

# **Seasonal variability of the Ekman transport and pumping in the upwelling system off central-northern Chile (~30°S) based on a high-resolution atmospheric regional model (WRF)**

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23 **Abstract**

24 Two physical mechanisms can contribute to coastal upwelling in eastern boundary  
25 current systems, offshore Ekman transport due to the predominant along-shore wind  
26 stress and Ekman pumping due to the cyclonic wind stress curl, mainly caused by the  
27 abrupt decrease in wind stress (drop-off) in a cross-shore band of 100 km. This wind  
28 drop-off is thought to be an ubiquitous feature in coastal upwelling systems and to  
29 regulate the relative contribution of both mechanisms. It has been poorly studied along  
30 the central-northern Chile region because of the lack in wind measurements along the  
31 shoreline and of the relatively low-resolution of the available atmospheric reanalysis.  
32 Here, the seasonal variability in Ekman transport, Ekman pumping and their relative  
33 contribution to total upwelling along the central-northern Chile region (~30°S) is  
34 evaluated from a high-resolution atmospheric model simulation. As a first step, the  
35 simulation is validated from satellite observations, which indicates a realistic  
36 representation of the spatial and temporal variability of the wind along the coast by the  
37 model. The model outputs are then used to document the fine scale structures in the wind  
38 stress and wind curl in relation with the topographic features along the coast (headlands  
39 and embayments). Both wind stress and wind curl had a clear seasonal variability with  
40 annual and semiannual components. Alongshore wind stress maximum peak occurred in  
41 spring, second increase was in fall and minimum in winter. When a threshold of  $-3 \times 10^{-5}$   
42  $\text{s}^{-1}$  for the across-shore wind curl was considered to define the region from which the  
43 winds decrease toward the coast, the wind drop-off length scale varied between 8 and 45  
44 km. The relative contribution of Ekman transport and Ekman pumping to the vertical  
45 transport along the coast, considering the estimated wind drop-off length, indicated  
46 meridional alternation between both mechanisms, modulated by orography and the  
47 intricate coastline. Roughly, coastal divergence predominated in areas with low  
48 orography and headlands. Ekman pumping was higher in regions with high orography  
49 and the presence of embayments along the coast. In the study region, the vertical  
50 transport induced by coastal divergence and Ekman pumping represented 60% and 40%  
51 of the total upwelling transport, respectively. The potential role of Ekman pumping on the  
52 spatial structure of sea surface temperature is also discussed.

53

54 Keywords: drop-off, wind curl, upwelling, Ekman pumping

55 **1. Introduction**

56 In the eastern boundary current systems wind-induced upwelling has mainly been  
57 described using two primary mechanisms (Sverdrup et al., 1942; Gill 1982; Pickett and  
58 Paduan, 2003; Capet et al., 2004; Jacox and Edwards, 2012). The first one is coastal  
59 divergence which is the result of offshore Ekman transport due to alongshore winds (with  
60 an equatorward component) and earth's rotation and the presence of the coast (i.e. coastal  
61 upwelling). The second one is Ekman pumping which is the result of a cyclonic wind  
62 stress curl caused mainly by the wind drop-off that extends only tens of km in width  
63 along the coast, and is a typical feature of the eastern boundary current systems (Bakun  
64 and Nelson, 1991; Pickett and Paduan, 2003; Capet et al., 2004; Jacox and Edwards,  
65 2012). Starting in the mid 1970s, a series of studies began assessing the contribution of  
66 Ekman pumping on coastal upwelling for the California Current System (Halpern, 1976;  
67 Nelson, 1977), which later expanded to the other four upwelling systems (Bakun and  
68 Nelson, 1991). In one of these four regions, the coast of north and central Chile, this  
69 mechanism has been poorly evaluated, primarily due to the scarcity of *in situ* data,  
70 limitations in diffusiometer winds that have a "blind zone" near the coast and the  
71 relatively low spatial resolution of the atmospheric reanalysis. This has caused a limited  
72 progresses in the understanding of the upwelling dynamics and the coastal circulation of  
73 the region, among other factors.

74

75 Coastal upwelling has been widely studied in several regions of the world, in particular  
76 along the Eastern Boundary Upwelling Systems (EBUS). Currently, there is no  
77 generalized conceptual model for the upwelling structure that considers the region near  
78 the coast, the coastal boundary and the open ocean (Mellor, 1986; Marchesiello and  
79 Estrade, 2010). Traditionally a simple relationship based on wind stress along the coast  
80 has been used as an index of the coastal upwelling intensity (Bakun, 1973), this  
81 approximation does not consider other more complex physical processes, such as the  
82 wind curl (Pickett and Paduan, 2003; Capet et al., 2004; Jacox and Edwards, 2012) and  
83 the geostrophic flow toward the coast, which is in balance with the along shore pressure  
84 gradient and could potentially limit upwelling (Marchesiello et al., 2010; Marchesiello  
85 and Estrade, 2010). In the case of the wind curl, several modeling studies from different



86 upwelling systems suggest that wind stress decreases within a narrow coastal band of 10-  
87 80 km called wind "drop-off" (Capet et al., 2004; Bane et al., 2005; Perlin et al., 2007;  
88 Renault et al., 2012; Renault et al., 2015) that is highly sensitive to the resolution of the  
89 model. Thus, regional ocean modeling studies show that the upwelling response is  
90 sensitive to the transition in the structure of the wind near the coast (Capet et al., 2004;  
91 Jacox and Edwards, 2012), where the structure and physical forcing of the transitional  
92 coastal wind profile is not well understood (Jin et al., 2009). In the literature at least three  
93 main hypotheses have been proposed to explain the decrease of onshore wind (drop-off)  
94 that generates the wind stress curl within the coastal band. The first is related to the  
95 change of surface and boundary layer friction in the land-sea interface (Capet et al., 2004).  
96 The second is related to the ocean-atmosphere coupling between the sea surface  
97 temperature (SST) and the wind (Chelton et al., 2007), particularly cold water upwelling  
98 tend to stabilize the atmospheric boundary layer, decoupling the high atmospheric  
99 circulation with the surface circulation. The last one is related to coastal orography  
100 (Edwards et al., 2001), coastline shape (Perlin et al., 2011), and the combination of both  
101 (Renault et al., 2015) constraining the vorticity budget of the low-level atmospheric  
102 circulation. Other possible mechanisms that could potentially contribute to wind drop-off  
103 near the coast are the effects of sea breeze and pressure gradients (across or along the  
104 coast) at sea level.

105

106 The central-northern Chile region is characterized by nutrient rich cold surface waters,  
107 attributed to the surface circulation of the Humboldt system and mainly coastal upwelling  
108 driven by along shore winds that are associated with the southeast Pacific anticyclone  
109 (Shaffer et al., 1999; Halpern, 2002). A strong seasonal variability of the southeast  
110 Pacific anticyclone produces favorable upwelling winds to peak during spring and  
111 summer and decrease during winter (Strub et al., 1998). Within central-northern Chile the  
112 area around 30°S is characterized by the most intense upwelling favorable winds (Shaffer  
113 et al., 1999; Rutllant and Montecino, 2002). Additionally, local high frequency forcing in  
114 the region is associated with atmospheric coastal jets with periods less than 25 days, that  
115 are related to synoptic dynamics of the mid-latitude pressure perturbations in this case  
116 high pressures, that migrate toward the east (Muñoz and Garreaud, 2005; Rahn and

117 Garreaud, 2013) and play a major role in coastal upwelling (Renault et al., 2009, 2012;  
118 Aguirre et al., 2012). All these features make the region a natural laboratory to explore  
119 the forcing mechanisms and describe the physical processes that modulate coastal  
120 upwelling.

121

122 In a recent modeling study Renault et al. (2012) analyzed the main physical processes  
123 that explain changes in sea surface temperature in an upwelling event during the  
124 occurrence of an atmospheric coastal jet along the central-northern Chile region. The  
125 results showed a clear drop-off of the coastal wind that was not observed in the  
126 QuikSCAT data, due to the “blind zone” in the satellite measurements (~25 km offshore).  
127 The oceanic response to the atmospheric coastal jet produced significant cooling of the  
128 sea surface that significantly contributed to ocean vertical mixing equivalent to the  
129 magnitude of the vertical advection near the coast. Their sensitivity analyses showed that  
130 the response of the coastal ocean highly depends on the representation of the wind drop-  
131 off. This is because the total upwelling (*i.e.* the sum of coastal upwelling and Ekman  
132 pumping) depends on the scale of the wind drop-off. The authors suggest that there is a  
133 negative effect on coastal upwelling, due to a reduced Ekman transport near the coast that  
134 is not balanced by Ekman pumping. In addition, the drop-off has a strong effect on  
135 vertical mixing and consequently the cooling of the coastal ocean. In a previous modeling  
136 study Capet et al. (2004) off the coast of California suggested that a poor representation  
137 of the wind drop-off could underestimate Ekman pumping and overestimate coastal  
138 upwelling (and vice versa), with consequences for the coastal circulation processes.  
139 Meanwhile, Garreaud et al. (2011) using observations found a local atmospheric coastal  
140 jet just north of one of the most prominent geographic points of the region: Punta Lengua  
141 de Vaca (see Fig. 1). This coastal jet shows a distinct daily cycle as the result of the  
142 strong baroclinicity due to heating differential in the region. In a later study Aguirre et al.  
143 (2012) using climatological QuikSCAT winds to force a regional ocean model, found the  
144 importance of the wind stress curl over the regional circulation exerting control over the  
145 seasonal cycle of an Equatorward coastal jet. This study also evaluated the contribution  
146 of Ekman pumping to the total upwelling, which was not well resolved due to a poor  
147 resolution of the satellite winds within the first 30 km near the coast. In particular, due to

148 the narrow continental shelf off central-northern Chile, the cells of upwelling due to  
149 coastal divergence are trapped near the coast (Estrade et al., 2008), consequently the use  
150 of QuikSCAT winds could be overestimating the effect of upwelling driven by coastal  
151 divergence and Ekman pumping.

152

153 Although previous studies have documented the importance of the wind stress curl near  
154 the coast of central Chile (Renault et al, 2012; Aguirre et al, 2012), the impact of the  
155 abrupt transition of the wind near the coast (*i.e.* drop-off) and its seasonal variability on  
156 upwelling are still poorly understood. Here, prior to addressing this issue from an oceanic  
157 perspective, our objective is to document the wind stress curl (drop-off) and its seasonal  
158 variability off central-northern Chile ( $\sim 30^\circ\text{S}$ ) using a high resolution ( $\sim 4$  km)  
159 atmospheric model. Our focus is on the Ekman pumping and its contribution to the total  
160 upwelling, and the factors that could contribute to its meridional variability (*i.e.*  
161 topography, coastline and air-sea interactions).

162

163 The paper is organized as follows: a description of the atmospheric simulations and the  
164 methods used to estimate different upwelling terms are described in section 2. The  
165 following section presents results and discussions and was subdivided into three  
166 subsections. The first one describes wind stress curl pattern and the spatial scale of the  
167 wind drop-off. The second one presents an analysis of the annual variability in Ekman  
168 Pumping and coastal divergence, their relationship with coastal topography and their  
169 contribution to upwelling transport. Third one, the study relates Ekman Pumping  
170 transport to sea surface temperature near the coast. Finally, section 4 presents a summary.

