

Coquimbo, August 07 of 2016

Dear Dr. John Huthnance
Editor, Ocean Science

In this document we are including a comment-by-comment response to the reviewers' comments regarding our manuscript "*Seasonal variability of the Ekman transport and pumping in the upwelling system off central-northern Chile (~30°S) based on a high-resolution atmospheric regional model (WRF)*". In addition, we provide a marked-up version of the manuscript showing the changes made using track-changes in Word to reply to the reviewers comments.

The main changes made to the manuscript were:

1. A paragraph that compares winds (from WRF) in the afternoon, evening and daily average for spring was added.
2. Materials and methods are improved
3. Chapter 3.3 was improved
- 4.- Summary was added
- 5.- The figures 2 and 8 were improved

We greatly appreciate the constructive and thoughtful comments of both reviewers, and we believe this revised version addresses them.

Best Regards,

Dr. Luis Bravo

RESPONSE TO REFEREE #1

We would like to begin our response by thanking the reviewer for his/her insightful comments we believe that our manuscript has been improved after addressing the recommended suggestions and comments

Specific comments:

1.- Influence of Diurnal Cycle There is a substantial change in the wind stress and wind stress curl diurnally. Does this impact the relative contribution from month to month?

First, we must clarify that the outputs of the WRF simulations are instantaneous wind values every hour, which later become daily averages. We know that by estimating daily averages it smoothens the influence of the wind's diurnal cycle, which is important considering the intensification of the coastal jet during the afternoon, but this does not mean the disappearance of the low frequency signal (subinertial variability), which is what we are interested in this work (see Figure 1).

In our study region, the atmospheric coastal jet extends from the coast for several tens of kilometers to the west, showing some nearshore maximums, like in Punta Lengua de Vaca (Garreaud and Muñoz, 2005; Muñoz and Garreaud, 2005, among others). In addition, near Punta Lengua de Vaca it has been found an atmospheric local and baroclinic jet (local origin), with a marked diurnal cycle, with a maximum around 18:00 (LT) (Garreaud et al, 2011; Rahn et al, 2011). Which confirms what the reviewer said.

On the other hand, we understand the meaning of the comment made by the reviewer. Therefore, differences in monthly estimates are analyzed using the proposed two-hour from the daily cycle instead of using daily averages (see replies below).

Overall, considering the objectives and the results shown in Figure 1, the daily cycle and specifically the intensification of the wind stress in the afternoon did not significantly affect the results.

However, given the importance of the daily cycle in the area and its intensification in the afternoon, we included a paragraph in the discussion regarding this issue and what would happen if only the afternoon information is included.

2.- It was never clearly stated, but what is the “daily output” (3014, line 26)?

We consider that the use of daily output is not appropriate therefore we changed it to daily averages obtained from hourly simulations. We fixed the correspondent paragraph.

3.- Is the wind field averaged over every hour?

The wind field obtained from WRF simulations contains instantaneous wind values every hour.

4.- What happens to the relative contribution if just the 0 or 12 UTC is used for each month?

To answer this question we worked with the hourly output of wind from the WRF simulation for the period 2007-2012. We selected the zonal and meridional wind components (10 m) at 0 UTC and 12 UTC, and with this information Ekman pumping was calculated.

Afterward, an average for the spring season was obtained from wind information and Ekman pumping from October and November (during this period the most intense winds are observed, specially at the local coastal jet in Punta Lengua de Vaca). Daily averages were also obtained using the same procedure.

The results are shown in Figure 1. The upper panel corresponds to the wind during spring conditions taken at a) 0 UTC, b) 12 UTC and c) from daily averages. While in the lower panel Ekman pumping is shown using winds at d) 0 UTC, e) 12 UTC and f) daily averages.

Simulations show an intensification of the wind at 0 UTC, emphasizing the coastal jet at Punta Lengua de Vaca ($\sim 30.5^\circ\text{S}$, south of Tongoy Bay), strong winds were also observed north of Punta Choros (29°S) and south of 31°S . These characteristics of strong winds in the afternoon (local time) disappear during the morning (12 UTC). Rahn et al. (2011) propose: "Local baroclinicity (daytimes) enhances the development of the Coastal Jet that develops from the northward advection of diabatically/subsidence heated continental air over Tongoy Bay. For reference, a layer about 500 m thick and 5 K warmer that replaces the cooler air column in the morning in the southern portion of Tongoy Bay would be associated with a local surface pressure drop of ~ 1 hPa inducing a strong ageostrophic acceleration of the flow"

However, when we use the daily average, we can distinguish the coastal jet and high winds in Punta de Choro and south of 31°S , but with smaller magnitudes at 0 UTC. This is due to the smoothing produced by the average. On the other hand, if we look at the structure of Ekman pumping for the three cases, all showed a similar pattern near the coast, with a positive values (favorable to upwelling), but differed in their magnitude, which is greater at 0 UTC, lower at 12 UTC, and intermediate when considering the daily averages. Further away from the coast, the negative Ekman pumping occurs when considering the 0 UTC, when the daily average is used Ekman pumping is smaller, and is not observed in the case of 12 UTC

Therefore, we believe that for the purposes of this manuscript, using daily averages of wind from the WRF simulation time is valid. The observation made by the reviewer will help the discussion, especially regarding the importance of the intensification of the coastal jet in the afternoon.

5.- Are the results in spring dominated by the intense late afternoon coastal jet? This is an important aspect for interpreting the seasonal variation.

Reply was given above

6.- Interpretation of coastal wind/drop-off zone. This is a major issue and needs to be corrected/improved. Starting at the end of page 3018-3020, the interpretation of coastal wind is based off of primarily Renault et al. (2015). There is a lot of literature on coastal wind that is much more complete. Some of the earlier works are Beardsley et al. 1987 (cf. Section 3, JGR), Burk and Thompson (1996, Mon. Wea. Rev.), Haack et al. (2001, Mon. Wea. Rev.), and many, many more. Archer and Jacobson (2005 Mon. Wea. Rev.) do a much more complete treatment of vorticity generation than Renault et al. (2015).

We thank the reviewer for this suggestion. Therefore, it corrects and improves the sections including the works mentioned by reviewer

7.- Page 3020, line 5: It says that the cool SST stabilizes the air column and results in a shallower marine boundary layer. This is not correct.

Here we refer to a particular result of Renault et al. (2016) that show, based on a sensitivity analysis, that adding an SST front of 3°C over a coastal band strip of 25 km results in weaker surface wind associated with more stable and shallow marine boundary layer.

To avoid any further confusion we have reformulated this part:

"Another minor factor is the sharp coastal sea surface temperature front associated with upwelling. Renault et al (2016) show that in their sensitivity experiment adding a sharp SST front over a coastal band strip leads to weaker surface wind associated with more stable and shallow marine boundary layer. This response of wind may be due to so-called "downward mixing" mechanism (Wallace et al. (1989); Hayes et al. (1989)), which was used by many authors to explain the observed tendency of surface winds to decelerate over colder flank of the SST front and accelerate over warmer flank of the SST front (cf. Small et al (2008) and references therein): warm (cold) SST would destabilize (stabilize) the PBL and cause enhanced (reduced) vertical turbulent mixing, increasing (decreasing) downward fluxes of horizontal momentum from the faster flow above to the slower near-surface flow. Nevertheless, a large SST anomaly (by -3 C in the experiment of Renault et al., (2016)) is needed to induce a significant weakening of wind and significant additional wind drop-off. Therefore, the SST effect can be considered as secondary compared to the orography effect over the California coast."

8.- Page 3020, line 26: Perhaps leave speculation of the atmospheric forcing mechanisms out of this.

The suggestion is accepted and was remove from the text of the manuscript.

9.- Model issues: Section 2.1 has some vague parts. Was the WRF initialized just once and ran from 2007-2012?

The WRF model was implemented and integrated for 7 years (2000-2007) using the best configuration obtained in the preliminary experiments (see Question 2). The initial and Lateral Boundary Conditions (LBC) are derived from the National Centers for Environmental Prediction's (NCEP) final analysis (FNL) fields at 1°x1° horizontal resolution and 6 hourly interval.

For each year the model was re-initialized with the FNL reanalysis every three months leaving 6 overlap days as a spin-up, the outputs during this period were excluded from the analysis. The LBCs (updated every 6 h) are prescribed over the parent domain with the depth of 5 grid-cells where simulated variables are relaxed towards the FNL solution.

The Sea Surface Temperatures (SST) is prescribed at the lower boundary (parent and inner domains) from the OSTIA daily product (Stark et al., 2007). To include the diurnal cycle we have calculated the 6-h anomalies with respect to the daily mean from the FNL SST and then added to the daily OSTIA SST. In this way we generate the 6-h lower boundary updates with the same update rate used for the LBCs (Renault et al. (2015)).

That configuration was suggested by Lo et al. 2009 in order to mitigate the problems of systematic error growth in long integrations and inconsistencies between the developing flow and the lateral boundary conditions.

10.- Why does the outer domain extend all the way to 10N (Fig. 1)?

Beyond the focus of the present study we are also interested in assessing the impact of the downscaled winds from the coarser domain over a regional ocean model of the Humboldt system (Dewitte et al., 2012) whose domain extends from 5°N to 40°S following the approach of Cambon et al. (2013), that explains the northerly extension of the parent domain.

11.- It was stated that at least six different parameters (cumulus, PBL, soil, SST forcing, land surface, and topography [how/why was that changed?]) were evaluated (3010, line 25).

Given the complex interactions between alongshore winds, topography, cloudiness, land heating and coastal upwelling in the study region (Rahn and Garreaud 2010a, b; Wood et al., 2011; Toniazzo et al., 2011) we tested the WRF model in different configurations associated to the aforementioned process and characteristics. The objective was to identify the configuration that leads to a better model representation of the near-shore surface mesoscale atmospheric circulation in the study region. A set of eight sensitivity simulations (see Figure 3) were carried out for the control period, i.e. from 1 October 2007 to 31 December 2007 corresponding to the upwelling season in north-central Chile. The results were evaluated against surface observations from meteorological automatic stations and

scatterometers (QuikSCAT, ASCAT), particular attention was paid to the shoreward decrease and temporal variability of the surface wind speed near the coast.

The sensitivity experiments were divided in four sequential phases selecting progressively the best parameters for the optimal model configuration for the long period 2007-2012:

- a) Parameterization and soil models: The first four experiments (see Table 1) were implemented to compare the representation of the clouds and land surface exchange processes from two combinations of parameterizations (cumulus-PBL) and soil models already used in previous studies in the SEP (Renault et al., 2011a, b; Rahn and Garreaud 2010a, b; Toniazzo et al., 2011; Renault et al. 2015a, b).
- b) SST forcing: The use of high-resolution SST products derived from satellite sources to initialize WRF has been shown to improve the representation of surface parameters in coastal regions in the SEP (LaCasse et al., 2008; Renault et al., 2012ab; Toniazzo et al., 2012; Renault et al. 2015). Here we evaluated two high-resolution daily products, the OSTIA (Stark et al. 2007) and the RTG_SST (Thiébaux et al., 2003) analysis (experiments 5-6 in Table 1) these have a spatial resolution of 0.05° and 0.5° respectively.
- c) Topography and Land-Use: We have incorporated the high resolution 3-arc second SRTM topography and the accurate MODIS (1-km) land use and soil categories in order to compare the results with the previous experiments implemented with the standard 30-arc second USGS topography and Land Cover (experiment 7 in Table 1).
- d) The last sensitivity test (experiment 8 in Table 1) was performed with the aim of quantifying the impact of the nesting technique over the model diagnostics and the associated CPU requirements.

12.- This means that there are a lot of different 5-year runs at 36/12/4 km that were done. Was it really just a subset that was evaluated? There is no need to show all of these runs if that is really the case, but don't oversell the evaluation of model sensitivity.

Reply to this comment in the previous question.

13.- Specific model issues: Page 3010, line 7: Increasing resolution does not always translate to greater skill, and there are other issues to consider. (see Ranjha et al. 2015, Meteorol. Atmos. Phys.)

The main objectives of the atmospheric model were to generate the mesoscale surface wind patterns that influence nearshore circulation and evaluate the sensitivity of the model resolution to capture those local wind anomalies. Given the small-scale of these wind features and the influence of the orography, coastline shape and air-sea interaction the high-resolution is a necessary requirement for the model. However as you highlight increasing resolution does not always translate to greater skill so to avoid any confusion we will reformulate this part of the model description.

14.- Page 3010, line 13: Half of the model levels are below 1.5 km? Keep in mind that a good rule of thumb is that the lowest full level should be 0.990 or 0.995 if a PBL scheme is used.

The levels in the vertical are stretched to provide higher vertical resolution toward the surface, such telescopic resolution was needed to properly simulate the MBL depth over the ocean. This is a common choice in previous studies in the SEP with the WRF model (e.g. Garreaud and Muñoz, 2005; Rahn and Garreaud 2010a, b; Toniazzo et al, 2011; Renault et al 2012a, b; Rutllant et al, 2013; among others)

15.- Page 3011, line 1: What does it mean to simulate at hourly intervals? I don't think that is the time step since the integration would be unstable. Does that mean that the output is saved every hour?

We mean that all model diagnosis in our runs were stored at hourly intervals (see Figure 2), the time steps were set to 108, 36 and 12 seconds for the domains with horizontal grid spacing of 36, 12 and 4-km respectively.

To avoid a misunderstanding we have fixed the paragraph accordingly.

16.- Page 3011: Include the range of dates for WRF in all of the figure captions. Some are 2007-2012 and others are 2007-2009. It has to be clearly stated.

We agree with the reviewer's comment and clarified this issue in the figure caption and the manuscript.

17.- Other specific comments: Page 3012, line 15: Assume that it goes to zero right at the coast?

If the question is correctly understood then the reply is, it does not go to zero right at the coast.

Taking into account an approximate the total upwelling velocity as:

$$W_{up} \sim T_c / (\rho * f * L_{cu}) + (T_o - T_c) / (\rho * f * L_{drop})$$

Where:

T_c : is the alongshore wind stress at the coast

T_o : is the alongshore wind stress at the offshore end of the dropoff zone

f : is Coriolis parameter

L_{cu} : is the length of the frictional inner shelf zone where surface and bottom Ekman layers overlap.

L_{drop} : is the scale of wind dropoff

ρ : is water density

If we consider that $L_{cu} = L_{drop}$, then:

$$W_{up} \sim T_o / (\rho * f * L_{drop}).$$

The total upwelling velocity does not depend on the coastal wind stress (T_c)

18.- Page 3012, line 20: Onshore wind? This discussion has been about the decline of the meridional wind. Is that what is meant?

Yes you are correct, there was a mistake in the manuscript the text was corrected as follows:

“a marked decline coastward of meridional wind component”

19.- Page 3013, line 3: In the previous paragraph, several assumptions were made. Here, is this using the assumption of a constant gradient and that it goes to zero at the coast, or is this the actual curl computed from the model grid?

