

# **Seasonal variability of the Ekman transport and pumping in the upwelling system off central-northern Chile (~30°S) based on a high-resolution atmospheric regional model (WRF)**

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23 **Abstract**

24 Two physical mechanisms can contribute to coastal upwelling in eastern boundary  
25 current systems, offshore Ekman transport due to the predominant along-shore wind  
26 stress and Ekman pumping due to the cyclonic wind stress curl, mainly caused by the  
27 abrupt decrease in wind stress (drop-off) in a cross-shore band of 100 km. This wind  
28 drop-off is thought to be an ubiquitous feature in coastal upwelling systems and to  
29 regulate the relative contribution of both mechanisms. It has been poorly studied along  
30 the central-northern Chile region because of the lack in wind measurements along the  
31 shoreline and of the relatively low-resolution of the available atmospheric reanalysis.  
32 Here, the seasonal variability in Ekman transport, Ekman pumping and their relative  
33 contribution to total upwelling along the central-northern Chile region (~30°S) is  
34 evaluated from a high-resolution atmospheric model simulation. As a first step, the  
35 simulation is validated from satellite observations, which indicates a realistic  
36 representation of the spatial and temporal variability of the wind along the coast by the  
37 model. The model outputs are then used to document the fine scale structures in the wind  
38 stress and wind curl in relation with the topographic features along the coast (headlands  
39 and embayments). Both wind stress and wind curl had a clear seasonal variability with  
40 annual and semiannual components. Alongshore wind stress maximum peak occurred in  
41 spring, second increase was in fall and minimum in winter. When a threshold of  $-3 \times 10^{-5}$   
42  $\text{s}^{-1}$  for the across-shore gradient of along-shore wind was considered to define the region  
43 from which the winds decrease toward the coast, the wind drop-off length scale varied  
44 between 8 and 45 km. The relative contribution of the coastal divergence and Ekman  
45 pumping to the vertical transport along the coast, considering the estimated wind drop-off  
46 length, indicated meridional alternation between both mechanisms, modulated by  
47 orography and the intricate coastline. Roughly, coastal divergence predominated in areas  
48 with low orography and headlands. Ekman pumping was higher in regions with high  
49 orography and the presence of embayments along the coast. In the study region, the  
50 vertical transport induced by coastal divergence and Ekman pumping represented 60%  
51 and 40% of the total upwelling transport, respectively. The potential role of Ekman  
52 pumping on the spatial structure of sea surface temperature is also discussed.

53

54 Keywords: drop-off, wind curl, upwelling, Ekman pumping

## 55 **1. Introduction**

56 In the eastern boundary current systems wind-induced upwelling has mainly been  
57 described using two primary mechanisms (Sverdrup et al., 1942; Gill 1982; Pickett and  
58 Paduan, 2003; Capet et al., 2004; Jacox and Edwards, 2012). The first one is coastal  
59 divergence which is the result of offshore Ekman transport due to alongshore winds (with  
60 an equatorward component) and earth's rotation and the presence of the coast (i.e. coastal  
61 upwelling). The second one is Ekman pumping which is the result of a cyclonic wind  
62 stress curl caused mainly by the wind drop-off that extends only tens of km in width  
63 along the coast, and is a typical feature of the eastern boundary current systems (Bakun  
64 and Nelson, 1991; Pickett and Paduan, 2003; Capet et al., 2004; Jacox and Edwards,  
65 2012). Starting in the mid 1970s, a series of studies began assessing the contribution of  
66 Ekman pumping on coastal upwelling for the California Current System (Halpern, 1976;  
67 Nelson, 1977), which later expanded to the other four upwelling systems (Bakun and  
68 Nelson, 1991). In one of these four regions, the coast of north and central Chile, this  
69 mechanism has been poorly evaluated, primarily due to the scarcity of *in situ* data,  
70 limitations in diffusiometer winds that have a "blind zone" near the coast and the  
71 relatively low spatial resolution of the atmospheric reanalysis. This has caused a limited  
72 progresses in the understanding of the upwelling dynamics and the coastal circulation of  
73 the region, among other factors.

74

75 Coastal upwelling has been widely studied in several regions of the world, in particular  
76 along the Eastern Boundary Upwelling Systems (EBUS). Currently, there is no  
77 generalized conceptual model for the upwelling structure that considers the region near  
78 the coast, the coastal boundary and the open ocean (Mellor, 1986; Marchesiello and  
79 Estrade, 2010). Traditionally a simple relationship based on wind stress along the coast  
80 has been used as an index of the coastal upwelling intensity (Bakun, 1973), this  
81 approximation does not consider other more complex physical processes, such as the  
82 wind curl (Pickett and Paduan, 2003; Capet et al., 2004; Jacox and Edwards, 2012) and  
83 the geostrophic flow toward the coast, which is in balance with the along shore pressure  
84 gradient and could potentially limit upwelling (Marchesiello et al., 2010; Marchesiello  
85 and Estrade, 2010). In the case of the wind curl, several modeling studies from different



86 upwelling systems suggest that wind stress decreases within a narrow coastal band of 10-  
87 80 km called wind "drop-off" (Capet et al., 2004; Bane et al., 2005; Perlin et al., 2007;  
88 Renault et al., 2012; Renault et al., 2015) that is highly sensitive to the resolution of the  
89 model. Thus, regional ocean modeling studies show that the upwelling response is  
90 sensitive to the transition in the structure of the wind near the coast (Capet et al., 2004;  
91 Jacox and Edwards, 2012), where the structure and physical forcing of the transitional  
92 coastal wind profile is not well understood (Jin et al., 2009). In the literature at least three  
93 main hypotheses have been proposed to explain the weakening of the wind close to the  
94 coast (drop-off) that generates the wind stress curl within the coastal band. The first is  
95 related to the change of surface and boundary layer friction in the land-sea interface  
96 (Capet et al., 2004). The second is related to the ocean-atmosphere coupling between the  
97 sea surface temperature (SST) and the wind (Chelton et al., 2007), particularly cold water  
98 upwelling tend to stabilize the atmospheric boundary layer, decoupling the high  
99 atmospheric circulation from the surface circulation. The last one is related to coastal  
100 orography (Edwards et al., 2001), coastline shape (Perlin et al., 2011), and the  
101 combination of both (Renault et al., 2015) constraining the vorticity budget of the low-  
102 level atmospheric circulation. Other possible mechanisms that could potentially  
103 contribute to wind drop-off near the coast are the effects of sea breeze and pressure  
104 gradients (across or along the coast) at sea level.

105

106 The central-northern Chile region is characterized by nutrient rich cold surface waters,  
107 attributed to the surface circulation of the Humboldt system and mainly coastal upwelling  
108 driven by along shore winds that are associated with the southeast Pacific anticyclone  
109 (Shaffer et al., 1999; Halpern, 2002). A strong seasonal variability of the southeast  
110 Pacific anticyclone produces favorable upwelling winds to peak during spring and  
111 summer and decrease during winter (Strub et al., 1998). Within central-northern Chile the  
112 area around 30°S is characterized by the most intense upwelling favorable winds (Shaffer  
113 et al., 1999; Rutllant and Montecino, 2002). Additionally, local high frequency forcing in  
114 the region is associated with atmospheric coastal jets with periods less than 25 days, that  
115 are related to synoptic dynamics of the mid-latitude pressure perturbations, in this case  
116 high pressures, that migrate toward the east (Muñoz and Garreaud, 2005; Rahn and

117 Garreaud, 2013) and play a major role in coastal upwelling (Renault et al., 2009, 2012;  
118 Aguirre et al., 2012). All these features make the region a natural laboratory to explore  
119 the forcing mechanisms and describe the physical processes that modulate coastal  
120 upwelling.

121

122 In a recent modeling study Renault et al. (2012) analyzed the main physical processes  
123 that explain changes in sea surface temperature in an upwelling event during the  
124 occurrence of an atmospheric coastal jet along the central-northern Chile region. The  
125 results showed a clear drop-off of the coastal wind that was not observed in the  
126 QuikSCAT data, due to the “blind zone” in the satellite measurements (~25 km offshore).  
127 The oceanic response to the atmospheric coastal jet produced significant cooling of the  
128 sea surface that significantly contributed to ocean vertical mixing equivalent to the  
129 magnitude of the vertical advection near the coast. Their sensitivity analyses showed that  
130 the response of the coastal ocean highly depends on the representation of the wind drop-  
131 off. This is because the total upwelling (*i.e.* the sum of coastal upwelling and Ekman  
132 pumping) depends on the scale of the wind drop-off. The authors suggest that there is a  
133 negative effect on coastal upwelling, due to a reduced Ekman transport near the coast that  
134 is not balanced by Ekman pumping. In addition, the drop-off has a strong effect on  
135 vertical mixing and consequently the cooling of the coastal ocean. In a previous modeling  
136 study Capet et al. (2004) off the coast of California suggested that a poor representation  
137 of the wind drop-off could underestimate Ekman pumping and overestimate coastal  
138 upwelling (and vice versa), with consequences for the coastal circulation processes.  
139 Meanwhile, Garreaud et al. (2011) using observations found a local atmospheric coastal  
140 jet just north of one of the most prominent geographic points of the region: Punta Lengua  
141 de Vaca (see Fig. 1). This coastal jet shows a distinct daily cycle as the result of the  
142 strong baroclinicity due to heating differential in the region. In a later study Aguirre et al.  
143 (2012) using climatological QuikSCAT winds to force a regional ocean model, found the  
144 importance of the wind stress curl over the regional circulation exerting control over the  
145 seasonal cycle of an Equatorward coastal jet. This study also evaluated the contribution  
146 of Ekman pumping to the total upwelling, which was not well resolved due to a poor  
147 resolution of the satellite winds within the first 30 km near the coast. In particular, due to

148 the narrow continental shelf off central-northern Chile, the cells of upwelling due to  
149 coastal divergence are trapped near the coast (Estrade et al., 2008), consequently the use  
150 of QuikSCAT winds could be overestimating the effect of upwelling driven by coastal  
151 divergence and Ekman pumping.

152

153 Although previous studies have documented the importance of the wind stress curl near  
154 the coast of central Chile (Renault et al, 2012; Aguirre et al, 2012), the impact of the  
155 abrupt transition of the wind near the coast (*i.e.* drop-off) and its seasonal variability on  
156 upwelling are still poorly understood. Here, prior to addressing this issue from an oceanic  
157 perspective, our objective is to document the wind stress curl (drop-off) and its seasonal  
158 variability off central-northern Chile ( $\sim 30^\circ\text{S}$ ) using a high resolution ( $\sim 4$  km)  
159 atmospheric model. Our focus is on the Ekman pumping and its contribution to the total  
160 upwelling, and the factors that could contribute to its meridional variability (*i.e.*  
161 topography, coastline and air-sea interactions).

162

163 The paper is organized as follow: a description of the atmospheric simulations and the  
164 methods used to estimate different upwelling terms are described in section 2. The  
165 section 3, presents results and discussions and was subdivided into four subsections. The  
166 first one describes wind stress curl pattern and the spatial scale of the wind drop-off. The  
167 second and third, presents an analysis of the annual variability in Ekman Pumping and  
168 coastal divergence, and their relationship with coastal topography and their contribution  
169 to upwelling transport. The fourth relates the study relates Ekman Pumping transport to  
170 sea surface temperature near the coast. Finally, section 4 presents a summary.