171

## 172 **2 Methods and Model Configuration**

### 173 2.1 Model Output

174

175 The Weather Research and Forecasting (WRF) model version 3.3.1 (Skamarock and  
176 Klemp, 2008) was configured with three nested domains (Fig. 1) with increasing  
177 horizontal grid spacing over the region of interest by a factor of 3 from one domain to the  
178 other. The largest synoptic domain covers most of South America and the eastern Pacific

179 in a Mercator projection with a horizontal resolution of 36 km. The second domain  
180 covers the coast of north-central Chile (25°-35° S) with a horizontal resolution of 12 km.  
181 The innermost domain is centered over the Coquimbo bay system with a horizontal grid  
182 spacing of 4 km (Fig. 1). The use of such near-kilometer resolution improves the  
183 representation of complex terrain and is necessary for dynamical downscaling of near-  
184 surface wind speed climate over complex terrain (Horvath, 2012). WRF employs a  
185 terrain-following hydrostatic-pressure coordinate in the vertical, defined as eta ( $\eta$ ) levels,  
186 here a total of 42  $\eta$  levels were used in the vertical with increasing resolution toward the  
187 surface, 20 of them in the lowest 1.5 km with ~30 m in the vertical for the surface level,  
188 such telescopic resolution is a common choice in precedent studies to properly simulate  
189 the MBL depth over the ocean (Muñoz and Garreaud, 2005; Rahn and Garreaud 2013;  
190 Toniazzo et al, 2013; Renault et al 2012; Rutllant et al, 2013).

191

192 Given the complex interactions between alongshore winds, topography, cloudiness, land  
193 heating and coastal upwelling in the study region (Rahn and Garreaud 2013; Wood et al.,  
194 2011; Toniazzo et al., 2013) we have tested the WRF model in different combinations of  
195 parameterizations (cumulus - planetary boundary layer - soil model), surface data (SST  
196 forcing, topography and land surface) and nesting technique. A set of eight sensitivity  
197 simulations (for more details see response to referee #1, [http://www.ocean-sci-](http://www.ocean-sci-discuss.net/os-2015-94/#discussion)  
198 [discuss.net/os-2015-94/#discussion](http://www.ocean-sci-discuss.net/os-2015-94/#discussion)) was carried out for the control period, i.e. from 1  
199 October 2007 to 31 December 2007 corresponding to the upwelling season in north  
200 central Chile. The results were evaluated against surface observations from  
201 meteorological automatic stations and scatterometers (QuikSCAT, ASCAT), particular  
202 attention was paid to the shoreward decrease and temporal variability of the surface wind  
203 speed near the coast. The configuration with the best estimates of observed surface  
204 variability and mean state was then used for the long simulation 2007-2012.

205

206 The initial and Lateral Boundary and Conditions (LBC) were derived from the National  
207 Centers for Environmental Prediction (NCEP) Final Analysis Data (FNL) (Kalnay et al.  
208 1996; available online at <http://dss.ucar.edu/datasets/ds083.2/>) at 1°x1° global grids every  
209 six hours. The boundary conditions are prescribed over the coarser domain with the depth

210 of 5 grid-cells where simulated variables are relaxed towards the FNL solution. The SST  
211 forcing data are based on the daily Operational Sea Surface Temperature and Sea Ice  
212 Analysis (OSTIA) at  $0.05^\circ \times 0.05^\circ$  global grids resolution (Stark et al. 2007). The Sea  
213 Surface Temperatures (SST) is prescribed at the lower boundary (parent and inner  
214 domains) from the OSTIA daily product (Stark et al., 2007). To include the diurnal cycle  
215 we have calculated the 6-h anomalies with respect to the daily mean from the six hours  
216 FNL SST and then added to the daily OSTIA SST. In this way we generate the 6-h lower  
217 boundary updates with the same update rate used for the LBCs as Renault et al. 2015.

218

219 For each year the model was re-initialized with the FNL reanalysis every three months  
220 leaving 6 overlap days as a spin-up, the outputs during this period were excluded from  
221 the analysis, this scheme was suggested by Lo et al. (2008) in order to mitigate the  
222 problems of systematic error growth in long integrations and inconsistencies between the  
223 flow developing and the lateral boundary conditions. The instantaneous model diagnosis  
224 were stored at hourly intervals, the time steps were set to 108, 36 and 12 seconds for the  
225 domains of 36, 12 and 4 km respectively.

226

227 The simulated winds were validated using QuikSCAT and observations from two  
228 weather stations near the coast in Loma de Hueso (LDH) and Punta Lengua de Vaca  
229 (PLV) and a third station farther inland named Parral Viejo (Fig. 1 and 2). A spatial  
230 comparison was done using the coarse resolution grid (36 km) between satellite and WRF  
231 winds for 2007-2009. The comparison showed a good agreement between observations  
232 and modeling results with a similar spatial structure and magnitudes of the same order,  
233 especially within the study region ( $27^\circ\text{S}$ - $33^\circ\text{S}$ ). The root mean square (RMS) of the  
234 difference for observations and model results was less than  $1 \text{ m s}^{-1}$  (Fig. 2c). The high-  
235 resolution model outputs (4 km) were also compared with available observations. Initially,  
236 for each of the weather stations daily wind cycles were compared with simulations (not  
237 shown). The results indicate a better fit in diurnal variability when the model is forced  
238 with SST (OSTIA), which was finally chosen for the simulations performed in this study.  
239 The best fit between observations and model outputs was found when the wind intensifies  
240 during the afternoon between 17 and 19 hrs. A good model representation of the

241 afternoon winds is key for a proper representation of coastal upwelling in the region.  
 242 Finally, for each weather station, linear regressions and dispersion plots were done  
 243 between the meridional component of simulated (4 km) and observed winds (Fig. 2d-f).  
 244 A good agreement was observed for all the cases.

245

## 246 2.2 Upwelling estimates

247

248 The relative importance of coastal upwelling due to coastal divergence (Smith, 1968) was  
 249 estimated using wind stress obtained by the WRF model:

250

251

$$263 \quad Et = \frac{1}{\rho_w f} \tau \times \hat{k} \quad (1)$$

264 where  $Et$  is Ekman transport ( $\text{m}^2 \text{s}^{-1}$ ),  $\tau$  is the wind stress at the land-sea margin ( $\sim 4$  km  
 265 from the coast),  $\rho_w$  is water density,  $f$  is the Coriolis parameter and  $k$  is a unit vertical  
 266 vector. The vertical velocity from Ekman pumping was estimated using a definition given  
 267 by Halpern (2002) and Renault et al. (2012).

268

$$269 \quad w_{EP} = \frac{\text{Curl}(\vec{\tau})}{\rho_w f} + \frac{\beta \tau_x}{\rho_w f^2} \quad (2)$$

270 where  $\tau(x,y)$  is wind stress,  $\beta$  is the Coriolis parameter gradient and  $\tau_x$  is the cross-shore  
 271 wind stress. Latitude variations were not significant therefore the last term in equation (2)  
 272 was neglected. In order to compare the two upwelling processes, Ekman pumping was  
 273 converted into transport by integrating the vertical velocity within a certain distance from  
 274 the coast, which in our case was the length scale of the wind drop-off ( $L_d$ ) obtained from  
 275 a reference value (defined by Renault et al., 2015) where cross-shore wind curl was  $< -$   
 276  $3 \times 10^{-5} \text{ s}^{-1}$ . The wind drop-off spatial length ( $L_d$ ) varies meridionally (Fig. 3d-c).

277

278 Note that if we assume that the wind is parallel to the coast and that the wind curl is  
 279 dominated by its cross-shore gradient component (and this gradient is nearly constant in  
 280 the drop-off zone), then the total upwelling transport is simply  $\tau/(\rho f)$  or expressed as  
 281 vertical velocity is  $W = \tau/(\rho f L_d)$ , where  $\tau$  is the wind stress at  $L_d$ . Consequently it is

282 apportioned to Ekman transport and pumping according to the amount of drop-off (for  
283 more details see Renault et al., 2012). On the other hand, in our study region there is a  
284 marked decline toward the coast of the meridional wind component, therefore the wind  
285 drop-off has an impact on the total upwelling velocity. Thus a proper assessment of  
286 scales involved in both mechanisms is crucial to the upwelling problem.

287

288

## 289 **3 Results and discussion**

### 290 **3.1 Mean wind stress curl and the wind drop-off spatial scale**

291

292 From the wind stress simulations (model wind outputs) we obtained the mean of the wind  
293 stress curl in the three model domains with spatial resolutions of 36, 12 and 4 km (Fig. 3a-  
294 c). The mean wind stress curl patterns show clear differences when resolution is increased.  
295 In the simulations of higher resolution small scale or finer structures are well defined,  
296 especially close to the coast, that are not present in the simulation of coarse resolution, and  
297 that are not resolved or studied in previous studies (Aguirre et al., 2012; Renault et al.,  
298 2012). The simulations with higher resolution (12 and 4 km) show a cyclonic wind stress  
299 curl (negative) within the coastal band and within the Coquimbo bay system that is  
300 associated to a positive Ekman pumping (producing upwelling). While in the oceanic sector  
301 a less intense anticyclone wind curl predominates. The negative curl within the coastal band  
302 is the result of an onshore decay in wind intensity (drop-off) that is characteristic from  
303 EBUS systems (Capet et al., 2004; Renault et al., 2012).

304

305 In the central-northern Chile region the drop-off length scale ( $L_d$ ) is between 8 and 45 km  
306 (Fig. 3b-c, segmented yellow line). When the resolution of the model is increased, the wind  
307 drop-off takes place closer to the coast and exhibits a larger meridional/latitudinal  
308 variability, with in particular a larger drop-off scale in the central region of the domain than  
309 in the region south of  $30.25^\circ\text{S}$ . The meridional differences at  $L_d$  could be associated to  
310 coastal orography and the shape of the coastline; this will be discussed later in section 3.3.  
311 The finer structures in the wind stress curl close to shore, cannot be determined with  
312 confidence from observations of the scatterometers of previous and current satellite  
313 missions, such as QuikSCAT and/or other satellite, because of the blind zone in  
314 measurements within the first 25 km from the shore. Note that the blind zone increases to  
315 50 km when wind stress curl is estimated, as the result of the estimate of the spatial  
316 derivative.

317

318 Renault et al. (2012) based on atmospheric simulations (obtained with WRF) determined  
319 that the extent of the wind drop-off was  $\sim 70$  km. This length was different from the one



320 obtained in this study (which varied between 8 and 45 km), possibly because of the lower  
321 resolution used in their study. To further explain the zonal wind structure and drop-off,  
322 Figure 3d shows zonal profiles of the meridional wind of the more exposed region. The  
323 results indicate a clear decay of the wind along the coast in the three simulations (36, 12  
324 and 4 km) that is not observed in the satellite data from QuikSCAT. It should be noted the  
325 small difference with the satellite product. As mentioned above, in the study region there is  
326 a lack of wind information within the coastal band that covers the blind zone of the  
327 satellites and that can be used for validation purpose. One of the first in situ measurements  
328 in the region were done during the field campaign CupEX (Garreaud et al., 2011). During  
329 this experiment a zonal profile of wind was measured using airborne meteorological  
330 techniques. These observations allowed detecting an atmospheric coastal jet with a marked  
331 daily cycle that extended north of Punta Lengua de Vaca towards the Coquimbo bay system.

332

333 Such a coastal jet is present in our simulations that produce a wind curl in the bay system,  
334 which affects the circulation and coastal upwelling in the region. Other recent wind  
335 observations were collected under the scope of this study (FONDECYT Postdoctoral  
336 project 3130671), and are presented in Figure 3e. These wind observations were made with  
337 a marine weather station (AirMar) installed on a fishing boat. Measurements were made for  
338 04/22/2014, 05/18/2014, 09/15/2014 and 10/28/2014. Although these measurements do not  
339 cover the period of the simulations, they are presented here to illustrate observed features of  
340 the zonal wind profiles in the southern region. Despite the large spatial and temporal  
341 variability of the observations, they suggest a tendency to a reduction of the along-shore  
342 winds toward the coast comparable to what is simulated by the model (Fig. 3d).

