR winds that represent the two dominant wind
27 regimes in the area: the Bora (ENE direction) and Sirocco (SE direction). Results show a
28 good correlation between the waves forced with reanalysis wind and SAR wind. In addition, a
29 validation of reanalysis is shown. This research demonstrates how Earth Observation

1 products, as SAR wind fields, can be successfully up-taken into oceanographic modeling,
2 producing similar downscaled wave field when compared to waves forced with reanalysis
3 wind.

4

5 **1 Introduction**

6 The synergic use of Earth Observation (EO) products, wave reanalysis and in situ
7 measurement can be adopted to provide scientific justifications for the appropriate selection
8 of off-shores wind farms location. The Level-2 SAR (Synthetic Aperture Radar) products can
9 help to better understand the wind fields in open-sea areas (Pieralice et al., 2014), while wave
10 reanalysis and in situ monitoring could be integrated and calibrated using the satellite
11 information. The ability to retrieve wind fields from SAR images, taking advantage of the
12 high resolution (sub-kilometer) and wide coverage (500 km) offered by wide swath images
13 represents an important improvement for wave reanalysis applications where knowledge of
14 the wind field is crucial. On the recent years, in fact, wave reanalysis have become popular as
15 a powerful source of information for wave climate research and engineering applications.
16 These wave reanalysis provide continuous time-series of offshore wave parameters,
17 nevertheless on coastal areas or shallow water, waves are poorly described because spatial
18 resolution is not detailed (Camus et al., 2013).

19

20 **2 Study area**

21 The Adriatic Sea is a shallow semi-enclosed shelf sea located between western and eastern
22 parts of the Mediterranean Sea; it is about 800 km long and 150 km wide. Northern Adriatic
23 Sea is a shallower area (depth < 50 m) and has a gentle slope (about 0.02°). Fig. 1 shows the
24 study area and the wind wake patterns from σ_0 SAR intensity of ENVISAT ASAR WS image
25 acquired on 02 February 2012 20:59:29 UTC, the rectangle indicates the downscaling area
26 and the location of a wave buoy with available data for validation.

27 The general cyclonic water circulation system of Northern Adriatic Sea is highly variable
28 with seasons (Artegiani et al., 1997; Zavatarelli and Pinardi, 2003; Pullen et al., 2003). One of
29 the major features is a coastal current along the western side of the basin, the Western
30 Adriatic Coastal Current (WACC), driven by wind and thermohaline forcing (Poulain, 2001).
31 In the Northern Adriatic Sea the main forcing of waves are the local winds. Two distinct wind

1 regimes, Bora and Sirocco, dominate conditions in the area and influence basin-wide
2 circulation (Orlić et al., 1994). Bora is a downwelling favorable wind **which blows** from ENE
3 with a mean speed of 15 m s^{-1} , **it** shows an evident interannual variability (Bignami et al.,
4 2007) and can generate large waves with significant wave heights **up to** 1 m, and periods **up to**
5 5 s (Cavaleri et al., 1997). In contrast, Sirocco is an upwelling favorable wind which blows
6 from the southeast with a typical speed of 10 m s^{-1} . **Sirocco wind** brings warm Mediterranean
7 air (Orlić et al., 1994) and generates lower wave height **than Bora**, but longer wave period in
8 **the** order of 10 s in the **Northern Adriatic Sea** region (Wang et al., 2007). It has an available
9 fetch of several hundreds of kilometers and is thus particularly efficient in modulating the
10 wave field, more so than Bora, whose fetch is restricted to the narrow width of the Adriatic
11 Sea (Cavaleri et al., 1997; Signell et al., 2005).

12 Bignami et al. (2007) pointed out that the inhomogeneity in Bora wind speed distribution is
13 not equally represented by the wind products at different spatial resolutions. Atmospheric
14 models do not represent the detailed range of Bora wind spatial variability, like the dual-jet
15 nature of the Trieste jet or the several -kilometer-wavelength structures in the Bakar and Senj
16 jet region. Estimated wind fields at fine scale from SAR satellite allow the observation of
17 morphology, wake patterns, the formation of the barrier jet on the Western Adriatic coast and,
18 where present, dual-jet structure of the Bora wind (Signell et al., 2010; Adamo et al., 2013).

19

20 **3 Materials**

21 **3.1 Wind**

22 **3.1.1 Wind reanalysis**

23 SEAWIND I reanalysis is a regional dynamical atmospheric downscaling that covers the
24 North Atlantic and Mediterranean regions. Simulations were done using the Weather
25 Research and Forecasting (WRF) model (version 3.1.1) with the Advanced Research
26 dynamical solver (WRF-ARW) (Skamarock et al., 2008). The resolution of modeled wind
27 fields in the reanalysis is defined with 40 vertical hybrid levels (7 first levels below the first
28 1,000 m) and 30 km horizontal resolution. The database spans from January 1948 to March
29 2013. This reanalysis has been validated for sea winds comparing the database with in situ
30 buoys and satellite data. The in situ **measurements from buoys used in this process come** from

1 REDEXT and REMPOR net of buoys of and meteorological stations from Puertos del Estado
2 (Spanish National Ports and Harbour Authority). Also satellite data from ERS-2 (1995-2003),
3 Envisat (2002), GFO (2000-2008), Jason-1 (2002), Jason-2 (2008) and T*P⁻¹ (1992-2005)
4 were used for validation.

5 **3.1.2 Wind SAR fields**

6 Wind field products have been collected from SOPRANO service, developed by CLS
7 (Collecte Localization Satellites). Envisat ASAR Wide Swath Mode data VV polarized have
8 been processed using SAR2WNF software v.3.0.0. Scattering model used to estimate wind
9 field from Normalized Radar Cross Section (NRCS) is CMOD-IFR2 (Quilfen et al., 1998).
10 The model, developed by for VV-polarized C-band scatterometry, makes use of NRCS
11 together with a priori wind direction from ECMWF 33 hours wind forecast at 0.25°
12 resolution.

13 For the retrieval of SAR data archive, in order to investigate the ability of the SAR for the
14 winds and waves productions, the following criteria were used for data collection:

- 15 a) collection of all SAR data involving critical events in Northern Adriatic basin;
- 16 b) selection of SAR data in relation to the existence of ground truth data or obtained from
17 other EO sources (VHR optical satellite data);
- 18 c) selection of SAR data based on information provided by weather and sea reanalysis.

19 A total of 15 high resolution wind fields at 0.01° spatial resolution, estimated from satellite
20 SAR acquired between December 2011 and April 2012 has been used as forcing in wind
21 waves modeling. Fig. 2 show the available wind SAR fields to force the model. The transport
22 of Stokes, as well as the wind, especially at the end of January 2012 retained the same
23 direction for many days, increasing in intensity thanks to a Bora that was blowing in those
24 days.

