

### Referee #3

Dear Reviewer,

**We would like to thank you for your observations, which allow us to improve the quality of our manuscript. We have taken into account all your suggestions into the revised version of the manuscript. Here you can find the reply to your comments, point by point. We hope to have replied fully and clearly to your comments.**

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*The manuscript submitted by Sammartino et al. entitled : ‘Spatio-temporal variability of micro-, nano- and pico-phytoplankton in the Mediterranean Sea from satellite ocean colour data of SeaWiFS’ contributes to the field of remote sensing of phytoplankton functional types by testing existing algorithm in a regional environment, namely the Mediterranean Sea. Work by Uitz et al. 2012 and D’Ortenzio et al. 2009 have already given an insight on the phenology and primary production of the Mediterranean Sea. The present work add some details on phytoplankton size distribution, which is consistent with the previous work.*

*The manuscript needs some editing and the grammar is sometimes weak.*

**We tried to improve the writing and grammar.**

*The introduction needs to be rewritten, a compilation of previous work and state-of-the-art knowledge is discussed but with no real guiding thread, for instance, page 164, line 10 to 15, the effect of packaging effect is mentioned with no link to the previous paragraph, such that we wonder why is that statement coming there.*

**We deeply revised the introduction and added text and references to describe the state of the art knowledge.**

*The main flaw of the study lies in the model used. Brewin and Hirata developed global models and the authors apply them out of context. I would suggest using the large dataset the authors have to fit the parameters describing Brewin and Hirata’s model to their region of interest. It would be fairer to each model and provide more robust results.*

**We agree with the reviewer that we could re-tune the Hirata and Brewin algorithms to the specific case of the Mediterranean Sea, but this is not the goal of this paper. Our aim is to study the spatial and temporal variability of the PSCs in basin. The re-tuning of the PSCs model coefficients will be the goal of our future paper.**

**We decided, instead to re-tuning the Brewin and Hirata model coefficients, to revise the Uitz et al. (2006) diagnostic pigment (DP) equation by using a new Mediterranean DP equation recently proposed by (Di Cicco, 2014). When the new Mediterranean DP relationship is applied to the SeaBASS dataset the %bias between in situ and Brewin model decreases to value of 21%, -5%, -7% for micro, nano and pico fractions respectively, which are of the same order of magnitude of the errors found by Brewin et al. (2010) for the global ocean (ranging from 11% and 13%). This indicates that the definition of contribution of each diagnostic pigment to micro, nano and picoplankton is a key factor. These new results are presented and discussed in the revised version of the paper (see Section 3).**

*The figure 2 is very difficult to follow; I would remove it or try to clarify it.*

**We improved the figure and its caption, it is now corresponding to Figure 3.**

*The authors emphasize the good performance of the regional model they are using.*

*First, they should briefly explain the type of algorithm, is it a band ratio or an optimization algorithm? They state that the rms of the model is 0.25 mg. m<sup>-3</sup>. Later in the manuscript (page 176 and 177), the authors state that they observed a change before and after 2004 from 68% to 70% for the maximum picoplankton contribution. Given the rms, how can they detect a change of 2% in pico-plankton over time given the rms (the same holds for the chlorophyll content per size class). I would recommend the authors to add some uncertainties about the measurements and some statistics to test if the changes observed are real or just some random artefacts.*

We added in the text information about the processing of the SeaWiFS data and the MedOC4 algorithm used to retrieve chlorophyll *a* (see Section 2.1). Volpe et al. (2012a) describes in details all the processing system as well as the validation of the chlorophyll cas1/case2 products. We improved the text to clarify that RMS values, cited in section 2.1, has been obtained building the matchup between satellite chlorophyll estimates and in situ chlorophyll data (see Volpe et al., 2012a). So this RMS is not referred to model RMS. Section 3 provides some insight on the PSCs model accuracy (see also Table 2). The old Figure 7 was removed and substituted by Figure 8. However, the model uncertainty is provided in section 3. We agree with the review that the 2% interannual variability is very low. Since, in the Mediterranean different conditions occur at local scale, the basin scale average results in low interannual variability. Nevertheless, in section 5 we discussed the inter-annual variability of the TChl *a* and PSCs using the maps of Figure 6-7 and we added the information about their anomalies (Figure 8) at basin scale computed over the entire time series (1998-2010).

*After 2007, SeaWiFS started to collect data intermittently such that the data after that year have to be analysed and processes carefully. For instance, it would be interesting to have the number of observation used for each month (per pixels). It might appear that the monthly data after 2008 contains less observation, which could lead to some bias in the analysis.*

We flagged the months in which less than 90% of observations were available. Information on the number of observations used to build the PSCs time series is now provided in the Figure 9 and Figure 10 captions.

# Spatio-temporal variability of micro-, nano- and pico-phytoplankton in the Mediterranean Sea from satellite ocean colour data of SeaWiFS

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## Abstract

The seasonal and year-to-year variability of the [Phytoplankton Size Classes \(PSCs\)](#) spatial distribution has been examined in the Mediterranean Sea [by](#) using the entire time series of Sea-viewing Wide Field-of-view Sensor (SeaWiFS) space observations (1998 to 2010). PSCs daily maps have been determined using an empirical model based on a synoptic relationship between surface chlorophyll *a* and diagnostic pigments referred to different taxonomic groups. [The analysis of micro-, nano- and pico-phytoplankton satellite time series \(1998–2010\) describes, quantitatively, the algal assemblage structure over the basin and reveals that the main contribution to the chlorophyll \*a\* in most of the Mediterranean Sea comes from the pico-phytoplankton component, above all in poor nutrient environments.](#) Regions with different and peculiar features are the northwestern Mediterranean Sea, the Alborán Sea and several coastal areas, such as the north Adriatic Sea. In these areas, local interactions between physical and biological components modulate the competition between the three phytoplankton size classes. It results that, during the spring bloom season, micro-phytoplankton dominates in areas of intense vertical winter mixing and deep/intermediate water formation while, in coastal areas, micro-phytoplankton dominates in all seasons, [because of the nutrient](#) supply from the terrestrial inputs. In the Alborán Sea, [where](#) the Atlantic inflow modulates the nutrient availability, any predominance of one class over the other two has been observed. Nano-phytoplankton component instead remains widespread over the entire basin along the year, and its contribution to the TChl *a* is of the order of [30–40%.](#) [The largest inter-annual signal occurs in the northwestern Mediterranean Sea, driven by the year-to-year variation in intensity and extension of the spring bloom, followed by the Alborán Sea, in which the inter-annual variability is strongly modulated by the Atlantic inflow.](#)

In absence of sufficient in situ data of community composition, the satellite-based analysis demonstrated that pico, nano and micro classes often coexist. The predominance of one group over the other ones is

strongly dependent on the physical-biological processes occurring at mesoscale. These processes directly influence the nutrient and light availability, which are the principal forcing for the algae growth.

## **1 Introduction**

Phytoplankton represents an important element for the survival and comprehension of the marine ecosystem. Its scientific importance is owing to its ecological role in the global carbon cycle and greenhouse effect (Park et al., 2015). Phytoplankton plays a key role in the biological carbon pump not only for its consumption of inorganic carbon during photosynthesis but also for the transport of organic carbon from the surface to deep layers of ocean. Moreover, phytoplankton contributes to the primary production, due to its rapid turnover and to the great extension of the ocean on the earth's surface (Falkowski et al., 1998).

Phytoplankton biomass bio-geographic distribution, on global and regional scales, is directly influenced by biological, chemical and physical factors such as light, nutrients availability, presence of competitors, predators, as well as temperature and pH, which are all connected to the local dynamic of water masses. These biotic and abiotic factors create a complex system in which the phytoplankton, in being a primary producer, plays a relevant role (Reynolds, 1989) and represents the first step of the ecological pyramid as well as the food web (Klauschies et al., 2012).

The availability of light and nutrients strongly influences the phytoplankton biomass and community structure; when nutrients are reduced, the smaller component of algal biomass predominates on the bigger one, but when the system shift to inverse bio-geochemical condition, the community tends to change its structure, in being predominated by large cells. These types of changes could have a strong impact on the marine system and on the stoichiometry, carbon storage and biogeochemistry (Marinov et al., 2010). Any change of marine ecosystem state is also reflected in new morphological and physiological adjustments, just like the change of size for each specific trophic stadium (Thingstad and Rassoulzadegan, 1999).

Thanks to the relationship between dimensions and pigmentary content, different taxa or stages of growth in the same taxon, photosynthetic efficiency and bio-optical phytoplankton properties (Chisholm, 1992; Organelli et al., 2007; Raven, 1998), "cell size" becomes an important descriptor of the community structure. Indeed, phytoplankton cell size and pigment content are some of the physiological traits that influence the rate of acquiring and processing energy and materials from the environment (Brown et al., 2004). Size and biodiversity of phytoplankton community can modulate the amount of carbon fixed and exported into the deep sea with respect to the nutrient availability (Finkel et al., 2010).

A shift in the phytoplankton size structure from a dominance of picoplankton to predominance of larger nano- and micro-phytoplankton is associated with a shift in the pelagic food web (Finkel et al., 2010). The dimension of cells and consequently the structure of the algal community can influence the trophic organization of the marine ecosystem and the ability to produce more organic matter to be transferred across the successive trophic stages (Marañón et al., 2012).

69 Given the importance of cell size in understanding the relationship between phytoplankton assemblage and  
70 marine ecosystem dynamic, it is common to classify the algal community in micro-, nano- and pico-  
71 phytoplankton. One of the most common Phytoplankton Size Classes (PSCs) definition identify the size ranges  
72 of the phytoplankton cells as follow: micro  $> 20 \mu\text{m}$ , the nano from 2 to  $20 \mu\text{m}$  and pico  $< 2 \mu\text{m}$  (Sieburth et  
73 al., 1978). In oligotrophic waters the pico-phytoplankton provides a relevant contribution to the total content  
74 of chlorophyll *a* (Agawin et al., 2000), being the latter defined as the sum of chlorophyll *a*, its allomers and  
75 epimers, divinyl-chlorophyll *a*, chlorophyllid *a* (Hooker et al., 2005) and called TChl *a* or chlorophyll *a* in  
76 follow. On the contrary, in eutrophic water where cells have the opportunity to grow due to the availability  
77 of nutrients and light, the larger cells prevail (Irwin et al., 2006).

78 In terms of biogeochemical function and role, size structure of phytoplankton communities provides  
79 important information such as the knowledge of the community composition itself (Vidussi et al., 2001;  
80 Chisholm, 1992; Raven, 1998). Indeed, in some cases, several biogeochemical functions correspond to a  
81 particular taxon or size class; for instance, cyanobacteria often represents a large group of  
82 picophytoplanktonic nitrogen-fixers. They are able to fix and use the forms of atmospheric nitrogen, thereby  
83 having a direct impact on climate change. Yet, the principal components of the micro-phytoplankton, diatoms  
84 and dinoflagellates, play a dominant role in the Carbon flux into deeper waters (Nair et al., 2008;  
85 Sathyendranath, 2014). In these cases a PFTs (Phytoplankton Functional Types) classification is adopted, in  
86 which each type defines a group of different species with a common ecological function.

87 Information about the composition of phytoplankton community structure can be obtained from the analysis  
88 of in situ samples using different laboratory techniques such as: flow-cytometry, which provides information  
89 about the number and the dimensions of the fluorescent cells in a specific water sample volume; HPLC (High  
90 Pressure Liquid Chromatography), which is used to retrieve the composition and concentration of the  
91 pigments content of the cells; spectrophotometry, which provides the pigment light absorption in the visible  
92 spectrum, and filtration of water through filter-pads of a known size together with in vitro fluorometric  
93 chlorophyll *a* extraction. As a result, there exist considerable data on in situ dimensional classes measures  
94 that could be useful, also, for other applications like calibration and validation of satellite PSCs algorithms.

95 From space, the composition of the community is detected by exploiting the signature of the different species  
96 and classes on the optical properties in the water column. Light absorption of a cell is affected by its pigment  
97 “package effect” (Morel and Bricaud, 1981; Bricaud et al., 2004), which, in describing the chlorophyll *a*  
98 efficiency in the light harvesting, is a direct function of the pigment cellular concentration and therefore of  
99 the “cell size” (Chisholm, 1992; Raven, 1998; Basset et al., 2009).

100 Concentration of chlorophyll *a*, light absorption and backscattering signals, derived from remote-sensing  
101 reflectance, are the main ocean colour variables that provide synoptic and multi temporal information about  
102 phytoplankton distribution. Several satellite models have been developed in recent years to classify the algal  
103 cells on the basis of optical variable measured from space. These are usually divided into two main classes:

104 direct models, which [exploit](#) the optical properties directly captured by the sensor; indirect models, as those  
105 based on the strong relationship between the chlorophyll *a* concentration and the functional groups or taxa  
106 [and PSCs](#) (Moisan et al., 2012).

107 Another classification of these methods is based on the spectral-response and abundance-based approaches  
108 (Brewin et al. 2011a). The spectral-response models analyze the differences in the shape of the light  
109 reflectance/absorption spectrum to provide information about different phytoplankton classes; an example  
110 of this model is the Alvain's et al. (2005, 2008) one, in which different phytoplankton groups are identified  
111 from the normalized *water leaving radiance* data. These authors exploit the anomalies in the spectral  
112 signature of a specific taxon or a specific type of community after removing the chlorophyll *a* signal from the  
113 radiance measure. The abundance-based models, instead, exploit the information coming from the  
114 magnitude of chlorophyll *a* biomass or light absorption to separate one group from another (Devred et al.  
115 2006; Uitz et al. 2006; Hirata et al. 2008; Brewin et al. 2010; Brewin et al, 2011b; Hirata et al. 2011). Most of  
116 the satellite PSCs models are based on a [specific](#) variable: e.g. the absorption coefficient [at different](#)  
117 [wavelength of the cells](#) (Sathyendranath et al., 2001), or the backscattering coefficient (Kostadinov et al.,  
118 2009). Others are mixed [models](#), just as in the case of Fujiwara et al. (2011), in which the algorithm [partitions](#)  
119 [between](#) the pico + nano-phytoplankton community [and](#) the micro one, involving the absorption and  
120 backscattering coefficients.

