

Effects of lateral processes on the seasonal water stratification of the Gulf of Finland: 3-D NEMO-based model study

R.E. Vankevich^{1,2}, E.V. Sofina^{1,2}, T.E. Eremina¹, A.V. Ryabchenko², M.S. Molchanov¹, A.V. Isaev^{1,2}

[1]{Russian State Hydrometeorological University, Saint-Petersburg, Russia}

[2]{The St.-Petersburg Branch of the P.P.Shirshov Institute of Oceanology of the Russian Academy of Sciences}

Correspondence to: R.E. Vankevich (rvankevich@mail.ru)

Abstract

This paper is aimed to fill the gaps in knowledge of processes affecting the seasonal water stratification in the Gulf of Finland (GOF). We used state-of-the-art modeling framework NEMO designed for oceanographic research, operational oceanography, seasonal forecasting and climate studies to build an eddy resolving model of the GOF. To evaluate the model skill and performance two different solutions were obtained on 0.5 km eddy resolving and commonly used 2 km grids for one year simulation. We also explore the efficacy of nonhydrostatic effect (convection) parameterizations available in NEMO for coastal application. It is found that the solutions resolving sub-mesoscales have a more complex mixed layer structure in the regions of GOF directly affected by the upwelling/downwelling and intrusions from the open Baltic Sea. Presented model estimations of the upper mixed layer depth are in a good agreement with in situ CTD data. A number of model sensitivity tests to the vertical mixing parameterization confirm the model robustness. Further progress in the sub-mesoscale processes simulation and understanding is apparently connected mainly not with the finer resolution of the grids, but with the use of non-hydrostatic models because of the failure of hydrostatic approach at sub-mesoscale.

Introduction

The Gulf of Finland (GOF) is a 400 km long and 48–135 km wide sub-basin of the Baltic Sea with a mean depth of 37 m and complex bathymetry (see Fig. 1). The large fresh water input from Neva River significantly affects the stratification and forms the

34 strong salinity gradient from east to west and from north to south. Sea-surface salinity
35 decreases from 5‰ to 6.5‰ in the western GOF to about 0‰–3‰ in the easternmost part
36 of the Gulf where the role of the Neva River is most pronounced (Alenius et al., 1998). In
37 the western GOF, a quasi-permanent halocline is located at a depth of 60–80 m. Salinity in
38 that area can reach values as high as 8‰–10‰ near the sea bed due to the advection of
39 saltier water masses from the Baltic Proper.

40 The vertical stratification in the GOF as well as in the Baltic Sea is unusual (the
41 thermocline and halocline are usually separated) with a pronounced and relatively stable
42 halocline, whereas the temperature is largely controlled by the seasonal variability of the
43 surface heat fluxes (see e.g. Hankimo, 1964). During the summer season the water
44 column in the deeper areas of the GOF consists of ~~the~~ three layers – the upper mixed
45 layer (UML), the cold intermediate layer and a saltier and slightly warmer near-bottom
46 layer (see Liblik and Lips, 2012), separated by two pycnoclines – the thermocline at the
47 depths of 10–20 m and the permanent halocline at the depths of 60–70 m. A seasonal
48 thermocline starts to develop in May. The surface mixed layer reaches a maximum depth
49 of 15–20 m by midsummer and an erosion of the thermocline starts in late August due to
50 wind mixing and thermal convection. The bottom salinity also shows significant
51 spatiotemporal variability due to irregular saline water intrusions from the Baltic Proper, as
52 well as from changes in river runoff and the precipitation-evaporation balance. There is no
53 permanent halocline in the eastern GOF, where salinity increases approximately linearly
54 with depth (Nekrasov and Lebedeva, 2002; Alenius et al., 2003).

55 The simulations of the vertical stratification using 3-D numerical models are not so
56 reliable yet (Myrberg et al., 2010). This study shows that the ~~best-existing-most advanced~~
57 3-D ~~scientific~~-circulation models are able to simulate the major features of the hydro-
58 physical fields of the GOF. ~~For example, the hind-cast mean temperatures~~ For example,
59 generally the hind-cast temperatures differ from observations by less than 1–2°C and the
60 mean error in salinity is less than 1‰. Most of the remaining difficulties are connected with
61 problems in adequately representing the dynamics of the mixed layer. The loss of
62 accuracy is most notable in the simulation of the depth and the sharpness of the
63 corresponding thermo- and haloclines. Despite the application of sophisticated turbulent
64 closure schemes and different schemes for vertical mixing, none of the models, analyzed
65 in (Myrberg et al., 2010), were able to accurately simulate the vertical profiles of
66 temperature and salinity. Latest experiments with turbulence parameterizations of 3-D
67 hydrodynamic model COHERENS presented in (Tuomi et al., 2013) show that model still
68 underestimate the thermocline depth. Also the sensitivity of the modelled thermocline

69 depth to the accuracy of the meteorological forcing was studied by increasing the forcing
70 wind speed to better match the measured values of wind speed in the central GOF. The
71 sensitivity test showed that an increase in the wind speed only slightly improved the
72 performance of the turbulence parameterizations in modelling the thermocline depth.

73 However, a number of studies have reported important effects of the vertical
74 thermohaline structure on the characteristics and processes in the marine ecosystems of
75 the GOF, such as phytoplankton species composition (Rantajarvi et al., 1998) and sub-
76 surface maxima of phytoplankton biomass (Lips et al., 2010), cyanobacteria blooms (Lips
77 et al., 2008), distribution of pelagic fish (Stepputtis et al., 2011), macrozoobenthos
78 abundance (Laine et al., 2007) and oxygen concentrations in the near bottom layer
79 (Maximov, 2006).