25 **3.2 Waves**

26 The Global Ocean Waves (GOW) reanalysis is a historical reconstruction of ocean waves.
27 GOW has been generated from the spectral model WaveWatch III (Tolman, 1989), and
28 (Tolman, 1997). Spectral wave models have a level of accuracy that enables reproducing
29 significant wave height and peak period with errors below 15%. WaveWatch III is a third

1 generation wave model developed at NOAA-NCEP (Tolman, 2002; Tolman, 2009). It solves
2 the spectral action density balance equation for wave number direction spectra. The model
3 can generally be applied to large spatial scales and outside the surf zone. Parameterizations of
4 physical processes include wave growth and decay due to the actions of wind, nonlinear
5 resonant interactions, dissipation (whitecapping) and bottom friction. Bathymetry, ice cover
6 and wind forcing databases are crucial for a good historical hindcast of ocean waves.

7 GOW encompasses several hourly reanalysis projects at different spatial resolutions: a global
8 wave reanalysis as well as several regional wave reanalysis in Europe (Fig. 3) and Latin
9 America. Adequate configured model and input forcing have been used for each project.
10 Detailed information about particular GOW projects can be found in Reguero et al. (2012). In
11 particular, the GOW used in this work (whose domain is identified with the dashed line on
12 Fig. 3) was forced with the CFSR reanalysis and spans from 1979 to present. The grid
13 resolution in the Mediterranean Sea is 0.18° (20 km). This database was validated using
14 satellite and buoys data, finding correlations larger than 0.95 and scatter index lower than
15 0.15 along the Atlantic coast.

16 **3.3 In situ dataset**

17 In situ data used for validation of downscaled waves were collected from a mooring buoy
18 located at GNL Terminal (yellow mark in Fig. 1), acquiring hourly the following parameters:
19 Wave Height, Wave Direction, Wave Period.

20

21 **4 Methods**

22 The methodology used in this work is divided in two main parts: in the first part wave
23 downscaling is done, and on the second part, the SAR wind fields are used to force the
24 numerical model. The wave downscaling were done following the hybrid methodology
25 described in Camus et al. (2011a) in which a small number of waves and wind conditions
26 were selected by means of the maximum dissimilitude method, waves propagation was done
27 using the SWAN model (Booij et al., 1999), then wave time series were reconstructed using
28 Radial Basis Function interpolation.

29 Finally, 15 high resolution wind fields, estimated from satellite SAR acquired between
30 December 2011 and April 2012 corresponding to transient occurrences of main wind regimes

1 with a typical duration of several days, were used for wave downscaling. As results, the wave
2 fields forced with modeled wind fields and with wind SAR fields were compared. The
3 development of the **Downscaled Ocean Waves (DOW)** database implies several steps, which
4 are summarized in Fig. 4. The steps of the proposed global framework are: a) analysis of the
5 reanalysis databases available in the study area b) calibration of the reanalysis databases in
6 deep water with instrumental data; c) selection of a limited number of cases which are the
7 most representative of wave and wind hourly conditions in deep water; d) propagation of the
8 selected cases using a wave propagation model; e) reconstruction of the time series of sea
9 state parameters at shallow water; f) validation of the coastal wave data with instrumental
10 data; and g) characterization of wave climate by means of a statistical technique. This
11 methodology was developed on the IH-Cantabria (Camus et al., 2011a) and have been applied
12 to downscale waves on Spain, Brazil, Oman, etc.

13 The second part of the work consist on the wave simulation of Bora and Sirocco events
14 observed on the SAR wind fields. This were done using the same domain than in the
15 downscaling and the wave climate on the open boundary. As there are only 15 SAR wind
16 fields, every wind field is treated as a single simulation, and the instantaneous wind field as
17 the mean wind field during a 1 hour sea state.

18 **4.1 Wave downscaling**

19 **4.1.1 Setting**

20 The methodology described on Fig. 4 was applied to **Northern** Adriatic Sea. As inputs were
21 used the GOW Mediterranean (Reguero et al., 2012) grid (Fig. 3) with a spatial resolution of
22 0.18° (20 km) and the Seawind I database (Menéndez et al., 2013) with a spatial resolution of
23 30 x 30 km (Fig. 1).

24 The domain is small enough so that wave propagation across the area occurs at a faster rate
25 than the change in offshore forcing at the domain boundary, therefore stationary conditions
26 for wave simulations can be assumed. The dimensions of the downscaling grid (Fig. 1) are
27 166 x 110 **points** with a resolution of 1 km. The bathymetry of the dynamical downscaling
28 grids is defined by means of the global bathymetry “General Bathymetric Chart of the
29 Oceans” (GEBCO), with a spatial resolution of 1' from a combination of sounding waves and
30 satellite data, available at the British Data Centre (BDOC).

1 Wave climate definition for the open boundary of downscaling was obtained from GOW
2 database. The output parameters of GOW are: the significant wave height (H_s), the peak
3 period (T_p), mean wave direction (θ_m) and the directional energy spectra in the boundaries of
4 the DOW grid. Fig. 1 shows the location where the input boundary conditions were obtained.

5 **4.1.2 Calibration**

6 Due to insufficient resolution of forcing wind fields and spatial and temporal model
7 resolutions, a parametric calibration was done following Mínguez et al. (2011). This method
8 corrects significant wave heights with instrumental data from satellite according to the mean
9 wave direction. The model is shown in Eq. (1).

$$10 \quad H_s^C = a^R(\theta) [H_s^R]^{b^R(\theta)} \quad (1)$$

11 where H_s^R is the reanalysis significant wave height, H_s^C is the calibrated significant wave
12 height and $a^R(\theta)$ and $b^R(\theta)$ are the parameters that depend on the mean wave direction θ
13 from reanalysis. A complete explanation of this methodology can be found on Mínguez et al.
14 (2011).

15 This correction is applied to each boundary node on the downscaling grid. The pairs of
16 parameters for the calibration were obtained choosing all the satellite data in a radius of 1.5
17 degree.

18 **4.1.3 Selection**

19 The selection is done to obtain a set of representative scenarios of ocean conditions of the
20 total database. Selection is done applying a maximum-dissimilarity algorithm (MDA). The
21 MDA has been proved to identify the most dissimilarity wave conditions on a reanalysis
22 database including the extreme events. The algorithm and details of selection are described in
23 Camus et al. (2011b).

24 This part of the methodology has three steps: i) Set wind grid points and wave grid points
25 where forcing is defined for the numerical propagations. Standardize the calibrated data after
26 the wave and wind directions have been transformed to the x and y components. ii) Apply the
27 principal component analysis to the standardized forcing. Select the number of principal
28 components i.e. the variables in the new reduced space, which produces an acceptable root-

1 mean-square error reconstruction. iii) Select a representative number of offshore conditions
2 using the MDA in the reduced space and identify these select cases in the original space.