121 Most of the models described above, were developed for the global ocean and applied to infer phytoplankton  
122 composition or classes from space allowing to study their seasonal and inter-annual variability at global scales  
123 (Brewin et al., 2010, 2011b; Hirata et al., 2008, 2011; Uitz et al., 2006; Mouw and Youder, 2010). In this paper,  
124 [instead](#), we used [a](#) chlorophyll *a* based model to estimate phytoplankton composition in the Mediterranean  
125 Sea with the aim of studying the spatial and temporal variability of phytoplankton assemblage dynamics. [The](#)  
126 [choice to test a chlorophyll \*a\*-based model rather than spectral-based ones](#) was inspired by the possibility to  
127 check the global model performances at regional scale by using available in situ observations. Indeed, in  
128 Mediterranean Sea, the number of in situ data related to Diagnostic Pigments (sum of seven marker pigments  
129 intended as size taxonomic pigments, DP, Vidussi et al., 2001) is much greater than the optical  
130 measurements, which are very limited and not always freely available.

131 Presently, chlorophyll *a* estimates from ocean colour data were widely used to study the Mediterranean  
132 phytoplankton biomass variability at basin and sub-basin scales (e.g. Antoine and Morel, 1996a, 1996b;  
133 Santoleri et al., 2003; Bosc et al., 2004; Volpe et al., 2012b). Only recently, Navarro et al. (2014), adapted the  
134 PHYSAT method of Alvain et al. (2005) to the Mediterranean Basin bio-optical characteristics, thereby  
135 providing a regional algorithm to estimate dominant phytoplankton groups (Nanoeukaryotes,  
136 *Prochlorococcus*, *Synechococcus*, diatoms and coccolithophores) from MODIS water leaving radiance  
137 measures.

Therefore, the objective of this work is dual: i) to understand how well a simple empirical model solely based on chlorophyll *a* data, as Brewin et al. (2011b) referred as BR henceforth, can describe the phytoplankton biomass distribution in the Mediterranean Sea; ii) to study the spatial–temporal variability of the three phytoplankton size classes (micro, nano and pico) in this basin, by applying the selected model to the ocean colour products. This paper will be the first attempt to describe the seasonal and inter-annual evolution of the phytoplankton size classes assemblage during the entire SeaWiFS era. In Section 2, we presented the satellite and in situ data we use. In the same section we briefly describe the selected PSCs model is. In Section 3 we describe BR model validation over the Mediterranean Sea, using HPLC observation. Finally, the variability and distribution of PSCs is analyzed at different scales of time and space (Sections 4, 5 and 6). Conclusions (Section 7) summarizes the results and presents the future perspectives.

148

## 149 1.1 The study area

The Mediterranean Sea (Fig. 1), although relatively small, is characterized by a circulation that can be compared to that of a large-scale ocean. It is among all, the most interesting of the semi-enclosed seas because of the great range of processes and interactions that occur within it (Robinson and Golnaraghi, 1994). Most of the physical and biological processes that characterize the global ocean, many of which are not well known or understood, occur analogously in the Mediterranean Sea (Siokou-Frangou et al., 2010; Lacombe et al., 1981; Robinson and Golnaraghi, 1994). These biological and physical processes interact with each other and influence, directly, the distribution of the phytoplankton and zooplankton community and the optical properties of the seawater. Unlike the other seas and oceans, the Mediterranean Sea has unique optical properties of the water column, when compared with other regions, with “oligotrophic waters less blue (30 %) and greener (15%) than the global ocean” (Volpe et al., 2007). Many hypotheses were developed in the past to understand and justify the reason why the Mediterranean Sea shows these properties. One of them is relative to the high yellow substance content, which can be responsible of an enhancement of absorbing material (Claustre and Maritorena, 2003; Morel and Gentili, 2009). Another hypothesis attributes this effect to the presence of coccoliths (D’Ortenzio et al. 2002, Gitelson et al., 1996), while a third hypothesis is related to the presence of submicron Saharan dust in suspension in the surface layer (Claustre et al., 2002). Finally, Volpe et al. (2007) suggest that the different phytoplankton community structure, typical of the basin, could alter the spectral signature and therefore be responsible of peculiar colour of the Mediterranean Sea. Nowadays, it does not exist a univocal response, which can optimally justify the Mediterranean “greener” than other oceans. Therefore, this peculiarity has made it necessary to develop regional bio-optical algorithms in order to estimate chlorophyll *a* concentration from in situ optical measurements and satellite data (D’Ortenzio et al., 2002, Volpe et al., 2007; Santoleri et al. 2008). Finally, the optical properties of the Mediterranean Sea suggest verifying whether a PSCs model designed for global ocean applications can perform similarly in the Mediterranean Sea.



173

## 174 **2 Data & Methods**

175

### 176 **2.1 Satellite data and processing**

177 The satellite data used in this work comes from Sea-viewing Wide Field-of-view Sensor (SeaWiFS). They are  
178 daily chlorophyll *a* Level 3 (L3) data (resolution 1.1 km), from 1998 to 2010, produced by the Satellite  
179 Oceanography Group (GOS) of the Institute of Atmospheric Sciences and Climate (ISAC) of the Italian National  
180 Research Council (CNR), and made available to scientific community. We used the so called Mediterranean  
181 Case1Case2 merged chlorophyll *a* product (GOS Chl\_1-2). These daily chlorophyll *a* fields are derived from L1  
182 SeaWiFS passes applying two different bio-optical regional algorithms for open and coastal waters (see Volpe  
183 et al., 2012a, for the details of processing). The MedOC4 algorithm is used to retrieve chlorophyll *a* in the  
184 Case 1 waters (Volpe et al., 2007) and while the Ad4, is applied for the Case 2 waters (Berthon and Zibordi,  
185 2004). The identification of the optical properties of each pixel is based on the D'Alimonte's method  
186 (D'Alimonte et al., 2003), which takes into account the entire spectrum from the blue band to NIR, for both  
187 Case1 and Case2 waters types. For intermediate waters, a weighted average of the two algorithms based on  
188 the distance between the actual reflectance spectra and the reference one for the Case 1 and 2 waters is  
189 applied.

190 The choice of applying an algorithm born specifically for the Mediterranean Case 1 waters, as MedOC4,  
191 provides a more realistic value of TChl *a*, as demonstrated by Volpe et al. (2007), who showed that NASA  
192 SeaWiFS chlorophyll *a* fields are affected by an uncertainty of the order of 100% (Volpe et al., 2007) and  
193 confirmed by several authors. The MedOC4 algorithm was developed from a readjustment of the NASA  
194 algorithm OC4 (O'Reilly et al., 1998), in which the coefficients were obtained from a fourth power polynomial  
195 regression fit between log-transformed in situ Mediterranean chlorophyll *a* concentration and maximum  
196 band ratios at a specific wavelength obtained by in situ optical profiles (Volpe et al., 2007). Similarly, the Ad4  
197 has been tuned by using the bio-optical dataset acquired by JRC in the Venice Tower located in the North  
198 Adriatic Sea.

199 Besides the use of a regional algorithm, all the data distributed by GOS and those distributed by MyOcean  
200 OCTAC to the end-users are quality checked. The daily TChl *a* fields, used as input in this work, were subjected  
201 to quality assessment through classical matchup analysis (called offline validation in Volpe et al., 2012a).  
202 Volpe et al. (2012a) demonstrates that the SeaWiFS Mediterranean regional products match up well to the  
203 corresponding in situ data showing the following statistical results: the correlation coefficient ( $r^2$ ) 0.815,  
204 Root Mean Square Error (RMS) 0.253  $\text{mgm}^{-3}$ , bias -0.019  $\text{mgm}^{-3}$ , relative (RPD) 15% and absolute (ADP) 51%  
205 percentage differences (see Table 4 in Volpe et al., 2012a). Given the log-normal chlorophyll *a* distribution,  
206  $r^2$ , RMS and bias are calculated over log-transformed quantities, while RPD and APD over untransformed  
207 pairs of values.



208 Here, daily chlorophyll  $a$  maps, at 4 km of resolution, were used to compute monthly maps covering the  
209 SeaWiFS era (1998–2010), then the monthly means were averaged to compute monthly climatology.  
210 Moreover, TChl  $a$  fields at monthly and climatological scales were then used to support the analysis of  
211 phytoplankton biomass variability. In these maps, the chlorophyll  $a$  concentration is expressed as base log-  
212 10 transformed considering the log-normal distribution of this pigment.  
213 The BR method was then applied to compute the PSCs daily fields over the Mediterranean Sea for entire  
214 SeaWiFS time series. This model expresses the TChl  $a$  concentration as the sum of pico, nano and micro-  
215 phytoplankton chlorophyll  $a$  fraction, and each class is computed by using a simple function of the  
216 chlorophyll  $a$ . For more details about the algorithm, see Brewin et al. (2011b). The daily PSCs fields are then  
217 used to produce monthly climatological fields.

218

## 219 **2.2 In situ data and processing**

220 The in situ dataset used in this paper is the SeaBASS HPLC-based diagnostic pigments dataset (Werdell and  
221 Bailey, 2005). All the data acquired in the Mediterranean Sea were extracted from this global dataset and  
222 used for model validation purpose. The Mediterranean SeaBASS dataset (referred as “MED in situ”,  
223 hereafter) consists of 1454 samples acquired in the basin since 1999 and represents the 15% of the global  
224 SeaBASS data. The MED in situ data were acquired during two trans-Mediterranean cruises (Prosop99, and  
225 Boum08) covering the basin from Gibraltar to eastern Mediterranean, and near the Boussole mooring where  
226 periodic measurements are carried out from 2001 to 2006. The details of the in situ observation in terms of  
227 location, period of sampling, TChl  $a$  value ranges and sampling depth are reported in Table 1. Even if most of  
228 the data were acquired at the Bussole sampling site, the measurements still covers the entire range values  
229 of the Mediterranean chlorophyll  $a$  variability, with values ranging from less than 0.05 to more than 5  $\text{mgm}^{-3}$ .  
230

231 The Med in situ pigment dataset was quality checked and filtered by applying the same procedure used by  
232 Brewin et al. (2011b). Following Aiken et al. (2009), outliers were determined from the regression of  
233 accessory pigments against TChl  $a$  excluding values behind the 95% of confidence interval of the regression.  
234 This reduces the number of samples from 1454 to 1085.

235 This dataset was then used to compute the in situ quantification of PSCs following the methods described in  
236 the Brewin et al. (2011b), based on the previous works of Vidussi et al. (2001) and Uitz et al. (2006).

237 We point out that NOMAD dataset used by Brewin et al. (2011b) to develop their PSCs model, after filtering,  
238 does not include any Mediterranean data point; therefore, our Mediterranean dataset can be considered  
239 fully independent.

240

### 3 Brewin model performances over the Mediterranean Sea

The MED in situ is used to evaluate, for the first time, the BR model accuracy over the Mediterranean Sea (Table 2 and Fig. 2). Figure 2 (left panels, a-c) shows the micro, nano and pico-phytoplankton fractions, obtained by applying the Uitz et al. (2006) DP coefficients, as a function of the TChl  $a$ . A rather large scatter of the data around the model curves suggests that, in the real world, the relative abundance of micro, nano and pico-phytoplankton cannot be a simple function of the chlorophyll  $a$  concentration alone. In particular, the BR model strongly underestimates nano plankton fraction measured in the Mediterranean basin in the entire range of TChl  $a$  values, while overestimates the pico fraction for TChl  $a$  concentrations less than 0.8 mg/m<sup>3</sup>; only for micro plankton the curve falls in middle of the observed cloud of data points. These results are quantitatively confirmed by the statistical analysis, which shows a log10 bias error of -4%, -26% and 67% for micro, pico and nano fractions respectively.