171

## 172 **2 Methods and Model Configuration**

### 173 2.1 Model Output

174

175 The Weather Research and Forecasting (WRF) model version 3.3.1 (Skamarock and  
176 Klemp, 2008) was configured with three nested domains (Fig. 1) with increasing  
177 horizontal grid spacing over the region of interest by a factor of 3 from one domain to the  
178 next. The largest synoptic domain covers most of South America and the eastern Pacific

179 in a Mercator projection with a horizontal resolution of 36 km. The northerly extension of  
180 the parent domain extend to 10°N because beyond the focus of the present study we are  
181 also interested (future work) in assessing the impact of the downscaled winds from the  
182 coarse domain over a regional ocean model of the Humboldt system whose domain  
183 extends from 5°N to 40°S following the approach of Cambon et al. (2013). The second  
184 domain covers the coast of north-central Chile (25°-35° S) with a horizontal resolution of  
185 12 km. The innermost domain is centered over the Coquimbo bay system with a  
186 horizontal grid spacing of 4 km (Fig. 1). The use of such near-kilometer resolution  
187 improves the representation of complex terrain and is necessary for dynamical  
188 downscaling of near-surface wind speed climate over complex terrain (Horvath, 2012).  
189 WRF employs a terrain-following hydrostatic-pressure coordinate in the vertical, defined  
190 as eta ( $\eta$ ) levels, here a total of 42  $\eta$  levels were used in the vertical with increasing  
191 resolution toward the surface, 20 of them in the lowest 1.5 km with ~30 m in the vertical  
192 for the surface level, such telescopic resolution is a common choice in precedent studies  
193 to properly simulate the MBL depth over the ocean (Muñoz and Garreaud, 2005; Rahn  
194 and Garreaud 2013; Toniazzo et al, 2013; Renault et al 2012; Rutllant et al, 2013).

195

196 Given the complex interactions between alongshore winds, topography, cloudiness, land  
197 heating and coastal upwelling in the study region (Rahn and Garreaud 2013; Wood et al.,  
198 2011; Toniazzo et al., 2013) we have tested the WRF model in different combinations of  
199 parameterizations (cumulus - planetary boundary layer - soil model), surface data (SST  
200 forcing, topography and land surface) and nesting technique. A set of eight sensitivity  
201 simulations (for more details see response to referee 1, [http://www.ocean-sci-](http://www.ocean-sci-discuss.net/os-2015-94/#discussion)  
202 [discuss.net/os-2015-94/#discussion](http://www.ocean-sci-discuss.net/os-2015-94/#discussion)) was carried out for the control period, i.e. from 1  
203 October 2007 to 31 December 2007 corresponding to the upwelling season in north  
204 central Chile. The results were evaluated against surface observations from  
205 meteorological automatic stations and scatterometers (QuikSCAT, ASCAT), particular  
206 attention was paid to the shoreward decrease and temporal variability of the surface wind  
207 speed near the coast. The configuration with the best estimates of observed surface  
208 variability and mean state was then used for the long simulation 2007-2012 (Table 1).

209

210 The initial and Lateral Boundary and Conditions (LBC) were derived from the National  
211 Centers for Environmental Prediction (NCEP) Final Analysis Data (FNL) (Kalnay et al.  
212 1996; available online at <http://dss.ucar.edu/datasets/ds083.2/>) at  $1^\circ \times 1^\circ$  global grids  
213 resolution every six hours. The boundary conditions are prescribed over the coarser  
214 domain with the depth of 5 grid-cells where simulated variables are relaxed towards the  
215 FNL solution. The SST forcing data are based on the daily Operational Sea Surface  
216 Temperature and Sea Ice Analysis (OSTIA) at  $0.05^\circ \times 0.05^\circ$  global grids resolution (Stark  
217 et al. 2007). To include the diurnal cycle we have calculated the 6-h anomalies with  
218 respect to the daily mean from the six hours FNL SST and then added to the daily OSTIA  
219 SST. In this way we generate the 6-h lower boundary updates with the same update rate  
220 used for the LBCs as Renault et al. 2015.

221

222 For each year the model was re-initialized with the FNL reanalysis every three months  
223 leaving 6 overlap days as a spin-up, the outputs during this period were excluded from  
224 the analysis, this scheme was suggested by Lo et al. (2008) in order to mitigate the  
225 problems of systematic error growth in long integrations and inconsistencies between the  
226 flow developing and the lateral boundary conditions. The instantaneous model diagnosis  
227 were stored at hourly intervals, the time steps were set to 108, 36 and 12 seconds for the  
228 domains of 36, 12 and 4 km resolution respectively.

229

230 The simulated winds were validated using QuikSCAT and observations from two  
231 weather stations near the coast in Loma de Hueso (LDH) and Punta Lengua de Vaca  
232 (PLV) and a third station farther inland named Parral Viejo (Fig. 1 and 2). A spatial  
233 comparison for 2007-2009 was done between satellite and WRF using the coarse  
234 resolution grid (36 km). The comparison showed a good agreement between observations  
235 and modeling results with a similar spatial structure and magnitudes of the same order,  
236 especially within the study region ( $27^\circ\text{S}$ - $33^\circ\text{S}$ ). The root mean square (RMS) of the  
237 difference for observations and model results was less than  $1 \text{ m s}^{-1}$  (Fig. 2c). The high-  
238 resolution model outputs (4 km) were also compared with available observations. Initially,  
239 for each of the weather stations daily wind cycles were compared with simulations (not  
240 shown). The results indicate a better fit in diurnal variability when the model is forced

241 with SST (OSTIA), which was finally chosen for the simulations performed in this study.  
 242 The best fit between observations and model outputs was found when the wind intensifies  
 243 during the afternoon between 17 and 19 hrs. A good model representation of the  
 244 afternoon winds is key for a proper representation of coastal upwelling in the region.  
 245 Finally, for each weather station, linear regressions and dispersion plots were done  
 246 between the meridional component of simulated (4 km) and observed winds (Fig. 2d-f).  
 247 A good agreement was observed for all the cases.

248

## 249 2.2 Upwelling estimates

250

251 The relative importance of coastal upwelling due to coastal divergence (Smith, 1968) was  
 252 estimated using wind stress obtained by the WRF model:

253

254

$$255 \quad Et = \frac{1}{\rho_w f} \tau \times \hat{k} \quad (1)$$

267 where  $Et$  is Ekman transport ( $m^2 s^{-1}$ ),  $\tau$  is the wind stress at the land-sea margin ( $\sim 4$  km  
 268 from the coast),  $\rho_w$  is water density,  $f$  is the Coriolis parameter and  $k$  is a unit vertical  
 269 vector. The vertical velocity from Ekman pumping was estimated using a definition given  
 270 by Halpern (2002) and Renault et al. (2012).

271

$$272 \quad w_{EP} = \frac{Curl(\vec{\tau})}{\rho_w f} + \frac{\beta \tau_x}{\rho_w f^2} \quad (2)$$

273 where  $\tau(x,y)$  is wind stress,  $\beta$  is the Coriolis parameter gradient and  $\tau_x$  is the cross-shore  
 274 wind stress. Latitude variations were not significant therefore the last term in equation (2)  
 275 was neglected. In order to compare the two upwelling processes, Ekman pumping was  
 276 converted into transport by integrating the vertical velocity within a certain distance from  
 277 the coast, which in our case was the length scale of the wind drop-off ( $L_d$ ) obtained from  
 278 a reference value (defined by Renault et al., 2015) where cross-shore wind curl was  $< -$   
 279  $3 \times 10^{-5} s^{-1}$ . The wind drop-off spatial length ( $L_d$ ) varies meridionally (Fig. 3b-c).

280

281 Note that if we assume that the wind is parallel to the coast and that the wind curl is  
282 dominated by its cross-shore gradient component (and this gradient is nearly constant in  
283 the drop-off zone), then the total upwelling transport is simply  $\tau/(\rho f)$  or expressed as  
284 vertical velocity is  $W = \tau/(\rho f L_d)$ , where  $\tau$  is the wind stress at  $L_d$ . Consequently it is  
285 apportioned to Ekman transport and pumping according to the amount of drop-off (for  
286 more details see Renault et al., 2012). On the other hand, in our study region there is a  
287 marked decline toward the coast of the meridional wind component, therefore the wind  
288 drop-off has an impact on the total upwelling velocity. Thus a proper assessment of  
289 scales involved in both mechanisms is crucial to the upwelling problem.

290

291

## 292 **3 Results and discussion**

### 293 **3.1 Mean wind stress curl and the wind drop-off spatial scale**

294

295 From the wind stress simulations (model wind outputs) we obtained the mean of the wind  
296 stress curl in the three model domains with spatial resolutions of 36, 12 and 4 km (Fig. 3a-  
297 c). The mean wind stress curl patterns show clear differences when resolution is increased.  
298 In the simulations of higher resolution small scale or finer structures are well defined,  
299 especially close to the coast, that are not present in the simulation of coarse resolution, and  
300 that are not resolved or studied in previous studies (Aguirre et al., 2012; Renault et al.,  
301 2012). The simulations with higher resolution (12 and 4 km) show a cyclonic wind stress  
302 curl (negative) within the coastal band and within the Coquimbo bay system that is  
303 associated to a positive Ekman pumping (producing upwelling). While in the oceanic sector  
304 a less intense anticyclone wind curl predominates. The negative curl within the coastal band  
305 is the result of an onshore decay in wind intensity (drop-off) that is characteristic from  
306 EBUS systems (Capet et al., 2004; Renault et al., 2012).

307

308 In the central-northern Chile region the drop-off length scale ( $L_d$ ) is between 8 and 45 km  
309 (Fig. 3b-c, segmented yellow line). When the resolution of the model is increased, the wind  
310 drop-off takes place closer to the coast and exhibits a larger meridional/latitudinal  
311 variability, with in particular a larger drop-off scale in the central region of the domain than  
312 in the region south of  $30.25^\circ\text{S}$ . The meridional differences of  $L_d$  could be associated to  
313 coastal orography and the shape of the coastline; this will be discussed later in section 3.3.  
314 The finer structures in the wind stress curl close to shore, cannot be determined with  
315 confidence from observations of the scatterometers of previous and current satellite  
316 missions, such as QuikSCAT and/or other satellite, because of the blind zone in  
317 measurements within the first 25 km from the shore. Note that the blind zone increases to  
318 50 km when wind stress curl is estimated, as the result of the estimate of the spatial  
319 derivative.

320

321 Renault et al. (2012) based on atmospheric simulations (obtained with WRF) determined  
322 that the extent of the wind drop-off was  $\sim 70$  km. This length was different from the one



323 obtained in this study (which varied between 8 and 45 km), possibly because of the lower  
324 resolution used in their study. To further explain the zonal wind structure and drop-off,  
325 Figure 3d shows zonal profiles of the meridional wind of the more exposed region. The  
326 results indicate a clear decay of the wind along the coast in the three simulations (36, 12  
327 and 4 km) that is not observed in the satellite data from QuikSCAT. It should be noted the  
328 small difference with the satellite product. As mentioned above, in the study region there is  
329 a lack of wind information within the coastal band that covers the blind zone of the  
330 satellites and that can be used for validation purpose. One of the first in situ measurements  
331 in the region were done during the field campaign CupEX (Garreaud et al., 2011). During  
332 this experiment a zonal profile of wind was measured using airborne meteorological  
333 techniques. These observations allowed detecting an atmospheric coastal jet with a marked  
334 daily cycle that extended north of Punta Lengua de Vaca towards the Coquimbo bay system.  
335

336 Such a coastal jet is present in our simulations that produce a wind curl in the bay system,  
337 which affects the circulation and coastal upwelling in the region. Other recent wind  
338 observations were collected under the scope of this study (FONDECYT Postdoctoral  
339 project 3130671), and are presented in Figure 3e. These wind observations were made with  
340 a marine weather station (AirMar) installed on a fishing boat. Measurements were made for  
341 04/22/2014, 05/18/2014, 09/15/2014 and 10/28/2014. Although these measurements do not  
342 cover the period of the simulations, they are presented here to illustrate observed features of  
343 the zonal wind profiles in the southern region. Despite the large spatial and temporal  
344 variability of the observations, they suggest a tendency to a reduction of the along-shore  
345 winds toward the coast comparable to what is simulated by the model (Fig. 3d).