Please note that the paragraph is rather a “note” to explain some considerations regarding both mechanisms analyzed and zonal wind distribution under certain assumptions. This was added after a suggestion given by the Editor and we found it reasonable to consider

The curl was calculated from the model grid, this was mentioned in the manuscript

20.- Page 3014, line 16/ Fig 3d: Since Fig. 3e only goes out to 200 km, perhaps only extend the Fig. 3d out to ~500 km. This will also make it easier to see the detail near the shore in the model. Also, caption should be "Distance from the coast (km)"

We agree with the reviewer’s comment and extended Fig. 3d until 500km, x-label was changed to “Distance from the coast” and included in the caption

21.- Page 3017, line 6: On average...not every day has equatorward wind, especially in winter.

We agree with this comment and corrected the text

22.- Page 3017, line 25: What do you mean by integrate? It looks like these are just average values in 0.25 degree bins. What wind measurement closest to the coast is it? From QuikSCAT? Is it from the WRF (not a measurement. . .)? This needs to be much clearer since it is central to your main conclusions.

The misunderstanding most likely is, because the units in Fig. 7e are wrong, it should be $m^3 s^{-1}$. The mistake was corrected

Ekman transport was meridionally integrated every 0.25° so the final units are in $m^3 s^{-1}$ as it has been done in other related studies (e.i. Pickett and Paduan (2003); Aguirre et al., 2012)

As the reviewer mentions, WRF are not measurements, we corrected this mistake and specified that Ekman transport and pumping were obtained from numerical simulations.

23.- Page 3018, line 18: The meridional variation of the relative contribution between pumping and transport is important, but is the actual ocean response dominated by processes like upwelling shadows in the Coquimbo Bay?.

Yes indeed, processes such as upwelling shadow can be important in the Bay of Coquimbo, and are affecting the temperature distribution inside the bay, especially in the southern part of the bay close to the coast, where higher temperatures are observed (and higher thermal front) compared to the lower temperature area that extends north from Punta Lengua de Vaca (Figure 10). In fact a study in the southern part of the bay system of Coquimbo by Moraga et al. (2011) shows cyclonic circulation when there are upwelling favorable winds, the circulation is attributed to the separation of oceanic flow in Punta Lengua de Vaca, which is in agreement with the process of upwelling shadow and mainly affects the area indicated above. But we think that this is not inconsistent with the effect of the wind curl in the area, which would favor upwelling north of Punta Lengua de Vaca. The oceanic response in the area clearly needs more attention and research. In the future, we think to use an ocean model forced directly with high-resolution atmospheric simulations to analyze the oceanic response to different mechanisms.

We included a paragraph in the manuscript about the effect of an upwelling shadow and the consequences to our study.

25.- Page 3021, line 14: QuickSCAT is only twice a day at most, which can also impact the average.

Correct, we included this comment in the manuscript

26.- Page 3024, line 17: Since pumping is also correlated to transport, wouldn't that also be highly correlated? It would be good to include that to not oversell the pumping-only relationship.

Yes, in fact both mechanisms are highly related to seasonal scale, as specified on page 3018, lines 5 to 10. However, both mechanisms exhibit significant differences in upwelling transport as a function of latitude, i.e. when one is intense the other is weak (Figure 7). South of 31.25 °S, both mechanisms vary more uniformly.

We agree with the comment and included in the manuscript that due to the high correlation obtained between both mechanisms within the seasonal scale we cannot infer a relationship with SST only from Ekman pumping, especially where Ekman transport dominates.

27.- Fig. 7: Would the ratio of transport to pumping make a better comparison?

We agree with this comment and included the ratio of transport to pumping.

28.- Fig. 9: Dec. in the upper left panel.

Text was corrected

FIGURE

Figure 1: Spatial distribution for spring season of wind velocity (top panel) and Ekman pumping (EP, lower panel) using WRF wind at a-d) 0 UTC, b-e) 12 UTC and c-f) daily average obtained using WRF wind at a) 0 UTC, b) 12 UTC and c) daily average. The lower panels show the Ekman pumping (EP) obtained using WRF wind at d) 0 UTC, e) 12 UTC and f) daily average.

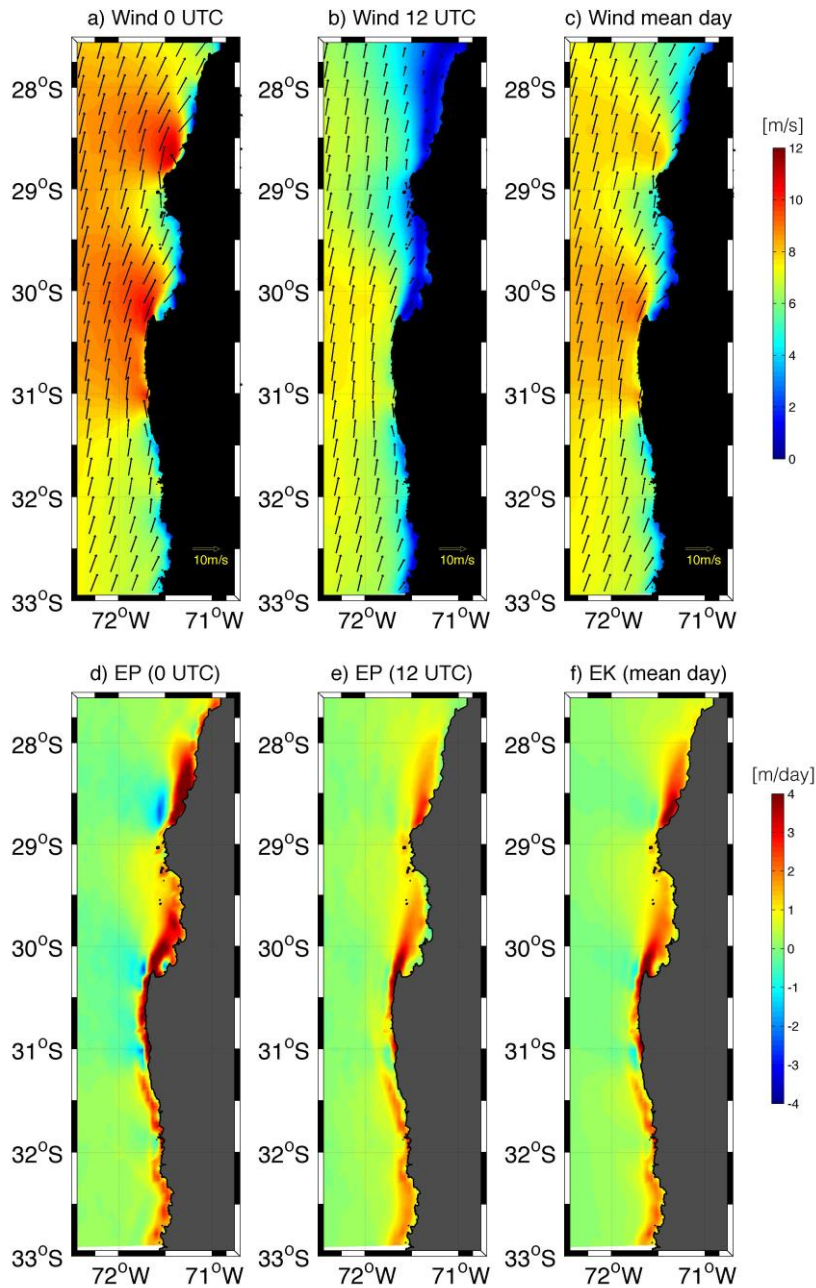


Figure 2: Schematic diagram of the experiments for the year 2007

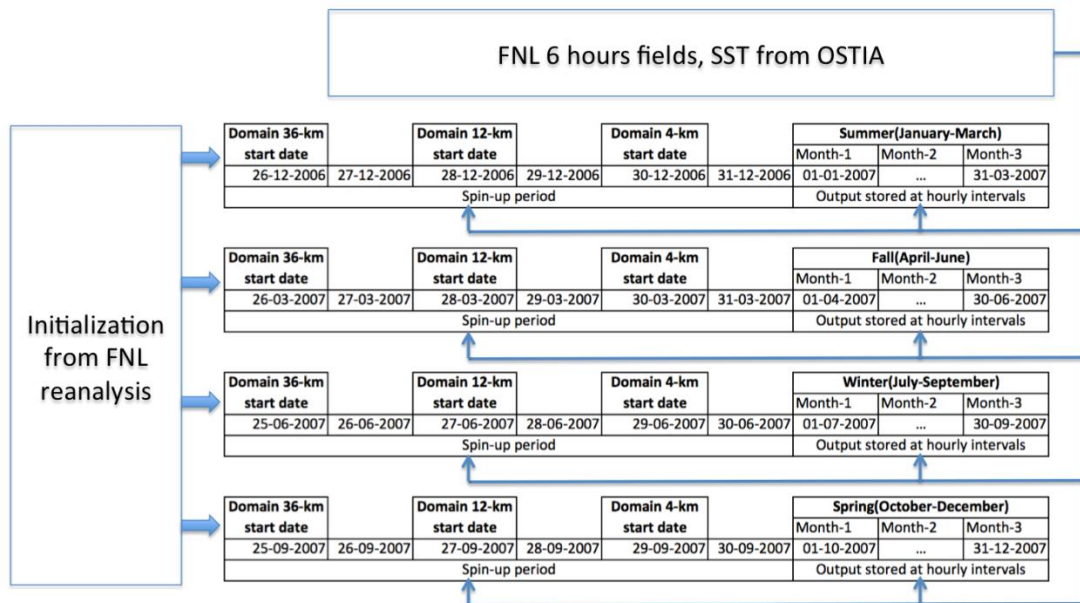


Figure 3: Different model configurations adopted for each sensitivity experiment. Shaded and bold letters highlight the selected optimal configuration.

Sensitivity phases	Nº	Convective parameterization	Planetary boundary layer (PBL)	Suface Model	SST	Nesting	Topography	Soil Categories
Parameterizations and Soil models	1	Kain-Fritsch	Mellor-Yamada-Janjic(MYJ)	Pleim-Xiu	NO SST	two-way	USGS(30'')	USGS(24) 1-km
	2	Kain-Fritsch	Mellor-Yamada-Janjic(MYJ)	NOAH	NO SST	two-way	USGS(30'')	USGS(24) 1-km
	3	Betts-Miller-Janjic(BMJ)	Bretherton and Park(UW)	Pleim-Xiu	NO SST	two-way	USGS(30'')	USGS(24) 1-km
	4	Betts-Miller-Janjic(BMJ)	Bretherton and Park(UW)	NOAH	NO SST	two-way	USGS(30'')	USGS(24) 1-km
Sea surface temperature	5	Betts-Miller-Janjic(BMJ)	Bretherton and Park(UW)	NOAH	RTG	two-way	USGS(30'')	USGS(24) 1-km
	6	Betts-Miller-Janjic(BMJ)	Bretherton and Park(UW)	NOAH	OSTIA	two-way	USGS(30'')	USGS(24) 1-km
Topography - Soil Categories	7	Betts-Miller-Janjic(BMJ)	Bretherton and Park(UW)	NOAH	OSTIA	two-way	SRTM(3')	Modis(21) 1-km
Nesting technique	8	Betts-Miller-Janjic(BMJ)	Bretherton and Park(UW)	NOAH	OSTIA	one-way	SRTM(3')	Modis(21) 1-km

RESPONSE TO REFEREE #2

We thank the reviewer for her time and constructive comments. We carefully read each of the comments and will address them as stated below:

Specific comments:

- 1. Something that perturbs me is the use of the term “coastal divergence” exclusively for the Ekman Transport. Ekman transport occurs due the divergence caused by the presence of the coast, Ekman pumping occurs due the divergence in the coastal region caused by the spatial variability of the wind field. Thus, both physical processes are finally due to coastal divergence.**

In the manuscript the term "coastal divergence" is used exclusively for the coastal upwelling due to the divergence caused by Ekman transport out of the coast and under the presence of the coast. This is different to the vertical transport that may result from the wind-stress curl, as different authors have recognized (see Graham and Largier, 1997; Capet et al., 2004; Castelao and Barth, 2006; Jacox et al., 2014)

In general, Ekman pumping (positive) is caused by the horizontal divergence of the Ekman transport (represented by the vertical component of the cyclonic wind stress curl) without requiring a physical boundary (like the coast in the coastal upwelling or the change in f in the equatorial upwelling).

We understand the essence of the comment that the reviewer's specifies, therefore to give the reader a better understanding we have clarified this in the manuscript.

We propose a modification in the introduction as it follows:

In the eastern boundary current systems wind-induced upwelling has mainly been described using two primary mechanisms. The first one is coastal divergence which is the result of offshore Ekman transport due to alongshore winds (with an equatorward component), earth's rotation and the presence of the coast (i.e. coastal upwelling).

- 2. In the abstract and results, authors mention that both alongshore wind stress and wind curl show a clear seasonal variability with a marked semiannual component. Could authors propose a mechanism that produces that semiannual component?.**

Indeed, both, atmospheric simulation and satellite observations show a semiannual variability in the along shore component of wind stress, but certainly weaker than the annual variability (Fig. 3 Annexes). In the case of the atmospheric model this is observed in Figure 4, with a secondary maximum that occurs between March and April.

However, the mechanism that produces semiannual component is not clear to us. We have not found any study in the southeast Pacific off Chile or Peru. However, this may have some connection to the equatorial variability associated to the sun "crossing" the equator twice a year, as is presented by Li and Philander 1996 (Journal of Climate).

But nevertheless this paper indicates that although the sun "crosses" the equator twice a year, the eastern equatorial Pacific has a pronounced annual cycle in both components of surface winds. This is in contrast to the Indian Ocean and western Pacific where a semiannual oscillation of the wind is dominant on the equator".

Despite the differences between the eastern equatorial Pacific compared to the western equatorial Pacific and the Indian Ocean, as it is shown in the study of Li and Philander (1996), there is a semidiurnal component in eastern equatorial Pacific but smaller in magnitude than the annual component. Notably, the aforementioned work is based on a short observation period.

However, describing the way how this influences the southeastern Pacific off Chile is not yet evident and we think is out of the scope of this study. However, one option could be that it comes from a teleconnection similar to the mechanism that produces intraseasonal variability along our study region.

- 3. In the article, the potential role of Ekman pumping on the spatial structure of sea surface temperature is also discussed. It is not clear to me why authors used one data set to force the atmospheric model (OSTIA) and another to analyze the role of Ekman pumping on SST (MUR). Please clarify.**

The use of high-resolution SST (OSTIA) products derived from satellite sources to initialize WRF has been shown to improve the representation of surface parameters in coastal regions in the SEP (LaCasse et al., 2008; Renault et al., 2012ab; Toniazzo et al., 2012; Renault et al. 2015). Here we evaluated two high-resolution daily products, the OSTIA (Stark et al. 2007) and the RTG_SST (Thiébaux et al., 2003) analysis these have a spatial resolution of 0.05° and 0.5°, respectively.

Furthermore, SST-MUR was used to compare the results obtained from Ekman pumping with the purpose of comparing the results with a different product that the one used to "force" the atmospheric model, and that also has a higher spatial resolution (~ 1 km) than OSTIA.