3 The forcing conditions are defined by the wave reanalysis nodes along the domain boundary
4 and the simultaneous wind fields. In this way the wave spatial variability and the local wind
5 wave generation is taken into account. The GOW Mediterranean with spatial resolution of
6 0.18° are used to define the boundaries of the DOW grid, meanwhile the SeaWind I database
7 are used to define the wind fields. Fig. 1 shows the dynamical downscaling grid, the GOW
8 and Seawind nodes. The parameters used in the selection process and in time series
9 reconstruction are the hourly series of wave height (H_s), the mean wave period (T_m) and the
10 mean wave direction (θ_m) of every node at the computation boundaries, and the hourly series
11 of wind directional components of the nodes at the upper boundary of the wind grid.

12 To avoid highly correlation situations among grid points of a given variable and among
13 different variables a Principal Component Analysis (PCA) is done. The PCA reduces the
14 dimension of the data preserving the maximum variance of the sample data. The selection of
15 the most appropriate number of PCAs is based on the reconstruction root-mean-square-error
16 (RMSE) of the offshore wave and wind conditions. In this case the first 15th principal
17 components explained 99.0% of the variance of the original database, therefore the dimension
18 of the hourly series were reduced from 35 to 15 with no significant information loss.

19 The next step consists of selecting a representative subset using MDA. The first element of
20 the selection coincide with the largest significant wave height, identified in the original space.
21 Fig. 5 shows the subset of size $M=100$ elements selected in the EOF space. The selected cases
22 are fairly distributed in the data space. This subset, selected by MDA, is not projected back to
23 the original space. The selected elements are identified in the original series of the wave
24 conditions.

25 **4.1.4 Deep to shallow water transformation**

26 The representative cases, selected by MDA, of wave climate are propagated to coastal areas
27 using the numerical model SWAN (Booij et al., 1999). For each case, on every DOW grid
28 nodes the propagated significant wave height ($H_{sp,j}$), the peak period ($T_{pp,j}$) and the mean
29 direction ($\theta_{mp,j}$) are stored. Therefore the M propagations in DOW domain define a catalog of
30 cases formed by the $M=100$ hourly sea state parameters corresponding to a certain sea state
31 condition in deep water.

1 **4.1.5 Time series reconstruction**

2 Finally the reconstruction of the time series of wave parameters on the DOW grid is done by
3 means of a radial basis functions (RBF) interpolation. A detailed description can be found in
4 Camus et al. (2011a).

5 **4.2 Wind satellite simulations**

6 The analysis of SAR wind fields is twofold. First, the SAR wind fields were compared to the
7 modeled wind fields in order to highlight the differences between both wind sources. Second,
8 the SAR wind fields were used to force the numerical model and produce wave fields. These
9 simulations were also forced with the corresponding wave climate through the open
10 boundary.

11 **4.2.1 Wind field Comparisons**

12 The comparison between the SAR wind fields and modeled wind fields cannot be done
13 directly due to the different nature of the measurements. The hourly reanalysis wind fields
14 represent the mean conditions of wind (both in magnitude and in direction) during an hour on
15 a coarse grid. On the other hand, the SAR wind database represent the instantaneous wind
16 fields, namely the wind field at the exact moment when the satellite overpasses the area,
17 estimated at high spatial resolution. Therefore, to have an adequate comparison between both
18 wind sources, the SAR wind fields were interpolated to the coarse resolution grid of wind
19 reanalysis and only qualitative comparisons were done.

20 **4.2.2 Wave simulations with SAR wind fields**

21 Simulations were done using the SWAN model, using the same domain that in the previous
22 section and as forcing the SAR wind fields and the corresponding wave boundary conditions.
23 These simulations were compared with simulations forced with the SeaWind I reanalysis
24 wind fields. Fig. 6 show some examples of the comparisons between the wave fields forced
25 with SAR winds and reanalysis winds.

26

27 **5 Results and discussion**

28 The principle of wind and waves reconstruction is based on the estimation of suitable
29 parameters that characterize the signal and in the case of the SAR radar and other EO systems.

1 More specifically the ratio signal/clutter with clutter where is a set of interference signals that
2 do not can be traced back to the target and that generally worsen the contrast between target
3 and background of the target and highlight the SAR wind data. It was found a high similarity
4 between the SAR wind field and the reanalysis wind field, this suggests that there is a high
5 persistence of wind direction during a time step of one hour (Fig. 7). Although there are cases
6 where wind sources show opposite directions, due to low resolution of the modeled winds, a
7 good correlation was found on the downscaled waves.

8 Thus the estimation of wind fields obtained by means of a Bayesian approach (Adamo et al.,
9 2014), exploits both the radar cross-section of the normalized SAR and external information,
10 such as the fields of wind meteorological models (Numerical Weather Product). Results show
11 that although SAR wind fields were able to solve fine scale spatial patterns and improve wave
12 downscaling in the study area, especially during Bora wind events due to complex orography
13 in the Istrian Coast, the following weaknesses were found:

- 14 - the domain is not always fully covered by satellite acquisitions, even using wide swath
15 acquisition modes;
- 16 - the estimated wind fields represent the instantaneous conditions of winds, and not the mean
17 condition during one hour (requirement for wave downscaling);
- 18 - temporal resolution is limited (1 observation every 3-16 days), while typically hourly data
19 are required for wave downscaling.

20 To solve temporal resolution issue, the use of blended wind product from either SAR wind or
21 modeled wind may represents a solution to supply SWAN model with consistent wind
22 forcing, as successfully demonstrated in Benassai et al. (2015).

23 The algorithm used for wind field estimation from Sentinel-1 data is "s-1 owi", which makes
24 use of "CMOD-IFR2" Neural Network based GMF. Although CMOD5.N GMF for SAR
25 wind estimation has the smallest bias and root mean square error based on recent literature, all
26 of the GMFs exhibit a negative bias in the retrieved wind speed (Takeyama et al., 2013). This
27 research study is in the context of operational oceanography research development for
28 Copernicus CMEMS Service, therefore made use of a wind forcing dataset similar to what
29 oceanographer will operationally use from Sentinel-1A SAR derived products.

30 As validation of propagated waves, a series of wave height was reconstructed on the buoy
31 location and compared with in situ measurements. Fig. 8 shows a 6 month segment (winter

1 2011 to spring 2012) of both observed and modeled wave height series. During this period the
2 correlation between reanalysis and data buoy is of 87% being the simulation able to reproduce
3 events of high and low wave height events. On the same figure blue dots indicate the wave
4 height obtained using the SAR wind fields as forcing. It can be observed that SAR wind
5 simulations depict the same behaviour of time series although some of these correspond to
6 periods of small waves or relative calms.

