

1 **Effects of lateral processes on the seasonal water stratification of the Gulf of**
2 **Finland: 3-D NEMO-based model study**

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11

12 **Abstract**

13 This paper is aimed to fill the gaps in knowledge of processes affecting the seasonal water
14 stratification in the Gulf of Finland (GOF). We used state-of-the-art modeling framework NEMO
15 designed for oceanographic research, operational oceanography, seasonal forecasting and climate
16 studies to build an eddy resolving model of the GOF. To evaluate the model skill and performance
17 two different solutions were obtained on 0.5 km eddy resolving and commonly used 2 km grids for
18 one year simulation. We also explore the efficacy of nonhydrostatic effect (convection)
19 parameterizations available in NEMO for coastal application. It is found that the solutions resolving
20 sub-mesoscales have a more complex mixed layer structure in the regions of GOF directly affected
21 by the upwelling/downwelling and intrusions from the open Baltic Sea. Presented model
22 estimations of the upper mixed layer depth are in a good agreement with in situ CTD data. A
23 number of model sensitivity tests to the vertical mixing parameterization confirm the model
24 robustness. Further progress in the sub-mesoscale processes simulation and understanding is
25 apparently connected mainly not with the finer resolution of the grids, but with the use of non-
26 hydrostatic models because of the failure of hydrostatic approach at sub-mesoscale.

27

28 **Introduction**

29 The Gulf of Finland (GOF) is a 400 km long and 48–135 km wide sub-basin of the Baltic
30 Sea with a mean depth of 37 m and complex bathymetry (see Fig. 1). The large fresh water input
31 from Neva River significantly affects the stratification and forms the strong salinity gradient from
32 east to west and from north to south. Sea-surface salinity decreases from 5‰ to 6.5‰ in the western
33 GOF to about 0‰–3‰ in the easternmost part of the Gulf where the role of the Neva River is most

34 pronounced (Alenius et al., 1998). In the western GOF, a quasi-permanent halocline is located at a
35 depth of 60–80 m. Salinity in that area can reach values as high as 8‰–10‰ near the sea bed due to
36 the advection of saltier water masses from the Baltic Proper.

37 The vertical stratification in the GOF as well as in the Baltic Sea is unusual (the thermocline
38 and halocline are usually separated) with a pronounced and relatively stable halocline, whereas the
39 temperature is largely controlled by the seasonal variability of the surface heat fluxes (see e.g.
40 Hankimo, 1964). During the summer season the water column in the deeper areas of the GOF
41 consists of three layers – the upper mixed layer (UML), the cold intermediate layer and a saltier
42 and slightly warmer near-bottom layer (see Liblik and Lips, 2012), separated by two pycnoclines –
43 the thermocline at the depths of 10–20 m and the permanent halocline at the depths of 60–70 m. A
44 seasonal thermocline starts to develop in May. The surface mixed layer reaches a maximum depth
45 of 15–20 m by midsummer and an erosion of the thermocline starts in late August due to wind
46 mixing and thermal convection. The bottom salinity also shows significant spatiotemporal
47 variability due to irregular saline water intrusions from the Baltic Proper, as well as from changes in
48 river runoff and the precipitation-evaporation balance. There is no permanent halocline in the
49 eastern GOF, where salinity increases approximately linearly with depth (Nekrasov and Lebedeva,
50 2002; Alenius et al., 2003).

51 The simulations of the vertical stratification using 3-D numerical models are not so reliable
52 yet (Myrberg et al., 2010). This study shows that the most advanced 3-D circulation models are able
53 to simulate the major features of the hydro-physical fields of the GOF. For example, generally the
54 hind-cast temperatures differ from observations by less than 1–2°C and the mean error in salinity is
55 less than 1‰. Most of the remaining difficulties are connected with problems in adequately
56 representing the dynamics of the mixed layer. The loss of accuracy is most notable in the simulation
57 of the depth and the sharpness of the corresponding thermo- and haloclines. Despite the application
58 of sophisticated turbulent closure schemes and different schemes for vertical mixing, none of the
59 models, analyzed in Myrberg et al. (2010), were able to accurately simulate the vertical profiles of
60 temperature and salinity. Latest experiments with turbulence parameterizations of 3-D
61 hydrodynamic model COHERENS presented in Tuomi et al. (2013) show that model still
62 underestimates the thermocline depth. Also the sensitivity of the modelled thermocline depth to the
63 accuracy of the meteorological forcing was studied by increasing the forcing wind speed to better
64 match the measured values of wind speed in the central GOF. The sensitivity test showed that an
65 increase in the wind speed only slightly improved the performance of the turbulence
66 parameterizations in modelling the thermocline depth.

67 However, a number of studies have reported important effects of the vertical thermohaline
68 structure on the characteristics and processes in the marine ecosystems of the GOF, such as

69 phytoplankton species composition (Rantajarvi et al., 1998) and sub-surface maxima of
70 phytoplankton biomass (Lips et al., 2010), cyanobacteria blooms (Lips et al., 2008), distribution of
71 pelagic fish (Stepputtis et al., 2011), macrozoobenthos abundance (Laine et al., 2007) and oxygen
72 concentrations in the near bottom layer (Maximov, 2006).

73 Summarizing all written above, prediction of the thermohaline structure is a complex
74 problem for the GOF. The spatial variability of the thermohaline structure encompasses a wide
75 range of physical processes at different scales, some of which are still poorly understood (Soomere
76 et al., 2008, 2009). For example, we hypothesize that the local stratification depends very strongly a
77 on the across GOF movements of water masses and that sub-mesoscale eddies generated by
78 baroclinic instability of fronts in upper layers of the sea play an important role in heterogeneity of
79 spatial distribution of parameters (temperature, nutrients, phytoplankton) but also they can
80 contribute to re-stratify the UML, as described in Gent and McWilliams (1990).

81 In the ocean, submesoscales are scales of motion equal or less than the Rossby radius of
82 deformation but large enough to be influenced by planetary rotation (Thomas et al., 2007). Recent
83 studies showed that increasing the horizontal resolution of the model up to 0.5 km (for the GOF
84 Rossby radius approx. 2–4 km) enables models to resolve submesoscale eddies. As a result, surface
85 currents and temperatures show highly detailed patterns that qualitatively match well with the
86 expected features (Zhurbas et al., 2008; Sokolov, 2013) However, there was no yet considered the
87 influence of eddy motions and across Gulf movements of water masses on vertical re-stratification
88 of the UML of the GOF.

89 The motivations behind this study are:

- 90 – to provide an insight into the lateral advection processes in the GOF. We are
91 interested, in particular, in estimating the contribution of lateral advection processes to the
92 thermocline variations.
- 93 – to assess the impact of horizontal grid resolution on the representation of vertical
94 stratification

95

96 **Approach**

97 The traditional point of view is that the eddy diffusion dominates in the horizontal direction
98 and in the vertical direction mixing due to eddies is limited, and small scale processes such as
99 turbulence provide the majority of mixing. Based on this idea most commonly 1-D approach is used
100 to set up vertical mixing by tuning a turbulent scheme. For the GOF as an enclosed basin with
101 complex bathymetry and strong stratification mixed layer dynamics can be strongly affected by
102 lateral advective processes. To investigate this phenomenon we present a state-of-the art three-
103 dimensional model of the GOF with high vertical and two different horizontal resolutions. Shelf sea

104 modelling is characterized by a demand for many different configurations to meet multiple science
105 and user needs. NEMO gives the capability to rapidly configure shelf sea models using appropriate
106 high resolutions and parameterizations for the representation of coastal dynamics.

107

108 **2.1 General Model set-up**

109 Our study is based on a 3-D thermo-hydrodynamic model build on the NEMO (Nucleus for
110 European Modelling of the Ocean) code initially designed for the open ocean and adopted by our
111 team for the GOF (NEMO GOF). The NEMO is a 3-D hydrostatic, baroclinic primitive equation
112 model toolkit laid out horizontally on the Arakawa C-grid (Madec et al., 1998; Madec, 2012). The
113 NEMO is developing in a framework of a community European institutes and benefit of the recent
114 scientific and technical developments implemented in most ocean modeling platforms. The NEMO
115 implementation for the GOF uses the TVD advection scheme in the horizontal direction, the
116 piecewise parabolic method (PPM) in the vertical direction (Liu and Holt, 2010), the non-linear
117 variable volume (VVL) scheme for the free surface. In the horizontal plane, the model uses the
118 standard Jacobean formulation for the pressure gradient, the viscosity and diffusivity formulation
119 with a constant coefficient for momentum and tracer diffusion. The horizontal viscosity and
120 diffusivity operators are rotated to be aligned with the density iso-surfaces to accurately reproduce
121 density flows.