- 4. Page 3008, line 1-5 say: "Additionally, local high frequency forcing in the region is associated with atmospheric coastal jets with period less than 25 (Garreaud and Muñoz, 2005; Muñoz and Garreaud, 2005) that are related to the variability of the South Pacific Anticyclone and play a major role in coastal upwelling (Renault et al., 2009; Aguirre et al., 2010)". I am not agree. The atmospheric coastal jets are related to synoptic dynamics of the mid-latitudes pressure perturbations (in this case high pressures) that migrate toward the east, as demonstrated by Muñoz and Garreaud, 2005 and after by Rahn and Garreaud, 2013.**

We thank the reviewer for his/her comment the text has been modified to:

"Additionally, local high frequency forcing in the region is associated with atmospheric coastal jets with period less than 25 days that are related to synoptic dynamics of the mid-latitudes pressure perturbations, in this case high pressures, that migrate toward the east (Muñoz and Garreaud, 2005; Rahn and Garreaud, 2014) and play a major role in coastal upwelling (Renault et al., 2009; Aguirre et al., 2010)"

5. **Page 3010 line 15. The reference Garreaud and Muñoz, 2005 is not correct here, due that study does not involve simulations. The correct reference should be Muñoz and Garreaud 2005, due this study involves simulations with MM5.**

The mistake has been corrected.

6. **Page 3011 line 13 say: “. . .the results indicate a better fit in diurnal variability when model if forced with SST (OSTIA)”. I wondering why you get a better fit in diurnal variability when force the model with OSTIA, if this data set lacks of a diurnal cycle, due it have daily temporal resolution as mentioned in page 3010 line 22.**

The Sea Surface Temperatures (SST) are prescribed at the lower boundary (parent and inner domains) from the OSTIA daily product (Stark et al., 2007). To include the diurnal cycle we have calculated the 6-h anomalies with respect to the daily mean from the FNL SST and then added it to the daily OSTIA SST. Like this we generate the 6-h lower boundary updates with the same update rate used for the LBCs (Renault et al. (2015).

7. **I suggest that a final section with the summary of the major findings and conclusions should be included.**

We agree with the reviewer's comment major findings and conclusions were included

Technical comments

Figure 3e. Due the seasonal variability of the wind stress and wind curl, it should be useful add the date of the measurements in the legend to know which line correspond to dates mention in page 3014 line 18.

We included dates in the figure and figure caption

Figure 6a. The choice of the colorbar is not adequate. Usually the drastic differentiation between reds and blues is used to distinguish between positive and negative values as in figure 6b. But the use of this colorbar in figure 6a could be confused.

Change has been made, a new colorbar using blue-red-yellow is used in Figure 6

Page 3013 line 11 say: (due upwelling). It should be (producing upwelling) ?

Text was corrected

Page 3019, line 4. Maybe the letter M is not the best choice for the Meandering index as it was used previously as Ekman transport.

We agree with the suggestion and changed the letter for Ekman transport.

Page 3023, line 28. There is a reference to the “horizontal SST gradients”. It is not clear how those SST gradients were calculated. Are zonal SST gradients? Are cross-shore SST gradients? Are the maximum SST horizontal gradients? Please clarify.

The Horizontal SST gradient considers both components (zonal and meridional). We plotted the magnitude of the gradient. Text was clarified.

Page 3016 line 4 say: by a straighter coastline . . . it should be . . . by a straighter coastline.

The mistake has been corrected

Page 3027 line 12. Reference say Jacox and Edwards, 2002. Should be Jacox and Edwards, 2012.

Reference was corrected

Seasonal variability of the Ekman transport and pumping in the upwelling system off central-northern Chile (~30°S) based on a high-resolution atmospheric regional model (WRF)

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22 **Abstract**

23 Two physical mechanisms can contribute to coastal upwelling in eastern boundary
24 current systems, offshore Ekman transport due to the predominant along-shore wind
25 stress and Ekman pumping due to the cyclonic wind stress curl, mainly caused by the
26 abrupt decrease in wind stress (drop-off) in a cross-shore band of 100 km. This wind
27 drop-off is thought to be an ubiquitous feature in coastal upwelling systems and to
28 regulate the relative contribution of both mechanisms. It has been poorly studied along
29 the central-northern Chile region because of the lack in wind measurements along the
30 shoreline and of the relatively low-resolution of the available atmospheric reanalysis.
31 Here, the seasonal variability in Ekman transport, Ekman pumping and their relative
32 contribution to total upwelling along the central-northern Chile region (~30°S) is
33 evaluated from a high-resolution atmospheric model simulation. As a first step, the
34 simulation is validated from satellite observations, which indicates a realistic
35 representation of the spatial and temporal variability of the wind along the coast by the
36 model. The model outputs are then used to document the fine scale structures in the wind
37 stress and wind curl in relation with the topographic features along the coast (headlands
38 and embayments). Both wind stress and wind curl had a clear seasonal variability with
39 annual and semiannual components. Alongshore wind stress maximum peak occurred in
40 spring, second increase was in fall and minimum in winter. When a threshold of -3×10^{-5}
41 s^{-1} for the across-shore wind curl was considered to define the region from which the
42 winds decrease toward the coast, the wind drop-off length scale varied between 8 and 45
43 km. The relative contribution of Ekman transport and Ekman pumping to the vertical
44 transport along the coast, considering the estimated wind drop-off length, indicated
45 meridional alternation between both mechanisms, modulated by orography and the
46 intricate coastline. Roughly, coastal divergence predominated in areas with low
47 orography and headlands. Ekman pumping was higher in regions with high orography
48 and the presence of embayments along the coast. In the study region, the vertical
49 transport induced by coastal divergence and Ekman pumping represented 60% and 40%
50 of the total upwelling transport, respectively. The potential role of Ekman pumping on the
51 spatial structure of sea surface temperature is also discussed.

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57 | Keywords: drop-off, wind curl, upwelling, Ekman pumping

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58 **1. Introduction**

59 In the eastern boundary current systems wind-induced upwelling has mainly been
60 described using two primary mechanisms (Sverdrup et al., 1942; Gill 1982; Pickett and
61 Paduan, 2003; Capet et al., 2004; Jacox and Edwards, 2012). The first one is coastal
62 divergence which is the result of offshore Ekman transport due to alongshore winds (with
63 an equatorward component) and earth's **rotation and the presence of the coast (i.e. coastal**
64 **upwelling)**. The second one is Ekman pumping which is the result of a cyclonic wind
65 stress curl caused mainly by the wind drop-off that **extends** only tens of km in width
66 along the coast, **and is** a typical feature of the eastern boundary current systems (Bakun
67 and Nelson, 1991; Pickett and Paduan, 2003; Capet et al., 2004; Jacox and Edwards,
68 2012). Starting in the mid 1970s, a series of studies began assessing the contribution of
69 Ekman pumping on coastal upwelling for the California Current System (Halpern, 1976;
70 Nelson, 1977), which later expanded to the other four upwelling systems (Bakun and
71 Nelson, 1991). In one of these four regions, the coast of north and central Chile, this
72 mechanism has been poorly evaluated, primarily due to **the scarcity of in situ data,**
73 limitations in diffusiometer winds that have a "blind zone" near the coast and the
74 relatively low spatial resolution of the atmospheric **reanalysis. This has caused a** limited
75 progresses in the understanding of the upwelling dynamics and the coastal circulation of
76 the region, among other factors.

77
78 Coastal upwelling has been widely studied in several regions of the world, in particular
79 along the Eastern Boundary Upwelling Systems (EBUS). Currently, there is no
80 generalized conceptual model for the upwelling structure that considers the region near
81 the coast, the coastal boundary and the open ocean (Mellor, 1986; Marchesiello and
82 Estrade, 2010). Traditionally a simple relationship based on wind stress along the coast
83 has been used as an index of the coastal upwelling intensity (Bakun, 1973), this
84 approximation does not consider other more complex physical processes, such as the
85 wind curl (Pickett and Paduan, 2003; Capet et al., 2004; Jacox and Edwards, 2012) and
86 the geostrophic flow toward the coast, which is in balance with the along shore pressure
87 gradient and could potentially limit upwelling (Marchesiello et al., 2010; Marchesiello
88 and Estrade, 2010). In the case of the wind curl, several modeling studies from different

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93 upwelling systems suggest that wind stress decreases within a narrow coastal band of 10-
94 80 km called wind "drop-off" (Capet et al., 2004; Bane et al., 2005; Perlin et al., 2007;
95 Renault et al., 2012; Renault et al., 2015) that is highly sensitive to the resolution of the
96 model. Thus, regional ocean modeling studies show that the upwelling response is
97 sensitive to the transition in the structure of the wind near the coast (Capet et al., 2004;
98 Jacox and Edwards, 2012), where the structure and physical forcing of the transitional
99 coastal wind profile is not well understood (Jin et al., 2009). In the literature at least three
100 main hypotheses have been proposed to explain the decrease of onshore wind (drop-off)
101 that generates the wind stress curl within the coastal band. The first is related to the
102 change of surface and boundary layer friction in the land-sea interface (Capet et al., 2004).
103 The second is related to the ocean-atmosphere coupling between the sea surface
104 temperature (SST) and the wind (Chelton et al., 2007), particularly cold water upwelling
105 tend to stabilize the atmospheric boundary layer, decoupling the high atmospheric
106 circulation with the surface circulation. The last one is related to coastal orography
107 (Edwards et al., 2001), coastline shape (Perlin et al., 2011), and the combination of both
108 (Renault et al., 2015) constraining the vorticity budget of the low-level atmospheric
109 circulation. Other possible mechanisms that could potentially contribute to wind drop-off
110 near the coast are the effects of sea breeze and pressure gradients (across or along the
111 coast) at sea level.

112
113 The central-northern Chile region is characterized by nutrient rich cold surface waters,
114 attributed to the surface circulation of the Humboldt system and mainly coastal upwelling
115 driven by along shore winds that are associated with the southeast Pacific anticyclone
116 (Shaffer et al., 1999; Halpern, 2002). A strong seasonal variability of the southeast
117 Pacific anticyclone produces favorable upwelling winds to peak during spring and
118 summer and decrease during winter (Strub et al., 1998). Within central-northern Chile the
119 area around 30°S is characterized by the most intense upwelling favorable winds (Shaffer
120 et al., 1999; Rutllant and Montecino, 2002). Additionally, local high frequency forcing in
121 the region is associated with atmospheric coastal jets with periods less than 25 days, that
122 are related to synoptic dynamics of the mid-latitude pressure perturbations in this case
123 high pressures, that migrate toward the east (Muñoz and Garreaud, 2005; Rahn and

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128 [Garreaud, 2013](#)) and play a major role in coastal upwelling (Renault et al., 2009, 2012;
129 Aguirre et al., 2012). All these features make the region a natural laboratory to explore
130 the forcing mechanisms and describe the physical processes that modulate coastal
131 upwelling.

132
133 In a recent modeling study Renault et al. (2012) analyzed the main physical processes
134 that explain changes in sea surface temperature in an upwelling event during the
135 [occurrence](#) of an atmospheric coastal jet along the central-northern Chile region. The
136 results showed a clear drop-off of the coastal wind that was not observed in the
137 QuikSCAT data, due to [the](#) “blind zone” in the satellite measurements (~25 km offshore).

138 The oceanic response to the atmospheric coastal jet produced significant cooling of the
139 sea surface that significantly contributed to ocean vertical mixing equivalent to the
140 magnitude of the vertical advection near the coast. Their sensitivity analyses showed that
141 the response of the coastal ocean highly depends on the representation of the wind drop-
142 off. This is because the total upwelling (*i.e.* the sum of coastal upwelling and Ekman
143 pumping) depends on the scale of the wind drop-off. The authors suggest [that](#) there is a
144 negative effect on coastal upwelling, due to a reduced Ekman transport near the coast that
145 is not balanced by Ekman pumping. In addition, the drop-off has a strong effect on
146 vertical mixing and consequently the cooling of the coastal ocean. In a previous modeling
147 study Capet et al. (2004) off the coast of California suggested that a poor representation
148 of the wind drop-off could underestimate Ekman pumping and overestimate coastal
149 upwelling (and vice versa), with consequences for the coastal circulation processes.
150 Meanwhile, Garreaud et al. (2011) using observations found a local atmospheric coastal
151 jet just north of one of the most prominent geographic points of the region: Punta Lengua
152 de Vaca (see Fig. 1). This coastal jet [shows](#) a distinct daily cycle as the result of the
153 strong baroclinicity due to heating differential in the region. In a later study Aguirre et al.
154 (2012) using climatological QuikSCAT winds to force [a regional](#) ocean model, found the
155 importance of the wind stress curl over the regional circulation exerting control over the
156 seasonal cycle of an Equatorward coastal jet. This study also evaluated the contribution
157 of Ekman pumping to the total upwelling, which was not [well](#) resolved due to a poor
158 resolution of the satellite winds within the first 30 km near the coast. In particular, due to

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164 the narrow continental shelf off central-northern Chile, the cells of upwelling due to
165 coastal divergence are trapped near the coast (Estrade et al., 2008), consequently the use
166 of QuikSCAT winds could be overestimating the effect of upwelling driven by coastal
167 divergence and Ekman pumping.

168
169 Although previous studies have documented the importance of the wind stress curl near
170 the coast of central Chile (Renault et al, 2012; Aguirre et al, 2012), the impact of the
171 abrupt transition of the wind near the coast (*i.e.* drop-off) and its seasonal variability on
172 upwelling are still poorly understood. Here, prior to addressing this issue from an oceanic
173 perspective, our objective is to document the wind stress curl (drop-off) and its seasonal
174 variability off central-northern Chile (~30°S) using a high resolution (~4 km)
175 atmospheric model. Our focus is on the Ekman pumping and its contribution to the total
176 upwelling, and the factors that could contribute to its meridional variability (*i.e.*
177 topography, coastline and air-sea interactions).

178
179 The paper is organized as follow: a description of the atmospheric simulations and the
180 methods used to estimate different upwelling terms are described in section 2. The
181 following section presents results and discussions and was subdivided into three
182 subsections. The first one describes wind stress curl pattern and the spatial scale of the
183 wind drop-off. The second one presents an analysis of the annual variability in Ekman
184 Pumping and coastal divergence, their relationship with coastal topography and their
185 contribution to upwelling transport. Third one, the study relates Ekman Pumping
186 transport to sea surface temperature near the coast. Finally, section 4 presents a summary.

187 188 **2 Methods and Model Configuration**

189 **2.1 Model Output**

190
191 The Weather Research and Forecasting (WRF) model version 3.3.1 (Skamarock and
192 Klemp, 2008) was configured with three nested domains (Fig. 1) with increasing
193 horizontal grid spacing over the region of interest by a factor of 3 from on domain to the
194 other. The largest synoptic domain covers most of South America and the eastern Pacific

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197 in a Mercator projection with a horizontal resolution of 36 km. The second domain
198 covers the coast of north-central Chile (25°-35° S) with a horizontal resolution of 12 km.
199 The innermost domain is centered over the Coquimbo bay system with a horizontal grid
200 spacing of 4 km (Fig. 1). The use of such near-kilometer resolution improves the
201 representation of complex terrain and is necessary for dynamical downscaling of near-
202 surface wind speed climate over complex terrain (Horvath, 2012). WRF employs a
203 terrain-following hydrostatic-pressure coordinate in the vertical, defined as eta (η) levels,
204 here a total of 42 η levels were used in the vertical with increasing resolution toward the
205 surface, 20 of them in the lowest 1.5 km with ~30 m in the vertical for the surface level,
206 such telescopic resolution is a common choice in precedent studies to properly simulate
207 the MBL depth over the ocean (Muñoz and Garreaud, 2005; Rahn and Garreaud 2013;
208 Toniazzo et al, 2013; Renault et al 2012; Rutllant et al, 2013).