343

344 Focusing now on the model results, in our study region the atmospheric coastal jet extends  
345 from the coast for several tens of kilometers to the west, showing some nearshore  
346 maximums, like in Punta Lengua de Vaca (Garreaud and Muñoz, 2005; Muñoz and  
347 Garreaud, 2005, among others). In addition, near Punta Lengua de Vaca the atmospheric  
348 local and baroclinic jet (local origin), with a marked diurnal cycle has a maximum around  
349 18:00 (local time) (Garraeud et al, 2011; Rahn et al, 2011). We compared the differences  
350 between using of WRF wind averaged only during afternoon hours and wind averaged

351 daily during the spring months (not shown). The simulation showed an intensification of  
352 the wind in the afternoon, emphasizing the coastal jet at Punta Lengua de Vaca (~30.5°S,  
353 south of Tongoy Bay), strong winds were also observed north of Punta Choros (29°S) and  
354 south of 31°S. However, when we used the daily averages, we can distinguish the coastal  
355 jet and high winds in Punta de Choros and south of 31°S, but with smaller magnitudes than  
356 in the afternoon. This is due to the smoothing produced by the averaging to daily mean data.  
357 On the other hand, if we look at the structure of Ekman pumping for the two cases, all  
358 showed a similar pattern near the coast, with a positive values (favorable to upwelling), but  
359 differed in their magnitude, which was greater in the afternoon. Therefore, we believe that  
360 for the purposes of this manuscript, using daily averages of wind from the WRF simulation  
361 time was valid.

362

### 363 **3.2 Annual variability of the wind stress and Ekman pumping**

364

365 The seasonal analysis of the wind stress and the Ekman pumping is based on the simulation  
366 having the highest resolution (4 km), considering the daily average from instantaneous  
367 wind values with an hourly sampling over the period between 01/01/2007 and 12/31/2012.  
368 Figure 4 presents the mean seasonal cycle of the wind stress for the study area in the coastal  
369 fringe extending 150 km from the coast. The wind stress presents a seasonal and spatial  
370 variability, with predominance of upwelling favorable winds (with equator-wards  
371 component) during all the year round, with maximum values (~0.15 N/m<sup>2</sup>) between  
372 September and November, which is characteristic of the central-northern region of Chile  
373 (Shaffer et al., 1999, Rutllant and Montecino, 2002, Ranh and Garreaud, 2013). The  
374 seasonal variability of the wind stress determines the behavior of the coastal upwelling and  
375 primary productivity in the region. This is through two main mechanisms, the coastal  
376 divergence (by Ekman transport) and the Ekman pumping, that will be evaluated in the  
377 following section. The wind can also induce vertical mixing and in turn surface cooling;  
378 this could even be of the same order of magnitude as the vertical advection (Renault et al.  
379 2012). In general, these mechanisms may covary in time, responding to the seasonal cycle  
380 of the wind stress; hence in a grouped statistical analysis (like SVD) it is difficult to isolate  
381 the spatio-temporal combined variability of two mechanisms without rejecting the effect of

382 the third. On the other hand, the model simulates well the coastal atmospheric jet observed  
383 in the zone of Punta Lengua de Vaca ( $\sim 30^{\circ}\text{S}$ ), in particular the maximum intensity during  
384 spring (Rahn and Garreaud, 2011; Rahn and Garreaud, 2013).

385

386 Close to the coast, where the satellite data have no coverage or the estimate in wind stress is  
387 uncertain (Fig. 1), a wind decay towards the coast (drop-off) is observed during practically  
388 all the calendar months of the year, with still a more pronounced tendency in the period  
389 between September and December. The horizontal gradient of the wind stress that is most  
390 intense close to the coast produces a wind curl with a clockwise rotation direction (cyclonic  
391 for the SH) generating a positive Ekman pumping favorable to the upwelling.

392

393 In addition to a non-uniform spatial distribution, the drop-off length ( $L_d$ ) in the area of  
394 interest also exhibits a marked seasonal variability. Based on an atmospheric simulation in  
395 the west coast of USA, Renault et al. (2015) also suggested that the drop-off presents  
396 seasonal and spatial variability, but with an extension ranging from between 10 to 80 km.  
397 These authors propose that the drop-off dynamics of the wind is due mainly to orographic  
398 effects and the shape of the coastline, reaching a maximal reduction of the wind ( $\sim 80\%$ )  
399 when these are combined. According to these authors, the drop-off length scale of the wind  
400 in front of Chile should be approximately 30 km, less than the scale off the west coast of  
401 USA. This would result from the different shape of the Chilean coastline characterized by a  
402 straighter coastline and the reduced numbers of capes compared to the US West coast. In  
403 addition the Andes would induce a sharper onward decline of the wind (drop-off) than the  
404 mountains of the west coast of the USA (Renault et al., 2015). In the section 3.3 the length  
405 scale of the drop-off along the central-north coast of Chile will be analyzed in relation with  
406 the coastal orography and the shape of the coastline.

407

408 Despite that the drop-off extension in front of central-northern Chile ( $\sim 45$  km) is on  
409 average weaker than that estimated in the California currents system (Enriquez y Friehe,  
410 1996; Renault et al., 2015), the wind-stress curl from this zonal gradient of the wind  
411 generates an Ekman pumping with a marked seasonality (Fig. 5) and positive vertical

412 velocities (upward) that reach  $4 \text{ m day}^{-1}$ , similar values to that obtained by Pickett and  
413 Paduan (2003) in front of the region of the California current system.

414

415 The simulation (4 km) has allowed to depict and document the mesoscale atmospheric  
416 circulation in the first 50 km of the coast (Fig. 3), where the spatial patterns of the Ekman  
417 pumping are much more marked, especially at latitudes where there are sharp topographic  
418 changes in the coastline (Fig. 5). Thus, structures of Ekman pumping are highlighted to the  
419 north of the main headlands of the region (Punta Lengua de Vaca and Punta Choros), and  
420 experience a seasonal cycle. In addition, the Ekman pumping presents negative values  
421 (downwelling) off shore associated to an anti-cyclonic wind curl around  $28.5^{\circ}\text{S}$  and  
422 between  $30^{\circ}\text{S}$  and  $31^{\circ}\text{S}$  that reaches the greatest extent during August, while decreasing  
423 considerably in the summer months and beginning of fall (Fig. 5). The difference for the  
424 Ekman pumping between the mean spring and the rest of the seasons (*i.e.* summer, fall and  
425 winter) indicate that the spring positive pumping dominates the other, specially north of  
426  $29^{\circ}\text{S}$ , in the interior of the Coquimbo bay system and south of  $31.5^{\circ}\text{S}$  (not shown).

427

428 With the objective of analyzing in more details the seasonal and spatial variability of the  
429 wind stress and its zonal gradient, three specific sectors of the study area were selected  
430 ( $28.5^{\circ}$ ,  $30.5^{\circ}$  and  $32.5^{\circ}\text{S}$ ), that are outside of the Coquimbo bay system (Fig. 6). As was  
431 mentioned before, the region is characterized by a marked wind stress seasonality more  
432 pronounced to the south of the study area (Fig. 6c). In general, the wind component along  
433 the coast shown a predominance of southerly winds favorable to the upwelling during all  
434 the year round, emphasizing a decrease in the wind stress towards the coast for the spring  
435 and summer months at  $32.5^{\circ}\text{S}$ , and in summer at  $28.5^{\circ}\text{S}$  and  $30.5^{\circ}\text{S}$ . When estimating the  
436 zonal gradient of the wind stress taking as a reference the wind at the coast, the most  
437 intense positive gradients (due to the wind drop-off towards the coast) are obtained in a  
438 coastal band with a width smaller than 50 km, indicating that the Ekman pumping is the  
439 most effective inside the coastal band, as is evidenced in the Figures 4 and 5. On the other  
440 hand, the negative zonal gradient extent (Ekman pumping and downwelling) is greater in  
441 the sections located farther the north, at  $28.5^{\circ}\text{S}$  and  $30.5^{\circ}\text{S}$ , than in the section located at  
442  $32.5^{\circ}\text{S}$  (Figs. 6 d, e and f), indicating that in the southern part of the study region, the

443 positive Ekman pumping region extends farther than in the zones where the wind stress is  
444 more intense seasonally close to the coast (Fig. 4).

445

### 446 **3.3 Contributions of Ekman transport and Ekman pumping to the upwelling rate**

447

448 The central-northern Chile continental shelf is very narrow and very steep so the scale of  
449 coastal divergence is <10 km (considering the theoretical framework of Estrade et al.,  
450 2008), while the scale of Ekman pumping considering  $L_d$  scale (previously defined, based  
451 on Renault et al., 2015) is ~45 km. To compare the seasonal contribution of coastal  
452 divergence and Ekman pumping to the total transport of coastal upwelling in the study  
453 region, the annual cycle of coastal divergence was obtained first by taking the wind of  
454 WRF closest to the coast (< 8 km) and meridionally integrated every  $0.25^\circ$  (Fig. 7e), while  
455 the annual cycle of Ekman pumping transport (from wind of WRF) was obtained by  
456 integrating the vertical velocity from the shoreline to the distance corresponding to the  
457 drop-off ( $L_d$ ) value, also within  $0.25^\circ$  latitude bands (Fig. 7f).

458

459 The results indicate a marked annual cycle with maximum vertical transport in the spring,  
460 both induced by coastal divergence and Ekman pumping, with secondary maximum in  
461 some areas during autumn accounting for a weaker semiannual component. As expected,  
462 there is a large temporal coherency along the coast between both processes (the meridional  
463 average correlation between Ekman pumping and transport reaches 0.8), except locally at  
464 some latitudes (e.g. at  $31.25^\circ\text{S}$ ) where there is a weak seasonal cycle in Ekman pumping  
465 (Fig. 7f) due to either a weak drop-off or a compensation effect by the zonal wind stress  
466 component. The high correlations indicate a seasonal consistency between both  
467 mechanisms, which has been previously reported in other upwelling systems (e.g. Pickett  
468 and Paduan, 2003; Renault et al., 2015). Although both mechanisms are highly correlated at  
469 seasonal timescales, they exhibit significant differences in relative magnitude as a function  
470 of latitude, *i.e.* when one is intense the other is weak. For instance, coastal divergence  
471 strongly dominates over Ekman pumping between  $30.25^\circ\text{S}$  -  $31.25^\circ\text{S}$  (Fig. 7d), which is the  
472 most recognized upwelling center in the region (located south of PLV), as well as the  
473 region between  $28.5^\circ\text{S}$  -  $29.25^\circ\text{S}$  (north of Punta Choros). In those regions Ekman pumping

474 tends to be weaker, while predominant for the area between 29.25 - 30.25°S, inside the  
475 Coquimbo bay system and the area between 28.0°S - 28.75°S, north of LDH. South of  
476 31.25°S, both mechanisms vary meridionally more uniformly. The estimate of the  
477 meridional correlation between both mechanisms as a function of calendar month indicates  
478 that they are better related in spring and summer ( $\sim -0.72$ ) than in winter ( $\sim -0.45$ ). Possible  
479 processes that could explain the inverse (negative) spatial relationship between the two  
480 mechanisms and its seasonal modulation are discussed below. Before continuing, we  
481 should mention that processes such as upwelling shadow can be important in the Coquimbo  
482 bay system, and would affect the temperature distribution inside the bay, especially in the  
483 southern part of the bay close to the coast, where higher temperatures are observed (and  
484 higher thermal front) compared to the lower temperature area that extends north from Punta  
485 Lengua de Vaca (Figure 10). In fact a study in the southern part of the Coquimbo bay  
486 system (Moraga et al., 2011) shows cyclonic circulation when there are upwelling favorable  
487 winds, the circulation is attributed to the separation of oceanic flow in Punta Lengua de  
488 Vaca, which is in agreement with the process of upwelling shadow and mainly affects the  
489 area indicated above. However, we think that this is not inconsistent with the effect of the  
490 wind curl in the area, which would favor upwelling north of Punta Lengua de Vaca. The  
491 oceanic response in the area clearly needs more attention and research in the future studies.