122 There are NEMO setups for Baltic Sea recently published by Hordoir et al. (2013 and 2015).
123 The GOF setup was developed in parallel to the Baltic Sea model and aimed to introduce resolution
124 able to resolve the sub-mesoscale processes in horizontal direction and insure accurate
125 representation of the vertical structure by increasing the vertical resolution to 1 m. General model
126 setup for the GOF shares most of the parameterization and schemes with Baltic Sea model.

127 In this paper, we used gridded bathymetric data set with a resolution of 0.25 nm for the GOF
128 (Andrejev, 2010). Choosing different grid resolutions of the model is formally equivalent to the
129 choice of an appropriate averaging operator (low-pass filtering at the grid step) and an approach to
130 estimate the contribution of smaller scales to the general motion. To assess the impact of
131 submesoscale motion on the vertical stratification, two configurations of NEMO GOF were
132 generated by utilizing different horizontal and the same vertical resolution of 1m. Both
133 configurations have 94 vertical levels, but 1 minute zonal and 2 minute meridional resolution
134 (~2km) in a standard configuration and 0.25 minute zonal and 0.5 minute meridional resolution
135 (~0.5km) in a finer resolution configuration. The parameters of configurations were kept as
136 identical as possible. The main exception is the coefficients of horizontal diffusivity and viscosity
137 which were set to the minimum values guaranteeing the numerical stability.

138 Numerical experiments were started from rest and initialized with temperature and salinity
 139 fields from the operational model of Baltic Sea HIROMB (Funkquist, 2001). The computational
 140 domain covers the entire GOF with the open boundary set at 23E longitude (see Fig. 1), boundary
 141 conditions being taken also from HIROMB. According to the inter-comparison of several models
 142 results for GOF (Myrberg et al., 2010), HIROMB was rated as the best model for the western part
 143 of the GOF. The operational status of the model gave us additional benefit. The model was forced
 144 by the surface forcing dataset HIRLAM (<http://hirlam.org>) (using the CORE bulk forcing
 145 algorithm) and climatic rivers runoff (Stalnacke et al., 1999). We used SMHI version of HIROMB
 146 with HIRLAM atmospheric fields included in output files as a part of a standard operational product
 147 of SMHI. Temporal resolution for the atmospheric forcing and boundary conditions is 1 hour.

148

149 **2.2 Parameterization of convective flows**

150 One of the possible mechanisms by which the lateral motion affects the stratification is a
 151 shear-induced convection: situation in which heavy water may be advected on top of lighter water.
 152 This mechanism has been observed, e.g. in the bottom boundary layer of lakes (Lorke et al., 2005)
 153 and on the continental shelf (Rippeth et al., 2001). Evidently, the shear-induced convection can take
 154 place throughout the water column, for example, during upwelling. In nature, convective processes
 155 quickly re-establish the static stability of the water column (Umlauf, 2005). These processes have
 156 been removed from the model via the hydrostatic assumption so they must be parameterized.

157 Convective mixing can be parameterized in NEMO by : (1) a computationally efficient
 158 solution ‘TKE (turbulent kinetic energy) scheme’ in combination with convective adjustment
 159 procedures (a non-penetrative convective adjustment or an enhanced vertical diffusion) and (2)
 160 physically more accurate the GLS (generic length scale) scheme.

161 The “TKE scheme” is a turbulence closure scheme proposed by Bougeault and Lacarrère
 162 (1989) originally developed to a model for the atmospheric boundary layer. In the Mellor and
 163 Yamada (1974) hierarchy it is a 1.5-level closure and consists of a prognostic closure for the
 164 turbulent kinetic energy (TKE) and an algebraic formulation for the mixing length scale. The time
 165 evolution of TKE is the result of the production of TKE through vertical shear, its suppression
 166 through stratification, its vertical diffusion, and its dissipation of Kolmogorov (1942) type:

$$167 \quad \frac{\partial \epsilon}{\partial t} = \frac{K_m}{e_3^2} \left[\left(\frac{\partial u}{\partial k} \right)^2 + \left(\frac{\partial v}{\partial k} \right)^2 \right] - K_\rho N^2 + \frac{1}{e_3} \frac{\partial}{\partial k} \left[\frac{K_\epsilon}{e_3} \frac{\partial \epsilon}{\partial k} \right] - C_\epsilon \frac{\epsilon^{\frac{3}{2}}}{l_\epsilon}, \quad (1)$$

$$168 \quad K_m = C_k l_k \sqrt{\epsilon}, \quad (2)$$

$$169 \quad K_\rho = K_m / P_{rt}, \quad (3)$$

170 where N is the local buoyancy frequency, l_ϵ and l_k are the dissipation and mixing length scales, u
 171 and v are the horizontal velocity components, k is the layer number, $e_3 = 1$ m is the vertical scale
 172 factor, P_{rt} is the Prandtl number, K_m and K_ρ are the vertical eddy viscosity and diffusivity

173 coefficients. The parameter C_k is known as a stability function and is defined as a constant in the
 174 TKE scheme. The constants $C_k = 0.1$ and $C_\varepsilon = 0.7$ are specified to deal with vertical mixing at any
 175 depth (Gaspar et al., 1990). K_e is the eddy diffusivity coefficient for the TKE. In NEMO $K_e = K_m$.

176 For computational efficiency, the original formulation of the turbulent length scales
 177 proposed by Gaspar et al. (1990) has been simplified to the following first order approximation

$$178 \quad l_k = l_\varepsilon = \sqrt{2\bar{e}}/N. \quad (4)$$

179 This simplification valid in a stable stratified region with constant values of the buoyancy
 180 frequency has two major drawbacks: it makes no sense for locally unstable stratification and the
 181 computation no longer uses all the information contained in the vertical density profile. To
 182 overcome these drawbacks, NEMO TKE scheme implementation adds an extra assumption
 183 concerning the vertical gradient of the computed length scale. So, the length scales are first
 184 evaluated as in (4) and then bounded such that:

$$185 \quad \frac{1}{e_3} \left| \frac{\partial l}{\partial k} \right| \leq 1, \text{ with } l = l_k = l_\varepsilon \quad (5)$$

186 In order to impose the constraint (5), NEMO introduces two additional length scales: l_{up} and
 187 l_{down} . The length scales l_{up} and l_{down} are respectively the upward and downward distances to which a
 188 fluid parcel is able to travel from current z-level k, converting its TKE into the potential energy by
 189 doing work against the stratification, and they can be evaluated as:

$$190 \quad l_{up}^{(k)} = \min \left(l^{(k)}, l_{up}^{(k+1)} + e_3^{(k)} \right) \text{ from } k = 1 \text{ to } nk \quad (6)$$

$$191 \quad l_{down}^{(k)} = \min \left(l^{(k)}, l_{down}^{(k-1)} + e_3^{(k-1)} \right) \text{ from } k = nk \text{ to } 1, \quad (7)$$

192 where nk is the number of level in vertical, $l^{(k)}$ is computed using (4), i.e.

$$193 \quad l^{(k)} = \sqrt{2\bar{e}^{(k)}/N^{2(k)}}. \quad (8)$$

194 Finally,

$$195 \quad l_k = l_\varepsilon = \min(l_{up}, l_{down}). \quad (9)$$

196 The GLS scheme is formally equivalent to the TKE scheme, excepting using: (1) a
 197 prognostic equation for the generic length scale ϕ and (2) expressions for the complex stability
 198 functions instead constants. We used $k - \varepsilon$ turbulent closure scheme (Rodi, 1987) with $\phi =$
 199 $C_{0\mu}^3 \bar{e}^{3/2} l^{-1}$, where $C_{0\mu}$ is a constant depending on the choice of the stability function (Galperin et al.,
 200 1988; Kantha and Clayson, 1994).

201 This prognostic length scale is valid for convective situations and arbitrarily increases
 202 diffusivity to represent convection (Umlauf and Burchard, 2003; 2005):

$$203 \quad \frac{\partial \phi}{\partial t} = \frac{\phi}{\bar{e}} \left\{ \frac{C_1 K_m}{\sigma_\phi e_3} \left[\left(\frac{\partial u}{\partial k} \right)^2 + \left(\frac{\partial v}{\partial k} \right)^2 \right] - C_3 K_\rho N^2 - C_2 \varepsilon \right\} + \frac{1}{e_3} \frac{\partial}{\partial k} \left[\frac{K_m}{e_3} \frac{\partial \phi}{\partial k} \right] \quad (10)$$

$$204 \quad K_m = C_\mu \sqrt{\bar{e}} l, \quad (11)$$

$$205 \quad K_\rho = C_{\mu'} \sqrt{\bar{e}} l, \quad (12)$$

206 $\varepsilon = C_{0\mu} \bar{e}^{3/2} l^{-1},$ (13)

207 Here $C_1, C_2, C_3, \sigma_\phi$ are constants for the $k - \varepsilon$ turbulent closure scheme. They are equal 1.44, 1.92,
 208 1.0, 1.3 respectively. C_μ and $C_{\mu'}$ are calculated from the stability function.