346  
347 Focusing now on the model results, in our study region the atmospheric coastal jet extends  
348 from the coast for several tens of kilometers to the west, showing some nearshore  
349 maximums, like in Punta Lengua de Vaca (Garreaud and Muñoz, 2005; Muñoz and  
350 Garreaud, 2005, among others). In addition, near Punta Lengua de Vaca the atmospheric  
351 local and baroclinic jet (local origin), with a marked diurnal cycle has a maximum around  
352 18:00 (local time) (Garraeud et al, 2011; Rahn et al, 2011). We compared the differences  
353 between using of WRF wind averaged only during afternoon hours and wind averaged

354 daily during the spring months (not shown). The simulation showed an intensification of  
355 the wind in the afternoon, emphasizing the coastal jet at Punta Lengua de Vaca (~30.5°S,  
356 south of Tongoy Bay), strong winds were also observed north of Punta Choros (29°S) and  
357 south of 31°S. However, when we used the daily averages, we can distinguish the coastal  
358 jet and high winds in Punta de Choros and south of 31°S, but with smaller magnitudes than  
359 in the afternoon. This is due to the smoothing produced by the averaging to daily mean data.  
360 On the other hand, if we look at the structure of Ekman pumping for the two cases, all  
361 showed a similar pattern near the coast, with a positive values (favorable to upwelling), but  
362 differed in their magnitude, which was greater in the afternoon. Therefore, we believe that  
363 for the purposes of this manuscript, using daily averages of wind from the WRF simulation  
364 time was valid.

365

### 366 **3.2 Annual variability of the wind stress and Ekman pumping**

367

368 The seasonal analysis of the wind stress and the Ekman pumping is based on the simulation  
369 having the highest resolution (4 km), considering the daily average from instantaneous  
370 wind values with an hourly sampling over the period between 01/01/2007 and 12/31/2012.  
371 Figure 4 presents the mean seasonal cycle of the wind stress for the study area in the coastal  
372 fringe extending 150 km from the coast. The wind stress presents a seasonal and spatial  
373 variability, with predominance of upwelling favorable winds (with equator-wards  
374 component) during all the year round, with maximum values (~0.15 N/m<sup>2</sup>) between  
375 September and November, which is characteristic of the central-northern region of Chile  
376 (Shaffer et al., 1999, Rutllant and Montecino, 2002, Ranh and Garreaud, 2013). The  
377 seasonal variability of the wind stress determines the behavior of the coastal upwelling and  
378 primary productivity in the region. This is through two main mechanisms, the coastal  
379 divergence and the Ekman pumping, that will be evaluated in the following section. The  
380 wind also induces vertical mixing and surface cooling. This cooling effect can be even  
381 comparable to the one by vertical advection (Renault et al. 2012). In general, these  
382 mechanisms may covary in time, responding to the seasonal cycle of the wind stress; hence  
383 in a grouped statistical analysis (like SVD) it is difficult to isolate the spatio-temporal  
384 combined variability of two mechanisms without rejecting the effect of the third. On the

385 other hand, the model simulates well the coastal atmospheric jet observed in the zone of  
386 Punta Lengua de Vaca ( $\sim 30^{\circ}\text{S}$ ), in particular the maximum intensity during spring (Rahn  
387 and Garreaud, 2011; Rahn and Garreaud, 2013).

388

389 Close to the coast, where the satellite data have no coverage or the estimate in wind stress is  
390 uncertain (Fig. 1), a wind decay towards the coast (drop-off) is observed during practically  
391 all the calendar months of the year, with still a more pronounced tendency in the period  
392 between September and December. The horizontal gradient of the wind stress that is most  
393 intense close to the coast produces a wind curl with a clockwise rotation direction (cyclonic  
394 for the SH) generating a positive Ekman pumping favorable to the upwelling.

395

396 In addition to a non-uniform spatial distribution, the drop-off length ( $L_d$ ) in the area of  
397 interest also exhibits a marked seasonal variability. Based on an atmospheric simulation in  
398 the west coast of USA, Renault et al. (2015) also suggested that the drop-off presents  
399 seasonal and spatial variability, but with an extension ranging from between 10 to 80 km.  
400 These authors propose that the drop-off dynamics of the wind is due mainly to orographic  
401 effects and the shape of the coastline, reaching a maximal reduction of the wind ( $\sim 80\%$ )  
402 when these are combined. According to these authors, the drop-off length scale of the wind  
403 in front of Chile should be approximately 30 km, less than the scale off the west coast of  
404 USA. This would result from the different shape of the Chilean coastline characterized by a  
405 straighter coastline and the reduced numbers of capes compared to the US West coast. In  
406 addition the Andes would induce a sharper onshore decline of the wind (drop-off) than the  
407 mountains of the west coast of the USA (Renault et al., 2015). In the section 3.3 the length  
408 scale of the drop-off along the central-north coast of Chile will be analyzed in relation with  
409 the coastal orography and the shape of the coastline.

410

411 Despite that the length scale of the wind drop-off in front of central-northern Chile ( $\sim 45$  km)  
412 is on average less than that estimated in the California currents system (Enriquez y Friehe,  
413 1996; Renault et al., 2015), the wind-stress curl from this zonal gradient of the wind  
414 generates an Ekman pumping with a marked seasonality (Fig. 5) and positive vertical

415 velocities (upward) that reach  $4 \text{ m day}^{-1}$ , similar values to that obtained by Pickett and  
416 Paduan (2003) in front of the region of the California current system.

417

418 The simulation (4 km) has allowed to depict and document the mesoscale atmospheric  
419 circulation in the first 50 km from the coast (Fig. 3), where the spatial patterns of the  
420 Ekman pumping are much more marked, especially at latitudes where there are sharp  
421 topographic changes in the coastline (Fig. 5). Thus, structures of Ekman pumping are  
422 highlighted to the north of the main headlands of the region (Punta Lengua de Vaca and  
423 Punta Choros), and experience a seasonal cycle. In addition, the Ekman pumping presents  
424 negative values (downwelling) off shore associated to an anti-cyclonic wind curl around  
425  $28.5^{\circ}\text{S}$  and between  $30^{\circ}\text{S}$  and  $31^{\circ}\text{S}$  that reaches the greatest extent during August, while  
426 decreasing considerably in the summer months and beginning of fall (Fig. 5). Mean Ekman  
427 pumping in spring is much larger than in the other seasons (*i.e.* summer, fall and winter)  
428 indicate that the spring positive pumping dominates the other, specially north of  $29^{\circ}\text{S}$ , in  
429 the interior of the Coquimbo bay system and south of  $31.5^{\circ}\text{S}$  (not shown).

430

431 With the objective of analyzing in more details the seasonal and spatial variability of the  
432 wind stress and its zonal gradient, three specific sectors of the study area were selected  
433 ( $28.5^{\circ}$ ,  $30.5^{\circ}$  and  $32.5^{\circ}\text{S}$ ), that are outside of the Coquimbo bay system (Fig. 6). As was  
434 mentioned before, the region is characterized by a marked wind stress seasonality more  
435 pronounced to the south of the study area (Fig. 6c). In general, the wind component along  
436 the coast shows a predominance of southerly winds favorable to the upwelling during all  
437 the year round, emphasizing a decrease in the wind stress towards the coast for the spring  
438 and summer months at  $32.5^{\circ}\text{S}$ , and in summer at  $28.5^{\circ}\text{S}$  and  $30.5^{\circ}\text{S}$ . When estimating the  
439 zonal gradient of the wind stress taking as a reference the wind at the coast, the most  
440 intense positive gradients (due to the wind drop-off towards the coast) are obtained in a  
441 coastal band with a width smaller than 50 km, indicating that the Ekman pumping is the  
442 most effective inside the coastal band, as is evidenced in the Figures 4 and 5. On the other  
443 hand, the negative zonal gradient extent (Ekman pumping and downwelling) is greater in  
444 the sections located farther the north, at  $28.5^{\circ}\text{S}$  and  $30.5^{\circ}\text{S}$ , than in the section located at  
445  $32.5^{\circ}\text{S}$  (Figs. 6 d, e and f), indicating that in the southern part of the study region, the

446 positive Ekman pumping region extends farther than in the zones where the wind stress is  
447 more intense seasonally close to the coast (Fig. 4).

448

### 449 **3.3 Contributions of Ekman transport and Ekman pumping to the upwelling rate**

450

451 The central-northern Chile continental shelf is very narrow and very steep so the scale of  
452 coastal divergence is  $<10$  km (considering the theoretical framework of Estrade et al.,  
453 2008), while the length scale  $L_d$  of Ekman pumping (previously defined, based on Renault  
454 et al., 2015) is  $\sim 45$  km. To compare the seasonal contribution of coastal divergence and  
455 Ekman pumping to the total transport of coastal upwelling in the study region, the annual  
456 cycle of coastal divergence was obtained first by taking the wind of WRF closest to the  
457 coast ( $< 8$  km) and meridionally integrated every  $0.25^\circ$  (Fig. 7e), while the annual cycle of  
458 Ekman pumping transport (from wind of WRF) was obtained by integrating the vertical  
459 velocity from the shoreline to the distance corresponding to the drop-off ( $L_d$ ) value, also  
460 within  $0.25^\circ$  latitude bands (Fig. 7f).

461

462 The results indicate a marked annual cycle with maximum vertical transport in the spring,  
463 both induced by coastal divergence and Ekman pumping, with secondary maximum in  
464 some areas during autumn accounting for a weaker semiannual component. As expected,  
465 there is a large temporal coherency along the coast between both processes (the meridional  
466 average correlation between Ekman pumping and coastal divergence reaches 0.8), except  
467 locally at some latitudes (e.g. at  $31.25^\circ\text{S}$ ) where there is a weak seasonal cycle in Ekman  
468 pumping (Fig. 7f) due to either a weak drop-off or a compensation effect by the zonal wind  
469 stress component. The high correlations indicate a seasonal consistency between both  
470 mechanisms, which has been previously reported in other upwelling systems (e.g. Pickett  
471 and Paduan, 2003; Renault et al., 2015). Although both mechanisms are highly correlated at  
472 seasonal timescales, they exhibit significant differences in relative magnitude as a function  
473 of latitude, *i.e.* when one is intense the other is weak. For instance, coastal divergence  
474 strongly dominates over Ekman pumping between  $30.25^\circ\text{S} - 31.25^\circ\text{S}$  (Fig. 7d), which is the  
475 most recognized upwelling center in the region (located south of PLV), as well as in the  
476 region between  $28.5^\circ\text{S} - 29.25^\circ\text{S}$  (north of Punta Choros). In those regions Ekman pumping

477 tends to be weaker, whereas it is predominant for the area between 29.25 - 30.25°S, inside  
478 the Coquimbo bay system and the area between 28.0°S - 28.75°S, north of LDH. South of  
479 31.25°S, both mechanisms vary meridionally more uniformly. The estimate of the  
480 meridional correlation between both mechanisms as a function of calendar month indicates  
481 that they are better related in spring and summer ( $\sim 0.72$ ) than in winter ( $\sim 0.45$ ). Possible  
482 processes that could explain the inverse (negative) spatial relationship between the two  
483 mechanisms and its seasonal modulation are discussed below. Before continuing, we  
484 should mention that processes such as upwelling shadow can be important in the Coquimbo  
485 bay system, and would affect the temperature distribution inside the bay, especially in the  
486 southern part of the bay close to the coast, where higher temperatures are observed (and  
487 higher thermal front) compared to the lower temperature area that extends north from Punta  
488 Lengua de Vaca (Figure 10). In fact a study in the southern part of the Coquimbo bay  
489 system (Moraga et al., 2011) shows cyclonic circulation when there are upwelling favorable  
490 winds, the circulation is attributed to the separation of oceanic flow in Punta Lengua de  
491 Vaca, which is in agreement with the process of upwelling shadow and mainly affects the  
492 area indicated above. However, we think that this is not inconsistent with the effect of the  
493 wind curl in the area, which would favor upwelling north of Punta Lengua de Vaca. The  
494 oceanic response in the area clearly needs more attention and research in future.