80 Summarizing all written above, prediction of the thermohaline structure is a complex
81 problem for the GOF. The spatial variability of the thermohaline structure encompasses a
82 wide range of physical processes at different scales, some of which are still poorly
83 understood (Soomere et al., 2008, 2009). For example, we ~~believe-hypothesize~~ that the
84 local stratification depends very strongly a on the across GOF movements of water
85 masses and that sub-mesoscale eddies generated by baroclinic instability of fronts in
86 upper layers of the sea play an important role in heterogeneity of spatial distribution of
87 parameters (temperature, nutrients, phytoplankton) but also they can ~~act-contribute~~ to re-
88 stratify the UML ~~-ocean~~, as described in Gent and McWilliams (1990).

89 In the ocean, submesoscales are scales of motion equal or less than the Rossby
90 radius of deformation but large enough to be influenced by planetary rotation (Thomas et
91 al., 2007). Recent studies showed that increasing the horizontal resolution of the model up
92 to 0.5 km (for the GOF Rossby radius aprox. 2–4 km) enables models to resolve
93 submesoscale eddies. As a result, surface currents and temperatures show highly detailed
94 patterns that qualitatively match well with the expected features (~~Sokolov, 2013; Zhurbas~~
95 ~~et al., 2008; Zhurbas et al., 2008; Sokolov, 2013~~). However, there was no yet considered
96 the influence of eddy motions and across Gulf movements of water masses on vertical re-
97 stratification of the UML of the GOF.

98 The motivations behind this study are:

- 99 – to provide an insight into the ~~submesoscale and basin-~~
100 ~~scale- lateral advection~~ processes in the GOF. We are interested, in particular, in
101 ~~learning how-estimating the contribution~~ lateral advection processes ~~contribute~~ to
102 the thermocline variations.

– to assess the impact of horizontal grid resolution on the representation of vertical stratification

Approach

The traditional point of view is that the eddy diffusion dominates in the horizontal direction and in the vertical direction mixing due to eddies is limited, and small scale processes such as turbulence provide the majority of mixing. Based on this idea most commonly 1-D approach is used to set up vertical mixing by tuning a turbulent scheme. For the GOF as an enclosed basin with complex bathymetry and strong stratification mixed layer dynamics can be strongly affected by lateral advective processes. To investigate this phenomenon we present a state-of-the art three-dimensional model of the GOF with high vertical and two different horizontal resolutions. Shelf sea modelling is characterized by a demand for a many different configurations to meet multiple science and user needs. NEMO gives the capability to rapidly configure shelf sea models using appropriate high resolutions and parameterizations ~~on~~for the representation of coastal dynamics.

2.1 General Model set-up

Our study is based on a 3-D thermo-hydrodynamic model build on the NEMO (Nucleus for European Modelling of the Ocean) code initially designed for the open ocean and adopted by our team for the GOF (NEMO GOF). The NEMO is a 3-D hydrostatic, baroclinic primitive equation model toolkit laid out horizontally on the Arakawa C-grid (Madec et al., 1998; Madec, 2012). The NEMO is developing in a framework of a community European institutes and benefit of the recent scientific and technical developments implemented in most ocean modeling platforms. The NEMO implementation for the GOF uses the TVD advection scheme in the horizontal direction, the piecewise parabolic method (PPM) in the vertical direction (Liu and Holt, 2010), the non-linear variable volume (VVL) scheme for the free surface. In the horizontal plane, the model uses the standard Jacobean formulation for the pressure gradient, the viscosity and diffusivity formulation with a constant coefficient for momentum and tracer diffusion. The horizontal viscosity and diffusivity operators are rotated to be aligned with the density iso-surfaces to accurately reproduce density flows.

There are NEMO setups for Baltic Sea recently published by Hordoir et al. (2013 and 2015.). The GOF setup was developed in parallel to the Baltic Sea model and aimed to introduce resolution able to resolve the sub-mesoscale processes in horizontal direction and insure accurate representation of the vertical structure by increasing the vertical

138 [resolution to 1 m. General model setup for the GOF shares most of the parameterization](#)
139 [and schemes with Baltic Sea model.](#)

140 In this paper, we used gridded bathymetric data set with a resolution of 0.25 nm for
141 the GOF (Andrejev, 2010). Choosing different grid resolutions of the model is formally
142 equivalent to the choice of an appropriate averaging operator (low-pass filtering at the grid
143 step) and an approach to estimate the contribution of smaller scales to the general motion.
144 To assess the impact of submesoscale motion on the vertical stratification, two
145 configurations of NEMO GOF were generated by utilizing different horizontal and the same
146 vertical resolution of 1m. Both configurations have 94 vertical levels, but 1 minute zonal
147 and 2 minute meridional resolution (~2km) in a standard configuration and 0.25 minute
148 zonal and 0.5 minute meridional resolution (~0.5km) in a finer resolution configuration. The
149 parameters of configurations were kept as identical as possible. The main exception is the
150 coefficients of horizontal diffusivity and viscosity which were set to the minimum values
151 guaranteeing the numerical stability.