The poor performance of the model can be due to the particular optical properties of Mediterranean waters, which makes this basin unique with respect to the other oceans (see section 1). For this reason, before to start performing any new adjustment of the BR coefficients, we first investigate whether if a different relation between DP and chlorophyll  $a$  in the Mediterranean basin can be responsible for the observed biases. This allows us also to verify the Volpe et al. (2007) hypothesis, which considers the different assemblage of the phytoplankton community structure as one of the possible causes responsible of the greener colour of the Mediterranean Sea. Recently, Di Cicco (2014) provided a regional DP and chlorophyll  $a$  relationship, which is entirely based on Mediterranean data. She, by applying the Gieskes et al. (1988) approach to the MED in situ data, performed a new multiple regression analysis to evaluate whether a different pigment ratios of the phytoplankton community can occur in the basin and showed that the use of Uitz DP-TChl  $a$  relationship results in an underestimation of the Mediterranean TChl  $a$  estimate overall its range values; namely the Utiz line fit has a slope coefficient less than 1. The new MED DP-TChl  $a$  relationship found by Di Cicco (2014) is:

$$TChla = 1.999[Zea] + 1.624[TChlb] + 2.088[Allo] + 0.861[19' Hex-fuco] + 0.405[19' But-Fuco] + 1.74[Fuco] + 1.172[Peri] \quad \text{eq. (1)}$$

in which each PSCs fraction is computed as follows:

$$f_{pico} = (1.999[Zea] + 1.624[TChlb])/TChla \quad \text{eq. (2)}$$

$$f_{nano} = (2.088[Allo] + 0.861[19' Hex-fuco] + 0.405[19' But-fuco])/TChla \quad \text{eq. (3)}$$

$$f_{micro} = (1.74[Fuco] + 1.172[Peri])/TChla \quad \text{eq. (4)}$$

For more details about the new coefficient retrieval, see Di Cicco (2014). Consequently, we applied the new Di Cicco (2014) coefficients to obtain the new in situ PSCs classification to be compared with the BR model. Effectively, the improved performances of the model with respect to the in situ PSCs fractions (shown in Fig. 2, d-f) highlights how important the relation between the diagnostic pigments and TChl  $a$  content is. Figure 2 summarizes the comparison between the BR satellite model and the in situ PSCs fractions as obtained by using, respectively, the Uitz et al. (2006) DP coefficients (left panel of Fig. 2, a-c) and Di Cicco (2014) ones (right panel of Fig. 2, d-f), while the statistical results are shown in Table 2. Figure 2 shows that the in situ Uitz PSCs classification is not suitable for the Mediterranean Sea and a regional classification is therefore necessary. This is evident, in particular, for the nano-phytoplankton case (Fig. 2 e), in which the use of MED DP relationship shifts down the cloud points and results in a better performance of BR model with the log10 %mean bias error falling from 67% to only 8%. By observing the pico scatter plot ( Fig. 2f), the dots are now distributed around the model curve for the all range of chlorophyll  $a$  values, and the % log10 bias decreases from -26% to 15%. Micro component represents a similar behavior, both applying the global coefficients and the Mediterranean ones, as confirmed by the statistical results. The statistics in Table 2, computed both in linear scale and in log-transformed scale using the reference equation of Table 3, confirms that the use of Di Cicco DP relationship is a key factor to improve the in situ PSCs classification. When equation (1) is used, the errors we found applying the BR model result in a MBE% range from -5% to 21% which is of same order that is found by Brewin et al. (2010) by using an independent dataset (from 11% to 13.3%). Consequently, we conclude that an adaptation of the BR model coefficients for the Mediterranean case is not a priority considering the limited margin for improvement left after the tuning of the Uitz DP-TChl  $a$  coefficients.

297

#### 298 **4 Seasonal variability of spatial distribution of the PSCs in the Mediterranean Sea**

The seasonal evolution of the chlorophyll  $a$  distribution in the Mediterranean Sea is driven by the life cycle of the phytoplanktonic organisms that follows the typical succession of temperate areas, with a high biomass increase in late winter/early spring and a decrease in summertime, and a second smaller bloom in autumn. PSCs variability follows this oscillation mostly driven by the evolution of the chlorophyll  $a$  concentration and its West-East gradient (see Fig 1S (a-c) in additional material). This spatial gradient is one of the dominant features of the chlorophyll  $a$  distribution in the Mediterranean Sea and reinforces the paradigm of an extremely oligotrophic Eastern basin and a more productive Western side (D'Ortenzio et al., 2009). We investigated the seasonal variability of this spatial gradient by computing the variation of monthly chlorophyll  $a$  climatology moving from West to East along the basin (Fig. 3). In this figure, each colored line represents a climatological month and the chlorophyll  $a$  value at a given longitude is obtained by averaging all the sea pixels from north to south, excluding those closer than 20 km from the coast to restrict the calculus to open ocean waters.

311 A decreasing trend of this surface chlorophyll *a* mean concentration, moving from West to East, is observed  
312 in all the months of the year (Fig. 3). The curves highlight the occurrence of an enhanced seasonal cycle in  
313 the western Mediterranean with respect to the eastern Mediterranean Sea, generally characterized by  
314 oligotrophic conditions in all the months of the year. Oligotrophic conditions dominate in the western  
315 Mediterranean Sea during summer, while during spring, the occurrence of the blooms is marked by two  
316 distinct peaks at 4° and 9° E associated to the Gulf of Lions and Ligurian Sea respectively. The peak at 13° E,  
317 instead, is the signature of the rich chlorophyll *a* area of the North Adriatic Sea.

318 The observed West to East decreasing trend is consistent with a similar trend observed in the nutrient  
319 concentrations by Siokou-Frangou et al. (2010) and by Santinelli et al. (2012). These concentrations are  
320 generally very low, according with the general oligotrophy of the basin, mainly linked to the lack of  
321 phosphorous, which represents a limiting factor for phytoplankton community's growth (Zohary and  
322 Robarts, 1998; Ribera D'Alcalà et al., 2003; Krom et al., 2004).

323 Figure 3 clearly reveals that April is the month in which the maximum excursion of chlorophyll *a* across the  
324 basin occurs while August shows a minimum of the longitudinal gradient. In these two months we observed  
325 the two extremes of the annual chlorophyll *a* variability all the Mediterranean sub-basin, except for the  
326 Adriatic Sea. Therefore, in the next sub-sessions we focus on these contrasting months for analyzing the  
327 variation of the spatial distribution of micro-, nano, and pico-phytoplankton in the Mediterranean Sea  
328 resulting from the application of the BR model. However, the maps of entire climatological time series can  
329 be found as supplementary materials.

330

#### 331 **4.1 Micro-phytoplankton**

332 The seasonal spring to summer excursion of micro-phytoplankton, in the first optical depth, is shown in Fig.  
333 4. In August, excluding the coastal areas, the micro-phytoplankton is uniformly distributed over the entire  
334 Mediterranean and its contribution to the total chlorophyll *a* is low, with values of about 12% in the Ionian-  
335 Levantine Basin and 13% in the Western basin, with relative peaks of 15–25% in the Alborán Sea. These low  
336 values are associated to low chlorophyll *a* concentrations. Indeed, in summertime, the water becomes  
337 warmer and the stratification of the column is more marked, thereby producing a resistant thermocline that  
338 limits the transfer of nutrients to surface and consequently determines a reduced photosynthetic activity  
339 (Siokou-Frangou et al., 2010). This pattern persists also in June and July (see additional material). In August,  
340 high values of micro-phytoplankton contribution are observed in some coastal regions characterized by a  
341 high nutrients supply due to upwelling phenomena or river runoff: the Alborán Sea, the north Adriatic Sea,  
342 the Gulf of Lions and the gulf of Gabes with values ranging between 35–75 %. In the Alborán Sea, the higher  
343 micro-phytoplankton contribution is highlighted by water upwelled along the Spanish coasts and entrained  
344 in the west Alborán gyre (Sarhan et al., 2000).

345 In April, [instead](#), the fraction of micro-phytoplankton significantly grows in the northwestern Mediterranean  
 346 Sea [reaching values from 30% to 57%](#). This area, included by D'Ortenzio et al. (2009) in the bloom cluster, is  
 347 characterized by a local dynamic in which cold winter winds can induce deep mixing extending down to  
 348 several hundred up to thousand meters, [a value that is large when](#) compared to the seasonal winter overturn.  
 349 This deep overturning process also brings up an additional supply of nutrients complementary to that  
 350 furnished by seasonal convection, thus modulating the spring bloom. The bloom observed in April ([Fig. 4](#)) is  
 351 the result of winter upwelled nutrients and phytoplankton trapped in the euphotic zone by the spring re-  
 352 stratification process and [by](#) the increased insolation. After this high productivity's period, micro-  
 353 phytoplankton contribution to the TChl *a* decreases in the whole basin, reaching its minimum in [August-](#)  
 354 [September](#).  
 355 In April, high micro-phytoplankton values are still present in the same coastal areas [where micro](#)  
 356 [predominates in](#) August, with the addition of the north Aegean Sea, where the signature of the Black Sea  
 357 outflow is now evident [in the chlorophyll map \(Fig. 4\)](#). Differently from August, the Spanish coastal water  
 358 reaches also the eastern Alborán Gyre, [resulting in a widespread region characterized by micro component](#).  
 359 In the Ionian-Levantine Basin, the contribution of the micro-phytoplankton remains low with values about of  
 360 [12–13%](#) and with higher values ranging from [15 to 21%](#) in the western side of the Ionian Sea and in the [area](#)  
 361 [west of Rhodes Island where the presence of the Rhodes Gyre facilitates the uplift of nutrients from the](#)  
 362 [deeper layer](#).  
 363 [Yet, in the Western basin, an increase of micro fraction occurs during the entire autumn/winter seasons](#) (not  
 364 shown) [due to water column becoming mixed after the breakdown of the thermocline](#) (Bosc et al., 2004).  
 365 Unlike the [spring bloom](#), [now the](#) values of chlorophyll *a* [are lower](#), in agreement with previous observations  
 366 (Siokou-Frangou et al., 2010). This phenomenon leads to a minor percentage of micro-phytoplankton [close](#)  
 367 [to 20%](#) of the TChl *a*, with some peaks in the Algerian Current [that flows along](#) the southern [boundary](#) of the  
 368 [western Mediterranean \(25–40%\)](#). The Eastern basin still shows low fractions of micro component during the  
 369 [autumn/winter months \(see November to February maps in supplement material\)](#).  
 370

## 371 **4.2 Nano-phytoplankton**

372 The amplitude of the seasonal cycle of the nano-phytoplankton component is less pronounced than the micro  
 373 ([Fig. 4](#)). In summer, the contribution of the nano-phytoplankton to the total chlorophyll *a* is between [18](#) and  
 374 [24%](#). In coastal areas, such as the North Adriatic Sea, its contribution to total chlorophyll *a* reaches [25–38 %](#),  
 375 with a decrease for pixels more close to the coast where micro-phytoplankton still dominates ([Fig. 5a](#)).  
 376 In April, the contribution of the nano-phytoplankton remains between [20](#) and [25%](#) in most of the Ionian-  
 377 Levantine Basin, with the exception of the Rhodes gyre, where it reaches values of about [29%](#) and the  
 378 western Ionian Sea, where values up to [30–36%](#) are observed approaching the coasts of Italy ([Fig. 4](#)). In the  
 379 western Mediterranean Sea, the values of nano contribution to total chlorophyll *a*, vary from [25](#) to [38%](#) ([Fig.](#)

380 4). Yet, in the North Adriatic Sea, the nano fraction, in April, is always between 20 and 36 %, but with a more  
381 evident decrease, with respect to August, for those pixels that are closer to the coast, where the micro-  
382 phytoplankton remain predominant (Fig. 5b). The variability of nano component in the remain months of the  
383 year (autumn/winter) is not so high and it still shows higher values in the Western basin (28-30%) than in the  
384 Eastern (20-25%), reaching peak values of 38% in gyres of the Alborán Sea and along the Algerian current.  
385 In these months as in the spring, the division, in terms of oligotrophy, of the Eastern basin with respect to  
386 the Western is more evident, otherwise, the months from July to September reveal, in the open ocean, an  
387 invariable pattern of nano component.

388

### 389 4.3 Pico-phytoplankton

390 Due to the high surface/volume ratio, pico-phytoplankton seems to be more suitable to poor nutrient  
391 environments often characterized by high salinity, such as those that occur in the Levantine Basin (Le Quéré  
392 et al., 2005). As suggested by Uitz et al. (2012), its capacity to survive in this type of environments justifies its  
393 great abundance in the Eastern basin, thus becoming the principal producer in ultra-oligotrophic waters.  
394 Indeed, Figure 4 shows that in August, the pico-phytoplankton contributes to 60–70% of the TChl *a*, in the  
395 offshore waters while lower values are observed in coastal waters: about 15–30% in the western Alborán  
396 Gyre, 11–24% in the North Adriatic Sea and 12–34% in the Gulf of Lions. In April, in the Ionian-Levantine  
397 Basin, the pico fractions values remain high, but lower than those observed in August (64–65 %), while in the  
398 northwestern Mediterranean Sea a large area of low pico TChl *a* concentration occurs with values ranging  
399 between 13 and 24%. Similarly, low values are observed in coastal regions, e.g. in the north Aegean Sea,  
400 where the outflow of the Black Sea influences the distribution of pico class, with values ranging from 40–45%  
401 in August (see also Fig. 5c). In April, the outflow of the Black Sea waters is marked by a minimum, which  
402 ranges between 13 and 20 %, which now affects all the northern part of the Aegean Sea (Fig. 5d).

403 The analysis of the January to April maps (supplementary material) shows that pico component reveals a  
404 contrasting variability moving from West to Eastward, with high percentages in the latter and lower in the  
405 former. With the arrival of the summer season, the pico-phytoplankton seems to cover homogeneously all the  
406 basin with values of 70% and minima in correspondence of coastal areas. Later, the pico-phytoplankton  
407 decreases in the most dynamic areas, such as along the Tunisian coast, in conjunction a micro and nano  
408 fraction increase (see e.g. December maps of supplement). This is caused by the intrusion of new nutrients  
409 from the deeper layer, due to the break of thermocline.