492

493 Considering the influence of topography and the geometry of the coastline to describe the  
494 spatial variability of the wind stress, (e.g. Winant et al., 1988; Burk and Thompson, 1996;  
495 Haack, et al., 2001; Koracin et al., 2004; Renault et al., 2015, among others), we now  
496 document the relationship between the relative importance of Ekman transport and  
497 pumping, and the coastal topography and shape of the coastline in the region. An along-  
498 coast orography index ( $H_{\text{index}}$ ) is estimated from the average of the orographic height  
499 between the coastline and 100 km inland (as in Renault et al. 2015). In addition, the  
500 coastline meandering index ( $M_{\text{index}}$ ) is estimated by converting the position of the coastline  
501 into distances and afterward using a high variability-pass filter (with 10 km half- width) the  
502 small fluctuations in the index are smoothed, consequently the index only considers the  
503 abrupt change in coastline configuration at relatively large scale (Renault et al., 2015).  
504 Figure 7a shows the  $H_{\text{index}}$  (black line) and  $M_{\text{index}}$  (red line). In the latter index negative

505 values are associated with headlands, while positive values are associated with bays. The  
506 drop-off scale and alongshore wind at the coast and at  $L_d$  are also included (Fig. 7b-c).  
507 Note that  $L_d$  is inversely proportional to coastal wind ( $R^2$  de  $\sim 0.81$ ), while the wind  
508 evaluated at  $L_d$  is spatially more homogenous. This differs from the results obtained by  
509 Renault et al. (2015) along the western coast of USA. From the inspection of  $H_{index}$ ,  $M_{index}$   
510 and  $L_d$  three scenarios are defined that could explain the observed upwelling pattern (Fig.  
511 7d-f):

512

513 1. Prevalence of positive Ekman pumping: in sectors such as the Coquimbo bay system and  
514 the region north of  $28.5^\circ\text{S}$  (LDH), where the wind curl intensifies due to the sharp decline  
515 of onshore wind, with a large drop-off scale ( $L_d$ ). In addition, the combination of a high  
516 orography (large  $H_{index}$ ) and the presence of bays and headlands along the coastline favor a  
517 decrease in the meridional onshore wind.

518

519 2. Prevalence of coastal divergence: in sectors characterized by a low topography (small  
520  $H_{index}$ ) and a negative  $M_{index}$  due to the presence of headlands such as Punta Lengua de  
521 Vaca and Punta Choros, with a drop-off scale ( $L_d$ ) smaller and stronger winds alongshore  
522 (Fig. 7b-c).

523

524 3. South of  $31.25^\circ\text{S}$  the pattern is more complex than previous scenarios. Both mechanisms  
525 are present but with a slight dominance of coastal divergence on Ekman pumping. South of  
526 this latitude,  $L_d$  increases, coastal wind decreases and wind curl increases (Fig. 7b-c).  
527  $M_{index}$  shows the presence of small inlets and headlands and the orography index is  
528 moderate high without largest changes as in the northern coastal region.

529

530 Renault et al. (2015) proposed that the coastal topography induces a decrease in the  
531 intensity of the wind towards the coast through the vortex stretching term. Similarly,  
532 Archer and Jacobson (2005) from atmospheric numerical simulations showed that the  
533 topography in the Santa Cruz-California region, is required for the formation of turbulence  
534 and vorticity. On the other hand, the shape of the coastline with capes and headlands  
535 increases the orographic effect through the vortex stretching term, tilting-twisting and

536 turbulent flux divergence (Archer and Jacobson, 2005; Renault et al., 2015). The sea-land  
537 drag coefficient difference mainly acts as a barrier that turns the wind alongshore.

538

539 Another minor factor is the sharp coastal sea surface temperature front associated with  
540 upwelling. Renault et al (2015) show that in their sensitivity experiment adding a sharp  
541 SST front over a coastal band strip leads to weaker surface wind associated with more  
542 stable and shallow marine boundary layer. This response of wind may be due to so-called  
543 "downward mixing" mechanism (Wallace et al., 1989; Hayes et al., 1989), which was used  
544 by many authors to explain the observed tendency of surface winds to decelerate over  
545 colder flank of the SST front and accelerate over warmer flank of the SST front (*cf.* Small  
546 et al., 2008 and references therein): warm (cold) SST would destabilize (stabilize) the PBL  
547 and cause enhanced (reduced) vertical turbulent mixing, increasing (decreasing) downward  
548 fluxes of horizontal momentum from the faster flow above to the slower near-surface flow.  
549 Nevertheless, a large SST anomaly (by  $-3$  °C in the experiment of Renault et al., 2015) is  
550 needed to induce a significant weakening of wind and significant additional wind drop-off.  
551 Therefore, the SST effect can be considered as secondary compared to the orography effect  
552 over the California coast.

553

554 The combination of coastal topography and the presence of headlands, points and capes on  
555 the United State (US) west coast, which induces a stronger and larger wind drop-off, which  
556 in turn is associated with a positive Ekman pumping (Koracin et al., 2004; Renault et al.,  
557 2015). This characteristic differs from what is observed along central-northern Chile, where  
558 the larger drop-off ( $L_d$ ) length, associated with a strong wind curl (Fig. 7b-c), takes place in  
559 the presence of abrupt orography and within the Coquimbo bay system ( $30.25^\circ\text{S} - 29.25^\circ\text{S}$ ).  
560 There the cross-shore wind component is more intense and favors the wind curl, whereas  
561 with lower terrain and the presence of headlands the  $L_d$  is very small (*cf.* Fig. 10, Renault et  
562 al., 2015). The origin of these differences is not well known; they may be due to several  
563 factors or processes. For instance, the topographic terrain along the coast of northern Chile  
564 is much higher (for the coastal range and Andes mountains) than the terrain along the west  
565 coast of the US. Furthermore, a feature of particular interest north of Punta Lengua de Vaca  
566 is the presence of the local atmospheric jet, which has a strong diurnal cycle and a clear



567 seasonal variability, as a result of coastal topography that favors baroclinicity north of PLV  
568 (Garreaud et al., 2011; Rahn et al., 2011). This feature would deserve further consideration  
569 based on the experiments done with the regional atmospheric model, however this is  
570 beyond the scope of the present study. Here the focus is on understanding possible effect of  
571 the wind drop-off and its spatial and seasonal variability on the upwelling dynamics.

572

573 To determine the contribution of the two proposed mechanisms to the total upwelling in the  
574 region, vertical transport due to coastal divergence and Ekman pumping were meridionally  
575 integrated (from Fig. 7e and 7f, respectively). The contributions of both mechanisms to  
576 upwelling (Fig. 8) have a clear annual cycle with a marked semiannual component.  
577 Maximum values occur during October, with 0.23 and 0.14 Sv for Ekman transport and  
578 Ekman pumping, respectively, while the sum of both is 0.37 Sv. In addition, coastal  
579 divergence and Ekman pumping represent 60% and 40% of the total upwelling,  
580 respectively. This indicates that Ekman transport is the stronger upwelling mechanism.  
581 However, it should be noted that these values are the sum throughout the region, and these  
582 percentages would change if specific sectors were considered especially where Ekman  
583 pumping has a larger significance (Fig. 7).

584

585 Comparing our estimates with those obtained by Aguirre et al. (2012) from QuikSCAT  
586 wind information using a larger region ( $\sim 27.5^\circ\text{S} - 40^\circ\text{S}$ ), it is observed that coastal  
587 divergence from our study is lower, mainly because they estimated averages using only 2  
588 values every day, which may influence the daily mean and therefore their estimates. Also  
589 their analysis did not include the wind drop-off area. The winds used in their study are  
590 stronger and so are their estimates for coastal divergence (*cf.* Fig. 7, Aguirre et al., 2012).  
591 However, for Ekman pumping our results are only slightly smaller than theirs. This  
592 difference is mainly due to differences in the method employed to estimate the vertical  
593 upwelling transport. In particular they use a length scale ( $L_d$ ) of 150 km from the coast for  
594 their calculation, while in this study a value of 45 km was considered. However, the largest  
595 differences in the estimates of the contributions of both mechanisms to total upwelling are  
596 in the seasonal variability and the relative contribution to Ekman pumping. The seasonal  
597 variability is composed of an annual cycle with a significant semiannual component,

598 whereas that obtained by Aguirre et al., (2012) is rather dominated by the annual cycle.  
599 This is because their estimates are based on the average over a larger region that includes  
600 the central-southern Chile region, where the wind has a significant annual variability.  
601 Moreover, the present results show a higher relative contribution of Ekman pumping to  
602 total upwelling in our region. This is partly due to a different technique for estimating this  
603 mechanism, the use of different wind products and the differences in the length of both  
604 study areas.

605

### 606 **3.4 Annual variability of Ekman Pumping and its relationship with Sea Surface** 607 **Temperature near the coast**

608

609 A link between SST and wind is found throughout the world's ocean wherever there are  
610 strong SST fronts (see review by Xie, 2004; Chelton et al., 2007; Small et al., 2008). This  
611 link raises the questions of to what extent the wind-drop off could be associated to marked  
612 upwelling fronts in EBUS. In the context of our study, it consists in evaluating the  
613 relationship between Ekman pumping and SST, considering that the difficulty to tackle this  
614 issue is related to the fact that there is a large temporal coherence between Ekman pumping  
615 and transport, preventing a clear identification of Ekman pumping-induced SST anomalies  
616 where both processes are in phase. As an attempt to identify regions where Ekman pumping  
617 has an imprint on SST, we use the Multi - Scale Ultra - High Resolution SST data set  
618 (MUR, <http://mur.jpl.nasa.gov>) with a spatial resolution of 1 km, which was shown to  
619 better capture SST fronts than other products off Peru (Vazquez et al., 2013). Figure 9  
620 shows the annual cycle of the MUR SST. The satellite data were compared to *in situ*  
621 observations that were obtained from 13 thermistors positioned close to surface along the  
622 coastline between 28°S–32°S (these observations were obtained by Centro de Estudios  
623 Avanzados en Zonas Aridas, Coquimbo, Chile) covering the period 09/2009–09/2012. The  
624 correlations obtained between observations and satellite data were high (0.74-0.94 most  
625 values were 0.8) and the RMS between their differences was low varying between 0.54 and  
626 1.3°C. This provided confidence to use MUR temperatures close to the coast in the spatio-  
627 temporal analysis done in the study region. The MUR data showed that south of 28.5°S  
628 there is a persistent surface cooling through all the year that increases in length (offshore)

629 from ~10 km in the northern region to ~100 km in the southern region. Within this region  
630 there are prominent upwelling centers, Punta Lengua de Vaca (~30.5°S), Punta Choros  
631 (~29°S) and the region between 30.5°S – 33°S. During most of the year a cold surface  
632 tongue projects offshore towards the great system of embayments of Coquimbo (with limits  
633 between ~29.25°S and 30.25°S), north of Punta Lengua de Vaca. A less intense but with a  
634 similar structure is observed north of Punta Loma de Hueso (~28.8°S).

635

636 An illustration of the effect of Ekman pumping on SST is presented in Figure 10 which  
637 shows the October mean spatial distribution for wind stress, Ekman pumping, SST and SST  
638 gradient. This month was selected because the maximum values of wind stress and  
639 increased surface cooling are recorded during this period. During this month, the wind  
640 stress (Fig. 10a) was intense with maximum values of  $\sim 0.15 \text{ Nm}^{-2}$ , showing a clear zonal  
641 gradient (drop-off) over the entire coastal band of the study area. Note that the maximum  
642 wind stress is north of the two most prominent headlands of the region (PLV and LDH),  
643 right where the wind abruptly changes direction, creating an intense cyclonic wind curl  
644 north of both ends. As the result from the distribution pattern of the wind stress, wind curl  
645 was negative in much of the area of interest resulting in a positive Ekman pumping with  
646 vertical velocities of up to  $4 \text{ m day}^{-1}$  near the coast (Fig. 10b). Also, there are two areas  
647 with a slightly negative pumping (light blue regions), following the pattern of the wind  
648 stress where the wind decreases away from the coast (see the wind vectors), producing a  
649 positive curl and a negative Ekman pumping. Moreover, as mentioned above (see Fig. 7),  
650 much of the southern spatial structure in Ekman pumping appears to be associated to the  
651 coastal terrain and abrupt changes of the coastline. A good example of this is the tongue-  
652 shaped structure that extends from the upwelled waters north of Punta Lengua de Vaca  
653 entering the Coquimbo bay system, where the upwelling induced by the Ekman transport  
654 seems not affected (Fig. 7). As the result of a positive Ekman pumping, cold water rises to  
655 surface causing a decrease in sea surface temperatures in large part of the coastal region  
656 (Fig. 10c). However, this cooling is not necessarily caused by Ekman pumping throughout  
657 the region, there are other processes that would contribute to the surface cooling that will be  
658 discussed later. Despite this, the cooling inside the Coquimbo bay system seems to be  
659 caused largely by Ekman pumping. Moreover, outside the Coquimbo bay system high

660 values ( $>2^{\circ} \text{C km}^{-1}$ ) of the horizontal SST gradient magnitude are distributed in a band near  
661 the coast, but not attached to it (Fig. 10d) as expected for upwelling fronts. Within the  
662 Coquimbo bay system, there is a homogeneous temperature zone, delimited by a less  
663 intense gradient in the west and a greater gradient in the smaller bays of the system, which  
664 coincides with the structure of an Ekman pumping tongue projected to the north of Punta  
665 Lengua de Vaca.