152 Numerical experiments were started from rest and initialized with temperature and
153 salinity [fields](#) from [the](#) operational model of Baltic Sea HIROMB (Funkquist, 2001). The
154 computational domain covers [the](#) entire GOF with [the](#) open boundary set at 23E longitude
155 [\(see Fig. 1\)](#), boundary conditions being taken also from HIROMB. [According to the inter-](#)
156 [comparison of several models results for GOF \(Myrberg et al., 2010\), HIROMB was rated](#)
157 [as the best model for the western part of the GOF. The operational status of the model](#)
158 [gave us additional benefit.](#) The model was forced by the surface forcing dataset HIRLAM
159 (<http://hirlam.org>) (using the CORE bulk forcing algorithm) and climatic rivers runoff
160 (Stalnacke et al., 1999). [We used SMHI version of HIROMB with HIRLAM atmospheric](#)
161 [fields included in output files as a part of a standard operational product of SMHI.](#)
162 [Temporal resolution for the atmospheric forcing and boundary conditions is 1 hour.](#)

163

164 2.2 Parameterization of convective flows

165 One of the possible mechanisms by which the lateral motion affects the stratification
166 is a shear-induced convection: situation in which heavy water may be advected on top of
167 lighter water. This mechanism has been observed, e.g. in the bottom boundary layer of
168 lakes (Lorke et al., 2005) and on the continental shelf (Rippeth et al., 2001). Evidently, the
169 shear-induced convection can take place throughout the water column, for example,
170 during upwelling. In nature, convective processes quickly re-establish the static stability of
171 the water column (Umlauf, 2005). These processes have been removed from the model
172 via the hydrostatic assumption so they must be parameterized.

Convective mixing can be parameterized in NEMO by ~~To reproduce convective mixing by turbulent closure scheme NEMO offers:~~ (1) a computationally efficient solution 'TKE (turbulent kinetic energy) scheme' in combination with convective adjustment procedures (a non-penetrative convective adjustment or an enhanced vertical diffusion) and (2) physically more accurate the "GLS (generic length scale) scheme".

The "TKE scheme" is a turbulence closure scheme proposed by Bougeault and Lacarrère (1989) originally developed to a model ~~of for~~ the atmospheric boundary layer. In the Mellor and Yamada (1974) hierarchy it is a 1.5-level closure and consists of a prognostic closure for the turbulent kinetic energy (TKE) and an algebraic formulation for the mixing length scale. The time evolution of TKE is the result of the production of TKE through vertical shear, its suppression through stratification, its vertical diffusion, and its dissipation of Kolmogorov (1942) type:

$$\frac{\partial \bar{e}}{\partial t} = \frac{K_m}{e_3^2} \left[\left(\frac{\partial u}{\partial k} \right)^2 + \left(\frac{\partial v}{\partial k} \right)^2 \right] - K_p N^2 + \frac{1}{e_3} \frac{\partial}{\partial k} \left[\frac{K_e}{e_3} \frac{\partial \bar{e}}{\partial k} \right] - C_\epsilon \frac{\bar{e}^{3/2}}{l_\epsilon} \quad (1)$$

$$K_m = C_k l_k \sqrt{\bar{e}} \quad (2)$$

$$K_p = K_m / P_{rt}, \quad (3)$$

where N is the local buoyancy frequency, ~~l_ϵ~~ and l_k are the dissipation and mixing length scales, u and v are the horizontal velocity components, k is the layer number, $e_3 = 1$ m is the vertical scale factor, P_{rt} is the Prandtl number, K_m and K_p are the vertical eddy viscosity and diffusivity coefficients. The parameter C_k is known as a stability function and is defined as a constant in the TKE scheme. The constants $C_k = 0.1$ and $C_\epsilon = 0.7$ are **designed specified** to deal with vertical mixing at any depth (Gaspar et al., 1990). K_e is the eddy diffusivity coefficient for the TKE. In NEMO $K_e = K_m$.

For computational efficiency, the original formulation of the turbulent length scales proposed by Gaspar et al. (1990) has been simplified to the following first order approximation

$$l_k = l_\epsilon = \sqrt{2\bar{e}} / N \quad (4)$$

This simplification valid in a stable stratified region with constant values of the buoyancy frequency has two major drawbacks: it makes no sense for locally unstable stratification and the computation no longer uses all the information contained in the vertical density profile. To overcome these drawbacks, NEMO TKE scheme implementation adds an extra assumption concerning the vertical gradient of the computed

length scale. So, the length scales are first evaluated as in (4) and then bounded such that:

$$\frac{1}{e_3} \left| \frac{\partial l}{\partial k} \right| \leq 1, \text{ with } l = l_k = l_e \quad (5)$$

In order to impose the constraint (5), NEMO introduces two additional length scales: l_{up} and l_{down} . The length scales l_{up} and l_{down} are respectively the upward and downward distances to which a fluid parcel is able to travel from current z-level k, converting its TKE into the potential energy by doing work against the stratification, and they can be evaluated as:

$$l_{up}^{(k)} = \min(l^{(k)}, l_{up}^{(k+1)} + e_3^{(k)}) \text{ from } k = 1 \text{ to } nk \quad (6)$$

$$l_{down}^{(k)} = \min(l^{(k)}, l_{down}^{(k-1)} + e_3^{(k-1)}) \text{ from } k = nk \text{ to } 1, \quad (7)$$

where nk is the number of level in vertical, $l^{(k)}$ is computed using (4), i.e.

$$l^{(k)} = \sqrt{2\bar{e}^{(k)} / N^{2(k)}} \quad (8)$$

Finally,

$$l_k = l_e = \min(l_{up}, l_{down}) \quad (9)$$

The ~~Generic Length Scale (GLS)~~ scheme is formally equivalent to the TKE scheme, excepting using: (1) a prognostic equation for the generic length scale and (2) expressions for the complex stability functions instead constants. We used Δ turbulent closure scheme (Rodi, 1987) with ~~its~~ Δ , where Δ is a constant depending on the choice of the stability function (Galperin et al., 1988; Kantha and Clayson, 1994).