410

## 411 5 Inter-annual variability of chlorophyll *a* and PSCs in the Mediterranean Basin

412 The inter-annual variability of the surface chlorophyll *a* and PSCs distribution in the Mediterranean Sea is  
413 shown in Figs. 6 and 7 for the two opposite months of April and August, respectively. In addition, the  
414 chlorophyll *a*, micro, nano and pico fractions anomalies (respect to SeaWiFS climatology) have been

415 computed and then averaged at basin scale in order to identify potential inter-annual signals and changes  
416 occurred during the SeaWiFS era. Figure 8 shows that, at basin scale, the inter-annual signal is very small (the  
417 anomalies ranged from -0.04 to 0.06  $\mu\text{g L}^{-1}$ ) with positive anomalies peaks observed in winter 1999 and spring  
418 2005 and 2006 as well as in March 2009, indicating that the inter-annual signal is essentially driven by the  
419 intensity of the spring bloom. From the analysis of the anomalies it emerges also that pico oscillates between  
420 reduced ranges of positive (maximum nearly to 0.02  $\mu\text{g L}^{-1}$ ) and negative anomalies (-0.01  $\mu\text{g L}^{-1}$ ), followed by  
421 the nano component (maximum nearly to 0.03  $\mu\text{g L}^{-1}$  - minimum -0.02  $\mu\text{g L}^{-1}$ ), while micro falls in higher  
422 anomaly ranges (maximum 0.04  $\mu\text{g L}^{-1}$  - minimum -0.03  $\mu\text{g L}^{-1}$ ).

423 The analysis of the April and August maps reveals that year-to-year variations are very small in August. In  
424 April, significant variations are observed: the pico component dominates the TChl *a* concentration with  
425 percentages of about 60-70%, over the entire basin, except those areas of the Western basin, characterized  
426 by high and complex dynamic of the water masses. In these regions an enhanced inter-annual signal is  
427 observed. In the Eastern basin these high values of pico remain constant in all years, while, in the Western  
428 basin, the areas most affected by a strong decrease of pico TChl *a* contribution are located in correspondence  
429 to the Gulf of Lions and in the Alborán Sea. The April time series maps (Fig. 6) reveal that the 1999, 2005 and  
430 2006 are the years of highest chlorophyll *a* concentrations in the Gulf of Lions and in the coastal zones of the  
431 basin. Here the contribution to TChl *a* of pico clearly decreases reaching values less than 10%, while, at the  
432 same time, the micro component increases up to 60-70%, thus becoming predominant with respect to the  
433 pico and also to the nano-phytoplankton fraction, which remains around to the 30-38%. This behavior results  
434 into a positive peak of micro in the 2005 and 2006 anomalies time series (Fig 8). The April maps reveal that  
435 nano component is not subjected to a significant year-to-year variation; however, a west to east gradient is  
436 visible in all years with maximum values located offshore the Gulf of Lions, where the inter-annual variability  
437 is more evident. The West to East Mediterranean oligotrophic gradient is reflected in the April micro maps  
438 (Fig. 6), where the contribution to TChl *a* of the largest cells is very low, 15-19% along the entire time series,  
439 highlighting the influence that poor nutrient environments, as those in the Eastern basin, have on the micro-  
440 phytoplankton.

441 In August, the scenario is clearly different (Fig. 7). The chlorophyll *a* concentration is very low in most of the  
442 offshore areas, although a slightly increases of TChl *a* can be observed from 2005 to 2007 in the Western  
443 basin. The most evident signal of inter-annual variation is visible along the coastal zones of North Adriatic  
444 Sea. The low inter-annual variability observed in August affects also the pico and nano components, but,  
445 differently from micro, their contribution to TChl *a* is higher, respectively 65-70% for pico and 19-20% for  
446 nano. The analysis suggests that the seasonal and inter-annual signal, observed in the TChl *a* and pico-, nano-  
447 , and micro-phytoplankton time series, is driven by local processes occurring in the Mediterranean Sea, only  
448 partially revealed by present basin scale analysis.

449



## 6 Seasonal and year-to-year variability of chlorophyll *a* and PSCs at local scale

Local processes play an important role in the ecosystem of the Mediterranean Sea interacting with the physical system that contributes to drive its evolution but *that*, in turn, is affected by it (bio-feedbacks). To investigate the year-to-year variability of processes that occur at local scale in the Mediterranean Sea, we selected four key sub-regions: the Northwestern Mediterranean Sea (NWMed), the Levantine Basin (LEV), the Alborán Sea (ALB) and the North Adriatic Sea (NADR) (see colored boxes in Fig. 1). In these regions relevant processes, such as surface currents advection, upwelling phenomena, water stratification or nutrients and river inputs occur, modulating local ecosystem variability. Results of this analysis were synthesized in Figure 9.

In NWMed Sea (Fig. 9a) the seasonal cycle of chlorophyll *a* concentration shows an increase of TChl *a* values from the initial part of the year, January–February, with maximum values in April and in March ranging from 0.4 to 1.2  $\mu\text{gL}^{-1}$ . In summer, the chlorophyll *a* decreases up to 0.06  $\mu\text{gL}^{-1}$ , and then, in autumn, it rises again. The analysis of the year-to-year variability reveals an absolute spring maximum in April 2005 (Fig. 9a), with a concentration of about 1.2  $\mu\text{gL}^{-1}$ , followed by a decreasing trend from 2006 to 2007 and a new rising in 2008 (0.9  $\mu\text{gL}^{-1}$ ). From this year onwards, the lack of some months is due to the fewer number of observations recorded by SeaWiFS from 2007–2010. The accuracy of the TChl *a* variability in the NWMed sector is taken into account computing and evaluating the anomalies over the time series from 1998 to 2010 (Fig. 10a). From Figure 10a results that negative anomalies are more frequent and stronger than the positive ones, in the first part of the time series. This type of oscillations still persists up 2005, when the highest positive spring anomaly occurs (0.6  $\mu\text{gL}^{-1}$ ), followed by the April 2006 and 2008 positive anomalies.

The mean annual value of chlorophyll *a* concentration for NWMed and ALB (Fig. 9a, c) are quite similar, but in the latter (Fig. 9c), the seasonal cycle is less “clean” and the year-to-year variability is marked by minimal values of the spring maxima from 2001 to 2004 ranging from 0.5 to 0.7  $\mu\text{gL}^{-1}$  and relative maxima in 2000, 2005, 2006 and 2007 ranging from 1.0 to 1.1  $\mu\text{gL}^{-1}$ . Intermediate values of the spring maximum are observed in the remaining years of the series. The “chaotic” pattern of the TChl *a* in the Alborán Sea is also reflected in the corresponding anomaly (Fig. 10c). In this case, the positive and negative anomalies vary among -0.4 and +0.5  $\mu\text{gL}^{-1}$ . The time series anomaly reveals that the main positive peaks occur in April 2000, March 2006 and February 2007; the same peaks highlighted in the inter-annual analysis (fig. 9c). Negative anomalies prevail in 2002 and 2003.

Differently from the ALB Sea, in the LEV basin (Fig. 9b) the seasonal cycle of the chlorophyll *a* concentration is more regular. It rapidly increases from early winter months, reaching local maxima values in January–February. In summer, the chlorophyll *a* reaches minimum values and then it increases again in autumn. In Fig. 9b peak values appear in January 1999 and February 2004 reaching chlorophyll *a* concentrations of about 0.08–0.10  $\mu\text{gL}^{-1}$ . Among all basins, the anomaly time series of Levantine Basin (Fig. 10b) is characterized by the smallest oscillations, ranging from -0.01 to slightly more than 0.02  $\mu\text{gL}^{-1}$ . Despite these low values,

485 positive peaks occur in 2004 and 2006, while from 1998 to 2003, the times series is dominated by negative  
486 values.

487 Differently from the LEV, where chlorophyll *a* concentrations are almost one order of magnitude lower than  
488 in the other sub-basins, the NADR (Fig. 9d) exhibits the highest values of chlorophyll *a* concentration. In  
489 NADR, summer minima never reach values as low as those observed in other three sub-regions contributing  
490 to mask the seasonal signal. The NADR inter-annual variability of the chlorophyll *a* concentration is expressed  
491 by an irregular trend from 1998 to 2002, a local minimum during 2003 and then a more weakened variability  
492 from the end of 2005 to 2009. In this case, main peaks occur in 2000, 2004 and 2010 while, 2003, represent  
493 the year of the lowest oscillation. North Adriatic Sea anomalies (Fig. 10d) are the most intense among the  
494 four sectors reaching positive values as high as  $2.0 \mu\text{gL}^{-1}$  in 2000 and negative values as low as  $-0.85 \mu\text{gL}^{-1}$  in  
495 2003.

496 In the NWMed basin (Fig. 9a), the contribution of pico-phytoplankton to the seasonal cycle seems to be  
497 constant from year-to-year, with values not higher than  $0.14 \mu\text{gL}^{-1}$  and a mean concentration of  $0.08 \mu\text{gL}^{-1}$ .  
498 Although the differences between minima and maxima of pico in each year are low, it, however, follows a  
499 seasonal variability, with higher values in late winter–early spring, and lower values in summer.

500 Nano-phytoplankton shows the same seasonal cycle of pico (Fig. 9a). Both maxima and minima occur in the  
501 same months of the smallest cells, but, in this case, the excursion among them, are higher with respect to  
502 those of pico. The peaks occur during the early spring season, reaching an absolute maximum of  $0.38 \mu\text{gL}^{-1}$   
503 in April 2005 with an annual mean of  $0.08 \mu\text{gL}^{-1}$ . In NWMed Sea, the largest seasonal variability is due to  
504 micro-phytoplankton. Maximum values occur during the spring blooms season with the highest peak of about  
505  $0.7 \mu\text{gL}^{-1}$  in April 2005. During summer, micro-phytoplankton reaches very low concentrations, below  $0.02$   
506  $\mu\text{gL}^{-1}$ .

507 In contrast with the NWMed sector, pico-phytoplankton predominates in the LEV (Fig. 9b) all year around  
508 with a mean concentration of  $0.03 \mu\text{gL}^{-1}$  (Fig. 9b) with a seasonal cycle nearly constant from year-to-year.

509 The nano component shows a higher variability and large seasonal differences between minima and maxima.  
510 The peak values, usually, occur in January–February while low concentrations are reached in summer with a  
511 mean year concentration slightly higher than  $0.01 \mu\text{gL}^{-1}$ .

512 Furthermore, the strong and well-known oligotrophy of this basin is reflected in the fraction of micro-  
513 phytoplankton, the lowest among the three PSCs, with a mean that is very close zero.

514 Among all the four sectors, the ALB (Fig. 9c) and NADR (Fig. 9d) basins show an irregular inter-annual  
515 variability with a nearly absent seasonal cycle in the NADR.

516 In the ALB basin (Fig. 9c) the pico-phytoplankton concentration are relatively low and nearly constant along  
517 the entire period (mean value of  $0.1 \mu\text{gL}^{-1}$ ), with small peaks occurring during spring months.

518 Nano-phytoplankton follows the same pattern of pico, but with a higher excursion between minima and  
519 maxima. The absolute peak for nano component is in March 2005, with a concentration of 0.30  $\mu\text{gL}^{-1}$  respect  
520 to 1.00  $\mu\text{gL}^{-1}$  of TChl  $\alpha$ .

521 For the ALB Sea, we observe a less clean seasonal cycle and a reduced year-to-year variability, above all for  
522 micro-phytoplankton fraction. Micro-phytoplankton shows a seasonal oscillation with the usual increase  
523 during spring blooms and a decrease in summer, as a result of a stratification of the water column. The mean  
524 contribution of micro-phytoplankton to the TChl  $\alpha$  is about of 0.15  $\mu\text{gL}^{-1}$ , while the maximum is 0.7  $\mu\text{gL}^{-1}$  in  
525 March 2006.

526 In the NADR basin (Fig. 9d) the seasonal signal is absent or, at least, not immediately visible. In contrast with  
527 the other basins, the chlorophyll  $\alpha$  content is very high, and the PSCs ratios show a different behavior with  
528 respect to the other sectors. Pico-phytoplankton fraction is nearly constant along the entire time series with  
529 low or absent seasonal variations. Pico mean fraction of the value the TChl  $\alpha$  is 0.11  $\mu\text{gL}^{-1}$ , which is still higher  
530 than the mean value (0.03  $\mu\text{gL}^{-1}$ ) of the LEV Sea (Fig. 9b).

531 In NADR, the highest contribution to the TChl  $\alpha$  is provided by the micro-phytoplankton (mean value 0.7  $\mu\text{gL}^{-1}$   
532 <sup>1</sup>). It also shows inter-annual variations, but with peaks that occur in different years with respect to the other  
533 three sectors. Fig. 9d reveals constant high values of micro in 2001 and 2002, two peaks in November 2000  
534 (3.1  $\mu\text{gL}^{-1}$ ) and in May 2004 (2.5  $\mu\text{gL}^{-1}$ ) and the lowest values in 2003.

535

## 536 **7 Discussion and conclusions**

537 In this work, for the first time, we estimate the contribution of micro-, nano- and pico-phytoplankton to the  
538 total chlorophyll  $\alpha$  over the Mediterranean Sea by applying an abundance based model (Brewin et al., 2011b,  
539 referred as BR) to the entire time series of the SeaWiFS mission. Since the selected model was developed by  
540 using datasets from many different regions of the ocean, we started by verifying its accuracy for the  
541 Mediterranean case.

542 This validation showed that the model constantly underestimates nano-phytoplankton fractions over the  
543 entire range of observed TChl  $\alpha$  concentrations while overestimates pico-phytoplankton concentration, for  
544 low TChl  $\alpha$  concentrations. These results lead to think that the specific optical properties of this basin can be  
545 influenced by the phytoplankton community assemblage as suggested by Volpe et al. (2007). In fact,  
546 considering that each region can be characterized by a specific pigment content, we hypothesized that the  
547 different pigment ratios can represent one of the possible reason that can justify the observed deviation of  
548 the model from the in situ PSCs classification. Therefore, we first investigated whether the global relation  
549 between DP and chlorophyll  $\alpha$ , used by BR model, is still valid for the Mediterranean Sea and whether the  
550 use of a regionally tuned relation can contribute to reduce the observed bias between modeled and  
551 measured PSCs. Our results demonstrate that the use of a regional Mediterranean DP function (Di Cicco,  
552 2014) reduces the bias to values comparable with those obtained by BR at global scale and suggest that a re-

tuning of the empirical BR model coefficients is not a priority, with respect to the main goal of this work. We concluded that, the BR model, even if developed for the global ocean, can still be used in the Mediterranean Sea considering that, when applied to satellite data, the major source of uncertainty is the chlorophyll *a* determination. However, the use of daily chlorophyll *a* data, reprocessed with a regional Mediterranean algorithm for Case 1 and Case 2 waters, allows us to account for the unique optical properties of the Mediterranean Sea, thus reducing the bias between in situ measured and satellite chlorophyll *a* estimate to nearly zero ( $-0.02\text{mgm}^{-3}$ ) with a relative small RMS ( $0.25\text{mgm}^{-3}$ ) (see Table 4 in Volpe et al., 2012a).