209
210 Given the complex interactions between alongshore winds, topography, cloudiness, land
211 heating and coastal upwelling in the study region (Rahn and Garreaud 2013; Wood et al.,
212 2011; Toniazzo et al., 2013) we have tested the WRF model in different combinations of
213 parameterizations (cumulus - planetary boundary layer - soil model), surface data (SST
214 forcing, topography and land surface) and nesting technique. A set of eight sensitivity
215 simulations (for [more details see response to referee #1, http://www.ocean-sci-](http://www.ocean-sci-discuss.net/os-2015-94/#discussion)
216 [discuss.net/os-2015-94/#discussion](http://www.ocean-sci-discuss.net/os-2015-94/#discussion)) was carried out for the control period, i.e. from 1
217 October 2007 to 31 December 2007 corresponding to the upwelling season in north
218 central Chile. The results were evaluated against surface observations from
219 meteorological automatic stations and scatterometers (QuikSCAT, ASCAT), particular
220 attention was paid to the shoreward decrease and temporal variability of the surface wind
221 speed near the coast. The configuration with the best estimates of observed surface
222 variability and mean state was then used for the long simulation 2007-2012.

223
224 The initial and Lateral Boundary and Conditions (LBC) were derived from the National
225 Centers for Environmental Prediction (NCEP) Final Analysis Data (FNL) (Kalnay et al.
226 1996; available online at <http://dss.ucar.edu/datasets/ds083.2/>) at 1°x1° global grids every
227 six hours. The boundary conditions are prescribed over the coarser domain with the depth

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231 of 5 grid-cells where simulated variables are relaxed towards the FNL solution. The SST
232 forcing data are based on the daily Operational Sea Surface Temperature and Sea Ice
233 Analysis (OSTIA) at 0.05°x0.05° global grids resolution (Stark et al. 2007). The Sea
234 Surface Temperatures (SST) is prescribed at the lower boundary (parent and inner
235 domains) from the OSTIA daily product (Stark et al., 2007). To include the diurnal cycle
236 we have calculated the 6-h anomalies with respect to the daily mean from the six hours
237 FNL SST and then added to the daily OSTIA SST. In this way we generate the 6-h lower
238 boundary updates with the same update rate used for the LBCs as Renault et al. 2015.

239

240 For each year the model was re-initialized with the FNL reanalysis every three months
241 leaving 6 overlap days as a spin-up, the outputs during this period were excluded from
242 the analysis, this scheme was suggested by Lo et al. (2008) in order to mitigate the
243 problems of systematic error growth in long integrations and inconsistencies between the
244 flow developing and the lateral boundary conditions. The instantaneous model diagnosis
245 were stored at hourly intervals, the time steps were set to 108, 36 and 12 seconds for the
246 domains of 36, 12 and 4 km respectively.

247

248 The simulated winds were validated using QuikSCAT and observations from two
249 weather stations near the coast in Loma de Hueso (LDH) and Punta Lengua de Vaca
250 (PLV) and a third station farther inland named Parral Viejo (Fig. 1 and 2). A spatial
251 comparison was done using the coarse resolution grid (36 km) between satellite and WRF
252 winds for 2007-2009. The comparison showed a good agreement between observations
253 and modeling results with a similar spatial structure and magnitudes of the same order,
254 especially within the study region (27°S-33°S). The root mean square (RMS) of the
255 difference for observations and model results was less than 1 m s⁻¹ (Fig. 2c). The high-
256 resolution model outputs (4 km) were also compared with available observations. Initially,
257 for each of the weather stations daily wind cycles were compared with simulations (not
258 shown). The results indicate a better fit in diurnal variability when the model is forced
259 with SST (OSTIA), which was finally chosen for the simulations performed in this study.
260 The best fit between observations and model outputs was found when the wind intensifies
261 during the afternoon between 17 and 19 hrs. A good model representation of the

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262 afternoon winds is key for a proper representation of coastal upwelling in the region.
 263 Finally, for each weather station, linear regressions and dispersion plots were done
 264 between the meridional component of simulated (4 km) and observed winds (Fig. 2d-f).
 265 A good agreement was observed for all the cases.

267 2.2 Upwelling estimates

268
 269 The relative importance of coastal upwelling due to coastal divergence (Smith, 1968) was
 270 estimated using wind stress obtained by the WRF model:

$$271 \quad Et = \frac{1}{\rho_w f} \tau \times \hat{k} \quad (1)$$

285 where Et is Ekman transport ($m^2 s^{-1}$), τ is the wind stress at the land-sea margin (~ 4 km
 286 from the coast), ρ_w is water density, f is the Coriolis parameter and k is a unit vertical
 287 vector. The vertical velocity from Ekman pumping was estimated using a definition given
 288 by Halpern (2002) and Renault et al. (2012).

$$289 \quad w_{EP} = \frac{Curl(\vec{\tau})}{\rho_w f} + \frac{\beta \tau_x}{\rho_w f^2} \quad (2)$$

291 where $\tau(x,y)$ is wind stress, β is the Coriolis parameter gradient and τ_x is the cross-shore
 292 wind stress. Latitude variations were not significant therefore the last term in equation (2)
 293 was neglected. In order to compare the two upwelling processes, Ekman pumping was
 294 converted into transport by integrating the vertical velocity within a certain distance from
 295 the coast, which in our case was the length scale of the wind drop-off (L_d) obtained from
 296 a reference value (defined by Renault et al., 2015) where cross-shore wind curl was $< -$
 297 $3 \times 10^{-5} s^{-1}$. The wind drop-off spatial length (L_d) varies meridionally (Fig. 3d-c).

298
 299 Note that if we assume that the wind is parallel to the coast and that the wind curl is
 300 dominated by its cross-shore gradient component (and this gradient is nearly constant in
 301 the drop-off zone), then the total upwelling transport is simply $\tau/(\rho f)$ or expressed as
 302 vertical velocity is $W = \tau/(\rho f L_d)$, where τ is the wind stress at L_d . Consequently it is

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321 | apportioned to Ekman transport and pumping according to the amount of drop-off (for
322 | more details see Renault et al., 2012). On the other hand, in our study region there is a
323 | marked decline toward the coast of the meridional wind component, therefore the wind
324 | drop-off has an impact on the total upwelling velocity. Thus a proper assessment of
325 | scales involved in both mechanisms is crucial to the upwelling problem.

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330 **3 Results and discussion**

331 **3.1 Mean wind stress curl and the wind drop-off spatial scale**

332
333 From the wind stress simulations (model wind outputs), we obtained the mean of the wind
334 stress curl in the three model domains with spatial resolutions of 36, 12 and 4 km (Fig. 3a-
335 c). The mean wind stress curl patterns show clear differences when resolution is increased.
336 In the simulations of higher resolution small scale or finer structures are well defined,
337 especially close to the coast, that are not present in the simulation of coarse resolution, and
338 that are not resolved or studied in previous studies (Aguirre et al., 2012; Renault et al.,
339 2012). The simulations with higher resolution (12 and 4 km) show a cyclonic wind stress
340 curl (negative) within the coastal band and within the Coquimbo bay system that is
341 associated to a positive Ekman pumping (producing upwelling). While in the oceanic sector
342 a less intense anticyclone wind curl predominates. The negative curl within the coastal band
343 is the result of an onshore decay in wind intensity (drop-off) that is characteristic from
344 EBUS systems (Capet et al., 2004; Renault et al., 2012).

345
346 In the central-northern Chile region the drop-off length scale (L_d) is between 8 and 45 km
347 (Fig. 3b-c, segmented yellow line). When the resolution of the model is increased, the wind
348 drop-off takes place closer to the coast and exhibits a larger meridional/latitudinal
349 variability, with in particular a larger drop-off scale, in the central region of the domain than
350 in the region south of 30.25°S. The meridional differences at L_d could be associated to
351 coastal orography and the shape of the coastline; this will be discussed later in section 3.3.
352 The finer structures in the wind stress curl close to shore, cannot be determined with
353 confidence from observations of the scatterometers of previous and current satellite
354 missions, such as QuikSCAT and/or other satellite, because of the blind zone in
355 measurements within the first 25 km from the shore. Note that the blind zone, increases to
356 50 km when wind stress curl is estimated, as the result of the estimate of the spatial
357 derivative.

358
359 Renault et al. (2012) based on atmospheric simulations (obtained with WRF) determined
360 that the extent of the wind drop-off was ~70 km. This length was different from the one

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368 obtained in this study (which varied between 8 and 45 km), possibly because of the lower
 369 resolution used in their study. To further explain the zonal wind structure and drop-off,
 370 Figure 3d shows zonal profiles of the meridional wind of the more exposed region. The
 371 results indicate a clear decay of the wind along the coast in the three simulations (36, 12
 372 and 4 km) that is not observed in the satellite data from QuikSCAT. It should be noted the
 373 small difference with the satellite product. As mentioned above, in the study region there is
 374 a lack of wind information within the coastal band that covers the blind zone of the
 375 satellites and that can be used for validation purpose. One of the first in situ measurements
 376 in the region were done during the field campaign CupEX (Garreaud et al., 2011). During
 377 this experiment a zonal profile of wind was measured using airborne meteorological
 378 techniques. These observations allowed detecting an atmospheric coastal jet with a marked
 379 daily cycle that extended north of Punta Lengua de Vaca towards the Coquimbo bay system.

380

381 Such a coastal jet is present in our simulations that produce a wind curl in the bay system,
 382 which affects the circulation and coastal upwelling in the region. Other recent wind
 383 observations were collected under the scope of this study (FONDECYT Postdoctoral
 384 project 3130671), and are presented in Figure 3e. These wind observations were made with
 385 a marine weather station (AirMar) installed on a fishing boat. Measurements were made for
 386 04/22/2014, 05/18/2014, 09/15/2014 and 10/28/2014. Although these measurements do not
 387 cover the period of the simulations, they are presented here to illustrate observed features of
 388 the zonal wind profiles in the southern region. Despite the large spatial and temporal
 389 variability of the observations, they suggest a tendency to a reduction of the along-shore
 390 winds toward the coast comparable to what is simulated by the model (Fig. 3d).

391

392 Focusing now on the model results, in our study region the atmospheric coastal jet extends
 393 from the coast for several tens of kilometers to the west, showing some nearshore
 394 maximums, like in Punta Lengua de Vaca (Garreaud and Muñoz, 2005; Muñoz and
 395 Garreaud, 2005, among others). In addition, near Punta Lengua de Vaca the atmospheric
 396 local and baroclinic jet (local origin), with a marked diurnal cycle has a maximum around
 397 18:00 (local time) (Garreaud et al, 2011; Rahn et al, 2011). We compared the differences
 398 between using of WRF wind averaged only during afternoon hours and wind averaged

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444 daily during the spring months (not shown). The simulation showed an intensification of
445 the wind in the afternoon, emphasizing the coastal jet at Punta Lengua de Vaca (~30.5°S,
446 south of Tongoy Bay), strong winds were also observed north of Punta Choros (29°S) and
447 south of 31°S. However, when we used the daily averages, we can distinguish the coastal
448 jet and high winds in Punta de Choros and south of 31°S, but with smaller magnitudes than
449 in the afternoon. This is due to the smoothing produced by the averaging to daily mean data.
450 On the other hand, if we look at the structure of Ekman pumping for the two cases, all
451 showed a similar pattern near the coast, with a positive values (favorable to upwelling), but
452 differed in their magnitude, which was greater in the afternoon. Therefore, we believe that
453 for the purposes of this manuscript, using daily averages of wind from the WRF simulation
454 time was valid.

455 3.2 Annual variability of the wind stress and Ekman pumping

456 The seasonal analysis of the wind stress and the Ekman pumping is based on the simulation
457 having the highest resolution (4 km), considering the daily average from instantaneous
458 wind values with an hourly sampling, over the period between 01/01/2007 and 12/31/2012.
459 Figure 4 presents the mean seasonal cycle of the wind stress for the study area in the coastal
460 fringe extending 150 km from the coast. The wind stress presents a seasonal and spatial
461 variability, with predominance of upwelling favorable winds (with equator-wards
462 component) during all the year round, with maximum values (~0.15 N/m²) between
463 September and November, which is characteristic of the central-northern region of Chile
464 (Shaffer et al., 1999, Rutllant and Montecino, 2002, Ranh and Garreaud, 2013). The
465 seasonal variability of the wind stress determines the behavior of the coastal upwelling and
466 primary productivity in the region. This is through two main mechanisms, the coastal
467 divergence (by Ekman transport) and the Ekman pumping, that will be evaluated in the
468 following section. The wind can also induce vertical mixing and in turn surface cooling;
469 this could even be of the same order of magnitude as the vertical advection (Renault et al.
470 2012). In general, these mechanisms may covary in time, responding to the seasonal cycle
471 of the wind stress; hence in a grouped statistical analysis (like SVD) it is difficult to isolate
472 the spatio-temporal combined variability of two mechanisms without rejecting the effect of
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487 the third. On the other hand, the model simulates well the coastal atmospheric jet observed
488 in the zone of Punta Lengua de Vaca (~30°S), in particular the maximum intensity during
489 spring (Rahn and Garreaud, 2011; Rahn and Garreaud, 2013).

490

491 Close to the coast, where the satellite data have no coverage or the estimate in wind stress is
492 uncertain (Fig. 1), a wind decay towards the coast (drop-off) is observed during practically
493 all the calendar months of the year, with still a more pronounced tendency in the period
494 between September and December. The horizontal gradient of the wind stress that is most
495 intense close to the coast produces a wind curl with a clockwise rotation direction (cyclonic
496 for the SH) generating a positive Ekman pumping favorable to the upwelling.

497

498 In addition to a non-uniform spatial distribution, the drop-off length (L_d) in the area of
499 interest also exhibits a marked seasonal variability. Based on an atmospheric simulation in
500 the west coast of USA, Renault et al. (2015) also suggested that the drop-off presents
501 seasonal and spatial variability, but with an extension ranging from between 10 to 80 km.
502 These authors propose that the drop-off dynamics of the wind is due mainly to orographic
503 effects and the shape of the coastline, reaching a maximal reduction of the wind (~80%)
504 when these are combined. According to these authors, the drop-off length scale of the wind
505 in front of Chile should be approximately 30 km, less than the scale off the west coast of
506 USA. This would result from the different shape of the Chilean coastline characterized by a
507 straighter coastline and the reduced numbers of capes compared to the US West coast. In
508 addition the Andes would induce a sharper onward decline of the wind (drop-off) than the
509 mountains of the west coast of the USA (Renault et al., 2015). In the section 3.3 the length
510 scale of the drop-off along the central-north coast of Chile will be analyzed in relation with
511 the coastal orography and the shape of the coastline.