This prognostic length scale is valid for convective situations and ~~arbitrary increase~~ arbitrarily increases diffusivity to represent convection (Umlauf and Burchard, 2003; 2005):

$$, \quad (13)$$

$$\frac{\partial \phi}{\partial t} = \frac{\phi}{\bar{e}} \left\{ \frac{C_1 K_m}{\sigma_\phi e_3} \left[\left(\frac{\partial u}{\partial k} \right)^2 + \left(\frac{\partial v}{\partial k} \right)^2 \right] - C_3 K_p N^2 - C_2 \varepsilon \right\} + \frac{1}{e_3} \frac{\partial}{\partial k} \left[\frac{K_m}{e_3} \frac{\partial \phi}{\partial k} \right] \quad (10)$$

$$K_m = C_\mu \sqrt{\bar{e}} l, \quad (11)$$

$$K_p = C_\mu \sqrt{\bar{e}} l, \quad (12)$$

$$\varepsilon = C_{0\mu} \bar{e}^{3/2} l^{-1}, \quad (13)$$

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231 Here C_1 , C_2 , C_3 , are constants for the turbulent closure scheme. They are equal
232 1.44, 1.92, 1.0, 1.3 respectively. and are calculated from the stability function.

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233 As known, the equation fails in stably stratified flows, and for this reason almost all
234 authors apply a clipping of the length scale as an ad hoc remedy. With this clipping, the
235 maximum permissible length scale is determined by

236
$$l_{\max} = C_{\lim} \sqrt{2\bar{\epsilon}} / N \quad (14)$$

237
$$l_{\max} = C_{\lim} \sqrt{2\bar{\epsilon}} / N \quad (14)$$

238 A value of $C_{\lim} = 0.53$ is often used (Galperin et al., 1988). Umlauf and Burchard
239 (2005) show that the value of the clipping factor is of crucial importance for the
240 entrainment depth predicted in stably stratified flows. Another value is 0.26, several
241 authors have suggested limiting the dissipative length-scale in the presence of stable
242 stratification even down to 0.07 (Holt and Umlauf, 2008).

243 In addition, convective mixing can be parameterized in NEMO by an enhancement
244 to the eddy viscosity and diffusivity (ED), if for $N_2 < 0$, K_m and K_p are locally set to the value
245 of $100 \text{ m}^2\text{s}^{-1}$.

246 We performed comparative tests of listed above convection parameterizations to
247 investigate their principal applicability for shear-induced convective situations.

249 3. Numerical experiments

251 The modeling period ~~were-was~~ chosen from 01st April to 31st August 2011 when
252 pronounced thermocline occurs. The thermocline starts its formation in early May when the
253 surface heating and turbulent mixing are dominant processes. Note that year 2011 was
254 characterized by strong upwelling events in the beginning and in the end of modeling
255 period.

256 In section 3.1 the GLS, TKE and ED mixing parameterizations are compared in a
257 series of sensitivity experiments. The choice of closure scheme and the effects of varying
258 Galperin limit were investigated against MODIS SST to get the best reproduction of SST
259 pattern.

260 In section 3.2 we present results of the model runs compared with available CTD
261 data to study the performance of the chosen parameterizations to represent the UML
262 evolution. Also the ability of the model to correctly capture such features as fronts was
263 tested against SST images for different resolutions in beginning of August 2011 when
264 there were cloud free images.

3.1. Sensitivity to vertical mixing parameterizations

In this section we study closure schemes and enhanced diffusion parameterization performance for convective situations caused by upwelling near the Estonian coast ~~stated~~ ~~started~~ on May 12th. Figure ~~21~~ shows a cross section of the GOF for the density field (black isolines) overlaid by the vertical eddy diffusivity coefficient (color filled).

Fragment A of Fig. ~~12~~ illustrate the mechanism instability formation. It is a ~~gipotetie~~ ~~hypothetical~~ solution obtained with constant eddy diffusivity coefficients set to the minimum possible for this case values of 10^{-4} 10^{-5} m^2s^{-1} and ED switched off. All ~~south-north~~ cross-sections present the situation mainly formed by an upwelling event near the Estonian coast (left side of the cross-section). Due to the presence of permanent density gradient from Estonian to Finish coast and strong offshore current caused by upwelling, dense waters originated from the Estonian side overlay more fresh lighter water in the downwelling area near the Finish coast.

Fragment B illustrates the performance of the ED procedure setting the eddy viscosity and diffusivity coefficients equal to $100 \text{ m}^2\text{s}^{-1}$ in the areas of unstable stratification. According to this experiment, the maximum depth of convection penetration is equal to 10 m in the center of GOF and reaches up to 25 m near the Finish coast.