666

667 In order to further document the coupled spatio-temporal patterns of Ekman pumping and  
668 the SST field, a Singular Value Decomposition analysis (SVD, Venegas et al., 1997) was  
669 performed. The SVD method allows determining statistical modes (time/space) that  
670 maximize the covariance between two data sets. Filtered time series (low pass filter with  
671 mean half-power of 280 days) and standardized of Ekman pumping and SST-MUR for the  
672 2007-2012 period were analyzed using this method (Fig. 11). In this case the SVD analysis  
673 was successful in capturing a dominant seasonal mode. The first dominant mode accounts  
674 for 99% of the covariance, with a 43% and 87% of the variance explained by Ekman  
675 pumping and SST respectively. Ekman pumping spatial pattern presents maximum values  
676 very close to the coast, primarily north of Punta Lengua de Vaca, inside the Coquimbo bay  
677 system ( $29.3^{\circ}\text{S}$ – $30.2^{\circ}\text{S}$ ) and north of Punta Choros ( $28^{\circ}\text{S}$  -  $29^{\circ}\text{S}$ ). Also, the pattern is  
678 intense near the coast between  $30.2^{\circ}\text{S}$  (south of PLV) and  $32.5^{\circ}\text{S}$ . The spatial pattern for  
679 SST presented areas with high variability associated with areas of maximum Ekman  
680 pumping, highlighting the overall variability in the bay system of Coquimbo and the area  
681 north of Loma de Hueso ( $\sim 28.8^{\circ}\text{S}$ ). Moreover, the correlation between the time series of  
682 expansion coefficient was  $-0.96$  (with  $R^2 = 0.92$  and significant at 95 %), indicating a  
683 strong inverse relationship, consistent with that expected for a positive pumping with  
684 upward vertical velocities that causes a surface cooling in the region. This results in a  
685 greater contribution to the north of headlands in the region (Punta Lengua de Vaca and  
686 Loma de Hueso), even within the Coquimbo bay system, which is consistent with the  
687 results observed in Figure 7. However, despite the high correlation obtained between both  
688 mechanisms within the seasonal scale we cannot infer a relationship with SST only from  
689 Ekman pumping, especially where Ekman transport dominates. Also, other processes such  
690 as the direct effect of wind must play a significant role, eg. vertical mixing (Renault et al.,

691 2012), or processes related to mesoscale activity (filaments, meanders, eddies, etc.), which  
692 are more intense south of Punta Lengua de Vaca (Hormazabal et al., 2004), and/or in  
693 general processes related to ocean-atmosphere interaction (Chelton et al., 2007; Renault et  
694 al., 2015).

695

696 Finally, our analysis calls for more thorough study on the temperature response to wind  
697 forcing, which should involve oceanic modeling at a resolution high enough to resolve finer  
698 scale processes. The oceanic model could be forced by the high-resolution atmospheric  
699 simulations presented in this study, improving in terms of resolution from previous  
700 modeling efforts in the region (Renault et al., 2012). The use of a high-resolution coupled  
701 ocean-atmosphere model would improve our understanding of the air-sea interactions along  
702 our study region. A plan for the development of such model is under way and will be the  
703 focus of our next study

704

#### 705 **4.- Summary**

706

707 The spatial and temporal variability (annual cycle) of the transport and Ekman pumping, as  
708 well as their relative contribution to the total upwelling in the central-northern Chile was  
709 studied using winds obtained from a nested configuration of the WRF model allowing to  
710 reach 4-km resolution. The simulations showed a cyclonic wind curl (negative) on the  
711 coastal-band nearshore and inside the Coquimbo bay system. This negative wind curl is  
712 mainly due to the onshore decay of the wind (wind drop-off), which presented length scales  
713 ( $L_d$ ) between 8 and 45 km with a significant latitudinal variability. The wind drop-off scale  
714 is in particular larger within  $29.25^\circ\text{S}$ - $30.25^\circ\text{S}$  and to the north of  $28.5^\circ\text{S}$ . When we  
715 compared the drop-off scale with other upwelling regions, for example the coast of  
716 California (Enriquez and Friehe., 1996; Renault et al, 2015), we find that it is lower in our  
717 study region. For instance  $L_d$  ranges from 10 and 80 km within  $35^\circ\text{N}$  and  $45^\circ\text{N}$  (Renault et  
718 al., 2015). Despite such difference, the wind stress curl that resulted from this zonal wind  
719 shear, generated Ekman pumping with a marked seasonality and vertical velocities at the  
720 surface that reached 4 m/day, values comparable to those observed in the California current  
721 system.

722 When comparing the seasonal contribution of coastal divergence and Ekman pumping to  
723 the coastal upwelling transport in northern-central Chile, we find that there is a high  
724 seasonal coherence between the two mechanisms ( $> 0.8$ ) with a maximum during spring.  
725 However, despite this high seasonal correlation there is a spatial alternation between them,  
726 that is, where one is intense the other is weak. This pattern seems to be the result of a close  
727 relationship between the topography of the coast, the shape of the coastline and the spatial  
728 scale of the wind drop-off. From this information we defined three scenarios that could  
729 explain the pattern of upwelling in the area.

730

731 Prevalence of positive Ekman pumping associated to large of  $L_d$ , observed in regions such  
732 as the Coquimbo bay system and north of  $28.5^\circ\text{S}$ . The combination of high terrain and the  
733 presence of bays and headlands along the coastline could explain the large  $L_d$  values.

734• Prevalence of coastal divergence with smaller values of  $L_d$  and more intense winds near the  
735 coast. This is observed in sectors characterized by a low topography and the presence of  
736 headlands as Punta Lengua de Vaca and Punta Choros.

737

738• Combination of both mechanisms where neither divergence nor coastal Ekman pumping  
739 dominated over the other. This take place to the south of  $31.5^\circ\text{S}$ .

740

741 The 3-dimensional aspect of the coastal circulation in the region of interest (Aguirre et al.,  
742 2012) prevents a clear identification of the role of each processes on SST variability,  
743 although our SVD analysis reveals areas where the similarity of the patterns of Ekman  
744 pumping and SST suggests a privileged forcing mechanism like within the Coquimbo bay  
745 system and the area north of Loma de Hueso ( $\sim 28.8^\circ\text{S}$ ). Further studies based on the  
746 experimentation with an regional oceanic model should be carried out to better identified  
747 upwelling regimes by, for instance, using the model winds documented here at different  
748 seasons to mimic changes in the drop-off. Considering the rich marine ecosystem hosted by  
749 the region (Thiel et al., 2007) our interest goes to relate aspects of the meso to submeso  
750 scale circulation (eddies and filaments) to the processes documented in this study. This is  
751 planned for future work.

752

753 Finally, the model allowed for an estimate of the near-shore (coastal fringe of ~50km) low-  
754 level circulation and, evidences fine scale structure of the wind stress curl that cannot be  
755 estimated from satellite observations. Considering the overall realism of the model  
756 simulation, our study could be used to guide field experiments and gather in situ  
757 measurements in order to gain further knowledge in the processes that constrain such  
758 features

759

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761

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770

771 **5.- References**

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1021  
1022

1023 **Table 1:** Information of the physics options and main features used in the simulations.

Parameterization	References
<b>Microphysics:</b> WRF Single-Moment 6-class scheme. A scheme with ice, snow and graupel processes suitable for high-resolution simulations.	(Hong et al. 2006)
<b>Longwave/Shortwave radiation:</b> Rapid Radiative Transfer Model (RRTMG). An accurate scheme using look- up tables for efficiency, accounts for multiple bands, trace gases, and microphysics species. It includes the Monte Carlo Independent Column Approximation MCICA method of random cloud overlap.	(Iacono et al. 2008).
<b>Boundary layer:</b> University of Washington Turbulent kinetic energy (TKE) Boundary Layer scheme. This scheme is TKE based, and it is characterized by the use of moist-conserved variables, an explicit entrainment closure, downgradient diffusion of momentum, and con- served scalars within turbulent layers.	(Bretherton and Park 2009)
<b>Surface layer:</b> Based on Monin-Obukhov with Carslon-Boland viscous sub-layer and standard similarity functions from look-up tables.	(Paulson, C. A., 1970) (Dyer, A. J. et al., 1970) (Webb, E. K., 1970) (Beljaars, A.C.M., 1994) (Zhang and Anthes 1982)
<b>Land surface model:</b> The NOAH Land Surface Model. For land surface processes including vegetation, soil, snowpack and land atmosphere energy, momentum and moisture exchange.	(Tewari, M. et al., 2004)
<b>Cumulus:</b> Betts-Miller-Janjic scheme. Operational Eta scheme. Column moist adjustment scheme relaxing towards a well-mixed profile.	(Janjic, Z. I., 2000)

1024

1025 FIGURE CAPTIONS

1026

1027 Figure 1. Study area showing bathymetry and topography of the coastal terrain. The  
1028 dotted thick line indicates the western boundary of the coastal band where satellite  
1029 information (~25 km offshore) is absent. Red squares indicate the location of the three  
1030 weather stations at Loma de Hueso, Punta Lengua de Vaca and Parral Viejo. The inset  
1031 plot shows the three model domains used in the WRF simulations (36, 12 and 4 km).

1032

1033 Figure 2. Comparisons of the spatial patterns of the mean velocity fields of winds  
1034 obtained (to same period 2007-2009) from a) QuikSCAT b) WRF simulation for the 36  
1035 km grid configuration. c) Root Mean Square (RMS) differences between observations  
1036 and model results. The lower panels show dispersion plots between the observed and  
1037 modeled N-S winds at d) Loma de Hueso, e) Parral Viejo and f) Punta Lengua de Vaca  
1038 (Fig.1). Red line represent to linear regress and black line is 1:1 relation.

1039

1040 Figure 3. Mean wind stress curl obtained by the model (from 2007-2012) using three  
1041 model domains a) 36 km, b) 12 km and c) 4 km. The yellow dotted line represents the  
1042 length scale of the wind drop-off determined from a threshold value of  $-0.3 \times 10^{-4} \text{ s}^{-1}$   
1043 (Renault et al., 2015). d) Mean zonal profiles of alongshore wind speed obtained from the  
1044 three model configurations (36, 12 and 4 km) and QuikSCAT observations are shown. e)  
1045 Zonal profiles of alongshore wind speed from a weather station obtained onboard of a  
1046 fishing boat during 22 April (black line), 18 May (black dashed line), 15 September (red  
1047 line) and 28 October (red dashed line) of 2014 are also shown. The segmented line in d)  
1048 and e) indicates the location of the satellite blind spot.

1049

1050 Figure 4. Wind stress annual cycle obtained from the simulation at 4 km resolution (from  
1051 2007-2012). Color represents the magnitude of wind stress (in  $\text{Nm}^{-2}$ ) and the arrows  
1052 indicate the wind stress direction.