512

513 Despite that the drop-off extension in front of central-northern Chile (~45 km) is on
514 average weaker than that estimated in the California currents system (Enriquez y Friehe,
515 1996; Renault et al., 2015), the wind-stress curl from this zonal gradient of the wind
516 generates an Ekman pumping with a marked seasonality (Fig. 5) and positive vertical

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519 velocities (upward) that reach 4 m day⁻¹, similar values to that obtained by Pickett and
520 Paduan (2003) in front of the region of the California current system.

521
522 The simulation (4 km) has allowed to depict and document the mesoscale atmospheric
523 circulation in the first 50 km of the coast (Fig. 3), where the spatial patterns of the Ekman
524 pumping are much more marked, especially at latitudes where there are sharp topographic
525 changes in the coastline (Fig. 5). Thus, structures of Ekman pumping are highlighted to the
526 north of the main headlands of the region (Punta Lengua de Vaca and Punta Choros), and
527 experience, a seasonal cycle. In addition, the Ekman pumping presents negative values
528 (downwelling) off shore associated to an anti-cyclonic wind curl around 28.5°S and
529 between 30°S and 31°S that reaches the greatest extent during August, while decreasing
530 considerably in the summer months and beginning of fall (Fig. 5). The difference for the
531 Ekman pumping between the mean spring and the rest of the seasons (*i.e.* summer, fall and
532 winter) indicate that the spring positive pumping dominates the other, specially north of
533 29°S, in the interior of the Coquimbo bay system and south of 31.5°S (not shown).

534
535 With the objective of analyzing in more details the seasonal and spatial variability of the
536 wind stress and its zonal gradient, three specific sectors of the study area were selected
537 (28.5°, 30.5° and 32.5°S), that are outside of the Coquimbo bay system (Fig. 6). As was
538 mentioned before, the region is characterized by a marked wind stress seasonality more
539 pronounced to the south of the study area (Fig. 6c). In general, the wind component along
540 the coast shown a predominance of southerly winds favorable to the upwelling during all
541 the year round, emphasizing a decrease in the wind stress towards the coast for the spring
542 and summer months at 32.5°S, and in summer at 28.5°S and 30.5°S. When estimating the
543 zonal gradient of the wind stress taking as a reference the wind at the coast, the most
544 intense positive gradients (due to the wind drop-off towards the coast) are obtained in a
545 coastal band with a width smaller than 50 km, indicating that the Ekman pumping is the
546 most effective inside the coastal band, as is evidenced in the Figures 4 and 5. On the other
547 hand, the negative zonal gradient extent (Ekman pumping and downwelling) is greater in
548 the sections located farther, the north, at 28.5°S and 30.5°S, than in the section, located at
549 32.5°S (Figs. 6 d, e and f), indicating that in the southern part of the study region, the

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561 positive Ekman pumping region **extends farther** than in the zones where the wind stress is
562 more intense seasonally close to the coast (Fig. 4).

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564 **3.3 Contributions of Ekman transport and Ekman pumping to the upwelling rate**

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565
566 The central-northern Chile continental shelf is very narrow and very steep so the scale of
567 coastal divergence is <10 km (considering the theoretical framework of Estrade et al.,
568 2008), while the scale of Ekman pumping considering L_d scale (previously defined, based
569 on Renault et al., 2015) is ~45 km. To compare the seasonal contribution of coastal
570 divergence and Ekman pumping to the total transport of coastal upwelling in the study
571 region, the annual cycle of coastal divergence was obtained first by taking the wind **of**
572 **WRF** closest to the coast (<8 km) and meridionally integrated every 0.25° (Fig. 7e), while
573 the annual cycle of Ekman pumping transport (**from wind of WRF**) was obtained by
574 integrating the vertical velocity from the shoreline to the distance corresponding to the
575 drop-off (L_d) value, also within 0.25° latitude bands (Fig. 7f).

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576
577 The results **indicate** a marked annual cycle with maximum vertical transport in the spring,
578 both induced by coastal divergence and Ekman pumping, with secondary maximum in
579 some areas during autumn accounting for a weaker semiannual component. As expected,
580 there is a large temporal coherency along the coast between both processes (the meridional
581 average correlation between Ekman pumping and transport reaches 0.8), except locally at
582 some latitudes (e.g. at 31.25°S) where there is a weak seasonal cycle in Ekman pumping
583 (Fig. 7f) due **to** either a weak drop-off or a compensation effect by the zonal wind stress
584 component. The high correlations indicate a seasonal consistency between both
585 mechanisms, which has been previously reported in other upwelling systems (e.g. Pickett
586 and Paduan, 2003; Renault et al., 2015). Although both mechanisms are highly correlated at
587 seasonal timescales, they exhibit significant differences in relative magnitude as a function
588 of latitude, *i.e.* when one is intense the other is weak. For instance, coastal divergence
589 strongly dominates over Ekman pumping between 30.25°S - 31.25°S (Fig. 7d), which is the
590 most recognized upwelling center in the region (located south of PLV), **as well as the**
591 **region**, between 28.5°S - 29.25°S (north of Punta Choros). In **those regions**, Ekman pumping

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599 tends to be weaker, while predominant for the area between 29.25 - 30.25°S, inside the
600 Coquimbo bay system and the area between 28.0°S - 28.75°S, north of LDH. South of
601 31.25°S, both mechanisms vary, meridionally more uniformly. The estimate of the
602 meridional correlation between both mechanisms as a function of calendar month, indicates
603 that they are better related in spring and summer (~-0.72) than in winter (~-0.45). Possible
604 processes that could explain the inverse (negative) spatial relationship between the two
605 mechanisms and its seasonal modulation are discussed below. **Before continuing, we**
606 **should mention that processes such as upwelling shadow can be important in the Coquimbo**
607 **bay system, and would affect the temperature distribution inside the bay, especially in the**
608 **southern part of the bay close to the coast, where higher temperatures are observed (and**
609 **higher thermal front) compared to the lower temperature area that extends north from Punta**
610 **Lengua de Vaca (Figure 10). In fact a study in the southern part of the Coquimbo bay**
611 **system (Moraga et al., 2011) shows cyclonic circulation when there are upwelling favorable**
612 **winds, the circulation is attributed to the separation of oceanic flow in Punta Lengua de**
613 **Vaca, which is in agreement with the process of upwelling shadow and mainly affects the**
614 **area indicated above. However, we think that this is not inconsistent with the effect of the**
615 **wind curl in the area, which would favor upwelling north of Punta Lengua de Vaca. The**
616 **oceanic response in the area clearly needs more attention and research in the future studies,**
617
618 Considering the influence of topography and the geometry of the coastline to describe the
619 spatial variability of the wind stress, (e.g. Winant et al., 1988; Burk and Thompson, 1996;
620 Haack, et al., 2001; Koracin et al., 2004; Renault et al., 2015, among others), we now
621 document the relationship between the relative importance of Ekman transport and
622 pumping, and the coastal topography and shape of the coastline in the region. An along-
623 coast orography index (H_{index}) is estimated from the average of the orographic height
624 between the coastline and 100 km inland (as in Renault et al. 2015). In addition, the
625 coastline meandering index (M_{index}) is estimated by converting the position of the coastline
626 into distances and afterward using a high variability-pass filter (with 10 km half- width) the
627 small fluctuations in the index are smoothed, consequently the index only considers the
628 abrupt change in coastline configuration at relatively large scale (Renault et al., 2015).
629 Figure 7a shows the H_{index} (black line) and M_{index} (red line). In the latter index negative

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647 values are associated with headlands, while positive values are associated with bays. The
648 drop-off scale and alongshore wind at the coast and at L_d are also included (Fig. 7b-c).
649 Note that L_d is inversely proportional to coastal wind (R^2 de ~ 0.81), while the wind
650 evaluated at L_d is spatially more homogenous. This differs from the results obtained by
651 Renault et al. (2015) along the western coast of USA. From the inspection of H_{index} , M_{index}
652 and L_d three scenarios are defined that could explain the observed upwelling pattern (Fig.
653 7d-f):

654
655 1. Prevalence of positive Ekman pumping: in sectors such as the Coquimbo bay system and
656 the region north of $28.5^\circ S$ (LDH), where the wind curl intensifies due to the sharp decline
657 of onshore wind, with a large drop-off scale (L_d). In addition, the combination of a high
658 orography (large H_{index}) and the presence of bays and headlands along the coastline favor a
659 decrease in the meridional onshore wind.

660
661 2. Prevalence of coastal divergence: in sectors characterized by a low topography (small
662 H_{index}) and a negative M_{index} due to the presence of headlands such as Punta Lengua de
663 Vaca and Punta Choros, with a drop-off scale (L_d) smaller and stronger winds alongshore
664 (Fig. 7b-c).

665
666 3. South of $31.25^\circ S$ the pattern is more complex than previous scenarios. Both mechanisms
667 are present but with a slight dominance of coastal divergence on Ekman pumping. South of
668 this latitude, L_d increases, coastal wind decreases and wind curl increases (Fig. 7b-c).
669 M_{index} shows the presence of small inlets and headlands and the orography index is
670 moderate high without largest changes as in the northern coastal region.

671
672 Renault et al. (2015) proposed that the coastal topography induces a decrease in the
673 intensity of the wind towards the coast through the vortex stretching term. Similarly,
674 Archer and Jacobson (2005) from atmospheric numerical simulations showed that the
675 topography in the Santa Cruz-California region, is required for the formation of turbulence
676 and vorticity. On the other hand, the shape of the coastline with capes and headlands
677 increases the orographic effect through the vortex stretching term, tilting-twisting and

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687 turbulent flux divergence (Archer and Jacobson, 2005; Renault et al., 2015). The sea-land
688 drag coefficient difference mainly acts as a barrier that turns the wind alongshore.

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689 Another minor factor is the sharp coastal sea surface temperature front associated with
690 upwelling. Renault et al (2015) show that in their sensitivity experiment adding a sharp
691 SST front over a coastal band strip leads to weaker surface wind associated with more
692 stable and shallow marine boundary layer. This response of wind may be due to so-called
693 "downward mixing" mechanism (Wallace et al., 1989; Hayes et al., 1989), which was used
694 by many authors to explain the observed tendency of surface winds to decelerate over
695 colder flank of the SST front and accelerate over warmer flank of the SST front (cf. Small
696 et al., 2008, and references therein): warm (cold) SST would destabilize (stabilize) the PBL
697 and cause enhanced (reduced) vertical turbulent mixing, increasing (decreasing) downward
698 fluxes of horizontal momentum from the faster flow above to the slower near-surface flow.
699 Nevertheless, a large SST anomaly (by -3 °C in the experiment of Renault et al., 2015) is
700 needed to induce a significant weakening of wind and significant additional wind drop-off.
701 Therefore, the SST effect can be considered as secondary compared to the orography effect
702 over the California coast.

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705 The combination of coastal topography and the presence of headlands, points and capes on
706 the United State (US) west coast, which induces a stronger and larger wind drop-off, which
707 in turn is associated with a positive Ekman pumping (Koracin et al., 2004; Renault et al.,
708 2015). This characteristic differs from what is observed along central-northern Chile, where
709 the larger drop-off (Ld) length, associated with a strong wind curl (Fig. 7b-c), takes place in
710 the presence of abrupt orography and within the Coquimbo bay system (30.25°S - 29.25°S).
711 There the cross-shore wind component is more intense and favors the wind curl, whereas,
712 with lower terrain and the presence of headlands the Ld is very small (cf. Fig. 10, Renault et
713 al., 2015). The origin of these differences is not well known; they may be due to several
714 factors or processes. For instance, the topographic terrain along the coast of northern Chile
715 is much higher (for the coastal range and Andes mountains) than the terrain along the west
716 coast of the US. Furthermore, a feature of particular interest north of Punta Lengua de Vaca
717 is the presence of the local atmospheric jet, which has a strong diurnal cycle and a clear

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743 seasonal variability, as a result of coastal topography that favors baroclinicity north of PLV
744 (Garreaud et al., 2011; Rahn et al., 2011). This feature would deserve further consideration
745 based on the experiments done with the regional atmospheric model, however this is
746 beyond the scope of the present study. Here the focus is on understanding possible effect of
747 the wind drop-off and its spatial and seasonal variability on the upwelling dynamics.

748
749 To determine the contribution of the two proposed mechanisms to the total upwelling in the
750 region, vertical transport due to coastal divergence and Ekman pumping were meridionally
751 integrated (from Fig. 7e and 7f, respectively). The contributions of both mechanisms to
752 upwelling (Fig. 8) have a clear annual cycle with a marked semiannual component.
753 Maximum values occur during October, with 0.23 and 0.14 Sv for Ekman transport and
754 Ekman pumping, respectively, while the sum of both is 0.37 Sv. In addition, coastal
755 divergence and Ekman pumping represent 60% and 40% of the total upwelling,
756 respectively. This indicates that Ekman transport is the stronger upwelling mechanism.
757 However, it should be noted that these values are the sum throughout the region, and these
758 percentages would change if specific sectors were considered especially where Ekman
759 pumping has a larger significance (Fig. 7).

760
761 Comparing our estimates with those obtained by Aguirre et al. (2012) from QuikSCAT
762 wind information using a larger region (~27.5°S - 40°S), it is observed that coastal
763 divergence from our study is lower, mainly because they estimated averages using only 2
764 values every day, which may influence the daily mean and therefore their estimates. Also
765 their analysis did not include the wind drop-off area. The winds used in their study are
766 stronger and so are their estimates for coastal divergence (cf. Fig. 7, Aguirre et al., 2012).
767 However, for Ekman pumping our results are only slightly smaller than theirs. This
768 difference is mainly due to differences in the method employed to estimate the vertical
769 upwelling transport. In particular they use a length scale (Ld) of 150 km from the coast for
770 their calculation, while in this study a value of 45 km was considered. However, the largest
771 differences in the estimates of the contributions of both mechanisms to total upwelling are
772 in the seasonal variability and the relative contribution to Ekman pumping. The seasonal
773 variability is composed of an annual cycle with a significant semiannual component,

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784 whereas that obtained by Aguirre et al., (2012) is rather dominated by the annual cycle.
785 This is because their estimates are based on the average over a larger region that includes
786 the central-southern Chile region, where the wind has a significant annual variability.
787 Moreover, the present results show a higher relative contribution of Ekman pumping to
788 total upwelling in our region. This is partly due to a different technique for estimating this
789 mechanism, the use of different wind products and the differences in the length of both
790 study areas.