Fragment C illustrates the performance of solution with the TKE closure scheme including previously described modifications introduced in NEMO. As seen, the solution demonstrates high values of eddy diffusion coefficients in the areas of unstable stratification. The depth of the mixed layer is not limited by the convection penetration depth (see Fig. ~~21b~~) and formed as a result of a joint action of current velocity shear, buoyancy and TKE diffusion and dissipation (see Eq. (1)).

Fragment D shows the combined effect of cases B and C. As seen from comparison of Fig. ~~21d~~ and Fig. ~~21c~~, the solution with modified TKE scheme captures most of the existing instabilities. ED (Fig. ~~21b~~) triggered only in some small areas in the center of the mixed layer and did not affect the actual mixing depth.

Fragments E and F present the performance of the solution with the GLS closure scheme with Galperin limit of 0.53 and 0.26, correspondently. A solution with GLS parameterization with switched-off length scale limitation was also obtained but turned out to be practically equal to the case E. UML depth in these solutions is comparable to that in the cases C and D confirming success of TKE modifications in NEMO.

The above tests confirm that both TKE and GLS closure schemes used in NEMO are able to catch the convection induced by upwelling. As it comes from Fig. ~~21~~ an instability of vertical column initiates dramatic increasing in vertical diffusivity coefficients

up to $0.04 \text{ m}^2\text{s}^{-1}$ TKE (Fig. 21c and d) or $0.036 \text{ m}^2\text{s}^{-1}$ GLS (Fig. 21e and f) from the background value set to $10^{-6} \text{ m}^2\text{s}^{-1}$. TKE scheme forms a core with stronger mixing in the area of downwelling but at the same time the UML depth is comparable in both cases. Switched on ED does not modify the UML depth predicted by turbulent closure schemes.

Evaluation of the actual performance of presented alternative parameterizations of convective processes is a complex task requiring high spatial and temporal resolution of in situ data that is not available at the moment. The sea surface temperature (SST) derived from the satellite thermal infrared imagery during cloud-free conditions provides significant information for monitoring of the relevant key ocean structures, such as fronts, eddies, and upwelling. At the same time, the SST fields can be used as an indicator of vertical mixing processes. SST fields can be considered as integral of subsurface dynamic but for example we can not estimate directly a depth of the thermocline from them. Alternatively the comparison of the modeled frontal structure at the sea surface and MODIS data during an upwelling event (lifting water from under the UML) could indicate how well the model reproduces stratification. As soon as we would get a realistic stratification, the surface pattern of simulated SST will also be in agreement with remotely observed SST.

Results of the comparison of modeled (various mixing parameterizations and resolutions) and MODIS-derived SST are presented at Fig. 32. The model shows that maximum upwelling development occurs on May 14 when the upwelling front reaches the center of the GOF and characterized by maximum temperature gradient-difference across the front up to 5°C . Unfortunately, due to heavy cloudiness, the satellite images captured only relaxation phase of the upwelling dated on May 20th.

As seen, the model performs better if the GLS scheme is used and the value of C_{lim} is 0.53 (Galperin's value). The stronger length scale limitation leads to underestimation of mixing and increased SST values compared to MODIS data. On the other hand, the solution obtained with TKE scheme underestimates mixing, nevertheless it is not too far from the observations. The best performance takes place at the higher resolution and GLS scheme used when the solution is in a good agreement with the MODIS SST (Fig. 32b). Based on presented sensitivity tests, the GLS mixing scheme was chosen and the length scale limiting was fixed as $C_{lim}=0.53$

3.2 General model performance

To evaluate the general model performance, we used in situ data for temperature and salinity obtained during Russian state hydrometeorological institute-Russian State Hydrometeorological University expedition dated from July 20 2011 to August 05 2011.

336 The comparison of model and data has been performed for the last decade of July just
337 before the UML starts to degrade due to heating and wind conditions (Fig. 43). CTD data
338 were grouped into three sets of profiles representing western (Lat-Lon 23:26, 10 profiles),
339 central (Lat-Lon 26:28.2, 12 profiles) and eastern (Lat-Lon 28.2:30, 12 profiles) parts of the
340 GOF. According to the presented at Fig. 43 averaged CTD profiles (black curves), the
341 UML is much deeper in the western part of the GOF and considerably shallower and
342 sharper in the central and eastern parts. This UML behavior typical for the GOF captured
343 quite well by all the model realizations (colored curves). Standard deviation of CTD data
344 given as error bars presents the variability range of in situ data. All presented solutions
345 with different parameterizations are in good agreement with the data in terms of the UML
346 depth while the fine spatial resolution slightly better represents the nature in the western
347 part of GOF. In the eastern part of GOF strongly influenced by the Neva outflow the
348 modeled thermocline is about 5 m deeper than observed. This is mainly due to prescribing
349 climatic boundary conditions at the river mouth not allowing for the differences in individual
350 years and complicated hydrodynamics of the estuary.

351 One more comparison between model and data is presented in Fig. 54 where the
352 modeled SST for the two resolutions is given versus MODIS SST on August 2, 2011. At
353 this time it was possible to fix the upwelling again near the southern coast of GOF. In the
354 high resolution model solution the temperature of cold water rising to the surface drops
355 down to 6°C that is consistent with the satellite SST. In the case of coarse resolution the
356 upwelling effect is less pronounced: the lowest temperature in the core region is about
357 10°C. Solutions with both resolutions reproduce spatial patterns of upwelling. Although the
358 coarse resolution solution gives more flattened upwelling front (shown by the isotherm of
359 19.5°C), high resolution solution is more rugged due to reproduced submesoscale features
360 that corresponds well with observed SST.