1053

1054 Figure 5. Annual cycle of Ekman pumping (vertical velocity in  $\text{md}^{-1}$ ) obtained from the  
1055 simulation at 4 km resolution (from 2007-2012).

1056 Figure 6. Hovmoller diagrams of alongshore wind stress seasonal cycle (top panels) and  
1057 the zonal gradient of alongshore wind (lower panels) for the regions at 28.5°S (a, d),  
1058 30.5°S (b, e) y 32.5°S (c, f). The monthly mean zonal wind stress and mean zonal  
1059 gradient are also shown (side black line).

1060

1061 Figure 7. Contributions of the Ekman transport and Ekman pumping to the vertical  
1062 transport near the coast. a) Integrated orography index ( $H_{index}$ , black line) and coastline  
1063 meander index ( $M_{index}$ , red line, see text). b) Drop-off spatial length. c) Alongshore wind  
1064 at  $L_d$  (red line) and coastal (black line). d) Ratio between Ekman pumping and Ekman  
1065 transport e) Seasonal vertical transport associated with Ekman transport and f) seasonal  
1066 vertical transport associated with Ekman pumping. To estimate the Ekman transport the  
1067 wind stress closest to the coast was used, while Ekman pumping was integrated from the  
1068 coast to the longitude corresponding to a distance from the coast equal to the length of the  
1069 drop-off (see text).

1070

1071 Figure 8. Contributions of Ekman transport and Ekman pumping to the vertical transport  
1072 near the coast (in Sv) over the study area (27.75°S-32.5°S, see Fig. 7). Seasonal vertical  
1073 transport associated with Ekman transport (black line), Ekman pumping (red line) and  
1074 total wind induced vertical transport (blue line, sum of both vertical transports). The  
1075 estimates were carried out from the WRF simulation at 4 km resolution.

1076

1077 Figure 9. Annual cycle of sea surface temperature obtained using data from the Multi-  
1078 scale Ultra-high Resolution (MUR). Top and bottom panels used a different colormap  
1079 scale.

1080

1081 Figure 10. October mean spatial distribution for a) wind stress and b) Ekman pumping  
1082 using the 4 km grid spacing simulation and c) sea surface temperature (SST) and d) SST  
1083 gradient obtained from MUR observations.

1084

1085 Figure 11. First SVD mode between Ekman pumping ( $WE_k$ ) from the WRF simulation at  
1086 4 km resolution and sea surface temperature (SST) from MUR data. a) The Ekman



1087 pumping spatial component. b) The SST spatial component. c) The black (red) line  
1088 represents the associated Ekman pumping (SST) time series. Note that the units are  
1089 arbitrary.

# FIGURES

Figure 1.

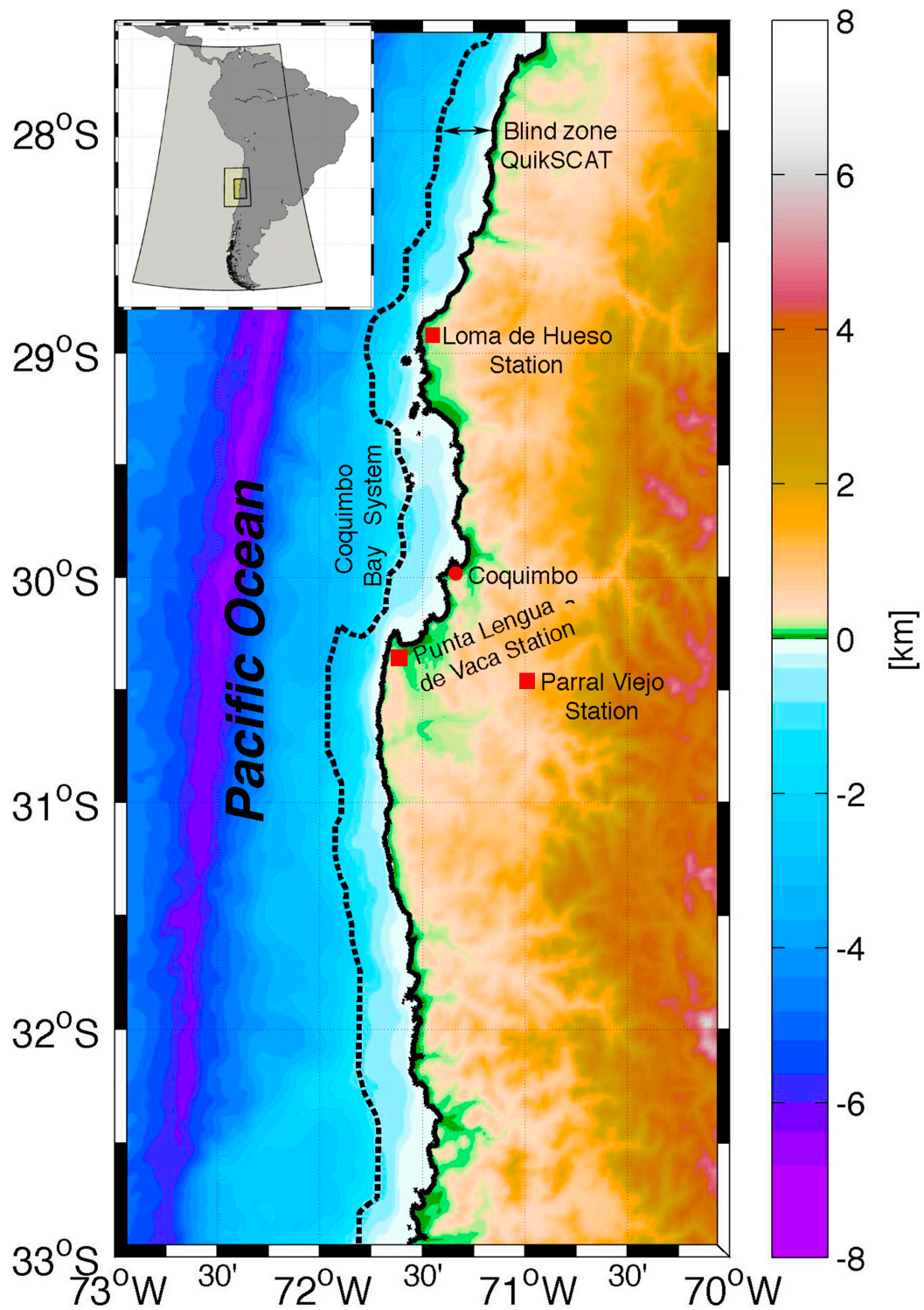
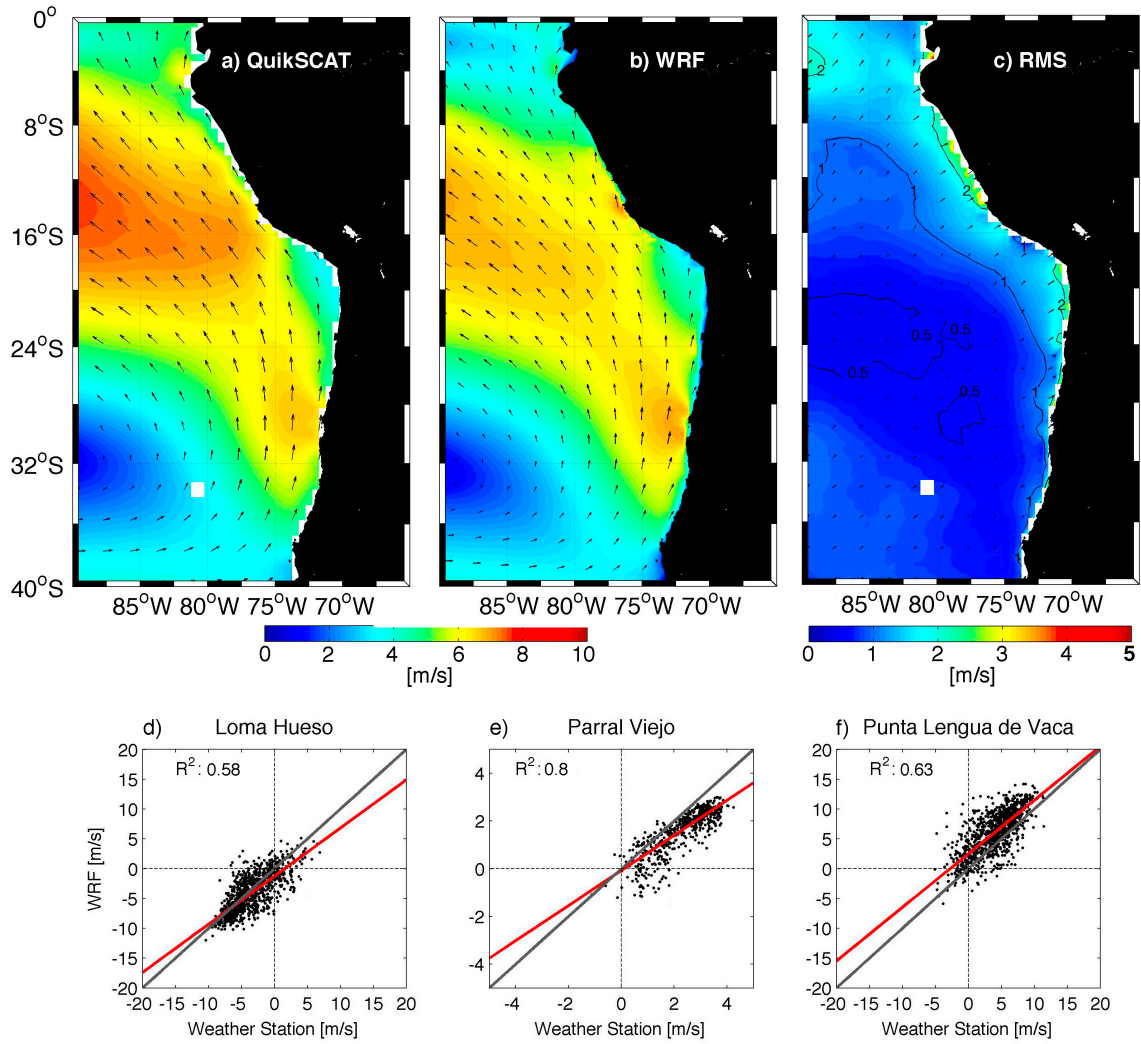


Figure 2.