7 Fig. 9 shows scatter and quantile-quantile (20 equally distributed Gumbel quantiles) plots of
8 the measured versus modeled H_s , for the entire dataset of buoy indicating the general good
9 quality of the results obtained. Several diagnosis statistics are calculated to compare model
10 performance with respect to instrumental data, such as the root mean square error (RMSE),
11 the Pearson's correlations coefficient (ρ), the systematic deviation between two random
12 variables (BIAS) and the residual scatter index (SI). Finally, Fig. 10 incorporate on the
13 scatterplot the downscaled wave heights simulated using SAR wind (red points) and the
14 modeled wind (green points). In general both simulations of the sea states, where SAR winds
15 were available, describe statistically equivalent results for the wave height, although for larger
16 waves modeled winds produce larger waves than SAR winds. Nevertheless, there are not
17 enough intense wind stress cases to find a statistically robust trend.

18 In comparison with previous experimental research (Camus et al., 2011a), results carried out
19 from this work show the ability of SAR satellite data to force time series of wave fields by
20 means of a radial basis functions (RBF) interpolation. Considering previous attempts to force
21 wave simulations using SAR wind (Benassai et al., 2013; Benassai et al., 2015), advancement
22 was reached using an efficient methodology to downscale waves on shallow water in mid
23 term simulations (days to months).

24

25 **6 Conclusions**

26 A wave climate downscaling of Northern Adriatic Sea was done applying the methodology
27 described on Camus et al. (2011a). The downscaling was forced with a regional wind
28 reanalysis (SeaWind I) and a global reanalysis of waves (GOW Mediterranean). The
29 downscaling was done using a hybrid methodology that consist on the selection of a set of
30 wave climate cases by means of the maximum dissimilitude technique, the propagation of
31 these cases, and finally the reconstruction of time series by means of radial basis functions.

1 Several SAR wind fields were analyzed and used to force the model to propagate the wind
2 waves on the downscaled area.

3 Comparison with in situ instrumental data indicate the general good quality of the downscaled
4 waves. Although there are differences between SAR and modeled wind fields, a good
5 correlation was found on the downscaled waves forced with different wind fields.

6 This research demonstrates how EO products, as SAR wind fields, can be successfully up-
7 taken into oceanographic modeling, as well as reconstructing time series of wave fields using
8 radial basis functions (RBF) interpolation. Operational SENTINEL-1 will produce a
9 consistent long-term data archive (Level-2 – Ocean) built for these applications based on long
10 time series, opening the way for new improvements on services for operational oceanography.

11

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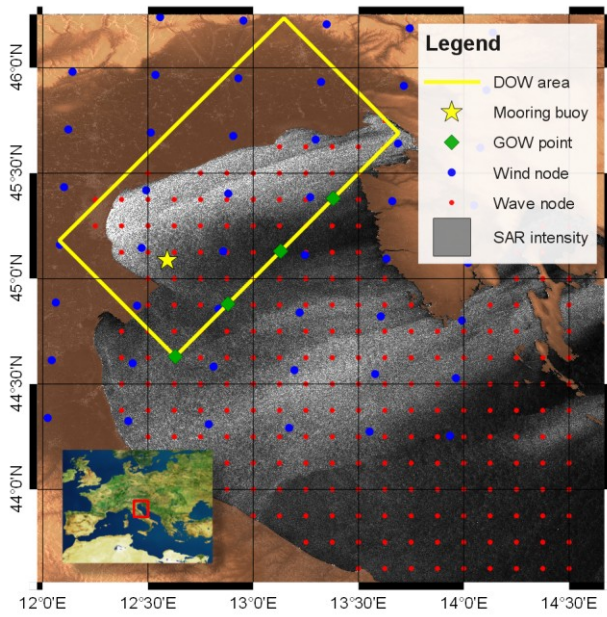
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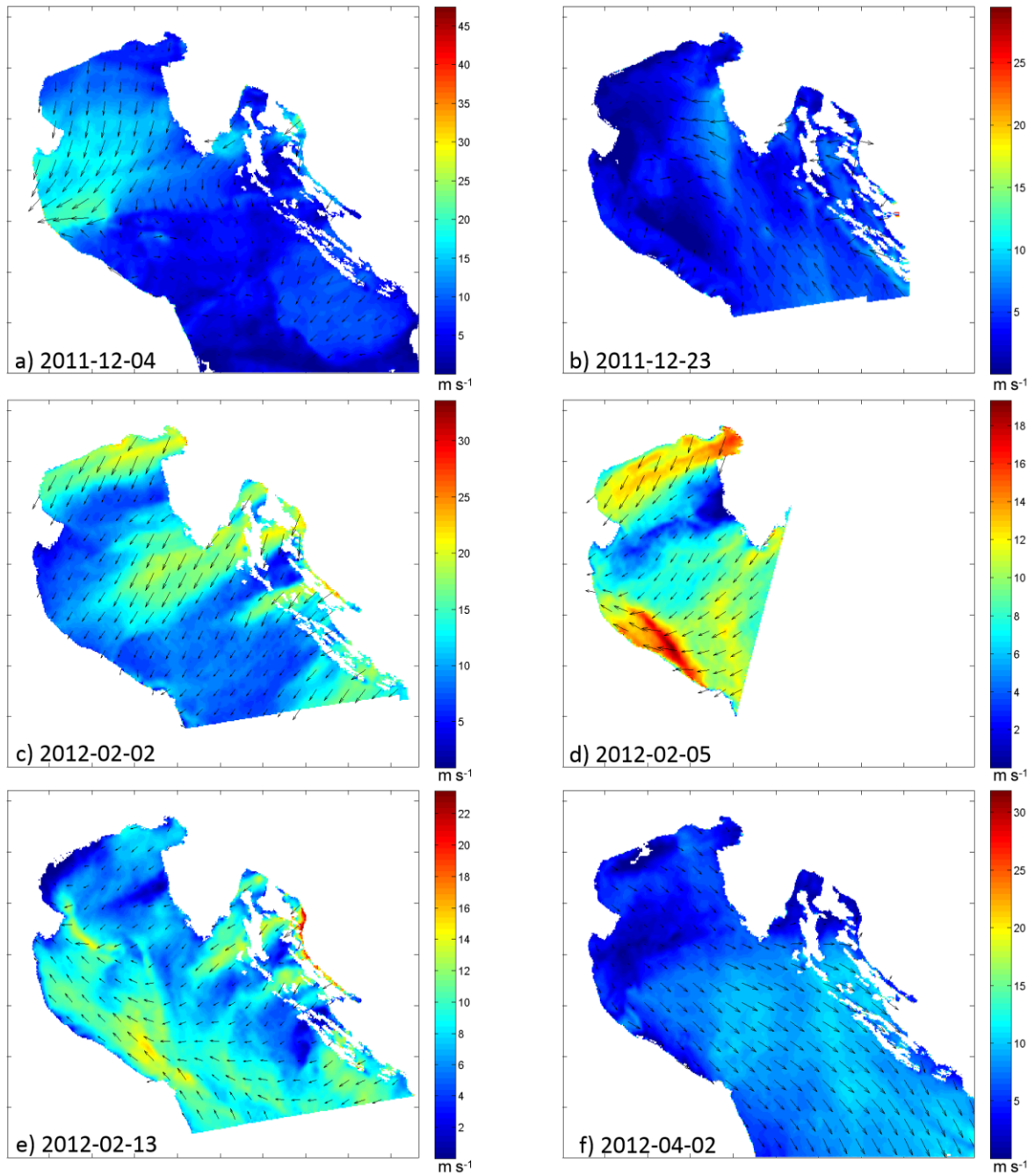
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3 Figure 1. Modeled domain in northern Adriatic Sea basin. Background shows Sigma0 of
 4 ENVISAT ASAR WS image acquired on 02 February 2012 20:59:29 UTC.