209 As known, the equation fails in stably stratified flows, and for this reason almost all authors
 210 apply a clipping of the length scale as an ad hoc remedy. With this clipping, the maximum
 211 permissible length scale is determined by

212
$$l_{max} = C \frac{\sqrt{2\bar{e}}/N}{\cdot} \quad (14)$$

213 A value of $C_{lim} = 0.53$ is often used (Galperin et al., 1988). Umlauf and Burchard (2005)
 214 show that the value of the clipping factor is of crucial importance for the entrainment depth
 215 predicted in stably stratified flows. Another value is 0.26, several authors have suggested limiting
 216 the dissipative length-scale in the presence of stable stratification even down to 0.07 (Holt and
 217 Umlauf, 2008).

218 In addition, convective mixing can be parameterized in NEMO by an enhancement to the
 219 eddy viscosity and diffusivity (ED), if for $N_2 < 0$, K_m and K_ρ are locally set to the value of $100 \text{ m}^2\text{s}^{-1}$.

220 We performed comparative tests of listed above convection parameterizations to investigate
 221 their principal applicability for shear-induced convective situations.

222

223 **3. Numerical experiments**

224 The modeling period was chosen from 1st April to 31st August 2011 when pronounced
 225 thermocline occurs. The thermocline starts its formation in early May when the surface heating and
 226 turbulent mixing are dominant processes. Note that year 2011 was characterized by strong
 227 upwelling events in the beginning and in the end of modeling period.

228 In section 3.1 the GLS, TKE and ED mixing parameterizations are compared in a series of
 229 sensitivity experiments. The choice of closure scheme and the effects of varying Galperin limit
 230 were investigated against MODIS SST to get the best reproduction of SST pattern.

231 In section 3.2 we present results of the model runs compared with available CTD data to
 232 study the performance of the chosen parameterizations to represent the UML evolution. Also the
 233 ability of the model to correctly capture such features as fronts was tested against SST images for
 234 different resolutions in beginning of August 2011 when there were cloud free images.

235

236 **3.1. Sensitivity to vertical mixing parameterizations**

237 In this section we study closure schemes and enhanced diffusion parameterization
 238 performance for convective situations caused by upwelling near the Estonian coast started on May

239 12th. Figure 2 shows a cross section of the GOF for the density field (black isolines) overlaid by the
240 vertical eddy diffusivity coefficient (color filled).

241 Fragment A of Fig. 2 illustrates the mechanism instability formation. It is a hypothetical
242 solution obtained with constant eddy diffusivity coefficients set to the minimum possible for this
243 case values of $10^{-4} - 10^{-5} \text{ m}^2\text{s}^{-1}$ and ED switched off. All south-north cross sections present the
244 situation mainly formed by an upwelling event near the Estonian coast (left side of the cross-
245 section). Due to the presence of permanent density gradient from Estonian to Finish coast and
246 strong offshore current caused by upwelling, dense waters originated from the Estonian side overlay
247 fresher lighter water in the downwelling area near the Finish coast.

248 Fragment B illustrates the performance of the ED procedure setting the eddy viscosity and
249 diffusivity coefficients equal to $100 \text{ m}^2\text{s}^{-1}$ in the areas of unstable stratification. According to this
250 experiment, the maximum depth of convection penetration is equal to 10 m in the center of GOF
251 and reaches up to 25 m near the Finish coast.

252 Fragment C illustrates the performance of solution with the TKE closure scheme including
253 previously described modifications introduced in NEMO. As seen, the solution demonstrates high
254 values of eddy diffusion coefficients in the areas of unstable stratification. The depth of the mixed
255 layer is not limited by the convection penetration depth (see Fig. 2b) and formed as a result of a
256 joint action of current velocity shear, buoyancy and TKE diffusion and dissipation (see Eq. (1)).

257 Fragment D shows the combined effect of cases B and C. As seen from comparison of Fig.
258 2d and Fig. 2c, the solution with modified TKE scheme captures most of the existing instabilities.
259 ED (Fig. 2b) triggered only in some small areas in the center of the mixed layer and did not affect
260 the actual mixing depth.

261 Fragments E and F present the performance of the solution with the GLS closure scheme
262 with Galperin limit of 0.53 and 0.26, correspondently. A solution with GLS parameterization with
263 switched-off length scale limitation was also obtained but turned out to be practically equal to the
264 case E. UML depth in these solutions is comparable to that in the cases C and D confirming success
265 of TKE modifications in NEMO.

266 The above tests confirm that both TKE and GLS closure schemes used in NEMO are able to
267 catch the convection induced by upwelling. As it comes from Fig. 2 an instability of vertical column
268 initiates dramatic increase in vertical diffusivity coefficients up to $0.04 \text{ m}^2\text{s}^{-1}$ TKE (Fig. 2c and d) or
269 $0.036 \text{ m}^2\text{s}^{-1}$ GLS (Fig. 2e and f) from the background value set to $10^{-6} \text{ m}^2\text{s}^{-1}$. TKE scheme forms a
270 core with stronger mixing in the area of downwelling but at the same time the UML depth is
271 comparable in both cases. Switched on ED does not modify the UML depth predicted by turbulent
272 closure schemes.

273 Evaluation of the actual performance of presented alternative parameterizations of
274 convective processes is a complex task requiring high spatial and temporal resolution of in situ data
275 that is not available at the moment. The sea surface temperature (SST) derived from the satellite
276 thermal infrared imagery during cloud-free conditions provides significant information for
277 monitoring of the relevant key ocean structures, such as fronts, eddies, and upwelling. At the same
278 time, the SST fields can be used as an indicator of vertical mixing processes. SST fields can be
279 considered as integral of subsurface dynamic but for example we can not estimate directly a depth
280 of the thermocline from them. Alternatively the comparison of the modeled frontal structure at the
281 sea surface and MODIS data during an upwelling event (lifting water from under the UML) could
282 indicate how well the model reproduces stratification. As soon as we would get a realistic
283 stratification, the surface pattern of simulated SST will also be in agreement with remotely observed
284 SST.

285 Results of the comparison of modeled (various mixing parameterizations and resolutions)
286 and MODIS-derived SST are presented at Fig. 3. The model shows that maximum upwelling
287 development occurs on May 14 when the upwelling front reaches the center of the GOF and
288 characterized by maximum temperature difference across the front up to 5°C. Unfortunately, due to
289 heavy cloudiness, the satellite images captured only relaxation phase of the upwelling dated on May
290 20th.

291 As seen, the model performs better if the GLS scheme is used and the value of C_{lim} is 0.53
292 (Galperin's value). The stronger length scale limitation leads to underestimation of mixing and
293 increased SST values compared to MODIS data. On the other hand, the solution obtained with TKE
294 scheme underestimates mixing, nevertheless it is not too far from the observations. The best
295 performance takes place at the higher resolution and GLS scheme used when the solution is in a
296 good agreement with the MODIS SST (Fig. 3b). Based on presented sensitivity tests, the GLS
297 mixing scheme was chosen and the length scale limiting was fixed as $C_{lim}=0.53$

298

299 **3.2 General model performance**

300 To evaluate the general model performance, we used in situ data for temperature and salinity
301 obtained during Russian State Hydrometeorological University expedition dated from July 20 2011
302 to August 05 2011. The comparison of model and data has been performed for the last decade of
303 July just before the UML starts to degrade due to heating and wind conditions (Fig.4). CTD data
304 were grouped into three sets of profiles representing western (Lon 23:26, 10 profiles), central (Lon
305 26:28.2, 12 profiles) and eastern (Lon 28.2:30, 12 profiles) parts of the GOF. According to the
306 presented at Fig. 4 averaged CTD profiles (black curves), the UML is much deeper in the western
307 part of the GOF and considerably shallower and sharper in the central and eastern parts. This UML

308 behavior typical for the GOF captured quite well by all the model realizations (colored curves).
309 Standard deviation of CTD data given as error bars presents the variability range of in situ data. All
310 presented solutions with different parameterizations are in good agreement with the data in terms of
311 the UML depth while the fine spatial resolution slightly better represents the nature in the western
312 part of GOF. In the eastern part of GOF strongly influenced by the Neva outflow the modeled
313 thermocline is about 5 m deeper than observed. This is mainly due to prescribing climatic boundary
314 conditions at the river mouth not allowing for the differences in individual years and complicated
315 hydrodynamics of the estuary.