361 Results of model comparison with SST and in situ data confirm the robustness of
362 the developed model, which allows us to use it in a more detailed evaluation of the vertical
363 structure formation mechanisms of the sea and its temporal evolution.

365 4. Results

366 During the upwelling/downwelling event in May model on both grids simulates a
367 substantial re-stratification of the UML. The re-stratification is characterized by sharpening
368 and at the same time deepening of the thermocline down to 40 m near the Finish coast
369 and export of the cold water to the surface near the Estonian coast (Fig. 65). Fig. 65 a and
370 b show maps of the turbocline depth on the 16th May 2011. The turbocline depth is

defined as the depth at which the vertical eddy diffusivity coefficient falls below a given value (here taken equal to background value of $5 \text{ cm}^2\text{s}^{-1}$) and can be interpreted as a maximum penetration depth of the turbulent motion in the surface layer.

According to Fig. 56a and b presenting solutions on 2 and 0.5 km grids respectively, the turbocline depth reaches the maximum in the areas near the Finnish coast where the convection is a dominant factor in vertical mixing. We can note the significant differences in the spatial patterns of the turbocline for fine and rough resolutions. Solution on 0.5 km grid shows deeper and more complex thermocline ~~paten pattern~~. It can be explained by the fact that small-scale frontal structures induced by strong horizontal gradients and captured by the fine-resolution model lead to convective instabilities (Boccaletti et al., 2007) acting to locally restratify UML. The model with 2 km resolution cannot resolve submesoscale frontal features and high values (compare to fine resolution) of lateral diffusion coefficients act to smooth the front in other words decreasing potential energy of the front. Unfortunately, few data is available for validation of these differences. Locations of CTD profiles on May 16 are marked as points I, II, III in Fig. 56a and c. ~~However, the UML depth for the 2 km model are not deep enough, barely reaching 25 m depth everywhere whereas observations in western part show it values reaching a maximum of about 40 m depth. Figure 6 (I, II, III) shows the vertical profiles of temperature at locations near the Finish coast. At the panel (I) the UML depth for the 2 km-resolution model (dashed black line) is shallower than the observed UML depth (solid black line) by 13 m. At the same time, observations and 0.5 km-resolution model (grey line) temperature are almost collocated, and UML depth reaches 40 m. At the panel (II) modeled UML depth is overestimated, but the misfit reaches 7 m for 2 km-resolution model and only 3 m – for 0.5 km-resolution model.~~

~~We cannot compare the UML depth from the results presented at panel III since none of the models were able to reproduce lateral intrusions observed. The low model performance at this point can be explained by the proximity of the frontal zone between coastal and deep water masses due to the upwelling. We assume that small error in predicted location of the front can lead to serious misfits in vertical profile. Note also that the point (III) is located in a zone of rapid turbocline depth variations (see Fig. 6a and b). This fact confirms a complex front structure which is formed by the set of randomly spaced small-scale features. The deterministic model can only predict their appearance but not the exact location.~~

Figure 76 presents evolution of the thermocline through the season. Left panels present the maximum depth of the turbolcline and thermocline for the May when the

thermocline was formed. Right panels present ~~also maximum turbocline and thermocline depths the same~~ but for the period from 01 of Jun to 28 July. This period ends just before the upwelling in July-August from which the UML erosion begins. Thermocline depth was defined as the depth of 3.5°C isotherm (see Fig. 34). As it comes from the presented data, turbulent mixing during the upwelling in May was the strongest throughout the season (see Fig. 67-b). At the same time increasing of the 3.5°C isotherm depth up to 45 m during June-July is not accomplished by any considerable turbulent activity (maximum turbocline depth during June-July do not exceed 20 m for the most of the area of the GOF). Taking in consideration the low value of the background vertical diffusivity coefficient ($10^{-6} \text{ m}^2\text{s}^{-1}$), this fact highlights the importance of the advective processes for the formation of the shape and depth of the thermocline. Advective processes resulting in deepening of the isotherm are initiated by intrusion of warm dense water from the open boundary from the Baltic Proper. The intrusion compensates the general surface outflow from the GOF caused by rivers runoff. Notable difference in the shape of averaged profiles presented at Fig. 43 confirm this hypothesis. Eastern part of the GOF characterized by sharp and shallow thermocline and halocline. Their depths are approximately equal to the maximum turbocline depth. Turbulent and heating processes are dominated here. Deepening of the thermocline and halocline down to 45 m in the western part of GOF is caused mainly by the GOF-Baltic Sea exchange processes since turbulent mixing do not penetrate at this depth here.

The sensitivity of the model solution to increased horizontal resolution is manifested in the different intrusion propagation to east (compare right plots on Fig. 76d and f). Density fronts associated with the intrusion are a source of baroclinic instability which are differently resolved by the 0.5 km eddy permitting configuration (Fig. 76c) compared to 2 km configuration (Fig. 76e).

431

432 5. Discussion and conclusions

We used state-of-the-art modeling framework NEMO initially developed for the open ocean to build an eddy resolving model of the GOF. To evaluate the model skill and performance two different solutions where obtained: commonly used 2 km grid and 0.5 km eddy resolving fine grid.