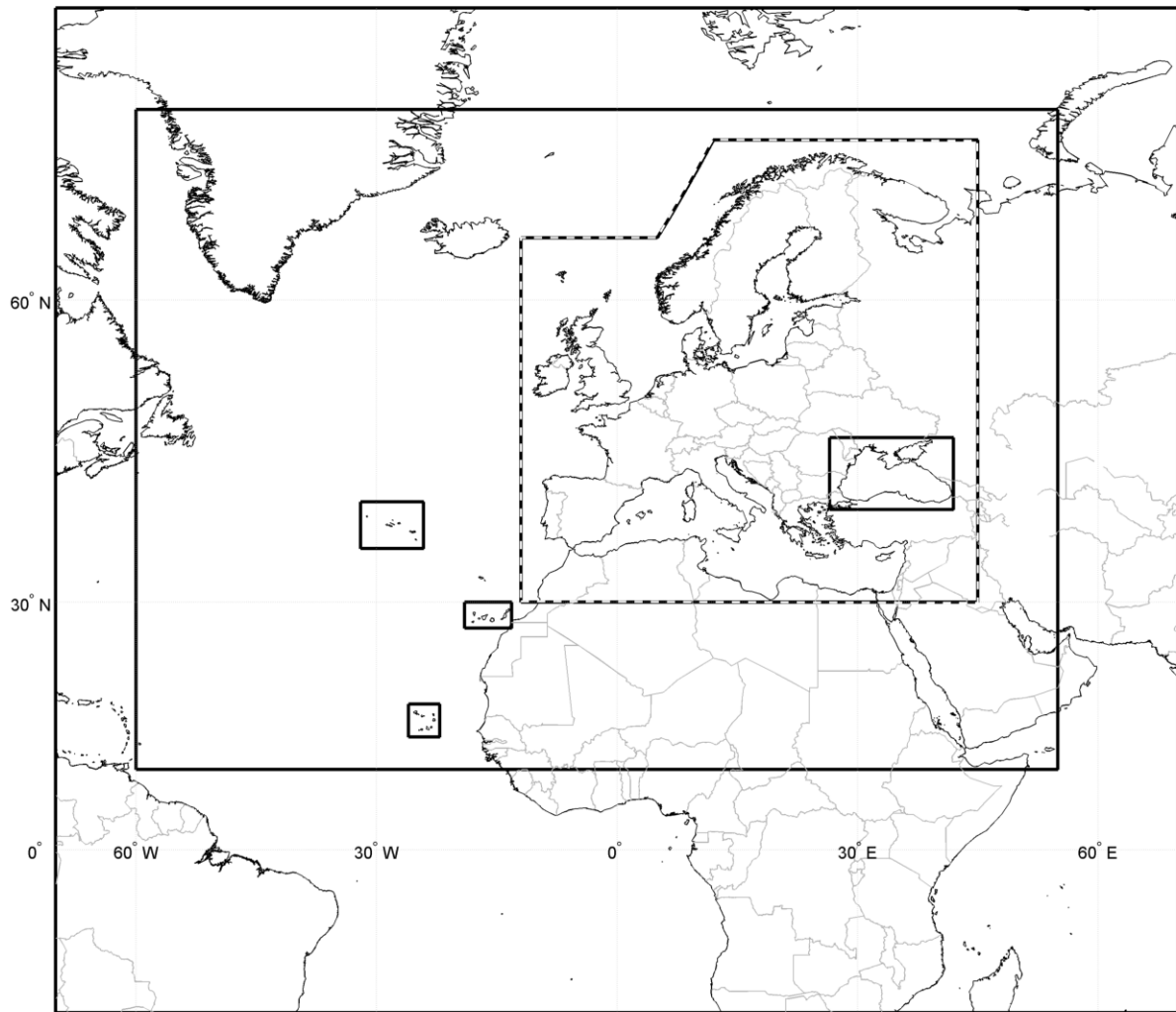


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3 Figure 2. Some of the 15 SAR wind fields available for wave simulation.

4

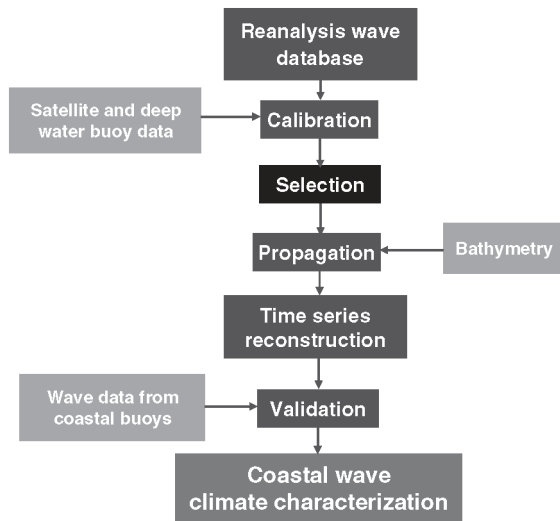


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3 Figure 3. Wave reanalysis domains in Europe.