495

496 Considering the influence of topography and the geometry of the coastline to describe the  
497 spatial variability of the wind stress, (e.g. Winant et al., 1988; Burk and Thompson, 1996;  
498 Haack, et al., 2001; Koracin et al., 2004; Renault et al., 2015, among others), we now  
499 document the relationship between the relative importance of Ekman transport and  
500 pumping, and the coastal topography and shape of the coastline in the region. An along-  
501 coast orography index ( $H_{\text{index}}$ ) is estimated from the average of the orographic height  
502 between the coastline and 100 km inland (as in Renault et al. 2015). In addition, the  
503 coastline meandering index ( $M_{\text{index}}$ ) is estimated by converting the position of the coastline  
504 into distances and afterward using a high variability-pass filter (with 10 km half- width) the  
505 small fluctuations in the index are smoothed, consequently the index only considers the  
506 abrupt change in coastline configuration at relatively large scale (Renault et al., 2015).  
507 Figure 7a shows the  $H_{\text{index}}$  (black line) and  $M_{\text{index}}$  (red line). In the latter index negative

508 values are associated with headlands, while positive values are associated with bays. The  
509 drop-off scale and alongshore wind at the coast and at  $L_d$  are also included (Fig. 7b-c).  
510 Note that  $L_d$  is inversely proportional to coastal wind ( $R^2$  de  $\sim 0.81$ ), while the wind  
511 evaluated at  $L_d$  is spatially more homogenous. This differs from the results obtained by  
512 Renault et al. (2015) along the western coast of USA. From the inspection of  $H_{index}$ ,  $M_{index}$   
513 and  $L_d$  three scenarios are defined that could explain the observed upwelling pattern (Fig.  
514 7d-f):

515

516 1. Prevalence of positive Ekman pumping: in sectors such as the Coquimbo bay system and  
517 the region north of  $28.5^\circ\text{S}$  (LDH), where the wind curl intensifies due to the sharp decline  
518 of onshore wind, with a large drop-off scale ( $L_d$ ). In addition, the combination of a high  
519 orography (large  $H_{index}$ ) and the presence of bays favor a decrease in the meridional onshore  
520 wind.

521

522 2. Prevalence of coastal divergence: in sectors characterized by a low topography (small  
523  $H_{index}$ ) and a negative  $M_{index}$  due to the presence of headlands such as Punta Lengua de  
524 Vaca and Punta Choros, with a smaller drop-off scale ( $L_d$ ) and stronger winds alongshore  
525 (Fig. 7b-c).

526

527 3. South of  $31.25^\circ\text{S}$  the pattern is more complex than previous scenarios. Both mechanisms  
528 are present but with a slight dominance of coastal divergence compared with Ekman  
529 pumping. South of this latitude,  $L_d$  increases, coastal wind decreases and wind curl  
530 increases (Fig. 7b-c).  $M_{index}$  shows the presence of small inlets and headlands and the  
531 orography index is moderate high without largest changes as in the northern coastal region.

532

533 Renault et al. (2015) proposed that the coastal topography induces a decrease in the  
534 intensity of the wind towards the coast through the vortex stretching term. Similarly,  
535 Archer and Jacobson (2005) from atmospheric numerical simulations showed that the  
536 topography in the Santa Cruz-California region, is required for the formation of turbulence  
537 and vorticity. On the other hand, the shape of the coastline with capes and headlands  
538 increases the orographic effect through the vortex stretching term, tilting-twisting and

539 turbulent flux divergence (Archer and Jacobson, 2005; Renault et al., 2015). The sea-land  
540 drag coefficient difference mainly acts as a barrier that turns the wind alongshore.

541

542 Another minor factor is the sharp coastal sea surface temperature front associated with  
543 upwelling. Renault et al (2015) show that in their sensitivity experiment adding a sharp  
544 SST front over a coastal band strip leads to weaker surface wind associated with more  
545 stable and shallow marine boundary layer. This response of wind may be due to so-called  
546 "downward mixing" mechanism (Wallace et al., 1989; Hayes et al., 1989), which was used  
547 by many authors to explain the observed tendency of surface winds to decelerate over  
548 colder flank of the SST front and accelerate over warmer flank of the SST front (*cf.* Small  
549 et al., 2008 and references therein): warm (cold) SST would destabilize (stabilize) the PBL  
550 and cause enhanced (reduced) vertical turbulent mixing, increasing (decreasing) downward  
551 fluxes of horizontal momentum from the faster flow above to the slower near-surface flow.  
552 Nevertheless, a large SST anomaly (by  $-3$  °C in the experiment of Renault et al., 2015) is  
553 needed to induce a significant weakening of wind and significant additional wind drop-off.  
554 Therefore, the SST effect can be considered as secondary compared to the orography effect  
555 over the California coast.

556

557 The combination of coastal topography and the presence of headlands, points and capes on  
558 the United State (US) west coast, induces a stronger and larger wind drop-off, which in turn  
559 is associated with a positive Ekman pumping (Koracin et al., 2004; Renault et al., 2015).  
560 This characteristic differs from what is observed along central-northern Chile, where the  
561 larger drop-off ( $L_d$ ) length, associated with a strong wind curl (Fig. 7b-c), takes place in the  
562 presence of abrupt orography and within the Coquimbo bay system (30.25°S - 29.25°S).  
563 There the cross-shore wind component is more intense and favors the wind curl, whereas  
564 with lower terrain and the presence of headlands the  $L_d$  is very small (*cf.* Fig. 10, Renault et  
565 al., 2015). The origin of these differences is not well known; they may be due to several  
566 factors or processes. For instance, the topographic terrain along the coast of northern Chile  
567 is much higher (for the coastal range and Andes mountains) than the terrain along the west  
568 coast of the US. Furthermore, a feature of particular interest north of Punta Lengua de Vaca  
569 is the presence of the local atmospheric jet, which has a strong diurnal cycle and a clear



570 seasonal variability, as a result of coastal topography that favors baroclinicity north of PLV  
571 (Garreaud et al., 2011; Rahn et al., 2011). This feature would deserve further consideration  
572 based on the experiments done with the regional atmospheric model, however this is  
573 beyond the scope of the present study. Here the focus is on understanding possible effect of  
574 the wind drop-off and its spatial and seasonal variability on the upwelling dynamics.

575

576 To determine the contribution of the two proposed mechanisms to the total upwelling in the  
577 region, vertical transport due to coastal divergence and Ekman pumping were meridionally  
578 integrated (from Fig. 7e and 7f, respectively). The contributions of both mechanisms to  
579 upwelling (Fig. 8) have a clear annual cycle with a marked semiannual component.  
580 Maximum values occur during October, with 0.23 and 0.14 Sv for coastal divergence and  
581 Ekman pumping, respectively, while the sum of both is 0.37 Sv. Coastal divergence and  
582 Ekman pumping represent 60% and 40% of the total upwelling, respectively. This indicates  
583 that coastal divergence is the stronger upwelling mechanism. However, it should be noted  
584 that these values are the sum throughout the region, and these percentages would change if  
585 specific sectors were considered especially where Ekman pumping has a larger significance  
586 (Fig. 7).

587

588 Comparing our estimates with those obtained by Aguirre et al. (2012) from QuikSCAT  
589 wind information using a larger region ( $\sim 27.5^{\circ}\text{S} - 40^{\circ}\text{S}$ ), it is observed that coastal  
590 divergence from our study is lower, mainly because they estimated averages using only 2  
591 values every day, which may influence the daily mean and therefore their estimates. Also  
592 their analysis did not include the wind drop-off area. The winds used in their study are  
593 stronger and so are their estimates for coastal divergence (*cf.* Fig. 7, Aguirre et al., 2012).  
594 However, for Ekman pumping our results are only slightly smaller than theirs. This  
595 difference is mainly due to differences in the method employed to estimate the vertical  
596 upwelling transport. In particular they use a length scale ( $L_d$ ) of 150 km from the coast for  
597 their calculation, while in this study a value of 45 km was considered. However, the largest  
598 differences in the estimates of the contributions of both mechanisms to total upwelling are  
599 in the seasonal variability and the relative contribution to Ekman pumping. The seasonal  
600 variability is composed of an annual cycle with a significant semiannual component,

601 whereas that obtained by Aguirre et al., (2012) is rather dominated by the annual cycle.  
602 This is because their estimates are based on the average over a larger region that includes  
603 the central-southern Chile region, where the wind has a significant annual variability.  
604 Moreover, the present results show a higher relative contribution of Ekman pumping to  
605 total upwelling in our region. This is partly due to a different technique for estimating this  
606 mechanism, the use of different wind products and the differences in the length of both  
607 study areas.

608

### 609 **3.4 Annual variability of Ekman Pumping and its relationship with Sea Surface** 610 **Temperature near the coast**

611

612 A link between SST and wind is found throughout the world's ocean wherever there are  
613 strong SST fronts (see review by Xie, 2004; Chelton et al., 2007; Small et al., 2008). This  
614 link raises the questions of to what extent the wind-drop off could be associated to marked  
615 upwelling fronts in EBUS. In the context of our study, it consists in evaluating the  
616 relationship between Ekman pumping and SST, considering that the difficulty to tackle this  
617 issue is related to the fact that there is a large temporal coherence between Ekman pumping  
618 and coastal divergence, preventing a clear identification of Ekman pumping-induced SST  
619 anomalies where both processes are in phase. As an attempt to identify regions where  
620 Ekman pumping has an imprint on SST, we use the Multi - Scale Ultra - High Resolution  
621 SST data set (MUR, <http://mur.jpl.nasa.gov>) with a spatial resolution of 1 km, which was  
622 shown to better capture SST fronts than other products off Peru (Vazquez et al., 2013).  
623 Figure 9 shows the annual cycle of the MUR SST. The satellite data were compared to *in*  
624 *situ* observations that were obtained from 13 thermistors positioned close to surface along  
625 the coastline between 28°S–32°S (these observations were obtained by Centro de Estudios  
626 Avanzados en Zonas Aridas, Coquimbo, Chile) covering the period 09/2009–09/2012. The  
627 correlations obtained between observations and satellite data were high (0.74-0.94; most  
628 values were greater than 0.8) and the RMS between their differences was low varying  
629 between 0.54 and 1.3°C. This provided confidence to use MUR temperatures close to the  
630 coast in the spatio-temporal analysis done in the study region. The MUR data showed that  
631 south of 28.5°S there is a persistent surface cooling through all the year that increases in

632 extent (offshore) from ~10 km in the northern region to ~100 km in the southern region.  
633 Within this region there are prominent upwelling centers, Punta Lengua de Vaca (~30.5°S),  
634 Punta Choros (~29°S) and the region between 30.5°S – 33°S. During most of the year a  
635 cold surface tongue extends from the south towards the great system of embayments of  
636 Coquimbo (with limits between ~29.25°S and 30.25°S), north of Punta Lengua de Vaca. A  
637 less intense cold surface tongue but with a similar structure is observed north of Punta  
638 Loma de Hueso (~28.8°S).