With the resolution of 0.5 km the model starts to resolve submesoscale eddies. In the ocean, submesoscales are scales of motion equal or less than the baroclinic Rossby radius of deformation. For the GOF the baroclinic Rossby radius is varying between 2-4 km and we need at least 4 points to resolve the eddy. According to Gent and McWilliams

441 (1990), the eddies can act to re-stratify the UML of the ocean, causing the vertical
442 transport through the thermocline.

443 In this study we were not able to identify the vertical motion in the model solution
444 associated with small scale eddies. The fact can be explained by the effect of
445 parameterization of convective processes which we cannot avoid due to hydrostatic
446 assumption of the model. Hydrostatic hypothesis removes convective processes from the
447 initial Navier-Stokes equations and so convective processes must be parameterized
448 instead. As it presented in section 3.1 we had tested an interaction of all available in
449 NEMO parameterizations of convective processes with turbulent mixing in the frame of the
450 hydrostatic assumption. We found that GLS or even modified TKE closure schemes can
451 describe convective processes in UML of the GOF in spring-summer period without
452 additional convective adjustment procedures. But in all the cases convective
453 parameterization sets locally very high values of vertical viscosity and diffusivity
454 coefficients wherever the vertical instability appear and, in other words, “kills” any small
455 scale vertical motion by smoothing the velocity field. On the other hand effect of resolved
456 lateral submesoscale processes was investigated in section 4. It was shown that
457 submesoscale motion affects the plume propagation caused by salty water intrusion to the
458 GOF from the Baltic Sea. Generally speaking this process had found to be dominated in
459 formation of shape of termocline through the summer season, while the depth of UML was
460 formed by an intensive mixing during spring upwelling. In both cases advective processes
461 act as the main “driving force”.

462 Presented model demonstrates a substantial improvement in the basin stratification
463 compared to previous numerical studies. Traditional point of view is that the small scale
464 processes such as turbulence provide the majority of mixing in vertical direction. Most
465 commonly 1-D approach is used to set up vertical mixing by tuning a turbulent scheme.
466 For the GOF as an enclosed basin with complex bathymetry and strong stratification mixed
467 layer dynamics can be strongly affected by lateral advective processes. Adequate
468 representation of lateral processes by the model let us decrease the role of background
469 constants in turbulent mixing scheme (we set them to minimum possible values). This
470 simplifies the traditional trade-off between the depth and sharpness of the thermocline.
471 Setting the background values of vertical eddy viscosity and diffusivity to 10^{-5} and $10^{-7} \text{ m}^2\text{s}^{-1}$
472 respectively let us keep the sharp form of the thermocline and halocline while the UML
473 depth corresponds to observations.

474 Since the time period of the runs was rather short (less than 1 year) and the model
475 had not been used before it is obvious that choose of some parameters might have been

476 somewhat improper for the use in this study. Through fine tuning of the model better
477 results could be probably obtained. However, the focus in this study was to examine the
478 differences arising from different horizontal resolutions, the fact that model parameters
479 were similar in each case should be considered to be far more important than the
480 quantitative agreement between observations and model results. Actually, it was shown
481 that the model results for both resolutions are in a reasonable agreement with available
482 observations. In some cases 0.5 km model performs better and at the same time there are
483 areas not covered by observations where we can note more substantial difference
484 between models. It is found that simulations which resolve submesoscale are
485 characterized by the deeper UML with more complex structure in the regions of the GOF
486 directly affected by the upwelling/downwelling.

487 The GOF is a highly dynamic region with lateral currencies causing the temperature
488 contrasts and/or rapid temporal variations on the surface. From the satellite picture we can
489 identify whether the model reproduce properly the frontal structure at the surface. For
490 example, the temperature drop during an upwelling event and resulting temperature
491 contrast at the surface reach 2.5 °C. We assume it to be a considerably more substantial
492 signal comparing to known uncertainties of satellite SST measurements (0.4 °C
493 [<https://podaac.jpl.nasa.gov/>].) The usage of results of hydrodynamic modelling together
494 with SST information can provide an extended analysis and deeper understanding of the
495 upwelling process. Re-stratification of the UML caused by upwelling results in changes of
496 the SST pattern that can be observed from satellites. From the comparison of modelled
497 and observed from satellite SST we can identify whether the model reproduce the
498 stratification itself and as a result properly reproduce the frontal structure at the surface.

499 Refinement of the model resolution below the level of 0.5 km would be of limited
500 benefit in a hydrostatic model. For the purpose of deep investigation of submesoscale
501 processes in GOF such as transport across the UML and on/offshore the nonhydrostatic
502 formulation is needed. It lets us avoid “artificial smoothing” of the velocity field. Other
503 possible improvements of the model performance, which we are planning for the next
504 steps, will include sensitivity tests for the different boundary conditions with higher spatial
505 resolution at the open boundary and surface and utilisation of recently available data with
506 high spatial coverage from the expeditions during the Gulf of Finland Year 2014.

507 ~~Refinement below this level at shelf scale would be of limited benefit in a~~
508 ~~hydrostatic model. Increased model resolution was found to better capture the position and~~
509 ~~strength of the SST front. Moreover, instabilities along the front led to large temporal and~~

510 spatial variability of UML in the high resolution model. The role of submesoscale flows in
511 setting stratification in the upper ocean over the annual cycle has been investigated.