316 One more comparison between model and data is presented in Fig. 5 where the modeled SST
317 for the two resolutions is given versus MODIS SST on August 2, 2011. At this time it was possible
318 to fix the upwelling again near the southern coast of GOF. In the high resolution model solution the
319 temperature of cold water rising to the surface drops down to 6°C that is consistent with the satellite
320 SST. In the case of coarse resolution the upwelling effect is less pronounced: the lowest temperature
321 in the core region is about 10°C. Solutions with both resolutions reproduce spatial patterns of
322 upwelling. Although the coarse resolution solution gives more flattened upwelling front (shown by
323 the isotherm of 19.5°C), high resolution solution is more rugged due to reproduced submesoscale
324 features that corresponds well with observed SST.

325 Results of model comparison with SST and in situ data confirm the robustness of the
326 developed model, which allows us to use it in a more detailed evaluation of the vertical structure
327 formation mechanisms of the sea and its temporal evolution.

328

329 **4. Results**

330 During the upwelling/downwelling event in May model on both grids simulates a substantial
331 re-stratification of the UML. The re-stratification is characterized by sharpening and at the same
332 time deepening of the thermocline down to 40 m near the Finnish coast and export of the cold water
333 to the surface near the Estonian coast (Fig. 6). Fig. 6 a and b show maps of the turbocline depth on
334 the 16th May 2011. The turbocline depth is defined as the depth at which the vertical eddy
335 diffusivity coefficient falls below a given value (here taken equal to background value of $5 \text{ cm}^2\text{s}^{-1}$)
336 and can be interpreted as a maximum penetration depth of the turbulent motion in the surface layer.

337 According to Fig. 6a and b presenting solutions on 2 and 0.5 km grids respectively, the
338 turbocline depth reaches the maximum in the areas near the Finnish coast where the convection is a
339 dominant factor in vertical mixing. We can note the significant differences in the spatial patterns of
340 the turbocline for fine and rough resolutions. Solution on 0.5 km grid shows deeper and more
341 complex thermocline pattern. It can be explained by the fact that small-scale frontal structures
342 induced by strong horizontal gradients and captured by the fine-resolution model lead to convective

343 instabilities (Boccaletti et al., 2007) acting to locally restratify UML. The model with 2 km
344 resolution cannot resolve submesoscale frontal features and high values (compare to fine resolution)
345 of lateral diffusion coefficients act to smooth the front in other words decreasing potential energy of
346 the front. Unfortunately, few data is available for validation of these differences. Locations of CTD
347 profiles on May 16 are marked as points I, II, III in Fig. 6a and c. Figure 6 (I, II, III) shows the
348 vertical profiles of temperature at locations near the Finish coast. At the panel (I) the UML depth
349 for the 2 km-resolution model (dashed black line) is shallower than the observed UML depth (solid
350 black line) by 13 m. At the same time, observations and 0.5 km-resolution model (grey line)
351 temperature are almost collocated, and UML depth reaches 40 m. At the panel (II) modeled UML
352 depth is overestimated, but the misfit reaches 7 m for 2 km-resolution model and only 3 m – for 0.5
353 km-resolution model.

354 We cannot compare the UML depth from the results presented at panel III since none of the
355 models were able to reproduce lateral intrusions observed. The low model performance at this point
356 can be explained by the proximity of the frontal zone between coastal and deep water masses due to
357 the upwelling. We assume that small error in predicted location of the front can lead to serious
358 misfits in vertical profile. Note also that the point (III) is located in a zone of rapid turbocline depth
359 variations (see Fig. 6a and b). This fact confirms a complex front structure which is formed by the
360 set of randomly spaced small-scale features. The deterministic model can only predict their
361 appearance but not the exact location.

362 Figure 7 presents evolution of the thermocline through the season. Left panels present the
363 maximum depth of the turbocline and thermocline for the May when the thermocline was formed.
364 Right panels present the same but for the period from 01 of Jun to 28 July. This period ends just
365 before the upwelling in July-August from which the UML erosion begins. Thermocline depth was
366 defined as the depth of 3.5°C isotherm (see Fig. 4). As it comes from the presented data, turbulent
367 mixing during the upwelling in May was the strongest throughout the season (see Fig.7b). At the
368 same time increasing of the 3.5°C isotherm depth up to 45 m during June-July is not accomplished
369 by any considerable turbulent activity (maximum turbocline depth during June-July do not exceed
370 20 m for the most of the area of the GOF). Taking in consideration the low value of the background
371 vertical diffusivity coefficient ($10^{-6} \text{ m}^2\text{s}^{-1}$), this fact highlights the importance of the advective
372 processes for the formation of the shape and depth of the thermocline. Advective processes
373 resulting in deepening of the isotherm are initiated by intrusion of warm dense water from the open
374 boundary from the Baltic Proper. The intrusion compensates the general surface outflow from the
375 GOF caused by rivers runoff. Notable difference in the shape of averaged profiles presented at Fig.
376 4 confirm this hypothesis. Eastern part of the GOF characterized by sharp and shallow thermocline
377 and halocline. Their depths are approximately equal to the maximum turbocline depth. Turbulent

378 and heating processes are dominated here. Deepening of the thermocline and halocline down to 45
379 m in the western part of GOF is caused mainly by the GOF-Baltic Sea exchange processes since
380 turbulent mixing do not penetrate at this depth here.

381 The sensitivity of the model solution to increased horizontal resolution is manifested in the
382 different intrusion propagation to east (compare right plots on Fig. 7d and f). Density fronts
383 associated with the intrusion are a source of baroclinic instability which are differently resolved by
384 the 0.5 km eddy permitting configuration (Fig. 7c) compared to 2 km configuration (Fig. 7e).

385

386 **5. Discussion and conclusions**

387 We used state-of-the-art modeling framework NEMO initially developed for the open ocean
388 to build an eddy resolving model of the GOF. To evaluate the model skill and performance two
389 different solutions were obtained: commonly used 2 km grid and 0.5 km eddy resolving fine grid.

390 With the resolution of 0.5 km the model starts to resolve submesoscale eddies. In the ocean,
391 submesoscales are scales of motion equal or less than the baroclinic Rossby radius of deformation.
392 For the GOF the baroclinic Rossby radius is varying between 2-4 km and we need at least 4 points
393 to resolve the eddy. According to Gent and McWilliams (1990), the eddies can act to re-stratify the
394 UML of the ocean, causing the vertical transport through the thermocline.

395

396 By moving from 2 km to 0.5 km it is logical to expect an intensification of vertical
397 movements induced by smaller vortices resolution. Figure 8 presents the comparison of vertical
398 velocity absolute values for 2km and 500 m resolutions. The fields are averaged for the depth of
399 5 m and 5-day period in May characterized by high-intensity wind-induced dynamic. The main
400 features of the horizontal distribution of the vertical velocity, including the regions of extreme
401 values are similar in both cases. However, on a finer grid structures resembling meanders currents
402 and filaments appeared in the middle of the bay at the Estonian coast as well as near the Finland
403 coast there is a set of point maxima. Both of these small scale features are absent at coarse grid.
404 It is important to note that the difference in the vertical velocity field appear mainly in the upper
405 mixed layer of the sea. Below the pycnocline the vertical velocity patterns in both cases are very
406 similar. Thus, marked differences could be attributed to the vortex centers of submesoscale eddies,
407 but this assumption is not confirmed by visual horizontal velocity field analysis: explicit vortices
408 are absent in uv horizontal field. An alternative hypothesis links these features with local elevations
409 of the bottom topography.

410 Additional effect of resolved lateral submesoscale processes was investigated in section 4.
411 It was shown that submesoscale motion affects the plume propagation caused by salty water
412 intrusion to the GOF from the Baltic Sea. Generally speaking this process had found to be

413 dominated in formation of shape of thermocline through the summer season, while the depth of UML
414 was formed by an intensive mixing during spring upwelling. In both cases advective processes act
415 as the main “driving force”.

416 Presented model demonstrates a substantial improvement in the basin stratification
417 compared to previous numerical studies. Traditional point of view is that the small scale processes
418 such as turbulence provide the majority of mixing in vertical direction. Most commonly 1-D
419 approach is used to set up vertical mixing by tuning a turbulent scheme. For the GOF as an enclosed
420 basin with complex bathymetry and strong stratification mixed layer dynamics can be strongly
421 affected by lateral advective processes. Adequate representation of lateral processes by the model
422 let us decrease the role of background constants in turbulent mixing scheme (we set them to
423 minimum possible values). This simplifies the traditional trade-off between the depth and sharpness
424 of the thermocline. Setting the background values of vertical eddy viscosity and diffusivity to 10^{-5}
425 and $10^{-7} \text{ m}^2\text{s}^{-1}$ respectively let us keep the sharp form of the thermocline and halocline while the
426 UML depth corresponds to observations.