The analysis of micro-, nano- and pico-phytoplankton satellite time series (1998–2010) allowed, for the first time, to quantitatively describe the seasonal and inter-annual variability of the spatial distribution of the algal assemblage structure. The results indicate that pico-phytoplankton dominates all around the year in most of the Mediterranean Basin, in particular, in ultra-oligotrophic waters. Nevertheless, exceptions are: the northwestern Mediterranean Sea (during the spring bloom), the Alborán Sea, and several coastal areas such as the north Adriatic Sea. In the coastal areas, the contribution of micro-phytoplankton to TChl *a* is always more evident, and can be explained by the high typical nutrients conditions of these regions, that favor the predominance of micro-phytoplankton with respect to the other two size classes (e.g. Siokou-Frangou et al., 2010). On the contrary, in the offshore waters, the contribution of nano-phytoplankton to TChl *a* is of the order of 20–40% remaining mainly constant along the year (Fig. 4). This is consistent with the nano-phytoplankton constant contribution to the Mediterranean primary production observed by previous authors (Uitz et al., 2010, 2012).

In ultra-oligotrophic waters, such as those of the Levantine Basin, pico-phytoplankton prevails in the PSCs climatology (section 4). This is justified by the ability of the smallest cells to exploit better the poor nutrient environments, according to their high surface/volume ratio (Le Quéré et al., 2005; Timmermans et al., 2005). Indeed, the summer stratification of the water column, causes a strong decrease in micro chlorophyll *a* contribution, whereas, nano-phytoplankton and pico-phytoplankton survive, adapting to the warmer water state (Fig. 4) (Marty and Chiaverini, 2002).

The typical chlorophyll *a* seasonal cycle of the temperate regions occurs in the Mediterranean Sea, with maxima in spring and minima in summer. It results into a seasonal signal of the PSCs distribution, characterized by an increase in the micro fraction in spring and the pico fraction in summer (Fig. 4). This mean seasonal cycle can be significantly distorted in coastal regions, such as the North Adriatic Sea (Fig. 4), where terrestrial inputs from rivers play an important role in modulating the nutrients supply in the upper layer of the water column. In this basin, the micro class dominates all around year, in accordance with the knowledge resulting from in situ measurements of the LTER (Italian Long Term Ecological Research Network) North Adriatic station (Fonda Umani et al., 2005; Cataletto et al., 2012). In addition, in the Alborán Sea, in which the Atlantic inflow modulates the nutrient availability, an intermediate temperate and sub-tropical seasonal cycle is observed, with a chlorophyll *a* maximum in late winter–early spring (Siokou-Frangou et al., 2010). In

588 this region, our analysis reveals that, *in general*, there is not an evident predominance of one class over the  
589 others all along the year (Fig. 9c). Micro, nano and pico contribution to TChl *a* is modulated by intermitted  
590 processes, such as the variation of the Atlantic flow and *upwelling* events occurring along the Spanish coast,  
591 which can cause a vertical uplift of nutrients, especially nitrates, to the surface water layer (Mercado et al.,  
592 2005).

593 Inter-annual variability is observed in the entire basin, but the largest inter-annual signal occurs in the  
594 northwestern Mediterranean Sea, driven by the year-to-year variation *of the* intensity and extension of the  
595 spring bloom (Fig. 6). *During spring relatively high* values of chlorophyll *a* are observed in the whole basin  
596 (Fig. 6), but above all in the Western basin *and in particular* in 1999, as reported in Volpe et al. (2012b). A  
597 general decrease of *spring* chlorophyll *a* concentrations occur in 2001, affecting mostly the Eastern basin,  
598 confirming Bosc et al. (2004) results. This decrease is reflected in a lower contribution of the micro fraction  
599 on the TChl *a* (Fig. 6). A peak of chlorophyll *a* signal occurs in April 2005, accompanied by an increase of  
600 micro-phytoplankton with respect to previous years (Figs. 6 and 8b). These anomalous high values of  
601 averaged spring chlorophyll *a* field are associated with an overall increase in the concentration of chlorophyll  
602 *a*, *which* occurs in the entire western Mediterranean Basin. They are also linked to an intensification of the  
603 spring bloom in the Gulf of Lions (see Fig. 6), where an unusual and strong winter convection occurred in the  
604 2005 (Volpe et al., 2012b; Font et al., 2007; Smith et al., 2008). *This phenomenon results in a local increase*  
605 *of the micro-phytoplankton fraction with respect to previous years even though the nano and pico*  
606 *contributions to total chlorophyll a remain dominant at basin scale* (Fig. 6). A second spring maximum is  
607 observed in 2008 in both chlorophyll *a* and micro-phytoplankton (Fig. 6), related again to the enhancement  
608 of the spring bloom in the Gulf of Lions.

609 The analysis of the year-to-year variability in the PSCs of the NWMed (Fig. 9a) confirms the occurrence of an  
610 evident seasonal and inter-annual signal. The seasonal cycle of chlorophyll *a* and thus PSCs is *the one* typical  
611 of temperate areas, with maxima in March and/or April (Fig. 9a). During these spring blooms, the micro-  
612 phytoplankton exceeds the other classes, in light of the great amount of nutrients available in the water  
613 column. Indeed, in this area, the winter deep and intermediated convection allows to bring up nutrients from  
614 the deeper layer (Lévy et al., 1998a, b). This process modulates the year-to-year variability of intensity and  
615 duration of spring bloom (Santoleri et al., 2003), which results into a strong inter-annual signal of the micro-  
616 phytoplankton concentration, as revealed by our analysis (Fig. 9a).

617 The micro-phytoplankton dominates the inter-annual signal also in the NADR, while *both* nano and pico-  
618 phytoplankton show slight variations (Fig. 9d). The large contribution of the biggest cells to the high values  
619 of TChl *a* can be related to the presence of big rivers, such as Po, Brenta, Livenza, Adige and Isonzo. Every  
620 year, their runoff causes the release of a large amount of organic particles and nutrients *that* support the  
621 micro cell size growth and development. Our analysis shows that the peaks of micro-phytoplankton biomass,  
622 usually, occur in May and November (Fig. 9d), when the river runoff *increases* due to *the* more intense rainfall

623 and snowmelt (Struglia et al., 2004; Malej et al., 1995). Anomalous events are recorded in November 2000  
624 and May 2004 (Fig. 9d). In the former, the prevalence of micro-phytoplankton on the TChl  $a$  can be due to  
625 the particular meteorological conditions that occurred in that year. Intense precipitations occurred in  
626 November 2000 in the Po hydrographic basin (Stravisi, 2006; Russo et al., 2005), with the consequent  
627 intensification of the river outflow. This intensification increased nutrients concentrations in the north  
628 Adriatic Sea, contributing to the increase of micro-phytoplankton fraction, as revealed by our analysis. This  
629 is also in agreement with in situ observations of the LTER station, located in the Gulf of Trieste, which shows  
630 biomass peaks of micro fraction in the same year (Cataletto et al., 2012).

631 An opposite case is the Levantine Sea, where the ultra-oligotrophic regime influences the distribution and, in  
632 particular, the contribution of the three PSCs to the TChl  $a$ . Fig. 9b shows that most of the TChl  $a$  is due to  
633 the pico-phytoplankton class, which is predominant all along the year. This can be related to the ability of  
634 the smallest cells to live and survive in extreme conditions, such as poor nutrient environments and well  
635 stratified water column (Siokou-Frangou et al., 2010; Le Quéré et al., 2005). When the bloom occurs  
636 (February), besides the pico class, also the nano fraction increases its contribution to TChl  $a$ .

637 In summary, in absence of sufficient in situ data of community composition, our time series analysis  
638 demonstrates the potential use of ocean colour imagery for monitoring the phytoplankton assemblage in the  
639 Mediterranean Basin. The possibility to identify all the components of the phytoplankton assemblage, in  
640 terms of dimensional size, allowed us to provide complementary information to the present knowledge of  
641 the Mediterranean phytoplankton composition, which was based so far, only on the dominant phytoplankton  
642 types (Navarro et al., 2014). Our analysis demonstrated that the predominance of one group over the other  
643 ones strongly depends on the physical-biological processes occurring at the mesoscale, which directly  
644 influences the nutrient and light availability, i.e, the principal force for the algae growth. Our analysis  
645 demonstrated that, in the evaluation of the contribution of each size class to TChl  $a$ , the ratio of diagnostic  
646 pigments in relationship to chlorophyll  $a$  content is a key factor. This ratio represents one of the elements  
647 that is mostly affected by the characteristics of pigment content of each specific region, which itself  
648 influences all the algorithm retrieval processes. Moreover, this phenomenon can induce an eventual bias due  
649 to the seasonal and inter-annual changes in the relationship between size fraction and TChl  $a$ , thus  
650 representing a limit for the approaches that are based on the direct fitting of the model with in situ global or  
651 regional pigment dataset.

652 Since our pioneering work does not aim to provide a specific regional product for PSCs, we are aware that  
653 more efforts need to be done on this regard. As a future perspective, we would like to extend our analysis to  
654 other satellite sensors in order to enlarge the PSCs time series, but we will also consider and test other  
655 models, based on different variables, with the aim to track, as much as possible, the phytoplankton  
656 community evolution from space. Moreover, one of our future project will be to regionalize one of this

657 approach, as well as the BR model, in order to provide a better instrument to retrieve information about the  
658 PSCs variability specifically for the Mediterranean Sea.

659

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Cruise	Date	Location	N° Samples	Depth (m)	TChl <i>a</i> range values (mg m <sup>-3</sup> )	Sources
Prosop99	14/09/1999 – 03/10/1999	Transmed	255	0 – 50	0.02 – 0.89	SeaBASS
Boussole Mooring	22/07/2001 – 03/12/2006	North-Western Mediterranean Sea	1143	0 - 50	0.02 – 5.52	SeaBASS
Boum08	03/07/2008 – 18/07/2008	Transmed	33	9	0.03 – 0.15	SeaBASS
Boussole03	15/07/2008 – 19/07/2008	North-Western Mediterranean Sea	23	0 - 50	0.08 – 2.20	SeaBASS

896

897 [Table 1 Information about the in situ SeaBASS sub-dataset used for the validation of the application of BR model on the Mediterranean Sea.](#)



898

Uitz et al. (2006) coefficients					
	MBE (mg m <sup>-3</sup> )	MBE%	MBE%_log10	RMSE%_log10	R_log10
Micro	0.059	14%	-4%	29%	0.6
Nano	-0.060	-34%	67%	79%	0.5
Pico	-0.002	51%	-26%	42%	0.7
Di Cicco (2014) coefficients					
	MBE (mg m <sup>-3</sup> )	MBE%	MBE%_log10	RMSE%_log10	R_log10
Micro	0.066	21%	-7%	28%	0.6
Nano	-0.013	-5%	8%	22%	0.4
Pico	-0.053	-7%	15%	50%	0.8

899

900 Table 2 Statistical results from the comparison of BR model and in situ PSCs classification obtained using, in the Diagnostic Pigments Analysis, respectively the  
901 Uitz et al. (2006) and the Di Cicco (2014) coefficients. Mean Bias Error (MBE) has the same dimensions of in situ observation (x in Table 3), while Mean Bias Error  
902 percentages (MBE%), Root mean square error percentages (RMSE%) and linear Pearson correlation coefficients (*r*) are dimensionless and refers to a TChl *a*  
903 smoothed with a 5-points running mean. Where there is “\_log10” the result refers to log-transformed units, otherwise it is expressed in linear space.