4

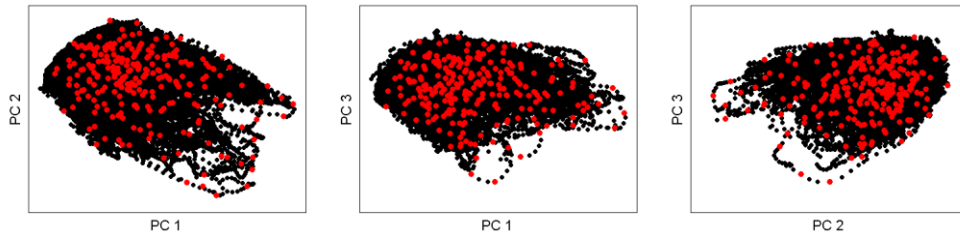


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3 Figure 4. Methodology to downscale wave climate to coastal areas.

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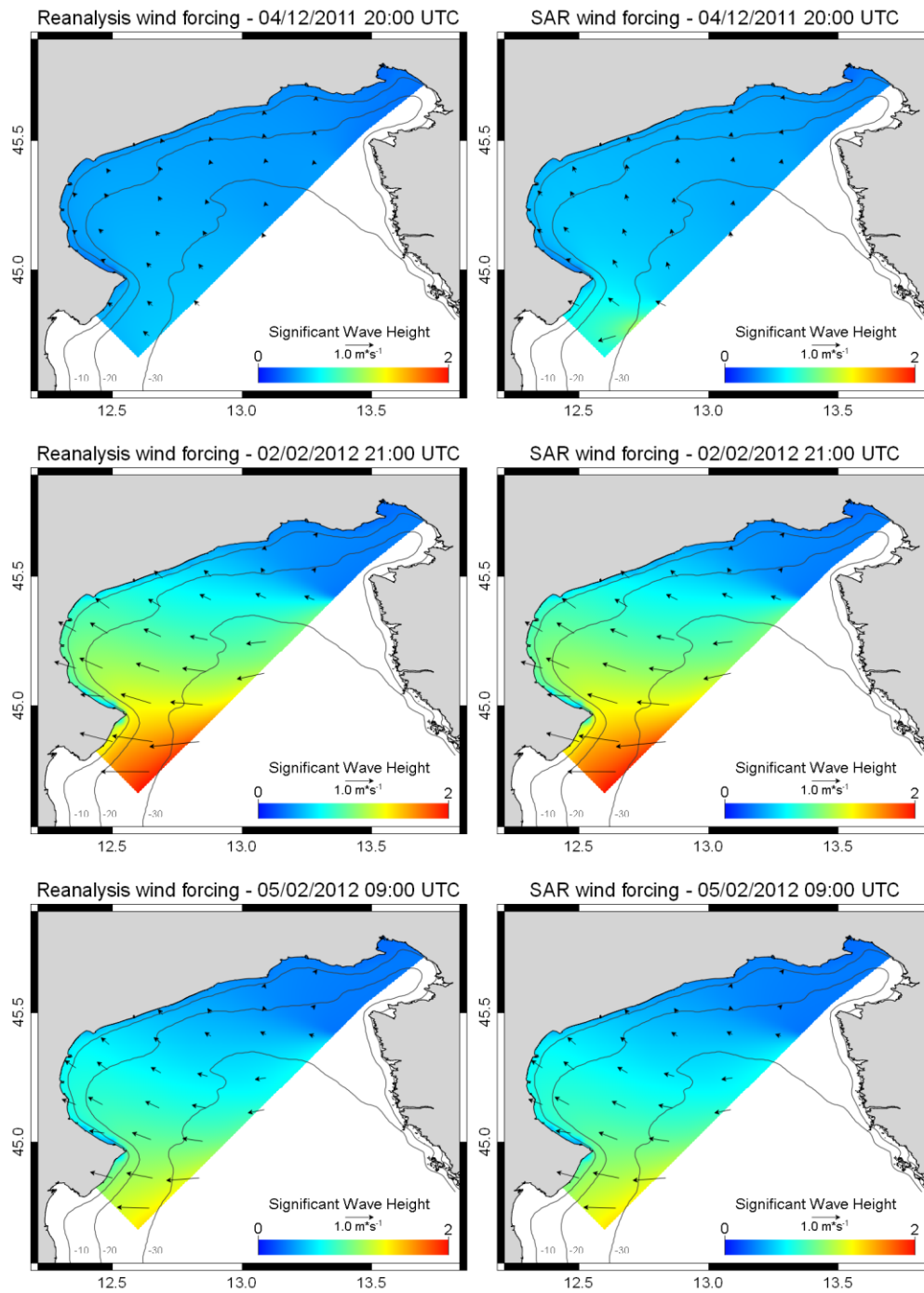


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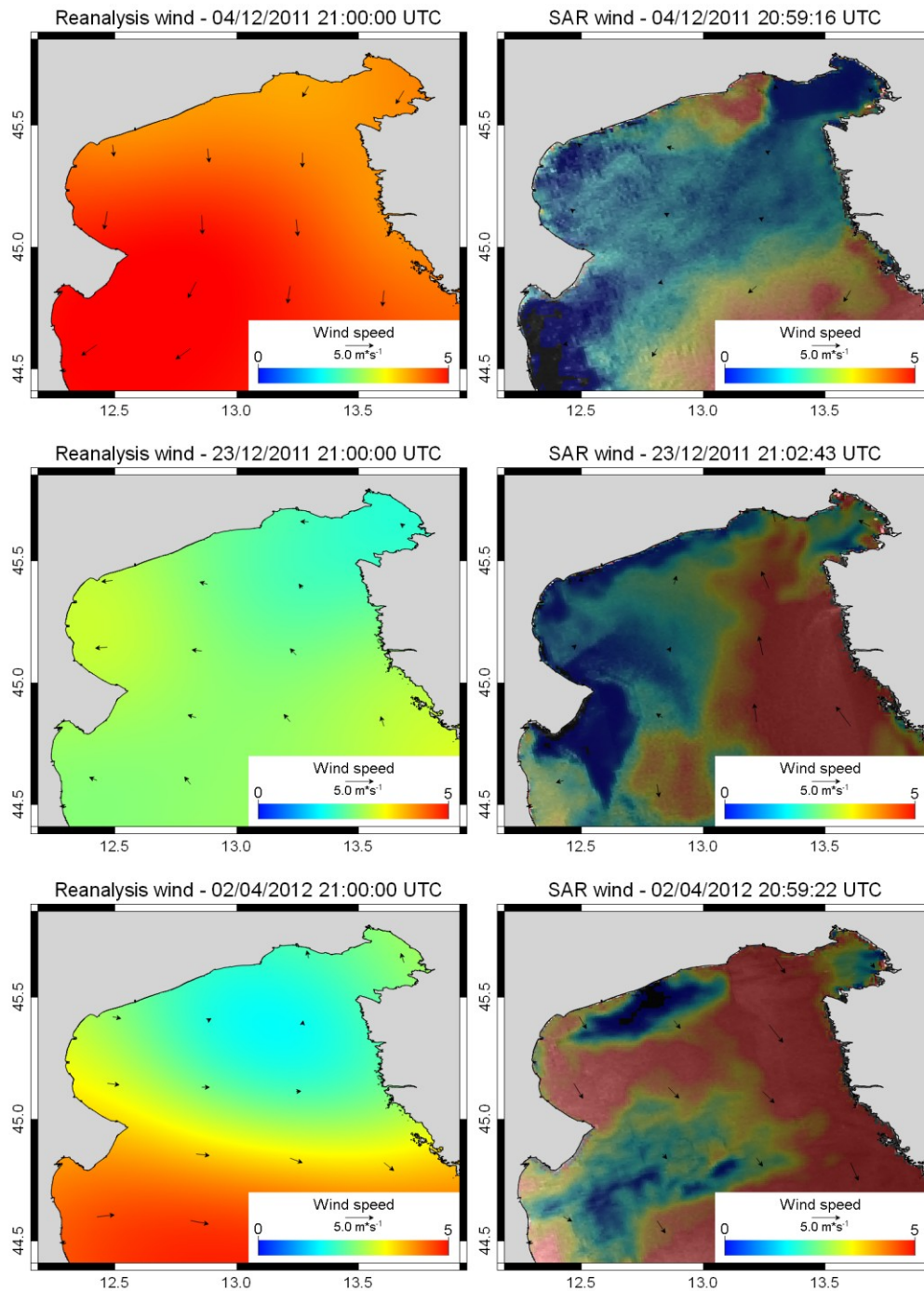
3 Figure 5. Subset of selected cases.