427 Since the time period of the runs was rather short (less than 1 year) and the model had not
428 been used before it is obvious that the values of some parameters might have been somewhat
429 improperly chosen for the use in this study. Through fine tuning of the model better results could be
430 probably obtained. However, the focus in this study was to examine the differences arising from
431 different horizontal resolutions, the fact that model parameters were similar in each case should be
432 considered to be far more important than the quantitative agreement between observations and
433 model results. Actually, it was shown that the model results for both resolutions are in a reasonable
434 agreement with available observations. In some cases 0.5 km model performs better and at the same
435 time there are areas not covered by observations where we can note more substantial difference
436 between models. It is found that simulations resolving submesoscale are characterized by the deeper
437 UML with more complex structure in the regions of the GOF directly affected by the
438 upwelling/downwelling.

439 The GOF is a highly dynamic region with lateral currents causing the temperature contrasts
440 and/or rapid temporal variations on the surface. From the satellite picture we can identify whether
441 the model reproduce properly the frontal structure at the surface. For example, the temperature drop
442 during an upwelling event and resulting temperature contrast at the surface reach $2.5 \text{ }^\circ\text{C}$. We assume
443 it to be a considerably more substantial signal comparing to known uncertainties of satellite SST
444 measurements ($0.4 \text{ }^\circ\text{C}$ [<https://podaac.jpl.nasa.gov>].) The usage of results of hydrodynamic
445 modelling together with SST information can provide an extended analysis and deeper
446 understanding of the upwelling process. Re-stratification of the UML caused by upwelling results in
447 changes of the SST pattern that can be observed from satellites. From the comparison of modelled

448 and observed from satellite SST we can identify whether the model reproduces the stratification
449 itself and as a result properly reproduces the frontal structure at the surface.

450 Refinement of the model resolution below the level of 0.5 km would be of limited benefit in
451 a hydrostatic model. For the purpose of deep investigation of submesoscale processes in GOF such
452 as transport across the UML and on/offshore the nonhydrostatic formulation is needed. It lets us
453 avoid “artificial smoothing” of the velocity field. Other possible improvements of the model
454 performance, which we are planning for the next steps, will include sensitivity tests for the different
455 boundary conditions with higher spatial resolution at the open boundary and surface and utilisation
456 of recently available data with high spatial coverage from the expeditions during the Gulf of
457 Finland Year 2014.

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469

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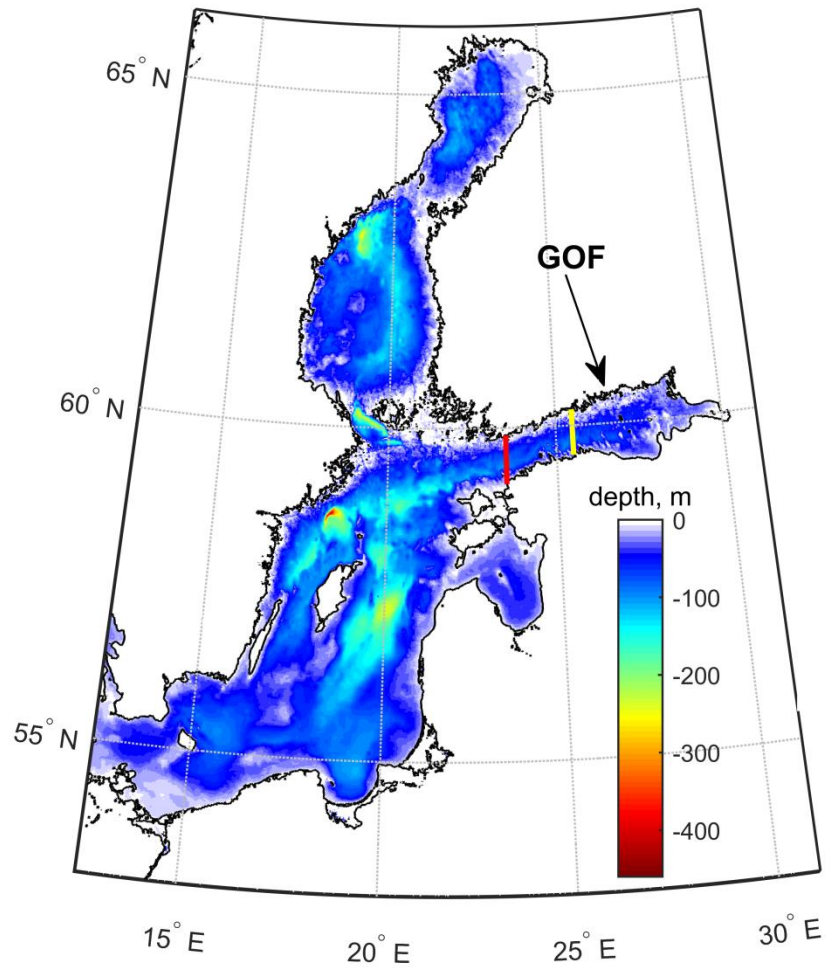
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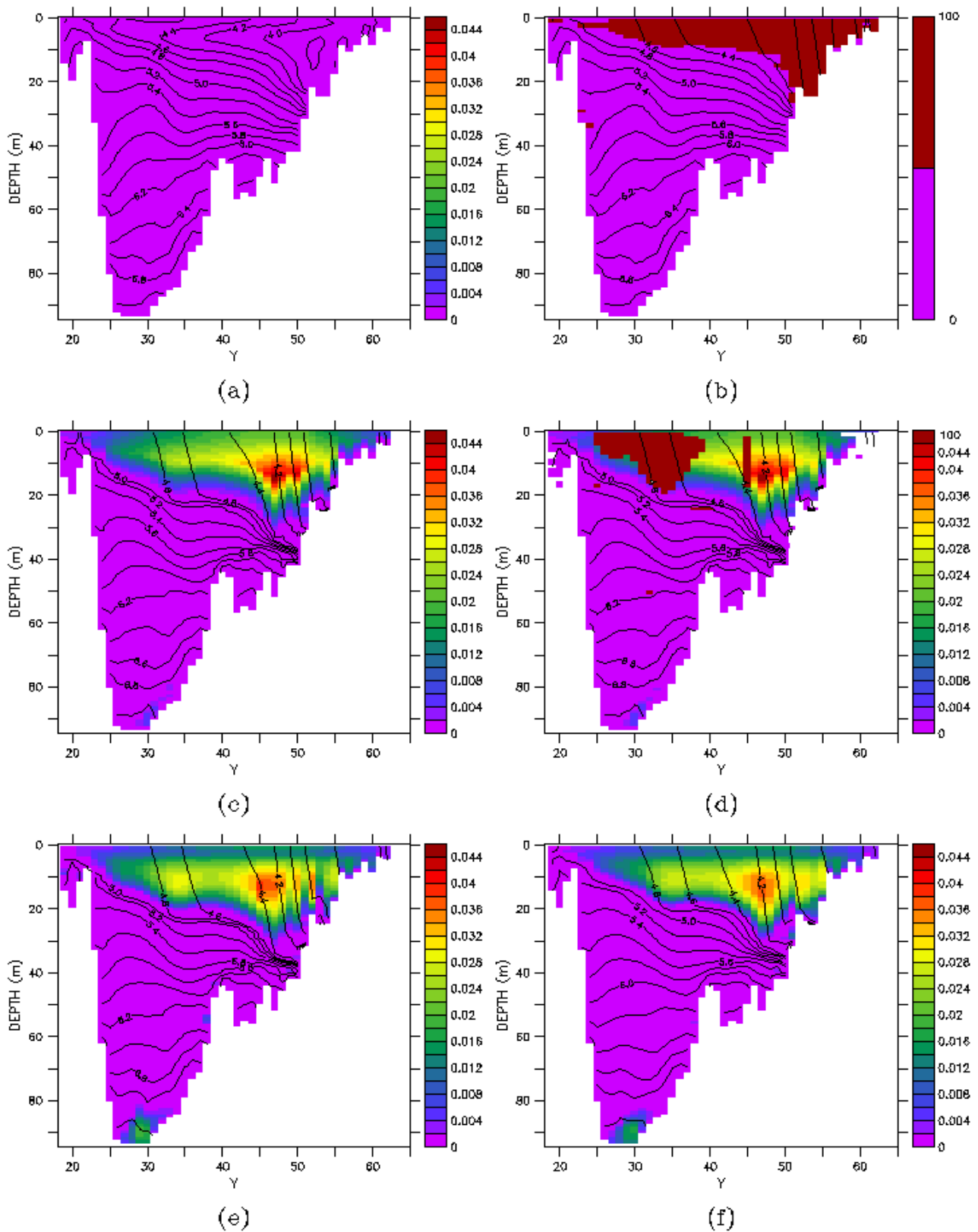
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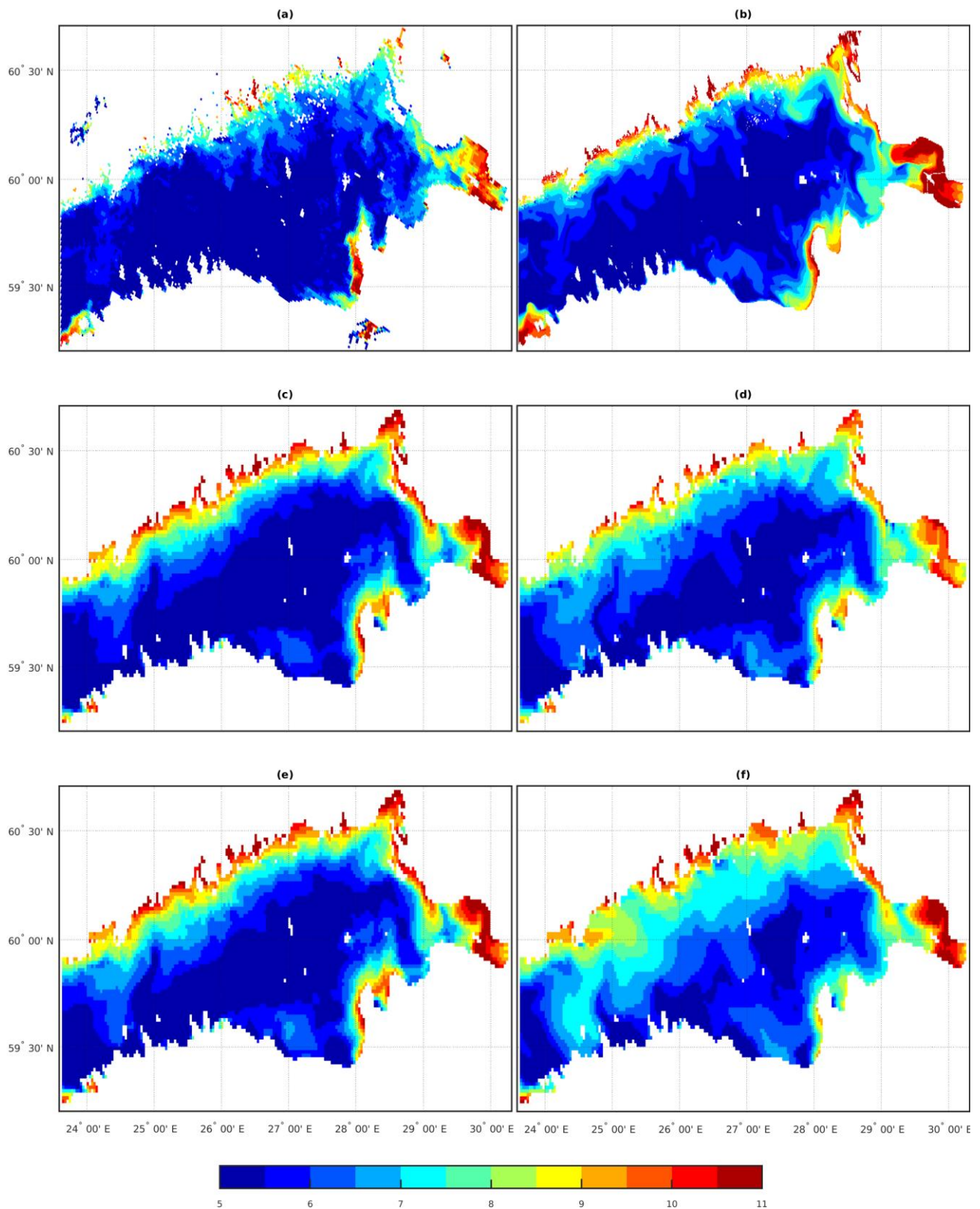