Per cent Mean Bias Error	$MBE\% = \frac{1}{N} \sum_{i=1}^N \left( \frac{Model - x_i}{x_i} \right) \times 100$
Per cent Root Mean Squared Error	$RMSE\% = \frac{1}{N} \sum_{i=1}^N \left( \frac{Model - x_i}{x_i} \right)^2 \times 100$
Pearson Correlation Coefficient	$r = \frac{\sum_i (model_i - \overline{model})(x_i - \bar{x})}{\sqrt{\sum_i (model_i - \overline{model})^2} \sqrt{\sum_i (x_i - \bar{x})^2}}$
Mean Bias Error	$MBE = \frac{1}{N} \sum_{i=1}^N (Model - x_i)$

904

905 [Table 3 Basic statistical quantities used for the assessment of the comparison of the BR model applied on the Mediterranean Sea, using the two different in situ](#)  
906 [PSCs classification approaches \(Uitz et al. \(2006\) and Di Cicco \(2014\) coefficients\). N is the number of observations and x is in situ measure.](#)

907

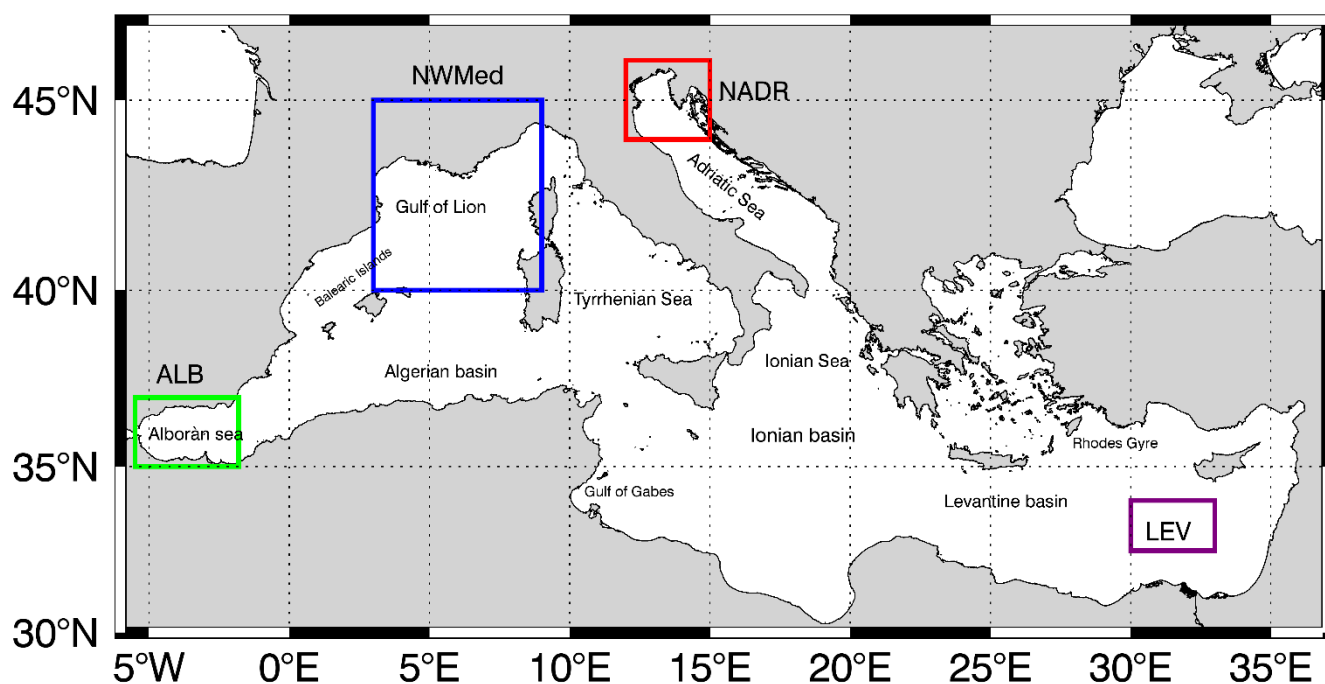
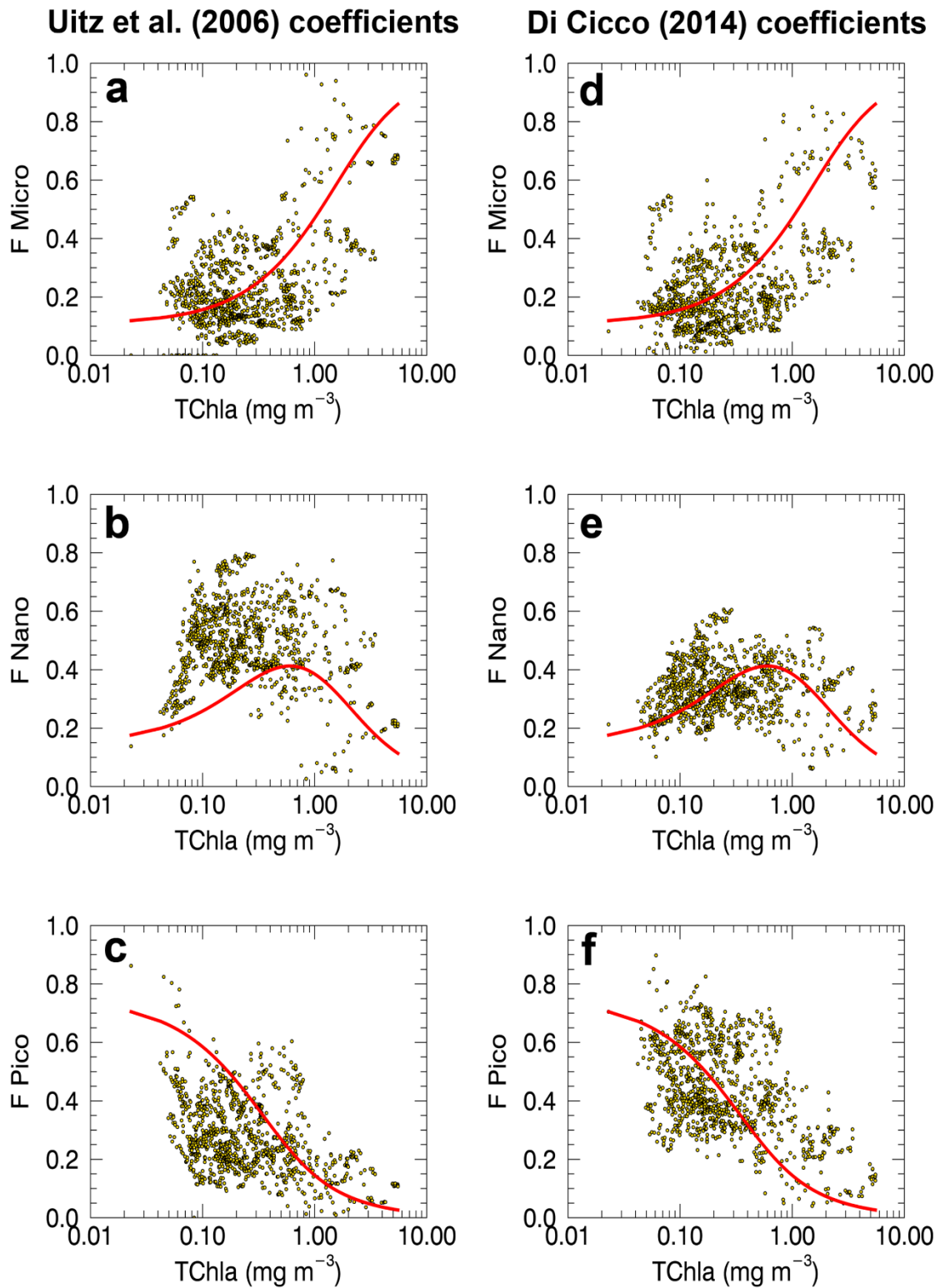


Fig. 1 Maps of the Mediterranean Sea and its most interesting basin or sub-basins. The colored box indicates the region analyzed in section 6 for the seasonal and inter-annual variability of TChl  $a$  and PSCs at local scales. The green box refers to the Alborán Sea (ALB), the blue box to the Northwestern Mediterranean Sea (NWMED), the red one indicates the North Adriatic Sea (NADR) and the purple box refers to the Levantine Sea (LEV).



914  
 915 Fig. 2 BR model (red line) plotted against in situ PSCs classification (yellow dots) obtained using the Uitz et al.  
 916 (2006) coefficients (a-c, on the left panel) and Di Cicco (2014) coefficients (d-f, on the right panel). The yellow  
 917 dots refer to the in situ size class fractions resulting from the use of the diagnostic pigments (DP) of the  
 918 SeaBASS Mediterranean subset.

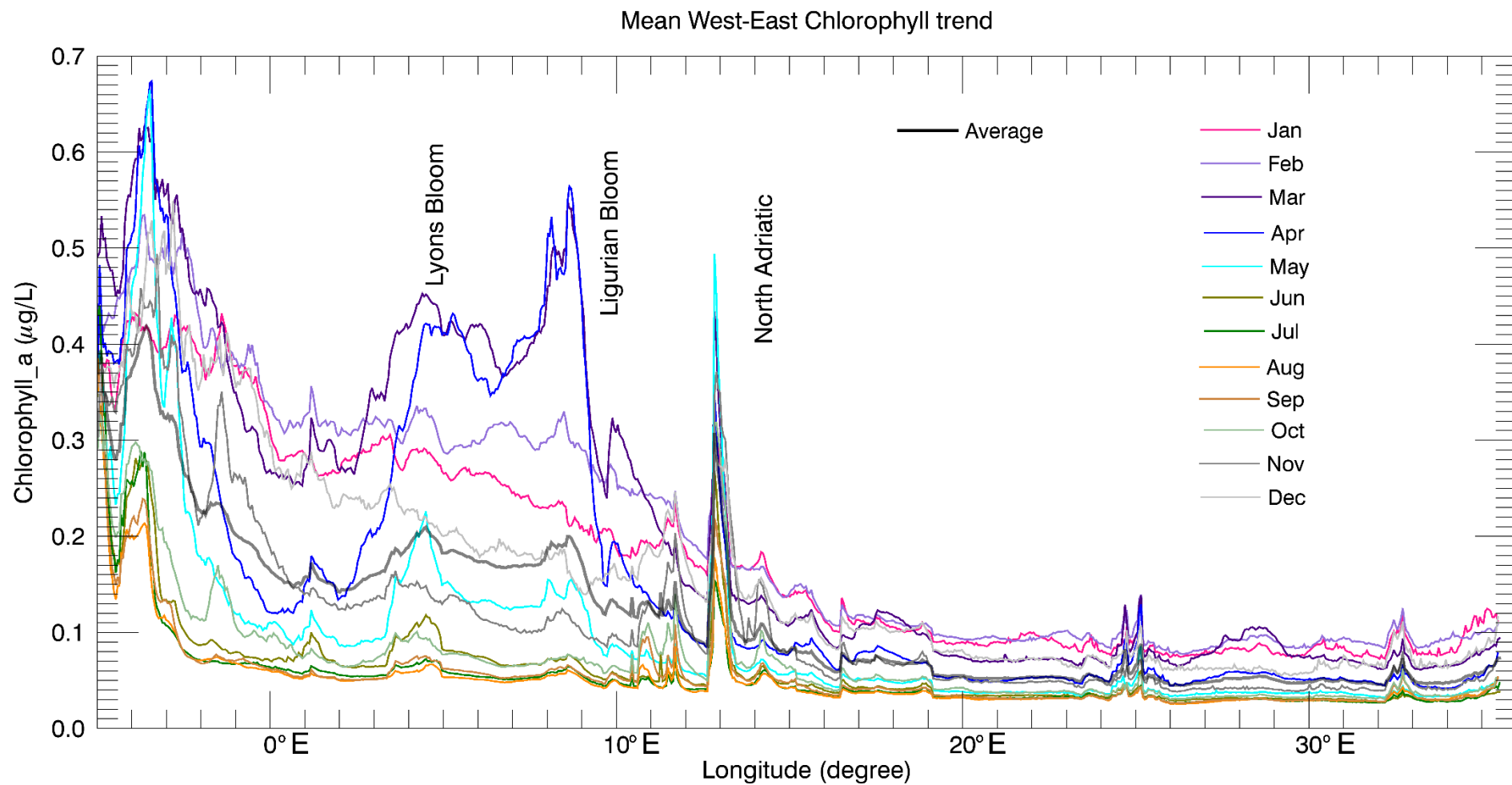
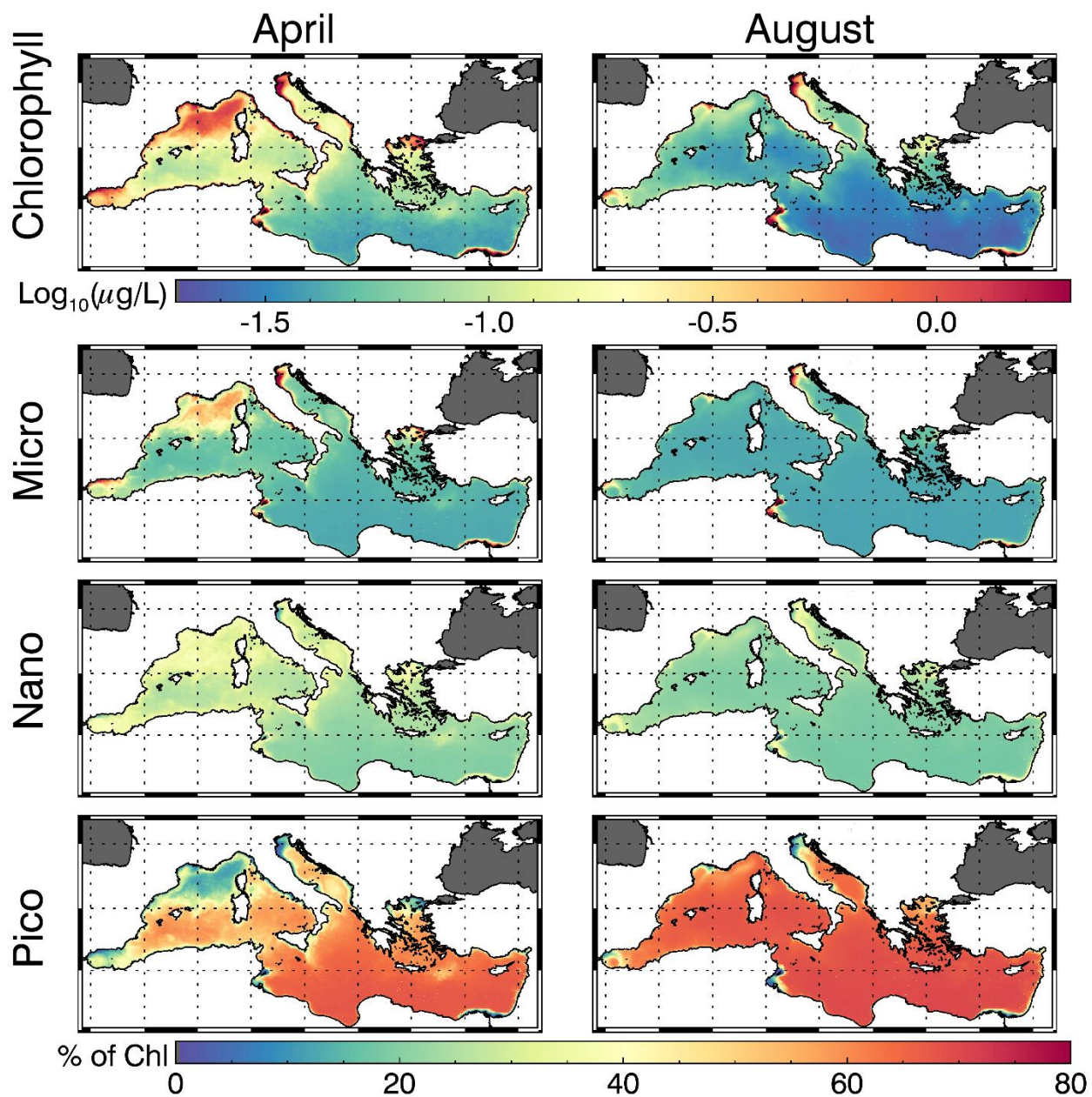
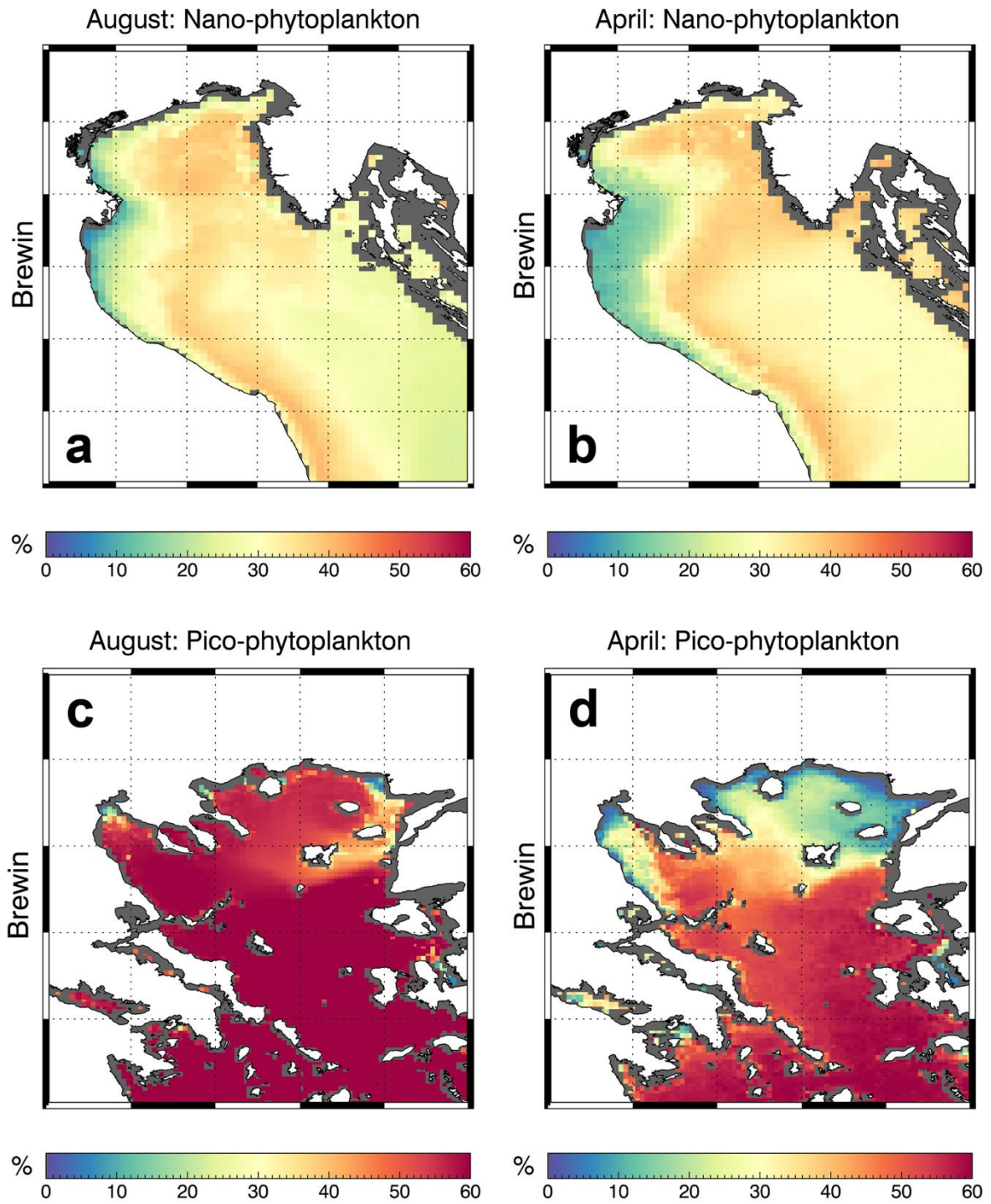