639

640 An illustration of the effect of Ekman pumping on SST is presented in Figure 10 which  
641 shows the October mean spatial distribution for wind stress, Ekman pumping, SST and SST  
642 gradient. This month was selected because the maximum values of wind stress and  
643 increased surface cooling are recorded during this period. During this month, the wind  
644 stress (Fig. 10a) was intense with maximum values of ~0.15 Nm<sup>-2</sup>, showing a clear zonal  
645 gradient (drop-off) over the entire coastal band of the study area. Note that the maximum  
646 wind stress is north of the two most prominent headlands of the region (PLV and LDH),  
647 right where the wind abruptly changes direction, creating an intense cyclonic wind curl  
648 north of both headlands. As the result from the distribution pattern of the wind stress, wind  
649 curl was negative in much of the area of interest resulting in a positive Ekman pumping  
650 with vertical velocities of up to 4 m day<sup>-1</sup> near the coast (Fig. 10b). Also, there are two  
651 areas with a slightly negative pumping (light blue regions), following the pattern of the  
652 wind stress where the wind decreases away from the coast (see the wind vectors),  
653 producing a positive curl and a negative Ekman pumping. Moreover, as mentioned above  
654 (see Fig. 7), much of the southern spatial structure in Ekman pumping appears to be  
655 associated to the coastal terrain and abrupt changes of the coastline. A good example of this  
656 is the tongue-shaped structure that extends from the upwelled waters north of Punta Lengua  
657 de Vaca entering the Coquimbo bay system, where the upwelling induced by the Ekman  
658 transport seems not affected (Fig. 7). As the result of a positive Ekman pumping, cold  
659 water rises to surface causing a decrease in sea surface temperatures in large part of the  
660 coastal region (Fig. 10c). However, this cooling is not necessarily caused by Ekman  
661 pumping throughout the region, there are other processes that would contribute to the  
662 surface cooling that will be discussed later. Despite this, the cooling inside the Coquimbo

663 bay system seems to be caused largely by Ekman pumping. Moreover, outside the  
664 Coquimbo bay system high values ( $>2^{\circ} \text{ C km}^{-1}$ ) of the horizontal SST gradient magnitude  
665 are distributed in a band near the coast, but not attached to it (Fig. 10d) as expected for  
666 upwelling fronts. Within the Coquimbo bay system, there is a homogeneous temperature  
667 zone, delimited by a less intense gradient in the west and a greater gradient in the smaller  
668 bays of the system, which coincides with the structure of an Ekman pumping tongue  
669 projected to the north of Punta Lengua de Vaca.

670

671 In order to further document the coupled spatio-temporal patterns of Ekman pumping and  
672 the SST field, a Singular Value Decomposition analysis (SVD, Venegas et al., 1997) was  
673 performed. The SVD method allows determining statistical modes (time/space) that  
674 maximize the covariance between two data sets. Filtered time series (low pass filter with  
675 mean half-power of 280 days) and normalized of Ekman pumping and SST-MUR for the  
676 2007-2012 period were analyzed using this method (Fig. 11). In this case the SVD analysis  
677 was successful in capturing a dominant seasonal mode. The first dominant mode accounts  
678 for 99% of the covariance, with a 43% and 87% of the variance explained by Ekman  
679 pumping and SST respectively. Ekman pumping spatial pattern presents maximum values  
680 very close to the coast, primarily north of Punta Lengua de Vaca, inside the Coquimbo bay  
681 system ( $29.3^{\circ}\text{S}$ – $30.2^{\circ}\text{S}$ ) and north of Punta Choros ( $28^{\circ}\text{S}$  -  $29^{\circ}\text{S}$ ). Also, the pattern is  
682 intense near the coast between  $30.2^{\circ}\text{S}$  (south of PLV) and  $32.5^{\circ}\text{S}$ . The spatial pattern for  
683 SST presented areas with high variability associated with areas of maximum Ekman  
684 pumping, highlighting the overall variability in the bay system of Coquimbo and the area  
685 north of Loma de Hueso ( $\sim 28.8^{\circ}\text{S}$ ). Moreover, the correlation between the time series of  
686 expansion coefficient was  $-0.96$  (with  $R^2 = 0.92$  and significant at 95 %), indicating a  
687 strong inverse relationship, consistent with that expected for a positive pumping with  
688 upward vertical velocities that causes a surface cooling in the region. This results in a  
689 greater contribution to the north of headlands in the region (Punta Lengua de Vaca and  
690 Loma de Hueso), even within the Coquimbo bay system, which is consistent with the  
691 results observed in Figure 7. However, despite the high correlation obtained between both  
692 mechanisms within the seasonal scale we cannot infer a relationship with SST only from  
693 Ekman pumping, especially where Ekman transport dominates. Also, other processes such

694 as the direct effect of wind must play a significant role, eg. vertical mixing (Renault et al.,  
695 2012), or processes related to mesoscale activity (filaments, meanders, eddies, etc.), which  
696 are more intense south of Punta Lengua de Vaca (Hormazabal et al., 2004), and/or in  
697 general processes related to ocean-atmosphere interaction (Chelton et al., 2007; Renault et  
698 al., 2015).

699

700 Finally, our analysis calls for more thorough study on the temperature response to wind  
701 forcing, which should involve oceanic modeling at a resolution high enough to resolve finer  
702 scale processes. The oceanic model could be forced by the high-resolution atmospheric  
703 simulations presented in this study, improving in terms of resolution from previous  
704 modeling efforts in the region (Renault et al., 2012). The use of a high-resolution coupled  
705 ocean-atmosphere model would improve our understanding of the air-sea interactions along  
706 our study region. A plan for the development of such model is under way and will be the  
707 focus of our next study

708

#### 709 **4.- Summary**

710

711 The spatial and temporal variability (annual cycle) of the coastal divergence and Ekman  
712 pumping, as well as their relative contribution to the total upwelling in the central-northern  
713 Chile was studied using winds obtained from a nested configuration of the WRF model  
714 allowing to reach 4-km resolution. The simulations showed a cyclonic wind curl (negative)  
715 on the coastal-band nearshore and inside the Coquimbo bay system. This negative wind  
716 curl is mainly due to the onshore decay of the wind (wind drop-off), which presented length  
717 scales ( $L_d$ ) between 8 and 45 km with a significant latitudinal variability. The wind drop-  
718 off scale is in particular larger within 29.25°S-30.25°S and to the north of 28.5°S. When we  
719 compared the drop-off scale with other upwelling regions, for example the coast of  
720 California (Enriquez and Friehe., 1996; Renault et al, 2015), we find that it is smaller in our  
721 study region. For instance  $L_d$  ranges from 10 and 80 km between 35°N and 45°N (Renault  
722 et al., 2015). Despite such difference, the wind stress curl that resulted from this zonal wind  
723 shear generated Ekman pumping with a marked seasonality and vertical velocities at the

724 surface that reached 4 m/day, values comparable to those observed in the California current  
725 system.

726

727 When comparing the seasonal contribution of coastal divergence and Ekman pumping to  
728 the coastal upwelling transport in northern-central Chile, we find that there is a high  
729 seasonal coherence between the two mechanisms ( $> 0.8$ ) with a maximum during spring.  
730 However, despite this high seasonal correlation there is a spatial alternation between them,  
731 that is, where one is intense the other is weak. This pattern seems to be the result of a close  
732 relationship between the topography of the coast, the shape of the coastline and the spatial  
733 scale of the wind drop-off. From this information we defined three scenarios that could  
734 explain the pattern of upwelling in the area.

735

736 Prevalence of positive Ekman pumping associated to large  $L_d$ , observed in regions such as  
737 the Coquimbo bay system and north of  $28.5^\circ\text{S}$ . The combination of high terrain and the  
738 presence of bays could explain the large  $L_d$  values.

739

740• Prevalence of coastal divergence with smaller values of  $L_d$  and more intense winds near the  
741 coast. This is observed in sectors characterized by a low topography and the presence of  
742 headlands as Punta Lengua de Vaca and Punta Choros.

743

744• Combination of both mechanisms where neither divergence nor coastal Ekman pumping  
745 dominated over the other. This take place to the south of  $31.5^\circ\text{S}$ .

746

747 The 3-dimensional aspect of the coastal circulation in the region of interest (Aguirre et al.,  
748 2012) prevents a clear identification of the role of each process on SST variability, although  
749 our SVD analysis reveals areas where the similarity of the patterns of Ekman pumping and  
750 SST suggests a privileged forcing mechanism like within the Coquimbo bay system and the  
751 area north of Loma de Hueso ( $\sim 28.8^\circ\text{S}$ ). Further studies based on the experimentation  
752 with a regional oceanic model should be carried out to better identify upwelling regimes by,  
753 for instance, using the model winds documented here at different seasons to mimic changes  
754 in the drop-off. Considering the rich marine ecosystem hosted by the region (Thiel et al.,

755 2007) our interest goes to relate aspects of the meso to submeso scale circulation (eddies  
756 and filaments) to the processes documented in this study. This is planned for future work.

757

758 Finally, the model allowed for an estimate of the near-shore (coastal fringe of ~50km) low-  
759 level circulation and evidences fine scale structure of the wind stress curl that cannot be  
760 estimated from satellite observations. Considering the overall realism of the model  
761 simulation, our study could be used to guide field experiments and gather in situ  
762 measurements in order to gain further knowledge in the processes that constrain such  
763 features

764

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766

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775

776 **5.- References**

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1032

1033 **Table 1:** Information of the physics options and main features used in the simulations.

<b>Parameterization</b>	<b>References</b>
<b>Microphysics:</b> WRF Single-Moment 6-class scheme. A scheme with ice, snow and graupel processes suitable for high-resolution simulations.	(Hong et al. 2006)
<b>Longwave/Shortwave radiation:</b> Rapid Radiative Transfer Model (RRTMG). An accurate scheme using look- up tables for efficiency, accounts for multiple bands, trace gases, and microphysics species. It includes the Monte Carlo Independent Column Approximation MCICA method of random cloud overlap.	(Iacono et al. 2008).
<b>Boundary layer:</b> University of Washington Turbulent kinetic energy (TKE) Boundary Layer scheme. This scheme is TKE based, and it is characterized by the use of moist-conserved variables, an explicit entrainment closure, downgradient diffusion of momentum, and con- served scalars within turbulent layers.	(Bretherton and Park 2009)
<b>Surface layer:</b> Based on Monin-Obukhov with Carls- on-Boland viscous sub-layer and standard similarity functions from look-up tables.	(Paulson, C. A., 1970) (Dyer, A. J. et al., 1970) (Webb, E. K., 1970) (Beljaars, A.C.M., 1994) (Zhang and Anthes 1982)
<b>Land surface model:</b> The NOAH Land Surface Model. For land surface processes including vegetation, soil, snowpack and land atmosphere energy, momentum and moisture exchange.	(Tewari, M. et al., 2004)
<b>Cumulus:</b> Betts-Miller-Janjic scheme. Operational Eta scheme. Column moist adjustment scheme relaxing towards a well-mixed profile.	(Janjic, Z. I., 2000)

1034

1035 FIGURE CAPTIONS

1036

1037 Figure 1. Study area showing bathymetry and topography of the coastal terrain. The  
1038 dotted thick line indicates the western boundary of the coastal band where satellite  
1039 information (~25 km offshore) is absent. Red squares indicate the location of the three  
1040 weather stations at Loma de Hueso, Punta Lengua de Vaca and Parral Viejo. The inset  
1041 plot shows the three model domains used in the WRF simulations (36, 12 and 4 km).

1042

1043 Figure 2. Comparisons of the spatial patterns of the mean velocity fields of winds  
1044 obtained (common period 2007-2009) from a) QuikSCAT b) WRF simulation for the 36  
1045 km grid configuration. c) Root Mean Square (RMS) differences between observations  
1046 and model results. The lower panels show dispersion plots between the observed and  
1047 modeled N-S winds at d) Loma de Hueso, e) Parral Viejo and f) Punta Lengua de Vaca  
1048 (Fig.1). Red line represent to linear regress and black line is 1:1 relation.

1049

1050 Figure 3. Mean wind stress curl obtained by the model (for 2007-2012) using three  
1051 model domains a) 36 km, b) 12 km and c) 4 km. The yellow dotted line represents the  
1052 length scale of the wind drop-off determined from a threshold value of  $-0.3 \times 10^{-4} \text{ s}^{-1}$   
1053 (Renault et al., 2015). d) Mean zonal profiles of alongshore wind speed obtained from the  
1054 three model configurations (36, 12 and 4 km) and QuikSCAT observations are shown. e)  
1055 Zonal profiles of alongshore wind speed from a weather station obtained onboard of a  
1056 fishing boat during 22 April (black line), 18 May (black dashed line), 15 September (red  
1057 line) and 28 October (red dashed line) of 2014 are also shown. The segmented line in d)  
1058 and e) indicates the location of the satellite blind spot.

1059

1060 Figure 4. Wind stress annual cycle obtained from the simulation at 4 km resolution (for  
1061 2007-2012). Color represents the magnitude of wind stress (in  $\text{Nm}^{-2}$ ) and the arrows  
1062 indicate the wind stress direction.