577
 578 Figure 1. The bathymetry of the Baltic Sea.
 579 Red line – open boundary of the model domain, yellow line – location of the meridional cross
 580 section for Fig. 2.



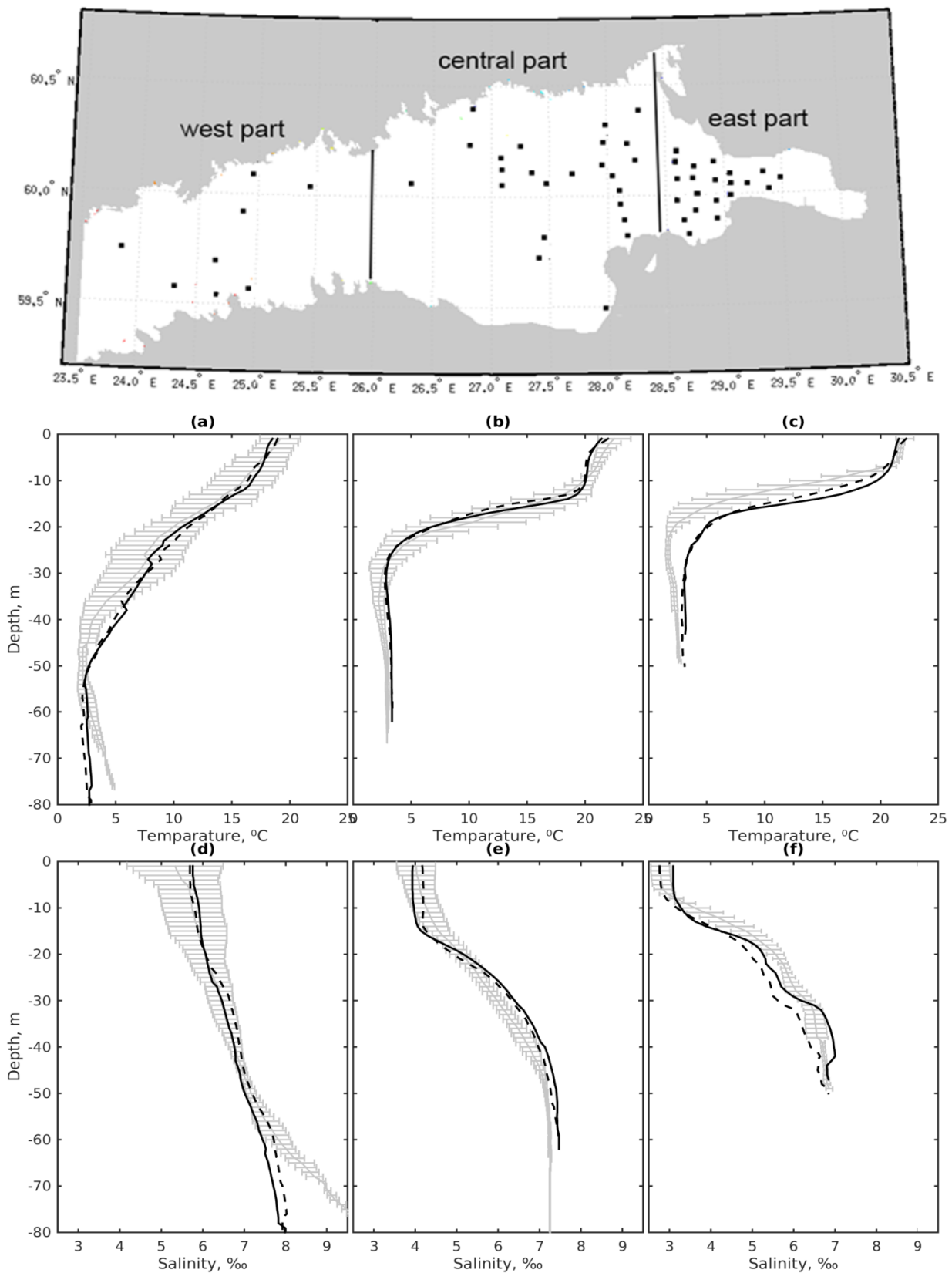
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582 Figure 2. Meridional cross section of the GOF at 25.5°E. Vertical eddy diffusivity coefficient
 583 (shaded surface) overlaid by density isolines: (a) constant vertical eddy viscosity/diffusivity
 584 coefficients set to the $10^{-4}/10^{-5} \text{ m}^2\text{s}^{-1}$, (b) convective adjustment only (ED), (c) TKE, d) TKE + ED,
 585 (e) GLS with Galperin limit set to 0.53, (f) GLS with Galperin limit set to 0.26.