Fig.3 West-Eastward climatological monthly mean chlorophyll  $a$  concentration ( $\text{mgm}^{-3}$ ) over the basin, for the time series 1998-2010. The colored lines are build up averaging all pixels from North to South for each longitude degree of the basin, moving from West to East. The high chlorophyll  $a$  values of Gulf of Lions, Ligurian Sea and North Adriatic Sea are highlighted in the figure (see also the map of Mediterranean Sea, Fig. 1).



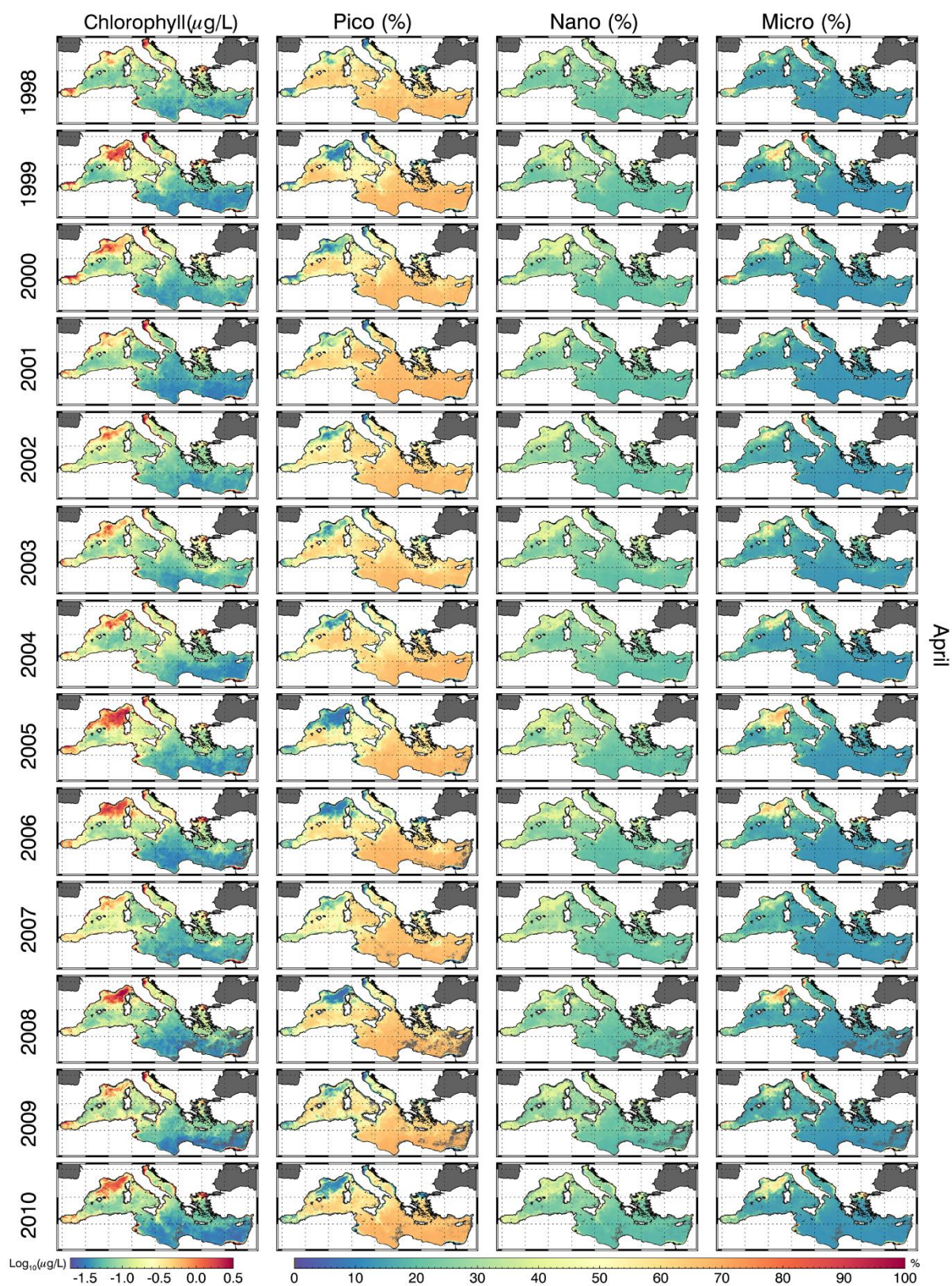
**Fig. 4** Seasonal spring to summer excursion in the Mediterranean Sea of TChl *a* and PSCs. On the left panel, the April climatology (1998-2010) maps of TChl *a* ( $\mu\text{gL}^{-1}$ ) and PSCs (%). On the right panel, the August climatology (1998-2010) maps of TChl *a* ( $\mu\text{gL}^{-1}$ ) and PSCs (%).





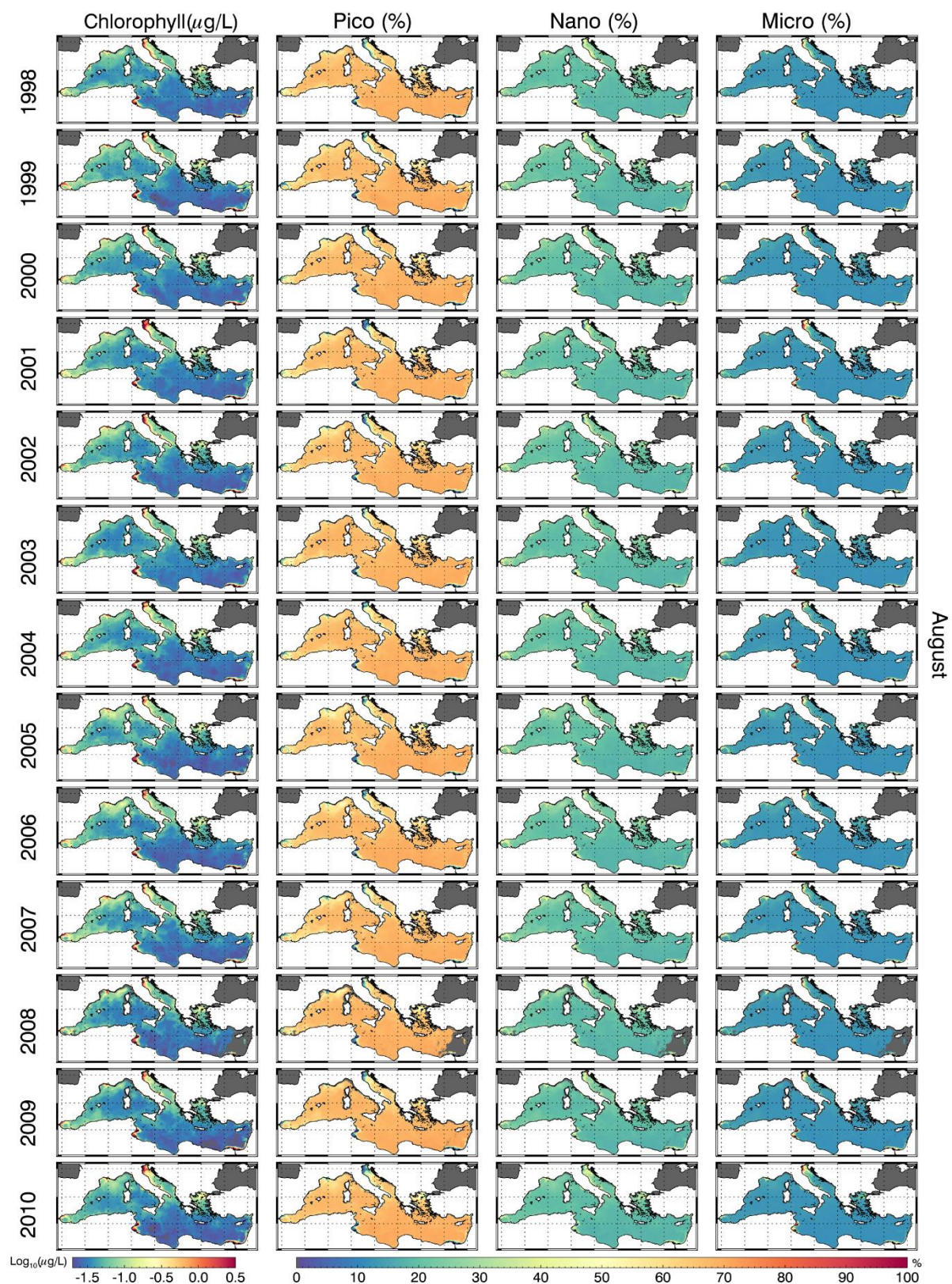
**Fig. 5** Seasonal spring to summer excursion of nano- and pico-phytoplankton fractions (%) of TChl *a* in two sectors. Nano percentages (%) in the North Adriatic Sea for August (a) and April (b) climatology (1998-2010). Pico percentages (%) in the Aegean Sea for August (c) and April (d) climatology (1998-2010).