512 Hydrostatic hypothesis removes convective processes from the initial Navier-Stokes
513 equations and so convective processes must be parameterized instead. In this study we
514 used available in NEMO parameterizations of convective processes to reproduce the
515 interaction of small-scale baroclinic instabilities with turbulent mixing in the frame of the
516 hydrostatic assumption. We explore the efficacy of convection parameterizations available
517 in NEMO and found that GLS or even modified TKE closure schemes can describe
518 convective processes in UML of GOF without additional convective adjustment
519 procedures.

520 It is found that simulations which resolve submesoscale are characterized by the
521 deeper UML with more complex structure in the regions of the GOF directly affected by the
522 upwelling/downwelling. It is noteworthy that data coverage may not be enough to outline
523 the differences in behavior of the model resolutions.

524 Increasing of resolution also leads to an increase in the propagation distance of
525 intrusions from the Baltic Proper. This fact should be deeply investigated by excluding of
526 possible boundary effects: for example, through a shift of the open boundary to the west or
527 running the model for entire Baltic Sea.

528 Since the time period of the runs was rather short (less than 1 year) and the model
529 had not been used before it is obvious that choose of some parameters might have been
530 somewhat improper for the use in this study. Through fine tuning of the model better
531 results could be probably obtained. However, the focus in this study was to examine the
532 differences arising from different horizontal resolutions, the fact that model parameters
533 were similar in each case should be considered to be far more important than the
534 quantitative agreement between observations and model results. Actually, it was shown
535 that the model results for both resolutions are in a reasonable agreement with available
536 observations.

537 It has been clearly demonstrated that a combined analysis of observations, in our
538 case of remote sensing data, and the results of numerical modeling, is superior to single
539 methods alone in many ways. The usage of results of hydrodynamic modeling together
540 with SST information can provide an extended analysis and deeper understanding of the
541 upwelling process. Convection induced by upwelling in the surface layer promotes to re-
542 stratification of the UML and results in changes of the SST pattern observed from
543 satellites. Lateral movements induced by upwelling lead to considerable re-stratification of
544 the GOF. Our results unambiguously suggest the occurrence of shear-induced convection

545 in stratified waters of GOF which is characterized by presence of permanent lateral density
546 gradient in the north-south direction. This is a potentially important mixing mechanism that
547 has yet to be explored in detail in this context and hence deserves further investigation.

548 It should be emphasized that the model captures principal difference in the
549 thermocline and halocline shape for the western and eastern parts of GOF. Adequate
550 representation of lateral processes by the model let us decrease the role of background
551 constants in turbulent mixing scheme. This simplifies the traditional trade-off between the
552 depth and sharpness of the thermocline. Setting the background values of vertical eddy
553 viscosity and diffusivity to 10^{-5} and 10^{-7} correspondently let us keep the sharp form of the
554 thermocline and halocline while UML depth corresponds to observations. Most of the
555 mixing is achieved by the wind and convective processes caused by upwelling and
556 intrusions. This approach demonstrates a substantial improvement in the modeled basin
557 stratification compared to previous numerical studies.

558

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682 | [Figure 1. The bathymetry of the Baltic Sea.](#)
683 | [Red line – open boundary of the model domain, yellow line – location of the meridional](#)
684 | [cross section for Fig. 2.](#)
685 |
686 | Figure [12](#). Meridional cross section of the GOF at 25.5°E. Vertical eddy diffusivity
687 | coefficient (shaded surface) overlaid by density isolines: (a) constant vertical eddy
688 | viscosity/diffusivity coefficients set to the $10^{-4}/10^{-5} \text{ m}^2\text{s}^{-1}$, (b) convective adjustment only
689 | (ED), (c) TKE, d) TKE + ED, (e) GLS with Galperin limit set to 0.53, (f) GLS with Galperin
690 | limit set to 0.26.
691 |
692 | Figure [23](#). SST on 20 May 2011: (a) MODIS SST, (b) GLS with Galperin limit 0.53 and
693 | horizontal resolution 0.5 km, (c) GLS with Galperin limit 0.53 and horizontal resolution 2
694 | km, (d) GLS with Galperin limit 0.26 and horizontal resolution 2 km, (e) TKE with
695 | convective adjustment and horizontal resolution 2 km, (f) GLS with Galperin limit 0.07 and
696 | horizontal resolution 2 km
697 |
698 | Figure [34](#). Averaged vertical profiles of temperature and salinity in West (a,d), Central (b,e)
699 | and East (c,f) parts of GOF for the period 20 Jul – 5 Aug 2011. Grey lines – CTD data with
700 | standard deviation corridors, solid and dashed black lines – model on grids 0.5 and 2 km
701 | correspondently.
702 |
703 | Figure [45](#). SST maps of GOF on 2 Aug 2011: (a) MODIS data, (b) and (c) modeled SST
704 | on grids 0.5 and 2 km correspondently.
705 |
706 | Figure [56](#). Modelled turbocline depth (m) in GOF on 20 May 2011: (a) and (b) horizontal
707 | distributions on grids 0.5 and 2 km correspondently; (I), (II) and (III) – vertical profiles of
708 | temperature at the locations marked on maps (a) and (b).
709 |
710 | Figure [67](#). Depth of isotherm 3.5°C and turbocline depth for the periods: Left column 11-30
711 | May 2011, Right column [201 June](#) -28 July 2011. (a, b) – maximum turbocline depth,
712 | model 0.5 km resolution, (c, d) – isotherm 3.5°C depth model 0.5 km; (e, f) – isotherm
713 | 3.5°C depth model 2 km.