1063

1064 Figure 5. Annual cycle of Ekman pumping (vertical velocity in  $\text{md}^{-1}$ ) obtained from the  
1065 simulation at 4 km resolution (for 2007-2012).

1066 Figure 6. Hovmoller diagrams of alongshore wind stress seasonal cycle (top panels) and  
1067 the zonal gradient of alongshore wind (lower panels) for the regions at 28.5°S (a, d),  
1068 30.5°S (b, e) y 32.5°S (c, f). The monthly mean zonal wind stress and mean zonal  
1069 gradient are also shown (side black line).

1070

1071 Figure 7. Contributions of the coastal divergence (CD) and Ekman pumping (EP) to the  
1072 vertical transport near the coast. a) Integrated orography index ( $H_{index}$ , black line) and  
1073 coastline meander index ( $M_{index}$ , red line, see text). b) Drop-off spatial length. c)  
1074 Alongshore wind at  $L_d$  (red line) and coastal (black line). d) Ratio between Ekman  
1075 pumping and coastal divergence. e) Seasonal vertical transport associated with coastal  
1076 divergence and f) seasonal vertical transport associated with Ekman pumping. To  
1077 estimate the coastal divergence the wind stress closest to the coast was used, while  
1078 Ekman pumping was integrated from the coast to the longitude corresponding to a  
1079 distance from the coast equal to the length of the drop-off (see text).

1080

1081 Figure 8. Contributions of coastal divergence (CD) and Ekman pumping (EP) to the  
1082 vertical transport near the coast (in  $Sv$ ) over the study area (27.75°S-32.5°S, see Fig. 7).  
1083 Seasonal vertical transport associated with coastal divergence (black line), Ekman  
1084 pumping (red line) and total wind induced vertical transport (blue line, sum of both  
1085 vertical transports). The estimates were carried out from the WRF simulation at 4 km  
1086 resolution.

1087

1088 Figure 9. Annual cycle of sea surface temperature obtained using data from the Multi-  
1089 scale Ultra-high Resolution (MUR). Top and bottom panels used a different colormap  
1090 scale.

1091

1092 Figure 10. October mean spatial distribution for a) wind stress and b) Ekman pumping  
1093 using the 4 km grid spacing simulation and c) sea surface temperature (SST) and d) SST  
1094 gradient obtained from MUR observations.

1095



1096 Figure 11. First SVD mode between Ekman pumping ( $W_{Ek}$ ) from the WRF simulation at  
1097 4 km resolution and sea surface temperature (SST) from MUR data. a) The Ekman  
1098 pumping spatial component. b) The SST spatial component. c) The black (red) line  
1099 represents the associated Ekman pumping (SST) time series. Note that the units are  
1100 arbitrary.

# FIGURES

Figure 1.

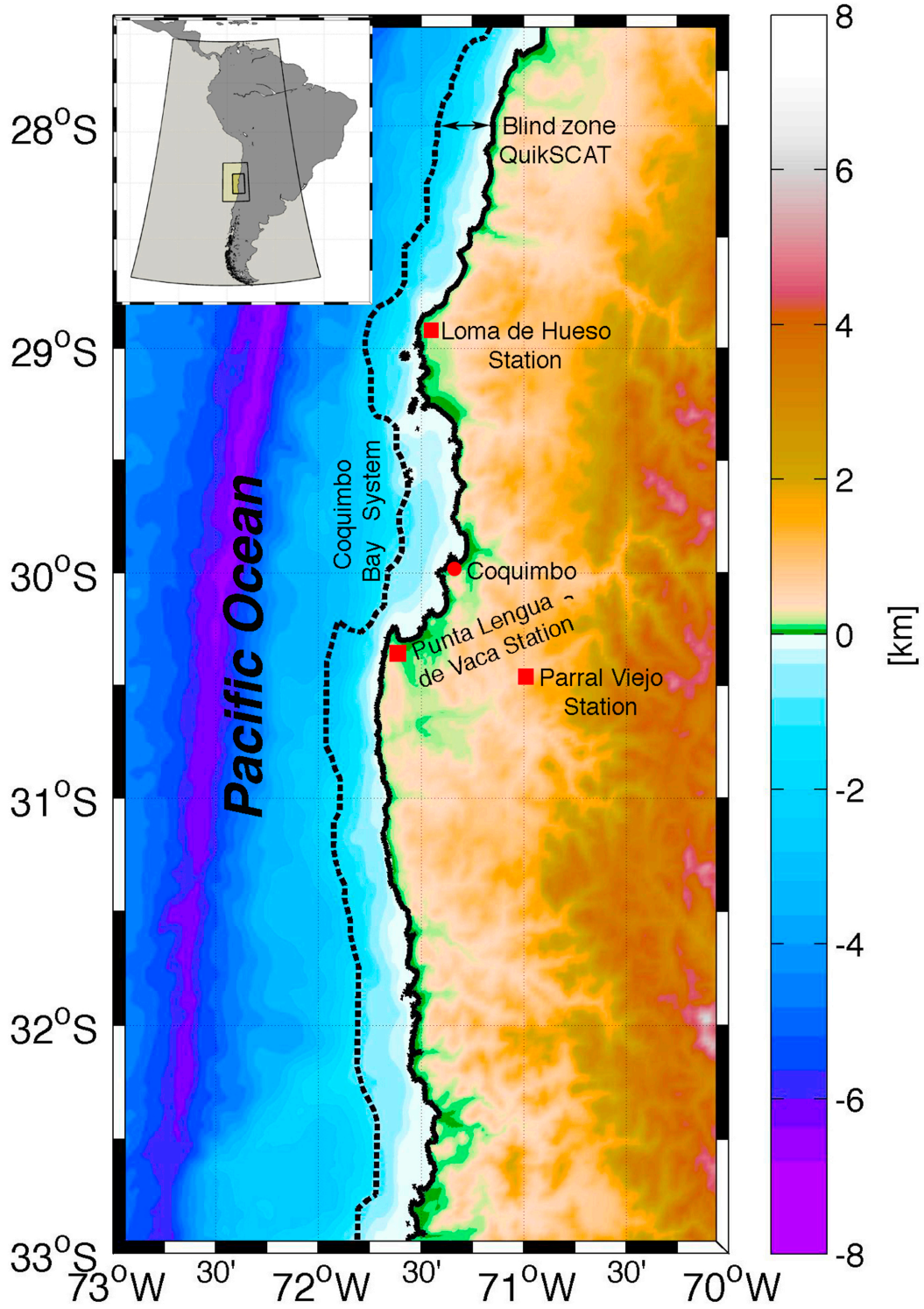
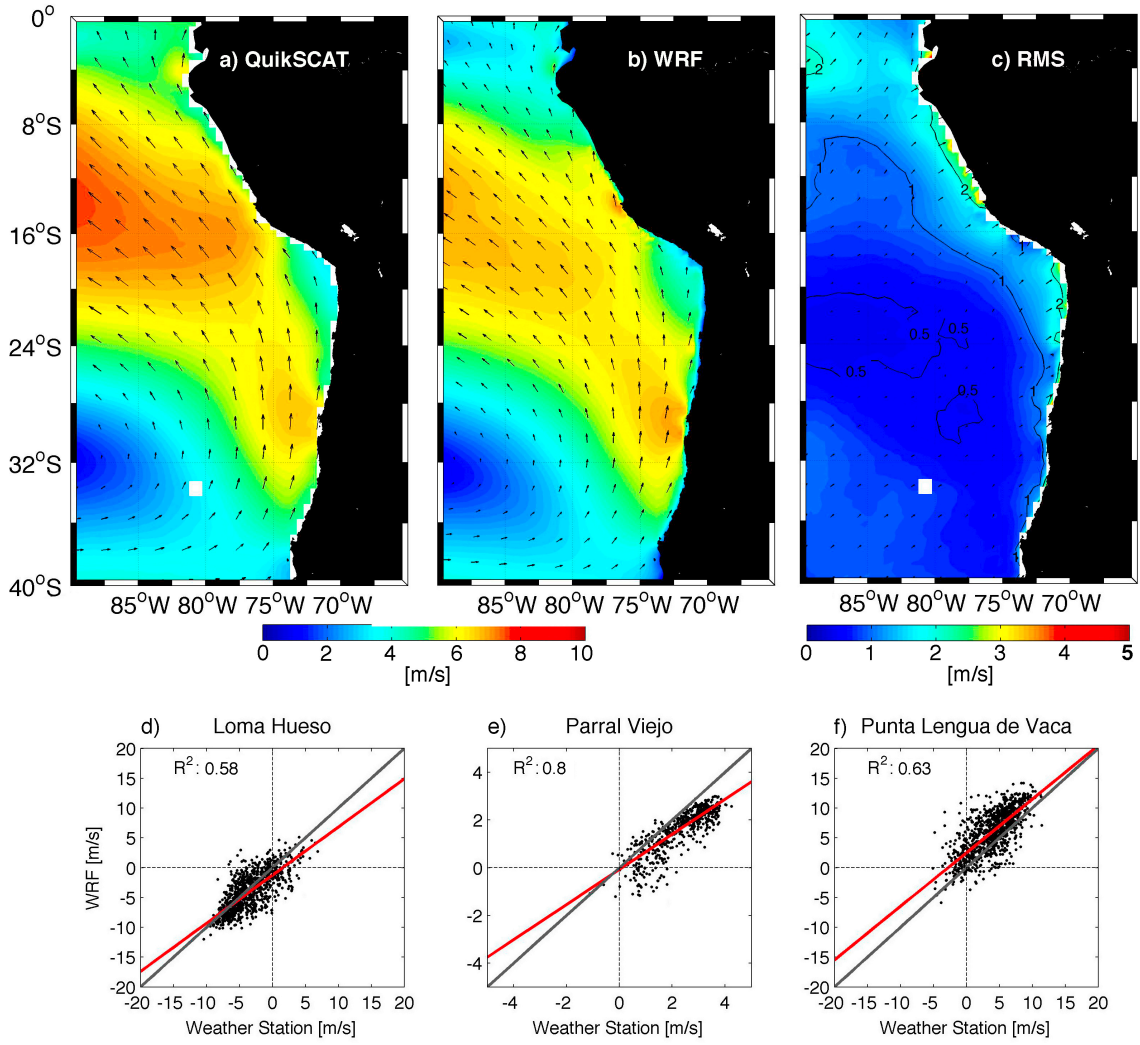


Figure 2.