**Figure 3.**

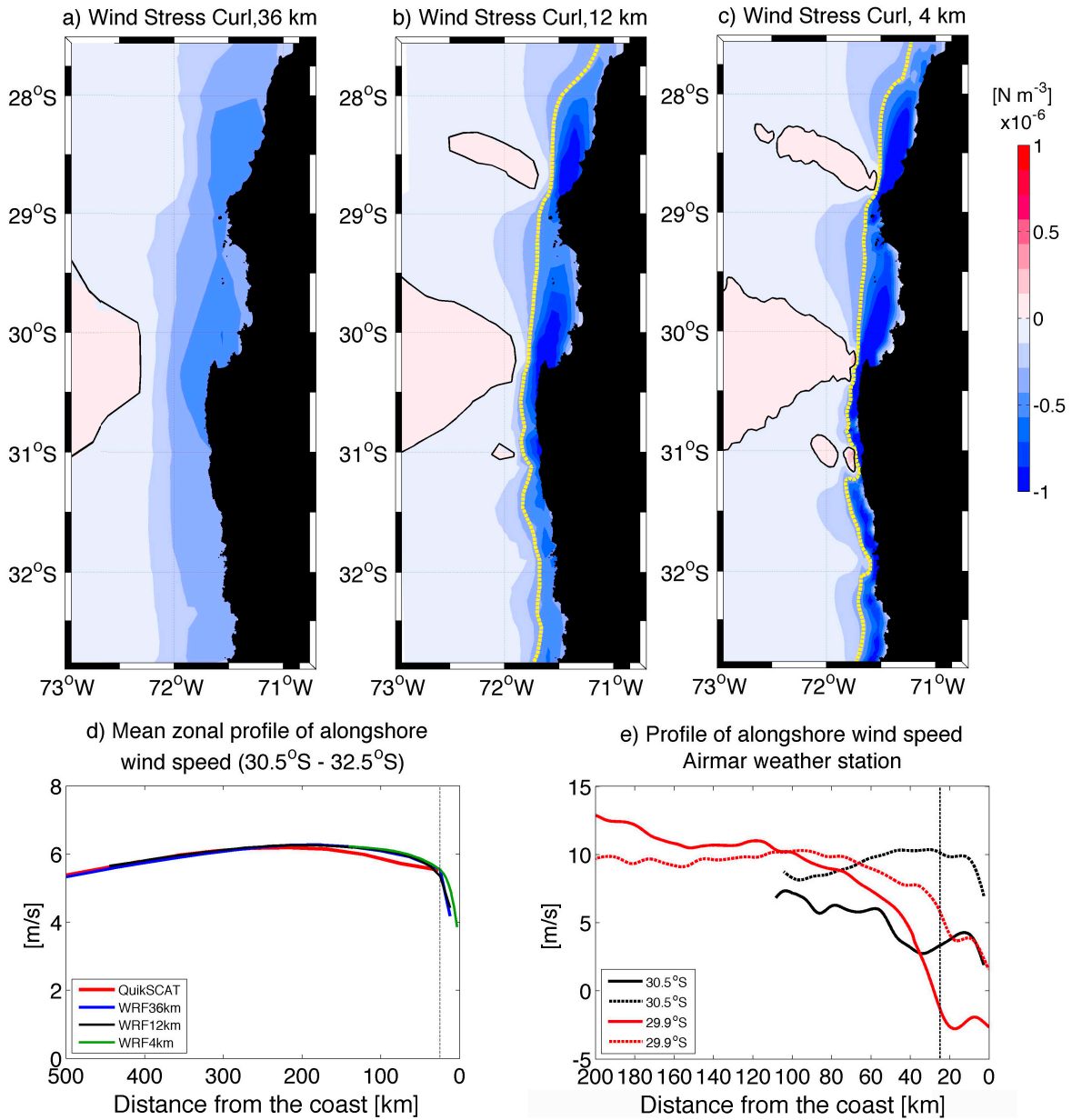


Figure 4.

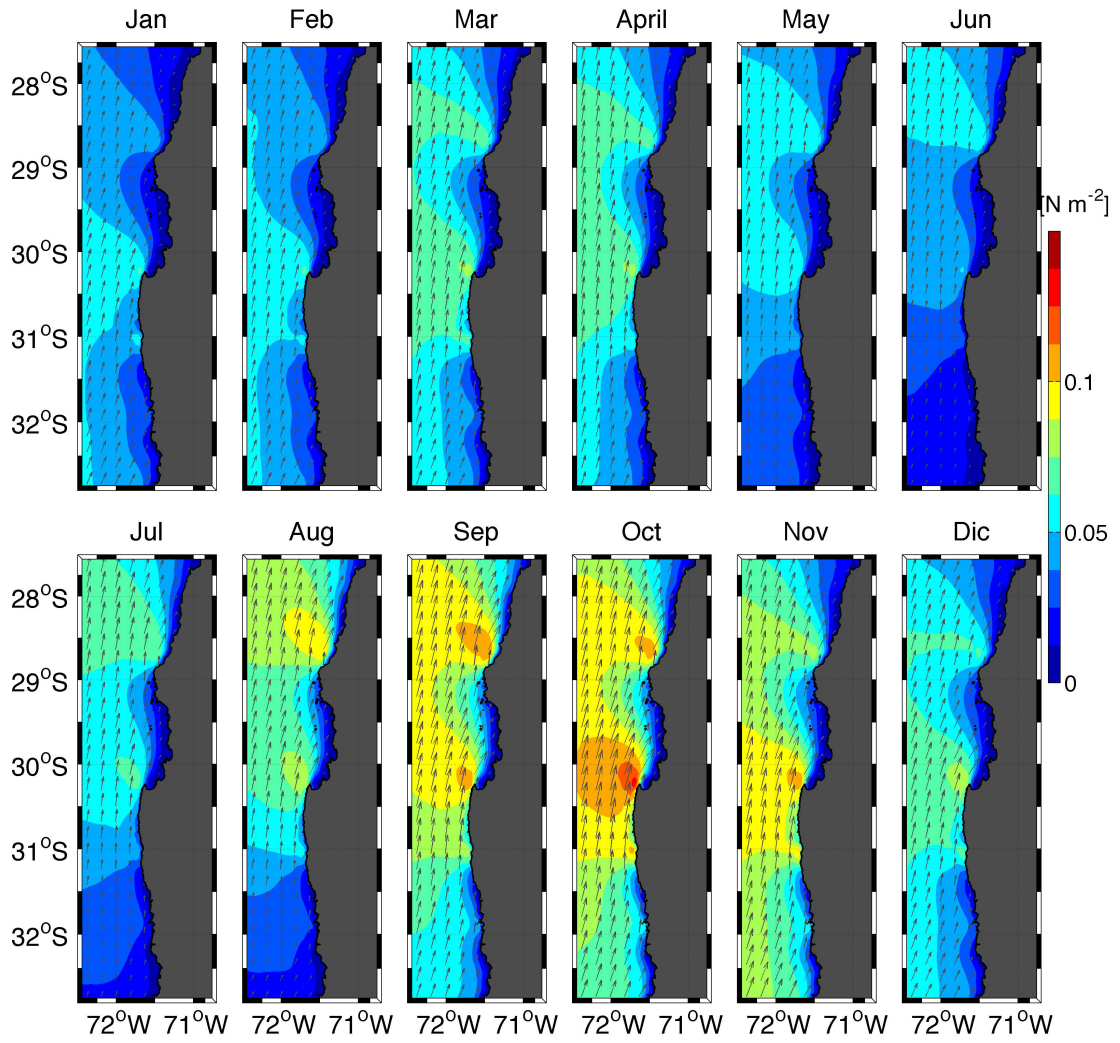




Figure 5.

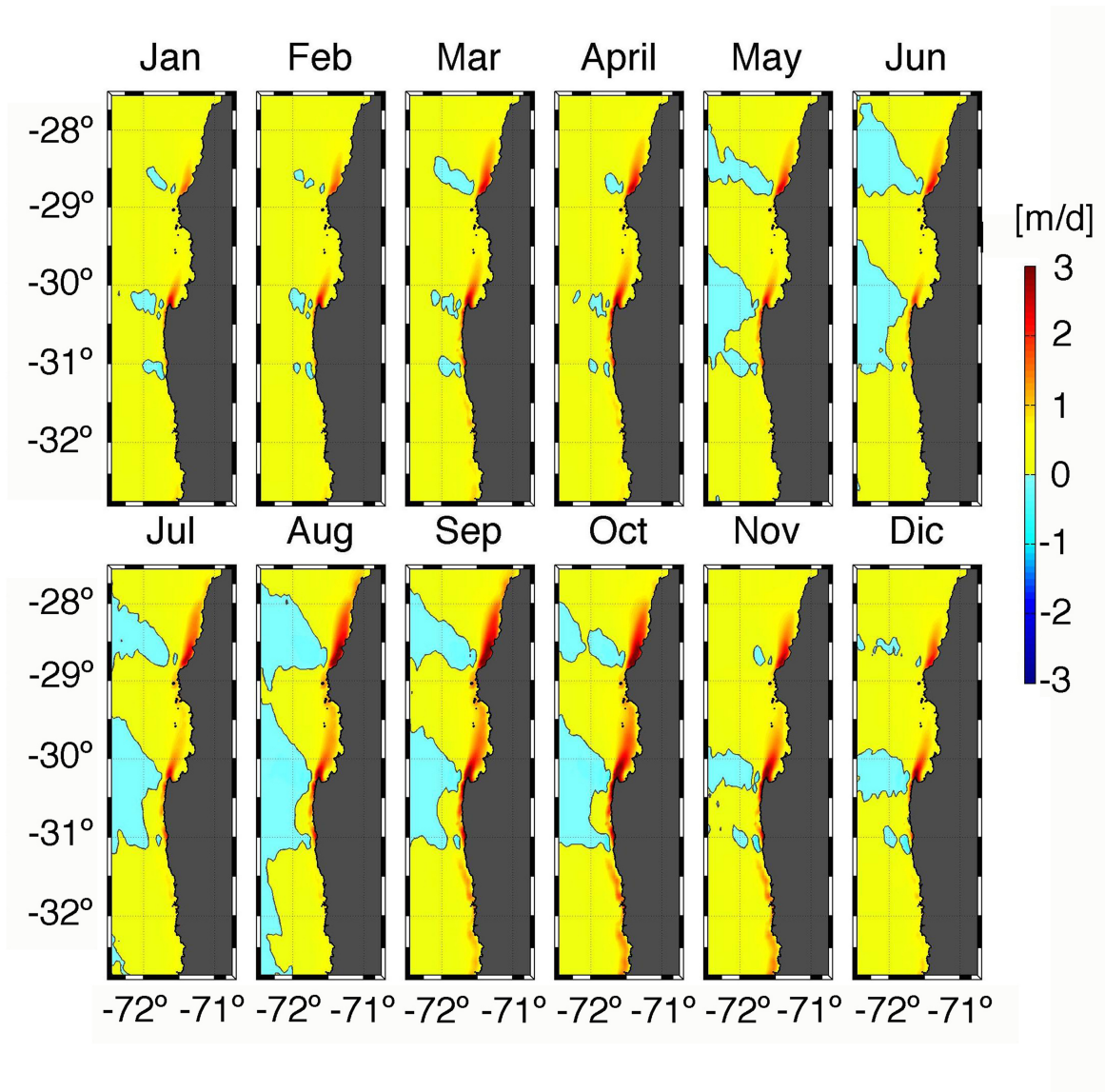


Figure 6.

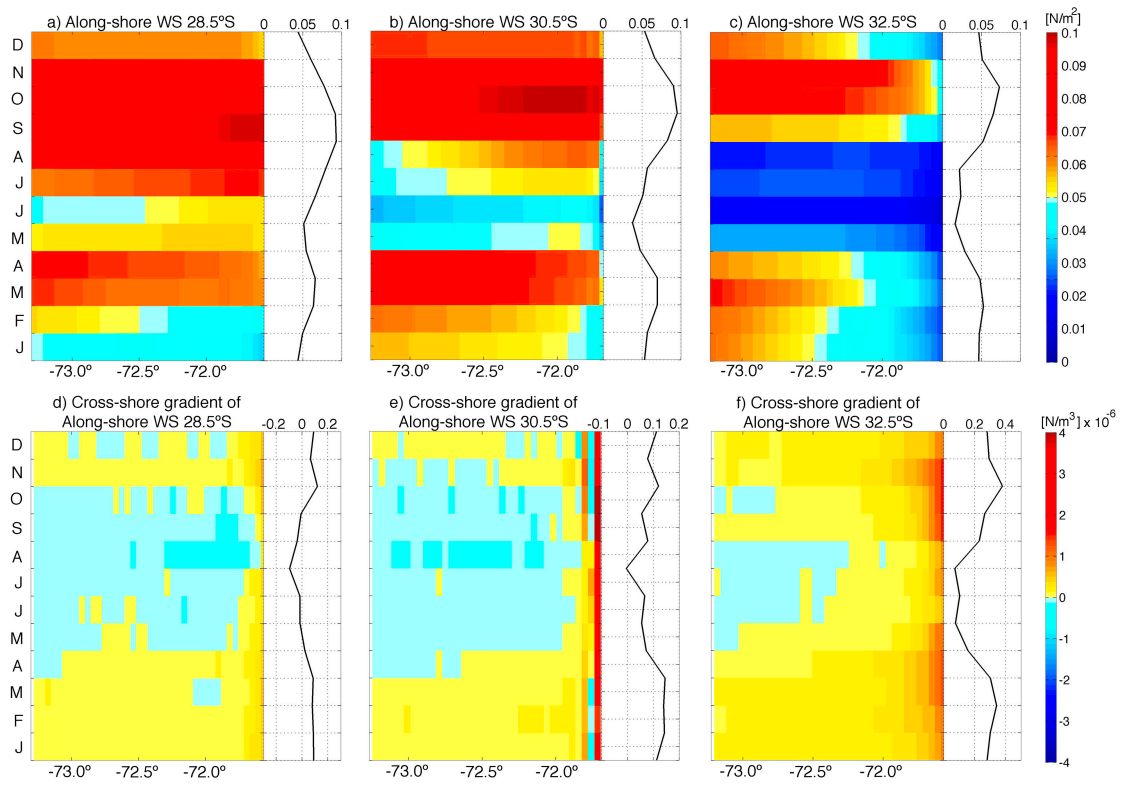


Figure 7.