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792 3.4 Annual variability of Ekman Pumping and its relationship with Sea Surface 793 Temperature near the coast

794
795 A link between SST and wind is found throughout the world's ocean wherever there are
796 strong SST fronts (see review by Xie, 2004; Chelton et al., 2007; Small et al., 2008). This
797 link raises the questions of to what extent the wind-drop off could be associated to marked
798 upwelling fronts in EBUS. In the context of our study, it consists in evaluating the
799 relationship between Ekman pumping and SST, considering that the difficulty to tackle this
800 issue is related to the fact that there is a large temporal coherence between Ekman pumping
801 and transport, preventing a clear identification of Ekman pumping-induced SST anomalies
802 where both processes are in phase. As an attempt to identify regions where Ekman pumping
803 has an imprint on SST, we use the Multi - Scale Ultra - High Resolution SST data set
804 (MUR, <http://mur.jpl.nasa.gov>) with a spatial resolution of 1 km, which was shown to
805 better capture SST fronts than other products off Peru (Vazquez et al., 2013). Figure 9
806 shows the annual cycle of the MUR SST. The satellite data were compared to *in situ*
807 observations that were obtained from 13 thermistors positioned close to surface along the
808 coastline between 28°S–32°S (these observations were obtained by Centro de Estudios
809 Avanzados en Zonas Aridas, Coquimbo, Chile) covering the period 09/2009–09/2012. The
810 correlations obtained between observations and satellite data were high (0.74-0.94 most
811 values were 0.8) and the RMS between their differences was low varying between 0.54 and
812 1.3°C. This provided confidence to use MUR temperatures close to the coast in the spatio-
813 temporal analysis done in the study region. The MUR data, showed that south of 28.5°S
814 there is a persistent surface cooling through all the year that increases in length (offshore)

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821 from ~10 km in the northern region to ~100 km in the southern region. Within this region
822 there are prominent upwelling centers, Punta Lengua de Vaca (~30.5°S), Punta Choros
823 (~29°S) and the region between 30.5°S – 33°S. During most of the year a cold surface
824 tongue projects offshore towards the great system of embayments of Coquimbo (with limits
825 between ~29.25°S and 30.25°S), north of Punta Lengua de Vaca. A less intense but with a
826 similar structure is observed north of Punta Loma de Hueso (~28.8°S).

827
828 An illustration of the effect of Ekman pumping on SST is presented in Figure 10 which
829 shows the October mean spatial distribution for wind stress, Ekman pumping, SST and SST
830 gradient. This month was selected because the maximum values of wind stress and
831 increased surface cooling are recorded during this period. During this month, the wind
832 stress (Fig. 10a) was intense with maximum values of ~0.15 Nm⁻², showing a clear zonal
833 gradient (drop-off) over the entire coastal band of the study area. Note that the maximum
834 wind stress is north of the two most prominent headlands of the region (PLV and LDH),
835 right where the wind abruptly changes direction, creating an intense cyclonic wind curl
836 north of both ends. As the result from the distribution pattern of the wind stress, wind curl
837 was negative in much of the area of interest resulting in a positive Ekman pumping with
838 vertical velocities of up to 4 m day⁻¹ near the coast (Fig. 10b). Also, there are two areas
839 with a slightly negative pumping (light blue regions), following the pattern of the wind
840 stress where the wind decreases away from the coast (see the wind vectors), producing a
841 positive curl and a negative Ekman pumping. Moreover, as mentioned above (see Fig. 7),
842 much of the southern spatial structure in Ekman pumping appears to be associated to the
843 coastal terrain and abrupt changes of the coastline. A good example of this is the tongue-
844 shaped structure that extends from the upwelled waters north of Punta Lengua de Vaca
845 entering the Coquimbo bay system, where the upwelling induced by the Ekman transport
846 seems not affected (Fig. 7). As the result of a positive Ekman pumping, cold water rises to
847 surface causing a decrease in sea surface temperatures in large part of the coastal region
848 (Fig. 10c). However, this cooling is not necessarily caused by Ekman pumping throughout
849 the region, there are other processes that would contribute to the surface cooling that will be
850 discussed later. Despite this, the cooling inside the Coquimbo bay system seems to be
851 caused largely by Ekman pumping. Moreover, outside the Coquimbo bay system high

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856 values ($>2^{\circ} \text{C km}^{-1}$) of the horizontal SST gradient **magnitude** are distributed in a band near
857 the coast, but not attached to it (Fig. 10d) as expected for upwelling fronts. Within the
858 Coquimbo bay system, there is a homogeneous temperature zone, delimited by a less
859 intense gradient in the west and a greater gradient in the smaller bays of the system, which
860 coincides with the structure of an Ekman pumping tongue projected to the north of Punta
861 Lengua de Vaca.

862

863 In order to further document the coupled spatio-temporal patterns of Ekman pumping and
864 the SST field, a Singular Value Decomposition analysis (SVD, Venegas et al., 1997) was
865 performed. The SVD method allows **determining** statistical modes (time/space) that
866 maximize the covariance between two data sets. Filtered time series (low pass filter with
867 mean half-power of 280 days) and standardized of Ekman pumping and SST-MUR for the
868 2007-2012 period were analyzed **using** this method (Fig. 11). In this case the SVD analysis
869 was successful in capturing a dominant seasonal mode. The first dominant mode accounts
870 for 99% of the covariance, with a 43% and 87% of the variance explained by Ekman
871 pumping and SST respectively. Ekman pumping spatial pattern presents maximum values
872 very close to the coast, primarily north of Punta Lengua de Vaca, inside the Coquimbo bay
873 system (29.3°S – 30.2°S) and north of Punta Choros (28°S - 29°S). Also, the pattern is
874 intense near the coast between 30.2°S (south of PLV) and 32.5°S . The spatial pattern for
875 SST presented areas with high variability associated with areas of maximum Ekman
876 pumping, highlighting the overall variability in the bay system of Coquimbo and the area
877 north of Loma de Hueso ($\sim 28.8^{\circ}\text{S}$). Moreover, the correlation between the time series of
878 expansion coefficient was -0.96 (with $R^2 = 0.92$ and significant at 95 %), indicating a
879 strong inverse relationship, consistent with that expected for a positive pumping with
880 **upward** vertical velocities, that causes a surface cooling in the region. This results in a
881 greater contribution to the north of headlands in the region (Punta Lengua de Vaca and
882 Loma de Hueso), even within the **Coquimbo bay system**, which is consistent with the
883 results observed in Figure 7. **However, despite the high correlation obtained between both**
884 **mechanisms within the seasonal scale we cannot infer a relationship with SST only from**
885 **Ekman pumping, especially where Ekman transport dominates. Also, other processes such**
886 **as the direct effect of wind must play a significant role**, eg. vertical mixing (Renault et al.,

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by other processes such as the direct effect of
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897 2012), or processes related to mesoscale activity (filaments, meanders, eddies, etc.), which
898 are more intense south of Punta Lengua de Vaca (Hormazabal et al., 2004), and/or in
899 general processes related to ocean-atmosphere interaction (Chelton et al., 2007; Renault et
900 al., 2015).

902 Finally, our analysis calls for more thorough study on the temperature response to wind
903 forcing, which should involve oceanic modeling at a resolution high enough to resolve finer
904 scale processes. The oceanic model could be forced by the high-resolution atmospheric
905 simulations presented in this study, improving in terms of resolution from previous
906 modeling efforts in the region (Renault et al., 2012). The use of a high-resolution coupled
907 ocean-atmosphere model would improve our understanding of the air-sea interactions along
908 our study region. A plan for the development of such model is under way and will be the
909 focus of our next study.

911 4.- Summary

913 The spatial and temporal variability (annual cycle) of the transport and Ekman pumping, as
914 well as their relative contribution to the total upwelling in the central-northern Chile was
915 studied using winds obtained from a nested configuration of the WRF model allowing to
916 reach 4-km resolution. The simulations showed a cyclonic wind curl (negative) on the
917 coastal-band nearshore and inside the Coquimbo bay system. This negative wind curl is
918 mainly due to the onshore decay of the wind (wind drop-off), which presented length scales
919 (Ld) between 8 and 45 km with a significant latitudinal variability. The wind drop-off scale
920 is in particular larger within 29.25°S-30.25°S and to the north of 28.5°S. When we
921 compared the drop-off scale with other upwelling regions, for example the coast of
922 California (Enriquez and Friehe., 1996; Renault et al, 2015), we find that it is lower in our
923 study region. For instance Ld ranges from 10 and 80 km within 35°N and 45°N (Renault et
924 al., 2015). Despite such difference, the wind stress curl that resulted from this zonal wind
925 shear, generated Ekman pumping with a marked seasonality and vertical velocities at the
926 surface that reached 4 m/day, values comparable to those observed in the California current
927 system.

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939 When comparing the seasonal contribution of coastal divergence and Ekman pumping to
940 the coastal upwelling transport in northern-central Chile, we find that there is a high
941 seasonal coherence between the two mechanisms (> 0.8) with a [maximum](#) during spring.
942 However, despite this high seasonal correlation there is a spatial alternation between them,
943 that is, where one is intense the other is weak. This pattern seems to be the result of a close
944 relationship between the topography of the coast, the shape of the coastline and the spatial
945 scale of the wind drop-off. From this information we defined three scenarios that could
946 explain the pattern of upwelling in the area.

947
948 Prevalence of positive Ekman pumping associated to large of L_d , observed in regions such
949 as the Coquimbo bay system and north of 28.5°S. [The combination of high terrain and the](#)
950 presence of bays and headlands along the coastline could explain the large L_d values.

951 • Prevalence of coastal divergence with smaller values of L_d and more intense winds near the
952 coast. This is observed in sectors characterized by a low topography and the presence of
953 headlands as Punta Lengua de Vaca and Punta Choros.

954
955 • Combination of both mechanisms where neither divergence nor coastal Ekman pumping
956 dominated over the other. [This take place to the south of 31.5°S.](#)

957
958 The 3-dimensional aspect of the coastal circulation in the region of interest (Aguirre et al.,
959 2012) prevents a clear identification of the role of each processes on SST variability,
960 although our SVD analysis reveals areas where the similarity of the patterns of Ekman
961 pumping and SST suggests a privileged forcing mechanism like within the Coquimbo bay
962 system and the area north of Loma de Hueso (~ 28.8 °S). Further studies based on the
963 experimentation with an regional oceanic model should be carried out to better identified
964 upwelling regimes by, for instance, using the model winds documented here at different
965 seasons to mimic changes in the drop-off. Considering the rich marine ecosystem hosted by
966 the region (Thiel et al., 2007), our interest goes to relate aspects of the meso to submeso
967 scale circulation (eddies and filaments) to the processes documented in this study. This is
968 planned for future work.

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971 Finally, the model allowed for an estimate of the near-shore (coastal fringe of ~50km) low-
972 level circulation and, evidences fine scale structure of the wind stress curl that cannot be
973 estimated from satellite observations. Considering the overall realism of the model
974 simulation, our study could be used to guide field experiments and gather in situ
975 measurements in order to gain further knowledge in the processes that constrain such
976 features

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979

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1257 **Table 1:** Information of the physics options and main features used in the simulations.

Parameterization	References
Microphysics: WRF Single-Moment 6-class scheme. A scheme with ice, snow and graupel processes suitable for high-resolution simulations.	(Hong et al. 2006)
Longwave/Shortwave radiation: Rapid Radiative Transfer Model (RRTMG). An accurate scheme using look-up tables for efficiency, accounts for multiple bands, trace gases, and microphysics species. It includes the Monte Carlo Independent Column Approximation MCICA method of random cloud overlap.	(Iacono et al. 2008).
Boundary layer: University of Washington Turbulent kinetic energy (TKE) Boundary Layer scheme. This scheme is TKE based, and it is characterized by the use of moist-conserved variables, an explicit entrainment closure, downgradient diffusion of momentum, and conserved scalars within turbulent layers.	(Bretherton and Park 2009)
Surface layer: Based on Monin-Obukhov with Carlsion-Boland viscous sub-layer and standard similarity functions from look-up tables.	(Paulson, C. A., 1970) (Dyer, A. J. et al., 1970) (Webb, E. K., 1970) (Beljaars, A.C.M., 1994) (Zhang and Anthes 1982)
Land surface model: The NOAH Land Surface Model. For land surface processes including vegetation, soil, snowpack and land atmosphere energy, momentum and moisture exchange.	(Tewari, M. et al., 2004)
Cumulus: Betts-Miller-Janjic scheme. Operational Eta scheme. Column moist adjustment scheme relaxing towards a well-mixed profile.	(Janjic, Z. I., 2000)

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1259 **FIGURE CAPTIONS**

1260

1261 **Figure 1.** Study area showing bathymetry and topography of the coastal terrain. The
1262 dotted thick line indicates the western boundary of the coastal band where satellite
1263 information (~25 km offshore) is absent. Red squares indicate the location of the three
1264 weather stations at Loma de Hueso, Punta Lengua de Vaca and Parral Viejo. The inset
1265 plot shows the three model domains used in the WRF simulations (36, 12 and 4 km).

1266

1267 **Figure 2.** Comparisons of the spatial patterns of the mean velocity fields of winds
1268 obtained (to same period 2007-2009) from a) QuikSCAT b) WRF simulation for the 36
1269 km grid configuration. c) Root Mean Square (RMS) differences between observations
1270 and model results. The lower panels show dispersion plots between the observed and
1271 modeled N-S winds at d) Loma de Hueso, e) Parral Viejo and f) Punta Lengua de Vaca
1272 (Fig.1). Red line represent to linear regress and black line is 1:1 relation.

1273

1274 **Figure 3.** Mean wind stress curl obtained by the model (from 2007-2012) using three
1275 model domains a) 36 km, b) 12 km and c) 4 km. The yellow dotted line represents the
1276 length scale of the wind drop-off determined from a threshold value of $-0.3 \times 10^{-4} \text{ s}^{-1}$
1277 (Renault et al., 2015). d) Mean zonal profiles of alongshore wind speed obtained from the
1278 three model configurations (36, 12 and 4 km) and QuikSCAT observations are shown. e)
1279 Zonal profiles of alongshore wind speed from a weather station obtained onboard of a
1280 fishing boat during 22 April (black line), 18 May (black dashed line), 15 September (red
1281 line) and 28 October (red dashed line) of 2014 are also shown. The segmented line in d)
1282 and e) indicates the location of the satellite blind spot.

1283

1284 **Figure 4.** Wind stress annual cycle obtained from the simulation at 4 km resolution (from
1285 2007-2012). Color represents the magnitude of wind stress (in Nm^{-2}) and the arrows
1286 indicate the wind stress direction.

1287

1288 **Figure 5.** Annual cycle of Ekman pumping (vertical velocity in md^{-1}) obtained from the
1289 simulation at 4 km resolution (from 2007-2012).

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1296 Figure 6. Hovmoller diagrams of alongshore wind stress seasonal cycle (top panels) and
1297 the zonal gradient of alongshore wind (lower panels) for the regions at 28.5°S (a, d),
1298 30.5°S (b, e) y 32.5°S (c, f). The monthly mean zonal wind stress and mean zonal
1299 gradient are also shown (side black line).

1300
1301 Figure 7. Contributions of the Ekman transport and Ekman pumping to the vertical
1302 transport near the coast. a) Integrated orography index (H_{index} , black line) and coastline
1303 meander index (M_{index} , red line, see text). b) Drop-off spatial length. c) Alongshore wind
1304 at L_d (red line) and coastal (black line). d) Ratio between Ekman pumping and Ekman
1305 transport e) Seasonal vertical transport associated with Ekman transport and f) seasonal
1306 vertical transport associated with Ekman pumping. To estimate the Ekman transport the
1307 wind stress closest to the coast was used, while Ekman pumping was integrated from the
1308 coast to the longitude corresponding to a distance from the coast equal to the length of the
1309 drop-off (see text).