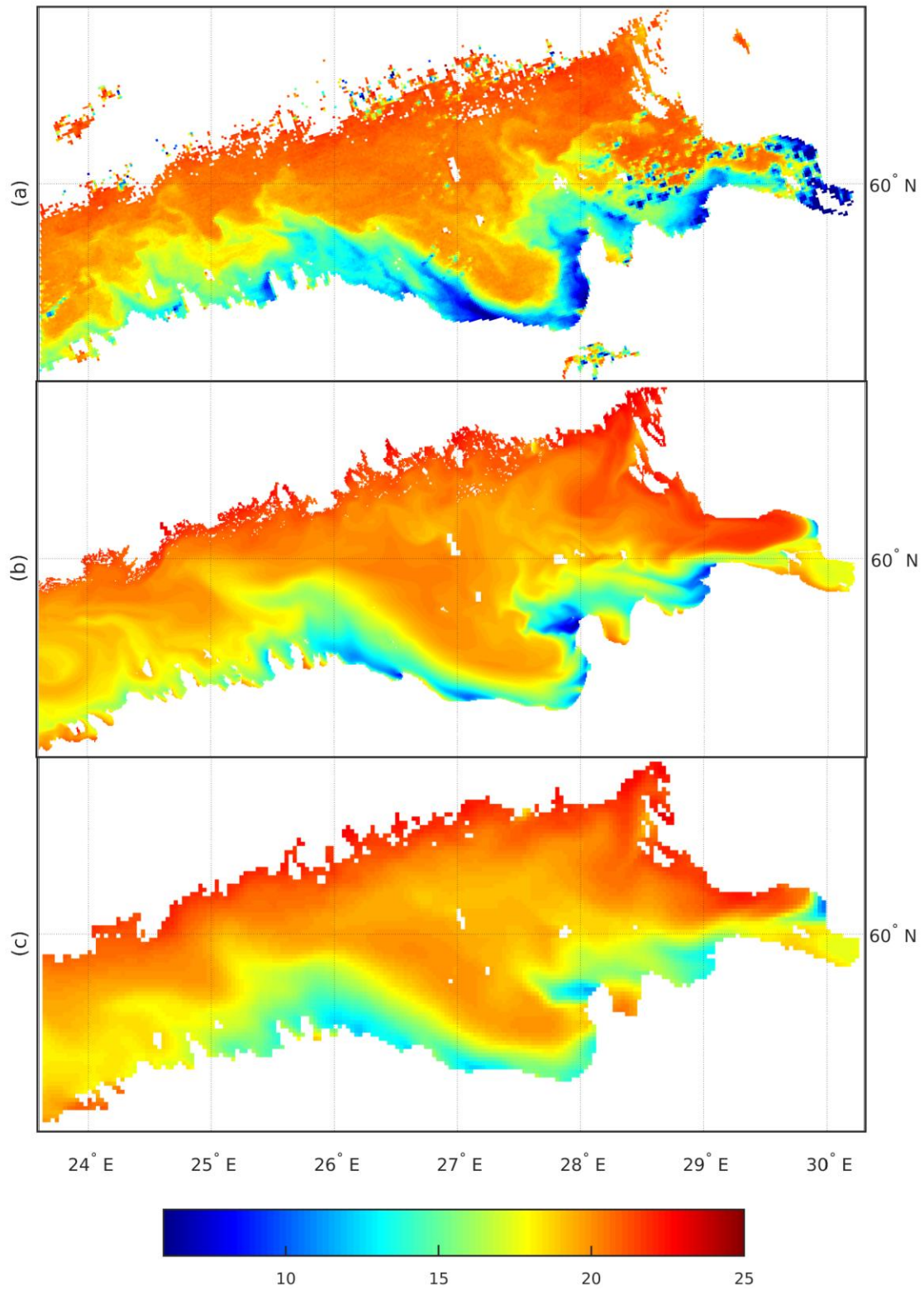
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587 Figure3. SST on 20 May 2011: (a) MODIS SST, (b) GLS with Galperin limit 0.53 and horizontal
 588 resolution 0.5 km, (c) GLS with Galperin limit 0.53 and horizontal resolution 2 km, (d) GLS with
 589 Galperin limit 0.26 and horizontal resolution 2 km, (e) TKE with convective adjustment and
 590 horizontal resolution 2 km, (f) GLS with Galperin limit 0.07 and horizontal resolution 2 km



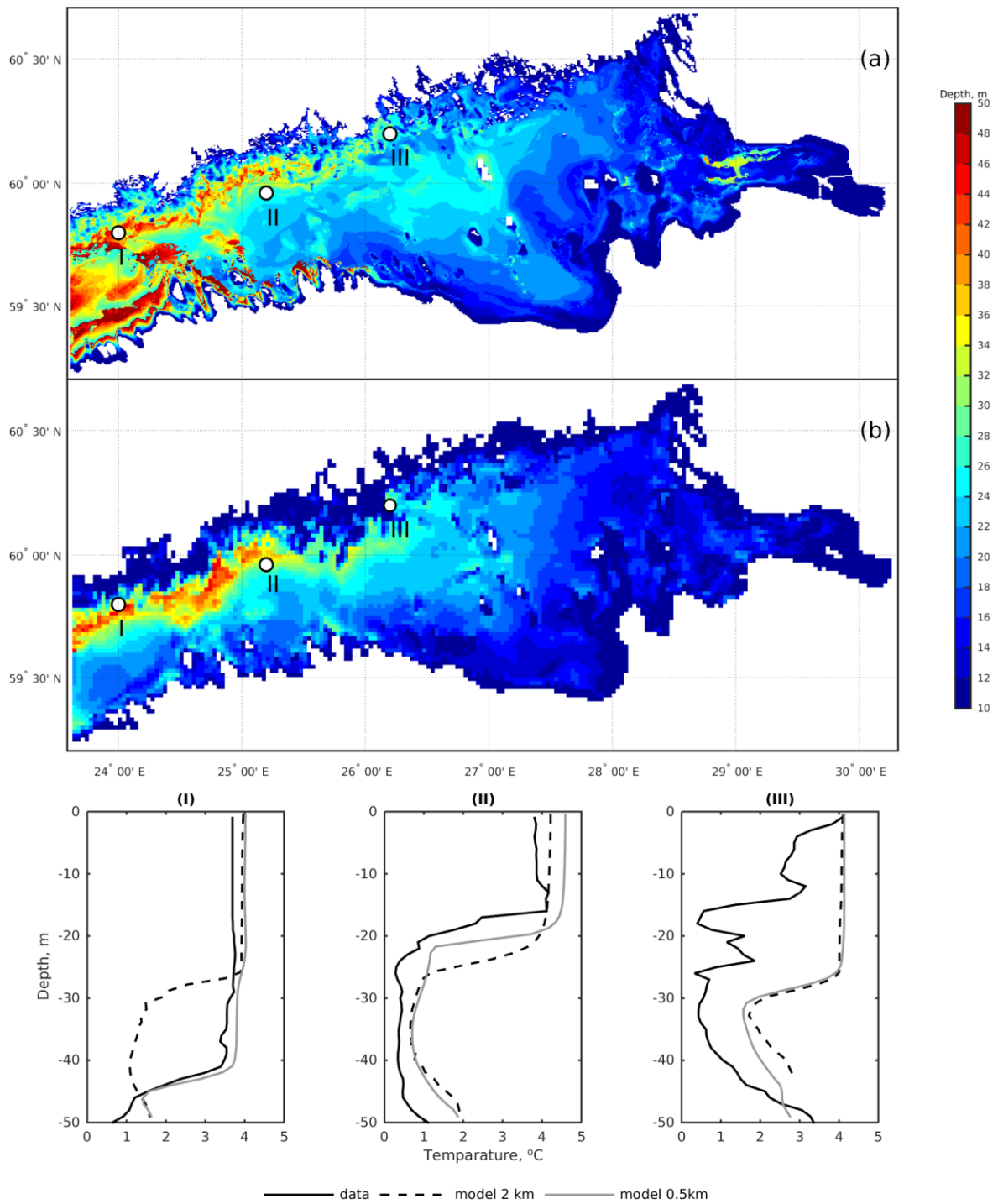
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592 Figure 4. Averaged vertical profiles of temperature and salinity in West (a,d), Central (b,e) and East
 593 (c,f) parts of GOF for the period 20 Jul – 5 Aug 2011. Grey lines – CTD data with standard
 594 deviation corridors, solid and dashed black lines – model on grids 0.5 and 2 km correspondently.