**Figure 3.**

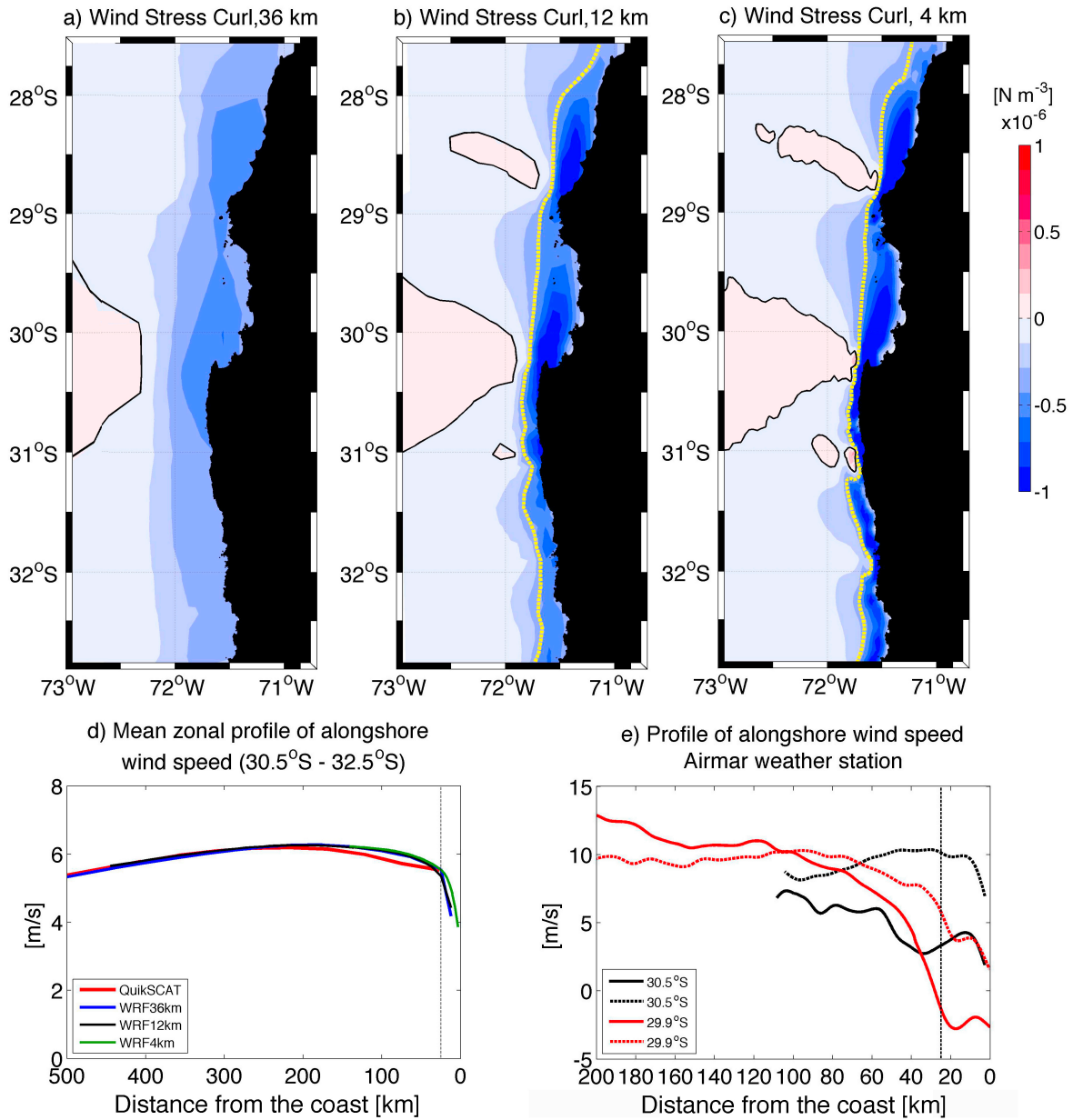


Figure 4.

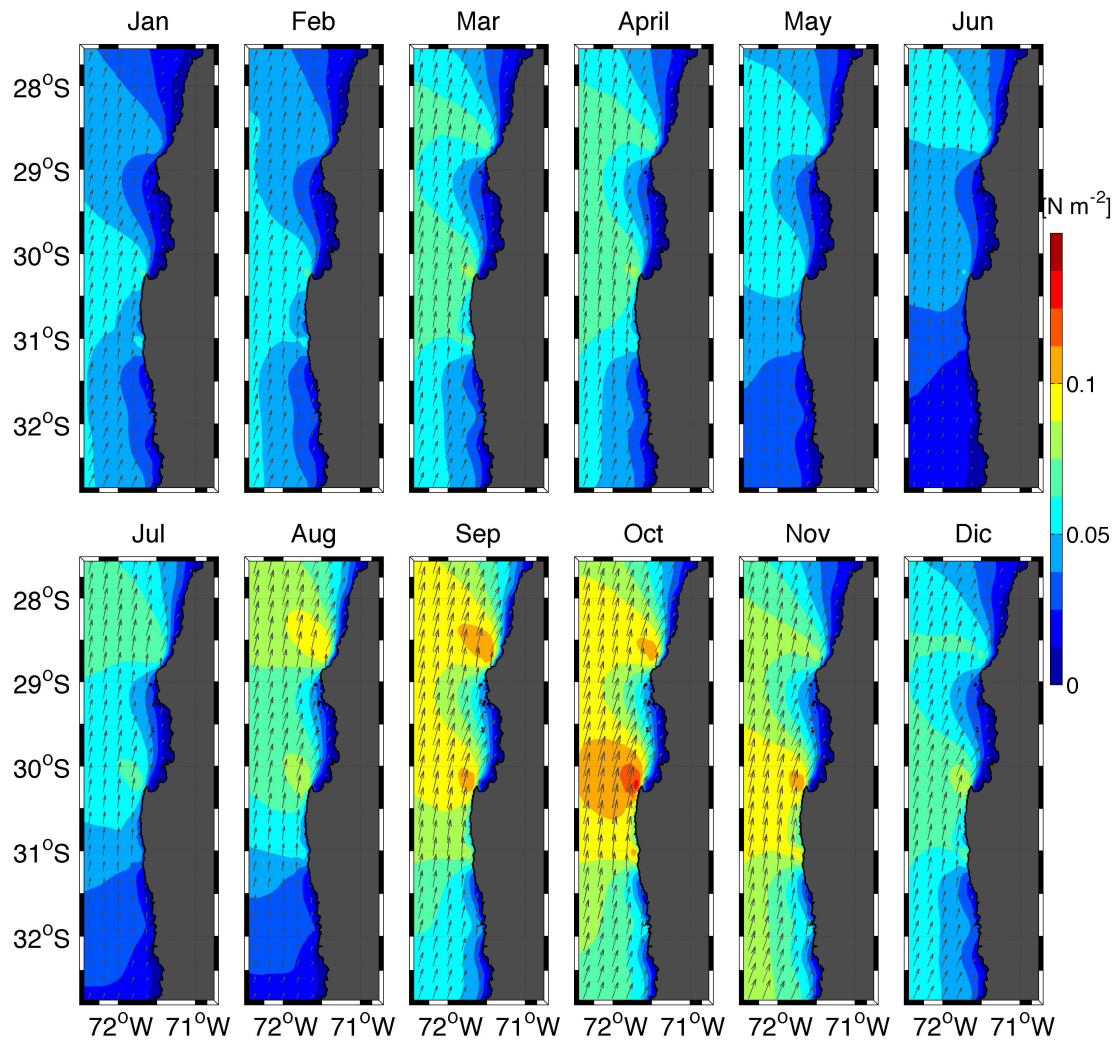




Figure 5.

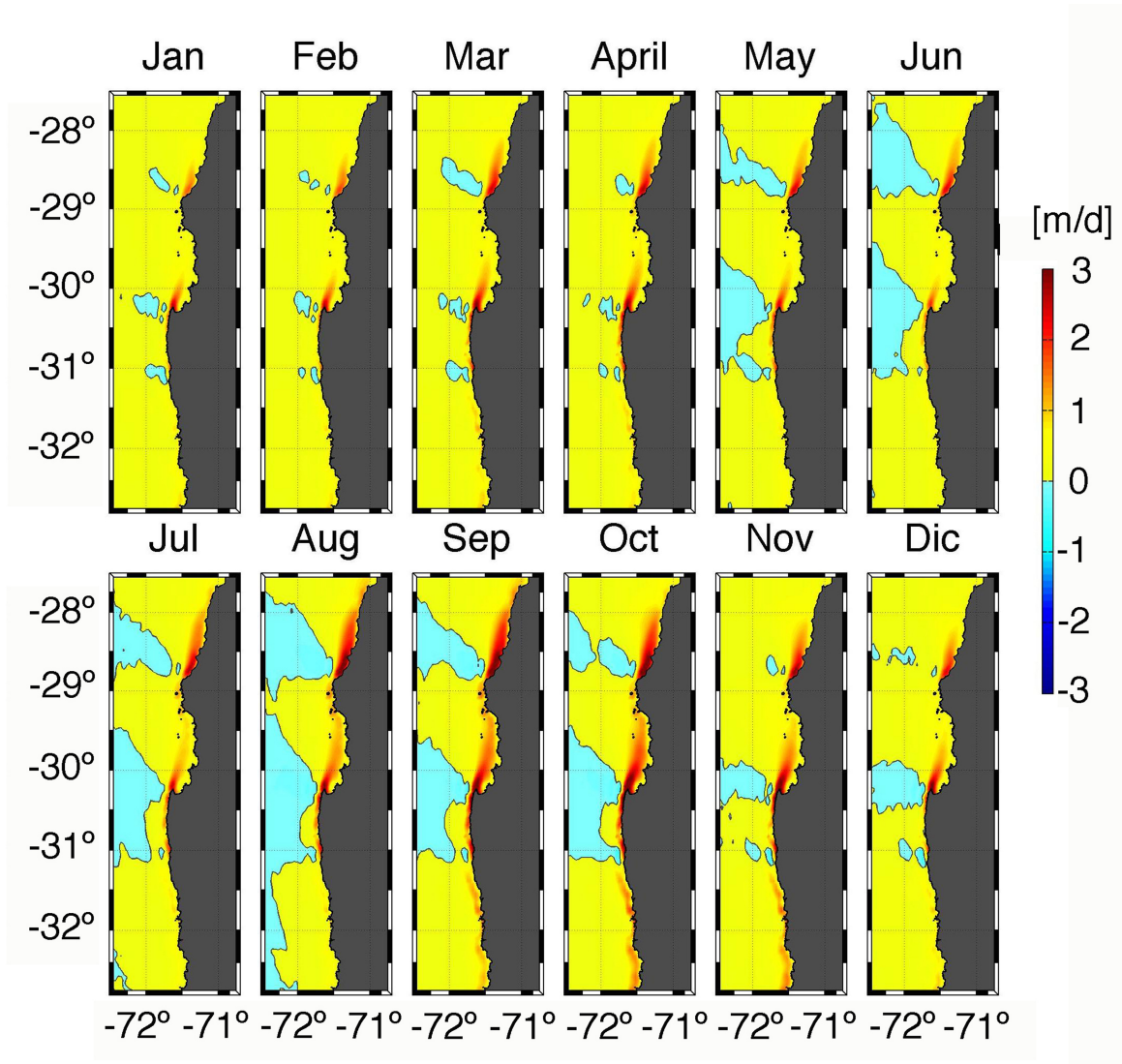
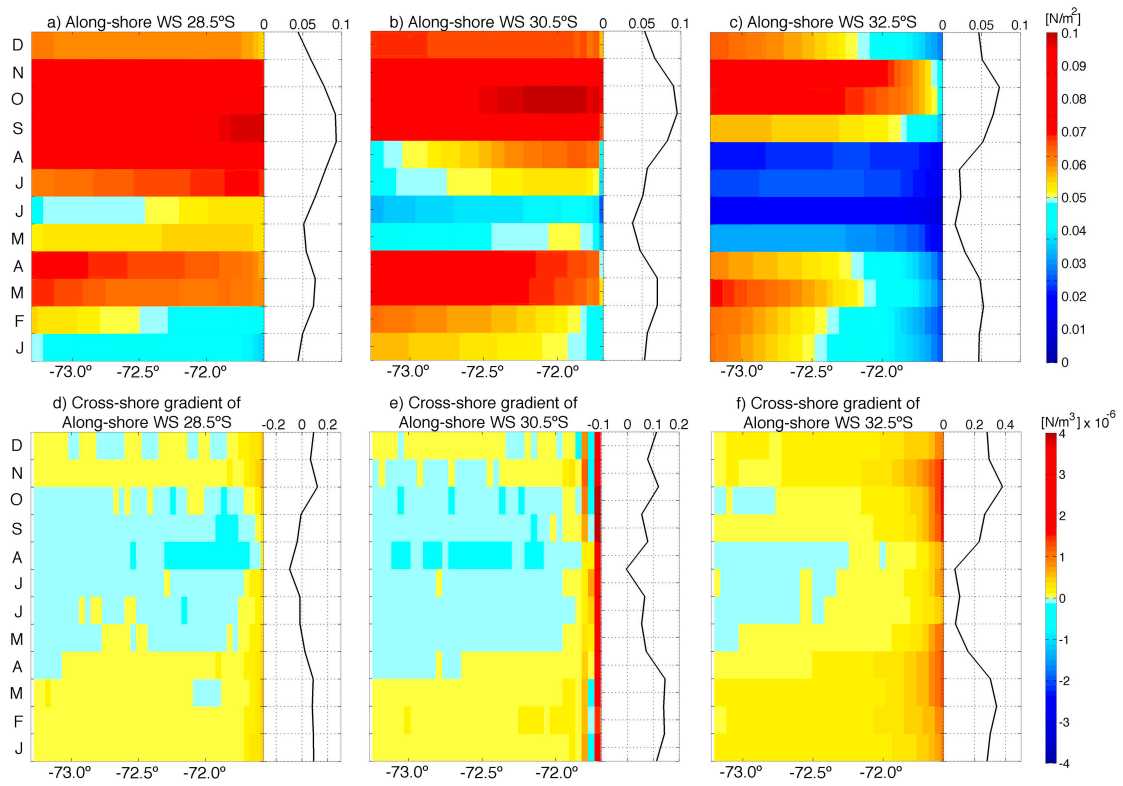


Figure 6.