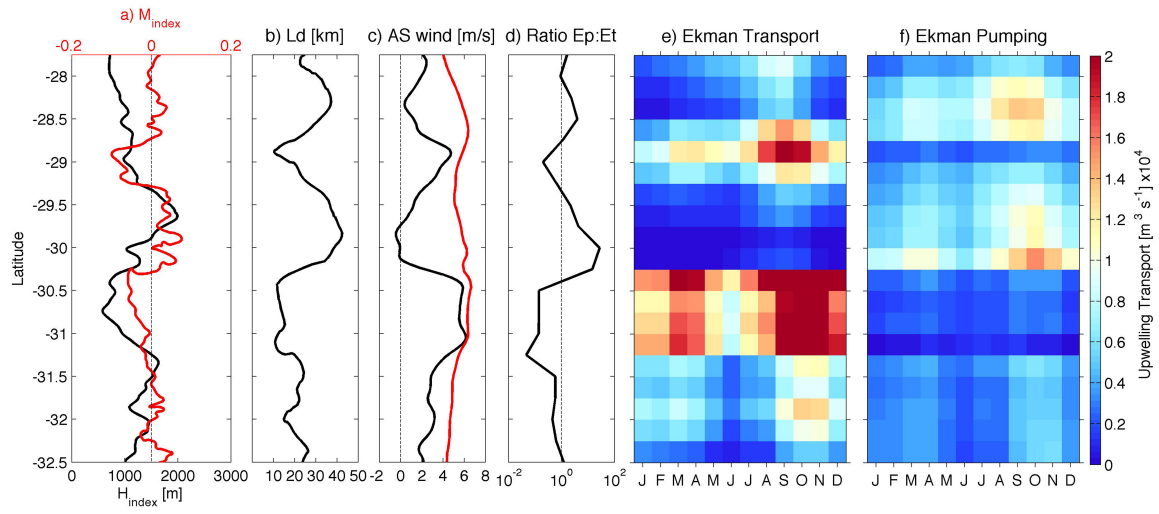
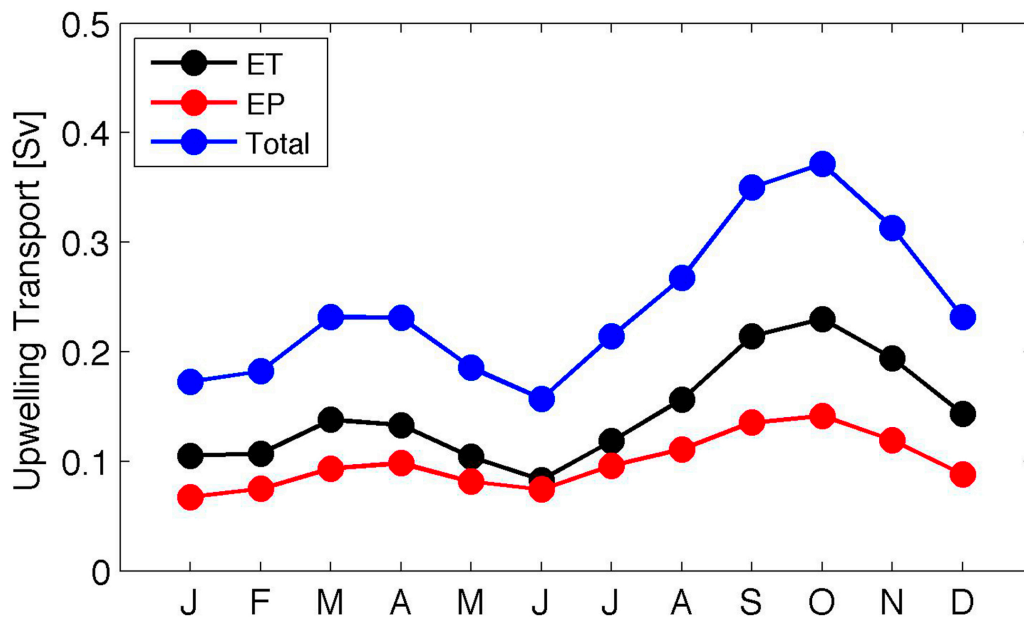




Figure 8.



**Figure 9.**

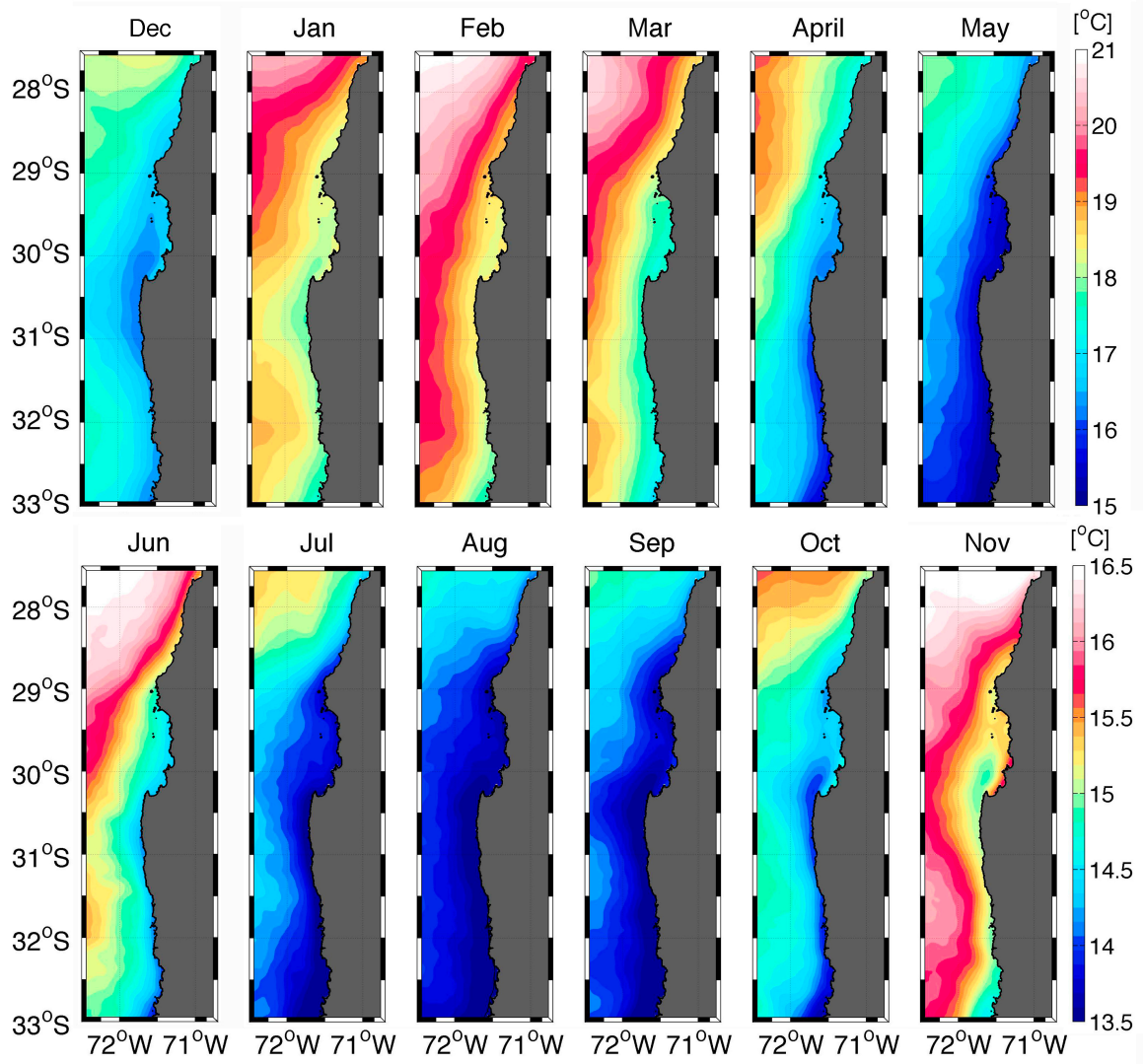


Figure 10.

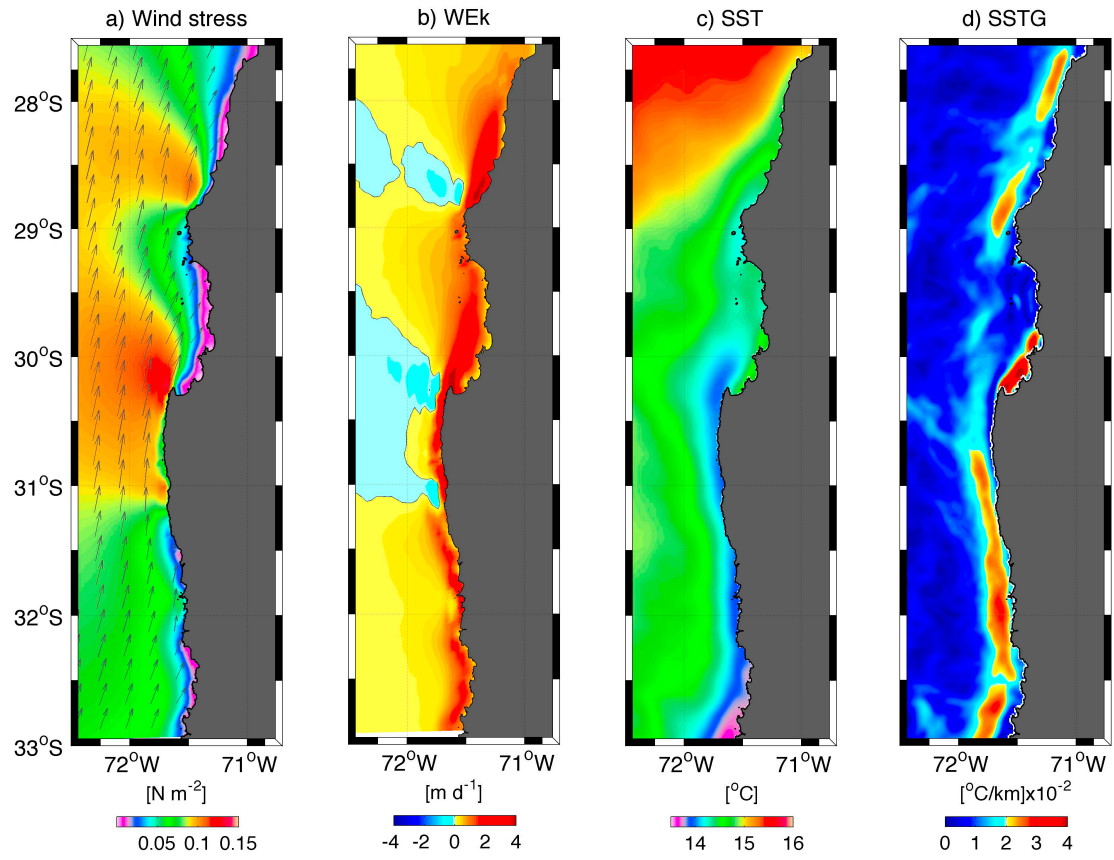


Figure 11.