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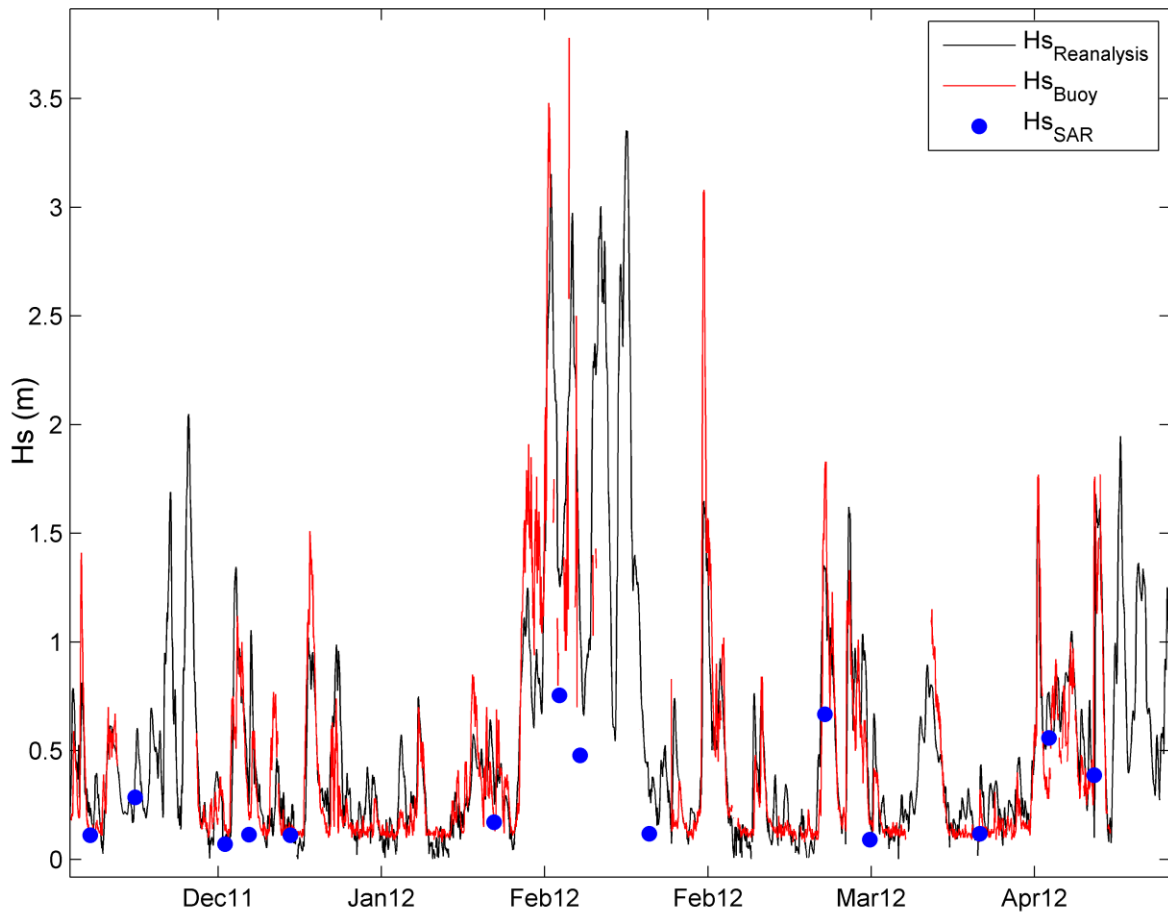
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Figure 6. Some cases of waves fields forced with Reanalysis winds (left) and SAR winds (right). Solid isolines are the bathymetric contour lines.