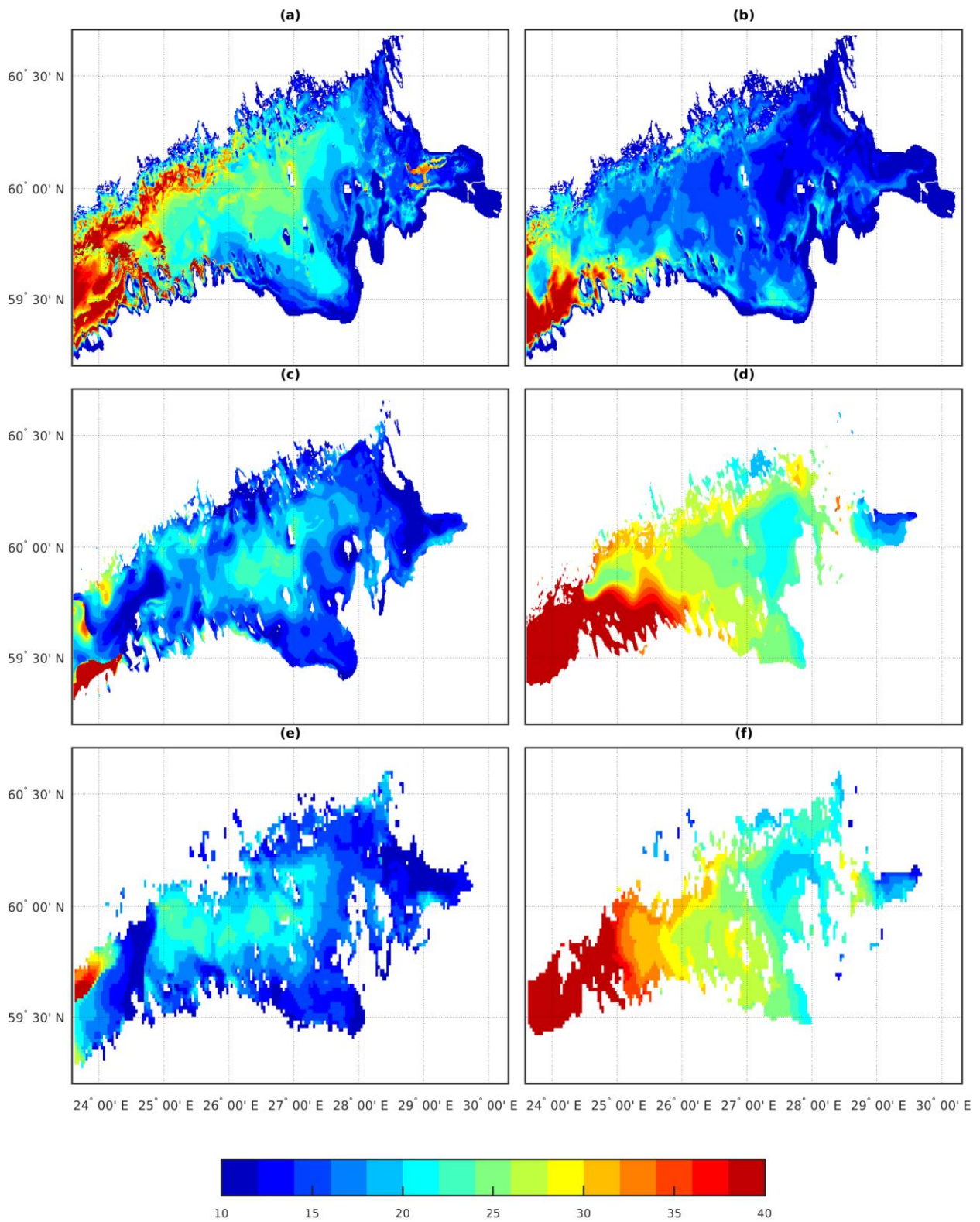
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596 Figure 5. SST maps of GOF on 2 Aug 2011: (a) MODIS data, (b) and (c) modeled SST on grids 0.5
 597 and 2 km correspondently.



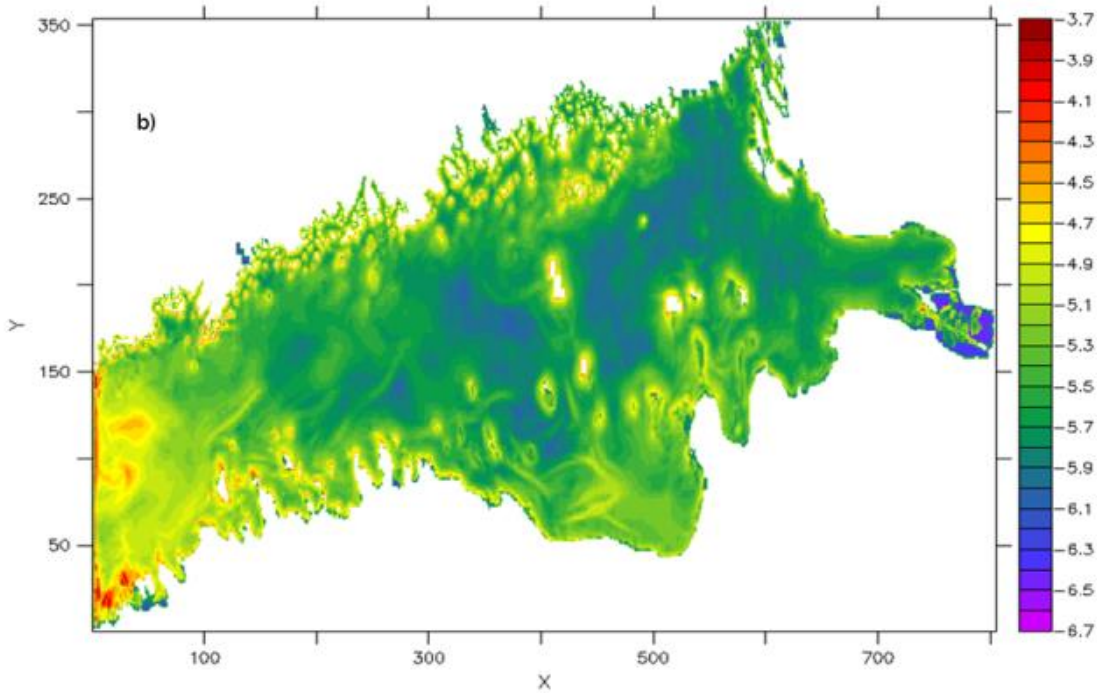
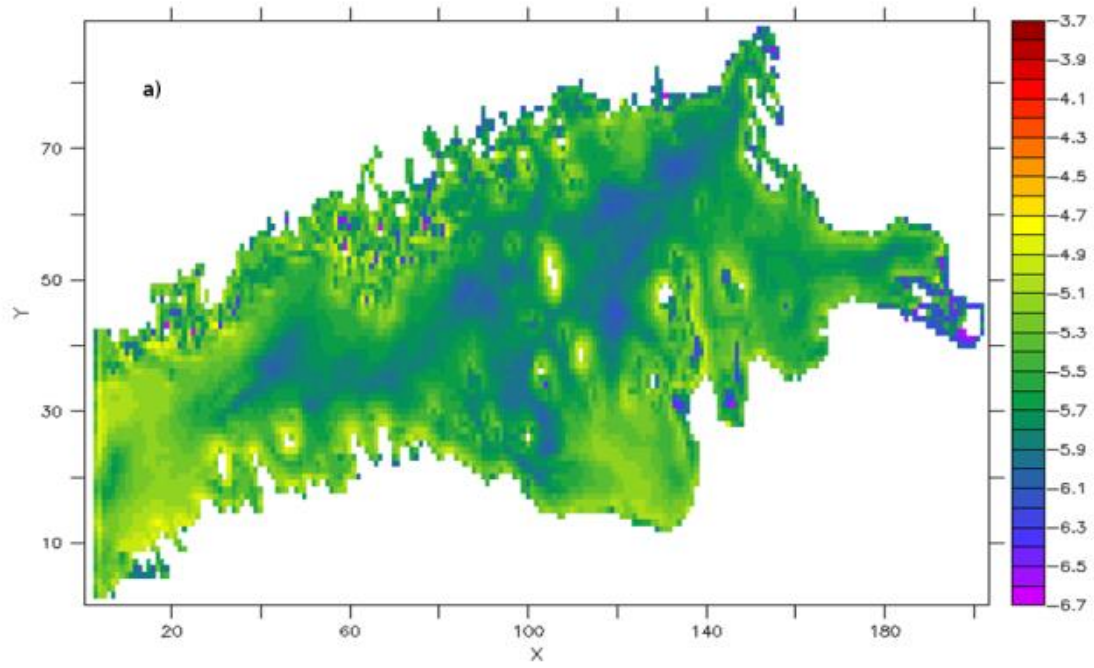
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599 Figure 6. Modelled turbocline depth (m) in GOF on 20 May 2011: (a) and (b) horizontal
 600 distributions on grids 0.5 and 2 km correspondently; (I), (II) and (III) – vertical profiles of
 601 temperature at the locations marked on maps (a) and (b).



602

603 Figure 7. Depth of isotherm 3.5°C and turbocline depth for the periods: Left column 11-30 May
 604 2011, Right column 1 June -28 July 2011. (a, b) – maximum turbocline depth, model 0.5 km
 605 resolution, (c, d) – isotherm 3.5°C depth model 0.5 km; (e, f) – isotherm 3.5°C depth model 2 km.
 606



607
 608 Figure 8 Vertical velocity absolute values (log scale) averaged for the depth of 5 m and 5-day
 609 interval: a) 2 km model grid, b) 500 model grid