1310
1311 Figure 8. Contributions of Ekman transport and Ekman pumping to the vertical transport
1312 near the coast (in Sv) over the study area (27.75°S-32.5°S, see Fig. 7). Seasonal vertical
1313 transport associated with Ekman transport (black line), Ekman pumping (red line) and
1314 total wind induced vertical transport (blue line, sum of both vertical transports). The
1315 estimates were carried out from the WRF simulation at 4 km resolution.

1316
1317 Figure 9. Annual cycle of sea surface temperature obtained using data from the Multi-
1318 scale Ultra-high Resolution (MUR). Top and bottom panels used a different colormap
1319 scale.

1320
1321 Figure 10. October mean spatial distribution for a) wind stress and b) Ekman pumping
1322 using the 4 km grid spacing simulation and c) sea surface temperature (SST) and d) SST
1323 gradient obtained from MUR observations.

1324
1325 Figure 11. First SVD mode between Ekman pumping (WEk) from the WRF simulation at
1326 4 km resolution and sea surface temperature (SST) from MUR data. a) The Ekman

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1329 | pumping spatial component. b) The SST spatial component. c) The black (red) line
1330 | represents the associated Ekman pumping (SST) time series. Note that the units are
1331 | arbitrary. 

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Luis Bravo 6-8-2016 22:45

Con formato: Fuente: 12 pt

FIGURES

Figure 1.

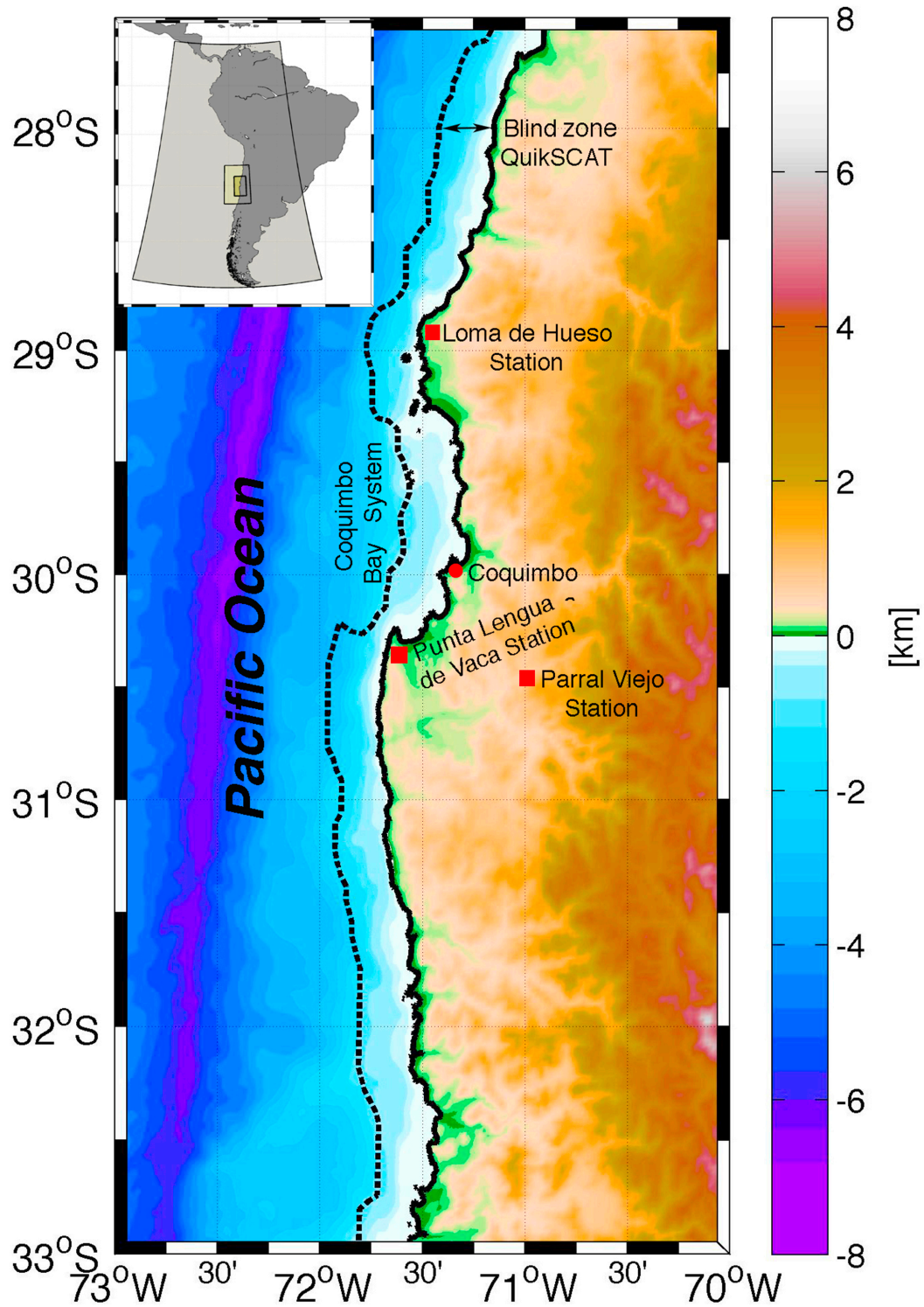


Figure 2.

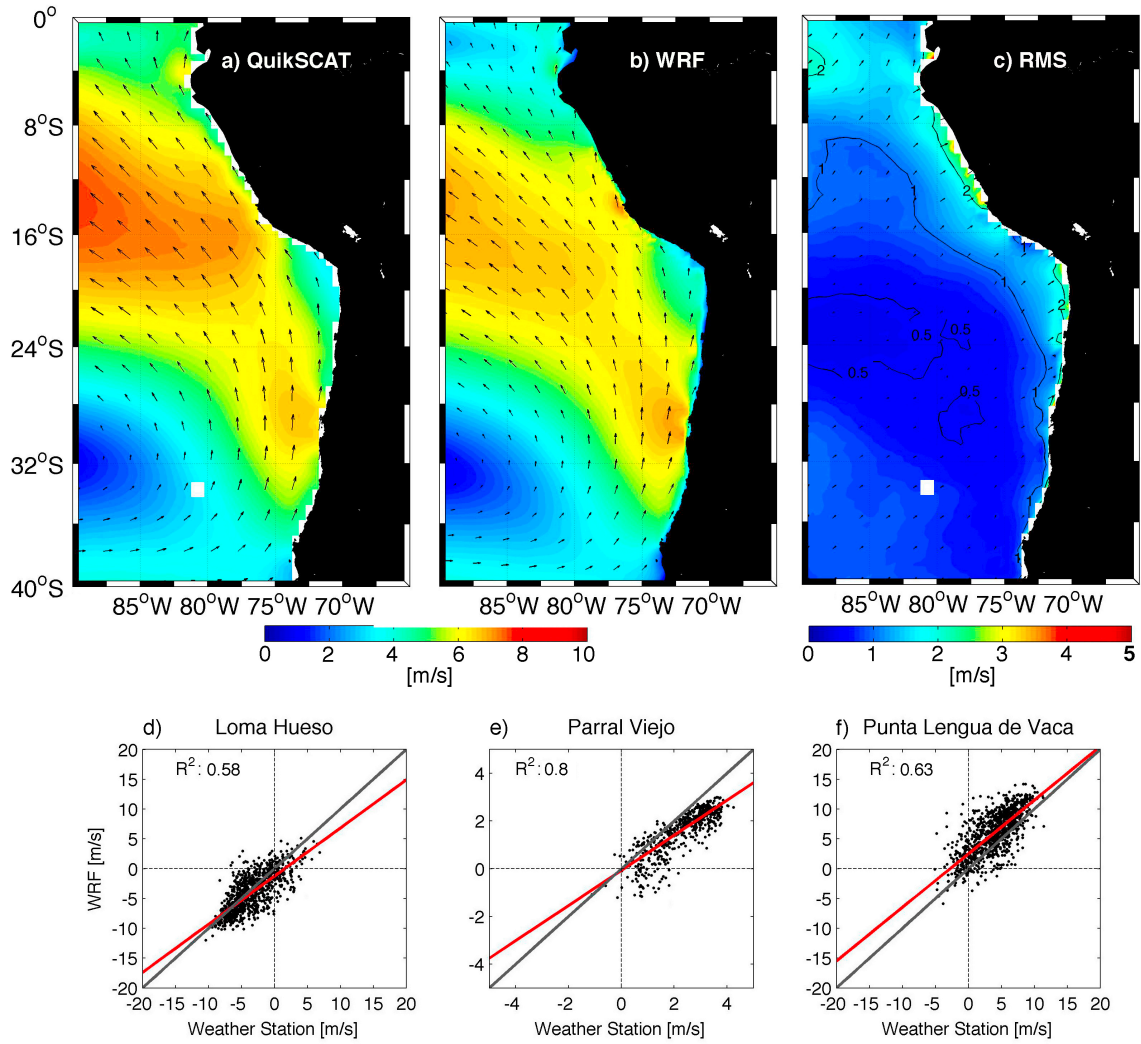


Figure 3.

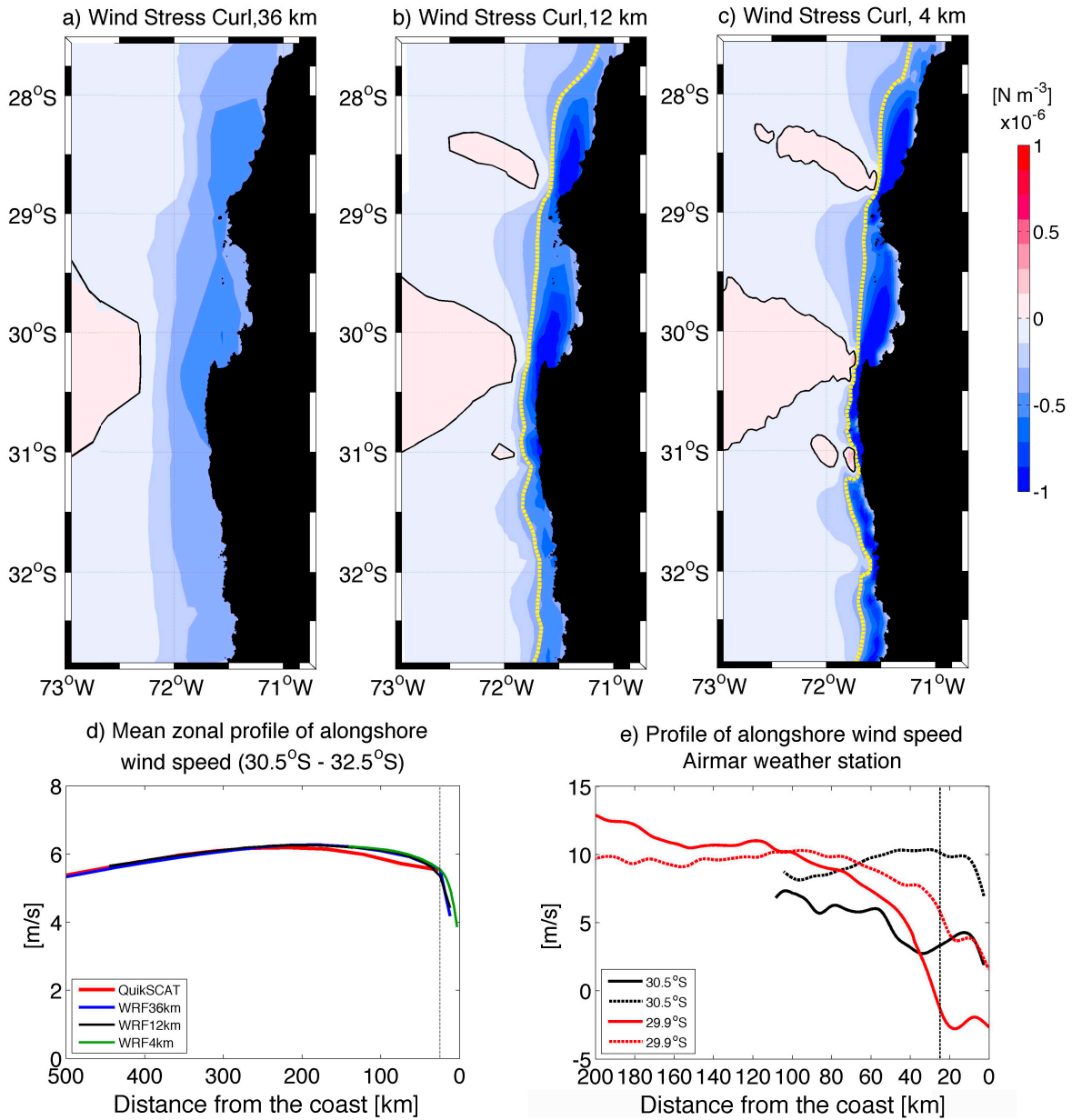


Figure 4.

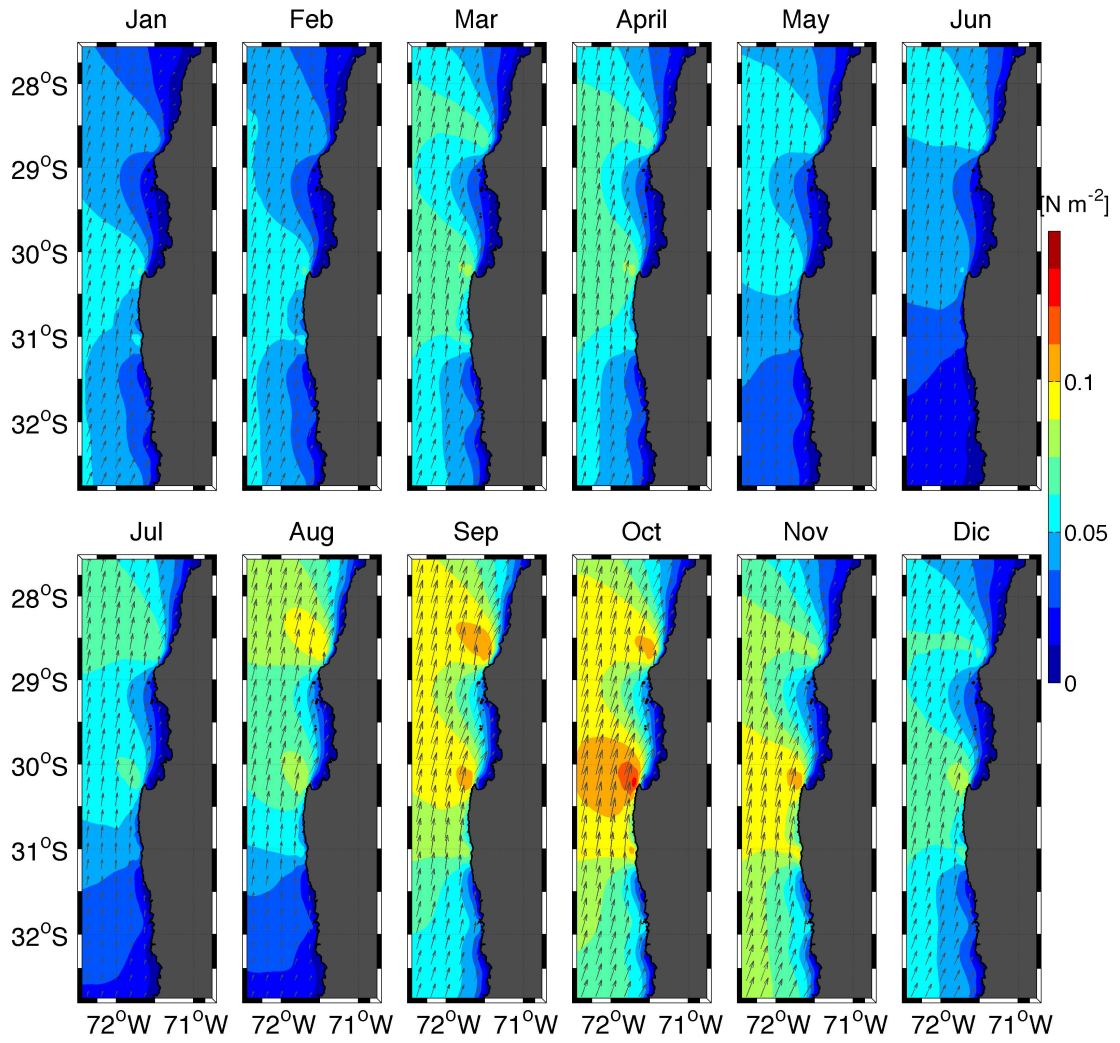


Figure 6.