**Figure 7.**

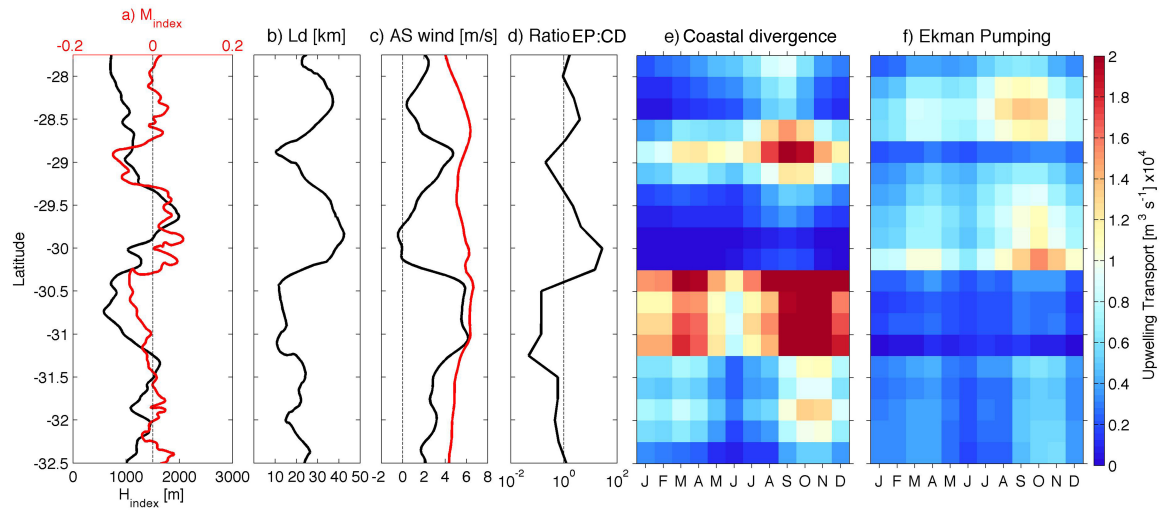




Figure 8.

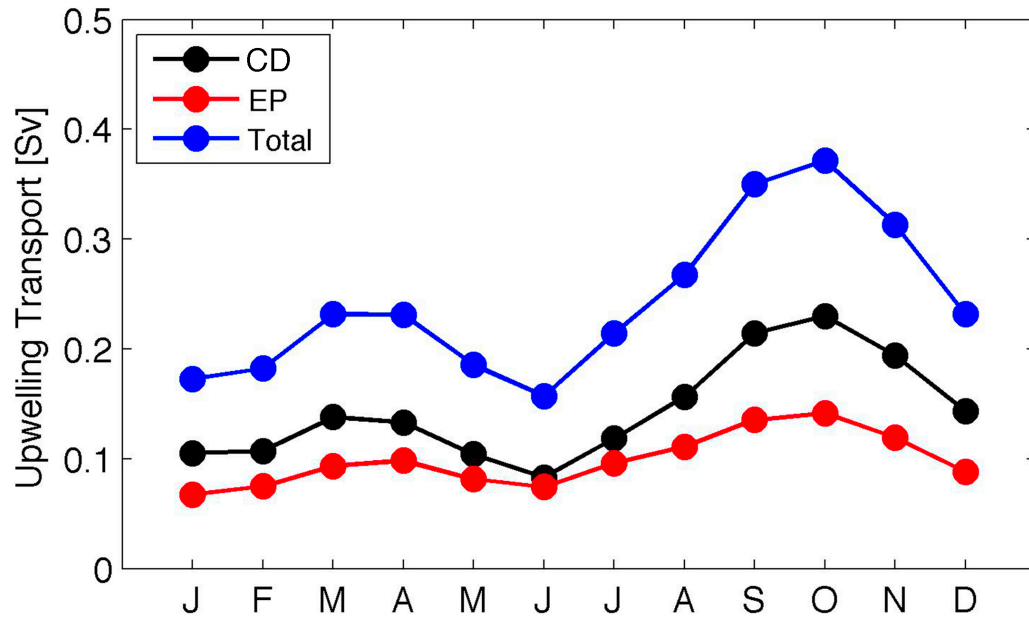


Figure 9.

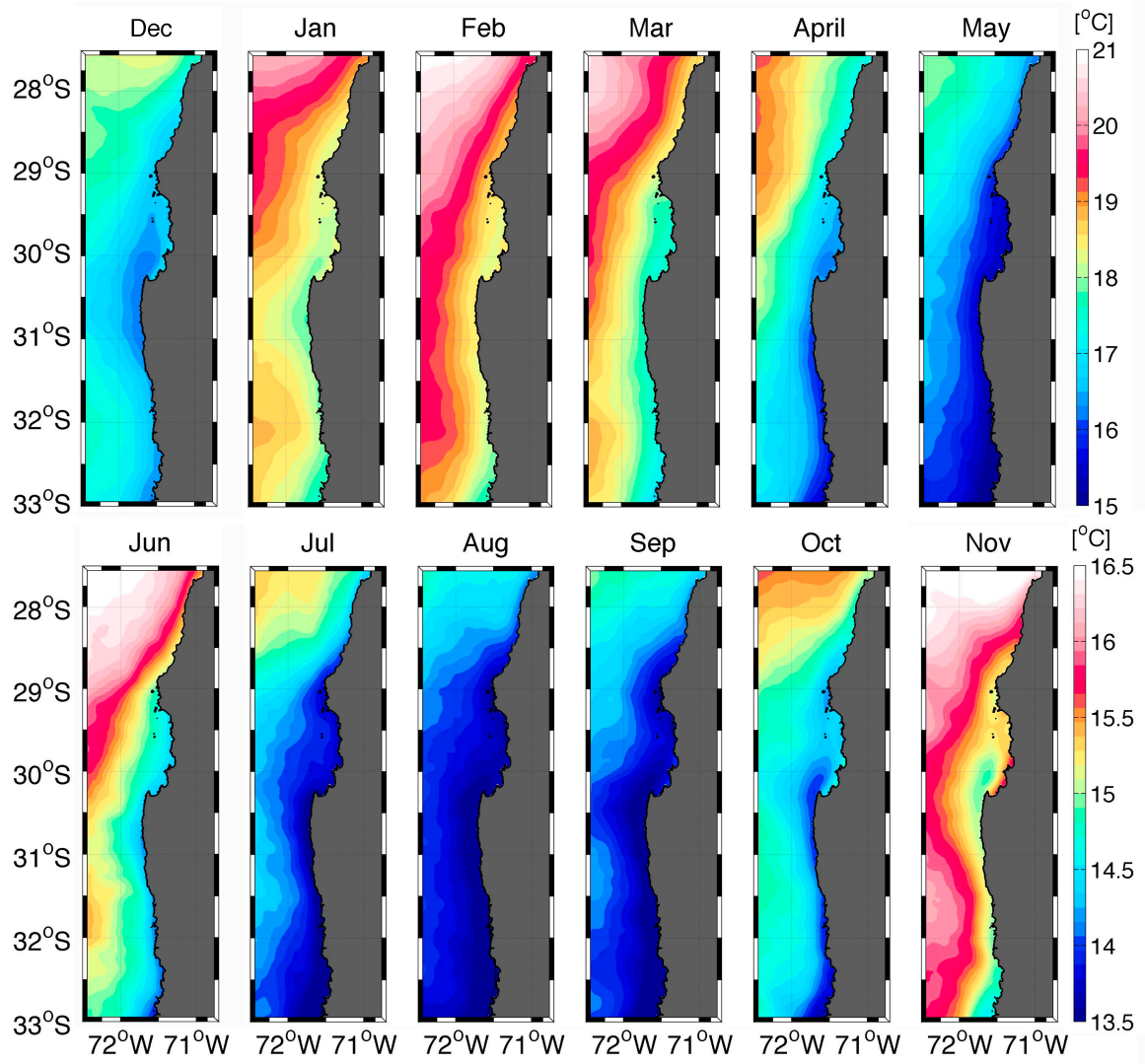


Figure 10.

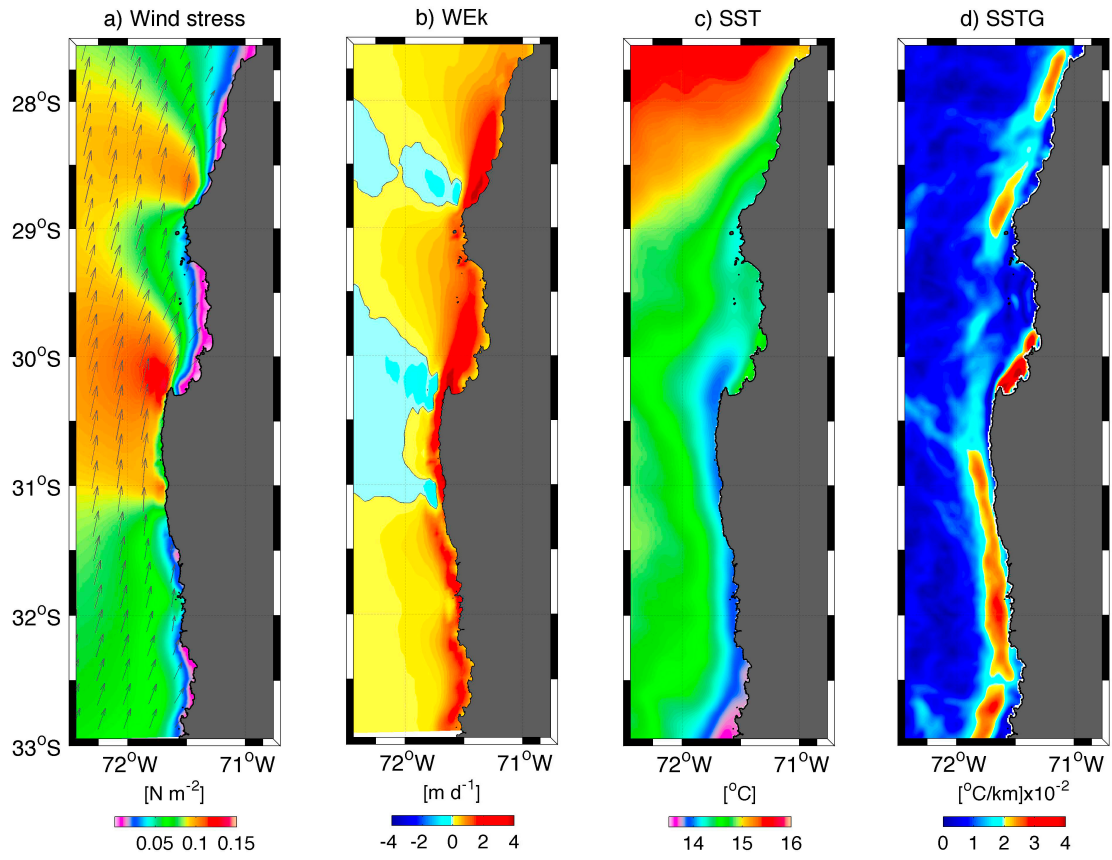


Figure 11.