**Fig. 6** Monthly maps of inter-annual variability (1998-2010) of TChl *a* and PSCs over the entire basin for April. The first panel refers to TChl *a* ( $\mu\text{g/L}^{-1}$ ), the second to Pico fraction on TChl *a* (%), the third and the fourth respectively referred to Nano and Micro fractions (%).





**Fig. 7** Monthly maps of inter-annual variability (1998-2010) of TChl *a* and PSCs over the entire basin for August. The first panel refers to TChl *a* ( $\mu\text{g/L}^{-1}$ ), the second to Pico fraction on TChl *a* (%), the third and the fourth respectively referred to Nano and Micro fraction (%).

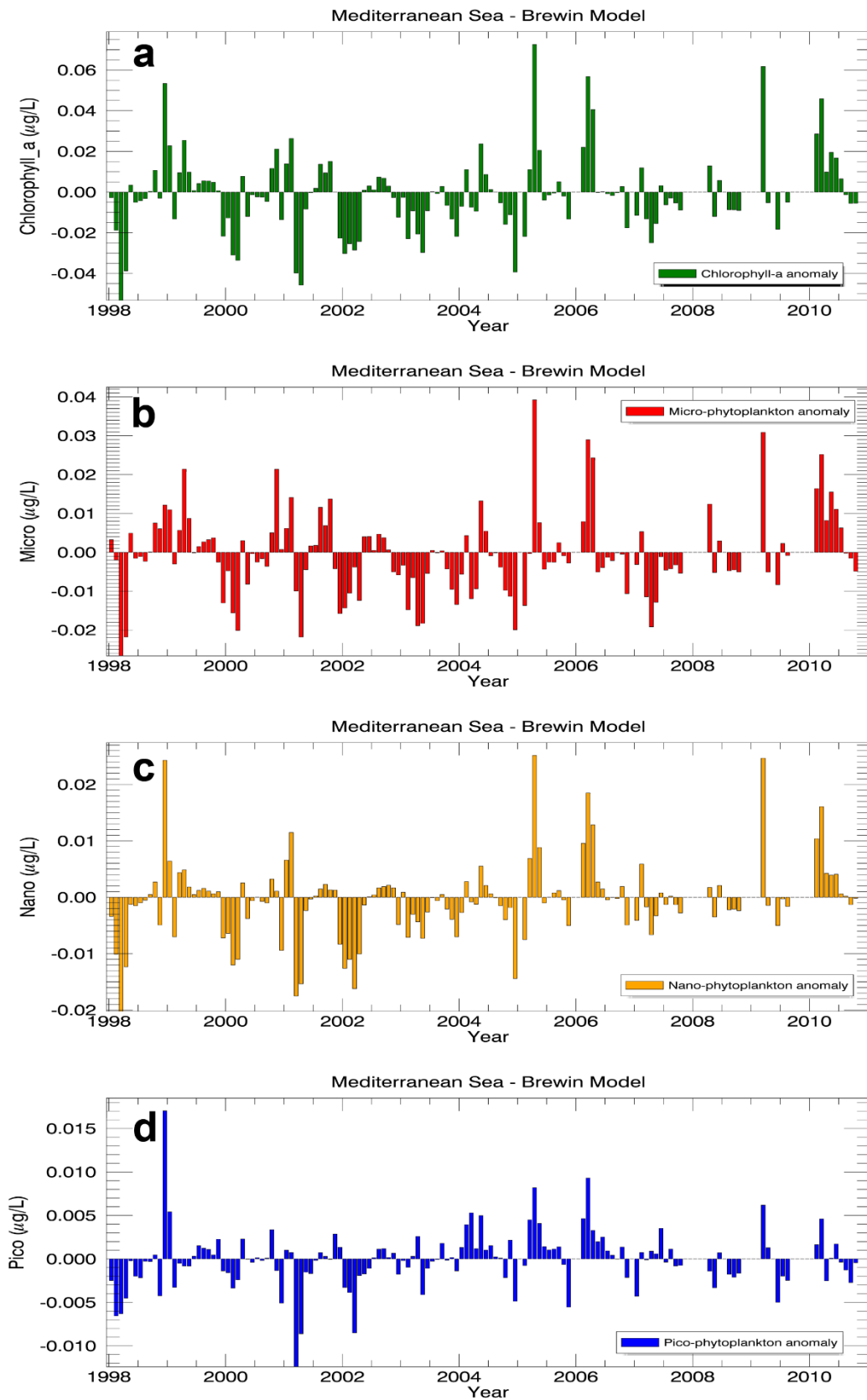


Fig. 8 Monthly anomalies computed for the entire time series (1998-2010) over the Mediterranean basin. Gaps in the time series correspond to months where less than 90% of observations were recorded in the basin. From top to bottom, there are the anomalies of TChl  $a$ , micro contribution to TChl  $a$ , nano contribution to TChl  $a$ , pico contribution to TChl  $a$ .

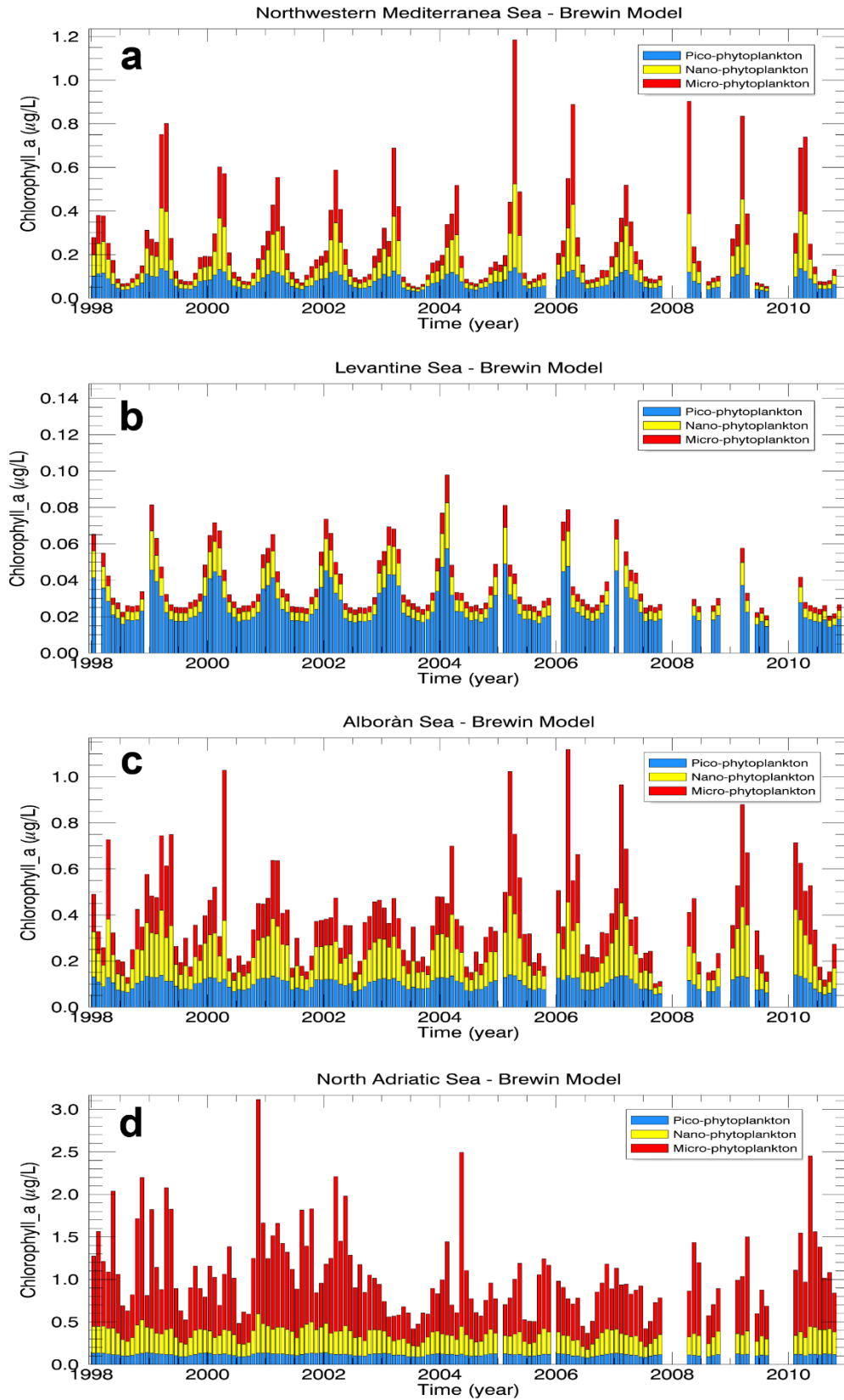


Fig. 9 Inter-annual variability of the contribution of micro-, nano- and pico-phytoplankton to the TChl  $a$  ( $\mu\text{g/L}^{-1}$ ) from 1998 to 2010 in the four sectors: Northwestern Mediterranean Sea (a), Levantine Sea (b), Alborán Sea (c), North Adriatic Sea (d). Gaps in the time series correspond to months where less than 90% of observations were recorded in the region.

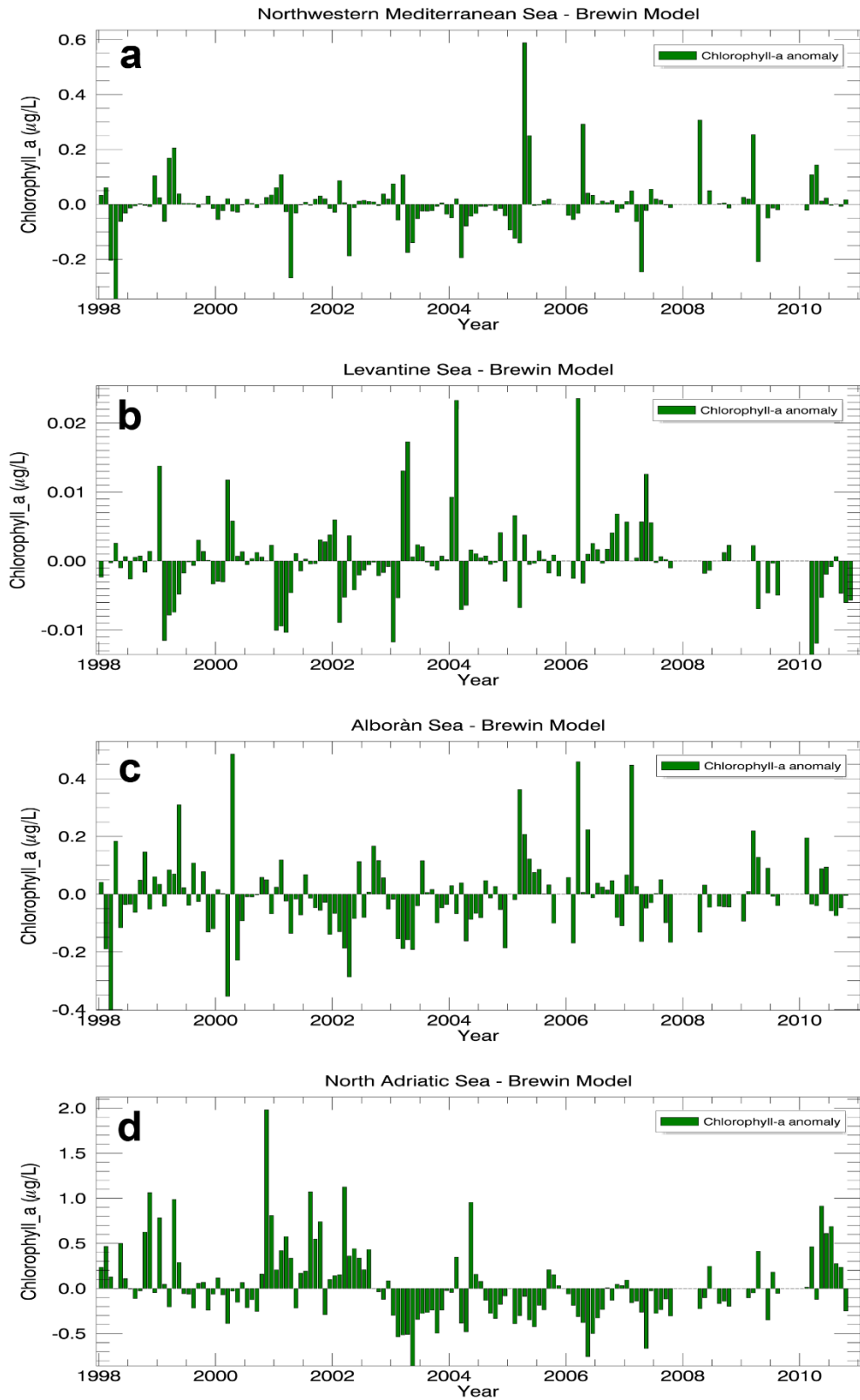


Fig. 10 Monthly anomalies of TChl *a* computed for the entire time series (1998-2010) over each of the four sectors. Gaps in the time series correspond to months where less than 90% of observations were recorded in the region. From top to bottom there are the anomalies of TChl *a* in the Northwestern Mediterranean Sea (NWMed), Levantine Sea (LEV), Alborán Sea (ALB) and North Adriatic Sea (NADR).