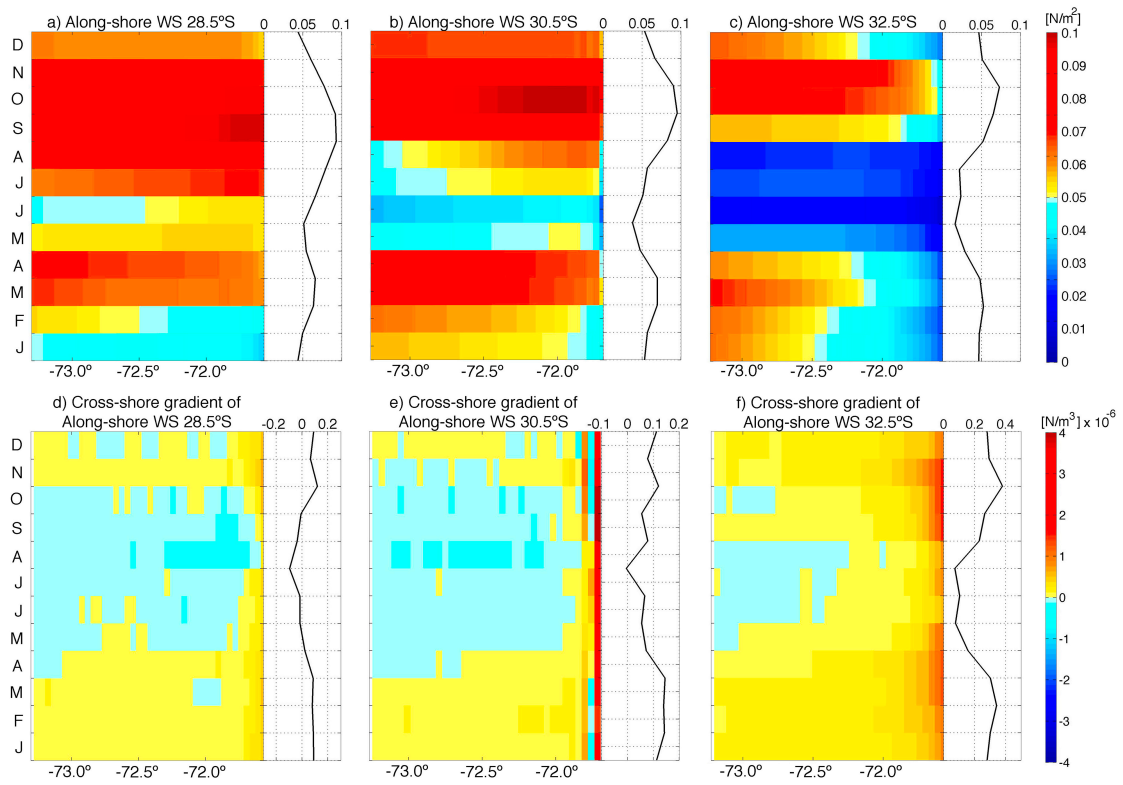


Figure 7.

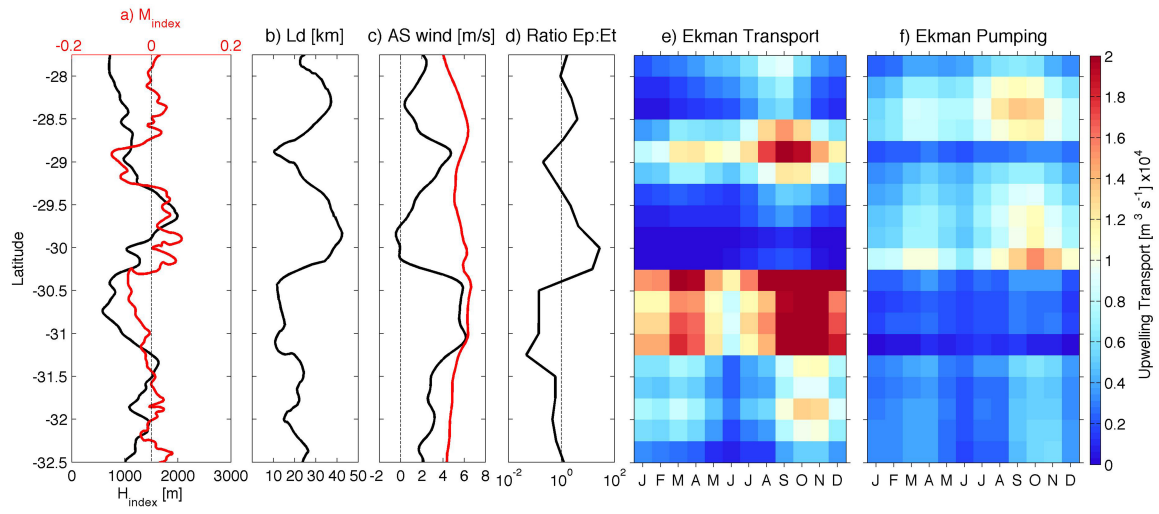


Figure 8.

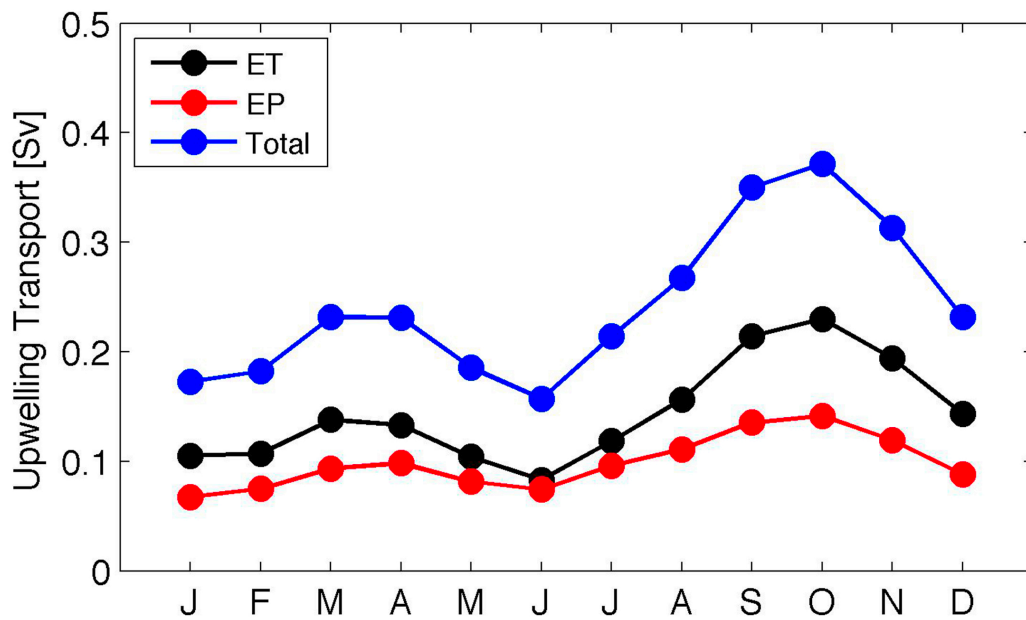


Figure 9.

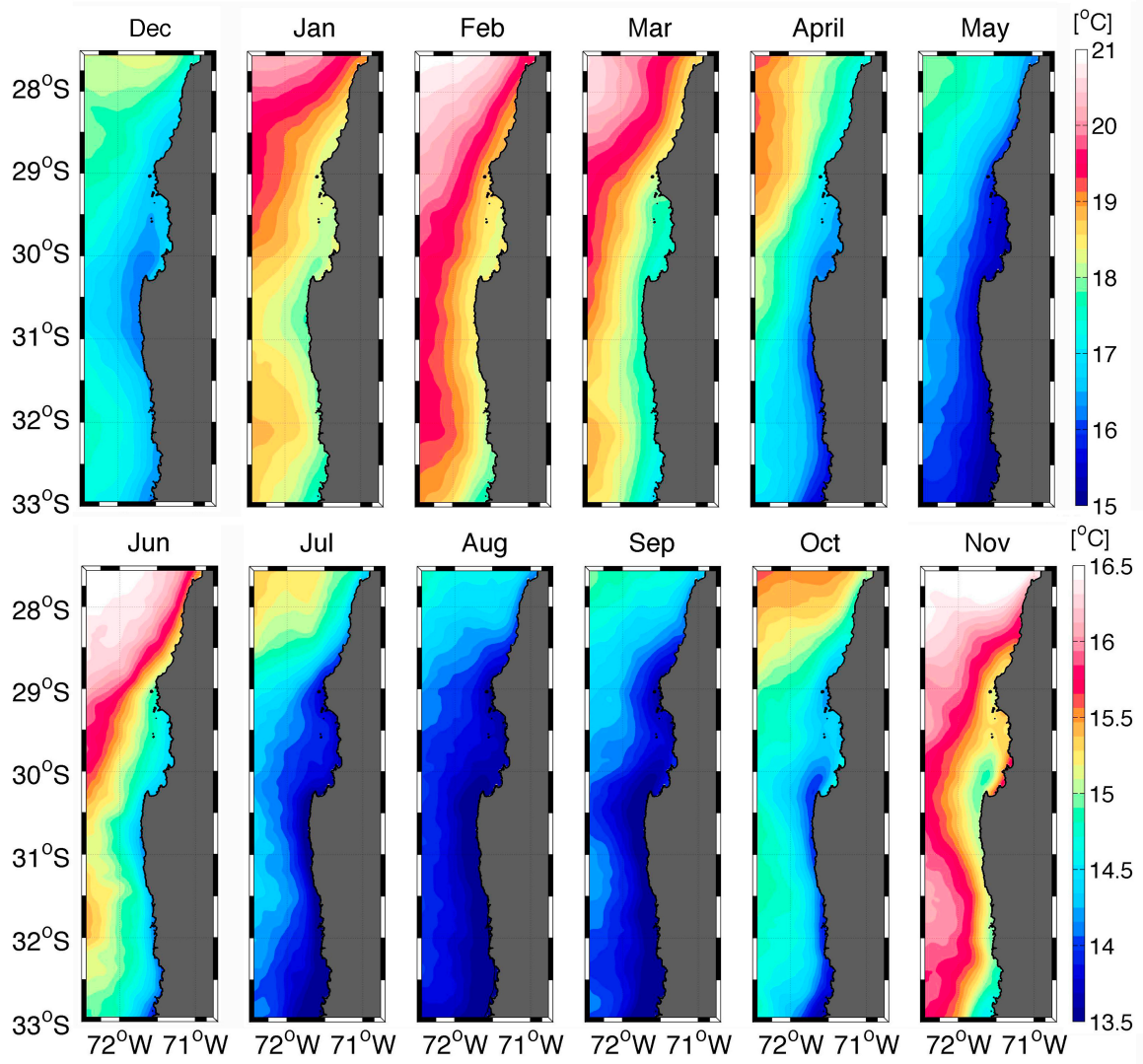


Figure 10.

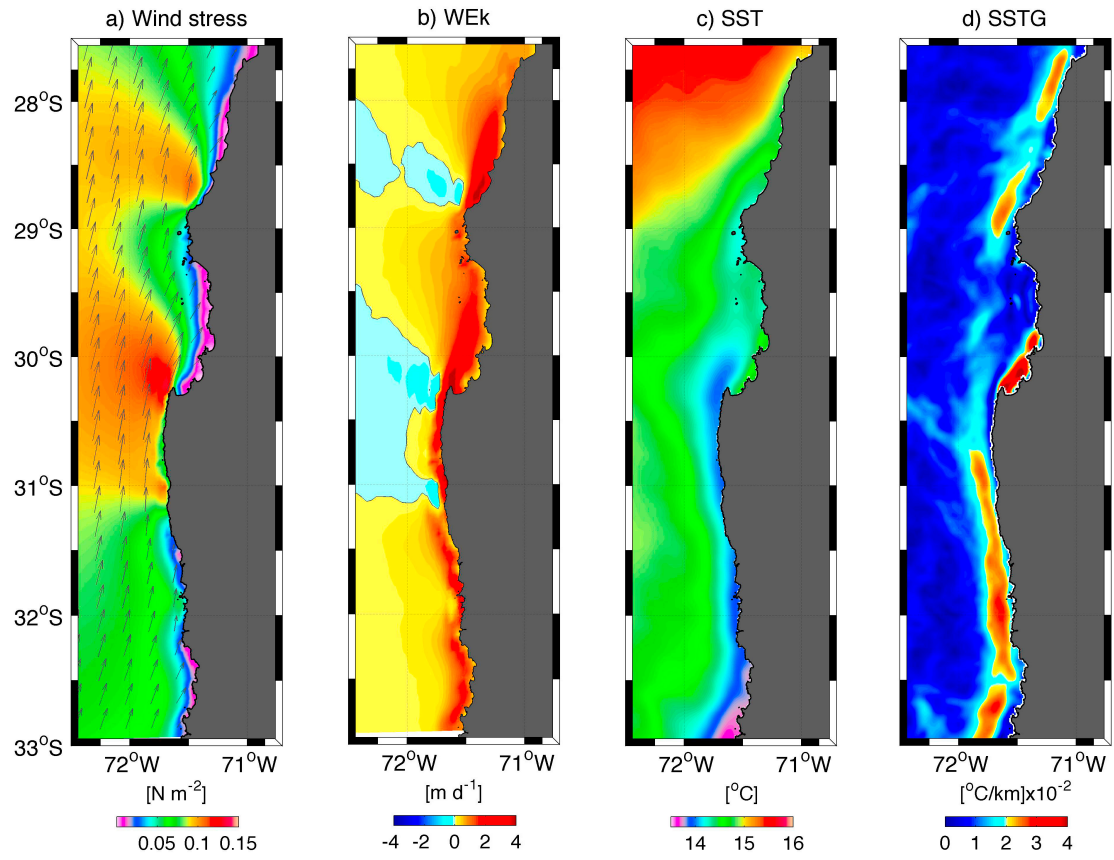


Figure 11.