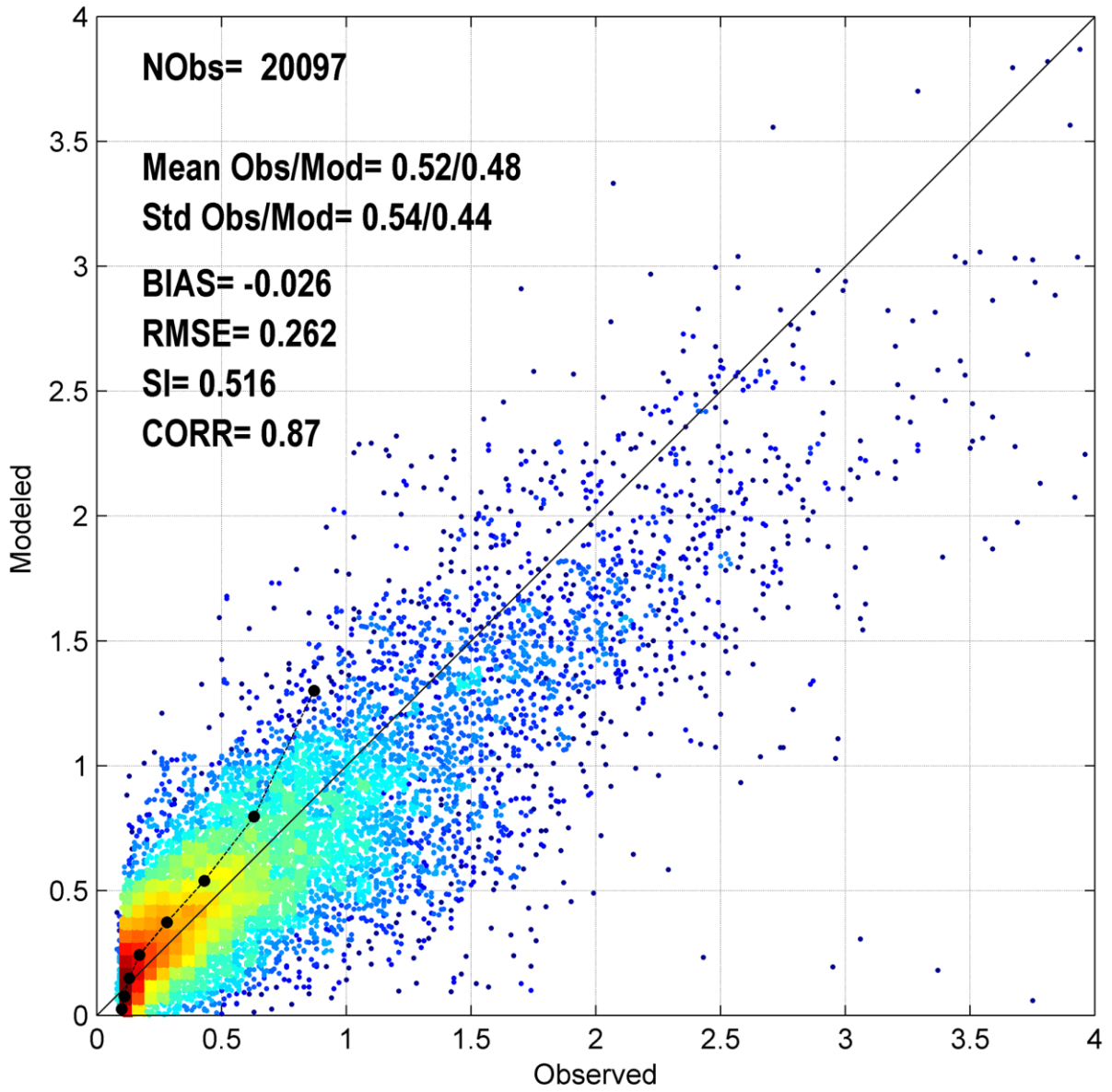
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Figure 7. Examples of wind fields with similar patterns between SAR and Reanalysis. SAR winds fields (right) are superimposed to correspondent σ_0 SAR intensity.



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Figure 8. Comparison between the downscaled wave height series and the buoy wave height.

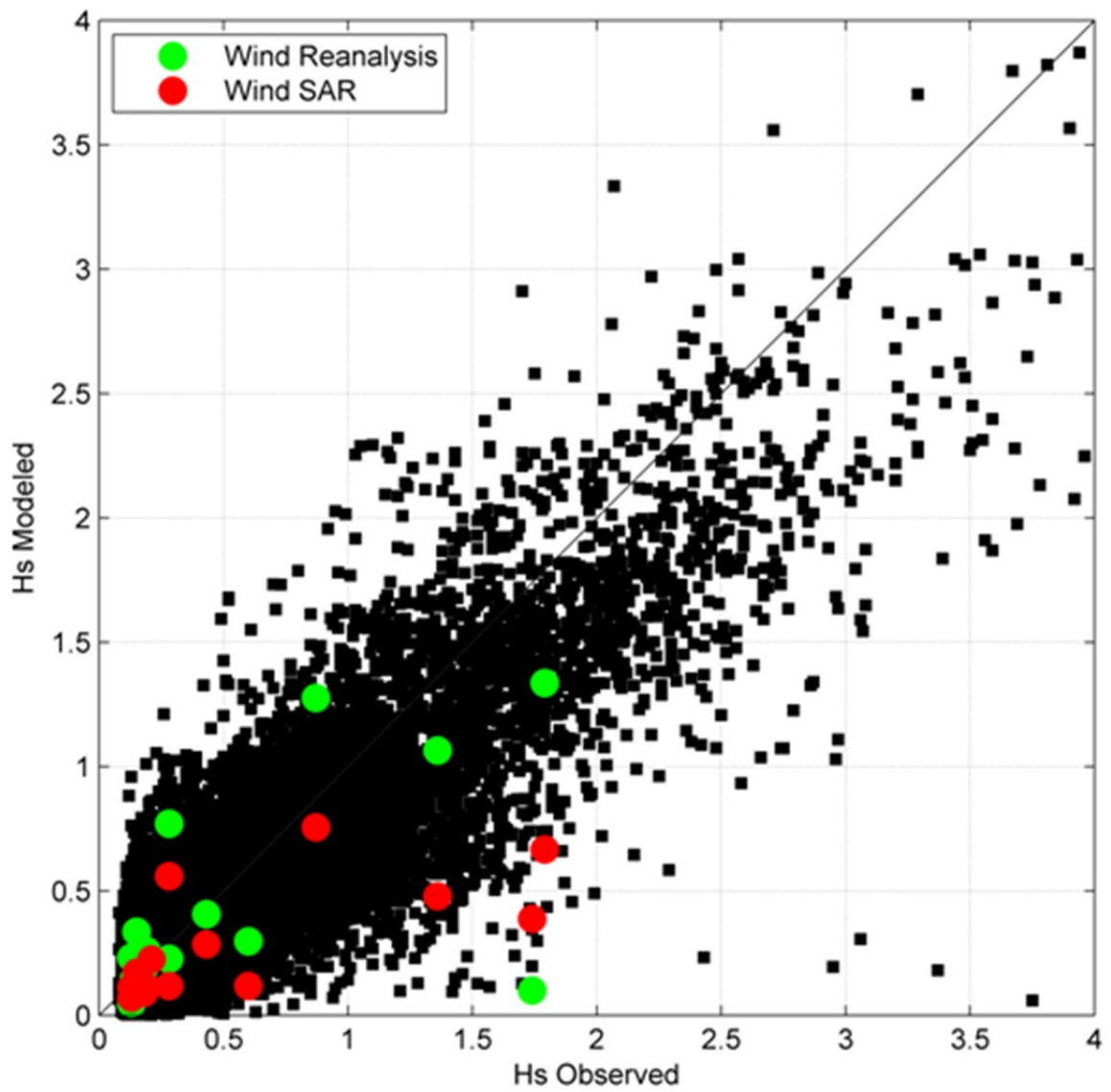


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3 Figure 9. Quantile-quantile plot of observed and downscaled wave height.

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3 Figure 10. Quantile-quantile plot of observed and downscaled wave height including the SAR

4 wind cases (red marks) compared to their respective modeled wind cases (green marks).